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A New Strategy for Processors Allocation in an N -Cube Multiprocessor
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# A New Strategy for Processors Allocation in an N -Cube Multiprocessor 

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#### Abstract

In this paper we will describe two known strategies for static processors allocation in an n-cube multiprocessor, namely the buddy system strategy and the gray code strategy and then propose a new strategy that outperforms the first by ( $\mathrm{n}-\mathrm{k}+1$ ) and the second by ( $\mathrm{n}-\mathrm{k}+1$ )/2 in cube recognition. Furthermore, our strategy is suitable for static as well as dynamic processors allocation and it results in a less system fragmentation, more subcubes recognition, and higher fault tolerance.

We also introduce an extension to our strategy that will enhance the performance drastically so that our algorithm together with the extension will outperform the buddy system by a factor of $[k(n-k)+1]$ and the gray strategy by $[\mathrm{k}(\mathrm{n}-\mathrm{k})+1] / 2$ in cube recognition. The implementation details of these algorithms are also described.


## I. Introduction

During the the last five years, a movement from the SIMD (Single Instruction Multiple Data) to the general purpose MIMD (Multiple Instruction Multiple Data) machines has taken place, and the latter is drawing a lot of attention as a numerous research has been undertaken [1-12]. One common MIMD machine is the hypercube [2-12] which is becoming very popular for its attractive features to be addressed later.

The hypercube is a network of a loosely coupled processors connected in such a way that two processors are linked if and only if their binary representation differ in exactly one bit position. i.e the indices of neighboring processors differ by a power of 2 .

A n-dimensional hypercube, denoted as $n$-cube or $Q_{n}$, is a hypercube with $2^{n}$ processors and is defined recursively as: A 0-dimensional hypercube, $\mathrm{Q}_{0}$, is a single processor, and an n -dimensional hypercube is two ( $\mathrm{n}-1$ )-dimensional hypercubes with links between corresponding processors in each of them. Fig. 1 and 2 show a $Q_{3}$, and a $Q_{4}$, hypercubes respectively.


Fig.1, 3-dimensional hypercube, $\mathrm{Q}_{3}$


Fig. 2, A 4-dimensional hypercube, $Q_{4}$
Some of the hypercube characterizations are :

1. Each processor has a local memory and no shared memory is used.
2. Processors communicate by sending messages direct or through some intermediate processors.
3. Synchronization occurs by the availability of data and messages.

Some attractive features of the hypercube are:

1. Regularity and high potential for the parallel execution of various algorithms.
2. Its architecture allows high level of concurrency and efficiency.
3. The number of links among processors is small allowing us to have a very large hypercube with a reasonable number of links. At present machines with up to 16384 processors are available [2].

Processors allocation in a hypercube is a two steps process:

1. Determination of the size of the incoming task in terms of the number of processors needed in order to accommodate it.
2. Recognizing and locating a subcube that can accommodate the incoming task.

The first step is investigated in [3], and some algorithms for step 2 are given in [4]. In this paper more efficient algorithms for processor allocation are described.

The paper is organized as follows. Section II introduces the necessary notations. In section III, we will describe two existing allocation strategies namely the buddy system, and the gray code, [4], for the n-cube multiprocessor; then we will propose a new strategy that outperforms both of the above. Our strategy is suitable for static as well as dynamic processors allocation and it results in a less system fragmentation, higher subcubes recognition and higher fault tolerance. In section IV, we will explain an efficient way to implement our algorithm. The paper concludes with section V.

## II. Notations:

Below are some operations that we will be using in our next definitions.

1) $A^{\alpha \backslash \beta}$ means for each element $a_{k-1}, a_{k-2}, \ldots, a_{\beta}, a_{\beta-1}, \ldots, a_{0}$ in $A$, insert $\alpha$ in the $\beta$ th position as $a_{k-1}, a_{k-2}, \ldots, a_{\beta}, \propto, a_{\beta-1}, \ldots, a_{0}$

Example 1:
If $A=\{00,01,11,10\}$ then we have

$$
\begin{aligned}
& A^{111}=\{010,011,111,110\} \\
& A^{112}=\{100,101,111,110\}
\end{aligned}
$$

2) $A^{*}$ means reverse the elements of $A$.

## Example 2:

$$
\text { If } A=\{00,01,11,10\} \text { then } A^{*}=\{10,11,01,00\}
$$

3) $B_{n}(m)$ is the binary representation of an integer $m$ with $n$ bits.

## Example 3:

$$
\mathrm{B}_{4}(1)=0001, \mathrm{~B}_{3}(1)=001
$$

4) For a set $\mathrm{A},|\mathrm{A}|$ is the number of elements in A and is called the cardinality of A.

Example 4:

The cardinality of a set $A=\left\{a_{1}, a_{2}, \ldots, a_{n}\right\}$ is $|A|=n$

## Definition:

The product of any two graphs $G_{1}=\left(V_{1}, E_{1}\right)$ and $G_{2}=\left(V_{2}, E_{2}\right)$, denoted by $G_{p}=G_{1} \times G_{2}$, is the graph $G_{p}=\left(V_{p}, E_{p}\right)$, where $V_{p}=V_{1} X V_{2}$ and any two nodes $u=\left(u_{1}, u_{2}\right)$ and $v=\left(v_{1}, v_{2}\right)$ are adjacent if and only if

$$
\begin{aligned}
& \left(u_{1}=v_{1} \& u_{2} \text { is adjacent to } v_{2} \text { in } G_{2}\right) \text { or } \\
& \left(u_{2}=v_{2} \& u_{1} \text { is adjacent to } v_{1} \text { in } G_{1}\right)
\end{aligned}
$$

## Definition:

An N-Cube $Q_{n}$ is defined recursively as
a) $Q_{0}$ is a trivial graph with one node.
b) $\mathrm{Q}_{\mathrm{n}}=\mathrm{K}_{2} \times \mathrm{Q}_{\mathrm{n}-1} \quad \mathrm{n}>0$

The address of the subcube $Q_{2}$ which consists of the processors $\{0000$, $0011,0110,0111$ \} in a 4 -cube is written as $0 X 1 X$. i.e. X is the don't care term and it can take values 0 or 1 .

## III. Processor Allocation Strategies

In an n-cube multiprocessor, processors must be allocated to incoming tasks in a way that will maximize the processors utilization and minimize the system fragmentation. In order to achieve this goal, it is necessary to detect the availability of a subcube of required size and merge the released small cubes to form a larger ones.

First, we will briefly describe the known methods [4], the buddy strategy and the gray code strategy. Then we will propose a new strategy and show that it out performs both of these by a high factor. Next, an extension to our strategy that will enhance the performance drastically at a cost of a little overhead is introduced. It is shown that our strategy results in a less fragmented system and recognizes more subcubes.

## A. The Buddy Strategy :

The buddy strategy can be described using a binary tree. An example is shown for the 4 -cube in Fig. 3, where the external nodes are the processors. The nodes in level $i$ are associated with subcubes of dimension $n-i$ and $a$ node is available if all of its offsprings are available. When an incoming task requests a cube of certain size, say $Q_{k}$, the level $n-k$ is searched from left to right for an internal node which is free. When a free internal node is found all the descendant nodes are allocated for this task. In this method $2^{n}$ allocation bits are needed to keep track of which node is available; a processor with its bit set to 0 (1) is available (not available).


Fig. 3, Processor allocation using the buddy strategy

This method was studied in [4] and implemented in the NCUBE/six multiprocessor [5]. The algorithm is given below,

## Algorithm 1 (Buddy System Strategy):

## Processor Allocation :

Step 1. Set k to the dimension of a subcube required to accommodate the request.
step 2. Determine the least integer $\alpha, 0 \leq \alpha \leq 2^{\mathrm{n}-\mathrm{k}+1}-1$ such that all the $\beta$ th allocation bits, $\alpha 2^{k} \leq \beta \leq(\alpha+1) 2^{k}-1$, are 0 's. Set all these bits to 1 's.
step3. Allocate processors with addresses $B_{n}(\beta)$ to the request, where $\alpha 2^{k} \leq \beta \leq(\alpha+1) 2^{k}-1$.

## Processor Relinquishment:

Reset every pth allocation bit to 0 , where $B_{n}(p)$ is used in the subcube released.

Example 5:
An example of the static processor allocation using the buddy system strategy in a 4-cube multiprocessor is given in Fig. 4.

| Incoming Request |  | Allocated processors |
| :---: | :---: | :--- |
| No. | Size |  |
| $I_{1}$ | $Q_{0}$ | 0000 |
| $I_{2}$ | $Q_{3}$ | $1000,1001,1010,1011$ <br> $1100,1101,1110,1111$ |
| $I_{3}$ | $Q_{2}$ | $0100,0101,0110,0111$ |
| $I_{4}$ | $Q_{1}$ | 0010,0011 |
| $I_{5}$ | $Q_{0}$ | 0001 |

Fig. 4, Processor allocation using buddy system

This strategy recognizes only $2^{n-k} Q_{k} s$ within the $n$-cube multiprocessor. Compared to other methods, which we explain later, the buddy strategy underutilizes processors in the n-cube multiprocessor.

## B. The GC Strategy :

This strategy can also be explained using a binary tree. An example is shown for 4 -cube in Fig. 5, where the edges of the tree are labeled with gray code, which is explained below, and the processors are the external nodes.


Fig. 5, Processor allocation using the gray strategy

Like the buddy strategy each node in level $i$ is associated with a cube of dimension $n-i$ and $2^{n}$ allocation bits are needed to keep track of the availability of the processors. A node is available if all of its offsprings are available where a processor with its bit set to $O(1)$ indicates the availability (unavailability) of that processor. When an incoming task requests a cube, say $Q_{k}$, level $n-k+1$ is searched from left to right for two adjacent $Q_{k / 2}$ cubes and these two combined constitute a $Q_{k}$, instead of searching for a $Q_{k}$ cube in level $n-k$, as in the buddy strategy. Because of this reason the number of $Q_{k}$ cubes recognized by the gray code strategy is twice that of buddy system strategy. This method was studied in [4].

Before describing the algorithms some definitions are stated first.

## Definition :

Let $L=\left\{g_{1}, g_{2}, \ldots \ldots, g_{n}\right\}$ be a set. The partial rank $r_{i}$ of $g_{i}$ is the rank of $g_{i}$ in the subset $\left\{g_{1}, g_{2}, \ldots, g_{i}\right\}$ when rearranged these elements in ascending order.

## Example 6:

Let $\left\{g_{1}, g_{2}, g_{3}\right\}=\{3,1,2\}$ then we have

$$
\begin{array}{lll}
\left\{g_{1}\right\} & =\{3\} & \Longrightarrow r_{1}=1 \\
\left\{g_{1}, g_{2}\right\} & =\{3,1\} & \Longrightarrow r_{2}=1 \\
\left\{g_{1}, g_{2}, g_{3}\right\} & =\{3,1,2\} & \Longrightarrow r_{3}=2
\end{array}
$$

## Definition:

A gray code $\mathrm{G}_{\mathrm{n}}$ with parameters $\left\{\mathrm{g}_{1}, \mathrm{~g}_{2}, \ldots, \mathrm{~g}_{\mathrm{n}}\right\}$ where $\left\{\mathrm{g}_{1}, \mathrm{~g}_{2}, \ldots, \mathrm{~g}_{\mathrm{n}}\right\}$ is any permutation of $\{1,2, \ldots, n\}$ is defined recursively as

$$
\begin{aligned}
& \mathrm{G}_{1}=\{0,1\} \\
& \mathrm{G}_{\mathrm{k}}=\left\{\mathrm{G}_{\mathrm{k}-1}^{0 \vee \vee},\left(\mathrm{G}_{\mathrm{k}-1}^{*}\right)^{1 \vee \mathrm{k}}\right\} \quad 2 \leq \mathrm{k} \leq \mathrm{n}
\end{aligned}
$$

where $r k$ is the partial rank of $g_{k}$.

## Example 7:

If $\left\{\mathrm{g}_{1}, \mathrm{~g}_{2}, \mathrm{~g}_{3}\right\}=\{2,3,1\}$ then we have $\left\{\mathrm{r}_{1}, \mathrm{r}_{2}, \mathrm{r}_{3}\right\}=\{1,2,1\}$. Thus
$\mathrm{G}_{1}=\{0,1\}$
$G_{2}=\{00,01,11,10\}$
$\mathrm{G}_{3}=\{000,010,110,100,101,111,011,001\}$
If $\mathrm{g}_{\mathrm{i}}=\mathrm{i}$ then we have Binary Reflected Gray Code (BRGC), the most frequently used case.

## Example 8:

$$
\begin{aligned}
& \text { If }\left\{g_{1}, \mathrm{~g}_{2}, \mathrm{~g}_{3}\right\}=\{1,2,3\} \text { then }\left\{\mathrm{r}_{1}, \mathrm{r}_{2}, \mathrm{r}_{3}\right\}=\{1,2,3\} \\
& \mathrm{G}_{1}=\{0,1\} \\
& \mathrm{G}_{2}=\{00,01,11,10\} \\
& \mathrm{G}_{3}=\{000,001,011,010,110,111,101,100\}
\end{aligned}
$$

## The Relation between GC and Binary:

To translate the gray code to its corresponding binary representation and vice versa the formula below is used [13].

$$
\begin{aligned}
& g_{i}=b_{i} \text { xor } b_{i+1} i \neq n \\
& g_{n}=b_{n}
\end{aligned}
$$

## Example 9:

The gray code that corresponds to the binary number 101 is 111 .

## Algorithm 2 (Gray Code Strategy):

## Processor Allocation :

step 1. Set k to the dimension of a subcube required to accommodate the request.
step 2. Determine the least integer $\alpha, 0 \leq \alpha \leq 2^{n-k+1}-1$, such that all ( $\beta$ mod $2^{n}$ )th allocation bits are 0 's, where $\alpha 2^{k-1} \leq \beta \leq(\alpha+2) 2^{k-1}-1$. Set all these bits to 1's.
step3. Allocate nodes with addresses $\mathrm{G}_{\mathrm{n}}\left(\beta \bmod 2^{\mathrm{n}}\right)$ to the request, where $\alpha 2^{k-1} \leq \beta \leq(\alpha+2) 2^{k-1}-1$.

## Processor Relinquishment:

Reset every pth allocation bit to 0 , where $G_{n}(p)$ is used in the subcube released.

## Example 10:

Using the same request sequence from example 5 , the static processor allocation using the gray code strategy in a 4 -cube multiprocessor is given in Fig. 6.

| Incoming Request |  | Allocated processors |
| :---: | :---: | :--- |
| No. | Size |  |
| $I_{1}$ | $Q_{0}$ | 0000 |
| $I_{2}$ | $Q_{3}$ | $0110,0111,0101,0100$ <br> $1100,1101,1111,1110$ |
| $I_{3}$ | $Q_{2}$ | $1010,1011,1001,1000$ |
| $I_{4}$ | $Q_{1}$ | 0011,0010 |
| $I_{5}$ | $Q_{0}$ | 0001 |

Fig. 6, Processor allocation using the gray strategy

This strategy recognizes $2^{n-k+1} \mathrm{Q}_{\mathrm{k}}$ within the n -cube multiprocessor and this is an improvement by a factor of two over the buddy strategy.

## C. A new Strategy :

We propose a new strategy that outperforms the buddy strategy by a factor of $2(\mathrm{n}-\mathrm{k}+1)$ and thus the gray strategy by a factor of ( $\mathrm{n}-\mathrm{k}+1$ ) in recognizing subcubes of size k . This is a significant improvement because in practical systems it is normal to have many small incoming jobs and large number of processors.

The new strategy can be described using the binary tree in Fig. 3. The external nodes in this tree correspond to the processors. The nodes in level i are associated with subcubes of dimension $\mathrm{n}-\mathrm{i}$ and a node is available if all of its offsprings are available. Like the gray code strategy, when an incoming task requests a cube, say $\mathrm{Q}_{\mathrm{k}}$, level $\mathrm{n}-\mathrm{k}+1$ is searched from left to right for two $Q_{k / 2}$ and these two combined constitute the cube $Q_{k}$ but unlike gray code strategy, our strategy will recognize these two $Q_{k / 2}$ cubes even if they are not adjacent. When a free internal node is found all the descendant nodes are allocated for this task. Like in the other strategies $2^{\text {n }}$ allocation bits are needed to keep track of which node is available, a processor with its bit set to $O(1)$ available (unavailable). A more efficient method using $2^{\mathrm{n}+1}-1$ bits, is described in section IV.

The path from the root of the tree to any node is that node's address. This address corresponds to the subcube which consists of all the descendants processors (leaf nodes). Note that in $\mathrm{Q}_{4}$ subcube 01, 01X or 01 XX denotes the same subcube.

We will list some definitions and then describe the new algorithm.

## Definition:

The $\alpha$ th parther of $a_{k-1}, a_{k-2}, \ldots, a_{\alpha+}, a_{\alpha}, a_{\alpha-1} \ldots, a_{0}$ for any $0 \leq \alpha \leq k-1$ is defined as

$$
\begin{array}{ll}
a_{k-1}, a_{k-2}, \ldots, a_{\alpha+1}, 1, a_{\alpha-1} \ldots, a_{0}, & \text { if } a_{\alpha}=0 \\
\text { undefined } & , \text { if } a_{\alpha}=1 .
\end{array}
$$

we denote the $p$ th partner of $B_{k}(i)$ as $B_{k}^{p}(i)$.

Example 11:
All the partners for the nodes $\mathrm{B}_{3}(\mathrm{i}), 0 \leq \mathrm{i} \leq 7$, are shown in Fig. 7 .

| Node | Oth partner | 1st partner | 2nd partner |
| :---: | :---: | :---: | :---: |
| 000 | 001 | 010 | 100 |
| 001 | undefined | 011 | 101 |
| 010 | 011 | undefined | 110 |
| 011 | undefined | undefined | 111 |
| 100 | 101 | 110 | undefined |
| 101 | undefined | 111 | undefined |
| 110 | 111 | undefined | undefined |
| 111 | undefined | undefined | undefined |

Fig. 7, The partners for $B_{3}(i)$ for all $i$.

## Lemma 1:

The 0th partner of an even number a is a +1 which can be represented using the same number of bits.
i.e. $\quad B_{\alpha}^{0}(2 i)=B_{\alpha}(2 i+1), 0 \leq i \leq 2^{\alpha-1}-1$
proof:
Since 2 i is an even integer, it has 0 in the least significant bit and changing it to 1 will give $2 \mathrm{i}+1$; thus $2 \mathrm{i}+1$ will need no more than $\alpha$ bits.

## Lemma 2:

For any two integers $\alpha$ and $\beta$ such that $0 \leq \beta \leq \alpha-1$, out of all the nodes $\mathrm{B}_{\alpha}$ (i), $0 \leq \mathrm{i} \leq 2^{\alpha}-1$, there are exactly $2^{\alpha-1}$ nodes that have a $\beta$ th partner.
proof:
Only those that has a 0 in the $\beta$ th bit will have a $\beta$ th partner. If we fix the $\beta$ th bit to be 0 then the other $\alpha-1$ can take any value. This will give $2^{\alpha-1}$ possible nodes.

Consider the nodes $\mathrm{B}_{3}(\mathrm{i}), 0 \leq \mathrm{i} \leq 7$ shown in Fig. 7. We can see that exactly 4 nodes have a 0th partner, 4 nodes have a 1 st partner, and 4 nodes have 2nd partner defined.

## Definition:

For any integer $\alpha, 0 \leq \alpha \leq 2^{n-k+1}-1$, the node $\mathrm{B}_{\mathrm{n}-\mathrm{k}+1}(\alpha)$ is free if and only if all of its descendants are free. For example for $\mathrm{n}=4$ and $\mathrm{k}=2$, the node 000 is free if and only if the processors 0000,0001 are free.

Algorithm (3) (new strategy):

## Processor Allocation :

Step 1. Set k to the dimension of a subcube required to accommodate the request.

Step 2. Determine the least integer $\alpha, 0 \leq \alpha \leq 2^{n-k+1}-1$, such that $B_{n-k+1}(\alpha)$ is free and it has a pth, $0 \leq p \leq n-k$, partner $B^{p}{ }_{n-k+1}(\alpha)$ which is also free. Take $p$ as small as possible.
step3. Allocate these processors to the request and set their allocation bits to 1 .

## Processor Relinquishment:

Reset the allocation bits of all the processors that correspond to the descendants of the nodes $\mathrm{B}_{\mathrm{n}-\mathrm{k}+1}(\alpha)$ and $\mathrm{B}_{\mathrm{n}-\mathrm{k}+1}(\alpha)$ to 0 . (See section IV for detail).

## Example 13:

In Fig 8, we show an example of the allocation strategy for a 4-cube multiprocessor using the same request sequence as in Examples 5 and 10.

| Incoming Request |  | Allocated processors |
| :---: | :---: | :--- |
| No. $^{2}$ | Size |  |
| $I_{1}$ | $Q_{0}$ | 0000 |
| $I_{2}$ | $Q_{3}$ | $0110,0111,0101,0100$ <br> $1100,1101,1111,1110$ |
| $I_{3}$ | $Q_{2}$ | 0011,0010 <br> 1010,1011 |
| $I_{4}$ | $Q_{1}$ | 0001 <br> 1001 |
| $I_{5}$ | $Q_{0}$ | 1000 |

Fig. 8, Processor allocation using our strategy

From this example we can see that our strategy compacts things to the left which result in less system fragmentation; thus our strategy recognizes more subcubes.

The following lemmas give the number of subcubes recognized by the new algorithm.

## Lemma 3:

Algorithm (3) generates (n-k+1) $2^{n-k} Q_{k}$ cubes.
proof:

In step (2), Algorithm (3) considers each of the nodes, $\mathrm{B}_{\mathrm{n}-\mathrm{k}+1}(\alpha)$, $0 \leq \alpha \leq 2^{\mathrm{n}-\mathrm{k}+1}-1$ with each of its partners and then combine them to form a $Q_{k}$.
Summing over the partners, we can conclude that the number of $Q_{k}$
cubes generated by the algorithm is equal to

$$
\sum_{\beta=0}^{\mathrm{n}-\mathrm{k}}(\text { number of nodes which have a } \beta \text { th partner })=(\mathrm{n}-\mathrm{k}+1) 2^{\mathrm{n}-\mathrm{k}}
$$

## Example 14:

Consider requesting a $Q_{2}$, in a 4-cube multiprocessor. In step (2), Algorithm (3), will consider each of the 8 nodes $B_{3}(\alpha), 0 \leq \alpha \leq 7$ with all of its partners.

To ease the counting, we can group them by partners as follows:
The nodes which have a 0th partner are $\{000,010,100,110\}$
The nodes which have a 1th partner are $\{000,001,100,101\}$
The nodes which have a 2 th partner are $\{000,001,010,011\}$
A cube will be formed by combining any node with any of its partners.
Thus, the number of cubes $=(3)(2)^{2}$

## Lemma 4:

The $\mathrm{Q}_{\mathrm{k}} \mathrm{s}$ generated by Algorithm (3) are disjoint among themselves .
proof:
The cubes recognized by algorithm (3) can be listed in $2^{n-k} \mathrm{X}(\mathrm{n}-\mathrm{k}+1)$ matrix as shown in Fig. 9.


Fig.9, Recognized subcubes

We can see from the matrix that each element $\mathrm{a}_{\mathrm{ij}}, 0 \leq \mathrm{i} \leq 2^{\mathrm{n}-\mathrm{k}}-1$, and $0 \leq \mathrm{j} \leq \mathrm{n}-\mathrm{k}$, is of the form:

$$
a_{i j}=\left(B_{n-k}(i)\right)^{x j j}=a_{n-k-1}, a_{n-k-2}, \ldots, a_{j} X, a_{j-1}, \ldots, a_{1}, a_{0}
$$

Suppose two cubes $\mathrm{a}_{\mathrm{ij}}$ and $\mathrm{a}_{\mathrm{st}}$ are equal (i.e. $\mathrm{a}_{\mathrm{ij}}=\mathrm{a}_{\mathrm{st}}$ )
where

$$
\begin{aligned}
& a_{i j}=\left(B_{n-k}(i)\right)^{x t}=a_{n-k-1}, a_{n-k-2}, \ldots, a_{t} X, a_{t-1}, \ldots, a_{1}, a_{0} \\
& a_{s t}=\left(B_{n-k}(s)\right)^{x t}=a_{n-k-1}, a_{n-k-2}, \ldots, a_{t}, X, a_{t-1}, \ldots, a_{1}, a_{0}
\end{aligned}
$$

This implies $\mathrm{i}=\mathrm{s}$ and $\mathrm{j}=\mathrm{t}$. Thus all cubes are distinct.

The subcube recognition problem becomes more important when considering some faulty processors or when allocating processors dynamically. In these situations also out strategy does better than the buddy strategy or gray code strategy as illustrated in the following examples.

## Example 15: (fault tolerance)

In a 4-cube multiprocessor if two nodes, one from $(0000,0001)$ and the other from $(1000,1001)$ are faulty. Then neither the buddy system allocation strategy nor the gray code strategy will be able to satisfy the requests $\left\{I_{1}=Q_{3}, I_{2}=Q_{2}\right\}$ but our strategy will satisfy this.

Example 16: (Dynamic allocation)
Consider the request $\left\{I_{1}=Q_{1}, I_{2}=Q_{2}, I_{3}=Q_{1}, I_{4}=Q_{3}\right\}$. If $I_{1}$ and $I_{3}$ released their processors and others do not then using the buddy system strategy or the gray code strategy a request like $\left\{\mathrm{I}_{5}=\mathrm{Q}_{2}\right\}$ will not be satisfied. But our strategy will combine the two released $Q_{1}$ s into a $Q_{2}$ and allocate it to $\mathrm{I}_{5}$.

## D. Algorithm (4): (An extension to Algorithm (3))

In Algorithm (3), when a $Q_{k}$ cube is requested, the nodes at level $n-k+1$ of the tree are searched. Suppose none of the subcubes corresponding to this level is available. In this case, the algorithm terminates without allocating a $Q_{k}$ cube. However, it might be possible to get to the higher levels of the tree and get more $Q_{k}$ cubes. We first explain the method by taking an example and then describe the algorithm.

## Example 17:

In the case of $\mathrm{n}=5$ and $\mathrm{k}=3$, Algorithm (3) will recognize the following 12 subcubes in Fig. 10. These subcubes are searched at level 3 of the tree.

$$
\left[\begin{array}{lll}
00 \mathrm{XXX} & 0 \mathrm{X} 0 \stackrel{\mathrm{~F}}{\mathrm{XX}}_{\mathrm{x}-1}^{\mathrm{X}} & \mathrm{X} 00 \stackrel{\mathrm{x}-1}{\mathrm{xX}} \\
01 \mathrm{XXX} & 0 \mathrm{X} 1 \mathrm{XX} & \mathrm{X} 01 \mathrm{XX} \\
10 \mathrm{XXX} & 1 \mathrm{X} 0 \mathrm{XX} & \mathrm{X} 10 \mathrm{XX} \\
11 \mathrm{XXX} & 1 \mathrm{X} 1 \mathrm{XX} & \mathrm{X} 11 \mathrm{XX}
\end{array}\right]
$$

Fig. $10, Q_{3}$ cubes recognized in $Q_{5}$ using Algorithm 3 .
Suppose none of the above subcubes are available. After cyclic right shifting the columns 2 and 3 of the subcubes we get the following 16 new subcubes as shown in Fig. 11.


Fig. 11, $Q_{3}$ cubes in $Q_{5}$ generated by Algorithm (4).

The subcubes obtained after shifting once and twice correspond to searching the tree at levels 4 and 5 respectively. Thus these new subcubes can be generated systematically.

The formal algorithm is given below. To balance the search overhead and the recognition ability, we use a parameter $\delta$ which will limit the depth of the search up to, and including, level $n-k+1+\delta$. If $\delta \geq k-1$, then the search is done at all levels from $n-k+2$ to $n$. A good heuristic approach is to make $\delta$ large if the cubes are not released very frequently. Note that, when $\delta=0$, it will not search any cubes in step 2 and when $\delta=$ infinite, it will search all the levels.

Algorithm (4):

## Processor Allocation :

Step 1: Use algorithm (3) to find $\mathrm{Q}_{\mathrm{k}}$. If succeeded then done else goto step 2.

Step 2:
\{ This is the extension to Algorithm 3, needed if Step 1, fail \}
$\delta=$ How far we want to go down, infinite to maximize.
For $\mathrm{i}=0$ to $\left(2^{\mathrm{n}-\mathrm{k}+1}-2\right)$ do

## begin

For $\mathrm{d}=1$ to $\min (\mathrm{k}-1, \delta)$ do $\{$ Search level $\mathrm{n}-\mathrm{k}+1+\mathrm{d}$ \}
begin
For $\mathrm{j}=0$ to $(\mathrm{n}-\mathrm{k})$ do
begin
If ( $\mathrm{B}_{\mathrm{n}-\mathrm{k}+1}$ (i) has a jth partner) then
begin
current_cube $=($ The address of the cube formed from $\mathrm{B}_{\mathrm{n}-\mathrm{k}+1}$ (i) and $\mathrm{B}_{\mathrm{n}-\mathrm{k}+1}^{\mathrm{j}}$ (i))
current_cube $=$ concat ( ${ }^{\prime} \mathrm{X}^{\text {d }}$, current_cube $)$
if (current_cube is free) then
begin
allocate (current_cube);
exit;
end
end;
end
end

Lemma 5:
Step2 of Algorithm (4), with $\delta=$ infinite, generates $(\mathbf{k}-1)(n-k) 2^{\mathrm{n}-\mathrm{k}}$ new $\mathrm{Q}_{\mathrm{k}}$ cubes.
proof:
Ignoring the case $\mathrm{p}=0$, Algorithm (3) generates ( $\mathrm{n}-\mathrm{k}$ ) $2^{\mathrm{n}-\mathrm{k}}$ subcubes. These correspond to the last $n-k$ columns of the matrix in Fig. 9. Each of these can be expanded $k-1$ times. So step 2 of Algorithm (4) will generate ( $k-1$ )(n-k) $2^{\text {n-k }}$ new $Q_{k}$ cubes.

## Lemma 6:

The $\mathrm{Q}_{\mathrm{k}}$ cubes generated step 2 of Algorithm (4) are disjoint among themselves.
proof:
Step 2 of Algorithm (4) generates cubes by right cyclic shifting addresses that are already proved to be disjoint, by lemma 4, thus have distinct $(\mathrm{n}-\mathrm{k}+1)$ most significant digits. When shifting i times, $1 \leq \mathrm{i} \leq \mathrm{k}-1$, the ( k -1-i) least significant digits will be X's. So each shift will produce a new set of cubes that are different and disjoint from all others.

## Lemma 7:

The $\mathrm{Q}_{\mathrm{k}}$ cubes generated by step1 of Algorithm(4), i.e. Algorithm (3), are disjoint from those generated by Step 2 of Algorithm (4).
proof:
This is clear since the addresses of those recognized by Algorithm (3) when expressed with $n$ digit have at least $k-1$ X's. However, those generated by step 2 of Algorithm (4) have less than k-1 X's.

From the above lemmas the following theorem holds.

## Theorem 8 :

Algorithm (4) (both steps) will generate $[k(n-k)+1] 2^{n-k}$ distinct $Q_{k} S$

Example 18:

As a quick comparison we consider a request for a $Q_{2}$ subcube in a $Q_{4}$ multiprocessor. Form the previous discussion one can easily see the following:
a) The buddy system strategy will recognize

00XX 01XX 10XX 11XX
b) Gray code strategy will recognize

00XX 0X1X 01XX X10X 11XX 1X1X 10XX X00X
c) Algorithm (3), i.e. step 1 of Algorithm (4), will recognize

00XX 0X0X X00X 0X1X
X01X 01XX X10X X11X
10XX 1X0X 1X1X 11XX
d) Step 2 of Algorithm (4) will recognize

X0XO XX00
X0X1 XX01
X1X0 XX10
X1X1 XX11
e) Algorithm (4) will recognize both (c) and (d).

A summary is given in Fig. 12.

| The Method |  | Number of $Q_{2}$ s recognized |  |
| :--- | :--- | :--- | :--- |
| Buddy System (Algorithm 1) | $2^{n-k}$ | $=4$ |  |
| Gray Strategy (Algorithm 2) | $2^{n-k+1}$ | $=8$ |  |
| Our Strategy | (Algorithm 3) | $(n-k+1) 2^{n-k}$ | $=12$ |
|  | (Algorithm 4) <br> (Step. 2) | $(k-1)(n-k) 2^{n-k}$ | $=8$ |
|  | (Algorithm 4) | $[k(n-k)+1] 2^{n-k}$ | $=20$ |

Fig. 12, The number of $Q_{2}$ s recognized in a $Q_{4}$

## IV. Implementation Issues:

When implemented using an array of $2^{n}$ bits, we will have to check sequentially $2^{n}$ allocation bits each time a cube is requested which could be extremely large. This will result in an inefficient implementation especially if dynamic allocation is used and/or the rate of releasing the cubes can't be predicted.

An efficient way to keep track of the availability of the processors and the subcubes is to organize their allocation bits as a tree in which the external nodes are the allocation bits for the processors and the internal nodes are the allocation bits for the subcubes. The external node is 0 if the corresponding processor is available and 1 if it is not. Similarly, the internal node is 0 if the subcube associated with it is available as a whole and 1 if it is not. We can represent a tree of height $n$ using an array $\mathrm{A}\left[1: 2^{\mathrm{n}+1}-1\right]$ of $2^{\mathrm{n}+1}-1$ bits. The root node corresponds to location 1 . For an internal node i , the left son is stored at 2 i and the right son is at $2 \mathrm{i}+1$. Furthermore, the internal nodes at level $i$ are at location $2^{i}$ to $2^{i+1}-1$. So when a $Q_{k}$ is requested, the nodes at level $(\mathrm{n}-\mathrm{k}+1)$ of the tree needs to be searched. These nodes correspond to array positions $2^{n-k+1}$ to $2^{n-k+2}-1$. For these nodes the pth partner, if exists, can be obtained by changing the pth bit from 0 to 1 . An example is shown for $Q_{3}$ in Fig. 13 below.


Fig. 13, A tree and its array representation for $Q_{3}$

## Allocation:

To allocate a k -dimensional subcube, $\mathrm{Q}_{\mathrm{k}}$ :

1. Search the tree at the level $n-k+1$ for two free $Q_{k / 2} s$ that form $a Q_{k}$, when combined. We use algorithm (3) given in section III to do the search systematically.
2. Allocate these two $Q_{k / 2}$ s to the incoming task.
3. For each external node, v, covered by (i.e. descendents of) the subcubes obtained in the previous step do:
a. Setv to 1 .
b. Traverse the fathers link setting the allocation bits to 1 , until either the root or an unavailable cube is reached.

## Deallocation:

To deallocate a k -dimensional subcube, $\mathrm{Q}_{\mathrm{k}}$ :

1. Set the allocation bits associated with the two $\mathrm{Q}_{\mathrm{k} / 2}$ to 0 .
2. For each external node, v, covered by (i.e. descendents of) the subcubes obtained in the previous step do:
a. Set v to 0 .
b. If its sibling is available set the fathers allocation bit to 0 .
c. Propagate upwards until nodes can't be merged anymore or the root is reached.

## V. Conclusion:

We briefly reviewed the two known strategies for static processors allocation in an n-cube multiprocessor, namely the buddy system strategy and the gray code strategy and then proposed a new strategy that outperforms the first by $(\mathrm{n}-\mathrm{k}+1)$ and the second by $(\mathrm{n}-\mathrm{k}+1) / 2$ in cube recognition.

Furthermore, our strategy is suitable for static as well as dynamic processors allocation and it results in a less system fragmentation, more subcubes recognition, and higher fault tolerance.

An extension to our strategy that searchs the whole tree and thus improves the performance is described. When our algorithm and its extension are combined, Algorithm (4), they outperform the buddy system by $[k(n-k)+1]$ and the gray strategy by $[k(n-k)+1] / 2$ in cube recognition. Implementation details of these algorithms are also discussed.

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