

The co-construction and emotion management of hope within psychosis services

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Abstract

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There is a growing acknowledgement of the salience of hope for mental health service-users, in influencing care outcomes and recovery. Understandings of the processes through which hopes are co-constructed, alongside specific conceptualisations of experiences of hoping, remain limited however. This qualitative study explored how a range of stakeholders experienced and dealt with uncertainty within three purposively selected psychosis services in southern England. In this article we focus particularly on the co-construction of hope within participants' narratives and how this emotion work shaped experiences of hoping. In-depth interviews (n=23) with service-users, professionals, managers and other stakeholders were analysed following a phenomenological approach. Hope was spontaneously identified by participants as a fundamental mechanism through which service-users and professionals managed uncertainty when vulnerable. Professionals were influential in shaping users' hopes, both intentionally and unwittingly, while some professionals also referred to managing their own hopes and those of colleagues. Such management of expectations and emotions enabled motivation and coping amidst uncertainty, for users and professionals, but also entailed difficulties where hope was undermined, exaggerated, or involved tensions between desires and expectations. Whereas hope is usually reflected in the caring studies literature as distinctly positive, our findings point to a more ambivalent understanding of hope, as reflected in the accounts of both service-users and professionals where elevated hopes were described as unrealistic and harmful, to the well-being of professionals as well as of service-users. It is concluded that a greater awareness within care contexts of how hopes are co-constructed by professionals and service-users, explicitly and implicitly, can assist in improving health care and healthcare outcomes.

Contribution to the field

Most existing scholarship on hope, especially within the field of psychiatry and studies of mental health services, considers it as a positive resource. In this study we draw on more critical theoretical scholarship from medical anthropology and sociology, applying this in our analysis of qualitative interview data collected among service-users and professionals of psychosis services in southern England. In particular our analysis points to how hopes are managed, purposefully and unwittingly, by these different stakeholders, especially in interactions with others. To our knowledge, Hochschild's work on feeling rules and emotions has not been applied to hope before, and certainly not in sociological studies of mental health care.

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In review

1 **The co-construction and emotion management of hope within psychosis**
2 **services**

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10 **Keywords: co-construction, emotion management, hope, mental health services,**
11 **phenomenology, psychosis, qualitative.**

12 As per language style instructions, we note that this article is written in British English.

13 **Abstract**

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15 influencing care outcomes and recovery. Understandings of the processes through which hopes are
16 co-constructed, alongside specific conceptualisations of experiences of hoping, remain limited
17 however. This qualitative study explored how a range of stakeholders experienced and dealt with
18 uncertainty within three purposively selected psychosis services in southern England. In this article
19 we focus particularly on the co-construction of hope within participants' narratives and how this
20 emotion work shaped experiences of hoping. In-depth interviews (n=23) with service-users,
21 professionals, managers and other stakeholders were analysed following a phenomenological
22 approach. Hope was spontaneously identified by participants as a fundamental mechanism through
23 which service-users and professionals managed uncertainty when vulnerable. Professionals were
24 influential in shaping users' hopes, both intentionally and unwittingly, while some professionals also
25 referred to managing their own hopes and those of colleagues. Such management of expectations and
26 emotions enabled motivation and coping amidst uncertainty, for users and professionals, but also
27 entailed difficulties where hope was undermined, exaggerated, or involved tensions between desires
28 and expectations. Whereas hope is usually reflected in the caring studies literature as distinctly
29 positive, our findings point to a more ambivalent understanding of hope, as reflected in the accounts
30 of both service-users and professionals where elevated hopes were described as unrealistic and
31 harmful, to the well-being of professionals as well as of service-users. It is concluded that a greater
32 awareness within care contexts of how hopes are co-constructed by professionals and service-users,
33 explicitly and implicitly, can assist in improving health care and healthcare outcomes.

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35

36

37 **INTRODUCTION**

38

39 This articles contributes to a special issue focusing on the role of emotions in contexts of healthcare.
40 Hope, as a future oriented emotion (Simpson, 2004), has increasingly been considered to be relevant
41 to mental healthcare settings. Indeed a growing literature exists around the concept and role of hope,
42 within health and social care contexts more generally and in contexts of supporting those with mental
43 health problems more specifically, as acknowledged within earlier and more recent reviews (Cutcliffe
44 and Koehn, 2007; Wiles et al., 2008; Schrank et al., 2008; Heller, 2014; Lohne, 2022). As a positive
45 ‘emotional attitude’ through which the hoper’s subjective considerations and desires are oriented
46 towards certain possible future outcomes (Wiles et al., 2008; Simpson, 2004), the significance of
47 hope is apparent as: a means of coping with vulnerability and uncertainty in the present (Zinn, 2008;
48 Brown and de Graaf, 2013); a tool for managing and alleviating anxiety in contexts where treatment
49 proves ineffective (van Dantzig and de Swaan 1978); motivating action and pursuit of future goals
50 (Lohne, 2022; Simpson, 2004); and as a source of solidarity and mediation between those who may
51 share a common hopes (Heller 2014; Rorty, 2002).

52 Growing interest in measuring hope and correlating factors within mental healthcare contexts (see
53 Schrank et al., 2008) is often attributed to a recent focus upon *recovery* (Bertolote and McGorry,
54 2005; Van Gestel-Timmermans et al., 2010), yet review articles denote a continuing paucity of
55 adequate empirical research, ambiguous definitions (Cutcliffe and Koehn, 2007) and related
56 problems of construct validity. A handful of studies acknowledge the influence of both professionals
57 and service-users in cultivating users’ hopes in contexts of severe mental illness, including psychosis
58 (McCann, 2002; Kirkpatrick et al., 1995; Darlington and Bland, 1999). There remains, however, a
59 lack of in depth-case studies exploring how hope is created and managed amidst user-professional
60 interactions (Cutcliffe and Koehn, 2007; Wiles et al., 2008; Schrank et al., 2008).

61 Conceptual studies denote an important tension between ‘hope-as-desire’ for the less-than-likely and
62 as ‘hope-as-expectation’ of the more probable (Simpson, 2004; Wiles et al., 2008; Brown and de
63 Graaf, 2013). A dark side to hope correspondingly exists where “a longing to cope and to leave a
64 position of vulnerability and/or despair may lead to mere possibilities being focused upon in a highly
65 blinkered fashion” (Brown et al., 2014, p. 315). This has potential implications for the success of
66 service-users’ recovery, for example, leading to avoidant coping strategies such as ‘sealing over’
67 (Tait et al., 2004). Such potentially negative aspects of hope are largely missing within empirical
68 research within mental health contexts (Schrack et al., 2008) and in wider research on hope, where
69 hope is predominantly researched in terms of its benefits (Lohne, 2022) – being contrasted with
70 hopelessness (Seligman, 1975) and related problems of suicide risk (Heller, 2014) or “engulfment”
71 within, and internalising of, labels such as schizophrenia (Cutcliffe and Koehn, 2007).

72 The positive emphasis given to hope within the psychiatric, mental health and related social sciences
73 literatures would seem to relate to the relative ubiquity of the recovery model as an approach to living
74 with chronic psychosis-related conditions and, in turn, the prominent role of hope within this.
75 Alongside its centrality, Ramon and colleagues (2007, p.111) denote that this hope is not so much in
76 living symptom free but in a socially-supported, agentic form of everyday coping and flourishing
77 amid interdependent support and the relative absence of disabling barriers such as stigma and
78 exclusion. These same authors (Ramon et al., 2007, p. 110) locate these specific meanings around a
79 hope-imbued recovery within a specific point in time, especially the 1990s and early 2000s following
80 the coinciding of: de-institutionalisation and community living; greater recognition of the
81 experiential expertise of those living with psychotic symptoms; more emphasis placed on strengths of
82 this group, rather than reducing people to their vulnerabilities; and growing acceptance of the social
83 disability model of mental health problems.

84 Before the late twentieth century, it was generally accepted that the “rule of thirds” (Harding et al
85 1987) applied to the course of schizophrenia; whereby a third of those diagnosed could expect
86 chronic illness, a third intermittent illness, and a third recovery (in a narrower, symptom-free sense).
87 Hope in this context was that one would belong to the recovering cohort. The new framing of
88 recovery broadened and changed the orientation of hope (from cured to coping), while qualitative
89 studies of the personal experience of recovery (in a broader sense) identifying “hope” as a key factor
90 but the concept remained largely undefined (Saelor et al, 2014).

91 In the study described below, we drew on phenomenological traditions (Schutz, 1967; Smith and
92 Osborn, 2003) to explore the experiences of participants amidst vulnerability and uncertainty, and
93 especially how participants understand these experiences and their ways of coping amid vulnerability
94 and uncertainty, such as through relations characterised by (dis)trust and/or risk; though we aimed to
95 remain open to a wide spectrum of different coping processes (Zinn, 2008). Hope emerged
96 spontaneously within the accounts of service-users, professionals and other stakeholders. In contrast
97 to much previous research, the analysis below explicitly considers the relevance of hope for both
98 professionals *and* service-users (McCann, 2002), in order to analyse how hope was, often implicitly
99 (Schutz, 1967), co-constructed and managed within professional-user relations and broader service
100 contexts. This management of hope was an important and unforeseen finding in our analysis of the
101 data in our study coded as pertaining to hope. Considerations of hope as an emotion (Simpson, 2004)
102 pointed us towards the relevance of Hochschild’s (1979) work on emotion management, to which we
103 turn in the next section. The central research questions in our analysis are: through what processes
104 was hope co-constructed by users and services? And what were the effects of this co-construction of
105 hope on users’ experiences of hoping?

106 **THEORY**

107 There is a long tradition in the social sciences of considering how emotions are not merely feelings
108 that happen to or within us but also feelings which we work upon. Sartre (1939/1962, p. 12)
109 suggested a person's agency over emotions as well as the way in which they are 'organised' by wider
110 social forces. In related existentialist and phenomenological traditions, Kierkegaard (1844/1957) and
111 Schutz (1967) refer to ways in which our subjective gaze and attentiveness may be oriented towards,
112 or away from, particular phenomena with important implications for our experiences of thinking and
113 feeling.

114 Hope can be usefully understood within this tradition in that through a focus on a possible outcome
115 or entity located in the future, we can bracket aside or 'look past' (Brown, 2009) difficulties, fears
116 and vulnerabilities in the present. In this sense hope represents an important and potentially powerful
117 mode of coping amid the vulnerability of the present and uncertain futures. Though these same
118 tendencies also give hope a 'dark side' (Brown, 2011) in that hopes can be manufactured –
119 manipulatively and/or desperately – which would lead to some or many individuals pursuing or
120 enduring conditions which are problematic and unjust (van Dantzig and de Swaan, 1978; Simpson,
121 2004).

122 However judging when hopes are 'appropriate' or 'reasonable' and when they are inflated and
123 misleading is not straightforward. As already noted, hopes inherently involve a tension between a
124 desired possible and an expected probable (Simpson, 2004) but who gets to legislate what is
125 'probable' remains unclear. Social science literature on risk (as a probabilistic tool for considering
126 probable futures) notes that assessments of the probable futures tend to reflect the values and
127 epistemic hierarchies around the powerful centre, at the expense of those on social peripheries
128 (Douglas and Calvez, 1990). Nik Brown's (2015) work on hope and the science behind hope scales
129 (measurement tools for hopes) makes a similar argument by which an orientation towards hope in
130 cancer care is analysed as having its roots within social, psychoanalytic and medical science

131 developments in the inter-war and post-war periods of the twentieth century in western Europe and
132 north America. Within these contexts which had been characterised by (wartime) adversity and
133 hardship, hope had come to be ‘expressed as an essential moral property of the person rooted firmly
134 in the problem of wartime morale and civic determinedness’ (Brown, N., 2015, p.124); that is as a
135 context-specific norm for how one should feel, related to a particular moral framing.

136 We can consider the brief historical outline of recovery in relation to mental health problems, and the
137 role and orientation of hope within this framework, similarly as a representing a norm of how one
138 should feel and act, rooted in a wider framing which develops at a particular moment in time (Ramon
139 et al., 2007). Petersen (2015) points towards the earlier, more theologically-oriented works on hope
140 by Bloch (1986) and Fromm (1968), in denoting the activating role of hope, one which may be
141 internalized as an ‘inner-readiness (Petersen, 2015, p.6), before going on to note the ideological and
142 consumerist orientations of this combination of desire and expectation. Broader ideological
143 tendencies may therefore be internalised through socio-political processes of hoping which, in turn,
144 generate underlying dispositions towards acting in particular ways. In this sense it may be useful to
145 conceptualise hope as an emotion (Simpson, 2004), in terms of its emotional resonance (Simpson,
146 2004) but also in terms of Arlie Hochschild’s (1979) work on framing and feelings rules. While it is
147 very difficult to delineate what is a reasonable or unreasonable hope in a more prescriptive sense, we
148 can point towards evidence of ‘feeling rules’ (Hochschild 1979), within specific social settings,
149 whereby norms exist that we are expected to be hopeful in particular ways (Delvecchio Good, 2001;
150 Brown, N., 2015); or indeed where hoping may be understood as, or commonly feel, inappropriate.

151 While there is some ambiguity about how emotional norms or ‘feeling rules’ relate to dominant ways
152 of thinking (‘framings’), Hochschild (1979) shows us how such norms for how we should feel can
153 change across different times and spaces and also, importantly, how these emotions should be
154 understood in terms of interactions with others. As Rachel Black (2011), following Hochschild, has

155 noted, vulnerable people may often work on ‘their own emotions in order to manage the emotions
156 and responses of others, so that their own subsequent emotions could be further managed. In other
157 words... managing emotion “by the self upon the self, by the self upon others, and by others upon
158 oneself” (Hochschild, 1979, p.562)’ (Black, 2011, p. 188).

159 Such an attentiveness to dynamic emotional management, in dyadic interactions between patients and
160 professionals, has been less thoroughly addressed in the medical sociological literature. Work has
161 tended to focus more on the emotional labour of the professionals (e.g. Cottingham 2017), or on the
162 emotional features of illness management and narratives (see important work on hope and despair by
163 Nowakowski, 2016), but less on how these interweave. Nevertheless this literature on care and
164 emotions gives us several important insights of relevance to our analysis of hope and its
165 management, such as Cottingham’s (2017, pp. 272-273) call for an attentiveness to ‘aspects of
166 emotion that continue to appear natural and unintentional—operating in tandem with the conscious
167 work of emotion management’.

168 This idea of more conscious and more taken-for-granted/non-deliberate approaches in care is of
169 particular relevance in psychiatric care contexts where questions of risk, freedom and capacity are
170 often present. Driessen and colleagues’ (2017) study is one important example of where emotions, or
171 in their case ‘wanting’, is analysed from a highly interactionist and socio-materialist perspective.,
172 These authors refer to the ‘will-work’ carried out in dementia-oriented care homes whereby care-
173 givers work to align carer and patient ‘desires’ (p. 37). Driessen and colleagues stress in their
174 framework that ‘wanting’ is above all an ‘outcome of interaction’ (p.34), but various institutional and
175 professional understandings of risk, freedom and notions of what is ‘appropriate’, alongside the
176 physical materiality and architectural layout of the care home, nevertheless underpin and limit what is
177 negotiable (see Sellerberg, 1991). This recent work on caring and health and mental healthcare

178 contexts illuminates agency to negotiate emotions amid specific contexts but that wider socio-
179 structural framings are powerful and insidious.

180 Our findings below can be usefully explored and understood in these terms of feeling and framing
181 rules and of managing emotions of the self and of others, as located within broader socio-political
182 environments (Delvecchio-Good, 2001; Petersen, 2015) and histories (Brown, N., 2015).

183

184 **METHOD**

185 **Design and context**

186 Within one local mental healthcare organisation in southern England, three contrasting psychosis
187 service-settings were purposively selected as sub-cases, with each involving different configurations
188 of vulnerability, uncertainty and future-possibilities: an early intervention service (working with
189 young people aged 14-35 for up to three years), an assertive outreach team (this is an approach
190 common in the UK whereby ‘assertive outreach’ refers to seeking to maintain regular contact with
191 individuals who are assessed to be especially vulnerable, and or who are deemed to pose a risk to
192 themselves or others, and who are liable to avoid contact or rapidly disengage with services) and a
193 more standard community mental health team. Psychosis services constitute an extreme case (Miles
194 and Huberman, 1994) for exploring processes, such as hope, by which service-users and
195 professionals may experience vulnerability and uncertainty in heightened form within these care
196 contexts. This can render more explicit various taken-for-granted processes pertaining to how hope,
197 or the hopelessness sometimes associated with mental distress, is shaped and managed. The research
198 was conducted in 2009-2010.

199

200 **Sampling and Participants**

201 Inclusion criteria were all adult service-users being treated by these psychosis services, and we
202 worked closely with services to ensure that service-users were contacted when they were not in a
203 more vulnerable point in their illness trajectory. Initial plans for recruiting eight service-users per
204 service (n=24) were unsuccessful. Despite eventually contacting 158 users through letters distributed
205 through users' services, only 8 service-users were accessed overall (see table 1 for participant
206 characteristics). Though we recognise the limitations of sample, which was less varied than we had
207 hoped for (especially regarding race and ethnicity) and the tendency towards sample bias given the
208 scale of non-response, this is a reasonable sample size for a segment of study within a
209 phenomenological tradition (Smith and Osborn, 2003). Our prime focus was upon the depth of
210 interviews, which were successful in unearthing the important insights on hope reported below.

211

212 Given the enforced distance between researchers and the non-respondents, it is difficult to account
213 for such a low response-rate, though low levels of trust are one possible explanation and indeed our
214 interviews with service-users also reflected care contexts characterised by low levels of trust. Despite
215 the small numbers, user-participants represented diverse backgrounds and experiences (mean
216 duration of contact with services = 15.9 years; SD = 12.4), including men and women (4 and 4), age
217 (from 25 to 67), educational background (from leaving school at 16 to post-graduate study and
218 increments in between) and economic activity (out-of-work; voluntary work; paid-part-time work;
219 retired). Small samples are less problematic within phenomenological studies where emphasis is
220 placed on depth of analysis of experiences and sense-making rather than broader patterns (Smith and
221 Osborn, 2003).

222

223 *Table 1 about here*

224

225 Ten professionals were recruited via letters distributed through services, out of 12 contacted. We
226 purposively selected a range of professional roles and levels of experience, including the clinical lead
227 (consultant), one social worker and one community psychiatric nurse within each team, as well as an
228 assistant psychologist in one service. These participants had varying experiences in providing mental
229 health care (mean duration working in mental health services=16.1 years, SD=10.6). The three
230 service managers were also interviewed, as well as one carer and one chaplain.

231

232 The research involved written and oral consent, with participants assured of confidentiality and that
233 participation was voluntary, meaning they could withdraw at any point. The project was carried out
234 with local health service ethics and research governance approval.

235

236 **Qualitative interviews**

237

238 In-depth, semi-structured interviews were carried out by one of two researchers, each experienced in
239 interviewing professionals and vulnerable individuals. Interviews lasted between 45 minutes and 2
240 hours for service-users and carer, and 30 minutes to 1.5 hours with professionals, managers and
241 chaplain. Service-user interviews began with a more narrative format, beginning with first contact
242 with services, before asking participants to reflect on meaning and meaning-making amid more
243 positive and negative experiences via various themes (see table 2). Due to time constraints and foci
244 upon multiple relations and experiences, staff interviews were more thematically structured (see table

245 3). Although hope was not specifically asked about, our interview approach was iterative and the
246 underlying framework behind the research was sensitised by literature which notes a range of coping
247 process amid vulnerability and uncertainty, including hope (e.g. Zinn, 2008). In later interviews,
248 when participants did raise the theme of hope, we were prepared to probe the participants'
249 experiences in relation to hoping, in order to further clarify its meaning and social functioning.

250 *Tables 2&3 about here*

251 **Analysis**

252 Interviews and analysis were informed by interpretative phenomenological approaches. Detailed
253 exploration of ongoing, interactive processes of sense-making and expectation-construction amidst
254 uncertainty – as these were shaped by social-biographies and accumulated 'taken-for-granted'
255 assumptions – were central (Schutz, 1967; Smith and Osborn, 2003). Data coding therefore combined
256 more open approaches, where data were first broken down and related fragments were continually
257 compared with one another, with latter more selective phases.

258 Hope emerged as an important theme within open coding. The concept was then returned to via a
259 secondary analysis where we aimed to understand specific references to hope phenomenologically, in
260 relation to the intersubjectivity, bracketing-off, sense-making processes and lifeworlds of the
261 participants (Schutz, 1967; Smith and Osborn, 2003). Different layers of theme-generating work thus
262 applied phenomenological considerations (Schutz, 1967) as a 'sensitising' approach (Blumer, 1954).

263 Initial analyses were further refined through ongoing comparison and discussion amongst two
264 different coders, paying special attention to common interpretations by the participants as well as to
265 rich cases which differ from broader theoretical patterns (Smith and Osborn, 2003; Lindseth and
266 Norberg, 2021). We critically discussed emerging themes from this coding process with social

267 science research colleagues and experienced clinician-researchers, in seeking to further enhance the
268 reflexivity and internal validity of the analysis.

269

270 **FINDINGS**

271 Hope was a common and often important theme within service-user and professional participants'
272 accounts. Our analysis is presented under headings pertaining to overarching subthemes developed
273 during the analysis.

274

275 **Professionals' emphasis on the importance of managing hopes**

276

277 Notions of hope were common within and across the accounts of service-users (n=7 of 8) as well as
278 those of professionals (n=6 of 10). Some professionals did not mention hope at all when describing
279 their work with service-users amidst vulnerability and uncertainty, whereas others referred to
280 managing hope as a key concern in their work:

281

282 *Psychologist: For me I think that's almost part of the ethos of the service really, is to have*
283 *that...maintaining some hope. Because sometimes people do get better and I think that*
284 *message...I think in those early days, perhaps it would be helpful [for service-users] to hear*
285 *that people do get better from this.*

286

287 For this psychologist hope was not only something relevant for their work but a rather central
288 consideration to the service. Imagined futures are the foundation of hopes – in contrast to trust which

289 is grounded in interpersonal interactions of the present and past (Möllering, 2001; Brown and de
290 Graaf, 2013;) – hence the ongoing envisaging of positive possible outcomes were vital to the
291 interwoven processes of desire and imagination (Simpson, 2004) by which hopes were fostered.
292 Encouraging a consideration of possible positive futures was seen, above, as important but
293 professional-participants also tended to stress a need to ‘balance’ this with ‘being realistic’, as the
294 social worker below suggested:

295

296 *Social worker 3:...and I suppose that's where people skills come in, it's keeping that hope*
297 *alive but also being realistic at the same time – and I think that's the biggy [the big challenge]*
298 *– it's the hardest balance. It's kind of allowing people to know what could happen, and the*
299 *avenues [of] whether the illness will continue; whether it will just be a one off episode and*
300 *what the treatment options are; trying to give a kind of positive message and not be too kind*
301 *of negative.*

302

303 As we see above, alongside maintaining hopes, this social worker above spoke of their role in helping
304 service-users frame their futures ‘realistically’ (Wiles et al., 2008). Implicit here were ontological
305 assumptions pertaining to a ‘reality’, and epistemic assumptions regarding the capacity of mental
306 health professionals to evaluate an individuals likely future in light of probabilistic knowledge of
307 outcomes across populations. Despite the challenges in applying probabilistic knowledge to
308 individual cases, the population level knowledge nevertheless serves as a strong basis for
309 professionals imagining, and in some cases imposing, of patients’ futures.

310 Several professionals described techniques of managing expectations from the outset and
311 limiting users' envisaged possibilities. This partly reflected what was deemed (im)possible or likely,
312 but also implied negative past encounters that they were trying not to repeat:

313

314 Chaplain: *Sometimes you have to get in there within the first 5 minutes and say, 'before we go*
315 *any further, please, you ought to know that I can't just zap your...you know'. 'If you're saying*
316 *"I've got a demon, get rid of my demon", I can't just do that'. And so you have to set out the*
317 *boundaries very quickly, which sometimes upset them, but it's a matter of holding on to them*
318 *so that you're able then to work with them over a number of occasions.*

319

320 As noted here, imperatives of managing expectations downwards – to purposively and explicitly
321 frame or 'bracket' (Brown, 2009) the user's possible futures within certain limits – were commonly
322 referred to. In the case above, blind trust, or faith, in the capabilities of a person, the chaplain, were
323 challenged as a means of limiting hopes in a specific outcome. Achieving this "balanced" hope was
324 considered vital in order to avoid, on the one hand, a loss of hope and corresponding coping and
325 motivation and, on the other, a slippage from hope towards a position where uncertainty and negative
326 eventualities were ignored and expectations became 'too high'.

327

328 Some professionals (n=5) thus described actively managing the hopes of service-users, drawing upon
329 their communication skills and experience in order to do so, while a few professionals also described
330 managing their own hopes for service-users. Given the vulnerability and uncertainty they faced,
331 motivation and coping through hope was important. This was especially mentioned by professionals
332 (n=3) from one particular service where hope was seemingly discussed and made explicit within the

333 team. As with service-users, too much hope could potentially lead to disappointment and frustration
334 for professionals:

335

336 Consultant psychologist 1: *I think we're in a kind of later phase of development now but*
337 *initially...and that initial phase lasted quite a while...initially I think we idealised the service-*
338 *user group so that we took hope to a ridiculous extent, almost like a belief that everybody*
339 *could be made better. It's an unrealistic expectation.*

340

341 This same senior clinician went on to describe how the team were adjusting, or managing, its hopes
342 towards building a more “realistic” outlook which would, in turn, reduce disappointment while
343 maintaining the drive of the team. These considerations were also described as relevant to the
344 recruitment new professionals.

345

346 *So more recently I think we're responding to that, the strain of working with this service user*
347 *group, by just rowing back a bit and trying to find that balance between having realistic*
348 *expectations and still...being motivated to do the best for the service user group... [When*
349 *recruiting], we're quite keen on people who have already done quite a bit of work with people*
350 *with psychosis; and I think that's probably to weed out some of that idealism really.*

351

352 Some professionals could therefore be understood as managing hopes in a collective sense for the
353 sake of colleagues, as well as for service-users and indeed for themselves (Hochschild, 1979). This
354 management of hopes for others, was chiefly done by challenging the framing of others' thinking,
355 (Black, 2011). Recovery, as a concept in mental health care, has encouraged a hopeful approach to

356 supporting and *coping* amid chronic health problems. Apparent in the excerpt above was a reframing
357 of hope towards this recovery approach, away from notions of a complete absence of psychosis
358 symptoms.

359

360 **Service-users balancing of hopes and tensions therein**

361

362 Whereas hope was absent from some professionals' narratives, only one service-user did not refer to
363 hope as significant within ongoing experiences and coping. This service-user was by far the most
364 stable and 'recovered' of the eight participants in the study. Within narratives often characterised by
365 heightened levels of uncertainty and vulnerability, the other seven participants referred to hope as
366 very important in various senses, especially for identity, motivation and coping:

367

368 Service-user (SU) 1 – schizophrenia diagnosis – man in his 30s: *Yeah...You know, that is the*
369 *light at the end of the tunnel...Because you...you can only imagine...you know...how could you*
370 *have a girlfriend or...no-one would understand why these Assertive Outreach Team were*
371 *coming around and no-one would find it acceptable...*

372

373 For this service-user the "light at the end of the tunnel" was leaving the care of the assertive-outreach
374 service for a standard community team (or even a General Practitioner as a longer-term hope), within
375 which a more "normal" life and social relations could develop. Hopes for improved coping and
376 independence were recurring features of living with severe mental health problems, reflecting the
377 concept of recovery, though descriptions of hopes varied between more modest and higher hopes:

378

379 SU5 – schizophrenia diagnosis – woman, age 60s: *And the thing is, [what] I find in these*
380 *later years, is negative thinking is no good. You must think positive. If I think positive then*
381 *I'm on the way, well on the way to grabbing that goal that I want so badly. So I'm all [set] to*
382 *go and get that goal...and I hope one day to be able to say that I can go out on my own, like*
383 *I'm learning to now, slowly...I don't dash at it at once...because I know that I just take it easy*
384 *and feel my way.*

385

386 Service-users' accounts often involved an interweaving of envisaging and desire, which has been
387 described as a characteristic of hoping (Simpson, 2004). As with a number of accounts, the service-
388 user above referred to managing her hopes upwards – towards thinking positively. Yet this managing
389 of hopes had seemingly also been shaped by three decades of experiences with services with several
390 hospitalisations during that time – thus at the end of this quotation she referred to not expecting too
391 much too soon.

392 Acute experiences involving hospitalisation were, for various participants, narrated in terms of hopes
393 and expectations which had been too high, with these resulting in negative outcomes:

394

395 SU8 – bi-polar diagnosis – woman, age 30s: *I think the key was I couldn't accept that this*
396 *was a condition that was longer term...I mean it's partly to do with the kind of weakness*
397 *concept but I wanted to think that I'd beaten it, full stop, and there used to be quite long*
398 *periods between relapses so I'd think that I'd made it out to the other side.*

399

400 Apparent in this account were complex interactions between denial, stigma, insight, hope and coping
401 with illness (Lysaker et al., 2007). These tensions were important to the managing of expectations

402 and relating these to different desires was central to what it meant to manage one's hopes. As this
403 same service-user continued:

404

405 *SU8:...there are times when I cut down my medication a little bit but I don't stop it...I just feel*
406 *there's so many positive things in my life now that I've struggled so much with, especially my*
407 *job, that I'd be so foolish to throw them away...*

408

409 While describing the quality of support she had received, this user emphasised that the restructuring
410 of illness conceptions and related aspirations were not a direct result of interactions with
411 professionals, but where she had learned herself to not be too hopeful, or rather to hope for coping
412 rather than life beyond medicines and mental health services:

413

414 *SU8: A lot of the – I won't say – "resignation", has come from me really.*

415

416 In contrast, some other service-users' narratives pointed to the great influence of professionals in
417 shaping their hopes – sometimes purposefully (as noted in the preceding section) and sometimes less
418 wittingly, as apparent here:

419

420 *SU7 – schizophrenia diagnosis – man, age 33: I'm hoping to get over it [schizophrenia] at*
421 *some point and be able to have a normal life...It's worrying sometimes...My consultant, that I*
422 *was under at the hospital I was recently in, told me that I'd probably have to be on*
423 *medication the rest of my life and I would never recover fully, that I would probably be ill*
424 *forever, which was a bit like, you know: 'I don't want to be told that!' But [earlier] the other*

425 *doctor there told me that I'd have to be on the medication for a year at least and that... gave*
426 *me a much more positive outlook.*

427

428 While both clinicians referred to here were seemingly communicating their professional opinion,
429 interpretations made from these contrasting two prognoses – offered by two psychiatrists within the
430 same in-patient service, within a short-period of time – had quite contrasting impacts on this service-
431 user's emotional orientation towards the future and, consequently, to experiences in the present.

432 Although not actually conflicting, the approach which avoided negative longer-term prognoses and
433 focused on the shorter-term was seemingly more effective in equipping the user with motivation.

434 This latter communicative approach would also seem to acknowledge the uncertainty around longer-
435 term psychiatric prognoses.

436

437 **The co-construction of hope and its consequences**

438

439 As was apparent in the latter quote in the preceding section, the more or less witting management of
440 service-users' expectations by professionals could place significant strain upon hopes, leading in
441 some instances to hopes being significantly undermined, despite what was desirable or imaginable.

442 The same service-user went on to describe the negative effects of this loss of hope:

443

444 *SU 7:...that [being told I would never get better] haunts me now. So, you know, it would be*
445 *nice just to be told that there's chances of things happening [for the better].*

446

447 Here this same service-user described a vulnerability following the undermining of his hope. While

448 this participant did not attempt to give a specific, word-by-word, account of what was said to him
449 amid the more negative prognosis, his recollected interpretation seemed to have led to a loss of hope
450 in improved and more effective coping, as well as a loss of hope of a symptom-free life.

451 In this excerpt, we see evidence of different approaches by professionals as well as the agency of the
452 service-user in interpreting and framing communications in particular ways. Although the quote
453 above shows the negative impact of the communication in ‘haunting’ this service-user, he also went
454 on to reflect upon how a relative lack of trust in this particular psychiatrist enabled the (partial)
455 insulation of his understanding of himself from the negative prognosis:

456
457 *SU7: Yeah. I had a doctor 10 years ago and I think he spent a lot of time to get to know me and*
458 *he diagnosed me as having something else and I...I still think that he [that earlier doctor] was*
459 *right and I'm not so sure about this one.*

460
461 Varying levels of (dis)trust in the professional could therefore lead service-users to accept or reject
462 professionals’ opinions regarding diagnoses and, in turn, to focus upon or ‘bracket off’ the forecasted
463 futures connected to these (Schutz, 1967; Möllering, 2001). Social processes around hope in
464 outcomes and trust in professionals could therefore be seen as complexly interwoven (Brown et al.,
465 2014) and involving multiple layers of agency (Brown, 2009).

466
467 Professional views regarding ‘realistic’ expectations, alongside the service-user’s own experiences,
468 could in some senses limit hopes-as-expectations (in the probable) and yet hopes-as-desires (in the
469 possible) were nevertheless considered and emphasised within users’ outlooks:

470

471 SU5: *She [my psychiatrist] will give me the all clear one day when I'm fit enough to be left*
472 *out on [an] even keel with no problems of mental health again. So I'm hoping that by helping*
473 *myself, and...[through] further involvement with the mental health people...*

474

475 This more hopeful perspective of complete recovery contrasted markedly with the modest hopes
476 expressed by the same service-user, as quoted at the start of the preceding section. Tensions between
477 these two segments of the same interview narrative, and between these latter hopes and the service-
478 user's chronic struggle with severe mental health problems for more than three decades, indicated
479 some important features concerning the nature of hoping, as were common across many of the
480 narratives: a) hope involved inherent tensions between desires and expectations; and b) hoping was
481 built upon imagined futures and yet partially constrained by lived pasts (Wiles et al., 2008).

482

483 These tensions and ambivalences were common, especially within accounts of service-users. It was
484 service-users' involvement with services which seemingly shaped such ambivalences towards the
485 future, whereby services represented a source or focus of hope, but also an authority which often
486 emphasised the chronicity of their condition:

487

488 SU2 – awaiting-diagnosis – woman, age 26: *I don't want to, you know, count my chickens*
489 *before they hatch as such...I need a diagnosis and for myself really, because then I can put*
490 *my finger on it and go 'well that's what it is' and...and we can all do what we can to get me*
491 *better.*

492

493 This service-user had had a number of very difficult experiences and relations involving mental
494 health services in the past. Nevertheless, the outcome of finally receiving a bi-polar diagnosis became
495 the focus of her hopes, which in turn acted as the basis for further hopes in her condition improving,
496 accordingly motivating her in the present. Importantly though, she went on to qualify these hopes, as
497 she had been encouraged to do by her main support-worker in a framing consonant with a recovery
498 model approach:

499

500 *SU2:...But obviously if it is bi-polar there's no cure for bi-polar, it's just how I'm gonna deal*
501 *with it from day to day.*

502

503 This ambivalence in hoping here, as apparent in a desire for a diagnosis alongside a recognition of
504 the long-term challenges connected to this diagnosis, were similarly apparent in the account another
505 service-user who described past experiences with a particular mental health service as offering him
506 little help or hope. Yet this same service also represented for him the only imaginable possibility of
507 dealing with the difficulties he was facing:

508

509 *SU6 – no diagnosis – man, age 25: And the [service] discharged me...around September*
510 *[year] and said, 'if there's ever a problem again come back to us'. I came back to them, I*
511 *don't know why, all I know is if there's something that can be done, it has to be done, so then*
512 *I'm back with [the service]. I've an initial appointment [with a psychologist]...on Monday*
513 *morning. It's a new avenue, I hate to say 'a stab in the dark' but it's...it's...I hope it's gonna*
514 *be the right thing.*

515

516 By giving this man the possibility to return to the service, a hope-as-desire in a solution was kept
517 alive, even though services had done little to generate positive expectations of effective treatment in
518 this user's past experiences. As with the SU2 (directly preceding), this service-user's hopes-as-
519 desires and hopes-as-expectations were both shaped by his interactions with a service. The tension
520 between these two future orientations can therefore also be understood as a product of co-
521 construction processes between users and services. Such tensions were very much a feature of
522 service-users' hoping narratives, as strongly apparent within 6 of the 8 users' narratives discussed
523 above.

524

525 **DISCUSSION**

526 Such awkward tensions (as noted directly above), alongside the way interactions shape these various
527 features of hoping, are central to grasping experiences of hoping yet seldom considered in the
528 literature about hoping amid mental health problems. Literature reviews, moreover, note a lack of
529 clear consideration as to what hope-oriented mental health and social care might look like in practice,
530 alongside a continuing ambiguity around the concept of hope amid mental health and illness
531 (Cutcliffe and Koehn 2007; Heller, 2014; Schrank et al., 2008). The central aim of our analysis above
532 has been to explore the co-construction of hope amidst interactions between service-users and
533 professionals, as well as to consider the influence of these co-constructions on users' experiences of
534 hoping.

535

536 That service-users commonly and spontaneously emphasised hope— as enabling coping alongside a
537 more positive and motivated sense-of-self in the present (Repper and Perkins, 2003) – indicated its
538 salience. The size and nature of our sample renders the transferability of our findings to other

539 contexts highly tentative, yet that professionals referred less commonly to hope than service-users
540 may tell us as much about contrasting dominant ‘vocabularies’ (Mills, 1940), alternative means for
541 pursuing coping and control amidst uncertainty, and the nature of late-modern mental healthcare. The
542 emergence of hope as one such vocabulary amid mental health services where ‘recovery’ has become
543 an important ‘framing rule’ (Hochschild, 1979), as well as the way this hope was then often managed
544 in relation to probabilistic and risk-dominated framings of mental health problems, tells us much
545 about the ideological features which Hochschild (1979, p. 557) sees as the other side of the ‘feeling
546 rules’ coin.

547 Indeed whereas Hochschild has been criticised for a lack of specificity when it comes to what
548 ideology means as a basis for framing rules, in our study we saw how diagnoses, prognoses, past
549 direct experiences of service-users, risk-related policy and notions of recovery, could all be
550 considered as important sources of framing from which certain norms of reasonable hope were
551 derived. These interactive processes can, in turn, be located within professional understandings and
552 framings shaped by current scientific-evidence, their own past individual experiences, and broader
553 policy narratives such as those emphasising specific meanings of recovery and hopes role within this.
554 Such a discursive regime of hoping can, in turn, be located historically within particular socio-
555 political, scientific and health system regimes (see our discussion in the introduction following
556 Ramon et al., 2007; Brown, N., 2015). In this sense hope-related imaginaries involve multiple layers
557 of lifeworlds in which interactions and identities are embedded (Schutz, 1967; Habermas, 1987,
558 Delvecchio Good, 2001).

559 That diagnosis and prognosis in psychiatry is notoriously complex and uncertain, opens up affective
560 aspirational spaces for hoping yet these could sit in awkward tension with common assumptions
561 regarding the chronic nature of many mental health conditions (as Service-User 2 reflected in the
562 final data section). The relative power of mental health professionals, in contrast to vulnerable and

563 stigmatized service-users, also helps us understand the dynamics in which views of appropriate
564 framings and feelings about the future could be imposed (DelvecchioGood, 2001).

565 A particular attentiveness towards hope within one local team of professionals suggested to us that
566 specific senior professionals may cultivate a hope-awareness within a professional team, leading to a
567 more conscious management of framing and feeling towards the future ‘by the self upon the self, by
568 the self upon others, and by others upon oneself’ (Hochschild, 1979, p. 562). However there was
569 little evidence that this deliberative ‘working’ on hope was common. Professionals could also,
570 unwittingly, inflate their own hopes and those of their colleagues in ways which were described as
571 problematic for longer-term motivation and coping within service-teams.

572
573 A similar picture emerged within service-user accounts of how their hopes were more or less
574 inadvertently shaped during interactions and experiences with services. A few professionals
575 described, and were interpreted by users as, managing expectations. However professionals also
576 raised or undermined expectations and desires unintentionally. Indeed, from a phenomenological
577 perspective, this is inevitable given the active role of the interpreter in giving meaning to the
578 utterances of others (Brown, 2009). Service-users referred to managing their own hopes as well as the
579 impact of interactions with professionals on their hopes. Hopes-as-desires and hopes-as-expectations
580 were both discernible within accounts, with experiences of *hoping* best conceptualised as living amid
581 a tension between these two dimensions of hope (Wiles et al., 2008; Brown and de Graaf, 2013).

582
583 This conceptualisation of hope and its co-construction contrasts markedly with certain studies
584 depicting hope simply as an enduring and underlying trait (Wiles et al., 2008). Users were still able to
585 exercise agency over their hopes amidst these co-constructions, for example by disregarding (or

586 bracketing) the views of a professional who was not well-trusted, or by continuing to focus on desires
587 regardless of a reshaping of their ‘expectations’. Nevertheless, a few service-users referred to
588 unrealistic or undermined hopes and how this had left them exceedingly vulnerable (Chadwick,
589 1997).

590

591 Present within the literature are understandings of specific interventions and their impact on hope,
592 often in relation to recovery (Schrank et al., 2012). However with these studies typically focusing on
593 hope as a purely positive phenomena, little detailed analysis exists around the successful
594 management of *balanced* hopes. Professionals referred to positively managing expectations
595 downwards in some cases or maintaining hopes within certain parameters. This deliberate
596 intervention by professionals suggests a paternalism which may be at odds with understandings of the
597 patient empowerment basis of hope in recovery models. Communicating more explicitly about
598 differences in expectations and desires may help overcome some of these difficulties (Wiles et al.,
599 2008), but tensions between desires and expectations would seem inherent to the nature of hoping
600 (Simpson, 2004), especially within chronic and debilitating conditions involving psychosis.

601

602 **Methodological issues**

603

604 Although our selections of services and staff were purposive, the participation of service-users was
605 especially low. However our phenomenological approach was more concerned with the in-depth
606 exploration of the meaning and experience of hope and its co-construction, rather than seeking to
607 generalise across users and services (Smith and Osborn, 2003). Hope was not enquired about
608 specifically and, despite probing when raised, there was a lack of consistent questioning around hope.

609 This approach may have facilitated certain findings which contrast with existing studies of hope in
610 mental healthcare settings, for example in our illuminating of the ambiguity and multiplicity of hopes
611 and the tensions existing between more modest and elevated hopes.

612 **Conclusions and implications**

613 The role of hope is increasingly acknowledged through the prominence of ‘recovery’ within mental
614 healthcare services, yet the task of managing hopes is far from straightforward (Heller, 2014) –
615 involving a highly sensitive balance or tension between optimism and realism, desires and
616 expectations. Enduring uncertainty around longer-term outcomes, alongside poverty and violence
617 experienced by some users in everyday life, renders possibilities for ‘achieving ordinary lives’
618 (Bertolote and McGorry, 2005) precarious. A dark side accordingly lurks where motivation and
619 coping is enacted via inflated hopes. Professionals accordingly need to become more alert to the way
620 they knowingly or unwittingly manage the hopes of service-users in their care. Professionals have
621 limited control over how the meaning of their words and other forms of communication are
622 interpreted (Schutz, 1967); posing a challenge to managing hope. However this challenge may be
623 partially addressed through improved communication, attentive listening and open discussions with
624 service-users with the aim of managing inherent tensions between hope-as-expectations and hope-as-
625 desires, in order to achieve a ‘balanced’ hope, responsive to changes in the Service User’s
626 relationship to their condition.

627

628 Professionals and managers also need to be sensitive to the hopes and expectations of colleagues –
629 similarly managing these and avoiding inflated hopes, which may lead to burn out, while maintaining
630 an atmosphere of ‘realistic’ positivity and hopefulness as a basis of a dynamic, cohesive and
631 motivated professional teams. Open and candid discussion may, again, be vital to such management

632 of hopes. Our findings suggest that training and recruitment can also be relevant. Above all it would
633 seem that every professional plays an important role, consciously or not, in managing their own
634 hopes as well as those of others.

635

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In review

756 **Table 1. Participant characteristics**

757

Type of service	Service-users	Professionals	Service Managers
Early Intervention	2	4 (consultant, assistant psychologist, social worker, community psychiatric nurse [CPN])	1
Assertive Outreach	1	3 (consultant, social worker, CPN)	1
Standard community	5 (+1 carer)	3 (consultant, social worker, CPN)	1

758

759 The chaplain worked for overarching organisation and not with any one team.

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Introduction

- Clarification of research and interview purpose
- Reminder regarding voluntary participation, anonymity and confidentiality
- Demographic questions

Condition and experiences

- First contact with services, experiences then and since
- Daily impact, experiences of uncertainty, coping, sources of support and treatment, helpfulness of different sources

Help, treatment and contact with services

- Approachability of services – obstacles, uncertainty, vulnerability
- Views of services, sources of information on services
- Interactions with different professionals and services – relative helpfulness, differences in experiences
- Communication and openness with different professionals
- Experiences of different treatment and medication
- Understandings of medication and quality of information from professionals
- Different levels of trust in different professionals

Trust

- Experiences where trust easier or more difficult – reasons for this
- Impact of trust on relations with professionals
- Impact of trust on contact with services, disclosure and medication use
- General views of the healthcare system and mental health services

Closing of interview

- Reflection upon interview

- Opportunity to ask questions and to add further ideas or thoughts not covered

In review

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Introduction

- Clarification of research and interview purpose
- Reminder regarding voluntary participation, anonymity and confidentiality
- Demographic questions

Working with service-users – uncertainty

- Challenges of working in psychosis services
- Necessary skills, attributes and requirements
- Dealing with different uncertainties

Relating to the service-user and trust

- Nature and quality of relations with service-users
- Presence and role of trust
- Trusting-building and changes over time

Working with risk and vulnerability

- Risk assessment within professional work
- Changes to working with risk
- Vulnerabilities in assessing risk

Teamwork

- Extent of teamwork within professional duties
- Nature of more effective working relations
- Information exchange and communication

Working with managers

- Impact of and interactions with managers
- Relations with and views of managers
- Trust between professionals and managers

Closing of interview

- Opportunity to ask questions and to add further ideas or thoughts not covered

In review

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784 **Conflict of Interest**

785 *The authors declare that the research was conducted in the absence of any commercial or financial*
786 *relationships that could be construed as a potential conflict of interest.*

787 **Author Contributions**

788 The Author Contributions section is mandatory for all articles, including articles by sole authors. If
789 an appropriate statement is not provided on submission, a standard one will be inserted during the
790 production process. The Author Contributions statement must describe the contributions of individual
791 authors referred to by their initials and, in doing so, all authors agree to be accountable for the
792 content of the work. Please see [here](#) for full authorship criteria.

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