

# EEG filtering based on blind source separation (BSS) for early detection of Alzheimer's disease

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## Abstract

**Objective:** Development of an EEG preprocessing technique for improvement of detection of Alzheimer's disease (AD). The technique is based on filtering of EEG data using blind source separation (BSS) and projection of components which are possibly sensitive to cortical neuronal impairment found in early stages of AD.

**Method:** Artifact-free 20 s intervals of raw resting EEG recordings from 22 patients with Mild Cognitive Impairment (MCI) who later proceeded to AD and 38 age-matched normal controls were decomposed into spatio-temporally decorrelated components using BSS algorithm 'AMUSE'. Filtered EEG was obtained by back projection of components with the highest linear predictability. Relative power of filtered data in delta, theta, alpha1, alpha2, beta1, and beta 2 bands were processed with Linear Discriminant Analysis (LDA).

**Results:** Preprocessing improved the percentage of correctly classified patients and controls computed with jack-knifing cross-validation from 59 to 73% and from 76 to 84%, correspondingly.

**Conclusions:** The proposed approach can significantly improve the sensitivity and specificity of EEG based diagnosis.

**Significance:** Filtering based on BSS can improve the performance of the existing EEG approaches to early diagnosis of Alzheimer's disease. It may also have potential for improvement of EEG classification in other clinical areas or fundamental research. The developed method is quite general and flexible, allowing for various extensions and improvements.

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**Keywords:** Alzheimer's disease; Diagnosis; EEG; Blind Source Separation; AMUSE; Filtering

## 1. Introduction

Alzheimer's disease (AD) is one of the most frequent disorders among the elderly population (Jeong, 2004). Recent studies have demonstrated that AD has a presymptomatic phase, likely lasting years, during which neuronal degeneration is occurring but clinical symptoms not yet appear. This makes preclinical discrimination between people who will and will not ultimately develop AD critical

for early treatment of the disease which could prevent or at least slow down the onset of clinical manifestations of disease (Blennow and Hampel, 2003; DeKosky and Marek, 2003; Rapoport, 2000; Wagner, 2000). Moreover, early diagnostic tools could significantly facilitate the development of drugs for the treatment at the early stage of AD: without preclinical diagnosis, many times more subjects (potential patients with huge percentage of those who actually would never develop AD) should be involved for testing of these drugs (DeKosky and Marek, 2003). A diagnostic method should be relatively inexpensive, to make possible screening of many individuals who are at risk of developing this dangerous disease

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113 (DeKosky and Marek, 2003). The electroencephalogram  
114 (EEG) is one of the most promising candidates to become  
115 such a method.

116 To date, many signal processing techniques were applied  
117 for revealing pathological changes in EEG associated with  
118 AD (see Jeong, 2004, for review). For example, combi-  
119 nation of linear and nonlinear measures improved the  
120 classification accuracy of AD versus normal subjects up to  
121 92% (Pritchard et al., 1994). Using principal component  
122 analysis (PCA) as a postprocessing tool for compressing  
123 linear and nonlinear EEG features over channels and age as  
124 a moderator variable in a study with rigorous validation  
125 procedure (jack-knifing), Besthorn et al. (1997) obtained  
126 89% correct classification. However, high classification  
127 accuracy was obtained for patients who already developed  
128 serious cognitive impairment (e.g. Mini Mental State  
129 Examination (MMSE) score was  $11.5 \pm 7.9$  in the study of  
130 Besthorn et al. (1997)).

131 Finding a method for identification of patients who have  
132 no clinical signs of AD at the moment of EEG registration  
133 but later progress to AD is the main challenge in this field.  
134 The studies of this kind are very rare. Huang et al. (2000)  
135 obtained 87% classification accuracy for discrimination  
136 between patients with mild cognitive impairment (MCI)  
137 who later progressed and not progressed to AD, however,  
138 without reporting the use of cross-validation. Musha and co-  
139 authors demonstrated, in a computer simulation, that local  
140 cortical neuronal impairment should lead to lower dipolarity  
141 (goodness-of-fit for dipole localizations) of alpha EEG  
142 frequency components (Hara et al., 1999), and then, based  
143 on these results, developed a technique for estimation of  
144 cortical impairment in AD using a single index of dipolarity  
145 (Musha et al., 2002). Alpha dipolarity was able to  
146 differentiate MCI patients who showed no clinical signs of  
147 AD at the time when EEG was recorded but developed  
148 AD later, as diagnosed in the follow-up, from normal  
149 controls with high probability; it also correlated with the  
150 degree of cortical neuronal impairment, estimated by  
151 SPECT (Musha et al., 2002).

152 However, in spite of all of the achievements made in the  
153 above cited studies, the problem of preclinical diagnosis of  
154 AD using EEG is not yet solved and further improvement of  
155 the methodology is necessary.

156 The main idea of this paper can be formulated as  
157 ‘filtering based on Blind Source Separation (BSS)’, that is,  
158 filtering of EEG by selection of most relevant components  
159 followed by reconstruction of the relevant part (subspace) of  
160 EEG signal using back projection of only these components.  
161 We propose a preprocessing technique based on this idea for  
162 improving EEG-based AD diagnosis (possibly useful also in  
163 other fields of EEG analysis). Its usefulness was evaluated  
164 in combination with standard procedures, namely the linear  
165 discriminant analysis (LDA) applied to spectral power in  
166 several frequency bands. To make comparison clear and  
167 fair, we used only most reliable but simple procedures.  
168 However, more sophisticated analysis based on recent

169 advances in techniques for EEG processing and data  
170 classification may provide, in combination with proposed  
171 preprocessing, further significant improvement of early AD  
172 diagnosis, and some relevant emerging techniques will be  
173 mentioned in Discussion.  
174  
175

## 176 2. Methods

### 177 2.1. Blind source separation filtering for EEG classification

178 Intuitively, one can expect that some hidden components  
179 of such a complex signal like EEG can be more sensitive to  
180 Alzheimer’s disease and the related disorders than others.  
181 These more sensitive components can be considered as  
182 useful ‘signal’, and the other components of EEG as ‘noise’  
183 or ‘unwanted signals’. Improving the ‘signal-to-noise ratio’  
184 by filtering off the ‘noise’ could enhance the performance of  
185 subsequent feature extraction and data classification. Blind  
186 Source Separation (BSS) algorithms (see Cichocki and  
187 Amari, 2003, for extensive review) can be used for the  
188 purpose of such filtering.  
189

190 BSS, in its application to EEG analysis, assume that EEG  
191 signal is composed of a finite number of components  
192 (signals from the brain and other sources),  $s(t) =$   
193  $[s_1(t), \dots, s_n(t)]^T$ . Here  $t$  is a discrete time index,  $n$  is the  
194 number of components and  $[\dots]^T$  means transpose of row  
195 vector. Components are mixed through unknown linear  
196 mixing process (described by  $n \times n$  mixing matrix  $\mathbf{A}$ ), and  $n$   
197 sensors (EEG electrodes) record the mixed signals  $\mathbf{x}(t) =$   
198  $\mathbf{A}\mathbf{s}(t)$ . Each of the components may change in time, but has a  
199 fixed weight for each channel. BSS algorithm finds an  
200 unmixing (separating)  $n \times n$  matrix  $\mathbf{W}$  consisted of coeffi-  
201 cients with which the electrode signals should be taken  
202 to form, by summation, the estimated components:  
203  $\mathbf{y}(t) = \mathbf{W}\mathbf{x}(t)$ . (In more general case, the number of  
204 components can be not equal to the number of sensors.)  
205 The entries of the estimated mixing matrix  $\hat{\mathbf{A}} = \mathbf{W}^{-1}$  are  
206 components’ weights in the mixing process; in other words,  
207 they indicate how strongly each electrode picks up each of  
208 individual components. *Back projection* of some selected  
209 components  $\mathbf{x}_r(t) = \mathbf{W}^{-1}\mathbf{y}_r(t)$  (where  $\mathbf{x}_r(t)$  is a vector of  
210 reconstructed sensor signals and  $\mathbf{y}_r(t)$  is the vector obtained  
211 from the vector  $\mathbf{y}(t)$  after removal of all the undesirable  
212 components (i.e. by replacing them with zeros)) allows us to  
213 filter the EEG data.  
214

215 In strict sense, BSS means estimation of true (original)  
216 sources, though exactly the same procedure can be used for  
217 separation of two or more subspaces of the signal without  
218 estimation of true sources. One procedure currently  
219 becoming popular in EEG analysis is removing artifact-  
220 related BSS components and back projection of components  
221 originating from brain (e.g. Jung et al., 2000; Joyce et al.,  
222 2004; Vorobyov and Cichocki, 2002). In this procedure,  
223 components of brain origin are not required to be separated  
224 from each other exactly, because they are mixed again by

back projection after removing artifact-related components. But by the same procedure we can filter off the ‘noise’ also in wider sense, improving the relative amount of any types of useful information in the signal. Specifically, we can try to increase the relative amount of signals content related to AD (i.e. to improve signal to noise ratio—SNR).

Finding the rules or fundamental principles for identification of *relevant and irrelevant components* is critical for the proposed approach and, in general, may require extensive studies. In the case of removing artifact-related components, such components typically can be easily identified by visual inspection, but in more general case exact discrimination of relevant and non-relevant components is more difficult. In this paper we attempt to differentiate clusters or subspaces of components with similar properties or features. For the purposes of EEG classification the estimation of individual components corresponding to separate and meaningful brain sources is not required, unlike in other applications of BSS to EEG processing (including its most popular variant, Independent Component Analysis (ICA)). The use of clusters of components is especially beneficial when the data from different subjects are compared: similarity between individual components in different subjects is usually low, while subspaces formed by similar components are more likely to be sufficiently overlapped. Differentiation of subspaces with high and low amount of diagnostically useful information can be made easier if components are separated and sorted according to some criteria which, at least to some extent, correlate with the diagnostic value of components. BSS algorithm ‘AMUSE’, in our opinion, can be relevant for this task.

## 2.2. AMUSE algorithm and its properties

AMUSE (Cichocki and Amari, 2003; Szupiluk and Cichocki, 2001; Tong et al., 1991, 1993) is a BSS algorithm which arranges components not only in the order of decreasing variance (that is typical for the use of singular value decomposition (SVD) which is implemented within the algorithm), but also in the order of their decreased linear predictability. Low values for both characteristics can be specific for many of EEG components related to high frequency artifacts, especially electromyographic signal (which cannot be sufficiently removed by usual filtering in frequency domain, see Goncharova et al., 2003). Thus, a first attempt of selection of diagnostically important components can be made by removing a range of components separated with AMUSE (below referred to as ‘AMUSE components’) with the lowest linear predictability. Automatic sorting of components by this algorithm makes it possible to do this simply by removing components with indices higher than some chosen value.

AMUSE algorithm belongs to the group of second-order-statistics spatio-temporal decorrelation (SOS-STD) BSS algorithms. It provides similar decomposition as the well

known and popular SOBI algorithms (Belouchrani et al., 1997; Tang et al., 2002). AMUSE algorithm uses simple principles that the estimated components should be spatio-temporally decorrelated and be less complex (i.e. have better linear predictability) than any mixture of those sources. The components are ordered according to decreasing values of singular values of a time-delayed covariance matrix. As in Principal Component Analysis (PCA) and unlike in many ICA algorithms, all components estimated by AMUSE are uniquely defined (i.e. any run of algorithms on the same data will always produce the same components) and consistently ranked. Fig. 1 illustrates typical components obtained by decomposing EEG using AMUSE algorithm.

AMUSE algorithm can be considered as two consecutive PCAs: first, PCA is applied to input data; second, PCA (SVD) is applied to the time-delayed covariance matrix of the output of previous stage. In the first step standard or robust prewhitening (sphering) is applied as a linear transformation  $\mathbf{z}(t) = \mathbf{Q}\mathbf{x}(t)$ , where  $\mathbf{Q} = \mathbf{R}_x^{-1/2}$  of the standard covariance matrix  $\mathbf{R}_x = E\{\mathbf{x}(t)\mathbf{x}^T(t)\}$  and  $\mathbf{x}(t)$  is a vector of observed data for time instant  $t$ . Next, SVD is applied to a time-delayed covariance matrix of pre-whitened data:  $\mathbf{R}_z = E\{\mathbf{z}(t)\mathbf{z}^T(t-1)\} = \mathbf{U}\mathbf{S}\mathbf{V}^T$ , where  $\mathbf{S}$  is a diagonal matrix with decreasing singular values and  $\mathbf{U}$ ,  $\mathbf{V}$  are matrices of eigenvectors. Then, an unmixing matrix is estimated as  $\mathbf{W} = \hat{\mathbf{A}}^{-1} = \mathbf{U}^T\mathbf{Q}$  or  $\hat{\mathbf{A}} = \mathbf{Q}^T\mathbf{U}$ .

AMUSE algorithm is much faster than the vast majority of BSS algorithms (its processing speed is mainly defined by the PCA processing within it) and is very easy to use, because no parameters are required. It is implemented as a part of package ‘ICALAB for signal processing’ (Cichocki et al., online) freely available online and can be called also from current version of EEGLAB toolbox (Delorme and Makeig, 2004) (which is freely available online at <http://www.sccn.ucsd.edu/eeqlab/>) if both toolboxes are installed.

## 2.3. Subjects and EEG recording

We used EEG recordings collected in the previous study (Musha et al., 2002). In that study, patients who complained only for memory impairment, but had no apparent loss in general cognitive, behavioral, or functional status, were recruited. Fifty-three patients of this group met the following criteria for Mild Cognitive Impairment (MCI): MMSE score 24 or higher, Clinical Dementia Rating (CDR) scale score of 0.5 with memory performance less than one standard deviation below the normal reference (Wechsler Logical Memory Scale and Paired Associates Learning subtests, IV and VII,  $\leq 9$  (Wechsler, 1987), and/or  $\leq 5$  on the 30 min delayed recall of the Rey-Osterreith figure test (Hodges, 1993). These patients were followed clinically for 12–18 months. Twenty-five of them developed probable or possible AD according to NINDS-ADRDA criteria (McKhann et al., 1984). Normal age-matched controls were recruited from family members of the patients

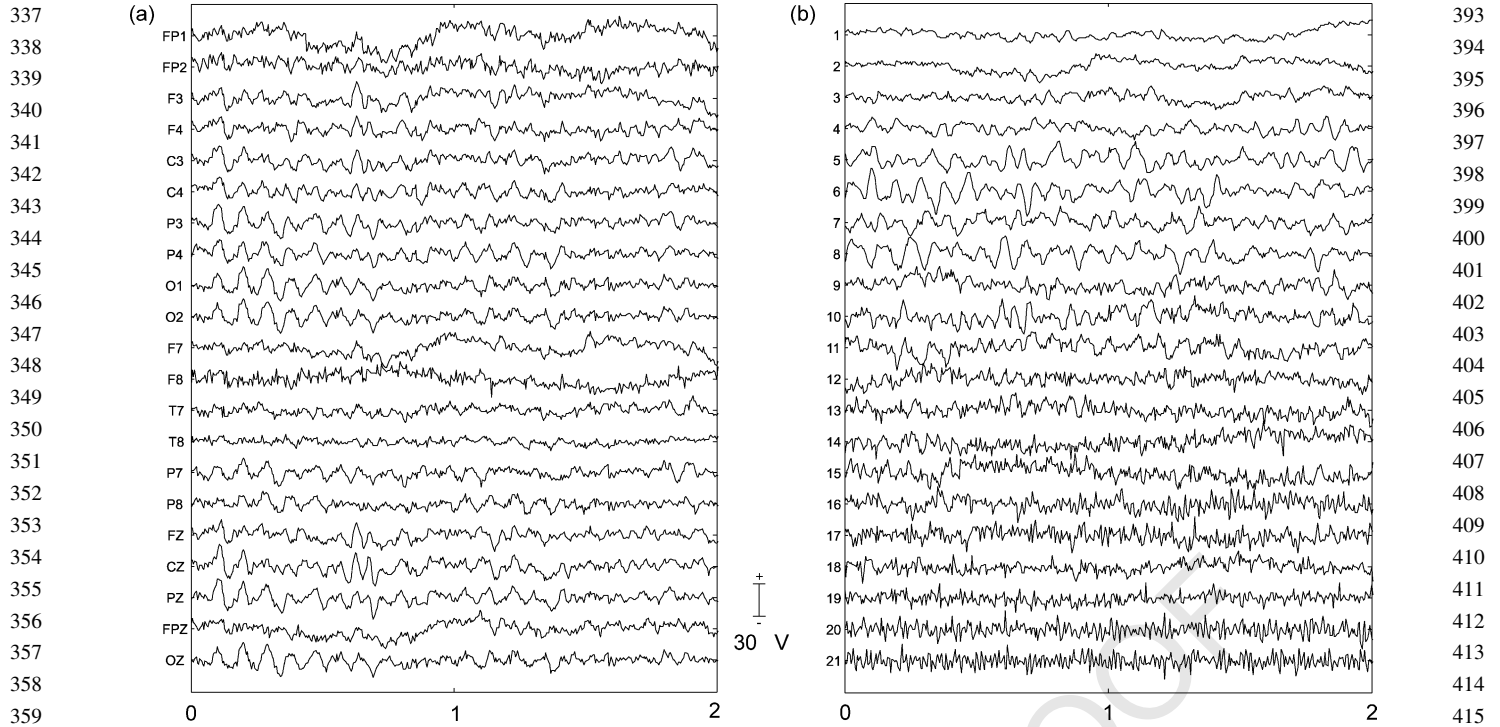


Fig. 1. Example of raw EEG (a) and its components separated with AMUSE algorithm (b) for a patient with MCI who later progressed to AD (MildAD002). AMUSE was applied to 20 s artifact-free interval of EEG, but only 2 s are shown. The scale for the components is arbitrary but linear. Note that the components are automatically ordered according to decreasing linear predictability (increasing complexity).

(mainly spouses) participated in the study as control group. Both patients and controls underwent general medical, neurological, psychiatric, and neuroimaging (SPECT, CT and MRI) investigation for making the diagnosis more precise.

EEG was recorded within 1 month after entering the study from all patients and controls, but only EEG recorded from the patients who progressed to AD ( $n=25$ ; below: MCI group) and age-matched controls ( $n=56$ ) was used for the analysis. No patient or control subject received psychotropic medication at the period when EEG was recorded. Mean MMSE score was  $26 \pm 1.8$  in MCI group and  $28.5 \pm 1.6$  in control group; age  $71.9 \pm 10.2$  and  $71.7 \pm 8.3$ , respectively. EEG recording was done in an awake resting state with eyes closed, under vigilance control. Ag/AgCl electrodes (disks of diameter 8 mm) were placed on 21 sites according to 10–20 international system, with the reference electrode on the right ear-lobe. EEG was recorded with Biotop 6R12 (NEC San-ei, Tokyo, Japan) using analog filtering bandpass 0.5–250 Hz and sampling rate 200 Hz.

#### 2.4. EEG data analysis

All computations were done using MATLAB (The MathWorks, Inc.). EEGLAB (Delorme and Makeig, 2004) was used for visual analysis of EEG recordings, and AMUSE algorithm implemented in ICALAB (Cichocki et al., online) was used for BSS processing.

Out of the EEG database described above (from the study of Musha et al., 2002), we selected 25 MCI patients (later progressed to AD) and 47 age-matched controls who had relatively little artifacts. Their EEGs were visually inspected by an experienced EEG researcher and the first continuous artifact-free 20 s interval of each recording was chosen for the analysis. Due to the lack of such interval in some recordings, the number of patients and controls were reduced to 22 and 38, correspondingly. The reason for selecting artifact-free intervals was that most of the artifacts produced amplifier blocking (saturation) due to its low amplitude range, which lead to strongly nonlinear distortion of the signal. AMUSE, as most of BSS methods, assumes a linear model of summation of source signals, and amplifier blocking should be excluded from the data.

Each EEG was decomposed into 21 decorrelated components by BSS algorithm AMUSE (see above). Some of the components (see Results) were selected for back projection, which formed preprocessed ('AMUSE filtered') EEG data. Spectral analysis based on Fast Fourier Transform (Welch method, Hanning 1 s window, 2 s epochs overlapped by 0.5 s) was applied to raw data, to the components and to the projections of selected components. Relative spectral powers were computed by dividing the power in delta (1.5–3.5 Hz), theta (3.5–7.5 Hz), alpha 1 (7.5–9.5 Hz), alpha 2 (9.5–12.5 Hz), beta 1 (12.5–17.5 Hz) and beta 2 (17.5–25 Hz) bands by the power in 1.5–25 Hz band. These values were normalized for better fitting

the normal distribution using the transformation  $\ln(x/(1-x))$ , where  $x$  is the relative spectral power (Gasser et al., 1982). To reduce the number of variables used for classification, we averaged band power values over all 21 channels.

Linear discriminant analysis (LDA) (using publicly available software for both linear classical and robust discriminant analysis, by Croux and Dehon, 2001) was used for discriminating MCI and control groups on the basis of log-transformed relative spectral power in the six frequency bands, averaged over channels. To improve validation of the classification results, discriminant analysis was applied in combination with jack-knifing, a procedure which typically produces lower discrimination rate than, e.g. cross-validation based on using part of a sample for learning and other part for classification, but is statistically more correct and enables increased reproducibility in other samples (Besthorn et al., 1997). Jack-knifing means that each case is classified using individual discriminant function trained with all cases except this one. Results of this procedure was used for computing sensitivity (the number of MCI subjects who were classified as MCI divided by the number of all subjects in MCI group) and specificity (the number of normal subjects who were classified as normal divided by number of all normal subjects).

3. Results

Averaged power spectra of each AMUSE component for patients and control subjects are presented in Fig. 2. As expected, components with lower indices (corresponding to lower linear predictability) had higher relative power at lower frequencies, while components with higher indices had higher relative power at highest frequencies. What is especially important is that the difference between patients and control subjects was clearer in the components with lower indices (i.e. components with highest linear predictability and highest variance of their projections). Thus, in further analysis we used combination of components with lowest indices.

To estimate how many components with highest linear predictability provides optimal classification rate, we applied LDA without jack-knifing (the latter requires much more computation time) to all projected components with indices from 1 to 2, from 1 to 3 and so on. Overall misclassification rate was computed each time by applying obtained discriminant function to the same 60 subjects (22 patients + 38 controls). Results are presented in Fig. 3. The best classification was obtained for projection of the first five components (with indices from 1 to 5); however, performance was also high when the number of components

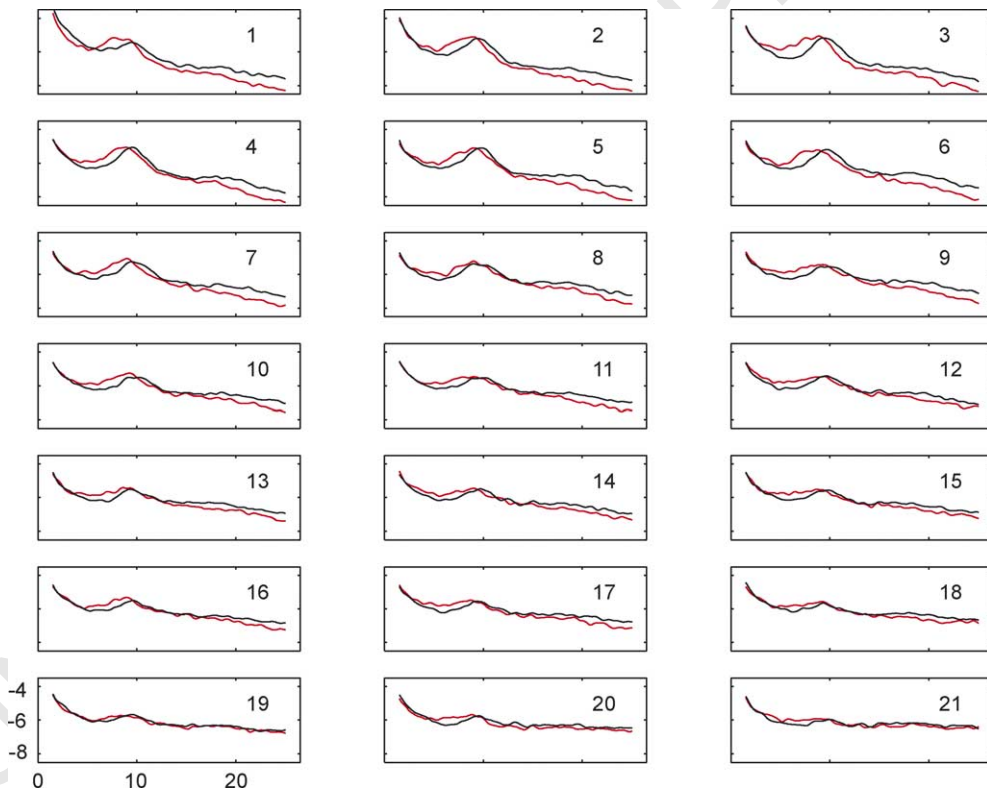


Fig. 2. Averaged power spectra of AMUSE components 1–21. x-axis: frequency, Hz. y-axis: transformed relative spectral power. Relative spectral power was obtained by dividing the absolute values in each frequency bin by total power in the range 1.5–25 Hz. Before averaging, the power values were normalized using transformation  $\log(x/(1-x))$  (negative values appear because of this transformation). Red: MCI patients later progressed to AD ( $n=22$ ). Black: control subjects ( $n=38$ ).

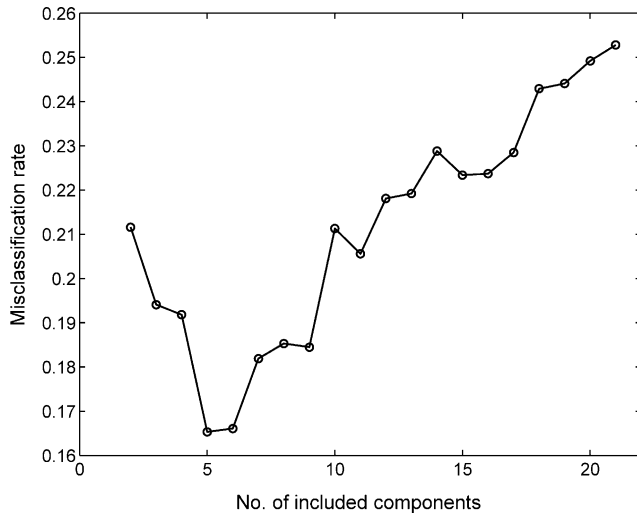


Fig. 3. LDA approximate (computed without cross-validation) misclassification rate for different number of projected components. Only components with highest linear predictability were used, thus, data points correspond to the following combinations of components: 1,2; 1–3; 1–4;...1–20, 1–21.

was in a rather wide range between 3 and 9. Thus, the method appeared to be robust in respect to the number of selected components.

Classification with jack-knifing procedure was applied to projections of several combinations of components, including 1–5 which appeared to be optimal according to Fig. 2. As follows from Table 1, results of classification were better if preprocessing included selection of AMUSE components with lower indices (1–5, 1–7, 1–10), comparing to raw data. When components with higher indices (6–21, 8–21, 11–21) were selected in preprocessing, the results were worse than in the case of raw data. Best results were obtained with components 1–5 and 1–7 (improvement by 14% over the raw

Table 1  
Number of subjects who were correctly and incorrectly classified by discriminant analysis applied to relative power in six frequency bands after selection and back projection of certain AMUSE components (AMUSE filtering). Results were obtained using jack-knifing

AMUSE components selected in preprocessing	Misclassified		Correctly classified %		
	MCI n=22	Controls n=38	MCI n=22	Controls n=38	All n=60
No preprocessing	9	9	59	76	70
<b>Components 1–5</b>	<b>6</b>	<b>6</b>	<b>73</b>	<b>84</b>	<b>80</b>
<b>Components 1–7</b>	<b>6</b>	<b>6</b>	<b>73</b>	<b>84</b>	<b>80</b>
Components 1–10	6	9	73	76	75
Components 6–21	9	11	59	71	67
Components 8–21	9	11	59	71	67
<b>Components 11–21</b>	<b>12</b>	<b>12</b>	<b>45</b>	<b>68</b>	<b>60</b>

data for classification of MCI and by 8% for control subjects), while components 11–21 gave the worst results. More detailed classification results for two combinations of components (1–5 and 1–10) and for the raw data, presented as Relative Operating Characteristic (ROC) curves in Fig. 4, confirm that use of components 1–10 only slightly improved the classification (Fig. 4(a)), while improvement of classification with components 1–5 over raw data was substantial (Fig. 4(b)). Best classification performance after preprocessing using 1–5 components was obtained in the range of approximately 0.6–0.8 for sensitivity and 0.7–0.9 for specificity. Selection of components with high indices was clearly not good for classification: for components 11–21 classification performance was almost at random level (Fig. 4(a)).

4. Discussion

With EEG preprocessing proposed in this paper, we obtained 80% rate of correct classification (Table 1) for MCI using only 20 s artifact-free interval of EEG recording from each patient or control subject. While groups of patients and controls were relatively small (22 and 38, correspondingly), it should be noted that the classification performance was estimated using the rigorous jack-knifing cross-validation procedure, which reduce the risk of overstating the results. The jack-knifing procedure was applied only to LDA but not to approximate optimization of the choice of components for back projection. Optimization of the choice of components was made for the whole dataset on the basis of components' spectra and preliminary run of LDA. Nevertheless, Figs. 2 and 3 suggest that the dependence of the difference between patients' and controls' spectra on component index and dependence of LDA results on the number of selected components were systematic; thus, it is unlikely that we simply picked up some random variations in LDA performance dependent on details of preprocessing and that improvement of LDA performance by preprocessing with the same parameters will be not reproducible in other groups of patients and controls.

The procedure of selection of artifact-free EEG intervals used in this study could introduce some bias in absolute values of discrimination results, because it was done by only one expert, and this expert did know to which group each EEG belongs. In fact, the proportion of the EEG recordings which were not analyzed due to the lack of a sufficiently long artifact-free interval was different in the groups of patients (12%) and controls (19%), and this difference was in the direction which can be expected if the criteria for selecting the analyzed interval were more strict for control group. This difference could be a result of random variations, and it should be noted that most of artifacts were easily identifiable (due to low amplifier range, any high amplitude artifact led to amplifier saturation), so it was rather unlikely that the subjective bias could strongly

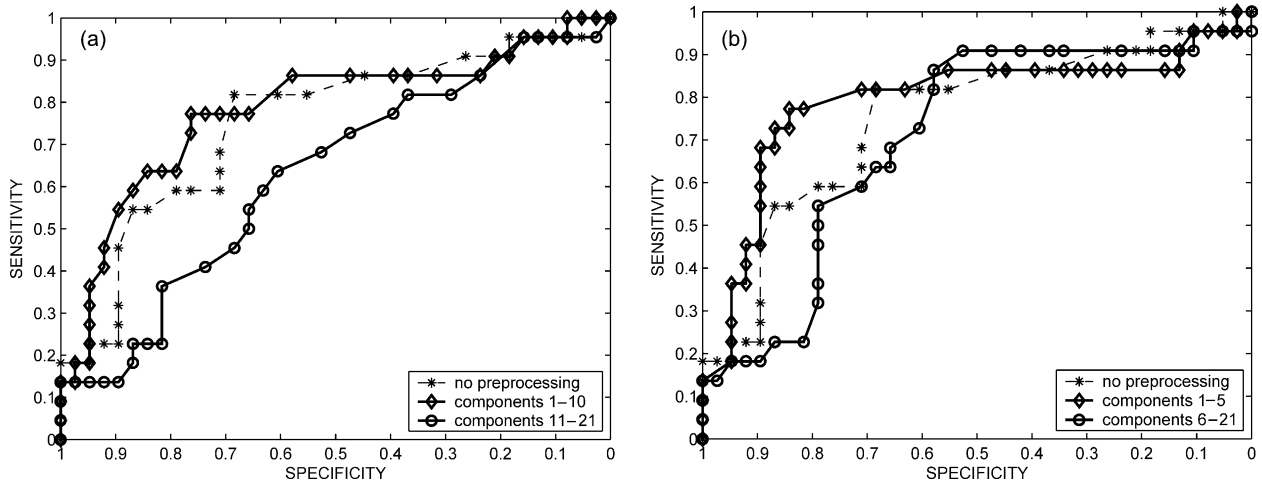


Fig. 4. Relative Operating Characteristic (ROC) curves obtained using jack-knifing for classification of MCI patients later progressed to AD ( $n=22$ ) versus normal controls ( $n=38$ ). LDA was applied to relative power in six EEG frequency bands. Comparison between data without preprocessing and data after selection and back projection of certain AMUSE components (AMUSE filtering). (a) Selection of first 10 components, compared to the rest of components and no preprocessing. (b) Selection of first five components, compared to the rest of components and no preprocessing.

influence the results. However, we cannot guarantee that the use of subjective criteria for selection of artifact free intervals did not affect classification results at all, and it is difficult to predict whether the obtained high values of specificity and sensitivity can be reproduced in other studies. We would like to emphasize, nevertheless, that our main claim is that the proposed preprocessing method increases the performance *relatively* to the level obtained without its use. This tendency could not be altered by subjective bias in search for artifact-free intervals.

We do not discuss here to which physiologically meaningful brain sources AMUSE components can correspond, because they can be a mixture of activity from many physical sources in the brain. This is clearly not critical for improving of EEG classification. The improvement of classification after AMUSE filtering comparing to non-preprocessed EEG data was probably caused by higher difference between patients and controls' spectra in the selected components than in the non-used (filtered off) components. Spectra computed for AMUSE components separated by BSS algorithm AMUSE (Fig. 2) demonstrate that the difference between patients and controls decreased with the index of component. Interestingly, this effect is visible at the same time in several frequency ranges: in theta range, where patients had an increase of relative power; in alpha range, where shift of the peak to slower frequencies was observed in patients; and in beta range, where power was lower for patients. All these differences in spectral power are typically found between AD patients and normal subjects. Components with the highest indices showed almost no difference between patients and controls, and it was not surprising that the performance of classification based on back projection of only these components was close to random level (Fig. 4(a), components 11–21). Thus, AMUSE components with higher indices can be considered

as mainly representing 'noise' which makes difficult, in processing of raw EEG, to detect diagnostically important changes in characteristics of 'signal'. Note that 'signal' and 'noise' here are not labels for signal from brain sources and for artifacts: we refer to the 'signal' only as to diagnostically important (significant) part (subspace) of raw EEG signal, and to 'noise' as to the diagnostically not important part (non-significant subspace). AMUSE filtering, i.e. extraction of part of EEG reach with 'signal' by using only 'best' (here, most useful for diagnosis) components for back projection, naturally leads to the improvement of 'signal-to-noise ratio' and, as a result, to the improvement of EEG classification.

A BSS-based approach to improvement of signal-to-noise ratio in MEG signal by defining and removing noise subspace was already developed (Kawakatsu, 2003). More simple and already rather widely used technique is removing EEG and MEG artifact-related components with BSS using visual or automatic identification of such components one by one after decomposition (e.g. Jung et al., 2000). However, since in many kinds of EEG and MEG studies the goal is to extract the brain signal in possibly less distorted form, the existing techniques are limited to remove only such part of raw signal, which contain no or almost no components of brain origin but rather external artifacts and noise. In EEG classification tasks, such as diagnosis or Brain-Computer Interface (BCI), preserving the original signal is less important, noise can be defined not only as artifacts but also as any part of the signal which do not contribute to the difference between the classes of EEG which should be differentiated, and larger subspace with high percentage of such 'noise' can be removed. The existing techniques can only identify, by some a priori known characteristics, noise components (Barbati et al., 2004; Jung et al., 2000; Kawakatsu, 2003) and some very specific diagnostically important

785 components (epileptic spike separation: e.g. Kobayashi  
786 et al., 2002). Xu et al. (2004) recently suggested using a  
787 subspace approach for differentiating between task-related  
788 EEG patterns in BCI. They selected several ICA com-  
789 ponents related to P300 according to the a priori knowledge  
790 of P300 spatio-temporal pattern and reconstructed a clear  
791 P300 peak using back projection of these components. Like  
792 in the case of epileptic spikes, the components in this case  
793 were easily identifiable.

794 In a general case, however, significant and non-  
795 significant components are not easily identifiable. The task  
796 becomes especially challenging if EEG components from  
797 different subjects should be compared, because the sets of  
798 components produced by BSS in different subjects usually  
799 differ dramatically. In our approach, we rank components  
800 using some empirical rule, such as their linear predictability,  
801 and select those where difference between the pathological  
802 and normal EEG is most differentiated. This made possible  
803 to achieve substantial improvement in the discrimination  
804 between MCI patients who later progressed to AD and  
805 normal age-matched controls. To our best knowledge, no  
806 study till now investigated the application of BSS/ICA  
807 methods as preprocessing tools with possible application for  
808 AD diagnosis.

809 Dividing of components into two groups (or subspaces)  
810 as below or above some component's index (in the case of  
811 ranking) or using a threshold for some index computed for  
812 each component is not the only way. One may try to divide  
813 the sets of components at more than one level and, e.g.  
814 remove not only components with highest indices but also  
815 with the lowest indices. As one may suppose from Fig. 1(b)  
816 (example of individual data), the first two components could  
817 represent, to rather high extent, artifacts (roving eye  
818 movements). Fig. 2, however, shows that components #1  
819 and #2 substantially differed between groups. We made an  
820 attempt to exclude 1 or 2 first components from the analysis  
821 and this, in fact, led to slightly lower discrimination results.  
822 However, it is possible that for other data (for example,  
823 including high amplitude low frequency artifacts) or other  
824 processing techniques dividing the set of components on  
825 more than one level could be beneficial.

826 Not only spectral but also other EEG features, such as  
827 measures of synchronization between channels, can be  
828 investigated for the possibility of improving contrast  
829 between pathological and normal data using the presented  
830 approach. Several studies indicated that synchronization  
831 between different brain areas is sensitive to AD. Such results  
832 were obtained for quite different techniques, including  
833 coherence (e.g. Adler et al., 2003; Jelic et al., 1996;  
834 Locatelli et al., 1998; Wada et al., 1998), mutual  
835 information (Jeong et al., 2001) and synchronization  
836 likelihood (a new measure combining estimation of linear  
837 and nonlinear coupling) (Stam et al., 2003). One may  
838 hypothesize that EEG components can be divided into two  
839 parts, one of which represents signal subspace with lower  
840 (or stronger) synchronization among some cortical areas in

AD relative to normal EEG, and another one represents  
signal subspace which synchronization characteristics are  
not related to the disease. In this case, the general approach  
described in this paper also could appear to be useful. One  
may probably try to apply it also in the case of using  
nonlinear measures (see review in Jeong, 2004) or in  
combination with other advanced approaches.

841 There is obviously room for improvement and extension  
842 of the proposed method both in ranking and selection of  
843 optimal (significant) components, apparatus and post-  
844 processing to perform classification task. Especially, we  
845 can apply a wide variety of BSS methods, i.e. instead of the  
846 applied and investigated second order statistics spatio-  
847 temporal decorrelation, we can exploit other new types of  
848 BSS algorithms, such as higher order statistic ICA, sparse  
849 component analysis or smooth component analysis with a  
850 suitably ordered and ranked components. Furthermore,  
851 instead of standard LDA we can use more sensitive and  
852 robust methods, such as neural networks or support vector  
853 machine (SVM) classifiers. Classification can be probably  
854 strongly improved by supplementing the set of spectral  
855 power values which we used with much different indices,  
856 such as alpha dipolarity, a new index depending on  
857 prevalence local vs. distributed sources of EEG alpha  
858 activity, which was shown to be very sensitive to AD-  
859 related cortical impairment (Musha et al., 2002). Additional  
860 attractive but still open issue is that using the proposed  
861 approach, we can not only detect but also measure in  
862 consistent way the progression of AD and influence of  
863 medications. The proposed method can also be potentially  
864 useful and effective tool for differential diagnosis of AD  
865 from other types of dementia, and possibly for diagnosis of  
866 other diseases. Other areas of EEG analysis can be also  
867 possible field for the application of our preprocessing  
868 technique. For these purposes, more studies would be  
869 needed to asses of the impact of the proposed enhancement/  
870 filtering procedures on the EEG signal of interest.  
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## 5. Uncited references

Lindau et al. (2003), Wackermann (1996).

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