Internet-of-Things and Wireless Sensor Networks as enablers for soil observation in smallholder farms

Amsale Zelalem Bayih∗†, Rolf A. de By†, Yaregal Assabie[∗] and Javier Morales Guarin†

[∗]Computer Science department

Addis Ababa University, Addis Ababa, Ethiopia Email: amsale.zelalem@aau.edu.net; a.z.bayih@utwente.nl †Geo-information Processing department Faculty ITC, University of Twente

Abstract—Smallholder farms are known to be resourceconstrained and remotely located, which makes in-situ data collection challenging. Typically, on-farm data deficiency arises and little support for farming decisions is one cause of poor production. Automated, precise, and affordable data collection and dissemination methods are vital to fill this data gap. The Internet of Things (IoT) and wireless sensor networks (WSNs) are tools fitting the bill, but they require careful design and deployment for local contexts.

Our work is an attempt to define an IoT-WSN system as a digital data infrastructure for in-season farm monitoring in rural smallholder farms. Design considerations are identified to enhance system reliability and robustness. A wireless communication protocol that runs reliably in a resource-constrained environment is identified, devices with ease of access, configuration, and maintenance were selected, and an appropriate sampling strategy to address a wide area with minimum resource consumption is designed. LoRa nodes with soil physical property sensors have been distributed among representative farm plots for data collection. And data was sent, autonomously to a backend system over cellular communication. Our experience reveals the potential of the technology to generate as much required data as needed but with further careful design issues.

Index Terms—Internet of Things, wireless sensor network, digital data infrastructure, data collection in smallholder farms, in-season farm monitoring

I. MOTIVATION

In the absence of farm information systems and irrigation technology, smallholder farmers are vulnerable to variability in environmental conditions. Water excess and scarcity give particular concern because smallholder farming commonly applies rain-fed practices. Moisture and temperature are core soil attributes that may significantly affect farm productivity [1]. Soil moisture dictates the water content available to crops while soil temperature regulates the crop's nutrient and water uptake and thus determines plant growth [2]. These parameters should be monitored in the plant root zone and throughout

the growing season, to allow the early-enough warning to the farmer of deteriorating conditions.

Data infrastructure is required for continuous field monitoring and informing farmer decision-making. Such becomes possible through data collection, storage, and analysis when sources like weather stations and soil sensors are put in place. Some research work in digital data infrastructure for smallholder agriculture has been conducted. Recent studies have focused on cost-effective data collection technologies such as remote sensing (RS), in-field sensors, and mobile phone applications. Full utilization of remote sensing products is challenged by the characteristics of smallholder farms (small, multi-cropping, extensive management, surrounded by other vegetation) [3]. The absence of calibration data and high levels of cloud cover may also hinder the full exploitation of RS technology. Small and inexpensive in-situ sensors are viable alternatives that can complement remotely sensed data and can be used for data validation [4].

Internet of things (IoT) and wireless sensor networks (WSNs) have considerable capacity to capture data more precisely, and affordably, and normally are easily deployed in harsh and infrastructurally deprived environments. With that, they are receiving substantial attention, from the scientific and industrial communities alike, for in-field data collection.

IoT and WSN can help the (near) real-time monitoring of farm parameters such as soil moisture and temperature. With such data acquired at the farm level, context-specific solutions can be designed that may help enhance farm productivity.

Prototypes, experimental set-ups, and theoretical frameworks in establishing IoT-WSN data infrastructure in smallholder agriculture are reported in several works $[5, 6, 7, 8]$. Our previous work presents an in-depth analysis and findings of such works [9] However, evidence shows that substantial gaps exist in the availability of and access to data services in the community. There is a need for further deployment of IoT and WSNs in actual farm plots, to create critical mass, build expertise and share in value. Real-time and remote monitoring of farms is critical as frequent field visit is often too challenging.

With appropriate design and implementation considerations, IoT and WSN can be utilized to achieve the timely generation 979-8-3503-9672-0/23/\$31.00 ©2023 IEEE of farm data and fill the data gap that exists in the wider

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farming community.

II. DESIGN AND REALIZATION

A. Study area description

Northern Ethiopia is one of the most drought-prone and moisture-deficit regions in the country [10]. Especially South Wollo has a long history of food insecurity as most households are dependent on rain-fed agriculture. The rugged topography and irregular erratic rainfall have caused severe environmental and land degradation, soil erosion, and depletion of soil nutrients. These are the cause of low farm production.

Our work is conducted in two districts of the South Wollo zone: Dessie Zuriya and Kutaber. The two districts were selected because of the representative agroecology they present, the recurring poor farm productivity report but also their relative accessibility. According to the districts' agricultural offices, most households heavily rely on government support through the Productive Safety Net Program (PSNP) because of continued crop failures. The capital city of the zone, Dessie, is 400 km north of Addis Ababa and lies in between the two districts. Both districts are characterized by diverse topographic conditions with mountainous and highly dissected terrain and steep slopes. Summarized descriptions and maps of the study areas are presented in Table I and Fig. 1.

TABLE I GENERAL INFORMATION ABOUT THE STUDY AREA; SOURCES: ETHIOPIAN CENTRAL STATISTICS AUTHORITY (POPULATION DENSITY), ETHIOPIAN NATIONAL METEOROLOGY AGENCY (MEAN ANNUAL RAINFALL AND TEMPERATURE)

Districts Properties	Dessie Zuria	Kutaber
Latitude	11.238	11.030
Longitude	39.143	39.589
Area [$km2$]	631	941
Elevation range [m]	1400-2900	2300-3500
Total Farmland [%]	47	38
Total population	126,805	201,433
Population density $[km^{-2}]$	135	319
Mean annual rainfall [mm]	1100	1300
Mean annual temperature $[°C]$	16	15

B. IoT-WSN deployment design considerations

Cost-effective and less resource-hungry technologies are appreciated in the smallholder community. Scalability, transferability, ease of operation and maintenance, and pervasiveness also require consideration prior to technological interventions in the community. At the same time, it is unrealistic to expect any technology that provides all answers. A systemic approach to the design and implementation of the technology is required to address such needs with minimal trade-offs. Successful IoT-WSN deployment in this context depends on a careful design that articulates the different requirements and constraints of the farm environment. Specific design considerations can be categorized as physical, logistical, or technical. Each is briefly discussed below.

Fig. 1. Study area map (a) Amhara region, Ethiopia, (b) South Wollo Zone, (c) Target districts of the study with elevation, (d) major soil types and agroecology of sampled sites

Physical considerations Smallholder farms are often fragmented into multiple plots, dispersed in the landscape, with different crops on neighboring plots. This adjacency may imply plot similarity, but different crops may have different needs. In our specific Ethiopian context, farms are scattered over highly diverse topography. Such requires a wide WSN set-up with a considerable number of sensors, which means requires substantial investments deemed undesirable by the community. A proper sampling strategy design is thus required. A small number of nodes placed in carefully selected farms may produce representative data over an area of interest. The communal information needs and distribution of farms can be taken into account. Instead of a fixated, 24/7 WSN set-up, a mobile set-up within a scatter of farms with agro-climatic similarity may also optimally generate as much data as needed.

Soil texture, topography, weather, vegetation cover, and farm management practices all affect the spatiotemporal variability of soil moisture and temperature. These parameters also vary among different agroecological zones (AEZs) while they tend to be more similar within. In this work, AEZ is, thus, used as a first-level criterion for sample selections. It is used to cluster the study area into three zones that allow us to strategically select sample villages where representative data can be acquired while reducing implementation costs. The three zones are Dega, W. Dega, and Kolla. Within each zone, a random sampling based on sowed crop type and topographic aspect is used to deploy sensors and establish the IoT-WSN network.

Vegetation cover, specifically large trees, poses a challenge in establishing reliable WSN communications. Piles of crop residue and harvested crops may also block the line of sight (LoS) between devices, causing data loss. Placement regimes must minimize such (risk of) hindrance. Nodes and sensor devices must be rugged and withstand environmental impact and be able to run for longer periods. They must remain accessible for maintenance and battery replacement and be physically secure to prevent loss or damage. Farmers often hesitate on innovations in their plots, thus a WSN set-up needs to be non-intrusive and shall not negatively impact routine farming tasks. Thus, nodes are shielded with weather-resistant covers while discussions with farmers aim to create awareness of technology and purpose.

Infrastructural and logistical considerations Smallholder farms often suffer from detrimental conditions: their remoteness causes weak communications, and problematic transport and education infrastructure. Complicated access to farms means data is hard to collect and maintenance is hard to sustain. An IoT-WSN must therefore run autonomously with remote monitoring and fault detection functionality. It needs to operate efficiently with its resources, utilize alternative power and communication infrastructure smartly, and with reasonable failure handling. IoT-WSN hardware shall be available in local markets at a reasonable cost and must be transportable to the field. Technology with advanced, complex, or inaccessible components is just an experiment and not a lasting solution to the problems that farmers face. In our work, nodes constitute Arduino boards and micro-controllers, which are easily programmable and also available in close markets. The backend system is configured to identify and indicates failed devices while allowing continuous data view.

Technical considerations Hard- and software components of the IoT-WSN shall be based on open and reusable standards. It should allow scalability, maintainability, and transferability with a minimal learning curve. Locally-trained semi-literate citizens shall be able to run, control and maintain the system. Nodes need to be reliable and robust with components that fit into the deployment environment. The choice of network topology, data transmission range, signal interference resistance, and radio frequency are all critical parameters to consider to ensure a reliable and efficient WSN setup.

We identified the Long Range Wide Area Network (Lo-RaWAN) WSN communication protocol to suit these requirements. The wide spatial coverage and minimal power consumption properties of LoRaWAN are actually what inspired this work. With distances of 10 kms or more, a few nodes suffice to collect reasonable amounts of data in a farm field, cost-effectively. LoRaWAN is an open standard specified by the Long Range (LoRa) alliance. The fact that LoRaWAN runs on the free Industrial, Scientific, and Medical (ISM) spectrum also guarantees real-time in-situ soil monitoring regardless of the network coverage available in smallholder farms. Its ease of implementation and simple configuration make it suitable for communities in which technical skills are scarce [11, 12, 13]. Due to electric power limitations and the absence of strong solar power during the major crop season, nodes must use locally available AA batteries, run longer and provide data without interrupts.

Fig. 2. A WSN-IoT farm monitoring system architecture

C. Proposed architecture

On the above considerations, our work attempts to implement a LoRaWAN WSN-IoT system for soil moisture and soil temperature monitoring in rural Ethiopia. The general architecture of the proposed system is presented in Fig 2.

There are three layers in the proposed architecture: the sensing layer, the communication layer, and the application layer.

Sensing Layer. This layer constitutes the hardware used to set up the WSN: sensors, nodes, and gateways. Sensors are devices with the actual perception of the environment. Nodes are controllers that provide power to sensors, collect sensed data, and process and transmit it to a central data hub. Gateways are special nodes with multi-communication capabilities. They serve as a data sink for nodes and transmit the data to the application server when needed. They also communicate control commands coming from the back-end system to nodes.

Using the AEZ clustering, three representative villages of the study area have been selected to distribute sensors over. These are Asgedo, Haroyie, and Kundina Jerjero villages in the AEZs of Woina Dega (cool sub-humid midland), Dega (tepid cold to humid highland) and Kolla (humid lowland). In collaboration with the local agricultural office, a discussion with farmers about the technology was conducted. A total of 50 volunteer farmers were selected for the sensor installation. The area has a bimodal cropping system: Meher, the major cropping season during June–October, and Belg, a short rainy period during February–April. The system is set up to monitor farms over both seasons. Meher is a rainy period with high cloud coverage and inconsistent and weak solar power. Our village selection is, thus, also based on the availability of the electric grid required to power the gateway. Sensors are placed inside non-fallow farm fields and installed in a soil depth of 10 to 50 cm depending on the crop sowed. Table II presents the target crops in the area with their associated depths.

Nodes are connected to sensors through a serial digital interface (SDI) and mounted on a hanger maximum 2 m high above the ground. Gateways are mounted on a stable stand of 10 m or higher and placed near an administrative

TABLE II MAJOR CROPS SOWED IN DESSIE ZURIA AND KUTABER DISTRICTS AND THEIR ROOT DEPTHS

District	Crop	Root depth
Dessie Zuria	Wheat	$30 - 50$ cm
	Teff	20 cm
Kutaber	Wheat	$30 - 50$ cm
	Fava beans	$10 - 30$ cm
	Teff	20 cm

Fig. 3. WSN set up and node distribution over our sample sites

office for direct power supply and safety. Node placement and antenna height are paid special attention to in sensor placement to minimize interference and data loss. Specifically, the topography aspect is used as a criterion to determine node placements within each sample site. The overall distribution of sensors and the WSN setup is shown in Fig 3. All nodes are geo-coded with vertical position using a handheld Garmin etrex 30x GPS device with a horizontal accuracy of 5 m.

Communication layer. The communication layer constitutes the WSN and the backhaul layer. A multi-hop star topology with a two-tier layout is envisaged, as shown in Fig 4. A clear sight of communication between the gateway and nodes is aimed at avoiding too much signal blockage. Nodes close to a gateway and with a clear line of sight (LoS) can establish direct communication while those found furthest use controller nodes to reach the gateway. Controller nodes are nodes with the additional functionality of receiving data from nearby nodes and transmit to the gateway by aggregating all the data. A geometric random graph model proposed in [14] is used to determine the maximum distance between nodes and gateway, and we found it to be about 7 kms. Controller nodes are set in a zone only if the distance exceeds this threshold. Such short distance also enables nodes to use power efficiently. Three LoRaWAN gateways, one per zone, are set up and they can support hundreds of nodes.

The backhaul communication facilitates interactions be-

Fig. 4. Logical IoT-WSN layout design

tween the gateway and back-end systems. Gateway to cloud server communication is established with a TCP/IP protocol using cellular communication as this is the only reliable wireless connection available in the area. The Things Network (TTN), an open cloud platform, is used as a cloud server and it allows registration and virtual monitoring of devices. A WiFi protocol handles the interaction between a cloud server and a private application server. Over this communication, the TTN server is configured to transfer the sensor data into a local PostgreSQL database system.

Application layer. The business model of our system is defined in this layer. A local database system is configured to receive soil moisture and temperature data from the TTN through publish-subscribe interaction. In this mode, the application server subscribes to the sensed data of nodes and the TTN pushes the data as it arrives. Moreover, the Grafana web dashboard is configured as our data visualization platform for active skimming and monitoring of the incoming data, which can be shared with any third party through a web address.

D. Instrumentation

Decagon 5TM sensors with 5 m cable length, custommade LoRa nodes from SODAQ, and a Lorank8 module from IDEETRON are used to set up the IoT-WSN. Sensors measure the volumetric soil water content (VWC in $mm³$) and soil temperature (in $\degree C$). The LoRa node has an RN2483 Microchip transceiver (Tx) with an onboard LoRaWAN protocol stack to connect to the gateway. The microchip is embedded in an Arduino board and is programmed to listen to the sensor every 15 minutes. Nodes operate on a power supply voltage of 2 to 3.6 V and 2 AA batteries with 1.5 V each. Nodes are shielded with waterproof plastic cases to withstand environmental effects. Nodes have one internal antenna in our set-up to avoid attention and possible vandalism. The gateway has an omnidirectional external antenna and operates on the ISM 868 MHz frequency. The gateway also comes

Fig. 5. (a) Sensor connected node with components, cased; (b) LoRaWAN gateway and its components, cased

with a multi-channel high-performance concentrator module, WiMOD iC880A, which enables the gateway to receive multiple LoRa packets over multiple channels and spreading factors. The gateway runs on a maximum power supply of 5.5 V and a direct electric grid (DC) with a backup rechargeable battery in use. The battery takes over the DC during power interrupts which allows the gateway to run for up to four hours. This has reduced data loss amidst frequent power interruptions in the study area. The gateway also comes with a RUT950 cellular router to establish cloud communication using a local 3G sim card. The gateway and all its components are sealed in a hard plastic case that has mounting connectors. Fig 5 shows devices used in this work.

E. Experience to date

A total of 26 sensors and nodes were distributed over the 14, 17, and 8 km² of farmlands of the sampled villages. Sensors were tested and calibrated before deployment using manufacturer instructions. Nodes were deployed in an incremental and iterative fashion. Data transmission is set for every 15 minutes with read redundancy and power-saving trade-offs. The IoT-WSN was set up in October 2019 and data collection is still ongoing but has come with significant and frequent disruptions due to unforeseen circumstances. Social conflict in the country and specifically around the study area caused long power and communication disruptions that significantly affected the data collection process. Some devices were broken or stolen, as a result of the conflict. Specifically, the war in the area disrupted our set-up for more than a year starting in July 2021. During the 2019 to 2021 period, a dataset of about 11 Mb sizes was collected.

AA batteries support nodes reliably for three to four months, needing replacement afterward. We also gather parameters that indicate the quality of the signal: received signal strength index (RSSI) and signal-to-noise ratio (SNR). The in-situ data is transmitted to the TTN where a web-based Grafana dashboard is integrated to visualize data. Figs. 6 and 7 show a screenshot taken from the Grafana dashboard displaying readings of a sensor, and a graph of aggregated monthly soil moisture and temperature data of all sensors.

Fig. 6. IoT-WSN collected soil moisture and temperature data on Grafana web dashboard

Fig. 7. Sensor collected monthly mean soil moisture and soil temperature readings

The data collection process was hampered for both technical and physical reasons, in addition to those of the war. The power and 3G communication instability, nodes damaged by cattle grazing in the fields, or passing by children were the dominant reasons.

III. DISCUSSION AND CONCLUSIONS

In this paper, we present an IoT-WSN system for monitoring farms in rural areas. The LoRaWAN protocol covers a wider area with minimal power consumption and exhibits strong resistance to noise interference. About 5,000 farm plots exist within the sample sites. Only 50 plots were selected due to resource limitations. The number of nodes distributed to each sample site depends on the total farmland area. Accordingly, 11, 8, and 7 nodes were set up in Haroye, Kundi, and Asgedo sites respectively, with only one node per field. Some 22, 13, and 12 plots in these sites were used for the installation. Thus, nodes rotated in different fields within the same site to cover all 50 farm plots. For Asgedo and Haroye sites, the WSN was set up in October–November 2019, while for the Kundi site,

this was done in March 2020. The metadata of node placement is presented in Table III below.

TABLE III GENERAL INFORMATION ABOUT THE WSN SET-UP

			Elevation		Height Installation	Installation	Planted	
Nodeld	Latitude	Longitude	(m)	(m)	Depth (cm)	Date	Crop	Village
GW1		11.357828 39.455527	2072	15	0	11/4/2020		Kundi
GW ₂	11.26013	39.516609	2808	20	0	21/11/2019		Haroye
GW ₃	11.07511	39.51395	2600	27	0	18/9/2019		Asgedo
SOM03	11.07733	39.51587	2786	2.5	15	18/9/2019	Teff	Asgedo
SOM06	11.35909	39.443486	2883	$\mathbf{1}$	20	21/11/2019	Teff	Haroye
SOM07	11.25816839.51645		2821	$\mathbf{1}$	15	21/11/2019	Fava Bean	Haroye
SOM09		11.35761239.454995	2078	$\overline{1}$	15	15/4/2020	Teff	kundi
SOM10	11.08015	39.511484	2470	$\overline{2}$	40	18/9/2019	Wheat	Asgedo
SOM12	10.86803	39.395341	2592	$\overline{2}$	25	15/4/2020	Maize	kundi
SOM13	11.07452	39.51363	2599	$\overline{2}$	20	20/9/2019	wheat	Asgedo
SOM14	10.88494	39.400048	2545	1.6	20	18/9/2019	Teff	Asgedo
SOM15	11.07458	39.51349	2598	$\overline{2}$	30	18/9/2019	Wheat	Asgedo
SOM16	10.86839	39.395808	2519	2	20	18/9/2019	Fava Bean	Haroye
SOM17	11.25911	39.516845	2870	2	30	11/4/2020	Fava Bean	Haroye
SOM18	11.25904	39.516955	2869	$\overline{2}$	30	21/11/2019	Barley	Haroye
SOM19	11.258665 39.516561		2748	1.5	15	21/11/2019	Barley	Haroye
SOM20	11.3581	39.455564	2029	1.9	10	15/4/2020	Maize	kundi
SOM21	11.25903	39.517028	2886	$\overline{2}$	20	21/11/2019	Barley	Haroye
SOM22	11.08015	39.511484	2657	$\overline{2}$	18	18/9/2019	Teff	Asgedo
SOM23	11.07923	39.514321	2586	3	15	18/9/2019	Fava Bean	Asgedo
SOM26	11.10611	39.756303	2456	1.5	20	21/11/2019	Barley	Haroye
SOM28		11.358036 39.455289	2065	$\overline{2}$	20	15/4/2020	Teff	Kundi
SOM31	11.25741	39.516293	2789	2.3	30	21/11/2019	wheat	Haroye
SOM33	11.26056	39.513889	2870	$\overline{2}$	30	15/4/2020	wheat	Haroye
SOM38	11.07866	39.513697	2450	1.5	20	21/11/2019	Teff	Haroye
SOM39	11.35743	39.51581	2084	1.7	30	18/9/2019	wheat	Kundi
SOM41	11.35436	39.464424	2132	2	20	15/4/2020	Wheat	Kundi
SOM50	11.35741	39.516293	2120	1.8	20	15/4/2020	Teff	Kundi
SOM52	11.35353	39.466124	2140	1.4	20	15/4/2020	Teff	Kundi

The dynamic nature of soil moisture requires rigorous data validation against well-established readings. Sensor data validation was conducted only once due to budget constraints. In-situ weather stations should have been used instead but none exist in the area at present. To fill this gap, an automated weather station (ATMOS) is set in one of the districts along with the WSN setup. This also helps to establish an all-around agrometeorological data infrastructure in the area. Design considerations were made appropriate to smallholder farms before the actualization of the system. However, the considerations made were not exhaustive and this has caused the set-up to suffer significant data loss. Redundant data storage options need to be considered where either controller nodes or gateways keep data internally until stable communication to a back-end system is secured or data is manually retrieved periodically.

Given the variability of soil moisture data, the currently collected data is not enough to base further analysis on. it is useful to validate and complement remotely sensed soil moisture data. Such is a significant contribution as this data does not exist, even at the country level, in Ethiopia. Right now, nodes are maintained and the WSN-IoT has been set up afresh. We plan to collect as much data as possible until October 2023, until the end of the Meher farming season. The data, together with other remotely sensed and generated data, will be used to build an agriculture knowledge base to support in-season farm decisions.

We believe such a WSN-IoT system generates trustworthy local data and could assist sustainable and productive farming through a better understanding of the local context of farm production and productivity parameters.

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