

1                   **Potential for Dietary  $\omega$ 3 Fatty Acids to Prevent**  
2                   **Nonalcoholic Fatty Liver Disease and Reduce the Risk of**  
3                   **Primary Liver Cancer**

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32 **ABSTRACT**

33 Nonalcoholic fatty liver disease (**NAFLD**) has increased in parallel with central obesity and its prevalence  
34 is anticipated to increase as the obesity epidemic remains unabated. NAFLD is now the most common  
35 cause of chronic liver disease in developed countries and is defined as excessive lipid accumulation in  
36 the liver, i.e., hepatosteatosis. NAFLD ranges in severity from benign fatty liver to nonalcoholic  
37 steatohepatitis (**NASH**), where NASH is characterized by hepatic injury, inflammation, oxidative stress  
38 and fibrosis. NASH can progress to cirrhosis; and cirrhosis is a risk factor for primary hepatocellular  
39 carcinoma (**HCC**). The prevention of NASH will lower the risk of cirrhosis and NASH-associated HCC.  
40 Our studies have focused on NASH prevention. We developed a model of NASH using *Ldlr<sup>-/-</sup>* mice fed the  
41 western diet (**WD**). The WD induces a NASH phenotype in these mice that is similar to that seen in  
42 humans; and includes robust induction of hepatic steatosis, inflammation, oxidative stress and fibrosis.  
43 Using transcriptomic, lipidomic and metabolomic approaches, we examined the capacity of 2 dietary  $\omega$ 3  
44 polyunsaturated fatty acids, eicosapentaenoic acid (20:5 $\omega$ -3; **EPA**) and docosahexaenoic acid (22:6 $\omega$ -3;  
45 **DHA**), to prevent WD-induced NASH. Dietary DHA was superior to EPA at attenuating WD-induced  
46 changes in plasma lipids and hepatic injury; and reversing WD effects on hepatic metabolism, oxidative  
47 stress, and fibrosis. The outcome of these studies suggests that DHA may be useful in the prevention of  
48 NASH and reducing the risk of HCC.

49

50 **Key words:**

51 Fatty liver disease, liver cancer, inflammation, oxidative stress, fibrosis, metabolomics,  $\omega$ 3 PUFAs

## 52 **Introduction.**

53 Primary hepatocellular carcinoma (HCC) is the 5<sup>th</sup> most common human cancer in men and the  
54 7<sup>th</sup> most common cancer in women in the western societies; and HCC represents the 3<sup>rd</sup> most frequent  
55 cause of cancer deaths worldwide (1-3). High rates of HCC are seen in eastern and southeastern  
56 Africa and Asia and lower levels in western countries. Risk factors for HCC include age and gender  
57 (male), hepatitis virus infection (HBV, HCV), exposure to toxins (aflatoxin), chronic alcohol abuse,  
58 cirrhosis, tobacco, and genetic disorders (hereditary hemochromatosis,  $\alpha$ 1-antitrypsin deficiency and  
59 primary biliary cirrhosis) (1, 2).

60 The unabated increase in the incidence of obesity, type 2 diabetes and non-alcoholic fatty liver  
61 disease (NAFLD) (**Fig. 1**) is driving the concern for an increased HCC incidence in western societies  
62 (4). This is because NAFLD can progress to non-alcoholic steatohepatitis (NASH) and cirrhosis;  
63 cirrhosis is a risk factor for HCC. Chronic fatty liver disease sets the stage for poorly regulated  
64 regeneration of hepatic parenchymal cells resulting from hepatic inflammation, parenchymal cell death  
65 and fibrosis; thus increasing HCC risk. Current treatment options for HCC are limited to surgery and  
66 drugs like the multi-kinase inhibitor, sorafenib. Since diet is a major driver of NAFLD and NASH  
67 progression, our focus has been on developing nutritional strategies to prevent NASH. This report  
68 focuses on the use of dietary C<sub>20-22</sub>  $\omega$ -3 polyunsaturated fatty acids (PUFAs) to prevent NASH.

69

## 70 **NAFLD and NASH.**

71 Current data from the CDC estimates that nearly 78.6 million obese adults and 12.7 million obese  
72 children (ages 2-19) are in the US (5, 6). Obesity is a risk factor for developing NAFLD and NASH. As  
73 such, the prevalence of NAFLD and NASH has increased in parallel with the incidence of central  
74 obesity in western societies (7, 8). NAFLD is the most common fatty liver disease in developed  
75 countries (9) and is defined as excessive lipid accumulation in the liver, i.e., hepatosteatorosis (10, 11).  
76 NAFLD is the hepatic manifestation of metabolic syndrome (MetS) (12); and MetS risk factors include  
77 obesity, elevated plasma triacylglycerols (TAG) and LDL cholesterol, reduced HDL cholesterol, high  
78 blood pressure and fasting hyperglycemia (13). The prevalence of NAFLD in the general population is

79 estimated to range from 6% to 30% depending on the method of analysis and population studied (14)  
80 **(Fig. 1).**

81 NAFLD ranges from benign hepatosteatorosis to NASH (15), which is defined as hepatosteatorosis  
82 with inflammation and hepatic injury (16). Approximately 30-40% of patients with steatorosis develop  
83 NASH (17); representing ~3% to 5% in the general population (14). NAFLD and NASH have high  
84 prevalence ( $\geq 60\%$ ) in the type 2 diabetic (T2D) population (18). The level of NAFLD and NASH in  
85 patients undergoing bariatric surgery is 93% and 26%, respectively (19). NASH patients have higher  
86 mortality rates than NAFLD patients; and both are higher than in the general population (20-22). Over a  
87 10 year period, cirrhosis and liver related death occurs in 20% and 12% of NASH patients, respectively  
88 (23). Given the increasing prevalence of NASH and its adverse clinical outcome, NASH is rapidly  
89 becoming a significant public health burden. NASH can progress to cirrhosis and HCC (8, 17). By the  
90 year 2020, cirrhosis resulting from NASH is projected to be the leading cause of liver transplantation in  
91 the United States (24).

92

### 93 *Multi-hit hypotheses for NASH development.*

94 The development of NASH has been proposed to follow a multi-hit model (25-27). The “1<sup>st</sup> Hit”  
95 involves excessive neutral lipid accumulation in the liver which sensitizes the liver to the “2<sup>nd</sup> Hit” (26)  
96 **(Fig. 2).** The “2<sup>nd</sup> Hit” is characterized by hepatic inflammation, oxidative stress and hepatic insulin  
97 resistance. These events promote hepatic damage which is associated with increased blood levels of  
98 hepatic enzymes/proteins (alanine aminotransferase [ALT], aspartate aminotransferase (AST), C-  
99 reactive protein, serum amyloid A1 and plasminogen activator inhibitor-1 (PIA1) (7, 8, 28). This pro-  
100 inflammatory state leads to hepatocellular death & necrosis (necroinflammation); and cell death  
101 promotes fibrosis, i.e., the “3<sup>rd</sup> Hit”. Fibrosis is mediated by activation of hepatic stellate cells and  
102 myofibrillar cells; these cells produce extracellular matrix (ECM) proteins, such as collagen (*collagen*  
103 *1A1*, *Col1A1*) and smooth muscle  $\alpha 2$  actin (29). Dietary (excess fat, cholesterol, glucose and fructose),  
104 metabolic (plasma and hepatic fatty acid profiles, hepatic ceramide, oxidized LDL), endocrine/paracrine  
105 (insulin, leptin, adiponectin & TGF $\beta$ ), gut (endotoxin, microbial metabolites) and genetic (e.g., patatin-

106 like phospholipase domain containing 3 [PNPLA3] polymorphisms) factors contribute to NASH  
107 progression (30-38).

108 Hepatosteatosis develops because of an imbalance of hepatic lipid metabolism leading to the  
109 accumulation of hepatic neutral lipids as TAG and diacylglycerols (DAG) and cholesterol esters (CE).  
110 Fatty acid sources of hepatic TAG and CE include non-esterified fatty acids (NEFA) mobilized from  
111 adipose tissue, *de novo* lipogenesis (DNL), and the diet via the portal circulation. Hepatic fatty acid  
112 oxidation (FAO) and very low density lipoprotein (VLDL) assembly and secretion represent two  
113 pathways for removal of fat from the liver. Hepatosteatosis develops when lipid storage exceeds lipid  
114 export and oxidation (39). In humans with NAFLD, ~60% of the fatty acids appearing in the liver are  
115 derived from circulating NEFA mobilized from adipose tissue; 26% are from DNL and 15% from diet  
116 (40). Both hepatic and peripheral insulin resistance also contribute to the disruption of these pathways  
117 and to the development of hepatosteatosis (39).

118 Patients with NASH consume a lower ratio of polyunsaturated fatty acid (PUFAs) to saturated  
119 fatty acid (SFA) when compared to the general population (41, 42). Consumption of a low ratio of  $\omega$ 3  
120 PUFAs to  $\omega$ 6 PUFAs is also associated with NAFLD development, whereas increased dietary long-  
121 chain  $\omega$ -3 PUFAs decreases hepatic steatosis (43-45). Mice fed a  $\omega$ 3 PUFA-deficient diet developed  
122 hepatosteatosis and insulin resistance (46). Livers of these mice exhibited a major decline in  $\alpha$ -linolenic  
123 acid (ALA, 18:3 $\omega$ -3), eicosapentaenoic acid (EPA, 20:5 $\omega$ -3) and docosahexaenoic acid (DHA, 22:6 $\omega$ -3),  
124 but no change in hepatic  $\omega$ -6 PUFAs, such as linoleic acid (LA, 18:2 $\omega$ -6) or arachidonic acid (ARA,  
125 20:4 $\omega$ -6). Depletion of hepatic  $\omega$ -3 PUFAs lowered FAO, a peroxisome proliferator activated receptor  $\alpha$   
126 (PPAR $\alpha$ )-regulated mechanism, and increased DNL and TAG accumulation; which are sterol regulatory  
127 element binding protein-1 (SREBP1), carbohydrate regulatory element binding protein (ChREBP), max-  
128 like factor X (MLX) regulated pathways. PPAR $\alpha$ , SREBP1 and the ChREBP/MLX heterodimer are well  
129 established targets of C<sub>20-22</sub>  $\omega$ -3 PUFAs control (47). While trans-fatty acid (TFA) consumption is  
130 associated with insulin resistance and cardiovascular disease, the impact of TFA consumption on  
131 NAFLD in humans is less clear (48). Studies utilizing mice suggest that TFA consumption is associated

132 with hepatic steatosis and injury (49, 50). Thus, reduced hepatic  $\omega$ -3 PUFAs and increased levels of  
133 TFA may account for changes in hepatic lipid metabolism that promote NAFLD.

134 Excess dietary cholesterol contributes to NASH (51) by promoting hepatic inflammation (32, 52-  
135 54). In the *Ldlr*<sup>-/-</sup> mouse model, high fat-high cholesterol diets promote NASH (55). Kupffer cells, i.e.,  
136 resident hepatic macrophage, become engorged with oxidized-LDL (ox-LDL) which induces  
137 inflammatory cytokine secretion. These locally secreted cytokines act on neighboring hepatic cells to  
138 promote a pro-inflammatory state leading to cell injury. Kupffer cells also secrete chemokines  
139 (monocyte chemoattractant protein-1, *MCP1*) that recruit monocytes to the liver further amplifying  
140 hepatic inflammation. Controlling hepatic inflammation is an attractive target for NASH management  
141 and therapy.

142 Excessive consumption of simple sugar has been implicated in hepatosteatosis and NASH  
143 progression. Over the last 30 years there has been a dramatic increase in obesity and NAFLD in the  
144 United States. While total fat consumption has remained steady, carbohydrate and total caloric intake  
145 have increased (56-60). As such, elevated carbohydrate, and specifically fructose consumption, has  
146 been linked to NAFLD and NASH progression (61-63). The liver expresses the fructose-specific  
147 transporter (*Glut5*). Moreover, the liver metabolizes up to 70% of dietary fructose (62, 63); and fructose  
148 metabolism is independent of insulin regulation. When compared to glucose, fructose more readily  
149 enters the pathways for DNL and TAG synthesis. Fructose promotes all aspects of MetS including  
150 hepatosteatosis, insulin resistance, dyslipidemia, hyperglycemia, obesity and hypertension. In contrast  
151 to fructose, hepatic glucose metabolism is well-regulated by insulin in healthy individuals; and glucose  
152 is converted to glycogen for storage. Excess glucose consumption does not promote hepatosteatosis  
153 as aggressively as excess fructose consumption. Fructose also affects several biochemical events that  
154 exacerbate NASH development, including formation of advanced glycation end-products (AGEP) and  
155 reactive oxygen species (ROS), (64-67).

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159 **Development of mouse models of NASH.**

160 Several mouse models of NAFLD and NASH have been developed. Four such models include the  
161 genetic models (*ob/ob* and *db/db* mice), a dietary model (methionine-choline deficient diets) and  
162 chemically-induced model (intraperitoneal carbon tetrachloride) (68, 69). These models recapitulate  
163 some aspects of human NAFLD/NASH, but not other aspects of the disease. Mice with global ablation  
164 of the low density lipoprotein receptor (*Ldlr*<sup>-/-</sup>) develop hypercholesteremia due to elevated plasma  
165 VLDL and LDL when fed a high cholesterol diet (70). While *Ldlr*<sup>-/-</sup> mice have been used to study  
166 atherosclerosis, we and others observed that when *Ldlr*<sup>-/-</sup> mice are fed high fat-high cholesterol diet, like  
167 the western diet, mice develop a NASH phenotype similar to that seen in humans (32, 36, 54, 71-74).  
168 Since humans and *Ldlr*<sup>-/-</sup> mice develop NAFLD and NASH in a context of obesity and insulin resistance,  
169 these mice appear to be a useful preclinical model to investigate the development, progression and  
170 remission of NASH.

171 The western diet (WD; Research Diets, D12079B) used in our studies is moderately high in  
172 saturated and trans-fat (41% total calories), sucrose (30% total calories) and cholesterol (0.15 g%,  
173 w/w); and is similar to the “fast-food” diet (75) and human diets linked to obesity in the US (76, 77).  
174 Both the WD and “fast food” mouse models induced a NASH phenotype that recapitulates many of the  
175 clinical features of human NASH with MetS, including dyslipidemia, hyperglycemia, hepatosteatosis,  
176 hepatic damage (plasma ALT & AST), hepatocyte ballooning, induction of hepatic markers of  
177 inflammation (*MCP1*), oxidative stress (*NOX2* and other *NOX* components) and fibrosis (*TGFβ1*,  
178 *proCol1A1*, *TIMP1*) (54, 73, 75, 78-80) (**Fig. 3**). Moreover, NASH is associated with a major enrichment  
179 of both plasma and liver with saturated (SFAs) and monounsaturated fatty acids (MUFAs) and  
180 depletion of hepatic ω3 PUFAs (54, 73, 78). The development of this phenotype has been attributed to  
181 a diet high in saturated and trans-fat, sucrose and cholesterol (62, 67, 81-83).

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186 **Potential for dietary C<sub>20-22</sub> ω<sub>3</sub> PUFAs to prevent NASH.**

187 C<sub>20-22</sub> ω<sub>3</sub> PUFAs are pleiotropic regulators of cell function; they have well established effects on  
188 membrane structure, cell signaling, gene expression, lipid and carbohydrate metabolism and  
189 inflammation (84). As such, these fatty acids appear to be an ideal bioactive nutrient to combat NASH.  
190 A meta-analysis of 9 clinical studies indicated that dietary supplementation with C<sub>20-22</sub> ω-3 PUFAs  
191 decreased liver fat (85) and clinical trials suggest C<sub>20-22</sub> ω-3 PUFAs may lower liver fat in children and  
192 adults with NAFLD (86-91). Of 235 clinical trials (119) assessing NASH and NASH therapies, 23 trials  
193 used C<sub>20-22</sub> ω<sub>3</sub> PUFAs as a treatment strategy. In most trials, diets were supplemented with fish oil or a  
194 combination of EPA + DHA; few studies used EPA or DHA alone.

195

196 *Preclinical assessment of the efficacy of ω<sub>3</sub> PUFA supplementation to prevent NASH in Ldlr<sup>-/-</sup> mice.*

197 Diets supplemented with fish oil, EPA or DHA prevent high fat diet-induced NASH to varying  
198 degrees (54, 73, 78, 84). The level of EPA and DHA in these high fat diets was at ~2% of total calories.  
199 This dose of C<sub>20-22</sub> ω-3 PUFAs is comparable to the dose consumed by patients taking Lovaza™  
200 (GlaxoSmithKline) for the treatment of dyslipidemia (92). Humans consuming EPA + DHA ethyl esters  
201 (4 g/d for 12 wks) exhibited increased plasma EPA + DHA from 5.5 mol% before treatment to 16.2  
202 mol% after treatment (93). Supplementing human diets with a DHA-enriched fish oil (6 g/day for 8 wks)  
203 increased plasma DHA from 4 mol% before treatment to 8 mol% after treatment (94, 95). Plasma  
204 levels of DHA and total C<sub>20-22</sub> ω-3 PUFA [EPA, docosapentaenoic acid (DPA, 22:5ω-3) and DHA] in *Ldlr*  
205 <sup>-/-</sup> mice fed a western diet for 16 wks was 4.3 and 6.7 mol%, respectively. Feeding *Ldlr*<sup>-/-</sup> mice a western  
206 diet containing DHA (at 2% total calories) for 16 wks increased plasma DHA and total C<sub>20-22</sub> ω-3 PUFA  
207 to 9 and 15.2 mol%, respectively. Our protocol for C<sub>20-22</sub> ω-3 PUFA supplementation of diets yields a  
208 change in blood C<sub>20-22</sub> ω<sub>3</sub> PUFAs that is comparable to that seen in humans consuming 4-6 g/d of C<sub>20-22</sub>  
209 ω-3 PUFA.

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212 *Dietary  $\omega$ 3 PUFAs do not prevent WD-induced systemic inflammation.*

213 Systemic inflammation is a major driver of NASH. Inflammatory signals affecting NASH  
214 progression include: gut-derived microbial products, e.g., endotoxin/LPS, oxidized LDL (ox-LDL) (34,  
215 55, 80, 96); adipokines (leptin & adiponectin) & cytokines (TNF $\alpha$ ) (97) and products from hepatocellular  
216 death (27, 98) (**Fig. 2**). Supplementation of the WD with either EPA or DHA fails to attenuate WD-  
217 induced endotoxemia (78). The appearance of endotoxin in the plasma of WD-fed *Ldlr*<sup>-/-</sup> mice (99)  
218 may represent a problem with gut physiology such as microbial overgrowth, increased gut permeability  
219 (leaky gut), or co-transport of microbial lipids with chylomicron (34, 100, 101). A link between the gut  
220 microbiome and NAFLD has been established (34, 102, 103).

221

222  *$\omega$ 3 PUFAs attenuate hepatic inflammation.*

223 Despite the absence of an effect of C<sub>20-22</sub>  $\omega$ -3 PUFAs on systemic inflammation markers, like  
224 endotoxin, gene expression analyses showed that DHA was more effective than EPA at attenuating  
225 WD-induced expression of hepatic toll-like receptor (*TLR*) subtypes (*TLR2*, *TLR4*, *TLR9*), *CD14* (binds  
226 endotoxin), downstream targets of TLRs; like NF $\kappa$ B (p50 subunit) nuclear abundance and downstream  
227 targets of NF $\kappa$ B like chemokines (*MCP1*), cytokines (*IL1 $\beta$* ), inflammasome components (*NLRP3*) and  
228 oxidative stress (*NOX2*, and its subunits) markers (73, 78). These studies suggest that EPA and DHA  
229 attenuate the hepatic (cellular) response to plasma inflammatory factors by down-regulating key cellular  
230 mediators of inflammation, like *TLRs*, *CD14* (binds LPS, effect on *CD14* mRNA and protein), NF $\kappa$ B-p50  
231 nuclear abundance.

232

233  *$\omega$ 3 PUFAs have selective effects on hepatic oxidative stress.*

234 Hepatic oxidative stress increases with NASH and is reflected by a significant increase in gene  
235 expression and metabolite markers of oxidative stress that appear in liver and urine (54, 73). A  
236 response to increased oxidative stress is the induction of nuclear factor (erythroid-derived 2)-like 2  
237 (*Nrf2*), a key transcription factor involved in the antioxidant response (78). *Nrf2* regulates the  
238 expression of multiple transcripts linked to the anti-oxidant stress response, such as *Hmox1*, *Gst1 $\alpha$*  and

239 several NOX subunits. Adding EPA or DHA to the WD did not prevent the WD-mediated increase in  
240 hepatic nuclear content of *Nrf2* or expression of *Hmox1* or *Gst1 $\alpha$* . The EPA- and DHA-containing diets,  
241 however, significantly lowered WD-mediated induction of multiple NOX subunits [*Nox2*, *P22phox*,  
242 *P40phox* and *P67phox*] (73). NOX subtypes are a major source of superoxide and hydrogen peroxide.  
243 As such, the NOX pathway is a major target of WD and C<sub>20-22</sub>  $\omega$ 3 PUFAs.

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245

246  *$\omega$ 3 PUFAs attenuate hepatic fibrosis.*

247 Hepatic fibrosis (scarring) develops as a result of cell death and activation of hepatic stellate  
248 cells and myofibrillar cells to produce extracellular matrix (ECM) proteins. Key regulators of fibrosis  
249 include transforming growth factor (TGF $\beta$ ), connective tissue growth factor (CTGF), platelet-derived  
250 growth factor (PDGF), NOX, inflammatory mediators (endotoxin, TLR agonist), and leptin (38, 80, 104).  
251 A fibrotic liver can progress to a cirrhotic liver (**Fig. 1**); and 90% of HCCs arise from cirrhotic livers  
252 (105).

253 Addition of DHA to the WD attenuated the WD-mediated fibrosis as quantified by suppression of  
254 expression of *Col1A1*, tissue inhibitor of metalloprotease-1 (*TIMP1*), *TGF $\beta$ 1*, plasminogen activated  
255 inhibitor-1 (*PIA1*) and staining of liver for fibrosis using trichrome, a collagen stain (54, 73).  
256 Interestingly, EPA did not prevent WD-induced fibrosis. Based on these studies, DHA is the preferred  
257  $\omega$ -3 PUFA to prevent NASH-associated fibrosis.

258

259 *The WD and C<sub>20-22</sub>  $\omega$ 3 PUFAs affect all major hepatic metabolic pathways.*

260 Additional insight into the impact of the WD and C<sub>20-22</sub>  $\omega$ -3 PUFAs on liver metabolism was  
261 gained by using a global non-targeted metabolomic approach. The analysis identified 320 known  
262 biochemicals (78). When compared to chow-fed mice, both the WD + olive oil- and WD + DHA-  
263 containing diets significantly affected the abundance of metabolites in all major hepatic metabolic  
264 pathways including amino acids & peptides, carbohydrate and energy, lipid, nucleotide and vitamins &  
265 cofactors. Our studies have identified gene expression and metabolite signatures for NASH (73, 78).

266 The gene expression signature for NASH includes increased expression of chemokines (*MCP1*),  
267 Kupffer cell surface marker (*CD68*), TLRs and their components (*TLR4*, *CD14*), enzymes involved in  
268 oxidative stress (*NOX2*), stearoyl CoA desaturase (*SCD1*) and collagen (*Col1A1*). The metabolomic  
269 signature for NASH includes increased hepatic content of palmitoyl-sphingomyelin, MUFA (16:1 $\omega$ -7;  
270 18:1 $\omega$ -7 and 18:1 $\omega$ -9),  $\alpha$ -tocopherol (vitamin E), 5-methyl tetrahydrofolate (5MeTHF); and decreased  
271 hepatic content of EPA, DHA and oxidized lipids derived from EPA, specifically 18-  
272 hydroxyeicosapentaenoic acid [18-HEPE] and 17,18-dihydroxyeicosatetraenoic acid [17,18-DiHETE]).  
273 A volcano plot of the metabolomic and gene expression data illustrates the impact of diet on the hepatic  
274 level of these molecules (**Fig. 4**). The metabolites and mRNAs that comprise the metabolomic and  
275 gene expression signature were changed dramatically by the WD + olive oil diet, when compared to  
276 mice fed the chow diet. These changes were reversed in mice fed the WD + DHA diet.

277 The oxidized lipids identified in these studies are generated by enzymatic and non-enzymatic  
278 processes. 18-HEPE is a resolvin (RvE1) precursor; and resolvins are anti-inflammatory oxidation  
279 products of EPA (106). 17,18-DiHETE is an oxidized lipid generated first by CYP2C-catalyzed  
280 formation of 17,18-epoxy-eicosatetraenoic acid from EPA; this epoxy fatty acid is converted to the di-  
281 hydroxy fatty acid by a epoxide hydrolase to form 17,18-DiHETE. The metabolomic analysis did not  
282 detect the 17,18-epoxyETA suggesting that this lipid does not accumulate as a non-esterified lipid.  
283 When compared to chow-fed mice, WD + olive oil-fed mice have >60% reduction in hepatic content of  
284 18-HEPE and 17,18-DiHETE. When compared to WD + Olive oil-fed mice hepatic, levels of 18-HEPE  
285 and 17,18-DiHETE increased  $\geq$ 40-fold in mice fed the WD containing EPA or DHA. These dramatic  
286 changes in oxidized derivatives of EPA are inversely associated with the severity of NASH. A recent  
287 report suggest the Cyp450 epoxygenase pathway may play a key role in regulating hepatic  
288 inflammation in fatty liver disease (107). As such, the generation of these oxidized  $\omega$ 3 PUFAs may be  
289 hepatoprotective.

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293 **Can  $\omega$ 3 PUFA be used to treat human NASH?**

294 Therapeutic strategies for human NASH start with life style management (diet and exercise) and  
295 treating the co-morbidities associated with NASH, i.e., obesity, T2D, dyslipidemia. The best strategy for  
296 managing NASH, however, has not been established (108). Some clinical approaches to manage  
297 NASH included: 1) reduce overall body weight through diet management, exercise or bariatric surgery;  
298 2) pharmaceutical & dietary supplements, i.e., metformin, fibrates, thiazolididiones, statins,  $\omega$ 3 PUFAs;  
299 3) suppress inflammation using TLR modifiers or  $\omega$ -3 PUFAs); and 4) suppress oxidative stress using  
300 vitamin E, silybin and other antioxidants (86, 109-114). Therapeutic regulators of fibrosis, however, are  
301 less well-defined (80, 115).

302 Several clinical trials have reported that  $\omega$ 3 PUFAs lower hepatic fat in obese children and  
303 adults with NAFLD (86-91, 116, 117), while others report that fish oil (116) and EPA-ethyl esters (117)  
304 do not attenuate the histological features of the disease, like fibrosis. As such, human studies using  $\omega$ 3  
305 PUFAs to treat NAFLD/NASH have yielded mixed results.

306 The *Ldlr*<sup>-/-</sup> mouse studies described above suggest that  $\omega$ 3 PUFAs may be an attractive dietary  
307 supplement to combat NAFLD and NASH, with the added benefit of preventing NASH-associated HCC.  
308 These fatty acids have well-defined effects on hepatic lipid metabolism and inflammation (84, 118); and  
309 more recently hepatic fibrosis (54, 73, 119). While several human studies have provided evidence in  
310 support of using supplemental  $\omega$ -3 PUFAs to treat NAFLD (86-91, 116, 117), some studies suggest  
311 there may be limitations to the use of  $\omega$ -3 PUFAs to treat NASH (116, 117). For example, in a recent  
312 double-blind, placebo-controlled trial, NAFLD patients received placebo or Lovaza™ at 4 g/d (~50:50  
313 mix of EPA- and DHA-ethyl esters) for 15-18 months. When compared to the placebo-treated group,  
314 the Lovaza™ -treated group showed a significant reduction in liver fat without a significant reduction in  
315 fibrosis scores.

316 Since DHA attenuates fibrosis in two separate rodent models of liver injury, i.e., WD-induced  
317 fibrosis in mice and BDL-induced fibrosis in rats (54, 73, 119), we speculate that failure of C<sub>20-22</sub>  $\omega$ -3  
318 PUFAs to decrease hepatic fibrosis in humans may be explained by study design. Likely explanations

319 include the type and amount of  $\omega$ -3 PUFAs used in the trial. Our studies established that DHA is more  
320 effective than EPA at attenuating the onset and progression of NASH (73). Human studies, however,  
321 have examined the impact of  $\omega$ -3 PUFAs on patients with pre-existing disease (86-91, 116, 117). We  
322 are unaware of preclinical rodent studies that have assessed the impact of  $\omega$ 3 PUFAs to promote  
323 remission or regression of NASH or hepatic fibrosis. As such, more preclinical studies are required to  
324 establish the capacity of  $\omega$ -3 PUFAs to attenuate NASH at various stages in the disease process.

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### 327 **Conclusions and key unanswered questions.**

328 To date, several human studies have indicated that  $\omega$ -3 PUFAs may be useful in reducing liver  
329 fat in obese patients with NAFLD. Moreover, preclinical studies in mice have established that DHA can  
330 prevent NASH and NASH-associated fibrosis. It remains unclear whether dietary  $\omega$ 3 PUFAs have the  
331 capacity to reverse the NASH, cirrhosis or HCC phenotypes once these diseases are established.  
332 Equally important is defining the molecular mechanisms for DHA control of hepatic fibrosis. Finally,  
333 changes in hepatic EPA and DHA content significantly impact oxidized lipids derived from  $\omega$ -3 and  $\omega$ -6  
334 PUFAs. These oxidized lipids likely play a role in inflammation and will affect the onset and progression  
335 of NASH. Whether these oxidized lipids impact the development of NASH, cirrhosis or HCC remains to  
336 be determined.

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344

345 **Figure Legends:**

346 **Figure 1: Transition from normal liver to primary hepatocellular carcinoma (HCC).**

347

348 **Figure 2: Factors contributing to the onset and progression of NASH.**

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350 **Figure 3: Effects of the western diet and C<sub>20-22</sub> ω-3 PUFAs on the prevention of NASH *Ldlr*<sup>-/-</sup> mice.**

351 The size of the arrow indicated effect size. “No effect” indicates no changes from western diet + olive  
352 oil-fed mice. Olive oil was added to the WD to keep all diets isocaloric.

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354 **Figure 4: Volcano plots of western diet effects on hepatic metabolites.** A metabolomic and

355 transcriptomic analysis was carried out as described (78). Over 300 hepatic metabolites and 6 mRNAs

356 markers of NASH were examined using MetaboAnalyst 3.0

357 [<http://www.metaboanalyst.ca/MetaboAnalyst/>] (120). The outcome of this analysis provided a volcano

358 plot. Results are plotted as log<sub>2</sub> Fold Change versus -log<sub>10</sub> p-value. Several metabolites and RNA

359 transcripts are labeled to illustrate the impact of diet on hepatic abundance of these molecules. Panel A

360 is the comparison of hepatic molecules from Chow-fed versus WD + olive oil-fed *Ldlr*<sup>-/-</sup> mice. Panel B is

361 the comparison of hepatic molecules from WD + Olive oil-fed mice versus WD + DHA-fed *Ldlr*<sup>-/-</sup>.

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372 **Abbreviations:**

373 AGEP, advanced glycation end products; ALA,  $\alpha$ -linolenic acid; ALT, alanine aminotransferase; ARA,  
374 arachidonic acid; AST, aspartate aminotransferase; CE, cholesterol ester; ChREBP, carbohydrate  
375 regulatory element binding protein; Col1A1, collagen 1A1; CTGF, connective tissue growth factor;  
376 DAG, diacylglycerol; 17,18-DiHETE, 17,18-dihydroxy-eicosatetraenoic acid; DHA; docosahexaenoic  
377 acid; DNL, *de novo* lipogenesis; ECM, extracellular matrix; EPA, eicosapentaenoic acid; FAO, fatty  
378 acid oxidation; GLUT, glucose transporter; HMOX1, hemeoxygenase 1; 18-HEPE, 18-hydroxy-  
379 eicosapentaenoic acid; IL1 $\beta$ , interleukin-1 $\beta$ ; LA, linoleic acid; LDLR, low density lipoprotein receptor;  
380 MCP1, monocyte chemoattractant protein-1; 5MeTHF, 5-methyl tetrahydrofolate; MetS, metabolic  
381 syndrome; MLX, max-like factor X; MUFA, monounsaturated fatty acids; NAFLD, non-alcoholic fatty  
382 liver disease; NASH, non-alcoholic steatohepatitis; NEFA, non-esterified fatty acid; NF $\kappa$ B, nuclear  
383 factor  $\kappa$ B; NLRP3, NACHT, LRR and PYD domains-containing protein 3; NOX, NADPH oxidase; Nrf2,  
384 nuclear factor (erythroid-derived 2)-like 2; p- $\beta$ Ox, peroxisomal  $\beta$ -oxidation; PIA1, plasminogen activator  
385 inhibitor-1; PPAR, peroxisome proliferator activated receptor; PDGF, platelet-derived growth factor;  
386 PUFAS, polyunsaturated fatty acids; ROS, reactive oxygen species; SCD1, stearyl CoA desaturase-1;  
387 SFA, saturated fatty acids; SREBP, sterol regulatory element binding protein; TAG, triacylglycerol;  
388 T2D, type 2 diabetes; TGF $\beta$ , transforming growth factor- $\beta$ ; TLR, toll-like receptor; TNF $\alpha$ , tumor  
389 necrosis factor- $\alpha$ ; VLDL, very low density lipoprotein; WD, western diet.

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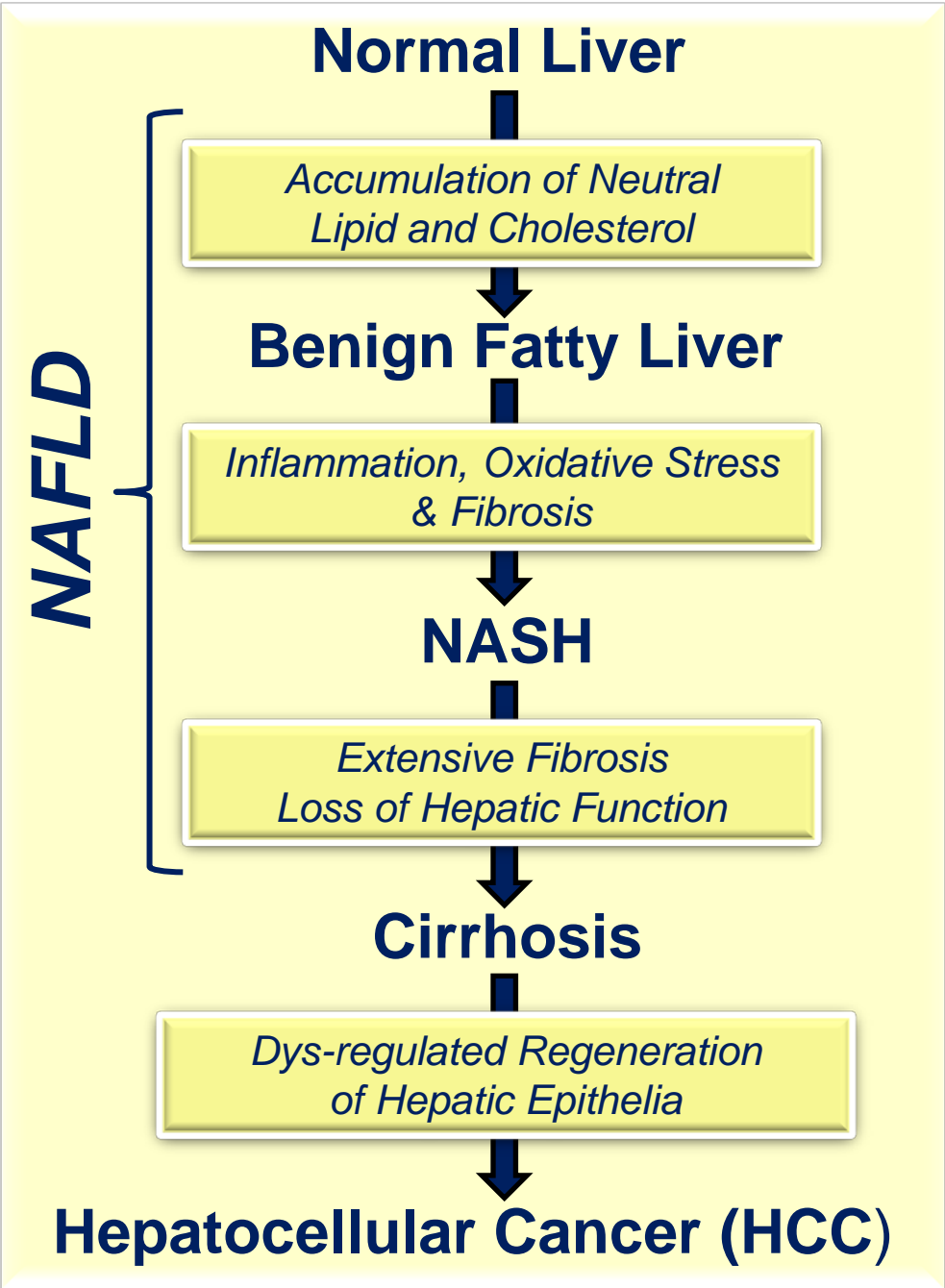
# Figure 1

*Parallels the incidence of obesity & T2D in the US; 6-30% of the general population*

*3-5% of the general population develop NASH with hepatic inflammation & fibrosis*

*10-30% of NASH patients develop cirrhosis*

*2-4% of NASH patients develop HCC*



# Figure 2

**Chronic Caloric Excess:**  
Fat: SFA/MUFA >> PUFA  
Carbohydrate: Sucrose/Fructose >> Complex CHO  
Cholesterol

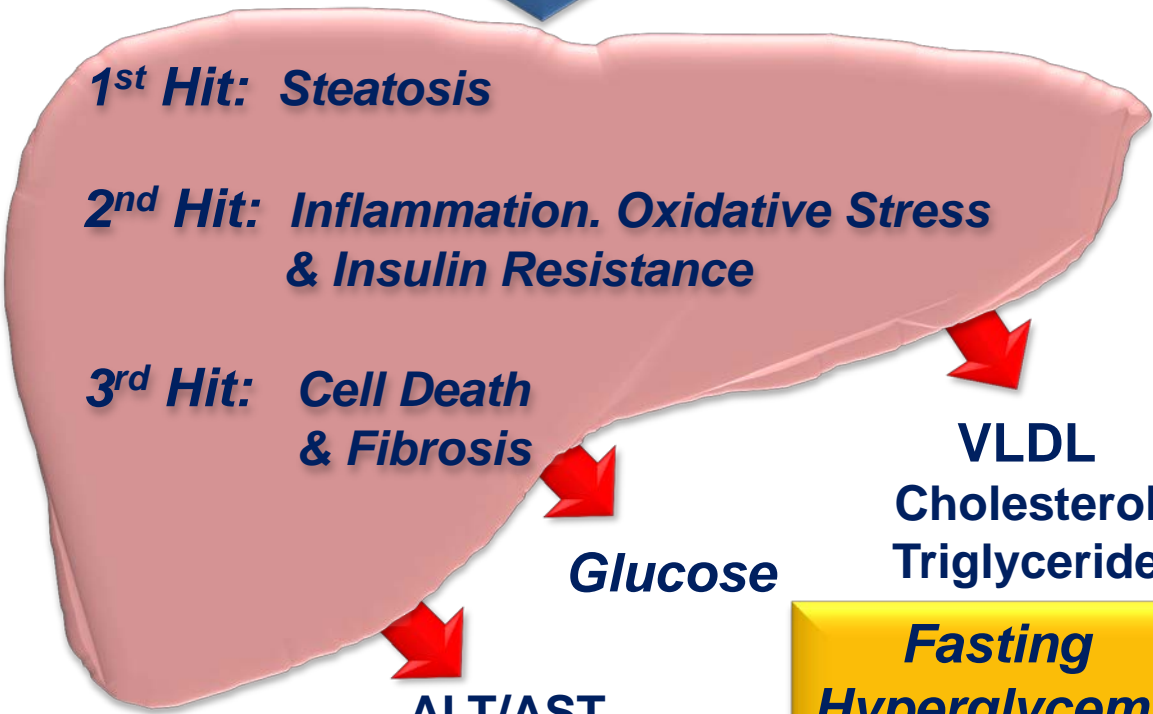
**Visceral Obesity**  
Adipose Tissue

Cytokines [TNF $\alpha$ , IL6]  
Adipokines [Leptin, Adiponectin]

Bacterial Metabolites  
SCFA, pCresol-SO $_4$

Bacterial Components  
LPS (Endotoxin)























**Small Intestine, Cecum & Colon**



VLDL  
Cholesterol  
Triglyceride

**Fasting  
Hyperglycemia  
Dyslipidemia**

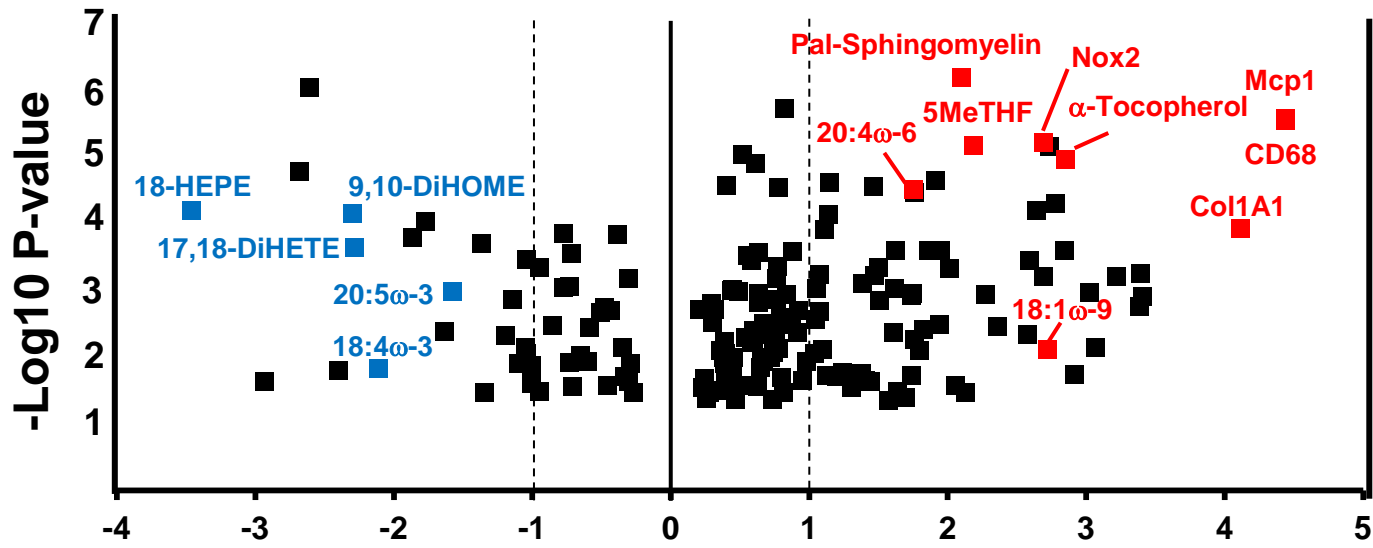
**Figure 3**

	<b>Western Diet</b>		
	<b>+Olive</b>	<b>+EPA</b>	<b>+DHA</b>
<b>Body Weight &amp; Fat Mass</b>		No Effect	No Effect
<b>Fasting Plasma Cholesterol</b>			
<b>Fasting Plasma Triglycerides</b>			
<b>Hepatic Damage (ALT/AST)</b>			
<b>Plasma Endotoxin</b>		No Effect	No Effect
<b>Hepatosteatorsis (Triglycerides &amp; Cholesterol)</b>			
<b>Oxidative Stress (NOX2, P67Phox)</b>			
<b>Inflammation (MCP1, TLR4, CD14, CD68)</b>			
<b>Fibrosis (Col1A, Trichrome Stain)</b>		No Effect	



**Figure 4**

**A. Chow versus WD + Olive oil**



**B. WD + Olive Oil versus WD + DHA**

