

1     **Seismic signature of subduction termination from teleseismic P- and**  
2             **S-wave arrival-time tomography: the case of northern Borneo**

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13

## 14 **Abstract**

15 Studies attempting to gain new insights into the last stage of the subduction cycle are typically  
16 challenged by limited direct observations owing to a lack of recent post-subduction settings  
17 around the world. Central to unravelling how the subduction cycle ends is an understanding of  
18 crust and mantle processes that take place after subduction termination. Northern Borneo  
19 (Malaysia) represents a unique natural laboratory because it has been the site of two sequential  
20 subduction episodes of opposite polarity since the mid-Paleogene. The region exhibits several  
21 enigmatic post-subduction (after ~10 Ma) features, including: subsidence followed by rapid  
22 uplift, localised intraplate volcanism, possible orogen collapse, and a pluton that emerged to  
23 become the third highest peak in southeast Asia, Mt Kinabalu (4095 m). Arrival-time residuals  
24 from distant earthquake data recorded by the nBOSS seismic network have been used to  
25 investigate P- and S-wavespeed variations in the crust and underlying upper mantle beneath  
26 northern Borneo. Our 3-D tomographic images consistently show a high-velocity perturbation  
27 in western Sabah that we associate with an upper-mantle remnant of the Proto South-China Sea  
28 slab, thus providing important constraints for tectonic reconstructions of SE Asia. The  
29 tomographic models, combined with other seismological and geological information, reveal  
30 evidence for lithospheric removal in eastern Sabah via a drip instability. Our results suggest  
31 that lithospheric drips can be smaller than previously thought, yet their effects on the post-  
32 subduction evolution of continental lithosphere can be significant.

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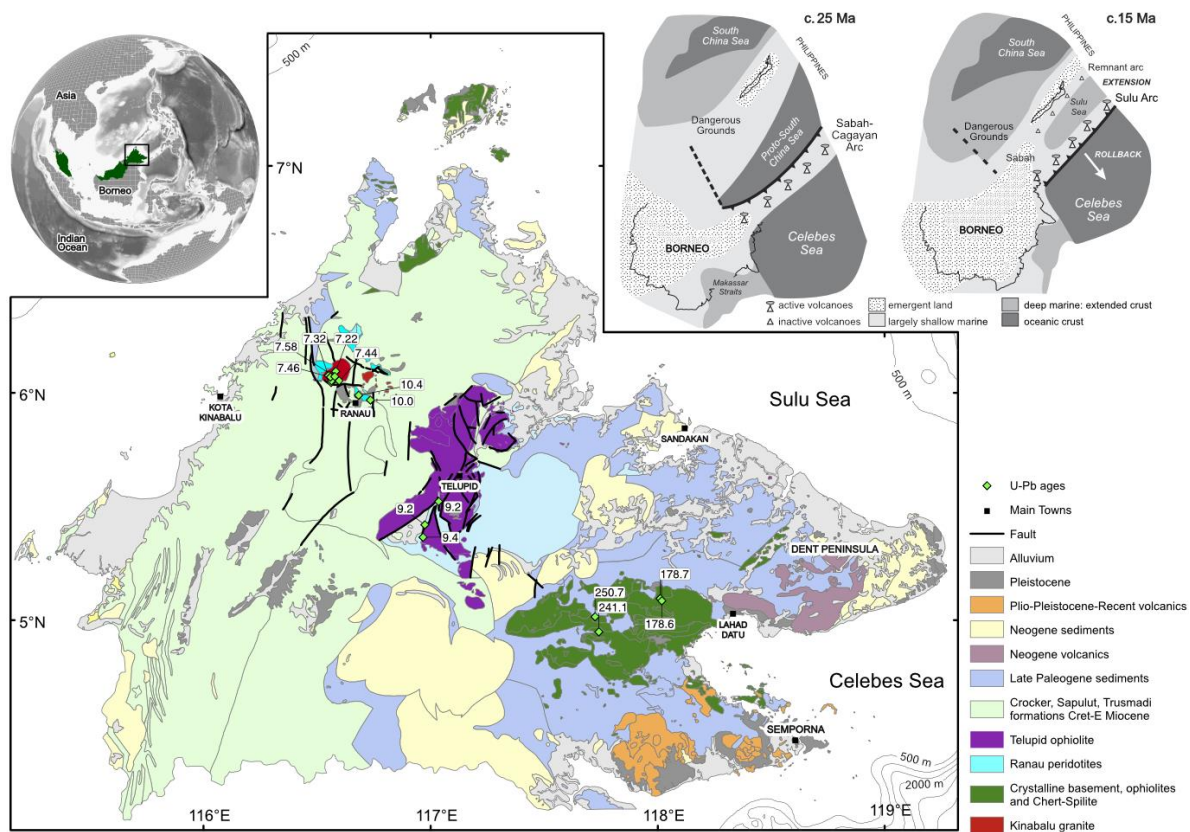
## 34 **1 Introduction**

35 The recycling of negatively buoyant oceanic lithosphere in a subduction zone has a finite  
36 lifespan. Closure of an oceanic basin is a tectonic process deeply embedded in the Wilson  
37 Cycle, but reconciling crust and upper-mantle processes with post-subduction surface geology  
38 is a challenge. Post-subduction processes are likely to have a profound impact on the way that

39 continents are built, particularly in regions like the Mediterranean and SE Asia where  
40 subduction systems and plate motions operate on a small spatial scale and are generally short-  
41 lived. From a geological perspective, the effects of subduction termination on the continents  
42 typically leave puzzling and diverse traces in the geological record, such as subsidence  
43 followed by uplift, localised intraplate magmatism and exhumation of deeper formations (e.g.,  
44 Ducea & Saleeby, 1996; Zandt et al., 2004; Levander et al., 2011; Li et al., 2016). A well-  
45 studied region where subduction ended in the Miocene is central California, particularly in the  
46 southern Sierra Nevada. The geological record indicates southwest migrating subsidence,  
47 followed by exhumation and uplift in the Late Miocene (Clark et al., 2005), in addition to a  
48 pulse of basaltic volcanism suggesting lower crustal removal and replacement by  
49 asthenospheric material in the Pliocene (Ducea and Saleeby, 1996). Passive seismic  
50 experiments show a relatively thin crust unable to isostatically compensate 3-4 km of elevation  
51 (Wernick et al., 1996; Zandt et al., 2004), and a major high-velocity perturbation located in the  
52 upper mantle beneath the southern Great Valley, known as the Isabella anomaly (Raikes, 1980).  
53 Various mechanisms have been invoked to explain both geophysical and geological  
54 observations, but a widespread consensus has proven to be elusive, with proposed models  
55 ranging from i) foundering of a dense lithospheric root developed from the southern Sierra  
56 Nevada batholith (Zandt et al., 2004); and ii) the presence of a slab remnant still attached to  
57 the Monterey microplate and translating to the east beneath North America (Pikser et al., 2012).  
58 A similar set of enigmatic observations have been made for the south-eastern Carpathian region  
59 (e.g., Göğüş et al., 2016), the Colorado Plateau (e.g., Levander et al., 2011), and the Betic-Rif  
60 region (e.g., Seber et al., 1996); yet a systematic examination and understanding of what  
61 happens after subduction termination is yet to be achieved.

62 Sabah, located in northern Borneo (Figures 1 and 2), represents a prime example of  
63 where post-subduction processes can be constrained. Indeed, northern Borneo has been the site

64 of two opposed subduction systems that ceased in the Late Miocene. The region exhibits a  
 65 number of recent (after ~10 Ma, when the last subduction system stopped) and enigmatic  
 66 features, including: subsidence followed by rapid uplift, exhumation of a subcontinental  
 67 peridotite, localised intraplate volcanism, and emplacement of a magmatic pluton that  
 68 subsequently emerged from mid-lower crustal depths to become the third highest peak in  
 69 southeast Asia, Mt Kinabalu (4095 m).



71 **Figure 1:** Geology map of Sabah. Green diamonds show the location of samples used for geochemical  
 72 dating, with respective ages in Ma (modified from Pilia et al., 2021). Inset in the upper-left corner shows  
 73 Malaysia in dark green and Sabah within the black rectangle. Inset in upper right corner summarizes  
 74 the tectonic evolution of Borneo and the Sulu Sea in the late Paleogene and mid-Miocene (modified  
 75 from Hall, 2013). Note that the two subduction systems (Proto-South China Sea and Celebes Sea) were  
 76 sequential.

77 Several different models, often incompatible and conflicting, have been proposed to  
 78 link surface observations with deeper structure in northern Borneo. However, they tend to be  
 79 speculative due to a lack of direct geophysical observations of the lithosphere and underlying  
 80 upper mantle. Previous tomographic models (e.g., Hall and Spakman, 2015; Zenonos et al.,

81 2019) have insufficient spatial resolution (>250 km) to allow detailed inferences about crustal  
82 and mantle processes to be made, although they appear to consistently illuminate a high-  
83 velocity anomaly centred beneath Sabah between ~50 and ~300 km depth. New and valuable  
84 insights into the evolution of northern Borneo were recently made by Pilia et al. (2021), which  
85 make use of a subset of the P-wave results presented here, with the primary suggestion that  
86 Sabah has undergone significant extension due to slab retreat in the Late Miocene, followed by  
87 the development of a Rayleigh-Taylor instability (Semporna Drip - SD) from a volcanic arc  
88 after subduction termination.

89 In this study, we use P and S relative arrival-time residuals to produce regional 3-D  
90 tomographic models of the lithosphere and underlying mantle, which may hold the key to  
91 understanding the mechanisms responsible for post-subduction processes in northern Borneo  
92 that could also be applied to similar settings globally. Our tomographic models are assessed  
93 and interpreted in terms of their ability to link mantle and surface processes that have occurred  
94 since the Neogene, hence making it possible to understand the influence of subduction  
95 termination on the lithosphere of northern Borneo.

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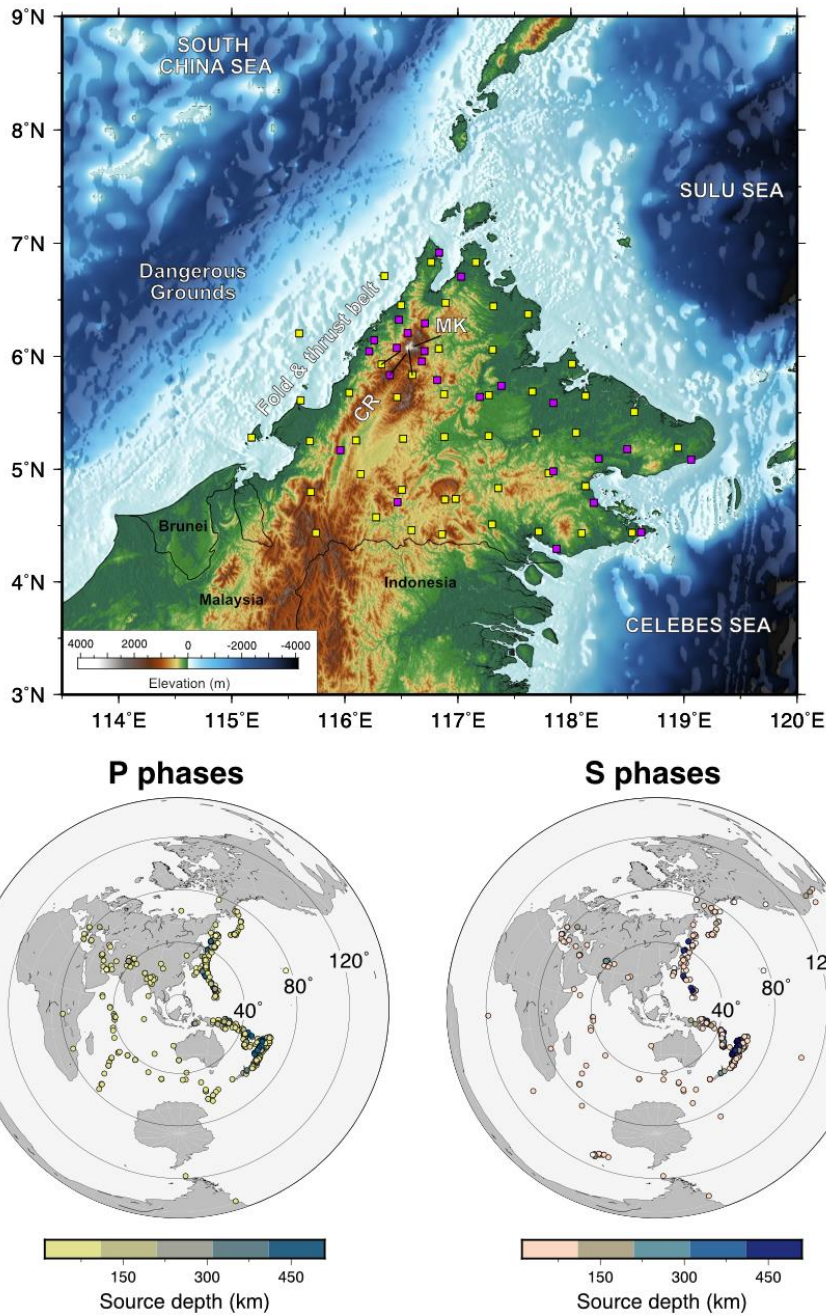
## 97 **2 Tectonic setting**

98 The older rocks of northern Borneo are exposed in eastern Sabah (near Lahad Datu),  
99 which form part of the Sabah ophiolite (Figure 1). Granites and metamorphic rocks of the  
100 crystalline basement were initially dated as Triassic (Leong, 1971), and these dates have been  
101 recently confirmed by modern U-Pb zircon dating (Burton-Johnson et al., 2020). The current  
102 tectonic framework of Sabah has been largely controlled by two opposing subduction systems.  
103 The older one is responsible for south-eastern subduction of the Proto-South China Sea (PSCS)  
104 beneath north-western Borneo between ca 40 and 20 Ma (Hall, 1996; Hutchison et al., 2000;  
105 Hall, 2013). Concurrent to the PSCS subduction is the deposition of the Trusmadi and Crocker

106 Formations deep marine sediments in an older accretionary prism (Taylor and Hayes, 1983;  
107 Tongkul, 1991, 1994; Hutchison et al., 2000). These marine sediments were subsequently  
108 deformed and elevated above sea level when the PCSC was entirely consumed in the mantle  
109 and continent-continent collision between the Dangerous Grounds block and north-western  
110 Borneo occurred. Subsequent north-west subduction of the Celebes Sea formed the Sulu Arc  
111 in the Dent and Semporna peninsulas (Figure 1), as indicated by calc-alkaline volcanism and  
112 K-Ar analysis (Rangin et al., 1990; Hall, 2013; Lai et al., 2021). Trench rollback of the Celebes  
113 Sea is likely to be responsible for back-arc extension and opening of the Sulu Sea (Hall, 2013),  
114 which is thought to have lasted from 21 to 9 Ma, consistent with the magmatic age compilation  
115 of Lai et al. (2021).

116         New zircon radiometric data from Tsikouras et al. (2021) have been used to postulate  
117 that Sulu Sea extension propagated into Sabah, suggesting that this process has led to  
118 exhumation, accompanied by uplift, of a subcontinental peridotite suite near Ranau and a rift-  
119 related magmatic episode (9.2-10.5 Ma) near Telupid. Following the termination of Celebes  
120 Sea subduction in the late Miocene, northern Borneo experienced several tectonic/geologic  
121 events that are difficult to reconcile with our current understanding of post-subduction  
122 tectonics. For instance, at the end of the Miocene eastern Sabah experienced a switch from  
123 subsidence to rapid and widespread uplift, making Sabah fully emergent in the early Pliocene  
124 (Balaguru & Nichols, 2004; Morley & Back, 2008). Furthermore, a granite pluton was  
125 emplaced at mid-lower crustal depths in a northwest-southeast extensional setting and  
126 crystallized between 7.8 and 7.2 Ma after intruding both peridotites and the Crocker Formation  
127 (Cottam et al., 2010, 2013), with zircon inheritance patterns implying melting of the  
128 underthrust continental crust of the Dangerous Grounds. Post-emplacment (~6-4.5 Ma) peak  
129 exhumation rates of more than 7 mm/yr have been found through low-temperature  
130 thermochronological data from the pluton (Cottam et al., 2013). Today, the pluton reaches an

131 elevation of 4095 m (Figure 2) in the form of Mt Kinabalu, and towers over the Crocker Range  
 132 (average height 1500 m) and most peaks in southeast Asia.



133

134 **Figure 2:** Topographic map of northern Borneo along with the location of the 46 temporary seismic  
 135 stations from the nBOSS network (yellow squares) and 28 permanent stations of the seismic monitoring  
 136 network operated by the Meteorological Department of Malaysia (MetMalaysia, pink squares). The  
 137 overall average station separation is 32 km. MK and CR denote the location of Mt Kinabalu and Crocker  
 138 Range, respectively. Maps at the bottom show the distribution of teleseismic events used in this study  
 139 to illuminate the 3-D P- and S-wave structure beneath the seismic network.

140

141 Relatively recent loading of a fold-and-thrust belt onto the attenuated Dangerous Ground  
142 crust resulted in a wide flexural depression offshore of Sabah to the west (Hall, 2013), a  
143 feature commonly misinterpreted as the relict PSCS trench location. Additionally, Plio-  
144 Pleistocene intraplate magmatism has been detected in the Semporna Peninsula, pointing to a  
145 change in mantle character from subduction-related volcanism to basaltic magmatism with an  
146 ocean island character (Macpherson et al., 2010).

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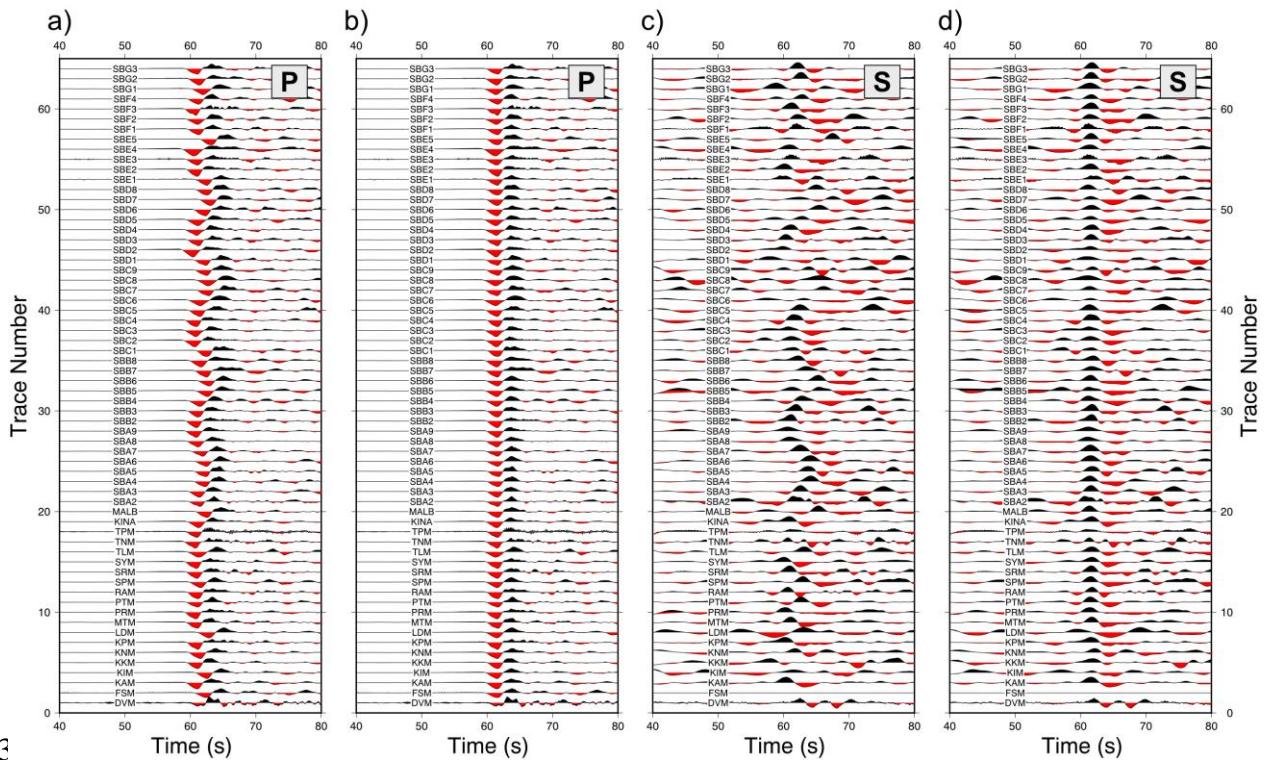
## 148 **2 Data and methods**

149 We use passive-seismic data collected by the northern Borneo Orogeny Seismic Survey  
150 (nBOSS - Pilia et al., 2019) network, which comprises 46 temporary seismic stations (Figure  
151 2). Additional data is provided by 28 permanent stations of the seismic monitoring network  
152 maintained by the Meteorological Department of Malaysia (MetMalaysia). Our combined  
153 dataset includes arrival times from distant earthquakes recorded by 74 broadband seismic  
154 stations (32 km average station spacing) in the time window spanning from March 2018 to  
155 January 2020.

156 The data processing employed to extract relative arrival-time residuals is described in  
157 Pilia et al. (2020, 2021), therefore it is only briefly summarised here. Hypocentral parameters  
158 of the teleseismic events are selected from the International Seismological Centre catalogue,  
159 including any earthquake from any depth with  $m_b > 5$ , and an even lower threshold ( $m_b > 4.6$ )  
160 if it occurred at a depth greater than 150 km. P waves are targeted and extracted from the  
161 vertical component of the continuous dataset, while horizontal components containing S-wave  
162 information were rotated into radial and transverse components. Given the better quality of the  
163 radial component records, we discarded the transverse component data from subsequent  
164 analyses. While most of the arrival times are typically from first-arriving P- or S-waves, the  
165 addition of core and reflected phases (pP, Pdiff, PcP, PKiKP and sS, SKS, SKKS, SKiKS)



166 allows us to use seismic sources from outside the typical epicentral distance window of 27°-  
167 90° used in teleseismic studies, thus permitting a wider range of incidence angles (Figure 2).  
168 Traces associated with the arrival of various global phases are windowed ( $\pm 60$  s) around the  
169 predicted arrival time, corrected for corresponding instrument responses and filtered between  
170 0.05-4.0 Hz for P waves and 0.05-3.0 Hz for S waves with a Butterworth band-pass  
171 filter. Subsequently, for each source all traces are subject to preliminary alignment (Figure 3)  
172 using the global reference model ak135 (Kennett et al., 1995), and residual patterns across the  
173 network are obtained by exploiting the interstation coherency in P and S waveforms through  
174 an adaptive stacking technique (Rawlinson & Kennett, 2004). Relative arrival-time residuals  
175 and corresponding uncertainties are estimated after iteratively improving the alignment of each  
176 station trace with an initial reference trace, which is determined through stacking of all source-  
177 related traces. Examples of relative arrival time residual maps are shown in Figure 4 for both  
178 P and S waves. Sources retained for further processing are recorded by at least seven stations  
179 and have an average uncertainty estimate of the traveltime residuals that is less than 120 ms  
180 for P waves and 230 ms for S waves. Finally, the results of the stacking procedure are visually  
181 inspected to ensure consistency within each event region, and eliminate noisy or incoherent  
182 data.

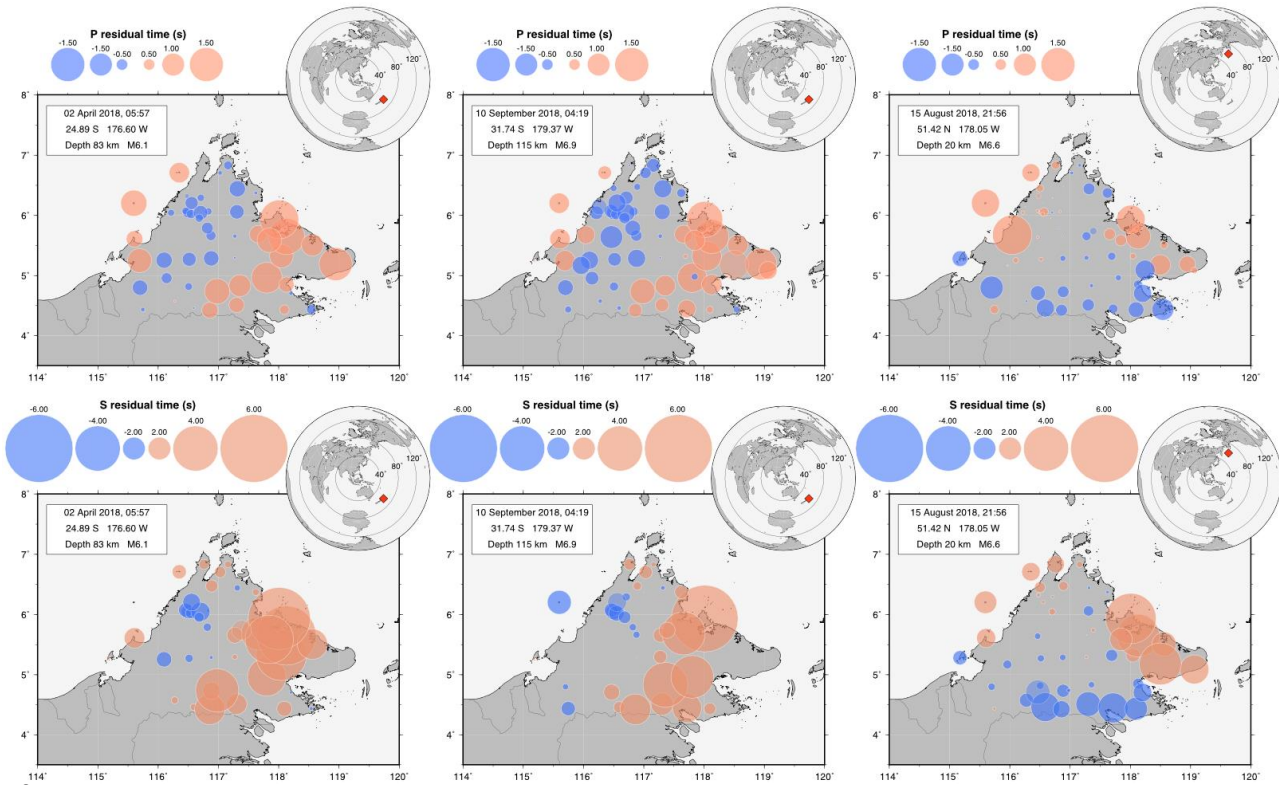


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184 **Figure 3:** Records from the seismic stations used in this study from a teleseismic event that occurred  
 185 in northern New Zealand on September 10, 2018. Initial alignment of the traces shown in a) and c) is  
 186 obtained using the ak135 reference model. The apparent move-out of the traces can be attributed to  
 187 lateral variations in structure beneath the array. Final alignment shown in b) and d) is obtained after the  
 188 application of the adaptive stacking technique. A map of the residuals for this specific event is illustrated  
 189 in Figure 4.

190

191 Our final dataset comprised 32,300 residual times (ranging from -2.0 to 2.0 s) from 570  
 192 events for the P-wave dataset, and 13,723 residual times (ranging from -6.0 to 6.0 s) from 462  
 193 events for the S-wave dataset (Figure 2). P and S relative arrival-time residuals are  
 194 independently inverted for 3-D velocity structure using the Fast-Marching tomographic code,  
 195 FMTOMO (Rawlinson et al., 2006). FMTOMO is an iterative non-linear tomographic method  
 196 that uses a grid-based eikonal solver known as the Fast-Marching Method (Sethian, 1999) to  
 197 solve the forward problem of traveltime prediction through the laterally heterogeneous model  
 198 volume. FMTOMO implements a subspace inversion scheme to solve the locally linearized  
 199 problem at each iteration by matching observed and predicted traveltimes, subject to damping  
 200 and smoothing regularization (Kennett et al., 1988).



202 **Figure 4:** Pattern of relative arrival-time residuals for P (upper panels) and S (lower panels) direct  
 203 waves estimated using the adaptive stacking technique of Rawlinson & Kennett, 2004. The red diamond  
 204 in each map inset (top right corner) illustrates the location of the teleseismic source. Note how the  
 205 polarity of the residuals for the same source is generally similar for both P and S waves, and for different  
 206 sources with similar location. However, the arrival-time residual information is clearly dependent on  
 207 the direction of the incoming rays; in fact, the pattern of residuals for sources located in northern New  
 208 Zealand is different from that derived from sources located in Japan.

209 Pilia et al. (2021) have shown that lateral variations in Moho topography inferred using  
 210 receiver function analyses can be up to 25 km in places with corresponding strong velocity  
 211 heterogeneities in the crust, which are expected to significantly affect the pattern of arrival-  
 212 time residuals. Therefore, to mitigate the effect of near-surface structural variations on the  
 213 residual times that cannot be constrained by the teleseismic dataset (to depths roughly equal to  
 214 the station spacing), crustal thickness variations and shear-wave velocities, determined from  
 215 the joint inversion of receiver function and surface-wave dispersion (for more details see  
 216 supplementary material of Pilia et al., 2021), are directly included in FMTOMO as prior  
 217 information (Rawlinson et al., 2016; Pilia et al., 2020). We use the relation of Brocher (2005),  
 218 as implemented in, for example, Bodmer et al. (2018) and Pilia et al. (2020), to convert from  
 219 crustal S-wave velocity to P-wave velocity. We decide to keep the Moho discontinuity fixed

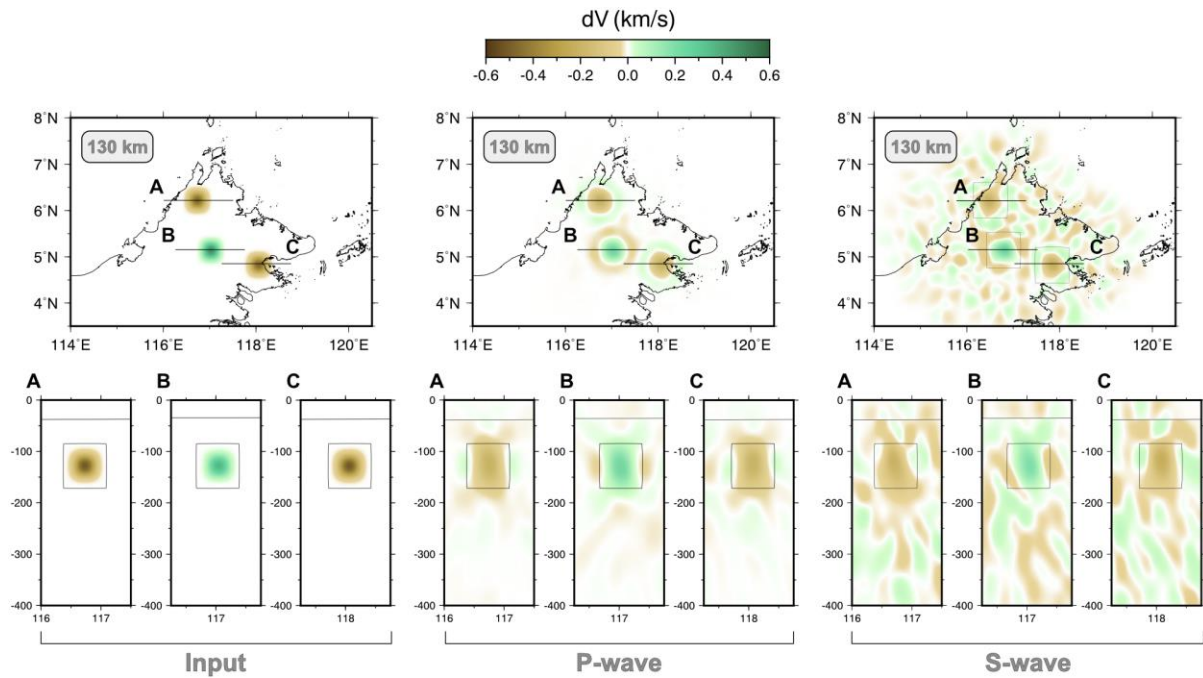
220 during the inversion, whereas crustal velocities are inverted for, given that teleseismic body  
221 waves cannot resolve the trade-off between velocity and interface depth, and the Moho  
222 geometry is likely better constrained by the receiver functions than crustal velocity. Similarly,  
223 station elevations are included in the forward calculation to account for differences in arrival  
224 time due to topographic variations.

225

## 226 **3 Results**

### 227 *3.1 Resolution tests*

228 The recovery of synthetic structure is a common strategy utilized in seismic  
229 tomographic experiments to assess the resolution and reliability of tomographic images. Here,  
230 this is achieved by following recommendations of Rawlinson and Spakman (2016). This  
231 involves recovering a sparse distribution of spikes (Figure 5), and synthetic structures that  
232 resemble those recovered in the final solution model (sufficiently different to avoid the issue  
233 of preconditioning – Figures 6 and 7). All synthetic arrival-time residuals are generated using  
234 an identical source-receiver combination and phase types as the observed dataset. For any given  
235 synthetic 3-D structure, rays are predicted through the known structure. Subsequently,  
236 Gaussian random noise is added to the resulting arrival-time residuals to simulate the picking  
237 uncertainty associated with the real data (standard deviation of 0.1 and 0.2 sec for P and S  
238 residual times, respectively). The same inversion scheme used with the observational dataset,  
239 along with parameterization and initial velocity model, is eventually used to recover the P and  
240 S synthetic structures. A direct comparison between the recovered structures and  
241 predetermined input anomalies makes it possible to assess the spatial resolution and reliability  
242 of the features illuminated with the field data, typically dependent on path coverage and data  
243 noise.



244

245 **Figure 5:** Resolution test based on synthetic structures involving three spikes with maximum amplitude  
 246 of  $-0.6$  dV and  $0.6$  dV for negative and positive spikes, respectively. A, B and C show the location of  
 247 the spikes in horizontal view (top panels) and vertical view (bottom panels). High and low velocity  
 248 heterogeneities outside the recovered target structures are largely a function of the random noise that is  
 249 added to the synthetic data.

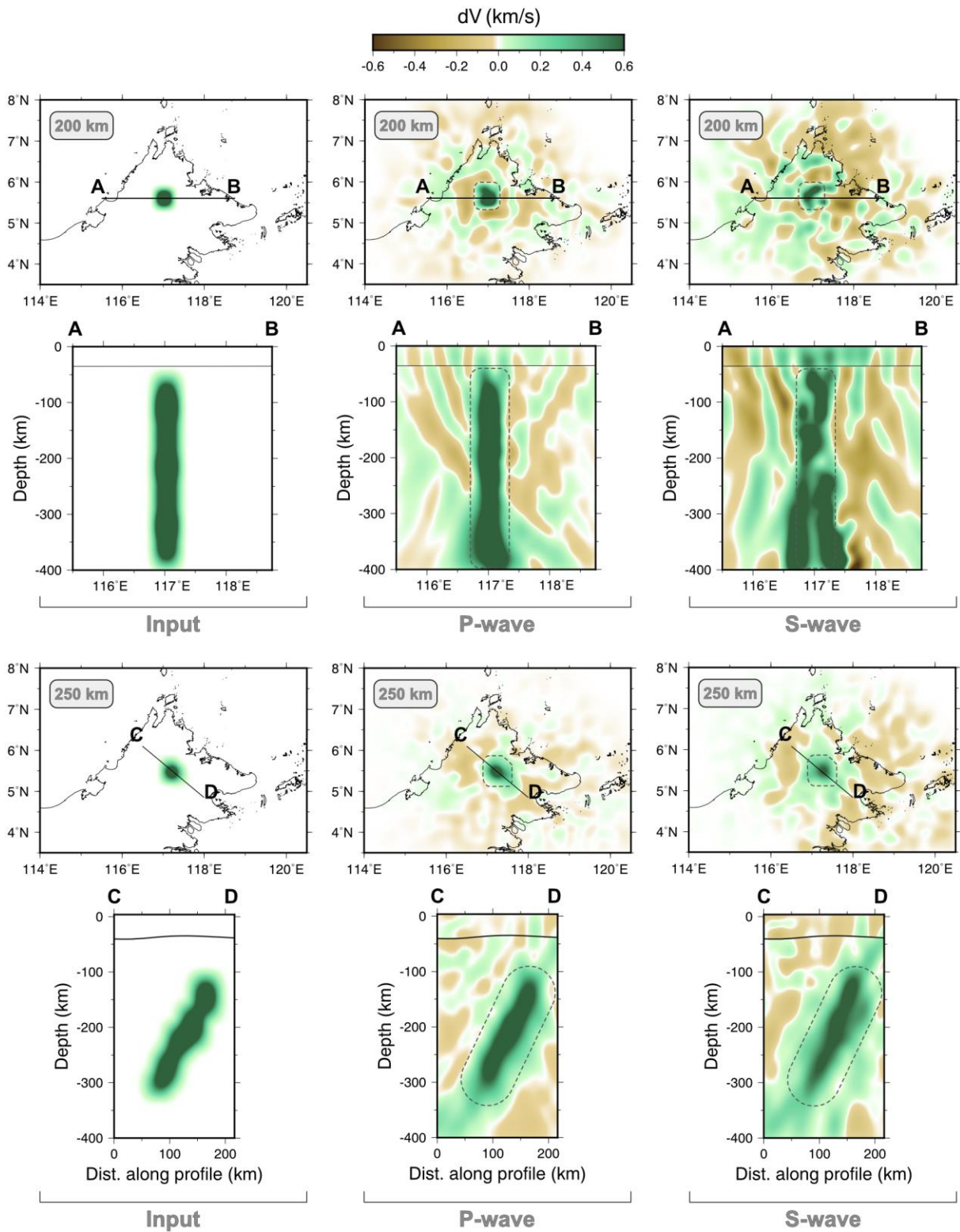
250 The first test we conduct is shown in Figure 5, which involves a series of relatively  
 251 short-wavelength structures to verify the effects of smearing. Two low-velocity spikes ( $-0.6$   
 252 km/s velocity perturbation) are located in the Ranau area and close to the Semporna peninsula,  
 253 while a high velocity spike ( $0.6$  km/s velocity perturbation) is located in south-central Sabah.  
 254 The three input spikes are generally well identified, preserving the location and polarity as per  
 255 the input model, without exhibiting apparent directionally dependent smearing. Nonetheless,  
 256 as is common when comparing P and S tomographic models, smearing is far more pronounced  
 257 and anomalies are smoother in S-wave velocity models, predominantly due to the poorer data  
 258 coverage and less accurately picked S residual times (see Figure S1).

259 The second set of tests is designed to examine the capability of our dataset and inversion  
 260 method to recover synthetic structure that mimic those observed with the field dataset. The first  
 261 experiment includes a roughly cylindrical high-velocity perturbation that is vertical (profiles  
 262 AB and 200 km depth in Figure 6) and tilted (profiles CD and 250 km depth in Figure 6). The

263 input structures are accurately recovered for both the vertical and tilted P- and S-wave  
264 anomalies. Smearing of the S-wave reconstructions is evident in places, particularly in profile  
265 AB (250-400 km depth) and CD (150-400 km depth at model distance 150-210 km). It is also  
266 important to note how the tilted anomaly in plan view (250 km depth in the S-wave model) is  
267 smeared out over a relatively large area, likely due to imposition of smoothing regularisation.

268         The goal of the last synthetic test is to assess whether we can recover two high-velocity  
269 synthetic slabs with opposite dip: one along the north-western coastline of Sabah dipping to  
270 the southeast, and another in central Sabah dipping to the northwest. The former would be  
271 expected by the presence of a PSCS slab (A in Figure 7), while the latter is used to test whether  
272 our dataset would be able to image a potential Celebes Sea slab (B in Figure 7) in central Sabah.  
273 Since relative arrival times are used, and the input anomalies are positive only, then the  
274 recovery with the mean removed from the traveltimes residuals will try and produce a recovered  
275 structure with zero average velocity perturbation. Thus, any fast anomaly recovered will need  
276 to be offset by negative anomalies, in this case by the “background” velocity - where there is  
277 ray coverage - being negative. The recovered models suggest that slab B can be faithfully  
278 recovered with both P and S residual times if present in the observational dataset. Similarly,  
279 slab A can be recovered with a high degree of confidence, even if its offshore extent can be  
280 affected by substantial smearing due to the sparse raypath coverage in this part of the study  
281 area, which needs to be taken into consideration when interpreting the final 3-D tomographic  
282 model (see Figure S1).





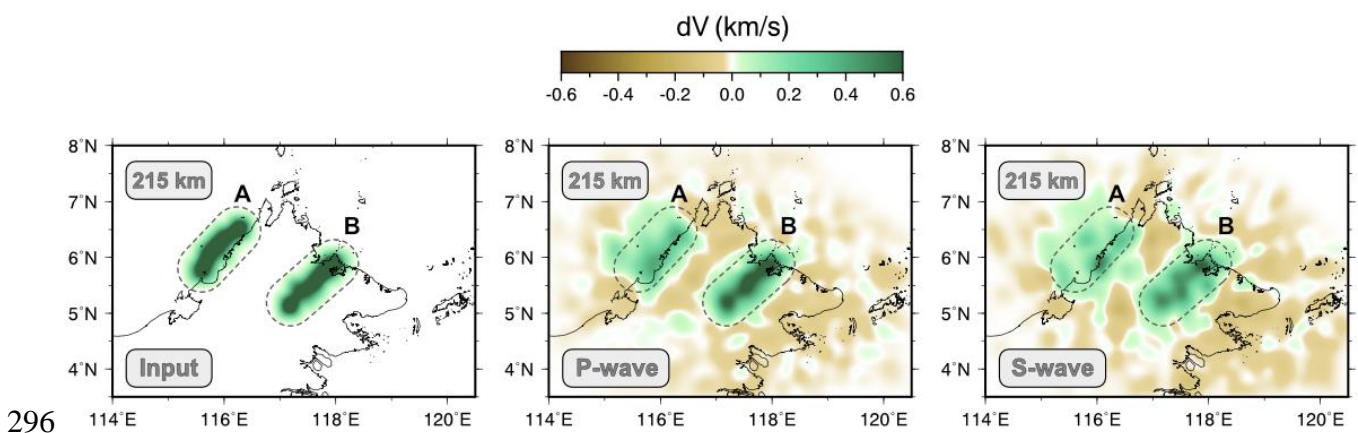
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284 **Figure 6:** Resolution test based on synthetic structures involving a vertical (profile A to B) and  
 285 (profile C to D) high-velocity anomaly, with respective horizontal slices at 200 and 250 km depth. High  
 286 and low velocity heterogeneities outside the recovered target structures are largely a function of the  
 287 random noise that is added to the synthetic data.

288

289 Overall, our recovered synthetic structures demonstrate that our dataset and tomographic  
 290 approach are appropriate to robustly detect a range of different anomalies in the upper mantle  
 291 beneath Sabah, although the recovered S-wave anomalies appear to be smoother and more  
 292 prone to artifacts than the P-wave anomalies. This is common in tomographic experiments,  
 293 since the number and quality of S-wave residuals tend to be lower than their P-wave  
 294 counterpart.

295



296

297 **Figure 7:** Horizontal slices through the synthetic slab recovery test. A and B indicate the location of  
 298 two synthetic slabs. High and low velocity heterogeneities outside the recovered target structures are  
 299 largely a function of the random noise that is added to the synthetic data.

300

### 301 *3.2 $V_p$ and $V_s$ tomographic solution models*

302 We define a local 3-D region for the inversion of P- and S-wave arrival-time residuals  
 303 that spans a latitude range of 3.5° to 8° N, a longitude range of 114° to 120.5° E, and a depth  
 304 range of 3.7 above sea level to 400 km below sea level. The 3-D inversion volume is  
 305 parametrised through a regular 3-D grid of nodes, which constitute cubic B-spline volume  
 306 elements used to create a smoothly varying, locally controlled velocity field. The inversion  
 307 grid spacing is equal to ~15 km in all directions, resulting in a total of 39,104 unknowns. The  
 308 forward step is performed on a propagation grid that is defined by ~10 km node spacing in all  
 309 directions, totalling 141,120 nodes. The complete tomographic procedure is accomplished by



310 iteratively running the forward and inversion calculations through six iterations to produce a  
311 final tomographic solution model. Damping and smoothing regularizations are used after being  
312 systematically determined by evaluating the trade-off curves between data misfit, model  
313 smoothness, and model variance (see Figure S2). Considering the relatively large range of  
314 arrival-time residuals, we decide to perform a first-pass tomographic inversion, which is then  
315 repeated upon removal from the datasets of the source-receiver combinations resulting in the  
316 largest residual times ( $\pm 1.5$  s for P phases and  $\pm 4$  s for S phases). The data variance is reduced  
317 by 87% and 67% in the  $V_p$  and  $V_s$  tomographic models, respectively (see histograms in Figure  
318 8). The final data misfit is evaluated from the difference between the observed and predicted  
319 traveltimes, the latter being calculated relative to an initial model containing only the  
320 ak135 reference model and with all receivers set at zero elevation (as per the adaptive stacking  
321 approach from which residuals are initially computed).

322 We present our  $V_p$  and  $V_s$  final tomographic models via a series of horizontal slices at  
323 100 km depth intervals (Figure 8), along with four vertical sections (Figure 9). We tend not to  
324 interpret the crustal velocities, since the station spacing means that they are poorly resolved,  
325 and could be due to very shallow or deep crustal structure that is smeared out. Generally, most  
326 of the large-scale features that we interpret in the next section appear common to both  $V_p$  and  
327  $V_s$  models, although with varying geometries and amplitude in places, presumably due, at least  
328 in part, to the poorer resolution of the  $V_s$  model. For example, a major positive velocity  
329 perturbation dominates the lower part of our tomographic models beneath profile A (Figure 9).  
330 While this feature is well-defined in our  $V_p$  tomographic model, it does seem to be affected by  
331 a degree of vertical smearing, with a somewhat lower amplitude, in the  $V_s$  model. A depth slice  
332 taken at 300 km depth from the  $V_p$  model indicates that this is a prominent feature with elevated  
333 wavespeeds and a strike approximately parallel to that of the Crocker range. This anomaly is  
334 supported by the synthetic test results in Figure 7, which reveal that the level of smearing is

335 negligible around this feature, except perhaps in its offshore extent. Two vertical profiles  
336 across this anomaly (profiles C and D in Figure 9) confirms that elevated wavespeeds are  
337 located roughly beneath the Crocker range and extend from about 225 km depth to the bottom  
338 of the tomographic model, with a thickness of  $\sim 75$  km. As previously anticipated, this high-  
339 velocity perturbation is detectable in the respective depth slice and vertical profiles of the  $V_s$   
340 model, albeit with a somewhat lower resolution.

341 Another major feature emerges from profiles B and D in Figure 9. In particular, profile  
342 D exhibits a subvertical, positive perturbation of both P and S wave velocity extending from  
343 roughly the location of Plio-Pleistocene lava from the Semporna Peninsula to the  
344 neighbourhood of Telupid. The amplitude and angle of this anomaly is strongly supported by  
345 the synthetic test results, which show that we can recover the anomaly with confidence,  
346 although the  $V_s$  model experiences a greater degree of vertical smearing (as anticipated by the  
347 synthetic test in Figure 6) and a reduced amplitude.

348

#### 349 **4 Discussion**

350 Before discussing the results in detail, it is worth reminding that relative arrival-time  
351 residuals remove the mean velocity structure of a region (see for example Bastow, 2012).  
352 However, absolute traveltimes of teleseismic phases beneath northern Borneo are generally  
353 fast in the upper mantle when compared to the global average (e.g., Hall and Spakman, 2015;  
354 Zenonos et al., 2019), with the implication that the two main high-velocity perturbations  
355 discussed in the following sections are likely to be genuinely ‘fast’. The depth slices of the P  
356 and S tomographic models (Figure 8) may appear somewhat different at first glance. However,  
357 when inspecting the depth slices in concert with the vertical profiles, it becomes quite clear  
358 that many of the differences are due to spurious perturbations (Figures 8 and 9, see synthetic  
359 tests in Figures 5, 6 and 7). One would not expect the two models to be the same, especially  
360 when considering the higher noise level of the S-wave arrival times, the different ray-path

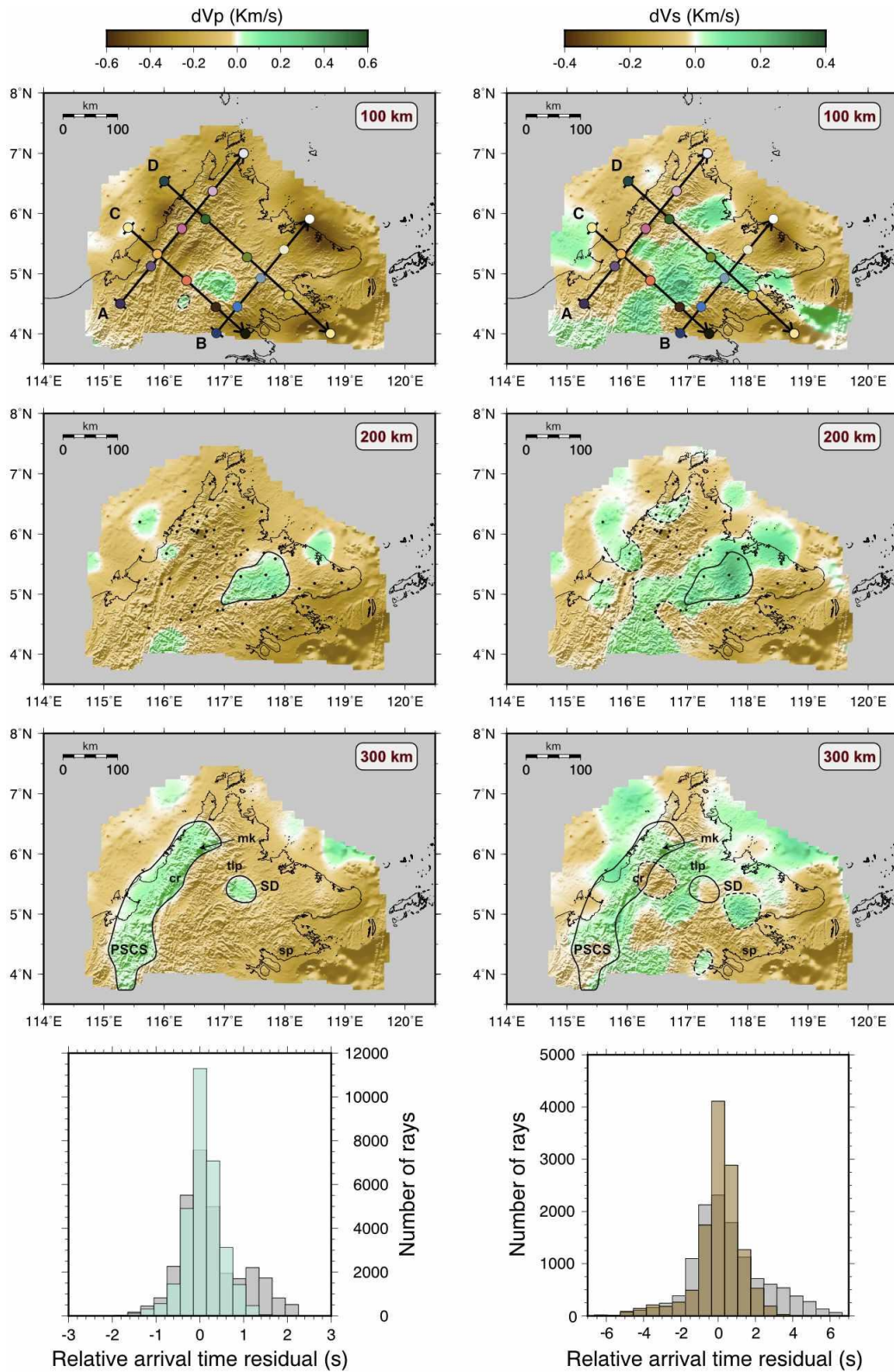
361 coverage and sensitivity between P and S waves. In our case, we consider the differences  
362 insufficient to warrant a different interpretation of the structures between the P and S-wave  
363 models, and we focus primarily on two high-velocity perturbations in western and eastern  
364 northern Borneo, which are finally linked to surface observations.

365

#### 366 ***4.1 Remnant of the PSCS slab or lithospheric delamination?***

367 In both Figure 8 (300 km slice) and Figure 9 (profiles A, C, and D) a distinct high-velocity  
368 region of P and S wavespeeds can be observed in westernmost Sabah, terminating to the north  
369 around an area where the topography exhibits a sudden change in strike of approximately 90°,  
370 and possibly continuing to the south beyond our seismic network. This could be either a  
371 remnant of the PSCS slab, or the signature of delaminated lower lithosphere (Bird, 1979)  
372 beneath the Crocker Range. Delaminated lower lithosphere and slab remnant are both expected  
373 to show up in a tomographic model as characterized by relatively high velocities, which  
374 preclude any possible discrimination based on the velocity perturbation alone. Thus, to evaluate  
375 these two hypotheses, we analyse the seismic images in tandem with other available  
376 information.

377 Removal of negatively buoyant parts of the lithosphere is typically accompanied by a  
378 trend of subsidence at the onset of delamination followed by isostatic adjustment (i.e., uplift),  
379 lower crustal (if included in the removal process) or lithospheric thinning with consequent  
380 asthenospheric upwelling and basaltic magmatism at the surface (e.g., Göğüş and Ueda, 2018).  
381 Several lines of evidence suggest Neogene (23 – 2.5 Ma) uplift of 0.3 mm/yr in the Crocker  
382 Range (Morley & Back, 2008).



383

384 **Figure 8:** Horizontal slices extracted from the final tomographic  $V_p$  and  $V_s$  models at 100, 200  
 385 and 300 km depth. Profiles A, B, C and D in the depth slices at 100 km indicate the location of  
 386 the vertical profiles shown in Figure 9. Black dots in the depth slices at 200 km denote the  
 387 seismic stations used in this study. Dashed lines indicate areas of possible vertical smearing. It  
 388 can be inferred that some of the differences observed in the depth slices between the P- and S-  
 389 wave tomographic model are due to localised smeared perturbations (observable in the vertical

390 profiles - also see synthetic tests in Figures 5, 6 and 7). Histograms show the distribution of  
391 relative arrival-time residuals for the initial models (grey) and the final solution models (aqua  
392 for the  $V_p$  model and brown for the  $V_s$  model). The average of both P-wave and S-wave arrival-  
393 time residuals is zero. SD: Semporna Drip; PSCS: Proto-South China Sea; mk: Mt Kinabalu;  
394 cr: Crocker Range; sp: Semporna Peninsula; tlp: Telupid.  
395

396 Direct evidence of crustal and/or lithospheric thinning beneath the Crocker Range is lacking.  
397 Pilia et al. (2021) derived a crustal thickness map of Sabah using P-to-S conversion at the Moho  
398 by analysing receiver functions, revealing a relatively thick crust of up to 55 km in the region  
399 beneath the Crocker Range, a product of the collision between the attenuated lithosphere of the  
400 Dangerous Grounds and western Sabah (Figure 10). Furthermore, Pilia et al. (2021) and  
401 Greenfield et al. (2022) demonstrate that the lithosphere in this region is not particularly thin,  
402 with an average thickness of 110 km. The high-velocity feature imaged in our tomography  
403 model shows a body at least 150 km long in the vertical direction, from approximately 250 to  
404 400 km (see profiles A, C and D in Figure 9); while this can be overestimated due to the vertical  
405 smearing inherent to teleseismic tomography studies, we demonstrate that such an effect is not  
406 significant in our resolution tests (Figure 5), at least for the P-wave model. Thus, justifying a  
407 pre-delamination lithospheric thickness of more than 200-250 km (present day thickness plus  
408 that of the high velocity anomaly) would be difficult. Evidence of possible asthenospheric  
409 upwelling is not conspicuous either; meaningful low velocity anomalies, suggestive of likely  
410 hot mantle temperatures, are not manifested in our tomographic images beneath the orogenic  
411 belt, particularly in the S-wave model, which is more sensitive to temperature variations.  
412 Assuming rotation of the delaminated body would necessarily imply that the detachment  
413 occurred further to the southeast. However, the lithospheric thickness map suggests  
414 lithospheric thickening to the southeast, rendering this interpretation hard to explain.

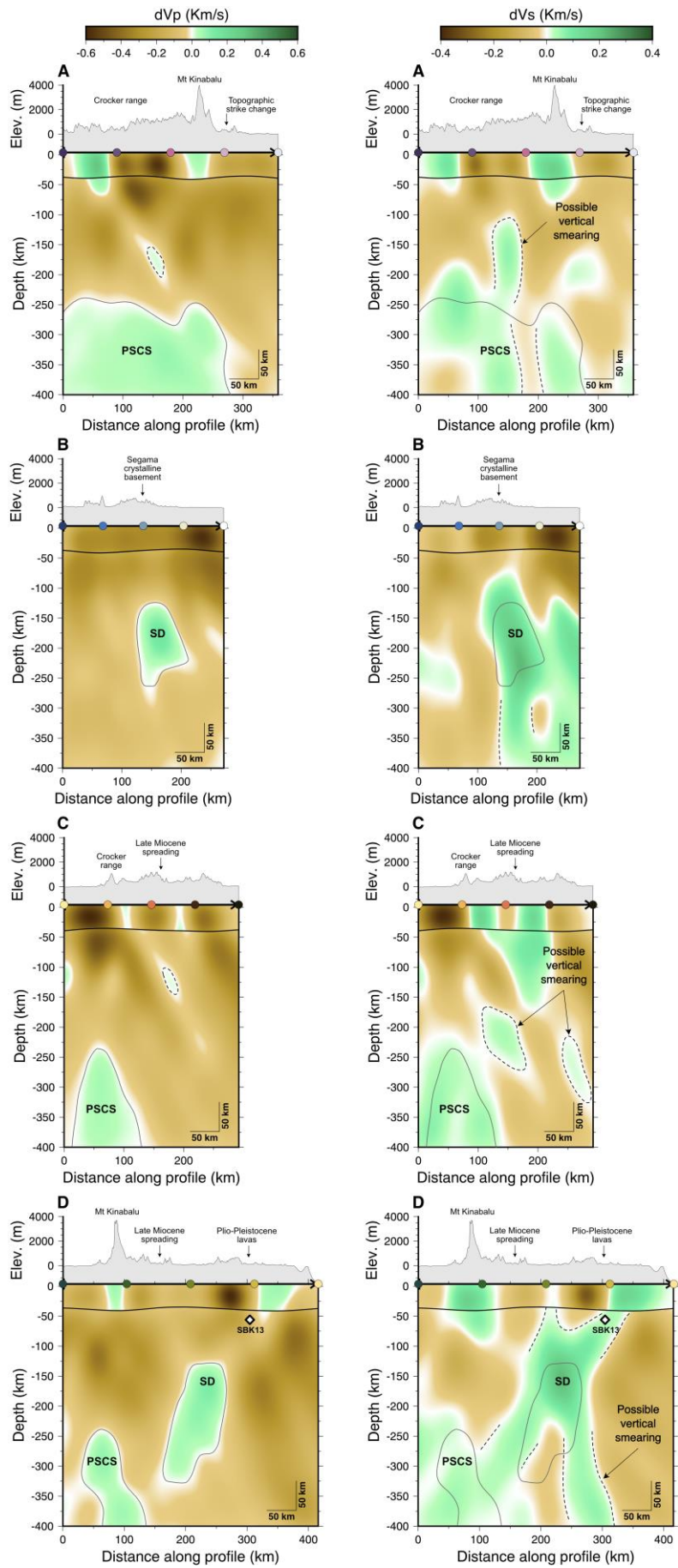
415         Associating the high-velocity perturbation beneath the Crocker Range to the PSCS is a  
416 more viable solution, although not devoid of issues. Possible problems with this interpretation  
417 stem from the location and geometry of the anomaly. First, Mt Kinabalu contains zircons that

418 indicate a contribution to the melt of old continental crust (Cottam et al., 2010, 2013), which  
419 is interpreted as extended continental crust of the Dangerous Grounds underthrust during Early  
420 Miocene collision. For this reason, a possible slab of the PSCS would be expected to be further  
421 to the southeast with respect to the present-day location. Second, most of the PSCS is thought  
422 to be now in the lower mantle (Hall and Spakman, 2015). For these reasons, we postulate that  
423 we are likely to illuminate a possibly detached upper-mantle remnant of the PSCS (Figure 10).  
424 It is plausible that when the subduction rate decreased due to the buoyancy effect of the  
425 continental lithosphere entering the subduction region, this effect imparted a steep angle to the  
426 high-velocity anomaly we observe today. This latter process may have led to slab-detachment  
427 close to, or at the continent-ocean transition, due to its own weight, which can occur even 10  
428 Ma after onset of continental collision (Duretz et al., 2011; Magni et al., 2013), and may be the  
429 explanation for the recent uplift in western Sabah as calculated by Morley & Back (2008).  
430 Subsequent or concurrent plate motion to the southeast (the present absolute plate motion is  
431 2.7 cm/yr) can explain why the PSCS slab remnant is not found further to the east.

432

#### 433 ***4.2 Lithospheric foundering in Semporna***

434 An oceanic slab of the Celebes Sea beneath Borneo or the Sulu Sea has never been  
435 imaged using geophysical methods; however, a well-developed volcanic arc (Sulu Arc)  
436 extending from Dent and Semporna peninsula into the Philippines is an unambiguous indicator  
437 of a past subduction system.



439 **Figure 9:** Vertical slices extracted from the final tomographic  $V_p$  and  $V_s$  models (see Figure 8  
440 for location). Dashed lines indicate areas of possible vertical smearing (see synthetic tests in  
441 Figures 5, 6 and 7). White diamond in profile D denotes the approximate location of sample  
442 SBK13. Gray dashed lines highlight the main P-wave velocity anomaly discussed in the text.  
443 SD: Semporna Drip; PSCS: Proto-South China Sea.

444

445 Despite demonstrating with our resolution tests that our dataset would be able to image a  
446 hypothetical high-velocity slab beneath eastern Sabah (Figure 7), our final solution models  
447 (Figures 8 and 9) do not manifest evidence for such a slab. This observation leads to the obvious  
448 question as to where the Celebes Sea slab is today.

449 A distinct seismically fast perturbation in eastern Sabah is visible in the P-wave  
450 tomographic model, and is somewhat confirmed by the S-wave model (SD in Figure 8 and 9),  
451 which is contaminated by lateral and vertical smearing as indicated by our resolution tests  
452 (Figures 5 and 6). Pilia et al. (2021) interpreted this anomaly as a dripping Rayleigh-Taylor  
453 instability developed from the Sulu Arc root. Additional evidence supporting foundering of  
454 dense lower lithosphere in Semporna include: i) subsidence (starting at ~14 Ma with  
455 widespread sedimentation) followed by rapid uplift in eastern Sabah (Balaguru, & Nichols,  
456 2004), ii) Plio-Pleistocene intraplate volcanism in Semporna (Macpherson et al., 2010), iii)  
457 evidence for thin lithosphere beneath Semporna from the estimated melting depth of basalts  
458 (sample SBK13 from Macpherson et al. (2010) – see Figures 9 and 10 for location) and seismic  
459 imaging methods (Pilia et al., 2021; Greenfield et al., 2022). A set of similar observations has  
460 been made for western California, where interpretation of the seismically fast Isabella anomaly  
461 has long been attributed to either lithospheric downwelling or a fossil slab (Zandt et al., 2004;  
462 Pikser et al., 2012). However, a recent interpretation by Dougherty et al. (2021) favours a fossil  
463 slab origin, since they claim that seismic imaging reveals a connection between a high-velocity  
464 perturbation located in the upper mantle with the surface extension of the Monterey microplate  
465 in the offshore. However, we believe that there is no justification for advocating a fossil slab



466 analogy between the Isabella anomaly and Semporna drip in the eastern part of northern  
467 Borneo. First, albeit our tomographic models do not extend far enough into the Celebes Sea, a  
468 connection between the SD and the present-day oceanic lithosphere of the Celebes Sea is  
469 geometrically not obvious. Second, Pilia et al. (2021) have shown that the dip of the SD can be  
470 dynamically reproduced with a prescribed plate velocity (relative motion between surface plate  
471 and underlying mantle) in about 10 Ma, which is approximately the time since Celebes Sea  
472 subduction has stopped and downwelling of dense material begun. This is also the time needed  
473 for the anomaly to reach a depth of around 350 km and translate horizontally by ~150 km from  
474 the location of the Sulu Arc to its present-day location (distance between the near-surface  
475 departure of the drip and its base at 400 km depth). Post-subduction foundering in the form of  
476 a lithospheric drip is therefore our favoured explanation (Figure 10). While there could be a  
477 possibility that the sub-vertical high-velocity perturbation of the SD is due to, or significantly  
478 enhanced, by radial anisotropy, a high-velocity anomaly in correspondence of the SD drip is  
479 present in the S-wave model, which is much less influenced by radial anisotropy (see also  
480 Bacon et al., 2022). This indicates that the high-velocity perturbation associated to the SD in  
481 our tomographic models is likely due to a combination of thermal and compositional  
482 anomalies.

483         Any remnants from the subduction of the Celebes Sea remain to be seismically imaged.  
484 Currently, its location could well be outside of our seismic network, perhaps being too deep  
485 (>400 km) or further to the east. Cessation of subduction and the approximately coeval onset  
486 of Celebes Sea subduction in northern Sulawesi may have played a role in disguising the  
487 seismic signature and/or location of the Celebes Sea slab.

488

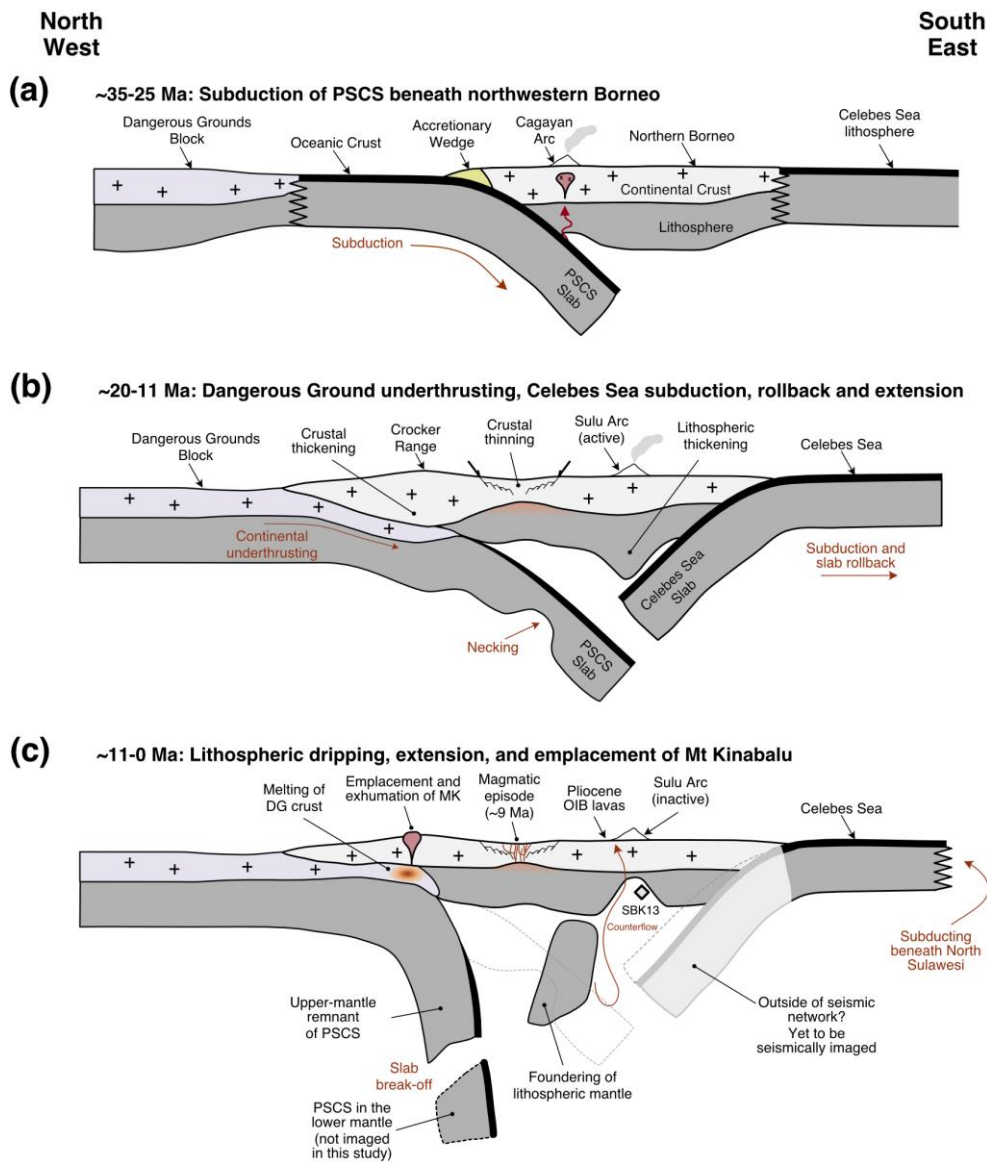
489 ***4.3 A link between surface and deep observations in a post-subduction setting***

490           The integration of our tomographic results with structural mapping and geochronology  
491 information enables us to constrain the Neogene post-tectonic evolution of northern Borneo,  
492 as illustrated in Figure 10. Low shear-wave velocities seen beneath most of Borneo from global  
493 tomographic models (e.g., Priestley et al., 2018) have led to the interpretation of a hot  
494 asthenospheric layer that partially or entirely replaced the lower lithosphere; an explanation  
495 used also by Roberts et al. (2018) to justify Neogene uplift in Borneo. Our S-wave tomographic  
496 model does not show evidence for significant positive thermal anomalies in the mantle of  
497 northern Borneo; instead, along with the P-wave tomographic model, it reveals a much more  
498 complex mantle structure and evolution that we describe in the following paragraphs.

499           Our model develops the idea that two diachronous, opposed subducting systems were  
500 active in northern Borneo since the Paleogene. Following subduction termination of the PSCS,  
501 underthrusting of the Dangerous Ground block beneath western Sabah elevated the Crocker  
502 Range and significantly thickened the crust. A remnant of the PSCS (Figure 10) is now located  
503 in the upper mantle but it is unclear whether full or partial detachment from the continental  
504 lithosphere has occurred. The 4-8 km uplift of the Crocker Range during the Late-Miocene-  
505 Pliocene can be explained by invoking this detachment. Collision, uplift and crustal thickening  
506 precipitated a tectonic mode-switch to orogen collapse since 2 Ma (Tongkul, 2017), as  
507 indicated by recent GPS analysis (Sapin et al., 2013).

508           Slab rollback of the Celebes Sea induced widespread extension in central Sabah (Hall,  
509 2013), as inferred from crustal-thickness estimates, exhumation of a subcontinental peridotite  
510 near Ranau, and a magmatic rifting episode in central northern Borneo (Tsikouras et al., 2021).  
511 Our detailed tomographic images of the upper mantle beneath northern Borneo illuminate an  
512 unusually small lithospheric drip, which has been modelled by Pilia et al. (2021) to deliver  
513 insights into the dynamic evolution of Sabah since the Late Miocene. Lithospheric foundering  
514 is approximately coeval to subduction termination of the Celebes Sea (~9 Ma), and developed

515 from a dense gravitational instability beneath the Sulu Arc. The SD contribution to extension  
516 near Telupid and Ranau is unclear, but it is likely to have played a role. Pilia et al. (2021) have  
517 shown that removal of the lithosphere from the Sulu Arc may have caused significant extension  
518 in the Ranau and Kinabalu area. As a result of this phase of northwest-southeast directed  
519 extension, the crust beneath Kinabalu was considerably stretched (if assuming a pre-extension  
520 thickness of 50-55 km), thereby reducing the isotherm depth and triggering melting of the  
521 lower crust, which ultimately emplaced the Kinabalu pluton (Figure 10). The density-  
522 dependent isostatic rebound of the granitic rocks of Mount Kinabalu could explain their rapid  
523 exhumation and uplift (Braun et al., 2014). Our results imply that the SD is currently detached  
524 from the lithosphere and sinking into the asthenospheric mantle; a direct consequence of  
525 lithospheric removal is asthenospheric upwelling, which can be invoked to account for the  
526 distribution of recent volcanism in Semporna Peninsula. This is also supported by the low P  
527 and S velocity perturbations localised in the crust at profile distance 250-300 km (profile D in  
528 Figure 9), possibly related to higher temperature. The topographic response associated with  
529 lithospheric removal is also intimately connected to the evolution of the drip, resulting in  
530 subsidence during the accumulation of dense material at the base of the lithosphere, to  
531 subsequent isostatic rebound (e.g., uplift) during lithospheric removal. We suggest that the  
532 evidence for this type of mechanism is preserved in the stratigraphic record of eastern Sabah,  
533 exhibiting a switch from subsidence (and sedimentation) to topographic uplift.  
534



535

536 **Figure 10:** Schematic cartoons illustrating the evolution of northern Borneo as inferred from  
 537 this study along the profile D (see Figure 8 for location).

538

539 **Conclusions**

540 We have used P and S teleseismic arrival times to construct 3-D tomographic models  
 541 of the lithosphere and underlying upper mantle beneath northern Borneo. The two tomographic  
 542 models show a compelling degree of consistency, although the S-wave model is more prone to  
 543 vertical and lateral smearing, an expected effect due to the typical lower quality of the S-wave  
 544 arrivals and poorer coverage. Our 3-D models reveal that a slab remnant of the PSCS is present  
 545 beneath northern Borneo, implying that tectonic reconstructions of SE Asia that preclude

546 southeast oriented subduction of the PSCS are hard to justify in light of this new evidence. Our  
547 S-wave tomographic model, more prone to temperature variations, does not show significant  
548 negative departures from the initial model that could point to positive thermal anomalies in the  
549 mantle. This finding implies that the idea of wholesale removal of the lower lithosphere is  
550 unlikely to have occurred in northern Borneo. Another significant finding of this study is the  
551 presence of a surprisingly small lithospheric drip that possibly developed from the volcanic  
552 root of the Sulu Arc after subduction termination. We infer that despite its size, the drip is  
553 directly or indirectly responsible for most of the observations and surface features that  
554 distinguish northern Borneo. Such phenomena may therefore play a more important role in  
555 shaping continental margins than previously thought.

556

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566

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712 **Data and software availability**

713 Waveform data from the nBOSS network will be publicly accessible through the IRIS  
714 Data Management (<http://www.iris.edu/mda>) from February 2023 (see for details  
715 [https://doi.org/10.7914/SN/YC\\_2018](https://doi.org/10.7914/SN/YC_2018)). Details on the status of this database may be obtained  
716 from N.R. Access to waveform data from the Malaysian national network  
717 (<https://www.fdsn.org/networks/detail/MY/>) is restricted. The final P- and S-wave  
718 tomographic models can be downloaded from the following digital object identifier  
719 <https://doi.org/10.6084/m9.figshare.19583722.v1>.

720 The source code for the Adaptive Stacking method used to compute the arrival time  
721 residuals is available at <http://www.earth.edu.au/codes/AdaptiveStacking/>. The source code  
722 and manual for FMTOMO are available at <http://earth.edu.au/codes/FMTOMO/>.

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