# Stepwise management of COPD: What is next after bronchodilation?

#### Marc Miravitlles (), Kazuto Matsunaga and Michael Dreher

Abstract: Inhaled bronchodilator therapy with long-acting muscarinic antagonists (LAMAs) and long-acting  $\beta_2$ -agonists (LABAs) in combination is currently the mainstay of treatment for chronic obstructive pulmonary disease (COPD). Treatment guidelines recommend the addition of inhaled corticosteroids (ICS) to LABA/LAMA only in patients with a history of frequent/ severe exacerbations and high blood eosinophil counts, or in those with concomitant asthma. Despite this, real-world data suggest that clinicians are not adhering to this guidance and that ICS are frequently overused. This is possibly due to the incorrect assumption that when LABA/ LAMA therapy is not sufficient, adding an ICS to the treatment regimen is the logical next step. In this narrative review, we describe global and country-specific guideline recommendations from Germany, Spain, and Japan and compare these with real-world data on LABA/LAMA and ICS use in clinical practice. We also provide a clinical guide to the use of add-on therapies with LABA/LAMA for different patient phenotypes, including (1) patients still symptomatic (but not exacerbating) despite LABA/LAMA treatment; (2) patients still exacerbating despite LABA/ LAMA treatment who have high blood eosinophil counts; and (3) patients still exacerbating despite LABA/LAMA treatment who do not have high blood eosinophils or concomitant asthma.

#### Plain language summary

#### What are the options for patients with COPD when LABA/LAMA is not enough?

Treatment guidelines for chronic obstructive pulmonary disease (COPD) recommend dual bronchodilator therapy for the majority of patients, consisting of an inhaled combination of long-acting  $\beta_2$ -agonist (LABA) and long-acting muscarinic antagonist (LAMA). Patients whose COPD is not well controlled on LABA/LAMA require further clinical intervention, which may or may not involve treatment with additional drugs.

Data from observational studies reflecting routine clinical practice suggest that inhaled corticosteroids (ICS) are often added to LABA/LAMA, even though treatment guidelines recommend only adding ICS in a specific group of patients with a history of exacerbations and high levels of eosinophils (a type of inflammatory cell) in the blood, or in those with current asthma. As long-term ICS use may be associated with an increased risk of side effects such as pneumonia, it is important to avoid overuse of ICS. When a patient's COPD is not well controlled on LABA/LAMA, other treatable conditions should first be ruled out, and factors such as medication adherence, inhaler technique, and co-existing health conditions should also be considered.

This review gives advice on what follow-up options physicians should consider when LABA/ LAMA is not providing adequate control of a patient's COPD. Specifically, recommendations are given for three different patient profiles: Ther Adv Respir Dis

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Correspondence to: Marc Miravitlles

Pneumology Department, University Hospital Vall d'Hebron/Vall d'Hebron Research Institute (VHIR, Vall d'Hebron Barcelona Hospital Campus; CIBER de Enfermedades Respiratorias [CIBERES]], P. Vall d'Hebron 119-129, Barcelona 08035, Spain marcm@separ.es

#### Kazuto Matsunaga

Department of Respiratory Medicine and Infectious Disease, Graduate School of Medicine, Yamaguchi University, Yamaguchi, Japan

#### Michael Dreher

Department of Pneumology and Intensive Care Medicine, University Hospital Aachen, Aachen, Germany

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- 1. Patients who still have symptoms of COPD (but no acute/sudden worsenings of symptoms, known as exacerbations).
- 2. Patients who have exacerbations, as well as high levels of eosinophils in the blood.
- 3. Patients who have exacerbations, but without high levels of eosinophils in the blood or current asthma.

*Keywords:* COPD, dyspnoea, eosinophils, exacerbations, inhaled corticosteroids, long-acting beta-agonists

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#### Background

Chronic obstructive pulmonary disease (COPD) is characterized by progressive deterioration of lung function and airflow limitation. Long-term maintenance treatment is required for relief of symptoms,<sup>1,2</sup> the most characteristic of which is chronic and progressive dyspnoea.<sup>1,3</sup> COPD may also be punctuated by periods of acute worsening of respiratory symptoms, called exacerbations.<sup>1,4</sup>

Inhaled bronchodilator therapy with long-acting muscarinic antagonists (LAMAs) and long-acting  $\beta_2$ -agonists (LABAs) in combination is currently the mainstay of COPD treatment.<sup>1,5,6</sup> The Global Initiative for Chronic Obstructive Lung Disease (GOLD) 2023 report recommends first-line treatment with LABA/LAMA for patients with high symptom burden (modified Medical Research Council [mMRC] score ≥2 and/or COPD Assessment Test score  $\geq 10$ ), or frequent or severe exacerbations (≥2 moderate exacerbations/year or  $\geq 1$  exacerbation leading to hospitalization) and a blood eosinophil count < 300 cells/µL.<sup>1</sup> According to GOLD 2023, triple therapy (ICS in combination with LABA/LAMA) should only be considered as initial pharmacological treatment in patients with both frequent or severe exacerbations and blood eosinophils  $\geq$  300 cells/µL, and the use of LABA/ICS is no longer encouraged.1 However, if patients with COPD have concomitant asthma, ICS use is mandatory.<sup>1</sup>

Global and specific national guidelines are largely harmonized in their recommendations that most patients with COPD should be on bronchodilator monotherapy or dual therapy.<sup>1,7–9</sup> However, despite guidelines showing that LABA/LAMA is central to the management of COPD, this is often not the case. In this review, we compare guidelines for the pharmacological treatment of COPD with reallife prescribing patterns reported in registries and other published studies. We also include a brief clinical description of when and why add-on therapies to LABA/LAMA should be considered as a second step in treatment for the following patient phenotypes: (1) patients still symptomatic (but not exacerbating) despite LABA/LAMA treatment; (2) patients still exacerbating despite LABA/LAMA treatment who have high blood eosinophil counts; and (3) patients still exacerbating despite LABA/LAMA treatment but who do not have high blood eosinophil counts or concomitant asthma.

### Recommendations in guidelines: How should patients be treated?

According to the GOLD 2023 report, LABA/ LAMA is recommended as first-line therapy for the majority of patients with COPD.<sup>1</sup> In line with these recommendations, the American Thoracic Society (ATS) and Japanese Respiratory Society (JRS) also recommend first-line therapy with LABA/LAMA for patients with COPD and dyspnoea or exercise intolerance.<sup>10,11</sup> In terms of follow-up treatment, GOLD recommends the addition of ICS (LABA/ LAMA/ICS) if patients continue to have exacerbations despite LAMA or LABA monotherapy (if blood eosinophils  $\geq$  300 cells/µL), or LABA/LAMA dual therapy (if blood eosinophils  $\geq 100 \text{ cells}/\mu\text{L}$ ). However, in patients with persistent dyspnoea despite LABA/LAMA, ICS is not recommended; instead, switching inhaler type or molecules should be considered, as well as non-pharmacological approaches (e.g. pulmonary rehabilitation). Other potential causes of dyspnoea should also be investigated and treated.1

Similar to GOLD, the German National Guideline for COPD recommends that the majority of patients are initially treated with a long-acting bronchodilator or LABA/LAMA dual therapy.<sup>9</sup> Patients classified as GOLD A are recommended either short-acting muscarinic antagonists or short-acting  $\beta_2$ -agonists, LAMA or LABA as initial therapy.<sup>9</sup> For patients who continue to experience exacerbations despite adequate treatment with long-acting bronchodilators, ICS should be considered.<sup>9</sup>

The Spanish COPD guidelines stratify patients into two levels of risk - low and high - according to the degree of airflow obstruction, degree of dyspnoea, and frequency or severity of exacerbations.7 For the initial treatment of low-risk patients (i.e. forced expiratory volume in 1s  $\geq$ 50%, mMRC 0–1, and  $\leq$ 1 moderate exacerbation in the previous year), a LAMA monotherapy is recommended, with LABA/LAMA as followup treatment; for high-risk patients, three phenotypes are included in the pharmacological treatment scheme, namely non-exacerbators, non-eosinophilic exacerbators, and eosinophilic exacerbators.<sup>7</sup> For non-exacerbators (≤1 exacerbation per year) and non-eosinophilic exacerbators, LABA/LAMA is recommended as both initial and follow-up inhaled treatment, with triple therapy an option for non-eosinophilic exacerbators with blood eosinophils >100 cells/µL, taking into account the frequency, severity, and actiology of the exacerbations and the risk of pneumonia.7 For eosinophilic exacerbators, stepup from LABA/ICS to LABA/LAMA/ICS is recommended.7

According to the JRS guidelines, LAMA (or LABA) is recommended for patients with mild COPD; patients with moderate-to-severe COPD should be treated with LABA/LAMA, with the addition of macrolides, theophylline, or a mucolytic agent if further control of symptoms is required.<sup>11</sup> ICS is recommended in patients with concomitant asthma-like features and/or in patients with frequent exacerbations and blood eosinophil counts of more than 300 cells/µL.<sup>11,12</sup>

Largely, the recommendations are aligned between GOLD, ATS, Germany, Spain, and Japan, as well as between guidelines from many other countries or regions.<sup>1,7,9,11,13–17</sup> For example, the ATS practical guidelines strongly recommend that any patient with dyspnoea and exercise intolerance should be given LABA/LAMA as first-line therapy.<sup>10</sup>

It is important to acknowledge that guideline recommendations always refer to classes of drugs rather than specific LAMA, LABA, or ICS molecules (and their combinations) within each class. Although some studies have suggested that different LABA/LAMA fixed-dose combinations have specific efficacy profiles in COPD that might allow more personalized therapy,<sup>18</sup> systematic reviews evaluating intra-class efficacy for LABA/ LAMA and LABA/LAMA/ICS have not shown any clear evidence of greater efficacy associated with specific combinations.<sup>19–23</sup> A summary of international and national treatment guidelines for COPD is presented in Table 1.

### Data from registries: How are patients being treated in real-life practice?

When looking at country-specific real-world data regarding the use of LAMA, LABA, and ICS, it appears that clinicians are not always adhering to the current recommendations, as illustrated by the examples below.<sup>1,7,9-11,24-40</sup>

In Germany, results from two large registry studies indicate discrepancies between real-world findings and current treatment guidelines.<sup>27-30</sup> For example, in the COSYCONET (COPD and SYstemic consequences-COmorbidities NETwork) COPD cohort, 67.6% of patients were treated with LABA/LAMA;28 however, when these patients were classified according to 2017 GOLD criteria (ABCD classification scheme), 66% of patients in groups A and B (low exacerbation rates) were treated with ICS, despite ICS treatment being considered inappropriate in these patients in most circumstances.<sup>28</sup> Conversely, there was evidence of undertreatment in groups C and D (high exacerbation rates), with many patients not treated with LAMA or LABA/LAMA as recommended.28 Similar results have been found in another German registry, the DACCORD registry.<sup>29,30,37-40</sup> For patients with data available at the end of the 1-year follow-up period, LAMA monotherapy or LABA/LAMA/ICS was the most common therapy despite most patients being classified as GOLD B,<sup>29</sup> for whom treatment with LAMA, LABA, or LABA/LAMA is recommended.<sup>9</sup> In a further analysis carried out after 2 years of followup, the majority of patients were on LAMA monotherapy (36%) or LABA/LAMA/ICS (30%).<sup>30</sup>

#### THERAPEUTIC ADVANCES in

Guidelines	First-line treatment	Follow-up treatment
GOLD 20231	<ul> <li>LABA/LAMA for the majority of patients (GOLD B or E)</li> <li>A bronchodilator (GOLD A)</li> </ul>	<ul> <li>For patients with dyspnoea despite LABA/LAMA:</li> <li>ICS not recommended</li> <li>Treat other potential causes of dyspnoea</li> <li>Switch inhaler type or molecules</li> <li>Assess need for non-pharmaceutical intervention(s)</li> </ul>
		<ul> <li>Addition of ICS if:</li> <li>BEC ≥ 300 cells/µL and exacerbations not well controlled on LABA or LAMA monotherapy</li> <li>BEC ≥ 100 cells/µL and exacerbations not well controlled on LABA/LAMA</li> </ul>
		<ul> <li>For patients treated with LABA/LAMA/ICS:</li> <li>Addition of roflumilast if patients have FEV<sub>1</sub> &lt; 50% predicted and chronic bronchitis, particularly if ≥1 exacerbation in previous year</li> <li>Addition of macrolides in nonsmokers (azithromycin preferred, taking into consideration the potential for antibiotic resistance)</li> </ul>
JRS <sup>11</sup>	Mild COPD: LAMA monotherapy (followed by LABA monotherapy if poor disease control on LAMA)	LABA/LAMA
	Moderate-severe COPD: LABA/LAMA	Addition of ICS for patients with comorbid asthma and/or frequent or severe exacerbations $+~BEC \geqslant 300$ cells/µL
		Addition of macrolides, theophylline, or mucolytic agent if frequent exacerbations occur despite two or more long-term control agents
German National Guidelines <sup>9</sup>	<ul> <li>Long-acting bronchodilator monotherapy or LABA/LAMA dual therapy for the majority of patients</li> <li>A short-acting or long-acting bronchodilator monotherapy (GOLD A)</li> </ul>	<ul> <li>For patients with persistent dyspnoea:</li> <li>Escalate from short-acting bronchodilator to LABA or LAMA monotherapy</li> <li>Escalate from LABA or LAMA monotherapy to LABA/LAMA dual therapy</li> </ul>
		<ul> <li>Addition of ICS if exacerbations persist despite long-acting bronchodilator therapy</li> <li>For patients treated with LABA/ICS or LABA/LAMA, escalate to LABA/LAMA/ICS</li> </ul>
		Addition of roflumilast if patients have exacerbations, chronic bronchitis, and severe-to-very-severe airflow limitation
Spanish National Guidelines <sup>7</sup>	Low risk <sup>a</sup> : LAMA monotherapy	LABA/LAMA
	High risk <sup>b</sup> (non-exacerbators): LABA/LAMA	LABA/LAMA
	High risk <sup>b</sup> (non-eosinophilic exacerbators): • LABA/LAMA	After first-line LABA/LAMA: ICS-containing therapy if BEC $\ge 100\text{cells}/\mu\text{L}^c$
	High risk <sup>ь</sup> (eosinophilic exacerbators): LABA/ICS	LABA/LAMA/ICS
		<ul> <li>For patients treated with LABA/LAMA or LABA/LAMA/ICS:</li> <li>Addition of roflumilast if patients have an exacerbation phenotype, chronic bronchitis, and severe airflow limitation</li> <li>Addition of macrolides if patients have an exacerbation phenotype (≥3 exacerbations during the previous year despite adequate inhaled treatment)</li> </ul>

 Table 1. Summary of treatment recommendations from international and national COPD guidelines.

 $^{\circ}$ FEV<sub>1</sub> ≥50%, mMRC 0–1, and ≤1 moderate exacerbation in the previous year.

 $^{b}$ FEV<sub>1</sub> <50%, mMRC 2–4, and  $\geq$ 2 moderate exacerbations (or  $\geq$ 1 exacerbation leading to hospitalization) in the previous year.

Taking into account the frequency, severity, and aetiology of the exacerbations, as well as the risk of pneumonia.

BEC, blood eosinophil count; COPD, chronic obstructive pulmonary disease; FEV<sub>1</sub>, forced expiratory volume in 1s; GOLD, Global Initiative for Chronic Obstructive Lung Disease; ICS, inhaled corticosteroids; JRS, Japanese Respiratory Society; LABA, long-acting  $\beta_2$ -agonist; LAMA, long-acting muscarinic antagonist, mMRC, modified Medical Research Council.

Only a minority of patients (16%) were on LABA/ LAMA at the end of 2years, despite 75% of patients in DACCORD being non-exacerbators.<sup>30</sup>

Overuse of ICS has also been reported in Spanish registries.<sup>24–26</sup> Among patients with COPD initiating LABA/LAMA/ICS in primary care, 70% were classified as GOLD A or B.<sup>24</sup> These patients were on triple therapy despite 54% being nonexacerbators at baseline, and they continued on the same treatment over time regardless of disease severity.<sup>24</sup> In another primary care study from Spain, almost half (48%) were treated with ICS, although only 27% experienced moderate or severe exacerbations in the previous year (34% during the previous 2years).<sup>25</sup>

A number of observational/registry studies have also been conducted in Japan.<sup>31–34</sup> Analysis of data from patients with COPD in the COPD Assessment in Practice (CAP) study, 65% of whom had no previous exacerbations in the last year,<sup>31</sup> showed that 28% of patients were treated with LABA/LAMA/ICS and 21% with LABA/ LAMA.<sup>34</sup> When the patients in the study were classified according to GOLD 2017 criteria, 36% of patients in group A were receiving an ICScontaining treatment regimen.<sup>32</sup>

Data from other regions, such as Latin America and Central and Eastern Europe, suggest that overuse of ICS is not unique to Germany, Spain, and Japan. In the LASSYC (Latin American Study of 24-h Symptoms in Chronic Obstructive Pulmonary Disease) study, 32.7% of GOLD A patients and 19.8% of GOLD B patients were treated with LABA/ICS, and 17.3% of GOLD A patients and 30.2% of GOLD B patients were treated with LABA/LAMA/ICS.<sup>35</sup> In the POPE (Phenotypes of COPD in Central and Eastern Europe) study in Central and Eastern Europe, 34% of GOLD A patients and 41.6% of GOLD B patients were prescribed ICS-containing therapies.<sup>36</sup>

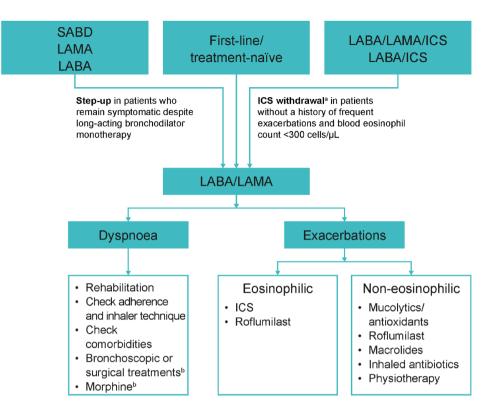
#### Use of LABA/LAMA as maintenance therapy: What are the treatment pathways?

There are three main pathways leading to LABA/ LAMA therapy: (1) stepping up treatment from short-acting bronchodilators, LAMA monotherapy, or LABA monotherapy; (2) withdrawing ICS from LABA/LAMA/ICS or switching from LABA/ICS; and (3) LABA/LAMA as initial therapy (Figure 1).

In the first pathway, guidelines recommend escalation to dual therapy if patients remain symptomatic despite short- or long-acting bronchodilator monotherapy.7-9 In the second pathway, withdrawal of ICS, either from LABA/ICS or from triple therapy (i.e. switching to LABA/LAMA), is recommended for patients without a history of frequent exacerbations and with a blood eosinophil count  $<300 \text{ cells}/\mu L^{41}$  (ICS withdrawal in patients with eosinophils  $\geq$  300 cells/µL is more likely to be associated with the development of exacerbations).1 In the third pathway, LABA/ LAMA should be initiated if patients have a high symptom burden,<sup>1,7,9</sup> impaired physical activity, 10, 42-44 or frequent/severe exacerbations ( $\geq 2$ moderate exacerbations or  $\geq 1$  exacerbation leading to hospitalization in the past year) and blood eosinophils <300 cells/µL.<sup>1</sup>

In patients for whom LABA/LAMA treatment is not enough, the first steps to take before changing therapy are to check patient adherence, compatibility/technique, inhaler alternative combinations of LABA/LAMA, and inhalation ability.<sup>1,45</sup> It is important to ensure that patients are adherent and using their inhalers correctly before considering changing their medication or device. Poor adherence may be unintentional or intentional: unintentional non-adherence can often be remedied using patient education, simplification of treatment regimens, or the use of a reminder system, whereas intentional nonadherence may be more complex and challenging to address.<sup>46</sup> Some patients actively engage with disease management but may not receive full benefit from their medication due to incorrect inhalation technique, or other reasons beyond their control.45 Some studies have indicated that around 50-70% of patients are not using their inhalers correctly.47,48 It is also necessary to check that patients can achieve the required peak inspiratory flow for their device.49-51

Once these factors have been checked, it is important to take into account the phenotype of the patient before considering the next steps; for example, whether the key factor is symptoms (e.g. dyspnoea) or exacerbations, and what the exacerbation phenotype is (eosinophilic or non-eosinophilic; Figure 1).



**Figure 1.** The role of LABA/LAMA and add-on therapies in the management of COPD. <sup>a</sup>De-escalation from ICS in patients with eosinophils >300 cells/µL is more likely to be associated with the development of exacerbations.<sup>1</sup>

<sup>b</sup>For management of very severe and/or end-stage COPD only.

COPD, chronic obstructive pulmonary disease; ICS, inhaled corticosteroid; LABA, long-acting  $\beta_2$ -agonist; LAMA, long-acting muscarinic antagonist; SABD, short-acting bronchodilator.

For patients with dyspnoea, it is important to look for cardiovascular disease, which is a common comorbidity in COPD. For example, in the DACCORD study, >50% of patients with COPD had cardiovascular comorbidities, with a rise in prevalence corresponding to increasing age groups.<sup>40</sup> For patients with exacerbations, it is imperative to recognize that not all exacerbations are the same and that different add-on therapies are required for different types of exacerbation.<sup>52</sup>

While the majority of patients who receive LABA/ LAMA plus add-on therapy are on triple therapy (LABA/LAMA/ICS), this may not be suitable for all, and there are other add-on options available for certain patient phenotypes.<sup>1,53</sup> Tools that can help phenotype patients in order to select the most appropriate therapy to add to LABA/LAMA are described in Table 2 and considered further in relation to three distinct patient phenotypes.

### Phenotype 1: patients still symptomatic (but not exacerbating) despite LABA/LAMA

For patients with this phenotype, management is difficult and options are limited. In patients without a history of exacerbations, ICS has only a modest additional impact on lung function and symptoms.<sup>54,55</sup>

#### Key strategies for phenotype 1

- It is important to evaluate and provide suitable interventions for pulmonary complications and systemic comorbidities as these can have an impact on mortality.<sup>56,57</sup>
- For example, prefrailty and frailty have been reported in up to 56% and 20% of patients with COPD, respectively;<sup>58</sup> in addition, frailty and respiratory impairment together substantially increase the risk of death.<sup>59</sup> Patient-reported outcome measures

Table 2. What diagnostic tools can be used to phenotype patients?

Test	Role	
CT scan	Detect bronchiectasis in frequent exacerbators and evaluate emphysema for bronchoscopic or surgical lung volume reduction	
Echocardiography	Detect cardiovascular comorbidity or pulmonary hypertension	
Blood gas analysis	Detect respiratory insufficiency type I (hypoxemia $\rightarrow$ LTOT) or type II (hypercapnia $\rightarrow$ long-term non-invasive ventilation)	
Full-body plethysmography	Detect hyperinflation/emphysema ( $\rightarrow$ ELVR)	
Sleep study	Detect obstructive sleep apnoea	
Baseline blood eosinophil count and FeNO	Detect patients who are responsive to ICS treatment	
Cardiopulmonary exercise testing	Quantify exercise limitations and identify the underlying causes	
Sputum analysis	To detect chronic pathogens that may be causing an infection in the airways	

CT, computed tomography; ELVR, endoscopic lung volume reduction; FeNO, fractional exhaled nitric oxide; ICS, inhaled corticosteroid; LTOT, long-term oxygen therapy.

such as the PROMs-D (patient-reported outcome measures for dyspnoea-related behaviour and activity limitation scale) are effective in stratifying patients for frailty,<sup>60</sup> which has led to their inclusion in the JRS guidelines.<sup>11</sup>

- Check inhaler technique and adherence, lung function (e.g. post-bronchodilator reversibility test to rule out the possibility of asthma phenotype).
- Consider comorbid conditions (Table 3).<sup>60–65</sup>
- Late/end-stage COPD: consider opiates/ morphine, lung volume reduction surgery, and lung transplantation.

## Phenotype 2: patients still exacerbating despite LABA/LAMA and with high blood eosinophil counts

In patients with COPD who continue to exacerbate whilst prescribed LABA/LAMA, biomarkers can be used to guide therapy.<sup>61,68–70</sup> Assessment of blood eosinophil count in combination with exacerbation history can be used to predict responsiveness to ICS, and further markers such as fractional exhaled nitric oxide (FeNO) can indicate that ICS might be beneficial.<sup>68</sup> Physicians should also consider allergic (atopic) asthma as an alternative diagnosis by testing for immunoglobulin E.<sup>71</sup> The JRS guidelines recommend measurement of this type 2 inflammation biomarker to confirm asthma-like features in patients with COPD.<sup>11</sup>

In combination with an exacerbation history, a high blood eosinophil count is a predictive biomarker that helps to identify patients who would benefit from ICS treatment.72,73 However, the definition of high blood eosinophils has been debated,74-79 and greater variability has been reported at higher eosinophil thresholds.<sup>80,81</sup> The GOLD 2023 report recommends that patients with a blood eosinophil count  $\geq$  300 cells/µL and frequent or severe exacerbations ( $\geq 2$  moderate exacerbations or  $\geq 1$  requiring hospitalization per year) should be treated with ICS in addition to LABA/LAMA.1 The addition of ICS can also be considered at a lower eosinophil threshold  $(\geq 100 \text{ cells/}\mu\text{L})$  if patients continue to have exacerbations despite LABA/LAMA.1 However, these values should not be used as precise cut-offs, but rather to identify individuals with the greatest likelihood of ICS benefit.82

#### Key strategies for phenotype 2

• An ICS is indicated in addition to LABA/ LAMA.

#### Table 3. Assessment/management of comorbid conditions.

Comorbidity	Clinical assessment/tests	Pharmacological treatment	Non-pharmacological treatment
Cardiovascular disease	Blood pressure, chest X-ray, ECG, BNP, UCG	Indication of comorbid heart failure, consider selective β1-blocker	Management of risk factors, such as obesity and cigarette smoking
Lung cancer	Chest X-ray, chest CT scan		
Frailty	Fried criteria, PROMs-D scale, <sup>60</sup> accelerometer	PROMs-D ≥1: consider SABA assist use <sup>63</sup>	$PROMs$ - $D \ge 1$ : consider coaching and pulmonary rehabilitation
Obstructive sleep apnoea	Polygraphy/ polysomnography		<ul> <li>AHI &gt; 5 consider CPAP</li> <li>Sleep hygiene and patient education to avoid risk factors such as alcohol use and weight gain</li> </ul>
Respiratory failure	Pulse oximetry, ABGA		<ul> <li>PaO<sub>2</sub> &lt; 60 mmHg: consider LTOT</li> <li>Consider long-term NPPV if:         <ul> <li>PaCO<sub>2</sub> &gt; 50 mmHg during daytime spontaneous breathing or &gt;55 mmHg during night-time spontaneous breathing<sup>62</sup></li> <li>Persistent hypercapnia &gt;53 mmHg for ≥14 days following treatment with non-invasive ventilation for an exacerbation<sup>62,66,67</sup></li> </ul> </li> </ul>
Obesity	BMI, bioelectrical impedance analysis		Diet, exercise

ABGA, arterial blood gas analysis; AHI, apnoea -hypopnoea index; BMI, body mass index; BNP, brain natriuretic peptide; CPAP, continuous positive airway pressure; CT, computed tomography; ECG, electrocardiogram; LTOT, long-term oxygen therapy; NPPV, non-invasive positive-pressure ventilation; PaCO<sub>2</sub>, partial pressure of carbon dioxide in the arterial blood; PaO<sub>2</sub>, partial pressure of oxygen in the arterial blood; PROMs-D, patient-reported outcome measures for dyspnoea-related behaviour and activity limitation; SABA, short-acting  $\beta_2$ -agonist; UCG, ultrasound echocardiography.

- It is useful to interpret different biomarkers using a reference range to predict the effect of ICS (Table 4).<sup>1,68,69,83</sup>
- High-dose ICS is not always necessary, and medium doses should be considered during follow-up.
- Current smoking decreases FeNO levels by approximately 30%<sup>84</sup> and may impair ICS efficacy.<sup>85–87</sup>

#### Phenotype 3: patients still exacerbating despite LABA/LAMA but without high eosinophils or concomitant asthma

Not all exacerbations are alike:  $^{88-93}$  around 50–70% of exacerbations are due to respiratory infections, whereas 10% are due to environmental pollution and up to 30% are of unknown aetiology.  $^{94}$ 

ICS are not beneficial for patients with low blood eosinophil counts (i.e. a neutrophilic phenotype)

who experience exacerbations. As exacerbations in these patients tend to be associated with a greater presence of bacterial pathogens,<sup>95</sup> detection and management of potential airway infection is key for this patient phenotype,<sup>61,96,97</sup> as well as assessment of potential bronchiectasis and comorbidities.

Patients with purulent sputum have been found to have greater neutrophilic inflammation and a higher presence of bacterial pathogens.<sup>95</sup> Purulent sputum is a key sign to differentiate between bacterial causes as opposed to inflammatory, viral, or environmental causes,<sup>94,98</sup> and serial sputum cultures help identify patients with chronic bacterial infection, especially when caused by unusual bacteria.<sup>99,100</sup> For the detection of possible bronchiectasis, high-resolution chest computed tomography (CT) is useful.<sup>99</sup> The mean prevalence of bronchiectasis in patients with COPD has been reported to be 54.3% (ranging from 4% to 72% in some studies).<sup>97,101</sup>

	Support	Against
Initiating ICS treatment	<ul> <li>Concomitant asthma<sup>1</sup></li> <li>Baseline blood eosinophil count ≥300 cells/µL<sup>1,11,68,70,83</sup></li> <li>Baseline FeNO≥35 ppb<sup>11,68,70,83</sup></li> </ul>	<ul> <li>Baseline blood eosinophil count &lt; 100 cells/µL<sup>1,11</sup></li> <li>Baseline FeNO &lt; 20 ppb<sup>68,70,83</sup></li> <li>Repeated pneumonia<sup>1</sup></li> <li>Evidence of chronic bronchial infection</li> <li>History of mycobacterial infection</li> </ul>
	Support	Against (consider withdrawal)
Continuing ICS treatment	<ul> <li>Concomitant asthma<sup>1</sup></li> <li>Baseline blood eosinophil count ≥100 cells/µL (if persistent exacerbations despite LABA/LAMA)<sup>1</sup></li> <li>Baseline FeNO ≥ 20 ppb<sup>68,70,83</sup></li> </ul>	<ul> <li>Patients who lack clinical benefit or who experience side effects of ICS (e.g. pneumonia)<sup>1</sup></li> <li>Patients with infrequent exacerbations and eosinophil count &lt;300 cells/µL<sup>41</sup></li> </ul>

Table 4. Tests and treatment pathways for initiating/continuing ICS treatment.

FeNO, fractional exhaled nitric oxide; ICS, inhaled corticosteroid; LABA, long-acting  $\beta_2$ -agonist; LAMA, long-acting muscarinic antagonist; ppb, parts per billion.

Vaccination is also important in patients with COPD given the link between viral infections and exacerbations. The influenza vaccine is recommended for all patients with COPD, whereas pneumococcal vaccines are recommended for patients aged >65 years and for younger patients with significant comorbidities.<sup>1</sup>

#### Key strategies for phenotype 3

- A chest CT scan is recommended for the identification of bronchiectasis as well as other pathologies, for example, bronchiolitis.
- Sputum culture should be implemented in exacerbating patients with purulent sputum or bronchiectasis present on a chest CT scan.
- Roflumilast and/or macrolides can be considered for patients with frequent exacerbations and a low eosinophil count.<sup>1</sup>
- For patients with chronic bronchitis, roflumilast is recommended to reduce exacerbations, particularly in patients with a prior hospitalization for an exacerbation, greater exacerbation frequency, and higher baseline blood eosinophil counts.<sup>102</sup>
- If pathogenic microorganisms are identified in the airways, targeted antibiotic therapy should be initiated before consideration of long-term macrolide use (both roflumilast and macrolides often cause side effects and/ or bacterial resistance).<sup>103</sup>
- A subgroup of particularly challenging patients with frequent bacterial

exacerbations and showing colonization (e.g. with *Pseudomonas aeruginosa*) need eradication therapy, which can include inhaled antibiotics.<sup>104</sup>

Long-term macrolides can be considered in patients with frequent exacerbations;<sup>64</sup> however, patients' sputum should be regularly tested for bacterial resistance and should be re-evaluated if non-tuberculous mycobacterium is identified.<sup>64,65</sup> Currently, there is no consensus regarding how long this treatment should be applied, and therefore this treatment should be initiated and monitored by a respiratory specialist.<sup>65</sup> Mucoactive drugs are other options to consider for this patient profile. Considering the increase in non-tuberculous mycobacterial infections, the use of erythromycin, which does not develop cross-resistance with clarithromycin, is recommended.<sup>11</sup>

The potential limitations to this review are that whilst we did look at global and country-specific guidelines, we predominately focused on registry data from three specific countries, which may not necessarily be extrapolated to all populations. Furthermore, there are differences between the three countries, both environmentally and clinically, that may have an impact on the patient phenotypes.

#### Conclusions

Despite global and national recommendations that most patients with COPD should be on

LABA/LAMA, real-world data suggest that countries are not adhering to this guidance and that ICS are frequently overused.

In patients currently on LABA/LAMA who continue to experience symptoms or exacerbations, evaluation of current treatment and intervention for comorbidities should be considered as early as possible. In accordance with treatment guidelines, the addition of ICS to LABA/LAMA must be considered if patients have frequent or severe exacerbations with high eosinophil counts ( $\geq$ 300 cells/µL), or concomitant asthma, and if other treatable pathologies such as bronchiectasis or chronic infections have been ruled out.

#### Declarations

*Ethics approval and consent to participate* Not applicable.

*Consent for publication* Not applicable.

#### Author contributions

**Marc Miravitlles:** Conceptualization; Formal analysis; Investigation; Methodology; Writing – original draft; Writing – review & editing.

**Kazuto Matsunaga:** Conceptualization; Formal analysis; Investigation; Methodology; Writing – original draft; Writing – review & editing.

**Michael Dreher:** Conceptualization; Formal analysis; Investigation; Methodology; Writing – original draft; Writing – review & editing.

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#### **ORCID** iDs

Marc Miravitlles Difference by https://orcid.org/0000-0002-9850-9520 Michael Dreher by https://orcid.org/0000-0002-3452-5329

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