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1 Stakeholder-driven transformative adaptation
 2 is needed for climate-smart nutrition security
 3 in sub-Saharan Africa

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Stakeholder-driven transformative adaptation is needed for climate-smart nutrition security

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Abstract

Improving nutrition security in sub-Saharan Africa under increasing climate risks and population growth requires a strong and contextualised evidence base. Yet, to date, few studies have assessed climate-smart agriculture and nutrition security simultaneously. Here we use an integrated assessment framework (iFEED) to explore stakeholder-driven scenarios of food system transformation towards climate-smart nutrition security in Malawi, South Africa, Tanzania and Zambia. iFEED translates climate-food-emissions modelling into policy-relevant information using model output implication statements. Results show that diversifying agricultural production towards more micronutrient-rich foods is necessary to achieve an adequate population-level nutrient supply by mid-century. Agricultural areas must expand unless unprecedented rapid yield improvements are achieved. Whilst these transformations are challenging to accomplish and often associated with increased greenhouse gas emissions, the alternative for a nutrition-secure future is to rely increasingly on imports, which would outsource emissions and be economically and politically challenging given the large import increases required.

Keywords: Nutrition security, transformation, adaptation, climate change

1 Main

Achieving an adequate supply of energy and nutrients to meet population dietary needs under climate change requires policy decisions made in the face of high uncertainty across multiple components of complex socio-environmental systems.¹ This challenge is particularly urgent in sub-Saharan Africa (SSA), where climate change could put millions more people at risk of food and nutrition insecurity by mid-century.² At country scales and above, policies need holistic evidence if adaptation to climate change is to avoid being siloed in different government departments.³

105 The evidence available to inform agricultural policies that are resilient
106 to climate change and can supply sufficient energy and nutrients to a pop-
107 ulation can be grouped into two broad areas: climate-smart approaches
108 and transformative adaptation. Climate-smart agriculture (CSA), and more
109 broadly climate-smart food systems,⁴ consider the need for increased pro-
110 ductivity and adaptation to climate change, as well as the potential for
111 mitigation i.e. reducing the greenhouse gas (GHG) emissions resulting from
112 adaptations. Transformative adaptation consists of structural changes to shift
113 away from undesirable food system trajectories, rather than incremental cop-
114 ing mechanisms that characterise most policy approaches to climate and
115 nutrition.^{5,6}

116 The methods used for assessing the efficacy of transformative adapta-
117 tion and CSA strategies are varied, ranging from modelling-based approaches
118 that quantify uncertainties in climate change impacts to stakeholder-driven
119 approaches that examine capacities and vulnerabilities.¹ Integrated Assess-
120 ment Models (IAMs) have been used to assess food system options and
121 outcomes under different future conditions, including land use change, and
122 environmental and economic impacts.⁷ Uncertainties in possible food system
123 futures can be explored using IAM scenario analysis^{8,9,10,11,12} - for example,
124 the widely-used Shared Socioeconomic Pathways focus on energy, land use and
125 mitigation.¹³ Other analyses have explored scenarios of food production and
126 consumption given climate change and policy decisions,¹⁴ and the health impli-
127 cations of different future diets.^{7,15} Few large-scale studies integrate nutrition,
128 or the importance of the trade-offs involved in achieving CSA - for example,
129 optimising water use and GHG productivity in rice systems,¹⁶ or trade-offs
130 between biodiversity and crop productivity.¹⁷

131 Nutrition and nutrient adequacy to meet population-level dietary needs
132 have yet to be assessed within an integrated CSA framework, risking sub-
133 optimal adaptation from both health and environmental perspectives.¹⁸
134 Equally, studies of transformative adaptation have shown that historical food
135 system transitions can have sub-optimal nutritional and environmental out-
136 comes,¹⁹ with most studies focussing on a small number of cereal crops and
137 food production.^{20,21} This suggests that the evidence on which current adap-
138 tation strategies are based is insufficient for achieving the changes needed for
139 sustainable, climate-smart nutrient supply.

140 Inclusive approaches to integrated assessment - involving stakeholders at
141 every stage of the process - are critical for informing country-specific pol-
142 icy processes. We use an integrated assessment framework - the integrated
143 Future Estimator for Emissions and Diets (iFEED²²) - to combine climate-
144 food-emissions modelling with stakeholder and academic expertise, assessing
145 both CSA and food and nutrient supplies, and bridging the gap between mod-
146 elling and national scale policy-relevant outputs.^{23, 24} We assess the adequacy
147 of energy and nutrient supplies to meet dietary requirements at a popula-
148 tion level (hereafter referred to as population-level nutrition security). We
149 describe results for four focal SSA countries: Malawi, South Africa, Tanzania
150 and Zambia.

151 Our approach has three major steps, corresponding to the headings that
152 follow. We first co-develop different possible future scenarios with stakeholders,
153 designed to explore as broad a range of context-specific food system futures as
154 possible. Scenarios use integrated modelling to analyse nutrition-security and
155 climate-smartness. We define nutrition security as having a sufficient supply
156 of the right food to achieve all nutrient requirements at a population level. We
157 then compare results across scenarios and countries, resulting in conclusions
158 that are less sensitive to underlying assumptions and therefore more robust.
159 Finally, we assess the policy implications of the findings.

160 **2 Climate-smart, nutrition-secure scenario** 161 **assessment**

162 We explore stakeholder-driven scenarios that assess how climate-smart nutri-
163 tion security can be achieved by mid-century given population growth and
164 increasing climate volatility in each focal country. Modelling of climate and
165 land use change and resulting impacts on domestic food production and
166 agricultural GHG emissions are supported by comprehensive uncertainty
167 reporting. The model results provide the basis for: (i) an analysis of how
168 domestic production and trade interact in changing population-level nutrition
169 security; (ii) a diverse array of implication statements, including environmental
170 and social implications of the results. This provides information for assess-
171 ing nutrition security and CSA for each scenario. We then compare scenarios
172 to identify robust commonalities that lead to preferred CSA and nutritional
173 outcomes, and lastly point to policy implications.

174 Stakeholders created a 2x2 scenario matrix for each country during
175 participatory scenario workshops. The stakeholders were representatives of
176 government, academia, civil society and the agriculture sector, representing a
177 broad a range of food system expertise - see Table SI2 for stakeholder details.
178 How adaptation to climate change is implemented in each scenario is directly
179 informed by stakeholders, ranging from the incremental (consisting of changes
180 in planting dates and currently existing crop varieties) to the transformative
181 (where different crops are grown in new locations to maximise production).
182 Contrasting trade vignettes explore how business-as-usual or changes to trade
183 impact nutrition security given domestic policy decisions. Figure 1 summarises
184 the outcomes of this process, including the assumptions around land use, crop
185 yields, diversification and trade that underpin each scenario.

186 In all countries, the level of climate risk was selected by stakeholders as
187 one of two critical uncertainties of food system futures. Low climate risk was
188 characterised by 18 bias-corrected CMIP5 climate models under the RCP2.6
189 emissions scenario, and high climate risk by RCP8.5.²⁵ Whilst extreme climate
190 events (such as droughts, floods and record-breaking high temperatures) fea-
191 ture in projections for all countries and scenarios, they do not directly affect
192 average future levels of nutrition security or CSA outcomes. However, resilience
193 to extremes, as achieved through crop diversification, was found to have some
194 nuanced implications for nutrition security (see Section 3.1). Extreme events
195 were also important to stakeholders and their implications are explored in
196 Section SII.

197 Stakeholders defined the second critical uncertainty around the agricultural
198 transformative changes relevant to their country. These were the effectiveness
199 of policy implementation (Malawi), the extent of land reform (South Africa),
200 the extent of technological transformation (Tanzania), and the degree of mar-
201 ket connectivity and functionality (Zambia). We refer to these simply as high
202 transformation (HT) or low transformation (LT) scenarios. The result is four
203 scenarios per country, comprising HT/LT x high/low climate risk.

204 Compared to the South Africa scenarios, Malawi, Tanzania and Zambia
205 scenarios explore a larger range of adaptation options, from small incremental
206 changes to the transformative, and therefore we focus on these comparisons in
207 Section 3 to assess the potential of such changes for improving nutrition secu-
208 rity. HT scenarios for these three countries were associated with the largest

209 changes in agricultural systems, characterised as having a continuation of his-
210 torical yield trends, crop switching to maximise production, and expansion
211 of agricultural area and irrigation. These stakeholder-led scenario characteri-
212 sations mean that yields generally increase in HT scenarios. LT scenarios in
213 these three countries were characterised as more similar to the status quo, with
214 incremental adaptation and minimal yield and area changes.

215 Figure 2 summarises climate-smartness and nutrition security outcomes for
216 each scenario. CSA outcomes are assessed by whether each aspect (productiv-
217 ity, adaptation and mitigation) improves or worsens relative to the baseline.
218 Descriptive result summaries for each scenario and country are available at
219 <https://ifeed.leeds.ac.uk/>, as well as underlying model results and implica-
220 tion statements. In all four countries, agricultural transformation is a much
221 larger driver of nutrition security and CSA outcomes than the degree of cli-
222 mate risk. In Malawi, Tanzania and Zambia, high population growth combines
223 with LT conditions to reduce nutrition security. In contrast, HT scenarios show
224 improvements to nutrition security. The South Africa high climate risk sce-
225 narios show the counter-intuitive effect of improving nutrition security. This
226 is because stakeholder input to the scenarios indicated greater investment in
227 adaptation under high climate risk. For example, new crop varieties, irrigation
228 expansion and crop diversification, which lead to increased production and a
229 more varied food supply.

230 In all scenarios where nutrition security improves, GHG emissions from
231 agriculture increase due to agricultural expansion and higher yields. However,
232 in these scenarios, soil organic carbon typically increases due to the increased
233 organic inputs to the soil which partially compensates for the increased emis-
234 sions, resulting in net emissions falling in several HT scenarios. Other analysis
235 has shown that scenarios of intensification can result in lower emissions than
236 scenarios of agricultural expansion.²⁶

237 Figures 3 and 4 show nutrition security results for Tanzania and Zambia
238 HT RCP2.6 and RCP8.5 scenarios assuming business-as-usual trade; all other
239 nutrition security results assuming business-as-usual trade are in Section SI7.
240 In Tanzania in the baseline, bovine meat production is approximately 200,000
241 tonnes, and in Zambia it is approximately 50,000 tonnes. In all HT scenarios,
242 livestock meat (including bovine meat, sheep and goat meat, pig meat, and
243 poultry meat) and dairy production (from bovine milk and sheep and goat
244 milk) more than doubles due to a combination of increases to livestock feed

245 from crops and livestock pasture expansion. The most common nutrients that
246 fail to meet population requirements in these scenarios are fat, calcium and
247 iron. This suggests that increases to livestock production - i.e. increases larger
248 than projected population increases - could help meet these requirements given
249 low baseline livestock consumption compared to many other countries, albeit
250 with environmental costs. This trade-off is discussed further in Section 4.

251 **3 Agricultural transformations for nutrition** 252 **security**

253 **3.1 Micronutrient-rich, productive crops for nutrition** 254 **security**

255 In all LT scenarios, incremental adaptation is insufficient to ensure an ade-
256 quate nutrient supply for the population by mid-century. In the HT scenarios,
257 transformative adaptation improves nutrition security due to increases in
258 micronutrient-rich crops such as fruit and vegetables. Our results suggest that
259 a continued focus on maize will continue to lead to sub-optimal nutritional
260 outcomes in all countries.

261 Reduced crop diversity was considered by stakeholders to be a possible
262 outcome in Tanzania and Zambia HT-RCP8.5 scenarios, in contrast to HT-
263 RCP2.6 scenarios, which were associated with increased diversification. In
264 both Tanzania and Zambia HT-RCP8.5 scenarios, the resulting focus on fewer,
265 higher-yielding crops (such as sugarcane, onions, cassava, and fruit and veg-
266 etable commodities) leads to per capita food supply exceeding requirements
267 if assuming some degree of international trade. Whilst the increased supply
268 of these commodities leads to increases in micronutrient-rich fruit and veg-
269 etables, there is also a significant over-supply of calories through expansion
270 of maize and sugarcane - for example, there are more than 250% of required
271 per capita calories in the Tanzania HT-RCP8.5 scenario. Overproduction of
272 calories to improve micronutrient supplies is not realistic or desirable. Supple-
273 mentary analysis shows that with none of the increased sugarcane production
274 and 50% of the maize increase seen in the 2050 HT-RCP8.5 scenario, micronu-
275 trient supplies still improve relative to the baseline due to the increase in other
276 more nutrient-rich commodities, but even with these reductions there was still
277 an over-supply of calories, albeit smaller (139% of requirements; see Table SII).

278 With increased crop diversification in the HT-RCP2.6 scenarios, per capita
279 nutrient supplies also improve. In Tanzania, per capita calorie and micronu-
280 trient supplies are generally inferior (iron, zinc and calcium inadequacies)
281 compared to the HT-RCP8.5 scenario due to reduced crop production. In Zam-
282 bia, increased crop diversification but lower crop production in the HT-RCP2.6
283 scenario results in inadequate calorie and micronutrient supply, relative to both
284 the HT-RCP8.5 scenario and, for most nutrients, relative to the 2000 baseline,
285 owing to population increases outpacing agricultural production.

286 Several studies identify a relationship between crop diversification and
287 climate resilience.^{27,28,29} A trade-off is evident in our results between crop
288 diversification and crop production, with the largest increases in production
289 associated with HT scenarios that reduce crop diversity due to expansion of the
290 highest-yielding crops, notably maize. However, the increase in maize monocul-
291 tures implied by stakeholders in scenarios of reduced crop diversity can result
292 in greater risks from crop pests and diseases, and given reduced risk-spreading
293 across multiple crops, fewer opportunities for on-farm income generation, and
294 greater detrimental health impacts, particularly for children, mothers and vul-
295 nerable and poor populations²⁹(see Section SI3). Our analysis also shows that
296 maize is more susceptible to climate extremes than other crops, including soy-
297 bean (see Section SI1). Soybean is one of a number of crops important for
298 diversification policy agendas in the region due to its important role as a
299 cash crop and climate-resilience³⁰ (see Section 4). If future food systems rely
300 on fewer crops, there could be increased risks of obesity and associated non-
301 communicable diseases, such as type II diabetes, cardiovascular disease and
302 some forms of cancer,³¹ continuing current trends in global food systems.³²
303 Thus, expansion of maize and not a diverse set of crops can have a number of
304 negative consequences. There are challenges to expanding fruit and vegetable
305 production, such as dealing with increased quantities of highly-perishable
306 foods, which policies need to account for^{33,34}(see Section SI3). There would be
307 an increased need for infrastructural development, particularly for agricultural
308 services such as storage, processing and transportation, in order to cut post-
309 harvest losses, and additionally fruit and vegetable production is commonly
310 input- and labour-intensive.³⁵

3.2 Cropland expansion, yield trends and trade

Mid-century nutrient requirements remain only partially fulfilled in all scenarios, despite the transformative agricultural adaptation strategies employed in HT scenarios. In order to completely fulfil the populations' nutrition requirements, further changes to domestic production or trade are necessary - for example, reconfiguring domestic food production, or food import dependencies, to increase the supply of specific targeted food items.

Stakeholder-designed trade vignettes explored the nutrition security consequences of altering food imports and exports in each scenario. Of the 16 scenarios across all four countries, nine of these stakeholder-designed trade vignettes have net imports (notably including all high climate risk scenarios), with more than a doubling of imports compared to business-as-usual trade in some cases. For context, in South Africa, baseline maize exports are greater than imports. Imports are approximately five times larger than the other three countries at 5 million tonnes.³⁶ Although import increases generally lead to higher average per capita nutrient outcomes, in most cases this is still insufficient. Therefore, unless relying on greatly increased food imports, domestic production in these countries needs to increase to fulfil calorie and micronutrient requirements. In the absence of unprecedented yield increases, the scenarios show that the supply of calories and nutrients only improve by expanding agricultural areas.

Tanzania and Malawi HT scenarios show more favourable nutrition security outcomes than the Zambia scenarios, with most nutrient requirements satisfied. In the more favourable scenarios, the factors leading to increased production are broadly the same as in Zambia HT scenarios: increases to irrigation and yields, and a focus on maximising crop production through the highest-yielding crops. The key difference is in the future expansion of agricultural land in Tanzania and Malawi: arable land expands by over 50%, and as a result there are sufficient calories and nutrients, with the exceptions of marginally inadequate fat in Malawi, and marginal fat, calcium and iron supplies in Tanzania. By contrast, Zambia crop areas expand by only 5% in HT scenarios.

It is most likely that a combination of yield improvements for more micronutrient-rich crops and area expansion will be needed to achieve nutrition security for the growing SSA population by mid-century. Yield increases in HT

Stakeholder-driven transformative adaptation is needed for climate-smart nutrition security

346 scenarios in Malawi, Tanzania and Zambia are on average about 150%, match-
347 ing the largest increases seen in the region from 1960 to 2010. Studies suggest
348 that greater than three-fold yield gains in SSA are possible by mid-century
349 through improved soil fertility and crop varieties.^{37,21} If productivity gains are
350 not sufficient, area is available in SSA for agricultural expansion.^{21,38} There
351 can be substantial biodiversity losses from such expansion (see Section SI4),
352 suggesting that in future there should be prioritisation of productivity gains
353 for calories and nutrients over land use expansion. A majority of agriculturally-
354 suitable land is already in use in Malawi,³⁹ more so than in Tanzania and
355 Zambia.^{21,38} Much of the non-agricultural land in Malawi consists of Miombo
356 woodland, making expansion problematic due to loss of ecosystem services.⁴⁰
357 In addition, protected areas are increasingly under threat from agricultural
358 expansion.⁴¹ The importance of increasing productivity of micronutrient rich
359 crops is therefore all the more important in this context.

360 4 Policy implications

361 This analysis highlights how various policy areas need to maximise synergies to
362 improve climate-smart nutrition security in SSA by mid-century. The balance
363 between imports and domestic production, agricultural land use expansion,
364 and strategies to diversify and/or intensify production are key areas that
365 require a climate-smart nutrition security lens. While our findings are relevant
366 to other SSA countries with similar climate risks and nutrition security chal-
367 lenges, stakeholder engagement and bespoke analyses are crucial if seeking to
368 influence country-specific policy development.

369 Due to increasing food price volatility from climate⁴² and geopolitical factors⁴³
370 such as the war in Ukraine, relying on agricultural trade for an adequate
371 supply of calories and nutrients is an increasingly risky option. This could
372 also be economically unrealistic, especially when there is not a diverse range
373 of source markets to improve supply resilience.⁴⁴ The southern hemisphere is
374 particularly at risk of crop yield instability due to climate change.⁴⁵ Conse-
375 quently, if countries prioritise local production and markets - rather than rely
376 on a globally-connected food system - our analysis shows that SSA will need
377 to increase domestic food production by mid-century given projected popula-
378 tion growth, with a particular emphasis on commodities that will help address
379 key nutrient deficiencies. Our results show that even with the impacts of cli-
380 mate change, relying on domestic food production increases - particularly of

381 micronutrient rich foods - with business-as-usual trade can lead to improved
382 supplies of micronutrients. More perishable foods such as fruit and vegetables
383 are less likely to be available from imports in any case, and therefore as these
384 micronutrient-rich commodities are required to achieve nutrition security, it is
385 important that domestic production strategies provide for these.

386 The largest differences in micronutrient supplies are across the HT vs. LT
387 scenarios, rather than across different climate change scenarios, giving further
388 evidence that the future of nutrition security through adequate supplies of
389 calories and nutrients is in the hands of domestic policy makers, even in the face
390 of climate change uncertainty. That being said, the impacts of climate change
391 extremes are important due to projected food production shocks increasing.
392 Our results also point to sensible strategies to mitigate against these extreme
393 impacts for example, crop diversification as a strategy to spread risks, and in
394 particular from maize as a monocrop to reduce yield shocks, while recognising
395 the cultural importance of maize in the diet.

396 Prior studies focus mostly on production and calories, suggesting that yield
397 gap closure is needed to maintain or increase food production for major cereal
398 crops.^{46,20,47,21} Even with yield gap closure, agricultural land expansion in
399 SSA is needed to fulfil cereal production demand by mid-century.²¹ Our anal-
400 ysis shows that without expansion of more diverse, micronutrient-rich crops,
401 which provide sufficient calories and nutrients (in particular, calcium, iron,
402 fat and zinc), achieving nutrition security is challenging in SSA even with
403 productivity improvements. This result is supported by other studies, show-
404 ing that smallholder nutrition security can be improved by diversifying away
405 from maize despite its cultural importance,^{48,29,49} and that similar nutrient
406 deficiencies can be expected without targeted interventions.¹⁵

407 Whilst maize will continue to be an important economic and staple crop,
408 specific policy options do exist for transitioning away from maize, such as in
409 the Zambian policy agenda. iFEED evidence is supporting this in the develop-
410 ment of the forthcoming Second Generation National Agriculture Investment
411 Plan (NAIPII 2022 - 2026), the National Crop Diversification Strategy (2020),
412 and the Zambia Soybean Strategy and Investment Plan (2022). The Zambian
413 National Agricultural Policy (2004) and Second National Agricultural Policy
414 (2016) also provide a framework for crop diversification to achieve food and
415 nutrition security and agricultural transformation. Soybean has also recently

416 been highlighted as a crop with expansion potential across Africa,³⁰ primar-
417 ily as a cash crop and a source of livestock feed. Our findings suggest that
418 investment in soybean as an emergent crop has potential benefits for improving
419 climate resilience and nutrition security through both direct consumption and
420 as a source of livestock feed, increasing the supply of animal-based foods. Addi-
421 tionally, cash crops can have benefits for nutrition security that staple crops do
422 not provide, such as increasing income and therefore access to a more diverse
423 range of foods.⁵⁰ Alongside increases in productivity of micronutrient-rich
424 crops, cash crops will continue to form a crucial part of incomes, and without
425 adequate planning communities can adopt unsustainable alternative practises
426 such as encroachment on protected areas through pastoral expansion.⁵¹

427 There is a need to rebalance livestock consumption globally given overcon-
428 sumption in many high-income countries⁵² and the lack of key micronutrients
429 in SSA diets.⁴⁹ Whilst livestock production is associated with increased emis-
430 sions and places significant demands on land and water (see Section SI4), it
431 also provides essential micronutrients that are currently deficient in many peo-
432 ple in SSA.⁵² Historically, agricultural land use change has been driven by both
433 population growth and increasing demands for animal products.⁵³ Increased
434 production and consumption of animal-based products in the region could
435 reduce nutrient gaps but should not aim to reach the unsustainable produc-
436 tion levels currently seen in the global north. Following the trends in dietary
437 changes with nutrition transition through economic development, seen in many
438 low-and middle income countries, it is likely that consumption of animal prod-
439 ucts will increase.³² Given the relatively low GHG emissions in SSA,⁵⁴ and the
440 challenges associated with achieving nutrition security by mid-century, policies
441 should focus on providing sufficient food to meet nutrient requirements if faced
442 with the trade-off between increasing emissions and avoiding food and nutri-
443 tion insecurity, and arguably some increases in emissions could be regarded as
444 tolerable. In any case, without domestic food production increases, emissions
445 would be outsourced if relying on increased imports. Crop breeding for bio-
446 fortification⁵⁵ and increased production of crops such as millet and sorghum
447 can contribute to alleviating calcium, iron and zinc shortfalls,^{56,57} and reduce
448 demands on land and water. Expansion of such traditional and neglected crops
449 will require significant scientific and market investment, however.

450 Optimising climate resilience and nutrient supplies requires that crop-
451 specific investments are not pursued in isolation but are grounded in holistic

452 food system strategies. At country scales and above, studies that explore food
453 system transformation are limited to providing assessments on future food²¹
454 or nutrition¹⁵ security. None of these analyses quantify impacts on climate
455 smartness, despite this being a key component of complex trade-offs inherent
456 to food system transformation.⁵⁸ Here, we provide a comprehensive assessment
457 of the transitions needed for climate-smart nutrition security.

458 There are opportunities to focus on commodities that are more cli-
459 mate resilient and nutritionally important, and if climate-smart practises can
460 increase productivity whilst minimising environmental impacts, policies can
461 be designed to benefit social, environmental and nutrition security objectives.
462 Additional agricultural inputs and access to improved seed varieties are neces-
463 sary for yield gap closure in SSA;³⁷ addressing crop nutrient deficiencies alone
464 could lead to 50% of yield gap closure.⁵⁹ Climate finance can help with the
465 significant costs of such a transition, although more needs to be done to ensure
466 that funds address productivity gains and climate change impacts on the most
467 vulnerable.⁶⁰ For example, farmer insurance schemes could help to deal with
468 increasing climate variability and boost productivity.⁶¹ Crucially, the social,
469 health and environmental benefits of transitioning to new diets are projected
470 to be substantial,⁶² highlighting the need to consider the benefits of transitions
471 to more nutrient-secure diets to incentivise the public and private sectors to
472 fund necessary transformations.

473 Without holistic approaches, adaptation will continue to be sub-optimal
474 from health and environmental perspectives.^{19, 18} The greater the focus on sus-
475 tainable productivity increases that target nutrient requirements, the smaller
476 the requirements for agricultural area expansion, increased emissions, and
477 damaging environmental impacts.^{63, 64, 65}

478 5 Methods

479 Note that iFEED methods and limitations have previously been fully
480 described,²² so a concise summary of the steps towards climate-smart nutri-
481 tion security scenario assessment is provided here. We also provide further
482 comparison with other integrated modelling approaches in Section SI5. Our
483 modelling does not account for increased costs of production, instead focus-
484 ing on the benefits of various adaptation decisions. This is because we do not
485 advocate implementing any specific scenario, but instead seek to compare the
486 positives and negatives of various scenarios to point towards robust pathways

Stakeholder-driven transformative adaptation is needed for climate-smart nutrition security

487 of change, which culminate in climate-smart nutrition security. Through post
488 hoc discussion with stakeholders, these results can be used to inform agricul-
489 tural policy development that is cognisant of the costs involved in seeking to
490 implement desirable transformations.

491 **5.1 Stakeholder-defined scenarios**

492 Firstly, a scenario exercise is used to explore the range of possibilities that
493 the future may hold.^{11,12} Our analysis compares a baseline centred on 2000
494 (1990 to 2010) with a future centred on 2050 (2040 to 2060). Food system
495 stakeholders identify a set of driving forces that shape future food system out-
496 comes. Through discussion, two independent and impactful drivers (described
497 as critical uncertainties) are selected for which there is high uncertainty, thus
498 maximising the range of possible futures explored. The two critical uncertain-
499 ties are used to create a 2x2 matrix that frames four potential future scenarios.
500 Figure 1 summarises the scenarios for each country. In all countries, the
501 level of climate risk was selected as one critical uncertainty, with low climate
502 risk scenarios being characterised by RCP2.6, and high climate risk scenar-
503 ios characterised by RCP8.5. In Malawi, South Africa, Tanzania and Zambia,
504 respectively, the other critical uncertainty selected was the effectiveness of pol-
505 icy implementation (the degree to which agricultural and food system policies
506 will be systemic, aligned, well-implemented and adopted, enabling progres-
507 sive, nutritionally adequate and sustainable food system outcomes), the extent
508 of land reform (from minor adjustments compared to today, to extensive
509 “land restitution” to empower farm workers and reduce inequality), the extent
510 of technological transformation (the degree to which general improvements
511 in productivity from better implementation of agricultural technologies have
512 taken place), and the degree of market connectivity and functionality (how
513 connected international and domestic food system markets are to Zambia’s
514 agricultural system; technology was also an important factor linked with mar-
515 ket connectivity). The scenarios with a high degree of change in this second
516 critical uncertainty are known as “high transformation” (HT) scenarios, and
517 the opposing scenarios known as “low transformation” (LT) scenarios. Please
518 see <https://africap.info/reports/> for full details of the stakeholder scenario
519 workshops.

520 Stakeholders inform the modelling of these scenarios in terms of changes to
521 crop yields, agricultural areas, crop varieties and diversity, irrigation and inter-
522 national trade. We represented increased / decreased crop diversification as a
523 decreased / increased fraction of total cropped area taken by maize, and more
524 / fewer crops sharing the majority of cropped areas. HT scenarios generally
525 assumed a continuation of historical yield trends in the region, representing
526 an optimistic view of future crop yields based on observed data. Crops were
527 spatially-distributed within each country to maximise production in these sce-
528 narios - i.e. optimisation to maximise crop production given the prescribed
529 crop area and yields.

530 Dietary demand trends in lower and middle-income countries are towards
531 increasing consumption of ultra-processed foods and meat products. It is
532 uncertain to what extent demand will shift in SSA towards “westernisation”
533 of diets by 2050, although current trends are towards increased consumption
534 of ultra-processed foods and meat and dairy.³²

535 Whilst our modelling framework does not explicitly account for changes in
536 demand, such trends in diets drive changes in food production systems. All
537 high transformation scenarios include increased livestock production, primar-
538 ily to explore how nutrition security could be ensured by mid-century, but also
539 reflecting stakeholder recognition of known trends towards increased demand
540 for livestock products, which informed the projections of future land use. In
541 addition, trade vignettes cover a full range of trade possibilities, from self-
542 sufficiency to stakeholder assessment of future imports and exports in each
543 scenario, thus implicitly including any expected changes in demand. Therefore,
544 whilst the focus of the analysis is explicitly on how agricultural transforma-
545 tion (via domestic policy decisions) could help deliver nutrition security (and
546 what the implications of these transitions would be for climate smartness),
547 changes in demand inherently underpin stakeholder assumptions around future
548 production and trade.

549 **5.2 Integrated modelling of climate, food and emissions**

550 Integrated modelling provides each scenario with quantification of changes to
551 crop and livestock production. All crop commodities grown in each country in
552 the baseline (1990 to 2010) are included in the food production and nutrition
553 security analysis. Crop production changes are calculated from yield and area
554 changes specific to each scenario. Crop yield changes are the result of simulated

Stakeholder-driven transformative adaptation is needed for climate-smart nutrition security

555 climate change impacts using the General Large Area Model for annual crops
556 (GLAM⁶⁶) and yield trends applied as agreed with stakeholders. For each crop,
557 continuation of historical trends as seen in FAOSTAT yield data³⁶ from 1960 to
558 2010 was applied in Malawi, Tanzania and Zambia HT scenarios. LT scenarios
559 in these three countries assume no yield trend applied and only autonomous
560 adaptation to climate change (consisting of changes to planting dates and
561 crop varieties, although only those varieties that are currently available). All
562 South Africa scenarios assumed an intermediate yield trend for each crop, being
563 half of the historical trend. HT scenarios in all four countries accounted for
564 adaptation to climate change in the form of changing of planting dates and new
565 crop varieties that account for any warming-induced reduction in the length
566 of the growing season. Area changes are also scenario-specific and determined
567 in conjunction with stakeholders (Figure 1); maximum possible increases were
568 determined using Land Use Harmonisation II data⁶⁷ and assumed all land
569 was available for agricultural expansion if not forested, urban or protected
570 according to The World Database on Protected Areas.⁶⁸

571 Livestock production changes are calculated using projected changes to
572 livestock pasture, crop residues and crop production used as livestock feed, and
573 assuming historical relationships between livestock feed and livestock meat and
574 dairy production remain the same by 2050. These relationships are calculated
575 using data⁶⁹ in the following categories: bovine meat, bovine milk, sheep and
576 goat meat, sheep and goat milk, pig meat, poultry meat, and eggs.

577 Nutrition security (defined here as adequate energy and nutrient supplies
578 to meet dietary requirements at a population level, noting that we do not
579 assess the distribution or access of food within the population) was quantified
580 for each scenario given domestic food production changes, assuming medium-
581 variant United Nations population projections for 2050, and contrasting trade
582 scenarios referred to as trade vignettes: self-sufficiency (assuming no imports
583 or exports and thus addressing how well domestic production matches domes-
584 tic requirements); business as usual (imports and exports remaining in the
585 same proportions to domestic production as at baseline); and stakeholder
586 expectations (reflecting in-country expert judgements about likely future trade
587 dependencies).

588 The FAOSTAT Food Balance Sheet (FBS) data provide an estimate of
589 the supply of 96 food commodities based on domestic production, imports
590 and exports, including stock variation of each commodity within each country.

591 These data are further categorised into supply for human consumption and
592 other uses (e.g., feed, seed and losses). Although they provide an estimate of
593 per capita supply of calories, protein and fat, data for micronutrients are not
594 supplied in the FBS, therefore in iFEED the supply of energy and all nutrients
595 are calculated for each country using an internally consistent method.⁷⁰ FBS
596 food commodities are converted to food as eaten, adjusting for unavoidable
597 waste (e.g. inedible peel, bones) and household waste (e.g. edible food). The
598 food commodities are disaggregated into food items and matched to foods in
599 country or region-specific food composition tables, which provide an estimate
600 of the supply of calories, protein, fat, carbohydrate, saturated fat, fibre, cal-
601 cium, zinc, iron, vitamin C, thiamin, riboflavin, niacin, folate and vitamin B6.
602 Each food item is then weighted to represent the quantity of each food eaten at
603 a country level, before being aggregated back to food commodity groups. We
604 assume no changes to the weightings of foods within each food item between
605 baseline and future for this calculation. Although dietary composition is likely
606 to change, many of the changes may be expected to be between rather than
607 within food items, although the rate and extent of this transition is uncertain.
608 More generally, while changes to diets in these countries are likely, with eco-
609 nomic development, to move through a nutrition transition to those observed
610 in high income countries,³² our focus was on food supply rather than demand
611 so we have not commented on potential dietary changes for the weighting cal-
612 culation. Lastly, total nutrient supplies are calculated. The marker of adequate
613 nutrition supply is set to achieving the supply of population-level nutrient
614 requirements taken from World Health Organization recommendations. The
615 population-level nutrient requirements are country-specific and adjusted for
616 projected demographic changes (population size, age, sex, and fertility rates)
617 based on medium-variant UN projections to 2050.

618 We quantified changes to greenhouse gas emissions, soil organic carbon,
619 and climate extremes to holistically assess climate-smart nutrition security.
620 Extremes of climate change are analysed in terms of changes to extremes of
621 temperature and precipitation and resulting impacts on crop yield shocks (i.e.
622 years with approximately half of the mean baseline yield). Model results are
623 summarised using calibrated statements - concise summaries that are associ-
624 ated with an assessment of confidence in model outcomes based on comparisons
625 to the literature and expert judgement of model result uncertainty.

Stakeholder-driven transformative adaptation is needed for climate-smart nutrition security

5.3 Integration of expert judgement and result summary process

Critical analysis of model outputs is undertaken by social, ecological and environmental scientists, who use the calibrated statements as the basis for implication statements. This allows iFEED to explore broader food system implications than models can alone; for example, how changes to agricultural land use and crop diversification might impact pest and disease risks, soil health, inequality and land use conflict. The calibrated and implication statements are collated at the level of each scenario, and then for each country, providing descriptive scenario and country-level summaries. These are available to view at <https://ifeed.leeds.ac.uk/>.

A scoring system was developed to summarise iFEED results for each scenario for each aspect of climate-smart agriculture and nutrition security, the results of which are shown in Figure 2. See Section SI6 for full details of the scoring system. For each aspect of climate-smart nutrition security:

- Blue = substantial improvement
- Amber = improvement inconclusive (either not a substantial change or trade-offs to improvements possible)
- Red = clear inadequacy

Following this assessment of each scenario, cross-scenario comparisons are made to draw out the commonalities that lead to improvements in nutrition security and climate-smartness. Using these cross-scenario comparisons, policy implications are co-developed with stakeholders by incorporating country-specific policy context with the integrated assessment outputs.

6 Data availability

Source data supporting conclusions are shown in Tables SI1-4.

Input data used in this study are from publicly available sources and referenced in Jennings et al. (2022). In summary, these consist of:

- The CDF-t bias-corrected CMIP5 data over Africa are available at <http://amma2050.ipsl.upmc.fr/>. To access the data, users must contact the lead author at moflod@locean-ipsl.upmc.fr.
- FAOSTAT yield and area and Food Balance Sheet data <https://www.fao.org/faostat/>

- 659 • Soil data were from the RegridDED Harmonized World Soil Database v 1.2:
660 <https://daac.ornl.gov/SOILS/guides/HWSD.html>
- 661 • Gridded area data from LUH2 (<https://luh.umd.edu/>) and WDPA
662 (<https://www.protectedplanet.net/en/thematic-areas/wdpa?tab=WDPA>).

663 **7 Code availability**

664 The methods used have been previously fully described in Jennings et
665 al. (2022). The General Large Area Model for annual crops (GLAM)
666 was used for the crop yield simulations. An older version of this model
667 is available online [https://licensing.leeds.ac.uk/product/general-large-area-](https://licensing.leeds.ac.uk/product/general-large-area-model-for-annual-crops-glam)
668 [model-for-annual-crops-glam](https://licensing.leeds.ac.uk/product/general-large-area-model-for-annual-crops-glam). The version (version number 79e1615) used for
669 the simulations in this paper is available upon reasonable request.

670 The ECOSSE model (Estimating Carbon in Organic Soils Sequestration
671 and Emissions) provided projections of greenhouse gas emissions, soil organic
672 carbon (SOC) and nitrogen (N) dynamics associated with agriculture in each
673 future scenario, taking into account yield and land use changes. A spatial
674 version of ECOSSE Global ECOSSE (version 6.2b) was used. See here for more
675 information: <https://soil-modeling.org/resources-links/model-portal/ecosse>

676 An excel spreadsheet was developed for nutrition data analysis and is
677 available upon reasonable request.

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679 For the purpose of open access, the author has applied a Creative Commons
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Stakeholder-driven transformative adaptation is needed for climate-smart nutrition security

9 Author Contributions Statement

SJ performed the crop modelling, land use allocation and food production analysis, and prepared the manuscript. AC led in designing the iFEED framework, along with contributions from SJ, RK, JM, HCl, NF, SV and PS. RK, JM, GH, and HCl performed the trade and nutrition analysis. NF, SV, and PS performed emissions and soil organic carbon simulations. EP, CB, and SC performed climate extremes analysis. SZ, JY, NK, MG, SE, HT, SSai, SK, EL, HG, HS, MMa, HH, and MMu contributed to the implication statements. TB, SM, TM, IM, CC, MN, BN, NMa, PY, PK, AKa, VK, AKi, AS, HCo, CQ, SSal, AD, SWh, BK, NMe, AJ, DK, PM, WM, FK, and SWa contributed to the scenario and integration workshops and result summaries. All authors contributed to the conception and design of the methods, and read and approved the submitted manuscript.

10 Competing Interests Statement

The authors declare no competing interests.

11 Figures

Fig. 1: Scenario inputs to iFEED from stakeholder engagement. LT = low transformation scenarios (low policy efficacy in Malawi; low market connectivity in Zambia; low technological development in Tanzania; low land reform in South Africa) and HT = high transformation. RCP2.6 = low climate risk. RCP8.5 = high climate risk. For Arable Area and Pasture Area, numbers given are percentage changes to land areas relative to a 1990-2010 baseline. The Malawi and Tanzania scenarios that feature agricultural area expansion use up all available land in mid-century (protected areas, urban areas and forests excluded), other than the Tanzania HT-RCP8.5 scenario where the livestock expansion was described by stakeholders to be smaller. Optimisation to maximise domestic crop production was assumed in HT scenarios in Malawi, Tanzania and Zambia. Increasing crop diversity refers to maize areas decreasing and other crop areas expanding; decreasing crop diversity refers to maize areas increasing and other crop areas contracting. For each box: Blue = increase; Amber = no change; Red = decrease. Note that the trade column refers to changes in imports / exports in the stakeholder-designed trade vignette, with the colour referring to increases / decreases in trade surplus, e.g. whether imports increase more than exports.

Fig. 2: Results summary for all scenarios for the three pillars of CSA (productivity, adaptation, mitigation) and nutrition security.

LT = low transformation scenarios (low policy efficacy in Malawi; low market connectivity in Zambia; low technological development in Tanzania; low land reform in South Africa) and HT = high transformation. RCP2.6 = low climate risk. RCP8.5 = high climate risk. The scoring system was developed to summarise iFEED results for each scenario for each aspect of climate-smart agriculture and nutrition security. See the SI for full details of the scoring system. For each aspect of climate-smart nutrition security, Blue = substantial improvement, Amber = improvement inconclusive, Red = clear inadequacy. Note that a star indicates all aspects of productivity / adaptation / mitigation are improving / not worsening in that scenario; for nutrition security, a star indicates all nutrient requirements are met for all trade vignettes.

Fig. 3: Per capita nutrient supplies with business-as-usual trade, relative to population requirements (100%) for a). HT-RCP2.6 and b). HT-RCP8.5 in Tanzania.

Black diamonds indicate baseline (2000) per capita nutrient levels. The five coloured diamonds indicate the projected outcomes in 2050 under different climate models. Grey areas indicate where per capita nutrient requirements are met and pink areas indicate that requirement are not achieved, with intermediate areas marginal. For all nutrients other than energy and fat, the first threshold represents the Lower Reference Nutrient Intake (LRNI); the second, the Estimated Average Requirement (EAR); the third, the Reference Nutrient Intake (RNI; principal target). For fat, thresholds correspond to minimum, min-max midpoint, and maximum recommended intakes respectively. For energy, the respective thresholds are MDER, ADER, and XDER (minimum, average and maximum dietary energy requirements). The dark pink area indicates where calories are greater than requirements. Vitamin A is measured in retinol activity equivalents (RAE).

Fig. 4: Per capita nutrient supplies with business-as-usual trade, relative to population requirements (100%) for a). HT-RCP2.6 and b). HT-RCP8.5 in Zambia. Black diamonds indicate baseline (2000) per capita nutrient levels. The five coloured diamonds indicate the projected outcomes in 2050 under different climate models. Grey areas indicate where per capita nutrient requirements are met and pink areas indicate that requirements are not achieved, with intermediate areas marginal. For all nutrients other than energy and fat, the first threshold represents the Lower Reference Nutrient Intake (LRNI); the second, the Estimated Average Requirement (EAR); the third, the Reference Nutrient Intake (RNI; principal target). For fat, thresholds correspond to minimum, min-max midpoint, and maximum recommended intakes respectively. For energy, the respective thresholds are MDER, ADER, and XDER (minimum, average and maximum dietary energy requirements). The dark pink area indicates where calories are greater than requirements. Vitamin A is measured in retinol activity equivalents (RAE).

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Stakeholder-driven transformative adaptation is needed for climate-smart nutrition security

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