https://doi.org/10.46813/2021-131-041 MODELING OF ICRH SLOW WAVE PROPAGATION AND ABSORPTION IN WENDELSTEIN 7-X STELLARATOR

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The propagation and absorption of slow waves in the plasma of the Wendelstein 7-X stellarator was investigated by the ray tracing. The aim of the work was to obtain a qualitative picture of the penetration into the plasma of a wave excited by the potential difference between the antenna conductors and the antenna box. For this, a ray code was used, which performs calculations in the magnetic configuration of the stellarator obtained by the VMEC code. A strong influence of the type of magnetic configuration ("higher mirror", "lower mirror", or standard configuration) on the propagation and absorption of a slow wave was found.

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INTRODUCTION

Installation of Ion Cyclotron Resonance Heating (ICRH) system is foreseen in the Wendelstein 7-X (W 7-X) stellarator in the near future. The main goal of the ICRH is to create sufficiently energetic ions in order to investigate their confinement in the optimized stellarator at fusion-relevant conditions. The main features of the W 7-X ICRH system are given in [1]. It should be noted that, when studying the interaction of the ICRH antenna with the W 7-X plasma, the main attention was paid to the coupling and absorption of the fast wave. The electric field of this wave is polarized in the poloidal direction at the plasma edge. It is fast wave (FW) that is launched by the current-carrying conductors of the ICRH antenna. The other part of the radio frequency (RF) power is transmitted to the plasma due to toroidal RF electric field. This electric field is due to the oscillating differences of the potentials in the gap between the unshielded current-caring conductors and in the gaps between the conductors and the grounded antenna box. The essential part of this RF power is radiated as the slow wave (SW), which has the electric field component in the toroidal direction.

Therefore it is very important to find out location of the SW penetration and absorption regions. It is impossible to carry out this problem analytically due to complicate 3D structure of the torsatron magnetic surfaces and confining field. Moreover, the numerical modeling of full wave problem faces insuperable difficulties caused by 3D inhomogeneity and problem stiffness. On the other hand, for typical plasma periphery parameters (electron density $n_e \sim 10^{12} cm^{-3}$ and electron temperature $T_e \sim 25 eV$ [2]) the SW wavelength is much less than the average plasma radius. Therefore geometrical optics approximation is valid for this analysis.

1. BASIC EQUATIONS

Propagation of SW in the W 7-X plasma was studied using the ray tracing code. The VMEC equilibrium data were used in the calculations. A "standard" magnetic configuration [3] was used in most calculations (Fig. 1).



Fig. 1. Last closed magnetic surface of W 7-X (standard configuration) obtained by VMEC code

A "lower-mirror" configuration and a "higher-mirror" configuration were used for comparison. The code solves the equations

$$\frac{d\vec{r}}{dt} = \frac{\partial D_R}{\partial \vec{k}} / \frac{\partial D_R}{\partial \omega}, \quad \frac{d\vec{k}}{dt} = -\frac{\partial D_R}{\partial \vec{r}} / \frac{\partial D_R}{\partial \omega}, \quad (1)$$

where \vec{k} is the wave vector, ω is the wave frequency, *t* is time, \vec{r} is the ray spatial coordinates and $D(\omega, \vec{r}, \vec{k}) = 0$ is the SW dispersion equation in the form

$$D = D_R + iD_I = \varepsilon_1 N_\perp^2 + \varepsilon_3 (N_\parallel^2 - \varepsilon_1) = 0.$$
 (2)

Here $N_{\perp} = ck_{\perp} / \omega$, $N_{\parallel} = ck_{\parallel} / \omega$, $k_{\perp} = (k^2 - k_{\parallel}^2)^{1/2}$ and

$$k_{\parallel} = \vec{k} \cdot \vec{B} / B = (b_R k_R + b_{\varphi} k_{\varphi} + b_Z k_Z).$$
 (3)

Cylindrical coordinates with axis along the stellarator axis are used. Components of plasma dielectric permeability tensor ε_1 and ε_3 are defined as

$$\varepsilon_{1} = 1 - \sum_{\alpha} \frac{\omega_{p\alpha}^{2}}{\omega^{2} - \omega_{c\alpha}^{2}} + \sum_{\alpha} i \sqrt{\frac{\pi}{8}} \frac{\omega_{p\alpha}^{2}}{|k_{||}| v_{T\alpha} \omega} \exp\left[-\frac{(\omega - \omega_{c\alpha})^{2}}{2k_{||}^{2} v_{T\alpha}^{2}}\right],$$
(4)

$$\varepsilon_{3} = 1 + \frac{\omega_{pe}^{2}}{k_{\parallel}^{2} v_{Te}^{2}} \left[1 + i\sqrt{\pi} z_{e} W(z_{e}) \right] + i\varepsilon_{3coll}, \qquad (5)$$

where $\omega_{p\alpha}^2 = 4\pi e^2 n_\alpha / m_\alpha$ is plasma frequency of α ions, $\alpha = H, D, He^3, \ \omega_{c\alpha} = eB/cm_\alpha$ is α ions cyclotron frequency, $v_{T\alpha} = \sqrt{T_\alpha / m_\alpha}$ is α ions thermal velocity, $\omega_{pe}^2 = 4\pi e^2 n_e / m_e$ is plasma frequency of electrons, $v_{Te} = \sqrt{T_e / m_e}$ is thermal velocity of electrons, $z_e = \omega / \sqrt{2} |k_{\parallel}| v_{Te}$, $W(z_e)$ is plasma dispersion function, $\varepsilon_{3coll} = \omega_{pe}^2 v_{eff} / \omega^3$ and v_{eff} is effective collisional frequency of electrons $v_{eff} = \frac{4}{3} \sqrt{\frac{2\pi}{m_e}} \frac{e^4 Z^2 \Lambda n_e}{T_e^{3/2}}$, Λ is the Coulomb logarithm.

Power associated with certain ray Q is defined as $Q = Q_0 e^{-\Gamma}$, where Q_0 is the initial RF power at the ray starting point and $\Gamma = \int_0^t \gamma \, dt$,

$$\gamma = -\frac{D_I}{\partial D_R / \partial \omega}, \ D_I = \operatorname{Im} \varepsilon_1 \left(N_{\perp}^2 - \varepsilon_3 \right) + \operatorname{Im} \varepsilon_3 \left(N_{//}^2 - \varepsilon_1 \right). \ (6)$$

When calculating Q along the ray, electron Landau damping, ion cyclotron damping and collisional damping were taken into account.

The plasma density (and temperature) profiles were set as

$$n(\psi) = \begin{cases} n_0 \frac{\exp(\xi) - \exp(A\xi\psi)}{\exp(\xi) - 1}, & \psi \le 1\\ n_b + (n_x - n_b) \exp[(1 - \psi)/\delta], & \psi > 1 \end{cases},$$
(7)

where ψ is the flux surface label ($\psi = 0$ at the plasma center, $\psi = 1$ at the last closed magnetic surface (LCMS)), A is stapling coefficient, n_x is density at the LCMS, ξ is parameter ($\xi = 4$ for density and $\xi = -2$ for temperature) and δ is the e-folding length outside the LCMS. The following set of parameters has been used: $B_0=25.9 \text{ kGs}$, $n_{e0}=7\cdot10^{13} \text{ cm}^{-3}$, $n_{eb}=5\cdot10^{11} \text{ cm}^{-3}$, $n_H/n_e = 0.6$, $n_D/n_e = 0.3$, $n_{He3}/n_e = 0.01$, $T_{e0} = 1 \text{ keV}$, $T_{eb} = 5 \text{ eV}$, $f = \omega/2\pi = 25 \text{ MHz}$ for most part of calculations.

2. ICRH ANTENNA AND SW SPECTRUM

Simplified layout of the W 7-X unshielded antenna [1, 4] is shown in Fig. 2.



Fig. 2. Layout of the W 7-X ICRH antenna

Two poloidal antenna straps and grounded antenna box are seen in Fig. 2. The gaps *b* between antenna straps and box, numbered as 1 (left) and 3 (right), are 3 *cm* each. The gap *a* between straps, numbered as 2, is 10 *cm*. Width of each strap is 9 *cm* and length *L* is 82 *cm*. Only (0 0) relative phasing of antenna straps is considered in this paper. With this relative phasing, the input voltage $V_A = -V_B = V$. The voltage V_A (V_B) decreases (increases) to the grounded end of the strap linearly. Such voltage distribution causes electric field E_{φ} in the gaps (see Fig. 3).

$$E_{\phi 1} = -\frac{V}{b} \left(\frac{z}{L} + \frac{1}{2} \right),$$

$$E_{\phi 2} = -\frac{V}{a}, \qquad -\frac{L}{2} \le z \le \frac{L}{2},$$

$$E_{\phi 3} = \frac{V}{b} \left(\frac{z}{L} - \frac{1}{2} \right).$$
(8)



Fig. 3. Toroidal electric field distributions in poloidal direction (V = 1)

 E_{φ} distributions were expanded into Fourier series of poloidal (*m*) and toroidal (*l*) angles (Figs. 4, 5).



Fig. 4. Poloidal spectrum of E_{φ} radiated by W 7-X ICRH antenna at (0 0) relative phasing of the straps



Fig. 5. Toroidal spectrum of E_{φ} radiated by W 7-X ICRH antenna at (0 0) relative phasing of the straps

Most of the RF power is in poloidal harmonics with $|m| \le 4$. At the same time, the toroidal spectrum is rather wide (see Fig. 5).

As is known, when the condition

$$0.2 \le z_e \le 2 \tag{9}$$

is satisfied, SW are strongly absorbed by plasma electrons due to Landau damping. By analogy with investigations of FW heating in tokamaks, one could define $k_{||}$ as $k_{||} = (l + mt)/R$ [1, 4] (*R* is the big radius of the torus, t is the rotational transform angle, $t \approx 0.9$ at the plasma edge) and conclude from (9) that the harmonics with $l > l_{LD}$ are strongly damped. Here $l_{LD} \approx \omega R/3v_{Te}$. Assuming that near the antenna $T_e \approx 25$ eV and R = 620 cm, it turns out $l_{LD} = 150$. But, as ray tracing calculations show, this approach is inapplicable for a slow wave. In expression (3), along with $k_{\phi}b_{\phi}$, an important role is played by the term $k_R b_R$. Therefore, the propagation of harmonics with $l \ge l_{LD}$ is possible. Below, each combination of poloidal *m* and toroidal *l* harmonics was traced independently.

3. RAY TRACING CALCULATIONS

The W 7-X ICRH antenna is located at φ =7.4° from minor cross-section, where magnetic surfaces are beenshaped. It is antenna port AEE 3.1. Antenna angular length in toroidal direction is $\Delta \varphi = 3^{\circ}$ or 0.52 rad. To start calculation of any specific ray, the initial values $\vec{r}_0 = (R_0, \varphi_0, z_0)$ and $\vec{k}_0 = (k_{R0}, l_0, k_{z0})$ must be determined. The representative values $l_0 = \pm 50, \pm 215, \pm 340$ were used. The value of k_{z0} was defined as $k_{z0} = \frac{m_0}{\overline{a}} \cos[arctg(z_0/R_0)]$, where $m_0 = 3$, $|z_0| \le 40 \, cm$ and $\overline{a} = 55 \, cm$ is a mean minor radius. This value has a little effect on calculation results. The value of R_0 was determined from the condition $\psi_0 = 1.134$. With this value of ψ_0 , plasma density $n_e \approx 5 \cdot 10^{12} \, cm^{-3}$ at the starting points.

Further, the initial value of k_R was determined from the dispersion equation in the form

$$k_{R0}^{2} \left[\varepsilon_{1} \left(1 - b_{R}^{2} \right) + \varepsilon_{3} b_{R}^{2} \right] + 2k_{R0} b_{R} \left(k_{\phi 0} b_{\phi} + k_{z0} b_{z} \right) \left(\varepsilon_{3} - \varepsilon_{1} \right) + \\ + \varepsilon_{1} \left[k_{\phi 0}^{2} + k_{z0}^{2} - \left(k_{\phi 0} b_{\phi} + k_{z0} b_{z} \right)^{2} \right] + \varepsilon_{3} \left(k_{\phi 0} b_{\phi} + k_{z0} b_{z} \right)^{2} - \\ - \frac{\omega^{2}}{c^{2}} \varepsilon_{1} \varepsilon_{3} = 0.$$

More informative for understanding the further propagation of the SW are the components $k_{\perp 0}$ and $k_{/\rho}$. It is worth to note that the sign of $k_{\perp 0}$ is determined by the sign of l_0 and the sign of $k_{/\rho}$ depends only on the root number. Moreover, $|k_{/\rho}|$ practically does not depend on l_0 sign and root number.

In order to consider SW propagation, the antenna surface was divided into fifteen parts. There were three rows with coordinates $\varphi_0 = 0.103$, 0.129, 0.155 in the toroidal direction and five rows with coordinates $z_0 = 0$, ± 20 ; ± 40 cm in the poloidal direction. Six rays with different l_0 were traced at each point.

Typical dependencies of ray parameters are shown in Figs. 6-8. The ray displacement from initial magnetic surface (see Fig. 8) is quite small $\nabla \psi \approx 0.045$, what in terms of mean minor radius is $\Delta \overline{a} \approx 2.5 \ cm$.



Fig. 6. Ray coordinates vs time along the ray for $\varphi_0=0.103$, $z_0=0.0$ cm, $l_0=-50$



Fig. 7. Variation of N_{\parallel} vs time along the ray for $\varphi_0=0.103, z_0=0.0 \text{ cm}, l_0=-50$



This is very small compared to the displacement in the toroidal direction $\Delta(R\phi)\approx 110$ cm (see Fig. 6). The change in *R* (see Fig. 6) is mainly due to the change in the shape of the magnetic surfaces when the ray moves along the torus. The variation of N_{\parallel} along the ray (see Fig. 7) is inherent in a slow wave. A similar change occurs in the low hybrid frequency band [5]. It is determined by the change in the magnitude of the magnetic field along the ray.



Fig. 9. Equatorial projection of rays with $l_0=215$ (red) and $l_0=-215$ (black). The starting point is marked with a cross ($\varphi_0=0.129$, $z_0=0.0$ cm)

As it is shown in Fig. 9, rays with different sign of l_0 propagate in different toroidal directions. Moreover, red ray shifts to the lower density plasma and black ray shifts in the opposite direction. The larger the absolute value of the initial toroidal number, the further the SW moves away from the antenna in the toroidal direction and directions of $\pm \nabla \psi$.



Fig. 10. Dependencies of R on time along the ray for different values of l_0 ($\varphi_0=0.129$, $z_0=0.0$ cm)



Fig. 11. Variation of N_{\parallel} and ε_1 vs time along the ray for $\varphi_0 = 0.129$, $z_0 = 0.0$ cm, $l_0 = 215$

The calculations performed showed that SW do not penetrate into the bulk plasma. Collisional absorption and Landau damping of this waves in the peripheral plasma is negligibly small. Rays were terminated in the vicinity of $\varepsilon_1 - N_{//}^2 \approx 0$ regions, where SW converts into FW (see Fig. 11).

4. MAGNETIC CONFIGURATION EFFECTS

In order to find out whether the magnetic configuration of the stellarator affects the propagation of a SW, calculations were carried out in the configurations "lower mirror" (LM) and "higher mirror" (HM) [3]. It was found that with a change in the magnetic configuration, not only the propagation, but also the absorption of the SW changes greatly (Fig. 12).

For cases HM, $l_0 = -340$ and LM, $l_0 = 340$, the waves do not penetrate the plasma at all. In addition, for some rays, strong Landau damping was found (Fig. 13). In this case, the calculation was stopped because of Im $\varepsilon_3 \sim \operatorname{Re} \varepsilon_3$ or Im $D \sim \operatorname{Re} D$.



Fig. 12. Variation of ψ vs time along the ray for different magnetic configurations



 $l_0=340$, HM configuration

CONCLUSIONS

The features of SW propagation and absorption in the W 7-X plasma were investigated. It was revealed that these waves penetrate poorly the plasma core and propagate along the plasma periphery above all. Some part of the radiated as a SW RF power is absorbed by electrons due to Landau damping. Some part is absorbed through the resonance $\varepsilon_1 = 0$. But the most part is converted to FW near the antenna region.

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МОДЕЛИРОВАНИЕ РАСПРОСТРАНЕНИЯ И ПОГЛОЩЕНИЯ МЕДЛЕННОЙ ВОЛНЫ ПРИ ИОННОМ ЦИКЛОТРОННОМ НАГРЕВЕ В СТЕЛЛАРАТОРЕ ВЕНДЕЛЬШТЕЙН 7-Х

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Распространение и поглощение медленной волны в плазме стелларатора Вендельштейн 7-Х было исследовано методом лучевых траекторий. Цель работы – получение качественной картины проникновения в плазму волны, возбуждаемой за счет разности потенциалов между проводниками антенны и антенным боксом. Для этого использован лучевой код, который выполнял расчеты в магнитной конфигурации стелларатора, полученной кодом VMEC. Обнаружено сильное влияние вида магнитной конфигурации (усиленные гофры, уменьшенные гофры или стандартная конфигурация) на распространение и поглощение медленной волны.

МОДЕЛЮВАННЯ ПОШИРЕННЯ ТА ПОГЛИНАННЯ ПОВІЛЬНОЇ ХВИЛІ ПРИ ІОННОМУ ЦИКЛОТРОННОМУ НАГРІВАННІ В СТЕЛАРАТОРІ ВЕНДЕЛЬШТЕЙН 7-Х

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Поширення і поглинання повільної хвилі в плазмі стеларатора Вендельштейн 7-Х було досліджено методом променевих траєкторій. Мета роботи – отримання якісної картини проникнення в плазму хвилі, що збуджується за рахунок різниці потенціалів між провідниками антени і антенним боксом. Для цього використаний променевий код, який виконував розрахунки в магнітній конфігурації стеларатора, що отримана кодом VMEC. Виявлено сильний вплив виду магнітної конфігурації (посилені гофри, зменшені гофри або стандартна конфігурація) на поширення і поглинання повільної хвилі.