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## SILVR: Guided Diffusion for Molecule Generation

Nicholas T. Runcie and Antonia S.J.S. Mey\*



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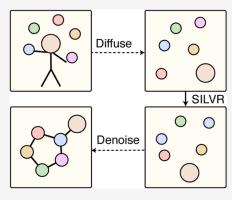
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ABSTRACT: Computationally generating new synthetically accessible compounds with high affinity and low toxicity is a great challenge in drug design. Machine learning models beyond conventional pharmacophoric methods have shown promise in the generation of novel small-molecule compounds but require significant tuning for a specific protein target. Here, we introduce a method called selective iterative latent variable refinement (SILVR) for conditioning an existing diffusion-based equivariant generative model without retraining. The model allows the generation of new molecules that fit into a binding site of a protein based on fragment hits. We use the SARS-CoV-2 main protease fragments from Diamond XChem that form part of the COVID Moonshot project as a reference dataset for conditioning the molecule generation. The SILVR rate controls the extent of conditioning, and we show that moderate SILVR rates make it possible to generate new molecules of similar shape to the original fragments, meaning that the new molecules fit the binding site without



knowledge of the protein. We can also merge up to 3 fragments into a new molecule without affecting the quality of molecules generated by the underlying generative model. Our method is generalizable to any protein target with known fragments and any diffusion-based model for molecule generation.

## INTRODUCTION

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Sampling from a very large space of possible drug-like compounds to find suitable hits for a given target protein is an open challenge in drug design. It is estimated that there are between 10<sup>23</sup> and 10<sup>60</sup> feasible compounds, while only around 108 has been synthesized so far. 1,2 Different strategies have been used to try and sample a diverse and synthetically accessible molecular space from pharmacophore search<sup>3</sup> to machine learning-based methods. In particular, machine learning (ML)-based methods have shown great promise in this space in recent years.<sup>4</sup> Various neural network architectures have been proposed for molecular generation from variational autoencoders (VAEs)<sup>5-8</sup> to generative adversarial networks (GANs)9 and normalizing flows.10 More recently, denoising diffusion probabilistic models, and particularly equivariant diffusion models, have shown promise in molecular generation. 11,12 All of these were conceived primarily to generate new molecules, however, being able to generate chemically varied molecules is only the first hurdle for identifying new drug candidates.

Typically, the objective is to generate a diverse set of molecules for a given target protein that are easily synthetically accessible and, ideally, with high binding affinity and low predicted toxicity. 13 A plethora of methods have been devised for the generation of molecules, as well as assessing their suitability as drug candidates. For example, for binding affinity predictions, traditional docking <sup>14</sup> and molecular simulation-based affinity prediction methods <sup>15,16</sup> have dominated the field until recently. ML methods are gaining momentum and

various approaches have been used to generate molecules for a binding site, where, in each case, the training is conditioned toward the target protein.<sup>17</sup> Some of these models incorporate a ligand score directly, while others require methods based on machine learning (ML)<sup>18</sup> or more conventional affinity prediction methods downstream (e.g., docking or free energy calculations). Even with a variety of ways to assess the synthetic accessibility of generated compounds, 19,20 molecules generated with these methods are often not easily synthesized and, in the worst cases, can be chemically infeasible.

Fragment-based drug discovery is an approach, where a library of small molecular fragments (<300 Da) is screened against a target. 21-23 These fragments are selected such that they present promiscuous binding, allowing exploration of many types of interactions that a drug-like molecule could adopt within a given target. Individual fragments cannot be drugs in and of themselves as they do not possess enough intermolecular interactions to achieve a sufficient binding affinity with a target; however, by considering an ensemble of known fragment hits, new high-affinity binders can be constructed by merging and linking known fragments together and elaborating on singular fragments. An array of screening

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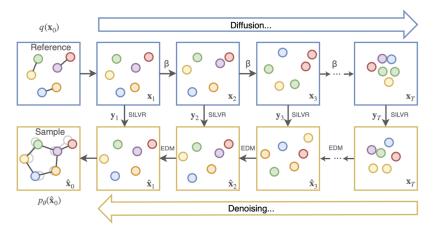


Figure 1. Schematic of the equivariant diffusion model with selective iterative latent variable refinement (SILVR) indicated for every denoising step. Here, the reference in blue on the left shows 3 small fragments. They evolve over time t in the diffusion process to resemble a Gaussian distribution at t = T, see eq 1. The  $\beta$  represents the noise added at each step, and the dots show the steps omitted from time t = 3 to t = T. As atoms effectively "diffuse", they can be perceived as changing position. To generate a new sample, a sample is generated from  $p_{\theta}(x)$  according to eq 4, this distribution is from the learned EDM. At each denoising step, a set of reference fragments  $(y_t)$  at that same level of noise t is used, which is indicated by the SILVR arrows to condition the EDM. This is controlled through SILVR at a given rate  $r_{S}$ , until a new sample that resembles the reference is generated (following the bottom row along the yellow boxes and EDM arrows).

methods exist for determining if fragments bind a target, however, here, we focus on X-ray crystallography techniques. Protein drug targets can either be cocrystallized with fragments or be crystalized unbound and subsequently soaked in a fragment solution. These crystals can be resolved by X-ray crystallography, with the results showing a high-quality electron density map, revealing the exact binding geometry and interactions a fragment obtains with the given target. An application of generative models is, therefore, in the interpretation of such fragment data for the automated design of high-affinity binders. Goa et al. have introduced a way to generate linkers for fragments using reinforcement learning strategies,<sup>24</sup> while Imrie et al.<sup>25</sup> have used variational autoencoders on this task without the use of protein information. More recently, Huang et al.<sup>26</sup> and Igashov et al.27 have tackled this challenge using equivariant variational autoencoder and diffusion-based models, respectively. Each of these models was explicitly trained for the specific purpose of linker generation.

In this paper, we present SILVR, a selective iterative latent variable refinement (SILVR) method for conditioning an existing pretrained equivariant diffusion model (EDM) toward the task of fragment merging and linker generation, yielding compounds similar to existing hits without specific training toward this task. To achieve this, we combine the EDM by Hoogeboom et al. with the iterative latent variable refinement method proposed by Choi et al. for image generation networks. This allows the generation of new molecules in the shape of a binding site using information from existing fragment hits without specific training or knowledge of this task.

Denoising probabilistic diffusion models can be separated into two parts, the diffusion process and the denoising process, as shown in the schematic in Figure 1. These ideas originated in image generation machine learning problems but can also be applied to molecular generation. A neural network is trained to learn the second part of the process, denoising a Gaussian distribution until an image—or in this case, a molecule—is generated. The idea we propose is to introduce information from a reference molecule at a given rate to the denoising

process of a pretrained model. This is similar to the concept of in-painting, <sup>29-31</sup> or more precisely re-painting, <sup>32</sup> which guides the denoising process at each step toward the reference. In this paper, we show that we can generate new compounds that are similar to a given reference and link fragments together, using multiple superimposed fragments as the input. This method is generalizable to any protein-ligand system with known fragment hits. For illustration purposes, we use the original 23 X-ray fragment hits for the SARS-CoV-2 main protease from the COVID Moonshot dataset.<sup>33</sup> In the following, we give an overview of how equivariant diffusion models work and introduce how our method SILVR fits into the framework of an existing pretrained EDM. We then show how the SILVR rate,  $r_{\rm S}$ , as a modeling parameter can modulate how much of the reference is incorporated in the sample generation and how the original EDM is recovered at  $r_S = 0$ . As part of this, we illustrate how fragments can be linked using dummy atoms and how newly generated molecules can fit into the existing binding site according to shape complementarity.

## **■ THEORY**

Denoising Diffusion Probabilistic Models (DDPMs) as Generative Models. DDPMs are often used as generative models that were developed for the generation of new images.<sup>34–36</sup> More recently, the same idea has also been applied to molecular generation. 12 The main idea behind diffusion models is for a neural network to learn the reverse of a diffusion process, often referred to as denoising, to sample a new image or, in our case, a new molecule. In practice, this is done by training a neural network  $\phi$  and generating samples  $p(\mathbf{x}_{t-1}|\mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \mu_{\theta}(\mathbf{x}_t; t), \sigma_t^2 \mathbf{I})$  from a Gaussian transition kernel with learned mean  $(\mu_{\theta}(\mathbf{x}_t; t))$  and variance  $(\sigma_t)$ , with  $x_t$  being the data noised up to time t. Figure 1 shows a schematic of the two main parts of such a DDPM, the diffusion part, where noise is added for each timestep (shown in blue) and the denoising part (shown in yellow) that allows the generation of a new image (molecule).

The forward part of the diffusion model is the Markov process: noise is added to a set of data  $x_0$  and over a time interval  $t = [1, \dots, T]$  according to the following distribution

$$q(\mathbf{x}_{1:T}|\mathbf{x}_0) = q(\mathbf{x}_0) \prod_{t=1}^{T} q(\mathbf{x}_t|\mathbf{x}_{t-1})$$
(1)

The product of conditional probabilities  $q(\mathbf{x}_t|\mathbf{x}_{t-1})$  can be modeled as a Gaussian Kernel given by

$$q(\mathbf{x}_t|\mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t; \sqrt{1 - \beta_t} \mathbf{x}_{t-1}, \beta_t \mathbf{I})$$
(2)

where the mean of the normal distribution is given by  $\sqrt{1-\beta_t}$  and  $\beta_t$  a fixed variance. To diffuse directly to timestep s in the diffusion process, the following shorthand is possible

$$q(\mathbf{x}_t, \mathbf{x}_s) = \prod_{t=1}^{s} q(\mathbf{x}_t | \mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t | \frac{\alpha_t}{\alpha_s}, \sigma_t^2 - \frac{\alpha_t}{\alpha_s} \sigma_s^2)$$
(3)

for any  $t \geq s$ . The parameters  $\alpha_t \in \mathbb{R}^+$  specify the amount of retained signal and  $\sigma_t \in \mathbb{R}^+$  represents the variance, and thus the amount of white noise added. The parameter  $\alpha_t$  also directly relates to  $\beta$  in Figure 1 with  $\alpha_t \coloneqq 1 - \beta_t$  and  $\langle \alpha \rangle_t \coloneqq \prod_{s=1}^t \alpha_s$ . The fixed variance schedule that adds noise with each timestep t is given by  $\beta_t$ . Different researchers have examined different noise schedules. That we are actually interested in is learning the reverse process, i.e., the denoising and generating a new sample  $\hat{\mathbf{x}}_{0t}$ , however, the reverse of the process  $q(\mathbf{x}_{t-1}|\mathbf{x}_{t=T})$  is intractable. The DDPM learns this reverse transition  $p_{\theta}(\hat{\mathbf{x}}_{t-1}|\mathbf{x}_{t=T})$ , which is also a Gaussian transition kernel. This generative (or denoising) process is given by

$$p_{\theta}(\hat{\mathbf{x}}_{t-1}|\mathbf{x}_{t-T}) = \mathcal{N}(\hat{\mathbf{x}}_{t-1}; \, \mu_{\theta}(\mathbf{x}_t; \, T), \, \sigma_t^2 \mathbf{I})$$
(4)

where  $\mu_{\theta}$  is the learned mean and  $\sigma$  represents a fixed variance for this transition process. A sample for denoising timestep t-1 can be generated from the following equation given the neural network  $\phi(x_v,t)$  that has been trained on the diffusion process

$$\hat{\mathbf{x}}_{t-1} = \frac{1}{\alpha_t} (\mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \langle \alpha_t \rangle}} \varphi(\hat{\mathbf{x}}_t, t)) + \sigma \rho$$
(5)

with  $\rho \sim \mathcal{N}(0, \mathbf{I})$ .

The process is iterated until t = 1 and as such a new sample  $\hat{x}_0$  of the denoising process—which is intended to represent a proposed molecule design—is generated.

Equivariant Diffusion Model (EDM). In the previous section, we introduced the neural network  $\phi(x_t, t)$ . In practice, it makes sense to use an equivariant graph neural network, as it is a data-efficient way to learn about molecules. If a model has rotational and translational equivariance, it means that a neural network does not need to learn orientations and translations of molecules from scratch. We chose the EDM by Hoogeboom et al. 12 as our baseline generative model, as it provides a generative model for new molecules and has equivariance already built into it. Furthermore, it has all code and pretrained weights available online at https://github.com/ehoogeboom/ e3 diffusion for molecules. The basic concept behind equivariance is that the model is invariant to rotations and translation, in this case, the E(3) group. This means that scalar (features such as atom types) and vector node properties (such as the positions) will be invariant to group transformations. As a result, the order in which a rotation is applied

does not matter. The input to the model can be rotated and diffusion/denoising applied to get a structure, or the diffusion/ denoising process can be applied first, followed by the same rotation to get the same output. Mathematically, this means that if we have a set of points  $\mathbf{x} = (\mathbf{x}_1, \cdots, \mathbf{x}_N) \in \mathbb{R}^{N \times 3}$  and each of these points has an associated set of scalar feature vectors  $\mathbf{h} \in \mathbb{R}^{N \times k}$ , which are invariant to group transformations, the position translations and rotations are defined according to the orthogonal matrix:  $Rx + t = (R_{x_1} + t, \dots, R_{x_N} + t)$ t). Satorras et al.<sup>37</sup> have proposed an E(n) equivariant graph neural network on which the EDM by Hoogeboom et al. builds. The actual diffusion process of the Hoogeboom model relies on a set of points  $M\{\{x_i, h_i\}\}_{i\dots M}$  for a latent variable that combines atom coordinates  $x_i$  and node features of each atom  $h_i$ , such that  $z_t = [\mathbf{z}_t^{(x)}, \mathbf{z}_t^{(h)}]$ . The node features in practice are an array of values containing information such as atom type. These features are encoded using a one-hot encoding. For more details on this, see 12. Based on this latent variable  $z_t$  the diffusion process can be defined similarly to that of eq 1 as

$$q(\mathbf{z}_t|\mathbf{x},\,\mathbf{h}) = \mathcal{N}_{xh}(\mathbf{z}_t|\alpha_t[\mathbf{x},\,\mathbf{h}],\,\sigma_t^2\mathbf{I}) \tag{6}$$

In the same way, for the generative denoising process, the distribution can be written as

$$p_{\theta}(\mathbf{z}_{s}|\mathbf{z}_{t}) = \mathcal{N}_{xh}(\mathbf{z}_{s}|\mu_{\theta t \to s}([\hat{\mathbf{x}}, \hat{\mathbf{h}}], \mathbf{z}_{t}), \, \sigma_{t \to s}^{2}\mathbf{I})$$
(7)

This is the equivalent of eq 4, using  $\hat{x}$ ,  $\hat{h}$  as the data variables that are estimated by the neural network. The neural network  $\phi(z_v,t)$  outputs an auxiliary variable  $\hat{\epsilon}=[\hat{\epsilon}^{(x)},\hat{\epsilon}^{(h)}]$ , from which  $\hat{x}$ ,  $\hat{h}$  can be recovered as

$$[\hat{\mathbf{x}}, \hat{\mathbf{h}}] = \frac{\mathbf{z}_t}{\alpha_t} - \hat{\boldsymbol{\epsilon}}_t \frac{\sigma_t}{\alpha_t} \tag{8}$$

We use the notation  $\kappa$  to generate a sample  $\kappa = [\hat{x}, \hat{h}]$  from the EDM. For more details on the architecture and Hoogeboom's code, see 12.

Iterative Latent Variable Refinement As a Conditioning Tool. Conditioning diffusion models is often desirable to, for example, generate similar images to that of original input images, or in the example of Hoogeboom, generate molecules in the presence of an external electric field, resulting in more polarizable molecules. However, this conditioning requires retraining the network to accommodate for this condition. Choi et al. introduced a way to condition DDPMs without having to retrain the neural network. In the generative process, it is possible to introduce a condition c using a conditional distribution  $p(x_0|c)$ 

$$p_{\theta}(\mathbf{x}_0|c) = \int p_{\theta}(\mathbf{x}_{0:T}|c) d\mathbf{x}_{1:T}$$
(9)

$$p_{\theta}[\mathbf{x}_{\{0:T\}}|c] = p(\mathbf{x}_T) \prod_{t=1}^{T} p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t, c)$$

$$(10)$$

Their trick is to use a reference image y and place it in the same downsampled filter  $\psi(y)$  as the generated image  $\psi(\hat{x}_0)$ . This means the original image and the reference are in the same latent space; so, in each denoising step, the proposal distribution is matched with that of the reference  $y_t$  noised to the appropriate timestep. An unconditional distribution at t is generated first

$$\hat{\boldsymbol{x}}_{t-1} \sim p_{\theta}(\hat{\boldsymbol{x}}|\boldsymbol{x}_t) \tag{11}$$

then this new sample is "adjusted" according to

$$\hat{\mathbf{x}}'_{t-1} = \psi(\mathbf{y}_{t-1}) + (I - \psi)(\hat{\mathbf{x}}_{t-1})$$
(12)

This iterative latent variable refinement (ILVR) means that the condition can be applied during the denoising steps without additional training. In the case of Choi et al.,<sup>28</sup> they used downsampled reference images as conditioning in order to generate novel images similar to the reference.

Selective Iterative Latent Variable Refinement (SILVR). We propose a new method that combines ideas from ILVR by Choi et al.<sup>28</sup> proposed in an image generation context and the EDM by Hoogeboom et al. 12 This allows us to generate a selective iterative latent variable refinement (SILVR) procedure, in which we introduce information of a reference molecule into the denoising process. We describe this method as "selective" due to the ability to guide individual atoms at independent rates. The reference can be a single molecule or a series of superimposed fragments, and additional unguided dummy atoms can also be defined at the beginning of the denoising process. We consider latent space variables z = $[z^{(x)}, z^{(h)}]$  for the standard denoising process and  $\tilde{z} = [z^{(y)},$  $\mathbf{z}^{(h_y)}$ ] for the set of reference coordinates given by  $\mathbf{y}$ . The vector  $h_{\nu}$  contains all of the scalar node properties of the equivariant EDM for the reference. Similar to Choi et al., we update the diffusion process at noise level t in the latent space z, with the reference  $\tilde{z}$  using a factor, or vector if used at variable rates for different atoms  $r_S$ . We call  $r_S$  the SILVR rate and this leads to an overall update or conditioning toward a reference at each step in the generative denoising process according to the SILVR eq 13

$$\mathbf{z}_{t-1}' = \mathbf{z}_{t-1} - \alpha_{t-1} r_{\mathbf{S}} \mathbf{z}_{t-1} + r_{\mathbf{S}} \tilde{\mathbf{z}}_{t-1}$$
 (13)

As a result, we propose the following algorithm for the generation of condition samples according to SILVR 1.

```
Algorithm 1 SILVR
  1: Input: Reference molecule \mathbf{y},\mathbf{h}_y, EDM \kappa
 2: Output: Generated molecule \mathbf{x}, \mathbf{h}

3: Compute \tilde{\mathbf{z}}_0 from \mathbf{y}, \mathbf{h}_h, such that [\mathbf{z}^{(y)}, \mathbf{z}^{(hy)}] = f(\mathbf{y}, \mathbf{h}_y) is E(3).

4: Subtract center of geometry (COG) from \tilde{\mathbf{z}}_0
                                                                                                                                                           ⊳ Center reference at zero
 5: Sample z_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})
6: for t = T, ..., 1 do
                Sample \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})
                Subtract COG from \epsilon^{(x)}
                \tilde{\mathbf{z}}_{t-1} = \alpha_{t-1}\tilde{\mathbf{z}}_0 + \sigma_{t-1} \times \boldsymbol{\epsilon}
                                                                                                                                                           Noise reference to t=t-1
                          \leftarrow \kappa(\mathbf{z}_t, t)
                                                                                                                                                           \triangleright Compute denoising step

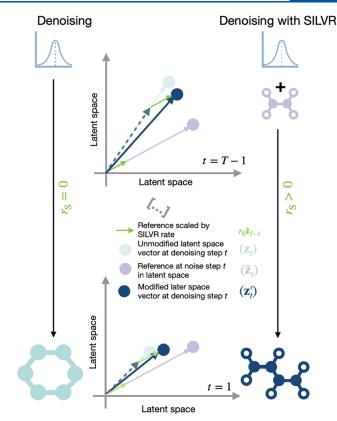
⊳ SILVR equation

                Update \mathbf{z}'_{t-1} \leftarrow \mathbf{z}_{t-1} - \alpha_{t-1} r_{\mathbf{S}} \mathbf{z}_{t-1} + r_{\mathbf{S}} \tilde{\mathbf{z}}_{t-1}
Subtract COG from updated \mathbf{z}'_{t-1}
13: end for
14: Add \text{COG}(\tilde{\mathbf{z}}_0) to \mathbf{z}_0'
                                                                                                         \triangleright Move sample to original position of reference
15: Sample \mathbf{x}, \mathbf{h} \sim p_{\theta}(\mathbf{x}, \mathbf{h} | \mathbf{z}_{\theta}')
```

The core of the new method is the addition of a refinement step within the denoising process during runtime of any pretrained E(3) EDM. The resulting SILVR model produces conditional samples without any conditional training when generating new molecules. Figure 2 shows an illustrative example of how the SILVR rate  $r_{\rm S}$  is used to shift the latent space vector  $z_{t-1}$  at any point in the denoising process from  $T\cdots t=1$ , here, in 2D for illustration purposes. Using the SILVR eq 13, an existing denoising step (light blue) is brought closer to the reference (purple) in the latent space according to a scaled version of purple using  $r_{\rm S}$  (green).

### METHODS

To illustrate the usefulness of this runtime modification, we show how SILVR can be used in the context of fragment-based drug design. The goal is to produce molecules that are



**Figure 2.** Schematic illustrating the influence of applying the SILVR eq 13 to molecules in the latent space. At each denoising step, if  $r_{\rm S} \geq 0$ , the latent space vector (light blue) gets shifted according to a scaled reference vector (purple) using the SILVR rate (light green). This results in an updated latent vector (dark blue). This is done from the first denoising timestep (top) until the last one (bottom). Repeatedly applying SILVR will result in a molecule that resembles the references for  $r_{\rm S} \geq 0$  (right) and does not for  $r_{\rm S} = 0$  (left).

complementary to a binding site based on exiting fragments and their set of atomic coordinates.

Conditioning the Pretrained EDM with SILVR. The EDM by Hoodgeboom et al. was trained on the 30 lowest energy conformations of 430 000 molecules from the GEOM dataset with explicit hydrogens.<sup>38</sup> One desirable feature of GEOM is that it contains drug-like molecules, including 6000 compounds for SARS-CoV-2 targets, making this an appealing dataset for generating new molecules that combine or expand fragments for a SARS-CoV-2 target. For more details on the model theory and training, refer to Hoogeboom et al. 12 This model strictly only considers atomic coordinates (heavy atoms and hydrogen atoms), while all bond and molecular graph information is ignored. A more recently proposed version of the EDM incorporates molecular graph generation within the denoising diffusion model,<sup>39</sup> improving the quality and also potentially synthetically accessible space of newly proposed molecules. We believe that SILVR can be added to the denoising loop of this new model following the same principles we propose here. During training, the atomic coordinates, and a one-hot encoding of their element, are passed through a forward diffusion process with iterative addition of Gaussian noise; both the coordinates and one-hot vector get diffused during this process. The extent of noise added at each step is defined by the parameter  $\beta$  (N.B. Figure 1 shows the diffusion process as a Markov chain, however, in practice, the state at

time t can be efficiently computed as a direct transformation of the initial state). The diffusion process is eventually terminated when t=T, by which point all structure is lost and all coordinates follow a Gaussian distribution. An equivariant graph neural network (EGNN) is then trained to predict the reverse process, predicting the previous state in the sequence given any state. At runtime, the generative model is instantiated with a sample from a Gaussian distribution and the series of denoising steps are applied resulting in a generated sample consisting of atomic coordinates resembling a drug-like molecule. The resulting Cartesian coordinates can then be interpreted using Cheminformatics software to determine the molecular graph.

The EDM was adapted by introducing SILVR within the denoising process, algorithm 1, as outlined previously. At runtime, each atom of the reference set of coordinates is mapped to an atom in the EDM; this is achieved by constructing a reference tensor with the same shape as the EDM latent tensor, with the mapping being on a row-by-row basis. The reference coordinates are then translated such that their center of geometry is at the origin and diffused to the same timestep as that of the denoising process. That is, the amount of structure remaining from the reference should match the amount of structure formed by the generative process. A small refinement is applied to add information from the reference coordinates to the latent variable of the denoising process (see line 11 of the algorithm and eq 13). This equation has the effect of shrinking the coordinates toward the origin and then expanding the coordinates out in the direction of the reference, see Figure 2. Importantly, the extent of this refinement is defined by the SILVR rate  $r_S$ , with  $r_S = 0$ providing no additional refinement and  $r_S = 1$  resulting in a total replacement of atoms. The diffusion of the reference to trequires the sampling of a Gaussian; at each step of the denoising loop, the reference is repeatedly sampled. Once denoising is complete, sampled molecules can be translated back to the same coordinates as the reference by reverting the initial center of geometry transformation; in the case of fragment data, this has the effect of returning samples to the binding site of the protein.

By introducing iterative refinement steps, the unconditional EDM can be guided to the sample from a smaller region of chemical space that resembles the reference set of coordinates. Figure 1 demonstrates this architecture with the example of three disconnected fragments. Here, the model generates a single 5-membered ring molecule with each atom maintaining the same element; however, notice each atom has drifted slightly from the reference. This is due to the competing effects of SILVR and EDM: the EDM tries to push atoms into a chemically reasonable position, while SILVR pulls atoms toward the reference. The resulting samples, therefore, resemble both valid-looking molecules and the reference set of coordinates. The ability for reference atoms of fragments to move during generation distinguishes SILVR from the standard linker design. 24,27

Reference Dataset: COVID Moonshot. Reference molecules were selected from the original 23 noncovalent hits of the SARS-CoV-2 main protease (Mpro) identified by the XChem fragment screen<sup>40</sup> as part of the COVID Moonshot Project.<sup>33,41</sup> A more detailed picture of all fragments is presented in Figure S1 of the Supporting information (SI). Fragments were visualized using NGLview version 3.03 and combinations were arbitrarily selected as test cases for the

following different experimental settings for trying to understand the similarity between the reference and the new sample. We looked at the following scenarios:

- 1. Using three distinct fragments with substantial overlap as a reference to generate a new sample.
- 2. Using two fragments with a slight overlap to generate a single new sample.
- 3. And using two fragments that are disconnected to investigate linker generation.

Fragments  $\times 0072$  and  $\times 0354$  were selected for benchmarking the effect of the SILVR rate  $r_{\rm S}$  on sampling;  $\times 1093$ ,  $\times 0072$ , and  $\times 2193$  were randomly chosen to represent three significantly overlapping fragments;  $\times 0434$ ,  $\times 0305$  and  $\times 0072$ ,  $\times 0354$  were used as partially overlapping fragments; and  $\times 0874$ ,  $\times 0397$  were used as two disconnected fragments, resembling a linker design type problem. The bonding information of selected fragments was deleted and Cartesian coordinates were combined into a single XYZ file. Values of  $r_{\rm S}$  were selected and added to the XYZ file to create a reference file containing all experiment setup information. Each experiment was sampled 1000 times.

Different Observables Were Used for the Performance Assessment of SILVR. Different observables were used to monitor how realistic and reliable newly generated molecules were and how well they can fit into the existing binding site of Mpro. We looked at the following set of measures:

Atom Stability. The accuracy of placement of atoms was determined using the stability metric proposed by Hoogeboom et al. 12 This metric infers bonds and bond orders between atoms by considering their interatomic distances. Once all bonds are defined, the valence of each atom is compared to its expected valence, and if these values match, the atom is determined as stable. It should be noted that this measure requires the explicit presence of all hydrogens for an atom to be classed as stable. For comparability with other similar published models, this measure was used unmodified. The additional measure of molecular stability is often reported together with atom stability (if every atom is stable then the whole molecule is stable), however, as has been previously identified, large molecules sampled from this GEOM-trained EDM tend to be unstable.

RMSD to Reference. The SILVR algorithm creates a one-to-one mapping between reference atom coordinates and sample atom coordinates. The RMSD for this pairwise mapping, ignoring atom identities, was calculated to determine the spatial similarity of samples to the reference. All RMSD calculations were carried out using <a href="https://github.com/charnley/rmsd">https://github.com/charnley/rmsd</a> version 1.5.1.

Geometric Stability-Auto3D. To determine whether the samples represent a true molecular geometry, an independent minimization of molecular geometries was performed using Atoms In Molecules Neural Network Potential (AIMNet) with Auto3D. 42 All samples were read by RDKit and samples containing more than one molecule were removed from the test set. The SMILES string of each molecule was written to a new file, read by Auto3D, and geometry predicted by AIMNet. The RMSD between SILVR-generated coordinates and the Auto3D minimized coordinates were calculated with RDKit version 2022.03.5.

Shape Complementarity of the Generated Sample to the Protein. The agreement in the shape of the samples and the

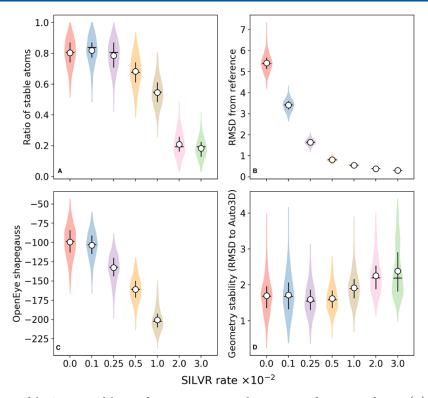


Figure 3. Validation measures of the SILVR model using fragments x0072 and x0354 as reference coordinates. (A) Ratio of stable atoms—an atom is determined as stable if the valence matches the expected valence for the element. (B) RMSD from reference—the calculated RMSD between the reference and sample, using an absolute one-to-one mapping ignores atom identity with low RMSD meaning molecules are similar to the reference and high RMSD they are not. (C) OpenEye measure Shapegauss—a Gaussian scoring function describing the shape fit between Mpro and samples, ignoring chemical interactions. A lower score means a better shape fit of the molecule. (D) Geometry stability—AIMNet geometry optimization was completed with Auto3D using the SMILES string of each sample. RMSD was calculated between the predicted geometry and the sampled geometry using RDKit. Horizontal lines indicate the sample median and circles indicate the sample mean.

binding site of MPro were determined using the OpenEye toolkit version 2022.2.2 Gaussian scoring function Shape-gauss. This scoring function measures the shape complementarity between the ligand and receptor by considering each heavy atom as a Gaussian function. The most favorable score occurs "when two atoms touch but do not overlap". This metric does not consider any intermolecular interactions beyond shape complementarity. The protein receptor file was prepared from the Mpro-x0072 crystal structure with the removal of the ligand. The XYZ coordinates of samples were directly read into the OpenEye toolkit and the pose was re-scored with Shapegauss.

#### RESULTS

In the following, we will demonstrate how SILVR can be used to generate conditioned samples to a reference using a pretrained EDM without additional training. The main questions we set out to answer with SILVR were as follows:

- 1. Can we generate samples from the EDM that are similar to the reference structures?
- 2. Is there a SILVR rate r<sub>S</sub> that will provide enough diversity while still retaining reference features?
- 3. Do the generated samples of new molecules still fit into the Mpro binding site?
- 4. Can we link molecule fragments without incorporating binding site information as part of additional training?

SILVR Rate  $r_S$  Effectively Modulates Similarity to the Reference Structures. Qualitatively, the generated molecular samples from the conditioned EDM show a clear resemblance

to their reference structures, with similarity increasing with  $r_s$ . Figure S2, in the SI, shows two example samples started from fragments x0072 and x0354 over a range of SILVR rates between  $r_S = 0$  and  $r_S = 0.02$ . As expected at no conditioning, random samples are generated that do not resemble the reference fragments. At low values of  $r_{\rm S}$  (<0.0025), the sampled molecules only show an approximate agreement in orientation. At medium values of  $r_S$  (0.0025  $\leq r_S <$  0.01), the resulting samples begin to produce key structural features, such as ring systems and heteroatoms at positions seen in the reference. At high values of  $r_S$  ( $\geq 0.01$ ), the resulting samples have a very high resemblance to the reference with most structural features in correct positions; however, the diversity of samples is significantly reduced, and structures start to become chemically less reasonable. At very high values of  $r_S$  $(\geq 0.02)$ , there is a very high similarity between the samples and the reference; however, most structures no longer resemble valid molecules. The best molecules are formed at intermediate values of  $r_{\rm S}$  (0.05  $\leq r_{\rm S} \leq$  0.01), offering a trade-off between similarity to the reference, sampling diversity, and molecular likeness. This is further validated by looking at the stability measures.

Intermediate SILVR Rates Produce Stable and Varied Molecules. To assess the stability and variability of generated molecules, we looked at four different metrics, as discussed in the Methods section. We generated 1000 samples at different  $r_{\rm S}$  using fragments X0072 and X0354 as a reference. Figure 3 summarizes the findings from these experiments with violin plots generated across the 1000 samples. The zeroth test we

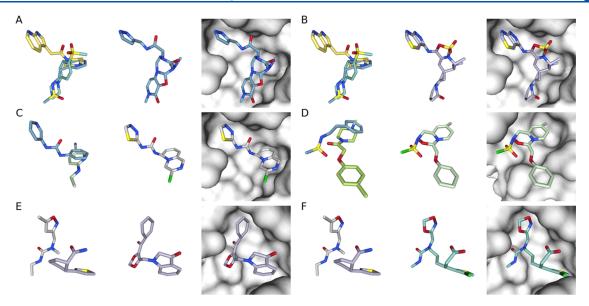


Figure 4. Examples of generated molecules from different experiments testing different overlap models. The reference fragments used as input to SILVR are shown in the left column, the sampled molecule in the middle column, and the sampled molecule translated to the protein binding site in the right column. The left set of samples (A, C, E) has a SILVR rate  $r_S = 0.005$ , and the right set of samples (B, D, F)  $r_S = 0.01$ . Row 1: Three significantly overlapping fragments A and B ( $\times 1093$ ,  $\times 0072$ ,  $\times 2193$ ). Row 2: Two fragments partially overlapping C ( $\times 0434$ ,  $\times 0305$ ), D ( $\times 0072$ ,  $\times 0354$ ). Row 3: Two disconnected fragments E and F ( $\times 0874$ ,  $\times 0397$ ) samples generated including 10 dummy atoms (method described in the Supporting Information). The selection of molecules was hand-curated.

made with the generated samples was looking at how many generated molecules were fragmented, i.e., they are not a single connected molecular graph, with respect to  $r_S$ . This is presented in Figure S3 in the SI. At an intermediate,  $r_S$  = 0.025 just over 50% of the generated samples are not fragmented meaning that, only one in two generated molecules can be analyzed further. The subsequent analysis is carried out only on whole molecular graphs. Figure 3A looks at the atom stability measure as introduced by Hoogeboom et al. 12 Samples generated at low  $r_S$  tend to have similar atom stability, samples start becoming less stable around  $r_S = 0.005$ , and become totally unstable at  $r_S = 0.02$ . This trend can largely be explained due to issues around hydrogens. The atom stability measure calculates whether the valance of each atom matches what is expected for that atom, however, the measure requires the presence of explicit hydrogens. A carbon skeleton with appropriate C-C bond lengths would be determined as unstable unless each carbon was populated with explicit hydrogens. In the case of high  $r_S$  values, the SILVR method pulls atom types strongly toward the reference. Since there are no hydrogens in the reference, all atoms are mapped to heavy atoms, and therefore, most atoms are unable to satisfy a full valence. Adding hydrogens explicitly to the molecules, through OpenBabel or RDkit, is a way of improving this measure.

The similarity of samples to their reference set of coordinates was determined by RMSD, with a clear inverse correlation observed between  $r_S$  and RMSD, as seen in Figure 3B. This indicates that the extent of guidance of atoms toward the reference set of coordinates can be fine-tuned by varying  $r_S$ .

The next test we carried out was to determine whether the sampled molecular geometries are reasonable. For this purpose, a separate geometry optimization protocol was devised using the SMILES strings of the generated molecules and the RMSD between the generated molecule and the geometrically optimized molecule calculated. The results of this are found in Figure 3D. At low to medium values of  $r_{\rm S}$ 

(<0.01), the average RMSD values all fall in the 1.6–1.7 Å range. Importantly, no difference is seen between the control set ( $r_S = 0$ ) and the SILVR samples (0 <  $r_S$  < 0.01), indicating that the quality of generated molecules was not impeded by the SILVR protocol. The synthetic accessibility (RDKit SA score <sup>46</sup>) (Figure S4A) and the quantitative estimation of druglikeness (QED) (Figure S4B) were also estimated as shown in Figure S4 in the SI. SILVR does not affect the SA score or massively change QED for  $r_S$  with the best outcomes.

Generated Samples with SILVR Fit the Binding Site of **Mpro.** As one of the main motivators for SILVR is to be able to generate new molecules that fit directly into a binding site based on input fragments, we measured shape complementarity between newly generated samples and the Mpro binding site. We used the OpenEye Shapegauss scoring function for this purpose.<sup>44</sup> From Figure 3C, it can be seen that the shape complementarity of samples improves with increasing  $r_{S}$ , demonstrating that SILVR can produce ligands of binding site geometry when guided by the coordinates of fragment molecules. The lack of data for  $r_S = 0.02$  and  $r_S = 0.03$  was because all Shapegauss calculations failed. We believe that this was due to the atom coordinates representing highly strained and internally clashing molecules, and the scoring algorithm either failed to read the molecules or identified them as bad conformations.

In addition to the experiments using two fragments and generating 1000 samples, we also looked at different combinations of fragments and resulting molecules. In general, the trends of Figure 3 were preserved for all experiments. In the following, we present three cases we investigated in detail, the test case using 3 fragments as a reference with substantial overlap, using two fragments with some overlap, and two disconnected fragments for the linker generation experiment.

Figure 4A–F shows hand-curated samples for the 3 different scenarios for  $r_S = 0.005$  (A, C, E) and  $r_S = 0.01$  (B, D, F).

Figure 4A,B demonstrates a superposition of three significantly overlapping fragments that result in generated molecules that fit the Mpro binding site well. Scrutinizing sample A with  $r_{\rm S}=0.005$ , we can see that the azaindole fused ring system has been interpreted as a pyrrole ring, the ketone transformed into an amide (maintaining the same carbonyl position), the sulfonyl group vanished, and the overlapping atoms have transformed into a fused ring system. As a whole, the general geometry of the sample reflects the reference, however, functional groups are only weakly preserved. In contrast, sample B presents the same reference set but with  $r_{\rm S}=0.01$ . This new sample maintains the same geometry but better preserves key functional groups: the fused ring system is the same size, and satisfactorily, the carbonyl oxygen has merged with the sulfonyl group to form a cyclic sulfamate ester.

Figure 4C shows a merger of two partially overlapping fragments with  $r_S = 0.005$ . While the urea group was successfully preserved, the 6-membered ring shrank to a 5membered heterocycle. Of particular interest is the formation of the fused ring system. At first glance, it might be assumed that reference atoms map to the sample atom closest in space, however, in actuality, they travel up to 1.7 Å to arrive at their final position (Figure S5 in the SI). In this case, the nitrogen atoms observed in the fused ring are directly obtained from the nitrogen atoms in the reference; however, their final position is one bond's length from their reference. This shows the flexibility of each sample atom to explore within a radius (defined by  $r_s$ ) of the reference atom. The fact that the sample molecule populates a similar region of space to the reference is the result of the aggregate effects of each mapping, as opposed to the strict fixation of each atom.

In contrast, Figure 4D shows a stricter merging of two fragments, with  $r_{\rm S}=0.01$ . Visibly, the scaffold of the lower fragment has been maintained while the top fragment has contributed to a fused ring. Interestingly, the sulfonamide and carbonyl (from opposing fragments) have merged to form N-oxazinane sulfonyl chloride, demonstrating a particularly creative result from SILVR.

Fragments Can Be Linked Using SILVR and Additional Dummy Atoms. Being able to reliably link fragments that sit in a binding site of a protein is crucial for the design of potential new drugs. Here, we demonstrate how this can be done without retraining and no training for the specific task of linker design. 25,26 Using conditioning through SILVR allows the generation of linkers between fragments without the need to retrain the EDM. This is illustrated in the example of Figure 4E,F. While it was possible to use SILVR as described in the Theory section, better results were obtained with the addition of dummy atoms. These are atoms that are present in the EDM without mapping to a reference atom, and so are free to explore the whole coordinate space without guidance from SILVR. The successful implementation of dummy atoms requires a slight modification of the SILVR algorithm and is outlined in the Supporting Information. The results of these experiments continue the same trends previously observed, where the  $r_S = 0.005$  produces samples of approximate geometric similarity, whereas  $r_s = 0.01$  produces a more strict mapping, with a clearly preserved urea group, a slightly modified ring system, and an amide interpreted as a carboxylate. When varying the number of dummy atoms used for linker generation, the atom stability measure is not impacted for  $r_S = 0.005$ , as seen in Figure 3A, and for  $r_S = 0.01$ 

(Figure S6B), it only marginally improves with more dummy atoms found in the SI. Using a better EDM which resolves explicit and implicit hydrogens better will likely improve this more.

#### DISCUSSION AND OUTLOOK

SILVR, as presented, represents a method in which a general equivariant diffusion model (EDM) can be conditioned to generate samples that resemble a reference structure, without any additional training needed. We showed that SILVR can complete both fragment merging and linking type tasks, without any a priori knowledge of these design challenges. Considering all results with respect to the control EDM ( $r_S$  = 0.0), we show that at intermediate values of  $r_S$ , the SILVR protocol produces molecules of equal quality to that of the unmodified EDM while also guiding molecules toward reference structures. We, therefore, claim that if a diffusion model can be successfully trained to produce random highquality drug-like structures, SILVR will provide molecular designs from desired regions of chemical space without harming the quality of molecules. Our method poses a direct interface between crystallographic fragment data and de novo molecular generation. There are a few ways in which the current method can be improved further, but we deem these out of the scope of this work.

Number of Unfragmented Molecules Generated Can Be Improved. The samples generated by SILVR are often of poorer quality than the samples selected in Figure 4. Across all samples, around half of the samples were determined by RDKit to be fragmented, meaning the sample contained two or more distinct molecular graphs (see the uncurated list of samples in Figure S7 in the SI). It was observed qualitatively that fragmented samples typically contained corrupted structures (multiple fragmentations, linear carbon chains, flattened rings, etc.). We believe that this fragmentation is triggered during intermediate steps of denoising, resulting in an unstable latent representation and subsequently poor EDM inference. Fragmentation becomes a particular issue for linker design type SILVR tasks (Figure 4E,F), where the reference coordinates direct the latent variables away from each other, triggering fragmentation. For these experiments, 65% of all samples was fragmented. Further work is needed both with EDM and with SILVR to reduce these rates of fragmentation.

Synthetic Accessibility of the Underlying EDM has a Direct Impact on the Generated Molecules. For our experiments, the synthetic accessibility of SILVR-generated molecules resembles the performance of the unmodified EDM. In order to achieve synthetically accessible samples with SILVR, an improved EDM will need to be designed. An improved version of the EDM we have used has recently been proposed using more explicit information on bond order and represents the next appropriate step for testing SILVR.<sup>39</sup>

Retention of Functional Groups From the Reference Structure is Challenging. When applied in a drug design context, the conservation of key functional groups in exact spacial positions is crucial to maintain desirable protein—ligand interactions. The series of molecules in Figure S2 of the SI shows a loss of the sulfonyl chloride group present in the reference, which may be undesirable. This issue can be solved by changing  $r_S$  from a scalar to a vector  $(r_S)$  and by assigning particularly high  $r_S$  values to selected atoms of the reference. Optimization of  $r_S$  vectors for actual drug design applications may become viable with a more suitably trained EDM.

Placement of Hydrogens and Dummy Atoms Needs Additional Trials. An EDM with explicit hydrogens will improve the overall models. At the moment, there is a mixing of explicit and implicit hydrogens depending on the need for analysis and input. An optimal model can account for hydrogens both explicitly and implicitly allowing for scoring of either. In addition, using dummy atoms strategically for growing certain parts of a fragment is something to be explored further in the future.

#### CONCLUSIONS

We developed SILVR, a method that can be injected into a pretrained equivariant diffusion model that serves as a molecular generator to explore new chemical space. SILVR allows the conditioning of molecules based on a reference set of molecules, e.g., a fragment from an X-ray fragment screen. The SILVR rate  $r_S$  allows the tuning of "how much of the reference" molecule should be taken into account when generating new molecules, with medium values of  $r_S$  around 0.005-0.01 giving the best results. The simple conditioning against a reference set of molecules means that the model can be used for tasks of fragment linker design, as well as generating new molecules that fit into an existing binding pocket without any specific training needed toward these tasks. This method is also generalizable, as it works on ligands with no information needed from the protein in its current form. In the future, given improvements in EDMs that produce more realistic and synthetically accessible molecules, this method can cheaply generate structures exploring new chemical space with desired conditioning toward existing fragment hits.

## ASSOCIATED CONTENT

#### **Data Availability Statement**

All data for the experiments carried out and instructions on how to reproduce this work can be found at https://github.com/meyresearch/SILVR. An updated version of Hoogeboom et al. EDM that includes SILVR can be found at https://github.com/nichrun/e3\_diffusion\_for\_molecules.

## **s** Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.jcim.3c00667.

It contains additional figures containing Moonshot dataset fragment structures; additional random samples for different SILVR rates; information on the fragmentation of molecules; synthetic accessibility scores of the molecules; displacement of molecules during the diffusion process; role of dummy atoms in the linker design experiments; and set of uncurated samples (PDF)

## AUTHOR INFORMATION

## **Corresponding Author**

Antonia S.J.S. Mey — EaSTCHEM School of Chemistry, University of Edinburgh, Edinburgh EH9 3FJ, U.K.; orcid.org/0000-0001-7512-5252; Email: antonia.mey@ed.ac.uk

### Author

Nicholas T. Runcie — EaSTCHEM School of Chemistry, University of Edinburgh, Edinburgh EH9 3FI, U.K.

Complete contact information is available at: https://pubs.acs.org/10.1021/acs.jcim.3c00667

#### **Notes**

The authors declare no competing financial interest.

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