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## ASSESSING MAGNETIC IRON OXIDE NANOPARTICLES PROPERTIES UNDER DIFFERENT THERMAL TREATMENTS

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### Abstract

Magnetic nanoparticle structures have been examined as potential carrier vehicles and substrates in a wide range of applications where they undergo mechanical, chemical and/or thermal manipulation to allow for their modification, conjugation and transport. For safe and effective use, it is imperative to not only measure the initial physicochemical and structural properties of nanomaterials, but also identify and quantify any property changes related to a loss of chemical and/or physical integrity during processing and usage conditions. In this study an assessment of iron oxide magnetic nanoparticle thermal stability using modulated differential scanning calorimetry (mDSC) and a controlled-heating system is conducted on two types of iron oxide nanoparticles: maghemite ( $\text{Fe}_2\text{O}_3$ ; 500 nm) with silanol surface functional groups and magnetite ( $\text{Fe}_3\text{O}_4$ ; 200 nm) with primary amine terminated alkoxysilane surface functional groups. Modulated differential scanning calorimetry (mDSC) results revealed an endothermic peak at 388 K for both types of nanoparticles indicating possible molecular rearrangement within the structure. To confirm this result, iron oxide nanoparticles were heated while in aqueous suspensions at discrete temperatures ranges from 303 to 403 K. Calorimetry, FT-IR spectroscopy, and dynamic light scattering measurements were used to examine changes in the chemical and physical stability of the suspensions. Morphological characteristics were evaluated using optical microscopy, transmission electron microscopy, and atomic force microscopy. Results showed that

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4 the chemical and morphological structure of the nanocomposite is critical in determining the  
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6 thermal performance of the iron oxide nanoparticles. Amine-terminated silane functionalized  
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8 magnetite nanoparticles were highly susceptible to morphological and surface-chemistry changes  
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10 starting at ca. 353 K. Conversely, silanol functionalized maghemite nanoparticles were shown to  
11  
12 be stable in terms of morphology and chemical structure up to 403 K. Micrographs demonstrated  
13  
14 variations in magnetic domains distribution after exposing the nanoparticles to thermal  
15  
16 treatments, confirming the results obtained through mDSC and FT-IR measurements.  
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21 *Keywords:* iron oxide magnetic nanoparticles; thermal stability; nanoparticle aggregation;  
22 nanocomposites; modulated differential scanning calorimetry  
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## 26 **1. Introduction**

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28 Magnetic nanoparticles are used in a wide range of applications, including drug delivery,  
29  
30 magnetic hyperthermia, material separations, energy harvesting and storage, and imaging  
31  
32 techniques [1-3]. Effectively utilizing magnetic nanoparticles in these applications requires  
33  
34 optimal dispersion and stabilization of the nanoparticles in solution. One approach to accomplish  
35  
36 magnetic nanoparticle stabilization and dispersion in solution is the use of a core-shell  
37  
38 nanostructure where a magnetic core is surrounded by shell components attached via physical  
39  
40 adsorption, covalent bonds and/or electrostatic interactions. The shell-forming compounds, also  
41  
42 known as capping agents or ligands, include silica, surfactants, fatty acids, lipids, proteins, and/or  
43  
44 polymers [3-5]. One type of magnetic nanoparticle system, aggregates of magnetic nanoparticles  
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46 (also known as mosaic, cluster, colloidal nano-assembly or nanoflower structures) [6], has  
47  
48 recently gained attention as a nanoscale platform to increase heat generation (versus single-core  
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50 magnetic nanoparticles) during magnetic hyperthermia therapy [7], to remove toxic compounds  
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52 in environmental remediation applications [8], to manipulate cells and achieve site-specific target  
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4 using *in vitro* or *in vivo* techniques [9, 10], and to serve as potential carriers of molecular imprinted  
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6 polymers [11].

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9 One major area of research using magnetic nanoparticles is the biomedical and nanomedicine  
10  
11 field [12, 13]. For example, magnetic nanoparticles (MNPs) can be used in biomedical imaging  
12  
13 as high contrast agents for magnetic resonance imaging [14-16]. In addition to providing  
14  
15 improved imaging capabilities, the clustered nature of MNPs can provide an enhanced magnetic  
16  
17 moment and higher separation force under the presence of an external magnetic field as compared  
18  
19 to the single core magnetic nanoparticles [17]. Depending on their composition and structure, the  
20  
21 inherent superparamagnetic properties, along with nanoparticle manipulation and separation  
22  
23 under the influence of an external magnetic field, are strong advantages of these systems and are  
24  
25 the focus of current studies on the development of MNPs [18-21] .

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27  
28 In the field of nanotechnology, several applications take advantage of the enhanced magnetization  
29  
30 and imaging/contrast properties of MNPs. For instance, magnetic hyperthermia treatment is  
31  
32 improved by using MNPs due to an increase in the intrinsic loss power of the nanoparticles, which  
33  
34 is attributed to disordered spins within the multicore nanoparticle [7]. Similarly, heat generation  
35  
36 can be produced in MNPs under an AC magnetic field due to Néel-like relaxation characteristics,  
37  
38 leading to implementation in magnetic hyperthermia and sensor development [22]. Likewise,  
39  
40 heating performance and heat dissipation can be enhanced by using chain-like magnetic  
41  
42 nanoparticle arrangements under the presence of an external magnetic field [23], or by using  
43  
44 magnetic nanocubes, instead of spheres, as the core material [24].

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46  
47 Metallic nanomaterials are well-known to enhance the heat transfer rate in a variety of systems,  
48  
49 including heat exchangers, pipe flow, and heat pipes [25-29]. Magnetic nanoparticles dispersed  
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51 in aqueous solutions—traditionally referred to as ferrofluids or nanofluids—have been shown to  
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4 enhance the rate of heat transfer. For example, Heidary et al. demonstrated an increase of 76%  
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6 in the rate of heat transfer when using a ferrofluid at 0.1 nanoparticle volume fraction and a  
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8 Hartmann number of 30 in a single channel flow [30]. Utilization of ferrofluids as the working  
9  
10 fluid in a heat exchanger increased Nusselt number as the magnetic field was increased, and an  
11  
12 increase in the overall thermal performance of traditional heat exchangers using various  
13  
14 geometries was observed [31]. Numerical simulations also show that single-core magnetic  
15  
16 materials, including magnetite, cobalt ferrite, and manganese zinc-ferrite, cause an increase of the  
17  
18 average Nusselt number and the Rayleigh number as the nanoparticle volume fraction increases  
19  
20 in natural convective transfer flows [32]. Less entropy generation occurs at high volume fraction  
21  
22 of nanoparticles and higher Hartmann numbers [33], making nanoparticle thermal stability highly  
23  
24 critical to the thermal performance. Although the majority of studies focus on heat transfer  
25  
26 enhancement caused by single-core magnetic materials, recent studies suggest that core-shell  
27  
28 magnetic nanoparticles with high specific loss power increase the efficiency of magnetic thermal  
29  
30 induction [34]. Therefore, there is a need to understand how thermal treatment affects the stability  
31  
32 and properties of surface-functionalized magnetic nanoparticles.

33  
34 To fully capture the potential of MNPs, material properties, stability, and engineering behaviors  
35  
36 need to be better understood—especially as related to the synthesis method and surfactant or  
37  
38 coating type. Chemical modifications using a silica matrix [29, 35], crosslinking agents [36],  
39  
40 zwitterionic ligands [37], or polymersomes encapsulation [20], have been used to produce stable  
41  
42 MNPs. Recently, it was demonstrated that surfactant material and mass are key parameters on the  
43  
44 preparation of MNPs with high stability and magnetization dispersed in water [21]. Once stable  
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46 MNPs are synthesized, there are many potential uses of MNPs in technological applications  
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48 including sensors, drug delivery vehicles, and the aggregation/stability of stimuli-responsive  
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4 coated MNPs under different environments including pH, temperature, and solvent quality [38-  
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7 41]. The significant growth of interest in MNPs combined with the enhanced manipulation and  
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9 engineering applications over the past few years motivates a better understanding of the MNPs  
10  
11 properties.

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14 This study seeks to analyze the effects of thermal treatments on the stability and performance of  
15  
16 core-shell magnetic nanoparticles with distinctive shell components. The primary objective of  
17  
18 this effort is to present thermal testing methods to evaluate the physicochemical and  
19  
20 morphological properties of two types of commercially available MNPs, with silanol and primary  
21  
22 amine surface functional groups. This experimental study exposes these nanoparticle structures  
23  
24 to a series of controlled thermal treatments and analyzes the resulting impacts on nanoparticle  
25  
26 size, morphology, and chemical composition. Thermal characteristics of each MNP were assessed  
27  
28 using thermogravimetric analysis (TG) and modulated differential scanning calorimetry (mDSC).  
29  
30 Dispersibilities and dispersion stabilities of these magnetic nanostructures—a critical aspect for  
31  
32 colloidal suspensions—were evaluated before and after different thermal treatments with  
33  
34 dynamic light scattering. Moreover, MNP morphologies were characterized pre- and post-thermal  
35  
36 treatment using transmission electron microscopy, atomic force microscopy, and optical  
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38 microscopy. The impacts of thermal treatment on the magnetic properties of the magnetic  
39  
40 nanoparticles were also examined with magnetic force microscopy. Chemical compositions  
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42 before and after thermal treatments were assessed using FT-IR spectroscopy and related to  
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44 changes in changes in morphology and thermal properties due to breakdown of the shell structure.  
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## 52 **2. Experimental Section**

### 53 *2.1. Materials*

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4 Surface-modified MNPs with distinct particle sizes and surface functionalities were used as-  
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6 received (50 mg/mL suspensions) from Chemicell, GmBH (Germany). Different surface  
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8 chemical functional groups surrounds aggregates of iron oxide nanoparticles i) with silanol  
9  
10 terminal functional for the SiMAG-Silanol samples (500 nm, maghemite ( $\text{Fe}_2\text{O}_3$ )) and ii) with  
11  
12 primary amine functional groups on the FluidMAG-Amine samples (200 nm, magnetite ( $\text{Fe}_3\text{O}_4$ )).  
13  
14 Throughout this study, the magnetic nanoparticles (MNPs) with terminal silanol function groups  
15  
16 will be referred to as SiOH-MNPs. The MNPs with an amine functional group will be referred  
17  
18 to  $\text{NH}_2$ -MNPs. For all dilutions, ultra-pure Type I water (Millipore Q Synergy UV, resistivity of  
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20 18.2  $\text{M}\Omega\cdot\text{cm}$  at 298 K) was used.  
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## 25 26 *2.2 Thermal Characterization*

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28 *2.2.1 Thermogravimetric Analysis (TG).* To assess the capping agent stability as a function of  
29  
30 temperature, each MNP was dried and then characterized using a TA Instrument Q-600  
31  
32 simultaneous DSC-TG apparatus. Samples were dried for at least 72 h in the TG crucible at room  
33  
34 temperature inside a ventilated hood, and a minimum of 5 mg of sample was used for each run.  
35  
36 TG experiments were performed from ambient temperature to  $\sim 1125$  K under a constant nitrogen  
37  
38 flow of 50 mL/min. The instrument has a balance sensitivity of 0.1  $\mu\text{g}$  and a sensitivity of 0.001  
39  
40  $^\circ\text{C}$  for differential thermal analysis. Data analysis was performed using TA Universal Analysis  
41  
42 2000 software.  
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49 *2.2.2 Modulated Differential Scanning Calorimetry (mDSC).* To evaluate any change in the  
50  
51 thermal flow analysis—and related phase transitions—due to the thermal treatments, mDSC  
52  
53 experiments were performed *in situ*. For mDSC measurements a TA Instrument Q2000 research-  
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55 grade DSC was used with a temperature accuracy of  $\pm 0.1$   $^\circ\text{C}$  and a sensitivity of 0.2  $\mu\text{W}$ . The  
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57 reversing heat flow signal provided by mDSC measurements can indicate variations in heat  
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4 capacity. Reversing heat flow was calculated by multiplying this constant by the rate of heat flow  
5  
6 amplitude divided by the heating rate amplitude. A modulation amplitude and modulation period  
7  
8 of 0.5 K and 40 s, respectively, were used throughout the study. Samples were heated from -  
9  
10 233.15 K to 433.15 K with a heating rate of 10 K min<sup>-1</sup> under a nitrogen purge (50 cm<sup>3</sup> min<sup>-1</sup>).  
11  
12 Calibration constants were obtained from running mDSC measurements of sapphire standards.  
13  
14 To prepare the samples, MNP suspensions were placed into a DSC pan, sealed with a hermetic  
15  
16 lid, and then analyzed. A minimum mass of 3 mg of the dried MNPs suspension was used for  
17  
18 DSC characterization of each sample.  
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### 23 *2.2.3 Thermal Treatments Using a Heating Apparatus*

24  
25 To examine thermal stability under constant heating and mixing, MNPs in 1 mg cm<sup>-3</sup> suspensions  
26  
27 were submitted to heating treatments at different temperatures using the apparatus shown in **Fig.**  
28  
29 **S1 (ESM 1)**. During heating, the nanoparticle solutions were not exposed to oxygen, and a  
30  
31 nitrogen purge was maintained throughout the experiment to avoid any potential oxidation. In  
32  
33 order to characterize the physicochemical and morphological conditions of the samples exposed  
34  
35 at different temperatures, small aliquots were collected at different temperature conditions:  
36  
37 303.15 K, 353.15 K, 373.15 K, 393.15 K, and 403.15 K (heating element set point temperatures).  
38  
39 Temperature set points in each heating cycle were monitored throughout the process by using a  
40  
41 digital temperature controller (CG-15001 OptiChem<sup>®</sup>, Chemglass). The temperature controller  
42  
43 provides 1500 W of power and a ± 1.0 °C control. Sample aliquots were collected from the reflux  
44  
45 apparatus at each temperature after a one-minute equilibrium hold time. Then, samples were  
46  
47 allowed to cool to room temperature (~1 h) under ambient conditions prior to analysis and  
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49 characterization.  
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7 *2.3 Morphology, Particle Size, and Magnetic Characterization*  
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9 Morphological characterization of the MNPs was performed using transmission electron  
10 microscopy, atomic force microscopy, and optical microscopy. A JEOL 2100 TEM operated at  
11 200 kV was used to obtain micrographs before and after thermal treatments. A resolution as a  
12 high as 0.19 nm can be achieved with this instrument. Untreated and treated samples were  
13 prepared by placing a sample drop of the colloidal suspension ( $\sim 1 \text{ mg cm}^{-3}$ ) on a carbon Formvar  
14 Cu grid (300 mesh; Electron Microscopy Science). The solvent was allowed to evaporate inside  
15 a ventilated hood. Atomic- and magnetic- force microscopy data were collected with a Dimension  
16 Icon instrument (Bruker) in tapping mode using a Co-Cr coated tip on a silicon cantilever (MESP,  
17 Bruker). The instrument has x-y position noise of less than 0.15 nm and Z-sensor noise level of  
18 35 pm RMS bandwidth. The probe was magnetized for 30 seconds using an external magnet  
19 prior to measurement, and a lift of 100 nm was used for data collection. Optical microscopy  
20 images were obtained using the Dimension Icon microscope camera (5 megapixels). Dynamic  
21 light scattering was used to measure the hydrodynamic diameter of the particles and particle size  
22 distribution in solution before and after thermal treatment (Section 2.2.3). Variations in  
23 nanoparticle hydrodynamic diameter after thermal treatments can be attributed to nanoparticle  
24 aggregation or break-down from the as-received samples. A Brookhaven ZetaPALS instrument  
25 (Brookhaven Instruments Corporation; BIC) with a  $90^\circ$  detector and a HeNe laser at a 659 nm  
26 wavelength was used to collect the DLS data. A minimum concentration of 2 ppm is required for  
27 data collection. The precision of the instrument is  $\pm 1\%$  of the reading. Data were collected at  
28 ambient temperature ( $\sim 295 \text{ K}$ ) and a minimum of five measurements were collected per sample.  
29 Average results are presented.  
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7 *2.4 Chemical Characterization using ATR-FTIR*  
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9 Magnetic nanoparticles were characterized using attenuated total reflection FTIR (ATR-FTIR)  
10 with a Nicolet 6700 FTIR spectrophotometer (Thermo Electron Corporation Inc.) and Miracle-  
11 ATR™ accessory (PIKE Technologies Inc.). The FTIR instrument is equipped with a He-Ne  
12 laser, MCT-A\* detector, and KBr beamsplitter, and has a wavenumber precision of 1cm. The  
13 Miracle-ATR accessory has a diamond crystal with ZnSe filter (1.8 mm diameter) and a 45° angle  
14 of incidence, with an accuracy of ± 0.5%. ATR-FTIR spectra of sample solutions before and after  
15 thermal treatments were collected via dropwise solution deposition onto the ATR crystal followed  
16 by solvent evaporation at room temperature, leaving a thin film of the sample on the crystal  
17 surface. Omnic software (v8.1.10; Thermo Electron Corporation Inc.) was used for data  
18 collection and analysis with a minimum of 256 scans collected for each sample.  
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33 **3. Results and Discussion**  
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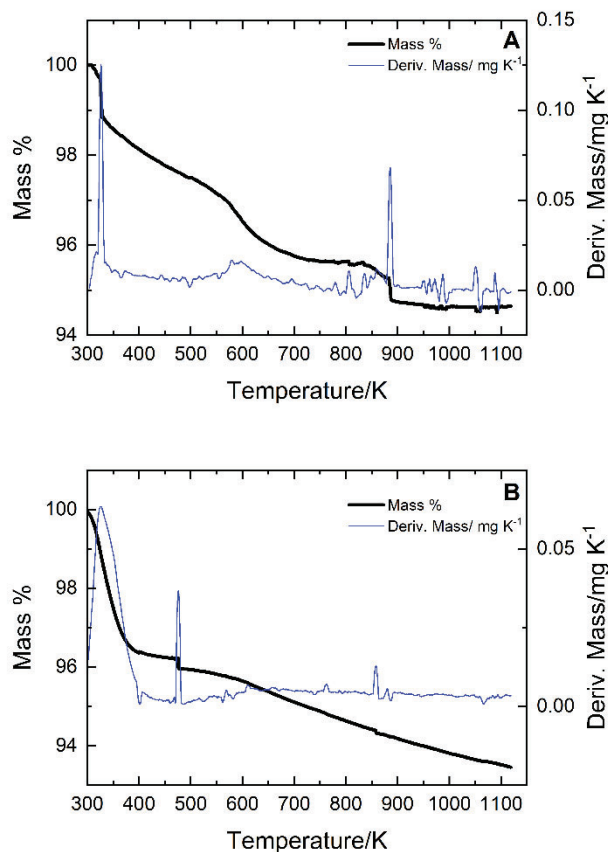
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36 *3.1. Thermal Characterization of Magnetic Nanoparticles (MNPs)*  
37

38 Thermogravimetric analysis (TG) and differential thermogravimetric (DTG) methods can provide  
39 insight into the thermal stability of polymers or ligands used to stabilize nanoscale materials [45,  
40 46]. For example, MgO and SiO<sub>2</sub> have both been used on the surface of single core nanoparticles  
41 as protective layers to prevent nanoparticle sintering at high temperatures, enabling the production  
42 of monodisperse and thermally stable nanoparticles [47, 48]. Similarly, multicore magnetic  
43 nanoparticles have been stabilized using polymers [10, 15], silanization techniques [49], and  
44 natural fatty acids [50]. However, little is known about the stability of these protective layers as  
45 a function of temperature or thermal treatments. Reorganization, phase transformation, chemical  
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4 degradation and/or release of these protective layers/shells on the nanoparticle surface is likely to  
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7 lead to particle aggregation.

8  
9 Figure 1 shows TG scans from ambient temperature to ~ 1125 K of the amine and silanol-  
10  
11 functionalized MNPs examined in this study. Both commercial MNP suspensions were dried  
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13 under ambient temperature conditions inside a ventilated hood for at least 72 h prior to TG  
14  
15 analysis. Below 373 K, the DTG curves for both NH<sub>2</sub>-MNPs (Fig. 1A) and SiOH-MNPs (Fig.  
16  
17 1B) exhibited peaks indicating the release of trapped water from the particles. SiOH-MNPs  
18  
19 showed a slower release of water compared to NH<sub>2</sub>-MNPs, perhaps due to the less polar nature  
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21 of the amine, nitrogen being less electronegative than oxygen and the weaker N-H dipole resulting  
22  
23 in less water being bonded, and a quicker release of the bound water. Dehydration of the  
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25 nanoparticles accounted for ~1.5% and ~3.8% mass loss in NH<sub>2</sub>-MNP and SiOH-MNP,  
26  
27 respectively. Above 373 K, a steady mass loss was observed for NH<sub>2</sub>-MNPs until a temperature  
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29 of 883 K was reached (Fig. 1A), where a sharp decrease in mass of ~1.0% was observed. Between  
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31 883 K and 1125 K, the sample mass for NH<sub>2</sub>-MNPs remained constant. For SiOH-MNP, the DTG  
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33 analysis reveals a small but sharp change in mass at approximately 473 K that is not observed in  
34  
35 the NH<sub>2</sub>-MNPs. However, both samples showed similar thermal profiles and overall mass loss  
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37 with over 94% of the initial sample mass remaining between 473 to 873 K. The gradual mass loss  
38  
39 noted from 373-873 K could be due to decomposition of the surface stabilizers. Both NH<sub>2</sub>-MNP  
40  
41 and SiOH-MNP are comprised of cubic structures—Fe<sub>3</sub>O<sub>4</sub> and Fe<sub>2</sub>O<sub>3</sub>, respectively—that are  
42  
43 prone to a phase transition to  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> (hematite) in the range of 723 – 923 K [51]. When kept  
44  
45 intact, a refractory shell matrix such as a silica shell can prevent the irreversible transformation  
46  
47 into hematite [52]. Significant mass losses noted at 473 K for SiOH-MNP and ca. 873 K for  
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49 SiOH-MNP and NH<sub>2</sub>-MNP. In the NH<sub>2</sub>-MNP structures, one strong mass loss peak is found at  
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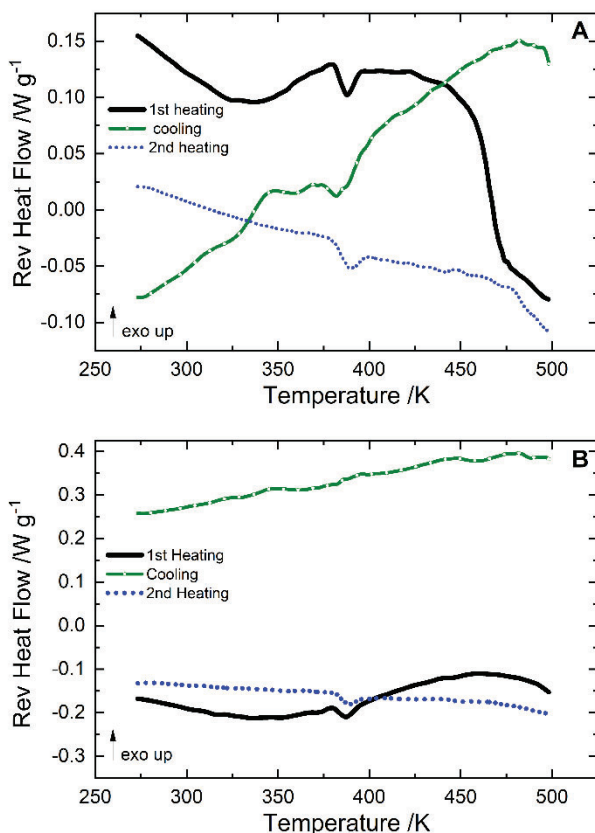
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4 ca. 873 K and it is attributed to a hematite phase transition. For SiOH-MNP, the mass changes  
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6 observed are much more slight and may be due to water release from the silica shell due to  
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8 condensation reaction of the alkoxy silane [53], which in theory could then be followed by the  
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10 oxidation of maghemite to hematite (at ~873 K). The proposed transformation of the nanoparticle  
11  
12 structures followed by a phase transition to hematite is also supported by the sharp DTG peaks  
13  
14 present. It should be noted that although there is a maximum 6% mass loss as temperature is  
15  
16 increased ( $T_{\max} = 1125$  K), this result is comparable to other reported value for iron oxide  
17  
18 nanoparticles with silica shells [54]. Here, using TG and DTG thermal analysis, we indicate that  
19  
20 the iron oxide nanoparticles within the MNP matrix are stable up to a temperature of 1125 K, and  
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22 further analysis is performed by using additional experimental techniques.  
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58 **Fig. 1** TG and DTG analyses from ambient temperature to 1125 K of (A) NH<sub>2</sub>-MNPs and (B) SiOH-MNPs samples  
59 reveal evidence of partial silica shell decomposition with potential oxidation of released nanoparticle to hematite.  
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7 TG and DTG can only provide insight into the break down and removal of components from a  
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9 sample. In order to investigate thermal phase transitions of these multicomponent nanoparticles,  
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11 modulated DSC (mDSC) experiments were performed on the MNPs while in aqueous suspension.  
12  
13 mDSC is a unique characterization tool as it allows for the measurement of weak transitions and  
14  
15 complex thermal processes that cannot be observed with traditional DSC [55]. For example,  
16  
17 structural changes and phase behavior of poly(ethylene oxide) have been studied using mDSC  
18  
19 [56]. Similarly, mDSC of poly(methyl methacrylate) adsorbed on silica determined the bonded  
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21 amounts of polymer on the substrate [57].  
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24  
25 Using mDSC, phase transitions and thermal events were examined for both MNP samples (Figure  
26  
27 **2**). The mDSC scans for NH<sub>2</sub>-MNPs (Fig. **2A**) display a strong endothermic peak at 388 K for  
28  
29 both heating cycles and the cooling cycle. As shown in Fig. **2B**, endothermic peaks were also  
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31 observed for SiOH-MNP, although they are weaker than those observed for NH<sub>2</sub>-MNPs. Also, no  
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33 peaks were observed for the cooling cycle of SiOH-MNPs, indicating possible differences in  
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35 microstructural rearrangements between both iron oxide nanostructures. The presence of  
36  
37 endothermic peaks in the reversing heat flow mDSC signal correspond to transitions related to  
38  
39 molecular mobility in polymers [58], structural rearrangements into clusters [59], and/or order-  
40  
41 disorder measures of molecular level reordering within clusters [60]. The observed endothermic  
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43 peak at 388 K for both MNPs is attributable to molecular rearrangement within the MNP cluster  
44  
45 related to a possible release of individual iron oxide cores from the aggregated MNP structure.  
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47 Above this transition temperature at 388 K, no endothermic or exothermic peaks were observed  
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49 for either the heating or cooling traces.  
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**Fig. 2** Modulated DSC results for (A) NH<sub>2</sub>-MNPs and (B) SiOH-MNPs from 273 to 498 K. Endothermic peaks observed at 388 K are stronger for the amine-functionalized nanoparticles as compared to the silanol-functionalized nanoparticles.

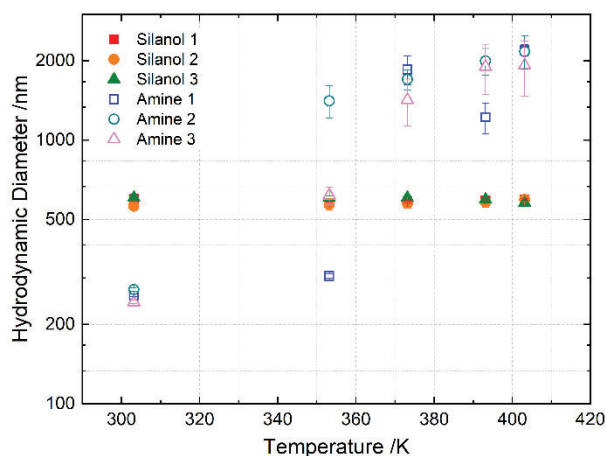
Ultimately TG and mDSC results for the MNPs suggest a magnetic nanoparticle phase change and a possible rearrangement within these coated magnetic nanoparticles at temperatures above 388 K. To confirm the performance of MNP structures to thermal stresses and to obtain a correlation between thermal treatments and possible morphology and chemical changes, an additional controlled temperature treatment was performed on each MNP type. Morphological analyses and chemical structure characterization were also conducted to confirm changes in the MNP structures observed with calorimetry measurements.

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4 3.2 *In situ Thermal Treatment of Magnetic Nanoparticles: Effects on Nanoparticle Size,*  
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6 *Stability, and Morphology*  
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9 According to the observed *in situ* mDSC endothermic peak transitions for both MNPs, the  
10 integrity and stability of the MNP structure in solution are affected by exposure to elevated  
11 temperatures. To investigate thermal stability further, dynamic light scattering was used to  
12 measure the hydrodynamic diameter of particles in solution after they were heated to a given  
13 testing temperature in the reflux apparatus described in section 2.2.3. Hydrodynamic particle size  
14 distributions in suspension before and after thermal treatment for both MNPs across several  
15 aliquot tests are shown comparatively in Figure 3. For the SiOH-MNP samples, exposure to  
16 elevated temperature did not cause any significant change in hydrodynamic diameter. A mean  
17 diameter of ~600 nm was measured at all the temperature conditions tested (298, 353, 393, and  
18 403 K), and this diameter is close in value to vendor-reported diameter (~500 nm). The DLS data  
19 demonstrate SiOH-MNP is a thermally stable structure. Therefore, the slight endothermic  
20 transition noted for SiOH-MNP using mDSC must not be related to particle aggregation or cluster  
21 formation but rather could be due to conformational rearrangement of the nanoparticles within  
22 the shell.  
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43 Conversely, NH<sub>2</sub>-MNP showed variations in hydrodynamic diameter as temperature increased  
44 (Fig. 3). At 298 K, NH<sub>2</sub>-MNPs in suspension had a diameter ~225 nm, which is close in value to  
45 the ~200 nm vendor-reported diameter. The hydrodynamic diameter for NH<sub>2</sub>-MNP increased  
46 significantly as a function of temperature, up to an average value of ~2 μm when a reflux  
47 temperature of 403 K was reached. This increase in hydrodynamic diameter is attributed to  
48 particle aggregation. While the mDSC data showed a thermal transition temperature at ca. 388 K,  
49 hydrodynamic diameter changes showed NH<sub>2</sub>-MNP is unstable in suspension at even lower  
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4 temperatures (~373 K). These results support the structural changes indicated by TG and DTG  
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6 for NH<sub>2</sub>-MNPs: a major change in structure that leads to aggregation of the nanoparticles. It is  
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8 proposed that thermal treatments results in aggregation of the iron oxides nanoparticles previously  
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10 located within the amine-coated shell matrix leading to large-scale particulates. As a result, abrupt  
11  
12 and large differences in the hydrodynamic NH<sub>2</sub>-MNPs diameters were observed. To confirm these  
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14 results, additional morphological analyses were performed as described next.  
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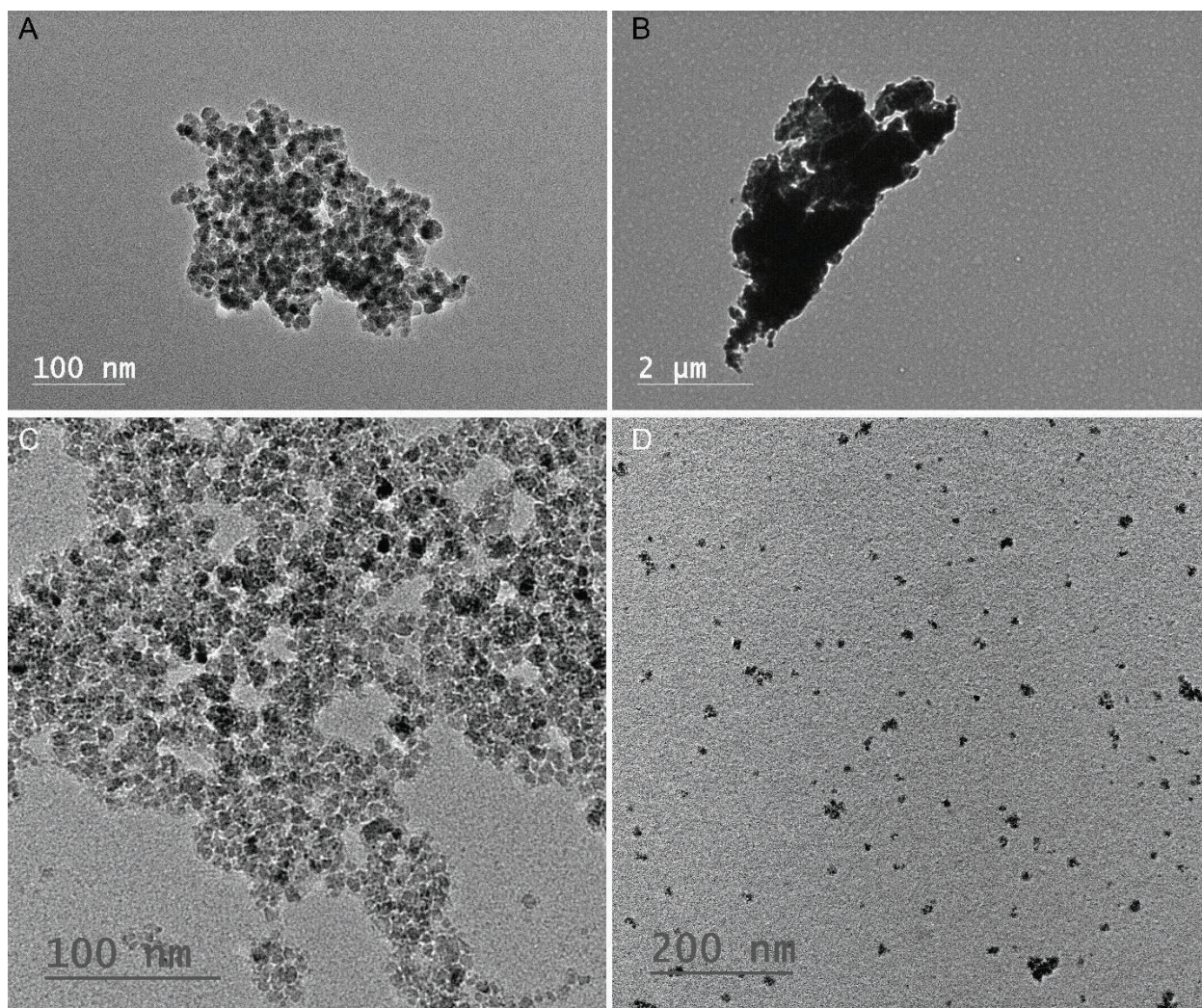
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35 **Fig. 3** Hydrodynamic diameters measured after reflux at a given temperature for silanol-functionalized magnetic  
36 nanoparticles (SiOH-MNPs, closed symbols) and amine-functionalized magnetic nanoparticles (NH<sub>2</sub>-MNPs, open  
37 symbols); these dynamic light scattering data reveal NH<sub>2</sub>-MNP are thermally unstable.  
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41 In addition to the structural analysis of the hydrodynamic particle size changes, optical  
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43 microscopy, transmission electron microscopy, and atomic- magnetic- force microscopy were  
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45 used to image the dried MNP samples prior and before thermal treatments. With these microscopy  
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47 techniques, the effects of thermal treatment on the iron oxide nanoparticles morphology and  
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49 subsequent structural changes were assessed demonstrating morphological and visual  
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51 modifications of SiOH-MNPs suspensions after exposure to elevated thermal treatments and high  
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53 shear conditions (Fig. S2). The clustered nature and morphological characteristics of the  
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55 nanoparticles used in this study have been validated by other research groups [42-44]. Here, this  
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4 work seeks to obtain a better understanding between thermal treatments and structural integrity  
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6 of the multi-core iron oxide nanoparticles.  
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9 As shown previously [61], amine-functionalized multi-core magnetic nanoparticles have an  
10 irregular, non-rounded surface structure. In the present study, similar surface features were  
11 observed for the as-received NH<sub>2</sub>-MNP (Fig. 4A). However, after a thermal treatment, aggregates  
12 were observed in the NH<sub>2</sub>-MNP samples, likely due to aggregated silica shells or uncoated iron  
13 oxide nanoparticles. The sizes of these structures are comparable to the hydrodynamic diameter  
14 largest particulates (~2 μm) for thermally-treated NH<sub>2</sub>-MNPs (Fig. 4B). In addition, aggregated  
15 magnetic nanoparticles (Fig. 4C) and also dispersed primary particle magnetic nanoparticles—  
16 those from the interior/core of the nanostructures—were observed (Fig. 4D). This combination of  
17 features results from the possible rearrangement of the shell structure leading to unstable surfaces,  
18 and the release of the small primary iron oxide nanoparticles that were previously part of the core-  
19 shell structure. These micrographs of the released and aggregated particles show that the NH<sub>2</sub>-  
20 MNPs are thermally unstable and corroborates the MNP aggregation indicated by thermal and  
21 dynamic light scattering characterization methods.  
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**Fig. 4** TEM images of  $\text{NH}_2$ -MNPs, (A) as-received and (B-D) after thermal treatment.  $\text{NH}_2$ -MNPs samples submitted to thermal treatment resulted in released and aggregated pieces of the shell (B), large-scale aggregations of iron oxide nanoparticles (C), and residual iron oxide clusters released from the MNP core (D).

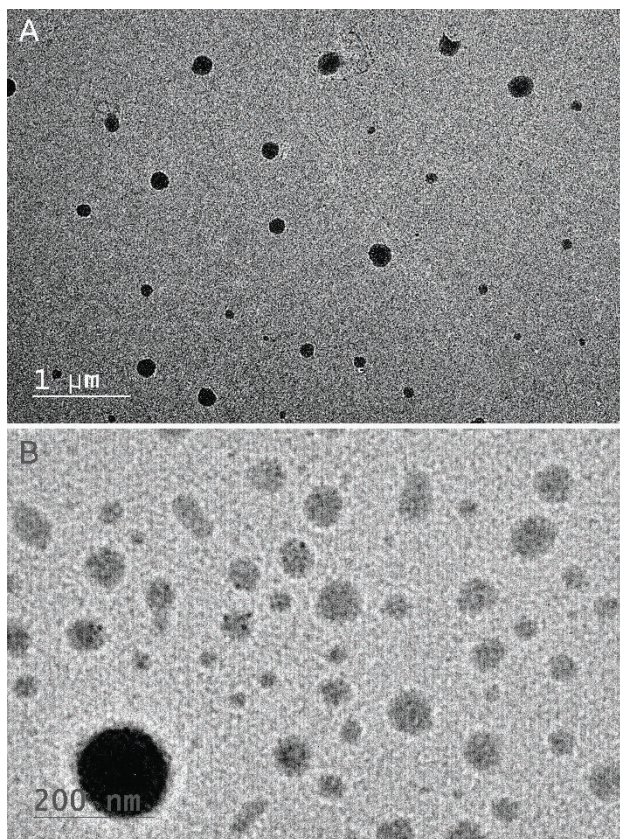
Unlike  $\text{NH}_2$ -MNP, mDSC and dynamic light scattering characterization results for  $\text{SiOH}$ -MNP indicate these sample to be thermally stable. Micrographs of the  $\text{SiOH}$ -MNP prior to (Fig. 5A) and after (Fig. 5B) sample heating described in this work reveal that these samples maintain their structure over the 303 – 403 K temperature range tested. In terms of morphology,  $\text{SiOH}$ -MNP possesses a well-defined spherical shape—as shown in Fig. 5 and by Chemicell [62]—which contrasts significantly with the structural changes observed for  $\text{NH}_2$ -MNP [63]. The stark structural differences between these two types of MNPs may indicate a different MNP fabrication method for

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4 each nanoparticle type. Ultimately, however, the structural and resultant phase transitions related  
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6 to the protective shell depend on the methods utilized for synthesis of each MNP [64].  
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9 The SiOH-MNP sample has a round shell encapsulating the core primary nanoparticles (Fig. 5A)  
10 while the NH<sub>2</sub>-MNP sample looks to only have at most only a small amount of surface  
11 functionalization (Fig. 4A) and so a complete ‘shell’ is not formed around the aggregated iron oxide  
12 nanoparticles. The morphology of the aggregated iron oxide nanoparticle structure for the amine  
13 functionalized nanoparticles has been documented in previous studies [65, 42]. Only after heating  
14 the SiOH-MNP samples at the highest temperatures tested in this study (ca. 403 K), were any  
15 aggregates observed (Fig. S3), and there was only a few. These aggregates were exceptions, with the  
16 vast majority of the thermally treated SiOH-MNP sample appearing as shown in Fig. 5B. These  
17 results corroborate the findings from thermal and dynamic light scattering characterization showing  
18 the SiOH-MNPs samples maintained their structure during thermal treatment without widespread  
19 shell deformation or aggregation—unlike the amine-functionalized MNPs. Atomic- and magnetic-  
20 force microscopy results for a SiOH-MNP sample set also provide evidence that the  
21 morphological structure for SiOH-MNP is not impacted by the thermal treatments in this study  
22 (Fig. S4). However, the thermally treated SiOH-MNP samples show loss of magnetic properties,  
23 as observed in the magnetic phase images, suggesting that individual magnetic cores leaked from  
24 the shell structure (Figs. S4C and S4F).  
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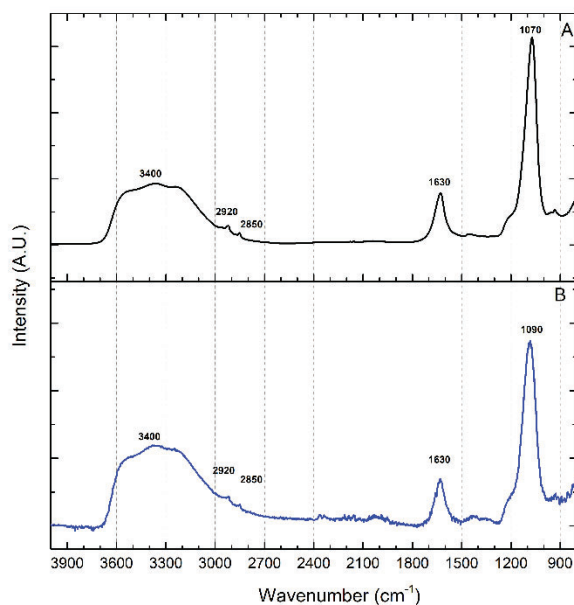
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**Fig. 5** TEM images of SiOH-MNP prior to thermal treatment (A) and after thermal treatment (B) revealed few morphological and structural changes.

### 3.3 Magnetic Nanoparticle (MNP) Chemical Structure Characterization

In addition to analysis of the MNP thermal properties, hydrodynamic diameter, size distributions, magnetic properties, and morphologies pre- and post-thermal treatment, potential chemical transformations related to thermal treatment were also examined. ATR-FTIR spectroscopy was used to determine functional groups present in the MNPs before and after the reflux thermal treatments were performed. FTIR spectra for the silanol coated magnetic nanoparticles (SiOH-MNP) samples before and after thermal treatment are shown in Fig. 6.

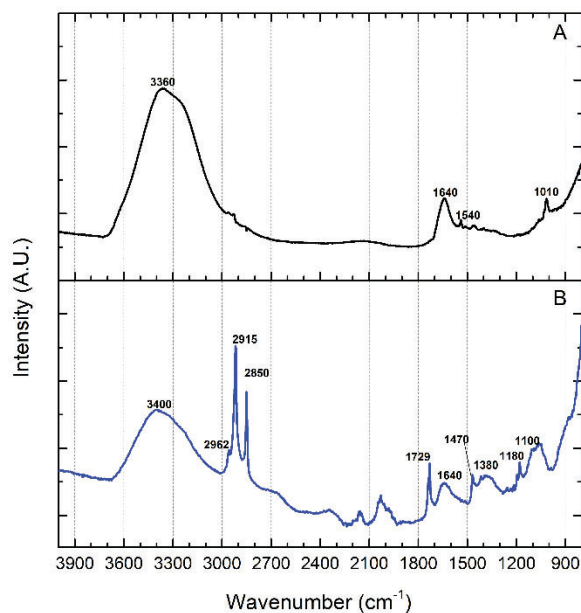


**Fig. 6** ATR-FTIR spectra of SiOH-MNPs before (A) and after (B) thermal treatment support nanoparticle leakage as a peak shift is observed from 1070 to 1090  $\text{cm}^{-1}$  corresponding to the Si-O bond.

A broad absorption band at  $3400\text{ cm}^{-1}$  confirms the presence of stretching vibrations from the  $\text{-OH}$  groups present on the surface of the MNPs. An additional peak located at  $1630\text{ cm}^{-1}$  suggest that  $\text{-OH}$  groups are coating the surface of the magnetic nanoparticles as reported elsewhere [66]. The peak at  $\sim 1070\text{ cm}^{-1}$  confirms the presence of Si-O stretching vibrations, which are expected to be present in the structure of the silanol compound surrounding a core-shell magnetic nanoparticle structure [67]. In addition, the broad peak at  $3400\text{ cm}^{-1}$  has been attributed to hydrogen-bonded silanol and absorbed water on the surface of the magnetic nanoparticles [68]. Lastly, the two bands at  $1920\text{ cm}^{-1}$  and  $2850\text{ cm}^{-1}$  confirm the presence of C-H asymmetric and symmetric stretching vibrations, respectively. Fig. **6B** displays the FT-IR spectrum of the silanol magnetic nanoparticles after thermal processing techniques described in the experimental section. Infrared absorptions bands were not highly affected by the heat treatment suggesting no major chemical changes and a stable SiOH-MNPs. However, after the thermal treatment, a peak shift in the Si-O peak maximum was observed from  $1070$  to  $1090\text{ cm}^{-1}$ . Similar transitions have been

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4 assigned to asymmetric stretching motion of oxygen in various silicon-oxygen bands under  
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6 annealing conditions [69]. In this study, the IR band shift is assigned to a magnetic nanoparticle  
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8 leakage from the shell caused by a possible rearrangement of the silanol coating, which confirms  
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10 the mDSC results and the magnetic force microscopy imaging.  
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13 NH<sub>2</sub>-MNPs were also submitted to multiple heating treatments, and ATR-FTIR spectroscopy was  
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15 conducted to evaluate changes in molecular structure. **Fig. 7A** shows the as-received NH<sub>2</sub>-MNPs  
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17 IR spectrum, which had been previously analyzed using ATR-FTIR and peak assignments  
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19 described in detail by the authors [61]. The peaks critical for identifying the chemical composition  
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21 of the MNPs before and after thermal treatment are presented. The presence of amine-terminated  
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23 silane on the NH<sub>2</sub>-MNP surface is supported by the presence of a broad peak corresponding to  
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25 primary amine N-H stretching vibrations (3360 cm<sup>-1</sup>), a peak at ca. 1640 cm<sup>-1</sup> for the -NH<sub>2</sub> and/or  
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27 N-H deformation/stretching vibrations, and an absorption band at 1010 cm<sup>-1</sup> which can be  
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29 attributed to the Si-O stretching vibrations and/or N-C-N symmetric stretching [70].  
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31 Additionally, the small 1540 cm<sup>-1</sup> peak corresponds to NH<sub>2</sub> scissor vibrations, demonstrating an  
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33 amine end-functionalized group on the MNP surface [71]. Both, peaks present at 1540 cm<sup>-1</sup> and  
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35 at 1010 cm<sup>-1</sup> wavenumber, confirm the presence of amine functionalized groups on the outer  
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37 surface of the core-shell structure prior thermal treatments.  
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**Fig. 7** ATR-FTIR spectra for NH<sub>2</sub>-MNP before (A) and after (B) thermal treatment. The presence of additional absorption bands after heating supports particle aggregation due to thermal stress.

After heating at temperatures above the boiling point of the solvent, NH<sub>2</sub>-MNP samples demonstrated peak shifts and significantly stronger additional peak absorbances were observed (Fig. 7B). Note, however, that this result was not observed for the SiOH-MNP samples. A broader absorption band was observed at ~3400 cm<sup>-1</sup> after the thermal treatment. However, in this region -NH<sub>2</sub> groups and O-H stretching vibration can overlap [72]. After heating, a new peak at 1729 cm<sup>-1</sup> was observed for the NH<sub>2</sub>-MNP sample, and it can be attributed to C=O groups that are unbounded due to thermal decomposition of the nanostructure shell [73]. Similarly the peaks at 1470 cm<sup>-1</sup> and 1380 cm<sup>-1</sup>, for CH<sub>2</sub> and CH<sub>3</sub> bending vibrations, were not observed in the untreated sample (Fig. 7A) but were present after thermal treatment. Additionally, the strong intensity of asymmetric and symmetric stretching vibrations for C-H bands (2962 cm<sup>-1</sup>, 2915 cm<sup>-1</sup>, and 2850 cm<sup>-1</sup>) observed in the post-treated sample suggest a release of the alkyl groups from the shell matrix [74]. The presence of the 1180 cm<sup>-1</sup> peak post-heating (Fig. 7B) is likely related to the exposure of alkoxy silanes after thermal treatments, as this absorbance band is attributable

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4 to CH<sub>3</sub> rocking in alkoxy silanes [74, 75]. The appearance of multiple new absorbance bands after  
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6 thermal treatment confirms release or aggregation of the amino-functionalized alkoxy silane shell  
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8 for NH<sub>2</sub>-MNP. A broad peak at 1100 cm<sup>-1</sup> is assigned to the Si-O molecular bond, confirming the  
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10 presence of the silane structure.  
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14 FT-IR results confirmed the thermal instability observed for NH<sub>2</sub>-MNP with mDSC, and  
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16 morphological characterization analyses. The significant differences observed in thermal stability  
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18 of the two type of MNP—amine and silanol functionalized—are likely due to differences in the  
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20 methods used to produce the two functionalized shells around the magnetic nanoparticle cores. In  
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22 this study, however, the authors demonstrate that mDSC measurements can be used to predict  
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24 nanoparticles self-arrangements or organization within a shell structure, which could ultimately  
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26 be used as a simple tool to predict nanoparticle stability as a function of temperature. Here a  
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28 combination of morphological and physicochemical studies were used to confirm the observed  
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30 changes on mDSC measurements.  
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#### 38 **4. Conclusions**

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40 Stability of magnetic nanoparticles is a key element for their use in a wide range of applications.  
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42 This study presents thermal, morphological, and physicochemical examinations for two types of  
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44 multi-core magnetic nanoparticles made up of a silica-shell and a core comprised of multiple iron  
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46 oxide nanoparticles—before and after thermal treatment over the 303-403 K temperature range.  
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48 mDSC and TG/DTG analyses were also used as experimental techniques to evaluate  
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50 nanoparticles thermal stability, resulting in the presence of endothermic peaks which are  
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52 attributed to a rearrangement of the iron oxide nanoparticles within the shell, and confirmed with  
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54 other experimental techniques. Further, treatment of both MNPs under a controlled heating  
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4 system resulted in nanoparticle aggregation for NH<sub>2</sub>-MNP samples, and a release of the iron oxide  
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6 nanoparticles from the shell for the SiOH-MNP samples. Amine-functionalized MNP were shown  
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8 to aggregate even at moderate temperatures (353 K), which could be due to incomplete coverage  
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10 and/or low-density of the amine-functionalized alkoxy silane shell coating, with a subsequent  
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12 aggregation of the unstable nanoparticles into large, micron-scale structures. Conversely, silanol-  
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14 functionalized MNP were shown to be much more stable, as these showed only limited  
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16 nanoparticle leakage from the core up at the highest temperature tested in this study, 403 K. The  
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18 significant differences in the amine- and silanol-functionalized MNP sample thermal stabilities  
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20 can be attributed to the type of encapsulation method utilized to fabricate these two types of  
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22 MNPs; however, future studies analyzing the link between synthesis routes and thermal stability  
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24 with mDSC and the techniques presented in this study are suggested.  
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31 A better understanding of the thermal, chemical and mechanical stability of iron oxide  
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33 nanocomposites is critical, as these structures are targeted in applications where a lack of  
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35 structural integrity could cause irreversible damage to human health and the environment. The  
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37 findings presented in this work serve as a foundation for thermal stability testing methods and the  
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39 impact of shell chemistries on thermal stability and can be extended to other nanostructures to  
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41 help direct structural and chemical design and ensure adequate thermal performance.  
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#### 45 **Conflicts of interest**

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48 There are no conflicts of interest to declare.  
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54 1403872, CBET-0923474, DBI-1126743).  
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4 † **Electronic Supplementary Information (ESM) available:** Supplemental information and  
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6 data for this document is available online.  
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