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ASSOCIATION BETWEEN SKIN BARRIER DEVELOPMENT AND EARLY-ONSET ATOPIC DERMATITIS: A LONGITUDINAL BIRTH COHORT STUDY

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1 **ASSOCIATION BETWEEN SKIN BARRIER DEVELOPMENT AND**
2 **EARLY-ONSET ATOPIC DERMATITIS: A LONGITUDINAL BIRTH**
3 **COHORT STUDY**

4
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25 **ABSTRACT**

26 Background

27 A diagnosis of atopic dermatitis (AD) is common during infancy; however, it is unclear
28 whether differential skin barrier development defines this period and signals disease
29 onset in predisposed individuals.

30 Objective

31 A longitudinal observational cohort study (NCT03143504) assessed the feasibility of
32 remote skin testing from birth to monitor skin barrier maturation and model association
33 with an AD diagnosis by 12-months of age.

34 Methods

35 Biophysical testing and infrared spectroscopy were conducted at the maternity ward
36 and family home. Tape stripping collected samples for desquamatory protease and
37 Natural Moisturising factor (NMF) analysis. The four common European Filaggrin
38 (*FLG*) risk alleles were screened.

39 Results

40 A total of 128 infants completed the study with 20% developing mild disease.
41 Significant changes in permeability barrier function, desquamatory protease activity
42 and molecular composition assessed spectroscopically were observed longitudinally,
43 but only subtle evidence of differential skin barrier development was noted between
44 infant subgroups. Common *FLG* risk alleles were strongly associated with early onset
45 disease and conferred a significant reduction in NMF and water content by four weeks
46 of age. Accounting for a family history of atopy, these parameters alongside a greater
47 lipid/protein ratio and reduced chymotrypsin-like activity at birth were associated with
48 AD. Measured in ambient conditions, transepidermal water loss did not signal disease
49 risk at any stage.

50 Conclusion

51 Skin barrier dysfunction lacked an acquired modality but was considered proportional
52 to cohort severity and suggests that a portfolio of tests used in a community setting,
53 has the potential to improve current AD risk evaluations from birth.

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55 KEY MESSAGES

- 56 • Biophysical skin testing at birth was well received by the participating families
57 with subtle skin barrier dysfunction accompanying the mild disease observed
58 in this study.
- 59 • An inherited loss of Filaggrin conferred a reduction in NMF at four weeks of age
60 determined by real time IR spectroscopy at the 'bedside' that was associated
61 with AD onset.
- 62 • Reduced chymotrypsin-like activity combined with inherited *FLG* loss provided
63 the strongest indication of disease (AUC=0.78) highlighting a novel mechanism
64 for further exploration.

65

66 CAPSULE SUMMARY

67 Remote skin testing from birth is a feasible approach to detect early signals of AD prior
68 to the onset of clinical signs over the first 12 months of life.

69

70 KEY WORDS

71 Atopic dermatitis, remote skin testing, infant skin barrier, infrared spectroscopy.

72

73 ABBREVIATIONS

74 Attenuated total reflectance infrared spectroscopy (ATR-FTIR), Atopic Dermatitis
75 (AD), Natural Moisturising Factor (NMF), Chymotrypsin-like (C-L), Filaggrin (FLG).

76

77 INTRODUCTION

78 Skin barrier breakdown is a significant component of atopic dermatitis (AD)
79 pathogenesis. Although uninvolved skin appears healthy, underlying structural defects
80 render it functionally inadequate and inflamed that corresponds to disease severity (1,
81 2). A less ordered lipid structure, protease hyperactivity and low levels of natural
82 moisturising factor (NMF) within the stratum corneum (SC) are hallmarks of the barrier
83 abnormality that associate with weakened permeability barrier function, signified by
84 elevated transepidermal water loss (TEWL) (3-5).

85

86 With disease prevalence greatest in younger children (aged ≤ 4 years) worldwide, (6)
87 infants predisposed to AD are not born with clinical signs but have an increased risk
88 of diagnosis before their first birthday (7). Over this time period the developing skin
89 barrier is structurally and functionally immature, suggesting a fragility as it adapts to a
90 terrestrial environment (8, 9). Considering the pathological evidence from established
91 adult AD, skin barrier breakdown may therefore define a differential trajectory from
92 birth that predates active disease. Modifying factors here may include climate, the
93 home environment and parental skin care practices, but their interaction with the
94 maturing skin barrier is unclear.

95

96 In a medical era where AD prevention is a key objective, pinpointing susceptible
97 babies for early intervention is an unmet clinical need. One of the best indicators is
98 familial atopic disease, (10) but when screening using this metric, it is estimated that
99 around 40% of cases may be missed altogether (11). Conversely, a risk of
100 unnecessary treatment intervention exists, burdening new parents when time is scarce
101 and mental health may be challenged (12). Additional tools are therefore required to

102 evaluate disease risk in a maternity or community setting. One possibility is TEWL
103 measurement, but this may be unsuitable due to strict environmental requirements
104 (13).

105

106 To address these research questions, a longitudinal study was designed to monitor
107 skin barrier development from birth, and pilot non-invasive measures of lipid structure,
108 protease activity and NMF alongside TEWL for disease risk evaluation. Improving the
109 early detection of at-risk infants may empower parents in future to take measured
110 actions from birth to prevent or delay the possible emergence of AD in their baby.

111

112 MATERIALS AND METHODS

113 Study design

114 A longitudinal observational cohort study (clinicaltrials.gov ref: NCT03143504) was
115 performed to monitor infant skin development from birth to 12-months of age. By
116 capturing the incidence of AD, the association between subclinical barrier breakdown
117 and disease risk by 12-months could be preliminarily explored. The study was
118 conducted by an experienced team of midwife and technical researchers trained in the
119 instrumentation and clinical scoring, overseen by a senior dermatologist providing
120 consultancy where required. Ethical permission was granted by the Preston North
121 West NHS Research Ethics committee (16/NW/0848) and informed parental consent
122 obtained. The reporting of this study conforms to STROBE (14).

123

124 Participants

125 Full-term, healthy, singleton neonates (≤ 72 hours old) and their mothers (≥ 18 years
126 old) living within a 5-mile radius of the University of Sheffield were recruited at Jessop
127 Wing Maternity Unit, Sheffield Teaching Hospital, UK, between April 2017 and
128 December 2019. Table E1 in the Online Repository details the full study eligibility
129 criteria.

130

131 Sample size

132 A recruitment target of 180 neonates was considered appropriate to explore the
133 feasibility of skin testing from birth, with 15-30% anticipated to develop AD by 12-
134 months of age (27-54 cases of disease). Based on a TEWL standard deviation of
135 $2.31\text{g/m}^2/\text{hr}$, (15) a difference of $2\text{g/m}^2/\text{hr}$ at birth could be adequately detected
136 between babies that do and do not develop AD (22 babies required for 80% power).

137

138 Skin assessments

139 Remote measurements were performed on the maternity ward (≤ 72 hours old) and
140 the family home at 4 ± 2 weeks and 12 ± 1 months, with all follow up visits completed by
141 February 2020. The volar forearms, the right antecubital fossa and thigh were the
142 designated assessment sites. Measurements were performed at the test sites in the
143 presence of eczema. The Neonatal Skin Condition Score (16) was calculated at birth
144 and a visual inspection of dryness and erythema conducted at all time points. **Skin**
145 **Barrier Function:** A single TEWL reading was obtained in ambient conditions from
146 each skin site using an AquaFlux AF200 closed chamber condensing device (Biox
147 Systems Ltd, London, UK). A measurement was aborted if the infant was distressed.
148 **Infrared Spectroscopy:** A portable 4300 Handheld Fourier Transform Infrared (FTIR)
149 spectrometer equipped with mercury cadmium telluride detector and one bounce/one
150 pass diamond Attenuated Total Reflectance (ATR) accessory (Agilent Technologies,
151 Santa Clara, USA) collected absorption spectra in the mid infrared region from 32
152 scans at 4cm^{-1} resolution. A single spectrum was obtained from each skin site and
153 visually checked for quality. Due to inconsistent ATR-FTIR signal encountered at birth,
154 a prototype three-bounce/two-pass (3B2P) diamond ATR accessory was implemented
155 by the recruitment of participant number 035. All subsequent babies were therefore
156 assessed using the 3B2P accessory, with all prior participants (001-034) excluded
157 from the spectroscopic endpoints at birth for consistency. For quantitative ATR-FTIR
158 parameters, peak intensities related to total lipid (2850cm^{-1} CH_2 stretching mode),
159 sebum (1740cm^{-1} $\text{C}=\text{O}$ stretching mode) and water (1640cm^{-1} H_2O deformation) were
160 baseline corrected and normalised to Amide II at 1540cm^{-1} to account for contact
161 pressure (17). Lipid structure was assessed by the position of the 2850cm^{-1} CH_2

162 stretching vibration (4). Negative peak intensities were excluded from the analysis. A
163 commercial baby wipe was piloted for sebum removal prior to an additional ATR-FTIR
164 measurement being taken. **Tape stripping:** Three serial 14mm D squame discs
165 (CuDerm, Dallas, TX, USA) were pooled for *ex vivo* desquamatory protease analysis
166 (left forearm) and Natural Moisturising Factor quantification (left forearm and right
167 antecubital fossa).

168

169 Desquamatory protease activity

170 Caseinolytic and chymotrypsin-like activities were assayed using EnzCheck®
171 (Invitrogen, Paisley, UK) and MeOSuc-Arg-Pro-Tyr-AMC (Peptide Protein Research
172 Ltd, Southampton, UK) substrates. Specific activity (nU/μg) was calculated using SC
173 mass estimated by densitometry.

174

175 Measuring NMF abundance *in vivo* by ATR-FTIR

176 As previously described, (18) a real time spectroscopic measure of NMF was
177 developed by calibrating IR absorption across the fingerprint spectral region (1090-
178 1653cm⁻¹) using a single composite quantitative measure of NMF obtained by *ex vivo*
179 laboratory analysis. Three serial tape strips were extracted and pooled; with
180 pyrrolidone carboxylic acid and urocanic acid analysed by a high-performance liquid
181 chromatography system (Shimadzu, Kyoto, Japan) combined with free amino acid
182 quantification by o-phthalaldehyde derivatisation. Sampling sites in a proportion of
183 infants at four weeks and 12-months old were randomly allocated to model calibration
184 and validation sets. Spectra were normalised to Amide II (1540cm⁻¹) prior to modelling.
185 The final chemometric model was validated using a within-cohort subset of infants,
186 independent to the model build.

187

188 Filaggrin genotyping

189 Saliva was collected at 12-months and genomic DNA extracted using the Oragene
190 OG-250 sampling device and PrepIT L2P kit (DNA Genotek, Ottawa, Canada). The
191 four common European Filaggrin risk alleles were screened by Taqman (R501X and
192 2282del4) or Sanger sequencing (R2447X and S3247X) using established probe and
193 primer sets (19).

194

195 Outcome measures

196 Primary outcomes were the change in skin barrier function, molecular composition and
197 desquamatory protease activity from birth to 4-weeks and 12-months of age.
198 Secondary outcomes included (a) the incidence of AD diagnosed by (i) general
199 practitioner in primary care (remote diagnosis, parental-reported to study team by 12
200 months) or (ii) study investigator using the UK working party criteria at the 12 month
201 visit; (20) (b) parental reported skin rashes; (c) the frequency of Filaggrin risk alleles;
202 and (d) the association of skin barrier function, molecular composition and
203 desquamatory protease activity measured at birth and 4-weeks of age with an AD
204 diagnosis by 12-months. In the presence of clinical signs, the Eczema Area and
205 Severity Index (EASI) score was conducted by a trained researcher at the home visits
206 as a measure of disease severity. Completion of the 12-month visit was the study end
207 point. Additional outcomes on infant skin care practices and parental satisfaction
208 captured by diary, questionnaire and semi-structured interviews, will be reported by
209 subsequent manuscripts.

210

211 Statistical analysis

212 Study data was captured using FileMaker Pro (Claris, London, UK) and collated in
213 Excel. Normality was checked by Q-Q plot and parameters log transformed where
214 appropriate. For primary outcomes, a matched, mixed model, one-way analysis of
215 variance (ANOVA) or nonparametric equivalent compared means over time. For
216 secondary outcomes associated with AD diagnosis; (a) a two-way ANOVA compared
217 parameter means over time between infants with and without disease; (b) a matched,
218 mixed model, analysis of covariance (ANCOVA) investigated the relationship between
219 TEWL, temperature and time; and (c) multiple logistic regression using the log
220 likelihood ratio test ($p < 0.05$) for stepwise selection, modelled parameters at birth and
221 four weeks (including *FLG* status) controlling for familial atopy, sex and gestation
222 period. Withdrawn participants were excluded from AD risk analysis. Statistical tests
223 were performed using GraphPad prism 9 (San Diego, California, USA) or IBM SPSS
224 statistics (version 27; Armonk, NY, USA). Panorama PRO was used for spectroscopic
225 chemometric analysis (LabCognition, Cologne, Germany).

226

227 RESULTS

228 A total of 689 eligible families were screened. Of the 180 neonates recruited, (mean
229 age 33.61 ± 17.66 hours at first assessment) 128 completed the 12-month home visit
230 (Figure 1). Two babies were >72 hours old at the first assessment but included in the
231 analysis. Following informed consent, 52 participants withdrew due to screening
232 failure following enrolment ($n=3$); retraction of parental consent ($n=9$); or were loss to
233 follow-up after three failed attempts to schedule a home visit ($n=40$). Mean age at the
234 4-week and 12-month assessment was 33.43 ± 6.68 days and 12.02 ± 0.75 months
235 respectively. Twenty-five infants (20%) developed AD by 12-months of age (Table I)
236 confirmed by general practitioner ($n=19$) or investigator-diagnosed ($n=6$). The
237 proportion of infants carrying at least one common *FLG* variant allele was 13% (13/99)
238 with a higher prevalence in the AD group (35%) compared to no disease (8%). Familial
239 atopy was high (79%) suggesting infants highly predisposed to AD development. None
240 of the children developed AD by 4 weeks of age. At 12-months the mean whole body
241 EASI score was 1.5 (18/25 infants with clinical signs) indicative of mild disease well
242 controlled by the study endpoint. The infant AD group reported a greater proportion of
243 skin rashes (Table I) and general skin complaints (see Table E2 in the Online
244 Repository). Overall, parents were satisfied with the skin tests performed when asked
245 at the 12 month exit questionnaire (see Table E3 in the Online Repository).

246

247 Skin barrier development from birth

248 As a biomarker of permeability barrier function, TEWL proved highly variable at all
249 developmental time points (Figure 2a) that likely reflected the wide range of ambient
250 temperatures encountered at assessment (Figure 2b). For example at birth, a far
251 greater cohort standard deviation ($8.89 \text{g/m}^2/\text{hr}$) was noted compared to climate

252 controlled conditions (15). Accordingly, temperature (but not humidity) correlated with
253 TEWL across all study timepoints ($r=0.29$, $p<0.001$, data not shown). As expected,
254 higher temperatures were encountered on the maternity ward at birth compared to the
255 family home at 4-weeks and 12-months. Accounting for this covariate using a
256 matched, mixed model ANCOVA confirmed a significant effect of time ($p<0.001$) on
257 mean TEWL (log transformed) from birth to 12-months of age, indicating a weakening
258 infant permeability barrier over this period (Figure 2c).

259
260 When comparing averaged skin surface ATR-FTIR spectra collected over the course
261 of the study, clear differences in absorption related to SC lipid abundance and
262 conformational ordering (2850cm^{-1}) sebum (1740cm^{-1}) water and NMF ($1653\text{-}1090\text{cm}^{-1}$)
263 ¹) existed between age groups that warranted further investigation (see Figure E2 in
264 the Online Repository). By developing and validating a real time *in vivo* measurement
265 of NMF, a reduction was observed at the skin surface in infants carrying *FLG* risk
266 alleles at four weeks of age (see Figure E3 in the Online Repository). After collecting
267 baseline ATR-FTIR spectra, cleansing the skin by commercial baby wipe to remove
268 sebum proved unsuccessful, therefore the post-wipe measurements were not pursued
269 further in the cohort analysis (data not shown).

270
271 A summary of skin development over the first year of life is presented by Figure 3. Like
272 TEWL, several biomarkers changed significantly from birth. Caseinolytic protease
273 activity increased 5-fold by 4-weeks of age suggesting a rapid rise in desquamation
274 and cell turnover (Figure 3a). Supportive of this was the 1.4-fold rise in chymotrypsin-
275 like (C-L) protease activity (Figure 3b). An increase in ATR-FTIR modelled NMF
276 abundance from birth was accompanied by a greater $1640/1540\text{cm}^{-1}$ peak ratio at 4-

277 weeks indicative of higher water content (Figure 3c and d). SC lipids and sebum (peak
278 $2850/1540\text{cm}^{-1}$ and $1740/1540\text{cm}^{-1}$) decreased from birth alongside an improvement
279 in lipid structure denoted by the shift to a more orthorhombic phase (lower peak
280 2850cm^{-1} centre of gravity) (21). Although minimal vernix caseosa (VC) presence was
281 noted across the cohort, these observations were presumably due to greater
282 sebaceous lipid deposition at birth (Figure 3e-g). There was little change in
283 investigator-observed skin dryness from birth, but an inverse relationship with
284 spectroscopically determined water content was noted across all timepoints (Figure
285 3h). Cohort stratification by season of birth did not reveal any significant differences in
286 skin barrier development throughout the first 4-weeks of life (data not shown). Between
287 4-weeks and 12-months of age were further reductions in NMF, water and lipid
288 abundance in conjunction with increased TEWL.

289

290 Subtle differential skin barrier development between infants with and without disease

291 For TEWL and ATR-FTIR parameters, similar trends were observed across
292 anatomical sites between infants with and without AD (see Figure E4 in the Online
293 Repository). Consequently, the mean of these body sites was calculated (one
294 measurement parameter per infant, per timepoint) allowing greater precision to
295 address the secondary endpoints of AD risk. Overall, few differences in early skin
296 barrier maturation were observed between infants with and without AD; however,
297 subtle patterns existed prior to onset of clinical disease (Figure 4). For example,
298 chymotrypsin-like protease activity at birth was on average 40% lower in infants that
299 developed AD (Figure 4b). Although no difference in visual dryness was apparent by
300 4-weeks of age (Table I), spectroscopically modelled NMF and water content was on
301 average 15% and 7% lower respectively in infants that developed disease, indicating

302 a dryer skin surface at this developmental point (Figure 4c and d). The strong inherited
303 NMF defect evident at this timepoint likely influenced this finding (see Figure E3 in the
304 Online Repository). Using a matched mixed model ANOVA with Fisher's LSD post-
305 test, a significant effect of infant subgroup on ATR-FTIR lipid peak $2850/1540\text{cm}^{-1}$
306 ($p=0.0371$) was highlighted (Figure 4f). Here, surface lipids at birth were 27% greater
307 (relative to protein) in infants that developed disease, a trend corroborated by lipid
308 esters (Figure 4f-g). Although mean TEWL was elevated at birth in the infant AD group,
309 no significant differential permeability barrier function was noted, representing
310 comparable skin barrier health throughout the study (Figure 2d). Correlation analysis
311 was performed on all parameters tested but yielded no significant associations.

312

313 Factors associated with disease risk by 12-months of age

314 To explore parameters associated with an AD diagnosis by 12-months, logistic
315 regression modelling was employed controlling for sex and gestation period (Table II).
316 Modelling 1st degree atopy alone was not associated with an AD diagnosis (AUC=0.61
317 ns, data not shown) nor was any form of atopy (AD, asthma and hay fever) when
318 modelled in turn (1st degree relative, data not shown). By comparison, the forward
319 selection of independent variables using a log likelihood ratio threshold ($p<0.05$),
320 revealed 5 parameters of additive value to family atopy for early disease risk
321 evaluation (models 1-5) indicated by a significant area under the receiver operating
322 characteristic curve (AUC). A greater ATR-FTIR peak $2850/1540\text{cm}^{-1}$ (birth), reduced
323 C-L protease activity (birth), low NMF (4 weeks) and a drier skin surface (4 weeks)
324 were early signals of disease development. Individuals carrying at least one common
325 *FLG* variant allele (model 5) were 6 times more likely to develop AD by 12-months
326 (AUC=0.73). A second round of forward selection combined sequentially each

327 significant parameter. Modelling *FLG* status with C-L protease activity (model 6,
328 AUC=0.78) correctly classified 30% and 99% of cases with and without AD
329 respectively at a 50% cut off. In contrast, TEWL was not associated with AD at any
330 timepoint.
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332 **DISCUSSION**

333 From birth, a significant period of skin barrier maturation (8, 9) coincides with an
334 increased risk of developing AD (7). By performing a portfolio of tests remotely in a
335 longitudinal birth cohort, this exploratory study identified subtle signals that predated
336 AD onset. A primary *FLG* defect strongly conferred early disease with reduced NMF
337 and a drier skin surface observed by four weeks of age spectroscopically; highlighting
338 potential as a rapid, bedside genotyping tool compared to laboratory analysis. In
339 conjunction with reduced chymotrypsin-like protease activity and increased surface
340 lipids at birth, assessment of these parameters in a community setting proved feasible,
341 and were of additive value for early AD risk evaluation when accounting for a family
342 history of atopy; a metric used currently to identify high risk infants for prophylactic
343 intervention (22).

344

345 This study reports that developmentally, skin barrier maturation continues to at least
346 the conclusion of the first year. From birth the SC rapidly acidifies and hydrates (23,
347 24). Here, using ATR-FTIR peak 1640cm^{-1} attributed to the bending mode of water,
348 (25) a significantly more hydrated skin surface was reported at four weeks of age. This
349 is in agreement with Raman Spectroscopy measuring greater water content
350 throughout the entire depth of the infant SC compared to adults (8). Underlying this
351 evolution towards hydrophilicity may be changing NMF abundance; from low levels at
352 birth increasing with postnatal age (26, 27). A similar trend was observed for C-L
353 desquamatory activity – attributable to KLK-7 (28) - that can be modulated through
354 changes in biochemical environment (29). As a biomarker of skin health, TEWL
355 increased from birth over this early period, although there is uncertainty in this finding
356 due to the extreme range of ambient conditions encountered by the study.

357 Nevertheless, the observed trend in TEWL is corroborated by a larger infant study,
358 (30) with further weakening of permeability barrier function noted in our cohort beyond
359 more steady state conditions at four weeks, supporting an extended period of
360 optimisation from birth (8). Although minimal vernix caseosa (VC) was noted by this
361 study, bulk surface lipids and lipid esters measured spectroscopically were elevated
362 at birth. With VC comprising of triglycerides, wax esters and squalene of sebaceous
363 origin, any remnants would increase lipid/protein ratio and disorder lipid organisation
364 (increased proportion of branched chain fatty acids) beyond the background SC signal
365 (31). The gradual decline in lipid esters reflects the change in sebum at the neonate
366 skin surface from birth (32, 33).

367

368 A secondary outcome measure of this study was disease incidence; allowing the
369 relationship between early skin barrier dysfunction and AD diagnosis to be
370 retrospectively assessed. Although a small cohort, the rate of AD was consistent with
371 two similar UK cohorts, (22, 34) albeit lacking more active disease cases that may
372 reflect a bias towards the more common early-onset/resolving prognosis (35).
373 However, a 2% and 14% rate of moderate-severe AD reported in infants suggests the
374 early onset trajectory although prevalent, is predominantly mild (22, 34).

375

376 This study reinforces the association between common European *FLG* variants and
377 early AD development (35, 36). Here, a functional consequence was reduced NMF
378 abundance at 4-weeks representative of *FLG* genotype; an observation supported by
379 Raman Microspectroscopy (AUC=0.79-0.83) performed around birth (37).
380 Considering the constraint of ATR-FTIR measurements to the skin surface, where
381 NMF levels are low following birth, it is plausible that around four weeks of age is the

382 optimum time to differentiate *FLG* endotypes using this technique. We saw little
383 indication of an acquired NMF defect, representative of a cohort devoid of overt
384 inflammation (5, 38).

385

386 There is evidence that weakened permeability barrier function predates early onset
387 AD (39, 40). Although a similar trend here was observed at birth, no significant
388 difference in TEWL was noted between infant subgroups throughout the study. With a
389 clear TEWL abnormality observed in more severe infant disease cases by 12 months
390 of age (5) this likely reflects an absence of inflammation. A further contributory factor
391 could also be the omission of TEWL measurements from the cheek or face, a common
392 site of symptomatic onset (39, 40). Nor was TEWL performed in environmentally
393 controlled conditions where the passage of water through the SC is the sole, rate-
394 limiting factor of vapour flux following an appropriate period of acclimatisation (41).
395 The lack of precision we observed, suggests that 25 babies with AD was
396 underpowered to obtain a reliable cohort estimate of TEWL when measured in
397 unstandardised conditions.

398

399 The study produced some novel results. One skin parameter associated with disease
400 that modelled independently to the pronounced inherited *FLG* defect, was reduced
401 KLK7 activity at birth. This contrasts to the elevated expression and activity of
402 desquamatory proteases as a hallmark of established AD (28, 42). Relevant to this
403 finding is increased serine protease inhibitor (Serpine) A12 abundance within the VC of
404 infants that develop AD by 24 months old (43). Expressed in the adult epidermis and
405 an inhibitor of KLK7, Serpin A12 activity may explain the initial reduction in

406 chymotrypsin-like proteolytic activity observed in the AD infants while the VC is
407 retained (44, 45).

408

409 A strength of this project was its pragmatic design, balancing scientific objectives
410 alongside consideration of the families involved over the longer-term study duration.

411 To maximise participant retention, portable equipment was used enabling remote data
412 collection at the bedside and family home; skin tests were restricted to the surface
413 layers; and follow up ceased at 12-months to capture the majority of AD cases over a
414 minimum timeframe. Even under these circumstances, 23% of the families recruited
415 either withdrew or were lost to follow up by four weeks; a comparable rate to a similar
416 study conducted by our group, (15) suggesting more flexible assessment points are
417 required in future work.

418

419 The study was limited by design to primarily focus on the feasibility of the remote
420 methodology tested. A single instance of general practitioner confirmed AD was
421 employed as a study endpoint - albeit diagnosis in early childhood challenging - when
422 multiple clinical observations are required throughout infancy to ensure established
423 criteria of disease are fulfilled (46). For example, at 12 months of age only 28% of
424 infants with current eczematous lesions received a UK working party diagnosis due to
425 the uncertainty of itch (46). Further study limitations include the employment of early,
426 fixed assessment points at a time of significant adaptation to terrestrial life, and the
427 decision to combine anatomical sites in the longitudinal analysis. Both may have
428 contributed to clearer evidence of skin barrier breakdown being missed at a later
429 developmental stage. Nor was a hypothesised SC lipid ordering defect assessed
430 spectroscopically due to the contamination of sebum (4). Recent evidence has

431 associated early infant AD development with altered SC lipid composition,
432 characterised by higher ratios of shorter chain sphingosine bases and lower
433 phytosphingosine levels, but the subsequent effect on skin barrier function remains
434 unclear (47). Finally, a limitation of the observational design is unintended sources of
435 confounding. Here for instance, the majority of participating families were of white
436 ethnicity with a history of atopic disease, limiting the applicability of our findings to
437 lighter skin phototypes at a high-risk of AD.

438

439 In conclusion, it can be reasoned that the subtle skin barrier dysfunction noted,
440 indicative of the inherited *FLG* defect, was proportional to the mild AD observed that
441 lacked the anticipated acquired defect in TEWL, lipid structure and NMF (5, 38, 48).
442 Larger, more definitive trials should therefore incorporate a greater proportion of more
443 active disease cases to place the current dataset into context; employing a more
444 robust AD diagnosis to explore further skin barrier risk signals independently of the
445 established inherited *FLG* defect to improve the current disease modelling. Early
446 detection and intervention of at-risk babies remains an unmet clinical need, with the
447 more severe, persistent cases predisposing to further atopic comorbidities in
448 childhood (34, 35, 49).

449

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458

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614 TABLES

615 Table I: Cohort characteristics stratified by AD diagnosis.

	No AD	Confirmed AD	Unknown (W/LTFU)	Total
<i>n</i>	103	25	49	177
Sex, male (%)	51 (50)	10 (40)	17 (35)	78 (44)
Birth weight (g)	3480±408 [2330-4380]	3477±480 [2620-4540]	3487±517 [2560-4890]	3482±447 [2330-4890]
Gestation (days)	280±9 [260-299]	282±9 [262-290]	280±9 [263-295]	280±9 [260-299]
Mode of delivery (%Caesarean section)	42 (41)	9 (36)	23 (47)	74 (42)
Season of birth (%)				
Autumn	32 (31)	11 (44)	20 (41)	63 (36)
Winter	13 (13)	6 (24)	6 (12)	25 (14)
Spring	26 (25)	3 (12)	10 (20)	39 (22)
Summer	32 (31)	5 (20)	13 (27)	50 (28)
Age at assessment				
Birth (hours)	33.38±16.84 [9-88]	39.72±21.57 [11-95]	30.85±16.72 [9-71]	33.61±17.66 [9-95]
4-weeks (days)	33.16±7.11 [25-64]	34.52±4.54 [28-45]	-	33.43±6.68 [25-64]
12-months	12.01±0.76 [11-15]	12.04±0.73 [11-14]	-	12.02±0.75 [11-15]
NSCS	3.46±0.72 [3-7]	3.44±0.51 [3-4]	3.57±0.58 [3-5]	3.49±0.66 [3-7]
[^]Parental-reported rash (%)	34 (33)	16 (70)	-	50 (28)
Mean dryness score				
Birth	1.25±0.41 [1-3]	1.24±0.39 [1-2]	1.49±0.56 [1-3]	1.31±0.46 [1-3]
4-weeks	1.18±0.35 [1-3]	1.22±0.39 [1-2]	-	1.19±0.36 [1-3]
12-months	1.08±0.24 [1-2]	1.02±0.07 [1-2]	-	1.07±0.22 [1-2]
Baby's ethnicity (%)				
Asian	4 (4)	2 (8)	4 (8)	10 (6)
Black	5 (5)	-	1 (2)	6 (3)
Other	3 (3)	1 (4)	-	4 (2)
Mixed	10 (10)	2 (8)	3 (6)	15 (8)
White	81 (79)	20 (80)	41 (84)	142 (80)
[#]Family atopy (%)				
AD	40 (39)	14 (56)	21 (43)	75 (42)
Asthma	33 (32)	7 (28)	19 (39)	59 (33)
Allergic rhinitis	58 (56)	18 (72)	32 (65)	108 (61)
Any atopy	76 (74)	22 (88)	42 (86)	140 (79)
⁺FLG variants (%)	6/79 (8)	7/20 (35)	-	13/99 (13)
[§]EASI score	-	1.5±1.5 [0.2-5.8]	-	-

616 [^]One or more episodes of a parent-reported erythema throughout the study period. [#]At least one617 1st degree relative with atopic disease. ⁺Babies carrying at least one common risk allele. [§]Averaged618 whole-body assessment of active disease at 12 months (*n*=18). NSCS: neonatal skin condition

619 score (range=3-9). Dryness (mean of forearm, antecubital fossa and thigh) scored visually by

620 three-point scale (1= no dryness; 2=dry skin; 3= very dry skin). Mean±SD and [range] presented.

621 Percentages in brackets. W: withdrawn; LTFU: loss-to-follow-up; *FLG*: filaggrin.

622

623 **Table II:** Forward selection modelling of parameters associated with AD by 12-months.

	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6
No AD/AD(n)	73/22	94/25	96/24	97/24	79/20	74/20
Parameter (OR,95%CI, <i>p</i>)	$\sim 2850/1540\text{cm}^{-1}$ 1.7(1.1-2.9)0.04	$\sim \text{C-L activity}$ 0.6(0.3-0.9)0.05	$\wedge \text{NMF}$ 0.4(0.2-0.9)0.03	$\wedge 1640/1540\text{cm}^{-1}$ 0.2(0.1-0.7)0.01	<i>FLG</i> variant 6.0(1.6-23.2)0.008	<i>FLG</i> variant 6.2(1.6-27.6)0.01 $\sim \text{C-L activity}$ 0.6(0.3-1.0) 0.07
Sex	0.6(0.2-1.6)0.30	0.7(0.3-1.7)0.39	0.7(0.2-1.7)0.39	0.8(0.3-2.2)0.70	1.(0.3-3.3)0.93	1.1(0.3-3.5)0.93
Gestation	1.0(1.0-1.1)0.41	1.0(1.0-1.1)0.34	1.0(1.0-1.1)0.72	1.0(1.0-1.1)0.52	1.0(1.0-1.1)0.22	1.0(1.0-1.1)0.17
Family atopy	2.0(0.6-9.5)0.32	2.9(0.9-13.2)0.12	1.9(0.6-9.0)0.33	2.1(0.6-9.8)0.28	5.3(0.90-104)0.13	8.0(1.3-166)0.07
AUC	0.68**	0.69**	0.67*	0.69**	0.73**	0.78**

624

625 Spectroscopic parameters (models 1-4) meaned from a minimum of two anatomical sites. Odds

626 ratio (95% confidence interval) and *p* value shown. \sim birth; \wedge four weeks of age; family atopy: at least

627 one 1st degree relative with atopic disease; AUC: area under the receiver operating characteristic

628 curve; C-L (chymotrypsin-like) protease activity. *FLG* variant: Babies carrying at least one common

629 risk allele

630

631

632 **FIGURE LEGENDS**633 **Figure 1:** Participant pathway

634

635 **Figure 2:** Development of skin barrier function from birth measured in ambient
636 conditions. (a) A wide range of raw TEWL was observed in accordance with (b) the
637 highly variable ambient conditions encountered at assessment. (c) Controlling for
638 temperature, a significant weakening of skin barrier function from birth was observed
639 to 12-months of age, albeit (d) no significant difference in TEWL was noted between
640 infants that did (darker shading) and did not develop AD. A matched, mixed model
641 ANCOVA reported a significant effect of time ($p=0.002$) and temperature ($p<0.001$)
642 but not AD group on log-transformed TEWL, with asterisks denoting the result of a
643 Bonferroni post-test to compare groups ($*p<0.05$). The mean of a single TEWL
644 measurement collected from the right forearm, right thigh and right antecubital fossa
645 is presented as comparable trends were observed across anatomical sites over time
646 (see Figure E1 in the Online Repository).

647

648 **Figure 3:** Skin barrier development from birth. Mean change in surface (a)
649 Caseinolytic and (b) Chymotrypsin-like protease activity, (c) *in vivo* ATR-FTIR
650 modelled NMF, (d) water content (e) lipid structure, (f) total lipids and (g) lipid esters
651 from birth to 4-weeks and 12-months of age. Protease measurements were obtained
652 from one repeat at the left forearm only. For spectroscopic parameters, a single
653 measurement was meaned from both forearms, right antecubital fossa and thigh as
654 comparable patterns were observed across anatomical sites over time (see Figure E1
655 in the Online Repository). Infants with a minimum of two successful ATR-FTIR spectra
656 were included for analysis. A Friedman test with asterisks denoting a significant

657 Dunn's multiple comparison test was used for panels (a) and (b) only. For all other
658 panels, a matched, mixed model ANOVA was performed with asterisks denoting a
659 significant Tukey post-test to compare timepoints ($*p=0.05$). Log transformation was
660 used where indicated. (h) A significant association was noted between investigator-
661 observed dryness and spectroscopically determined water content across all
662 timepoints using the Spearman rank test ($r=-0.29$, $***p<0.0001$).

663

664 **Figure 4:** Subtle differential skin barrier development between infants with and without
665 disease. (a) Mean surface caseinolytic (b) chymotrypsin-like protease activity (c) *in*
666 *vivo* ATR-FTIR modelled NMF (d) water content (e) lipid structure (f) total lipid and (g)
667 lipid esters in infants that developed AD by 12-months (darker shading) compared to
668 those who did not. Protease measurements were obtained from one repeat on the left
669 forearm only. For all skin parameters determined spectroscopically, a single
670 measurement was meaned from both forearms, the right antecubital fossa and thigh
671 as comparable patterns were observed between anatomical sites across time (see
672 Figure E4 in the Online Repository). Infants with a minimum of two successful ATR-
673 FTIR spectra were included for analysis. A matched mixed model ANOVA reported an
674 overall significant effect of infant subgroup (AD versus no AD) on ATR-FTIR lipid peak
675 $2850/1540\text{cm}^{-1}$ only (f). p values denote the result of an exploratory uncorrected
676 Fisher's LSD. Log transformations were used where indicated. Statistics were
677 performed on log transformed data for panels a and b.

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