1 Human Manual Control Precision Depends on Vestibular Sensory Precision 2 and Gravitational Magnitude

Running title: Manual Control Depends on Vestibular Precision and Gravity

5 Abstract

6 Precise motion control is critical to human survival on Earth and in space. Motion 7 sensation is inherently imprecise, and the functional implications of this 8 imprecision are not well understood. We studied a "vestibular" manual control 9 task in which subjects attempted to keep themselves upright using a rotational 10 hand controller (i.e., joystick) to null out pseudo-random, roll tilt motion 11 disturbances of their chair in the dark. Objective 1: Study the relationship 12 between intersubject differences in manual control performance and sensory 13 precision, determined by measuring vestibular perceptual thresholds. Objective 14 2: Examine the influence of altered gravity on manual control performance. 15 Subjects performed the manual control task while supine during short-radius 16 centrifugation, with roll tilts occurring relative to centripetal accelerations of 0.5, 17 1.0 and 1.33 Gc (1 Gc=9.81 m/s²). Roll-tilt vestibular precision was quantified 18 using roll-tilt vestibular direction-recognition perceptual thresholds, the minimum 19 movement that one can reliably distinguish as leftward vs. rightward. A significant 20 intersubject correlation was found between manual control performance (defined 21 as the standard deviation of chair tilt) and thresholds, consistent with sensory 22 imprecision negatively affecting functional precision. Furthermore, compared to 23 1.0 Gc manual control was more precise in 1.33 Gc (-18.3%, p=0.005) and less 24 precise in 0.5 G_c (+39.6%, p<0.001). The decrement in manual control 25 performance observed in 0.5 Gc and in subjects with high thresholds suggest

26	potential risk factors for piloting and locomotion, both on Earth and during human
27	exploration missions to the Moon (0.16 G) and Mars (0.38 G).

28 New & Noteworthy

- 29 The functional implications of imprecise motion sensation are not well
- 30 understood. We found a significant correlation between subjects' vestibular
- 31 perceptual thresholds and performance in a manual control task (using a joystick
- 32 to keep their chair upright), consistent with sensory imprecision negatively
- 33 affecting functional precision. Furthermore, using an altered-gravity centrifuge
- 34 configuration, we found that manual control precision was improved in
- 35 "hypergravity" and degraded in "hypogravity". These results have potential
- 36 relevance for postural control, aviation, and spaceflight.

37

38 Introduction

39 Precise and accurate motion control is important for survival, such as in older 40 individual climbing stairs in the dark or pilots landing an aircraft or spacecraft. 41 Sensorimotor responses and perception are inherently imprecise because of 42 noise in neural systems (Faisal et al. 2008). Imprecision includes trial-by-trial and 43 temporal variations in sensations, as opposed to overall systematic errors such 44 as bias. In this study, we aimed to focus on imprecision arising in the vestibular system. The vestibular system includes the semicircular canals, which sense 45 46 angular rotation, and the otolith organs, which sense the combination of inertial 47 acceleration and gravity. While other sources of sensory information play a role 48 in motion sensation in the dark (Mittelstaedt 1996; Valko et al. 2012), the 49 predominant role of the vestibular organs has been demonstrated for whole-body 50 motion perception with the head held so that the neck is straight (Valko et al. 51 2012). Thus, we use the term "vestibular," while recognizing that our self-motion 52 perception and control tasks involve other sensory contributors to some degree. 53 A number of studies have measured the precision of vestibular responses at 54 varying levels (i.e. neuronal, perceptual, motor). The precision of afferent signals 55 has been characterized by measuring variability in firing rate in squirrel 56 (Fernandez and Goldberg 1971) and macaque monkeys (Jamali et al. 2009; 57 Sadeghi et al. 2007). Perceptual precision has been characterized by measuring 58 inter-trial variability in subjective visual vertical tasks in humans (De Vrijer et al. 59 2009; Tarnutzer et al. 2009). On the other hand, vestibular perceptual thresholds 60 in humans (Benson et al. 1989; Benson et al. 1986; Grabherr et al. 2008; Valko

61 et al. 2012) have been determined by repeatedly exposing subjects to small 62 motions to the left or right in the dark, and asking them to report their perceived 63 motion direction. Using signal detection theory, we can relate the thresholds 64 determined in these studies to the imprecision or noise associated with the 65 underlying sensory signal (Green and Swets 1966; Merfeld 2011). Motor 66 variability in reflexive eve movements (vestibulo-ocular reflex; VOR) evoked by 67 yaw rotation in rhesus monkeys (Haburcakova et al. 2012) and humans (Nouri 68 and Karmali 2018; Seemungal et al. 2004) are similar to human perceptual yaw 69 rotation thresholds suggesting a common, sensory source of noise. Finally, the 70 potential impact of vestibular imprecision on VOR and perceptual dynamics has 71 been examined using computational models (Borah et al. 1988; Karmali and 72 Merfeld 2012; Karmali et al. 2018; Laurens and Angelaki 2017; Laurens and 73 Droulez 2007; MacNeilage et al. 2008; Paulin et al. 1989).

74 Vestibular perceptual thresholds vary dramatically across individuals, even 75 amongst normal, healthy individuals that could pass a modified Romberg balance 76 test (Bermudez Rev et al. 2016). It is unclear what functional implications may 77 arise from this intersubject variability in sensory precision. To more directly 78 address this question, we studied whether vestibular precision, measured using 79 vestibular perceptual thresholds, underlies performance in a functional task. 80 Specifically, we determined whether roll-tilt vestibular perceptual thresholds 81 predict performance in a manual control task (Clark et al. 2015a; Merfeld 1996; 82 Panic et al. 2015; Riccio et al. 1992; Vimal et al. 2016). We hypothesized that 83 manual control performance would be correlated with thresholds across subjects.

The potential relevance and application of these results to our understanding of postural control and piloting are detailed in the Discussion.

86 Furthermore, we examined whether manual control performance would change 87 in an altered gravity environment. Previous studies have done so in a 88 hypergravity environment (i.e., >1 G) using a long-arm centrifuge (Clark et al. 89 2015a), and in astronauts after returning from microgravity (Merfeld 1996). Since 90 no study has examined the effects of hypogravity (i.e. between 0 and 1 G) on 91 manual control, we studied manual control in hypergravity and hypogravity 92 analogs, in which subjects perform the manual control task relative to centripetal 93 acceleration during short-arm centrifugation (details in methods). There is 94 evidence that orientation perception depends on the "shear component" of the 95 forces acting on the otolith organ (Bortolami et al. 2006; Clark et al. 2015c; 96 Schöne 1964; Young 1982), although with a non-linear relationship (Bortolami et 97 al. 2006). Thus, we hypothesized that the sensory information available to the 98 subject to perform the manual control task would be more salient in the 99 hypergravity analog, resulting in more precise manual control, and less salient in 100 the hypogravity analog, resulting in less precise manual control. The potential 101 application of these results to piloting and locomotion in hypogravity 102 environments like the Moon and Mars are detailed in the Discussion.

103

104 Methods

105 Overview

106 Eleven subjects were studied by measuring their thresholds and manual control 107 performance. For the remainder of the manuscript, we will use the term 108 "threshold" to refer to roll-tilt vestibular perceptual direction-recognition thresholds 109 unless otherwise stated. Thresholds were assayed in roll tilt with subjects upright 110 relative to gravity and no centrifugation. Manual control was studied during 111 centrifugation in the presence of different centripetal accelerations (Gc, where 112 1 Gc=9.81 m/s/s), in two different sub-experiments (Table 1). Seven subjects 113 $(N=7, 26.6\pm6.3 \text{ years})$ participated in sub-experiment 1, which consisted of a 114 manual control task performed with 1.0 Gc and 1.33 Gc centripetal acceleration. 115 Ten subjects (N=10, 27.9 \pm 6.0 years) participated in sub-experiment 2, which 116 consisted of the same manual control task with 1.0 Gc and 0.5 Gc centripetal 117 acceleration. Six subjects overlapped between the two groups, yielding a total of 118 11 subjects. In the threshold task, subjects were asked to report their perception 119 of small tilts either to the left or right, and thresholds were computed by fitting a 120 cumulative Gaussian psychometric curve to binary responses. In the manual 121 control task, subjects were asked to use a joystick to keep their chair aligned in 122 roll tilt with their perception of down while the chair tilt was randomly perturbed. 123 Performance was determined by calculating the variability of the chair position.

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Sub-experiment	Experimental protocol				
Sub-experiment 1 1.0 Gc & 1.33 Gc 7 Subjects	1.0 <i>Gc</i> practice (218 °/s) 3 trials	1.0 <i>Gc</i> test (218 °/s) 3 trials	1.33 Gc practice (254 °/s) 3 trials	1.33 <i>Gc</i> test (254 °/s) 3 trials	
Sub-experiment 2 1.0 Gc & 0.5 Gc 10 Subjects (6 overlapping subjects, who performed this sub- experiment second)	1.0 <i>Gc</i> practice (218 °/s) 9 trials	1.0 <i>Gc</i> test (218 °/s) 3 trials	0.5 Gc practice (154 °/s) 3 trials	0.5 Gc test (154 °/s) 3 trials	

126 Table 1: Manual control testing order for the two sub-experiments.

127

128 Subjects

129	All subjects performed the experiment after giving written informed consent and
130	all experiments were approved by the local human studies committees at
131	Massachusetts Eye and Ear Infirmary (MEEI) and Massachusetts Institute of
132	Technology (MIT). Subjects completed a three-tier screening process before
133	recruitment. The first tier was a secure web-based Subject Health Screening
134	questionnaire on Research Electronic Data Capture (REDCap) (Harris 2012).
135	Based on this questionnaire we included subjects aged 18 to 45 who were able
136	to fit comfortably in the motion devices and were in good health. Exclusion
137	criteria included cardiovascular disease, severe diabetes, respiratory condition
138	(including asthma and emphysema), neurologic disorders, prostatic hypertrophy,
139	gastrointestinal disorders, treatment for cancer, severe neck and spinal injuries
140	and pregnant women. Second, an MEEI physician reviewed subjects' medical
141	history during an office visit and determined fitness to undergo centrifugation. No
142	subjects were screened out during either of these two phases. Finally, subjects

143 underwent a clinical vestibular screening that consisted of angular VOR

144 measurement during sinusoidal vertical-axis rotation in the dark,

145 electronystagmogram (ENG) without calorics, and visual-vestibular interaction

146 testing in which subjects viewed a chair-fixed target during vertical-axis rotation.

147 Clinical vestibular screening exclusion factors included evidence of asymmetric

148 VOR responses during rotational testing and age-adjusted VOR time constant

149 <12.6 s. Here, three subjects were excluded after a clinician (not associated with

150 the study) determined that they had signs of abnormal vestibular function,

151 specifically: 1) an abnormal rightward VOR bias; 2) a reduced VOR gain and

152 shortened time constant; 3) a borderline reduction in VOR time constant.

153 Subjects that met the inclusion criteria participated in one or both of the sub-

154 experiments, based on their availability.

155

156 Artificial Gravity Environment

157 The experiments used the Eccentric Rotator (Neuro Kinetics, Inc., Pittsburgh, 158 USA), a multi-actuator motion device. The subject was supine in the Earth 159 horizontal plane on a chair mounted on the device. The primary centrifuge spin 160 axis rotated clockwise (as seen from above) about an Earth-vertical axis at a 161 constant velocity to create a centripetal acceleration. Subjects were positioned 162 with the ear 0.68 m from the centrifuge spin axis with feet pointing outwards. Spin 163 rate was determined for each of the Gc levels at the head (154°/s for 0.5 Gc, 164 218°/s for 1.0 Gc, 254°/s for 1.33 Gc). Subjects spent 60 seconds spinning at the 165 specified constant velocity before performing a manual control task. On top of

this rotating platform, the roll actuator rotated the subject about an Earth-vertical, head-centered axis about which the manual control task was performed (roll tilt in Figure 1). The subject was instructed to keep his or her body aligned with the centripetal acceleration vector, while being tilted leftward and rightward with respect to the subject's frame of reference (Figure 1).



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Figure 1. A diagram of the experimental setup, with the chair positioned such that the subject's head is 0.68 m from the center of rotation, the joystick is

mounted in front of the subject's chest, and the roll-tilt axis centered at the levelof the subject's vestibular system.

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177 We emphasize that for this centrifuge, the subject always rotated in the horizontal 178 plane, and thus there were no dynamic cues resulting from movement relative to 179 Earth gravity. Specifically, both the roll tilt axis and centrifugation axis were 180 parallel to gravity. The subject's longitudinal (z) axis was perpendicular to both. 181 Thus, despite the total gravito-inertial acceleration being >1G, the only useful tilt 182 displacement cue was the angle between the centripetal acceleration vector and 183 the subject's body longitudinal axis. One of the concerns with head rotations 184 within a centrifuge environment is the Coriolis cross-coupled illusion (i.e., an 185 illusory tumbling sensation that occurs when "out of plane" head tilts are made in

the spinning environment; Guedry and Montague (1961); Melvill Jones (1970)).
However, since the head roll tilts/rotations occurred about an axis parallel to the
centrifuge spin axis the illusion was not provoked. Additional considerations
relevant to this configuration are detailed in the Discussion.

190

191 Manual Control Procedure

192 To reduce non-vestibular motion cues, subjects were tested in complete 193 darkness and wore long pants and long sleeves. Noise-canceling headphones 194 played white noise during active roll tilt/rotation motions to mask auditory cues 195 regarding device motion. Subjects also were provided with a microphone and 196 were secured with a five-point harness. Foam pads were used for comfort and to evenly distribute haptic sensory cues. The subjects' heads were immobilized by a 197 198 head restraint. It consisted of two aluminum plates attached to a ratchet system 199 that allowed the plates to be moved so that the subject's head was firmly held. 200 Thin (~1 cm), high density foam was attached to the inside of the plates for 201 subject comfort. Subjects were asked to report when the head was held firmly, 202 but comfortably.

Subjects were instructed: "the chair will be tilting left and right randomly, and your goal will be to use the joystick to null out the motion. This means keeping the chair in its current configuration, not tilted to either side, so that it remains aligned with the rotation arm." The joystick was a 30-cm-long rod that rotated about its midpoint and was located approximately 35 cm from the midriff of the seated subject. Subjects held the joystick at its central rotation axis such that no large

209 hand or arm displacements were required to make control inputs. The joystick 210 was spring loaded such that it tended to return to alignment with the subject's 211 body longitudinal axis, and increased resistance proportional to deflection. The 212 joystick could only be rotated in roll and there were mechanical stops to limit 213 deflections to ±45°/s. The subject was asked to use their dominant hand to hold 214 the joystick (all subjects were right handed). The joystick deflection was recorded 215 (Posital Fraba IXARC absolute optical rotary encoder) and was fed back into the 216 roll tilt command (Figure 2B). The joystick control dynamics were rate-control-217 attitude-hold, such that the amount of joystick deflection was proportional to the 218 commanded roll rate of the cab (0.44°/s of roll rate was commanded per degree 219 of joystick deflection with a maximum commanded roll rate of 20°/s). Without any 220 disturbance, if the joystick was not deflected from its center position, the chair 221 would remain at its current roll orientation (sometimes referred to as attitude 222 hold). These first-order dynamics (i.e., where the subject controls roll rate to null 223 out roll angle) are typically easy to learn and can be mastered by subjects 224 without relevant experience (i.e., non-pilots) (McRuer and Weir 1969). Software 225 and actuation delays were less than human sensorimotor delays; the update rate 226 for the feedback was 600 Hz and the latency was 10-18 ms. Subjects familiarized 227 themselves with the manual control task without centrifugation in the light before 228 centrifugation began.



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Figure 2. A: The pseudo-random sum-of-sines roll-tilt disturbance profile (gray)
and centrifuge chair position (black) for one trial of one subject. B: Subject
joystick deflection angle used for controlling chair orientation in a rate-controlattitude-mode. The dynamics of subject inputs to the joystick were similar to
those recently reported (Vimal et al., 2016).

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to $\pm 15^{\circ}$. At these tilt limits the chair would not continue to a larger angle, but was

free to move to a smaller angle. Potential confounds and strategic changes due

to this limit are considered in the Results and the Discussion.

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Table 2. Frequencies, tilt amplitudes, and phases of the pseudo-random sum-ofsines used to create the roll-tilt disturbance motion profile.

Number	Frequency (Hz)	Tilt amplitude (°)	Phase (°)
1	0.018	2.65	112.5
2	0.027	2.65	75.7
3	0.046	2.65	65.0
4	0.064	2.65	127.1
5	0.100	2.65	44.9
6	0.155	2.65	170.1
7	0.209	0.26	192.7
8	0.264	0.26	152.7
9	0.336	0.26	25.7
10	0.427	0.26	78.5
11	0.536	0.26	24.7
12	0.664	0.26	116.0

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250

251 Table 1 shows the experimental protocol for the two manual control sub-252 experiments. Subjects performed three practice trials to get accustomed to the 253 task in each Gc condition (except in 1.0 Gc in sub-experiment 1, which had three 254 practice trials because our protocol was still being refined). Analyses presented 255 in the Results showed that there was no evidence of order or practice effects. 256 After practice trials, subjects performed three manual control test trials. Subjects 257 always performed 1.0 Gc trials first. The centrifuge was accelerated over 120 s to 258 the appropriate spin rate corresponding to the desired Gc level. Then after a 259 period of at least 60 s of acclimatization, subjects performed practice and test 260 trials as shown in Table 1. Between each trial, the subject had a 30 s break

during which the chair was realigned with the centrifuge rotation arm. The
centrifuge was then spun down to a stop over 60 s. Subjects had a 30 minute
break between conditions to prevent fatigue. Sub-experiment 1 was completed
before sub-experiment 2 began and thus subjects who participated in both
always did sub-experiment 1 first.

266

267 Roll-tilt Vestibular Perceptual Direction-Recognition Thresholds

268 Thresholds were estimated using identical methods to those we have recently 269 used (Karmali et al. 2014; Valko et al. 2012), which are similar to those used by 270 other groups (Benson et al. 1989; Benson et al. 1986; Butler et al. 2010; Crane 271 2012; Soyka et al. 2011). Subjects were seated upright on a Stewart type six 272 degrees-of-freedom motion platform (MOOG CSA Engineering, Mountain View 273 CA, Model 6DOF2000E). Thresholds were measured relative to Earth gravity 274 (i.e., there was no centrifugation). As in the manual control tests, non-vestibular 275 cues were reduced by testing in the dark, playing white noise in headphones, 276 and having subjects wear long sleeves and pants. While we call these 277 "vestibular" thresholds, we acknowledge that proprioceptive or somatosensory 278 cues may have some contribution. However, we note that subjects with bilateral 279 vestibular ablation have thresholds 2-4x higher than normal subjects for the 280 threshold task used, suggesting the predominance of vestibular cues (Valko et al. 281 2012).

Test sessions consisted of 75-100 trials. Each trial was a leftward or rightward
0.2 Hz (5 second motion duration) single cycle sinusoid of acceleration (Figure 3)

284 about the head-centered roll tilt axis (Lim et al. 2017). We selected roll-tilt at 0.2 285 Hz because thresholds at this frequency depend on both otolith and semicircular 286 canal contributions and because our manual control task likely relies upon both 287 otolith and canal cues, based on the disturbance frequencies applied and subject 288 reports about the strategy used. The brain performs integration of the two cues 289 (Lim et al. 2017) to precisely distinguish between leftward and rightward motion, 290 which is the most analogous to the integration required to perform our manual 291 control task that also occurs in the roll-tilt plane. Future studies might look at the 292 relationship between manual control performance and otolith and canal 293 thresholds separately to determine the relative contributions of each cue, and 294 also investigate other tests such as subjective visual vertical.







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Subjects heard white noise to indicate that they were about to move, and which continued throughout the motion profile. The end of the white noise indicated the end of motion and subjects were asked to report their perceived direction of motion by pressing a left or a right button, and to make their best guess if unsure. Subjects were tilted back to upright after they reported their perceived motion direction. A series of practice trials were given to the subject beforehand to familiarize them with the motions and task.

The amplitudes of the motions were selected by a three-down, one-up adaptive staircase (Chaudhuri and Merfeld 2013; Leek 2001; Taylor and Creelman 1967), where stimulus magnitude would decrease after three consecutive correct responses and would increase after one incorrect response. Using this adaptive sampling procedure with 75-100 trials yields reasonably low measurement error for the threshold parameter (i.e., with 100 trials the coefficient of variation is 18.5%; Karmali et al. (2016)).

313

314 Data Analysis

315 Thresholds were determined using a cumulative Gaussian distribution

316 psychometric curve fit relating stimulus amplitude to perceived motion direction

317 (Chaudhuri et al. 2013; McCullagh 1989). The cumulative Gaussian was selected

based on use in previous work (Butler et al. 2010; MacNeilage et al. 2010; Roditi

and Crane 2012; Soyka et al. 2011; Valko et al. 2012) and is defined by standard

- 320 deviation (σ) and mean (μ). The mean of this curve fit represents the perceptual
- 321 bias, the point at which a subject is equally likely to perceive a motion as leftward

322 or rightward. One standard deviation of the distribution was defined as the 323 subject's threshold and is related to imprecision, or sensory noise, according to 324 signal detection theory (Green and Swets 1966; Merfeld 2011). At this level, 325 subjects will correctly identify 84% of stimuli. Psychometric curve fits were 326 performed using the brgImfit.m function (Chaudhuri et al. 2013) in Matlab 2014a 327 (TheMathworks, MA, USA) which includes a generalized linear model and probit 328 link function with improved parameter estimation for the case of serially-329 dependent data points (Kaernbach 2001; Leek 2001; Leek et al. 1992; Treutwein 330 and Strasburger 1999). To characterize manual control performance, we defined 331 the Position Variability Metric (PVM) as the standard deviation of the chair tilt 332 angle over time, which indicated the precision of nulling. We excluded the first 333 and last 5 s of each trial during which the disturbance was ramping up or down, 334 leaving the middle 110 s. All statistics were performed using the middle 110 s 335 and the full trial and there was no substantial difference in the results. The metric 336 was chosen because it directly corresponds to the definition of an 84% threshold, 337 which is related by signal detection theory to the standard deviation of sensory 338 noise (Green and Swets 1966). Specifically, both PVM and thresholds are 339 measures of precision. Note that these measures of precision are distinct from 340 measures of accuracy (e.g. how close, on average, the chair is to upright). PVM 341 was averaged across the three test trials in each Gc condition.

All means, standard deviations, and tests of statistical significance were performed after taking the logarithm of the threshold and PVM. Population studies have shown that human vestibular thresholds follow a log-normal

345 distribution (Benson et al. 1989; Benson et al. 1986; Bermudez Rey et al. 2016). 346 Since our hypothesis is that sensory noise is a critical determining factor of 347 manual control PVM (also the standard deviation of performance), we expected 348 PVM to be log-normally distributed as well. Statistical testing confirmed that the 349 distributions of PVMs across subjects were not significantly different from a 350 lognormal distribution (Kolmogorov-Smirnov test, p=0.75 for 1.0 Gc, p=0.996 for 351 1.33 Gc and p=0.993 for 0.5 Gc). Standard parametric comparisons (linear regression and paired t-test) were used to compare subjects' thresholds and 352 353 PVM along with mean PVM at different Gc levels. Statistical tests were 354 performed using the Statistics and Machine Learning Toolbox in Matlab 2016b 355 (The Mathworks, MA, USA). 356 Most analyses were done by fitting a linear mixed-effects model with threshold

357 (log-transformed) as a continuous predictor, subject as a random effect, Gc-level

as a categorical predictor, and PVM (log-transformed) as the dependent variable.

359 Gc was a categorical predictor as not to impose an assumption of linearity

360 between Gc and PVM. For subjects who performed sub-experiments 1 and 2, the

361 PVM for 1.0 Gc was calculated as the average across the two sessions.

362 <u>Results</u>

We found large intersubject differences in both thresholds and manual control performance. For example, across both sub-experiments, thresholds (i.e., roll-tilt vestibular perceptual direction-recognition thresholds) ranged from 0.59° to 2.11° for the 11 subjects. It is common to report thresholds in terms of peak velocity, in 367 addition to net displacement. The range of thresholds presented as peak

368 velocities is 0.24°/s to 0.84°/s for our 11 subjects. PVM had similarly large

intersubject variation, ranging from 1.27° to 5.05° in 1.0 Gc. For reference, the

370 PVM of the chair motion without any joystick input was 4.58°.

371 Figure 4 presents the PVM as a function of threshold for all Gc levels from both 372 sub-experiments. The following analyses were performed using the mixed-effect 373 model described in Methods. We found a significant, positive, linear influence of 374 threshold on PVM (coefficient: 0.81 log units of degrees of PVM per log unit of 375 threshold in degrees; t(24)=5.66, p<0.001), which is illustrated by the fit line. The 376 coefficient of determination between log(threshold) and log(PVM) for 1.0 G_c is 377 R^2 =0.59 (p=0.006). In addition, relative to the 1.0 Gc condition, we found 378 significant effects of 0.5 G_{C} (coefficient: 0.12 log units of degrees of PVM; 379 t(24)=3.70, p=0.001) and 1.33 Gc (coefficient: -0.11 log units of degrees of PVM; 380 t(24)=-3.2, p=0.004). Thus, individuals with higher thresholds tended to have 381 higher PVM (worse nulling performance) and PVM increased in 0.5 Gc and 382 decreased in 1.33 Gc.



Figure 4. Manual control PVM as a function of threshold for each subject in 0.5
Gc (blue), 1.0 Gc (black), and 1.33 Gc (orange). Individual subjects are displayed
with unique symbols.

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- 389 To study how the gravity environment may impact manual control performance,
- the PVM in 1.0 Gc was compared to the PVM in 1.33 Gc for 7 subjects in sub-
- 391 experiment 1 (Figure 5A). Each individual subject (grey lines) is represented with
- 392 a different symbol, corresponding to the symbols in Figure 4. Averaged across all
- 393 subjects (black line), the mean PVM in 1.33 Gc was 18.3% lower than in 1.0 Gc,
- 394 which was statistically significant (paired t-test, t(6)=-4.4, p=0.005).
- Figure 5B compares the PVM in 1.0 Gc to the PVM in 0.5 Gc for 10 subjects in
- 396 sub-experiment 2. Each individual subject (grey lines) is represented with a

397 different symbol, corresponding to the symbols in Figure 4. Averaged across all 398 subjects (black line), the PVM in 0.5 *Gc* is 39.6% higher than in 1.0 *Gc*. This 399 corresponds to subjects having significantly worse performance in 0.5 *Gc* (paired 400 t-test, t(9)=6.8, p<0.001).



401

402 Figure 5. A: Manual control PVM in 1.0 Gc and 1.33 Gc (sub-experiment 1).

403 Individual subjects are displayed in gray with unique symbols corresponding to

404 Figure 4. The intersubject mean is plotted in black with error bars indicating 95%

405 confidence intervals. B: Manual control PVM in 1.0 Gc and 0.5 Gc (sub406 experiment 2).

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409 We examined whether measurements were influenced by order of testing, by 410 prior experience for subjects who participated in both sub-experiments, and by 411 task learning or practice effects. For subjects who did both sub-experiments, we 412 compared their 1.0 Gc PVMs between the two sessions and found no evidence 413 that it changed from the first session to the second session (paired *t*-test, t(5)=-414 0.2, p=0.86), suggesting no effect of the prior experience. We also compared the 415 PVMs for the second session of 1.0 Gc with the PVMs for the subjects who were 416 only tested once in 1.0 Gc, and found no significant difference between the two 417 (unpaired t-test, t(9)=-0.7, p=0.52). Comparing PVMs in 0.5 Gc between subjects 418 who did and did not previously do the 1.33 Gc condition, we found no significant 419 difference between the two (unpaired *t*-test, t(8)=-0.2, p=0.83). To examine 420 whether there were any residual learning or training effects present during the 421 test trials, we looked for downward or upward trends in PVM across the three test 422 trials. Specifically, we performed a repeated measures ANOVA, with the trial 423 numbers as the only factor. We found there to be no significant effect of trial 424 number in 1.33 Gc (F(2,12)=2.09, p=0.17), in 0.5 Gc (F(2,18)=0.29, p=0.75), nor 425 in 1.0 Gc before either condition (F(2,32)=0.15, p=0.87). These results together 426 suggest that additional sources of measurement error or bias due to order or 427 training effects were minimal.

428 We found that, on average, subjects were at the physical tilt limits of the device 429 for 1.0% of the time (1.0% for 1.0 Gc, 1.7% for 0.5 Gc, 0.1% for 1.33 Gc), and 10 430 of 11 subjects reached the limits at least once during testing trials. We conducted 431 a sensitivity analysis to determine if this affected the results, by repeating the 432 mixed-effect model analysis after excluding the chair position during the time that 433 the chair was at the tilt limits. We found that the coefficient between PVM and threshold was 0.81 log units of degrees of PVM per log unit of threshold in 434 degrees (t(24)=5.62 p<0.001). In addition, relative to the 1.0 Gc condition, we 435 436 found significant effects of 0.5 G_c (coefficient: 0.11 log units of degrees of PVM; 437 t(24)=3.64, p=0.001) and 1.33 Gc (coefficient: -0.11 log units of degrees of PVM; 438 t(24)=-3.17, p=0.004). Thus, there is no evidence that our conclusions arise from 439 an artifact due to subjects reaching the tilt limit.

440 **Discussion**

441 In this study, we investigated the relationship between vestibular perceptual 442 thresholds and manual control performance. Manual control performance was 443 tested in different artificial gravity environments created by short-arm 444 centrifugation, specifically in 1.0 Gc, 0.5 Gc, and 1.33 Gc, whereas thresholds 445 were measured with the subject upright relative to Earth's gravity. We found that: 446 1) There was a strong, statistically significant, linear correlation between an 447 individual's log of roll-tilt 0.2 Hz threshold and the log of manual control PVM; 2) 448 manual control performance was consistently and significantly worse in 0.5 Gc 449 than 1.0 Gc; and 3) manual control performance was significantly improved in 450 1.33 Gc compared to 1.0 Gc performance. We note that our measurements were 451 made with less than 15 minutes of exposure to an altered gravity environment,

452 and thus they do not aim to characterize anatomical (Boyle et al. 2010) and

453 behavioral (Paloski et al. 2008) adaptations that have been demonstrated during

454 longer-term exposure to altered gravity environments.

455 Vestibular precision affects manual control performance

456 The correlation between manual control performance and threshold suggests 457 that vestibular precision determined performance. Since thresholds reflect 458 random neural activity (i.e. no functional information conveyed) (Green and 459 Swets 1966; Merfeld 2011) that originate at every stage of neural processing 460 (Faisal et al. 2008), it is important to examine which sources of neural 461 imprecision (e.g., sensory, central, motor) contribute to behavioral imprecision. 462 Our results are aligned with other work showing that sensory noise is an 463 important contributor to perceptual and motor imprecision (Haburcakova et al. 464 2012; Liston and Krauzlis 2003; Medina and Lisberger 2007; Nouri and Karmali 465 2018; Osborne et al. 2005; Rasche and Gegenfurtner 2009; Schoppik et al. 466 2008; Stone and Krauzlis 2003). Our results suggest that manual control 467 imprecision occurs because of noise originating in the sensory periphery or early 468 in central processing, rather than being dominated by other sources, such as 469 motor noise; of course we cannot rule out a smaller contribution from these 470 sources. Emphasizing the relationship between our measurements, we 471 calculated PVM as the standard deviation of manual control system response, 472 and similarly, thresholds reflect the standard deviation of sensory noise. These 473 noise measures are also equivalent to those used in stochastic models of spatial

474 orientation (Borah et al. 1988; Karmali and Merfeld 2012; Karmali et al. 2018; 475 Laurens and Angelaki 2017) and postural control (Assländer and Peterka 2016; 476 Goodworth et al. 2018; van der Kooij et al. 1999; van der Kooij et al. 2001; van 477 der Kooij and Peterka 2011), and future work could extend these models to 478 stochastic closed-loop manual control tasks. Demonstrating the broader utility of 479 precision measures, we also note that roll tilt and linear translation vestibular perceptual thresholds have been shown to be sensitive to disorders such as 480 481 vestibular migraine and Meniere's disease (Bremova et al. 2016; Lewis et al. 482 2011).

483 Although our sample consisted of only 11 people, statistical testing found that the 484 results were unlikely to have arisen by chance, providing confidence in the 485 conclusions. The moderate sample size was constrained by the expense of 486 performing these experiments (including device utilization fees and roughly 10 487 person-hours of operator time per subject per condition). The subject group was 488 also relatively homogenous and included mostly young individuals who passed 489 the screening. While we do not claim that our study generalizes to older 490 individuals, it does indicate the need for future studies in light of two recent 491 findings. First, we found that both age and vestibular perceptual thresholds make 492 substantial contributions to balance test performance (Karmali et al. 2017). 493 Second, roll tilt thresholds were 2.7x higher for a group of subjects 60-80 years 494 vs. 30-39 years (Bermudez Rey et al. 2016).

There are factors that likely affect PVM other than sensory precision. Theseinclude the time it takes to sense tilt, the error and delay in mapping the

497 sensation to a motor control action, the time it takes to perform that motor action,
498 the time for the chair to move, and the difference between the frequency
499 dynamics of the operator and the disturbance. Time delays allow errors to
500 propagate throughout the period after initial stimulation.

All motions used in this study were about a head-centered roll tilt axis. Based on our results, we hypothesize that our results would generalize to other axes; e.g., there would be a correlation between translation thresholds and translation manual control.

505

506 Manual control in altered gravity environments

507 We now discuss our findings in relation to other published studies on manual 508 control in altered gravity environments. Clark et al. (2015a) studied manual 509 control performance in a hypergravity environment created by a long-arm 510 centrifuge, and found an initial performance decrement proportional to gravity 511 level that improved within a few minutes. As in our study, subjects controlled roll 512 tilt motion using a joystick in the presence of a disturbance, but the cab tilted 513 relative to the gravitoinertial acceleration (i.e., the net direction of the sum of 514 gravity and centripetal acceleration, G) rather than relative to centripetal 515 acceleration (Gc). In subjects well-trained to perform the manual control task in 516 Earth gravity, when performance stabilized after approximately 600 s of doing the 517 task in hyper-G, the authors did not report a statistically significant difference 518 between hypergravity performance (1.5 and 2.0 G) and 1.0 G baseline. 519 Nonetheless, there was a trend towards better steady-state performance in 1.5 G

520 vs. 1.0 G, although such a trend was not obviously apparent in 2.0 G. This lack of 521 a significant effect of G-level on steady-state manual control performance may 522 result from differences in the methods vs. our study, including: a) the use of an 523 exponential decay model to identify steady-state performance as opposed to 524 using only test trials that occurred after sufficient practice; b) the potential 525 presence of Coriolis cross-coupling illusions due to the tilt axis not being aligned 526 with the spin axis; c) testing relative to the gravitoinertial acceleration vs. the 527 centripetal acceleration; d) testing at different G levels; and e) the use of longer 528 (214.8 second) trials. Despite these differences, the trends observed in Clark et 529 al. (2015a) are consistent with the statistically significant better performance in 530 1.33 Gc vs. 1.0 Gc that we found. To our knowledge, the only other study of roll-531 tilt manual control related to altered gravity (Merfeld 1996) studied astronauts 532 before and after exposure to microgravity. Because measurements were not 533 made in altered gravity, it is difficult to compare those results to our study. Future 534 work will be required to separate the various contributors to these changes, 535 including the reinterpretation of otolith cues in microgravity (Young et al. 1984).

The impact of the otolith organ cue in the horizontal plane which is relevant to the task might be explained through simple geometry. The effective mechanical stimulus to the otolith organ is considered to be the "shear component" of the gravito-inertial force, acting in the dominant plane of the utricular macular (Clark et al. 2015c; Schöne 1964; Young 1982). For any tilt angle, this otolith organ cue is diminished when gravitational forces are reduced. This is supported by our recent work that found that perception of roll tilt is underestimated in a

543 hypogravity analog (Galvan-Garza et al. 2018, revisions submitted to J 544 Neurophysiol JN-00140-2018R1). Likewise, we assume that noise is relatively 545 unchanged, although future studies could investigate whether noise varies with 546 Gc by performing the threshold task during centrifugation. Thus, in hypogravity 547 (e.g. 0.5 Gc) the shear signal is diminished while presumably the noise is 548 unchanged, resulting in a reduced signal-to-noise ratio. In hypergravity, however, 549 the shear force at any tilt angle is increased, resulting in an increased signal-to-550 noise ratio. Even if the brain properly interprets the otolith signal in altered 551 gravity, this change in signal-to-noise ratio regarding tilt information likely 552 explains the observed impaired manual control performance in our nulling task. 553 Similar logic applies to other graviceptors, although evidence suggests vestibular 554 cues are the primary graviceptive cue for threshold-level motion (Valko et al. 555 2012). Functionally, the reduced signal-to-noise ratio in 0.5 Gc causes the 556 subject to require a larger tilt angle before they can reliably determine the 557 corrective joystick response. This translates into an increased range of the 558 "dead-zone" where subjects cannot reliably sense tilt and thus cannot null the 559 motion. Conversely, in 1.33 Gc, the otolith signal is amplified for a given tilt, 560 increasing the signal-to-noise ratio. This allows the subject to reliably perceive 561 smaller tilt angles, increasing their ability to detect changes early and react. 562 While evidence suggests that the brain relies on the lateral (i.e. interaural) 563 component of the gravitational vector sensed by the otolith organ to determine tilt 564 angle (Clark et al. 2015c; Schöne 1964; Young 1982), this reasoning is

565 independent of the mechanism used to determine tilt angle from the three-

566 dimensional vector sensed by the otolith organ.

567 Subjects occasionally reached the physical tilt limits of the device. Our analyses 568 showed that this had a marginal impact on the conclusions of the study. It is 569 possible that the limits influenced perception since subjects were aware that only 570 a narrow range of tilt was possible. However, unlike some studies where prior 571 knowledge affects tilt perception via Bayesian inference (Alberts et al. 2016), we 572 cannot think of a mechanism by which subjects could improve precision based 573 on knowledge of the device limits, since avoiding the limits and aligning with 574 upright accomplish similar goals.

575

576 Individual differences and hypogravity effects

577 We found large individual differences in roll tilt 0.2 Hz thresholds ranging from 578 0.59° to 2.11°, which is consistent with a previous study using identical methods 579 that found a range of roll tilt 0.2 Hz thresholds from 0.375 to 2.7° across 95% of 580 healthy subjects (Bermudez Rey et al. 2016). Similarly, there is a high degree of 581 intersubject variability in manual control. PVM ranged from 1.27° to 5.05° in 582 1.0 Gc. The individual differences in threshold contribute much more to variations 583 in performance than Gc level (Figure 4), which changed only 36% between 0.5 584 Gc and 1.0 Gc. In comparison, the expected PVM for the subject with the highest 585 threshold is 298% of that for the subject with the lowest threshold, emphasizing 586 that individual differences have a larger effect on PVM than Gc levels.

587

588 **Centrifuge configuration**

589

590 The centrifuge configuration used for this study (which to our knowledge is novel 591 for human studies) should have applications for certain classes of studies; we 592 now discuss relevant considerations. Although short-radius and long-radius 593 centrifuge paradigms have been used to study human performance in 594 hypergravity environments (e.g., Clark et al. 2015a; Clark et al. 2015b; Glasauer 595 and Mittelstaedt 1992; Schöne 1964; Tribukait and Eiken 2005), it is not possible 596 to study a pure hypogravity environment on Earth because of the presence of 597 Earth's gravitational field. However, our hypogravity analog allows for studies in 598 which the centripetal acceleration cue relevant to the task is less than 1.0 Gc. 599 While Earth's gravity is statically present, it does not provide a useful roll tilt cue 600 to the subject, and was consistently present across all Gc conditions. Therefore, 601 the ability to null the pseudo-random disturbance is only dependent on the 602 magnitude of tilt perceived relative to the centripetal acceleration. This approach 603 would not be appropriate for studies where the total force is likely more important 604 than the longitudinal force. While only the centripetal acceleration is a useful task 605 cue for the subject, the cognitive experience of the subject is somewhat more 606 complex, since they would be expected to perceive a somatogravic pitch tilt out 607 of the horizontal plane that aligns with the net gravitoinertial acceleration. For 608 example, with 0.5 Gc and 1 G gravity, they would perceive a head-down pitch tilt 609 of 26°. Furthermore, when the subject roll tilts relative to centrifuge axis, there is 610 a slight reduction in the component of centripetal acceleration along the subject's

611 longitudinal axis, which causes the somatogravic pitch tilt to reduce slightly -612 approximately 1° of pitch for 10° of roll tilt. Future studies will be needed to 613 determine whether the presence of Earth gravity affects results, which could 614 include parabolic flight studies (Karmali and Shelhamer 2008) which provide a 615 net gravitoinertial acceleration between 0 and 1 G. Another distinguishing 616 attribute of this configuration is that there was no Coriolis cross-coupling illusion, 617 in contrast with configurations that align the subject with the total gravitoinertial 618 acceleration. In our configuration, subjects experienced some wind cues, 619 although these could be diminished in future studies by enclosing the subject. 620 This centrifuge configuration would be particularly relevant to characterize 621 piloting during landing or ascent, locomotion, orientation perception, and 622 cardiovascular responses for conditions on or near the surface of the Moon or 623 Mars.

624

625

626 **Relevance and applications**

627 We now describe the relevance and eventual applications of this line of research.

628 Our results are related to a growing body of research suggesting that sensory

629 imprecision worsens postural performance. Manual control and postural control

are similar because both use closed-loop feedback control and are approximated

by a single-link inverted pendulum (Panic et al. 2015; Riccio et al. 1992).

632 Modeling of postural responses to perturbations using closed-loop models

633 suggest that postural variability and sway arise from imprecision in vestibular 634 sensation, vision, proprioception and muscle control (Goodworth et al. 2018; 635 Mergner et al. 2005; Peterka 2002; van der Kooij et al. 1999; van der Kooij et al. 636 2001; van der Kooij and Peterka 2011). Furthermore, age and vestibular roll tilt 637 0.2 Hz thresholds are both correlated (using a multiple variable logistic 638 regression) with pass/fail performance in a balance test in which subjects are 639 asked to stand on foam with eyes closed (Bermudez Rey et al. 2016; Karmali et 640 al. 2017). Our results build on these studies showing that sensory precision 641 underlies functional performance – specifically by providing experimental 642 evidence of a continuous (vs. pass/fail) relationship between thresholds and 643 performance. This has potential public health relevance given postural errors are 644 correlated with debilitating falls (Overstall et al. 1977), and sensory precision is 645 an incompletely understood source of postural errors.

646 Errors in sensing motion and orientation have contributed and continue to 647 contribute to many fatal aviation accidents (Gibb et al. 2011). Substantial risks 648 have also been identified for manned spacecraft landings and near-miss 649 incidents have occurred (Karmali and Shelhamer 2010; McCluskey et al. 2001; 650 Moore et al. 2008; Paloski et al. 2008). Paloski et al. (2008) states that "neuro-651 vestibular dysfunction [is] generally correlated with poorer flying performance, 652 including a lower approach and landing shorter, faster and harder." If vestibular 653 precision is indeed a critical factor in vehicle control performance then our 654 approach could provide a tool to predict which individuals may have enhanced 655 piloting performance, which could reduce risk. This is especially important given

656 the potential synergistic risk arising from hypogravity and individuals with high 657 thresholds. Of course vision also plays a critical role and further research is 658 required to understand the relative contributions of visual and vestibular cues. 659 Notably, vestibular roll tilt thresholds are lower than visual roll tilt thresholds for 660 certain temporally frequencies, and even when visual thresholds are lower, 661 vestibular cues still contribute to visual-vestibular precision via Bayesian 662 integration (Karmali et al. 2014). Thus, even with visual cues available, individual 663 differences in vestibular precision could potentially still contribute to differences in 664 manual control performance. Future investigations will be required to determine 665 how these effects combine with adaptation to a novel gravity environment and 666 long-term compensatory adaptation mechanisms that may also affect 667 performance. We further note that the joystick rate-control, attitude-hold control 668 dynamics used in this study were similar to those of a helicopter or a lunar 669 landing vehicle.

670 Astronauts walking on the surface of the Moon experienced a large number of 671 falls, which placed them at risk of injury. Considering that balance test 672 performance is correlated with roll tilt 0.2 Hz thresholds (Bermudez Rev et al. 673 2016; Karmali et al. 2017), PVM is correlated with roll tilt 0.2 Hz thresholds, and 674 PVM is diminished in hypogravity, a reasonable prediction is that diminished 675 postural control on the Moon or Mars occurs because of diminished vestibular 676 sensation. There could also be a potential interaction with a motion sickness drug 677 commonly used by astronauts, promethazine, which also increases roll tilt 0.2 Hz

678 thresholds (Diaz-Artiles et al. 2017). These factors may be exasperated because 679 visual tilt perception is difficult on the Moon (Brady and Paschall 2010). 680 While speculative, to illustrate that large intersubject differences may have 681 operational relevance and modulate risks, we provide an example from piloting a 682 helicopter. This example does not consider the impact of visual cues, as could 683 occur in certain brown-out or white-out conditions (e.g., obscuration by sand, 684 dust or snow). The critical rollover angle for a helicopter is between 5 and 8° 685 (Department of Transportation 2012). Our worst performer has a PVM of 5.05° 686 which assuming a Gaussian distribution with a standard deviation of 5.05°, 687 corresponds roughly to a 6% chance of them experiencing a tilt greater than 8° 688 when they intend to be upright. On the other hand, the best performer has a PVM 689 of 1.27°, corresponding to less than 0.0001% chance of exceeding a tilt of 8° 690 when they intend to be upright. Thus, risk might be mitigated by assigning pilots 691 with lower thresholds, if our laboratory results transfer to real-world piloting tasks. 692 A similar analysis applies to Moon/Mars landings; the Apollo lunar module was 693 required to land with less than 11° of roll tilt to ensure a successful ascent launch 694 (Rogers 1972).

695

696 <u>Summary</u>

In this study, we demonstrated a relationship between an individual's roll tilt
vestibular perceptual threshold and their performance in a manual control task.
This suggests that sensory precision is a critical determining factor in manual

control performance. Using a short-radius centrifuge, we also showed that, as
expected, performance was better in 1.33 *Gc* versus 1.0 *Gc*, and worse in 0.5 *Gc*versus 1.0 *Gc*. The performance decrement observed in hypogravity is
particularly relevant for future human exploration missions to the Moon and Mars
where gravity is less than on Earth, potentially increasing risk during piloted
landing, standing balance and locomotion.

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