1	Intraocular Pressure and Cardiovascular Alterations Investigated in Artificial Gravity as a
2	Countermeasure to Spaceflight Associated Neuro-ocular Syndrome
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#### 25 ABSTRACT

26 *INTRODUCTION:* Artificial gravity (AG) has been proposed as a countermeasure to spaceflight 27 associated neuro-ocular syndrome (SANS). The etiology of SANS is unknown, but mimicking 28 gravitational loading through AG may mitigate these negative adaptations. METHODS: 29 Seventeen subjects (9M, 8F, 18-32 years) were analyzed in four experimental conditions: 1) 30 standing, 2) supine, 3) AG with the center of rotation at the eye (AGEC), 4) AG with 2G's at the feet (AG2G). In both AG conditions, subjects were spun to produce 1G at their center of mass. 31 Data included self-administered intraocular pressure (IOP, Tonopen AVIA), heart rate (HR), and 32 33 mean arterial blood pressure (MAP, Omron Series 10). Data were analyzed with repeated 34 measures ANOVAs, with Tukey-Kramer corrections for multiple pairwise comparisons. *RESULTS:* IOP was  $15.7 \pm 1.4$  mmHg (mean  $\pm 95\%$  confidence interval) standing,  $18.8 \pm 1.3$ 35 36 mmHg supine,  $18.5 \pm 1.7$  mmHg in AGEC, and  $17.5 \pm 1.5$  mmHg in AG2G. Postures showed a main effect (F(3,48)=11.0, p<0.0005), with standing significantly lower than supine (p=0.0009), 37 38 AGEC (p=0.002), and AG2G (0.036). Supine, AGEC, and AG2G were not statistically different. 39 HR and MAP were lower in supine compared to all other postures (p=0.002 to p<0.0005), but 40 there were no differences between standing, AGEC, and AG2G. DISCUSSION: IOP in supine 41 and standing was consistent with previous studies, but contrary to our hypothesis, remained 42 elevated in both AG conditions. Cardiovascular parameters and hydrostatic gradients determine 43 IOP, which remain unchanged compared to standing. These results suggest additional influence 44 on IOP from previously unconsidered factors.

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46 NEW AND NOTEWORTHY: This is the first study, to the authors' knowledge, to measure
47 intraocular pressure in short-radius centrifuge artificial gravity (AG), which has been proposed

as a countermeasure to the spaceflight associated neuro-ocular syndrome (SANS). If the etiology
of SANS is related to intraocular pressure, these results have implications for whether or not
short-radius AG can be used to prevent ocular changes relevant to it. Our results indicate this
proposed countermeasure merits further investigation.

#### 54 INTRODUCTION

The spaceflight associated neuro-ocular syndrome (SANS) affects between 38% and 51% of 55 56 long-duration spaceflight flyers (46). Astronauts return from microgravity with globe flattening, 57 choroidal folds, cotton wool spots, optic disc edema, and optic nerve sheath distention. For some, 58 this results in degraded near visual acuity (37, 40). The etiology of SANS is unknown. Elevated 59 intracranial pressure (ICP) was originally hypothesized to cause SANS, as the observed ocular 60 changes resemble those seen with terrestrial idiopathic intracranial hypertension. However, these astronauts do not have the expected corresponding symptoms of high ICP (37, 46). Additionally, 61 62 invasive measures of ICP in parabolic flight microgravity were found to be lower than supine 63 values, suggesting ICP may not be clinically elevated immediately during flight (32).

64

65 Although we do not know the cause of SANS, long-term exposure to the microgravity 66 environment is a contributing factor since these changes do not occur on Earth. It is possible the 67 removal of gravitational force acting on the body is a contributing factor. It has been hypothesized the removal of hydrostatic gradients result in cephalad fluid shifts that change the 68 69 fluid pressures in the eye, cerebral cardiovascular system (specifically cerebral venous 70 congestion), cerebral spinal fluid (CSF) production and/or absorption, and/or compartmentalized 71 subarachnoid CSF pressures in the optic nerve sheath (38, 40, 46, 47). Tissue weight may also be 72 relevant, since it has been shown to alter central venous pressure (7-9). Additional factors 73 unrelated to gravitational loading, such as straining in exercise (40), sodium intake (38), radiation (46), genetic predisposition (51, 52), or carbon dioxide (31), may contribute to SANS, but are not 74 75 unique to the microgravity environment (with the exception of radiation and carbon dioxide on

the International Space Station). Therefore, mimicking gravitational loading through artificial
gravity (AG) may mitigate the development of SANS (16).

78

79 AG, as produced through centrifugation, has been proposed as a "comprehensive" 80 countermeasure for human physiological deconditioning resulting from extended exposure to 81 microgravity (14). To date, AG has not been experimentally validated as a human 82 countermeasure in space (12). Several ground-based studies, however, have shown benefits of AG in other physiological systems (29). In such studies, typically subjects are exposed to 83 84 continuous head-down bed rest as a spaceflight analog, with a subset of subjects also 85 experiencing intermittent (~1 hour per day) AG through centrifugation (typically 1G's of 86 centripetal acceleration at a specified location along the subject's body). In the cardiovascular 87 system, several studies have found AG to beneficially mitigate deconditioning resulting from otherwise continuous bed rest (27). Fewer studies have investigated mitigation of 88 89 musculoskeletal deconditioning (45), but some have shown beneficial effects (30), including 90 functional benefits (43). Head-down bed rest fails to replicate the altered vestibular simulation of 91 microgravity (42). Thus it is not surprising few differences have been found between bed rest 92 and AG+bed rest subjects in metrics of balance, locomotion (13, 39), and neurovestibular 93 function. Nonetheless, AG is a promising countermeasure for sensorimotor deconditioning since, 94 unlike exercise in microgravity, the gravitational stimulation to the vestibular system is 95 reproduced.

96

97 To the authors' knowledge, the only paper to investigate AG and its effects on the eye was a
98 study by Chung in 2001 (10). Three subjects were spun in a long-radius centrifuge to +3 Gz, +2

99 Gz, and -1 Gz. Subjects self-measured intraocular pressure (IOP) using a handheld applanation tonometer. Surprisingly, all AG conditions (+2, +3, -1 Gz) had significantly elevated IOP 100 101 compared to baseline. This indicates hydrostatic gradients under centrifugation were not the 102 primary determinant of IOP, as was hypothesized, since the +Gz conditions would have caused a 103 fluid shift into the lower body. Exposure, though, was limited in duration, with 45 seconds at 104 each experimental condition (10). These short-term effects may not be indicative of ocular status once the eve has reached steady state under AG exposure. A study by Anderson et al. found IOP 105 has a time constant of 5.3 minutes, reaching steady state pressure in approximately 10 minutes 106 107 when going from the seated to the prone position (1). Further, the Chung study used long-radius 108 centrifugation, while current investigations for AG to mitigate SANS have been proposed as 109 short-radius centrifugation, where head-to-toe hydrostatic gradients are larger (14, 21).

110

The objective of this study is to investigate short-radius AG as a potential countermeasure to 111 112 mitigate SANS. The presentation of SANS is highly individualized and develops over 113 microgravity exposure timescales not feasible for Earth-based analog studies. In this research, we 114 investigated whether AG can mitigate changes in IOP, as this would indicate AG could mitigate 115 relevant factors contributing to SANS. In the current study, we quantify IOP with subjects 116 standing, supine, and in two conditions in which the subject is supine but experiencing footward 117 AG loading through centrifugation (Figure 1). When going from standing to supine, it is known 118 that IOP increases by 2-4 mmHg (34, 35, 41). We hypothesized our subjects would show similar 119 changes between standing and supine positioning. Further, we hypothesized IOP in AG would be 120 reduced relative to supine and be similar to the standing posture. The basis of this hypothesis is that short-radius AG produces a cardiovascular environment consistent with standing, with heart 121

rate and blood pressure elevated compared to supine (28), but with a hydrostatic gradient greater than standing (16). Cardiovascular pressures, specifically venous pressure, are a determinant of IOP (6). If AG is able to counteract the ocular physiologic response when going from standing to supine, it would suggest that AG reduces cephalad fluid shifts and venous pressures at eye level and thus may be a potential countermeasure to mitigate SANS in long-duration astronauts.

127

#### 128 METHODS

129 Subjects

130 The protocol was approved by the University of Colorado – Boulder Institutional Review Board, 131 and informed consent was obtained from all subjects. Nineteen subjects (10 male, 9 female) 132 participated in the study. Subjects ranged between 18 and 32 years of age. To participate in the 133 study, there were several screening criteria, however none of the volunteers were excluded due to 134 these. Seated intraocular pressure was exclusionary if above 20 mmHg. Subjects were required 135 to have systolic blood pressure between 100 and 140 mmHg and diastolic blood pressure 136 between 70 and 90 mmHg, weight between 110 and 225 lbs, height at or between 5'2" and 137 6'3", and a capacity to stand upright unsupported for 15 minutes. Subjects were also screened 138 based on ocular history, cardiovascular health, overall health history, vestibular pathology, 139 motion sickness susceptibility, and a history of orthostatic hypotension or other condition that 140 could cause low blood pressure. Any history of allergy to proparacaine hydrochloride (see 141 Protocol) was also exclusionary. Finally, pregnant women or women actively trying to become 142 pregnant were excluded from this study.

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144 Protocol

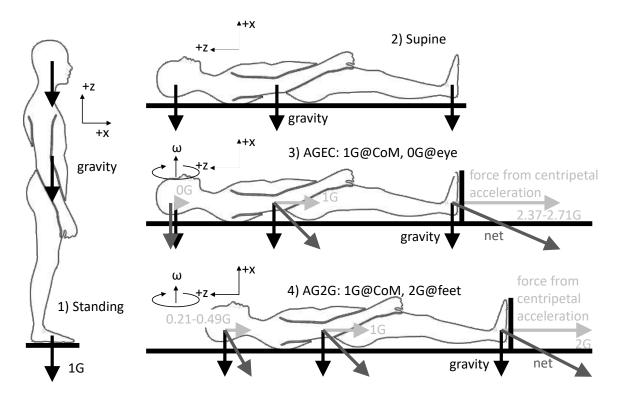
145 The protocol was performed over 2 days: a training day and a testing day. On the training day, 146 subjects were screened to determine eligibility and consented. Anthropometric information 147 (height, weight, chest and waist circumference, and eye and armpit height) were recorded. 148 Subjects were trained on the procedure to collect the IOP measurement, first by video 149 demonstration, then by the trained experimenter, and finally seated in front of a mirror to 150 practice self-administered measurements until the subjects felt comfortable using the device 151 (Tonopen AVIA). Finally, subjects were spun on a short-radius centrifuge (Figure 1) to 152 determine the personalized spin-rate and distance from the center of rotation to achieve the 153 desired AG conditions and practice self-administered IOP measurements under centrifugation. 154

155 Two AG conditions were investigated in the study (Figure 1). In one, the subject was situated 156 such that the eye was placed in the center of rotation (AGEC). In the other, 2G's was produced at 157 the feet (AG2G, causing the eye to be radially displaced from the center of rotation). In both AG 158 conditions, subjects were spun to produce 1G at their center of mass in order to mimic the net 159 loading created while standing. We tested two AG conditions to evaluate positioning and the 160 associated centripetal acceleration loading (i.e., presence of loading at the eye location) and to 161 match future experiments (see Discussion). It was not anticipated that loading on the eye itself in the G<sub>z</sub> direction will alter IOP, since IOP is a function of venous pressure at the eye level and 162 163 drainage mechanisms in the eye (6). This required different centrifuge spin rates and positioning 164 for each AG condition and subject anthropometry. Subjects laid supine, and were safely secured 165 with a four-point harness, on a low-friction bed, such that they would support their centripetal acceleration "weight" in AG against a footplate, mimicking a standing loading. As a result, in 166 AG the subjects also supported the "weight" of the bed upon which they were lying 167

168 (approximately 45 lbs, see Discussion). On the training day, subjects began in the AGEC 169 condition, with the footplate adjusted to ensure the eye position was at the center of rotation. A scale was mounted on the footplate to empirically measure the "weight" resulting from 170 171 centrifugation of the subject and bed. With the centrifuge spinning, the rate was adjusted in one 172 rotation per minute (rpm) increments until the scale matched the subject's body weight and the 173 weight of the bed within 10% (producing 1G at the subject's center of mass). Depending upon 174 subject anthropometry, spin rates ranged from 36-40 rotations per minute (rpm) (mean=37.5 175 rpm), theoretically yielding G-levels at the feet from 2.37-2.71 G's (mean=2.51 G's). Thus, an 176 empirical measurement of the appropriate spin rate, rather than a theoretical approximation, was 177 achieved.

178

This process was repeated for the AG2G condition, with adjustments in subject position as well, if needed. In this condition, the subject's eye location ranged from 8-22.5 inches (mean=12.8 inches) radially displaced from the center of rotation (Figure 1). The required spin rates ranged from 28-33 rpm (mean=30.4 rpm), which theoretically yielded 0.21-0.49 G's (mean=0.33 G's) at the eye location. After the AG conditions had been determined (spin rate and positioning), subjects were given an opportunity to practice taking IOP measurements during centrifugation until they felt comfortable with the procedure.





187 Figure 1: The four experimental postural conditions: 1) Standing creates 1G in the -z-direction (footward) 188 from gravity; 2) Supine removes the -z-direction loading, but still has -x-direction loading from gravity; 3) 189 artificial gravity with the eye-centered (AGEC) has the subject supine, positioned with their eye at the center 190 of rotation of the centrifuge, and spun at a rate to produce 1G of centripetal acceleration (the force from 191 which is in the -z-direction) at the subject's center of mass. This produced 2.37 - 2.71 G at the subject's feet; 192 4) AG2G has the subject positioned and spun at a rate to produce 1G of centripetal acceleration at the 193 subject's center of mass (as in AGEC), but now positioned to produce 2G at the subject's feet. AG2G 194 required the subject's eye to be radially displaced from the centrifuge center of rotation causing a -z-195 direction force from centripetal acceleration with a magnitude ranging from 0.21 - 0.49 G. Of course, in both 196 AG conditions, the -x-direction loading from gravity remains, yielding a net loading in the x-z plane that 197 varies in direction and magnitude along the length of the subject's body.

198

199 On the testing day, subjects experienced each of the four experimental conditions in a

- 200 randomized order: 1) standing, 2) supine, 3) AGEC, and 4) AG2G. In each condition,
- 201 participants first acclimatized for 10 minutes in the posture prior to taking measurements, to

202 allow the cardiovascular system and IOP to equilibrate (1). Subjects remained stationary, feet 203 shoulder width apart, hands at their side. In the AG conditions, subjects supported their weight 204 against a footplate, lying down with feet shoulder width apart. Lighting conditions were 205 standardized in each condition and across all subjects. A mirror was provided approximately 25 206 cm in front of the subject's face to assist in self-administering IOP measurements. In the AG 207 conditions, two-way audio communication was maintained and researchers had video 208 surveillance of the subject to monitor well-being and ensure the protocol was followed correctly. 209 Motion sickness was also reported on a 0-20 scale (0=no symptoms, 20=vomiting) every 5 210 minutes in the AG conditions, though no subjects experienced substantial motion sickness. The 211 total duration of the experiment, including training and testing across both days, was always less 212 than 5 hours per subject.

213

214 Subjects self-administered IOP using their dominant hand to assess that eye (e.g., right handed 215 subjects measured in their right eye). Subjects' corneas were anesthetized using proparacaine 216 hydrochloride 0.5%, just prior to the 10-minute equilibration period. To collect the measure, 217 subjects press the AVIA lightly against the center of their cornea. The AVIA collects the first 10 218 high quality pulsed measurements for a single aggregated reading of IOP. The AVIA reports an estimated accuracy, ranging from 80-95% confidence. Subjects verbally reported the reading to 219 220 the experimenter to record. In each postural condition, subjects collected their own IOP readings 221 twice, one immediately after another. If there was more than a 2 mmHg difference between the 222 two readings, or measurement confidence was less than 90%, additional readings were taken 223 until two readings with 90% confidence or greater were consistent within 2mmHg from each 224 other. After IOP was collected, heart rate (HR), and blood pressure were collected (Omron Series 10) with the cuff on the subject's left arm. Mean arterial blood pressure (MAP) was calculated asthe sum of one third systolic blood pressure and two thirds diastolic blood pressure.

227

228 Statistics

229 To assess the hypothesis that postural condition (standing, supine, AGEC, AG2G) impacted IOP 230 and cardiovascular status (HR and MAP), we performed repeated measures Analysis of 231 Variances (RMANOVA), checking the residuals for normality (Kolmogorov-Smirnov test) and 232 homoscedasticity (Bartlett's test). We then performed post-hoc pairwise t-tests between each of 233 the four conditions, with Tukey-Kramer corrections for multiple comparisons (6 total 234 comparisons). Finally, exploratory analysis was performed to identify potential differences 235 between males and females, effects of subject height and body-mass index, and the presentation 236 order of the four conditions. All tests were performed as two-tailed tests, with the level of 237 significance set to  $\alpha = 0.05$ . Data processing and statistical analysis was performed in MATLAB 238 2017a.

239

240 Some individual IOP readings were believed to be erroneous due to any number of factors, 241 including operator experience, misalignment, and application pressure of the device. To reduce 242 the impact of erroneous readings on the aggregated single measurement of IOP within each 243 postural condition, we used a robust averaging approach. Individual readings differing from the 244 median of all readings by more than 5 mmHg were deemed erroneous and excluded (11.6% of 245 individual readings were excluded). This resulted in an average of 2.7 readings included per 246 subject per condition, which were then averaged to yield a single IOP measurement for each 247 subject in each condition. This approach balanced removing erroneous readings and utilizing

multiple readings to efficiently yield a central estimate with high precision and accuracy. As
elaborated upon in the Results section, alternative criteria to excluding erroneous IOP readings
did not impact the conclusions.

251

After fitting the RMANOVA, if the standardized residuals exceeded three, the subject was considered an outlier and was removed from analysis. For completeness, we also present the analysis with all subjects included and found the overall conclusions were very similar, with the exception of the significance of one pairwise comparison (detailed in the Results).

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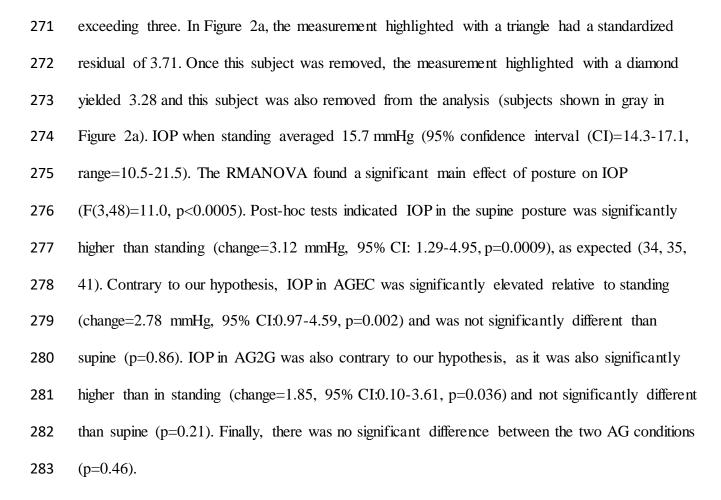
HR and MAP measurements were missing for two subjects in the AGEC condition and for one subject in the AG2G condition due to hardware malfunction, presumably caused by cuff misalignment. While HR and MAP measurements did exist for these subjects in the remaining postural conditions, to be conservative, we excluded these subjects entirely from our RMANOVA statistical analyses for these parameters (n = 17). Data for these subjects in the conditions for which it exists is shown (gray shapes), in Figure 3a and is consistent with other subjects.

264

#### 265 **RESULTS**

266 Intraocular pressure remains elevated in AG

The IOP in each of the four postural conditions is shown in Figure 2. On average 3.05 measurements were taken to reach the stopping criteria (max of 10) with a similar number in each postural condition (standing: 2.9, supine: 2.8, EC2G: 3.4, AG2G: 3.05). When fitting a RMANOVA, two subjects (1M/1F) had measurements that yielded standardized residuals



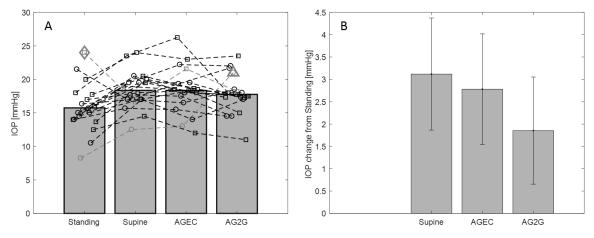




Figure 2: IOP response in standing, supine, and the two AG conditions. Panel A shows the raw data for each subject (squares=females, circles=males). The bold shapes highlight measurements with large standardized residuals from the initial RMANOVA model fit ( $\Delta$ =3.71,  $\diamond$ =2.99 originally, but when the data of the subject with the first outlier is removed, increased to 3.28). Each subject is shifted laterally slightly to avoid

overlapping. The bars represent means in each condition (including all measurements). Panel B shows the change in IOP from the standing condition (positive values correspond to an increase in IOP relative to standing). The bars depict the average change across subjects and the error bars are 95% confidence intervals (excluding two outlier subjects), without any correction for multiple comparisons.

293

To ensure our conclusions were not due to excluding the subjects with outliers, we re-ran the RMANOVA again and with all 19 subjects and found a nearly identical outcome. The one exception was a pairwise comparison, in which the IOP in AG2G was no longer significantly different from standing (p=0.15). If only the one subject with the largest outlier was excluded, the statistical outcome was the same as including all subjects.

299

300 Furthermore, we considered alternative approaches to identifying erroneous IOP readings for 301 removal. For example, we repeated the analysis with a conservative approach in which all 302 readings were included in each average (i.e., no readings removed as erroneous). This tended to 303 increase the IOP measurements (~1mmHg for each condition) as erroneous readings tended to err with high values. However, in our statistical analysis, we found identical results (the data for 304 305 the same two subjects were found to be outliers and excluded and the pairwise differences that 306 were significant were identical). Alternatively, we considered a more aggressive approach in which readings that differed from the median by more than 3 mmHg were excluded prior to 307 averaging (20.1% of individual readings were excluded, compared to 11.6% for our previous 308 309 approach of ±5 mmHg). Again, the same subjects' data were found as outliers and the statistical 310 analysis yielded identical results. It is possible that either good readings were excluded or that 311 erroneous readings were still included. These methods, however, center on the median since outlier readings would heavily skew an average, and therefore would not be resilient to 312

erroneous measurements. Using this method, confidence can be gained since the statisticalconclusions do not deviate with both conservative and liberal analysis methodologies.

315

Thus, we remain confident in our primary conclusions that 1) as expected, IOP was elevated in supine relative to standing, and 2) contrary to our hypothesis, IOP was not reduced in either AG condition relative to supine and was typically elevated relative to standing.

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#### 320 Heart rate and blood pressure respond to AG

In summary, HR (Figure 3) and MAP (Figure 4) both responded as expected in response to thepresence of hydrostatic gradient, or lack thereof, for each postural condition.

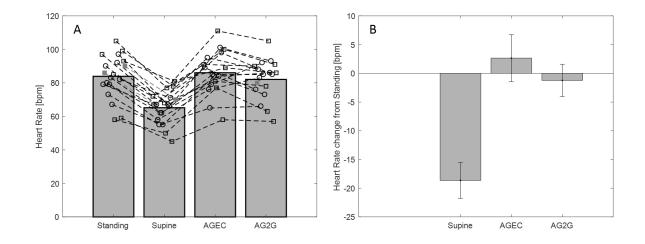




Figure 3: Heart rate (HR) response in standing, supine, and the two AG conditions. Panel A shows the raw data for each subject (squares=females, circles=males). The filled gray shapes are for the two subjects in which there was missing data for another condition(s). Each subject is shifted laterally slightly to avoid overlapping. The bars represent means in each condition (including all measurements). Panel B shows the change in HR from the standing condition (positive values correspond to an increase in HR relative to standing). The bars depict the average change across subjects and the error bars are 95% confidence intervals (excluding two subjects with missing data), without any correction for multiple comparisons.

With a RMANOVA, we found a significant main effect of posture on HR (F(3,48)=59.1,332 333 p < 0.0005). With Tukev-Kramer corrections for multiple comparisons, as expected, we found the 334 standing posture to have significantly higher HR than supine (change=18.6 bpm, 95% CI: 14.1-23.2, p<0.0005). Relative to supine, HR was significantly higher for AGEC (change=21.3 bpm, 335 336 95% CI: 15.8-26.8, p<0.0005) and AG2G (change=17.4 bpm, 95% CI: 12.3-22.5, p<0.0005). 337 There was no difference in HR between any of the postural conditions in which there was a hydrostatic gradient (standing vs. AGEC: p=0.59, standing vs. AG2G: p=0.82, AGEC vs. AG2G: 338 339 p=0.17).

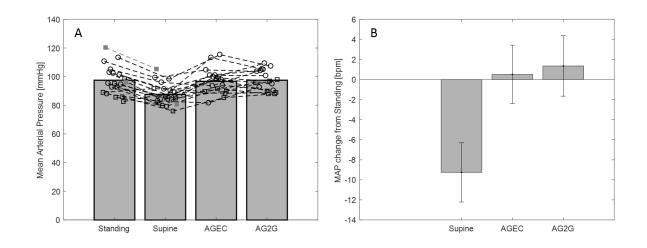




Figure 4: Mean arterial pressure (MAP) response in standing, supine, and the two AG conditions. Panel A shows the raw data for each subject (squares=females, circles=males). The filled gray shapes are for the two subjects in which there was missing data for another condition(s). Each subject is shifted laterally slightly to avoid overlapping. The bars represent means in each condition (including all measurements). Panel B shows the change in MAP from the standing condition (positive values correspond to an increase in HR relative to standing). The bars depict the average change across subjects and the error bars are 95% confidence intervals (excluding two subjects with missing data), without any correction for multiple comparisons.

349	The MAP response follows the same pattern as HR. A RMANOVA found a significant main
350	effect of posture on MAP (F(3,48)=17.0, p<0.0005). With Tukey-Kramer corrections for
351	multiple comparisons, the standing posture had significantly higher MAP than supine
352	(change=9.3 mmHg, 95% CI: 4.9-13.6, p<0.0005). Relative to supine, MAP was significantly
353	elevated for AGEC (change=9.8 mmHg, 95% CI: 3.7-15.9, p=0.002) and AG2G (change=10.6
354	mmHg, 95% CI: 5.3-15.9, p<0.0005). Again, there was no difference in MAP between any of the
355	postural conditions in which there was a hydrostatic gradient (standing vs. AGEC: p=0.99,
356	standing vs. AG2G: p=0.82, AGEC vs. AG2G: p=0.95).
357	

358 Exploratory Analysis

We performed some post-hoc exploratory analysis to identify potential effects worth further investigation (n = 19). There were no differences due to gender (despite not applying a correction for multiple comparisons, as this analysis was exploratory). Unpaired t-tests in each postural condition on the IOP values for males vs. females were each not significant (standing: p=0.96, supine: p=0.63, AGEC: p=0.96, AG2G: p=0.23). Similarly, there were no gender differences in the *changes* in IOP from standing to any other postural condition (standing vs. supine: p=0.73, Standing vs. AGEC (p=0.99), standing vs. AG2G (p=0.35)).

366

Anthropometry was not found to be a relevant factor. Subject height was not significantly correlated with IOP in each condition (standing: p=0.18, supine: p=0.11, AGEC: p=0.18, AG2G: p=0.80). Similarly, an individual's change in IOP from standing to each of the other postural conditions was not significantly correlated with their height, (supine vs. standing: p=0.92, AGEC vs. standing: p=0.94, AG2G vs. standing: p=0.30). Each subject's body-mass index (BMI) was

calculated as weight [kg] / sqrt(height [m]), which ranged from 20.1 to 34.5 kg/m<sup>2</sup> (mean=24.0). 372 BMI was not significantly correlated with IOP in each postural condition (standing: p=0.28, 373 374 supine: p=0.61, AGEC: p=0.43, AG2G: p=0.93). The correlations between BMI and *changes* in 375 IOP relative to standing were not significant for supine vs. standing (p=0.12) and AG2G vs. 376 standing (p=0.35). However, there was a significant, positive correlation between BMI and 377 change in IOP from AGEC vs. standing (coefficient=0.46 mmHg/(kg/m<sup>2</sup>), R=0.58, p=0.0095). 378 This corresponds to subjects with higher BMIs having a greater increase in IOP in AGEC 379 compared to when standing. Although IOP was not correlated to BMI, this finding should be 380 investigated in future studies since this could imply that tissue weight is a factor in causing 381 elevated IOP under centrifugation, as it has been shown to influence cardiovascular parameters 382 in microgravity (7). We reiterate, though, that since this analysis was exploratory, we did not 383 apply any correction for the multiple statistical tests being performed.

384

Finally, we assessed whether the order of the presentation of the postural conditions impacted IOP. Repeated contact to the cornea required for each measurement might lead to altered IOP. A RMANOVA with IOP as the dependent variable and presentation order as a categorical independent variable (to allow for any form of an order effect) found no significance (p=0.60).

#### **390 DISCUSSION**

This study measured IOP in AG and reports findings contrary to our initial hypothesis. Despite major differences between our experimental design and that investigated by Chung, our results are consistent with those found previously in very short duration AG exposure (10). We hypothesized IOP during AG would be consistent with standing values and below those in supine 395 due to its dependency on cardiovascular parameters and hydrostatic gradients. This was not 396 shown in the data; IOP in both AG conditions was consistent with supine and was statistically 397 elevated from standing. But, cardiovascular status in AG was consistent with standing values and 398 elevated from supine. This suggests IOP in AG is influenced by previously unconsidered factors. 399

400 The study yielded results in the standing and supine position consistent with the literature, 401 indicating subjects were able to self-administer IOP measures accurately. IOP in the standing position was  $15.7\pm1.4$  mmHg, and  $18.8\pm1.7$  mmHg in the supine position, consistent with 402 403 expected values (35, 41). Further, the change in IOP going between standing and supine 404 positions was consistent with other studies. The change in IOP going from seated to supine has 405 been reported to be approximately 2 mmHg (34, 41). Linder et al. also report typical IOP 406 changes for subjects going from seated or standing to supine are between 2-4 mmHg (35). 407 Since the self-administered IOP measures in standing and supine and in the transition between 408 these postures match published values, it is likely that measurements in AG were reliable.

409

410 The TonoPen AVIA is an accurate device to measure changes in IOP with changes in posture. 411 Compared to Goldmann applanation tonometry, the AVIA measures are similar (on average 0.5 412 mmHg higher in the seated position) with minimal bias on repeated measures (0.1 mmHg in the 413 seated position and 0 mmHg in the supine position) (44). In another study involving 180 eyes (50 414 with glaucoma), the AVIA was found to be within 3 mmHg in 85.2% of subjects (5). A device 415 that operates on a similar principle has also been shown to be accurate with novice users under 416 self-administration, as compared to both a trained operator-made measurement (82% of measures 417 within 3 mmHg) and Goldmann applanation tonometry (75% of measures within 3 mmHg) (3).

418 Self-tonometry has been performed in microgravity using automated devices (11, 23, 24). The 419 TonoPen XL, which uses the same technology as the AVIA but averages fewer readings, has 420 also been used extensively in spaceflight (46). In our evaluation of the device, the readings by a 421 novice user were within 1mmHg of the trained operator's measures. In the experiment, subjects' 422 self-administered readings were consistent with those taken by a trained operator during the 423 screening process. Auditory cues were also used by the experimenter to verify in real-time the device was performing the measures properly. Therefore, it is unlikely that use of the AVIA or 424 425 aspects of self-administration would have led to erroneous readings.

426

The AG conditions did not differ significantly from the supine values, but they were slightly
lower. IOP was 18.8±1.3 mmHg in supine, 18.5±1.7 mmHg in AGEC, and 17.5±1.5 mmHg in
AG2G. Since both AG conditions were statistically greater than standing, though, it is unlikely
these results did not find significance due to a small sample size or small effect sizes.

431

Furthermore, subjects did not demonstrate issues performing IOP measurements under AG 432 433 conditions. The number of readings taken to collect consistent values was similar in all four 434 conditions. Rarely, subjects indicated arm fatigue while counteracting the forces from centripetal 435 acceleration and were instructed to rest their arm by their side before taking another IOP reading. 436 Subjects also demonstrated they retained their skill in self-administering between the training 437 and testing day. The experimenter monitored subjects with a live video feed to ensure they were 438 not straining or holding their breath while taking the readings, which could have increased IOP. 439 The operator also remained in communication with the subjects during all phases of the 440 experiment, requiring them to report how they felt every 5 minutes during centrifugation.

441 Subjects reported being comfortable making the measures in the AG conditions and did not 442 report being anxious about centrifugation. Subject anthropometry (i.e., height, weight, and BMI), 443 and gender were generally not statistically associated with changes in IOP. Therefore, it is 444 unlikely these factors contributed to finding elevated IOP in AG.

445

446 In short radius centrifugation, there is a more extreme hydrostatic gradient than when standing 447 (Figure 5). Within a closed fluid system, with a given quantity of fluid and assuming a fixed 448 volume, there is a hydrostatic indifference point above (relative to the direction of gravity) which 449 pressures are lowered and below which pressures are elevated (7). The hydrostatic pressure is 450 dependent on the density of the fluid, the position relative to the hydrostatic indifference point, 451 and the gravitational force acting on the fluid. In short-radius AG, our "G level" is not uniform 452 across the body, increasing linearly with distance from the center of rotation. Thus, the gravity gradient causes a nonlinear hydrostatic gradient, increasing with the square of the radius. As a 453 454 result, we expected venous pressures at eye level to be lower in AG than in standing, 455 subsequently causing lower IOP in AG, since venous pressure at eye level determines IOP (2, 22,

457

456

35).

Although we did not quantify venous pressures, during AG the experimenters noted jugular venous distention while supine, followed by collapse through the spin-up phase in both AG conditions. This suggests the drainage pathway was consistent with that when standing, likely draining through the vertebral venous plexus, which occurs when venous pressures reach the point of hydrostatic indifference (26).

464 Our cardiovascular measurements were consistent with anticipated findings in AG. Iwase (28) showed that HR and MAP were elevated above supine values in AG. HR was increased in the 465 466 AG conditions compared to supine, likely due to the baroreflex response caused by the fluid shift 467 into the lower body. MAP was also likely elevated due to increased peripheral resistance with the 468 fluid shift into the legs. Diaz (21) similarly reported total peripheral resistance and HR were 469 elevated in response to centrifugation compared to a supine resting condition. These HR and 470 MAP responses in short-radius AG matched ours, therefore similar cardiovascular mechanisms 471 were likely activated.

472

473 Given the exclusion of each of these factors on IOP in AG, alternative hypotheses must be474 considered.

475

#### 476 *Alternative Hypotheses*

It is well established through the Goldmann equation that IOP is dependent on aqueous humor production and outflow facility through the uveouscleral and trabecular mechanisms. The latter is dependent on episcleral venous pressure (6). Further, increased choroidal blood volume may also influence IOP (46). Therefore, we propose four potential mechanisms, three by which venous pressures could be elevated in AG (due to vasoconstriction in the legs, altered venous return due to leg muscles, or increased thoracic pressures due to tissue weight), and one in which choroidal blood volume could be elevated in AG.

484

485 The gravity gradients during AG cause an extenuated hydrostatic gradient compared to standing 486 throughout the cardiovascular system, which could influence IOP. Specifically, the linear gravity 487 gradient in AG (proportional to radius) yields a quadratic hydrostatic gradient (proportional to 488 the square of the radius). While the two AG conditions both have a hydrostatic gradient and 1G 489 at the subject's center of mass (Figure 5), AGEC has the largest gravity gradient caused by the 490 higher required spin rate and change in distance from center of rotation to the feet, resulting in 491 2.37-2.71 G's (mean=2.51 G's) at the feet. In contrast, the standing condition has no gravity 492 gradient (Figure 5).

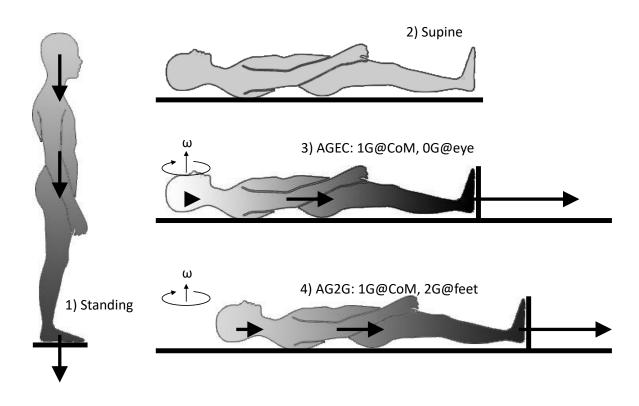




Figure 5: Pictorial representation of the longitudinal gravity gradients and hydrostatic pressure gradients of our four experimental postural conditions. For each condition, the longitudinal "gravity" loading at the eye, center of mass (CoM) and feet are shown with arrows. The resulting hydrostatic pressure gradients along the subject's longitudinal axis are shown with shading (darker gray corresponds to higher pressures).

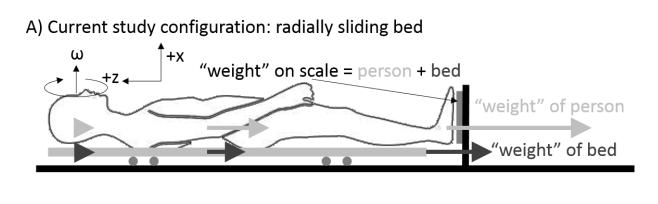
Particularly for shorter subjects (i.e., those with higher BMI for a given weight), AGEC can havelarge gravity gradients (0 G's at the eye and up to 2.71 G's at the feet). This would lead to the

501 pooling of blood in the legs to a greater extent than when standing. Cardiovascular regulation is 502 governed by arterial baroreceptors in aortic arch, carotid sinus, and lungs to ensure arterial 503 pressures are maintained. In AG, peripheral resistance is increased compared to supine resting 504 conditions (21, 28). The body's regulatory systems in response to pooled blood in the legs could 505 have increased systematic vasoconstriction, leading to unanticipated elevated venous pressures 506 compared to supine. We also note that when standing there is 1 G of longitudinal loading at the 507 eye location (Figure 5). In our short-radius AG conditions (Figure 5), at the eye there was either no loading (AGEC) or reduced loading (AG2G which produced 0.21-0.49 G's, mean=0.33 G's at 508 509 the eye depending upon subject anthropometry). If the loading at the eye itself impacts IOP by 510 some mechanism, it is possible these longitudinal loads were insufficient to reduce the IOP.

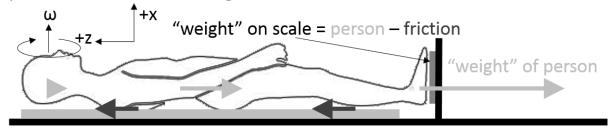
511

512 In the AG conditions of this study, the bed on which subject lay was on rails which allowed it to 513 move radially with minimal friction (Figure 6A). This required subjects to support their own 514 centripetal acceleration "weight" against the footplate, similar to standing. When supporting 515 weight, the muscles in the legs are engaged, providing a similar experimental condition to 516 standing, rather having the subjects supporting no weight under centrifugation. However, it also 517 required subjects to support the centripetal acceleration "weight" of the bed (approximately 45 518 lbs). This is conceptually similar to supporting a heavy backpack when standing (Figure 6A). 519 This required muscle activation to engage the lower body and maintain posture, even more so 520 than in the standing posture. When engaged, the muscles in the legs alter blood flow and venous 521 return, both through driving metabolic needs or by providing contractile resistance to outflow 522 (20, 21), making it desirable to achieve consistent muscle contraction with the standing 523 condition. However, the additional "weight" of the bed could have altered venous return, beyond standing, leading to raised IOP in the AG conditions. Muscle contraction also influences
parasympathetic withdrawal and sympathetic activity, which may also cause changes in heart
rate and blood pressure (48).

527



B) Potential alternative configuration: fixed bed



frictional forces between person's posterior and bed (unknown)

528

529 Figure 6: Configuration of the subject and bed in AG conditions. Panel A shows the configuration used in the 530 current study. The bed (light gray) could move radially on rails (shown by dark gray circles between the bed 531 and fixed centrifuge platform (black). This required the subject to support their own "weight" from 532 centripetal acceleration, as well as that from the bed, against the footplate. A scale between their feet and the 533 footplate reads this combined "weight". Panel B shows an alternate configuration in which the bed is fixed to 534 the centrifuge platform. In this case, the subject does not support the weight of the bed. However, frictional 535 forces between the subject's posterior and the bed, which are unknown, support at least some of the subject's 536 "weight" from centripetal acceleration.

538 A future experiment could secure the bed radially such that subjects would not have to support it 539 (Figure 6B). However, we note that friction between the bed and the subject's posterior would at 540 least partially support the "weight" of the subject radially. In this configuration, the required 541 muscle engagement is unknown and would still not match that when standing. If subjects do not 542 fully support their own weight, altering muscle activation, this would yield a notable difference 543 in venous return between AG and standing conditions. Yet another alternative experimental configuration would be to have the bed side radially, but spin at a rate to create only the subject's 544 545 weight on the scale (as opposed to the subject's weight and the bed's weight, like we did here). 546 This approach would match the standing condition in terms of required supported 547 weight. Although a consistent muscle activation of the legs would have been achieved, the 548 hydrostatic gradients within the body's fluids would not have been consistent with 1G at the 549 subject's CoM.

550

551 Another important factor to consider is the effect of tissue weight, particularly in this Earth-552 based simulation of how AG would be implemented in microgravity. As shown in Figure 1, the 553 forces acting on the body in AG on Earth are the force from centripetal acceleration and 554 gravitational force. Combined, they create the net gravito-inertial force (GIF), which changes in 555 magnitude and angle with increasing distance from the center of rotation. In other studies with 556 increased acceleration negative x-direction (i.e., compressing the chest), central venous pressure 557 increases (7). Central venous pressure also increases with increased body weight (7, 19). 558 Similarly, when transitioning into microgravity, central venous pressure decreases compared to 559 supine posture on Earth due to offloading of the chest compartment (7). In our study, the net GIF 560 in AG could increase thoracic compression, increasing venous pressure, and subsequently

561 elevating IOP. This effect, though, would not occur during AG in microgravity, since only the 562 force of centripetal acceleration would be acting on the body, producing thoracic loading similar 563 to standing on Earth. Future ground-based experiments could disambiguate the effect of tissue 564 weight by positioning subjects in the lateral recumbent position under centrifugation.

565

IOP is also very sensitive to increases in choroidal blood volume. An increase of 20 µL of blood volume could lead to increases in IOP of 20 mmHg (46). The choroid does not have autoregulatory mechanisms. Increased blood volume could be caused by increased arterial or venous blood flow at eye level (46). Systemic vasoconstriction, which is likely consistent with subjects in AG, increases choroidal blood flow (25). But, since we did not measure choroidal blood flow and choroidal volume, advanced imaging, such as optical coherence tomography, is required to investigate this hypothesis (1, 2).

573

### 574 Implications for Spaceflight Associated Neuro-ocular Syndrome

The measured changes in IOP in each experimental condition are not clinically significant (i.e. they were consistent with those produced when going from standing or seated postures to supine postures). The importance of these findings, though, is that AG did not mitigate the increase in pressure in the supine position, which is caused by a fluid shift dominated by changes in hydrostatic gradients. These same factors influence IOP in microgravity, causing IOP to be initially elevated on orbit.

581

These findings are informative for future centrifuge AG designs in microgravity. Although thisinvestigation involved short-term exposure to AG, this is consistent with proposed future

584 implementations (12). Continuous AG created by a large-radius, rotating spacecraft is typically 585 deemed infeasible due to the cost and engineering challenges. Prospective designs include short-586 radius centrifuges spun within the spacecraft modules or powered by human exercise to provide 587 AG stimulus to the body (15, 21). The size and gravity gradients of these designs are similar to 588 the centrifuge used in this study. In the near-term, AG could only be implemented in 589 microgravity as an intermittent countermeasure to physiological deconditioning. Studies have 590 demonstrated the effectiveness of AG for cardiovascular deconditioning when administered 591 intermittently (28, 29, 49). Our findings, though, suggest short-radius centrifugation does not 592 provide the same degree of intermittent alteration to the eye as it does to the cardiovascular 593 system.

594

595 Not investigated in this research, though, was the effect of AG on ICP. Mechanisms by which 596 ICP could contribute to SANS, while not necessarily being elevated to clinically relevant levels 597 (such as in idiopathic intracranial hypertension) have been proposed. Mader et al. suggests local 598 elevation of compartmentalized cerebrospinal fluid in the optic nerve in microgravity could 599 create loading at the back of the eye (33, 36). Lawley et al. hypothesized that ICP may be mildly, 600 chronically elevated in microgravity compared to aggregated ICP during typical activities on 601 Earth, which are dominated by upright and seated postures (where ICP is lowest) (32). A change 602 in the translaminar pressure gradient across the lamina cribrosa has been cited as one potential 603 etiology for SANS (4, 50). IOP in microgravity increases initially, but then returns to pre-flight 604 values (46). Therefore, future research should aim to quantify the effect of AG on both ICP and 605 IOP, simultaneously.

607 Future bed rest studies that include an AG countermeasure are scheduled to begin at the envihab 608 research laboratory facilitated by the German Aerospace Center (DLR) and sponsored by NASA. 609 Previous studies up to 70 days in head-down tilt bed rest performed by NASA did not find 610 results consistent with SANS symptoms (17, 18). Notably, IOP was continuously elevated during 611 bed rest, unlike in microgravity, and none of the SANS symptoms were reported, such as a shift 612 in vision, globe flattening, or papilledema. The envihab study will include 60 days of bed rest 613 with intermittent AG to investigate its effectiveness to mitigate physiologic changes thought to 614 contribute to SANS. This study, though, does not currently plan to measure IOP during 615 centrifugation, but rather pre- and post-exposure. Chung found no statistical difference when 616 standing between pre- and post- centrifugation, but their centrifuge exposure was brief and did 617 not include subjects engaged in bedrest. The AG2G condition (i.e., creating 1G of centripetal 618 acceleration at the subject's center of mass and 2G's at the feet) used here matches the proposed 619 envihab AG protocol. Our results will provide an additional data set which can be compared to 620 the findings from long-duration bed rest with intermittent AG.

621

#### 622 CONCLUSION

Intraocular pressure and cardiovascular parameters were measured in human subjects while standing, supine, and in AG. Two AG conditions were investigated while maintaining 1G at the center of mass: with the eye at the center of rotation, and with the eye radially displaced from the center of rotation with 2G's at the feet. Our results indicate that IOP in AG was maintained at supine levels, while HR and MAP were maintained at standing levels. Given the dependency of IOP on cardiovascular parameters and hydrostatic gradients, these results were contrary to our initial hypothesis. We propose that either venous pressures were elevated or choroidal blood volume was increased in AG beyond what was expected, due to systemic vasoconstriction in the legs, altered venous return due to leg muscles, increased thoracic pressures due to tissue weight, and/or elevated chroroidal blood flow. Future AG investigations should evaluate these potential mechanisms and should also investigate changes in ICP in conjunction with these measures. Our results inform the potential for future implementation of AG in microgravity, which will likely be an intermittent, short-term exposure of centrifugation.

636

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643

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- 787

#### 789 FIGURE LEGENDS

Figure 1: The four experimental postural conditions: 1) Standing creates 1G in the -z-direction 790 791 (footward) from gravity; 2) Supine removes the -z-direction loading, but still has -x-direction 792 loading from gravity; 3) artificial gravity with the eye-centered (AGEC) has the subject supine, 793 positioned with their eye at the center of rotation of the centrifuge, and spun at a rate to produce 794 1G of centripetal acceleration (the force from which is in the -z-direction) at the subject's center of mass; 4) AG2G has the subject positioned and spun at a rate to produce 1G of centripetal 795 796 acceleration at the subject's center of mass (as in AGEC), but now positioned to produce 2G at 797 the subject's feet. AG2G required the subject's eye to be radially displaced from the centrifuge 798 center of rotation causing a -z-direction force from centripetal acceleration. Of course, in both 799 AG conditions, the -x-direction loading from gravity remains, yielding a net loading in the x-z 800 plane that varies in direction and magnitude along the length of the subject's body.

801

802 Figure 2: IOP response in Standing, Supine, and the two AG conditions. Panel A shows the raw 803 data for each subject (squares=females, circles=males). The bold shapes highlight measurements 804 with large standardized residuals from the initial RMANOVA model fit ( $\Delta$ =3.71,  $\Diamond$ =2.99 805 originally, but when the data of the subject with the first outlier is removed, increased to 3.28). 806 Each subject is shifted laterally slightly to avoid overlapping. The bars represent means in each 807 condition (including all measurements). Panel B shows the change in IOP from the Standing 808 condition (positive values correspond to an increase in IOP relative to Standing). The bars depict 809 the average change across subjects and the error bars are 95% confidence intervals (excluding 810 two outlier subjects), without any correction for multiple comparisons.

812 Figure 3: Heart rate (HR) response in Standing, Supine, and the two AG conditions. Panel A shows the raw data for each subject (squares=females, circles=males). The filled gray shapes are 813 814 for the two subjects in which there was missing data for another condition(s). Each subject is 815 shifted laterally slightly to avoid overlapping. The bars represent means in each condition 816 (including all measurements). Panel B shows the change in HR from the Standing condition 817 (positive values correspond to an increase in HR relative to Standing). The bars depict the average change across subjects and the error bars are 95% confidence intervals (excluding two 818 819 subjects with missing data), without any correction for multiple comparisons.

820

821 Figure 4: Mean arterial pressure (MAP) response in Standing, Supine, and the two AG 822 conditions. Panel A shows the raw data for each subject (squares=females, circles=males). The 823 filled gray shapes are for the two subjects in which there was missing data for another 824 condition(s). Each subject is shifted laterally slightly to avoid overlapping. The bars represent 825 means in each condition (including all measurements). Panel B shows the change in MAP from 826 the Standing condition (positive values correspond to an increase in HR relative to Standing). 827 The bars depict the average change across subjects and the error bars are 95% confidence 828 intervals (excluding two subjects with missing data), without any correction for multiple 829 comparisons.

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Figure 5: Pictorial representation of the longitudinal gravity gradients and hydrostatic pressure
gradients of our four experimental postural conditions. For each condition, the longitudinal
"gravity" loading at the eye, center of mass (CoM) and feet are shown with arrows. The resulting

hydrostatic pressure gradients along the subject's longitudinal axis are shown with shading(darker gray corresponds to higher pressures).

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837 Figure 6: Configuration of the subject and bed in AG conditions. Panel A shows the configuration used in the current study. The bed (light gray) could move radially on rails (shown 838 839 by dark gray circles between the bed and fixed centrifuge platform (black). This required the 840 subject to support their own "weight" from centripetal acceleration, as well as that from the bed, 841 against the footplate. A scale between their feet and the footplate reads this combined "weight". 842 Panel B shows an alternate configuration in which the bed is fixed to the centrifuge platform. In this case, the subject does not support the weight of the bed. However, frictional forces between 843 844 the subject's posterior and the bed, which are unknown, support at least some of the subject's "weight" from centripetal acceleration. 845