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5 **Livestock grazing impacts on plateau pika (*Ochotona curzoniae*) vary by species**  
6 **identity**

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19 **Abstract**

20 Livestock and small burrowing mammals are potential ecosystem engineers and major  
21 drivers of ecosystem processes. Small mammals, often considered competitors with livestock,  
22 have been reported to increase in recent years and have been identified for their negative  
23 impacts on ecosystem services such as plant production and soil carbon sequestration.  
24 However, these increases and impacts by small mammals have rarely been studied with  
25 respect to how they may be influenced by livestock grazing. Here we experimentally  
26 manipulated large enclosures on the alpine grassland of Qinghai-Tibetan plateau, each with  
27 different livestock assemblages: yak (*Bos grunniens*), Tibetan sheep (*Ovis aries*), mixed  
28 populations of yak and sheep, and a control plot containing no livestock to study the potential  
29 impacts of livestock on the dominant small mammalian herbivore in the region, the plateau  
30 pikas (*Ochotona curzoniae*). We found that during the period of peak vegetative growth all  
31 pika populations in livestock experimental plots increased compared with those in the control  
32 plots. Additionally, pika populations and active pika burrow density increased more in  
33 experimental plots containing yaks compared with the sheep-only experimental plots. These  
34 results provide evidence that livestock grazing can increase the risk of pika outbreaks, which  
35 has led to this species being classified as a pest and subject to widespread control measures.  
36 We suggest explicitly incorporating livestock species identity in addition to grazing intensity  
37 and duration into management considerations to ensure maintenance of native biodiversity  
38 and long-term sustainability on the alpine grasslands of the Qinghai-Tibetan plateau.

39 **Keywords**

40 Alpine meadow, Grassland management, Indirect effect, Large herbivore, Sanjiangyuan,

42 **1. Introduction**

43 Grasslands worldwide are fundamentally shaped by a group of social, semi-fossorial,  
44 herbivorous small mammals, where they play keystone roles having both trophic and  
45 ecosystem engineering effects on communities (Smith and Foggin, 1999; Zhang et al., 2003;  
46 Davidson et al., 2010; Davidson et al., 2012). However, over the past decades, some of the  
47 species (e.g. European rabbits *Oryctolagus cuniculus*, American prairie dogs *Cynomys* spp.,  
48 and burrowing Asian pikas *Ochotona* spp.) have been reported to exhibit dramatic increases  
49 in population density which has led to their being perceived as pests because of their putative  
50 negative impacts on grassland conditions, production and risk of diseases (Kang et al., 2007;  
51 Delibes-Mateos et al., 2011). Hence, small mammals are commonly facing persecution such  
52 as extensive, ongoing poisoning campaigns targeted toward their control or eradication  
53 (Delibes-Mateos et al., 2011). Nonetheless, the decline of small mammals may result in  
54 losses in ecosystem services, such as providing nesting burrow habitats for other animals  
55 (Smith and Foggin, 1999; Arthur et al., 2008; Smith et al., 2018), serving as prey for  
56 predators (Badingqiuying et al., 2016), burrowing to enrich topsoil nutrients and infiltration  
57 of rainfall (Wilson and Smith, 2015; Ma et al., 2018), as well as maintaining vegetation  
58 heterogeneity (c.f. Davidson and Lightfoot, 2008; Root-Bernstein and Ebensperger, 2013;  
59 Smith et al., 2018). The relationship between small mammals and livestock grazing is  
60 increasingly of concern in managed grasslands that are co-occupied by livestock and small  
61 mammals. An important management question becomes: to what extent does grazing by  
62 livestock impact populations of small mammals?

63           Livestock normally generate indirect effects on small mammals by altering plant  
64 community composition, vegetation structure (Milchunas and Lauenroth, 1993; Olf and  
65 Ritchie, 1998), and soil properties (Greenwood and McKenzie, 2001), that provide food  
66 resources and habitat structures for the small mammals (Foster et al., 2014; van Klink et al.,  
67 2015; Su et al., 2016; Fig. 1). Resource changes can alter small mammals' behavioral or  
68 physiological traits and their fitness, ultimately leading to abundance changes (Batzli, 1992).  
69 For instance, a case study from Inner Mongolia grasslands indicated that successive sheep  
70 grazing caused reduction of food quantity (i.e., less biomass and cover of preferred plants)  
71 and deterioration of food quality (i.e., more tannin and total phenol content) for voles, and  
72 thus reduced their abundance (Li et al., 2016a). In contrast, some studies have revealed that  
73 livestock could enhance survival of rabbits or pikas by creating their preferred habitats (i.e.  
74 reduced vegetation height and cover; Wangdwei et al., 2013; Yin et al., 2017). Despite  
75 limited experimental evidence, livestock trampling and wallowing have also been  
76 hypothesized to negatively affect the suitability of burrow systems for small mammals (Torre  
77 et al., 2007). Overall, the vegetation changes induced by livestock foraging and destructive  
78 impacts can alter habitat structure, to the benefit or detriment of small mammals; While  
79 competition may arise where livestock reduces shared food resources for small mammals, a  
80 feeding facilitation might otherwise arise when grazing stimulates plant regrowth or makes  
81 more grass accessible to small mammals.

82           In practice, most grasslands, especially those occupied by nomadic pastoralists, are  
83 grazed by livestock assemblages containing various species with different body-size.  
84 Livestock with diverse species identity would selectively feed on plants differing in quantity

85 and quality due to their nutritional requirements (Liu et al., 2015). Small or medium-sized  
86 herbivores (e.g. small mammals or sheep) are assumed to desire high-quality food resources,  
87 while larger ones (e.g. cattle or yak) primarily forage to maximize their food intake and could  
88 cope with plants of lower-quality (Codron et al., 2007). Livestock also have distinctive  
89 trampling effects over their foraging areas and compaction intensity for their different body  
90 masses and hoof areas (Cumming and Cumming, 2003). Therefore, livestock could generate  
91 impacts on plant resource abundance and distribution, vegetation structure and soil  
92 conditions for small mammals. Moreover, when multiple species of herbivores graze, the  
93 interactions between them can be facilitative or competitive, thereby assemblages could  
94 result in additive or compensatory effects on grassland quality (Arsenault and Owen-Smith,  
95 2002). In particular, diet overlap of multiple herbivores may result in overutilization some  
96 species of plants and underutilization of others, while diet partitioning could lead to an even  
97 usage across all plant species. For example, in eastern Eurasian steppe, mixed grazing by  
98 cattle and sheep increased plant diversity and spatial heterogeneity of soil available N  
99 compared with single species grazing sites (Liu et al., 2015; Liu et al., 2016; Liu et al., 2018a).  
100 Currently, studies exploring the effects of different species identity and assemblages of  
101 livestock generally focus on plant composition (Albon et al., 2007; van der Plas et al., 2016),  
102 plant diversity (Bakker et al., 2006; Liu et al., 2015), litter decomposition (Wang et al., 2018),  
103 and soil nutrient (Chang et al., 2018), as well as arthropod abundance and diversity (Zhu et  
104 al., 2012; Zhu et al., 2015). Few studies have documented the cascading effects of livestock  
105 species and their assemblages on small mammals.

106 The impacts of large herbivores on small mammals have been found to be either positive

107 or negative in studies across the African savanna, North American prairie and Eurasian  
108 steppe (Bakker et al., 2009; Hagenah et al., 2009; Davidson et al., 2010), but how various  
109 livestock assemblages affect small mammals remains controversial in the Qinghai-Tibetan  
110 Plateau (QTP) ecosystems. Tibetan grasslands are the world's highest and most expansive,  
111 but this region is also sensitive and contains fragile alpine grazing ecosystems (Suttie et al.,  
112 2005). Most important, the QTP provides important animal production and ecosystem  
113 services for human livelihoods (Harris, 2010). These rangelands, particularly the alpine  
114 meadows, have suffered from serious degradation for several decades due to human activities  
115 as well as climate warming and permafrost melting, leading to declines in primary production,  
116 soil carbon, and nutrient stocks (Harris, 2010; Xu et al., 2016; Liu et al., 2018b). In particular,  
117 overstocking of livestock such as yak and Tibetan sheep is considered one of the most serious  
118 human disturbances (Harris, 2010). Meanwhile, the outbreaks of "rodents" (specifically the  
119 plateau pika; *Ochotona curzoniae*) are also blamed for creating "black beach" habitats  
120 (highly eroded areas; Wang et al., 2016). At present, there is an increasing recognition that  
121 population dynamics of small mammals are highly correlated with livestock grazing (Kang  
122 et al., 2007). Some studies assume pikas at high population densities have a competitive  
123 relationship with livestock for plant food resources, while others suggest that vertebrate  
124 grazing decreases plant height and cover thereby leading to increased densities of pika  
125 (Roger et al., 2007; Delibes-Mateos et al., 2011; Badingqiuying et al., 2018). There exists a  
126 lack of experimental evidence to demonstrate cause and effect relationships between  
127 livestock grazing and pika abundance, and such information is needed to manage small  
128 mammal outbreaks and inform livestock management.

129 In this study, we performed a replicated large-scale enclosure experiment with different  
130 livestock assemblages (yak *Bos grunniens*, Tibetan sheep *Ovis aries*, mixed populations of  
131 yak and sheep, and a control with no livestock grazing ) to examine the effects of grazing on  
132 plateau pikas. We focused on grazing effects on vegetation and soil condition. We asked (1)  
133 How did livestock grazing effect abundance of plateau pikas and (2) how did these effects  
134 differ among livestock species and their assemblages? We hypothesize that, (1) suitable  
135 habitat quality induced by livestock grazing may facilitate pika abundance and (2) due to  
136 their distinct body sizes and diet preferences, yak, sheep and their mixed herds may pose  
137 different effects on plant composition and food resources that affect the pikas.

## 138 **2. Materials and methods**

### 139 *2.1. Study system*

140 This study was conducted at a grassland (33.33°N 97.42°E; 4260 m above sea level)  
141 near the “Zhenqin Alpine Meadow Ecosystem Research Station” established by Qinghai  
142 University in Chengduo County, Qinghai Province. The site is a typical alpine meadow in  
143 the central QTP and Sanjiangyuan Area (known as "Source of Three Rivers" including the  
144 Yellow, the Yangtze, and the Mekong). The study site has cold winters and warm summers  
145 (temperature ranging from -25.6°C to 15.0°C for monthly mean of daily minimum and  
146 maximum temperature respectively). The annual precipitation was 482.0–652.0 mm, with  
147 about 75% occurring during the growing season (May–September, when mean daily  
148 temperature was above 0°C, Fig. A1; meteorological data from previous 10 years of 2005–  
149 2014; Yushu weather station, available online <http://data.cma.cn>). The grassland is  
150 dominated by the sedge *Kobresia pygmaea*. Subdominant species include grasses such

151 as *Stipa capillata*, *Elymus nutans*, and companion species of forbs (e.g. *Gentiana*  
152 *straminea*, *Saussurea pulchra*, *Potentilla discolor* and *Oxytropis deflexa*). Though not  
153 heavily impacted by pastoralists' grazing management, biomass of grasses was historically  
154 low here (personal communication with the landowner). The soil type is alpine meadow soil,  
155 which is classified as mat cryo-sod soil based on the Chinese national soil classification  
156 system (Gong, 2001). It is characterized by high organic content, and a thin soil layer, which  
157 has average thickness of 0.65 m (Kato et al., 2004).

158 This grassland has been managed by pastoralism of domesticated yaks and Tibetan  
159 sheep for years, and the grazing intensity is mainly controlled by pastoral practice. The  
160 plateau pika (body weight *c.* 150 g) is the most dominant and abundant small burrowing  
161 mammal in this area. The pika population exhibits seasonal fluctuations and interannual  
162 variability. Pikas live in social groups and have a breeding season during the warm summer.  
163 They reproduce two or three times a year and have an average lifespan about 123-246 days.  
164 (Qu et al., 2013).

## 165 2.2 Experimental design and grazing treatments

166 We used a block design with three replicate blocks in the study area. Within each block,  
167 four enclosures (plots) were established with woven-wire fences (1.5 m high; 10 cm mesh  
168 size) for different grazing treatment, including no grazing (Control), yak grazing (Yak),  
169 Tibetan sheep grazing (Sheep), mixed grazing by yak and sheep (Mixed). Specific treatments  
170 were selected to maximize within-treatment landscape heterogeneity within blocks (Fig. A2).

171 A moderate grazing intensity with approximate 50% of aboveground plant biomass  
172 removal during the growing season (June–August) was designed in all grazing treatments at



173 a stocking rate of about 6.17 sheep ha<sup>-1</sup>. This was achieved by using 9 yak (*c.* 350 kg) and  
174 45 Tibetan sheep (*c.* 50 kg) in each single grazing treatment respectively, while the mixed  
175 grazing treatment was comprised of 9 yak and 45 Tibetan sheep on plots twice the area of  
176 those with single species. All livestock were mature and about 3–6 years age. Plot size was  
177 100×200 m on controls and single species plots and 200×200 m on mixed grazing plots.  
178 Grazing occurred from early June to late August (i.e., 3 month each year). Grazing treatments  
179 were conducted in a rotational stocking management, so that each plot was grazed 6 days in  
180 total and with a 2-week interval between each time. Grazing was not conducted on days  
181 experiencing substantial rainfall. The design was based on local habitat productivity and  
182 method of rangeland carrying capacity calculation published by agriculture industry standard  
183 NY/T 635-2015 (Ministry of Agriculture of the People’s Republic of China).

184 We used large-scale plots because natural grazing impacts by livestock are better  
185 mimicked in large enclosures, and allowed for a better estimate pika population response to  
186 grazing. Family-occupied home range size of pikas is reported to be about 106–178 m<sup>2</sup> (Qu  
187 et al., 2018) or even larger at our site.

### 188 *2.3. Pika population survey*

189 We conducted transect counts of plateau pika (as a proxy for population density of pikas)  
190 in each plot during the warmest season when they had completed reproduction and had thus  
191 reached the peak population size (late July to August; Fig. A1). We walked transects to count  
192 pikas at a spatial scale representative of the core plot areas (Roger et al. 2007). Surveys were  
193 conducted between 09:00 and 11:30 on each sunny day when most pikas were active and  
194 easily observed. Three observers walked in separate lines and counted all pikas within sight

195 to cover a total of 60-m wide and 100-m long belt transects ( $2 \times 60 \times 100$  m in mixed grazing  
196 plots by walking two transects). Pikas would run into adjacent burrows when humans walked  
197 close by, and we could catch sight of pikas easily due to the low vegetation height in our  
198 study areas. To account for observer bias, each plot was counted on 2–3 mornings. We used  
199 mean values of the replicated counts. An index of pika abundance was then calculated and  
200 transformed to counts of pikas per hectare. In addition, to ensure that there was no significant  
201 differences prior to our grazing treatments, we also surveyed pika population in May 2015  
202 using the same protocols (Fig. A4).

203 As a second index of pika abundance, we counted pika burrow entrances in three  $20 \times$   
204  $20$  m subplots spaced over 10 m apart in the pika counts survey areas within each plot. Active  
205 burrows (hole entrances characterized by clear openings, fresh soil or pika feces) and other  
206 burrows (including inactive burrow entrances with undisturbed material such as invaded  
207 plant species or spider webs, and burrows with no openings) were counted separately (Fig.  
208 A3). Total burrows were calculated as the sum of active burrows and other burrows. Density  
209 of burrows was calculated and transformed to number of burrows per hectare.

#### 210 *2.4. Vegetation measurement and soil sampling*

211 We sampled vegetation in late August. We evaluated changes in pika food resources by  
212 estimating plant biomass and species richness. The biomass (grams per  $1/4$  square meter)  
213 and richness (the number of plant species found within each quadrat) were measured in 12–  
214 18 quadrats ( $0.5 \times 0.5$  m; number varied according to the plot size of treatments). These were  
215 sampled from quadrats equally spaced in three lines in the center core of each treatment plot.  
216 Aboveground plant materials were clipped at ground level, separated by species group

217 (grasses, sedges, legumes and other forbs), stored temporarily in paper envelopes, and then  
218 dried in an oven at 80°C to a constant mass.

219 We measured vegetation cover and plant height to evaluate habitat characteristics of  
220 plateau pikas. Vegetation cover was visually estimated as total species' aerial cover in each  
221 quadrat surveyed as described previously. Vegetation height was measured as community-  
222 weighted mean plant height (Niu et al., 2016). First, plant height was measured on three  
223 haphazardly chosen stems for each species in a quadrat. In the meantime, each quadrat was  
224 divided into 25 sub-quadrats using plastic lines and species frequency was measured as  
225 occurrence times of 25 sub-quadrats. Vegetation height was then calculated as a species  
226 frequency weighted mean value of plant height in each quadrat.

227 Soil bulk density and water content were measured to estimate soil physical properties.  
228 We sampled the soil for 6 replicates in a line within the core space of each plot. In order to  
229 prevent effects of pikas burrows on measurements, we sampled soil away from plateau pika  
230 entrance burrows. All soil samples were weighed before and after oven drying at 105°C to a  
231 constant weight. Soil bulk density was measured at the upper soil layer (0–10 cm) by the  
232 core sampling method with a stainless-steel ring and determined by the dry matter in the soil  
233 that occupies a core of 100 cm<sup>3</sup> volume. The soil water content was estimated by the mass  
234 of water per mass of dry soil using the same sample as described previously.

### 235 *2.5. Livestock foraging behavior measurement*

236 To evaluate livestock diet choices, we measured the relative grazing frequency of  
237 different plant species groups (grasses, sedges, legumes, and other forbs) fed on by livestock  
238 in July. In each grazing plot, we choose 4 individuals of healthy livestock and recorded about

239 20 bites of foraging for each one. To minimize human disturbance, we followed livestock at  
240 a distance. Each bite of plant was scored for visual evidence of plant shoot removal by  
241 livestock. When a plant was removed, we assigned that bite a value of one for the belonging  
242 species group. Values assigned for each species group were summed per livestock type and  
243 divided by total bites of plants to obtain a relative grazing frequency of species groups  
244 ranging from 0%–100%, similar to procedures of Clark et al. (2012) and Zhong et al. (2014).  
245 We also evaluated bite frequency of the livestock. We choose one animal per species in each  
246 grazing plot, observed at a distance, and counted the bite number during their foraging peak  
247 period (9:00–12:00 and 15:00–18:00) with a 10 min interval (total 3 h per day). Livestock  
248 bite frequency was calculated as number of bites per hour.

## 249 *2.6. Statistical analyses*

250 We used linear mixed effect models (LMMs) with grazing treatment treated as a fixed  
251 effect and block as a random effect to assess the impacts of grazing on pika abundance index,  
252 vegetation height, soil bulk density, soil water content, above-ground plant biomass, legumes  
253 biomass within each year (2015 and 2016 separately). Active burrow density, total burrows  
254 density, vegetation cover and plant species richness were analyzed with generalized linear  
255 mixed effect models (GLMMs) including treatment as a fixed factor and block as a random  
256 factor assuming Poisson or log-linked Gaussian error structure based on the distribution  
257 pattern of each variable. For 2 year grouped data, we used the same models but additionally  
258 with factor year as a repeated covariance structure in which year and block were treated as  
259 crossed random effects in the model. Analyses were performed with software R version 3.4.3  
260 (R Core Team, 2017). LMMs and GLMMs were achieved using the function *lmer* and *glmer*

261 respectively from the package *lme4*. Tukey tests for between treatment comparisons within  
262 each year were performed with the function *glht* from the *multcomp*. We quantitatively tested  
263 the compositional similarity of plant communities using the *adonis* function of the *vegan*  
264 package, which conducts permutational MANOVA using Bray–Curtis distance matrices; we  
265 specified models with treatment nested within block as factors, and with 100,000  
266 permutations per test. One-way ANOVA was used to test the differences of bite frequency  
267 between single grazing and mixed grazing treatments within each livestock species identity.

268 We used partial least squares regression (PLSR; Carrascal et al., 2009; Yang et al., 2017)  
269 to identify the relationships between vegetation height, legume biomass, total plant biomass,  
270 soil bulk density with pika abundance index respectively after conditionally accounting for  
271 the other environmental factors including vegetation cover, total burrow density. We used the  
272 function *plsreg1* in the package *plsdepot* with 2 components chosen to implement PLSR and  
273 extracted residuals from model results to plot scatterplots.

### 274 **3. Results**

#### 275 *3.1. Background of study area*

276 During the experimental period in 2015–2016, the seasonal temperature and  
277 precipitation displayed a similar pattern, but with lower annual precipitation than the  
278 previous 10-year average (488.7 mm in 2015 and 434.0 mm in 2016 vs. 584.1 mm; Fig. A1).  
279 Climate in 2016 was hotter and drier (average temperature 5.7°C, and total precipitation  
280 325.2 mm during the growing seasons from May to September) than in 2015 and 2005–2014  
281 (correspondingly 4.7°C, 404.2 mm; 5.2°C, 487.7 mm). Pre-grazing pika abundance indices  
282 ranged from 14 to 27/ha across treatments and were not significantly different (Fig. A4).

283 *3.2. Effects of livestock on pika population*

284 Abundance of plateau pika was increased in grazing plots compared with the control  
285 during the peak period of vegetation in 2015 and 2016 (Fig. 2; Table 1). The abundance index  
286 increased by 95%, 89%, and 143% respectively in sheep grazing, yak grazing and mixed  
287 grazing plots than controls in 2015, and correspondingly 33%, 48%, 94% of those than  
288 controls in 2016. Notably, the abundance index was higher in mixed grazing plots compared  
289 to that in both single species sheep or yak plots (Fig. 2a). The density of active burrow  
290 entrances in 2016 was also higher in grazed plots containing yaks versus controls in 2016;  
291 burrow density was 35% and 37% higher in yak and mixed grazing plots respectively than  
292 the control (Fig. 2b; Table 1).

293 *3.3. Effects of livestock on pika habitat structure*

294 Vegetation height was significantly affected by livestock grazing both in 2015 and 2016  
295 (Table 1), in which height was reduced by 24%, 22% and 23% separately in sheep grazing,  
296 yak grazing and mixed grazing plots compared to control plots in 2015, and correspondingly  
297 by 15%, 20% and 15% of these plots in 2016 (Fig. 3a). No significant difference was detected  
298 among different grazing treatments. There was no significant difference of grazing effect on  
299 vegetation cover at the plot scale each year (Table 1).

300 Soil bulk density was significantly increased in yak and mixed grazing plots relative to  
301 controls in both years, while sheep grazing had no effect (Fig. 3d). Among grazing plots, soil  
302 bulk density increased significantly in mixed grazing (in 2015 and 2016) and yak grazing  
303 plots (in 2015) compared with sheep grazing plots. In contrast, soil water content was only  
304 significantly decreased by livestock grazing in 2015, in which mixed grazing decreased water

305 content more than that in both sheep grazing and control plots (Fig. 3e). We did not find any  
306 significant difference of grazing effect on density of total burrows in the 2 years (Table 1).

### 307 *3.4. Effects of livestock on pika food resources*

308 Grazing significantly reduced above-ground total plant biomass (Table 1), within which  
309 biomass was reduced by 31%, 31% and 24% respectively in sheep, yak and mixed grazing  
310 plots compared with controls in 2015, while no significant difference was found among  
311 grazing plots (Fig. 3b). In 2016, only yak grazing significantly lowered plant biomass by 30%  
312 compared to the control. In contrast, the percentage of legume biomass was considerably  
313 increased by livestock grazing (Table 1), in which mixed and yak grazing raised this value  
314 to about 54% and 90% respectively compared with the control in 2015, and correspondingly  
315 58% and 115% of these plots in 2016 (Fig. 3c). There were no significant differences in plant  
316 species richness across the treatments (Table 1), but plant community composition was  
317 significantly different between yak grazing and sheep grazing plots (perMANOVA test,  $F =$   
318  $5.28, P < 0.001$ ).

### 319 *3.5. Relationships of pika population with habitat structure, and food resources*

320 Decreased vegetation height was negatively correlated with the index of pika abundance  
321 in PLSR analysis when accounting for the effects of other factors including vegetation cover,  
322 total burrow density, soil bulk density and total plant biomass ( $r^2 = 0.3, P = 0.005$ , Fig. 4a).  
323 Contrarily, biomass of legumes was positively correlated with the index of pika abundance  
324 in PLSR analysis when accounting for the effects of other factors including vegetation height,  
325 vegetation cover, total burrow density, soil bulk density and total plant biomass ( $r^2 = 0.25, P$

326 = 0.012, Fig. 4b). No significant difference was found among total plant biomass, soil bulk  
327 density and our index of pika abundance (Fig. 4c-d).

### 328 *3.6. livestock foraging habits*

329 Livestock appeared to exhibit selectivity in grazing plant species groups. The average  
330 relative grazing frequencies of sheep on forbs, sedges, grasses and legumes were 73%, 13%,  
331 7%, and 11% respectively, while those of yak were 54%, 33%, 9%, and 8% (Fig. 5a). Mixed  
332 grazing did not considerably alter diet choices of yak or sheep based on their relative grazing  
333 frequency of species groups, but significantly increased sheep bite frequency by about 19%  
334 more than in single species grazing plots (Fig. 5b).

## 335 **4. Discussion**

336 We asked whether livestock grazing could lead to increases in the abundance of a small  
337 mammal. By manipulating grazing enclosures with different livestock assemblages, we  
338 provided evidence that livestock grazing led to environmental changes that in turn led to an  
339 increase in the population size of plateau pikas. We also found that the magnitude of those  
340 effects differed among livestock assemblages by species.

341 In our study livestock grazing reduced the vegetation height and this effect concurrently  
342 increased the index of pika abundance, as the peak population of pikas in autumn was  
343 negatively correlated with vegetation height (Fig. 4a). Plateau pikas are often considered  
344 favoring open habitat reflected by low vegetation height or sparse coverage (Bian et al., 1999;  
345 Smith and Foggin, 1999). In such habitat, it is adaptive for pikas to be on guard against  
346 predation and escape into surrounding burrows, thereby diminishing their predation losses  
347 (Wangdwei et al., 2013; Badingqiuying et al., 2018). Due to reduced predation risk, pikas are



348 also recorded having low levels of physiological stress which could decrease natural  
349 mortality and/or increase reproductive success (Yin et al., 2017).

350 In our experiment, vertebrate grazing significantly decreased the vegetation height, but  
351 did not affect the vegetation cover (Fig. 3a; Table 1). The height reduction was mostly caused  
352 by livestock foraging on grasses like *E. nutans* and *S. capillata* which were dominant in the  
353 vegetation structure. Meanwhile, reduction of these grasses led to increased colonization of  
354 competitive forbs which in turn maintained the vegetation cover. Therefore pika abundance  
355 was enhanced by increasing perception of ability to perceive predators, and/or an increase in  
356 fecundity in the open habitat. (Arsenault and Owen-Smith, 2002) Small mammals such as  
357 field voles in wet meadows (Schmidt et al., 2005), mice in deciduous forest (Smit et al.,  
358 2001), rabbits and voles in floodplain grassland (Bakker et al., 2009) all showed changes in  
359 abundance in response to ungulate grazing-induced vegetation structure alteration. Our  
360 results support the habitat facilitation hypothesis that habitat structure altered by grazing can  
361 benefit other species (Arsenault and Owen-Smith, 2002), and confirm that large herbivores  
362 are strong habitat constructors via ecosystem engineering effects (Jones et al., 1997; Zhong  
363 et al., 2017).

364 A food competition relationship has often been proposed between small mammals and  
365 livestock for some degrees of diet overlap, although competition between herbivores is  
366 usually dependent on contextual factors such as the temporal and spatial extent of animal  
367 activities and habitat productivity (Arsenault and Owen-Smith, 2002; Odadi et al., 2011;  
368 Augustine and Springer, 2013). In our experiment, pika populations were not correlated to  
369 the total biomass of aboveground plants (Fig. 4c) which was reduced under livestock grazing

370 (Fig. 3b), indicating food resources were unlikely to be a limiting factor for pikas. Previous  
371 studies reveal that livestock and pikas have different diet preferences (Jiang and Xia, 1987;  
372 Harris et al., 2015), and pikas are capable of varying the diets with habitats (Liu et al., 2008).  
373 Notably, some species of legumes (such as *Oxytropis* and *Astragalus spp.* in our site) are  
374 reported to be poison for livestock (Stegelmeier et al., 1999; Cook et al., 2009) but are highly  
375 preferred by plateau pikas across different habitats and seasons (Jiang and Xia, 1985; Liu et  
376 al., 2008; Li et al., 2016b). We found the proportion of legumes was increased after grazing  
377 by livestock (Fig. 3c) due to their selective foraging on graminoids (grasses and sedges) and  
378 other forbs (Fig. 5a), and pika populations were higher in situations when there was a greater  
379 biomass of legumes (Fig. 4b). The more accessibility of legumes and their high nitrogen and  
380 phosphorus, which constitute a high food proportion and grazing frequency for pikas, may  
381 be beneficial and critical to the nutrient uptake of plateau pikas (Wang et al., 1992), hence  
382 potentially increasing their survival or reproduction. Therefore, our findings are also  
383 consistent with the feeding facilitation hypothesis that livestock grazing could increase  
384 nutritional legume resource access for plateau pikas (Arsenault and Owen-Smith, 2002).

385 We found that livestock species identity plays an important role in the grazing effects  
386 on plateau pikas. We anticipated that livestock assemblages with large grazers (i.e. yak)  
387 would increase the abundance of plateau pikas, while the impacts from medium-sized grazers  
388 (i.e. sheep) may be conditional due to their close niche overlaps and competition may arise  
389 when habitat altered (Jiang and Xia, 1987). In our experiment, yak grazing and mixed grazing  
390 both increased the abundance index and active burrow density of pikas, while sheep grazing  
391 increased the abundance index but not the burrow density in two consecutive years (Fig. 2).

392 This difference was related to several factors. First, there are inconsistent dynamics between  
393 active burrow and pika populations due to the existence of a temporal lag in burrow density  
394 change during shifts in pika populations (Badingqiuying et al., 2018). Second, pikas could  
395 also have a different burrow-in-use ratio when their population is changing (Zhang et al.,  
396 2018). Thus, the active burrow density may not as accurately reflect the current pika  
397 population. Third, due to selective grazing and different trampling effects, we found plant  
398 community composition and soil condition in plots differed among grazing treatments (Table  
399 1). Although there was no difference between yak grazing and sheep grazing in pika  
400 abundance, mixed grazing increased both pika abundance and active burrow density more  
401 than sheep grazing alone. Unlike yak, sheep prefer high quality plants, which increased their  
402 diet overlap with pikas for forbs (Fig. 5a). We also found that in mixed grazing, sheep bite  
403 frequency were influenced by the presence of yaks (Fig. 5b), thus mixed grazing may have  
404 an additive effect on the decline of dominant graminoid and forb due to selective foraging  
405 and interspecific interactions (Liu et al., 2015; Chang et al., 2018; Liu et al., 2018a). Under  
406 these conditions, sheep were facilitated by yak grazing by reducing graminoid cover and  
407 increasing access to their preferred forbs, while yak may also be facilitated by sheep grazing  
408 via reducing other forbs. This would then make it easier to avoid legumes. The fact that the  
409 pika abundance index did not differ significantly between yak grazing and sheep grazing  
410 may be because the habitat and food resources of pikas had not dramatically changed. Thus,  
411 we confirmed that livestock grazing with assemblages of large grazers could lead to a rise in  
412 the population of native keystone species, the pika, by modifying plant community structure  
413 and nutritional characteristics.

414 **5. Conclusion**

415 Our study confirmed the positive effects of livestock grazing on population levels of  
416 plateau pikas, and found that varying livestock species identities have different effects on the  
417 growth of the pika population. Livestock herds with large grazers such as yak would have  
418 larger effects causing a higher increase of pikas compared with areas grazed by the smaller  
419 sheep. We believe that reducing the height of vegetation is an important factor determining  
420 the population size of plateau pikas.

421 Excessive levels of plateau pika populations are often identified as one of the main  
422 factors of grassland degradation (Harris, 2010). At present, the prevention and control  
423 methods of the pika population include poisoning, prohibition of livestock grazing with  
424 enclosures, and grass planting to protect vegetation (Wang et al., 2016; Xu et al., 2016), all  
425 of which is expensive and time consuming. Hence, one of the important goals of livestock  
426 grazing management is to concurrently prevent and control the population of plateau pikas  
427 and avoid their outbreaks. Clearly, vertebrate grazing intensity must be controlled to avoid  
428 vegetation decline (Herrero-Jáuregui and Oesterheld, 2018). Meanwhile, changes in  
429 vegetation composition caused by selective foraging of livestock will also change the food  
430 resources of the pika. In our study, the mixed grazing of yak and sheep increased the food  
431 resources of pikas, which is not conducive to the prevention of plateau pika population  
432 control. However, because of the wide range of diets in pikas and the increased adaptability  
433 to seasonal and environmental changes, more long-term research is needed in the  
434 understanding of the relationships between livestock, livestock composition, and pikas on  
435 food resources.

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445

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652 Table 1. Mixed model results for effects of grazing (control, sheep, yak, mixed grazing) on  
 653 plateau pika, plant and soil properties. (see text for statistical tests.) Shown are *F*-value and  
 654 *P*-value (in parenthesis). An asterisk (\*) indicates significant differences (\* *P* < 0.05; \*\* *P* <  
 655 0.1; \*\*\* *P* < 0.001).

Variables	2 years pooled Df = 3, 20	2015 Df = 3, 8	2016 Df = 3, 8
Pika abundance index	45.52 (< 0.001) ***	35.42 (< 0.001) ***	23.36 (< 0.001) ***
Active burrow density	154.97 (< 0.001) ***	0.50 (0.691)	122.34 (< 0.001) ***
Vegetation height	16.76 (< 0.001) ***	8.84 (0.006) **	17.02 (0.001) ***
Vegetation cover	1.78 (0.183)	0.38 (0.769)	1.81 (0.224)
Total burrow density	0.19 (0.90)	0.04 (0.987)	0.57 (0.648)
Total plant biomass	10.59 (< 0.001) ***	54.93 (< 0.001) ***	7.28 (0.028) *
Legumes biomass	13.72 (< 0.001) ***	4.00 (0.052)	12.12 (0.002) **
Plant species richness	1.23 (0.325)	1.98 (0.196)	1.87 (0.212)
Soil bulk density	11.56 (< 0.001) ***	10.24 (0.004) **	6.16 (0.018) *
Soil water content	2.56 (0.084)	4.86 (0.033) *	0.79 (0.531)

656

657 Figure legends

658 Fig. 1. conceptual framework of the mechanistic pathways of livestock assemblage impact  
659 on small mammals. The first column of boxes displays livestock assemblages. The second  
660 column represents grassland conditions modified by livestock; The third column represents  
661 the habitat quality of small mammals.

662 Fig. 2. Effects of grazing (control, sheep, yak, mixed grazing) on plateau pikas: (a) index of  
663 pika abundance during peak period in 2 years; (b) active burrow density. Different small  
664 letters above the bars indicate significant differences among treatments within each year ( $P$   
665  $< 0.05$ ). Error bars represent  $\pm 1$  SE.

666 Fig. 3. Effects of grazing (control, sheep, yak, mixed grazing) on plateau pika habitat quality  
667 at peak standing crop in August within each year: (a) vegetation height, (b) above-ground  
668 total plant biomass, (c) proportion of legumes in total plant biomass. (d) soil bulk density, (e)  
669 soil water content. Different small letters above the bars indicate significant differences  
670 among treatments within each year ( $P < 0.05$ ). Error bars represent  $\pm 1$  SE.

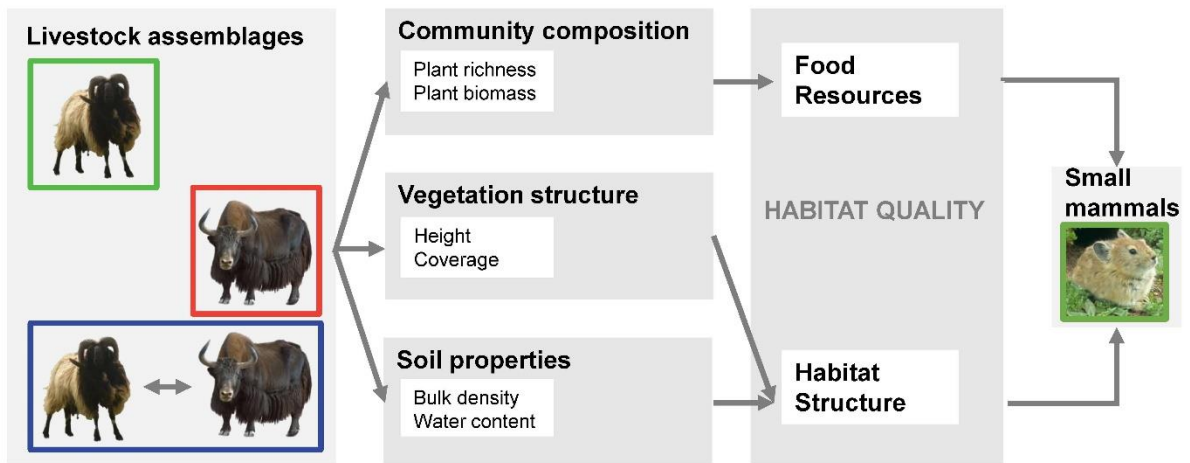
671 Fig. 4. The internal relationships between habitat quality characteristics and pika abundance  
672 index when accounting for the effects of other vegetation and soil factors based on partial  
673 least square regression (see text for statistical tests). (a) Relationship between vegetation  
674 height and pika abundance; (b) Relationship between legumes biomass and pika abundance;  
675 (c) Relationship between above-ground total plant biomass and pika abundance; (d)  
676 Relationship between soil bulk density and pika abundance. The solid red lines indicate  
677 statistical significance for the relationships. The dashed lines indicate no statistical  
678 significance for the relationships. The shaded areas show the 95% confidence interval of the



679 fit.

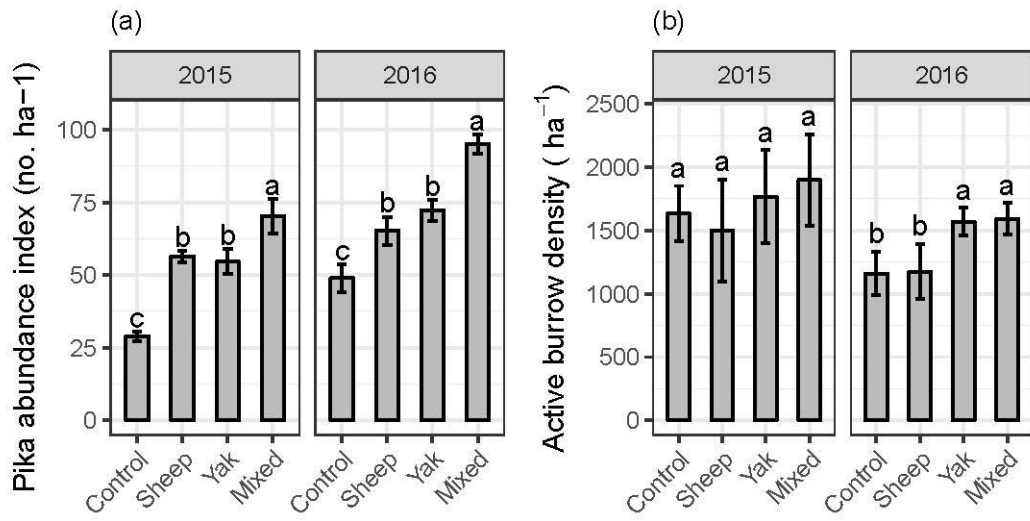
680 Fig. 5. Livestock foraging habits between different livestock assemblages (single vs. mixed  
681 grazing). (a) Relative livestock grazing frequency of plant species groups (forbs, sedges,  
682 grasses and legumes). (b) Bite frequency. Different small letters above the bars indicate  
683 significant differences among treatments (single vs. mixed grazing;  $P < 0.05$ ). Error bars  
684 represent  $\pm 1$  SE.  
685

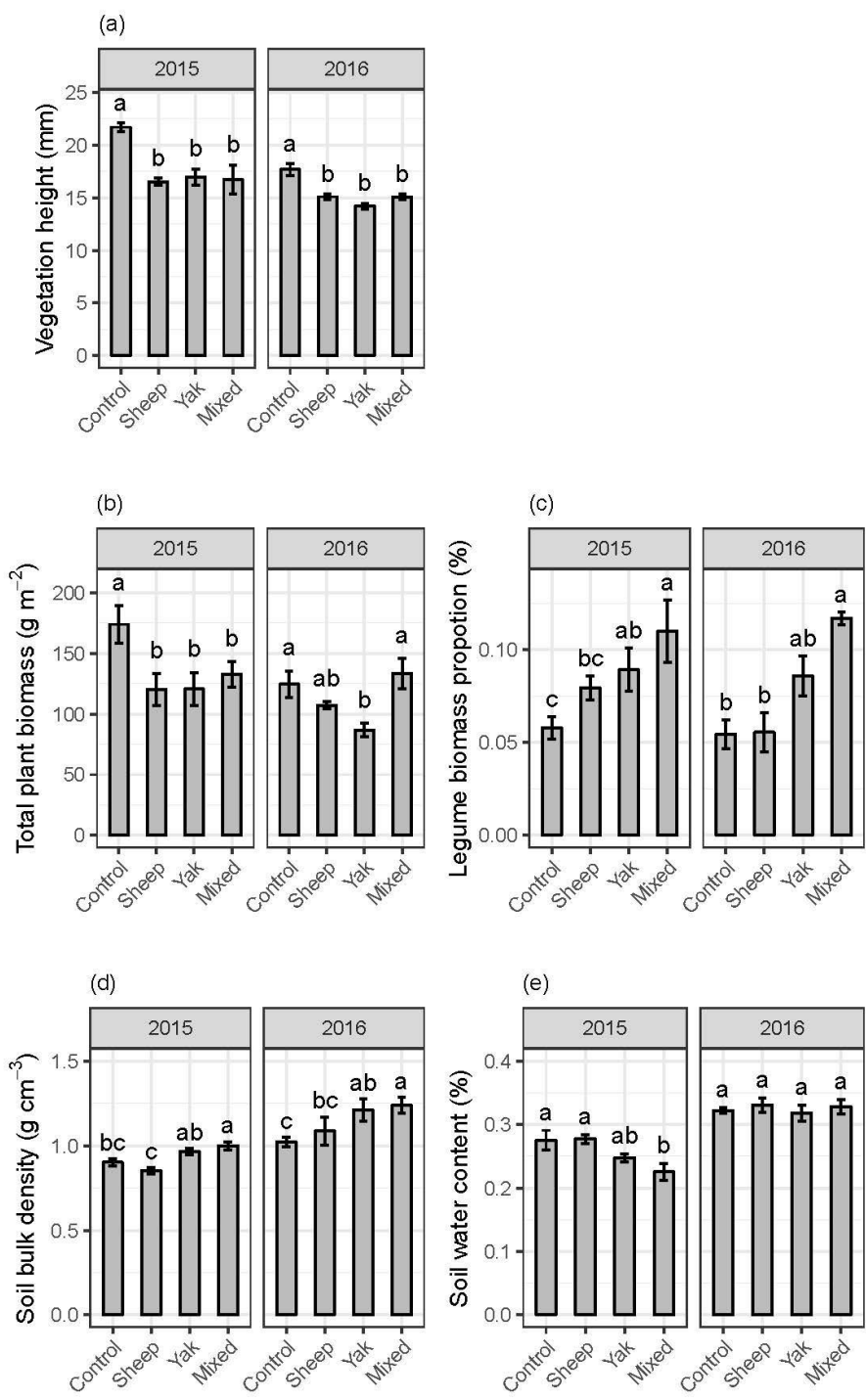
686 Fig. 1

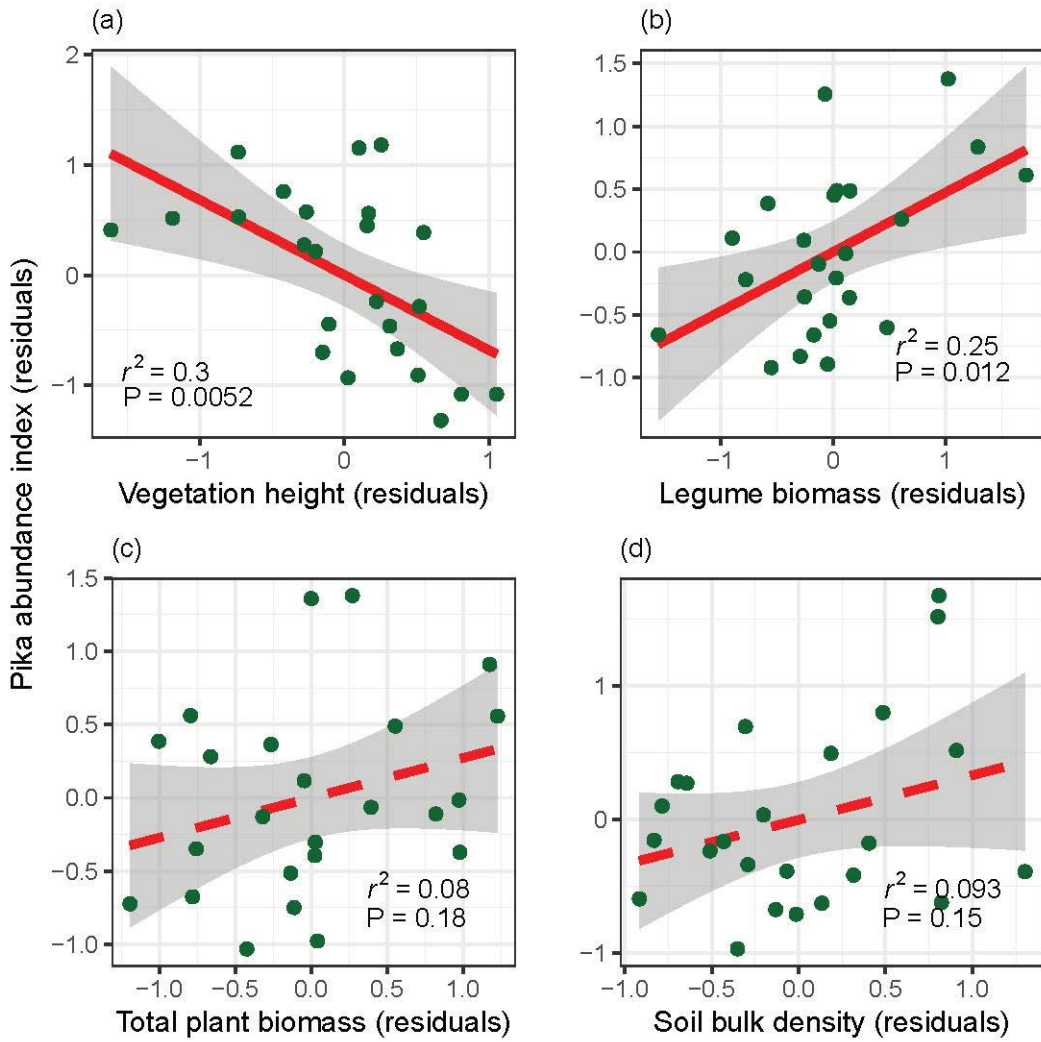


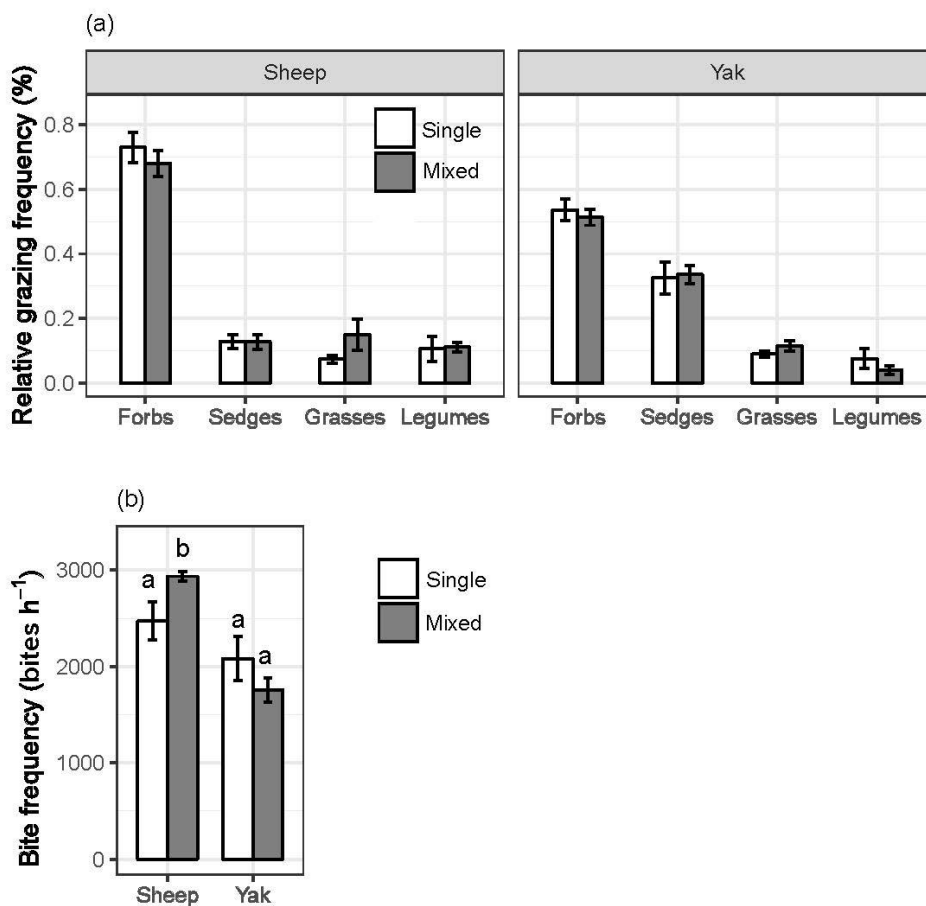
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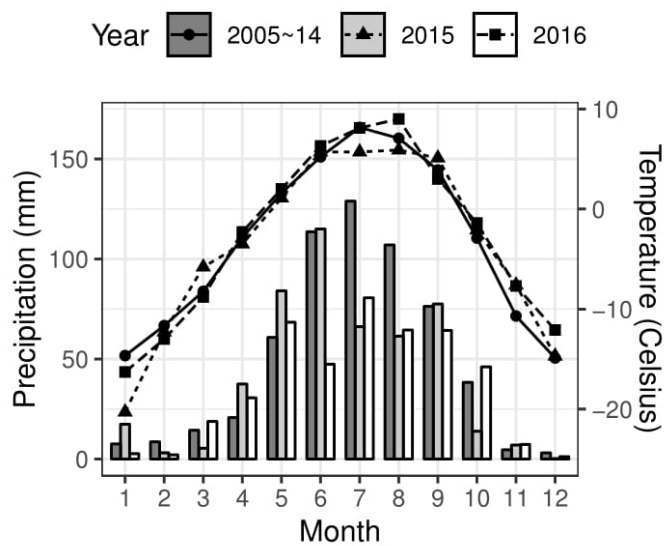






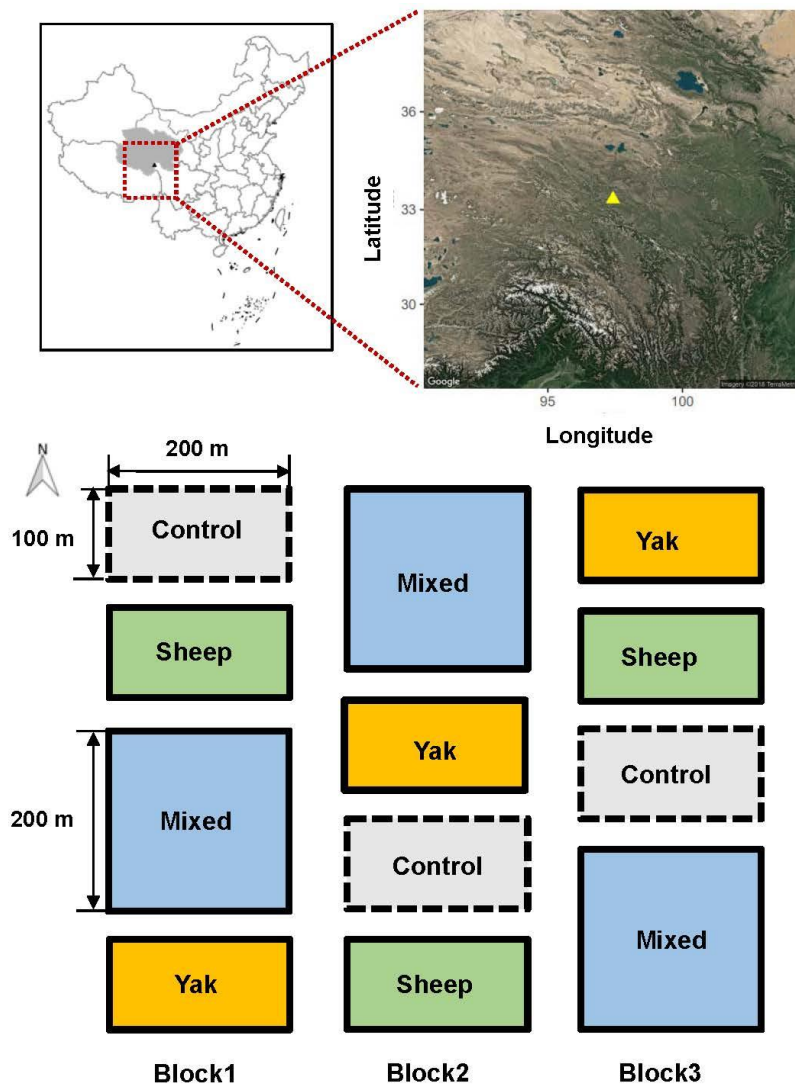
697 Appendix

698 Fig. A1. Monthly precipitation (bars) and mean temperatures (lines) in 2015, 2016 and  
699 average of 10 years (2005–14) in experiment site.



700

701 Fig. A2. Experiment location and block arrangement and experimental layout. The study area  
 702 is located at Zhenqin village, east of Sanjiangyuan Area, central of Qinghai-Tibet Plateau.  
 703 There were three blocks, each block was divided into four plots for treatments. The plots  
 704 were assigned to four treatments: yak, Tibetan sheep, mixed by yak and sheep, and control  
 705 (no grazing).



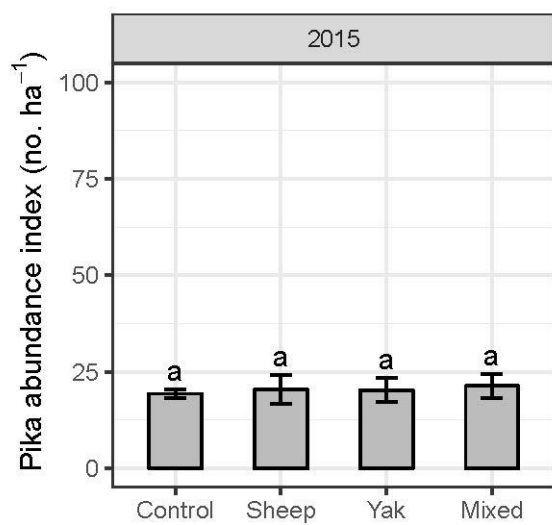
706  
 707



708 Fig. A3. Illustrations showing a standing plateau pika (*Ochotona curzoniae*) (left picture)  
709 and two types of burrows: active burrow (middle picture), dead burrow (right picture).



710  
711 Fig. A4. Pre-grazing pika abundance index in 2015 spring among grazing treatments.  
712 Different small letters above the bars indicate significant differences among treatments ( $P <$   
713 0.05). Error bars represent  $\pm 1$  SE.



714  
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