

# Lung cancer in the course of chronic obstructive pulmonary disease – the clinical picture in light of current diagnostic recommendations

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**Introduction.** Lung cancer and chronic obstructive pulmonary disease (COPD) are one of the most significant causes of death. The co-existence of COPD and lung cancer has a strong influence on treatment.

**Material and methods.** The data were collected retrospectively from patients diagnosed with lung tumors between 2016 and 2022. Of the 982 analyzed cases, 180 patients had co-existing primary lung cancer and COPD.

**Results.** 46.1% of the study group were women. 99.0% of patients presented a history of smoking. 46.7% patients were diagnosed with COPD during lung tumor diagnosis. 71.1% of patients suffered from non-small-cell lung cancer (NSCLC). The majority of patients had locally advanced or metastatic lung cancer.

**Conclusions.** The high incidences of COPD as well as lung cancer among women is striking. Almost half of the patients were diagnosed with COPD while diagnosing lung tumors. A long history of smoking is still the main factor as regards developing these diseases.

**Key words:** lung cancer, chronic obstructive pulmonary disease, spirometry, emphysema, non-small-cell lung cancer

## Introduction

Lung cancer was the second most commonly diagnosed cancer in 2020, with 2,2 million new cases diagnosed yearly around the world (11.4% of all cancers), remaining the leading cause of cancer-related death, with an estimated 1.8 million deaths (18%) [1]. The prognosis in lung cancer is very poor – only 10 to 20% of patients survive 5 years after diagnosis in most countries [1]. Chronic obstructive pulmonary disease (COPD) is the most commonly diagnosed chronic disease of the respiratory tract. Each year, COPD is diagnosed in 17.98 million patients. COPD is the third leading cause of death worldwide, with around 3.324 million deaths, which accounts for 6% of all deaths in 2019 [2]. There is a 4–6 fold greater risk of developing lung cancer in patients with coexistence of COPD in comparison with smokers

with normal lung function. In patients with COPD, the 10-year risk of developing lung cancer is about 8.8%, while in patients with normal respiratory function only 2% [3]. Nevertheless, COPD will develop in only 20%, and lung cancer in 15% of cigarette smokers, though death from other smoking-related causes like stroke, heart disease and emphysema often occur in smokers [2, 3]. In patients with moderate COPD, lung cancer is the cause of death in around 30% of cases and it is the most common cause of death in COPD patients [2]. The co-existence of COPD and lung cancer has very important clinical consequences, and has a strong impact on diagnostic procedures and treatment. The most powerful therapeutic approach for non-small-cell lung carcinoma is surgical resection. This treatment is possible mainly in stage I, II and IIIA [1]. However,

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this option is associated with higher morbidity and mortality in patients with low ventilatory reserve, which is a common limiting factor for lung cancer surgery in patients with COPD [4]. Coexistence of lung cancer with COPD was described in many previous studies [5, 8–20]. Thus, we aimed to analyze the clinical characteristics of patients with coexistence of lung cancer and COPD in many aspects, taking into account current rules of diagnosis of both diseases and the possible specificity of the Polish population.

## Material and methods

The demographic and clinical data were collected retrospectively from medical histories of patients hospitalized and diagnosed with lung tumors between January 1, 2016 and June 30, 2022 in a single lung disease department. A total of 982 patients with lung tumors were diagnosed in the years 2016–2022. Lung cancer was pathologically confirmed in 524 patients. COPD was confirmed in 180 patients (34.4%) of this group. Patients with co-existence of a primary lung cancer and COPD were included in further analysis (fig. 1). The following specifics were collected from medical records: age, sex, smoking status, lung cancer histological type, tumor size, disease stage, presence of metastases, treatment plan, co-existence of other diseases, results of pulmonary function tests and presence of emphysema in computed tomography (CT) scans. The study was approved by the Committee of Research Ethics of the Medical University of Warsaw.

The diagnosis of lung cancer was confirmed pathologically in each case. The following subtypes

of lung cancer were defined: small-cell lung cancer (SCLC) and non-small-cell lung cancer (NSCLC). NSCLC was further categorized as squamous-cell carcinoma (SCC), adenocarcinoma (ADC), large-cell carcinoma or not otherwise specified (NOS), or other [6]. The cancer stage was recorded using the TNM classification 8<sup>th</sup> edition [7].

COPD was diagnosed based on an irreversible obstruction in spirometry (the FEV1/FVC less than 5 percentile after bronchodilation) in correspondence with clinical data. Spirometry values were recorded using European reference values. FVC and FEV1 were presented in liters and as a percentage of predicted values. GOLD (Global Initiative for Chronic Obstructive Lung Disease) criteria were used to assign a grade of clinical severity to COPD based on FEV1 [2]. Grade 1 was defined as having an FEV1 more or equal to 80%; grade 2 as more or equal to 50% FEV1 and less than 80%; grade 3 as more or equal to 30% FEV1 and less than 50%; and grade 4 as FEV1 less than 30%. Patients were classified as having COPD at lung cancer diagnosis if they had a previous diagnosis of COPD in their medical records or if they fulfilled the spirometric criteria during current diagnostic procedures. Patients with bronchial asthma or an obvious explanation for abnormality in spirometry, such as a central tumor or atelectasis were excluded from the study.

Patients were classified into four groups (tab. I): A,B,C, and D based on the level of symptoms, measured by the modified Medical Research Council dyspnea scale (mMRC) or the COPD Assessment Test (CAT), and the frequency of previous exacerbations [2].

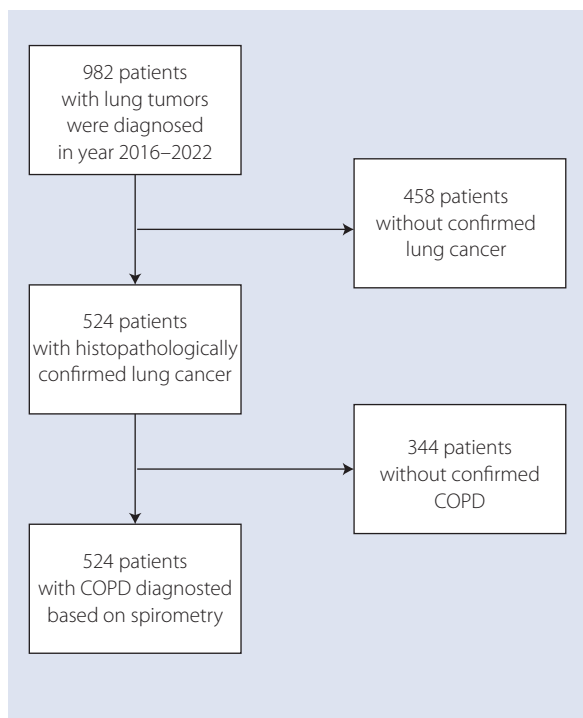
## Test

The presence of emphysema at lung cancer diagnosis was determined based on information from CT scans in medical records. All CT scans were reviewed at diagnosis by a radiologist experienced in pulmonary diseases. When emphysema was detected visually in the CT scan, the patient was classified as having emphysema.

Apart from the whole group characteristic, we performed a comparison of women with men, patients with emphysema and without emphysema, patients with different types of lung cancer. Unfortunately, not all data were available, thus we present in each table the number of patients with completed results of records or results of investigations.

## Statistical analysis

Statistical analysis was performed using the STATISTICA 13.1, StatSoft software package. Descriptive statistics were used to describe the features of all participants. Proportions were expressed as percentages, continuous variables by mean if normally distributed or by median otherwise. For group comparison divided in terms of sex, presence of emphysema, lung cancer histological type, the Mann–Whitney test for continuous variables and the Fisher’s exact test for categorical variables were used. A p-value of >0.05 was used as the removal criterion.



**Figure 1.** Patients selection to study group and reasons for patients exclusion

**Table I.** GOLD severity staging

| Patients                                |  | Symptoms            |                       |
|---|--|---------------------|-----------------------|
|   |  | CAT 0–9<br>mMRC < 2 | CAT 10–40<br>mMRC ≥ 2 |
| exacerbations<br>(in past<br>12 months) | no hospital admission<br>or<br>≤1 outpatient treatment | group A             | group B               |
|   | ≥1 hospital admission<br>or<br>≥2 outpatient treatment | group C             | group D               |

mMRC – modified Medical Research Council dyspnea scale; CAT – COPD assessment

## Results

### Clinical characteristics

The process of qualification of patients to the study group is presented in figure 1. The general and clinical characteristics of the 180 patients finally enrolled in the study and the comparison between male and female are presented in tables II and III. The mean age of the group was 70.4 years. The largest (45.0%) age group of patients was between 65 and 75 years. There were 97 males (53.9%) and 83 females (46.1%). Ninety-nine percent of all patients presented with a history of smoking, whereas 58.7% were still active smokers, with 40.6% ex-smokers who ceased smoking at least 1 year previously. However 1.0% of non-smokers had been exposed to cigarette smoke as passive smokers; 77.7% of the group had a history of 20–60 pack-years, while 13.5% had more than 60 pack-years

in their medical history. Males were exposed to significantly greater amounts of cigarette smoke than females ( $p = 0.001$ ) in the Fisher exact test.

### COPD characteristics

Almost half of all patients (46.7%) were diagnosed with COPD during lung tumor diagnosis. Table II lists characteristics of COPD and comparison between male and female. The distribution of patients with COPD according to the severity of the airway obstruction was as follows: grade 1 ( $FEV_1 \geq 80\%$ ) 12 patients (3.9%); grade 2 ( $50\% \leq FEV_1 < 80\%$ ) 74 patients (56.9%); grade 3 ( $30\% \leq FEV_1 < 50\%$ ) 41 patients (31.6%); and grade 4 ( $FEV_1 < 30\%$ ) 2 patients (2.3%). Emphysema was found in 55.9% of patients by CT. In terms of comorbid diseases, the number of patients with one or more comorbidities was

**Table II.** Demographic characteristics and features of COPD in investigated group. Comparison of female with male using Mann–Whitney test for continuous variables and the Fisher's exact test for categorical variables. Only significant differences were shown ( $p < 0.05$ ). Data are given as number and percentages or mean  $\pm$  standard deviation

| Patients                      | All         | Female      | Male        | p-value     |
|-------------------------------|-------------|-------------|-------------|-------------|
| number of patients            | 180         | 83 (46.1%)  | 97 (53.9%)  | –           |
| <b>age (years)</b>            | 70.4 (8.6%) | 70.0 (7.7%) | 70.7 (9.3%) | –           |
| ≤55                           | 7 (3.9%)    | 2 (2.4%)    | 5 (5.2%)    | –           |
| 56 ≥ 65                       | 43 (23.9%)  | 19 (22.9%)  | 24 (24.7%)  | –           |
| 66 ≥ 75                       | 83 (46.1%)  | 44 (53.0%)  | 39 (40.2%)  | –           |
| 76 ≥ 85                       | 37 (20.6%)  | 17 (20.5%)  | 20 (20.6%)  | –           |
| >85                           | 10 (5.6%)   | 1 (1.2%)    | 9 (9.3%)    | –           |
| <b>smoking status</b>         |             |             |             |             |
| active                        | 91 (58.7%)  | 42 (57.5%)  | 49 (59.8%)  | –           |
| former                        | 63 (40.7%)  | 31 (42.5%)  | 32 (39.0%)  | –           |
| never                         | 1 (0.6%)    | 0 (0.0%)    | 1 (1.2%)    | –           |
| no data*                      | 25 (16.1%)  |             |             | –           |
| <b>exposure – pack, years</b> |             |             |             |             |
| 0 < 20                        | 12 (8.2%)   | 10 (14.5%)  | 2 (2.6%)    | $p = 0.001$ |



**Table II cont.** Demographic characteristics and features of COPD in investigated group. Comparison of female with male using Mann–Whitney test for continuous variables and the Fisher's exact test for categorical variables. Only significant differences were shown ( $p < 0.05$ ). Data are given as number and percentages or mean  $\pm$  standard deviation

| Patients   | All         | Female     | Male       | p-value     |
|--|-------------|------------|------------|-------------|
| 21 < 40  | 58 (39.5%)  | 33 (47.8%) | 25 (32.1%) | –           |
| 41 < 60  | 57 (38.8%)  | 22 (31.8%) | 35 (44.9%) | –           |
| 61 < 80  | 6 (4.0%)    | 3 (4.4%)   | 3 (3.8%)   | –           |
| 81 < 100   | 10 (6.8%)   | 0 (0.0%)   | 10 (12.8%) | –           |
| <100   | 4 (2.7%)    | 1 (1.5%)   | 3 (3.8%)   | –           |
| no data  | 33 (18.3%)  |            |            | –           |
| <b>COPD diagnosed during investigation of lung tumor</b> |             |            |            |             |
| yes  | 84 (46.7%)  | 37 (44.6%) | 47 (48.5%) | –           |
| no   | 96 (53.3%)  | 46 (55.4%) | 50 (51.5%) | –           |
| <b>COPD severity (FEV1 range)</b>                        |             |            |            |             |
| grade 1 (>80%)   | 13 (10.0%)  | 8 (12.9%)  | 5 (7.4%)   | –           |
| grade 2 (50–80%)   | 73 (56.2%)  | 29 (46.8%) | 44 (64.7%) | –           |
| grade 3 (30–50%)   | 41 (31.5%)  | 24 (38.7%) | 17 (25.0%) | –           |
| grade 4 (<30%)   | 3 (2.3%)    | 1 (1.6%)   | 2 (2.9%)   | –           |
| no data  | 30 (16.67%) |            |            | –           |
| <b>emphysema</b>   |             |            |            |             |
| yes  | 61 (44.2%)  | 35 (52.2%) | 26 (36.6%) | $p = 0.006$ |
| no   | 77 (55.8%)  | 32 (47.8%) | 45 (63.4%) | –           |
| no data  | 42 (23.3%)  |            |            | –           |
| <b>GOLD</b>  |             |            |            |             |
| A  | 20 (33.9%)  | 9 (32.1%)  | 11 (35.5%) | –           |
| B  | 27 (45.7%)  | 13 (46.4%) | 14 (45.2%) | –           |
| C  | 3 (5.1%)    | 2 (7.1%)   | 1 (3.2%)   | –           |
| D  | 9 (15.3%)   | 4 (14.3%)  | 5 (16.1%)  | –           |
| no data  | 121 (67.2%) |            |            | –           |
| <b>number of comorbidities</b>                           |             |            |            |             |
| 0  | 24 (13.3%)  | 11 (13.3%) | 13 (13.4%) | –           |
| 1  | 38 (21.1%)  | 20 (24.1%) | 18 (18.6%) | –           |
| 2  | 30 (16.7%)  | 12(14.5%)  | 18 (18.6%) | –           |
| 3  | 37 (20.6%)  | 21 (25.3%) | 16 (16.4%) | –           |
| 4  | 22 (12.2%)  | 8 (9.6%)   | 14 (14.4%) | –           |
| 5  | 11 (6.1%)   | 5 (6.0%)   | 6 (6.2%)   | –           |
| 6  | 7 (3.9%)    | 1 (1.2%)   | 6 (6.2%)   | –           |
| 7  | 6 (3.3%)    | 4 (4.8%)   | 2 (2.0%)   | –           |
| 8  | 2 (1.1%)    | 0 (0.0%)   | 2 (2.1%)   | –           |
| 9  | 2 (1.1%)    | 0 (0.0%)   | 2 (2.1%)   | –           |
| 10   | 1 (0.6%)    | 1 (1.2%)   | 0 (0.0%)   | –           |

p-values are given for differences between female and male groups; \* no data relate to the whole study group; COPD – chronic obstructive pulmonary disease; GOLD – Global Initiative for Chronic Obstructive Lung Disease

**Table III.** Lung cancer characteristics in the investigated group. Comparison of female with male using Mann–Whitney test for continuous variables and the Fisher’s exact test for categorical variables. Data are given as number and percentages

| Lung cancer                           | All patients | Female     | Male       | p-value |
|---------------------------------------|--------------|------------|------------|---------|
| <b>histological types</b>             | n = 180      | 83 (46.1%) | 97 (53.9%) | –       |
| NSCLC                                 | 128 (71.1%)  | 55 (66.3%) | 73 (75.3%) | –       |
| SCLC                                  | 52 (28.9%)   | 28 (33.7%) | 24 (24.7%) | –       |
| <b>histological subtypes of NSCLC</b> |              |            |            |         |
| adenocarcinoma                        | 47 (36.7%)   | 22 (40.0%) | 25 (34.2%) | –       |
| squamous-cell carcinoma               | 53 (41.4%)   | 20 (36.4%) | 33 (45.2%) | –       |
| not otherwise specified (NOS) NSCLS   | 19 (14.9%)   | 7 (12.7%)  | 12 (16.5%) | –       |
| other                                 | 9 (7.0%)     | 6 (10.9%)  | 3 (4.1%)   | –       |
| <b>central/peripheral tumor</b>       |              |            |            |         |
| central                               | 106 (60.2%)  | 51 (63.0%) | 55 (57.9%) | –       |
| peripheral                            | 70 (39.8%)   | 30 (37.0%) | 40 (42.1%) | –       |
| no data*                              | 4 (2.2%)     |            |            | –       |
| <b>lung right/left</b>                |              |            |            |         |
| right                                 | 86 (52.1%)   | 36 (46.2%) | 50 (57.5%) | –       |
| left                                  | 75 (45.5%)   | 40 (51.3%) | 35 (40.2%) | –       |
| right and left                        | 4 (2.4%)     | 2 (2.5%)   | 2 (2.3%)   | –       |
| no data                               | 25 (13.89%)  |            |            | –       |
| <b>lobe</b>                           |              |            |            |         |
| superior                              | 40 (48.2%)   | 18 (48.7%) | 22 (47.8%) | –       |
| inferior                              | 35 (42.2%)   | 16 (43.2%) | 19 (41.3%) | –       |
| middle                                | 8 (9.6%)     | 3 (8.1%)   | 5 (10.9%)  | –       |
| no data                               | 97 (53.9%)   |            |            | –       |
| <b>pleural effusion</b>               |              |            |            |         |
| yes                                   | 62 (50.0%)   | 29 (51.8%) | 33 (48.5%) | –       |
| no                                    | 62 (50.0%)   | 27 (48.2%) | 35 (51.5%) | –       |
| no data                               | 56 (31.1%)   |            |            | –       |

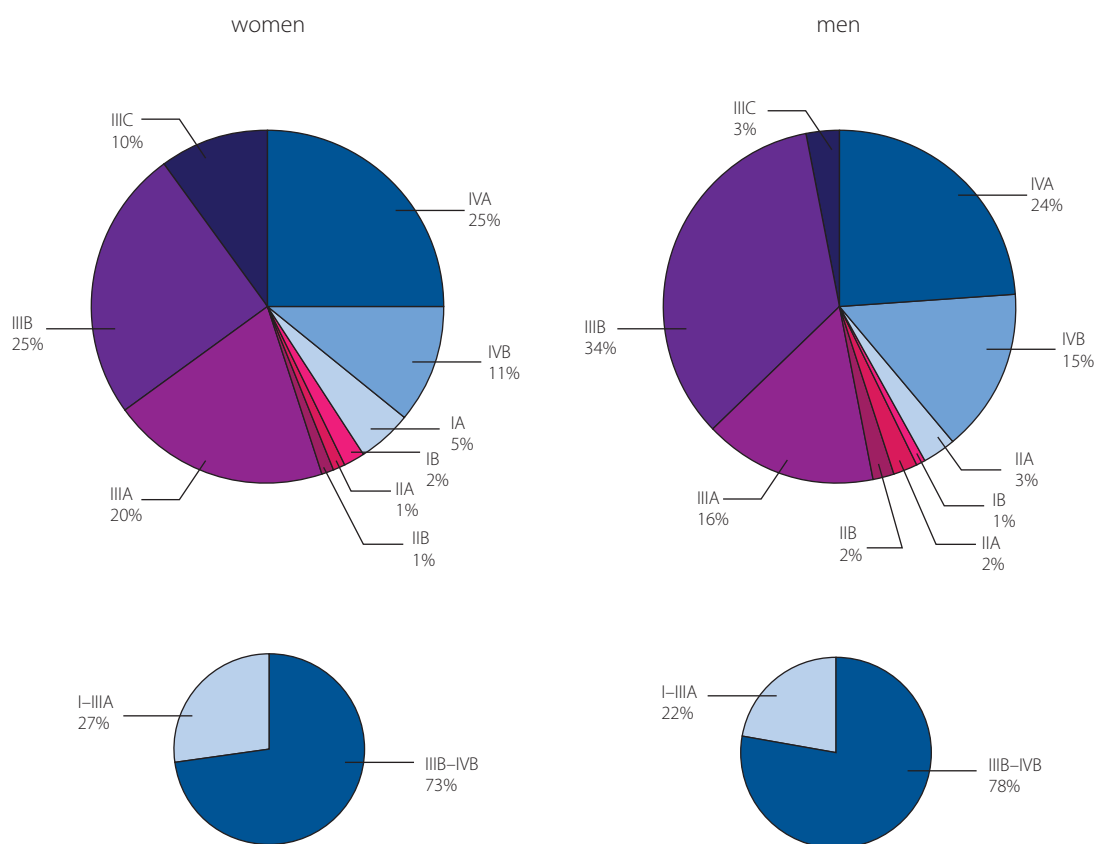
p-values are given for differences between female and male groups; NSCLC – non-small-cell lung cancer; SCLC – small-cell lung cancer; COPD – chronic obstructive pulmonary disease; \* no data relate to the whole study group

156 (86.7%), and 88 (48.9%) had three or more comorbid diseases. In particular, hypertension was the most common disease and occurred in 106 patients (58.9%) followed by heart failure – 39 (21.7%), diabetes type II – 34 (18.9%) and coronary heart disease – 31 (17.2%), followed by other diseases. There were no significant differences between males and females in age, sex, smoking status, COPD severity, presence of emphysema and number of comorbidities.

### **Lung cancer characteristics**

In the study group there were 71.1% of patients with NSCLC, while in 28.9% of patients SCLC was diagnosed. Table III lists the characteristics of lung cancer in the whole group

and a comparison between females and males. Of NSCLCs, squamous-cell carcinoma was the most dominant histological subtype of lung cancer – 41.4%, followed by adenocarcinoma – 36.7%, NOS – 14.9% and large-cell carcinoma – 7.0%. Furthermore, in terms of cancer stage, stage III dominated in the group (52.5%), followed by stage IV (38.4%), stage I (5.7%), and stage II (3.4%). Substage IIIB was the most common in the group (28.8%), followed by IVA (23.7%). Potentially resectable cancers (stage I–IIIA) consisted of only 26.6%. Comparison of cancer stage between men and women is presented in figure 2. Cancer was mainly located centrally (60.2%), in the right lung (52.8%) and in the upper lobe (48.7%). Pleural effusion occurred in a minority of patients (38.8%). Additionally, metastases to



**Figure 2.** Lung cancer stages in patients with lung cancer in the course of COPD – comparison of men and women

the lung were most frequent (21.7% of all metastases), followed by metastases to the liver (15.3%), adrenal glands (14.4%), bones (14.4%), central nervous system (7.69%) and lymph nodes (7.69%). There were no significant differences between men and women as regards the histological type of cancer, tumor localization, presence of pleural effusion, lung cancer stage, number and localization of metastases.

### Treatment and outcome

The records on treatment were available in 67 patients (37.2% of the whole group) and on outcome in 32 patients (17.8%). Of them only 10.9% of patients underwent surgical excision of the cancer even though 26.6% of patients were potentially resectable (stage I-III A). The most common treatment was the palliative approach (29.7%) which consisted of palliative care and palliative radiotherapy. Chemoradiotherapy was administered in 21.9% of patients. The overall outcome was positive in only 6.25% of patients, while 93.75% of patients died. There were no significant differences between men and women in treatment and outcome.

### Comparison of patients with and without emphysema

When comparing patients with and without emphysema, no significant differences in demographic data, lung cancer

characteristics and COPD stage were found. There were slightly more men than women in the emphysema group (tab. IV).

### Comparison of patients between NSCLC and SCLC, and SCC and non-SCC

Patients with COPD and SCLC were in significantly more advanced stages of lung cancer than those with NSCLC ( $p < 0.05$ ). The treatment was significantly different with chemotherapy as the most common in the SCLC group (obvious situation) and chemoradiotherapy as the most common in the NSCLC group ( $p < 0.05$ ) (tab. V). There were no significant differences between groups in terms of age, sex, smoking status, COPD severity, number of metastases, treatment and outcome. The median pack-years in both groups was equal (45). There were no significant differences in patients with COPD between the two main NSCLC types – SCC and non-SCC – as regards age, sex, smoking status, COPD severity, lung cancer stage, number of metastases, treatment and outcome.

### Discussion

The coexistence of COPD and lung cancer is a known clinical observation. However, previous studies are sometimes incomplete with only selective data available or carried out on a small number of patients (8–21). We present a large group of patients with established COPD and lung cancer with precise

**Table IV.** Lung cancer in patients with COPD – comparison of patients with emphysema with without emphysema using Mann–Whitney test for continuous variables and the Fisher's exact test for categorical variables. Data are given as number and percentages or mean ± standard deviation

| Patients                                 | With emphysema | Without emphysema | p-value  |
|--|----------------|-------------------|----------|
| n = 138                                  | 77             | 61                | –        |
| age                                      | 70.8 (8.2%)    | 70.3 (7.9%)       | –        |
| female                                   | 32 (41.6%)     | 35 (57.4%)        | p = 0.06 |
| male                                     | 45 (58.4%)     | 26 (42.6%)        | –        |
| <b>smoking status</b>                    |                |                   |          |
| active                                   | 39 (58.2%)     | 32 (58.2%)        | –        |
| former                                   | 27 (40.3%)     | 23 (41.8%)        | –        |
| never                                    | 1 (1.5%)       | 0 (0.0%)          | –        |
| no data*                                 | 16 (11.6%)     |                   | –        |
| <b>COPD severity (FEV1 range)</b>        |                |                   |          |
| grade 1 (>80%)                           | 8 (13.8%)      | 3 (7.3%)          | –        |
| grade 2 (50–80%)                         | 30 (51.7%)     | 25 (61.0%)        | –        |
| grade 3 (30–50%)                         | 19 (32.8%)     | 13 (31.7%)        | –        |
| grade 4 (<30%)                           | 1 (1.7%)       | 0 (0%)            | –        |
| no data                                  | 39 (28.3%)     |                   | –        |
| <b>histological types of lung cancer</b> |                |                   |          |
| NSCLC                                    | 53 (68.8%)     | 43 (70.5%)        | –        |
| SCLC                                     | 24 (31.2%)     | 18 (29.5%)        | –        |
| <b>histological subtypes of NSCLC</b>    |                |                   |          |
| adenocarcinoma                           | 19 (35.8%)     | 13 (30.2%)        | –        |
| squamous-cell carcinoma                  | 18 (34.0%)     | 22 (51.2%)        | –        |
| not otherwise specified (NOS) NSCLS      | 10 (18.9%)     | 7 (16.3%)         | –        |
| other                                    | 6 (11.3%)      | 1 (2.3%)          | –        |
| <b>stage</b>                             |                |                   |          |
| IA                                       | 0 (0%)         | 5 (8.3%)          | –        |
| IB                                       | 1 (1.3%)       | 1 (1.7%)          | –        |
| IIA                                      | 2 (2.7%)       | 0 (0%)            | –        |
| IIB                                      | 1 (1.3%)       | 1 (1.7%)          | –        |
| IIIA                                     | 18 (23.7%)     | 6 (10.00%)        | –        |
| IIIB                                     | 18 (23.7%)     | 15 (25.00%)       | –        |
| IIIC                                     | 5 (6.6%)       | 3 (5.00%)         | –        |
| IVA                                      | 18 (23.7%)     | 20 (33.3%)        | –        |
| IVB                                      | 13 (17.0%)     | 9 (15.00%)        | –        |
| no data                                  | 2 (1.5%)       |                   | –        |
| I–IIIA                                   | 19 (24.7%)     | 12 (19.7%)        | –        |
| IIIB–IVB                                 | 58 (75.3%)     | 49 (80.3%)        | –        |

p-values are given for differences between with emphysema and without emphysema groups; NSCLC – non-small-cell lung cancer; SCLC – small-cell lung cancer; \* no data relate to the whole study group

**Table V.** COPD in two main types of lung cancer – comparison of SCLC and NSCLC using Mann–Whitney test for continuous variables and the Fisher’s exact test for categorical variables. Data are given as number and percentages or mean ± standard deviation

| Patients                          | SCLC        | NSCLC       | p-value   |
|-----------------------------------|-------------|-------------|-----------|
| n = 178                           | 52          | 126         | –         |
| age                               | 70.6 (8.2%) | 70.2 (8.9%) | –         |
| female                            | 28 (53.8%)  | 54 (42.1%)  | –         |
| male                              | 24 (46.2%)  | 73 (57.9%)  | –         |
| <b>smoking status</b>             | 45          | 108         | –         |
| active                            | 28 (62.2%)  | 63 (57.4%)  | –         |
| former                            | 17 (37.8%)  | 45 (41.7%)  | –         |
| never                             | 0 (0.0%)    | 1 (0.9%)    | –         |
| no data*                          | 27 (15.2%)  |             | –         |
| <b>COPD severity (FEV1 range)</b> |             |             |           |
| grade 1 (>80%)                    | 2 (5.0%)    | 10 (11.4%)  | –         |
| grade 2 (50–80%)                  | 21 (52.5%)  | 51 (57.9%)  | –         |
| grade 3 (30–50%)                  | 16 (40.0%)  | 25 (28.4%)  | –         |
| grade 4 (<30%)                    | 1 (2.5%)    | 2 (2.3%)    | –         |
| no data                           | 52 (29.2%)  |             | –         |
| <b>stage</b>                      |             |             |           |
| IA                                | 0 (0.0%)    | 7 (5.7%)    | –         |
| IB                                | 1 (2.0%)    | 1 (0.8%)    | –         |
| IIA                               | 0 (0.0%)    | 3 (2.5%)    | –         |
| IIB                               | 0 (0.0%)    | 3 (2.5%)    | –         |
| IIIA                              | 6 (12.0%)   | 24 (19.7%)  | –         |
| IIIB                              | 14 (28.0%)  | 36 (29.5%)  | –         |
| IIIC                              | 5 (10.0%)   | 6 (4.9%)    | –         |
| IVA                               | 13 (26.0%)  | 30 (24.6%)  | –         |
| IVB                               | 11 (22.0%)  | 12 (9.8%)   | –         |
| no data                           | 6 (3.4%)    |             | –         |
| I–IIIA                            | 7 (13.7%)   | 35 (28.2%)  | p = 0.041 |
| IIIB–IVC                          | 44 (86.3%)  | 89 (71.7%)  | –         |
| no data                           | 3 (1.7%)    |             | –         |
| <b>number of metastases</b>       |             |             |           |
| 1                                 | 11 (44.0%)  | 26 (60.5%)  | –         |
| 2                                 | 7 (28.0%)   | 10 (23.2%)  | –         |
| 3                                 | 6 (24.0%)   | 3 (7.0%)    | –         |
| 4                                 | 1 (4.0%)    | 4 (9.3%)    | –         |
| no data                           | 112 (62.9%) |             | –         |

p-values are given for differences between SCLC and NSCLC groups; n – number; NSCLC – non-small-cell lung cancer; SCLC – small-cell lung cancer; COPD – chronic obstructive pulmonary disease; \* no data relate to the whole study group



characteristics of both diseases performed according to current guidelines [2]. The advantage of this study is its focus on the Polish population.

The main characteristics of patients with COPD and lung cancer from other studies was shown in table VI. In our study, we reported a similar mean age of patients as in other studies as well as sex distribution, which was almost equal in men and women. It is confirmed in a few studies [9, 11, 13], but most of them show a higher proportion of men [8, 10, 14–20]. Lung cancer and COPD are the diseases generally considered attributable to men. Our results indicate the tendency of high incidence of COPD as well as lung cancer among women which was confirmed by epidemiological studies [22]. In our study, the number of women and men was similar and the features of both serious diseases unexpectedly did not differ in statistical analysis. However, smoking exposure was significantly higher in men than in women, as in other studies [22]. In women, cigarette smoke has a greater influence on developing lung cancer because of the differences in lung anatomy and lung development, as well as other factors such as different hormonal effects due to estrogen playing an important role [23]. Our observation indicates women need to be perceived on the same level in the context of careful early diagnosis and screening programs in lung cancer as well as COPD. The common opinion among physicians should be verified.

Cigarette smoke is the main risk factor for developing COPD and lung cancer [22, 24]. In our study group, almost all of the patients were exposed to cigarette smoke. Interestingly most of the patients are still current smokers after establishing the diagnosis despite medical advice to quit smoking. COPD often remains undiagnosed for a long time [19, 25]. In our group of patients, almost 50% were diagnosed with COPD during the diagnosis of lung cancer. It is a striking number and underlines the importance of active COPD diagnosing in smokers and the need for multiple pulmonary function tests in every smoking patient over the years. COPD with predominance of emphysema are known to be a poor prognostic indicator in lung cancer patients [21, 26]. In our study, more than half of patients presented COPD phenotype with emphysema. However, groups with and without emphysema did not differ statistically in clinical characteristics. COPD with emphysema-predominant phenotype decreases the 5-year survival rate up to 5.4% [26] in stage III–IV, and to 65.2% in stage I–II [27]. In our study, the survival rate is low due to the high proportion of advanced cancer stages (III and IV) (fig. 2). Stage III and IV are the most common and represent almost 70% of newly diagnosed lung cancer [28], in patients with a coexistence of COPD even more: 68.5–88% [11, 13, 15, 17]. A similar observation was found in our study. Some explanation of more advanced stages in cases with coexistence of COPD than in lung cancer only could be a delayed diagnosis in patients with initially COPD. Patients attribute symptoms like cough and dyspnea to COPD, and vigilance for lung cancer is lower [25].

Thanks to increasing cancer vigilance and modern diagnostic methods, more lung cancers are diagnosed at the stages which are potentially resectable over the years. Surgery is the most effective treatment approach but it can only be used in patients with stages I–IIIA. 20.7% of lung cancer patients undergo surgery in USA [29], while in Poland it is about 20% [30]. In the majority of cases COPD is a serious and important contraindication for surgery, especially with severe and very severe obstruction. Because of that less patients are qualified to this radical treatment [4]. In our study, FEV1% of less than 30% was reported in only 3% of patients, but FEV1% 30–50% was reported in even 30% of patients, what had a serious influence on treatment choice. Finally, only 10% of our patients underwent surgical excision of lung cancer, which is not a satisfactory rate, but common among COPD patients [27].

SCLC represents about 13–15% of lung cancers [27]. Our study reports almost twice the incidence of SCLC in COPD patients. There are a few recent studies which analyze COPD with SCLC and NSCLC patients together [13, 16, 18]. The proportion of SCLC patients in these studies is as follows: 7.4%, 9.0%, 2.2%. The difference depends on the method of the selection of the study group. The credibility of our study is underlined by the examination of the full available database of consecutively admitted to our department patients without selection of patients. The high proportion of SCLC is undoubtedly connected with heavy smoking, also among women.

Similarly to the high proportion of SCLC in our group, we also noted the predominance of SCC in patients with NSCLC, probably as a result of the high burden of smoking history. We also compared patients with SCC versus non-SCC since SCC is much more connected with smoking than ADC. The more immunological dysfunctions and destruction of tissue present in COPD patients, the more that favors the development of SCC; for this group immunotherapy could be a promising treatment option [5]. SCC in our study group was no different from the others.

An important limitation of this study is its retrospective character. Thus, some data were lacking in some patients. It especially concerns lung cancer molecular characteristics, programmed death ligand 1 (PD-L1) expression, qualifications to modern therapies and patients' outcome.

## Conclusions

In summary, COPD in patients with lung cancer is an important and growing clinical problem. High incidences of COPD as well as lung cancer among women is striking. The clinical pattern of lung cancer coexists with COPD. Lung cancer was considered a male disease, however the frequency of lung cancer and COPD in women and men is similar. Almost half of cigarette smoking patients were diagnosed with COPD while simultaneously diagnosing lung tumors. A long history of smoking is still the main factor for developing both of these diseases. More epidemiological studies on large groups of patients are

**Table VI.** Demographic data, lung cancer and COPD characteristics from articles published in years 2017–2023 focused on patients with coexistence of lung cancer and COPD. Data are given as number and percentages or mean  $\pm$  standard deviation

| Name, year             | Patients number | M/F     | Age (years)    | Smoking history N/F/C (pack.years)                 | SCLC/ NSCLC | ADC/ SCC/ other | STAGE I/II/III/IV               | GOLD 1/2/3/4                 | Main finding   |
|------------------------|-----------------|---------|----------------|--|-------------|-----------------|---------------------------------|------------------------------|--|
| Dos Santos 2022 [15]   | 18              | 12/6    | 70.2 $\pm$ 9.2 | 69 (50–106)  | ND          | ND              | ND                              | 4/7/7/0                      | COPD with lung cancer was associated with elevated DNA damage in peripheral lymphocytes  |
| Sandelin 2018 [16]     | 594             | 291/303 | 68.9 $\pm$ 8.5 | ND   | ND          | ND              | ND                              | ND                           | asthma diagnosis and use of inhaled corticosteroids were independently related to decreased risk of lung cancer in COPD patients, while the use of acetylsalicylic acid was associated with an increased risk        |
| Yi 2018 [17]           | 170             | 154/16  | 70.4 $\pm$ 8.9 | ND/18/152<br>ND/10.6%/89.4%                        | 0/100%      | 60/94           | 0/0/70/100                      | 35/103/24/8                  | high prevalence of COPD among patients with advanced NSCLC, COPD patients complained about various symptoms had diminished quality of life   |
| Schwan Media 2018 [18] | 329             | 191/138 | 69.4 $\pm$ 9.0 | 7/121/195<br>2.2%/37.5%/64.0%<br>(40.6 $\pm$ 21.1) | 0/100%      | 126/136         | 11.2%/20.5%/36.0%/32.5%         | ND                           | COPD nor other common comorbidities are significantly associated with higher mortality in NSCLC patients   |
| Sunmi 2018 [19]        | 57              | 52/5    | 67.5 $\pm$ 7.4 | 4/22/31<br>7.0%/38.6%/54.4%<br>(49.5 $\pm$ 24.2)   | 100%/0      | ND              | 24/33<br>LD/ED                  | 19/21/16/4                   | although over half of the SCLC patients receiving chemotherapy had COPD, coexisting COPD had no impact on the survival of patients with SCLC   |
| Lim 2019 [20]          | 68              | 30/38   | 75.2 (48–89)   | ND   | 7.4%/92.6%  | ND              | 15/5/9/39                       | FEV1%<br>78.4% $\pm$ 20.2    | never-smoker NSCLC patients with COPD had shorter OS times, compared to non-COPD never-smoker NSCLC patients   |
| Takegahara 2017 [21]   | 108             | 86/22   | 69.3 (46–84)   | ND/63/45<br>ND/55.6%/44.4%                         | 0/100%      | 53/38           | 73/23/12/0                      | ND                           | for lung cancer patients with COPD, preoperative management using LABA or LAMA bronchodilators and smoking cessation can reduce the frequency of postoperative pulmonary complications after surgical lung resection |
| Omote 2017 [22]        | 43              | 37/6    | 67 $\pm$ 8     | (58.5 $\pm$ 37)                                    | 0/100%      | 28/7/8          | 4/1/9/29                        | 27/16/0/0                    | mild to moderate COPD did not have a significant deleterious impact on toxicity and prognosis in NSCLC patients  |
| Wang 2018 [23]         | 724             | 636/88  | 62.6 $\pm$ 8.5 | 31.1%/68.9%<br>(N/F and C)                         | 9%/81%      | 341/263/55      | 71.9%/21%/5.7%<br>(I/II/III+IV) | 75%/21%/4%<br>(1/2/ 3 and 4) | COPD, especially emphysema-predominant phenotype, is an independent prognostic risk factor for squamous carcinoma only   |
| Yuan 2022 [24]         | 20              | 20/0    | 66.3 $\pm$ 7   | 1/7/12   | 0/100%      | 10/6/4          | 2/15<br>(I–II/III–IV)           | ND                           | coexistence of COPD leads to worse clinical manifestations and altered gene mutation profiles in patients with NSCLC   |



**Table VI cont.** Demographic data, lung cancer and COPD characteristics from articles published in years 2017–2023 focused on patients with coexistence of lung cancer and COPD. Data are given as number and percentages or mean  $\pm$  standard deviation

|                  |     |        |                 |                     |        |            |               |             |  |
|------------------|-----|--------|-----------------|---------------------|--------|------------|---------------|-------------|--|
| Suzuki 2022 [25] | 132 | 125/7  | 70.5 $\pm$ 7    | ND/85/47            | 3/132  | 69/52/8    | 98/24/9/1     | 66/58/8/0   | the COPD phenotype with both emphysema and bronchial wall thickness on chest CT was associated with poorer performance status, greater extent of dyspnea, greater impairment of pulmonary function, and worse prognosis in patients after surgical resection of lung cancer                    |
| Yo 2022 [26]     | 221 | 200/21 | 70.7 $\pm$ 8.97 | 37/184 (N/F and C)  | 0/100% | 77/117/27  | 0/0/106/115   | 51/121/44/4 | pretreatment spirometry and maximal treatment for COPD may offer a chance of optimal management for patients with advanced NSCLC.  |
| Hu 2018 [27]     | 643 | 551/92 | 64.9 $\pm$ 8.5  | 364/279 (N and F/C) | 0/100% | 302/206/35 | 378/117/139/9 | ND          | COPD is a common comorbidity of early stage lung cancer. Lung cancer patients with coexistence of COPD have obviously different clinicopathological features compared to patients without COPD, which requires special attention and management during the perioperative period of lung cancer |

ADC – adenocarcinoma; COPD – chronic obstructive pulmonary disease; CT – computed tomography; C – current; DNA – deoxyribonucleic acid; F – female; F – former; GOLD – Global Initiative for Chronic Obstructive Lung Disease; LABA – long-acting beta agonists; LAMA – long-acting muscarinic antagonist; M – male; N – never; ND – no data; NSCLC – non-small-cell lung cancer; SCC – squamous-cell carcinoma; SCLC – small-cell lung cancer

needed for a full understanding of the correlation between COPD and lung cancer.

## Article information and declarations

### Data availability statement

All data generated or analyzed during this study are included in this article. Further enquiries can be directed to the corresponding author.

### Ethics statement

This study protocol was reviewed and approved by the Committee of Research Ethics of the Medical University of Warsaw.

### Author contributions

Robert Uliński – responsible for the concept and design of the study; involved in data collection; analyzed the data; was responsible for statistical analysis; wrote the manuscript. Marta Dąbrowska – responsible for the concept and design of the study.

Joanna Domagała-Kulawik – responsible for the concept and design of the study; analyzed the data; wrote the manuscript.

All authors edited and approved the final version of the manuscript.

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### Conflict of interest

Non declared

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