1	Precipitable water vapor over oceans from the Maritime Aerosol
2	Network: Evaluation of global models and satellite products
3	under clear sky conditions
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36 ABSTRACT: We present results from an evaluation of precipitable water vapor (W) over remote 37 oceanic areas as derived from global reanalysis models and from satellites against observations 38 from the Maritime Aerosol Network (MAN) for cloudless skies during the period of 2004–2017. 39 They cover polar, mid latitude and tropical oceanic regions and represent a first effort to use MAN 40 observations for such evaluation. The global reanalysis model products evaluated in this study are 41 from the Modern-Era Retrospective analysis for Research and Applications Version 2 (MERRA-2), 42 the European Centre for Medium-Range Weather Forecasts (ECMWF) Interim Reanalysis (ERA I), 43 and the Climate Forecast System Reanalysis (CFSR) model. The satellite products evaluated are 44 from the Moderate Resolution Imaging Spectroradiometer (MODIS), the Polarization and 45 Directionality of the Earth's Reflectances (POLDER), the Global Ozone Monitoring Experiment 46 (GOME-2), the Scanning Imaging Absorption Spectrometer for Atmospheric Chartography 47 (SCIAMACHY), and the Atmospheric Infra-red Sounder (AIRS). Satellite retrievals of W are based 48 on the attenuation of solar reflected light by water vapor absorption bands, except those from AIRS 49 that rely on brightness temperature measurements. A very good agreement is observed between the 50 model estimates and MAN, with mean differences of  $\sim$ 5% and standard deviations of  $\sim$ 15%. These 51 results are within the uncertainties associated with the models and the measurements, indicating the 52 skill of the reanalysis models to estimate W over oceans under clear sky conditions. Mean 53 differences of W between the satellite and MAN products are  $\sim 11, 6.7, 12, -7, and 3\%$  for MODIS, 54 POLDER, GOME-2, SCIAMACHY and AIRS respectively, while their standard deviations are 31, 55 29, 28, 20 and 17 %. These differences reveal the need to address inconsistencies among different 56 satellite sensors and ground-based measurements to reduce the uncertainties associated with the 57 retrievals.

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# 64 1. Introduction

65 Water vapor is one of the most important components of the Earth's atmosphere that 66 affects both weather and climate. It dominates tropospheric diabatic heating by 67 condensation of water into liquid in the lower troposphere [Trenberth and Stepaniak, 2003], and is the most important gaseous constituent for infrared opacity in the atmosphere 68 69 [Trenberth et al., 2007]. Information on water vapor is essential for understanding 70 mesoscale meteorological systems and cloud formation [Wulfemeyer et al., 2015]. Water 71 vapor also contributes indirectly to radiative forcing, influencing the microphysical 72 processes leading to the formation of clouds, and affecting the size, shape and the chemical 73 composition of aerosols [Reichard et al., 1996]. Information on water vapor over oceans is 74 especially important because more than three quarters of the total exchange of water 75 between the atmosphere and the Earth's surface occurs through ocean evaporation and 76 precipitation [Schmitt, 2008].

77 The Compendium of Meteorology of the American Meteorological Society defines 78 the precipitable water vapor (W) as "the total atmospheric water vapor contained in a 79 vertical column of unit cross-section, extending in terms of the height to which that water 80 substance would stand if completely condensed and collected in a vessel of the same unit 81 cross section" [AMS, 2000]. Measurements of W are available from different ground-based 82 remote sensing instruments, such as sun-photometers [e.g. Alexandrov et al., 2009], 83 moon/star photometers [e.g. Barreto et al., 2013], Fourier-Transform spectrometers [e.g. 84 Leblanc et al., 2011], microwave radiometers [e.g. Cadeddu et al., 2013], and global positioning system (GPS) receivers [e.g. Bevis et al., 1992]. Precipitable water vapor is also 85 86 obtained by integrating water vapor vertical profiles from radiosondes [e.g. Durre et al., 87 2006] and Raman lidar systems [e.g. Whiteman et al., 2010, 2012]. However, most of these 88 instruments are deployed over land.

Recent versions of global reanalysis models assimilate many meteorological variables, including moisture profiles from radiosondes, and are capable of simulating *W* over the entire globe. Satellite sensors provide a global coverage of *W* using space-borne instruments that utilize different physical concepts for remote sensing of *W*. MODIS [*King et al., 1992*] and POLDER [*Deschamps et al., 1994*] are based on Earth's reflectance of 94 water vapor absorption channels in the infrared and near-infrared; GOME-2 [*Munro et al.*, 95 2006, 2016] and SCIAMACHY [*Bovensmann et al.*, 1999; Gottwald and Bovensmann, 96 2011] use Differential Optical Absorption Spectroscopy (DOAS) with the absorption bands 97 of O<sub>2</sub> and H<sub>2</sub>O; other space-borne sensors such as AIRS [*Aumann et al.*, 2003] rely on 98 microwave radiometry. However, in spite of the wide-ranging data sources, it is still a great 99 challenge to evaluate water vapor estimates over oceans due to lack of surface-based 100 measurements over remote oceanic areas.

101 Measurements from ships are essential to augment the low rate of W measurements 102 over oceans; several field campaigns have been organized [e.g. Nalli et al., 2011] to address 103 this shortcoming. The Maritime Aerosol Network (MAN) is a component of the Aerosol 104 Robotic Network (AERONET) [Holben et al., 2001] and aims to primarily improve our 105 knowledge of aerosol properties over oceans using sun photometry. MAN has been 106 operating since October 2004, with over 450 cruises completed and more than 6000 107 measurement days recorded, and the data are stored in a web-based public data archive 108 (https://aeronet.gsfc.nasa.gov/new web/maritime aerosol network.html). Consequently, 109 MAN has had a great success in providing ground truth for evaluating satellite-derived 110 aerosol optical properties over oceans [e.g. Smirnov et al., 2011, 2017].

111 Currently, most of the MAN campaigns operate sun-photometers with filters 112 centered around 940 nm wavelength, which is one of the main atmospheric water vapor 113 absorption bands [e.g. Reagan et al., 1986; Halthore et al., 1997] and, therefore, it is 114 possible to retrieve W. MAN follows the same processing protocol as AERONET, making 115 MAN an excellent data source for evaluating W data over oceans under clear sky 116 conditions. MAN data are only available when the sun is not obstructed by clouds, yet, they 117 can provide information on W during the precursory stages of extreme weather [Ye et al., 118 2014; Fujita and Sato, 2016] or for studying aerosol hygroscopic growth [e.g. Veselovskii 119 et al., 2009].

- 120 In section 2 we describe the instrumentations and methodologies used. Section 3 is 121 devoted for the main results while in section 4 we provide the main conclusions.
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# 124 **2. Instrumentation and Methodology**

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#### 2.1. Maritime Aerosol Network (MAN)

127 The standard instrument used in the MAN is the Microtops II sun photometer [Smirnov 128 et al., 2009]. Microtops II is a portable and hand-held manually operated instrument that 129 measures direct solar irradiance. Microtops II has five spectral channels and can 130 accommodate several filter configurations within the spectral range of 340-1020 nm. The 131 bandwidths of the interference filters vary from 2 to 4 nm for UV channels, to 10 nm for 132 visible and near-infrared channels. Microtops II provides information allowing estimating 133 aerosol optical depth (AOD) and also precipitable water vapor (W) if the filter centered at 134 940 nm is used. MAN instruments follow the calibration criteria and data processing of 135 AERONET. Each Microtops II instrument is calibrated against an AERONET master-136 CIMEL Sun/sky radiometer at NASA Goddard Space Flight Center (GSFC), traceable to 137 Langley plot measurements at Mauna Loa. These Microtops II calibrations are done under 138 clear sky and stable atmospheric conditions to ensure accurate and stable results. Filters are 139 replaced when drastically degraded. Microtops II sun photometers have demonstrated good 140 calibration stability over the years [Ichoku et al., 2002].

The measurement protocol of MAN is described in detail in *Smirnov et al. (2009)*, briefly summarized here. Measurements are taken as 6-10 scans when the solar disk is free of clouds. Each scan takes about 7-8 seconds; each measurement sequence takes over a minute plus some time for a GPS to lock ship's position. If the interval between two consecutive scans is more than two minutes, then these points are placed into a different time series. A series is considered a single data point and can have one or more measurement points (typically five).

Sun is considered not obstructed by clouds based on visual assessment; depending on sky conditions, measurements should be repeated several times during the day. MAN instruments follow data processing of AERONET and here we use MAN Level 2.0 results that guarantee acceptable cloud-screening and data quality (e.g. Smirnov et al., 2009). Briefly, within a series of observations, the minimum aerosol optical depth ( $AOD_{min}$ ) is computed at each wavelength. For the rest of points if the absolute difference  $AOD_i - AOD_{min}$  for each spectral channel is less than the maximum of { $AOD_{min}$ \*0.05, 0.02}, that point within a series is considered cloud-free and pointing error free. We note that the criterion is applied to *AOD*, but if the point does not pass the test, then all spectral channels for these measurements are removed, including the *W* channel. Finally, after this test using AOD, if only one point remains after this evaluation, an additional criterion consisting of evaluating Angstrom parameter is used: if it is greater than 0.1 then the point is considered cloud-screened and with accurate pointing.

For our purposes of studying W, the direct solar irradiance at 940 nm measured by Microtops II instrument allows direct estimation of water vapor transmittance ( $T_w$  (940 nm)) using a simplified expression of  $T_w$  (940 nm), as given by [e.g. *Schmid et al.*, 2001]:

$$T_w(940nm) = \exp\left(-a(m_wW)^b\right)$$
164 (1)

where  $m_w$  is the relative optical water vapor air mass and 'a' and 'b' are coefficients that depend on the wavelength position, width and shape of the sun-photometer filter function, and the atmospheric condition [*Halthore et al., 1997*]. Each Microtops II instrument has its own unique set of 'a' and 'b' values depending on its specific filter configuration. These coefficients are considered fixed until the filter is changed. More information about the computation of coefficients 'a' and 'b' can be found in *Smirnov et al.,* [2004].

The good agreement between Microtops II and AERONET values of W was demonstrated by *Ichoku et al.*, [2002] for correlative measurements with both instruments. Therefore, we assume that MAN values of W ( $W_{MAN}$ ) have similar uncertainties to AERONET values as discussed in *Pérez-Ramírez et al.*, [2014] who reported uncertainties below 10 %.

#### 176 2.2. Global reanalysis Models and Satellite Sensors

Table 1 summarizes the main characteristics of the global *W* products from reanalysis models and the satellite sensors that were evaluated in this study, including their spatial resolutions and data availability periods. The reanalysis models whose *W* data have been selected for evaluation are the Modern-Era Retrospective analysis for Research and Applications Version 2 (MERRA-2) from the NASA Global Modeling and Assimilation Office (GMAO) - *Gelaro et al. [2017]*, the Climate Forecast System Reanalysis (CFSR) – *Saha et al.* [2010] from The National Centers for Environmental Prediction (NCEP), and the ERA Interim Reanalysis model (ERA-I) - *Berrisford et al.*, [2011] from The European Center for Medium-Range Weather Forecast (ECMWF). All of these global reanalysis models assimilate meteorological parameters measured from different space-borne sensors (e.g. radiances, surface wind speeds and vectors, temperature and ozone profiles). Global reanalysis models must be evaluated against independent and accurate ground-based measurements.

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#### [Insert Table 1 here]

191 The satellite products evaluated in this study include those of the Moderate Resolution 192 Imaging Spectroradiometer (MODIS) [King et al., 1992] and the Polarization and 193 Directionality of the Earth's Reflectances (POLDER) [Deschamps et al., 1994] that obtain 194 W from the ratio of reflected radiances at water vapor absorption channels and non-195 absorbing bands in the infrared and near infrared regions of the spectrum. All MODIS and 196 POLDER data used are cloud-screened and they are based on passive remote sensing 197 techniques (low power supply, continuous operation). For MODIS, we use the infrared 198 algorithm (5 x 5 km pixel resolution) that employs ratios of water vapor absorbing channels 199 at 0.905, 0.936, and 0.940 µm with atmospheric window channels at 0.865 and 1.24 µm [Kaufman and Gao, 1992; Gao and Goetz, 1990], while POLDER is based on the ratio of 200 201 reflected radiances at 910 nm and 865 nm [Vesperini et al., 1999]. The ratios partially 202 remove the effects of variation of surface reflectance with wavelengths and provide water 203 vapor transmittances, although can be affected by spectral dependences of aerosol 204 attenuation. In MODIS, W is derived from water vapor transmittances using look-up table 205 the current Level 2 procedures and we are using Collection 6 data 206 (https://modis.gsfc.nasa.gov/data/dataprod/mod05.php), while for POLDER, an 207 approximate empirical equation is used for estimating W [Vesperini et al., 1999], and we 208 are using the Level 2 data (http://www.icare.univ-lille1.fr/).

Other sensors whose W retrievals are evaluated are the Scanning Imaging Absorption
Spectrometer for Atmospheric Chartography (SCIAMACHY) [Bovensmann et al., 1999;
Gottwald and Bovensmann, 2011] and the Global Ozone Monitoring Experiment (GOME[Munro et al., 2006, 2016]. The W retrieval technique for these instruments is based on

213 the Differential Optical Absorption Spectroscopy (DOAS) approach. Again, these two 214 instruments are based on passive remote sensing and the data used are cloud-screened. 215 SCIAMACHY data are provided by the University of Bremen (http://www.iup.uni-216 bremen.de/amcdoas/), and their method involves fitting the differential structures of the measured spectral reflectance [Burrows et al., 1999], where upon the water vapor is 217 218 retrieved using an approach similar to the simplified  $T_w$  (940 nm) of equation 1, but spectrally resolved for wavelengths close to 700 nm. Furthermore, an additional correction 219 220 based on simultaneous O<sub>2</sub> measurements is performed [*Noël et al.*, 1999, 2004, 2008]. The 221 GOME-2 data are provided by the Earth Observation Center of the German Aerospace 222 Center (http://atmos.eoc.dlr.de/) and their retrieval algorithm consists of fitting water vapor absorption bands in the range 614-683 nm and also uses simultaneous O<sub>2</sub> measurements 223 224 [Wagner et al., 2003, 2006].

225 The additional satellite sensor whose W data have been used is the Atmospheric 226 Infrared Sounder (AIRS) [Aumann et al., 2003], which is a hyperspectral, scanning infrared 227 sounder. AIRS measures the infrared brightness from Earth's surface and from atmospheric 228 constituents. By having multiple infrared detectors, each sensing a particular wavelength, 229 temperature and water vapor profiles can be estimated. AIRS has 2378 detectors while 230 previous sensors had only 15. Such instrument is well suited for climate studies allowing 231 high accuracy of temperature and water vapor. Particularly, AIRS water vapor retrieval 232 algorithm uses 66 spectral channels that are generally selected to cover a range of 233 wavelengths on and off water vapor absorption bands [Susskind et al., 2003]. The use of 234 several detectors in the infrared regions minimizes sources of errors associated with surface reflectance or with aerosols. The AIRS W data used are version 6 Level 2 235 236 (https://airs.jpl.nasa.gov/data/). Although AIRS can provide W estimates under cloudy 237 conditions, we utilized only the clear-sky observations.

The different satellite sensors used for *W* estimates over oceans are affected by additional systematic and random errors such as errors of calibration of the channels used, errors in the radiative transfer in the forward models or errors associated with the viewing angles (viewing geometry). These issues have been addressed by previous studies and were included in the final error uncertainties for each satellite product (*Ichoku et al.* [2005] for MODIS and POLDER, Noël et al. [2008] for GOME-2 and SCIAMACHY and *Susskind et*  *al.* [2003, 2006] for AIRS). Other sources of errors in the estimates of *W* by satellite
sensors are the inaccurate surface reflectance characterization and the different hypothesis
assumed in the retrievals by each sensor.

# 247 2.3. Matchups between Maritime Aerosol Network and Global Reanalysis Models/ 248 Satellite Sensors

249 To compare with model data, MAN 'series' are first timely averaged around the 250 standard times when models provide information, namely, 00, 03, 06, 09, 12, 15, 18 and 21 251 UTC for MERRA-2 and 00, 06, 12 and 18 UTC for ERA-I and CFSR. Temporal windows 252 are of  $\pm 1.5$  hours for averaging for MERRA-2 and  $\pm 3$  hours for ERA-I and CFSR. Mean W, 253 latitude and longitude are therefore determined for the data within each temporal window. 254 For models, a sampling area of 1°x1° around mean latitude and longitude by MAN is 255 selected and the corresponding model value of W is a weighted mean using the distances to 256 the averaged coordinates of the corresponding MAN observations.

257 For the match-ups with satellite observations, we use the Multi-Sensor Aerosol 258 Products Sampling System (MAPSS) [Petrenko et al., 2012] adapted for MAN [Smirnov et 259 al., 2017]. For each MAN series measurement and each satellite sensor, MAPPS check if 260 there is an overpass that contains pixels retrieved within  $\pm 30$  minutes and  $\pm 50$  km ( $\pm 27.5$ 261 km for MODIS) of ship-based measurements. These selected MAN data are subsequently 262 averaged including W, latitude and longitude, and identified as a single 'central' ship-based 263 measurement. MAAPS samples coincident space-borne pixels within  $\pm 50$  km ( $\pm 25.5$  km 264 for MODIS) of this central ship-based location and corresponding space-borne value of W265 is a weighted mean using the distances to the central ship-based location. Note that MAN 266 measurements in a one-hour time window coincides with at most a single overpass for a 267 given sensor due to the low speed of the ships.

In our analysis, the calculation of deviations between the MAN measurements and model-assimilated or satellite datasets are based on the mean differences or relative differences that represent the systematic errors, while their standard deviations, which represent the variability of these differences, are denoted as the uncertainty measures of these datasets.

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#### 274 **3.0 Results**

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#### 3.1 Precipitable Water Vapor over Oceans by Maritime Aerosol Network

276 Figure 1 shows daily averages of W for all MAN cruises. There are more than 277 36000 measurements for the period 2004-2017 covering several oceanic regions, although 278 the most frequently sampled places are the areas close to the continents and in the mid-279 Atlantic region. Also, the Red, Black, North, Mediterranean, Caribbean, Baltic, and 280 Chinese seas are very well sampled. Other places with numerous measurements are the 281 Gulf of Bengal and of Mexico, the high latitude oceanic regions with cruises in the Arctic 282 Ocean and near Antarctica. The Pacific Ocean has many measurements, but because of its 283 large size it is not considered well sampled. The situation is similar for the Indian Ocean.

284 Figure 1 illustrates regional variability of W under clear sky conditions. The highest 285 values of W are found in the tropics with 75 % of W values between 2-4 cm and maximum 286 values above 6 cm. Values of W below 1 cm in the tropics are rare, with only 1 % of 287 occurrence. Mid latitudes present lower values of W with 75% of the data between 1-3 cm. 288 Mid-latitudes also present the largest variability in W with 18% of the data below 1 cm and 289 6% of the data above 4 cm. High latitudes present the lowest values with 80 % of the data 290 below 1 cm. Values of W above 2 cm for these latitudes are uncommon with only 1% of 291 occurrence.

Statistics for latitudes above 30° and below -30° reveal mean values of  $0.99 \pm 0.77$  cm for the southern hemisphere and of  $1.57 \pm 0.81$  for the northern hemisphere. But due to the limitations of the sun-photometry (measurements are only available when solar disk is cloud-free) and to the differences on ship tracks in different latitudes, no additional hemispheric dependence can be investigated.

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#### [Insert Figure 1 here]

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### **3.2 Evaluation of W using global reanalysis models**

Figure 2 (a)-(c) shows differences in *W* between models and MAN data as a function *W* as measured by MAN ( $W_{MAN}$ ). The dashed lines in the plot represent ±10% difference versus measured MAN values while the dot lines represent ±20% differences. Figure 2 (d)-(f) shows *W* from models as a function of *W* as measured by MAN ( $W_{MAN}$ ), 303 where red lines are the least-square fits and the dashed lines the 1:1 line (reference for a 304 perfect agreement). For clarity, we use number density plots in Figure 2. They divide the plot into different pairs of 'x<sub>i</sub>' and 'y<sub>i</sub>' values. In Figures 2 (a)-(c) 'x<sub>i</sub>' are the  $W_{MAN}$  values 305 below 7.0 cm, while 'y<sub>i</sub>' are the differences between global reanalysis and MAN data 306 307 varying between -2.0 and 2.0 cm (there are some outliers with larger deviations omitted for clarity). In Figures 2 (d)-(f) ' $x_i$ ' are again  $W_{MAN}$  while ' $y_i$ ' are the W global reanalysis 308 models estimates, being now both 'x<sub>i</sub>' and 'y<sub>i</sub>' below 7.0 cm. Later, we compute the 309 310 number of occurrences for every pair  $(x_i, y_i)$  and finally, results are plotted on a map, where 311 the scale goes from zero to the maximum number of occurrences.

Table 2 summarizes the main statistics of these evaluations, particularly, the mean, median and standard deviations values of the differences  $W_i - W_{MAN}$  and of the relative difference  $(W_i - W_{MAN})/W_{MAN}$ . Given are also parameters of the classical least-squares linear fit  $y_M = Ax + B$ , where the coefficient A is the slope of the linear fit and the coefficient B is the ordinate intercept. Table 2 also includes the total number of comparisons for each model and sensor, and we note that the differences in number of data are explained by the different periods of measurements available and the different spatial resolutions.

- 319 [Insert Figure 2 here]
- 320 [Insert Figure 3 here]
- 321 [Insert Table 2 here]

Estimates of precipitable water vapor from global reanalysis models are for all sky conditions, while  $W_{MAN}$  is only for clear-skies. Global reanalysis models assimilate many atmospheric parameters including satellite radiances. Assimilated radiances from the visible and near infrared regions are for clear sky conditions; only radiances from the microwave regions under cloudy conditions are useful for assimilations, which are critical for improving model forecast capabilities in these conditions [e.g. *Reale et al.*, 2008].

Models and MAN data are highly correlated ( $R^2$  above 0.87) with slopes of the linear fit very close to unity and abscissas cut-off very close to zero. The models show a very good agreement with MAN, with only a small overestimation that is below 5%. The standard deviations of ~ 15 % between models and MAN implies 5% uncertainties in model estimates of *W* when considering 10 % uncertainties for sun photometry [*Perez-Ramirez et*  *al., 2014*]. The 5% uncertainty for models is supported by Figure 2 (a)-(c) where most of the data fall within the region of  $\pm 10\%$  difference. Deviations from these uncertainties are observed but are assumed as outliers between models and MAN, and probably associated with incorrect MAN data (e.g. possible cloud contamination) or issues with models.

Figure 3 shows the differences in between models and MAN W data as a function of latitude and reflects differences between Tropical, Mid-latitudes and Polar regions: the largest and smallest differences in W are found in the Tropical and Polar Regions, respectively. However, when relative differences  $(W-W_{MAN})/W_{MAN}$  are evaluated, no significant differences with latitude are observed that can be explained by the dependences of W on latitude (Figure 1).

Figure 4 shows the frequency histograms of the differences between model and MAN values of W. The frequency histograms are normal and centered close to zero (they are exactly centered at the mean values of Table 2 and the full width at half maximum (FWHM) are the standard deviations). Therefore, from the results presented here, models based on reanalysis reproduce well-observed values of W over oceans with an approximate accuracy of 10 - 15 %, which reflect the robustness and feasibility of W estimates over oceans under clear sky conditions by global reanalysis models..

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#### [Insert Figure 4 here]

351 **3.3** Evaluation of W using satellite observations

352 Accurate retrievals of W from visible and near infrared satellite observations require a-353 priori cloud-filtering. For MODIS and POLDER cloud-filtering algorithms are applied [e.g. 354 Martins et al., 2002; Levy et al., 2013]; in GOME-2 and SCIAMACHY clouds are removed 355 because bias are introduced in the retrievals of W depending on clouds heights [see Figure 5 356 of du Piesanie et al. 2013]. For AIRS, clouds still affect the microwave radiation and for 357 accurate information clouds need to be removed [Susskind et al. 2003]. Refined algorithms 358 for cloud clearing in AIRS measurements and correct analysis of water vapor retrievals are 359 found in Susskind et al. [2006, 2011, 2014].

Figure 5 (a)-(e) shows differences in *W* between satellite retrievals and MAN with dashed and doted lines representing  $\pm 10\%$  and  $\pm 20\%$  relative difference versus MAN measured values, while Figure 5 (f)-(j) shows *W* from satellite sensors versus  $W_{MAN}$ , where 363 the red lines represent the least-square fits and the dashed lines the 1:1 line. Figure 6 shows 364 the same differences as a function of latitude. Table 2 summarizes again all statistical 365 parameters. For satellite sensors, differences in the number of points (N) available for 366 comparison are explained by the frequency of correlative measurements and by the different spatial resolutions, e.g., MODIS presents a larger data set because there are two 367 368 MODIS instruments on different platforms and it has a higher spatial resolution than the 369 other satellite sensors involved in this study. The period of measurements also has an 370 influence on data availability (SCIAMACHY and POLDER present the lowest number of 371 data because of their shorter operation time).

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# [Insert Figure 6 here]

[Insert Figure 5 here]

374 Figure 5 (a) - (e) reveals departures from the zero line that are consistent with the non-375 unity slopes obtained for the regressions. From these linear fits we also observe slope 376 departures from unity for all the satellite sensors, ranging between 0.88 and 1.06. For the 377 ordinate intercept B there is also variability ranging from  $\sim 0.01$  cm to  $\sim 0.23$  cm. Satellite and MAN data are again highly correlated ( $R^2$  above 0.87) although their relative 378 379 differences are larger than those obtained when comparing with global reanalysis models. 380 All comparisons show outliers with large underestimation/overestimation above  $\pm 2.0$  cm 381 that may be associated with incorrect satellite W values (e.g. possibly affected by cloud 382 contamination) or the natural variability of water vapor during the matchup process. 383 Percentages of data within ±20% of relative differences are 59.6, 69.0, 67.1, 60.4 and 384 85.5% for MODIS, POLDER, SCIAMACHY, GOME-2 and AIRS, respectively; the 385 percentages within ±10 % relative differences are of 33.5, 45.7, 38.4, 30.5 and 55.3 %. 386 However, there are differences in the analysis for each satellite sensor. The differences in 387 measurement techniques, retrieval methodologies and effects of spatial resolutions and 388 viewing geometries of each sensor can cause differences among satellites and MAN.

For the MODIS sensor we show only data for the infrared algorithm. The MODIS infrared retrievals of W overestimate MAN data by ~ 11%, although the median difference is (~ 5 %), indicating that outliers with very high W from MODIS can contaminate the statistics. Over land, estimates of W from MODIS observations have been reported to be about ~ 10-15 % [Albert et al., 2005; Román et al., 20014; Liu et al., 2015; Alradadawi et 394 al., 2018], larger than the  $\sim 5$  % found in this study. But the standard deviations of the 395 differences over oceans and seas showed here of  $\sim 30\%$  suggest that assuming 10 % uncertainty in MAN yields 20% uncertainty in MODIS retrievals in the best case when 396 397 errors are correlated. No statistically significant differences were found between 398 instruments on Terra and Aqua platforms (relative differences of 8% for Terra and 12% for 399 Aqua, and both had 30% standard deviation). Departures from the  $\pm 20\%$  relative 400 differences (Figure 5a) are observed for all the ranges of W. The detailed analyses revealed 401 that approximately 30 % of the data are above 20% relative difference and 11% of data are 402 below -20% relative differences. The analysis was repeated for Tropical, mid latitudes and 403 Polar Regions; no latitudinal dependence of the relative differences was found (Figure 6a). 404 A possible reason for systematic discrepancies between MODIS and MAN could be the 405 assumptions in MODIS retrievals that the ratio between signals inside/outside the absorption band does not depend on surface reflectance. A revision of the radiative transfer 406 407 code might improve the results presented here.

408 POLDER had low differences between satellite estimates and MAN observations, with 409 mean deviations of about 6.7% and standard deviations of about 30%. Over land estimates 410 from POLDER were found to be ~ 15-20 % [Vesperini et al., 1999]. The better agreement 411 over oceans can be associated with the more homogenous surface reflectance that affects 412 the retrievals. But the dependence of the differences with W revealed important features for 413 low values of W (Figure 5b). Actually, for W < 1 cm 49% of the data present relative 414 difference above 20% and 7% of the data with present relative difference below -20%. This 415 dependence of the relative differences with W explains the dependence on latitude seen in 416 Figure 6b, with mean values of the differences of  $-5.6 \pm 13$  % for the Tropics,  $-6.0 \pm 15$  % 417 for mid latitudes and  $23.4 \pm 34.5$  % for Polar Regions. Because POLDER uses a similar 418 measurement strategy to MODIS, differences between instruments and between regions can 419 be explained by differences in the retrieval technique, namely, correction for surface 420 reflectance or the assumption of the constant surface reflectance for all oceanic areas that 421 can be important in Polar regions due to effects of ice and snow.

422 The satellite retrievals based on the DOAS technique present different biases. GOME-2 423 (Figure 5c) overestimates MAN data, with a mean relative difference of  $\sim$ 12.5 % and fairly 424 similar difference between the instrument placed in MetOp-A ( $\sim$  13.2 %) and MetOp-B ( $\sim$  425 9.1 %), while SCIAMACHY (Figure 5d) shows an underestimation of MAN data with a 426 mean relative difference of  $\sim$  -7.2 %. The results obtained here are similar to those obtained 427 from GOME-2 over land [e.g. Antón et al., 2015; Román et al., 2015; Vaquero-Martínez et 428 al., 2018]. The standard deviations of W evaluation over oceans are  $\sim 30$  % and  $\sim 20$  % for GOME-2 and SCIAMACHY, respectively, which implies uncertainties in W of 20% and 429 430 10%, assuming a 10% uncertainty in MAN data. GOME-2 shows departures from  $W_{MAN}$ 431 data for the entire range of W. For W < 1 cm, 38% of the data present relative difference 432 above 20 % while 9% of the data shows relative difference below -20%. Very similar 433 percentages are found for W > 1 cm. These dependence of GOME-2 relative differences 434 explain the dependencies of W with latitudes (Figure 6c), being mean relative differences of  $7.8 \pm 18.9$ ,  $15.9 \pm 29.2$  and  $21.4 \pm 39.6$  % for Tropical, mid-Latitude and Polar Regions. 435 436 respectively, clearly indicating that they are larger for lower values of W. Outliers are observed everywhere, but particularly, for low values of W in the polar regions for GOME-437 438 2 with differences of up to 2 cm, which is more than 200 % and can influence the statistics. 439 These large differences in W between GOME-2 and ground-based measurements are also 440 found over land at these latitudes, with systematic underestimations of W by GOME-2 [e.g. 441 Palm et al., 2010]. Other studies found systematic overestimation of W by GOME-2 for 442 very low values of W [Vaquero-Martínez et al., 2018], typically below 1.0 cm and most 443 frequently found at polar regions. We believe that the variability of surface reflectance in 444 Polar Regions can affects W retrievals. However, SCIAMACHY presents a very similar 445 pattern of the relative differences with W, most of relative differences (~70%) being within 446 the  $\pm 20\%$  uncertainty (Figure 5d). These dependencies of the relative differences also 447 justify the low regional dependences (Figure 6d) which are of  $-8.9 \pm 13.2$ ,  $-16.1 \pm 18.6$  and 448  $-0.04 \pm 19.8$  % for Tropical, mid-Latitude and Polar Regions, respectively. Note the lack of 449 outliers in the Polar Regions, which explains the very good agreement with MAN, and also 450 the better estimation of W over oceans by SCIAMACHY when compared with ground-451 based measurements over land at these latitudes [e.g. Palm et al., 2010]. As for MODIS and 452 POLDER, differences between sensors can be explained by the different assumptions in the 453 retrieval algorithms. We note the large difference in the number of matchups between 454 GOME-2 and SCIAMACHY that can affect the statistics (see Table 2).

455 The best agreement between satellite and MAN data is observed for the AIRS system 456 (Figure 5e), showing an overestimation of 3.1 %. The standard deviation of 17.3 % is within the uncertainties associated with each instrument, e.g., 10 % for both sun 457 photometry and microwave radiometry, respectively. But important relative differences are 458 459 found with W (Figure 6e): for W > 1 cm, 88% of the data are within the  $\pm 20\%$  uncertainty while for W < 1 cm this percentage is reduced to 44%. These dependencies with W explain 460 the regional dependences observed (Figure 6e), with mean relative differences in W of  $3.3 \pm$ 461 462 13.4,  $3.4 \pm 14.9$  and  $16.8 \pm 39.8$  % for Tropical, mid latitudes and Polar Regions. Larger 463 relative differences for low values of W are consistent with the literature in the comparisons 464 between sun-photometry and microwave radiometry and needs for further studies using the 465 same spectral database for both instruments [Perez-Ramirez et al., 2014]. Similar results 466 are found from comparison of AIRS with ground-based measurements over land areas [e.g. Qin et al., 2012; Roman et al., 2016]; with larger values of W from AIRS for land areas 467 468 close to the Artic [Alradadawi et al., 2018]. The better results from AIRS indicate that this 469 instrument is possibly less sensitive to the presence of clouds.

473 Frequency histograms of the differences between satellite sensors and MAN data are 474 given in Figure 7. Both MODIS and POLDER show normal distributions slightly skewed 475 towards positive values, which explains the mean differences of approximately 6 -10 %476 (Table 2). Similar skewness is observed for GOME-2, while SCIAMACHY is skewed 477 towards negative values. Differences among space sensors can be explained by the different assumptions in the retrieval methodologies, by the wavelength-dependence in surface 478 479 reflectance and by the different data sample sizes used due to the different number of 480 collocations. Also, the natural variability of water vapor can influence these findings when 481 comparing measurements of different temporal and sampling resolution and when 482 comparing the optical air mass from the ground and the path of reflected radiance to space sensors. Another important reason for the discrepancies is the assumption of the simplified 483 water vapor transmittance  $T_w = a(m_w W)^b$  used in satellite and sun photometry retrievals, as 484 485 the constants 'a' and 'b' are filter-dependent functions and their calculation depends on the 486 radiative transfer code used. Furthermore, the differences in the retrieved W between using lookup tables and simplified  $T_w$  equation depend on W, and vary between 9% for W > 1 cm 487 488 and up to 25 % for lower values [Pérez-Ramírez et al., 2012]. This dependence on

methodology is supported by the lower relative differences found in MODIS, which uses
look-up tables, and with POLDER that shows the largest discrepancies for Polar regions
with low *W*.

492 Finally, the frequency histogram for AIRS reveals a unimodal size distribution centered 493 at 3.1% and with 17.3 % standard deviation which suggests that AIRS data over oceans 494 present an uncertainty below 10 %. The 3.1 % overestimation found agrees with the general 495 comparison between microwave radiometry and AERONET sun photometry [e.g. Pérez-496 Ramírez et al., 2014], although overestimation increases with low values of W. The low 497 agreement for low values of W is independent of the satellite sensor. Actually, for very low 498 values (W < 0.1 cm) the differences can reach up to 50 % frequently because absolute 499 difference can be of  $\pm 0.04$  cm. This is similar for global reanalysis models (Figure 2). 500 These results imply the need for a minimum accuracy of  $\pm 0.02$  cm for all sensors and 501 methodologies.

502

#### [Insert Figure 7 here]

## 503 4.0 Conclusions

504 In this study we have described the use of the Maritime Aerosol Network (MAN) 505 observations to evaluate precipitable water vapor (W) estimates over oceans as derived by 506 global reanalysis models and satellite sensors. The Maritime Aerosol Network is a very 507 unique observational network and covers a large portion of the oceans (tropics, mid-508 latitudes and polar regions) with the potential of providing information both on aerosols 509 and water vapor. It complements the well-established and credible AERONET network 510 (operating over land) and follows the same operating protocol. MAN measurements started 511 in 2007 and are based on sun-photometry which implies clear-sky conditions. The study 512 presented here has enhanced MAN capabilities for the evaluation of satellite products on 513 remote oceanic areas.

The relative differences between global reanalysis models and MAN are below 15 %, which implies uncertainties in W estimates below 5%, and therefore, points to the usefulness of W estimates by global reanalysis models for atmospheric research and for climate monitoring. On the other hand, for satellite sensor estimates of W, generally 518 differences between MAN and MODIS, POLDER, GOME-2 and SCIAMACHY were 519 below 30% which is significantly larger compared to global models. Differences with 520 latitude have been also observed being the largest for Polar Regions where the lowest 521 values of W were observed; this can be explained because of the different hypothesis in the 522 retrievals, e.g., differences in the assumptions on surface reflectance due to changes in ice 523 areas. AIRS instrument is unique in deriving W and in this study we have demonstrated the 524 best agreement with MAN compared to other satellite sensors, having uncertainties below 525 10%. Our results indicate that there is a need for a joint effort to comprehensively address 526 the inconsistencies among the remote sensing techniques used with different satellite 527 sensors and ground-based instruments in order to reduce uncertainties associated with the 528 retrievals.

529 The results of this study are unique since they provided information on W for clear sky 530 conditions over a large portion of the oceans. For cloudy conditions, different types of 531 observations are needed (e.g., radiosondes). Measurements by active remote sensing such 532 as Raman lidar or radars would also allow advances in the understanding of water vapor 533 over oceans during extreme weather conditions. Such measurements should be of great 534 interest for further advances in modeling reliable estimates of W and also in the evaluation 535 of future estimates of W by space-borne sensors under cloudy conditions.

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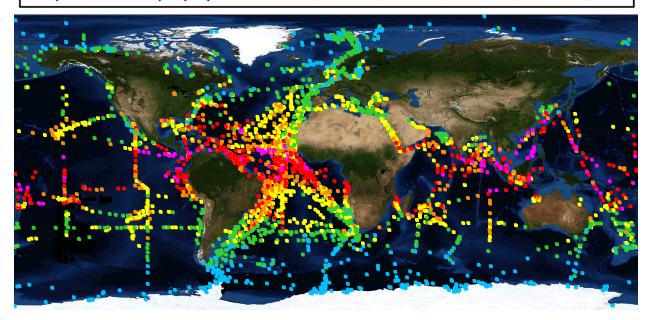
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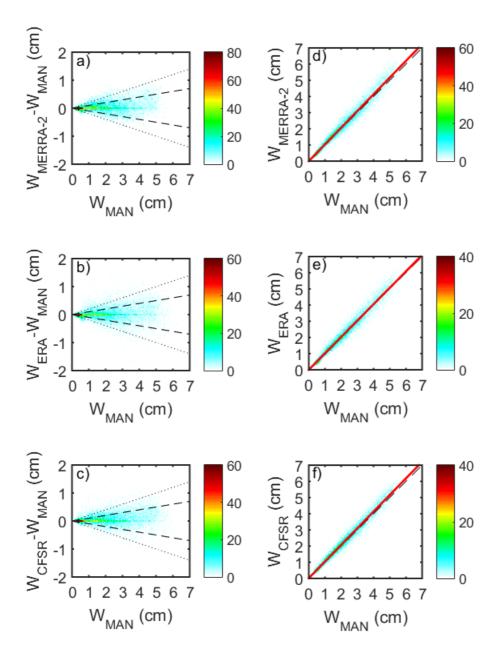
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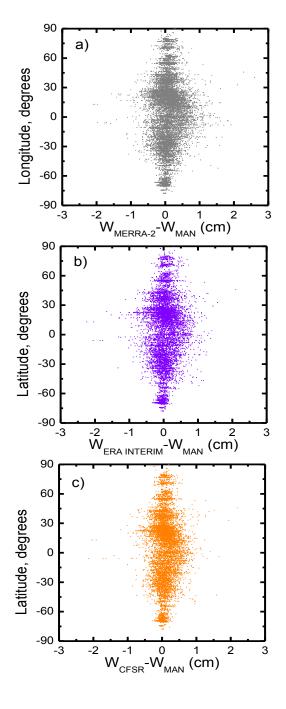
Precipitable Water Vapor (cm) Level 2.0: < 1.0; 1.0 to 2.0; 2.0 to 3.0; 3.0 to 4.0; 4.0 to 5.0; >5.0



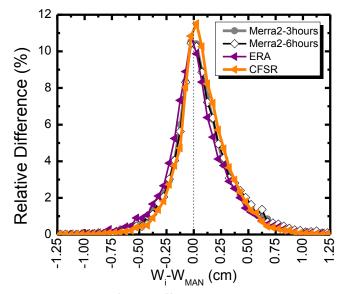
**Figure 1:** Level 2.0 Marine Aerosol Network global coverage, showing the cruise tracks and corresponding daily averages of precipitable water vapor (W). Squares representing the average daily sampling locations are color-coded with respect to W values, i.e. blue for W < 1.0 cm, green for  $1.0 \le W < 2.0$  cm, yellow for  $2.0 \le W < 3.0$  cm, orange for  $3.0 \le W < 4.0$  cm, red for  $4.0 \le W < 5.0$  cm, and purple for  $W \ge 5.0$  cm.



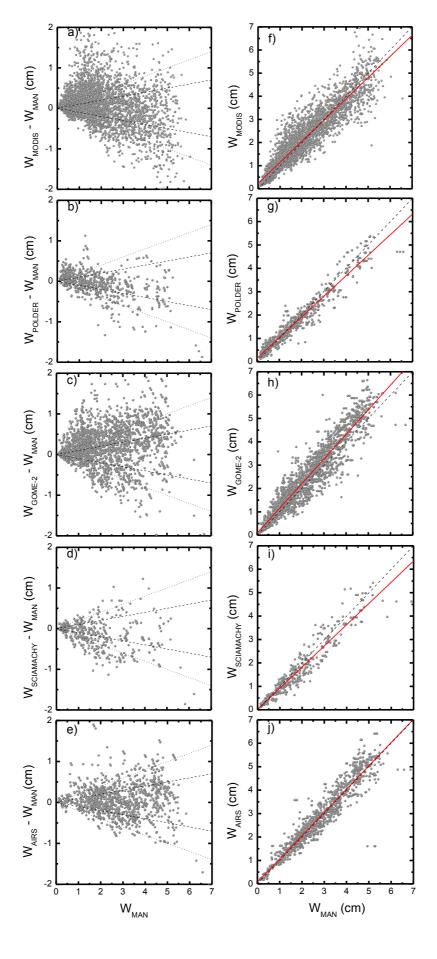
**Figure 2:** Number density plots of (a)-(c) differences in *W* between models and MAN data as a function *W* measured by MAN ( $W_{MAN}$ ). Dashed lines represent ±10% difference versus measured  $W_{MAN}$  while the dot lines represent ±20% differences. (d)-(f) *W* by global reanalysis models versus  $W_{MAN}$ . Red lines are the results of the least-square fits and dashed lines are the 1:1 line



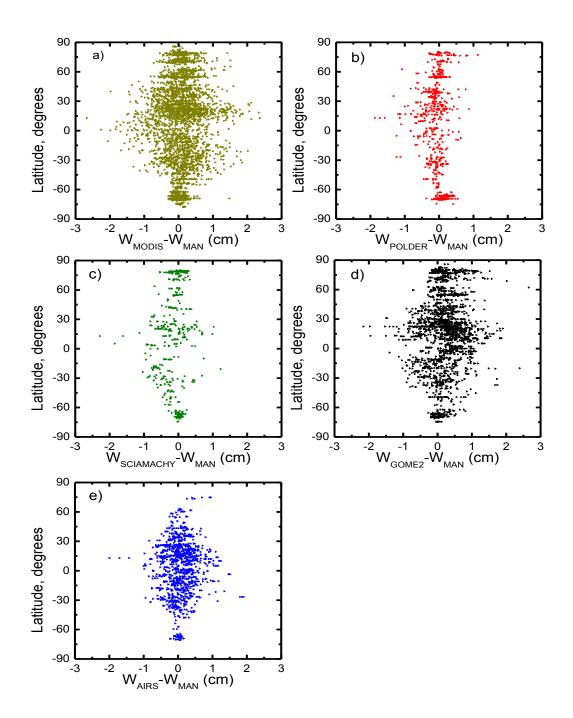
**Figure 3:** Differences with latitude in precipitable water vapor (*W*) between global reanalysis models and Marine Aerosol Network (MAN) data



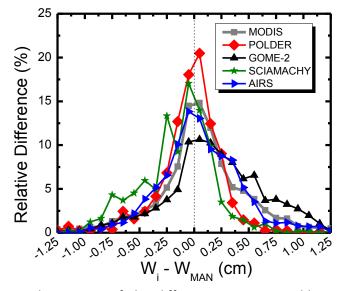
**Figure 4:** Frequency histograms of the differences in precipitable water vapor (W) between Marine Aerosol Network (MAN) and global models. Total number of data for each dataset are given in Table 2.



**Figure 5:** (a) – (e) Differences in precipitable water vapor between satellite sensors and Marine aerosol Network data ( $W_{MAN}$ ) as function of  $W_{MAN}$ . Dash lines represents 10% uncertainties and dotted lines represents 20% uncertainties. (f)-(j) Precipitable water vapor from satellite sensors as function of  $W_{MAN}$ . Dashed lines represent 1:1 line, while red lines are the values from the least-squares fits.



**Figure 6:** Differences with latitude in precipitable water vapor (W) between satellite sensors and Marine Aerosol Network (MAN) data.



**Figure 7:** Frequency histograms of the differences in precipitable water vapor W between Marine Aerosol Network (MAN) and satellite sensors. Total number of data for each dataset are given in Table 2.

	Name	Institute/ Platform	Spatial Resolution	Data Period	W Estimation Approach	References	
Global Model	MERRA-2	NASA GMAO	0.50° x 0.625° – 72 level heights	1980 -	Reanalysis based on the assimilation of	Gelaro et al., 2017	
	ERA Interim	ECMWF	0.75° x 0.75° - 40 levels heights	present	meteorological data obtained from	Berrisford et al., 2011	
	CFSR	NCEP	0.50° x 0.50° - 60 levels height		different satellite sensors.	Saha et al., 2010	
Satellite Sensor	MODIS	Terra and Aqua	Infrared Approach 5 x 5 km <sup>2</sup>	1999 - present	Ratio of signals in the infrared (absorption and no	Kaufman and Gao, 1992	
	POLDER	PARASOL	$50 \times 50 \text{ km}^2$	2004 - 2013	absorption water vapor bands)	Vesperini et al., 1999	
	GOME-2	MetOp-A and MetOp-B	80 x 40 km <sup>2</sup>	2006 - present	DOAS technique that fits differential structures of the	<i>Nöel et al.,</i> 1999, 2004,	
	SCIAMACHY	ENVISAT	60 x 30 km <sup>2</sup>	2002- 2012	measured spectral reflectance	2008	
	AIRS	Aqua	50 x 50 km <sup>2</sup>	2002 - present	Microwave Radiometry	Susskind et al., 2003	

<u>**Table 1:**</u> Summary of global models and satellite sensors whose precipitable water vapor (W) datasets are evaluated in this paper using MAN measurements.

	Global Model / Satellite Sensor	Ν	W <sub>i</sub> - W <sub>MAN</sub> (cm)		$\frac{(W_i - W_{MAN})}{(\%)}$			$W_i = AW_{MAN} + B$			
			Mean	STD	Median	Mean	STD	Median	Α	B (cm)	R2
el	Merra-2	12523	0.07	0.30	0.04	2.8	14.1	2.6	1.03	-0.001	0.957
Model	ERA Interim	8520	0.03	0.29	0.01	0.9	14.7	0.7	1.01	-0.001	0.956
Μ	CFSR	8760	0.08	0.26	0.06	3.9	13.0	3.5	1.03	0.014	0.967
	MODIS	3920	0.08	0.48	0.05	10.8	30.9	5.0	0.92	0.23	0.874
e	POLDER	820	-0.04	0.31	-0.01	6.7	29.0	-0.3	0.88	0.15	0.945
Satellite Sensor	GOME-2	1706	0.21	0.49	0.18	12.4	28.3	10.3	1.06	0.09	0.897
ate ens	SCIAMACHY	487	-0.16	0.36	-0.10	-7.2	19.7	-7.3	0.91	0.01	0.920
ŇŇ	AIRS	1280	0.05	0.42	0.03	3.1	17.3	1.5	0.99	0.07	0.899

**<u>Table 2</u>**: Statistical parameters for the evaluations of precipitable water vapor (W) of different global models and satellite sensors versus the Marine Aerosol Network (MAN). The total number of points 'N' for the intercomparisons of model/satellite sensor with MAN is given. Mean, median and standard deviations (STD) are included. Also the parameters of the linear fits are provided, being the coefficient 'A' the slope of the linear fit and 'B' is the ordinate intercept.