

## Long-range transport impacts on surface aerosol concentrations and the contributions to haze events in China: an HTAP2 multi-model study

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Received: 27 January 2018 – Discussion started: 11 April 2018 Revised: 17 August 2018 – Accepted: 7 September 2018 – Published: 30 October 2018

Abstract. Haze has been severely affecting the densely populated areas in China recently. While many of the efforts have been devoted to investigating the impact of local anthropogenic emission, limited attention has been paid to the contribution from long-range transport. In this study, we apply simulations from six participating models supplied through the Task Force on Hemispheric Transport of Air Pollution phase 2 (HTAP2) exercise to investigate the long-range transport impact of Europe (EUR) and Russia-Belarus-Ukraine (RBU) on the surface air quality in eastern Asia (EAS), with special focus on their contributions during the haze episodes in China. The impact of 20 % anthropogenic emission perturbation from the source region is extrapolated by a factor of 5 to estimate the full impact. We find that the full impacts from EUR and RBU are  $0.99 \,\mu g \,m^{-3}$  (3.1%) and  $1.32 \,\mu g \,m^{-3}$ (4.1%) during haze episodes, while the annual averaged full impacts are only  $0.35 \,\mu g \,m^{-3}$  (1.7%) and  $0.53 \,\mu g \,m^{-3}$ (2.6%). By estimating the aerosol response within and above

the planetary boundary layer (PBL), we find that long-range transport from EUR within the PBL contributes to 22–38 % of the total column density of aerosol response in EAS. Comparison with the HTAP phase 1 (HTAP1) assessment reveals that from 2000 to 2010, the long-range transport from Europe to eastern Asia has decreased significantly by a factor of 2–10 for surface aerosol mass concentration due to the simultaneous emission reduction in source regions and emission increase in the receptor region. We also find the long-range transport from the Europe and RBU regions increases the number of haze events in China by 0.15 % and 0.11 %, and the North China Plain and southeastern China has 1–3 extra haze days (< 3 %). This study is the first investigation into the contribution of long-range transport to haze in China with multi-model experiments.

### 1 Introduction

Frequent low visibility due to heavy haze has been one of the most important environmental concerns in China recently. Long-term monitoring data suggest that visibility degradation has been identified during the past 30 years over the North China Plain, Pearl River Delta, and Yangtze River Delta (Fu et al., 2014; J. D. Wang et al., 2014), where more than 40 % of the national population is hosted. As the most apparent symptom of air pollution, visibility degradations induced by haze not only interrupt highway and airline operations, but also indicate critical deterioration of public health. The China Ministry of Environmental Protection (MEP) reported that air quality in 265 of the 338 major cities failed to attain the national air quality standard in 2015 (Jia and Wang, 2017), and studies also suggest that 350 000-400 000 of annual premature deaths are attributable to air pollution exposure (WorldBank, 2007; Cao et al., 2017; Li et al., 2018) in China during the past decade.

China haze is usually associated with high concentrations and rapid hygroscopic growth of fine-particulate matter (Im et al., 2018). Some pilot studies have focused on the research topics including ambient air quality conditions under haze (Huang et al., 2012; Wang et al., 2015), spatial distribution and long-term trends of haze in China (Fu et al., 2014), meteorology conditions that favor the formation of haze (J. D. Wang et al., 2014), chemical components and size distributions of aerosols (Guo et al., 2014; Ho et al., 2016; Shen et al., 2017; Yin et al., 2012; Zhang et al., 2012), source apportionment of fine particles during haze episodes (Hua et al., 2015; L. T. Wang et al., 2014; Y. J. Wang et al., 2014), and also the public health impact of haze (Gao et al., 2017; Tie et al., 2009; Xu et al., 2013).

Although these studies helped to improve the fundamental understanding of haze in China, very limited attention has been paid to reveal the role of long-range transport. The research community has realized the hemispheric transport could also exacerbate local air quality problems since the early 20th century (Akimoto, 2003), and several international collaborated programs have been initiated to investigate the long-range transport of air pollutants since then (Carmichael et al., 2008; Rao et al., 2011). One of these is the Task Force on Hemispheric Transport of Air Pollution (TF HTAP), designated to advance the understanding of intercontinental transport of air pollutants in the Northern Hemisphere (Streets et al., 2010).

The abovementioned prior efforts, however, have a limited assessment of the long-range transport impact on haze. In order to achieve a better air quality condition and reduce the frequency of haze events, China is investing billions to reduce the local anthropogenic emissions (Li and Zhu, 2014; Liu et al., 2015). However, the background concentrations of PM and the contributions from long-range transport are poorly documented. A few studies have demonstrated the existence of long-range transport into China with campaign measurements (Kong et al., 2010) and attempted to quantify the  $O_3$  response in eastern Asia due to intercontinental transport (Fu et al., 2012), but the contribution of external emissions to China's PM<sub>2.5</sub> pollution remains unknown. Understanding of the long-range transport impact is essential for estimating the background concentrations of air pollutants and estimating the efficiency and effectiveness of local emission control. It is also an important scientific support for policy makers that allows them to better organize the international collaborations.

In this study, we evaluate the long-range transport impact on haze in China by estimating the PM concentration response and visibility change based on multi-model data provided through the second phase of HTAP (HTAP2). We focused on transport from two source regions designed by the HTAP2 framework: Europe (EUR) and Russia-Belarus-Ukraine (RBU), since they are the most important upwind areas with respect to eastern Asia (EAS) as the receptor region. The modeling framework and baseline evaluation are described in Sect. 2. Results and discussions are summarized in Sect. 3, including the demonstration of long-range transport seasonality, comparison of PM transport above and within the planetary boundary layer (PBL), the assessment of the full impact and relative importance of long-range transport, and also the contributions during haze episodes in China. Conclusions are summarized in Sect. 4.

### 2 Method

# 2.1 Configuration of models, emissions, and simulations

The HTAP2 participating models all utilize the same anthropogenic emission inventories for  $SO_2$ ,  $NO_x$ , CO, nonmethane VOC (NMVOC), NH<sub>3</sub>, PM<sub>10</sub>, PM<sub>25</sub>, black carbon (BC) and organic carbon (OC). The emissions are compiled from several regional inventories for the year 2010 with monthly temporal resolution and  $0.1^{\circ} \times 0.1^{\circ}$  grid resolution, with more details reported in Janssens-Maenhout et al. (2015). Emissions of year 2008 and 2009 are also prepared in the same format as that of 2010 through the HTAP2 effort, yet model simulations for these 2 years are of lower priority. So in this study we mainly focus on the 2010 model experiments and briefly probe the interannual variability by utilizing the 2008 and 2009 data. Emissions from biomass burning and natural sources are not prescribed by the HTAP2 framework, but most of the participating models used the recommended Global Fire Emissions Database version 3 (GFED3) and Model of Emissions of Gases and Aerosols from Nature (MEGAN) for biomass burning and biogenic emissions, respectively. Emission perturbation is conducted with all anthropogenic emissions cut off by 20% over the source region. To examine the relative importance of longrange transport compared to local emission change, emis-

sion perturbation is also performed for the receptor region only. This study utilizes the simulations from four scenarios: (1) BASE scenario with all baseline emissions; (2) EURALL scenario with all anthropogenic emissions from EUR reduced by 20 %, (3) RBUALL scenario with all anthropogenic emissions from RBU reduced by 20 %, and (4) EASALL scenario with all anthropogenic emissions from EAS reduced by 20 %. Domain configurations of these regions are shown in Fig. 1. Note that all model experiments are conducted at global scale but the analysis of this study will focus on EUR, RBU, and EAS only.

This study takes input from six global models with their grid resolution, meteorology, and references listed in Table 1. These models are selected because of the model-level PM mass concentration data availability. Long-range transport of air pollutants may occur near the PBL or occur in the upper free troposphere and then descend into the PBL (Eckhardt et al., 2003; Stohl et al., 2002). Since near-surface aerosol plays a more important role in haze event than that in the upper air, it is necessary to understand the contributions from within and above the PBL.

### 2.2 Model evaluation

Before analyzing the source-receptor (S-R) relationship, we applied measurements from multiple observation networks to evaluate the models performances at the EUR, RBU, and EAS regions. Surface observations are collected from four programs: EBAS from the Norwegian Institute for Air Research (http://ebas.nilu.no, last access: 10 October 2017), Air Pollution Index (API) from the China Ministry of Environmental Protection (http://datacenter.mep.gov.cn/, last access: 19 September 2017), Acid Deposition Monitoring Network in eastern Asia (EANET, 2007), and the AERONET (http://aeronet.gsfc.nasa.gov, last access: 18 October 2017) from NASA. EBAS (Tørseth et al., 2012) sites are all located in Europe so the data are used for model evaluation in EUR. API includes PM<sub>10</sub> concentrations from 86 cities over China (Dong et al., 2016), and EANET has observations of PM<sub>2.5</sub>,  $PM_{10}$ , O<sub>3</sub>, CO, SO<sub>2</sub>, NH<sub>3</sub>, NO<sub>2</sub>, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup> at more than 30 sites over eastern Asia countries (Dong and Fu, 2015a, b), so these two data sets are used for model evaluation in EAS. AERONET (level 2.0, version 2) has AOD (aerosol optical depth) measurements at more than 1400 sites with global coverage (Dubovik et al., 2000). As some of the sites may not have valid measurements during the simulation period, only those with valid data are used and their locations are shown in Fig. 1. Satellite-retrieved AOD is collected from the daily MODIS product (MOD08, MYD08, https://modis.gsfc.nasa.gov/, last access: 19 October 2017) with a  $0.25^{\circ} \times 0.25^{\circ}$  grid resolution to investigate the spatial distributions and column densities of aerosol simulated by the participating models.

Monthly mean surface concentrations from participating models are sampled at their own model grid cells containing the observational sites, and the corresponding measurements are also averaged on monthly scale to facilitate the evaluation. No valid data are found for surface measurements of air pollutants in the RBU region. The monthly variations of surface O<sub>3</sub>, PM<sub>2.5</sub>, and PM<sub>10</sub> are shown only for EUR and EAS in Fig. 2. Evaluation statistics including mean bias (MB) and coefficient of determination  $(R^2)$  are indicated in Fig. 2 for the model ensemble mean, calculated as the average of all participating models at  $2.8^{\circ} \times 2.8^{\circ}$  grid resolution. Measurements of aerosol subspecies including sulfate  $(SO_4^{2-})$ , nitrate  $(NO_3^-)$ , ammonium  $(NH_4^+)$ , organic aerosols (OA), and gasphase species such as CO, NH<sub>3</sub>, NO<sub>2</sub>, and SO<sub>2</sub> are also available at some of the EBAS and EANET stations. However, the data coverage is very sparse in terms of both number of sites and sampling periods, so the evaluations of these species are not discussed here but presented in the Supplement (Table S1). In general, all participating models successfully reproduce the seasonal cycle of  $O_3$  in EUR and EAS. The model ensemble mean shows an MB of only  $4.4 \,\mu g \,m^{-3}$ compared to the EBAS observation in EUR. Relatively large biases  $(8-15 \,\mu\text{g}\,\text{m}^{-3})$  are indicated in warmer months (June-September). However, meanwhile the standard deviation of measurement (indicated by vertical error bars in Fig. 2) is even larger  $(10-15 \,\mu g \,m^{-3})$ , indicating that the measured O<sub>3</sub> concentrations vary significantly among the EBAS sites in the same model ensemble grid. Seasonal variation of  $O_3$  is also simulated well in EAS with moderate overestimation throughout the year.

Simulations of surface PM2.5 concentrations are consistent among the participating models, except that GEOSCHEMADJOINT suggests larger seasonal variation than the other models. In EUR, the model ensemble mean shows the MB as  $-4.6 \,\mu g \,m^{-3}$  against EBAS measurements and generally captures the monthly changes with  $R^2$  of 0.7. Underestimation of surface PM<sub>2.5</sub> concentration in EUR might be due to the fact that some of the measurements are affected by the local environment. PM2.5 are available from five EBAS stations, and one of the stations is close to a highway (49.90° N, 4.63° E). These local impacts can hardly be captured by global models due to their coarse-grid resolutions. In the EAS region, the model ensemble mean shows an MB as small as  $-1.6 \,\mu g \,m^{-3}$  but poor correlation with the measurement  $R^2$  is 0.2. The monthly dynamics of PM<sub>2.5</sub> is more prominent in EAS than in EUR and the models tend to miss the high peaks in spring (April-May). As the anthropogenic emission in Asia is developed with top-down method, the predefined seasonal profile has been demonstrated to affect the model's capability of reproducing the seasonal changes in PM<sub>2.5</sub> (Dong and Fu, 2015a). The simulation of PM10 concentration shows good agreement between the model ensemble mean and the measurements in EUR, with an MB of  $-0.7 \,\mu g \, m^{-3}$ . The models systematically underestimate surface  $PM_{10}$  by  $-30.7 \,\mu g \, m^{-3}$  in EAS but successfully reproduce the seasonal cycle. This is likely due to the fact that the majority of the API and EANET stations are



Figure 1. The HTAP2 source and receptor regions for EUR (green), RBU (red), and EAS (grey). Sites marked with the same symbols are from the same observation network: red circles represent API, blue squares represent AERONET, orange diamonds represent EANET, and yellow triangles represent EBAS.

Table 1. Models used for this study.

Model	Resolution (lat $\times$ long $\times$ layers)	Meteorology	Model reference
CAM-chem	$1.9^{\circ} \times 2.5^{\circ} \times 56$	GEOS5 v5.2	Tilmes et al. (2016)
CHASER	$2.8^{\circ} \times 2.8^{\circ} \times 32$	ERA-Interim and HadISST	Sudo et al. (2002)
EMEP	$0.5^{\circ} \times 0.5^{\circ} \times 20$	ECMWF-IFS	Simpson et al. (2012)
GEOS5	$1.0^{\circ} \times 0.75^{\circ} \times 72$	MERRA	Rienecker et al. (2008)
GEOSCHEMADJOINT	$2.0^{\circ} \times 2.5^{\circ} \times 72$	MERRA	Henze et al. (2007)
SPRINTARS	$1.1^{\circ} \times 1.1^{\circ} \times 56$	ECMWF Interim	Takemura et al. (2005)
Model ensemble mean	$2.8^{\circ} \times 2.8^{\circ} \times 32$	-	-

located in the urban area and are thus frequently affected by the local sources. Previous studies (Dong and Fu, 2015a) also suggested that the anthropogenic emission of primary  $PM_{10}$  might be underestimated in China and subsequently lead to negative MB.

As no surface measurement of air pollutants is available the RBU region, we evaluate the model-simulated AOD against the AERONET measurement and MODIS satellite product on a monthly scale in all three regions as shown in Fig. 3. Most of the models fall into the 2-fold range at both AERONET stations and MODIS grid cells. Models tend to overestimate AOD in the EUR region compared to the AERONET observation with 0.1 MB and 0.3  $R^2$  for the model ensemble mean. In the RBU region, the model ensemble mean shows an MB of only 0.05, yet the  $R^2$  is only 0.2, indicating that there is a large discrepancy between model simulation and AERONET in terms of the seasonal changes in AOD. The model ensemble mean has best performance in EAS among all the three regions with an MB of 0.1 and  $R^2$ of 0.6, suggesting that models have good agreement with the AERONET observation for both the level and the seasonal cycles of AOD. The simulated AODs are generally consistent between models, except that CHASER is always 1-2 times higher than the others. The validations against the MODIS product suggest a slightly better model performance, as the model ensemble mean shows  $R^2$  values as 0.5, 0.4, and 0.6 in EUR, RBU, and EAS. In contrast to the overall overestimation indicated by AERONET, MODIS suggests models tend to slightly underestimate the AODs in all three regions with MBs of -0.02, -0.04, and -0.03 in the EUR, RBU, and EAS regions. This shall be due to the fact that AERONET has limited number of stations – there are 73, 11, and 15 stations in the EUR, RBU, and EAS regions that have valid observations covering the simulation period – while MODIS has more comparable grid cells over the study domain.

The discrepancy between AERONET observations and MODIS product indicates that limited number of surface observations may not be sufficient to judge the overall performance of model since there is a high chance that the observation may be affected by the local sources, subsequently biasing the assessment. Spatial distributions of the simulated AOD from all participating models and the MODIS product are compared as shown in Fig. 4. The Aerosol Comparisons between Observations and Models (AEROCOM) project has conducted a thorough evaluation of 14 global models and suggested the simulated AOD is in a 2-fold range of the observations with mean normalized bias (MNB) varied between -44% and 27 % (Huneeus et al., 2011). As presented in Fig. 4, the model ensemble mean in this study shows good agreement with the MODIS production in terms of spatial distribution, and the MNB values are 9.3 %, 18.1 %, and 44.9% in the EUR, RBU, and EAS regions. These evalua-



Figure 2. Monthly mean surface concentrations of  $O_3$  (a, d),  $PM_{2.5}$  (b, c), and  $PM_{10}$  (c, f) for the year 2010 in the EUR (a, b, c) and EAS (d, e, f) regions from observations and model simulations. Observations (bold black lines with vertical error bars) represent the averages of all sites falling within the same ensemble grid (bold red lines), and the vertical error bars depict the standard deviation across the sites in the same ensemble grid. Models are sampled at the nearest grid to each station; multiple stations within the same model grid are averaged to represent the paring observation.

tion statistics are consistent with AEROCOM. However, we also find some exceptions as CHASER significantly overestimate the AOD in China, especially over the central and eastern coastal areas, indicating that the simulation bias may be generated by the model's treatment of the intensive anthropogenic emission over these areas. SPRINTARS is also found to significantly overestimate AOD over the Taklamakan Desert area, indicating that the bias shall be attributed to the treatment of wind-blown dust.

### 3 Result and discussion

# **3.1** Seasonality of long-range transport impacts at the surface layer

We start evaluating the long-range transport of  $PM_{2.5}$  from the EUR and RBU source regions to the EAS receptor region by estimating the surface  $PM_{2.5}$  concentration response on domain average scale under the emission perturbation scenarios. PM response ( $\Delta PM$ ) is defined as the concentration difference between the baseline scenario and the perturbation scenarios as follows:

$$\Delta PM_{EURALL} = PM_{BASE} - PM_{EURALL} \tag{1}$$

$$\Delta PM_{RBUALL} = PM_{BASE} - PM_{RBUALL}.$$
(2)

To also understand the responses of aerosol subspecies, simulations of  $SO_4^{2-}$ ,  $NO_3^{-}$ ,  $NH_4^+$ , OA, and black carbon (BC) are collected from each of the participating models if it is available. Dust and sea salt are not analyzed in this study because emission perturbations are performed for anthropogenic sectors only. So in this study we assume that  $\Delta PM_{2.5} = \Delta SO_4^{2-} + \Delta OA + \Delta BC + \Delta NO_3^{-} + \Delta NH_4^{+}$ . For those models reporting organic carbon (OC) instead of OA, an OC-to-OA conversion factor such as 1.8 is applied to estimate OA following the method discussed in Stjern et al. (2016). For those models reporting only some of the subspecies and total PM<sub>2.5</sub>, an extra species, "other", is defined as subtracting the available subspecies from PM2.5. For example, GEOS5 and SPRINTARS report mass concentrations of  $SO_4^{2-}$ , OA, BC, and PM<sub>2.5</sub>, then for these two models we use other =  $PM_{2.5} - (SO_4^{2-} + OA + BC)$ . Note that the CAM-chem model reports subspecies for all scenarios but  $NO_3^-$  for BASE scenario only, so no  $\Delta$ other is estimated for this model.



• Ensemble ◆ CAM-chem □ CHASER △ EMEP × GEOS5 \* GEOSCHEMADJOINT + SPRINTARS

Figure 3. Monthly average AOD comparison between the models and AERONET ( $\mathbf{a}, \mathbf{b}, \mathbf{c}$ ) and between the models and the MODIS ( $\mathbf{d}, \mathbf{e}, \mathbf{f}$ ) in EUR ( $\mathbf{a}, \mathbf{d}$ ), RBU ( $\mathbf{b}, \mathbf{e}$ ), and EAS ( $\mathbf{c}, \mathbf{f}$ ). Models are represented by markers with different colors and styles. Evaluation statistics (MB and  $R^2$ ) are indicated for the model ensemble mean in the upper-left corner of the scatter plot. The solid black line is the 1 : 1 line, whereas the black dashed contours represent the 1 : 2 and 2 : 1 lines.

Long-range transport impacts from the EUR region are presented in Fig. 5. Large variations of the simulated PM<sub>2.5</sub> responses are found among the models. The largest estimation of  $\Delta PM_{2.5}$  is  $0.16\,\mu g\,m^{-3}$  estimated by GEOS5 in March, and the smallest  $\Delta PM_{2.5}$  is 0.01 µg m<sup>-3</sup> estimated by EMEP in July. Regarding the seasonal cycle, the majority of the models suggest that long-range transport has a higher impact in winter and spring and lower impact in summer, consistent with the O<sub>3</sub> long-range transport seasonality reported by the HTAP1 assessment (Streets et al., 2010). In contrast to other models that show the most significant responses in winter or spring, CAM-chem suggests higher values of  $\Delta SO_4^{2-} + \Delta OA + \Delta BC + \Delta NH_4^+$ in July. The prominent difference in seasonality may attributed to the model diversity in terms of meteorology, aerosol mechanisms, and convection scheme. CAM-chemsimulated surface air temperature is  $\sim 2 \text{ K}$  higher than other

models in EUR region. Im et al. (2018) suggested wind speed and PBL height may play a more important role in resulting model diversities of aerosol burden, but unfortunately only one of the participating models (SPRINTARS) provides the PBL data. Stjern et al. (2016) suggested that the differences of aerosol schemes and treatments of OC, OA, and SOA lead to additional intermodel variability. An additional specifically designed model experiment is necessary to explicitly identify the causes of intermodel variability. For most of the participating models,  $\Delta SO_4^{2-}$  and/or  $\Delta OA$  make larger contributions to  $\Delta PM_{2.5}$  and show more prominent monthly changes than other subspecies. CAMchem- and GEOSCHEMADJOINT-simulated  $\Delta SO_4^{2-}$  show monthly variations with a factor of 5, and GEOS5 suggests the monthly dynamics of  $\triangle OA$  is with a factor of 8. The model ensemble mean suggests that the largest long-range transport impact of  $\Delta PM_{2.5}$  is 0.064 µg m<sup>-3</sup> in March and the



Figure 4. Spatial distributions of AOD from MODIS and model simulations. Evaluation statistics of each model are indicated in the lower-left corners of the plots.

smallest impact is  $0.035 \,\mu g \, m^{-3}$  in September, and the contributions from  $\Delta BC$ ,  $\Delta SO_4^{2-}$ ,  $\Delta OA$ ,  $\Delta NO_3^{-}$ , and  $\Delta NH_4^+$  are 3 %, 45 %, 19 %, 17 %, and 16 %.

Long-range transport from the RBU to the EAS region is presented in Fig. 6. The highest  $\Delta PM_{2.5}$  is estimated by GEOS5 as  $0.19 \,\mu\text{g}\,\text{m}^{-3}$  in March, and the lowest  $\Delta$ PM is indicated by GEOSCHEMADJOINT as 0.018  $\mu$ g m<sup>-3</sup> in July. Similarly to the response under EURALL scenario, longrange transport from the RBU region is also mainly contributed by  $\Delta SO_4^{2-}$ , but  $\Delta NO_3^-$  and  $\Delta NH_4^+$  share more significant portions in  $\Delta PM_{2.5}$ . Most of the models suggest relatively lower values of  $\Delta OA$  except for GEOS5, which suggests up to  $0.1 \,\mu g \, m^{-3} \Delta OA$  in March. The model ensemble mean suggests maxima of  $\Delta PM_{2.5}$  as 0.101 µg m<sup>-3</sup> in March and the minima as  $0.065 \,\mu g \, m^{-3}$  in August, and the contributions from  $\Delta BC$ ,  $\Delta SO_4^{2-}$ ,  $\Delta OA$ ,  $\Delta NO_3^{-}$ , and  $\Delta NH_4^+$ are 2 %, 43 %, 14 %, 20 %, and 21 %. Percentage contributions are generally less than 3 %, yet the highest contributions could be up to 3–4 % for  $\Delta SO_4^{2-}$ ,  $\Delta NO_3^{-}$ , and  $\Delta NH_4^+$  as suggested by EMEP. The relatively lower contribution of  $\Delta OA$ 

and higher contributions of  $\Delta NO_3^-$  and  $\Delta NH_4^+$  is probably due to the low temperature in the RBU source region, which may extend the lifetime of gas-phase precursors  $(SO_2, NO_x)$ and NH<sub>3</sub>) and enhance the export of secondary inorganic aerosols produced during the journey of long-range transport. Low temperature also favors SOA production from VOC due to the partitioning to the condensed phase. CAM-chem suggests the contribution of  $\triangle$ SOA in  $\triangle$ OA is 32 % under the RBUALL scenario and 28 % under the EURALL scenario. and the model ensemble mean also shows that more OA is transported from RBU  $(0.01 \,\mu g \, m^{-3})$  than that from EUR  $(0.008 \,\mu g \,m^{-3})$ , although the anthropogenic NMVOC and OC emissions from EUR are 10% and 70% higher. However, the low temperature seems affect the  $SO_2$ ,  $NO_x$ , and NH<sub>3</sub> more by influencing the chemical kinetics and slowing down the production of PM at the source region, which may allow more uplift motion of the gas-phase precursors and finally result in more  $\Delta SO_4^{2-}$ ,  $\Delta NO_3^{-}$ , and  $\Delta NH_4^+$  produced during the long-range transport pathway. More research effort is necessary to explicitly understand the export of precur-



Figure 5. Monthly averages of surface aerosol response in the EAS receptor region under the EURALL scenario. Solid bars with different colors represent the responses of different aerosols.

sors and secondary inorganic aerosols traveling from highlatitude areas.

### 3.2 Long-range transport above and within the PBL

The HTAP phase 1 (HTAP1) report (Streets et al., 2010) suggests that long-range transport of air pollutants from Europe to Asia are identified at two major different heights: within and above 3 km, and the upper path is believed to be more important due to the existence of the westerlies, especially when the emission source area is close to the jet stream (Eckhardt et al., 2003; Stohl et al., 2002) The Europe to Asia transport pathways are identified based on spatial distributions of simulated CO column density, and the contributions from upper- and lower-level transport remain unknown. The transport pathways above and within 3 km are commonly used by previous studies in order to distinguish the longrange transport above and within the free troposphere, but 3 km was apparently a rough estimation of the PBL height. The intensity of long-range transport exclusively within the PBL is believed to be negligible because it is frequently affected by the land surface, turbulence, and exchange with the free troposphere. The transport from Europe to Asia estimated with model experiment in this study, however, may show some significance within the PBL since the emission perturbation is performed on a continental scale, and there is a large portion of remote areas with flat topography in the central Asia region between Europe and eastern Asia. Annual average PBL height is about 1.5 km (880–850 hPa) above the surface over our study domain on an annual average scale, and instead of assuming a constant PBL height, we use the monthly PBL data from the SPRINTARS model because it is the only one that uploads. To enable the comparison of PM transported within and above the PBL, we use the column density instead of mass concentration, defined below:

$$\Delta PM_{within} = \sum_{layer=surface \ layer}^{PBL} \Delta PMC_{layer} \times HT_{layer}$$
(3)

1 1 .

$$\Delta PM_{above} = \sum_{layer=PBL+1}^{model top} \Delta PMC_{layer} \times HT_{layer}, \qquad (4)$$

where  $\Delta PM_{above}$  ( $\Delta PM_{within}$ ) is the  $\Delta PM$  transported above (within) the PBL,  $\Delta PMC$  is the mass concentration response under the perturbation scenarios at each layer, and HT is the model layer thickness. Figure 7 presents the spatial distributions of model-simulated  $\Delta PM_{within}$  and  $\Delta PM_{above}$  under the EURALL scenario, as well as the longitude-pressure cross sections of  $\Delta PMC$  estimated by the participating models. It is important to note that PM mentioned in this section refers to the lump sum of  $SO_4^{2-}$ , OA, and BC (because these are the subspecies available from all participating models) to enable the intermodel comparison.

Transport from the EUR to the EAS region shows generally consistent spatial distributions between participating



Figure 6. Same as Fig. 5 but under the RBUALL scenario.

models. Long-range transport of PM above the PBL is mainly distributed along 40° N and higher latitudes, where the impact can reach even further towards the western Pacific. The lower-latitude (30-40° N) transport of PM is blocked by the Pamirs, Tianshan, and Altay Mountains due to the elevated topography along the western boundary of China. Long-range transport within PBL is mostly blocked shortly after exported from Europe at the eastern side of the Black Sea along Iran, Georgia, and Armenia, while the rest of it travels along 45° N and above latitudes towards eastern Asia. All participating models suggest that PM is firstly carried from EUR in a northeastern direction over Siberia, Mongolia and northeastern part of China, and then down to lower-latitude areas over North China Plain (NCP). This transport pathway is consistent with the HTAP1 assessment (Streets et al., 2010).  $\Delta PM_{above}$  is found substantially higher than  $\Delta PM_{within}$  over the EAS receptor region. Large values of  $\Delta PM_{above}$  suggest that the long-range transport may also play an important role in affecting the shortwave radiative forcing budget, since the aerosol may be suspended above the cloud. Deposition of PM from upper air down to the surface layer may also subsequently affect the near-surface layer air quality. Most models show gradually decreased  $\Delta PM_{above}$ and  $\Delta PM_{within}$  from EUR to EAS, but SPRINTARS shows nonnegligible PM changes along the southeastern coast of China, which could be due to the production of secondary  $SO_4^{2-}$  converted from long-range transport SO<sub>2</sub>, discussed earlier in Sect. 3.1. The largest long-range transport impact is estimated by CHASER and the smallest impact is estimated by EMEP, but no significant model diversities are found. The longitude-pressure cross sections of the PM responses present a clear depiction of the long-range transport from EUR to EAS at different heights. The PM responses at the the longitude can reach up to more than 500 hPa over the EUR region (10-40° E), indicating a significant uplift motion of the air pollutants over Europe. Majority of the eastward transport PM is blocked at 45-50° E due to the elevated topography. In the upper layer above 800 hPa, however, PM is slightly less affected by the topography and can transport further towards the EAS region, where it subsequently deposits on near-surface layer. Both the spatial distributions of  $\Delta PM_{within}$  and the cross sections of  $\Delta PMC$  suggested that the intercontinental transport of aerosol does occur within PBL, although the intensity is less significant compared to that above PBL. Under the ERUALL scenario,  $\Delta PM_{within}$ contribution to the total column density of  $\Delta PM$  is 34 % estimated by the model ensemble mean, with the lowest contribution estimated by EMEP as 22 % and highest contribution estimated by GEOSCHEMADJOINT as 38 %.

Long-range transport from RBU follows a similar pathway to that from EUR to EAS, as shown in Fig. 8, which is likely because most of the RBU anthropogenic emissions are located in the European part of Russia and Ukraine. PM responses are also relatively more significant in the upper air above the PBL, which spread along 45° N and higher latitude and affect the northern part of China, North Korea, South Ko-



**Figure 7.** Annual averages of PM column density responses (calculated as  $\Delta PM = \Delta BC + \Delta SO_4^{2-} + \Delta OA$ ) under the EURALL scenario within (a) and above the (b) PBL, and the corresponding longitude-pressure cross sections of PM concentrations (averaged over 10–70° N) estimated by participating models.

rea, and Japan. Long-range transport from RBU is slightly larger than that from EUR for both above and within the PBL. Spatial distributions of  $\Delta PM_{above}$  and  $\Delta PM_{within}$  suggest that RBU exported air pollutants can travel further towards the western Pacific. Cross sections of PM concentrations suggest that RBU-emitted PM shows a much lower plume rise height in the source region compared to that over EUR. PM response under the RBUALL scenario is also found to exist up to 500 hPa in the source region, but the majority of plume is within 800 hPa.



Figure 8. Same as Fig. 7 but under the RUBALL scenario.

# **3.3** Change and interannual variability of the long-range transport

The global anthropogenic emissions have changed significantly, especially over eastern Asia during the past decade (Li et al., 2017); thus the long-range transport impact and its relative importance may have also changed as well. In this section, we compare the impact estimated for the year 2010 with the assessment reported by HTAP1 for the year 2000. We also analyze the HTAP2 simulations for the year 2008 and 2009 to probe the interannual variability. To properly interpret the HTAP1 report and the HTAP2 modeling results, it is important to realize that the regions definitions are moderately different between the two experiments. HTAP1 used straight latitude and longitude boundaries to define the domain coverage of each region (Fiore et al., 2009), while HTAP2 applies national boundaries (one exception in the Northern Hemisphere is the Arctic region, defined as being north of 66° N latitude); thus the spatial coverage of "EU" (25–65° N; 10° W–50° E) defined by HTAP1 is slightly dif-

ferent from "EUR" defined by HTAP2, although both of them represent the European region. A similar discrepancy exists for the definition of eastern Asia between the two experiments, as the HTAP1-defined "EA" (15-50° N; 95-160° E) is smaller than the EAS region with less coverage on the western and northern sides of China. Consequently, when referring to "long-range transport from Europe to eastern Asia", neither the source (Europe) nor the receptor (eastern Asia) region share exactly the same meaning for HTAP1 and HTAP2. In addition, emission perturbations in source regions performed in both HTAP1 and HTAP2 experiments are 20 % instead of 100 %; thus the full contributions from the EUR or RBU to the EAS region remain unknown. Although the PM response is not exactly proportional to emission perturbation, previous studies (Leibensperger et al., 2011; Liu et al., 2008) suggested that it is reasonable to linearly extrapolate it when evaluating the intercontinental source-receptor relationship because the nonlinear relationship between precursor emission changes and PM responses is only locally effective. The HTAP1 assessment reported that surface  $SO_4^{2-}$  concentrations are reduced by 12 %-14 % from 20 % local emission reduction in eastern Asia, Europe, and North America, corresponding to 60 %-70 % reduction under 100 % local emission reduction if the responses are extrapolated linearly. Yet model experiments show that the real 100 % emission perturbation simulations suggest 80 %–82 % surface  $SO_4^{2-}$  concentration reduction due to "oxidant limitation" over these polluted areas. However, this relationship becomes linear during transoceanic transport due to the relatively short lifetime of precursors compared to the travel duration. So in this study, we use the Full\_Impact to represent the PM responses from 100% emission perturbation at EUR and RBU by scaling the PM responses under the 20 % emission perturbation conditions by a factor of 5, which provide a rough but direct estimation of the full contributions of long-range transport. This method has been applied by the HTAP1-related studies to estimate the long-range transport of O<sub>3</sub> (Fiore et al., 2009; West et al., 2009; Zhang et al., 2009).

 $Full\_Impact_{EUR} = 5 \times \Delta PM_{EUR}$ (5)

$$Full\_Impact_{RBU} = 5 \times \Delta PM_{RBU}$$
(6)

and

$$Full\_Impact_{EUR \%} = \frac{Full\_Impact_{EUR}}{PM_{BASE}} \times 100\%$$
(7)

$$Full\_Impact_{RBU\%} = \frac{Full\_Impact_{RBU}}{PM_{BASE}} \times .100\%$$
(8)

In addition, we also defined the Relative\_Impact in this study to represent the relative importance of long-range transport in contrast to the local emission, as the ratio of PM responses under 20% emission perturbation in source regions (i.e., EUR, RBU) to the PM responses under 20% emission

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perturbation in the receptor region (i.e., EAS):

Relative\_Impact<sub>EUR %</sub> = 
$$\frac{\Delta PM_{EUR}}{\Delta PM_{EAS}} \times 100\%$$
 (9)

Relative\_Impact<sub>RBU %</sub> = 
$$\frac{\Delta PM_{RBU}}{\Delta PM_{EAS}} \times 100 \%.$$
 (10)

The full impact and relative impact are calculated with the model ensemble mean to represent the averages, and with individual modeling results to estimate the minima and maxima, as summarized in Table 2. The HTAP1 experiment only reported the assessment of  $SO_4^{2-}$ , BC, and OA, so this section will focus on the analysis and comparison of these species. As mentioned earlier, the EAS region is different from the EA region defined in HTAP1, so we also calculate the full impact and relative impact for the EA region but with HTAP2 modeling data to enable the comparison. We first compare the 2000 EU impact on EA with the 2010 EUR impact on EA. The long-range transport shows a prominent decreasing change for all investigated species. The full impact of Europe long-range transport on surface  $SO_4^{2-}$  concentration decreased from  $0.15 \,\mu g \,m^{-3}$  (5.0%) in 2000 to  $0.02 \,\mu g \,m^{-3}$ (0.5%) in 2010, which shall be due to the significant reduction of SO<sub>2</sub> anthropogenic emission in Europe from 9.95 Tg in 2000 to 6.18 Tg in 2010 (anthropogenic emissions are summarized in Table S2). The full impacts of Europe longrange transport on surface BC and OA also decreased by a factor of 2-5 for both absolute concentrations and percentage contributions during the 10-year period. Anthropogenic emissions of BC, OC, NMVOC, and primary PM in Europe decreased by 21 %, 4 %, 37 %, and 2 % and their emissions in eastern Asia increased by 39 %, 21 %, 38 %, and 32 % from 2000 to 2010. The emission increase in eastern Asia shall be responsible for the enhanced surface PM concentrations simulated under the baseline scenario. The emission reductions in EUR are consistent with the decreasing change in the long-range transport contributions estimated by the models.

We then investigate the interannual variability of the longrange transport by examining the EUR to EAS and the RBU to EAS impacts from 2008 to 2010. The model-estimated full impact<sub>EUR %</sub> shows annual changes of 15 %–30 % for all species. The full impact<sub>RBU %</sub> shows relatively larger interannual changes. As the anthropogenic emissions from the RBU region steadily decreased by ~9 % from 2008 to 2010, the large dynamics of full impact<sub>RBU %</sub> is more likely due to the fact that only one model (CAM-chem) is available to estimate the RBU impact in 2008 and 2009 and thus the assessment may be biased. While the estimation for 2010 is calculated with the multi-model ensemble mean, the estimations for the other 2 years are determined by CAM-chem only and need to be validated further.

We finally analyze the relative importance of long-range transport. The HTAP1 reported that the overall contribution to  $SO_4^{2-}$  and OA from EU to EA is 2.9% in 2000, and the relative impact in 2010 is 2.2%, indicating that long-range

**Table 2.** Annual average long-range transport impacts of surface PM concentrations and percentage contributions from the EUR and RBU source regions to the EAS receptor region. Numbers collected from the HTAP1 assessment are presented in italic font; aerosol surface concentrations (Surf. Conc.) under the baseline scenario are presented in bold font. Numbers in the parentheses indicate the range of each variable among the participating models.

		Full impact of long-range transport					
		EA as r EU→EA	eceptor EUR→EA	EAS as receptor			
		20001	2010EA <sup>2</sup>	2008 <sup>3</sup>	20094	2010	
SO <sub>4</sub> <sup>2-</sup>	<b>Surf. Conc.</b> (μg m <sup>-3</sup> ) Full_Impact <sub>EUR %</sub> Full_Impact <sub>RBU %</sub>	<b>2.94 (1.96–4.42)</b> 5.0 (0.3–9.8)	<b>3.25 (2.07–5.46)</b> 0.5 (0.1–0.9)	<b>5.9 (5.38–6.51)</b> 3.5 (2.9–4.1) 5.5	<b>5.29</b> 4.7 5.2	<b>3.80 (1.45–6.67)</b> 2.7 (0.4–5.6) 4.1 (2.6–6.9)	
BC	<b>Surf. Conc.</b> (μg m <sup>-3</sup> ) Full_Impact <sub>EUR %</sub> Full_Impact <sub>RBU %</sub>	<b>0.42 (0.28–0.71)</b> 1.0 (0.5–3.9)	<b>0.56 (0.34–0.74)</b> 0.2 (0.03–0.3)	<b>1.00 (0.93–1.08)</b> 1.2 (0.6–1.8) 3.6	<b>0.92</b> 1.9 1.8	<b>0.82 (0.51–1.07)</b> 1.1 (0.1–2.2) 1.1 (0.1–2.5)	
OA	<b>Surf. Conc.</b> (μg m <sup>-3</sup> ) Full_Impact <sub>EUR %</sub> Full_Impact <sub>RBU %</sub>	<b>1.46 (0.81–2.52)</b> 0.4 (0.2–0.9)	<b>3.56 (1.93–6.29)</b> 0.2 (0.02–0.4)	<b>6.28 (3.51–9.06)</b> 0.7 (0.3–1.1) 2.5	<b>3.37</b> 2.1 2.0	<b>5.06 (2.1–8.87)</b> 0.9 (0.1–1.2) 1.0 (0.1–3.2)	
		Relative impact of long-range transport					
$SO_4^{2-} + OA$	Relative_Impact <sub>EUR %</sub> Relative_Impact <sub>RBU %</sub>	2.9	2.2 3.3 (2.1–5.5)	2.9 3.8	2.8 3.3	2.7 3.7	
		Local 20% anthropogenic emission perturbation impact					
$SO_4^{2-} + OA$	$\frac{\Delta PM_{EAS}}{PM_{BASE}} \times 100 \%$	16.8	12.5	14.0	14.1	12	

<sup>1</sup> Numbers shown for 2000 are collected from the HTAP1 report that represent the long-range transport impact from EU to EA. <sup>2</sup> 2010EA is calculated with the HTAP2 data by using the HTAP1 domain configuration for EA. <sup>3</sup> Only data from two models (CAM-chem and CHASER) are available for the EURALL scenario in 2008, and only data from one model (CAM-chem) are available for RBUALL scenario in 2008, so no range is calculated for RBU%. <sup>4</sup> Only data from one model (CAM-chem) 2009 are available so no range is calculated for EUR % and RBU%.

transport is playing a less important role compared to the local anthropogenic emission. In contrast, 20 % anthropogenic emission reductions in the EAS region led to a surface concentration of  $SO_4^{2-}$  + OA that decreased by 16.8 % in 2000 and 14.1 % in 2010, suggesting that the nonlinear relationship between the precursor and PM becomes more significant when the anthropogenic emissions increase. It also indicates that, to achieve a better air quality with lower PM concentrations, more efforts shall be devoted to reduce the emissions in 2010 because the top 20 % emission reduction would lead to a smaller PM response compared to in 2000.

# 3.4 Long-range transport impact during the haze episode

We first use the National Climate Data Center (NCDC) observations to identify the locations and periods of haze in China, and then analyze the long-range transport impacts during these identified haze episodes. Haze can be quantitatively identified with visibility less than 10 km and relative humidity less than 90% (Fu et al., 2014). As most of the haze (locations of NCDC sites and full map of haze shown in Fig. S1 in the Supplement) are located over the central and eastern parts of China (CEC), in this section we focus the analysis of long-range transport impacts on the CEC subdomain  $(20-55^{\circ} \text{ N}; 100-135^{\circ} \text{ E})$ . The full impacts during the haze episodes (HAZE) are estimated and compared with the annual averaged full impacts, as shown in Table 3.

CAM-chem and GEOS5 have no daily surface data available, so data from the remaining four participating models are analyzed in this section. The models suggest that the PM<sub>2.5</sub> baseline concentrations during haze episodes are substantially higher than the annual averages shown in Table 3. The full impacts of long-range transport from the source regions are also higher during the haze episodes by a factor of 2–3 than the annual averages. Higher values of Full\_Impact<sub>EUR</sub> and Full\_Impact<sub>RBU</sub> suggest that more fine particles are transported from the EUR and RBU source regions when China is suffering from haze.

As shown in Fig. 9, the spatial distributions of the full impact of the long-range transport during the haze episodes demonstrate a very similar pattern among the participating models. The Full\_Impact<sub>EUR %</sub> is most significant over the northeastern corner of China, and gradually decreases towards the southeastern direction. The intensity of Full\_Impact<sub>EUR %</sub> estimated by models, however, shows

	Base $PM_{2.5} (\mu g m^{-3})$		EUR full impact ( $\mu g m^{-3}$ (%))		RBU full impact ( $\mu g m^{-3}$ (%))	
Models	AAVG	HAZE	AAVG	HAZE	AAVG	HAZE
CHASER	20.46	47.73	0.23 (1.2)	1.00 (2.1)	0.29 (1.4)	0.99 (2.1)
EMEP	17.35	29.34	0.05 (0.3)	0.11 (0.4)	0.23 (1.3)	0.61 (2.1)
GCA*	25.47	28.03	0.12 (0.3)	0.29 (1.1)	0.35 (1.4)	0.86 (3.0)
SPRINTARS	17.45	24.80	1.00 (5.7)	2.58 (10.5)	1.26 (7.2)	2.82 (11.4)
Ensemble	20.18	32.48	0.35 (1.7)	0.99 (3.1)	0.53 (2.6)	1.32 (4.1)

**Table 3.** Long-range transport full impacts on an annual average scale and during the haze episodes. Numbers in the parentheses indicate the percentage contributions.

\* GCA: GEOSCHEMADJOINT

large differences, as the maximum estimated by SPRINT-ARS is 10.5% and the minimum estimated by EMEP is 0.4%. The numbers presented in Table 3 have demonstrated the general full impacts during all haze episodes, but we are still unaware of how those individual haze episodes are affected by long-range transport. So, we also summarize the histograms of daily full impacts during the haze episodes. The frequency of the histogram is calculated as follows:

Frequency<sub>Full\_Impact=i %</sub> = 
$$\frac{\#\text{HazeEvent}_{i \%}}{\sum_{i=1}^{\text{MaxFI=15}} \#\text{HazeEvent}_{i \%}} \times 100\%$$
, (11)

and it satisfies

$$\sum_{i=1}^{\text{MaxFI}=15} \text{Frequency}_{\text{Full}\_\text{Impact}=i\%} = 100\%.$$
(12)

We define MaxFI = 15 to represent the upper boundary as Full\_Impact  $\geq 15 \%$ . This value (i.e., 15%) contribution is selected in order to compare the full impact from long-range transport against the PM<sub>2.5</sub> response under 20% local emission control in the EAS region. As shown in Table 2, the surface concentration of SO<sub>4</sub><sup>2-</sup> + OA is reduced by ~ 15% under the EASALL scenario. So, if Full\_Impact<sub>EUR</sub>  $\geq 15\%$ , it indicates that the long-range transport from EUR may have an equivalent or even more significant contribution to the surface PM<sub>2.5</sub> than that produced from 20% of the local anthropogenic emission. We define #HazeEvent<sub>i</sub>% as the number of haze events that satisfy (i - 1)% < Full\_Impact  $\leq i\%$  and are calculated as follows:

$$\text{HazeEvent}_{i\%} = \sum_{d=1}^{365} H_{d,r,c}.$$
(13)

 $H_{d,r,c}$  is the haze event at day d, row r, and column c, defined as follows:

$$H_{\rm d,r,c} = \begin{cases} 1, \text{ if } \mathrm{RH}_{\rm d,r,c} < 90\% \text{ and } \mathrm{visibility}_{\rm d,r,c} < 10 \,\mathrm{km}, \\ \text{and } i\% < \mathrm{Full\_Impact}_{\rm d,r,c} \le (i+1)\% \\ 0, \text{ otherwise} \end{cases}$$
(14)

So with Frequency<sub>Full\_Impact=i %</sub>, we can estimate the percentage of the haze episodes for which the long-range

transport contributes to *i* % of the surface PM<sub>2.5</sub>. The values of Frequency<sub>Full\_Impact=15 %</sub> are indicated in the histogram plots as shown in Fig. 9. The SPRINTARS-estimated Frequency<sub>Full\_Impact=15 %</sub> is 5.5 %, suggesting that during almost 5.5 % of the haze episodes in China, long-range transport from Europe contributed to at least the equivalent amount of surface PM<sub>2.5</sub> concentration as that generated from 20 % of local anthropogenic emission, while the other model estimations range from 0.01 % to 1.9 %. The influence from the RBU region shows a slightly higher value of Frequency<sub>Full\_Impact=15 %</sub> as 2.2 %. Although significant variations are found among the model estimations, all participating models suggest nonnegligible values of Frequency<sub>Full\_Impact=15 %</sub>, indicating the important contributions of long-range transport to haze episodes in China.

The high surface  $PM_{2.5}$  is believed to be the most direct cause of haze conditions. However, visibility cannot be represented by  $PM_{2.5}$  mass concentration only, since it is also determined by the optical properties, number concentrations, and size distributions of the aerosols. Thus the analysis of the PM concentration response only partially depicts the impact of long-range transport during haze episodes. Calculating model-predicted visibility requires the detailed aerosol information mentioned above which is not available from any of the participating models. So we use the Koschmieder equation (Han et al., 2013) to estimate the model-simulated visibility from aerosol extinction coefficient ( $\beta$ ) as follows:

visibility = 
$$\frac{3.912}{\beta}$$
. (15)

Modeled visibility is calculated for SPRINTARS only since the other participating models have no surface layer extinction coefficient available. The long-range transport impact on visibility change and number of haze days change are shown in Fig. 10. It shall be noted that SPRINTARS-estimated long-range transport impact of surface PM<sub>2.5</sub> is the highest among the participating models; thus the analysis of visibility change shown in Fig. 10 may represent the upper boundary of model estimations. The spatial distribution of visibility changes agree well with that of surface PM<sub>2.5</sub> responses. Visibility is reduced by up to 10 km along the northeast-





Figure 9. Spatial distributions and histograms of the full impacts of long-range transport during the haze episodes. Model grids with no NCDC observation sites are assigned to fill values.



Figure 10. Reduction of visibility (a, c) and enhancement of number of haze days (b, d) under the EURALL (a, b) and RBUALL (c, d) scenarios.

ern boundary of China, which is likely due to the fact that these areas receive the most significant amount of the long-range transport aerosols from the EUR and RBU regions. The number of haze days changes, however, are mostly prominent in the NCP and along the eastern coast of China. The long-range transport results in 1–3 days (< 3%) of extra haze over these areas throughout the year. The total number of haze events ( $\sum_{i=1}^{MaxFI=15}$ #HazeEvent<sub>i%</sub>) estimated by the SPRINTARS model is 18 566, 18 538, and 18 546 under the BASE, EURALL, and RBUALL scenarios, suggesting that transport from the EUR and RBU regions contributes to an additional 0.15% and 0.11% of haze events.

### 4 Summary and conclusions

To estimate the long-range transport contributions to the surface aerosol concentrations in eastern Asia, this study uses six global models participating in the HTAP2 experiment. Simulations for the year 2010 from the baseline scenario and 20% anthropogenic emission perturbation scenarios are explored to estimate the long-range transport from the Europe and Russia-Belarus-Ukraine source regions. We find that on an annual average scale, long-range transport from Europe contributes  $0.04-0.06 \,\mu g \,m^{-3}$  (0.2-0.8 %) to the surface PM2.5 concentration in eastern Asia as indicated by the 20 % emission perturbation experiment, with the majority of the transported aerosols as  $\mathrm{SO}_4^{2-}$  and OA at 43 % and 19%. Long-range transport from Russia-Belarus-Ukraine shows slightly higher impact with contributions of 0.07- $0.10 \,\mu\text{g}\,\text{m}^{-3}$  (0.3–0.9 %) to the surface PM<sub>2.5</sub> in eastern Asia, within which the  $NO_3^-$  and  $NH_4^+$  responses share bigger slices as 20% and 21%, larger than that of OA as 14%. As compared to the impact from Europe to eastern Asia, more secondary inorganic aerosols are transported from the Russia-Belarus-Ukraine region despite the fact that the 2010 anthropogenic emission from RBU is 40-50% lower than that from EUR for SO<sub>2</sub>, NO<sub>x</sub>, and NH<sub>3</sub>. Our analysis suggests that the lower temperature in RBU may result in extended lifetime of the gas-phase precursors, which are gradually converted to secondary inorganic aerosols during the transport pathway to eastern Asia, yet further modeling experiment is necessary to explicitly explore the temperature impact on long-range transport.

By investigating the PM responses in different atmosphere layers, we find that long-range transport exist both within and above the PBL, although the upper-level transport takes a larger portion as 66% of the total PM column density response in eastern Asia. Spatial distributions of the PM responses suggest that the long-range transport from Europe and Russia–Belarus–Ukraine are both predominantly blocked at western side of China due to the elevated topography of Pamirs, Tianshan, and Altay Mountains, where the rest of the exported pollutants are carried by the Westerlies along 45° N and higher latitude towards China, North Korea, South Korea, Japan, and the western Pacific.

Comparison between the HTAP1 assessment and the estimation from this study reveals the 10 years of decreasing change in long-range transport from Europe to eastern Asia. When extrapolating the impact of 20 % anthropogenic emission perturbation by a factor of 5 to estimate the full impact, contributions to surface concentrations are decreased from 5.0 %, 1.0 %, and 0.4 % in 2000 to 0.5 %, 0.2 %, and 0.2 % in 2010 for  $SO_4^{2-}$ , BC, and OA. This comparison may contain uncertainty because of the different model ensemble compositions between HTAP1 and this study, but the change in the long-range transport impacts from 2000 to 2010 found in this study was consistent with the implications from the emissions changes. The simultaneous emission reduction in Europe and emission enhancement in eastern Asia shall be responsible for the decreasing change. The surface concentrations of  $SO_4^{2-}$ , BC, and OA in eastern Asia are also increased by 14 %, 50 %, and 140 % from 2000 to 2010, consistent with many of the local measurements reported in recent years (Chen et al., 2016; Feng et al., 2014; Lu et al., 2010; Zhu et al., 2012). It is important to emphasize that, based on the model ensemble mean estimations, despite the fact that baseline of 2010 anthropogenic emission is substantially higher (20%-40%) than that in 2000, the same percentage reduction in the local anthropogenic emission will lead to a smaller benefit in terms of reducing the ambient PM concentrations in the 2010 scenario, indicating the increasing difficulty for air quality management in eastern Asia.

The long-range transport impact during haze episodes in China is estimated by using the NCDC surface observations to identify the haze events, on top of which the HTAP2 experiments are analyzed to quantify the changes in surface PM<sub>2.5</sub>, visibility, and number of haze days. Despite the significant discrepancy between the models, all participants demonstrate that the full impact during haze episodes is more significant than that on an annual average scale. Estimations with the model ensemble mean suggest that the full impacts from EUR and RBU are 0.99  $\mu$ gm<sup>-3</sup> (3.1%) and 1.32  $\mu$ gm<sup>-3</sup> (4.1%) during haze episodes, significantly higher than the annual averages. The model ensemble also suggests that during

ing 5.5–5.7 % of the haze episodes, long-range transport can contribute to surface  $PM_{2.5}$  as much as that generated from 20 % of local anthropogenic emission. Based on analysis with the SPRINTARS model output, visibility is reduced by up to 10 km, with the largest impact found along northeastern China, and the impact gradually decreases towards the southeast and causes visibility reduction of less than 500 m. The enhancement of the number of haze days, however, is found mainly located at the North China Plain and southeastern coastal area of China, where most of the places receive an extra 1–3 haze days due to the influence of long-range transport. We find that, throughout the year of 2010, the number of haze events in our study domain is increased by 0.15 % and 0.11 % due to the long-range transport from the Europe and Russia–Belarus–Ukraine regions.

*Data availability.* The HTAP Phase II modeling data can be obtained through the AeroCom servers and web interfaces, accessible at http://aerocom.met.no (last access: 10 August 2017).

*Supplement.* The supplement related to this article is available online at: https://doi.org/10.5194/acp-18-15581-2018-supplement.

Author contributions. XD and JSF designed the study, analyzed the data and wrote the manuscript. QZ, JS, JT and KH helped to process modeling and observation data. TK organized the collaboration and communication between groups from different institutions and commented on the research idea. TS and KS provided CHASER data. LE and ST provided CAM-chem data. JEJ and MS provided the EMEP data. HB and MC provided the GEOS5 data. YD and DH provided the GEOSCHEMADJOINT data. TT provided the SPRINTARS data. AMKB provided all modeling data management and access to observation data.

*Competing interests.* The authors declare that they have no conflict of interest.

*Special issue statement.* This article is part of the special issue "Global and regional assessment of intercontinental transport of air pollution: results from HTAP, AQMEII and MICS". It is not associated with a conference.

Acknowledgements. This work was partly supported by the Natural Science Foundation of China (41429501). We would like to thank the UN-ECE CLRTAP (EMEP), AMAP, and NILU for supporting the EBAS database with air pollutant measurements. We thank Keiichi Sato and Ayako Aoyagi from Asia Center for Air Pollution Research for providing the EANET data. We would also like to acknowledge NOAA NCDC for providing the public accessible meteorology observations. We thank the Oak Ridge Leadership Computing Facility (OLCF) at Oak Ridge National Lab (ORNL) for providing computational sources. Funding for open access to this research was provided by University of Tennessee's Open Publishing Support Fund.

Edited by: Stefano Galmarini Reviewed by: three anonymous referees

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