



The impact of future emission policies on tropospheric ozone using a parameterised approach

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Received: 22 December 2017 – Discussion started: 24 January 2018

Revised: 10 May 2018 – Accepted: 4 June 2018 – Published: 28 June 2018

Abstract. This study quantifies future changes in tropospheric ozone (O₃) using a simple parameterisation of source–receptor relationships based on simulations from a range of models participating in the Task Force on Hemispheric Transport of Air Pollutants (TF-HTAP) experiments. Surface and tropospheric O₃ changes are calculated globally and across 16 regions from perturbations in precursor emissions (NO_x, CO, volatile organic compounds – VOCs) and methane (CH₄) abundance only, neglecting any impact from climate change. A source attribution is provided for each source region along with an estimate of uncertainty based on the spread of the results from the models. Tests against model simulations using the Hadley Centre Global Environment Model version 2 – Earth system configuration (HadGEM2-ES) confirm that the approaches used within the parameterisation perform well for most regions. The O₃ response to changes in CH₄ abundance is slightly larger in the TF-HTAP Phase 2 than in the TF-HTAP Phase 1 assessment (2010) and provides further evidence that controlling CH₄ is important

for limiting future O₃ concentrations. Different treatments of chemistry and meteorology in models remain one of the largest uncertainties in calculating the O₃ response to perturbations in CH₄ abundance and precursor emissions, particularly over the Middle East and south Asia regions. Emission changes for the future Evaluating the CLimate and Air Quality ImPacts of Short-livEd Pollutants (ECLIPSE) scenarios and a subset of preliminary Shared Socioeconomic Pathways (SSPs) indicate that surface O₃ concentrations will increase regionally by 1 to 8 ppbv in 2050. Source attribution analysis highlights the growing importance of CH₄ in the future under current legislation. A change in the global tropospheric O₃ radiative forcing of +0.07 W m⁻² from 2010 to 2050 is predicted using the ECLIPSE scenarios and SSPs, based solely on changes in CH₄ abundance and tropospheric O₃ precursor emissions and neglecting any influence of climate change. Current legislation is shown to be inadequate in limiting the future degradation of surface ozone air quality and enhancement of near-term climate warming. More stringent future

emission controls provide a large reduction in both surface O_3 concentrations and O_3 radiative forcing. The parameterisation provides a simple tool to highlight the different impacts and associated uncertainties of local and hemispheric emission control strategies on both surface air quality and the near-term climate forcing by tropospheric O_3 .

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1 Introduction

Tropospheric ozone (O_3) is an air pollutant at both regional and global scales. It is harmful to human health (Brunekreef and Holgate, 2002; Jerrett et al., 2009; Turner et al., 2016; Malley et al., 2017), whilst also affecting climate (Myhre et al., 2013) and causing damage to natural and managed ecosystems (Fowler et al., 2009; United Nations Economic Commission for Europe (UNECE), 2016). Long-range transport of air pollutants and their precursors can degrade air quality at locations remote from their source region (Fiore et al., 2009). Predicting source–receptor relationships for O_3 is complex due to large natural background sources, formation of O_3 from local emissions, non-linear chemistry and intercontinental transport processes (TF-HTAP, 2010). In particular, it is uncertain how the interaction of local and regional emission controls with global changes (e.g. of methane and climate) could affect O_3 concentrations in the near-term future (2050s) (Jacob and Winner, 2009; Fiore et al., 2012; von Schneidemesser et al., 2015). This is evident from the wide range of modelled O_3 responses in future emission and climate scenarios (Kawase et al., 2011; Young et al., 2013; Kim et al., 2015). The setting and achieving of effective future emission control policies is therefore difficult, as a substantial proportion of O_3 comes from outside individual countries and regions.

Phase 1 of the Task Force on Hemispheric Transport of Air Pollutants (TF-HTAP1) (TF-HTAP, 2010) coordinated several sets of experiments using multiple models to study the source–receptor relationships from the intercontinental transport of O_3 and its precursors. It found that at least 30 % of the total change in surface ozone concentration within a particular source region can be attributed to emission changes of similar magnitude that are external to the source region (TF-HTAP, 2010). This highlights the importance of source contributions outside the control of local/regional air pollutant policies, including those of stratospheric origin, natu-

ral sources and intercontinental transport. Changes in global methane (CH_4) concentrations are also an important contributor to baseline O_3 concentrations and are shown to be as important as changes in local source region emissions (TF-HTAP, 2010). Improving our understanding of the impact of anthropogenic emission changes on the source–receptor relationships arising from the intercontinental transport of tropospheric O_3 and its precursors will ultimately reduce the uncertainty in the impact of O_3 on air quality and climate, improving future predictions.

To predict how O_3 concentrations might respond to future changes in emissions, a simple parameterisation was developed based upon the surface O_3 response in different chemistry models contributing to TF-HTAP1 (Wild et al., 2012). The surface O_3 response in these models was calculated from simulations with reductions in tropospheric O_3 precursor emissions across the four major Northern Hemisphere emission regions (Europe, North America, east Asia and south Asia). The parameterisation using these results provided a fast and simple tool to predict future surface O_3 concentrations for the Intergovernmental Panel on Climate Change (IPCC) Representative Concentration Pathways (RCPs), highlighting the importance of future changes in emissions and CH_4 abundance for surface O_3 concentrations and quantifying the associated uncertainty.

A second phase of model experiments, TF-HTAP2, was initiated to extend the work from TF-HTAP1 and further consider the source–receptor relationships between regional emission reductions and air pollutants. Major advances in TF-HTAP2 include more policy-relevant source–receptor regions aligned to geopolitical borders, a larger variety of idealised 20 % emission reduction experiments, more recent (2008–2010) emission inventories that are consistent across all models and the use of new and updated models (Galmarini et al., 2017).

Here, we improve and extend the parameterisation of Wild et al. (2012) by including additional information from TF-HTAP2 to refine the source–receptor relationships arising from emission changes, long-range transport and surface O_3 formation. The parameterisation provides the contribution from local, remote and methane sources to the total surface O_3 response in each emission scenario. The range of responses from the models contributing to the parameterisation provides an estimate of the uncertainty involved. The parameterisation is extended to estimate changes in tropospheric O_3 burden and its impact on O_3 radiative forcing. It is then used with the latest emission scenarios from Evaluating the CLimate and Air Quality ImPacts of Short-livEd Pollutants (ECLIPSE) V5a (Klimont et al., 2017, 2018) and the sixth Coupled Model Intercomparison Project (CMIP6) (Rao et al., 2017) to explore how source–receptor relationships change in the future, informing the future direction of emission control policies. These predictions of changes in surface and tropospheric O_3 are based solely on changes in precursor emissions, as the parameterisation does not repre-

sent any impact from future changes in climate. Future climate change is expected to alter surface concentrations of ozone through changes to meteorological variables such as temperature, precipitation, water vapour, clouds, advection and mixing processes (Doherty et al., 2017).

Section 2 of this paper describes the parameterisation and the updates from TF-HTAP1 to TF-HTAP2, including the extension from surface O₃ to global tropospheric O₃ and its radiative forcing. Section 3 outlines the testing and validation of the parameterisation. A comparison is made to results from TF-HTAP1, highlighting changes in the O₃ response to changes in methane abundance. In Sect. 4, the parameterisation is applied to the ECLIPSE V5a and CMIP6 emission scenarios to predict future surface O₃ concentrations over the period 2010 to 2050. Section 5 uses the same future emission scenarios to predict future tropospheric O₃ burden and radiative forcing. We conclude by suggesting how this approach could be used to inform future emission policy in relation to O₃ concentrations.

2 Methods

2.1 Parameterisation of ozone

The parameterisation developed in this study is based on an earlier version developed from the TF-HTAP1 experiments by Wild et al. (2012). This simple parameterisation enabled the regional response in surface O₃ concentrations to be estimated based on changes in precursor emissions and CH₄ abundance. The input for this parameterisation came from 14 different models that contributed to TF-HTAP1. All the models ran the same emission perturbation experiments (20 % reduction in emissions of oxides of nitrogen (NO_x), carbon monoxide (CO), non-methane volatile organic compounds (NMVOCs) individually and all together) over the four major Northern Hemisphere source regions of Europe, North America, east Asia and south Asia. Additional experiments included global perturbations of emission precursors (*E*), as well as a 20 % reduction in global CH₄ abundance. The multi-model O₃ responses from the 20 % emission perturbation experiments (ΔO_{3e} for emissions of NO_x, CO and NMVOCs and ΔO_{3m} for CH₄) are then scaled by the fractional emission changes (*r*) from a given emission scenario over each source region (Eq. 1).

$$r_{ij} = \frac{\Delta E_{ij}}{-0.2 \times E_{ij}} \quad (1)$$

The monthly mean O₃ response (ΔO_3) is calculated as the sum over each receptor region (*k*) of the scaled O₃ response from each model to the individual precursor species (*i*—CO, NO_x and NMVOCs) in each of the five source regions (*j*—Europe, North America, east Asia, south Asia and the rest of the world), including the response from the change in global

CH₄ abundance (Eq. 2, reproduced from Wild et al., 2012).

$$\Delta O_3(k) = \sum_{i=1}^3 \sum_{j=1}^5 f_{ij} \Delta O_{3e}(i, j, k) + f_m \Delta O_{3m}(k) \quad (2)$$

Linear scaling of O₃ response is

$$f_{ij} = r_{ij} \quad (3)$$

Scaling accounting for reduced O₃ increases from NO_x and CH₄ is

$$f_{ij} = 0.95r_{ij} + 0.05r_{ij}^2. \quad (4)$$

Scaling for titration regimes where decreasing NO_x increases O₃ is

$$f_{ij} = 1.05r_{ij} - 0.05r_{ij}^2. \quad (5)$$

A linear emission scale factor (Eq. 3) is used in Eq. (2) for each emission scenario involving the precursor emissions of CO and NMVOCs, and is defined as the ratio of the fractional emission change to the 20 % emission reduction in the TF-HTAP1 simulations. A similar scale factor for methane (*f_m*) is based on the ratio of the change in the global abundance of CH₄ to that from the 20 % reduced CH₄ simulation ($\Delta [\text{CH}_4] - 0.2 \times [\text{CH}_4]$). Perturbations to emissions of CO, NO_x and NMVOCs induce a long-term (decadal) change in tropospheric O₃ from the change in the oxidising capacity of the atmosphere (OH) and the CH₄ lifetime (Wild and Akimoto, 2001; Collins et al., 2002; Stevenson et al., 2004). The long-term impacts from 20 % global emission reductions can reduce the O₃ response by 6–14 % from NO_x emission changes and increase the O₃ response by 16–21 % from CO changes (West et al., 2007). This long-term response is not accounted for in the simulations used here, as CH₄ abundances are fixed.

Wild et al. (2012) found that this simple linear scaling relationship between emissions and surface O₃ was sufficient for small emission perturbations, but that the relationship started to exhibit larger non-linear behaviour for larger perturbations, particularly for NO_x. The linear scaling factor was found to be sufficient for the surface O₃ response from emission perturbations of CO and NMVOCs, as non-linear behaviour from these precursors is small (Wu et al. (2009)). To account for non-linear behaviour of surface O₃ to NO_x emission changes, a quadratic scaling factor (Eq. 4) is used, based on additional simulations of surface O₃ response over a larger range of emission perturbations in Wild et al. (2012).

For the special case of source regions that are under titration regimes, where a reduction in NO_x emissions may lead to an increase in O₃, the curvature of the response is reversed for NO_x emission decreases (Eq. 5), as described in Wild et al. (2012). A linear scale factor is used for emission increases under these conditions (Eq. 3). The spatial extent of ozone titration is assumed constant as the parameterisation is

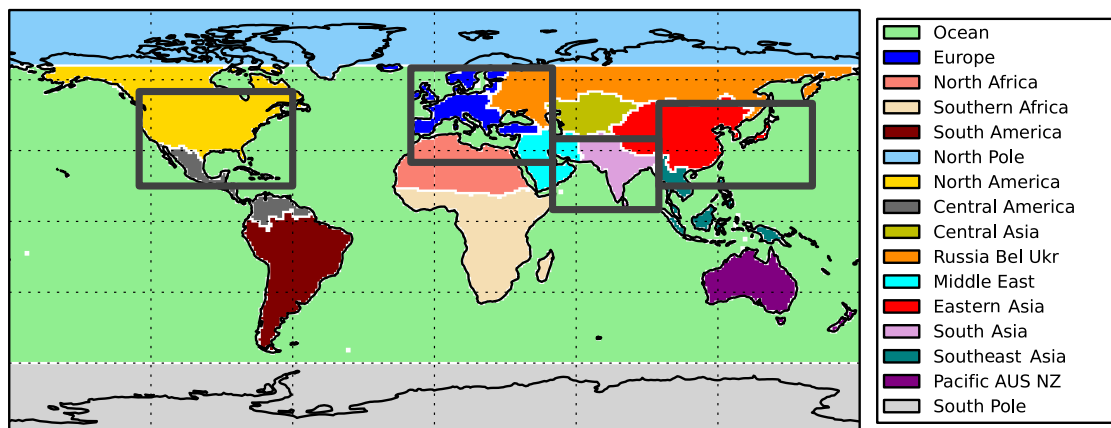


Figure 1. Source–receptor regions used in TF-HTAP2 (coloured regions) and TF-HTAP1 (solid grey line boxes) experiments.

based on differences between two model simulations and is therefore unable to represent any future changes in chemical regime.

The surface O_3 response to changes in global CH_4 abundances shows a similar degree of non-linearity as that from changes in NO_x emissions (Wild et al., 2012). Therefore, the non-linear scale factor (Eq. 4) is also used to represent the O_3 response to changes in CH_4 abundances.

In summary, the surface O_3 response to CO and NMVOC emission perturbations is represented by the linear scale factor (Eq. 3) and to changes in NO_x emissions and CH_4 abundances by the non-linear scale factor (Eq. 4). For source regions under titration regimes, the surface O_3 response to NO_x emissions is limited by Eq. (5) for emission decreases but uses the linear scale factor (Eq. 3) for emission increases. The parameterisation is represented schematically in Fig. S1 of the Supplement.

2.2 Phase 2 of TF-HTAP

A second phase of simulations has been undertaken as part of TF-HTAP to further study the transport of air pollutants and their impacts and to assess potential mitigation options (Galmarini et al., 2017). Phase 2 (TF-HTAP2) involved experiments using new and/or updated models that conducted idealised 20 % perturbation simulations of O_3 emission precursors for different source regions and source sectors over the years 2008 to 2010. A 20 % emission perturbation was chosen to generate a sizeable response, whilst still being small enough to minimise non-linear chemistry effects. To determine the O_3 response to CH_4 changes, simulations increasing methane to 2121 ppbv (18 %) and decreasing to 1562 ppbv (−13 %) from a baseline of 1798 ppbv were undertaken in TF-HTAP2. This range in CH_4 abundances was selected to encompass the uncertainty in CH_4 changes in 2030 from the fifth Coupled Model Intercomparison Project (CMIP5) scenarios of RCP8.5 and RCP2.6 (Galmarini et al., 2017).

The source regions were updated in TF-HTAP2 to represent 14 new regions (excluding the North Pole and South Pole), aligned on geopolitical and land–sea boundaries (Fig. 1). Emission inventories (consistent across all models; Janssens-Maenhout et al., 2015) and meteorology (driving data specific to individual models) were updated to consider the years 2008 to 2010 (the focus of TF-HTAP1 was 2001). The Global Fire Emission Database version 3 (GFED3 – <http://globalfiredata.org/>, last access: 25 October 2017) biomass burning (grassland and forest fires) emissions were recommended for TF-HTAP2 experiments, although some models selected other inventories. Individual modelling groups used their own information for other natural emission sources (e.g. biogenic VOCs, lightning NO_x), as many of these are based on internal model calculations and not externally prescribed datasets.

Priority in TF-HTAP2 was placed on conducting a baseline simulation, a simulation with increased CH_4 concentrations and seven regional simulations involving 20 % reductions of all precursor emissions across the globe, North America, Europe, east Asia, south Asia, Russia/Belarus/Ukraine and the Middle East in the year 2010. A lower priority was given to emission perturbation experiments across the remaining source regions and experiments with individual emission perturbations and perturbations to individual source sectors. Models conducted a consistent core set of 10–20 simulations and then undertook other experiments of their own choosing (see Galmarini et al., 2017 for a full list of models and experiments), resulting in sparse coverage for many of the experiments. This contrasts with TF-HTAP1 where all models conducted the same set of 20 % emission perturbation experiments covering all precursor emissions (individually and combined) and CH_4 across four source regions.

2.3 Improvements to the surface ozone parametric model for TF-HTAP2

Differences in the experimental setup in TF-HTAP1 and TF-HTAP2 mean that it is not straightforward to replace the simulations underpinning the parameterisation of Wild et al. (2012) with those from TF-HTAP2. The larger number of simulations and fewer models involved preclude the development of a robust parameterisation based solely on TF-HTAP2 simulations. We therefore extend the existing parameterisation by including additional information from the new simulations in TF-HTAP2. To maintain a robust response over the major source regions of Europe, North America, east Asia and south Asia, results from the 14 models contributing to TF-HTAP1 over these regions were retained in the parameterisation. Results from the models contributing to TF-HTAP2 were then incorporated, accounting for the different baseline year for emissions (2010 rather than 2001) and the change in size and number of source regions. The following sections discuss in detail how the results from TF-HTAP2 have been incorporated into the parameterisation.

2.3.1 New baseline year

The baseline year used in the parameterisation was first adjusted from 2001 (TF-HTAP1) to 2010 (TF-HTAP2), to reflect changes in anthropogenic emissions between these years. It should be noted that the emission inventories used in TF-HTAP1 were not consistent between models, particularly for NMVOCs, and this partially contributed to the different O₃ responses (Fiore et al., 2009). In TF-HTAP2, the same anthropogenic emission inventory was used in all models to prevent uncertainty in anthropogenic emissions dominating the variability across models.

The parameterisation of Wild et al. (2012) was used to calculate new baseline O₃ concentrations in 2010 for use in this version of the parameterisation and for comparison to the TF-HTAP2 multi-model mean. To account for different CH₄ abundances, the change between TF-HTAP1 and TF-HTAP2 was used. The mean fractional change in NO_x, CO and NMVOC emissions between 2000 and 2010 across the TF-HTAP1 source regions from two different emission inventories, MACCity (Granier et al., 2011) and Emissions Database for Global Atmospheric Research (EDGAR) v4.3.1 (Crippa et al., 2016), was used (Table 1), as the use of a specific emissions inventory was not prescribed for the TF-HTAP1 experiments (see Fiore et al., 2009). The MACCity and EDGAR inventories provide a consistent set of emissions in 2000 and 2010, enabling the change in emissions between future and historical time periods to be explored. Table 1 shows that the emissions of NO_x, CO and NMVOCs increased in Asia and decreased across Europe and North America over the period 2000 to 2010. The year 2000 was used as a consistent starting point for both emission invento-

ries and can be considered the equivalent of 2001 in representing changes to 2010.

The parameterised surface ozone response in 2010 was calculated using the method of Wild et al. (2012), based on the individual response of 14 TF-HTAP1 models using the fractional emission changes in Table 1. The parameterised ozone response across the original TF-HTAP1 source–receptor regions was compared to a multi-model ozone concentration in 2010 from the baseline simulations of seven TF-HTAP2 models that use the TF-HTAP2 emissions (Janssens-Maenhout et al., 2015). Table 2 shows that the O₃ concentrations from the parameterisation (H-P) are within the spread of the individual model values from TF-HTAP2 (H-2), represented by 1 standard deviation, over most of the receptor regions. The large range and standard deviation in Table 2 highlight the large spread in O₃ concentrations over the models in both sets of experiments (H-1 and H-2). The range in O₃ concentrations is much larger than the differences between the parameterised values and the TF-HTAP2 multi-model mean in 2010. This indicates that the range of responses over the models dominates the uncertainty in O₃ concentrations and is much greater than differences due to the subset of models contributing to each study or from changing emissions over the period 2000 to 2010.

2.3.2 Source region adjustment

The original parameterisation was based on the continental-scale emission source regions defined in TF-HTAP1. To continue using these results in an improved parameterisation, the O₃ response fields were adjusted to represent the equivalent source regions in TF-HTAP2. The different regional definitions used within TF-HTAP1 and TF-HTAP2 experiments are shown in Fig. 1 and are particularly large for Europe, where the TF-HTAP1 source region covers parts of five TF-HTAP2 source regions (Europe, Ocean, north Africa, Middle East and Russia/Belarus/Ukraine). O₃ response fields from TF-HTAP1 models that formed the basis of the original parameterisation were adjusted to be more representative of the equivalent TF-HTAP2 source region.

No single model contributed experiments in both TF-HTAP1 and TF-HTAP2 to inform the adjustment of source regions. Therefore, 20 % emission perturbation simulations were conducted with the Hadley Centre Global Environment Model version 2 – Earth system configuration (HadGEM2-ES) (Collins et al., 2011; Martin et al., 2011), which contributed to TF-HTAP2 experiments, for the TF-HTAP1 source regions of Europe, North America, east Asia and south Asia. The ratio of the O₃ responses between the simulations using TF-HTAP1 and TF-HTAP2 source regions was then applied to the O₃ response fields from each of the TF-HTAP1 models used in the parameterisation of Wild et al. (2012). We assume that each model behaves in a similar way as HadGEM2-ES when the source regions are adjusted in this way. This generates an O₃ response field from emis-

Table 1. Summary of annual mean NO_x, CO and NMVOC emission changes (%) between 2000 and 2010 over TF-HTAP1 source regions (values are mean differences from the MACCity and Emissions Database for Global Atmospheric Research (EDGAR) v4.3.1 emission inventories).

	Annual total relative (%) emission change between 2000 and 2010					
	Global	Europe	North America	South Asia	East Asia	Rest of the world
NO _x	9.5	−8.4	−25.0	49.8	42.1	13.0
CO	−1.2	−27.1	−47.1	18.8	15.6	9.0
NMVOCs	5.2	−9.7	−31.2	32.1	24.8	10.0

Table 2. Summary of multi-model annual mean surface ozone values from all TF-HTAP1 models in 2001 (H-1), the parameterisation of TF-HTAP1 models scaled for emissions in 2010 (H-P) and all TF-HTAP2 models in 2010 (H-2, seven models contributing).

	Ozone concentrations (ppbv)														
	Global			Europe			North America			South Asia			East Asia		
	H-1	H-P	H-2	H-1	H-P	H-2	H-1	H-P	H-2	H-1	H-P	H-2	H-1	H-P	H-2
Min	21.2	21.5	23.0	30.2	30.1	29.9	29.4	28.3	29.7	35.2	37.6	35.3	28.9	30.8	31.7
Mean	27.4	27.2	26.4	37.4	36.9	35.8	35.8	34.9	35.1	40.1	42.4	40.7	35.5	37.2	35.5
Max	32.0	30.0	32.3	42.8	42.4	42.0	40.8	39.7	41.2	44.8	48.0	50.7	38.9	40.7	41.3
SD	2.94	2.71	3.33	3.84	3.79	4.45	3.56	3.54	3.85	3.73	3.80	3.35	2.92	2.91	5.27

NB: the TF-HTAP2 models used to provide the 2010 ozone concentrations are CAMchem, Chaser_re1, Chaser_t106, C-IFS, GEOS-Chem adjoint, HadGEM2-ES and Oslo CTM.

sion perturbations within the equivalent TF-HTAP2 source regions of Europe, North America, east Asia and south Asia. The resulting O₃ parameterisation is based on a larger number of models (14 adjusted TF-HTAP1 models) than would have been available from using TF-HTAP2 simulations alone (seven TF-HTAP2 models), allowing for a larger diversity of model responses to represent the four major emission source regions.

2.3.3 Additions from TF-HTAP2

The O₃ responses from emission perturbations for the other 10 TF-HTAP2 source regions were then used to augment the source-region-adjusted O₃ response fields from TF-HTAP1. This extends the parameterisation to cover a much larger range of source regions (14 in total) than was previously possible. Here, receptor regions are defined in accordance with those in TF-HTAP2 (16 in total), although it is possible to define any required receptor regions using the global distribution of O₃ responses. Table 3 lists the number of model simulations available for the TF-HTAP2 source regions over and above the four main source regions of Europe, North America, south Asia and east Asia, highlighting the sparseness of results for some of the TF-HTAP2 regions.

The monthly O₃ response fields from the additional 10 TF-HTAP2 emission source regions were converted onto the same standard grid (1° × 1° in the horizontal, with 21 vertical levels based on regular pressure intervals from the surface at 1000 hPa to an upper level of 10 hPa) as used for the four

source regions from the adjusted TF-HTAP1 models. In addition, the fields from the TF-HTAP1 models are based on the O₃ response to the individual emission perturbations of NO_x, CO and NMVOCs, whereas the regional emission perturbation simulations for TF-HTAP2 are based on all emission precursors together (due to the limited availability of results from regional individual precursor emission simulations in TF-HTAP2). To maintain consistency with the TF-HTAP1 parameterisation, the O₃ response for each TF-HTAP2 emission perturbation simulation is divided up to represent the response from individual emission precursors, as Wild et al. (2012) and Fiore et al. (2009) previously showed that O₃ responses from individual emission perturbations matched closely to those from combined emission changes (within 2–7%). Therefore, the fractional contribution from individual emissions to the total O₃ response in the multi-model mean of TF-HTAP1 models is used to apportion the contribution from individual emissions in TF-HTAP2 simulations to the total O₃ response.

The CH₄ perturbation experiments in TF-HTAP2 were based on global changes of −13 and +18% to reflect the expected atmospheric abundance in RCP2.6 and RCP8.5, respectively. These were adjusted to the 20% reduction used in TF-HTAP1 using the parameterisation, allowing O₃ responses to CH₄ from the original 14 TF-HTAP1 models and the five TF-HTAP2 models that provided sufficient results to be combined.

Table 3. Models contributing to each of the TF-HTAP2 emission perturbation experiments, in addition to those for the source regions of Europe, North America, south Asia and east Asia.

TF-HTAP2 model	TF-HTAP2 experiment											
	CH4INC	CH4DEC	MDE	RBU	NAF	SAF	MCA	SAM	SEA	CAS	PAN	OCN
GFDL-AM3 (Lin et al., 2012)	X											
C-IFS (Flemming et al., 2015)	X											
CAM-Chem (Tilmes et al., 2016)			X	X								
CHASER_re1 (Sudo et al., 2002)	X		X	X								
CHASER_t106 (Sudo et al., 2002)	X											
EMEP_rv4.8 (Simpson et al., 2012)	X		X	X	X							X
GEOS-Chem (Henze et al., 2007)			X	X								
HadGEM2-ES (Collins et al., 2011)	X	X	X	X	X	X	X	X	X	X	X	
Oslo CTM3_v2 (S�vde et al., 2012)	X	X	X	X			X	X				
Total number of models	7	2	6	6	2	1	2	2	1	1	1	1

MDE – Middle East, RBU – Russia/Belarus/Ukraine, NAF – north Africa, SAF – southern (Sub-Saharan/Sahel) Africa, MCA – Mexico and Central America, SAM – South America, SEA – southeast Asia, CAS – central Asia, PAN – Pacific Australia and New Zealand, OCN – Ocean (for region definitions, see Koffi et al., 2016).

2.3.4 Extension to tropospheric ozone

The parameterisation has been extended from the surface through the depth of the troposphere, enabling the calculation of the tropospheric O₃ burden. The three-dimensional monthly O₃ fields from the model simulations are interpolated onto 21 vertical levels at regularly spaced mid-level pressure intervals from 1000 to 10 hPa. These O₃ fields were then used with the parameterisation to generate global and regional tropospheric O₃ burdens for each scenario, with the tropopause defined as an O₃ concentration of 150 ppbv (Prather et al., 2001). An O₃ radiative forcing is derived by using the tropospheric O₃ burden from the parameterisation and the relationship between radiative forcing and tropospheric column O₃ change based on multi-model ensemble mean results from the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP) (Stevenson et al., 2013). This relationship is provided as a two-dimensional global map, enabling regional and global O₃ radiative forcing to be calculated from the parameterisation.

3 Testing and validation

The original parameterisation developed by Wild et al. (2012) was based on the surface O₃ response to 20% continental-scale emission perturbations from TF-HTAP1 for 2001. We have adopted the same approach but have made a number of major improvements: updating the base year to 2010, including additional models from TF-HTAP2, extending the number of source regions to 14 and generating three-dimensional O₃ responses to permit calculation of tropospheric O₃ burden and O₃ radiative forcing for any scenario. To test and verify the improved parameterisation, additional simulations have been conducted with HadGEM2-ES, which are discussed in the following sections.

3.1 Limits of linear scaling

We conducted experiments with HadGEM2-ES where all O₃ anthropogenic precursor emissions were reduced by 50 and 75% over Europe, to complement the existing 20% emission reduction scenarios performed as part of TF-HTAP2. Figure 2 shows a comparison of the annual and monthly surface O₃ response from the 20, 50 and 75% European emission reduction simulations across Europe (a local receptor) and North America (remote receptor) using HadGEM2-ES and the parameterisation based on the O₃ response fields from HadGEM2-ES alone (a self-consistent test of the parameterisation). The largest errors of < 1 ppbv occur over the source region (Fig. 2c), with smaller errors of < 0.1 ppbv for the remote receptor region (Fig. 2d). This small internal error between the parameterisation based on HadGEM2-ES and HadGEM2-ES simulations indicates that the parameterisation of O₃ is working well for emission changes at least as great as 50%. This is similar to the results of Wild et al. (2012) where more detailed testing found that the parameterisation resulted in errors of < 1 ppbv for emission perturbations of up to 60%. Here, monthly mean errors are < 1 ppbv even for a 75% emission reduction (Fig. 2). The parameterisation is not expected to perform as well for emission perturbations of larger than ±60% and in source regions under titration regimes. Figure S2 compares the output of HadGEM2-ES simulations with the parameterisation based on O₃ response fields from multiple models. The magnitude of error is larger at ~2.0 ppbv over Europe and ~0.3 ppbv over North America for a 75% reduction. This highlights that the uncertainty in the parameterised O₃ response is dominated by the large spread in O₃ responses over the different models rather than by errors in the parameterisation itself.

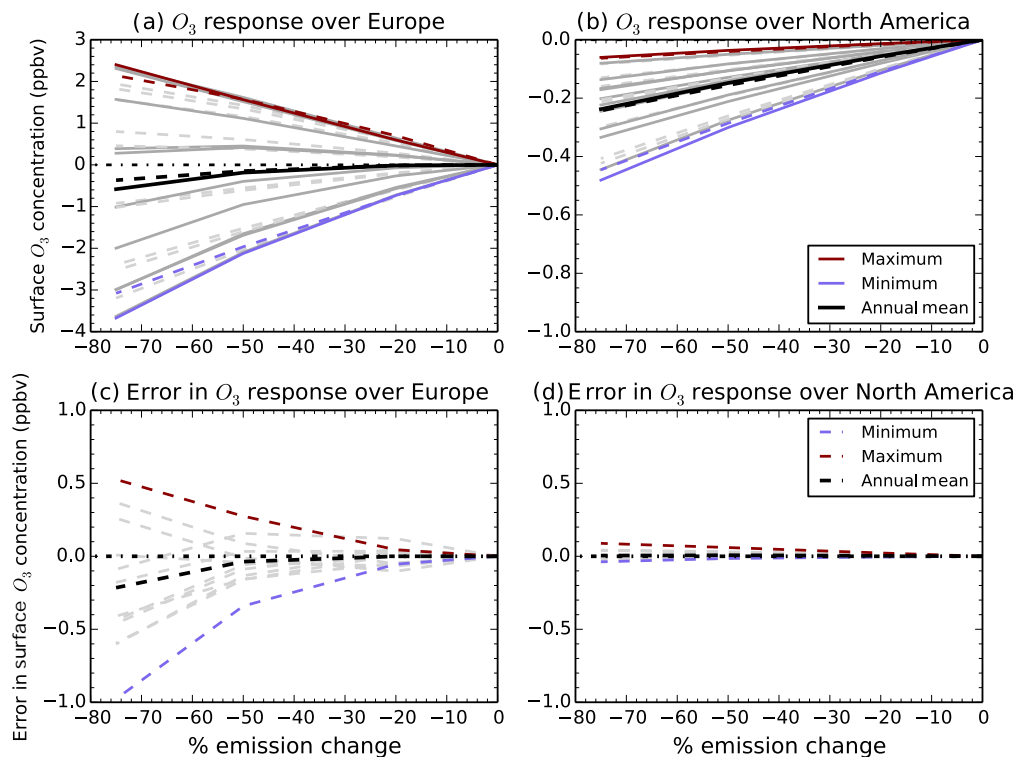


Figure 2. Sensitivity of monthly surface O_3 changes in HadGEM2-ES (solid lines) and that of the parameterised response using solely HadGEM2-ES as input (dashed lines) to 20, 50 and 75 % reductions in all precursor emissions over the European source region (a) and the remote receptor region of North America (b). The difference (error) between HadGEM2-ES and the parameterised response is shown over Europe (c) and North America (d). Annual mean values are in black with monthly responses in grey, and the highest and lowest months are highlighted in red and blue.

3.2 Global emission perturbation

To further test the parameterisation, we compare the surface O_3 response from the parameterisation to a HadGEM2-ES model simulation using the ECLIPSE V5a current legislation scenario (CLE) in 2030 (see http://www.iiasa.ac.at/web/home/research/researchPrograms/air/Global_emissions.html (last access: 2 August 2017); Klimont et al., 2017, 2018). The ECLIPSE V5a emission scenarios provide future greenhouse gas and air pollutant emissions based on assumptions of energy use, economic growth and emission control policies for different anthropogenic emission sectors from the International Energy Authority (IEA). Three scenarios from ECLIPSE V5a are used in this study: CLE assumes future implementation of existing environmental legislation, current legislation with climate policies (CLIM) is an energy and climate scenario targeting 2 °C of climate warming in which air pollutants and CH_4 are reduced, and maximum technical feasible reduction (MTFR) is the introduction of maximum feasible available technology assuming no economic or technological constraints. Emissions of O_3 precursor species and CH_4 are available at decadal increments

over the period 2010 to 2050 for each ECLIPSE scenario (MTFR is only available for 2030 and 2050). The CH_4 abundance was derived from the CH_4 emissions at decadal increments by using a simple box model that accounts for the sources and sinks of CH_4 and the feedbacks on its chemical lifetime following Holmes et al. (2013). Table 4 shows changes in annual CH_4 abundances and NO_x emissions from the ECLIPSE scenario, with changes in CO and NMVOCs shown in Tables S1 and S2 of the Supplement, respectively. An ECLIPSE CLE 2030 scenario was generated by scaling the anthropogenic emissions in the TF-HTAP2 BASE scenario by the fractional emission changes in NO_x , CO and NMVOCs in CLE. A HadGEM2-ES simulation was performed using the change in emissions based on CLE for comparison to the parameterisation.

Monthly (Fig. 3) and annual (Table 5) surface O_3 changes between 2010 and 2030 over the TF-HTAP2 regions for the ECLIPSE V5a CLE scenario from the HadGEM2-ES simulation are compared to those from the parameterisation (based solely on HadGEM2-ES model responses and based on responses from all models). This shows that the parameterisation is able to reproduce the magnitude and seasonal-

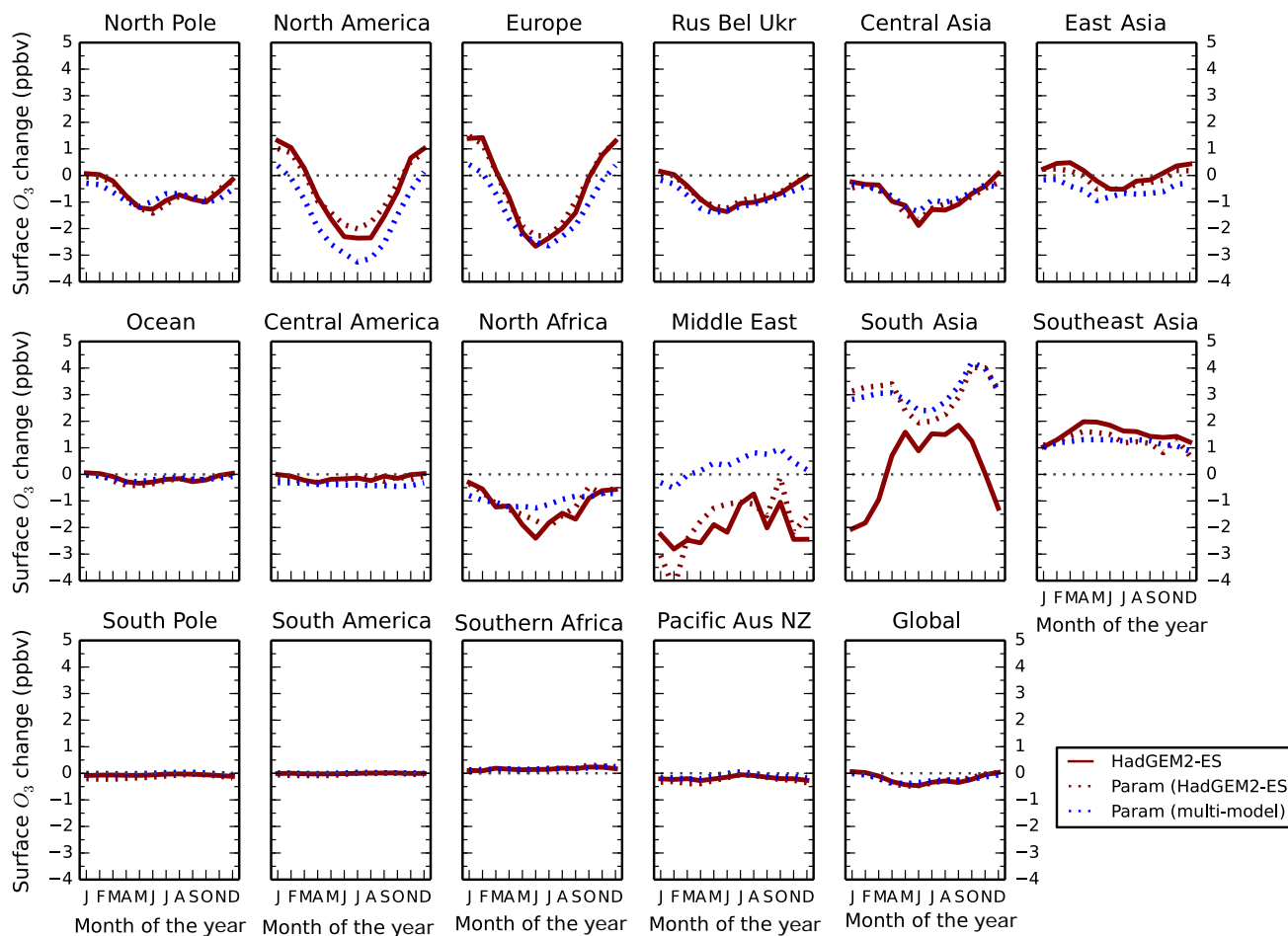


Figure 3. Monthly mean regional surface O_3 changes between 2010 and 2030 for the ECLIPSE V5a CLE scenario in HadGEM2-ES (red) and the parameterised response based on only HadGEM2-ES inputs (red dashed) and multiple model inputs (blue dashed).

ity of surface O_3 changes over different regions when compared to the responses from a full global emission perturbation simulation. In particular, the parameterisation is able to reproduce the seasonality in O_3 across Europe and North America, indicating that the adjustment to represent the new TF-HTAP2 sources regions is valid. Differences between the parameterisations highlight regions where HadGEM2-ES model responses differ from those of the multi-model mean. This is particularly evident for the Middle East, where there are differences of as much as 2 ppb. However, the parameterisation based on results of the HadGEM2-ES model alone agrees relatively well with the model simulation, as expected.

For south Asia, the parameterisation based on HadGEM2-ES and on the multi-model responses agrees well but differs substantially (in sign and magnitude) from the HadGEM2-ES simulated O_3 changes. The largest difference in surface O_3 concentrations of 5 ppbv between the model and the parameterisation occurs in the winter months (December–February), with differences in summer being much smaller (0.5 to 1 ppbv). Over the south Asia region, the ECLIPSE

CLE emission scenario predicts a $\sim 70\%$ increase in NO_x emissions by 2030 (Table 4). The large increase in emissions causes the chemical environment in HadGEM2-ES in January to shift from O_3 production to that of titration (Fig. S3). The parameterisation is not able to represent this shift (Fig. S4) as it is based on a single ozone response to a 20% emission reduction (Fig. S5) and is unable to capture the strongly non-linear transition into a net ozone titration regime. This is a smaller problem over North America, Europe or east Asia, as wintertime titration regimes are already present over these regions. This effect seen over south Asia highlights a weakness in the parameterised approach in representing strongly non-linear chemical regimes where there are large emission changes, although we note that the errors would be worse if a linear scaling was used. The boundary layer mixing in HadGEM2-ES over south Asia (a region with challenging topography) has been shown to be insufficient, particularly in winter (Hayman et al., 2014; O’Connor et al., 2014), and a large increase in NO_x emissions could lead to a transition to O_3 titration over this region, accounting for

Table 4. Percentage change in global CH₄ abundance and global and regional annual NO_x emissions relative to 2010 over each TF-HTAP2 region for the different ECLIPSE V5a emission scenarios (CLE, CLIM and MTRF). MTRF scenarios are only available for 2030 and 2050.

TF-HTAP2 region	Annual total emission change (%) from 2010									
	CLE				CLIM				MTRF	
	2020	2030	2040	2050	2020	2030	2040	2050	2030	2050
Global CH ₄ abundance	4	12	21	32	3	8	11	13	−9	−21
Global NO _x	−7	−6	6	19	−17	−27	−26	−24	−88	−86
	Regional NO _x emissions									
Central America	13	11	21	30	1	−16	−16	−11	−46	−79
Central Asia	10	15	18	26	−5	−16	−26	−32	−57	−80
East Asia	−14	−16	−8	−3	−16	−27	−25	−24	−50	−61
Europe	−31	−46	−50	−50	−33	−51	−57	−58	−67	−72
Middle East	18	31	51	72	−16	−19	−20	−23	−37	−76
North Africa	−9	3	24	53	−24	−25	−16	−2	−56	−71
North America	−28	−51	−51	−51	−31	−55	−59	−64	−73	−78
North Pole	1	−1	−15	−13	−15	−22	−19	−23	−61	−78
Ocean	−6	−0.2	11	25	−14	−22	−29	−27	−51	−64
Pacific Aus NZ	−20	−31	−32	−33	−28	−53	−58	−63	−72	−84
Russia Bel Ukr	−1	−4	−9	−8	−18	−28	−29	−35	−62	−74
Southern Africa	10	13	30	49	−12	−21	−18	−12	−41	−50
South America	−6	1	15	28	−9	−11	−6	−2	−46	−66
South Asia	19	67	139	199	−1	12	41	66	−29	−48
Southeast Asia	24	45	71	101	−1	−7	−5	1	−35	−59

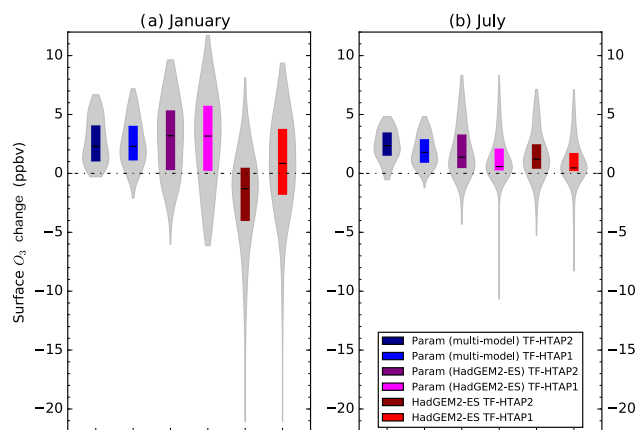


Figure 4. January (a) and July (b) monthly mean surface O₃ changes over south Asia between 2010 and the ECLIPSE V5a CLE emission scenario in 2030 for HadGEM2-ES and the parameterised response based only on HadGEM2-ES and on multiple models. O₃ responses are calculated over the south Asia region as defined in both TF-HTAP1 and TF-HTAP2 (Fig. 1). Grey shading represents the spatial distribution of O₃ changes across all grid boxes, coloured boxes show the range of the 25th to 75th percentile values, and the solid line shows the median value over the south Asia region.

some of the discrepancy in surface O₃ responses. However, it is able to represent the O₃ responses in the TF-HTAP2 models for a smaller emission change of 20 % over south Asia (Table 6).

As the parameterisation of Wild et al. (2012) did not show a similar discrepancy over south Asia for large emission perturbations, a comparison has been made between the monthly surface O₃ response from HadGEM2-ES and the parameterisation across both the TF-HTAP1 and TF-HTAP2 definitions of south Asia in January and July (Fig. 4). This shows that continental O₃ titration in January is less evident in the HadGEM2-ES simulation over the larger TF-HTAP1 south Asia region, as it includes a large area of ocean. The TF-HTAP2 south Asia region is only continental and HadGEM2-ES shows the larger impact of O₃ titration over the continental region in January. The parameterisation and HadGEM2-ES O₃ responses agree much better over south Asia in July when there is less evidence of O₃ titration effects. The parameterisation, using only HadGEM2-ES as input, is not able to represent the O₃ response in HadGEM2-ES over TF-HTAP2 south Asia as it is based on a 20 % emission reduction simulation of HadGEM2-ES, where the extent of O₃ titration over the continental area is small. Additional model simulations conducted with large emission increases over south Asia would be valuable to further explore this issue, although none are currently available.

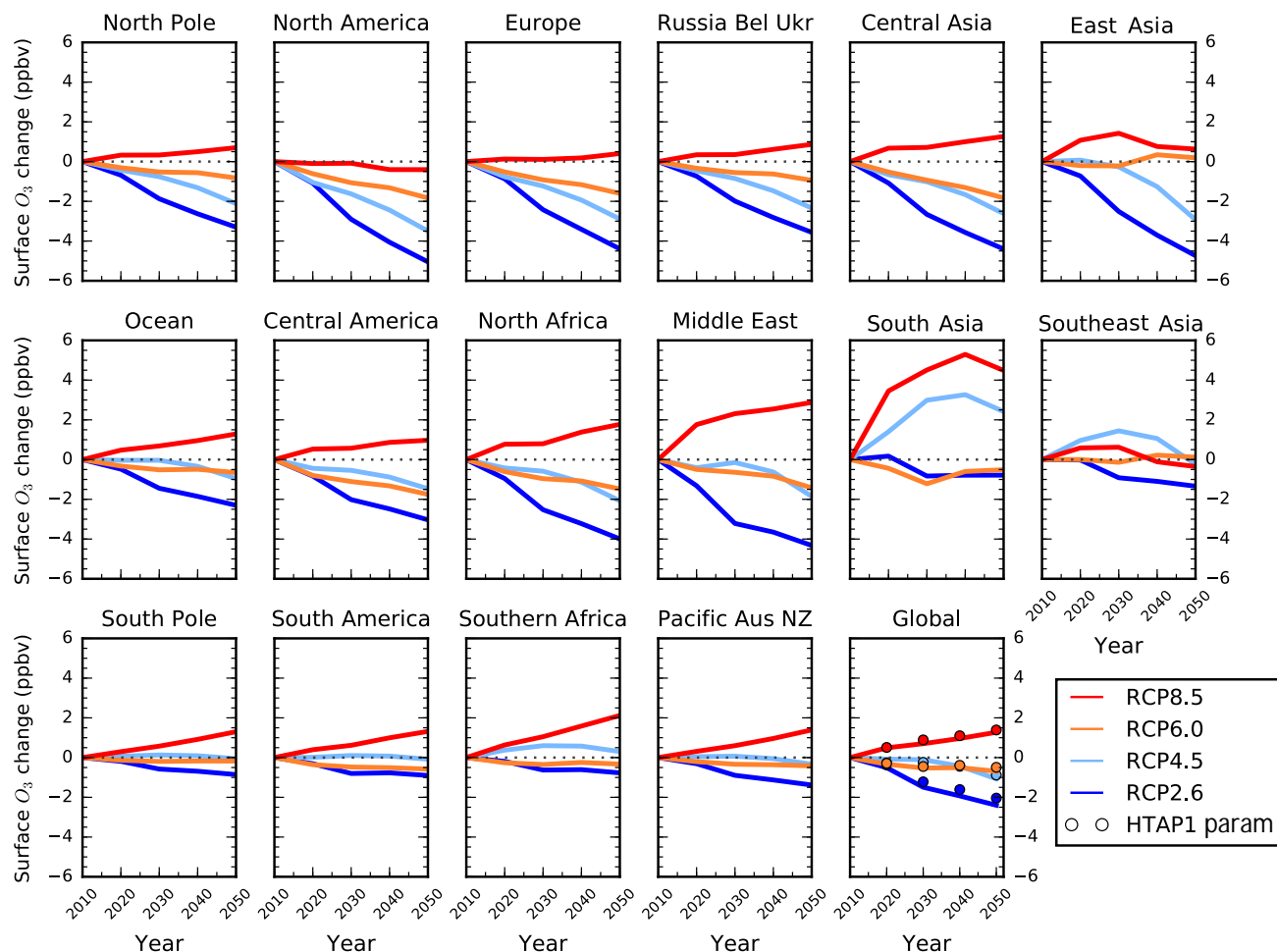
These results highlight that caution is needed when applying the parameterisation with emission changes larger than

Table 5. Parameterised responses based only on HadGEM2-ES input for annual mean surface ozone, global ozone burden and ozone radiative forcing using the ECLIPSE CLE emission scenarios in 2030, with changes calculated relative to the year 2010.

Scenario	Surface ozone (ppbv)		Ozone burden (Tg)		Ozone radiative forcing (mW m^{-2})	
	Param ¹	HadGEM2-ES	Param ¹	HadGEM2-ES	Param ¹	HadGEM2-ES ²
ECL 2030	-0.21	-0.20	-0.93	-0.95	-0.6	-0.9

¹ Parameterisation based only on HadGEM2-ES input.

² Ozone radiative forcing is calculated by applying the same methodology as in the parameterisation (using the relationship between radiative forcing and tropospheric column O₃ change based on multi-model ensemble mean results from ACCMIP).

**Figure 5.** Annual mean regional surface O₃ changes between 2010 and 2050 from the parameterisation for the CMIP5 emission scenarios of RCP8.5 (red), RCP6.0 (orange), RCP4.5 (light blue) and RCP2.6 (blue). The global surface O₃ response from the parameterisation of Wild et al. (2012) for each scenario is represented as circles, but due to differences in regional definitions a straightforward comparison with TF-HTAP1 regions (Europe, North America, south Asia and east Asia) is not possible.

50–60 %, as noted previously in Wild et al. (2012). In particular, the shift into O₃ chemical titration regimes cannot be represented easily in a simple parameterisation. For smaller emission changes, the parameterisation is shown to be relatively robust at representing monthly surface O₃ changes.

3.3 Comparison to HTAP1

3.3.1 CMIP5 scenarios

We now use the improved parameterisation described above to explore how future predictions of regional surface O₃ for

Table 6. Monthly and annual mean surface O₃ changes (ppbv) over south Asia due to a 20 % reduction in anthropogenic precursor emissions over this region. Multi-model mean values are shown with ± 1 SD for the available TF-HTAP2 models and the parameterised approach based on multiple models.

TF-HTAP2 south Asia experiment	Surface O ₃ response (ppbv ± 1 SD)				
	January	April	July	October	Annual mean
TF-HTAP2 multi-model mean	-1.67 ± 0.73	-1.48 ± 0.29	-1.22 ± 0.21	-1.72 ± 0.44	-1.51 ± 0.35
Parameterisation (multi-model) mean	-1.58 ± 0.54	-1.48 ± 0.39	-1.09 ± 0.33	-1.89 ± 0.55	-1.50 ± 0.29

NB: models contributing to the multi-model mean are C-IFSv2, CAMchem, CHASER_re1, CHASER_t106, GEOSCHEM-adjoint, HadGEM2-ES and Oslo CTM3v2.

the RCPs used in CMIP5 have changed since TF-HTAP1. The four RCPs assume different amounts of climate mitigation to reach a target anthropogenic radiative forcing in 2100: RCP2.6, RCP4.5, RCP6.0 and RCP8.5 (van Vuuren et al., 2011). Emissions of O₃ precursor species and CH₄ are available at decadal increments over the period 2010 to 2050 for each RCP. CH₄ emissions are converted to CH₄ abundances in each RCP using the MAGICC model which takes into account feedbacks on the CH₄ lifetime (Meinshausen et al., 2011). The parameterisation only accounts for the impact from changes in anthropogenic emissions over the period 2010 to 2050 and does not account for changes in climate, but on this near-term timescale changes in O₃ are dominated by emission changes rather than climate effects (Fiore et al., 2012). There are large differences in global CH₄ abundances in the four scenarios, and this strongly influences the O₃ responses.

Figure 5 shows the change in surface O₃ across TF-HTAP2 regions for each of the RCPs. Surface O₃ decreases across most regions in the majority of the scenarios as O₃ precursor emissions are reduced. The largest increases in surface O₃ occur over south Asia in RCP8.5 due to the expected increases in O₃ precursor emissions from 2010 to 2050, although we note that this effect may be exaggerated by the large increase in NO_x emissions here in RCP4.5 and RCP8.5. Surface O₃ concentrations are predicted to increase over most regions in RCP8.5 with increases of 2 ppbv by 2050 over the Middle East and southern Africa (Table S3). The results in Fig. 5 across Europe, North America, south Asia, east Asia and globally are similar to those based on TF-HTAP1 in Wild et al. (2012) (Fig. 5 and Table 7) but differ slightly in magnitude due to the change in the spatial extent of the individual source regions from TF-HTAP1 to TF-HTAP2. Additionally, the parameterisation here provides O₃ changes for other regions that were not previously available, including the Middle East and Africa. This provides useful additional information on surface O₃ over these important regions under future emission change.

3.4 Sensitivity of ozone to methane

The importance of controlling CH₄ to achieve future reductions in O₃ has been highlighted in earlier studies, along

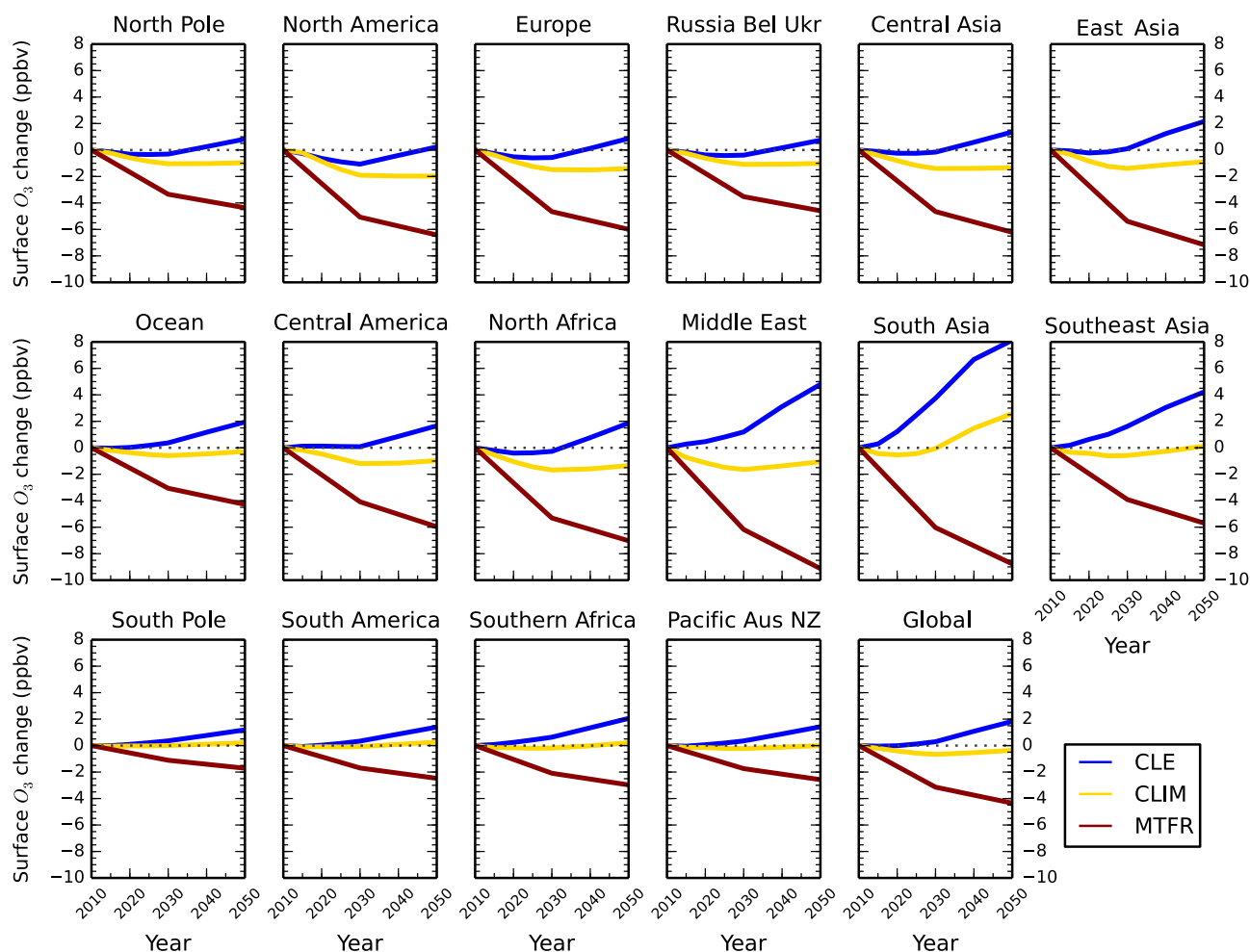
with the large uncertainty in the response of O₃ to CH₄ changes (Fiore et al., 2009; Wild et al., 2012). The inclusion of new models provides an opportunity to assess whether the sensitivity of O₃ to CH₄ identified in TF-HTAP1 remains the same. Experiments with both increased (CH4INC) and decreased (CH4DEC) global abundance of CH₄ were conducted in TF-HTAP2. However, these experiments used an increase of 18 % and a reduction of 13 % to align with 2010 to 2030 changes in global CH₄ abundance under RCP8.5 and RCP2.6, in contrast to the 20 % reduction used in TF-HTAP1.

Wild et al. (2012) found that a 20 % increase in CH₄ abundance yielded an 11.4 % smaller surface O₃ response than that from a 20 % decrease in CH₄. For simplicity, the parameterisation used the same non-linear scaling factor as for NO_x emissions (Eq. 4), which represents a 10 % smaller response for successive 20 % emission increases. The two TF-HTAP2 models that contributed results to both CH4DEC and CH4INC simulations allow us to check the expression used here. We find a slightly larger sensitivity, with both models yielding a 12.6 % smaller surface O₃ response for an increase in CH₄ than a decrease. Since this O₃ response to CH₄ in TF-HTAP2 is comparable to that from TF-HTAP1, for simplicity and consistency, we chose to retain the same representation of non-linearity for both NO_x and CH₄ (Eq. 4), as used in Wild et al. (2012).

To enable a direct comparison with TF-HTAP1 results, the O₃ response from the CH4DEC and CH4INC experiments in TF-HTAP2 are scaled to represent the response from a 20 % reduction in CH₄ abundances. An adjustment factor is calculated based on the global mean difference between the TF-HTAP2 O₃ response in each experiment and that of an equivalent 20 % reduction in CH₄ abundance, resulting in a factor of 1.557 for CH4DEC and -1.256 for CH4INC. The global mean O₃ responses from CH4DEC (-0.69 ± 0.01 ppbv, two models) and CH4INC (0.81 ± 0.14 ppbv, seven models) are adjusted to generate the equivalent O₃ responses to a 20 % reduction in CH₄ abundance, which are used in the parameterisation (-1.05 ± 0.12 ppbv). This response is ~ 14 % larger globally than that in TF-HTAP1 (-0.90 ± 0.14 ppbv, 14 models), highlighting a slightly increased sensitivity of O₃ to CH₄.

Table 7. Annual mean surface O₃ change (ppbv plus 1 standard deviation) in 2030 and 2050 (relative to 2000) for each RCP scenario derived from the parameterisation in this study and that of Wild et al. (2012).

CMIP5 RCP	Global surface O ₃ response from 2000 to 2050 (ppbv)			
	This study		Wild et al. (2012)	
	2030	2050	2030	2050
RCP2.6	-1.1 ± 0.1	-1.9 ± 0.3	-1.1 ± 0.3	-2.0 ± 0.5
RCP4.5	-0.1 ± 0.1	-0.8 ± 0.2	-0.2 ± 0.2	-0.8 ± 0.4
RCP6.0	-0.4 ± 0.1	-0.4 ± 0.1	-0.4 ± 0.1	-0.4 ± 0.2
RCP8.5	+1.0 ± 0.2	+1.5 ± 0.5	+1.0 ± 0.2	+1.5 ± 0.5

**Figure 6.** Annual mean change in regional surface O₃ concentrations between 2010 and 2050 from the parameterisation for the ECLIPSE V5a emissions under the CLE (blue), CLIM (gold) and MTR (red) scenarios.

To explore the differences between TF-HTAP1 and TF-HTAP2 models, the CH₄ lifetime and feedback factor for each TF-HTAP2 model (where data are available) can be calculated in accordance with Fiore et al. (2009). The feedback factor is the ratio of the atmospheric response (or perturbation) time to global atmospheric lifetime and describes

how the atmospheric CH₄ abundance responds to a perturbation in CH₄ emissions; e.g. a feedback factor of 1.25 means that a 1% increase in emissions would ultimately generate a 1.25% increase in CH₄ concentrations (Fiore et al., 2009). The feedback factors can be used in conjunction with CH₄ emission changes for a region to relate the O₃ response from

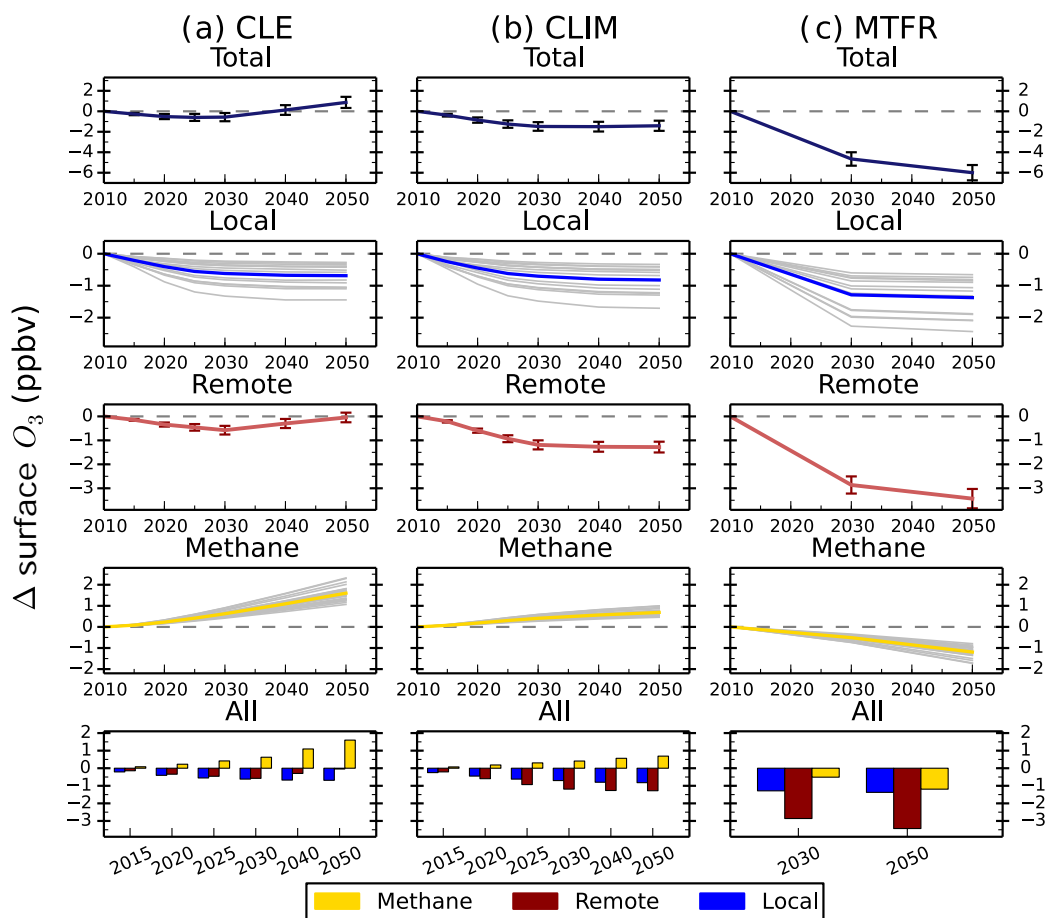


Figure 7. Total annual mean change in regional surface O_3 concentrations over Europe and the contribution of local (blue), remote (red) and methane (gold) sources between 2010 and 2050 from the parameterisation for the ECLIPSE V5a emissions under the CLE (a), CLIM (b) and MTRF (c) scenarios. Grey lines on the local and methane panels represent individual model estimates of O_3 changes, showing the spread in model responses; solid lines show the multi-model mean. Error bars represent 1 standard deviation over the model range. The last row of panels shows the O_3 response from individual sources plotted together for each year.

Table 8. Methane lifetime (τ) and feedback factor in TF-HTAP2 models that provided appropriate data (OH and CH_4 concentrations).

Model	TF-HTAP2 experiment	τ_{OH}^1	T_{total}^2	F^3
CHASER_re1	BASE	7.19	6.51	
	CH4INC	7.62	6.86	1.46
HadGEM2-ES	BASE	8.8	7.8	
	CH4INC	9.29	8.17	1.40
	CH4DEC	8.43	7.51	1.37
TF-HTAP1 mean		10.19 ± 1.72	8.84 ± 1.33	1.33 ± 0.06

¹ CH_4 lifetime for loss by tropospheric OH (years) is defined as atmospheric burden in each experiment divided by the tropospheric CH_4 loss rate with OH using a tropopause defined as a concentrations of O_3 of 150 ppb.

² Total atmospheric CH_4 lifetime (years) is defined as the reciprocal mean of τ_{OH} and assuming a lifetime in the stratosphere and soils of 120 years and 160 years, respectively (Prather et al., 2001).

³ The feedback factor is the ratio of the atmospheric response (or perturbation) time to the global atmospheric lifetime. It is defined as $f = 1/(1 - S)$, where S is determined from the BASE and CH_4 perturbation simulations and defined as $S = (\delta \ln(\tau)) / (\delta \ln[CH_4])$ and CH_4 abundances for TF-HTAP2 are 1798 ppbv in BASE, 1562 ppbv in CH4DEC and 2121 ppbv for CH4INC (Prather et al., 2001).

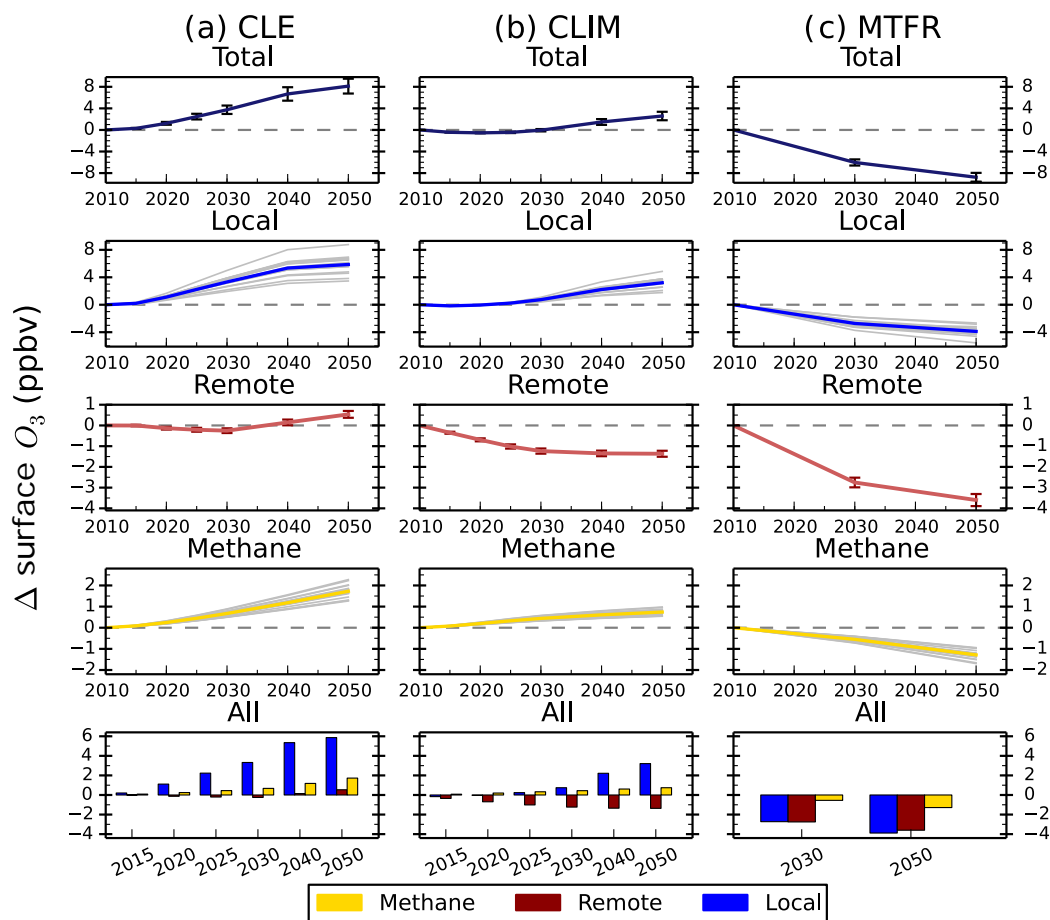


Figure 8. Same as Fig. 7 but for the south Asia region.

Table 9. Percentage change in global CH₄ abundance and global and regional NO_x emissions relative to 2010 over each TF-HTAP2 region for the different CMIP6 emission scenarios (SSP1 2.6, SSP2 6.0 and SSP3 BASE).

TF-HTAP2 region		Annual total emission change (%) from 2010											
		SSP1 2.6				SSP2 6.0				SSP3 BASE			
		2020	2030	2040	2050	2020	2030	2040	2050	2020	2030	2040	2050
Global CH ₄		1	-7	-16	-23	4	6	7	5	8	18	28	37
Global NO _x		-8	-25	-35	-48	-7	-9	-16	-21	10	14	15	16
		Regional NO _x emissions											
Central America,	South America	-2	-22	-27	-34	-10	-11	-15	-24	13	22	30	36
Central Asia, Rus Bel Ukr		-14	-32	-40	-49	1	2	-5	-14	-1	-5	-5	-12
East Asia, south Asia, southeast Asia		4	-8	-22	-35	-3	-1	-12	-22	26	45	54	54
Europe, North America, Pacific Aus NZ		-31	-62	-68	-74	-31	-43	-51	-57	-8	-22	-30	-32
Middle East, north Africa, southern Africa		4	-3	-4	-2	3	11	13	12	7	14	26	33

the reduction in CH₄ abundance in TF-HTAP scenarios to that equivalent from emissions, taking into account both the long-term and short responses of O₃ to emissions (Fiore et al., 2009). Table 8 summarises the calculated CH₄ lifetime and feedback factors for the two TF-HTAP2 models that have provided CH₄ chemical loss rates. These two models show

slightly shorter methane lifetimes and a higher feedback factor (F) than the TF-HTAP1 mean values. This suggests that the sensitivity of O₃ to changes in CH₄ in the two TF-HTAP2 models is slightly larger than that in the TF-HTAP1 multi-model mean. The increased feedback factor also indicates that a slightly larger reduction in methane emissions is re-

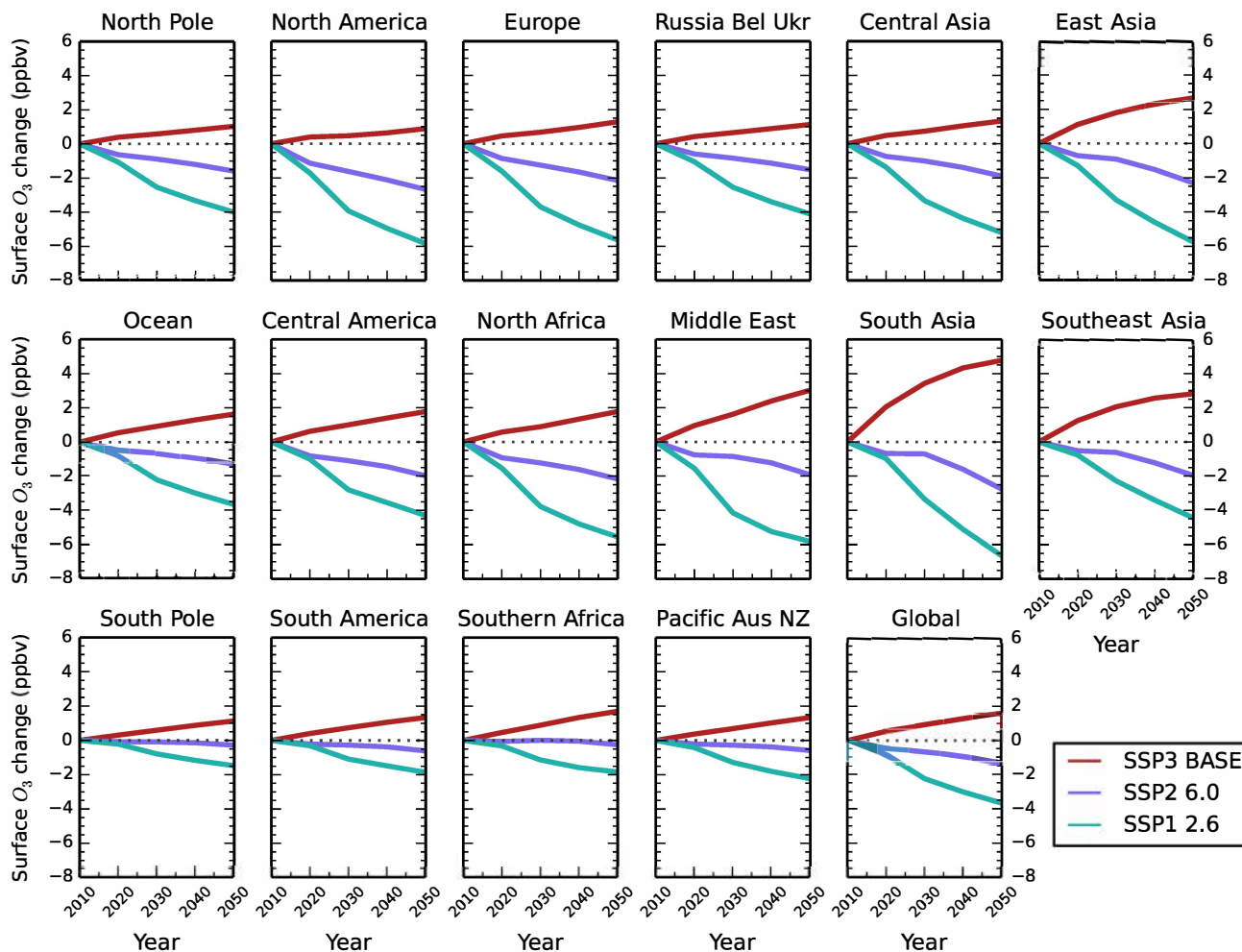


Figure 9. Annual mean change in regional surface O_3 concentrations between 2010 and 2050 from the parameterisation for the CMIP6 emission scenarios of Shared Socioeconomic Pathway 3 (SSP3) baseline (red), SSP2 with a radiative forcing target of 6.0 W m^{-2} (purple) and SSP1 with a radiative forcing target of 2.6 W m^{-2} (green).

quired to achieve a comparable reduction in O_3 concentrations.

Overall, the sensitivity of O_3 to a change in CH_4 abundance is slightly larger in the two TF-HTAP2 models considered here than in TF-HTAP1 models but still within the range of the TF-HTAP1 multi-model ensemble. The results from TF-HTAP2 will not significantly change any conclusions from TF-HTAP1 but suggest that the previous O_3 changes estimated from TF-HTAP1 are conservative. The O_3 response to CH_4 remains one of the most important processes to understand for controlling future O_3 concentrations.

4 Future surface ozone predictions

4.1 Surface ozone under ECLIPSE V5a emissions

The parameterised approach is used with the ECLIPSE V5a emission scenarios described above to determine regional changes in future surface O_3 concentrations. Surface O_3 concentrations for the CLE (current legislation) scenario are predicted to increase from 2010 to 2050 across all regions (Fig. 6). Annual mean surface O_3 concentrations increase by 4 to 8 ppbv across the south Asia and Middle East regions due to the large increases expected in NO_x emissions (Table 4), although there is substantial uncertainty in the parameterisation over these regions. Surface O_3 concentrations over Europe and North America in 2050 are similar to those in 2010, even though their regional NO_x emissions decrease by $\sim 50\%$. The contributions of different sources to the total surface O_3 change has been analysed for each source region

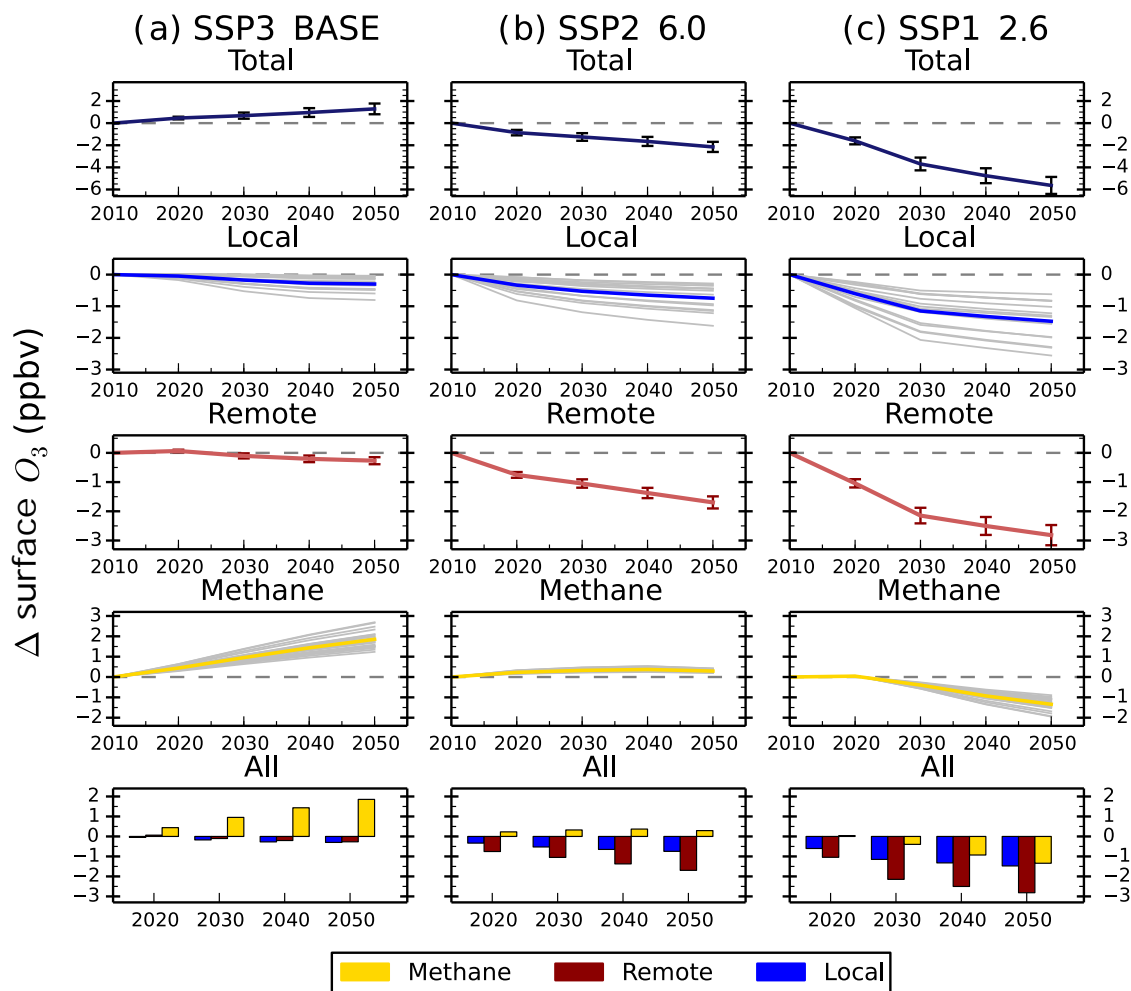


Figure 10. Total annual mean change in regional surface O_3 concentrations over Europe and the contribution of local (blue), remote (red) or methane (gold) sources between 2010 and 2050 from the parameterisation for the CMIP6 emission scenarios of SSP3 BASE (a), SSP2 6.0 (b) and SSP1 2.6 (c). Grey lines on the local and methane panels represent individual model estimates of O_3 changes, showing the spread in model responses; solid lines show the multi-model mean. Error bars represent 1 standard deviation over the model range. The last row of panels shows the O_3 response from individual sources plotted together for each year.

(Figs. S6 to S17). Results for Europe (Fig. 7) and south Asia (Fig. 8) are shown here, as these regions experience contrasting changes in surface O_3 . Across Europe, surface O_3 from local and remote (mainly North American) sources is reduced in response to emission decreases, and the contribution from CH_4 increases by 1.6 ppbv in 2050 (Fig. 7). The increase in global CH_4 abundance in the CLM scenario increases surface O_3 over Europe, offsetting the reduction in O_3 from local and remote sources. This contrasts strongly with south Asia, where local sources dominate the total O_3 response. This demonstrates how different local and hemispheric emission control strategies are needed in different regions.

For the CLIM (climate policies on current legislation) scenario, annual mean surface O_3 concentrations in 2050 decrease slightly or stay at 2010 concentrations due to reduc-

tions in anthropogenic emissions and control of CH_4 emissions leading to a decrease in its abundance (Table 4). The source contribution analysis for Europe (Fig. 7) and south Asia (Fig. 8) shows that CH_4 contributes much less to the total surface O_3 change under this scenario than CLE. For south Asia, there is also a reduction in the contribution from local sources to surface O_3 . Under CLIM, remote sources start to dominate the contribution to European surface O_3 changes in 2050, increasing to -1.3 ppbv. However, across south Asia, the contribution from local sources ($+3.2$ ppbv) is greater than from remote sources (-1.4 ppbv) in 2050, reflecting the importance of local emissions in this region. The contribution of CH_4 sources to the total surface O_3 response is smaller in CLIM due to the targeting of CH_4 for climate mitigation purposes. The implementation of these climate policy measures shifts the dominant factor driving

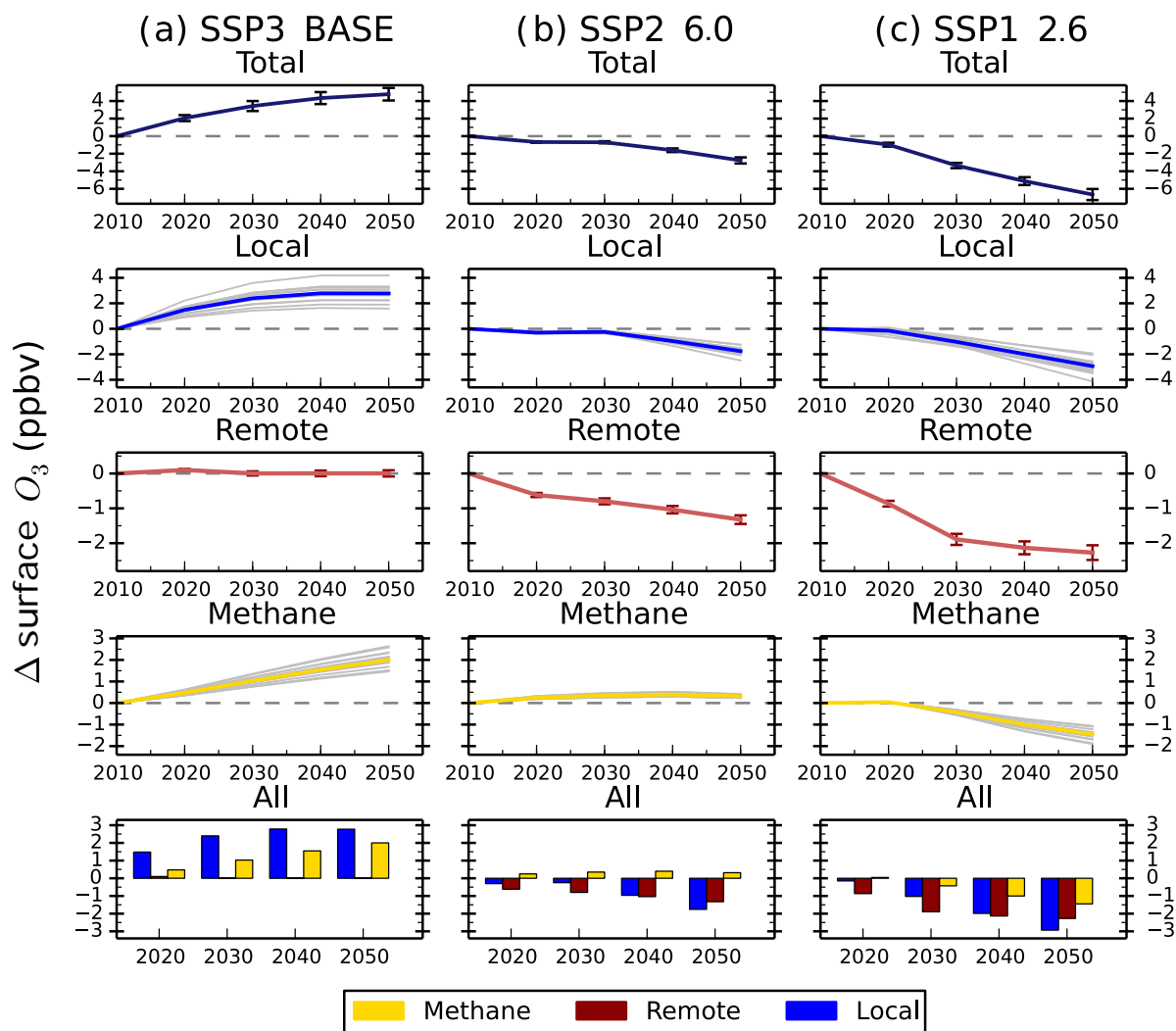


Figure 11. Same as Fig. 10 but for the south Asia region.

future O_3 changes within a receptor region towards extra-regional sources.

The MTFR scenario (maximum technically feasible reduction) considers large reductions in emissions (Table 4) and consequently predicts reductions in surface O_3 concentrations of up to 9 ppbv by 2050. Reductions of surface O_3 in Europe (Fig. 7) are dominated by changes in remote sources, although changes in CH_4 become increasingly important by 2050. For south Asia, the surface O_3 response is dominated by changes to local and remote emission sources. This highlights that achieving decreases in surface O_3 concentrations from the maximum feasible emission reductions depends not only on local emission policies but on reducing emissions across other regions too.

4.2 Surface ozone under CMIP6 emissions

We provide an initial assessment of surface O_3 changes from a subset of the preliminary emission scenarios developed for the CMIP6 project (<https://tntcat.iiasa.ac.at/SspDb>, last access: 16 November 2017; Rao et al., 2017) based on shared Shared Socioeconomic Pathways (SSPs). Five baseline SSPs are defined (SSP1–5) based on different combinations of future social, economic and environmental development trends over centennial timescales (O'Neill et al., 2014). Different climate targets, defined in terms of anthropogenic radiative forcing by 2100, are combined with the baseline SSPs to develop future scenarios for climate mitigation, including additional assumptions on international cooperation, timing of mitigation and extent of fragmentation between low and high income economies (van Vuuren et al., 2014; Riahi et al., 2017). Scenarios of strong, medium and weak future air

Table 10. The response in annual mean surface, global ozone burden and ozone radiative forcing in 1980 and for the CMIP5 emission scenarios in 2030 (relative to 2000) from the parameterisation (based on multiple models) and the multi-model mean value from ACCMIP (± 1 SD of multi-model responses).

Year	Surface ozone (ppbv)		Ozone burden (Tg)		Ozone radiative forcing (mW m^{-2})	
	Param	ACCMIP*	Param	ACCMIP*	Param	ACCMIP*
1980	-1.3	-1.3 ± 0.4	-17	-15 ± 6	-67	-59 ± 21
2000	0	0	0	0	0	0
RCP2.6 2030	-1.1	-1.5 ± 0.6	-12	-12 ± 8	-45	-45 ± 30
RCP4.5 2030	-0.1	$+0.2 \pm 0.5$	+4	$+7 \pm 5$	+14	$+24 \pm 19$
RCP6.0 2030	-0.4	-0.8 ± 1.0	+0.3	-2 ± 11	+2	-13 ± 39
RCP8.5 2030	+1.0	$+1.5 \pm 0.7$	+20	$+23 \pm 7$	+80	$+81 \pm 26$

* Mean change in the tropospheric ozone burden and radiative forcing between 2030 and 2000 from the ACCMIP models that provided results for each scenario, as presented in Table 5 of Young et al. (2013) and Table 12 of Stevenson et al. (2013).

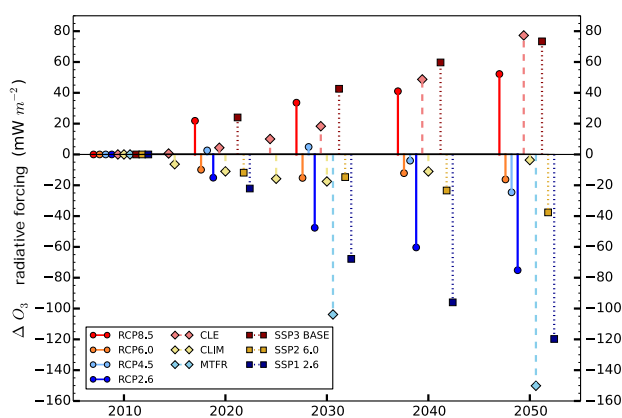


Figure 12. Parameterised response in the global annual mean ozone radiative forcing relative to 2010 for the different CMIP5 RCPs (circles), ECLIPSE scenarios (diamonds) and CMIP6 SSPs (squares).

pollutant emission pathways are mapped onto the SSPs and represent differing targets for pollution control, the speed at which developing countries implement strict controls and the pathways to control technologies (Rao et al., 2017). Increasingly stringent air pollutant emission controls are assumed to occur with rising income levels because of the increased focus on human health effects and the declining costs of control technology. SSP2 is a medium pollution control scenario that follows current trajectories of increasing levels of regulation. SSP1 and SSP5 are strong control scenarios where pollution targets become increasingly strict. A weak pollution control scenario is adopted in SSP3 and SSP4 where the implementation of future controls is delayed (Rao et al., 2017).

We select three preliminary SSPs to represent scenarios of business-as-usual (SSP3 BASE), middle-of-the-road (SSP2 6.0) and enhanced mitigation (SSP1 2.6). The SSP2 6.0 and SSP1 2.6 scenarios have climate mitigation targets of 6.0 and 2.6 W m^{-2} in 2100 applied to them. Currently, air pollutant

emissions for each SSP are available globally and across five world regions from <https://tntcat.iiasa.ac.at/SspDb/> (last access: 9 April 2018). The air pollutant emissions for each region have been mapped onto the equivalent TF-HTAP2 source regions and the grouping of regions is shown in Table 9 along with the percentage change in global CH_4 abundance and NO_x emissions over the period 2010 to 2050. The relative changes in CO and NMVOC emissions are shown in Tables S4 and S5. Gridded versions of these emission scenarios will be made available in due course (K. Riahi, personal communication, 2017), which will allow a more accurate evaluation of the impacts arising from these scenarios.

Surface O_3 concentrations increase across all regions in 2050 for the SSP3 BASE scenario (Fig. 9). Europe, North America and east Asia show an increase in surface O_3 of 1 to 3 ppbv, a larger response than in the ECLIPSE CLE scenario. Smaller increases in surface O_3 are predicted over the Middle East (~ 3 ppbv) and south Asia (~ 5 ppbv) compared to CLE. Methane dominates the total surface O_3 response over Europe in SSP3 BASE, with small contributions from local and remote emission sources over the period 2010 to 2050 (Fig. 10). Local emissions are the main contribution to O_3 changes over south Asia, with a slightly larger influence from CH_4 than in CLE (Fig. 11).

For SSP2 6.0 (middle-of-the-road scenario), surface O_3 concentrations reduce slightly by 2050 and to a greater extent than in ECLIPSE CLIM due to the larger reductions in NO_x emissions and global CH_4 abundances (Tables 4 and 9). Over south Asia, NO_x emissions in SSP2 6.0 decrease by 22 % from 2010 to 2050, with a corresponding O_3 change of -3 ppbv, compared to CLIM where NO_x emissions increase by 66 % and the corresponding O_3 change is $+3$ ppbv. However, this difference could arise from using preliminary SSP emissions based on five large world regions, where emission changes in south Asia and nearby regions such as east Asia are combined together. For Europe and south Asia, the source contributions for each region (Figs. 10 and 11) are

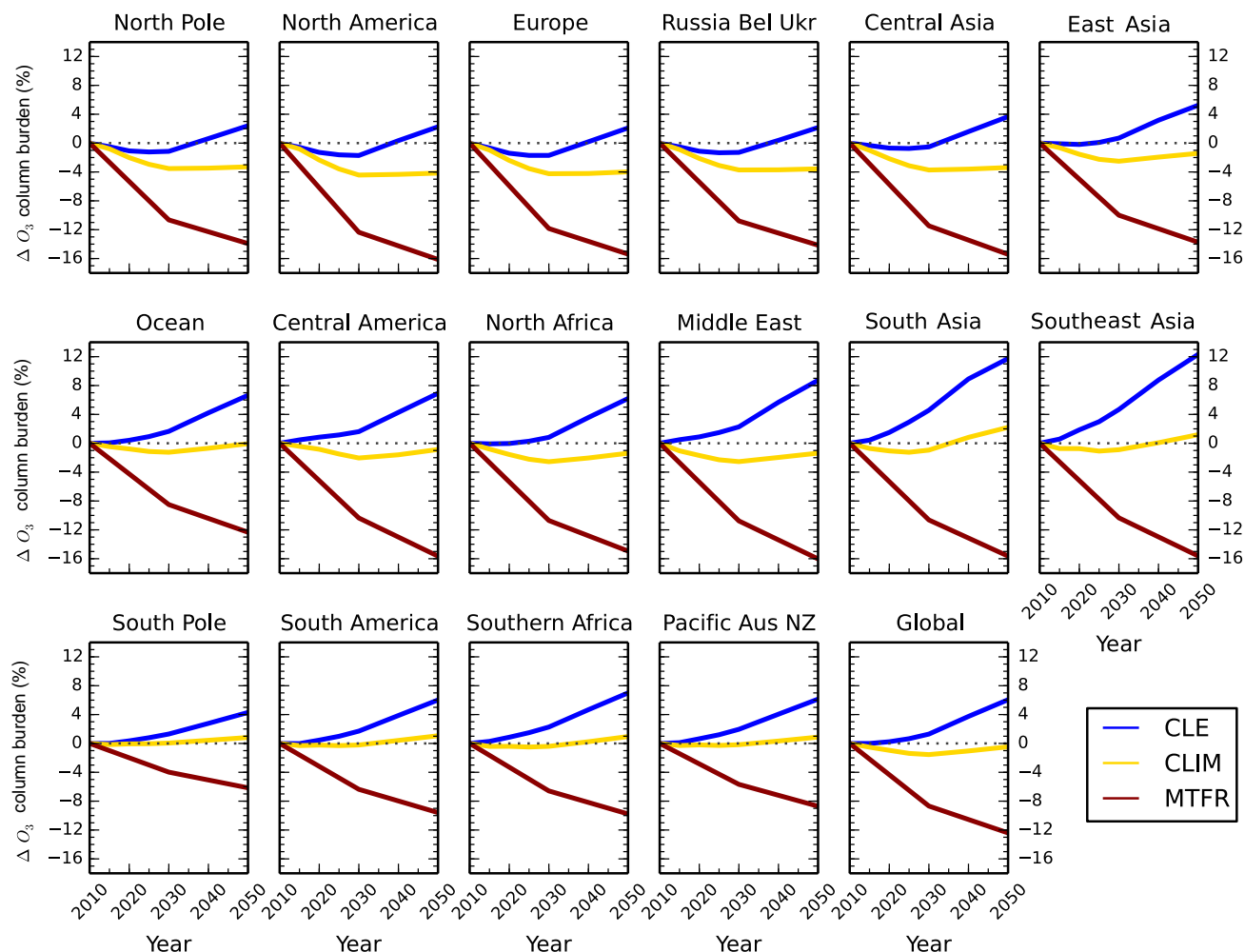


Figure 13. Annual mean percentage change in the regional and global tropospheric O_3 burden over the period 2010 to 2050 from the parameterisation for the ECLIPSE V5a emissions under CLE (blue), CLIM (gold) and MTFR (red) scenarios.

similar to those in CLIM. Remote sources are more important under this intermediate climate mitigation scenario, with local emission sources becoming more important by 2050 over south Asia.

Large reductions in surface O_3 concentrations are predicted across all regions in the strong mitigation scenario (SSP1 2.6) (Fig. 9). The improvements in O_3 concentrations are less than predicted under the ECLIPSE MTFR due to a smaller reduction in NO_x emissions. Northern midlatitude regions show reductions in surface O_3 concentrations of up to 6 ppbv under SSP1 2.6, similar to MTFR. Over south Asia, surface O_3 is predicted to be reduced by up to 7 ppbv, which is less than under MTFR. The source contributions for both Europe (Fig. 10) and south Asia (Fig. 11) are similar to MTFR with the importance of remote sources and the increasing importance of CH_4 by 2050 evident over Europe. Over south Asia, the increasing importance of local and CH_4 sources is clear by 2050.

This analysis of preliminary CMIP6 emission scenarios highlights the large range of future regional surface O_3 responses that are possible depending on the climate and air pollutant policies applied. The assumptions within each of the future SSPs, particularly for CH_4 , results in different sources dominating the contribution to the total surface O_3 response. Uncertainties in the assumed growth rate of CH_4 under the two current legislation scenarios (CLE and SSP3 BASE) result in a 1 ppbv difference in surface O_3 over Europe and North America, highlighting the importance for future air quality of reducing CH_4 on a global scale. The CMIP6 scenarios allow a larger range of pathways to be explored than were available in ECLIPSE or the CMIP5 RCPs, including those of strong, medium and weak policies on air pollutants and climate change. The parameterisation can be used to provide a rapid assessment of the impact of differing policy measures on surface O_3 concentrations across different regions, along with a clear source attribution. This can

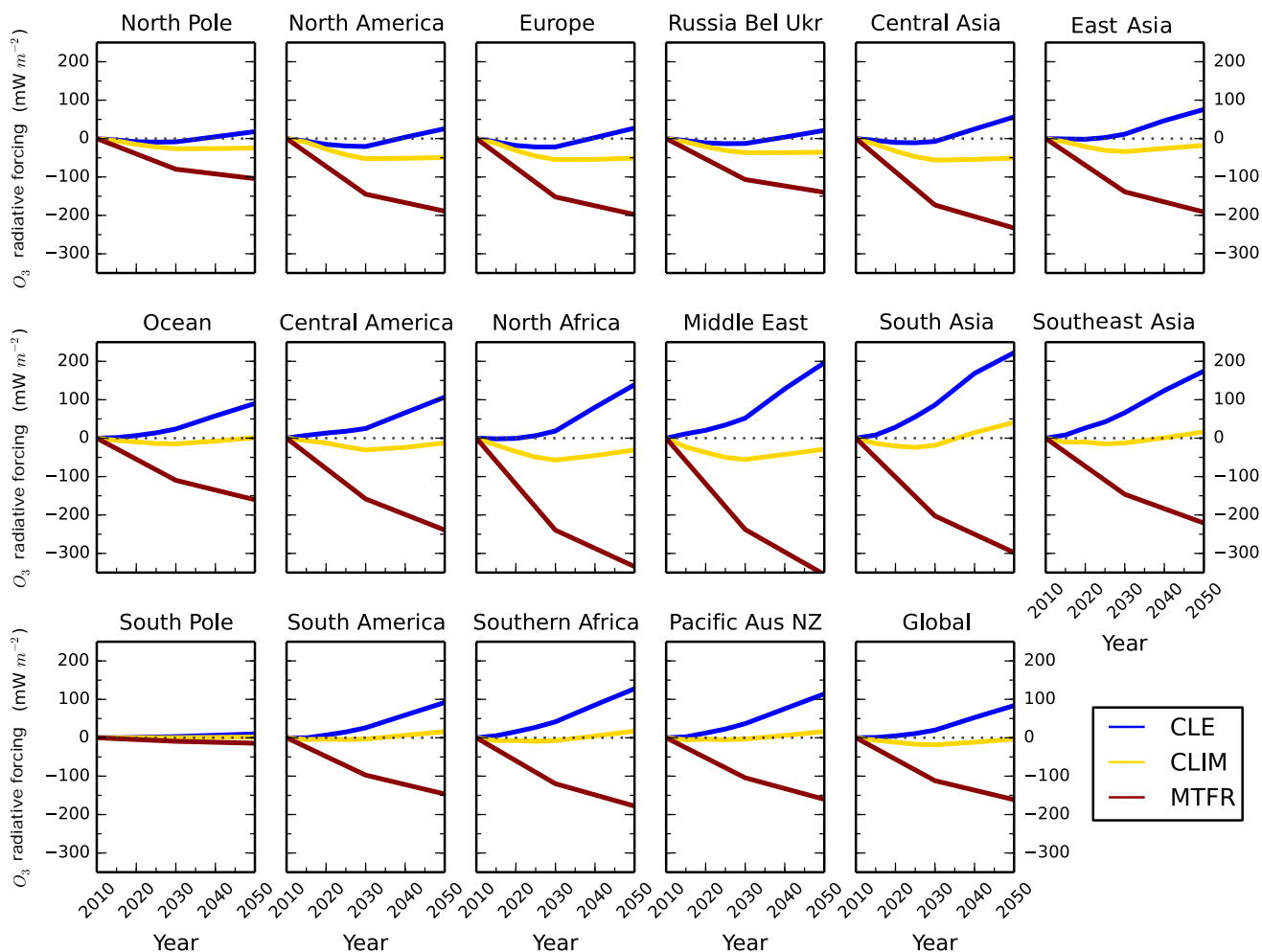


Figure 14. Annual mean regional O_3 radiative forcing relative to 2010 from the parameterisation for the ECLIPSE V5a emissions under the CLE (blue), CLIM (gold) and MTFR (red) scenarios.

ultimately inform selection of policies that are most beneficial to future air quality.

5 Future tropospheric ozone burden and radiative forcing

As discussed in Sect. 2.3, the parameterisation has been extended to generate three-dimensional O_3 distributions throughout the troposphere, using a tropopause defined as a O_3 concentration of 150 ppbv (Prather et al., 2001). Tropospheric O_3 column burdens are calculated in each grid cell for each emission scenario. These are used to infer changes in O_3 radiative forcing by using the relationship between radiative forcing and tropospheric column O_3 ($\text{W m}^{-2} \text{DU}^{-1}$) and its spatial variation with latitude and longitude from the ACCMIP multi-model ensemble (Stevenson et al., 2013). Tropospheric O_3 burdens and O_3 radiative forcings are calculated for the CMIP5 RCPs to evaluate the parameterisation against

values from the ACCMIP multi-model study (Stevenson et al., 2013). Additionally, future projections of O_3 radiative forcing are made for the ECLIPSE and CMIP6 SSPs.

The change in tropospheric O_3 burden and O_3 radiative forcing for the ECLIPSE CLE scenario in 2030 from the parameterisation was evaluated against the change from the equivalent HadGEM2-ES simulation (Table 5), a self-consistent test based only on emission perturbations with no influence from climate change. The parameterisation is able to reproduce the change in global tropospheric O_3 burden (-0.93 Tg) and O_3 radiative forcing (-0.6 mW m^{-2}) simulated by HadGEM2-ES (-0.95 Tg and -0.9 mW m^{-2}), with any slight differences due to the discrepancies identified over south Asia (Fig. 3).

In comparison to the ACCMIP multi-model mean, the predicted changes between 2000 to 2030 in both global annual mean surface O_3 and global O_3 burden from the parameterisation are within the range of the ACCMIP multi-model re-

sponses (± 1 SD) for all the CMIP5 RCPs (Table 10). The predictions of O_3 radiative forcing in 2030 from the parameterisation across all the RCPs, when the influence of climate change is anticipated to be small, are also consistent with those from ACCMIP. The sign and magnitude of change in global O_3 burden and O_3 radiative forcing with the parameterisation for RCP6.0 is different from the ACCMIP results but is still within the range of model responses, which is the largest for this scenario. The comparison with ACCMIP results shows that the parameterisation is able to reproduce changes in global O_3 burden and O_3 radiative forcing on near-term timescales, when the influence of climate change is small.

A global O_3 radiative forcing of $+0.05$ to $+0.08 \text{ W m}^{-2}$ in 2050, relative to 2010, is estimated under the low mitigation scenarios (RCP8.5, CLE and SSP3 BASE) (Fig. 12). The intermediate mitigation scenarios of RCP4.5, RCP6.0 and SSP2 6.0 show an O_3 radiative forcing of 0 to -0.04 W m^{-2} in 2050, with almost no change under CLIM. The more stringent mitigation scenarios (RCP2.6, MTRF and SSP1 2.6) exhibit an O_3 radiative forcing of between -0.07 and -0.15 W m^{-2} by 2050. The parameterisation is able to predict the wide range of impacts that climate and air quality policies could have on short-term climate forcing from O_3 . It can be used as a rapid screening tool to select the most appropriate climate scenarios to explore further in full model simulations that can provide more detailed predictions. The current business-as-usual scenarios for CMIP5, ECLIPSE and CMIP6 increase the climate forcing of O_3 by approximately 0.06 W m^{-2} in 2050, whereas the strong mitigation scenarios have a larger effect on reducing the near-term climate forcing of O_3 by about 0.10 W m^{-2} .

The parameterisation generates gridded changes in the tropospheric O_3 column burden and radiative forcing, which can be used to calculate changes over different regions. Figures 13 and 14 show that the largest relative changes in O_3 burden for the ECLIPSE scenarios occur over the Middle East, south Asia and southeast Asia ($> 10\%$), with a corresponding larger impact on O_3 radiative forcing (-0.3 W m^{-2} in MTRF). Smaller relative changes in the tropospheric O_3 burden are found for CLE over Europe and North America. For MTRF, a 15% reduction in O_3 burden is predicted over Europe and North America, similar to that over south Asia, but the change in O_3 radiative forcing is not as large (-0.2 W m^{-2} compared to -0.3 W m^{-2} over south Asia). The parameterisation allows the regional near-term climate implications (in terms of O_3 radiative forcing) from future emission changes to be explored under different air quality and climate policy scenarios. It also highlights the wide range of near-term climate forcing that is possible over particular regions from future emission policies.

6 Conclusions

In this study, we describe improvements and extensions to a simple parameterisation of regional surface O_3 responses to changes in precursor emissions and CH_4 abundances based on multiple models. We incorporate results from Phase 2 of the Hemispheric Transport of Air Pollutants project to create an enhanced parameterisation that includes new models from TF-HTAP2, a greater number of source regions (14 in total), a new base year (2010) and an extension to three dimensions to represent O_3 changes throughout the troposphere. These improvements allow impacts on surface O_3 concentrations and the near-term O_3 radiative forcing to be calculated from different emission scenarios. Model simulations using HadGEM2-ES confirm the validity of the parameterisation and adjustments made here. However, larger errors may occur when using emission changes of greater than $\pm 60\%$ and when considering long-term future scenarios where there may be a significant influence from climate change. In addition, the parameterisation may not perform well over regions where chemical titration is expected to become dominant in the future under large emission increases, e.g. south Asia, as it is based on the ozone responses in 2010. There is a slight increase in the response of O_3 to CH_4 for the TF-HTAP2 models, resulting in a slightly higher sensitivity of O_3 to CH_4 changes. The extent of the difference varies on a regional basis but is within the range of model responses in TF-HTAP1.

Emission changes from the RCPs are used with the parameterisation and it predicts similar changes in surface O_3 concentrations to those from the original parameterisation (Wild et al., 2012), although now across a larger number of source regions. Tropospheric O_3 burden and O_3 radiative forcing calculated using the parameterisation are within the spread of the response from the ACCMIP models for all of the CMIP5 RCPs in 2030, where the influence from climate change is anticipated to be small. The parameterised approach permits rapid assessment of the impact of future emission changes over 14 source regions and associated uncertainties on both surface and tropospheric O_3 concentrations, and allows identification of the differing contributions of local, remote and CH_4 sources to the O_3 response. This enables quantification of the impacts of future air quality and climate emission policies on surface air quality and near-term climate forcing by O_3 .

Applying future emissions from ECLIPSE V5a and the preliminary SSPs, we show that annual mean surface O_3 concentrations are likely to increase across most world regions by 2050 under current legislation scenarios, with large increases of 4 to 8 ppbv over the Middle East and south Asia. These changes in O_3 concentrations are driven mainly by local emissions and changes in global CH_4 abundance. This demonstrates that current legislation is inadequate in preventing future increases in surface O_3 concentrations across the world. Implementing energy-related climate policies on top

of current legislation maintains future surface O₃ concentrations at or slightly below 2010 concentrations, counteracting the increases that occur under current legislation. This is achieved mainly through reductions in CH₄, highlighting the importance of controlling CH₄ in limiting future changes in O₃ concentrations, as shown in Wild et al. (2012). Policies that have stringent emission controls lead to substantial reductions in surface O₃ concentrations across all world regions of up to 8 ppbv and could potentially provide large beneficial impacts.

A global O₃ radiative forcing of +0.07 W m⁻² is predicted by 2050 (relative to 2010) under the current legislation scenarios of the SSPs and ECLIPSE. There is a large and diverse regional response in O₃ radiative forcing with some regions, e.g. Middle East and south Asia, more sensitive to changes in emissions than others, and these show a large positive O₃ radiative forcing under current legislation. However, application of aggressive emission mitigation measures leads to large reductions in O₃ radiative forcing (-0.10 W m⁻²), lessening the near-term impact on climate.

The new parameterisation provides a valuable assessment tool to evaluate the impact of future emission policies on both surface air quality and near-term climate forcing from O₃. It also provides a full source attribution along with a simple measure of uncertainty, given by the spread of the multi-model responses that reflect different transport and chemistry processes in models. Whilst not replacing full chemistry simulations, it provides a quick way of assessing where to target future modelling efforts. However, these O₃ responses are based on changes to anthropogenic emissions only, with no account taken of the impact on O₃ and/or its natural precursor emissions due to future changes in chemistry or climate. The parameterisation could be extended further by including a feedback factor to take some account of the impact of future climate change on O₃. Additional improvements could include coupling the output to an offline radiation model to enable improved calculation of O₃ radiative forcing, using O₃ fields from the parameterisation within a land surface model to assess the impacts of O₃ on vegetation and the carbon cycle or with O₃ dose-response functions to calculate impacts on human health.

Data availability. Data are available upon request by contacting the author.

Supplement. The supplement related to this article is available online at: <https://doi.org/10.5194/acp-18-8953-2018-supplement>.

Competing interests. The authors declare that they have no conflict of interest.

Special issue statement. This article is part of the special issue “Global and regional assessment of intercontinental transport of air pollution: results from HTAP, AQMEII and MICS”. It is not associated with a conference.

Acknowledgements. Steven Turnock, Fiona O’Connor and Gerd Folberth would like to acknowledge the BEIS Met Office Hadley Centre Climate Programme (GA01101). Steven Turnock also acknowledges the UK-China Research and Innovation Partnership Fund through the Met Office Climate Science for Service Partnership (CSSP) China as part of the Newton Fund. Simone Tilmes would like to acknowledge high-performance computing support from Yellowstone (ark:/85065/d7wd3xhc) provided by NCAR’s Computational and Information Systems Laboratory, sponsored by the National Science Foundation. The CESM project is supported by the National Science Foundation and the Office of Science (BER) of the U.S. Department of Energy. Frank Dentener acknowledges support from the AMITO2 Administrative Arrangement with DG ENV. The authors acknowledge access to the preliminary version of the SSP air pollution database hosted at the International Institute for Applied Systems Analysis.

Edited by: Christian Hogrefe

Reviewed by: two anonymous referees

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