

# 1 **Metagenomics: Microbial diversity through a scratched lens**

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## 12 **Abstract**

13 Since nucleic acids were first extracted directly from the environment and  
14 sequenced, metagenomics has grown to one of the most data-rich and pervasive  
15 techniques for understanding the taxonomic and functional diversity of microbial  
16 communities. In the last decade, cheaper sequencing has democratized the  
17 application of metagenomics and generated billions of reads, revealing  
18 staggering microbial diversity and functional complexity. However, cheaper  
19 sequencing has come at the cost of reduced sequence length, resulting in poor  
20 gene annotation and overestimates of bacterial richness and abundance. Recent  
21 improvements in sequencing technology are beginning to provide reads of  
22 sufficient length for accurate annotation and assembly of whole operons and  
23 beyond, that will once again enable experimental testing of gene function and re-  
24 capture the early successes of metagenomic investigations.

25

## 26 **Diversity in sharp focus**

27 The revelation of the 'Great Plate-Count Anomaly' by Staley and Konopka in  
28 1985 [1] highlighted that contemporary understanding of microbial metabolism  
29 was highly skewed towards a small fraction of readily culturable bacteria. To  
30 address this issue, direct extraction and cloning of environmental DNA began to  
31 unravel novel phylogenetic [2,3] and functional [4] diversity. In 1998, the term  
32 'metagenomics' was coined [5] and this exciting new field hinted at the scale of

33 genetic variability within natural microbial populations [6] and associated phage  
34 [7]. In 2000, Béjà et al. [8] cloned 130-kb environmental DNA fragments from  
35 seawater into BAC libraries and found bacteriorhodopsin, a mechanism for ATP  
36 generation from light. This type of photochemistry previously had been know to  
37 occur only in hypersaline ponds; its discovery in the oceans is perhaps one of the  
38 most heralded successes of metagenomics [9]. Four years later, two landmark  
39 studies demonstrated the power of metagenomics to explore environmental  
40 microbiology: Venter et al. exposed the magnitude of microbial diversity in the  
41 surface water of Sargasso Sea, identifying 148 novel phylotypes and 1.2 million  
42 novel genes in a single study [10]; Tyson et al. demonstrated that when diversity  
43 was low, metagenomics could be used to reconstruct genomes of uncultured  
44 bacteria to reveal complete metabolic pathways, providing insight into their  
45 nutritional requirements and biogeochemical functions [11]. Encouraged by the  
46 success of their previous exploration of marine microbial diversity, Venter  
47 expanded their previous study on a global scale. In 2007, the first results of the  
48 Global Ocean Survey (GOS) were published [12], revealing ~390 new species  
49 and ~6 million predicted protein sequences in ~4000 protein clusters of which  
50 42.6% had no known homology [13]. The unprecedented volumes of data  
51 generated by this project catalyzed the advancement of the bioinformatic  
52 techniques required to assemble, analyze and contextualize novel genes, phyla  
53 and pathways. Subsequent and ongoing voyages ensure that the GOS project  
54 remains to this day the largest metagenomic survey undertaken.

### 55 **An era of open-access science**

56 Early adopters of metagenomics had the foresight to understand that the  
57 datasets from a single metagenomic investigation were too large for  
58 comprehensive analysis by a single research group and opened up datasets for  
59 public access, often prior to initial publication. This in turn fuelled the  
60 development of third-party tools for data management and analysis (e.g. MG-  
61 RAST [14], CAMERA [15], IMG-ER [16]) as well as standards for collection of  
62 metadata to assist in downstream analysis [17]. At the current time (February  
63 2012), MG-RAST currently holds 111 publically available metagenomic projects,

64 comprising 7,444 datasets, 459 million sequences and  $8.4 \times 10^{10}$  base pairs.  
65 Public availability of metagenomic datasets has enabled a broad range of  
66 bioinformatic investigations into novel clades, genetic diversity and microbial pan-  
67 genomes [18-22]. Fragment recruitment of metagenomic fragments to full  
68 genome sequences from related isolates has highlighted the prevalence of  
69 'hypervariable regions' (HVRs) across multiple strains and species, where the  
70 genomic content of the sequenced isolate is not representative of the population  
71 as a whole [12,20,23] (Grote et al., *in submission*). Comparative metagenomic  
72 studies have shed light on community adaptation to a local environment,  
73 particularly in nutrient cycling (e.g. [24-28]), with new algorithms to deal with the  
74 statistical challenges of large numbers of observations with minimal or no  
75 replication [29-31] (Beszteri et al., *in submission*). Whereas the first culture-  
76 independent investigations into microbial diversity were performed on 38 16S  
77 rRNA clones [2], amplification of DNA with barcoded primers [32] to allow  
78 sequencing of multiple samples in a single run, coupled with massively reduced  
79 sequencing costs enabled investigators to identify tens of thousands of unique  
80 sequences [33] in a single study, providing an unprecedented insight into  
81 microbial diversity. Large-scale projects such as the Earth Microbiome Project  
82 (<http://www.earthmicrobiome.org/>) and *Tara* Oceans Expedition [34] continue to  
83 explore environmental microbial diversity with rich metadata to better model and  
84 understand microbial ecology at the systems level. Similar programs to map the  
85 human microbiome are also ongoing [35,36], making metagenomics one of the  
86 most data-rich, fastest-growing and exhaustively reviewed scientific fields [9].  
87

### 88 **Data rich, information-poor: The cost of metagenomic democratization**

89 Until 2006, metagenomics had required the cloning of environmental DNA into  
90 vectors and their subsequent Sanger sequencing and fragment assembly. With  
91 costs of Sanger sequencing approaching ~\$500 per Mb [37], large scale  
92 metagenomics projects were limited to those with significant financial resources.  
93 Use of replicated experimental samples was extremely limited, preventing  
94 statistically rigorous analysis of biological variation and correlation of taxonomic

95 abundance with nutrient metadata [38]. The pairing of metagenomics with an  
96 emergent pyrosequencing technology [39] marked the beginning of a sequencing  
97 revolution. In rapid succession 454 (Roche), Illumina, SOLiD (Life Technologies)  
98 and Pacific Biosciences drove the cost of sequencing down to < \$0.10 per Mb  
99 (Fig. 1) (albeit with no similar decrease in the cost of data storage and  
100 computation [40]), democratizing metagenomic analysis, with a concomitant  
101 explosion of studies across a wide range of environments to investigate the  
102 diversity and functional capacity of all domains of life (e.g. *Eukarya*: [41,42];  
103 *Archaea*: [4,43]; *Bacteria* [21,33,36,44,45]) and their associated viral  
104 communities [46-49], even from as bizarre a locale as the windshield splatter  
105 from a single road trip [50]. However, second generation sequencers generated  
106 reads ranging from ~35-500 bp depending on the technology used – far shorter  
107 than the 130 kb fragment used to identify the 747 bp gene encoding  
108 proteorhodopsin by Béjà et al., or even the length of Sanger sequences used in  
109 the GOS study (~1000 bp). Furthermore, each advance in sequencing  
110 technology introduced its own biases, which, coupled with non-standardized  
111 metadata collection, made it difficult to compare the results of one investigation to  
112 previous datasets. Despite the reduction in sequencing costs, use of replicated  
113 samples was still poor [38]. In 16S rRNA diversity studies, the reduced sequence  
114 length of pyrosequencing prevented the use of full-length sequences; relying  
115 instead on shorter hypervariable regions (V1 through V8) sequenced both  
116 separately and in combination. Species richness of samples was significantly  
117 biased by primer choice, with V6 and V1+V2 overestimating richness while V3,  
118 V7 and V7+V8 underestimated richness [51]. Issues of over-estimation of the  
119 'rare' biosphere from sequencing error [52-54] and PCR chimeras [55] required  
120 correction by post-sequencing analysis with tools such as AmpliconNoise [56].  
121 Bias introduced during sample preparation, such as variation in DNA extraction  
122 efficiencies of different taxa [57] and poor representation of low G+C taxa [58,59]  
123 required fine-tuning of experimental design and greater use of controls.  
124

125 In shotgun metagenomic studies, annotation of genes is improved by inclusion of  
126 identifying features such as promoters, riboswitches, co-operonic genes and  
127 signature protein domains. The probability of capturing such features on the  
128 same fragment is proportional to the length of the fragment. Separation of genes  
129 from their distinguishing features is significant in Sanger sequences (~1000 bp)  
130 compared to BAC sequences (~130 kbp). With the even shorter lengths of  
131 pyrosequencing, the issue is exacerbated. Furthermore, increased sequencing  
132 errors of ~1-3% (depending on sequencing technology) frequently introduced  
133 frameshift mutations into reads [60]. Consequently, the number of identifiable  
134 homologs on shorter reads was 20-30% lower than for Sanger reads from a  
135 bacterial metagenome and 70% lower for viral metagenomic samples [61]. As  
136 early as 2008, Wommack et al. concluded that despite the reduced cost per  
137 basepair of pyrosequencing, the cost per unit of information was comparable with  
138 Sanger sequencing [61]. Whilst the continued reduction of sequencing costs has  
139 tilted the cost-benefit balance firmly in favor of next-generation sequencing, the  
140 issues of annotating short fragments remain [48,62]. It is no coincidence that the  
141 ubiquitous contextualization of the ecological significance of novel,  
142 experimentally derived microbial function overwhelmingly choose the GOS and  
143 longer read datasets for their analyses [63-66]. Furthermore, the rate of discovery  
144 of putative protein sequence has dwarfed the rate at which protein structure and  
145 function can be characterized, and has made manual curation of functional genes  
146 unfeasible (Fig. 1). Of the 20.6 million protein sequences in the current (2012\_03)  
147 release of the UniProt database, only 2.8% have had their existence confirmed  
148 either at the protein or the transcript level  
149 (<http://www.ebi.ac.uk/uniprot/TrEMBLstats/>). Instead, automated annotation via  
150 homology transfer from similar sequences with known function was favored.  
151 However, homology and sequence similarity are not synonymous. As the number  
152 of automated annotations of increasingly diverse putative proteins continues to  
153 increase exponentially, transfer of homology has resulted in 'homology creep' to  
154 non-homologous sequences [67]. Automated annotation can be drastically  
155 improved via incorporation of a measure of evolutionary distance

156 (phylogenomics) but requires near-full length protein sequences [68]. Only very  
157 recently has sequencing technology improved sufficiently to approach such  
158 lengths (~700-1100 bp) [69], whilst maintaining sufficient coverage to allow  
159 shotgun metagenomics to achieve its full potential.

160

161 Despite dramatic increases in sequencing efficiency (Fig. 1), inadequate  
162 coverage, which translates into “under sampling”, remains a major issue in  
163 metagenomics. Under sampling compromises some of the favorite experimental  
164 design strategies of ecologists, who often seek to understand how functional  
165 aspects of microbial communities vary along clines, such as gradients in latitude,  
166 temperature, or productivity. Under sampling can be ameliorated by binning data  
167 at courser resolution, for example, by COG instead of by species. However, such  
168 a shift in strategy elicits a significant cost - the loss of genome context, which is  
169 often crucial for interpreting function.

#### 170 **Recapturing lost information: assembly and SAGs**

171 To overcome the effects of short reads on accurate annotation, assembly of  
172 fragments into longer contigs has been attempted. Whilst numerous assembly  
173 programs have successfully reconstructed genomes from clonal organisms [70],  
174 successful assembly of contigs from metagenomic data is more limited. Problems  
175 with metagenomic assembly arise from poor community coverage [71], significant  
176 genomic variance in natural populations [12,20] and a high risk of chimeric  
177 sequence generation [62,72,73]. Furthermore, repetitive reads present a  
178 dichotomy for metagenome assembly [74]. During the assembly of a clonal  
179 genome, repetitive reads are problematic during construction of de Bruijn graphs  
180 and are therefore removed from analysis [75,76]. Conversely, in a metagenome,  
181 repetitive reads are likely to come from higher coverage of dominant organisms  
182 and should therefore be assembled together. To tackle this issue, new ‘digital  
183 normalization’ algorithms to remove redundant reads and improve assembly are  
184 now emerging [77]. The likelihood of meaningful assembly is directly related to  
185 the complexity of the sampled community and the genetic variability of its  
186 constituents. In relatively simple systems, a combination of deep sequencing with

187 short reads combined with longer reads for fragment recruitment and robust  
188 chimera checking are yielding some successes in assembling both microbial  
189 [11,62,78-80] and viral [81] genomes. Even with long reads, assemblies of  
190 metagenomes from complex communities (>400 taxa) are problematic. However,  
191 the longer reads have an inherent advantage of capturing full gene information  
192 for more accurate annotation [62]. The emergence of new metagenome  
193 assembly tools such as MetaORFA [82], Genovo [83], MetaVelvet [84], Meta-  
194 IDBA [85] and SEASr [86] may improve the utility of short sequences in  
195 metagenomes from complex communities.

196

197 To circumvent assembly problems most metagenomic studies rely heavily on  
198 complete or draft genomes to identify fragmentary sequences and interpret  
199 natural variation in an organismal context. Many online tools, e.g. MG-RAST, use  
200 this strategy, and have limited power to resolve metagenomic data originating  
201 from uncharted sectors of microbial diversity. Advances in culturing technology  
202 have made more genomes from relevant organisms available, but the uncultured  
203 part of microbial diversity remains substantial. To bridge this gap, researchers  
204 are increasingly turning to single cell genome amplification. Individual cells are  
205 isolated either by micromanipulation or by fluorescent-activated cell sorting  
206 (FACS) flow cytometry before lysis and multiple displacement amplification  
207 (MDA) of DNA to concentrations suitable for sequencing [87]. DNA can then be  
208 deeply sequenced with short-read, high throughput sequencing and readily  
209 assembled into large contigs, often assisted by scaffolding using longer Sanger  
210 reads [88,89]. Single-cell genomics is a significant advance that is providing draft  
211 genomes from organisms, many of them important, that have so far evaded  
212 cultivation. This technique was recently used to sequence the genome of SAR86,  
213 an important, highly abundant, but as-yet uncultured marine aerobic  
214 chemoheterotroph [90]. With ever-decreasing sequencing costs and rapid FACS  
215 cytometry cell isolation, it is not difficult to imagine that high-throughput  
216 metagenomics of important community representatives, and/or populations within  
217 a community from single-cell amplified genomes is imminent. Such an approach

218 will avoid issues of chimeric assemblies (other than contaminants) and will  
219 enable functional annotation with intact synteny. Amplification-free, single-  
220 molecule DNA sequencing technologies such as those implemented in the  
221 MinION™ and GridION™ (Oxford Nanopore) and PacBio *RS* (Pacific  
222 Biosciences) will further reduce the cost, simplify assembly and improve the  
223 accuracy of single-cell metagenomics, perhaps even removing the need for cell  
224 isolation entirely. Accurate assembly of genomes from single cells and/or reads  
225 long enough to contain complete operons will have two major advantages. Firstly,  
226 complete genes will enable fine-scale intra and inter-specific phylogenomic  
227 analyses, improving our understanding of community structure and how bacterial  
228 diversity is derived and maintained via periodic selection [91] and viral predation  
229 [23,92,93]. Secondly, accurate annotation of genes will dramatically improve the  
230 capacity of systems biologists to model community connectivity and thus the  
231 effects of perturbations on microbial biogeochemical processes [94].

### 232 **The continued importance of culturing**

233 Accurate annotation may provide insight into the metabolic potential of an  
234 organism and its community. However, it is often difficult to predict the ecological  
235 significance of an annotated gene without first considering its function in the  
236 broad context of metabolism. In some cases, this can be achieved by  
237 reconstructing metabolic pathways and testing predictions by experimentation  
238 with axenic cultures. The demonstration that SAR11 bacteria are methyllovers  
239 was an example of this strategy [64]. In other cases, particularly those in which a  
240 gene is functioning in non-canonical pathways that are not represented in KEGG  
241 or other databases, the only choice may be exploratory experiments with cells in  
242 culture. SAR11 proteorhodopsin provides an apt example. Whilst the abundance  
243 and biochemistry of proteorhodopsin as an ATP-generating proton pump had  
244 previously been described from metagenomic data, exposure to light did not  
245 significantly improve the growth of SAR11 in axenic cultures, as would have been  
246 predicted from the annotated function. A decade after proteorhodopsin was first  
247 reported in metagenomic datasets, Steindler et al. showed that it provides an  
248 important source of ATP under conditions of carbon starvation, with cells grown



249 in the dark forced to consume endogenous reserves of carbon for survival [95].  
250 None of this surprises the average genome scientist, who by now, accustomed to  
251 the principles of systems biology, understands that selection is acting to shape  
252 the output from genes functioning in a concerted way.

253

### 254 **The ‘post-*Beagle*’ era of metagenomics**

255 There is little doubt that metagenomics has revolutionized our perspective of  
256 microbial taxonomic and functional diversity, and the scale of the generation of  
257 testable hypotheses from patterns observed through metagenomic studies has  
258 led to favorable comparisons with Darwin’s *Beagle* voyage [96]. However, like  
259 *The Origin of Species*, the power of observational science lies not in the data  
260 collection, but in the analysis and experimentation. After his four-year voyage on  
261 the *Beagle*, Darwin’s *magnum opus* resulted from two decades of experimental  
262 evidence to test his hypotheses on common ancestry, convergent evolution and  
263 descent with modification [97]. Similarly, the discovery of proteorhodopsin by  
264 Bèjà et al. in marine metagenomic datasets was more potent for its confirmation  
265 through *in vitro* cloning and purification from complete gene sequences on 130-  
266 kb fragments [8]. Although early metagenomic investigations with  
267 pyrosequencing provided more data, the increased error rates in sequencing,  
268 assembly and annotation would have made the success story of  
269 bacteriorhodopsin less likely. Darwin’s lack of training as an ornithologist resulted  
270 in erroneous classification of some Galápagos finches as blackbirds and required  
271 careful curation by John Gould before the true extent of adaptive selection was  
272 apparent [97,98]. Analogously, genomic fragments annotated via transfer of  
273 homology from similar sequences with known function suffer from a similar issue.  
274 Greater efforts in novel protein curation are required for accurate predictions of  
275 taxonomic and functional diversity to better elucidate their roles in  
276 biogeochemical cycling. It is worth remembering, however, that metagenomics is  
277 still in its infancy and that the difficulties of short read lengths and fragmented  
278 genes are likely to be transient. Improvements to sequencing biochemistry and  
279 new methodologies are now increasing read lengths to a critical point where

280 near-complete genes can be captured on a single read whilst maintaining depth  
281 of coverage, significantly improving annotation even when assembly into full  
282 genomes is difficult. Lessons have been learned for replicated experimental  
283 design to allow for robust statistical analysis and standards for metadata  
284 collection will improve comparisons between datasets [38,99]. Broad, shallow,  
285 replicated sequencing across large numbers of samples, followed by targeted  
286 deep sequencing and single-cell genomics will allow investigators to define a  
287 hypothesis; identify samples most likely to provide insight and then identify  
288 metabolic potential within single genomes and the community as a whole [38].  
289 Confirmation of predicted biochemistry in axenic and community cultures of  
290 important taxa [100] will improve our ability to more accurately predict,  
291 contextualize and, importantly, test novel function and its role within bacterial  
292 populations and their wider communities, and will continue to drive the  
293 metagenomic revolution in microbial ecology.

#### 294 **Acknowledgements**

295 We would like to thank Cameron Thrash and Bánk Beszteri for comments and  
296 critical review of this manuscript. We would also like to thank Predrag Radivojac  
297 for provision of data used in Figure 1.

298

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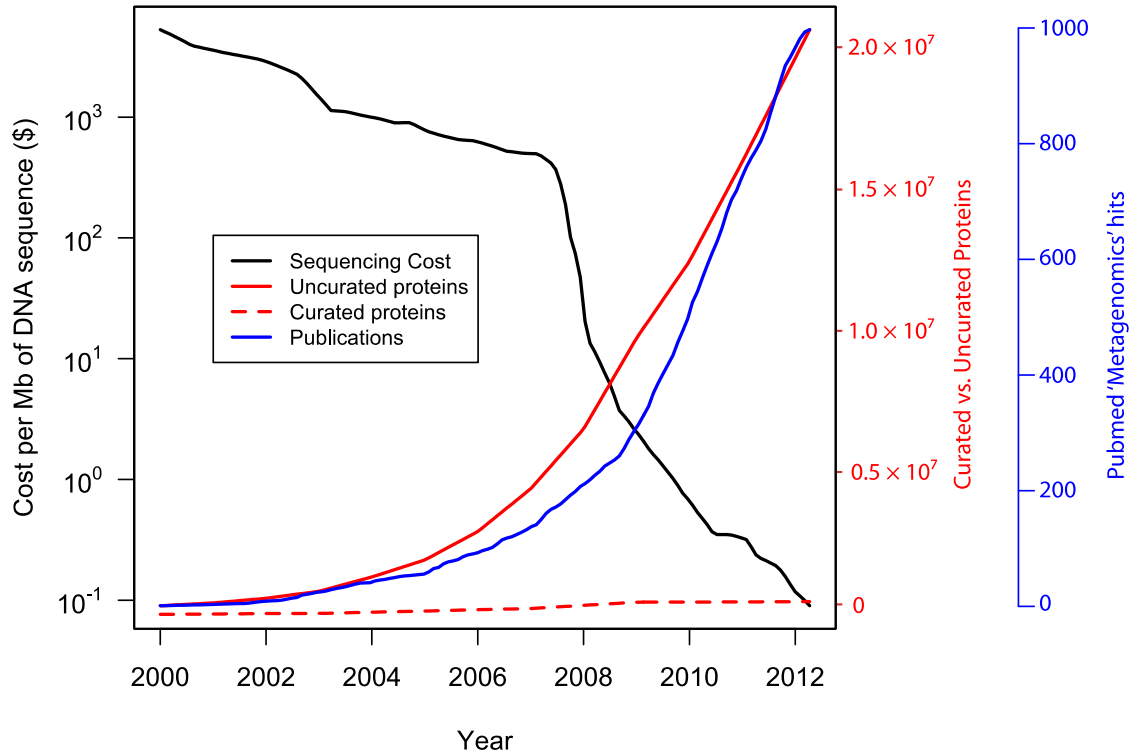
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**Figure 1** - Cost of DNA sequencing and its impact on genomics and metagenomics. Y-axis 1 (black): The cost per Mb of DNA sequencing on a log scale (data from <http://www.genome.gov/sequencingcosts/>). Y-axis 2 (red): The total number of sequences in the UniProt (<http://www.uniprot.org/>) database for automatically annotated (solid, TrEMBL database) and manually annotated (dashed, SwissProt database) proteins (data courtesy of Predrag Radivojac). Y-axis 3 (blue): The total number of metagenomics publications in PubMed (<http://www.ncbi.nlm.nih.gov/pubmed/>). The search term "metagenomics"[MeSH Terms] OR "metagenomics" was used to retrieve publication records in XML-format and binned by month and year according to the 'DateCreated' element.