## AN ABSTRACT OF THE DISSERTATION OF

### Daniel J. Coleman for the degree of Doctor of Philosophy in Molecular and Cellular Biology presented on April 17, 2014

### Title: <u>Roles for Retinoid-X-Receptors in Solar UV-Induced Melanocyte</u> <u>Homeostasis and Melanomagenesis</u>

Abstract approved:

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Melanoma is the deadliest form of skin cancer, arising from malignant transformation of pigment-producing melanocytes. The primary risk factor for melanoma and other skin cancers is DNA damage resulting from unprotected solar ultraviolet radiation (UVR). If incorrectly repaired, this damage can result in incorporation of mutations that cause aberrant cell cycling and/or other functional defects that promote tumorigenesis. Rates of melanoma incidence are on the rise in Oregon and throughout the U.S.; thus better understanding of the molecular mechanisms underlying its formation and progression are needed for the purposes of diagnosis and therapeutic targeting. In this work we have used mouse models to establish multiple roles for Retinoid-X-Receptors (RXRs) in UVR-induced melanocyte homeostasis and melanomagenesis. Ablation of RXR $\alpha$  expression in epidermal keratinocytes ( $Rxr\alpha^{ep-/-}$ ) enhances melanocyte proliferation following an acute UVR dose; stemming from increased expression of mitogenic paracrine factors. Combining  $Rxr\alpha^{ep-/-}$  mice with activated CDK4

(R24C) or oncogenic NRAS (Q61K) mutations in a bigenic model results in enhanced UVR-induced melanomagenesis compared to control mice with the CDK4 or NRAS mutations alone. These melanomas show increased expression of malignant melanoma and tumor angiogenesis markers; and increased metastases of pigment-producing cells to draining lymph nodes. Interestingly, the tumor adjacent normal skin of these mice have reduced expression of p53 and PTEN, tumor suppressors commonly downregulated in melanoma; suggesting that in addition to enhancing melanomagenesis, keratinocytic RXRa loss results in a microenvironment favorable to primary tumor formation. By ablating RXRs  $\alpha$  and  $\beta$  specifically in the melanocytes (*Rxra/\beta^{mel-/-}*), we observed differential alterations in the post-UVR survival of the melanocytes and the dermal fibroblasts. Loss of melanocytic RXR expression results in defective homeostasis of chemoattractive/chemorepulsive chemokines secreted by melanocytes to attract macrophages and other immune cells post-UVR. An overall reduction of immune cell infiltration in  $Rxr\alpha/\beta^{mel-/-}$  mice results in less available interferon- $\gamma$  available in the microenvironment which has a negative effect on post-UVR survival of fibroblasts and melanocytes. However, as the genetic defect in these mice is specifically in the melanocytes, there are dysregulations in cell-intrinsic apoptosis signaling that allow these cells to overcome reduced available IFN-y and have enhanced survival post-UVR. Therefore RXRs in melanocytes modulate post-UVR survival of dermal fibroblasts in a "non-cell autonomous" manner, underscoring their role in immune surveillance; while independently mediating post-UVR melanocyte survival in a

"cell autonomous" manner. Altogether these results establish a multitude of roles for RXRs in melanocyte homeostasis and melanomagenesis, and identifies them as potential targets for melanoma diagnosis and therapeutics. ©Copyright by Daniel J. Coleman April 17, 2014

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### Roles for Retinoid-X-Receptors in Solar UV-Induced Melanocyte Homeostasis and Melanomagenesis

by

Daniel J. Coleman

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I understand that my dissertation will become part of the permanent collection of the Oregon State University libraries. My signature below authorizes release of my dissertation to any reader upon request.

Daniel J. Coleman, Author

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# TABLE OF CONTENTS

Page

Chapter 1 – General Introduction: Melanocytes, Ultraviolet-Induced DNA Damage and Mutation; and the Involvement of Retinoid-X-Receptors in Melanomagenesis
1.1 Abstract2
1.2 The Biology of the Skin4
1.3 Melanocyte Biology5
1.4 Ultraviolet Radiation and Mutagenic DNA Damage9
1.4.1 Solar UV-induced DNA Damage91.4.2 Melanoma Etiology101.4.3 Hallmark Mutations in Melanoma11
1.5 Retinoid-X-Receptors and Melanoma15
1.5.1 Biology of Type II Nuclear Receptors15 1.5.2 Role for RXRs in Melanoma17
1.6 References21
Chapter 2 – Loss of Keratinocytic <i>Rxra</i> Combined with Activated <i>Cdk4</i> or Oncogenic <i>NRAS</i> Generates UVB-Induced Melanomas via Loss of PTEN and p53 in the Tumor Microenvironment
2.1 Abstract
2.2 Introduction

TABLE OF CONTENTS	(Continued)
-------------------	-------------

	Dege
2.3 Materials and Methods	<u>Page</u> 42
2.4 Results	47
2.5 Discussion	54
2.6 Acknowledgments	59
2.7 References	60
Chapter 3 – Retinoid-X-Receptors ( $\alpha/\beta$ ) in Melanocytes Modulate Innate Immune Responses and Differentially Regulate Cell Survival Following UV Irradiation	90
3.1 Abstract	91
3.2 Author Summary	92
3.3 Introduction	93
3.4 Results	96
3.5 Discussion	04
3.6 Materials and Methods1	10
3.7 Acknowledgments1	19
3.8 References	20

# TABLE OF CONTENTS (Continued)

	гa
Chapter 4 - General Conclusions and Future Directions1	58
4.1 General Conclusions and Future Directions1	59
4.2 References1	66
Bibliography1	71

# <u>Page</u>

# LIST OF FIGURES

Figure	<u>Page</u>
1.1 UVR-induced DNA damage responses in control (CT) and <i>Rxra<sup>ep-/-</sup></i> neonatal mouse skin	29
1.2 Effects of keratinocytic RXRα loss on melanocyte proliferation after UV exposure	
1.3 Quantitative RT-PCR analysis of expression of paracrine factors in skir of CT and <i>Rxra<sup>ep-/-</sup></i> (MT) mice	
1.4 Activation of MAPK and PKC signaling by EDN1 is specific to EDNRB receptor	35
2.1 Macroscopic and histological characterization of melanocytic tumors from bigenic <i>Rxra<sup>ep-/-</sup></i> mice combined with <i>Tyr-NRAS</i> <sup>Q61K</sup> or <i>Cdk4</i> <sup>R24C</sup> mutations	65
2.2 Melanocytic tumors from bigenic <i>Rxra<sup>ep-/-</sup></i> mice combined with <i>Tyr-NRAS</i> <sup>Q61K</sup> or <i>Cdk4</i> <sup>R24C</sup> mutations have enhanced proliferative, angiogenic, and malignant properties	67
2.3 Enhanced TYRP1+ pigment-producing cells are present in draining lymph nodes of <i>Tyr-NRAS</i> <sup>Q61K</sup> mice compared to $Cdk4^{R24C}$ mice, which are further increased in absence of keratinocytic RXR $\alpha$ in both <i>Tyr-NRAS</i> <sup>Q61K</sup> and $Cdk4^{R24C}$ groups	
2.4 Melanocytic tumors from bigenic <i>Rxra<sup>ep-/-</sup></i> mice show both overlapping and distinct dysregulated expression of several genes implicated in melanoma progression	71

# LIST OF FIGURES (Continued)

<u>Figure</u> <u>Page</u>
2.5 Chronically UVB-irradiated tumor-adjacent normal (TAN) skin from bigenic <i>Rxra<sup>ep-/-</sup></i> mice exhibit loss of PTEN and increase in phosphorylated AKT
2.6 Chronically UVB-irradiated tumor-adjacent normal (TAN) skin from bigenic <i>Rxra<sup>ep-/-</sup></i> mice exhibit loss of p53 and upregulation of Cyclin D175
2.7 Cooperativity between loss of epidermal RXRα expression and activated CDK4 or oncogenic NRAS during UVB induced melanoma progression in bigenic mice
2.S1 An increase in infiltrating macrophages is observed in melanocytic lesions from <i>Cdk4</i> <sup>R24C</sup> mice with epidermal-specific knockout of <i>Rxra</i> , but not in <i>Rxra<sup>ep-/-</sup>   Tyr-NRAS</i> <sup>Q61K</sup> mice. No change in infiltrating CD4+ T-cells observed in melanocytic lesions from either <i>Cdk4</i> <sup>R24C</sup> or <i>Tyr-NRAS</i> <sup>Q61K</sup> mice with epidermal-specific knockout of <i>Rxra</i>
2.S2 No change in infiltrating mast cells as determined by CEM staining is observed in melanocytic lesions from either $Cdk4^{R24C}$ or $Tyr$ - $NRAS^{Q61K}$ mice with epidermis-specific knockout of $Rxr\alpha$
2.S3 Heat Map and Clustergram of dysregulated genes in melanocytic lesions from <i>Rxra<sup>ep-/-</sup>   Tyr-NRAS</i> <sup>Q61K</sup> mice83
2.S4 Heat Map and Clustergram of dysregulated genes in melanocytic lesions from <i>Rxra<sup>ep-/-</sup>   Cdk4<sup>R24C</sup></i> mice85
2.S5 Chronically UVB-irradiated tumor-adjacent normal skin from <i>Tyr-NRAS</i> <sup>Q61K</sup> or <i>Cdk4</i> <sup>R24C</sup> mice with epidermis-specific knockout of <i>Rxra</i> show modest increase in CDK4 expression; downregulation of phosphorylated ERK, as well as increased E2F1 expression specifically in <i>Rxra</i> <sup>ep-/-</sup>   <i>Cdk4</i> <sup>R24C</sup> mice

# LIST OF FIGURES (Continued)

<u>Figure</u> <u>Page</u>
3.1 Loss of RXRs $\alpha$ and $\beta$ are restricted to melanocytes in <i>Rxra/<math>\beta^{mel-/-}</math></i> mice 125
3.2 Loss of melanocytic RXRs $\alpha$ and $\beta$ results in contrasting changes in cell survival in dermal skin cells and melanocytes following UV radiation127
3.3 Loss of melanocytic RXRs $\alpha$ and $\beta$ results in reduced monocyte/ macrophage infiltration and corresponding reduced interferon- $\gamma$ (IFN- $\gamma$ ) expression following UV radiation. IFN- $\gamma$ influences cell survival post-UV <i>in vitro</i>
3.4 Generation of $Rxr\alpha/Rxr\beta$ double knockdown murine melanocytes
3.5 Ablation of RXR $\alpha$ and $\beta$ in melanocytes results in altered expression of several chemokines post-UV, as well as pro- and anti- apoptotic genes, some of which may be direct binding targets of RXR $\alpha$
3.6 Mechanistic representation of post-UV defects in $Rxr\alpha/\beta^{mel-/-}$ mice compared to control mice
3.S1 No phenotypic changes observed in adult $Rxr\alpha/\beta^{mel-/-}$ mice compared to controls; post-UVR epidermal thickness and melanocyte numbers are unchanged as a result of ablating RXR $\alpha$ and $\beta$ from melanocytes
3.S2 Levels of cyclopyrimidine dimer (CPD) formation and oxidative DNA damage (8-oxo-dG) across all skin compartments is unchanged as a result of ablating RXR $\alpha$ and $\beta$ from melanocytes
3.S3 Loss of melanocytic RXRs $\alpha$ and $\beta$ also alters profile of infiltrating immune cells other than macrophage following UV radiation

# LIST OF FIGURES (Continued)

<u>Figure</u> <u>Pa</u>	<u>ige</u>
3.S4 Compensatory upregulation of <i>Rxrβ</i> expression when <i>Rxrα</i> is knocked down in melanocytes	3
3.S5 Analysis of peak mRNA expression of chemokines <i>Ccl2</i> and <i>Ccl8</i> post-UV in cultured wild-type melanocytes145	5
3.S6 RT-PCR arrays to determine altered expression of chemokines/receptors and apoptosis-related genes in RXR knockdown melanocytes post-UV; and re validation of results	-
3.S7 Melanocytes isolated by FACS from UVR-treated $Rxr\alpha/\beta^{mel-/-}$ mouse skin show similar gene dysregulation to cultured $Rxr\alpha/\beta$ double shRNA knockdown melanocytes	
3.S8 In silico analysis was used to find potential RXR response elements using Fuzznuc motif finder	-
4.1 Sample data from ChIP-seq experiment performed in murine epidermal keratinocytes	9

# LIST OF TABLES

Table	<u>Page</u>
2.1 List of antibodies used during experimental procedures	89
3.1 IDs of all genes mentioned in text	.153
3.2 List of antibodies used during experimental procedures	.154
3.3 29mer shRNA constructs used for gene knockdown studies	.155
3.4 List of primer sets used for RT-qPCR analysis of mRNA expression	.156
3.5 List of primer sets used for ChIP-RT-qPCR analysis	. 157

# DEDICATION

To my family for their unconditional love and support; and to the State of Oregon

for being an awesome place to grow up, live, and receive an education.

## General Introduction: Melanocytes, Ultraviolet Radiation-Induced DNA Damage and Mutation; and the Involvement of Retinoid-X-Receptors in Melanomagenesis

Chapter 1

Daniel J. Coleman and Arup K. Indra

### 1.1 Abstract

Melanoma is the deadliest form of skin cancer, arising from malignant transformation of melanocytes, the pigment cells of the skin. The primary risk factor for development of melanoma and other skin cancers is unprotected ultraviolet radiation (UVR), which results in DNA damage. If incorrectly repaired, this damage can result in incorporation of mutations that render cells tumorigenic owing to aberrant cell cycling and/or other functional defects. In addition, these UVR-induced mutations may cooperate with heritable mutations that already predispose individuals to melanoma. Oregon has one of the highest melanoma incidence rates in the U.S. and with cases on the rise, the need to better understand the molecular mechanisms behind melanoma formation becomes more crucial. Retinoid-X-Receptors (RXRs)  $\alpha$ ,  $\beta$ , and  $\gamma$  are members of the Type II Nuclear Receptor (NR) superfamily of DNA-binding transcription factors. RXRs ubiquitously regulate gene expression via ligand binding and heterodimerization with various other NRs; depending on ligand and heterodimeric partner they are able to function as transcriptional co-repressors or co-activators. Progressive loss of RXRα expression has been reported during progression from benign nevi to invasive malignant melanoma in humans, both in melanoma cells themselves and in the adjacent keratinocytes that comprise the epidermis of the skin. We have found that keratinocyte-specific ablation of RXRα in a mouse model (*Rxra<sup>ep-/-</sup>*) results in increased melanoma formation as a result of DMBA/TPA induced chemical carcinogenesis. Melanocytes in those mice exhibit enhanced proliferation in response to an acute dose of UVR, suggesting altered

keratinocyte-melanocyte crosstalk. We aim to better elucidate the molecular mechanisms of RXR function in UVR-induced melanocyte homeostasis and in melanomagenesis.

### 1.2 The Biology of the Skin

Skin is the largest organ in the body and provides the main barrier between our internal organs and the external environment. It is comprised of three layers, the epidermis, dermis, and hypodermis [1]. The epidermis is the uppermost layer, of which the outermost surface is exposed to the environment. The epidermis is a multilayered stratified epithelium comprised primarily of keratinocytes and is a constantly self-renewing tissue [1]. A proliferating basal layer gives rise to the terminally differentiated spinous and granular layers [1]. The outermost layer, the stratum corneum, is comprised of flattened, dead cells that are continually shed and replaced by cells underneath [1]. These dead cells retain some metabolic functions [1]; and form a tight barrier to prevent water loss from the skin and also to keep insults, such as harmful pathogens, out. Beneath the epidermis is the dermis, which connects to the epidermis via a basement membrane [1]. This layer is comprised primarily of fibroblasts, which secrete a collagenous extracellular matrix [1]. At the base of the dermis are sub-cutaneous (cutaneous = within the skin) fat cells, known as adipocytes. This sub-cutaneous layer is known as the hypodermis [1]. The purpose of this layer is to cushion the skin against underlying structures such as bone and to provide an energy reserve [1]. Also present throughout the skin are various immune cells, such as Langerhans cells [1], monocytes, macrophages, mast cells [1], and T-cells [2]. Additionally, melanin-producing melanocytes reside within the epidermis, dermis, and hair follicles.

### 1.3 Melanocyte Biology

Melanocytes give skin and hair their pigment via synthesis and transfer of melanin to other cells. Due to their dendritic nature, one melanocyte is capable of contacting and transferring melanin to 36 keratinocytes simultaneously [1]. Melanin pigment also functions to shield cells from ultraviolet radiation (UVR) that can damage DNA and result in mutations that cause cancers of the skin. This UVR-induced pigmentation of the skin, or melanogenesis, is commonly known as tanning. Rates of UVR-induced DNA damage have been inversely correlated with cellular melanin content [3]. In response to UVR, keratinocytes upregulate secretion of mitogenic paracrine factors to enhance melanogenesis, for example a >30 fold increase in melanocyte stimulating hormone ( $\alpha$ MSH) is observed in UVR-treated keratinocytes from both mice and humans [4]. Addition of thymine dinucleotides (pTpTs) to simulate presence of DNA photoproducts directly activates tumor suppressor p53 [5] and enhances DNA repair efficiency when topically applied to skin [5,6]. When added to cultured pigment cells, pTpT increases melanogenesis [7] and upregulates expression of Tyrosinase, the ratelimiting enzyme involved in melanin synthesis [8]. This suggests that melanocytes can also directly sense DNA damage and enhance their melanogenesis without signaling from other cell types.

Throughout the animal kingdom, colors of feathers, fur, skin, eyes, etc. are determined primarily by pigment-producing melanocytes [4]. Melanin pigment is classified into two main types, pheomelanin and eumelanin, which are red/yellow

and brown/black in color, respectively [4]. The melanin is packaged into unique organelles known as melanosomes and transferred to other cells, largely keratinocytes [4,9], resulting in pigmentation of the skin and hair. While melanocyte numbers are consistent, alterations in the composition of melanosomes can produce varying pigmentation patterns within a species [4].

Unlike other cell types in the skin, melanocytes arise from pluripotent neural crest cells. During development, the immature cells, known as melanoblasts, migrate from the neural crest to populate the skin, as well as the inner ear and eyes [10-12]. Melanocyte development, survival and migration is heavily influenced by signaling via the c-KIT receptor and its mitogenic ligand Stem Cell Factor (SCF, aka Kit Ligand (KITL)) [13-17]; including its function as a chemotactic factor for migration from the dermal mesenchyme into the hair follicles of the skin [17]. Within the hair follicles, a population of undifferentiated melanocyte stem cells resides in the bulge region, giving rise to differentiated melanocytes that populate the hair follicle bulb to incorporate melanin during hair synthesis; and also to populate the epidermis for skin pigmentation [4]. Other receptor-mediated signaling pathways, such as Endothelin signaling via the ENDRB receptor [18], Hepatocyte Growth Factor (HGF) signaling via the c-MET receptor [19], and a MSH signaling via the MC1R receptor have also been found to have significant roles in melanocyte development, migration, and homeostasis. MC1R receptor signaling has been found to be crucial for skin pigmentation. Paracrine αMSH secretion from keratinocytes is largely upregulated following UVR exposure [4]; agonist-bound MC1R results in production of cyclic AMP [4],

leading to downstream activation of the transcription factor MITF [4], which regulates expression of several factors of melanocyte differentiation and pigment-production [4]. Numerous (>30) allelic variations of human MC1R exist [4], specific variants have been associated with red hair phenotype [4,20] and/or increased melanoma risk [20,21].

Recent findings have suggested that different signaling pathways are required to maintain cutaneous melanocyte populations in the dermal layer as opposed to those cells residing in the epidermis [10,22]. In a mouse model, melanocytes residing in the epidermis are highly sensitive to SCF-cKIT signaling [10,13]. Meanwhile similar to non-cutaneous melanocytes, those residing in the dermal layer are relatively insensitive to SCF owing to reduced expression of the c-KIT receptor on their cell surface, and instead respond strongly to mitogens Hepatocyte Growth Factor (HGF), via the c-MET receptor; and Endothelin-3 (EDN3, aka ET-3) via the ENDRB receptor [10]. Keratinocyte-specific overexpression of SCF results in localization of melanocytes to the mouse epidermis [10,13], while HGF or EDN3 overexpression results in melanocytes populating the dermal layer [10]. Furthermore, a hair reconstitution assay revealed that dermal melanocytes are unable to repopulate hair follicles [22].

Specific localizations of melanocytes can vary amongst species. For example, the skin of mice is strikingly similar to human skin in morphology, but being a furry nocturnal animal, most murine melanocytes in the skin are contained within the hair follicle for coat pigmentation; and the number of innate

7

extrafollicular epidermal melanocytes is low compared to human skin [4]. However, as mentioned previously, keratinocyte-specific overexpression of SCF [10,13] results in enhanced melanocyte localization to the extrafollicular epidermis in a similar manner to human skin [4,13]. Additionally, studies from our own group [23,24] and others [25] have shown that exposure of murine skin to ultraviolet-B radiation (UVB) results in robust activation and outmigration of melanocytes from the hair follicles into the epidermis and dermis; demonstrating that their photoprotective function in response to UVR is conserved in mice despite their nocturnal nature in the wild. Thus, murine skin is being utilized as a very suitable model to study UVR-induced pathogenenesis, including the roles of melanocytes.

#### 1.4 Ultraviolet Radiation and Mutagenic DNA Damage

#### 1.4.1 Solar UV-induced DNA Damage

Solar ultraviolet radiation (UVR) is an environmental carcinogen owing to its ability to directly and indirectly cause DNA damage, which can result in harmful mutations if not properly repaired. UV light is comprised of three bands: UVA (315-400 nm), UVB (280-315 nm), and UVC (< 280 nm) [26]. The atmospheric ozone layer filters out UVC radiation and the bulk of UVB [26]. Therefore, the solar UVR that reaches the earth's surface and has implications in biology is comprised largely of UVA and some UVB [26]. UVA is able to penetrate deeper into the skin, with 30-50% of its energy able to reach the dermis, while only 10-20% of UVB penetrates beyond the epidermis and into this layer [26]. Both bands are able to inflict DNA damage. The DNA damage caused by UVA is largely indirect, it is absorbed by chromophores within the cell which results in formation of reactive oxygen species (ROS); which then react with DNA to cause oxidative-induced DNA damage, such as 8-Oxo-2'deoxyguanosine (8-oxo-dG) [26-28]. Red/yellow pheomelanin may itself be a photosensitizer, producing ROS as a result of UVR [4]. Meanwhile, UVB has a largely direct effect. UVB radiation can result in formation of covalent bonds between adjacent pyrimidines, such as cyclobutane pyrimidine dimers (CPDs) and 6-4 photoproducts (6-4 PPs) [4,9,26-28]; though UVB can also result in oxidative DNA damage [28]. Oxidative DNA lesions can be repaired by either base excision repair (BER) or nucleotide excision repair (NER) [26]. CPDs and

6-4 PPs are generally repaired using NER [26,28]. Individuals with compromised NER, such as xeroderma pigmentosum (XP) sufferers, are highly sensitive to sunlight and are extremely susceptible to UVR-induced skin cancers as many mutations are improperly repaired [9,26-28]. Even in otherwise healthy individuals, UVR-induced mutations can occur when the NER repair capacity is exceeded [26]. A mere 15% reduction in NER capacity can separate healthy individuals from those developing skin cancers such as basal cell carcinoma (BCC) at an early age [27]. It has also been suggested that defects in mismatch repair (MMR) can reduce NER capacity and may also have a role in UVR-induced tumorigenesis [26].

UVR-induced DNA lesions are not completely random. DNA structure can favor mutagenicity at particular sites in what are known as UVR fingerprint mutations [9]. "Hotspots" of UVR-induced mutation correspond with regions of the genome where DNA repair is slow [28,29]. Point mutations induced by UVB generally occur at di-pyrimidine sites containing cytosine [30], with C to T transitions most common [31,32]; while mutations resulting from oxidative stress most often result in G to T transversions [32,33]. Thus, certain genetic regions are at higher risk for incorporating tumorigenic mutations as a result of UVR.

### 1.4.2 Melanoma Etiology

Malignant melanoma arises from malignant transformation of melanocytes. While melanomas make up less than 10% of total skin cancer

incidences [28], they account for the majority of deaths [27,28]. Unprotected exposure to UVR is the primary risk factor for melanoma and other skin cancers [Centers for Disease Control and Prevention (CDC), 2010; American Cancer Society, 2013]. Despite our often cloudy and wet weather, Oregon has one of the highest melanoma incidence rates in the U.S.; with a diagnosis rate 36% higher than the national average from 2002-2006, ranking 4<sup>th</sup> nationally [34,35]. Approximately 120 melanoma-related deaths occur in Oregon each year [36] and the death rate from 2002-2006 ranked 8<sup>th</sup> nationally [37]. As of 2009, Josephine County has the 5<sup>th</sup> highest melanoma death rate among all counties in the U.S. [36]. Melanoma is the second most common cancer to arise in young adults [38], and the diagnosis rate for people born in 2006 is estimated to be 30 times higher than for people born in 1930 [37,39]. Thus, better understanding of the molecular mechanisms underlying formation of malignant melanoma and progression to metastasis is crucial for developing new diagnostic and therapeutic strategies as the number of incidences continues to rise.

#### 1.4.3 Hallmark Mutations in Melanoma

Mutational disruption of the INK4a/ARF locus and related genes are signature events in formation of familial melanoma. Partial or complete loss of INK4a/ARF has been reported to exist in ~60% of melanoma cell lines surveyed [32,40]. Via alternative splicing, the INK4a/ARF locus encodes two nonhomologous proteins: p16<sup>INK4a</sup> and p14<sup>ARF</sup> (homolog is p19<sup>ARF</sup> in mice) [41,42]. p16<sup>INK4a</sup> has a role in the Rb-INK4a-CDK4 pathway; via inhibiting Cyclin Dependent Kinases (CDK4/6) from phosphorylating the tumor suppressor protein retinoblastoma (pRb) [41,43]. When hypo-phosphorylated, pRb represses E2F1 transcription factor function and prevents exit from the G1 cell cycle phase [41]. Thus p16<sup>INK4a</sup> expression results in a G1 cell cycle arrest and limits excessive cellular proliferation. p14<sup>ARF</sup>/p19<sup>ARF</sup> stabilizes tumor suppressor p53 via inhibition of MDM2 protein, which otherwise mediates p53 degradation [41]. Both genes encoded by the INK4a/ARF locus are crucial for preventing aberrant cell cycling and tumorigenesis. Heterozygous *Ink4a/arf* <sup>+/-</sup> mice combined with an overexpressing *HGF/SF* (Hepatocyte Growth Factor and Scatter Factor) transgene develop melanomas as a result of UVR, this melanomagenesis is accelerated in null *Ink4a/arf* <sup>-/-</sup> | *HGF/SF* mice [44].

Other mutations in the Rb-INK4a-CDK4 pathway can result in cell cycle defects analogous to INK4a/ARF inactivation, such as oncogenic point mutations in CDK4 that alter the protein encoded by open reading frame. The mutant CDK4 R24C protein (Arginine at amino acid position 24 mutated to Cysteine) is unable to bind p16<sup>INK4a</sup> [45] and thus the Rb-INK4a-CDK4 pathway is dysregulated similarly to if the INK4a/ARF locus had been inactivated. This mutation has been found to be a risk factor for hereditary melanoma in humans [45-47]. Homozygous  $Cdk4^{R24C/R24C}$  mice have been found to have enhanced pRb phosphorylation, as well as increased cell proliferation rate and shorter cycling times [45]. Fibroblasts cultured from these mutant mice evade senescence and undergo neoplastic transformation when combined with a single oncogenic *Ha-ras<sup>v12</sup>*, *v-myc*, or *E1A* mutation; wild–type  $Cdk4^{+/+}$  cells required

expression of at least two of these oncogenes [45]. Additionally these mice develop more spontaneous tumors as a function of age compared to control mice, and addition of the  $Cdk4^{R24C/R24C}$  mutation enhances tumorigenesis resulting from expression of oncogenic *Ras* [45]. Two-step DMBA/TPA induced chemical carcinogenesis treatment on the skin of  $Cdk4^{R24C/R24C}$  mice results in formation of invasive melanomas [48].

The canonical mitogen-activated protein kinase (MAPK) pathway, consisting upstream to downstream of RAS-RAF-MEK-ERK, has been found to be important in melanoma formation. A point mutation consisting of a T-A transversion at position 1,799 accounts for 90% of all mutations in the human BRAF gene [49], resulting in a mutant protein known as BRAF<sup>V600E</sup>. BRAF mutations are found in ~50% of melanomas; with 90+% of those mutations being BRAF<sup>V600E</sup> [49]. This mutation results in constitutive downstream ERK activation and upregulation of Cyclin D1, the regulatory subunit of CDK4/6 required for G1-S transition; demonstrating synergism with the Rb-p16/INK4a-CDK4 pathway. Some clinical success has been obtained using the RAF inhibitor Vemurafenib to treat BRAF<sup>V600E</sup> melanomas. However, acquired drug resistance often occurs, possibly due to aberrant mRNA splicing prior to protein translation [50]; underscoring a need for additional simultaneous therapeutic targets for melanoma treatment. Oncogenic RAS expression is prevalent in a broad range of human cancers; and an estimated 15-20% of melanomas express mutant oncogenic NRAS [51,52]. Direct targeting of RAS mutations has been unsuccessful thus far [51], but pharmacological inhibition of MEK resulted in

regression of melanomas in mice expressing NRAS<sup>Q61K</sup> [51], but interestingly only when CDK4/6 was also inhibited. MEK inhibition alone wasn't enough to produce this effect [51], again suggesting a synergism of the Rb-p16/INK4a-CDK4 and MAPK pathways in melanoma progression.

#### 1.5 Retinoid-X-Receptors and Melanoma

### 1.5.1 Biology of Type II Nuclear Receptors

Retinoid-X-Receptors (RXRs)  $\alpha$ ,  $\beta$ , and  $\gamma$  are members of the Type II Nuclear Receptor (NR) superfamily of DNA-binding transcription factors. Type II NRs have a highly conserved DNA binding domain (DBD) consisting of two zinc finger motifs [53]. The NRs form either homo- or hetero-dimers with each other and bind response elements on the DNA as part of a transcriptional regulatory complex [53]. These elements generally consist of short direct-repeat sequences with a variable length of nucleotides in-between, for example a 5'-

AGGTCAxxxAGGTCA-3' consensus sequence is bound in the case of a RXR-LXR (Liver-X-Receptor) heterodimer [54]. Dimerization and DNA binding capabilities are aided by two activation domains (AF-1, AF-2) [53]. More variation exists between the NRs with regard to their carboxyl terminal ligand binding domain (LBD), which allows for binding of signaling molecules; specificity of which varies between individual NRs [53,55]. Receptors for which ligand specificity has yet to be determined are labeled as orphan receptors [53]. Examples of endogenous NR ligands expressed in skin include all-trans retinoic acid (RA) for retinoic acid receptors (RARs) [53,56,57], 9-cis RA for RXRs [53,58,59], 1,25-(OH)2-VD3 for Vitamin D receptor (VDR) [53,60], fatty acids/lipids for Peroxisome Proliferator-Activated Receptors (PPARs) [53,61,62] and oxysterols for LXR [53,63].

A distinguishing feature of Type II NRs function is the promiscuity of heterodimerization by the RXRs [53]. All of the NRs are capable of forming heterodimers with one of the three RXR isoforms: RXRα alone is able to heterodimerize with at least 15 NR family members [53]. These heterodimers occupy aforementioned direct repeat response elements within the promoter regions of target genes [53,64,65]; where they are able to regulate gene expression in a ligand-dependent manner [53]. Mediated via ligand binding, the NR heterodimers can regulate organization of complexes in a manner that either initiates or represses transcription [53,66,67]. Examples of coactivators include ATP-dependent chromatin remodelers, histone acetyltrasferases and the Mediator complex [53,68,69]. Corepressors comprise the N-CoR/Smart assembly and histone deacetylases [53,70,71]. Post-translational modifications of co-factors such as phosphorylation, methylation, sumoylation and ubiquitination add an additional layer of complexity and specificity to NR regulation [53,72]. Cell- and tissue-specific factors can also come into play, for example the PPARy cofactor PGC-1 is present in adipose tissue but not fibroblasts, allowing a cell type-specific activation of genes related to adaptive thermogenesis [53,73]. Thus, NRs are able to influence gene expression in a diverse and dynamic manner via diversity in heterodimerization, ligand binding, and cell-intrinsic properties.

#### 1.5.2 Role for RXRs in Melanoma

Although many of RXRs heterodimeric partners have been implicated in diseases of the skin, perhaps in many cases these could instead be associated with disrupted RXR function itself. Loss of RXRa expression is observed in human melanomas as tumors progress from benign nevi to malignant and metastatic lesions, both in the melanoma cells themselves [74], and interestingly also in adjacent keratinocytes [75]. These data indicate an importance for RXRmediated gene expression in melanomagenesis. Work from our own group has previously established that in a mouse model, loss RXRa expression in keratinocytes has a role in melanomagenesis. Interestingly, tissue-specific ablation of RXRa expression in keratinocytes (Rxraep-/- mice) results in enhanced formation and malignant conversion of melanomas as a result of chronic chemical DMBA-TPA carcinogenesis treatment on the skin [76]. This effect was exacerbated when combined with mutant CDK4 in a bigenic mouse model.  $Rxra^{ep-/-}$  Cdk4<sup>R24C/R24C</sup> mice formed a higher number of large (>2mm) melanomas than either regular *Rxra<sup>ep-/-</sup>* or *Cdk4<sup>R24C/R24C</sup>* mice; and the melanomas exhibited higher expression of markers of malignant progression and had increased metastasis to lymph nodes relative to mice expressing only the mutant CDK4<sup>R24C</sup> [75]. These results suggested that loss of RXRα expression in keratinocytes can promote malignant transformation of melanocytes, possibly via dysregulated cross-talk between keratinocytes and melanocytes; and that cooperativity with oncogenic mutations in key signaling pathways such as the Rb-INK4a-CDK4 pathway may enhance this effect.

Being a more biologically-relevant model of melanoma susceptibility than chemical carcinogens, we wanted to assess the role of keratinocytic RXRa loss on melanocyte homeostasis following UVR. While no change in formation/clearance of CPDs was observed as a result of keratinocytic RXRa ablation (Figure 1.1 a), we did observe increased levels of 8-oxo-dG+ melanocytes in Rxra<sup>ep-/-</sup> skin 48 hours post-UVR (Figure 1.1 b) [23]. We observed that the number of epidermal and total extrafollicular melanocytes was increased in UVR-irradiated floxed Rxra<sup>L2/L2</sup> control (CT) skin over untreated mice, this increase was significantly higher yet in the mutant  $Rxr\alpha^{ep-/-}$  (MT) group (Figure 1.2 a-d) [23]. Additionally, an increase in TRP2-positive melanocytes was consistently detected in the dermis of mutant skin 48 hours after UVR (Figure 1.1 b) [23]. These results suggest that loss of keratinocytic RXRa expression makes melanocytes more sensitive to UVR-induced activation/proliferation in both the epidermis and dermis, possibly via altered paracrine signals from the keratinocytes. Primary murine melanocytes showed enhanced proliferation when cultured in conditioned keratinocyte medium (KM) derived from cultured primary keratinocytes isolated from either CT or MT skin (Figure 1.2 e) [23]. This effect was enhanced when using KM from MT Rxra<sup>ep-/-</sup> keratinocytes, and more so when KM was collected from MT keratinocytes exposed to UVR (Figure 1.2 e) [23]. In Rxra<sup>ep-/-</sup> keratinocytes we observed increases in relative mRNA expression levels of mitogenic paracrine factors secreted by keratinocytes to stimulate melanocyte proliferation, in particular EDN1 (aka ET-1) FGF2, and SCF were more than two-fold higher in mutant skin prior to and 24 hours post-UVR (Figure 1.3) [23]. Overall, the results of our study provide compelling evidence that keratinocytic RXR $\alpha$ , in cooperation with UVR, regulates melanocyte homeostasis by repressing secretion of paracrine mitogenic growth factors Additionally, the finding of increased melanocytes with incorporated oxidative DNA damage following UVR suggests an enhanced susceptibility to malignant transformation of these cells in *RXR* $\alpha^{ep-/-}$  mice.

We then decided to thoroughly investigate the role of keratinocytic EDN1 expression in UVR-induced melanocyte homeostasis, as endothelin signaling via the EDNRB receptor is known to be crucial in melanocyte biology, and EDN1 expression is significantly upregulated in the skin of our  $RXR\alpha^{ep-/-}$  mice. Keratinocyte-specific ablation of EDN1 (*EDN1<sup>ep-/-</sup>* mice) results in reduced numbers of both cutaneous epidermal and dermal melanocytes post-UVR compared to wild-type mice [24]. We observed that addition of exogenous EDN1 to cultured primary melanocytes activates both the downstream MAPK and Protein Kinase C (PKC) pathways in primary murine melanocytes (Figure 1.4) [24]. PKCs can also activate the MAPK pathway and aberrant PKC expression/function is thought to contribute to melanoma malignancy [77]. Parallel co-treatment of cells with EDN1 and the potent EDNRB receptor antagonist BQ788 completely abrogated both MAPK and PKC activation, indicating that both of these processes are specifically mediated by the EDNRB receptor (Figure 1.4) [24].

As EDN1 is upregulated in keratinocytes from  $RXR\alpha^{ep-/-}$  mice, it's possible that the MAPK and PKC pathways are indirectly activated as a consequence of

epidermal RXRα loss. EDN1 represents only one of several mitogenic paracrine factors that are dysregulated as a result of keratinocytic RXRα ablation, thus EDNRB-mediated activation of the MAPK and PKC pathways may be only one mechanism in which RXRa loss promotes melanomagenesis. As nuclear receptors function in a very diverse and dynamic manner to regulate gene expression, continuing work needs to be done to better understand the mechanistic roles of RXRs in melanocyte and melanoma biology. To that end, in the following chapters we have aimed to further elucidate the molecular biology of RXRs in UVR-induced melanocyte homeostasis and melanomagenesis. In Chapter 2, have we investigated the mechanistic role of keratinocytic RXRa loss in chronic UVB-induced melanomagenesis in combination with either activated CDK4<sup>R24C</sup> or oncogenic NRAS<sup>Q61K</sup> mutations. As RXRa has also been shown to be progressively lost in pigmented melanoma cells themselves [74], in Chapter 3, we have generated a unique mouse model containing a melanocyte-specific knockout of both RXRs  $\alpha$  and  $\beta$  to elucidate the role of melanocytic RXRs in melanocyte and skin homeostasis post-ultraviolet radiation.

20

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### Figure 1.1: UVR-induced DNA damage responses in control (CT) and $Rxr\alpha^{ep-/-}$ neonatal mouse skin.

(a) Ex vivo immunohistochemical (IHC) analysis of DNA damage in dorsal skin by detecting formation of cyclopyrimidine thymine dimers (CPDs) (red). (b) Co-IHC labeling of oxidative DNA damage (8-oxo-dG, red) and green labeled TRP2+ (aka DCT, TYRP2) melanocytes in skin. Yellow (merged panel) shows TRP2+/8oxo-dG+ cells, indicating melanocytes with incorporated oxidative DNA damage. D, dermis; E, epidermis; RXR $\alpha$ , retinoid X receptor- $\alpha$ . Bar = 15.6 µm.

Data excerpted from:

"RXRα Ablation in Epidermal Keratinocytes Enhances UVR-Induced DNA Damage, Apoptosis, and Proliferation of Keratinocytes and Melanocytes"

Zhixing Wang, Daniel J. Coleman, Gaurav Bajaj, Xiaobo Liang, Gitali Ganguli-Indra and Arup K. Indra

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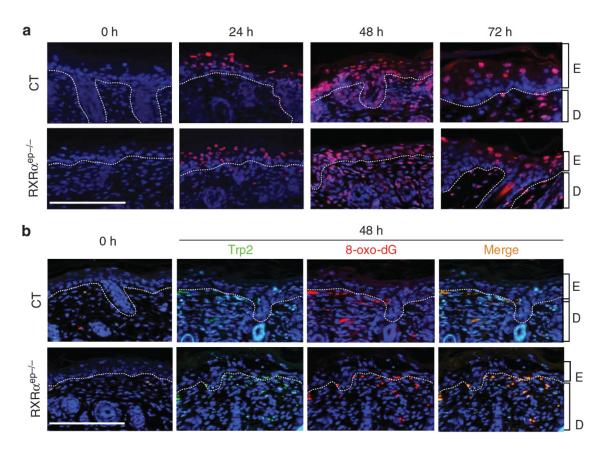


Figure 1.1

# Figure 1.2: Effects of keratinocytic RXRα on melanocyte proliferation after UV exposure.

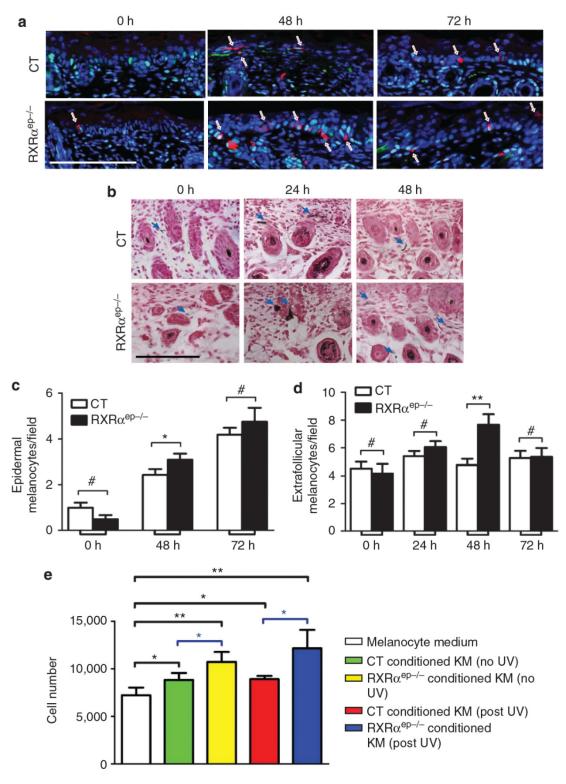
(a) Co-IHC staining for proliferating melanocytes using proliferation marker PCNA (green) and melanocyte-specific marker TRP1 (aka TYRP1) (red). Nuclei were labeled with DAPI (blue). Arrows indicate epidermal melanocytes. An overall increase in proliferating melanocytes over controls (CT) was observed in  $Rxr\alpha^{ep-/-}$  mice 48 h post-UVR. (b) Fontana–Masson staining for melanin pigment in CT and Rxra<sup>ep-/-</sup> skin. Nuclei (pink-red), cytoplasm (pink), and melaninproducing melanocytes (black) are indicated. Arrows indicate melanin-producing melanocytes in dermis. Bar = 15.6  $\mu$ m. Counts of melanocytes in CT and Rxra<sup>ep-</sup> <sup>/-</sup> (c) epidermis and (d) dermis at different time points. More epidermal and total extrafollicular melanocytes were observed in Rxra<sup>ep-/-</sup> skin over CT, particularly at 48 h post-UVR. (e) Proliferation assay of primary melanocytes 24 hours after culture in keratinocyte-derived conditioned medium (KM). KM enhanced proliferation of melanocytes, this effect was greater when using  $Rxra^{ep-/-}$ KM, and more so when using KM from *Rxra<sup>ep-/-</sup>* keratinocytes exposed to UVR. Statistical analyses were performed by two-tailed unpaired t-test and corrected with Bonferroni step-down analysis using GraphPad Prism software; \*P<0.1; \*\*P<0.05: # = no statistical difference.

Data excerpted from:

"RXRα Ablation in Epidermal Keratinocytes Enhances UVR-Induced DNA Damage, Apoptosis, and Proliferation of Keratinocytes and Melanocytes"

Zhixing Wang, Daniel J. Coleman, Gaurav Bajaj, Xiaobo Liang, Gitali Ganguli-Indra and Arup K. Indra

Journal of Investigative Dermatology Published October 14, 2010 JID 131(1):177-187 doi: 10.1038/jid.2010.290





### Figure 1.3: Quantitative RT-PCR analysis of expression of paracrine factors in skin of CT and $Rxr\alpha^{ep-/-}$ (MT) mice.

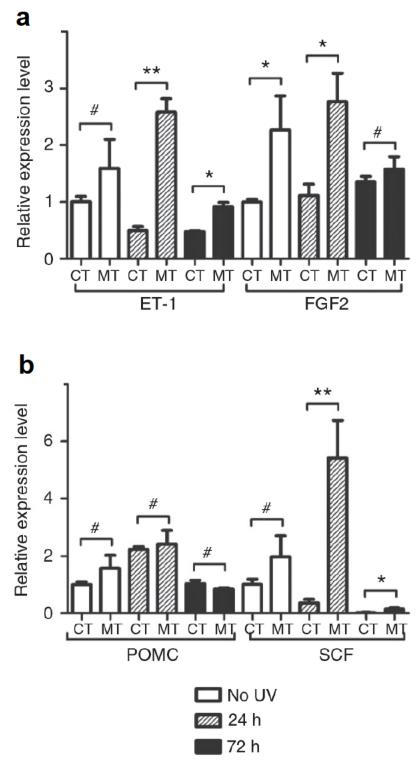
Relative expression levels of (a) ET-1 (aka EDN1), FGF2; and (b) POMC, SCF at different time points after UVR are indicated. Known melanocyte mitogens ET-1, FGF2, and SCF were all significantly upregulated in  $Rxra^{ep-/-}$  skin both pre- and post-UVR. Values represent relative transcript level after normalization with HPRT transcripts. \*P<0.2; \*\*P<0.05; # = no statistical difference between CT and  $Rxra^{ep-/-}$  mice.

Data excerpted from:

"RXRα Ablation in Epidermal Keratinocytes Enhances UVR-Induced DNA Damage, Apoptosis, and Proliferation of Keratinocytes and Melanocytes"

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# Figure 1.4: Activation of MAPK and PKC signaling by EDN1 is specific to EDNRB receptor.

(A) Immunoblot analysis of EDNRB receptor expression in lysates from primary murine keratinocytes [KC] and melanocytes [MC]. Both cell types express EDNRB. β-actin was used as a loading control. (B) Immunoblot analysis for ERK phosphorylation in melanocytes, a marker of MAPK pathway activation. Addition of exogenous EDN1 to minimal melanocyte growth medium results in ERK phosphorylation, which is abrogated by co-treatment with the EDNRB antagonist BQ788, demonstrating that the observed ERK activation is specifically via the EDNRB receptor. Total ERK and  $\beta$ -actin levels were used as loading controls. (C) Exogenous EDN1 also activates PKC, this is abrogated by cotreatment with BQ788, demonstrating that PKC activation by EDN1 is specific to the EDNRB receptor. All experiments were performed in triplicate and PKC activation results are expressed as mean ±SEM. (D) Real-time transwell migration assay comparing slopes for exponential phase of wild-type melanocytes. Exogenous EDN1 addition stimulates melanocyte migration. Results are expressed as mean ±SEM. All statistical analyses were performed using GraphPad Prism, \*\* = P < 0.01, \*\*\* = P < 0.001. For B-D, minimal growth medium with no additives was used as a negative control; a complete medium rich in melanocyte growth factors was used as positive control.

Data excerpted from:

"Endothelin-1 is a Transcriptional Target of p53 in Epidermal Keratinocytes and Regulates UV Induced Melanocyte Homeostasis"

Stephen Hyter, Daniel J. Coleman, Steven Ma, Masashi Yanagisawa, Gary F. Merrill, Gitali Ganguli-Indra and Arup K. Indra

Pigment Cell and Melanoma Research Published October 13, 2012 PCMR 26(2):247-258 doi: 10.1111/pcmr.12063

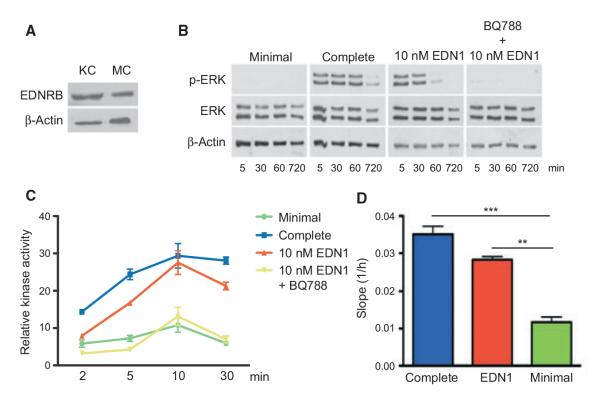


Figure 1.4

# Loss of keratinocytic *Rxra* combined with activated *Cdk4* or oncogenic *NRAS* generates UVB-induced melanomas via loss of p53 and PTEN in the tumor microenvironment

#### Chapter 2

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#### 2.1 Abstract

Understanding the molecular mechanisms behind formation of melanoma, the deadliest form of skin cancer, is crucial for improved diagnosis and treatment. One key is better understanding of the cross-talk between epidermal keratinocytes and pigment-producing melanocytes. Here we report that in a bigenic mouse model, combining either activating Cdk4 (R24C) or oncogenic NRAS (Q61K) mutations with an epidermis-specific knockout of Rxra expression  $(Rxr\alpha^{ep-/-})$  results in formation of larger, more proliferative and aggressive melanomas after chronic ultraviolet-B irradiation compared to control mice with functional *Rxra*. Melanomas from bigenic *Rxra<sup>ep-/-</sup>* mice also exhibit enhanced angiogenic properties and increased expression of malignant melanoma markers. Analysis of tumor adjacent normal skin from these mice reveals altered expression of several biomarkers indicative of melanoma susceptibility; including reduced expression of tumor suppressor p53 and PTEN loss with concomitant increase in AKT activation. These results suggest a crucial role of keratinocytic RXRα to suppress formation of UVB-induced melanomas and their progression to malignant, metastatic cancers in the context of tumor-driving mutations such as activated CDK4 (Cdk4<sup>R24C/R24C</sup>) or oncogenic NRAS<sup>Q61K</sup>.

#### 2.2 Introduction

Malignant melanoma is the deadliest form of skin cancer, and ultraviolet (UV) radiation plays an important role in most cases. Therefore, understanding the molecular mechanisms behind UV-induced melanoma formation is crucial for determining new pathways that can be manipulated for diagnosis and therapeutic targeting. Retinoid-X-Receptors (RXRs)  $\alpha$ ,  $\beta$ , and  $\gamma$  are members of the nuclear hormone receptor (NR) superfamily, and have a complex and dynamic role in regulation of cellular processes. RXRs function as a ubiquitous DNA-binding transcription factor via ligand binding [1,2] and promiscuous heterodimerization with other NRs [1,3]; by interacting with several transcriptional coactivators and/or corepressors they can regulate gene expression via multiple signaling pathways [1]. Previously we established that RXR $\alpha$  ablation in keratinocytes (cells comprising skin epidermis) alters paracrine signals to the melanocytes (pigment producing cells in the skin) and can enhance melananomagenesis [4,5]. Mice with epidermis-specific *Rxra* knockout (*Rxra<sup>ep-/-</sup>*) show increased melanocyte proliferation and defective DNA damage repair following acute ultraviolet-B (UVB) irradiation [4]; and have increased melanocytic tumor formation resulting from chemical carcinogenesis [5,6]. Expression of several mitogenic factors are upregulated in keratinocytes lacking RXRa, including Endothelin-1 (EDN1), Stem Cell Factor (SCF), Microphthalmia-associated Transcription Factor (MITF), and Hepatocyte Growth Factor (HGF) [4,5,7]; which stimulate melanocyte activation/proliferation in vitro [4,7]. We also found that combining epidermis-specific RXRa knockout with an oncogenic CDK4 mutation

(R24C) in a bigenic mouse model further enhanced chemical carcinogen-induced melanomagenesis [5]. This suggests that keratinocytic RXRα loss cooperates with common oncogenes to enhance melanomagenesis. Analyses performed on human melanomas collected at different stages of disease progression revealed progressive loss of RXRα protein as tumors progress from benign nevi to *in situ* and metastatic lesions [5]; affirming it as a viable biomarker of melanoma progression and potential therapeutic target for melanoma treatment in humans.

UV radiation exposure is a major risk factor for formation of melanomas and other skin cancers (American Cancer Society, 2013). Being a more biologically-relevant model of melanoma than chemical carcinogenesis, in this study we aimed to investigate a mechanistic role for keratinocytic RXR $\alpha$  in UVBinduced melanomagenesis. *Rxr\alpha^{ep-/-}* mice were combined with either oncogenic *NRAS (NRAS*<sup>Q61K</sup>) or activated *Cdk4 (Cdk4*<sup>R24C/R24C</sup>) mutations to elucidate the role of RXR $\alpha$  loss in UVB-induced melanomas in combination with aberrant signaling pathways. The CDK4 pathway (p16-cyclin D-CDK4/6-retinoblastoma protein pathway) is reported to be dysregulated in 90% of human melanomas [8], while *NRAS* gene mutations are detected in 15-20% [9].

We observed that keratinocytic  $Rxr\alpha$  ablation combined with either  $Cdk4^{R24C/R24C}$  or  $NRAS^{Q61K}$  mutations resulted in increased number/size of UVBinduced melanocytic tumors compared to control  $Cdk4^{R24C/R24C}$  or  $NRAS^{Q61K}$  mice with functional RXR $\alpha$  ( $Rxr\alpha^{L2/L2}$ ). Melanomas from bigenic  $Rxr\alpha^{ep-/-}$  mice were more proliferative and showed increased labeling for malignant conversion and tumor angiogenesis markers. We also observed increased lymph node

40

metastasis of melanocytic cells in bigenic  $Rxra^{ep-t}$  mice. The tumors also had altered expression of several genes implicated in mouse cancer compared to corresponding  $Rxra^{L2/L2}$  control samples. Additionally, the tumor adjacent normal (TAN) skin of UVB-treated bigenic  $Rxra^{ep-t}$  mice showed dysregulated expression of several melanoma biomarkers. Particularly, we observed reduced Phosphatase and Tensin Homolog (PTEN) protein and concomitant increase in the phosphorylated, active form of its downstream effector AKT; in addition to reduced expression of p53. These results suggest that loss of keratinocytic RXR $\alpha$  both promotes and enhances formation of UVB-induced malignant melanomas. Therefore epidermal RXR $\alpha$  expression may represent a new potential biomarker for diagnosis and treatment of UVB-induced melanomas in humans.

#### 2.3 Materials and Methods

#### Mice

Generation of *Rxra*<sup>ep-/-</sup>[10], *Cdk4*<sup>*R24C/R24C*</sup>[11], and *Tyr-NRAS*<sup>Q61K</sup>[12] mice have been described previously. Mice were housed in our approved University Animal Facility with 12-h light cycles, food/water were provided ad libitum, and institutional approval was granted for all experiments via an Animal Care and Use Protocol (ACUP).

#### UVR treatment of mice

Age- and sex-matched (female) P2 mice were exposed to a single dose of 800 mJ/cm<sup>2</sup> of UVB light from a bank of four Philips FS-40 UV sunlamps [4]. Upon weaning (21 days postnatal), dorsal skin was shaved weekly and exposed to chronic doses of 320 mJ/cm<sup>2</sup>, 3X weekly for 30 weeks [13]. For negative controls, parallel groups of mice were shaved but not UVB-treated. At the conclusion of 30 weeks, melanocytic lesions were quantitated, and biopsies of lesions and tumor adjacent normal (TAN) skin were collected for analyses. This experiment was repeated independently twice with at least five mice per group.

#### Histological analyses

Analyses were performed on 5 µm formalin-fixed paraffin sections. Prior to all procedures, sections were deparaffinized in xylene and rehydrated using graded alcohols. Sections were stained with hematoxylin and eosin (H&E) as previously described [6]. Fontana-Masson and Combined eosinophil/mast cell stain (CEM)

procedures were performed using commercial kits (American MasterTech) according to the manufacturer's protocol. Prior to CEM staining, melanin pigment was removed by treating slides with a 10% H<sub>2</sub>O<sub>2</sub> solution in 1X PBS for 25 minutes at 60°C. Slides were cleared in xylene and mounted in DPX mounting medium.

#### Immunohistochemistry

5 µm thick sections were deparaffinized and rehydrated as described above. All slides were treated with 10%  $H_2O_2$  in 1X PBS for 25 minutes at 60°C to remove melanin pigment. Antigen retrieval was performed in a 95°C-100°C bath for 20 minutes, using either Tris-EDTA buffer (pH 9.0) for metastatic melanoma antibody cocktail HMB45 + MART1, or citrate buffer (pH 6.0) for all other antigens. All sections were then washed three times with 0.05% PBS-Tween (PBST), and blocked with 10% normal goat serum in PBST for 30 minutes to block nonspecific antibody binding. Sections were then incubated overnight with primary antibody at 4°C. Primary antibody incubation was followed by three washes with PBS-Tween before addition of either biotin or fluorophoreconjugated secondary antibodies, which were incubated on the sections for 2 hours at room temperature. For fluorescent IHC, nuclei were counterstained with DAPI (200 ng/mL) for 10 minutes at room temperature. For chromogenic IHC, sections were incubated with streptavidin-horseradish peroxidase (Vector Laboratories) for 30 minutes at room temperature, signal developed with DAB peroxidase substrate kit (Vector Laboratories), and counterstained with

hematoxylin (1:1 in H<sub>2</sub>0) for 15 minutes at room temperature. Finally, sections were rinsed with PBST (fluorescent IHC) or running tap water (chromogenic IHC), dehydrated through sequential alcohol washes and then cleared in xylene. Slides were mounted with DPX mounting medium. Antibodies used are detailed in Table 2.1. Sections stained without primary antibody was used as negative controls, and all experiments were performed in triplicates.

#### Imaging and quantitation of histological experiments

Brightfield images were captured with a Leica DME light microscope using the Leica Application Suite software, version 3.3.1. Fluorescent images were captured using a Zeiss AXIO Imager.Z1 with a digital AxioCam HRm and processed using AxioVision 4.8 and Adobe Photoshop. Quantifications of cell labeling were performed using ImageJ software (NIH), multiple fields imaged from several replicate mice in all groups were randomly chosen and 20 fields per group were counted. The slides were analyzed independently in a doubleblinded manner by two investigators and significance was determined using a Student's two-tailed t-test as calculated by GraphPad Prism software.

# Laser Capture Microdissection (LCM) and RNA isolation from melanoma tissue

Melanocytic lesions embedded in OCT (fresh, not fixed) were sectioned at 14 µm using a cryostat. Blocks were kept on dry ice prior to sectioning. One section was affixed per glass slide, and slides were immediately placed on dry ice, stored at -80°C long term, and kept on dry ice until immediately before LCM. Sections

were dehydrated through sequential washes of cold alcohol followed by cold xylene. LCM was performed using a Zeiss PALM MicroBeam laser microdissection system; tissue was collected from five individual sections from each lesion and pooled. RNA was isolated using an Arcturus Pico Pure RNA Isolation Kit according to manufacturer's protocol.

**RT-qPCR** analysis of gene expression in melanoma tissue isolated by LCM Equal amounts of RNA from five distinct lesions from each group (each from a different animal) were pooled and converted to cDNA using a RT<sup>2</sup> PreAMP cDNA Synthesis Kit (SA Biosciences/Qiagen) according to manufacturer's protocol. cDNAs were applied to an SA Biosciences/Qiagen RT<sup>2</sup> Profiler PCR Array (Mouse Cancer, PAMM-033Z). Triplicate arrays were run for each group, and data analyses performed using SA Biosciences web-based software.

#### Immunoblotting analyses

Protein lysates were obtained by homogenizing tissue in lysis buffer (20 mM HEPES, 250 mM NaCl, 2 mM EDTA, 1% SDS, 10% glycerol, 50 mM NaF, 0.1mM hemin chloride, 5 mM NEM, 1 mM PMSF and 10 mg/mL leupeptin and aproptinin) [4,14,15] followed by sonication. Protein concentration was performed using the BCA assay (Thermo Scientific). Protein lysates were resolved using SDS-PAGE gel electrophoresis and transferred onto a nitrocellulose membrane. The blots were blocked overnight with 5% non-fat dry milk and incubated with specific antibodies (detailed in Table 2.1). After

incubation with appropriate secondary antibody, signals were detected using immunochemiluminescent reagents (GE Healthcare, Piscataway, NJ). Equal protein loading in each lane was confirmed with a  $\beta$ -actin antibody (#A300-491, Bethyl).

#### 2.4 Results

# Loss of keratinocytic *Rxrα* expression in cooperation with activated *Cdk4* or oncogenic *NRAS* mutations results in increased UVB-induced melanoma formation

Our previous studies indicated that loss of keratinocytic RXR $\alpha$  protein has a role in melanocyte homeostasis and melanomagenesis, as observed in mouse models with epidermis-specific knockout of *Rxr\alpha* (*Rxr\alpha^{ep-/-}*) [4-6] and human melanoma samples [5]. Therefore we hypothesized that loss of keratinocytic *Rxr\alpha* expression would result in enhanced malignant melanoma formation from chronic UVB-irradiation, in combination with activated *Cdk4*<sup>*R24C/R24C*</sup> or melanocyte-specific expression of oncogenic human *NRAS* (*Tyr-NRAS*<sup>Q61K</sup>) in a bigenic mouse model.

To that end,  $Rxra^{ep-/-}$  mice were bred to either  $Cdk4^{R24C/R24C}$  or Tyr- $NRAS^{Q61K}$  mice to generate  $Rxra^{ep-/-} | Cdk4^{R24C/R24C}$  and  $Rxra^{ep-/-} | Tyr-NRAS^{Q61K}$ bigenic mice, respectively.  $Rxra^{L2/L2} | Cdk4^{R24C/R24C}$  and  $Rxra^{L2/L2} | Tyr-NRAS^{Q61K}$ mice (floxed Rxra mice containing LoxP sites flanking exon 4) were used as controls for wild-type Rxra function. Here onwards, homozygous  $Cdk4^{R24C/R24C}$ mutation will be simply referred to as  $Cdk4^{R24C}$ . Cohorts of neonatal (P2) mice from each group were irradiated with a single large dose of UVB, followed by 3X weekly doses of UVB (2X minimal erythemal dose) for 30 weeks and monitored periodically for formation of melanocytic tumors (Figure 2.1 A) [13].

Phenotypically, overall skin coloration of adult homozygous *Cdk4*<sup>*R*24C</sup> mice was distinct from those expressing oncogenic *Tyr-NRAS*<sup>*Q61K*</sup>. The *Tyr-NRAS*<sup>*Q61K*</sup> mice have heavily pigmented skin throughout (Figure 2.1 B) compared to skin of

*Cdk4*<sup>*R*24*C*</sup> mice (Figure 2.1 C), making it difficult to quantitate smaller melanocytic lesions. Only larger (>2 mm) lesions in  $Rxr\alpha^{ep-/-}$  | *Tyr-NRAS*<sup>Q61*K*</sup> mice could be quantitated; as they were raised at that size due to the presence of a follicular cyst underneath. Dermal cysts are another phenotype of epidermal *Rxr*\alpha ablation [10], resulting from degenerate hair follicles, and were also found within the lesions from *Rxr*\alpha<sup>ep-/-</sup> | *Cdk4*<sup>*R*24*C*</sup> mice.

Both bigenic *Rxra<sup>ep-/-</sup>* mouse lines developed higher numbers of melanocytic lesions, most of which were larger in size (>2 mm), compared to mice with functional *Rxra* expression (Figure 2.1 B, C, F, G). The *Rxra*<sup>ep-/-</sup> lesions were also more densely pigmented (Figure 2.1 D, E) and showed enhanced penetration into the epidermal basal layer (Figure 2.1 D, E (inset)). Histological analysis confirmed that the melanocytic lesions (>2 mm) from both bigenic mouse lines are melanomas with hallmarks of round and/or spindle cell tumors. Cohorts of *Rxra<sup>L2/L2</sup>* and *Rxra<sup>ep-/-</sup>* mice without *Cdk4<sup>R24C</sup>* or *Tyr*-NRAS<sup>Q61K</sup> mutations were treated identically with chronic UVB and did not develop any melanocytic lesions after end of treatment (data not shown). Additionally, bigenic mice from each group were shaved weekly without any UVB treatment to monitor spontaneous melanoma formation and did not develop any lesions (data not shown). Altogether, our results suggest that loss of epidermal Rxra expression in combination with oncogenic NRAS or activated CDK4 enhances UVB-induced melanomagenesis.

### Increased proliferation, malignant conversion, and enhanced angiogenesis in melanomas from mice lacking keratinocytic *Rxrα* expression

We employed immunohistochemical (IHC) analyses in order to characterize the melanomas formed after chronic UVB exposure. We aimed to elucidate the differences between melanomas from bigenic  $Rxra^{ep-/-}|$  *Tyr*-*NRAS*<sup>Q61K</sup> and  $Rxra^{ep-/-}|$  *Cdk4*<sup>R24C</sup> mice and their corresponding  $Rxra^{L2/L2}$ controls. Fluorescent IHC was performed on sections from melanocytic lesions. To characterize immune cell infiltration, IHC was performed to label MAC1+ macrophages (Figure 2.S1 A-D) and CD4+ T-cells (Figure 2.S1 E-H). A significant increase in infiltration of MAC1+ macrophages was observed specifically in melanomas from  $Rxra^{ep-/-}|$  *Cdk4*<sup>R24C</sup> mice compared to their control (Figure 2.S1 C, D). IHC for CD4+ T-cells (Figure 2.S1 E-H) and combined CEM staining for eosinophils/mast cells (Figure 2.S2) did not reveal any changes in either bigenic group. These results suggest specific cooperative effects between epidermal *Rxra* loss and activated *Cdk4* mutation to alter UVB-induced macrophage response.

Next we co-labeled the lesions for proliferation marker PCNA and melanocyte-specific marker Tyrosinase-Related Protein 1 (TYRP1); an enzyme involved in melanin synthesis [16]. Compared to their controls we observed higher overall proliferation, particularly in PCNA/TYRP1 co-labeled cells, in lesions from bigenic  $Rxr\alpha^{ep-f}$  mice (Figure 2.2 A, B and insets). An antibody cocktail against malignant melanoma antigens (MART1 + HMB45) [17] showed markedly higher staining in melanomas from bigenic  $Rxr\alpha^{ep-f}$  mice (Figure 2.2 C, D). We then labeled for tumor angiogenesis marker CD31 [18]. Although melanomas from  $Rxra^{ep-/-}$  | *Tyr-NRAS*<sup>Q61K</sup> mice exhibited a similar presence of CD31+ cells compared to controls, there was a higher incidence of larger, more complex CD31+ vasculature (Figure 2.2 E, right panel). Similarly, we observed more intense staining of CD31 in  $Rxra^{ep-/-}$  |  $Cdk4^{R24C}$  melanocytic lesions than in the control group (Figure 2.2 F). Altogether, these results suggest that loss of Rxra expression in the epidermis contributes to enhanced proliferation, malignant conversion, and angiogenesis in UVB-induced melanomas in the context of oncogenic signaling or dysregulated cell-cycle regulators.

### Chronic UVB-irradiation results in increased melanoma metastasis to draining lymph nodes in mice lacking keratinocytic *Rxrα* expression

Next we wanted to determine the effects of keratinocytic *Rxra* loss on metastasis of UVB-induced melanomas. Upon conclusion of the 30 week chronic UVB treatment period, draining inguinal lymph nodes (LNs) were excised from the mice. Fontana-Masson staining for melanin pigment showed increased number of pigment-containing cells in LNs from mice expressing *Tyr-NRAS*<sup>Q61K</sup> compared to those expressing the activated *Cdk4*<sup>R24C</sup> gene (Figure 2.3 A). Interestingly, no significant difference in overall pigmentation was observed in *Rxra*<sup>ep-/-</sup> LNs compared to their corresponding *Rxra*<sup>L2/L2</sup> controls in either group of bigenic mice (Figure 2.3 A). To specifically characterize the presence of melanocytic cells in the LNs, we performed chromogenic IHC for TYRP1 on LN sections from mutant and control mice. While we observed a higher presence of TYRP1+ positive cells in the *Tyr-NRAS*<sup>Q61K</sup> group compared to the *Cdk4*<sup>R24C</sup> group, a marked increase in TYRP1+ cells in LNs from both groups was

observed in the *Rxra<sup>ep-/-</sup>* mice compared to their *Rxra<sup>L2/L2</sup>* controls (Figure 2.3 B). These results suggest that epidermal loss of *Rxra* expression contributes to formation of UVB-induced melanomas with enhanced metastasis of pigmentproducing melanoma cells to distal LNs.

# Overlapping and distinct set of genes related to cancer progression are dysregulated in melanomas from $Rxr\alpha^{ep-/-}$ | $Tyr-NRAS^{Q61K}$ and $Rxr\alpha^{ep-/-}$ | $Cdk4^{R24C}$ bigenic mice

In order to determine gene signature changes that might cause the observed phenotypic differences between melanomas from bigenic Rxra<sup>ep-/-</sup> mice compared to *Rxra<sup>L2/L2</sup>* controls, we utilized LCM to specifically isolate RNA from pigmented melanoma tissue (Figure 2.4 A). Converted cDNA was then applied to an RT-qPCR array of genes implicated in mouse cancer progression (Qiagen/SA Biosciences PAMM-033Z) (Figures 2.S3, 2.S4). Using a 1.7 fold cutoff, melanomas from both Rxra<sup>ep-/-</sup>|Tyr-NRAS<sup>Q61K</sup> and Rxra<sup>ep-/-</sup>| Cdk4<sup>R24C</sup> mice exhibited similar upregulation of four gene transcripts relative to corresponding controls (Figure 2.4 B). Three are involved in cell cycle regulation/proliferation: Mki67 (standard proliferation marker), Aurora Kinase A (Aurka, required for G2-M transition [19]) and Cell Division Cycle Protein 20 (Cdc20, activates anaphase promoting complex [20]). Additionally, both Rxra<sup>ep-/-</sup> | Tyr-NRAS<sup>Q61K</sup> and Rxra<sup>ep-/-</sup> *Cdk4<sup>R24C</sup>* melanomas had upregulated angiopoietin 1 (*Angpt1*) expression, which contributes to blood vessel formation/maturation [21]. Interestingly, a single gene was appreciably dysregulated specifically in the Rxra<sup>ep-/-</sup> | Tyr-NRAS<sup>Q61K</sup> melanomas but not in the Rxra<sup>ep-/-</sup> | Cdk4<sup>R24C</sup> melanomas; Tnks2, associated with

telomere maintenance processes [22], was upregulated (Figure 2.4 B).

Additionally, a diverse subset of genes was dysregulated only in  $Rxra^{ep-/-}$  |  $Cdk4^{R24C}$  melanomas but not in the  $Rxra^{ep-/-}$  | Tyr- $NRAS^{Q61K}$  lesions (Figure 2.4 B, C). They are involved in regulating key cellular processes including microtubule dynamics (*Stmn1* [23]), angiogenesis (*Serpinf1*[24], *Fgf2* [25], and *Pgf* [26]), cell adhesion (*Ocln* [27]), apoptosis (*Bcl2l11* [28], *Casp2* [29], and *Fasl* [30]), chromatin remodeling (*Atrx* [31]), DNA Repair (*Xrcc4* [32]), lipid synthesis/metabolism (*Lpl* [33]), growth factor signaling (*Pgf* [26]) and immune response (*Ccl2* [34], and *Fasl* [30]); as well as ubiquitous transcription factors *Gsc* [35] and *Tbx2* [36] (Figure 2.4 B, C). Overall the above results suggest that epidermal ablation of *Rxra* expression results in melanomas with altered gene signatures related to promoting proliferation and angiogenesis; and in specific cooperation with activated CDK4 dysregulates an additional set of genes with diverse functions with regard to enhancing melanomagenesis.

## Dysregulated melanoma signaling in Tumor Adjacent Normal (TAN) skin from chronic UVB-irradiated mice lacking keratinocytic *Rxrα* expression

Thus far our data suggests that loss of keratinocytic RXR $\alpha$  has a role in enhancing progression of melanocytic tumors to malignant, metastatic lesions. As bigenic  $Rxr\alpha^{ep-/-}$  mice formed a higher number of chronic UVB-induced melanocytic lesions relative to  $Rxr\alpha^{L2/L2}$  controls, we next aimed to determine if keratinocytic  $Rxr\alpha$  loss also leads to phenotypic changes in the skin that enhance susceptibility to UVB-induced melanomas. To that end, we performed IHC and immunoblotting analyses to analyze expression of known biomarkers of

melanoma susceptibility in tumor adjacent normal (TAN) skin from chronic UVBirradiated bigenic Rxra<sup>ep-/-</sup> mice and compared them to their controls. We found that ablation of epidermal Rxra expression resulted in reduced protein expression of tumor suppressor Phosphatase and Tensin homolog (PTEN) observed by both chromogenic IHC (Figure 2.5 A-D) and immunoblotting (Figure 2.5 E, F). As expected, we also observed a concomitant increase in Ser473 phosphorylation of its downstream effector AKT without change in total AKT levels (Figure 2.5 G-L). In both bigenic *Rxra<sup>ep-/-</sup>* mouse lines we also observed reduced p53 expression in TAN skin; both by IHC (Figure 2.6 A-D) and immunoblotting (Figure 2.6 E-F). Additionally, by immunoblotting we observed upregulation of Cyclin D1 (Figure 2.6 G,H); this protein has been found to be upregulated due to p53 inactivation [37]. IHC revealed that expression of total CDK4 protein in TAN skin was modestly upregulated in both bigenic *Rxra<sup>ep-/-</sup>* mouse lines (Figure 2.S5 A-D). Interestingly, phosphorylated-ERK (p-ERK p44/42) was decreased in Rxra<sup>ep-/-</sup> TAN skin as determined by immunoblotting (Figure 2.S5 E, F), though an increase has been previously correlated with malignant melanoma progression [38]. Expression of transcription factor E2F1, which has been associated with increased melanoma invasiveness [39], was upregulated only in Rxra<sup>ep-/-</sup> Cdk4<sup>R24C</sup> TAN skin but not in that of Rxra<sup>ep-/-</sup> |Tyr-NRAS<sup>Q61K</sup> mice (Figure 2.S5 G, H). Altogether, these results suggest that loss of keratinocytic *Rxra* expression and chronic UVB exposure results in altered expression of several key signaling pathways in the melanoma microenvironment that enhance melanoma susceptibility.

#### 2.5 Discussion

We have previously established a role of keratinocytic RXR $\alpha$  in acute UVinduced melanocyte proliferation and in melanomagenesis induced by chemical carcinogenesis [4-6]. As exposure to UV plays a major role in the majority of melanoma cases, we wanted to investigate a mechanism for epidermal RXR $\alpha$ loss in UVB-induced melanomagenesis. Here we used *Rxra<sup>ep-/-</sup>* mice combined with oncogenic mutant *NRAS (Q61K)* or activated *Cdk4 (R24C)* to elucidate the role of keratinocytic RXR $\alpha$  protein in promoting chronic UVB-induced melanoma formation and determine cooperativity with oncogenic signaling pathways.

As hypothesized,  $Rxra^{ep-L}$  mice developed more and larger UVB-induced melanocytic tumors in combination with either *Tyr-NRAS*<sup>Q61K</sup> or *Cdk4*<sup>R24C</sup> mutations compared to their corresponding  $Rxra^{L2/L2}$  controls. Interestingly, we also observed increased penetration of pigmented cells into the epidermal basal layer, possibly due to increased secretion of several mitogenic paracrine growth factors from the keratinocytes as we have reported previously [4,5].

Our LCM gene expression studies corroborate what we observed via immunohistochemistry. mRNA transcripts for several coordinators of cell cycle/proliferation were found to be upregulated in melanocytic tumors from both  $Rxra^{ep-/-}$  bigenic mouse lines. We also found enhanced angiogenic properties in tumors from the bigenic  $Rxra^{ep-/-}$  mice. Angiogenesis regulator *Angpt1* was upregulated in tumors from both  $Rxra^{ep-/-}$  bigenic mouse lines. Three additional genes (*Serpinf1, Fgf, Pgf*) with reported roles in angiogenesis were also altered specifically in  $Rxra^{ep-/-}$  |  $Cdk4^{R24C}$  tumors. We observed a higher degree of malignancy in melanocytic tumors from both bigenic  $Rxra^{ep-/-}$  mouse lines, as well as increased metastasis of TYRP1-expressing melanoma cells to draining lymph nodes. Similar observations of enhanced proliferative, angiogenic, and malignant/metastatic properties were made previously in lesions from DMBA-TPA treated  $Rxra^{ep-/-} | Cdk4^{R24C}$  mice [5]. All of these results underscore an important role for RXRa in mediating melanocyte proliferation, homeostasis, and angiogenesis and suggest that loss of keratinocytic RXRa contributes to progression of UVB-induced melanocytic lesions to malignant and metastatic tumors. That is further supported by our previous observations in human melanomas that demonstrated progressive loss of RXRa protein levels in adjacent epidermis as lesions progressed from benign nevi to metastatic melanomas [5].

Besides several overlapping observations in  $Rxra^{ep-/-}|Tyr-NRAS^{Q61K}$  and  $Rxra^{ep-/-}|Cdk4^{R24C}$  melanocytic tumors, we observed multiple changes specific to the  $Rxra^{ep-/-}|Cdk4^{R24C}$  group. This suggests that in addition to a general role of keratinocytic RXRa in mediating melanomagenesis, a specific cooperative effect results from combined loss of epidermal RXRa and activated CDK4. CDK4 initiates events leading to accumulation of transcription factor E2F1 and promotes entry into S-phase of the cell cycle [40]. E2F1 has been associated with increased melanoma invasion [39] and used as a biomarker toward sensitivity to MDM2 inhibitors [41]. We observed modest upregulations of total CDK4 protein in  $Rxra^{ep-/-}$  TAN skin from both of our bigenic mouse models compared to  $Rxra^{L2/L2}$  controls, suggesting that loss of keratinocytic RXRa

contributes to enhanced CDK4 expression. As the Rxraep-/- Cdk4R24C mice are homozygous for the mutant Cdk4<sup>R24C</sup> allele, any upregulated CDK4 expression in these animals is exclusively the mutant, activated CDK4<sup>R24C</sup> protein; resulting in increased expression levels of activated CDK4 (Cdk4<sup>R24C</sup>) above that of Rxra<sup>L2/L2</sup> Cdk4<sup>R24C</sup> control mice and potentially further enhancing alterations of downstream targets. Our observation of enhanced E2F1 protein expression in Rxra<sup>ep-/-</sup> | Cdk4<sup>R24C</sup> TAN skin is unique to that group and not observed in mice expressing oncogenic NRAS, supporting this notion. Additionally, we found a diverse set of genes implicated in mouse cancer formation dysregulated only in  $Rxra^{ep-/-}$  |  $Cdk4^{R24C}$  melanocytic lesions and not in the Tyr-NRAS<sup>Q61K</sup> group. Those genes are involved in regulating a multitude of key cellular processes, including ubiquitous transcription factor function; which themselves may control a wide array of downstream processes affecting melanoma formation/progression. Our observation of increased MAC1+ macrophage infiltration exclusively in  $Rxra^{ep-/-}$  |  $Cdk4^{R24C}$  lesions is also supported by the LCM gene expression studies, expression of the macrophage attractant Ccl2 was upregulated in  $Rxr\alpha^{ep}$ <sup>/-</sup> | Cdk4<sup>R24C</sup> lesions relative to Rxra<sup>L2/L2</sup> control; this was not observed in melanomas from *Rxra<sup>ep-/-</sup>* | *Tyr-NRAS*<sup>Q61K</sup> mice. CCL2 is a ligand of receptor CCR2, and melanocytes have been reported to secrete CCR2 ligands to attract CCR2+ macrophages into the skin following UVB irradiation [34]. These macrophages secrete interferon-y which promotes post-UV survival of melanocytes [34]. Therefore, a specific cooperative could result from combined loss of epidermal RXRα and activated CDK4, contributing to enhanced

melanoma progression via increased macrophage attraction. More work will need to be undertaken to elucidate the specific cooperative effects of combined epidermal RXRα loss and activated CDK4 in melanomagenesis.

Thus far our results suggest that loss of keratinocytic RXR $\alpha$  can enhance progression of UVB-induced melanocytic lesions to malignant, metastatic tumors in the context of activating *Cdk4*<sup>*R*24C</sup> or oncogenic *Tyr-NRAS*<sup>Q61K</sup> mutations. As chronically UVB-irradiated bigenic *Rxr* $\alpha^{ep-/-}$  mice formed a higher number of melanocytic lesions than their control *Rxr* $\alpha^{L2/L2}$  counterparts, we wanted to determine whether epidermal RXR $\alpha$  loss can also promote melanoma formation in addition to enhance its progression.

We observed reduced expression of tumor suppressor p53 in bigenic *Rxra<sup>ep-/-</sup>* TAN skin. p53 has been reported to cooperate with both NRAS [37,42] or CDK4 [43,44] mutations to promote melanoma formation. In both bigenic mouse lines we also observed an upregulation of Cyclin D1 in TAN skin as a result of RXRα ablation. Enhanced expression of Cyclin D1 has been correlated with progression of primary melanoma [38,45] and is upregulated due to p53 inactivation [37].

Functional PTEN expression loss occurs in 30-60% of melanomas [46]. PTEN expression inactivation can occur through deletion/mutation [46]; or alternatively via aberrant epigenetic silencing [46]. This makes it difficult to accurately estimate the impact of PTEN inactivation as no detectable mutation in the gene itself may be present [46]. Indeed, in TAN skin from UVB-irradiated bigenic *Rxra<sup>ep-/-</sup>* mice, we observed reduced PTEN protein levels. PTEN functions as a tumor suppressor by degrading second messenger lipids produced by Phosphoinositide 3-kinase (PI3K). Without functional PTEN these signals result in excessive AKT activation, suppress apoptosis, and promote tumorigenesis [46]. PTEN normally functions in homeostatic balance with AKT, and when functionally suppressed results in excessive AKT phosphorylation [46]. We observed a concomitant increase in levels of activated, phosphorylated AKT protein in *Rxra<sup>ep-/-</sup>* TAN skin, corroborating our observation of reduced PTEN. Since the above results are obtained from non-tumorigenic skin, they strongly suggest that loss of epidermal RXR $\alpha$  in combination with chronic UVB exposure creates a microenvironment in the skin that is susceptible to melanoma formation in the context of multiple oncogenic signaling pathways such as NRAS and CDK4 mutations.

Overall, our results suggest that loss of RXRα in the epidermis alters the skin microenvironment in a manner that both promotes formation and enhances progression of malignant and metastatic UVB-induced melanomas when combined with upregulated NRAS and CDK4 signaling pathways. Further studies will need to be undertaken to evaluate RXRα as a clinical diagnostic marker and therapeutic target.

#### 2.6 Acknowledgments

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### Figure 2.1: Macroscopic and histological characterization of melanocytic tumors from bigenic $Rxr\alpha^{ep-/-}$ mice combined with *Tyr-NRAS*<sup>Q61K</sup> or *Cdk4*<sup>R24C</sup> mutations.

(A) Scheme for chronic UVB treatment of mice. (B, C) *Tyr-NRAS*<sup>Q61K</sup> or (F, G)  $Cdk4^{R24C}$  mice with epidermal-specific knockout of *Rxra* have more growths than mice with functional Rxra:  $Rxra^{ep-/-} | Cdk4^{R24C}$  mice also have an increase in large melanocytic (>2 mm) lesions. Lesions indicated by arrows. (D, E) H&E staining of melanocytic lesions. (D) *Tyr-NRAS*<sup>Q61K</sup> or (E)  $Cdk4^{R24C}$  mice with epidermal-specific knockout of *Rxra* have more densely pigmented lesions with enhanced penetration into epidermal basal layer (inset). Scale bar = 50 µm. \*\*\* = p ≤ 0.001

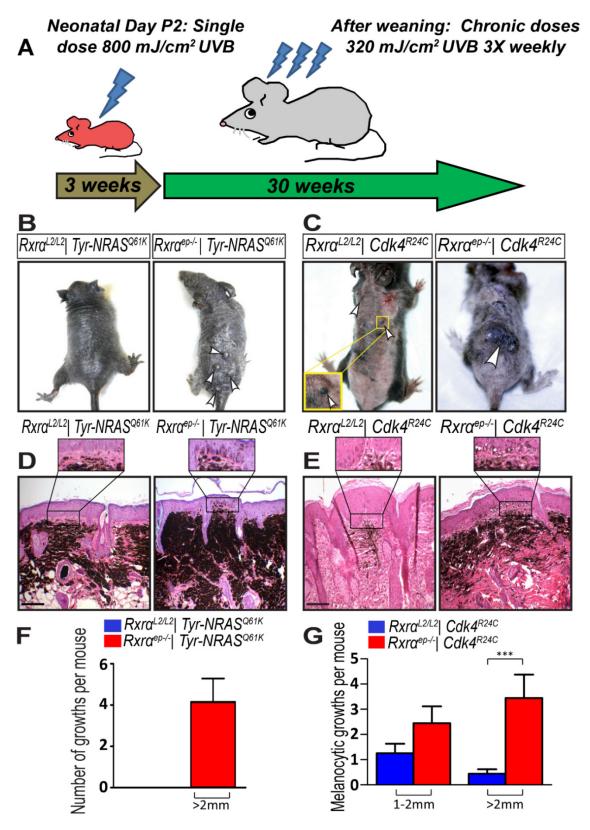


Figure 2.1

## Figure 2.2: Melanocytic tumors from bigenic $Rxr\alpha^{ep-/-}$ mice combined with *Tyr-NRAS*<sup>Q61K</sup> or *Cdk4*<sup>R24C</sup> mutations have enhanced proliferative, angiogenic, and malignant properties.

(A, B) Fluorescent IHC for proliferation marker PCNA (red) and melanocyte marker TYRP1 (green). More proliferation was observed in lesions from  $Rxra^{ep-/-}$  mice compared to  $Rxra^{L2/L2}$  control mice in combination with either Tyr- $NRAS^{Q61K}$  (A) or  $Cdk4^{R24C}$  (B) mutations; particularly an increase in TYRP1+/PCNA+ double positive cells. (C, D) IHC for malignant melanoma antigen HMB45 (red). Overall, more positive staining was observed in lesions from bigenic  $Rxra^{ep-/-}$  mice compared to  $Rxra^{L2/L2}$  controls. (E, F) IHC for tumor angiogenesis marker CD31 (red). Overall, more prominent staining was observed in lesions from bigenic  $Rxra^{ep-/-}$  mice compared to controls. Particularly in mice carrying the Tyr- $NRAS^{Q61K}$  mutation, loss of epidermal Rxra results in lesions with large multicellular CD31+ blood vessels (E, right panel). E=Epidermis, D= Dermis. Scale bars = 50 µm.

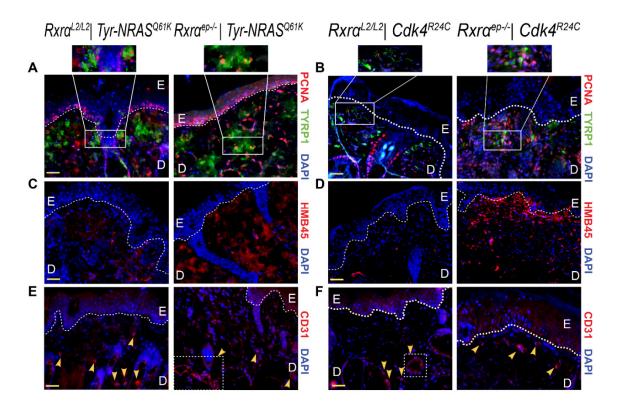


Figure 2.2

# Figure 2.3: Enhanced TYRP1+ pigment-producing cells are present in draining lymph nodes of *Tyr-NRAS*<sup>Q61K</sup> mice compared to Cdk4<sup>R24C</sup> mice, which are further increased in absence of keratinocytic RXR $\alpha$ in both *Tyr-NRAS*<sup>Q61K</sup> and Cdk4<sup>R24C</sup> groups.

(A) General stain for melanin-containing cells (black staining) as determined by Fontana Masson assay. Nuclear Fast Red (pink) was used as a nuclear counterstain. A higher number of pigment-containing cells are observed in LNs from the *Tyr-NRAS*<sup>Q61K</sup> background as opposed to those expressing *Cdk4*<sup>R24C</sup>, but no difference in either background is observed between *Rxra*<sup>ep-/-</sup> and *Rxra*<sup>L2/L2</sup> controls. (B) Chromogenic IHC for pigment-producing melanocytespecific marker TYRP1 (brown). More positive staining overall is observed in *Tyr-NRAS*<sup>Q61K</sup> LNs as opposed to the *Cdk4*<sup>R24C</sup> LNs, but an increase in both groups is observed in *Rxra*<sup>ep-/-</sup> mice relative to *Rxra*<sup>L2/L2</sup>. Black arrows indicate positive cells in *Rxra*<sup>ep-/-</sup> | *Cdk4*<sup>R24C</sup> mice (lower right panel). Hematoxylin (purple) was used as a nuclear counterstain. Scale bars = 100 µm.

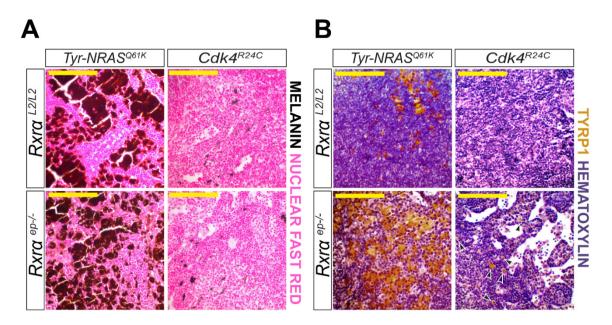
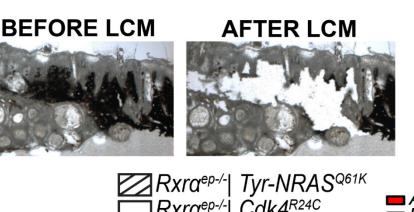
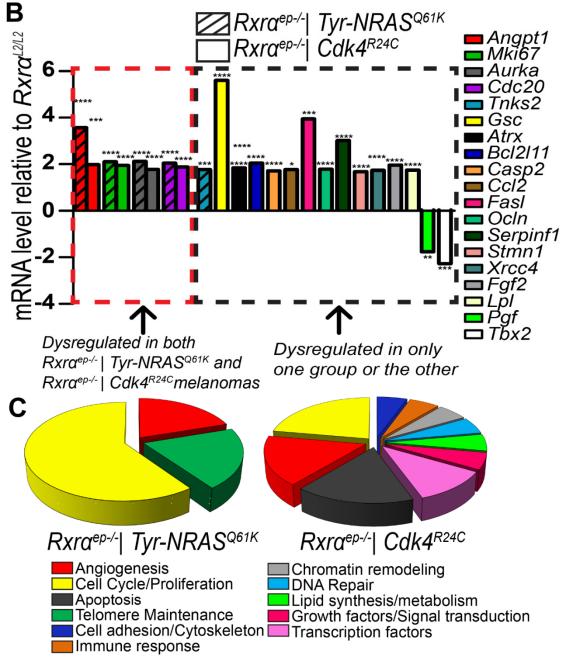


Figure 2.3

### Figure 2.4: Melanocytic tumors from bigenic *Rxra<sup>ep-/-</sup>* mice show both overlapping and distinct dysregulated expression of several genes implicated in melanoma progression.

(A) Laser Capture Microdissection (LCM) was performed to isolate RNA from melanoma tissue and analyze gene expression. (B) mRNA expression of several genes implicated in cancer were found to be dysregulated (>1.7 fold) in melanocytic lesions from  $Rxra^{ep-/-}$  mice compared to  $Rxra^{L2/L2}$  controls, as determined by an RT-qPCR array (SA Biosciences PAMM-033Z). A subset of these genes were dysregulated in  $Rxra^{ep-/-}$  mice from both the *Tyr-NRAS*<sup>Q61K</sup> and  $Cdk4^{R24C}$  groups, *Tnks2* was dysregulated only in  $Rxra^{ep-/-} | Tyr-NRAS$ <sup>Q61K</sup> while several others were changed only in the  $Rxra^{ep-/-} | Cdk4^{R24C}$  group. RNA from five mice was pooled and three replicate RT-qPCR arrays were run per group. \* = p ≤ 0.05, \*\* = p ≤ 0.01, \*\*\* = p ≤ 0.001, \*\*\*\* = p ≤ 0.0001. (C) Categories of gene functions represented by significantly dysregulated genes determined by RT-qPCR arrays shown in (B).

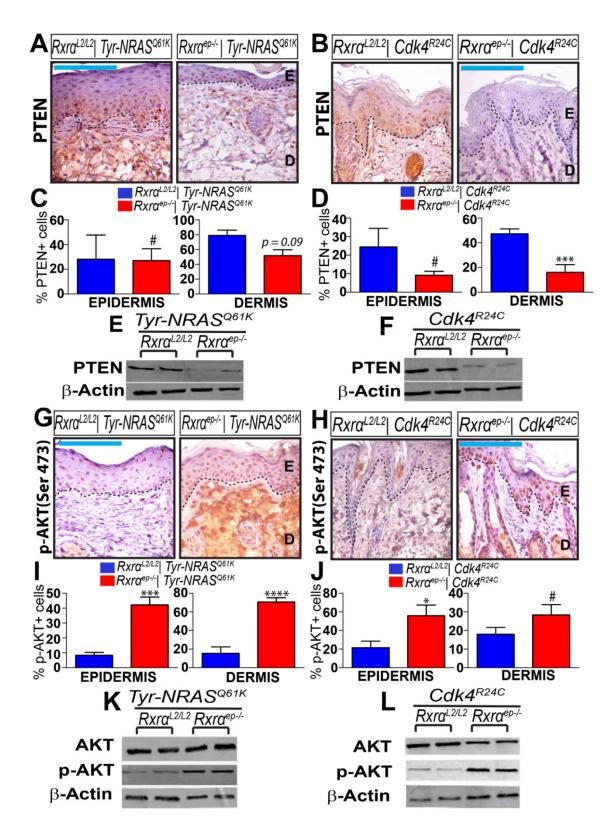




Α

### Figure 2.5: Chronically UVB-irradiated tumor-adjacent normal (TAN) skin from bigenic $Rxr\alpha^{ep-/-}$ mice exhibit loss of PTEN and increase in phosphorylated AKT.

(A-D) Loss of PTEN expression in TAN skin of bigenic  $Rxra^{ep-/-}$  compared to  $Rxra^{L2/L2}$  controls, as determined by IHC and (E, F) immunoblotting. (G-L) Increase in phosphorylated AKT (Ser 473) in epidermis and dermis of bigenic  $Rxra^{ep-/-}$  mice as determined by (G-J) IHC and (K, L) immunoblotting. Two biological replicates for each group are shown for all immunoblots. E=Epidermis, D= Dermis. Scale bars = 100 µm. # = no statistical significance, \* = p ≤ 0.001, \*\*\*\* = p ≤ 0.0001.



### Figure 2.6: Chronically UVB-irradiated tumor-adjacent normal (TAN) skin from bigenic *Rxra<sup>ep-/-</sup>* mice exhibit loss of p53 and upregulation of Cyclin D1.

(A-D) Loss of p53 expression in TAN skin of bigenic  $Rxra^{ep-/-}$  mice as compared to  $Rxra^{L2/L2}$  controls, as determined by IHC and (E,F) immunoblotting. (G,H) Upregulation in Cyclin D1 in TAN skin of bigenic  $Rxra^{ep-/-}$  mice; as shown by immunoblotting. Three biological replicates for each group are shown for all immunoblots. E=Epidermis, D= Dermis. Scale bars = 100 µm. # = no statistical significance, \*\*\* = p ≤ 0.001, \*\*\*\* = p ≤ 0.0001.

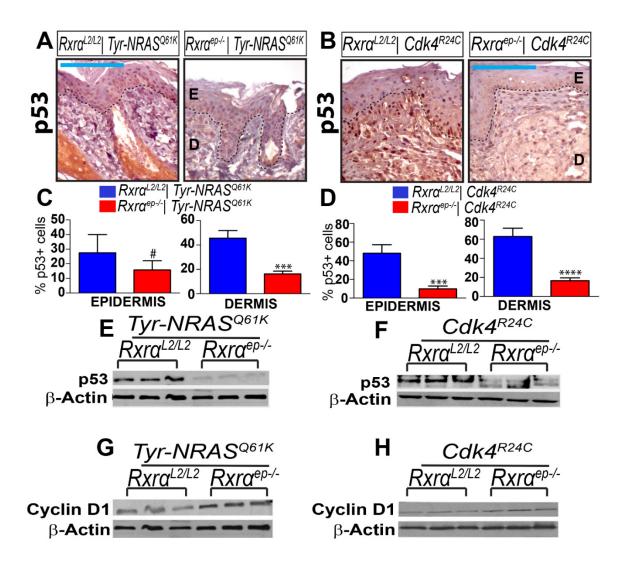


Figure 2.6

### Figure 2.7: Cooperativity between loss of epidermal RXRα expression and activated CDK4 or oncogenic NRAS during UVB induced melanoma progression in bigenic mice.

Illustration representing the results of epidermal RXR $\alpha$  ablation in combination with *Cdk4*<sup>*R*24C</sup> or *Tyr-NRAS*<sup>Q61K</sup> oncogenic mutations and chronic UVB exposure. TAN = "Tumor Adjacent Normal." Similarities/differences between the two bigenic *Rxra*<sup>ep-/-</sup> mouse lines are represented by a Venn diagram. Relative to control *Cdk4*<sup>*R*24C</sup> or *Tyr-NRAS*<sup>Q61K</sup> mice with functional *Rxra* expression, melanomas from bigenic *Rxra*<sup>ep-/-</sup> mice have increased proliferation, malignant conversion, angiogenesis, and metastasis to draining lymph nodes (LNs). The TAN skin from the bigenic *Rxra*<sup>ep-/-</sup> mice show altered expression of several known melanoma biomarkers relative to *Rxra*<sup>L2/L2</sup> controls; including reduced expression, and upregulation of Cyclin D1. On their own, *Rxra*<sup>ep-/-</sup> | *Tyr-NRAS*<sup>Q61K</sup> mice had heavily pigmented skin overall and higher numbers of melanocytic cells metastasized to draining LNs compared to the *Cdk4*<sup>*R24C*</sup> group. Increased macrophage infiltration and a distinct, diverse subset of dysregulated genes were observed specifically in *Rxra*<sup>ep-/-</sup> | *Cdk4*<sup>*R24C*</sup> lesions.

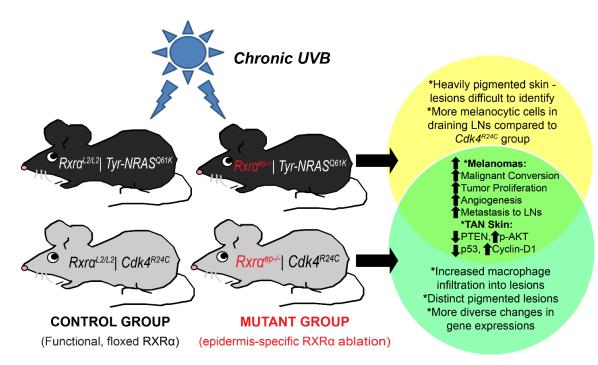
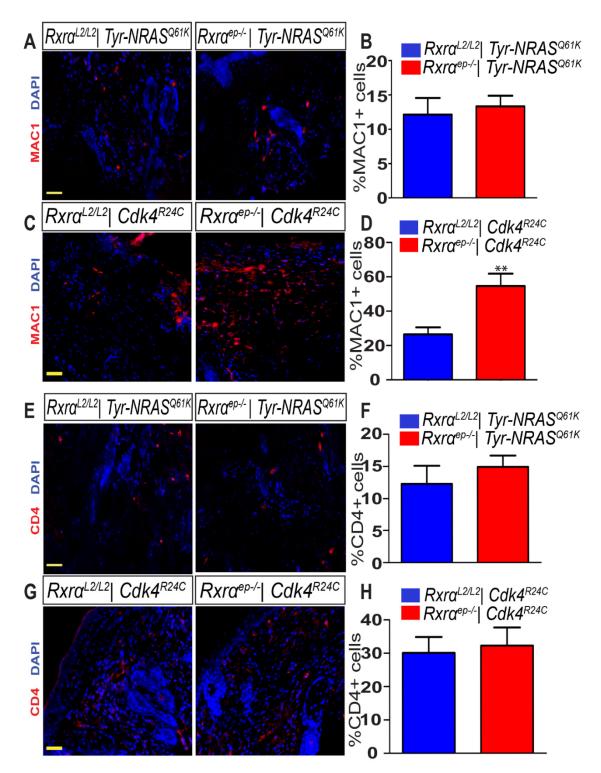


Figure 2.7

Figure 2.S1: An increase in infiltrating macrophages is observed in melanocytic lesions from  $Cdk4^{R24C}$  mice with epidermal-specific knockout of  $Rxr\alpha$ , but not in  $Rxr\alpha^{ep-/-}|$  *Tyr-NRAS*<sup>Q61K</sup> mice. No change in infiltrating CD4+ T-cells observed in melanocytic lesions from either  $Cdk4^{R24C}$  or *Tyr-NRAS*<sup>Q61K</sup> mice with epidermal-specific knockout of  $Rxr\alpha$ .

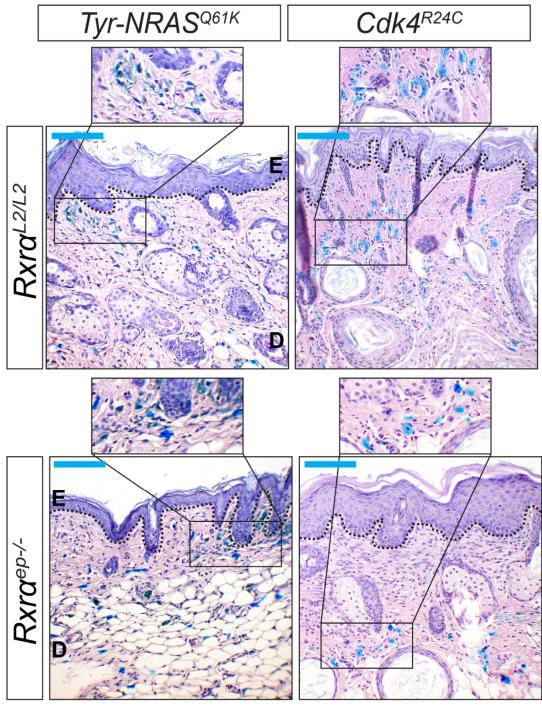
(A, B) No change in infiltrating macrophages, as determined by fluorescent immunohistochemistry (IHC) for the MAC1 antigen was observed in melanocytic lesions from  $Rxra^{ep-/-} | Tyr-NRAS^{Q61K}$  mice as compared to  $Rxra^{L2/L2}$  controls. (C, D) An increase in infiltrating macrophages was observed in  $Rxra^{ep-/-} | Cdk4^{R24C}$  lesions as compared to  $Rxra^{L2/L2}$  controls. (E-H) No change in infiltrating CD4+ T-cells as determined by fluorescent IHC was observed in  $Rxra^{ep-/-} | Tyr-NRAS^{Q61K}$  or  $Rxra^{ep-/-} | Cdk4^{R24C}$  mice as compared to  $Rxra^{L2/L2}$  controls. Scale bars = 50 µm. \*\* = p ≤ 0.01





## Figure 2.S2: No change in infiltrating mast cells as determined by CEM staining is observed in melanocytic lesions from either $Cdk4^{R24C}$ or $Tyr-NRAS^{Q61K}$ mice with epidermis-specific knockout of $Rxr\alpha$ .

Infiltrating mast cells are labeled with light blue staining. E=Epidermis, D= Dermis. Scale bars = 50  $\mu$ m.



**CEM HEMATOXYLIN** 

Figure 2.S2

#### Figure 2.S3: Heat Map and Clustergram of dysregulated genes in melanocytic lesions from *Rxra<sup>ep-/-</sup>* | *Tyr-NRAS*<sup>Q61K</sup> mice.

Tissue from melanocytic lesions was captured using Laser Capture Microdissection (LCM) (See Figure 4 for details). Isolated RNA was converted to cDNA and gene expression in  $Rxra^{ep-/-}$  lesions relative to  $Rxra^{L2/L2}$  lesions was assayed using an RT-qPCR array (SA Biosciences, PAMM-033Z (Mouse Cancer)). Mean fold change relative to  $Rxra^{L2/L2}$  group is represented by the heat map (A). Three replicate arrays were performed for both  $Rxra^{L2/L2}$  and  $Rxra^{ep-/-}$ groups; magnitude of gene expression for each replicate is shown in the clustergram (B), which correlates genes with similar expression levels.

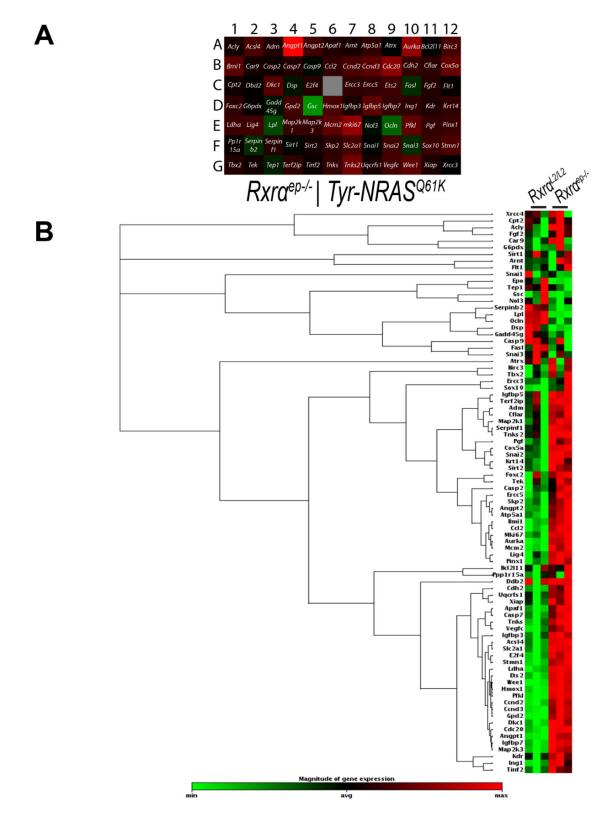
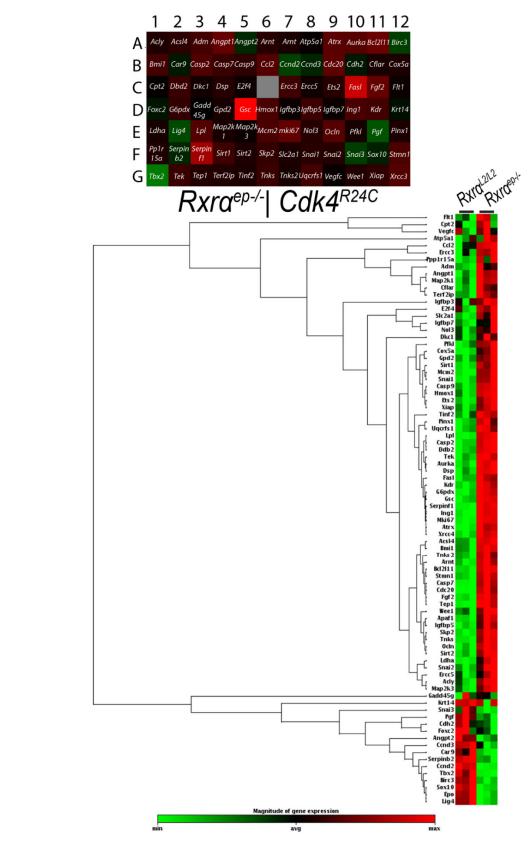


Figure 2.S3

#### Figure 2.S4: Heat Map and Clustergram of dysregulated genes in melanocytic lesions from $Rxr\alpha^{ep-/-} | Cdk4^{R24C}$ mice.

Tissue from melanocytic lesions was captured using Laser Capture Microdissection (LCM) (See Figure 4 for details). Isolated RNA was converted to cDNA and gene expression in  $Rxra^{ep-/-}$  lesions relative to  $Rxra^{L2/L2}$  lesions was assayed using an RT-qPCR array (SA Biosciences, PAMM-033Z (Mouse Cancer)). Mean fold change relative to  $Rxra^{L2/L2}$  group is represented by the heat map (A). Three replicate arrays were performed for both  $Rxra^{L2/L2}$  and  $Rxra^{ep-/-}$ groups; magnitude of gene expression for each replicate is shown in the clustergram (B), which correlates genes with similar expression levels.



Α

В

Figure 2.S4

Figure 2.S5: Chronically UVB-irradiated tumor-adjacent normal skin from *Tyr-NRAS*<sup>Q61K</sup> or *Cdk4*<sup>R24C</sup> mice with epidermis-specific knockout of *Rxra* show modest increase in CDK4 expression; downregulation of phosphorylated ERK, as well as increased E2F1 expression specifically in *Rxra*<sup>ep-/-</sup> | *Cdk4*<sup>R24C</sup> mice.

(A-D) Modest increase of CDK4 expression in both epidermis and dermis of  $Rxra^{ep-/-}|Tyr-NRAS^{Q61K}$  and  $Rxra^{ep-/-}|Cdk4^{R24C}$  mice as compared to  $Rxra^{L2/L2}$  controls, as determined by IHC. E=Epidermis, D= Dermis. Scale bars = 50 µm. # = no statistical significance, \* = p ≤ 0.05, \*\*\* = p ≤ 0.001 (E-H) Immunoblotting studies of protein expression. (E,F) Downregulation in phosphorylated ERK in tumor-adjacent normal skin of  $Rxra^{ep-/-}|Tyr-NRAS^{Q61K}$  and  $Rxra^{ep-/-}|Cdk4^{R24C}$  mice as compared to  $Rxra^{L2/L2}$  controls (G, H). Analysis of E2F1 protein in tumor-adjacent normal skin of  $Rxra^{ep-/-}|Tyr-NRAS^{Q61K}$  and  $Rxra^{ep-/-}|Cdk4^{R24C}$  mice as compared to  $Rxra^{L2/L2}$  controls. No difference was observed in the  $Tyr-NRAS^{Q61K}$  group, while increased E2F1 levels were observed in  $Rxra^{ep-/-}|Cdk4^{R24C}$  mice compared to  $Rxra^{L2/L2}$  control. Two biological replicates for each group are shown for all immunoblots.

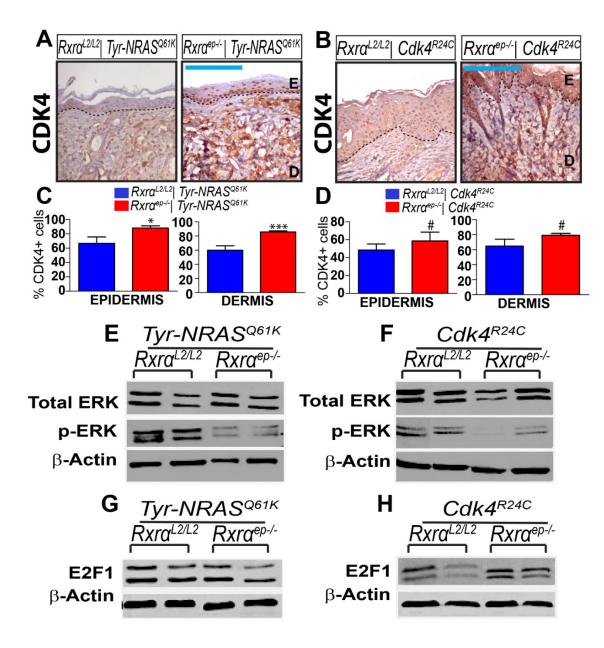


Figure 2.S5

Antibody	Host	Source	Application	<b>Dilution/Concentration</b>
anti-MAC1	Mouse	Abcam (ab22506)	IHC-P	1:1000
anti-CD4	Mouse	Abcam (ab57312)	IHC-P	1:100
anti-HMB45	Mouse	Abcam (ab732)	IHC-P	1:100
anti-pAKT	Rabbit	Cell Signaling (4060)	WB, IHC-P	1:2000 (WB), 1:50 (IHC)
anti-PTEN	Rabbit	Cell Signaling (9559)	WB, IHC-P	1:1000 (WB), 1:300 (IHC)
anti-CDK4	Mouse	Santa Cruz (sc260)	IHC-P	1:100
anti-p53	Rabbit	Santa Cruz (sc-6243)	WB	1:500
anti-p53	Rabbit	Leica (NCL-p53-CM5p)	IHC-P	1:500
anti-AKT	Rabbit	Cell Signaling (6727)	WB	1:1000
anti-ERK(p44/42 MAPkinase)(137F5)	Rabbit	Cell Signaling (4695)	WB	1:1000
anti-pERK	Rabbit	Abcam (ab50011)	WB	1:1000
anti-cyclinD1	Mouse	Cell Signaling (2926)	WB	1:2000
anti-CD31	Rabbit	Abcam (ab28364)	IHC-P	1:50
anti-PEP1 (TYRP1)	Rabbit	NIH (kindly provided by V. Hearing)	IHC-P	1:1000
anti-PCNA	Mouse	Abcam (ab29)	IHC-P	1:6000
anti-B-actin	Rabbit	Bethyl Lab (A300-491A)	WB	1:5000
IHC-P = Immunohistochemistry - Paraffin Sections WB = Western Blot				

 Table 2.1: List of antibodies used during experimental procedures

#### Retinoid-X-Receptors $(\alpha/\beta)$ in Melanocytes Modulate Innate Immune Responses and Differentially Regulate Cell Survival Following UV Irradiation

#### Chapter 3

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#### 3.1 Abstract

Understanding the molecular mechanisms of ultraviolet (UV) induced melanoma formation is becoming crucial with more reported cases each year. Expression of type II nuclear receptor Retinoid-X-Receptor  $\alpha$  (RXR $\alpha$ ) is lost during melanoma progression in humans. Here, we observed that in mice with melanocyte-specific ablation of RXR $\alpha$  and RXR $\beta$ , melanocytes attract fewer IFN- $\gamma$  secreting immune cells than in wild-type mice following acute UVR exposure; via altered expression of several chemoattractive and chemorepulsive chemokines/cytokines. Reduced IFN-y in the microenvironment alters UVRinduced apoptosis, and due to this the survival of surrounding dermal fibroblasts is significantly decreased in mice lacking RXR $\alpha/\beta$ . Interestingly, post-UVR survival of the melanocytes themselves is enhanced in the absence of RXR $\alpha/\beta$ . Loss of RXRs  $\alpha/\beta$  specifically in the melanocytes results in an endogenous shift in homeostasis of pro- and anti-apoptotic genes in these cells and enhances their survival compared to the wild type melanocytes. Therefore, RXRs modulate post-UVR survival of dermal fibroblasts in a "non-cell autonomous" manner, underscoring their role in immune surveillance; while independently mediating post-UVR melanocyte survival in a "cell autonomous" manner. Our results emphasize a novel immunomodulatory role of melanocytes in controlling survival of neighboring cell types besides controlling their own; and identifies RXRs as potential targets for therapy against UV induced melanoma.

#### **3.2 Author Summary**

Melanoma is the deadliest form of skin cancer. It derives from melanocytes, the melanin-producing cells of our skin, which give our skin its tone in addition to protecting it from harmful effects of ultraviolet radiation (UVR). Changes in the skin microenvironment, such as signaling from other cell types, can influence melanoma progression. While several key genes in melanoma development have been identified, the underlying mechanisms are complex; different combinations of mutations can result in melanoma formation and genetic profiles of tumors can vary greatly among patients. Therefore, identification of novel therapeutic targets is crucial. Our present study uses a tissue-specific gene ablation strategy to characterize a novel role of type II nuclear receptors [Retinoid-X-Receptors (RXRs)] in melanocytes to control UVR-induced skin immune responses and cell survival. Several of these observed changes are risk factors for melanoma progression and identify RXRs as potential drug targets for melanoma diagnosis, prevention, and treatment. This newly-discovered role of retinoid receptor signaling in immune surveillance can be studied in different types of cancer and in other diseases including metabolic syndromes and atherosclerosis. The identified pathway is ideal for targeting using specific ligands or small molecule modulators of RXR signaling in different cell types and tissues.

#### **3.3 Introduction**

Malignant melanoma is the deadliest form of skin cancer. Understanding the molecular mechanisms behind melanoma formation is crucial for determining new pathways that can be utilized for therapeutic targeting. Retinoid-X-Receptors (RXRs)  $\alpha$ ,  $\beta$ , and  $\gamma$  (See Table 3.1 for all gene names, abbreviations, and IDs) are members of the nuclear hormone receptor (NR) superfamily, and act as central coordinators of cell signal transduction in many different tissue types through heterodimerization with several other NRs [1]. RXRs function as a ubiquitous DNA-binding transcription factor via ligand binding [1,2] and heterodimerization with several other NRs [1]; adding an additional layer of complexity to their function. Thus, the role of RXRs in regulation of cellular processes is diverse and dynamic, and much is still unknown.

In malignant human melanomas, loss of RXRα expression has been previously reported both in the melanoma cells themselves [4] and in the adjacent epidermal keratinocytes [5]. Also, epidermis-specific ablation of RXRα in a mouse model was shown to promote melanocyte proliferation after UV radiation (UVR) [6] and increased susceptibility to malignant melanomas after a multi-stage carcinogenesis treatment [5].

Chemokines are a family of small (8±14 kDa) polypeptide signaling molecules [7] that bind to transmembrane G protein-coupled receptors [7]. It has been previously reported that secretion of CCR2 ligands CCL2 and CCL8 from melanocytes following UVB radiation activates F4/80+ macrophages and results in their recruitment [8]. These macrophages infiltrate into the skin and secrete interferon-y (IFN-y); which mediates signaling that influences cell survival post-UVR [8]. Interferons have a complicated role in immunosurveillance with regard to cancer formation and progression. IFN-y secreted from macrophages recruited post-UVR have been shown to promote activation and survival of melanocytes and melanoma cells, suggesting a pro-tumorigenic role of IFN-y in skin [8]. In contrast, there is also evidence that IFN-y can act as an antitumorigenic agent [9-12].

In the present study, we discovered that specific ablation of RXR $\alpha$  and RXR $\beta$  in the melanocytes of the skin results in increased apoptosis of nonmelanocytic cells in the dermis following UVR. However, the mutant melanocytes themselves exhibit slightly reduced apoptosis, suggesting an enhanced ability to survive UVR-induced DNA damage in the absence of RXR $\alpha/\beta$ . Interestingly, ablation of melanocytic RXR $\alpha$  and RXR $\beta$  results in decreased infiltration of immune cells such as F4/80+ macrophages, CD11B+ monocytes, CD8+ T-cells and mast cells into the dermal layer following UVR, as well as corresponding downregulated expression of IFN- $\gamma$ , suggesting a defect in secretion of chemokines involved in immunomodulation. RT-qPCR analyses of UVR-exposed melanocytes revealed that loss of *Rxra*/ $\beta$  results in significantly altered expression of both chemoattractive and chemorepulsive ligands implicated in chemotaxis of IFN- $\gamma$  secreting immune cells. Treatment of primary melanocytes *in vitro* with either a small molecule agonist or antagonist of RXR function results in decreased UVR-induced apoptosis, suggesting that RXRs can mediate melanocyte apoptosis via multiple pathways utilizing both its activating and repressive functions. RT-qPCR analyses also revealed several post-UVR changes in expression of pro- and anti-apoptotic genes in melanocytes lacking  $Rxr\alpha/\beta$  expression.

Our results suggest that ablation of RXRs  $\alpha/\beta$  results in aberrant expression of several chemokines following UVR, resulting in decreased infiltration of macrophages and other immune cells that secrete IFN- $\gamma$ . The corresponding decrease in IFN- $\gamma$  may influence changes in survival of melanocytes, fibroblasts, and other cell types in the skin. It has been found previously that UVR-induced apoptosis in skin can stimulate clonal expansion of tumorigenic cells as the death of surrounding cells allows them room to expand [13]. As RXR $\alpha/\beta$  ablation exists only in the melanocytes, there is a cellautonomous shift in homeostasis of pro- and anti-apoptotic gene expression in this cell type, which results in an enhanced survival of these cells post-UVR.

#### 3.4 Results

# Selective ablation of RXR $\alpha$ and RXR $\beta$ in melanocytes of *Tyr-Cre<sup>tg/0</sup>* | *Rxr* $\alpha/\beta^{L^{2/L^{2}}}$ mice

In order to selectively ablate RXRa and RXRB in melanocytes, we bred mice carrying LoxP site-containing (floxed)  $Rxr\alpha$  and  $Rxr\beta$  alleles ( $Rxr\alpha/\beta^{L2/L2}$ ) with hemizygous Tyr-Cre transgenic mice [14]. Macroscopically, Tyr-Cre<sup>tg/0</sup>  $Rxr\alpha/\beta^{L2/L2}$  mice were indistinguishable from the control  $Rxr\alpha/\beta^{L2/L2}$  floxed mice (Figure 3.S1 A) and no effects on their viability were observed. In order to verify that RXR $\alpha$  and RXR $\beta$  were specifically deleted in melanocytes of Tyr-Cre<sup>tg/0</sup>  $Rxr\alpha/\beta^{L2/L2}$  mice, we used immunohistochemistry (IHC) to examine skin sections from neonatal control and mutant mice collected after exposure to UVR (800 mJ/cm<sup>2</sup> UVB) (Figure 3.1 A), which dramatically increases numbers of extrafollicular melanocytes (Figure 3.S1 D, E). Tissue sections were co-labeled with antibodies for tyrosinase-related protein 1 (TYRP1), which is localized specifically in the cytoplasm of melanocytes [15,16] (Figure 3.1 B, C), and either RXR $\alpha$  (Figure 3.1 B) or RXR $\beta$  (Figure 3.1 C). We observed that in absence of the Cre transgene, cells positive for TYRP1 also show nuclear labeling for both RXR $\alpha$  (Figure 3.1 B) and RXR $\beta$  (Figure 3.1 C) in *Rxr\alpha/\beta<sup>L2/L2</sup>* floxed mice. In presence of the Cre transgene, none of the TYRP1-labeled melanocytes showed positive staining for either receptor in the Tyr-Cre<sup>tg/0</sup>| $Rxr\alpha/\beta^{L2/L2}$  mice, while all other cell types of the skin were positive for RXR $\alpha$  (Figure 3.1 B) and RXR $\beta$ (Figure 3.1 C), thereby confirming the generation of the  $Rxr\alpha/\beta^{mel-/-}$  mice. Altogether, our results indicate that  $Rxr\alpha/\beta^{mel-/-}$  mice constitute a model of

selective ablation of both RXR $\alpha$  and RXR $\beta$  in the melanocytes of neonatal murine skin.

# Melanocytic ablation of RXR $\alpha$ and RXR $\beta$ differentially alters UVR-induced apoptosis in melanocytes and dermal cells of the skin

Histological and IHC characterization of  $Rxra/\beta^{L2/L2}$  (control, CT) and  $Rxra/\beta^{mel-/-}$  (mutant, MT) skin was performed on biopsies collected from neonatal mice at different time points following a single dose of UVR (Figure 3.1 A). Measurement of epidermal thickness on Hematoxylin and Eosin (H&E) stained skin sections did not reveal any significant difference between CT and MT skin (Figure 3.S1 B, C). Also, melanocyte numbers (Figure 3.S1 D, E) and levels of UVR-induced DNA damage in melanocytes (Figure 3.S2) were similar between the two groups.

IHC analysis for proliferation marker PCNA revealed a significant reduction in proliferation of cells in the dermal layer that was most prominent at 48 hours post-UVR (Figure 3.2 A, B). Interestingly, we also observed a marked increase in apoptosis of the dermal cells in the MT skin compared to the CT skin using a TUNEL assay (Figure 3.2 C, D). This difference was significant at 24 and 48 hours post-UVR (Figure 3.2 C, D).

We next employed a hybrid TUNEL-IHC assay (with anti-TYRP1 antibody) to specifically evaluate melanocyte apoptosis (Figure 3.2 E). In contrast to the overall dermal apoptosis, we observed a trend in reduced percentage of apoptotic melanocytes in MT skin compared to the CT skin at each time point post-UVR. The reduction in percent apoptotic melanocytes was significant at 72

hours post-UVR (Figure 3.2 E, F). To corroborate this result we utilized an in vitro assay using cultured primary murine melanocytes. Growth medium was supplemented with either a small-molecule agonist (BMS-649) or antagonist (HX-531) of RXRs in order to mimic loss of RXR $\alpha/\beta$  functions; by simulating relief of transcriptional repression or loss of transcriptional activation functions with addition of an agonist or antagonist, respectively. The treated primary melanocytes were then subjected to a single dose of UVR and given fresh medium supplemented with either agonist or antagonist. 24 hours post-UVR, apoptosis of the melanocytes was quantified using an Annexin V/Propidium lodide cytometry assay. Compared to vehicle controls, apoptosis of the primary melanocytes was reduced when growth medium was supplemented with either an RXR agonist or antagonist (Figure 3.2 G). The above results suggest a noncell autonomous role in modulating survival of dermal cells and a cell autonomous role of melanocytic RXR $\alpha/\beta$  in mediating melanocyte homeostasis post-UVR, possibly via distinct mechanisms.

# Altered inflammatory responses in the skin of $Rxr\alpha/\beta^{mel-/-}$ mice following exposure to UV radiation

It has been reported that in response to UVR, melanocytes secrete chemokines that mediate infiltration of macrophages [8], which further secrete interferon- $\gamma$  (IFN- $\gamma$ ) that influences melanocyte survival [8]. We hypothesized that IFN- $\gamma$  can also influence survival of other cells post-UVR; and the changes we observed in levels of apoptosis in the skin of *Rxra/\beta^{mel-/-}* mice may be due to altered immune responses. To test that, we first performed two-color IHC for IFN-y expression and presence of macrophages using anti-IFN-y and anti-F4/80 (a transmembrane cell surface protein associated with macrophages [17]) antibodies on CT and MT skin at different time points post-UVR. In comparison to control mice, MT skin exhibited moderately decreased IFN-y expression and reduced F4/80+ labeling at 48 and 72 hours post-UVR, and a marked reduction of staining for both IFN-y and F4/80+ cells at 96 hours post-UVR (Figure 3.3 A). Western blot for F4/80, using a different antibody than used for IHC (Table 3.2), also revealed decreased F4/80 expression in skin lysates from MT skin compared to controls (Figure 3.3 B). An ELISA assay confirmed a significant decrease in IFN-y level in skin lysates from  $Rxr\alpha/\beta^{mel-/-}$  mice at 72 and 96 h post-UVR compared to controls (Figure 3.3 C). We also observed reductions in CD11B+ monocytes, CD8+ T-cells, and mast cells (Figure 3.S3 A-C). It is of note that F4/80 expression was previously reported to be required for CD8+ Tcell activation [18]. These data indicate that ablation of melanocytic RXRα and RXRβ results in reduced immune cell recruitment, which could result in reduced secretion of IFN-y after exposure to UV radiation.

As a complementary experiment, we wanted to determine how IFN- $\gamma$  affects the survival of fibroblasts and melanocytes post-UVR. To that end, we irradiated both fibroblasts and melanocytes *in vitro* and examined the effects 24 h post-UVR. First, we confirmed that both cell types express the primary receptor for IFN- $\gamma$  (IFNGR1) pre- and 24h post-UVR using Western Blot (Figure 3.3 D). We then analyzed apoptosis in cells cultured with recombinant murine IFN- $\gamma$  post-UVR, using Annexin V/Propidium Iodide Staining. We observed that

increasing concentration of IFN- $\gamma$  resulted in a corresponding dose-dependent reduction in apoptosis levels of both fibroblasts and melanocytes following exposure to UVR (Figure 3.3 E). These results suggest that secretion of IFN- $\gamma$  in the microenvironment, which is reduced in *Rxra/\beta^{mel-/-}* mice compared to controls, is a key factor for post-UVR cell survival.

# Knockdown of RXR $\alpha$ and RXR $\beta$ in primary melanocytes results in alteration of the secretory chemokine/cytokine profile, as well as expression of genes involved in apoptosis

We hypothesized that loss of RXR $\alpha/\beta$  may result in aberrant expression of chemokine ligands and/or receptors that are involved in immune cell infiltration; which in turn may influence survival of other skin cell types, particularly those in the dermis. We also examined the effects of RXR $\alpha/\beta$  loss on apoptosis-related genes; as mimicking functional RXR loss using an agonist or antagonist reduces post-UVR apoptosis of melanocytes in vitro (Figure 3.2 G). To that end, we employed an RNAi-based knockdown strategy of both RXR $\alpha$  and RXR $\beta$  in primary murine melanocytes (Figure 3.4, see Materials and Methods for details). We used plasmids expressing shRNA constructs specifically targeting either *Rxra* or *Rxr* $\beta$  transcripts (See Table 3.3 for details). The plasmid expressing  $Rxr\alpha$ -targeting shRNA contains a GFP marker gene, while the  $Rxr\beta$ -targeting shRNA plasmid encodes an RFP marker. The two different fluorescent marker genes allowed us to co-transfect both constructs into primary murine melanocytes, isolate the cell population expressing both plasmids by Fluorescence-Activated Cell Sorting (FACS) (Figure 3.4 A) and then re-culture

the isolated double positive cells (Figure 3.4 B). We verified that knockdown was successful at both the RNA level using RT-qPCR (Figure 3.4 C) and at the protein level using Western blot (Figure 3.4 D). We observed a compensatory upregulation of  $Rxr\beta$  expression in cells in which only  $Rxr\alpha$  was knocked down, but not vice-versa (Figure 3.S4).

The  $Rxr\alpha/\beta$  double knockdown primary melanocytes were subjected to UVR (10 mJ/cm<sup>2</sup> UV-B) and RNA isolated 6 hours later. We chose the UVR dose and time interval based on peak mRNA expression of chemokines Cc/2 and Cc/8 (Figure 3.S5), two ligands secreted by melanocytes that are reported to influence macrophage infiltration in response to UVR [8]. cDNA synthesized from the RNA template was then applied to RT-qPCR arrays. We employed arrays for mouse chemokines/receptors (SA Biosciences, PAMM-022) and for mouse cancer/apoptosis (PAMM-033). Several mouse chemokines/receptors, as well as genes implicated in apoptosis, were found to be differentially up- or downregulated compared to wild-type melanocytes (Figure 3.S6 A-D; Figure 3.5 A, B). Expression of several candidate genes, which could contribute to reduced immune cell infiltration and reduced IFN-y expression, were re-validated in new biological replicates by RT-qPCR using our own primer sets (for details see Table 3.4). In particular, expressions of Cxcl10, Cxcl12, Slit2, Tnf and Cxcr4 were confirmed to be upregulated as determined by the array, while Cc/19, *Cx3Cl1, Ccl4, and Cclr2* were confirmed to be downregulated (Figure 3.5 A). Additionally, several candidate genes which may contribute to the enhanced survival in the melanocytes themselves were found to be dysregulated. In

particular, anti-apoptotic gene Birc5 was found to be upregulated, while antiapoptotic genes Bcl2, Fgf1, and Ncam1 were downregulated (Figure 3.5 B). Proapoptotic genes Bad, Fos, and Trp53 were also downregulated (Figure 3.5 B). In order to confirm that these genes were also altered in the mouse model, we performed FACS to isolate CD117+/CD45- melanocytes from mouse skin [19] 96 h post-UVR (Figure 3.S7 A,B). Similar trends in dysregulated mRNA expression was observed for several genes in cells isolated from  $Rxr\alpha/\beta^{mel-/-}$  mice compared to  $Rxr\alpha/\beta$  double knockdown cells; specifically chemokines/receptors Cxc/10, Slit2, Ccrl2, Ccl19, Cx3Cl1, and Ccl4 (Figure 3.S7 C) and apoptosis-related genes Fgf1, Bad, and Trp53 (Figure 3.S7 D). It is of note that Slit2 had no detectable expression in cells collected from control mice; expression was only seen in  $Rxr\alpha/\beta^{mel-/-}$  cells (Figure 3.S7 C). Altogether, results suggest that melanocytic RXRα and RXRβ regulate expression of genes encoding chemokines and cytokines involved in chemoattraction/chemorepulsion of immune cells such as macrophage; as well as genes involved in melanocyte apoptosis/survival.

We then hypothesized that RXRs, and in particular RXR $\alpha$ , may directly regulate expression of a subset of genes whose expression was dysregulated in melanocytes lacking *Rxra/Rxr* $\beta$  expression described above. To test that, a region of ~5 kilobases upstream of the transcriptional start site (TSS) for each gene was interrogated for possible binding motifs of RXR $\alpha$ /NR heterodimers (RXREs) as reported in the TRANSFAC database. Promoter sequences of the predicted target genes were targeted for further investigation and primers were

designed to capture those regions (for details see Table 3.5). Chromatin Immunoprecipitation (ChIP) [20] was performed on nuclear extracts from primary melanocytes using an antibody against RXR $\alpha$ . A mock ChIP was also performed using an IgG antibody. We discovered that the promoter regions of *Cxcl10* and *Fos* had significant enrichment for RXR $\alpha$  binding over the IgG control, as determined by RT-qPCR (Figure 3.5 C, D). Although *in silico* analyses of the promoter region of other dysregulated genes revealed several potential RXREs, no ChIP enrichment at those sites was found (Figure 3.S8). The above results suggest that RXR $\alpha$  directly regulates a small subset of genes involved in skin inflammation and survival; while other dysregulated genes found in the *Rxra/β<sup>mel-</sup>* <sup>-/</sup> melanocytes may be regulated either indirectly or *in trans* via DNA binding elements present beyond 5 kb from the TSS.

#### 3.5 Discussion

Loss of expression of RXR $\alpha$  has been previously reported during melanoma progression in humans [4,5]. The present study provides a mechanistic role of RXRs  $\alpha/\beta$  in differentially regulating survival of melanocytes and fibroblasts. We show that RXRs in melanocytes modulate survival of dermal fibroblasts in a non-cell autonomous manner through secretion of paracrine factors and mediate survival of the melanocytes themselves in a cell autonomous manner (Figure 3.6). Furthermore, our results underscore a novel immunomodulatory role of RXR $\alpha/\beta$ -mediated nuclear receptor signaling to regulate UVR-induced macrophage activation and cell survival.

RXR $\alpha$  is the predominant RXR isotype expressed in skin [21]; however we also chose to ablate RXR $\beta$  due to a compensatory upregulation of *Rxr\beta* expression in primary murine melanocytes (Figure 3.S4 A). We did not observe a similar compensatory upregulation of *Rxr\alpha* expression following knockdown of *Rxr\beta* (Figure 3.S4 B). Previously, a functional redundancy was shown to exist between RXR $\alpha$  and RXR $\beta$  in epidermal keratinocytes, although RXR $\alpha$  function was clearly dominant [21].

The dermis of the skin is comprised largely of fibroblasts, which maintain the structure of the skin via forming an extracellular matrix comprised of collagen and other ECM proteins. IFN- $\gamma$  has been previously speculated to have a role in regulating fibroblast apoptosis. Human fibroblasts exposed to gamma radiation exhibited decreased apoptosis 24 and 48 h post-radiation when treated with IFN- $\gamma$  *in vitro* [22], and IFN- $\gamma$  was reported to inhibit TRAIL-induced apoptosis in fibroblast-like synovial cells [23]. Indeed, we found that murine dermal fibroblasts express IFNGR1 pre- and post-UVR; and supplementation of the culture medium with IFN- $\gamma$  following UVR exposure enhances a dose dependent survival of those cells.

The role of interferons in cancer immunosurveillance is complex and at times oppositional. Macrophages recruited after UVR promote survival of melanoma cells, and systemic antibody blockade experiments has established the importance of physiologically relevant IFN-y in UVR-induced melanocyte activation and melanoma cell survival; underscoring a pro-tumorigenic role of IFN-γ in skin [8]. In contrast, overexpression of SOCS1, a negative regulator of IFN-y mediated signaling, has been previously observed in human melanoma [9,24]. Neutralization of IFN-y in a mouse model has been demonstrated to abrogate rejection of transplanted fibrosarcoma cells [10] and increase aggressiveness of MCA-induced tumors arising from these transplanted cells [10]. Furthermore, blockage of the IFN-y pathway either through knockout of IFNGR1 [9,11], signal transducer Stat1 [9,11], or IFN-y [9,12] develop higher number of spontaneous or chemical carcinogen-induced tumors; supporting its anti-tumorigenic activity [9]. Altogether, these findings and our data support the notion that melanocytic ablation of RXR  $\alpha/\beta$  may increase susceptibility to intermittent or chronic UVR-induced melanomas. The correlation between fibroblast apoptosis and melanoma susceptibility has never been thoroughly investigated. It is possible that together with enhanced post-UVR melanocyte survival in absence of RXR $\alpha/\beta$ , the decreased post-UVR survival of fibroblasts

105

we observe may encourage proliferation and propagation of dermal melanomas. It has been found previously that clonal expansion of epidermal cells expressing a mutant p53 is driven by chronic UVB-induced apoptosis of the surrounding cells in the skin [13]; leading to enhanced formation of primary papillomas [13]. Future studies are needed to explore the effects of melanocytic RXR  $\alpha/\beta$  ablation on formation of UVR-induced tumors in adult mice.

Our observation that melanocytic ablation of RXR  $\alpha/\beta$  results in decreased infiltration of F4/80+ macrophages, CD11B+ monocytes, CD8+ T-cells and mast cells suggests defects in expression of paracrine chemokine factors secreted by the melanocytes to mediate immune cell infiltration. Secretion of CCR2 ligands CCL2 and CCL8 from melanocytes following UVR irradiation activates F4/80+ macrophages and enhances their recruitment [8]. Although we did not observe changes in Ccl2 or Ccl8 expression after in vitro knock-down of  $Rxr\alpha/\beta$  in melanocytes, we identified dysregulated post-UVR expression of several genes involved in chemoattraction/chemorepulsion of immune cells. In particular, blockage of chemoattractant CX3CL1 has been previously reported to be reduce macrophage infiltration in experimental autoimmune myositis (EAM) mice [25], indicating that its downregulation in our model could contribute to the reduced macrophage infiltration we observe. Similarly, CCL19 has been reported to be a chemoattractant for several different immune cell types, including lymphocytes [26-28], dendritic cells [28,29], natural killer cells [28,30], and macrophage progenitors [28,31]. CCL4 is also reported to be a chemoattractant of macrophages, monocytes, dendritic cells, natural killer cells, and eosinophils

[32]. Likewise, *Ccrl2*<sup>-/-</sup> mice have been reported to have a reduced inflammatory response in lung tissue following OVA-induced airway inflammation [33].

Regarding chemorepulsive factors, *Slit2* has a role in regulating axon guidance and neuronal migration through chemorepulsion [34]. SLIT2 has been found to inhibit migration of Langerhans cells [34], leukocytes [35], neutrophils [36], and most interestingly, Slit2<sup>-/-</sup> mice were shown to have increased numbers of F4/80+ macrophages [37], underscoring its chemorepulsive properties. Expression of *Slit2* in skin has been reported to be upregulated at both 4 hours and 48 hours following hapten sensitization [34], suggesting Slit2 can have a role both early and late in an inflammatory response. Likewise, CXCL10 has been shown to repulse plasmacytoid dendritic cells [38]; interestingly CXCL10 has also been shown to inhibit angiogenesis [39-41] and overexpression or mutation of CXCL10 in melanoma cells results in slower growth of xenografts [41,42]. Our results suggest recruitment of RXRa protein on the proximal promoter region of Cxcl10, mRNA expression of which is upregulated in our model. Based on our data, CXCL10 may have a significant role in RXR-mediated immune cell recruitment by melanocytes via direct transcriptional regulation.

We did not observe evidence of RXR recruitment on the promoter regions of several genes dysregulated in our model (Figure 3.S8). These results can be reconciled by the fact that expression of these genes may be regulated via alternative mechanisms such as (1) by downstream effectors of RXR, (2) by RXR binding to distal promoter/enhancer regions, and/or (3) regulated *in trans* via enhancer RNAs (eRNAs) [43].

While melanocyte-specific ablation of RXR $\alpha/\beta$  results in a paracrine effect, altering chemotaxis of immune cells which ultimately affect survival of the dermal fibroblasts, the melanocytes themselves were able to overcome this effect and have enhanced post-UVR survival over controls despite reduced presence of IFN-γ in the microenvironment that modulates UVR-induced melanocyte survival just as in fibroblasts. Our studies utilizing RXR agonist and antagonist suggested that RXRs can independently mediate apoptosis in melanocytes post-UVR via multiple pathways, by transcriptional activation and/or repression of genes. RTqPCR analyses of pro- and anti-apoptotic genes in isolated  $Rxr\alpha/\beta$  double knockdown melanocytes revealed altered expression of several anti-apoptotic and pro-apoptotic genes. In particular, pro-apoptotic genes Bad and Trp53 and anti-apoptotic gene *Fgf1* were found to be dysregulated in a similar fashion in both UVR-exposed  $Rxr\alpha/\beta$  double knockdown melanocytes in vitro and in FACSsorted CD117+/CD45- cells from UVR-exposed  $Rxr\alpha/\beta^{mel-/-}$  skin *in vivo*. Our results suggest that RXRa binds directly to the promoter of Fos, the product of which (c-FOS) makes up a subunit of the AP1 transcription factor and when activated can induce apoptosis [44]. Interestingly, Fos was found to be downregulated in the  $Rxra/\beta$  double knockdown melanocytes but upregulated in the *in vivo* FACS-sorted cells. However, these two models are not fully homologous as there is cell-cell signaling at play in vivo; and different parameters with regard to UVR-dose and time points are required to elicit similar responses in a homogenous cell monolayer versus a multicellular organ. This could well be the case for why some other genes are dysregulated differently in  $Rxr\alpha/\beta$  double

knockdown melanocytes than in the *in vivo* CD117+/CD45- cells. As our results suggest the promoter of *Fos* is bound by RXR $\alpha$ , it is likely that it is indeed a player in regulating melanocyte apoptosis post-UVR, but in a context-dependent manner. We believe that a shift in homeostasis of pro- and anti-apoptotic signals within the melanocytes themselves in absence of the RXRs might contribute to overcome the negative effects of reduced IFN- $\gamma$  on cell survival seen in the fibroblasts.

Altogether, ablation of RXR $\alpha/\beta$  expression in melanocytes appears to have two distinct independent effects induced by UVR: (1) A paracrine immunomodulatory effect reducing infiltrating immune cells and hence survival of dermal fibroblasts owing to reduced available IFN- $\gamma$  in the microenvironment; and, (2) an independent cell-autonomous effect on survival of the melanocytes themselves due to alteration in the expression level of pro- and anti-apoptotic genes (shift in apoptotic signals) selectively in those cells, allowing them to overcome the negative-effects of reduced IFN- $\gamma$  on cell survival. Whether these changes enhance susceptibility to melanoma and other types of skin cancer in the long-term, need to be further examined in future using chronic or intermittent UV exposure of the *Rxra/\beta^{mel/-}* mice.

#### **3.6 Materials and Methods**

#### Mice

Generation of  $Rxr\alpha/\beta^{L2/L2}$  mice has previously been described [45]. To selectively ablate RXR $\alpha$  and RXR $\beta$  in melanocytes, mice carrying LoxP-site-containing (floxed)  $Rxr\alpha$  and  $Rxr\beta$  alleles were bred with hemizygous Tyr-Cre transgenic mice [14] to produce  $Rxr\alpha/\beta^{mel-/-}$  mice in Mendelian ratios, following Cre-mediated recombination selectively in melanocytes. A semi-quantitative PCR was performed in an Eppendorf thermal cycler using primers to amplify the Cre, L2 and L- RXR alleles [21,45] as described. Mice were housed in our approved University Animal Facility with 12 h light cycles, food and water were provided ad libitum, and institutional approval was granted for all animal experiments by the Institutional Animal Care and Users Committee (IACUC).

#### UVR treatment of mice

Neonatal mice (P4 for data in Figure 3.S7, P2 for all other experiments) were exposed to a single dose of 800 mJ/cm<sup>2</sup> of UV-B light from a bank of four Philips FS-40 UV sunlamps as described [6]. The irradiance of the sunlamps was measured with an IL-1400A radiometer with an SEE240 UVB detector (International Light). Mice were euthanized 24, 48, 72 and 96 h after UVR and skin samples retrieved. 0 h samples were taken from P2 mice not exposed to UVR.

#### Histological analyses

All analyses were performed on 5 µm formalin-fixed paraffin sections. Prior to all procedures, sections were deparaffinized in xylene and rehydrated using graded alcohols. Sections were stained with hematoxylin and eosin (H&E) as previously described [46]. Fontana-Masson staining was performed according to manufacturer's instructions (American MasterTech). Toluidine Blue staining was performed by immersing slides in a solution of 0.1% Toluidine Blue in 1% Sodium Chloride, pH 2.3 for 2 minutes. Slides were then washed/dehydrated by dipping 10 times in 95% EtOH, followed by 10 dips each in 2 changes of 100% EtOH. Slides were cleared in xylene and mounted in DPX mounting medium.

#### Immunohistochemistry

For immunohistochemistry (IHC) staining studies, paraffin sections from mouse skin (5 µm thick) were deparaffinized in xylene and rehydrated through graded alcohols. Antigen retrieval was performed in a hot water bath (95°C-100°C) using citrate buffer (pH 6.0) for 20 minutes. Frozen sections from mouse skin (8 µm thick) were post-fixed in cold acetone at -20°C for 10 minutes then air dried at room temperature for 20 minutes. All sections were then washed three times with 0.05% PBS-Tween (PBST); then nonspecific antibody binding was blocked using 10% Normal Goat Serum in PBST at room temperature for 30 minutes. Sections were then incubated overnight at 4°C with primary antibody. Primary antibody incubation was followed by three washes with PBST before addition of the secondary antibodies, which were incubated on the sections for 2 hours at

room temperature. Nuclei were counterstained with DAPI (200 ng/mL) for 10 minutes at room temperature. Finally, sections were rinsed with PBST, dehydrated through sequential alcohol washes and then cleared in xylene. Slides were mounted with DPX mounting medium. Antibodies used in IHC are detailed in Table 3.2. For dual TUNEL-IHC staining, the DeadEnd TUNEL System (Promega) was combined with the above protocol. Sections stained without primary antibody was used as a negative control, and all experiments were performed in triplicates.

#### Imaging and Quantitation of Histological and IHC Experiments

Brightfield images were captured with a Leica DME light microscope using the Leica Application Suite software, version 3.3.1. Fluorescent images were captured using a Zeiss AXIO Imager.Z1 with a digital AxioCam HRm and processed using AxioVision 4.8 and Adobe Photoshop. Epidermal thickness was measured using the Leica Application Suite, taking random measurements across 20 image fields per animal; which were then averaged to calculate an average value per animal. Quantifications of cell labeling were performed by randomly choosing multiple fields imaged from several replicate animals in each group and counting cells using ImageJ software (NIH). All slides were analyzed independently in a double-blinded manner by two investigators and significance was determined using a Student's two-tailed t-test as calculated by GraphPad Prism software.

#### **Primary Melanocyte Culture**

Primary C57BL/6 murine melanocytes were obtained from the Yale University Cell Culture Core. Cells were maintained in a complete growth medium consisting of F-12 nutrient mixture (Ham), 8% FBS, bovine pituitary extract (25 µg/mL), TPA (10 ng/mL), 3-isobutyl-1-methylxanthine (22 µg/mL) and 1X antibiotic/antimycotic. Melanocytes were starved into a quiescent state using a minimal culture medium containing F-12 nutrient mixture (Ham), 8% FBS and 1X antibiotic/antimycotic for 48-72 h prior to all experiments. All cell culture/assays were performed at 37°C, 5% CO<sub>2</sub>.

#### **Primary Fibroblast Culture**

Primary skin fibroblasts were cultured by removing dorsal and ventral skin from newborn mouse pups and incubating in growth medium containing 5 mg/mL dispase at 4°C overnight with rocking. The next day, the skins were washed in sterile PBS and the epidermis separated and discarded. The dermis was incubated in TrypLE Express (Invitrogen) for 30 minutes at 37°C. The dermis was shredded using forceps, suspended in growth medium (F-12 nutrient mixture (Ham), 8% FBS and 1X antibiotic/antimycotic), and vortexed for 2-3 minutes to shed individual cells. The cell suspension was centrifuged (300 x g, 3 minutes) and the pellet resuspended in fresh growth medium and plated. Medium was changed the day after plating, and cells were split at a 1:5 ratio once confluency was reached. Cells were maintained for several passages by culturing in aforementioned growth medium and using a 1:5 split ratio. All cell culture/assays were performed at 37°C, 5% CO<sub>2</sub>.

# Transfection and sorting of primary melanocytes with shRNA plasmids Primary melanocytes cultured in complete growth medium (detailed above) were transfected with a 1:1 mixture of $Rxr\alpha$ and $Rxr\beta$ shRNA-containing plasmids (OriGene, pGFP-V-RS for $Rxr\alpha$ , pRFP-C-VS for $Rxr\beta$ ) using the Neon Transfection System (Invitrogen) according to manufacturer's instructions. shRNA targeting sequences are detailed in Table 3.3. Cells were incubated post-transfection for 2 days, then detached from plates using TrypLE Express (Invitrogen) and centrifuged (300 x g, 3 minutes). Cell pellets were resuspended in minimal growth medium (detailed above) and placed on ice. Fluorescenceactivated cell sorting (FACS) of GFP-positive, RFP-positive, or GFP/RFP doublepositive cells was accomplished using a Beckman Coulter MoFlo XDP highspeed cell sorter. Cells were sorted into collection tubes containing a high-serum sorting medium (F-12 nutrient mixture (Ham), 16% FBS and 2X antibiotic/antimycotic) to maximize survival of sorted cells. For UVR studies, sorted cells were re-plated, and medium changed for fresh minimal growth medium once cells had fully adhered (3-4 hours post-plating). Cells were incubated in minimal growth medium for 48 hours prior to use for sample collections or experiments.

### FACS sorting of CD117+/CD45- melanocytes from neonatal mouse skin P4 neonatal mice were irradiated with UVR as described above. 96 hours post-

UVR, mice were sacrificed and dorsal skin was collected. Skin was placed in growth medium [F-12 nutrient mixture (Ham)] containing 5 mg/ml dispase and incubated overnight at 4°C. Skin was then diced using forceps and incubated in TrypLE Select (Invitrogen) for 30 mins at 37°C. Individual cells were shed by physical agitation and suspended in growth medium [(F-12 nutrient mixture (Ham)), 8% FBS]. Cell suspensions were filtered (50 µm), pelleted by centrifugation (300 x g, 3 minutes) and resuspended in labeling buffer (PBS + 2%) FBS). Non-specific cell-surface binding was blocked by adding Fc Block (BD Pharmingen) at a concentration of  $1 \mu g/10^6$  cells and incubating on ice for 10 minutes [19]. PE-CD117 and FITC-CD45 antibodies were added at a concentration of 0.2  $\mu$ g/10<sup>6</sup> cells [19] and incubated at 4°C for 1 hour with rocking. Two-color FACS sorting was accomplished using a Beckman Coulter MoFlo XDP high-speed cell sorter. Non-labeled and single-labeled samples were used to calibrate the sorter. Cells were sorted directly into Trizol reagent (Invitrogen) for RNA isolation.

#### UVR treatment of cells in vitro

Prior to UVR, growth medium was removed from culture dishes by aspiration. Cells were washed briefly with sterile PBS, which was then removed by aspiration. Lids from culture dishes were removed, and cell monolayers were exposed a single dose of 10 mJ/cm<sup>2</sup> of UV-B light from a bank of four Philips FS-40 UV sunlamps as described above. Immediately after UVR, fresh growth medium was added back to the cells and were returned to the growth incubator.

#### Immunoblotting analyses

Protein lysates were obtained by collecting cells in a lysis buffer (20 mM HEPES, 250 mM NaCl, 2 mM EDTA, 1% SDS, 10% glycerol, 50 mM NaF, 0.1 mM hemin chloride, 5 mM NEM, 1 mM PMSF and 10 mg/mL leupeptin and aproptinin) [6,47,48] followed by sonication. Protein concentration was performed using the BCA assay (Thermo Scientific). Equal amounts of protein extract (9-15  $\mu$ g depending on experiment) from each lysate were resolved using sodium dodecyl sulfate polyacrylamide gel electrophoresis and transferred onto a nitrocellulose membrane as described. The blots were blocked overnight with 5% nonfat dry milk and incubated with specific antibodies. The antibodies used are detailed in Table 3.2. After incubation with the appropriate secondary antibody, signals were detected using immunochemiluminescent reagents (GE Healthcare, Piscataway, NJ). Equal protein loading in each lane was confirmed with a  $\beta$ -actin antibody (#A300-491, Bethyl).

#### ELISA Assays

Protein lysates were prepared as described above. Assays were performed using the eBioscience 'Mouse IFNg 'Femto-HS' High Sensitivity ELISA Ready-Set-Go!' kit according to manufacturer's protocol. 25 µg of protein was loaded per well. Lysates from three mice per group were used and assays performed in triplicate wells. Student's two-tailed t-test was performed using GraphPad Prism software. Cultured primary melanocytes or fibroblasts were irradiated with UVR as described above. Following UVR, fresh growth medium was added to cells supplemented with treatment, such as 1 µM BMS-649 (A gift from Hinrich Gronemeyer; IGBMC, France) or 100 nM HX-531 (Tocris Bioscience) (Figure 3.2 G); recombinant IFN-y (Millipore) (Figure 3.3 E), or appropriate vehicles. Additionally, cells treated with BMS-649 or HX-531 were pre-treated for 24 h prior to UVR. 24 h post-UVR, cells were harvested and assayed for apoptosis using an Annexin V/Propidium Iodide assay. The assay was performed using a Tali Image-Based Cytometer (Invitrogen) and Tali Apoptosis Kit (Invitrogen) according to manufacturer's protocol. 9 or 20 Tali image fields for each sample were analyzed depending on experiment. All assays were performed in triplicate. Student's two-tailed t-test was performed using GraphPad Prism software.

Annexin V/Propidium lodide Assay of Apoptosis in Cultured Cells

# Reverse transcription–quantitative PCR (RT-qPCR) Analyses of Transcriptional Changes

Total RNA was extracted from cell monolayers using Trizol (Invitrogen), followed by purification using RNEasy spin columns (Qiagen). cDNA was synthesized using SuperScript III RT (Invitrogen). Amplification was performed on an ABI Real Time PCR machine using a QuantiTect SYBR Green PCR kit (Qiagen), and all targets were normalized to the housekeeping gene *Hprt*. All reactions were performed in quadruplicates or sextuplicates. Melting curve analyses were performed to ensure specificity of amplification. Student's two-tailed t-test was performed using GraphPad Prism software.

# *In silico* discovery of RXREs and Chromatin Immunoprecipitation RT-qPCR (ChIP-RT-qPCR) Analyses

*In silico* discovery of consensus RXR response elements (RXREs) was performed on a 5kb region upstream from the transcription start site, using the Fuzznuc utility (EMBOSS). Primers were designed to capture regions containing potential RXREs. Cultured primary melanocytes were crosslinked using formaldehyde. 3x10<sup>6</sup> cells were used for each ChIP. Chromatin was sheared using 10 sonication cycles of 10 s with 20% amplitude. ChIP was performed using either 2 µg of an RXRα antibody (Santa Cruz Biotechnology, see Table 3.2) or non-specific IgG (Santa Cruz Biotechnology). RT-qPCR amplification was performed on an ABI Real Time PCR machine using a QuantiTect SYBR Green PCR kit (Qiagen). All reactions were performed in quadruplicates, and average Ct values were used for calculating percent input. Melting curve analyses were performed to ensure specificity of amplification. Each assay was performed in replicate three times. Student's two-tailed t-test was performed using GraphPad Prism software.

#### 3.7 Acknowledgments

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# Figure 3.1: Loss of RXRs $\alpha$ and $\beta$ are restricted to melanocytes in *Rxr* $\alpha/\beta^{mel-/-}$ mice.

(A) Experimental scheme for *in vivo* experiments. Floxed  $Rxra/\beta^{L2/L2}$  (controls) and  $Rxra/\beta^{mel-/-}$  mice are irradiated with UVR at Day 2 post-natal (P2) and samples collected at the indicated time points. (B, C) IHC for (B) RXRa or (C) RXR $\beta$  (red) co-labeled with melanocyte-specific marker TYRP1 (green) on skin sections collected from mice 96 hours post-UVR. Melanocytes are indicated by yellow arrows. Floxed  $Rxra/\beta^{L2/L2}$  control mice show melanocytes labeled for the RXRs,  $Rxra/\beta^{mel-/-}$  mice show melanocytes that are negative, as it is excised specifically in that cell type. E=epidermis, D=dermis, HF= hair follicle, M=melanocyte. Scale bar = 50 µm.

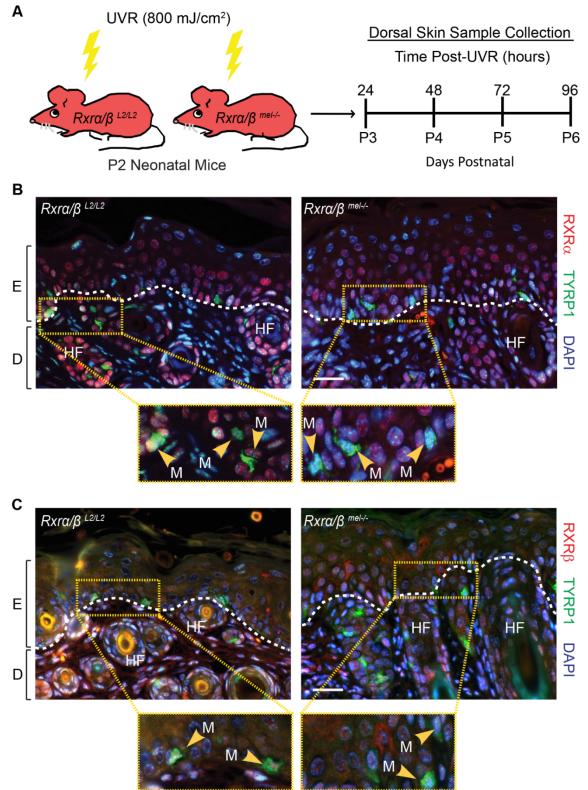


Figure 3.1

# Figure 3.2: Loss of melanocytic RXRs $\alpha$ and $\beta$ results in contrasting changes in cell survival in dermal skin cells and melanocytes following UV radiation.

(A-F) Histological analyses of skin sections following a single dose of UVR. (A, B) IHC for the proliferation marker PCNA. Proliferating cells are indicated by red staining. \*\* =  $p \le 0.01$ . (C, D) TUNEL assay to label apoptotic cells. Apoptotic cells are indicated by green staining. \* =  $p \le 0.05$ , \*\* =  $p \le 0.01$ . (E, F) Hybrid TUNEL-IHC to analyze apoptosis specifically in melanocytes. Apoptotic cells are indicated by red staining, cells positive for the melanocyte-specific marker TYRP1 are indicated by red staining. White arrows indicate normal melanocytes, yellow arrows indicate apoptotic melanocytes. \* =  $p \le 0.1$ . (G) Apoptosis of cultured melanocytes 24 h post-UVR as a percentage of total cells as measured using Annexin V/Propidium Iodide staining. Cells were treated pre- and post-UVR with RXR agonist BMS-649, antagonist HX-531, or their vehicles as controls (EtOH and DMSO, respectively). \*\*\* =  $p \le 0.001$ . For all images: DAPI (blue) was used to counterstain nuclei. E=epidermis, D=dermis, HF= hair follicle. Scale bars = 50 µm.

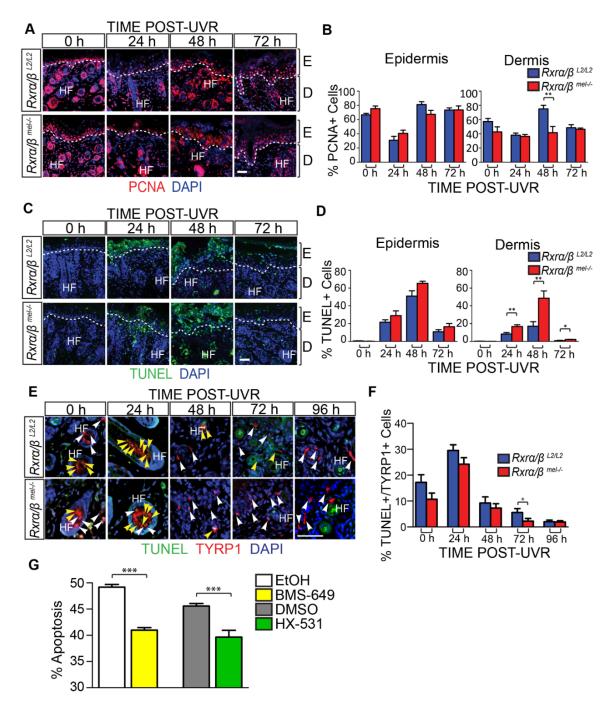
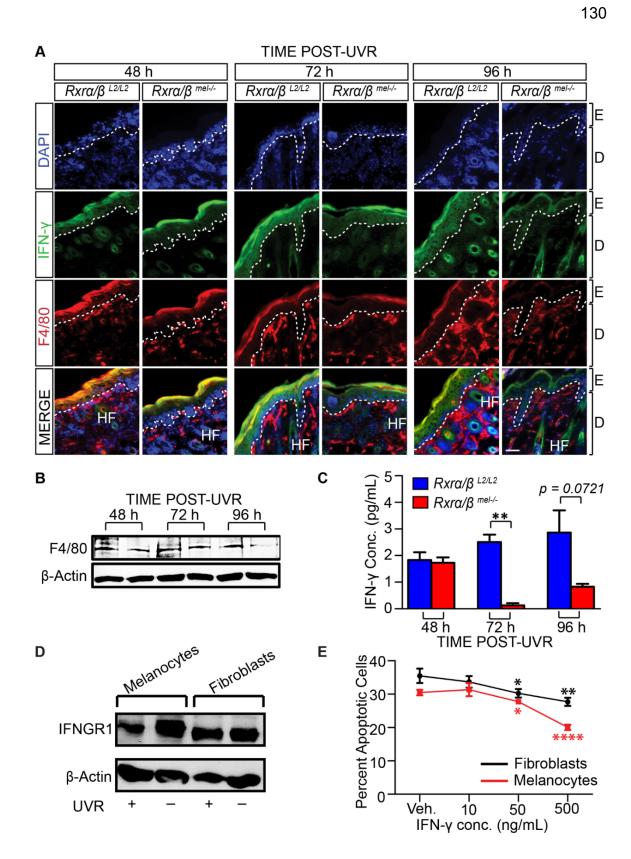


Figure 3.2

Figure 3.3: Loss of melanocytic RXRs  $\alpha$  and  $\beta$  results in reduced monocyte/macrophage infiltration and corresponding reduced interferon- $\gamma$ (IFN- $\gamma$ ) expression following UV radiation. IFN- $\gamma$  influences cell survival post-UVR *in vitro*.

(A) IHC using antibodies specific for IFN-  $\gamma$  (green) and macrophage antigen F4/80 (red) on skin sections collected from mice post-UVR. DAPI (blue) was used to counterstain nuclei. E=epidermis, D=dermis, HF= hair follicle. Scale bars = 50 µm. (B) Western Blot for macrophage antigen F4/80 in skin lysates collected post-UVR. (C) ELISA assay for IFN-  $\gamma$  in skin lysates collected post-UVR. (C) ELISA assay for IFN-  $\gamma$  in skin lysates collected post-UVR. \*\* = p≤ 0.01. (D, E) IFN-  $\gamma$  acts as a positive effector of post-UV survival in both melanocytes and fibroblasts. (D) Western blot of Interferon- $\gamma$  receptor 1 (INFGR1) expression in cultured primary melanocytes and fibroblasts pre- and 24 h post-UVR. (E) Apoptosis of cultured fibroblasts and melanocytes 24 h post-UVR as a percentage of total cells as measured using Annexin V/Propidium lodide. Immediately after UV treatment, culture medium was replaced with fresh medium containing several concentrations of recombinant IFN-  $\gamma$ . \* = p≤ 0.05, \*\* = p≤ 0.01, \*\*\*\* = p≤ 0.0001.





### Figure 3.4: Generation of $Rxr\alpha/Rxr\beta$ double knockdown murine melanocytes.

(A) Primary murine melanocytes were transfected using a mixture of two shRNAexpressing plasmids targeting either *Rxra* or *Rxrβ*. The *Rxra* shRNA plasmid contains a GFP marker gene; *Rxrβ* shRNA contains a RFP marker gene. Cells positive for both GFP and RFP were sorted using FACS and re-cultured. (B) Recultured melanocytes following FACS express both GFP and RFP, indicating expression of both *Rxra* and *Rxrβ* shRNA constructs. (C) RT-qPCR for measurement of *Rxra/β* mRNA expression \*\*\*\*= p≤ 0.0001. (D) Western Blot for protein expression of RXRa in FACS-sorted cells.

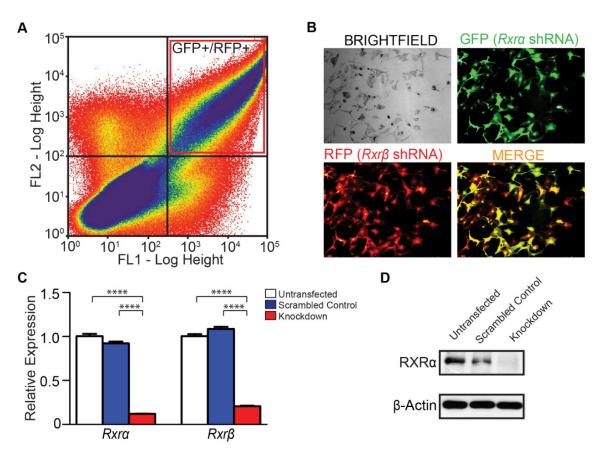


Figure 3.4

## Figure 3.5: Ablation of RXR $\alpha$ and $\beta$ in melanocytes results in altered expression of several chemokines post-UVR, as well as pro- and anti-apoptotic genes, some of which may be direct binding targets of RXR $\alpha$ .

(A, B) Expression of genes found changed in RXR $\alpha/\beta$  double knockdown melanocytes post-UVR via RT-qPCR arrays (Figure S6). Expression of several chemokines (A) and apoptosis-related genes (B) were then re-verified by RT-qPCR using new primer sets. Primers spanning exon junctions were designed independently, and assays were performed on biological replicates of the sample used in the array. \*= p≤ 0.05 \*\*, = p≤ 0.01, \*\*\* = p≤ 0.001, \*\*\*\* = p≤ 0.0001. (C, D) *In silico* analysis was used to find potential RXR response elements using Fuzznuc motif finder. These candidate binding sites were verified for enrichment in primary melanocytes using ChIP-RT-qPCR. A mock ChIP using a control IgG antibody was also performed. Arrows indicate targeting regions for primers. Genes negative for enrichment can be found in Figure S8. \*\* = p≤ 0.01.

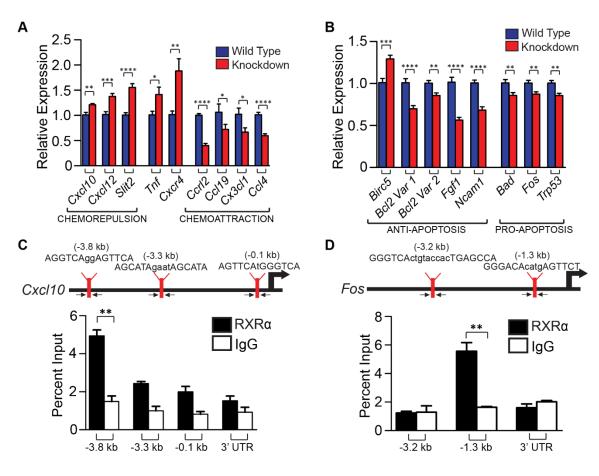


Figure 3.5

### Figure 3.6: Mechanistic representation of post-UVR defects in $Rxr\alpha/\beta^{mel-/-}$ mice compared to control mice.

In  $Rxr\alpha/\beta^{L^2/L^2}$  control mice post-UVR, melanocytes recruit immune cells via secretion of chemoattractive and repulsive ligands (A). The recruited immune cells secrete IFN-y, which promotes survival of melanocytes/fibroblasts and stimulates additional chemokine secretion from melanocytes via a feedback loop (B). In  $Rxr\alpha/\beta^{mel-/-}$  mice, there is less secretion of chemoattractive and more secretion of chemorepulsive ligands from the melanocytes (C). As a result, fewer immune cells are recruited as a result of shift in the chemoattractive/repulsive ligands, resulting in reduced available IFN-y (D), negatively influencing fibroblast survival (E). (F) As RXRs  $\alpha$  and  $\beta$  are ablated specifically in melanocytes, an endogenous shift in pro- and anti- apoptotic signals results in enhanced survival of melanocytes in  $Rxr\alpha/\beta^{mel-/-}$  mice relative to controls.

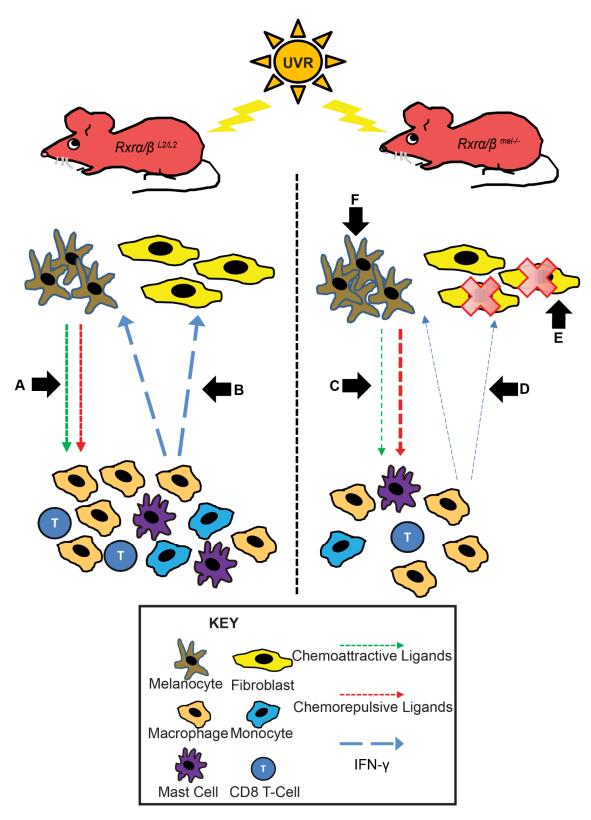


Figure 3.6

# Figure 3.S1: No phenotypic changes observed in adult $Rxr\alpha/\beta^{mel-/-}$ mice compared to controls; post-UVR epidermal thickness and melanocyte numbers are unchanged following ablation of RXR $\alpha$ and $\beta$ in melanocytes.

(A) Comparison of adult  $Rxra/\beta^{mel-/-}$  to their  $Rxra/\beta^{L2/L2}$  controls. No phenotypic differences or effects on viability are observed. (B, C) H&E staining of skin sections following a single dose of UVR. At least five individual measurements of epidermal thickness were made on 20 different fields. (D, E) Fontana-Masson staining of skin sections following a single dose of UVR. Black staining indicates melanin. Nuclei were counter-stained with Nuclear Fast Red. Black-stained cells were presumed to be melanocytes and quantitated. Yellow arrows indicate epidermal extrafollicular melanocytes; green arrows indicate extrafollicular dermal melanocytes. For all images: E=epidermis, D=dermis, HF=Hair Follicle. Scale bar = 50 µm.

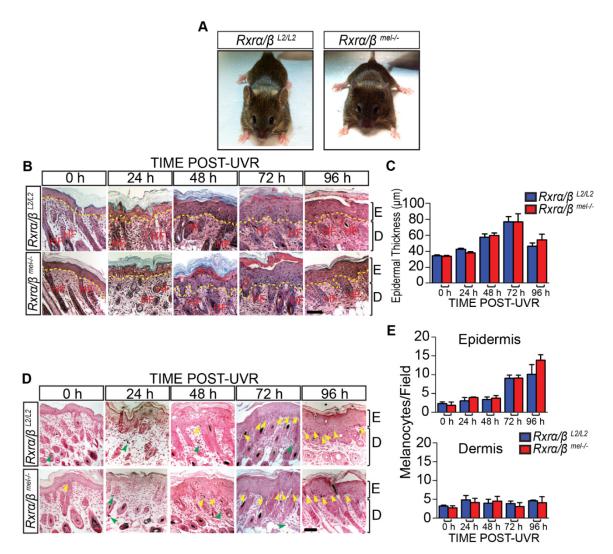


Figure 3.S1

#### Figure 3.S2: Levels of post-UVR cyclopyrimidine dimer (CPD) formation and oxidative DNA damage (8-oxo-dG) across all skin compartments is unchanged as a result of ablating RXR $\alpha$ and $\beta$ from melanocytes.

IHC of skin sections following a single dose of UVR. (A, B) CPD+ cells are indicated by red staining. CPD formation in melanocytes was assessed by co-labeling for the melanocyte-specific protein TYRP1, indicated by green staining. DAPI (blue) was used to counter-stain nuclei. (C, D) 8-oxo-dG+ cells are indicated by red staining. CPD formation in melanocytes was assessed by co-labeling for TYRP1, indicated by green staining. DAPI (blue) was used to counter-stain nuclei. DAPI (blue) was assessed by co-labeling for TYRP1, indicated by green staining. DAPI (blue) was used to counterstain nuclei for all images. E=epidermis, D=dermis, HF= hair follicle. Scale bars = 50 µm.

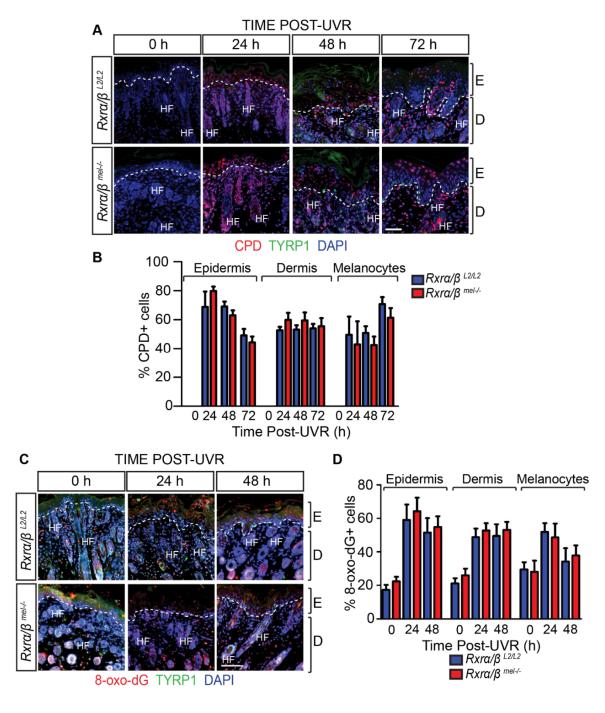


Figure 3.S2

#### Figure 3.S3: Loss of melanocytic RXRs $\alpha$ and $\beta$ also alters profile of infiltrating immune cells other than macrophage following UV radiation.

(A-D) Histological characterizations of skin sections following a single dose of UVR. (A) Monocytes are labeled by red staining, indicating cells positive for the monocyte marker CD11B by IHC. Yellow arrows designate positive cells; boxes indicate clusters of positive cells. \*\* =  $p \le 0.01$ . (B) IHC labeling for CD8-positive T-Cells, as indicated by red immunofluorescence. Yellow arrows designate positive cells. \*\*\* =  $p \le 0.001$ . (C) Toluidine Blue staining of skin sections. Mast cells are indicated by purple staining. \*\* =  $p \le 0.01$ . (D) IHC labeling for CD3-positive T-Cells, as indicated by red immunofluorescence. Yellow arrows designate for CD3-positive T-Cells, as indicated by red immunofluorescence. Yellow arrows designate positive cells. DAPI (blue) was used to counterstain nuclei in all fluorescent images. E=epidermis, D=dermis, HF= hair follicle. Scale bars = 50 µm.

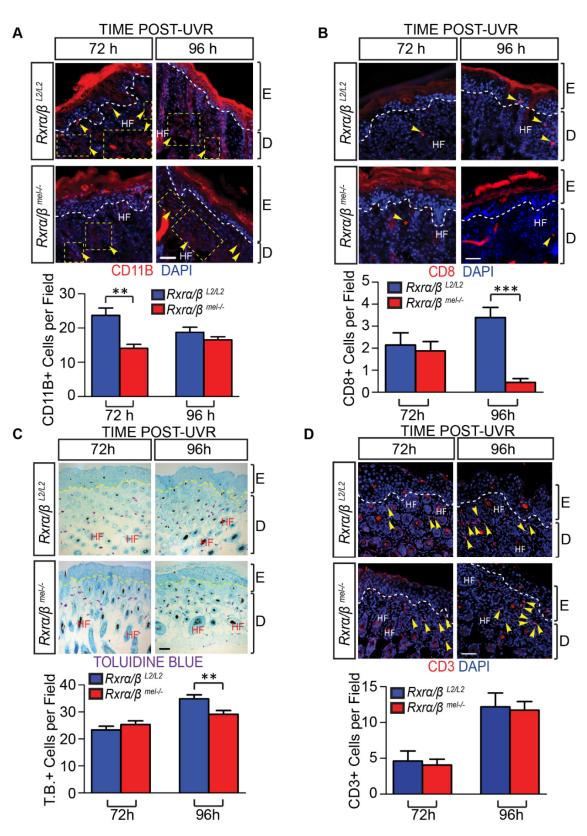


Figure 3.S3

### Figure 3.S4: Compensatory upregulation of $Rxr\beta$ expression when $Rxr\alpha$ is knocked down in melanocytes.

Expression of each *Rxr* mRNA transcript ( $\alpha$  or  $\beta$ ) when the other is knocked down using shRNA, measured by RT-qPCR. (A) *Rxr* $\beta$  is upregulated when *Rxr* $\alpha$ is knocked down in primary murine melanocytes; (B) there is not a similar compensatory upregulation of *Rxr* $\alpha$  when *Rxr* $\beta$  is knocked down. All cells were sorted for shRNA plasmid transfection by FACS, using either a GFP or RFP marker gene. # = No Statistically Significant Difference, \*\*\* = p≤ 0.001, \*\*\*\* = p≤ 0.0001.

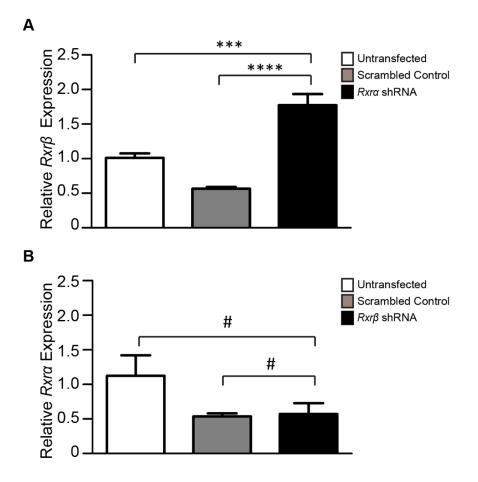
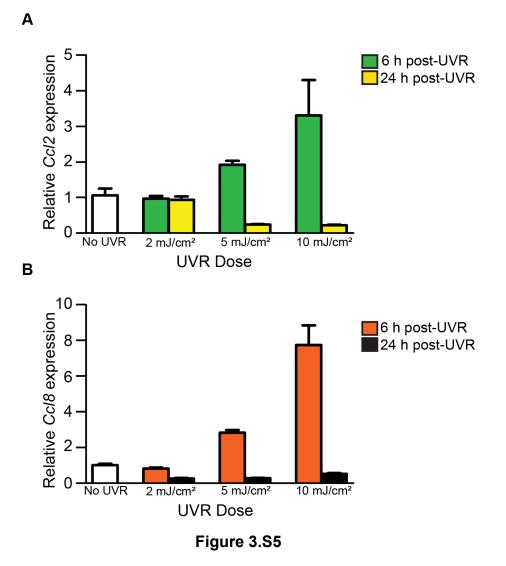


Figure 3.S4

#### Figure 3.S5: Analysis of peak mRNA expression of chemokines *Ccl2* and *Ccl8* post-UV in cultured wild-type melanocytes.

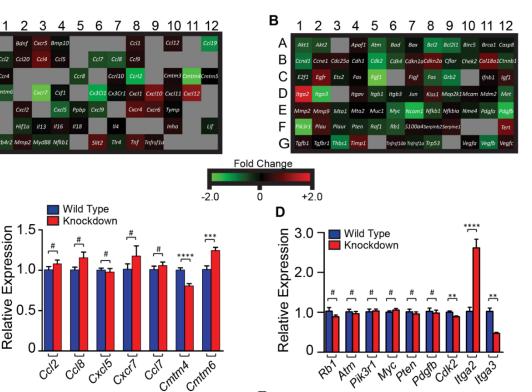
Expression of mRNA transcripts for *Ccl2* and *Ccl8* following UV-B radiation of cultured melanocytes was measured using RT-PCR. (A) *Ccl2* expression was highest 6 hours following treatment of cells with 10 mJ/cm<sup>2</sup> UV-B. Similarly, expression of *Ccl8* (B) also peaked at the same time point and UVR dose. In both cases lower UVR doses resulted in a reduced response, and all elevated expression was attenuated 24 hours post-UVR.

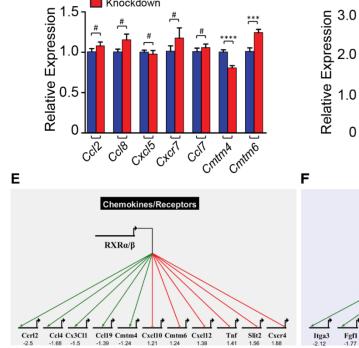




## Figure 3.S6: RT-qPCR arrays to determine altered expression of chemokines/receptors and apoptosis-related genes in RXR knockdown melanocytes post-UVR; and re-validation of results.

(A, B) Heat maps generated by RT-qPCR arrays (SA Biosciences) for mouse chemokines (PAMM-022) (A) and mouse cancer (PAMM-033) (B). Heat maps reflect changes in gene expression in UVR-treated primary melanocytes with *Rxr*  $\alpha$  and  $\beta$  knocked down using shRNA. (C, D) Several genes of interest found to be altered in RXR knockdown melanocytes were verified in biological replicates using our own primer sets. Primers spanning exon junctions were designed independently, and assays were performed on biological replicates of the sample used in the array. # = no statistical significance, \*\* = p ≤ 0.01, \*\*\*\* = p ≤ 0.001. Re-validations of several other genes are shown in Figure 5. (E, F) BioTapestry representation of fold changes as determined by RT-qPCR arrays.





Α

A

В

С

D

Е

F

G

С

Ccr4

Bdnf Cxcr5 Bmp10

Ccr8

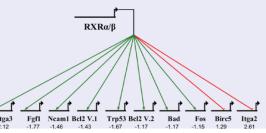
Ppbp

Csf1

Hif1a ||13 ||16 ||18

Ccl2 Ccl20 Ccl4 Ccl5

Ltb4r2 Mmp2 Myd88 Nfkb1



Apoptosis-related Genes

Figure 3.S6

# Figure 3.S7: Melanocytes isolated by FACS from UVR-treated $Rxr\alpha/\beta^{mel-/-}$ mouse skin show similar gene dysregulation to cultured $Rxr\alpha/\beta$ double shRNA knockdown melanocytes.

(A) Live cells were collected from neonatal mouse skin (96 hours post-UVR) and dual-labeled with fluorescent-conjugated antibodies to cell surface antigens CD117 and CD45 in order to isolate CD117+/CD45- melanocytes. (B) The FACS-isolated CD117+/CD45- cells show upregulated mRNA expression of several melanocyte markers compared to CD117+/CD45+ control cells (non-melanocytes), confirming the success of the sort. (C, D) mRNA expression of several chemokines (C) and apoptosis-related genes (D) previously found dysregulated in irradiated cultured *Rxra/β* double shRNA knockdown melanocytes (Figure 5) showed similar dysregulations in the isolated CD117+/CD45- cells from *Rxra/β<sup>mel-/-</sup>* mice compared to cells isolated from control mice. In particular, chemokines *Cxcl10, Slit2, Ccl19, Cx3Cl1, Ccl4* and apoptosis-related genes *Fgf1, Bad* and *Trp53* were dysregulated in a similar trend to cultured Rxra/β double shRNA knockdown melanocytes.  $\psi$  = no detectable expression in *Rxra/β<sup>L2/L2</sup>*, only in *Rxra/β<sup>mel-/-</sup>*. \* = p ≤ 0.05, \*\* = ≤ 0.01, \*\*\* = p ≤ 0.001.

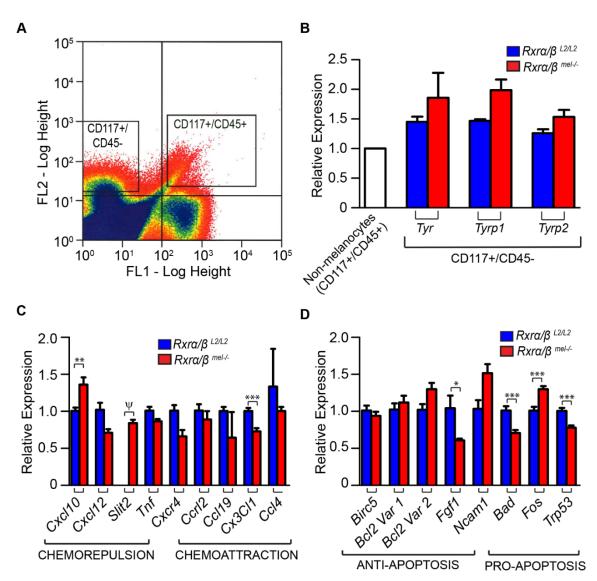


Figure 3.S7

### Figure 3.S8: *In silico* analysis was used to find potential RXR response elements using Fuzznuc motif finder.

(A-H) These candidate binding sites were tested for enrichment using ChIP-RTqPCR. A mock ChIP using a control IgG antibody was also performed. Arrows indicate targeting regions for primers. No significant enrichment was found for these genes. For significantly enriched genes, see Figure 5.

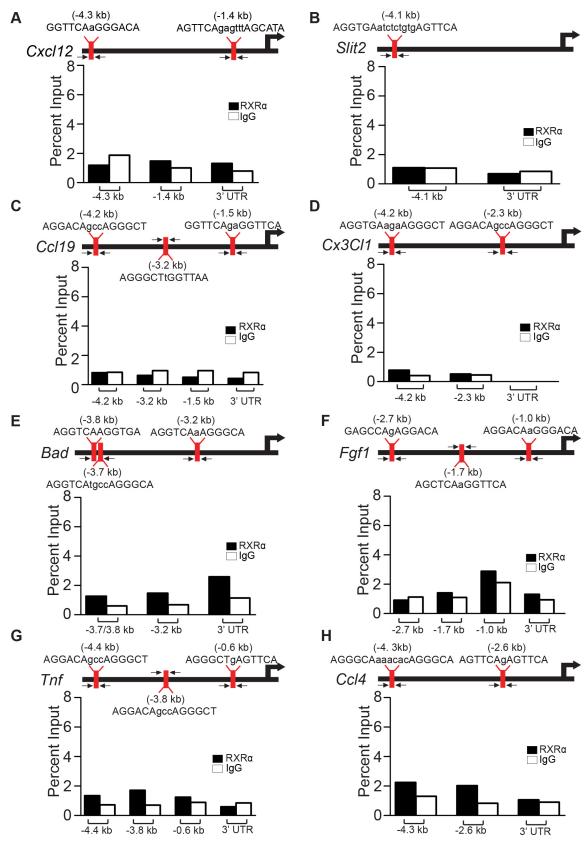


Figure 3.S8

Gene Name	Gene Symbol	Species	Ensembl ID
Retinoid-X-Receptor α	RXRα	Human	ENSG00000186350
Retinoid-X-Receptor β	RXRβ	Human	ENSG00000204231
Retinoid-X-Receptor y	RXRy	Human	ENSG00000143171
Suppressor of Cytokine Signaling 1	SOCS1	Human	ENSG00000185338
Retinoid-X-Receptor α	Rxra	Mouse	ENSMUSG0000015846
Retinoid-X-Receptor β	Rxrβ	Mouse	ENSMUSG0000039656
Retinoid-X-Receptor y	Rxry	Mouse	ENSMUSG0000015843
chemokine (C-C motif) receptor 2	Ccr2	Mouse	ENSMUSG00000049103
chemokine (C-C motif) ligand 2	Ccl2	Mouse	ENSMUSG0000035385
Chemokine (C-C motif) ligand 8	Ccl8	Mouse	ENSMUSG0000009185
EGF-like module containing, mucin- like, hormone receptor-like sequence 1	<i>Emr1</i> (common name F4/80)	Mouse	ENSMUSG0000004730
Interferon-y	lfng	Mouse	ENSMUSG0000055170
Suppressor of Cytokine Signaling 1	Socs1	Mouse	ENSMUSG0000038037
Interferon gamma receptor 1	lfngr1	Mouse	ENSMUSG0000020009
Integrin alpha M	<i>Itgam</i> (common name CD11B)	Mouse	ENSMUSG0000030786
CD8 antigen, beta chain 1	Cd8b1 (common name CD8)	Mouse	ENSMUSG0000053044
Tyrosinase-related protein 1	Tyrp1	Mouse	ENSMUSG0000005994
Proliferating cell nuclear antigen	Pcna	Mouse	ENSMUSG0000027342
Chemokine (C-X-C motif) ligand 10	Cxcl10	Mouse	ENSMUSG0000034855
Chemokine (C-X-C motif) ligand 12	Cxcl12	Mouse	ENSMUSG0000061353
Slit homolog 2	Slit2	Mouse	ENSMUSG0000031558
Tumor necrosis factor	Tnf	Mouse	ENSMUSG0000024401
Chemokine (C-X-C motif) receptor 4	Cxcr4	Mouse	ENSMUSG00000045382
C-C motif chemokine 19	Ccl19	Mouse	ENSMUSG0000094661
Chemokine (C-X3-C motif) ligand 1	Cx3Cl1	Mouse	ENSMUSG0000031778
Chemokine (C-C motif) ligand 4	Ccl4	Mouse	ENSMUSG0000018930
Chemokine (C-C motif) receptor- like 2	Ccrl2	Mouse	ENSMUSG0000043953
Baculoviral IAP repeat-containing 5	Birc5	Mouse	ENSMUSG0000017716
B cell leukemia/lymphoma 2	Bcl2	Mouse	ENSMUSG0000057329
Fibroblast growth factor 1	Fgf1	Mouse	ENSMUSG0000036585
Neural cell adhesion molecule 1	Ncam1	Mouse	ENSMUSG0000039542
BCL2-associated agonist of cell death	Bad	Mouse	ENSMUSG0000024959
FBJ osteosarcoma oncogene	Fos	Mouse	ENSMUSG0000021250
Transformation related protein 53	<i>Trp53</i> (common name p53)	Mouse	ENSMUSG00000059552

Table 3.1: IDs of a	ll genes	mentioned in	text
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Antibody	Host	Source	Application	Dilution/Conc.
anti-PEP1 (TYRP1)	Rabbit	NIH (kindly provided by V. Hearing)	IHC-P	1:1000
anti-PCNA	Mouse	Abcam (ab29)	IHC-P	1:6000
anti-RXRα	Mouse	Millipore (MAB5478)	IHC-P	1:1000
anti-RXRα	Rabbit	Santa Cruz (sc553)	WB, ChIP	1:250, 2.0 μg/ChIP
anti-RXRβ	Mouse	Abcam (ab2815)	IHC-P	1:500
anti-F4/80	Rabbit	Abcam (ab74383)	WB	1:750
anti-F4/80	Rat	BioLegend (122602)	IHC-Fr	1:200
anti-IFN-γ	Rabbit	LSBio (C85867)	IHC-Fr	1:200
anti-CPD	Mouse	(KB: MC-062)	IHC-P	1:1000
anti-8-OHdG	Mouse	JaICA (MOG-020P)	IHC-P	7.5 μg/mL
anti-CD3	Rabbit	Abcam (ab16669)	IHC-P	1:1000
anti-CD8	Rat	Abcam (ab22378)	IHC-Fr	1:100
anti-CD11B	Rat	Abcam (ab8878)	IHC-Fr	1:50
anti-IFN-γ R1	Armenian Hamster	R&D Systems (MAB10261)	WB	1.0 µg/mL
normal Rabbit IgG	Rabbit	Santa Cruz (sc2027)	ChIP	2.0 µg/ChIP
FITC-anti-CD45	Rat	BD Pharmingen (553079)	FACS	0.2 µg/10 <sup>6</sup> cells
PE-anti-CD117	Mouse	eBioscience (12-1172-81)	FACS	0.2 µg/10 <sup>6</sup> cells
IHC-P = Immunohistochemistry - Paraffin Sections, IHC-Fr = Immunohistochemistry - Frozen Sections, WB = Western Blot, ChIP = Chromatin IP FACS = Fluorescence-activated cell sorting				

 Table 3.2: List of antibodies used during experimental procedures

Gene	29mer shRNA Construct (5'-3')		
Rxra	TGCCTGTAGAGAAGATTCTGGAAGCCGAG		
Rxrβ	TTGCTGTGGAGCAGAAGAGTGACCAAGGC		
Scrambled Control	GCACTACCAGAGCTAACTCAGATAGTACT		

 Table 3.3: 29mer shRNA constructs used for gene knockdown studies

Gene Ensembl ID (mouse) Forward Primer (5'-3') Reverse Primer (5'-3') ENSMUSG0000034218 GTGTGGTGGCTGATACATTTGAT Atm GATCTGCTCATTTGCTGCCG ENSMUSG00000024959 Bad AAGTCCGATCCCGGAATCC GCTCACTCGGCTCAAACTCT Bcl2 Var. 1 ENSMUSG0000057329 ATGCCTTTGTGGAACTATATGGC GGTATGCACCCAGAGTGATGC Bcl2 Var. 2 ENSMUSG0000057329 GCTACCGTCGTGACTTCGC CCCCACCGAACTCAAAGAAGG Birc5 ENSMUSG0000017716 GAGGCTGGCTTCATCCACTG CTTTTTGCTTGTTGTTGGTCTCC Ccl19 ENSMUSG0000094661 AGACTGCTGCCTGTCTGTGA TCTTCAGTCTTCGGATGATGC Ccl2 ENSMUSG0000035385 TAAAAACCTGGATCGGAACCAAA GCATTAGCTTCAGATTTACGGGT ENSMUSG0000018930 Ccl4 TTCCTGCTGTTTCTCTTACACCT CTGTCTGCCTCTTTTGGTCAG ENSMUSG0000035373 GCTGCTTTCAGCATCCAAGTG Ccl7 CCAGGGACACCGACTACTG Ccl8 ENSMUSG0000009185 CTGGGCCAGATAAGGCTCC CATGGGGCACTGGATATTGTT Ccrl2 ENSMUSG0000043953 GCCCCGGACGATGAATATGAT CACCAAGATAAACACCGCCAG Cdk2 ENSMUSG0000025358 ATGGAGAACTTCCAAAAGGTGG CAGTCTCAGTGTCGAGCCG Cmtm4 ENSMUSG0000096188 CAAGGTCGCCCAAGTGATTCT GATTCCAGTTGATCTGGGGGGA Cmtm6 ENSMUSG0000032434 CACACTCGGACACAACCTCT ATGGAGAACGGAGCGGTCTA Cx3Cl1 ENSMUSG0000031778 CGCGTTCTTCCATTTGTGTA TAGCTGATAGCGGATGAGCA Cxcl10 ENSMUSG0000034855 CCAAGTGCTGCCGTCATTTTC TCCCTATGGCCCTCATTCTCA Cxcl12 ENSMUSG0000061353 TGCATCAGTGACGGTAAACCA CACAGTTTGGAGTGTTGAGGAT Cxcl5 ENSMUSG0000029371 ATCCCCAGCGGTTCCATCT GCGGCTATGACTGAGGAAGG ENSMUSG0000045382 GACTGGCATAGTCGGCAATG AGAAGGGGAGTGTGATGACAAA Cxcr4 Cxcr5 ENSMUSG0000047880 ATGAACTACCCACTAACCCTGG TGTAGGGGAATCTCCGTGCT Cxcr7 ENSMUSG0000044337 GCAAGAGATGGCCAAGAGAC GTGTCCACCACAATGCAGTC Fgf1 ENSMUSG0000036585 CAGCTCAGTGCGGAAAGTG TGTCTGCGAGCCGTATAAAAG Fos ENSMUSG0000021250 CGGGTTTCAACGCCGACTA TTGGCACTAGAGACGGACAGA ltga2 ENSMUSG0000015533 GGGGACCGGAGGCTTTCTA GGCCTGTCACAAACTTTACCAAA ENSMUSG0000001507 CCTCTTCGGCTACTCGGTC CCAGTCCGGTTGGTATAGTCATC Itga3 ENSMUSG0000022346 ATGCCCCTCAACGTGAACTTC CGCAACATAGGATGGAGAGCA Myc Ncam1 ENSMUSG0000039542 GGGGAGGATGCTGTGATTGTC GCGGTAAGTACCCTCATCTGT ENSMUSG0000000489 GTGCTCGGGTCATGTTCAAGT Pdgfb CATCCGCTCCTTTGATGATCTT GCAGAGGGCTACCAGTACAGA Pik3r1 ENSMUSG0000041417 CTGAATCCAAGTGCCACTAAGG Pten ENSMUSG0000013663 TGGATTCGACTTAGACTTGACCT GCGGTGTCATAATGTCTCTCAG Rb1 ENSMUSG0000022105 TTGGAGTCCGATTGTATTACCGT AGCACAGGCCAGTAAAGACAT Rxra ENSMUSG0000015846 GATATCAAGCCGCCACTAGG TGTTGTCTCGGCAGGTGTAG ENSMUSG00000039656 Rxrβ CACCTCTTACCCCTTCAGCA GAGCGACACTGTGGAGTTGA ENSMUSG00000031558 Slit2 ATCTATCTTCGTGATCCTCGTGA GGCAGACACTGTCCCTATCG Thbs2 ENSMUSG0000031558 CTGGGCATAGGGCCAAGAG GTCTTCCGGTTAATGTTGCTGAT GGGAGTAGACAAGGTACAACCC Tnf ENSMUSG0000024401 CCTGTAGCCCACGTCGTAG Trp53 ENSMUSG0000059552 CACAGCACATGACGGAGGTC TCCTTCCACCCGGATAAGATG Tyr ENSMUSG0000004651 TTACTCAGCCCAGCATCCTT TCAGGTGTTCCATCGCATAA Tyrp1 ENSMUSG0000005994 TCTGGCCTCCAGTTACCAAC GGCTTCATTCTTGGTGCTTC Tyrp2 ENSMUSG0000022129 AACAACCCTTCCACAGATGC TAGTCACCGGTGGGAAGAAG Hprt ENSMUSG0000025630 GTTAAGCAGTACAGCCCC AGGGCATATCCAACAACA

Table 3.4: List of primer sets used for RT-qPCR analysis of mRNA expression

Gene (distance from TSS)	Forward Primer (5'-3')	Reverse Primer (5'-3')
Cxcl10 (-3.8 kb)	TCAGGGCAAGCTTAACAAGG	TGGGATTTGAACTCCTGACC
Cxcl10 (-3.3 kb)	GGTTTGTGGTTTGAGGAGGA	GGCCAGGTGAGGATTTCATA
Cxcl10 (-0.1 kb)	TCCAAGTTCATGGGTCACAA	GAGTTTCCCTCCCTGAGTCC
Cxcl10 (3' UTR)	CCAACGTGTGAACAAGGAGA	GCGTGCAGTGAGTTGAGGTA
Fos (-3.2 kb)	ACACCCGGCATCATTTCTT	CGCCTGGGTAAACAACACAT
Fos (-1.3 kb)	GACCCTCAGAATGGAGACGA	AAGAGGTCAGGTGCTTCAGTG
Fos (3' UTR)	TGCAAGGATGTGCTTTTCTG	TCTGGTTTGCAGTGTGGAAG
Cxcl12 (-4.3 kb)	GGATGCCCAAGTCCTACAGA	GTTGCTGTCCCTTGAACCAT
Cxcl12 (-1.4 kb)	CAGGCATCAGGTATCCCAAG	TCCATTTCTACCGGCTTTTG
Cxcl12 (3' UTR)	CCATTCTCTCTGCCCACATT	GCCGTAGGCTGTTTGAGAAG
Slit2 (-4.1 kb)	GAAAGCAGAGGCAGGTGAAT	CCATGTTAGGTGGTAGGAATCG
Slit2 (3' UTR)	CTGCATCAGGAAACTGGACA	CCTCCTGAAGGAAATGTTGC
Cx3Cl1 (-4.2 kb)	GGAATGAGTGGGAAAGGTGA	CACGGAATCCTCTGGAGAAA
Cx3Cl1 (-2.3 kb)	TCTGAGTTCAAGGCCAGCTT	CCCACTTGGGCAGGTTACTA
Cx3Cl1 (3' UTR)	ATAGGGCTAAAACCCCAGGA	GGTTGAAGGCAGGAGGACTA
Ccl19 (-4.2 kb)	GCGGATTTCTGAGTTCAAGG	TTAGTCAGGGGCCAGAAATG
Ccl19 (-3.2 kb)	CATTCATTGAGGGCTTGGTT	GAGTGGGCTTTGAGGTTTCA
Ccl19 (-1.5 kb)	CCACAACCAAGGCAACTTTT	CCTCATCCTAGCAGCCTTCA
Ccl19 (3' UTR)	GCCATCTTCTATTCCGTCCA	CCTCACGGTTCTTTCTTCCA
Bad (-3.7/3.8 kb)	AGCGTCTGATTATCCCGATG	GGATACCAGATGCCCACAGT
Bad (-3.2 kb)	AAGGTGAGTCCCAGGCAGT	TGGGAACCAGTCTGTTCTGAG
Bad (3' UTR)	GAGCGTGGCTAGACCCTTG	TGACCCAAATAGGAGCAAATG
Fgf1 (-2.7 kb)	AATGTGGCAGAAGAGGCTGT	GTTGTCAGGCCCAGTCTTGT
Fgf1 (-1.7 kb)	CTAAGCACGGTGGGAGAAAG	GGGCTTGTCAGGTGTCTACC
Fgf1 (-1.0 kb)	AAGCGGTTGACTGCTACCTC	TGTCCCTTGTCCTTGGAGAC
Fgf1 (3' UTR)	TAATTGGGGCTGGCTTACAG	ATGTGGCCCTGTTGGAGTAG
Tnf (-4.4 kb)	GATGTCCTTTGTTGGGCAGT	CAGGCTTCCAATGCTCTGTA
Tnf (-3.8 kb)	GCCAGCCTGGTCTACAGAGT	GTCTTTGGGGGTTCAAGTCA
Tnf (-0.6 kb)	CGCAGTCAAGATATGGCAGA	CTTGGAGGAAGTGGCTGAAG
Tnf (3' UTR)	GTGGGTGGATTGGAAAGAGA	CTGAATAAAGTCGGCCTTGC
Ccl4 (-4.3 kb)	GACGTGGAAACTTCCCTGAG	GTGCCCACGGTACTTCACTC
Ccl4 (-2.6 kb)	GGTAGACGGGAAACAAACCA	AGAGAAGGGGAGGGAAGAGA
Ccl4 (3' UTR)	TTTGCCTCTATGAGGGTGCT	ACTACGCAGAGCTCCCAGAG

 Table 3.5: List of primer sets used for ChIP-RT-qPCR analysis

#### **General Conclusions and Future Directions**

#### Chapter 4

Daniel J. Coleman and Arup K. Indra

#### 4.1 General Conclusions and Future Directions

Rates of melanoma incidence as a result of unprotected ultraviolet radiation are on the rise in Oregon and throughout the U.S.; thus better understanding of the molecular mechanisms underlying its formation and progression are needed for the purposes of diagnosis and therapeutic targeting. In this work we have used mouse models to establish multiple roles for Retinoid-X-Receptors in UVR-induced melanocyte homeostasis and melanomagenesis. Loss of RXRα expression in murine epidermal keratinocytes (*Rxrα<sup>ep-/-</sup>*) enhances melanocyte proliferation following an acute UVR dose as a result of increased expression of mitogenic paracrine factors such as EDN1, SCF, and FGF2. Combining keratinocytic RXRa ablation with activated CDK4 (R24C) or oncogenic NRAS (Q61K) mutations in a bigenic mouse model (Rxra<sup>ep-/-</sup>) Tyr-NRAS<sup>Q61K</sup> or Rxra<sup>ep-/-</sup>| Cdk4<sup>R24C</sup>) results in increased number and size of melanomas induced by chronic UVR compared to control mice with the activated CDK4 or oncogenic NRAS mutations alone. Tumors from the bigenic Rxra<sup>ep-/-</sup> mice show enhanced expression of malignant melanoma and tumor angiogenesis markers; and increased metastases of cells expressing the melanocyte-specific marker TYRP1 to draining lymph nodes are also observed in these animals. Interestingly, the tumor adjacent normal skin of these mice had reduced expression of p53 and PTEN, tumor suppressors commonly downregulated in melanoma [1-3]; suggesting that keratinocytic RXRα loss results in a microenvironment favorable to tumor formation. Loss of p53 expression in these mice could mean functional disruption of p19ARF; a

dangerous combination particularly in Rxraep-/- Cdk4R24C mice as the Rb-INK4a-CDK4 pathway is already dysregulated, analogous to complete functional loss of the Ink4a/ARF locus. Additionally, loss of functional p53 has previously been reported to promote melanoma formation in combination with both mutant CDK4 [4,5] and NRAS [1,2]. Altogether, these results indicate that keratinocytic RXR $\alpha$ expression is involved in mediating cell-cell communication between keratinocytes and melanocytes that when dysregulated results in both promotion and enhanced formation of UVR-induced melanomas. As synergism has been suggested to exist between the Rb-INK4a-CDK4 and MAPK pathways, going forward we aim to investigate the role of keratinocytic RXRα in melanoma when combined with both NRAS and CDK4 mutations in a trigenic Rxra<sup>ep-/-</sup>| Cdk4<sup>R24C</sup> *Tyr-NRAS*<sup>Q61K</sup> mouse model. This trigenic mouse line has been generated in our lab and work is currently underway to evaluate melanomagenesis in these animals. In the future we would also like to investigate the cooperative effects of RXR $\alpha$  loss in combination with oncogenic BRAF<sup>V600E</sup>, as this mutant protein is expressed in a majority of melanomas [6]. Resistance to treatment with drugs that target the mutant BRAF protein [7] can be acquired by melanomas; underscoring the need for additional therapeutic targets for concurrent multidrug treatment strategies.

The role of RXRs in melanocytes themselves had never been thoroughly investigated previously, even though a gradual loss of RXR $\alpha$  expression has been previously observed in the melanoma cells themselves during disease progression [8]. By ablating RXRs  $\alpha$  and  $\beta$  specifically in the melanocytes

 $(Rxr\alpha/\beta^{mel-/-})$ , we observed differential alterations in the post-UVR survival of the melanocytes and the dermal fibroblasts. Loss of melanocytic RXR expression results in defective homeostasis of chemoattractive and chemorepulsive chemokines secreted by melanocytes to attract macrophages and other immune cells following UVR. Alterations in homeostasis of chemokine expression have been previously observed in mice with keratinocyte-specific knockout of RXRs α and  $\beta$ , including *Cxcl10* [9], which is similarly dysregulated in our *Rxra*/ $\beta^{mel-/-}$ model and is a potential direct binding target of RXRa in melanocytes as determined by Chromatin Immunoprecipitation (ChIP) assay. An overall reduction of immune cell infiltration in  $Rxr\alpha/\beta^{mel-/-}$  mice results in less available interferon-y available in the microenvironment which has a negative effect on post-UVR survival of fibroblasts and melanocytes. However, as the genetic defect in these mice is specifically in the melanocytes, there are dysregulations in the cell-intrinsic apoptosis signaling that allow these cells to overcome a reduction in available IFN-y and have enhanced survival post-UVR. That was further confirmed by addition of an RXR agonist or RXR antagonist to cultured primary murine melanocytes, in order to simulate loss of either transcriptional repression or activation functions of RXRs, respectively. Interestingly, in both cases we observed reduced post-UVR apoptosis of the cells compared to vehicle controls, suggesting that RXRs can mediate apoptosis in melanocytes independently of IFN-γ via multiple pathways utilizing both activating and repressive functions. It has been previously reported that clonal expansion of keratinocytes expressing a mutant copy of p53 is driven by chronic UVB-induced

apoptosis of surrounding cells resulting in primary papilloma formation [10]. Though correlation between fibroblast apoptosis and melanoma has never been thoroughly examined, it's possible that in our  $Rxra/\beta^{mel/-}$  model that melanocytes are more likely to incorporate oncogenic mutations stemming from their enhanced ability to survive UVR-induced DNA damage, and increased apoptosis of surrounding cells in the dermis may aid their expansion, favoring melanomagenesis. Future studies are needed to explore the effects of melanocytic RXR  $\alpha/\beta$  ablation on formation of UVR-induced tumors in adult mice; potentially in combination with the activated CDK4 or oncogenic NRAS<sup>Q61K</sup> mutations used in our bigenic  $Rxra^{ep-/-}$  chronic UVR model; and/or BRAF<sup>V600E</sup> mutations.

A better understanding of the direct gene regulation by RXRs in melanocytes may further enhance our knowledge of its role in these cells. Using motif searching and ChIP-RT-qPCR, we were able to identify two direct proximal promoter targets of RXRα in melanocytes, out of a large list of genes dysregulated in absence of RXRs as found using RT-qPCR arrays. These indirect gene dysregulations may be accounted for by RXR binding to distal promoter/enhancer regions, and/or by *in trans* regulation via enhancer RNAs (eRNAs) [11]; or alternatively these genes may simply be downstream targets of a different gene(s) directly regulated by RXR on its proximal promoter. ChIP for RXRα in melanocytes followed by next generation high-throughput sequencing (ChIP-seq) may be a technique worth employing in the future, in order to elucidate RXR binding genome-wide in melanocytes. We have already had success with ChIP-seq of RXR $\alpha$  in primary murine keratinocytes (for example see Figure 4.1 A), and a gene ontology (GO) analysis of the data confirmed that purported RXR $\alpha$  binding in this cell type corresponded with genes involved primarily in skin/keratinocyte-specific processes (Figure 4.1 B). ChIP-seq of RXR $\alpha$  in combination with the histone activation (H3K9Ac [12], H3K4Me3 [13]), repression (H3K27Me3 [14]) and enhancer (H3K4Me1 [15]) marks in melanocytes may provide a fast track to better understanding of its involvement in gene-regulatory networks within that specific cell type. Furthermore, data already obtained in keratinocytes will continue to be useful as we seek new directions for investigating the function of keratinocytic RXR $\alpha$  in melanomagenesis and other skin pathologies.

The UVB-induced melanomas we observe in the bigenic  $Rxra^{ep-4}$  mice are largely dermal in nature. Combined with enhanced dermal apoptosis we observe as a result melanocytic RXR ablation, perhaps more focus needs to be put on the distinct melanocyte subpopulations within the skin going forward. The concept of two distinct types of melanocytes in the skin has only come to light recently [16,17]. Armed with this information, it may be useful to investigate the role of RXR ablation in combination with specific blocking or activation of individual mitogenic signaling pathways that have all been previously shown to be upregulated in our  $Rxra^{ep-4}$  mouse studies, such as c-MET-HGF [18] and EDN1/ENDRB [18,19] signaling axes, which have been shown to be more crucial for dermal melanocytes [17]; and SCF-cKIT signaling [18,19], which is a primary requirement for epidermal melanocytes [17]. We are also interested in effects of

163

αMSH/MC1R signaling as it is crucial for pigmentation [20,21] and MC1R variants are associated with enhanced melanoma risk [21,22]. Work is currently underway to investigate the cooperativity of individual mitogenic signaling pathways with the keratinocytic RXRα signaling in UV-induced keratinoyte and melanocyte homeostasis by using specific blocking peptides or small molecules inhibitors to modulate signaling mediated by each of the paracrine factors (SCF, EDN1, αMSH, and HGF) *in vivo* [Kyryachenko et al, in preparation]. In the future, we may consider investigating the role(s) of the above mentioned signaling pathways in our other mouse models (such as the  $Rxr\alpha/\beta^{mel/-}$  or bigenic  $Rxr\alpha^{ep-/-}$ ] *Tyr-NRAS*<sup>Q61K</sup> and  $Rxr\alpha^{ep-/-}$ ] *Cdk4*<sup>R24C</sup> mice), and/or developing culture conditions for primary melanocytes *in vitro* that are favorable for one subpopulation vs. another.

As RXRs serve as heterodimeric partners for a large number of other Type II nuclear receptors, it may be prudent to investigate the role of other NRs in skin tumorigenesis. We are also interested in heterodimeric partners of RXR, such as Pregnane-X Receptors (PXR), Liver-X-Receptors (LXRs), Vitamin D Receptor (VDR), Retinoic Acid Receptors (RARs) and Peroxisome Proliferator-Activated Receptors (PPARs) in the keratinocytes, whose expression is lost during melanoma progression in humans. PXR is a mediator of drug metabolism and transport [23]. Treatment of melanoma cells with an LXR agonist resulted in induction of apoptosis in a dose-dependent manner [24]. Previously it was found that keratinocyte-specific ablation of PPAR $\gamma$  (*Ppar\gamma^{ep-f}*) resulted in conversion of DMBA-TPA induced epidermal tumors to malignant carcinomas [25]; a similar

phenotype was observed in *Rxra<sup>ep-/-</sup>* mice [25], though PPARy ablation does not appear to enhance formation of melanocytic growths as RXR $\alpha$  loss does [25]. Meanwhile, keratinocyte-specific ablation of vitamin-D receptor (Vdr<sup>ep-/-</sup>) does not enhance epidermal DMBA-TPA induced tumorigenesis but does increase formation of melanocytic growths over control mice; though these growths do not undergo malignant transformation as in *Rxra<sup>ep-/-</sup>* mice [25]. Polymorphisms in the human VDR gene have been previously associated with prognosis of malignant melanoma in patients [26]; a recent genetic analysis of 263 melanoma patients found that a particular nucleotide polymorphisim (SNP) in the VDR correlated with increased numbers of melanocytic nevi, while two other SNPs appeared to confer a protective response [27]. Subsequent studies in mouse models will be necessary to elucidate their role in UV-induced melanomagenesis in combination with activated CDK4 or oncogenic N-RAS. Work is also currently underway in the lab to investigate the melanocyte-specific role of VDR (Vdr<sup>mel-/-</sup>) in UVRinduced responses.

Altogether, we have made great strides in elucidating the function of keratinocytic and melanocytic RXRs in melanocyte homeostasis and in melanomagenesis. Much is still left to learn about the molecular mechanisms of melanoma formation; and to that end we are currently pursuing many exciting new leads.

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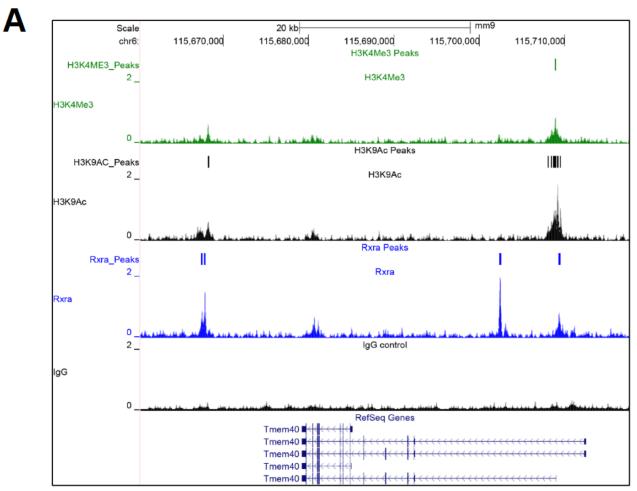
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# Figure 4.1 Sample data from ChIP-seq experiment performed in murine epidermal keratinocytes

(A) ChIP-seq was performed in primary murine keratinocytes using a specific antibody for RXRa; in addition to specific antibodies against two general markers of transcriptional activation (Histone 3, Lysine 4 tri-methylation (H3K4Me3) and Histone 3, Lysine 9 acetylation (H3K9Ac)). Raw data was aligned to the mouse genome (mm9) using Bowtie and the generated .BED file was then analyzed using four different peak-calling programs (HOMER, QuEST, MACS and MACS2) and output bigWig (.bw) and bigBed (.bb) files were plotted using the UCSC Genome Browser. Comparable results were observed using each program. Depicted is a sample browser window of peaks called using the most stringent of the four programs, MACS2; which called 8004 significantly-enriched peaks for RXRα binding genome-wide in keratinocytes. (B) Using the data assembled by the Gene Ontology Project, peaks identified via ChIP-seg can be correlated to adjacent genes and their associated phenotypes. A GO analysis on our RXRa ChIP-seq reveals many phenotypes associated with the skin, with the top eight hits shown here. These results demonstrate that RXRa plays a ubiquitous role in skin and confirms the specificity of the experiment. It may be a powerful tool to further investigate the pathways by which keratinocytic RXRa influences melanocytes; the technique can also be employed in the future to analyze genome-wide binding of RXRs in other cell types such as melanocytes.



# Mouse Phenotype

- abnormal epidermal layer morphology 1
- abnormal epidermis stratum corneum morphology 2
- abnormal skin physiology
- Top 8 GO Hits 9 5 7 5 1 4 hyperkeratosis

Β

- absent epidermis stratum corneum
- abnormal hair follicle morphology
  - 7 shiny skin
  - 8 abnormal cell differentiation

## Figure 4.1

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