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**Improbability Mapping: A Metric for  
Satellite-detection of Submarine Volcanic Eruptions**

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21 **Abstract**

22

23 Submarine volcanic eruptions can result in both real and apparent changes in marine algal  
24 communities, e.g., increases in phytoplankton biomass and/or growth rates, that can cover  
25 thousands of square kilometers. Satellite ocean color monitoring detects these changes as  
26 increases in chlorophyll and particulate backscattering. Detailed, high resolution analysis is  
27 needed to separate the optical effects of volcanic products from the response of the marine  
28 algal community. It is possible to calculate an index, which maps the magnitude of  
29 improbable change (relative to long term average conditions) following known volcanic  
30 eruptions by using low resolution, initial estimates of chlorophyll and backscatter along with  
31 an archived history of satellite data. We apply multivariate probability analysis to changes in  
32 global satellite ocean chlorophyll and particulate backscatter data to create a new metric for  
33 observing apparent biological responses to submarine eruptions. Several examples are  
34 shown, illustrating the sensitivity of our improbability mapping index to known submarine  
35 volcanic events, yielding a potentially robust method for the detection of new events in remote  
36 locations.

37

38 **Keywords**

39

40 submarine volcanic eruptions; improbability mapping index; satellite detection

41

## 42 **1. Introduction**

43

44 Volcanic eruptions are among the most dramatic of all geological processes due to  
45 their explosive nature and inherent danger. Most eruptions, however, are submarine and are  
46 deep enough that they may be hidden from view by hundreds of meters of water. Shallow  
47 eruptions occurring in populated regions can be hazardous to maritime activities. The  
48 majority of these underwater eruptions, however, take place without our knowledge.  
49 Currently, detection of these events relies on reports of subaerial displays (Baker et al., 2002),  
50 surface observations (Vaughan et al., 2007), fortuitous underwater observations (Rubin et al.,  
51 2012), seismic analyses (Schlindwein et al., 2005), or targeted hydro-acoustic array  
52 deployments (Dziak et al., 2011). If detection equipment is not in place when an eruption  
53 occurs, the assigned eruption onset times can have uncertainties of months to years (Siebert  
54 and Simkin, 2002).

55

56 Submarine eruptions are known to create hydrothermal plumes that rise hundreds of  
57 meters above the sea floor (Baker et al., 2012). Direct delivery of micro- and macro-nutrients  
58 by a volcanic eruption and subsequent venting is possible, along with additional transport of  
59 nutrients via volcanogenic upwelling (Vogt, 1989). At the same time, a volcano may further  
60 deliver large amounts of pumice and discolored water, and ongoing venting of materials may  
61 continue for weeks, potentially changing the optical properties of the sea water over large  
62 areas (Mantas et al., 2011). Careful processing of ocean color data is required to correctly

63 separate the optical signature of volcanic products from any potential enhanced biological  
64 response. However, we propose that, irrespective of the true basis for a change, volcanic  
65 activity can result in an apparent, retrieved change in the phytoplankton population living in  
66 the surface mixed layer of the ocean that is observable with satellite imaging over large  
67 expanses of the ocean. Mechanistically, injection of nutrients from an eruption can result in a  
68 positive impact on the phytoplankton population, but contamination of the optical properties by  
69 the volcanic material can also yield false anomalies as the standard ocean color algorithms  
70 would assign the spectral changes to increased chlorophyll and particulate backscatter. Here,  
71 we use standard ocean color products to provide an initial signal for detecting submarine  
72 volcanic events. While some satellite sensors have been used to observe specific volcanic  
73 events using high resolution imagery (Urai and Machida, 2005, and Vaughan and Webley,  
74 2010), the current work investigates the sea surface expression of underwater volcanism that  
75 is spread over larger spatial scales where higher resolution images are not available, or do  
76 not cover large enough areas.

77

78 Over much of the tropical and subtropical oceans, surface plankton ecosystems are  
79 limited by nutrient availability (Sverdrup, 1955, Yoder et al., 1993, and McClain, 2009).  
80 Localized upwelling events occur when deep nutrient-rich water is physically transported to  
81 the surface, such as through Ekman pumping by divergent surface flows (Chavez and Barber,  
82 1987) or eddy-driven vertical transport (Siegel et al., 2011, and Chelton et al., 2011). Plankton  
83 respond to such nutrient influx by increasing their growth rates (i.e., a physiological response),  
84 standing stocks (i.e., biomass), or both. These changes can be observed by satellite images

85 measuring variations in sea-surface-leaving radiance spectra (ocean color), which can be  
86 quantitatively related to surface chlorophyll concentrations (chl) (Fig. 1a) and particulate  
87 backscattering coefficients ( $b_{bp}$ ) (Fig. 1b) (Stramski et al., 2004, Behrenfeld et al., 2005, and  
88 Westberry et al., 2008). Deep ocean currents and tidal pumping around seamounts and small  
89 islands also give rise to topographic upwelling of deep nutrient-rich water, generating local  
90 highs in chl and  $b_{bp}$  (Fig. 1c,d). Variations in ecological properties observed through satellite  
91 remote sensing of ocean color are thus responsive to nutrient delivery through a variety of  
92 water transport processes. We infer that the same effect could occur, following a submarine  
93 volcanic eruption. We note that volcanogenic upwelling is unique in that the water transport  
94 is driven by buoyant water heated by the volcanism, but what is common is the transport of  
95 nutrient rich water from below the surface mixed layer. This delivery of nutrients has the  
96 potential to generate an increase in phytoplankton growth and biomass. Thus, in eruption  
97 cases where there is minimal contamination of the optical signal by volcanic products, we may  
98 still expect detectable changes in satellite ocean color data because of associated biological  
99 response to an altered nutrient field.

100

101 To investigate apparent biological responses to submarine volcanic events, we  
102 compiled a subset of eruptions listed in the Smithsonian's Global Volcanism Program  
103 database (Siebert and Simkin, 2002) for 1998 through 2012 (Table 1). This period  
104 corresponds to ocean color measurements of the Sea viewing Wide Field-of-view Sensor  
105 (SeaWiFS), as well as the Moderate Resolution Imaging Spectroradiometer on the Aqua  
106 satellite (MODIS/Aqua). We restrict our examples to eruptions of short duration with well-

107 defined onset times, and use these events as a test set to evaluate the ocean color signals.  
108 With the exception of *Kick'em Jenny* in the Caribbean, the selected eruptions are located in  
109 the Pacific Ocean (Fig. 1a,b). Two of our sample volcanoes have summits at  $\leq 40$  m (*Home*  
110 *Reef* and *Unnamed*) and three have summits between 130 and 185 m (*Ahyi*, *Monowai*, and  
111 *Kick'em Jenny*) (Table 1). The examples were chosen based on cases where cloud coverage  
112 did not restrict our ability to draw conclusions. Eruption imprints on surface ecosystems were  
113 evaluated using 8-day resolution chl and  $b_{bp}$  data at  $1/12$  of a degree latitudinal and longitudinal  
114 resolution (at the equator, this corresponds to pixel dimensions of 5 x 5 nautical miles, or  
115 approximately 9 x 9 km). Chlorophyll concentration responds to both physiological and  
116 biomass variability of the photosynthetic phytoplankton, while  $b_{bp}$  is a measure of particle  
117 abundance and related to total plankton carbon stocks (Behrenfeld et al., 2005, Westberry et  
118 al., 2008, and Behrenfeld et al., 2008).

119

## 120 **2. Methods**

121

### 122 **2.1 Satellite Data**

123

124 SeaWiFS was designed for global ocean color analysis (Barnes and Holmes, 1993). It  
125 was launched in August, 1997, and delivered continuous, daily coverage through the end of  
126 2007. The six visible bands detected were at 412, 443, 490, 510, 555, and 670 nm, each with  
127 a 20 nm bandwidth. MODIS/Aqua, launched in May, 2002, detects a comparable set of

128 visible ocean color bands (412, 443, 488, 531, 551, and 667 nm) at a narrower bandwidth (10  
129 nm for the majority) and has a data quality similar to SeaWiFS (Franz et al, 2007).  
130 MODIS/Aqua continues to deliver daily global data, more than ten years after its launch.  
131 SeaWiFS data were regularly processed by NASA's Ocean Color Biology Processing Group  
132 to level-3 (mapped) data at 1/12 of a degree (~9 km) latitudinal and longitudinal resolution,  
133 with an 8-day averaging window (4 km and daily level-3 data are also available).  
134 MODIS/Aqua is also provided at 9 km and 4 km spacing, along with 1 km data. The chl and  
135 bbp level-3 satellite data used in this study originated from the Ocean Color MEaSUREs  
136 project at UCSB and are based on the Garver-Siegel-Maritorena inversion method  
137 (Maritorena et al., 2002; Maritorena and Siegel, 2005; Maritorena et al., 2010). Clouds are  
138 initially flagged as "no data" in these products and were later filled using the gap-fill routines  
139 at Oregon State University's Ocean Productivity site, essentially an expanding search for  
140 nearest neighbors that includes the spatial domain and looks forward and backward in time.  
141 The final, cloud-filled chl and  $b_{bp}$  data used in this study (8-day averages, 9 km resolution) can  
142 be obtained from OSU's Ocean Productivity web site (source:  
143 <http://www.science.oregonstate.edu/ocean.productivity>).

144

## 145 **2.2 Probability Analysis**

146

147 Our initial assumption was that a submarine volcanic eruption will cause a real or  
148 apparent increase in the biological signal detected by satellite (chl and/or  $b_{bp}$ ) assuming the  
149 ejecta and/or hydrothermal plumes of the eruption are able to penetrate the surface mixed

150 layer of the ocean (Speer, 1997). Specifically, the response to a submarine volcanic eruption  
151 is identified from spatial and temporal derivatives of chl and  $b_{bp}$ . Temporal derivatives directly  
152 show increases in time, while spatial derivatives show increases in the strength of fronts  
153 (gradients) between affected waters and unaffected areas. For chl and  $b_{bp}$ , it is sufficient to  
154 use temporal first differences as an estimate of their derivatives with respect to time.  
155 Furthermore, because these data are in regular grids, we approximated the spatial derivatives  
156 with the maximum absolute value of the spatial gradient observed between a given pixel and  
157 its eight surrounding neighbors. The gradients were calculated using spatial first differences  
158 normalized by the distance between the centers of the pixels.

159

160         The significance of the observed changes at a specific location can be assessed by  
161 converting derivatives in chl and  $b_{bp}$  into empirical probabilities of being met or exceeded at  
162 that locale (Fig. 2a). This assessment was done by directly counting how often the value  
163 observed at a given pixel was exceeded at that location over the course of the satellite record  
164 (10 years), and then dividing by the total number of observations. The joint probability of  
165 these four changes can be estimated by multiplying the individual probabilities together (Fig.  
166 2b). While this approach provides a straight forward way of calculating the total probability of  
167 all the changes occurring at the same time, it is only accurate if the variables are independent  
168 (Wackerly et al., 2002).

169

170         A more rigorous probability estimate takes into account the potential interdependencies  
171 between chl and  $b_{bp}$ . By expanding the sample size around the pixel of interest, we allowed

172 for a more robust estimate of the cumulative distribution function. The conditional probability  
173 was obtained by selecting a subset of data approximately equal to the observed value for one  
174 of the variables (e.g. chl). We then used the associated  $b_{bp}$  values to evaluate how often the  
175 observed  $b_{bp}$  change had been met or exceeded. The estimate of the joint probability was  
176 then obtained by multiplying these results together (Fig. 2c). More complex versions of this  
177 estimate are possible, but our interest was in the spatial patterns of probability, rather than  
178 their exact values, so the more simplified approach was sufficient.

179

180         The process of converting data to probabilities allowed multiple observations with  
181 different units to be merged into a single representation. The joint probability was then  
182 represented as an index by taking the  $-\log_{10}$  transform of its value. The resultant metric is  
183 referred to here as the 'improbability mapping index' (IMI). Probability is unitless, as is the  
184 IMI, and the sign change in the  $\log_{10}$  transform means that the smaller the probability, the  
185 larger the index. The IMI provides a measure of the magnitude of improbable change  
186 displayed by chl and  $b_{bp}$ . As an example, if all four inputs have a probability of 0.1, the IMI has  
187 a value of 4. If, on the other hand, the individual odds were one in a hundred (0.01), the index  
188 becomes 8. The IMI level for "average" change is 1.20, and is found by using 0.5 for all four  
189 inputs (i.e., the result of a 50% chance of occurrence for all four derivatives). The IMI was  
190 used to detect surface expressions of known submarine volcanic eruptions by seeking  
191 spatially extensive improbable increases in chl and  $b_{bp}$  following each event.

192

### 193 3. Results

194

195 Five specific, known submarine volcanic events were evaluated for this study:

196 *Unnamed, Home Reef, Ahyi, Monowai, and Kick-em Jenny*. Each of these cases is discussed  
197 in the following subsections.

198

#### 199 3.1 Unnamed

200

201 The IMI response shown in Figure 3 corresponds to a submarine volcanic eruption of  
202 an unnamed volcano (0403-091) at 18.325°S, 174.365°W in the Tonga region of the South  
203 Pacific (Table 1, *Unnamed*). This volcano has a summit depth of ~40 m and the eruption  
204 occurred between September 27<sup>th</sup> and 28<sup>th</sup>, 2001. Numerous short T-waves (seismic waves  
205 which have traveled an extended path as acoustic waves in the ocean) were detected in this  
206 period by the French Polynesian Seismic Network, along with observation of an ash-rich  
207 eruption column on September 28<sup>th</sup> (Taylor, 2002, and Smithsonian Inst., 2001b). Time series  
208 of chl,  $b_{bp}$ , and the IMI shown in Figure 3a-c include traces for the nine pixels from the 3x3 grid  
209 centered over the summit of the volcano (white outlined box), where the vertical red line  
210 indicates the day of the onset. The IMI clearly shows a strong response following the eruption  
211 (Fig. 3c), reflecting the combined responses of the chl and  $b_{bp}$  ocean color products (Fig.  
212 3a,b). The heavy horizontal red line indicates an IMI value of average change (i.e.:  
213  $-\log_{10}[(0.5)^4]$ ) and offers a baseline for comparison with the ensuing response. After the rapid  
214 IMI rise following the eruption, the index decays back to the baseline level within about two

215 weeks. The duration of this decay period likely depends on a number of factors, including the  
216 level of venting that follows the initial eruption. The five spatial images in Figure 3d give a  
217 time-sequence of IMI values for a 2° latitude by 2° longitude area centered on the region  
218 impacted by the volcanic event, starting with a pre-eruption map. The area affected by the  
219 eruption is easily seen in map view, including subsequent transport as it moves away from the  
220 summit.

221

### 222 **3.2 Home Reef**

223

224 The second example is also a near-surface event, originating within the mixed layer with a  
225 summit of 10 m depth (Table 1, *Home Reef*). The eruption started on August 7, 2006, and  
226 was located in Tonga at 18.992°S, 174.775°W. Satellite-detected surface expressions of the  
227 *Home Reef* eruption have previously been investigated with high-spatial resolution data  
228 (Mantas et al., 2011, Vaughan et al., 2007, and Smithsonian Inst., 2006a,b), with reports of  
229 extensive pumice rafts and discoloration of the surface water, clearly visible in both the fine  
230 scale images and the coarser MODIS data. This contamination of the biological signal with  
231 volcanic products enhances the ability of the IMI in detecting the event with uncorrected, low  
232 resolution data. Figure 4 shows how far the initially affected waters can be found away from  
233 the volcano and provides an example of a delayed IMI response at the summit. This delay  
234 and spatial transport reflect advection of material away from the summit and the sparse record  
235 of daily satellite observations going into the 8-day averages. For example, consider the  
236 second frame in Figure 4b, which corresponds to the onset of the *Home Reef* eruption. This

237 image represents the average condition for the time period from August 5 through August 12,  
238 2006. The eruption started on August 7 and had the remaining six days in the averaging  
239 period to impact the IMI values shown for the onset. The white outline in the second frame  
240 indicates the location of the 3x3 pixels centered on *Home Reef*, while the black box to the  
241 northeast represents the location of the Vava'u Islands. An average current of  $\sim 1/3$  of a knot  
242 is required to move materials from *Home Reef* to the western edge of the Vava'u Islands over  
243 the time period of August 7 through August 12. While the pumice rafts were eventually  
244 recorded in Fiji (to the northwest) (Smithsonian Inst, 2006a) the IMI map for the onset implies  
245 that the initial trajectory was east by northeast. This trajectory is supported in the literature,  
246 with reports from the yacht, *Maiken*, that they had to head SSW from Vava'u on August 11 to  
247 avoid the pumice rafts (Smithsonian Inst., 2006a), by illustration of the pumice raft location on  
248 August 11 (see Figure 2 in Vaughan et al, 2007), and by high resolution satellite images  
249 provided by the MODIS Ocean Color team for August 5, 10, and 12 (see Figure 23 in  
250 Smithsonian Inst. 2006b, and  
251 <http://oceancolor.gsfc.nasa.gov/MODIS/HTML/TongaHomeReefEruption.html>). It is  
252 interesting to note the change in ocean color to the east of the pumice raft (towards Vava'u),  
253 especially when compared to the August 5 baseline image. Taken together, the pumice rafts  
254 and water discoloration are consistent with the location of the IMI maximum seen in the  
255 second frame of Figure 4b. Furthermore, with the exception of the MODIS images from  
256 August 10 and 12, the other images are mostly cloud-obscured. The result is that the 8-day  
257 “average” primarily has input from August 10 and 12, and minimal input from August 7-9. In  
258 that initial three day period of cloud coverage following the submarine eruption at *Home Reef*,

259 the volcanic products were transported away from the summit. Because of this, no detection  
260 of an onset signal was possible at the volcano itself, resulting in the “delayed” IMI response  
261 found in the timeseries (Fig. 4a).

262

### 263 **3.3 Ahyi, Monowai, & Kick'em Jenny**

264

265 Figure 5 illustrates IMI responses for three mid-depth volcanoes (132-185 m), all with  
266 well defined eruption onsets. Our first example, *Ahyi*, erupted explosively in the northern  
267 Marianas on April 24-25, 2001, which was detected by a seismic station on Rangiroa Atoll  
268 (Smithsonian Inst., 2001c). The second example, *Monowai Seamount*, is an active volcano  
269 northeast of New Zealand in the Kermadec Island Arc, and the eruption spanning November  
270 1-25, 2002, was again detected seismically (Smithsonian Inst., 2003). This eruption appears  
271 to be the onset of a growth cycle at the volcano, after 3+ years of seismic quiescence, and  
272 six months after an interpreted collapse event of the summit (Wright et al., 2008). The third  
273 volcano, *Kick'em Jenny*, erupted on December 4-6, 2001 in the Caribbean, with clear seismic  
274 detection (Smithsonian Inst., 2001a, and Lindsay et al., 2005). Pre- and post-eruption 2x2°  
275 surface IMI maps are provided for each event in figures 5a-c and 5g-i. The maximum IMI  
276 level on the color bar has been reduced to 5 to illustrate the before and after comparisons.  
277 Given that these eruptions originate below the mixed layer, it is not surprising that their  
278 surface impact is less extreme than the near-surface events. Circles of approximately 50 km  
279 radii are shown in the figure to indicate the most strongly affected regions. The middle panels  
280 (Fig. 5d-f) show time-series spanning the pre- and post-eruption period and, in each case,

281 post-eruption IMI increases over baseline values for all nine pixels overlying each summit  
282 region. *Kick-em Jenny* shows a strong but delayed expression over the summit (Fig. 5f),  
283 similar to *Home Reef* (Fig. 4a), which we again take to suggest the presence of initial  
284 advection away from the summit. These three deeper examples have a more diminished and  
285 possibly more dispersed surface expression than the near-surface eruptions discussed above,  
286 but the IMI response is still present. There were no reports of observed surface discoloration  
287 or other activity at the *Kick 'em Jenny* eruption, which was well monitored and in a populated  
288 region. No direct observations were recorded for either the *Monowai* event of November,  
289 2002, or the *Ahyi* eruption of April, 2001.

290

## 291 **4. Discussion**

292

293 Five examples are provided of improbability maps for changes in chl and  $b_{bp}$  associated  
294 with submarine volcanic eruptions of known onset times. In each case, we evaluate what (if  
295 any) improbable changes occurred in association with the event. We worked with essentially  
296 “off the shelf” low resolution global satellite ocean color products with no special processing  
297 applied. Our intent was to evaluate the usefulness of such public domain data in successfully  
298 identifying known volcanic events.

299

300 Our two examples for near-surface events ( $\leq 40$  m depth) showed strong IMI  
301 responses, with values up to 8. For these shallow events originating within the mixed layer, it

302 is likely that at least part of the satellite signal results from altered ecosystem conditions,  
303 including delivery of micro- or macro-nutrients. For example, in the case of *Home Reef*, after  
304 high resolution analysis was performed and volcanic contaminants were excluded, it was  
305 determined that the chl signal reached a level 17x greater than background (Mantas et al,  
306 2011). The remaining portion of the satellite signal, however, was due to the impact of  
307 injected solids (ash or pumice) on surface optical properties, with associated water  
308 discoloration, assigned by the remote sensing algorithm to an increase in both particulate  
309 backscattering and chlorophyll. The IMI response for these cases certainly reflects changes  
310 from both volcanic materials and biological responses to the eruption. It is this combination of  
311 factors that gives rise to the large (and extensive) IMI values shown in Figures 3 and 4.

312

313 It is possible that alternative explanations exist for the IMI anomalies in Figures 3 and  
314 4, such as topographic upwelling. However, the surface extent of high IMI values for our two  
315 near-surface examples is quite large, covering on the order of 2,000 square kilometers.  
316 Based on examination of individual examples of topographic upwelling associated with  
317 seamounts, we suggest that topographic upwelling likely impacts a smaller spatial area and  
318 would appear in IMI images more like the 4<sup>th</sup> frame of Figure 4b, rather than the 3<sup>rd</sup> frame of  
319 Figure 3d. It is also possible that an upwelling event could coincide with a submarine volcanic  
320 eruption, and fortuitous transport of impacted water could increase the resulting signal. This  
321 may be the source of at least some of the IMI response seen at Vava'u in frame 2 of Figure  
322 4b. Upwelling in the traditional sense, however, should deliver colder bottom water along with  
323 the nutrients, and patterns of sea surface temperature could be examined to see if cold water

324 injection matches the spatial patterns of high IMI regions. If high magnitude IMI results similar  
325 to those shown in Figures 3 and 4 are observed, then a closer examination of available high  
326 resolution data should be initiated, seeking the presence of volcanic products in the surface  
327 water. If none are found, then a subsequent analysis of sea surface temperature can test for  
328 cold water injection associated with topographic upwelling.

329

330         Our three eruption examples for summits between 132 and 185 meters depth show  
331 diminished IMI responses compared to the shallow events, but still yield detectable signals  
332 that are expanded over notably larger areas (Figure 5). These eruptions originate 100 to 165  
333 meters below the surface mixed layer zone of the ocean, and their plumes very likely reach  
334 the density gradient at the base of the surface layer. At the time of the eruptions, the mixed  
335 layer depth for these three examples were found to be 28 m (*Ahyi*), 19 m (*Kick'em Jenny*),  
336 and 30 m (*Monowai*) (data from OSU's Ocean Productivity web site). Either their plumes  
337 were able to directly penetrate into the mixed layer, or they dispersed themselves along the  
338 base of the mixed layer zone before being incorporated into the upper layer. Once into the  
339 mixed layer, the properties would be distributed throughout the zone (Gregg and Briscoe,  
340 1979, and Denman and Gargett, 1983). The combination of no documented reports of  
341 discolored water, pumice rafts, etc., for these events, along with the lack of a strong, localized  
342 IMI response, suggests that these may be cases where corruption of the optical signal by  
343 volcanic material was minimized, and the IMI response is predominantly biological in nature.  
344 The lack of pumice rafts is consistent with these three volcanoes being basaltic. While  
345 basaltic pumice can be formed, it is less likely to do so than dacite, which has a greater

346 percentage of volatile gases in its magma (*Unnamed 0403-091* and *Home Reef* both  
347 released dacitic pumice rafts (Bryan et al., 2004; Mantas et al., 2011)).

348

349 For deeper volcanoes, including the three shown in Figure 5, we propose that  
350 biological response drives the IMI values, with the influence of ejected optical contaminants  
351 diminishing with increasing depth of eruption. We also propose that the biological changes  
352 are responding to dissolved micro- and macro-nutrients via the eruption, along with nutrients  
353 delivered from below the nitrocline through the process of volcanogenic upwelling (Vogt,  
354 1989), where the nitrocline defines the base of the nutrient-depleted surface waters and the  
355 top of the nutrient-rich deep waters. It is possible that the upwelled nutrients are actually  
356 dominating the response, rather than the specific chemicals vented by the eruption.

357

358 We note that while a submarine volcano injects solids and dissolved nutrients into the  
359 water surrounding its summit, it may also introduce substances that are not beneficial to  
360 photosynthetic biology (e.g.,  $H_2S$ ,  $CO_2$ ,  $SO_2$ ,  $SO_3$ ,  $HCl$ , and  $HF$ ) (Vogt, 1989). By defining the  
361 probability measures in terms of changes which are “met or exceeded”, we have focused  
362 upon the apparent positive responses within the plankton community, not the detrimental.  
363 Any inhibiting impacts of the eruption will either act to dampen the magnitude of the observed  
364 enhancement or, if dominant, will result in mapped IMI values between 0 and 1.2 (these would  
365 be seen as dark blue pixels in our mapped products).

366

367 Consider again alternative explanations for the source of IMI increases shown in Figure  
368 5. We must now look for processes that can drive biological changes over larger areas.  
369 Topographic upwelling would impact IMI values associated with seamount positions, but again  
370 it is difficult to imagine such processes having an impact away from the seamounts. Large  
371 frontal systems coming into the area could produce IMI changes, but would likely be  
372 associated with large scale sea surface temperature gradients as well. Another possibility is  
373 eddy systems moving through the area, which can be examined using sea surface  
374 temperature and sea surface height data. There is also the potential for temperature-induced  
375 increases in growth rates following eruptions even if nutrient conditions remain unchanged.  
376 For the test cases shown in Figure 5, it was known *a priori* that a volcanic event had taken  
377 place. If instead we only had the before and after maps shown in Figure 5, a detailed  
378 examination of alternative sources for IMI extremes would have been warranted.

379

380 In examination of our IMI images, it is also important to consider possible errors  
381 introduced as a result of using 8-day averages with cloud-filled data. These data allow for  
382 very straight-forward calculations of the derivatives and the probabilities involved, but caution  
383 must be applied. If cloud cover is sparse, then the search for nearest neighbors would fill in  
384 missing pixels with very local, average properties. As missing data area expands, however, a  
385 point is reached where the search distance exceeds the spatial correlation distance of the  
386 data (which varies from location to location). Similarly searches in time can exceed the point  
387 where the temporal correlation distance is exceeded. In those cases of extensive cloud cover  
388 with long duration, the filled data will not have local statistical properties, potentially resulting

389 in unusually high IMI values. Any case showing extensive, high IMI results should also be  
390 examined for the presence and duration of cloud cover in the original, 8-day files. The  
391 volcanic events selected for this study (Table 1) avoided cases where cloud cover was  
392 problematic.

393

394 The evaluation of IMI responses to very deep volcanic eruptions is currently restricted  
395 by the small number of such events with well-known eruption times. The *Axial Seamount*  
396 events off the Oregon coast (January 25, 1998, and April 6, 2012) provide two possible  
397 opportunities for investigation, but extensive cloud cover in each case excluded map analysis.  
398 Nevertheless, the expectation is that an eruption plume penetrating the surface mixed layer  
399 zone from great depths is unlikely, due to the very large transfer of heat required (Speer,  
400 1997). However, there have been reports of surface manifestations from the *South Sarigan*  
401 *Seamount* eruption of 2010 (Venzke et al., 2010, and McGimsey et al, 2010), where an  
402 eruption from ~200 m depth resulted in steam and ash venting into the atmosphere, along  
403 with observations of extensive pumice rafts from the deep (~1500 m caldera, -720 m summit)  
404 *Havre Seamount* eruption in 2012 (Wunderman et al., 2012). Both of these events yielded  
405 unusual surface displays from eruptions at significant depth, implying that IMI signatures may  
406 be produced by at least some deep events; in the first case by a clear breach into the surface  
407 mixed layer zone and in the second via pumice rafts and other volcanic contaminants to the  
408 optical signal.

409

## 410 5. Conclusions

411

412 Our aim in this study was to create a remote-sensing based index for detecting surface  
413 expressions of known submarine volcanic eruptions by seeking improbable increases in chl  
414 and  $b_{bp}$  following each event. With a test set of five known eruption locations and times, we  
415 were able to identify a pattern of IMI responses that contrast before versus after images. The  
416 spatial extent of the changes observed in chl and  $b_{bp}$  appeared to be on the order of  
417 thousands of square kilometers, expressing a maximum ocean surface response  
418 approximately within one to two weeks after the initial eruption onset. The presence of  
419 volcanic contaminants in the water (pumice rafts, ash, and discolored water) enhances our  
420 ability to detect events, as they translate directly into improbable increases in chl and  $b_{bp}$   
421 relative to the satellite baseline history. In those cases where volcanic contaminants are  
422 possibly missing, we are left with biological responses to the submarine eruption driving the  
423 changes seen in the IMI maps. Volcanogenic upwelling of deep nutrient-rich water to the  
424 surface is suggested as a potential process influencing the biological component of the IMI  
425 response for submarine volcanic events, although micro- and macro-nutrients may also be  
426 delivered directly by the eruption proper. By tuning the IMI specifically to increases in chl and  
427  $b_{bp}$ , we were able to detect both real biological responses to the eruptions and the apparent  
428 changes due to volcanic contaminants. This combination leads to a potentially robust method  
429 for detection of new events in remote locations, offering candidates for subsequent high  
430 resolution analysis.

431

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433

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436

437 **References**

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589

## 590 **Figure Legends**

591

592 Figure 1: Ten year average chlorophyll and particulate backscatter fields. (a) Ten year  
593 chlorophyll average (1998-2007) ( $\text{mg chl m}^{-3}$ ); filled triangles represent submarine volcanic  
594 eruption sites listed in Table 1. (b) Ten year backscatter average ( $\text{m}^{-1}$ ) for the same period;  
595 filled triangles represent submarine volcanic eruption sites listed in Table 1. (c) Shows  
596 average chlorophyll at the Hawaiian Island chain, while (d) shows the average for backscatter.  
597 Increases in the average levels of chlorophyll and backscatter can be found at island arcs and  
598 seamount chains due to regular delivery of nutrients over time via topographic upwelling.

599

600 Figure 2: Probability calculations. (a) With two different satellite based measurements (chl  
601 and  $b_{bp}$ ) and two different derivatives (temporal and spatial), there are four different variables  
602 for the probability calculations:  $A = d(\text{chl})/dt$ ;  $B = d(b_{bp})/dt$ ;  $C = \nabla(\text{chl})$ ; and  $D = \nabla(b_{bp})$ . (b) If  
603 each variable is independent, we can calculate the joint probability by multiplying their  
604 individual empirical probabilities together. (c) If we take into account the possibility that chl  
605 and  $b_{bp}$  may not be independent, then we must consider this usage of conditional probabilities.  
606 This is the approach used in this analysis.

607

608 Figure 3: Satellite-detected responses to a near-surface underwater volcanic eruption from  
609 unnamed volcano 0403-091 in the Tonga region (Table 1, Unnamed, depth = 40 m). Time-  
610 series of (a) chlorophyll ( $\text{mg chl m}^{-3}$ ), (b) backscatter ( $\text{m}^{-1}$ ) and (c) Improbability Mapping  
611 Index (IMI). Here, all three properties in a – c show a clear response to the eruption. The

612 nine traces in each time-series are taken from the 9 pixels centered over the summit and are  
613 based on 8-day resolution satellite data. The vertical red line identifies the day of the eruption  
614 onset and the green box its reported duration, while the cyan lines indicate the days  
615 contributing to the averaged 8-day period overlapping the eruption onset. The days indicated  
616 in the time axis denote the mid point of the 8-day averages. The thick horizontal red line in  
617 panel c represents the IMI baseline ( $= -\log_{10}[(.5)^4]$ ) and is an approximation for 'average'  
618 observed change. (d) Time-series of two-dimensional map views of IMI for a  $2^\circ$  by  $2^\circ$  area  
619 ( $17.5$  to  $19.5$  S latitude;  $174.75$  to  $172.75$  W longitude) encompassing the volcano. Panels from  
620 left to right represent sequential 8-day averages, with the 'before', 'during', and 'after' lines  
621 connecting panel c to the maps in panel d. The '+3' on the 'during' map indicates that the  
622 eruption was in progress for at most the last 3 days of the 8-day averaging period. Small  
623 white boxes in each panel of d shows the  $3 \times 3$  grid of pixels over the summit used for the time-  
624 series plots (a-c); the black box SE of the summit indicates an area of 'no data' off a small  
625 island.

626

627 Figure 4: Improbability mapping responses to the (near-surface) *Home Reef* submarine  
628 volcanic eruption in the Tonga region (Table 1, Home Reef, depth = 10 m). Time-series of (a)  
629 Improbability Mapping Index (IMI) and (b) the associated two-dimensional map views for a  $2^\circ$   
630 by  $2^\circ$  area ( $18.0$  to  $20.0$  S latitude;  $175.8$  to  $173.8$  W longitude). The IMI time-series and maps  
631 as in figure 3. This was a very strong and well documented event, and the 'during +6' map  
632 indicates the likely advection of affected waters away from the summit in the six days that  
633 were available, and is consistent with the delayed response over the summit shown in the

634 time-series.

635

636 Figure 5: Satellite-detected responses to three deeper volcanic eruptions (Ahyi, Monowai,  
637 and Kick'em Jenny, Table 1, depths = 132-185 m). Time-series of two-dimensional map views  
638 of IMI for 2° by 2° areas encompassing each volcano. Left-hand and right-hand maps  
639 correspond to approximately 8 days before and after the eruption, respectively. The 9 pixels  
640 surrounding each summit are indicated by the white outlined boxes. The dark black circles  
641 have a radius of approximately 50 km, and are intended to suggest the most affected regions.  
642 Solid black squares for *Kick'em Jenny* correspond to islands. (d – f) IMI time series for the  
643 nine pixels surrounding each summit. Vertical red and cyan lines and horizontal red line as in  
644 figure 3. Horizontal green bar indicates duration of eruption.

645