



Kingston, B. et al. (2023) ESR1 F404 mutations and acquired resistance to fulvestrant in ESR1 mutant breast cancer. *Cancer Discovery*, (doi: [10.1158/2159-8290.CD-22-1387](https://doi.org/10.1158/2159-8290.CD-22-1387))

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1 **Title: *ESR1* F404 mutations and acquired resistance to fulvestrant in *ESR1***  
2 **mutant breast cancer.**

3 Authors and affiliations:

4 Belinda Kingston<sup>1</sup>, Alex Pearson<sup>1</sup>, Maria Teresa Herrera-Abreu<sup>1</sup>, Li-Xuan Sim<sup>1</sup>,  
5 Rosalind J Cutts<sup>1</sup>, Heena Shah<sup>1</sup>, Laura Moretti<sup>2</sup>, Lucy S Kilburn<sup>2</sup>, Hannah Johnson<sup>2</sup>,  
6 Iain R Macpherson<sup>3</sup>, Alistair Ring<sup>4</sup>, Judith M Bliss<sup>2</sup>, Yingwei Hou<sup>5</sup>, Weiyi Toy<sup>6</sup>, John  
7 A Katzenellenbogen<sup>5</sup>, Sarat Chandarlapaty<sup>6</sup>, Nicholas C Turner<sup>1,4</sup>

8 <sup>1</sup> The Breast Cancer Now Toby Robins Research Centre, The Institute of Cancer  
9 Research, London, SW3 6JB.

10 <sup>2</sup> Clinical Trials and Statistics Unit at The Institute of Cancer Research, London, UK

11 <sup>3</sup> School of Cancer Sciences, University of Glasgow, Glasgow, G61 1QH

12 <sup>4</sup> Breast Unit, The Royal Marsden Hospital, Fulham Road, London.

13 <sup>5</sup> Department of Chemistry and Cancer Center at Illinois, University of Illinois at  
14 Urbana-Champaign, Urbana, Illinois.

15 <sup>6</sup> Memorial Sloan Kettering Cancer Center, New York City; Department of Medicine,  
16 Weill Cornell Medical College, New York City, USA.

17 Running title:

18 Mutations of *ESR1* at F404 confer fulvestrant resistance.

19 Keywords:

20 Fulvestrant, acquired resistance, breast cancer.

21 Additional information.

22 BK is supported by Cancer Research UK Grant A25161 and Institutional funding  
23 from Breast Cancer Now. AP, MTHA, LXS and NCT are supported by funding from  
24 Breast Cancer Now. Research support for J.A.K. and Y.H. was provided by the  
25 National Institutes of Health (NIH/NCI, 1R01 CA220284) and the Breast Cancer  
26 Research Foundation (BCRF-084). SC is supported by NIH Cancer Center Support  
27 Grant P30-CA008748 and NIH R01CA245069.

28 Corresponding author:

29 Prof Nicholas Turner, The Breast Cancer Now Toby Robins Research Centre, The  
30 Institute of Cancer Research, London, SW3 6JB. nick.turner@icr.ac.uk 020 7811  
31 8914/ext4737.

32 Conflict of interest disclosure statement:

33 BK has received a grant from Cancer Research UK, and honoraria from Guardant  
34 Health outside the submitted work. AR has received honoraria for advisory boards  
35 and talks: Novartis, Astra Zeneca, Daiichi-Sankyo, Roche, Pfizer, Lilly, Gilead, MSD,  
36 Seagen, Stemline. IRM has paid consultancy for Roche, Novartis, Pfizer, Eli Lilly,  
37 Pierre Fabre, Daiichi Sankyo, and AstraZeneca, and received travel/conference  
38 expenses from Roche, Eli Lilly, and Daiichi Sankyo. SC has received research grant  
39 support from Daiichi-Sankyo, AstraZeneca, and Ambrx; financial interests in Totus  
40 Medicines and Odyssey Biosciences; and consulting fees from Novartis,  
41 AstraZeneca, Lilly, and Paige.ai. NT has received advisory board honoraria from  
42 Astra Zeneca, Bristol-Myers Squibb, Lilly, Merck Sharpe and Dohme, Novartis,  
43 Pfizer, Roche/Genentech, GlaxoSmithKline, Zentalis pharmaceuticals, Repare  
44 therapeutics, Arvinas, Inivata and research funding from Astra Zeneca, BioRad,

45 Pfizer, Roche/Genentech, Merck Sharpe and Dohme, Guardant Health, Invitae,  
46 Inivata, Personalis, Natera. AP, MTHA, LXS, RC, HS, LM, LK, HJ, JB, YH, YW, and  
47 JAK declare no conflict of interest.

48

49 Word count: 4988, including 6 figures and 1 table.

50



51 **Abstract**

52 Fulvestrant is used to treat patients with hormone receptor positive advanced breast  
53 cancer but acquired resistance is poorly understood. PlasmaMATCH Cohort A  
54 (NCT03182634) investigated the activity of fulvestrant in patients with activating  
55 *ESR1* mutations in circulating tumor DNA (ctDNA). Baseline *ESR1* mutations Y537S  
56 associated with poor, and Y537C with good outcome. Sequencing of baseline and  
57 EOT ctDNA samples (n=69) revealed 3/69 (4%) patients acquired novel *ESR1* F404  
58 mutations (F404L, F404I, F404V), in *cis* with activating mutations. *In silico* modelling  
59 revealed that *ESR1* F404 contributes to fulvestrant binding to ER $\alpha$  through a *pi*-  
60 stacking bond, with mutations disrupting this bond. *In vitro* analysis demonstrated  
61 that single F404L, E380Q, and D538G models were less sensitive to fulvestrant,  
62 while compound mutations D538G+F404L and E380Q+F404L were resistant.  
63 Several oral ER $\alpha$  degraders were active against compound mutant models. We have  
64 identified a resistance mechanism specific to fulvestrant, that can be targeted by  
65 treatments in clinical development.

66

67 **Statement of significance**

68 Novel F404 *ESR1* mutations may be acquired to cause overt resistance to  
69 fulvestrant when combined with pre-existing activating *ESR1* mutations. Novel  
70 combinations of mutations in the ER ligand binding domain may cause drug-specific  
71 resistance, emphasising the potential of similar drug-specific mutations to impact  
72 efficacy of oral ER degraders in development.

73

## 74 **Introduction**

75 For estrogen receptor positive (ER+) breast cancer, which accounts for 75% of  
76 breast cancers, hormonal therapy forms the backbone of treatment. In advanced  
77 breast cancer (ABC), the selective estrogen receptor degrader (SERD) fulvestrant is  
78 licenced for use in the first and second line, both as a single agent, and in  
79 combination with targeted therapies including CDK4/6 inhibitors and alpelisib(1-3).  
80 Fulvestrant acts by competitively inhibiting the binding of estradiol to ER $\alpha$ (4),  
81 impeding receptor dimerization and nuclear localisation(5,6), preventing the  
82 activation of estrogen response elements within the regulatory regions of estrogen  
83 sensitive genes. Fulvestrant-bound ER is also unstable, leading to increased  
84 degradation of the estrogen receptor(6). Although a standard therapy for patients  
85 with ABC, few studies have investigated mechanisms of resistance to fulvestrant.

86 Activating estrogen receptor mutations (*ESR1* mutations) are acquired through prior  
87 aromatase inhibitor therapy for ABC(7), with circulating tumour DNA analysis  
88 demonstrating that the mutations are present in 15-40% of patients treated with prior  
89 aromatase inhibition(8-10). Activating *ESR1* mutations, that cluster at specific amino  
90 acids in the ligand binding domain (LBD), result in ligand independent activation of  
91 *ESR1*. Fulvestrant binding to mutant ER $\alpha$  is partially impaired, with higher  
92 concentrations of fulvestrant required to inhibit mutant ER $\alpha$  *in vitro*(5,11). It is  
93 considered unlikely that fulvestrant achieves concentrations required to optimally  
94 inhibit mutant *ESR1* in the clinic, and new oral SERDS that do fully inhibit *ESR1*,  
95 such as elacestrant, have improved activity as single agents(12-14).

96 The plasmaMATCH trial investigated the activity of a range of targeted treatments in  
97 patients selected based on plasma circulating tumour DNA (ctDNA) testing. Cohort A

98 enrolled patients with ER+ ABC with activating *ESR1* mutations for treatment with  
99 fulvestrant. Prior clinical research suggests a fulvestrant dose response (15,16), and  
100 patients were treated with extended dose fulvestrant (500mg) given every 2 weeks,  
101 twice as frequent as standard dosing, to increase fulvestrant exposure and target  
102 *ESR1* mutant cancers. Median progression free survival was 2.2 months (17). Here  
103 we investigate the genomic associations of response and resistance to fulvestrant in  
104 Cohort A of the plasmaMATCH trial. We demonstrate that baseline *ESR1* variants  
105 are predictive of response to fulvestrant, with frequent acquisition of potentially  
106 targetable mutations. We identify mutations at F404 in estrogen receptor, that occur  
107 in *cis* with classical activating *ESR1* mutations, and are acquired as a mechanism of  
108 resistance to fulvestrant, identifying the first mechanism of acquired resistance  
109 specific to fulvestrant.

110

## 111 Results

### 112 Baseline *ESR1* variants and differential fulvestrant activity

113 Of the 84 patients enrolled onto Cohort A treated with extended dose fulvestrant, 79  
114 (94%) had targeted sequencing results available for analysis, all of whom had  
115 detectable ctDNA. The observed baseline mutations reflected the profile of  
116 aromatase inhibitor pre-treated advanced breast cancer. Mutations in *ESR1* (96%,  
117 76/79 patients), *PIK3CA* (43% 34/79 patients) and *TP53* (30% 24/79 patients) were  
118 the most commonly identified at baseline (Figure 1A). Median PFS in patients with  
119 neither *PIK3CA* nor *TP53* mutations was not significantly altered (Supplementary  
120 Figure 1A and B). The most frequent activating *ESR1* alterations in the Cohort were  
121 D538G (n = 44, 55.7%), Y537S (n = 34, 43.0%), E380Q (n = 22, 27.9%), Y537N (n =  
122 22, 27.9%), Y537C (n = 11, 13.9%), L536R (n = 7, 8.9%) and S463P (n = 4, 5.1%;  
123 Figure 1B). We assessed the impact baseline *ESR1* mutations had on fulvestrant  
124 efficacy. Patients with detectable baseline Y537C alterations had longer median  
125 progression-free survival (PFS) on fulvestrant compared to patients with other  
126 baseline *ESR1* mutations (5.6 month detected versus 2.0 months not detected, HR  
127 2.8 [95% CI 1.3 to 5.9]; Figure 1C *left* panel). Conversely, patients with a baseline  
128 Y537S mutation had shorter median PFS (1.8 detected versus 3.5 months not-  
129 detected, HR 0.53 (95% CI 0.33 to 0.86; Figure 1C *right* panel). Median PFS in  
130 patients on fulvestrant with a baseline D538G, E380Q, and Y537N mutations was  
131 not significantly different compared to patients with other baseline *ESR1* mutations  
132 (Supplementary Figure 1C-E). To assess the impact of common activating mutations  
133 on fulvestrant activity *in vitro*, we conducted a screen of MCF7 cells with transient  
134 transfection of mutant *ESR1* expression constructs, assessing the impact of  
135 mutations on fulvestrant activity on an ERE reporter construct. Matching the clinical

136 observations, Y537S induced a high level of resistance to fulvestrant, whilst Y537C  
137 was more sensitive (Figure 1D). This provides further evidence for fulvestrant  
138 resistance of Y537S mutations, adding to the prior data *in vitro* and *in vivo* (11,18-  
139 20), and clinical trial data (21).

#### 140 Acquired mutations on fulvestrant.

141 Progression plasma DNA was sequenced in 70 patients, of whom 69 had a baseline  
142 plasma sequenced (69/84, 82% enrolled patients). Pathogenic alterations were  
143 acquired in 51% patients (35/69), particularly within estrogen and PI3K/AKT  
144 signalling pathways (Figure 2A and Supplementary Figure 1F), including 17/69  
145 (25%) patients who acquired potentially targetable alterations, in genes including  
146 *PTEN*, *BRCA1/2*, *PIK3CA*, *HER2* and *BRAF* (Figure 2A). The total number of  
147 acquired alterations was not different in patients who gained clinical benefit (PR/SD  
148  $\geq 24$  weeks) versus those that did not (Supplementary Figure 1G). For *ESR1*  
149 mutations, the majority of patients (n = 50, 72.5%) maintained their respective poly-  
150 or monoclonal *ESR1* mutations, with 5.8% (n = 4) acquiring polyclonal disease  
151 through the course of treatment. In all 14/69 (20%) patients acquired *ESR1*  
152 mutations at progression, including with 6/69 (9%) patients who acquired L536  
153 mutations. This matched the result of our *ESR1* activation mutation ERE screen, in  
154 which L536 mutations were the most resistant to fulvestrant (Figure 1D), likely  
155 suggesting that L536 clones were selected through treatment due to fulvestrant  
156 resistance.

#### 157 Identification and investigation of *ESR1* F404, a novel acquired mutation.

158 We noted that 3/69 (4%) patients acquired mutations at F404 on progression (Figure  
159 2B), a mutation that had not previously been described amongst *ESR1* mutations,  
160 including one patient with five separate F404 mutations. The F404 locus is situated

161 within the LBD of *ESR1*, with codon TTT encoding the phenylalanine (Figure 2C). All  
162 three patients had either a partial response or stable disease as their best response  
163 on fulvestrant. Of the patients with PFS  $\geq 16$  weeks, 12% acquired F404 mutations.  
164 We additionally identified H356Y mutations in 3/69 (4%) patients, all in patients with  
165 an activating L536P mutation, although subsequent functional experiments  
166 suggested H356Y mutation did not impact ER $\alpha$  function (Supplementary Figure 2A  
167 and 2B).

168 All 3 of the patients with acquired F404 mutations harboured activating *ESR1* E380Q  
169 mutations at baseline, whilst two of the patients also had baseline D538G mutations.  
170 *Cis/trans* analysis of the three patients with co-mutant E380Q (a loci close enough to  
171 F404 to be able to establish *cis/trans* patterns in ctDNA) revealed that 6/7 F404 base  
172 changes detected in these patients occurred in *cis* with the E380Q mutation (Figure  
173 2D; Supplementary Figure 3). The patient with the mutation in *trans* with E380Q had  
174 additional *ESR1* mutations (D538G, S463P and Y537N), and it is possible that the  
175 F404 mutation was in *cis* with one of those mutations.

176 In the absence of prior fulvestrant exposure F404 mutations were very rare. Only  
177 1/800 (0.1%) screening plasma samples from the plasmaMATCH study had an F404  
178 mutation, and this one patient had previously received fulvestrant and had activating  
179 mutations in *ESR1* at D538G, E380Q, S463P and Y537N. Furthermore, we  
180 interrogated other ctDNA data sets. In the PIPA combination study of fulvestrant,  
181 palbociclib and taselisib, 1/16 (6%) patients acquired an F404 mutation at  
182 progression (22). In the SERENA-1 study of the novel SERD camizestrant, baseline  
183 F404 mutations were identified in 2/214 (1%) patients both of whom had had prior  
184 fulvestrant exposure and had other activating *ESR1* mutations(23). Therefore, F404  
185 mutations were found only with prior fulvestrant exposure, only in combination with

186 other classical activating *ESR1* mutations, and occurred in *cis* with activating  
187 mutations expected to result in a translated protein that would carry the compound  
188 amino acid changes.

189 The F404 amino acid residue contains an aromatic ring that, when estrogen is bound  
190 to the receptor, forms a *pi*-stacking bond with a corresponding aromatic ring within  
191 estrogen. Within the patients who harboured a F404 alteration, all base changes  
192 lead to substitution of phenylalanine with one of either isoleucine, valine, or leucine,  
193 all of which lack an aromatic ring (Figure 2E). Fulvestrant has a similar structure to  
194 estrogen and includes an aromatic ring that forms a *pi*-stacking bond with F404 in  
195 structural modelling (Figure 2F). *In silico* analysis of binding energies  
196 (Supplementary Methods), on mutant *ESR1* backgrounds (*Y537S* or *L536S*),  
197 suggested mutations at F404 reduced the binding affinity of estrogen and fulvestrant  
198 to the estrogen receptor (Supplementary Table 1). This potentially explains the  
199 clinical observation that F404 mutations only occurred in the presence of other  
200 activating *ESR1* mutations, as F404 mutation might otherwise impair estrogen  
201 binding and receptor activation in a wild-type ER $\alpha$  receptor.

#### 202 Generation and validation of *ESR1* F404L models

203 We investigated the functional consequences of F404 alteration, and the potential  
204 role in fulvestrant resistance, using both CRISPR knock in models and transfection  
205 of expression constructs. For both approaches, *ESR1* 1210T>C (F404L), one of the  
206 most frequently identified F404 variants, was modelled as a single mutation (F404L)  
207 or as a compound mutation in *cis* alongside activating *ESR1* mutations, D538G  
208 (1613A>G) and E380Q (1138G>C) selected for investigation as the most frequently  
209 co-occurring mutations in the clinical dataset.

210 MCF7 cells were subjected to CRISPR-Cas9 with homology directed repair (HDR) to  
211 “Knock In” the target mutations. Clones were screened by Sanger sequencing of  
212 genomic DNA. Any clones identified to harbour the targeted mutations were  
213 expanded and expression of the mutant transcript confirmed by RT-PCR and Sanger  
214 sequencing (Figure 3A). 3/72 (4%) F404 clones harboured the mutation, of which  
215 only 1/3 (33%; F404L\_D10) was found to express F404L. 3/59 (5%) D538G clones  
216 harboured the mutation, all which 3/3 (100%) expressed the mutant protein. One of  
217 D538G clones, D538G\_D6C was noted to be homozygous for the mutation providing  
218 an ideal background into which to knock in the p.F404L (Figure 3A). A second round  
219 of CRISPR was used to introduce F404L into the D538G\_D6C model, with cells  
220 divided into pools and subjected to estrogen free conditions without (E) and with (EF)  
221 fulvestrant (0.5 $\mu$ M). 4/24 (17%) clones selected in the absence of estrogen (E) had  
222 expression of F404L (Figure 3A). In contrast, 28/30 (93%) of clones selected with  
223 fulvestrant (EF) had expression of F404L, providing clear evidence of preferential  
224 selection.

225 Growth of both the parental MCF7 and F404L\_D10 cells was estrogen dependent. In  
226 contrast, all models expressing D538G, and compound D538G+F404L, exhibited  
227 estrogen independent growth (Figure 3B and 3C). Similarly, D538G expressing  
228 models showed estradiol independent expression of the estrogen target gene  
229 progesterone receptor (PgR) and trefoil factor1 (TFF1; Figure 3D), whereas F404L  
230 showed estradiol dependent expression. Using an ERE-luciferase reporter gene  
231 construct and transient expression, we further assessed the impact of F404L and  
232 compound F404L+D538G mutations on estrogen mediated signalling (Figure 3E).  
233 Cells transfected with D538G tended to increase ERE activity in the absence of  
234 estrogen compared to cells expressing wild type *ESR1* (Figure 3F). Notably, cells



235 expressing F404L showed lower ERE activity compared to cells expressing wild type  
236 *ESR1* when exposed to estrogen ( $P=0.0488$ ,  $n=4$ ; Figure 3F). Similarly, the  
237 combination of E380Q, a less potent activator of ER signalling than D538G, and  
238 F404L reduced ERE activity compared to wild type *ESR1* ( $P<0.023$ ,  $n=4$ ). Together  
239 these results are consistent with the hypothesis that F404L impacts the LBD of ER $\alpha$ ,  
240 without activating the receptor.

241

#### 242 Compound F404 mutations and resistance to fulvestrant

243 We explored the impact of F404L on sensitivity of MCF7 cells to fulvestrant. CRISPR  
244 models expressing F404L had modestly reduced in sensitivity to fulvestrant  
245 compared to parental MCF7 cells in both short- and long-term assays (Figure 4A, 4B  
246 and 4C). Resistance to fulvestrant was substantially more marked in compound  
247 D538G+F404L models showing profound resistance (Figure 4A and 4B). Similarly,  
248 quantification of long-term colony formation assays show the compound  
249 D538G+F404L models clear resistance to fulvestrant (Figure 4C). Single mutant  
250 CRISPR F404L, D538G models and parental MCF7 cells had decreased expression  
251 of PgR, TFF1 and ER $\alpha$  when treated with fulvestrant (Figure 4D). In contrast, models  
252 with compound D538G+F404L had limited changes in expression of PgR, TFF1 and  
253 ER $\alpha$  when treated with fulvestrant (Figure 4D). Supporting these observations, ERE  
254 activity associated with transient expression of single and compound *ESR1* variants  
255 was reduced by treatment with fulvestrant, with the exception of D538G+F404L  
256 which maintained ERE activity compared to cells treated with estradiol alone (Figure  
257 4E). Consistent with this, the combination of F404L+L536P, a combination not seen  
258 in the clinical dataset, maintained ERE activity when treated with fulvestrant  
259 (Supplementary Figure 3). Together this data confirms that the combined effect of

260 compound F404 and activating *ESR1* mutations in *cis* in the same protein caused  
261 profound fulvestrant resistance.

262 Compound F404 mutations increase estrogen dependent gene expression.

263 To extend the observations of increased estrogen signalling in F404 compound  
264 models treated with fulvestrant (Figures 3C and 4C), RNAseq was performed for  
265 models grown in estradiol (1nM) with and without fulvestrant (1 $\mu$ M) for 24 hours  
266 (n=3). Gene set enrichment analysis (GSEA) of D538G+F404L compound mutant  
267 models grown with estrogen had decreased “Early estrogen pathway” expression but  
268 were otherwise similar to D538G mutant cells (Figure 5A, FDR adjusted  $q < 0.05$ ;  
269 However, when treated with fulvestrant for 24hr, E2F transcription, MYC,  
270 proliferation and estrogen mediated signalling were all significantly increased in the  
271 compound mutant model (Figure 5B, FDR adjusted  $q < 0.05$ ;  
272 had significant upregulation of estrogen signalling compared to the wildtype control  
273 (FDR adjusted  $q < 0.05$ ). Similarly, estrogen signalling was increased in the D538G-  
274 D6C model compared to the wildtype control maintained with and without fulvestrant  
275 treatment (FDR adjusted  $q < 0.05$ ; Figure 5C). Addition of F404L to D538G  
276 (D358G+F404L\_EF models), showed significant activation of both E2F target and  
277 estrogen response (early and late) pathways with fulvestrant treatment (FDR  
278 adjusted  $q < 0.05$ ; Figure 5C). Differential response of the late estrogen response  
279 genes illustrated in Figures 5D (estradiol; Supplementary Figure 4A) and 5E  
280 (Fulvestrant; Supplementary Figure 4B).

281 We noted two observations that suggested *ESR1* F404 mutations might be  
282 deleterious in the absence of fulvestrant. F404 Compound mutations had lower  
283 “Early estrogen pathway” expression (Figure 5A), and introduction of F404 reduced

284 ERE activity compared to wildtype protein in the presence of estrogen (Figure 3E).  
285 Consistent with this the three double mutants expressing F404L models that were  
286 selected in the presence of estrogen “E” (Figure 3A), all lost the F404L mutation in  
287 long term growth (Supplementary Figure 5), likely suggesting a subclonal mutation  
288 that was outcompeted by the F404F wildtype clone in long-term growth in the  
289 absence of fulvestrant.

#### 290 Compound F404 mutations are sensitive to novel SERDs.

291 *In silico* analysis of binding energies suggested mutations at F404L may increase the  
292 binding affinity of second-generation oral SERDs (Supplementary Table 1).  
293 Therefore, we investigated if fulvestrant resistance generated through compound  
294 F404 mutations could be overcome by novel SERDs in clinical development, or by  
295 the selective estrogen receptor modulator (SERM) tamoxifen. All novel SERDs  
296 investigated were active against CRISPR models with both single F404L mutations  
297 and D538G+F404L compound mutations, including elacestrant, camizestrant, 4OH  
298 tamoxifen and giredestrant (Figure 6A-E, Table 1; Supplementary Figures 6-9). In  
299 particular, models with D538G+F404L compound mutations that were overtly  
300 resistant to fulvestrant, showed sensitivity to other SERD/SERMs comparable to  
301 other D538G expressing models (Figure 6A-E; Supplementary Figures 6-9).  
302 Similarly, elacestrant, camizestrant, 4OH tamoxifen or giredestrant all fully inhibited  
303 ERE activity following transient transfection of D538G+F404L and E380Q+F404L  
304 (Figure 6F), despite transfection of these compound mutations resulting in  
305 substantial resistance to fulvestrant. Interestingly, 4OH tamoxifen did not completely  
306 suppress activity of the ERE reporter gene assay, with ~10-20% activity irrespective  
307 of *ESR1* mutation (Figure 6F), potentially reflecting the difference in mechanism of  
308 action between it and the SERDs.

## 309 Discussion

310 Here, we present a robust genomic analysis of resistance to fulvestrant in *ESR1*  
311 mutant breast cancer using paired circulating tumour DNA sequencing in patients  
312 treated with fulvestrant in the plasmaMATCH study(17). We identify novel *ESR1*  
313 mutations that alter F404, that occur only in patients treated with fulvestrant with pre-  
314 existing activating *ESR1* mutations in their cancer. F404 mutations are acquired *in*  
315 *cis* with a pre-existing activating *ESR1* mutation, with the resulting compound  
316 mutation resulting in profound resistance to fulvestrant, but with retained sensitivity  
317 to a range of novel SERDs, identifying a treatment strategy to overcome acquired  
318 resistance conveyed by F404 mutations.

319 Mutations at F404 do not appear to occur in the absence of fulvestrant exposure,  
320 and then also only in the presence of other activating *ESR1* mutations. F404 has  
321 previously been predicted to form *pi*-stacking bonds with plant polyphenols identified  
322 in a screen of compounds as candidates with anti-estrogenic properties (24).  
323 Similarly, structural analysis suggested that F404 forms a *pi*-stacking bond with an  
324 aromatic ring in both estradiol and fulvestrant. Consistent with these predictions, *in*  
325 *vitro*, the introduction of F404 mutations resulted in lower levels of ERE activity  
326 compared to wildtype *ESR1* (Figure 3). Mutation of F404 would likely reduce *ESR1*  
327 activity in the absence of other *ESR1* mutations, which may have a deleterious effect  
328 on tumour growth, explaining the lack of F404 mutations observed without prior  
329 acquisition of an activating *ESR1* mutation. Compound F404 mutations resulted in  
330 profound resistance to fulvestrant, with single F404 mutant models showing more  
331 limited fulvestrant resistance. It is likely that the effect of *ESR1* activating mutations  
332 on the ligand binding pocket, combined with the loss of the *pi*-stacking bond, result in

333 an impairment of fulvestrant affinity for the ligand binding pocket. *In silico* analysis of  
334 binding energies was consistent with this hypothesis, although formal *in vitro* studies  
335 in the future would be required to assess this (Supplementary Table 1), with the  
336 alternative hypothesis being that F404X mutations do not impact the binding of  
337 fulvestrant, but impact the conformational change induced by fulvestrant binding.  
338 Interesting *in silico* analysis predicted that binding energies of novel SERDs were not  
339 affected by, or even promoted by, F404 mutations, and consistent with this the  
340 efficacy of novel SERDs, was unaffected by mutations in F404, providing a  
341 therapeutic option to circumvent this mechanism of resistance. Investigation of a  
342 wider range of SERDs/SERMs is required to confirm whether this resistance  
343 mutation is, as is currently suggested, specific to fulvestrant. This endocrine therapy  
344 resistance mechanism is unique in leading to re-activation of the estrogen receptor  
345 itself, in contrast to other mechanisms such as inactivating *NF1* and *ARID1A*  
346 mutations (25,26), emphasising the need to identify whether further drug specific  
347 mutations may limit the efficacy of oral ER degraders in clinical development.

348 Interestingly, our results predict that although F404 compound mutations promote  
349 growth in the presence of fulvestrant, this conditional advantage may come at the  
350 cost of reduced fitness in the absence of fulvestrant, as F404 mutations  
351 may reduce ER signalling in the absence of fulvestrant and therefore come at the  
352 cost of impaired clonal growth once fulvestrant is withdrawn (Supplementary Figure  
353 5). This suggests that for patients with resistance to fulvestrant generated by F404  
354 mutations, there may be the possibility of rechallenging with fulvestrant after a  
355 treatment break, as has been seen rechallenging with cetuximab in patients who  
356 *KRAS* mutations in colorectal cancer(27).

357 Our study emphasises the extent to which tumour genomes may evolve through  
358 fulvestrant therapy, with 25% patients acquiring a potentially targetable driver  
359 mutation. Evidence suggests that ER positive breast cancers may become  
360 substantially heterogeneous after progression on endocrine therapy, and that  
361 heterogeneity presents a considerable challenge to subsequent treatment efficacy  
362 (21,28,29). The high incidence of mutation 'acquisition' was largely driven by gain of  
363 *ESR1* mutations, and likely reflects clonal selection in the cancer, whilst emphasising  
364 the importance of ctDNA liquid biopsy testing to match treatment to current genomics  
365 (17). This heterogeneity may be more marked in *ESR1* mutant cancer, as *ESR1*  
366 mutations may co-occur with other mechanisms of genetic resistance, potentially  
367 reflecting cancers that are pre-disposed to acquiring genetic mechanisms of  
368 resistance (21,29) Recently, acquisition of secondary mutations in *cis* with hotspot  
369 driver mutations in *PIK3CA* were described (30), leading to increased signalling and  
370 tumour growth. *PIK3CA* double mutants were found to have increased sensitivity to  
371 PI3K inhibitors (30). Similarly, we report double mutations in *ESR1* where the  
372 primary mutation has been widely described (11,19,21,29,31), acquired in response  
373 to exposure to aromatase inhibitors (7). In contrast to *PIK3CA* double mutations  
374 which enhance PI3K signalling, acquisition of F404 only provides a growth  
375 advantage in the context of exposure to fulvestrant.

376 In conclusion, we identify a novel *ESR1* mutation at ER $\alpha$  F404, that when acquired in  
377 combination with an activating *ESR1* mutation induces resistance to the widely used  
378 SERD fulvestrant. Mutations at this codon result in changes at F404 to amino acid  
379 residues which lack an aromatic ring, disrupting the *pi*-stacking bond with both  
380 estradiol and fulvestrant. The resistance of F404 double mutants is specific to  
381 fulvestrant and can be overcome by use of alternate SERDs, suggesting a route to

382 overcome therapeutic resistance in the clinic. Mutations in the estrogen receptor can  
383 confer resistance to ER binding drugs, without promoting ER activity, identifying a  
384 new mechanism through which the cancer can become resistant to hormonal  
385 therapies.

386

## 387 **Materials and Methods**

### 388 Patient enrolment into plasmaMATCH and blood sampling

389 The plasmaMATCH trial (NCT03182634). was co-sponsored by the Institute of  
390 Cancer Research and the Royal Marsden National Health Service (NHS) Foundation  
391 Trust, London, UK, and approved by a Research Ethics Committee (16/SC/0271), as  
392 previously reported (17). Baseline ctDNA testing was conducted with droplet digital  
393 PCR (ddPCR), and from partway through the trial with targeted sequencing in  
394 parallel to ddPCR. For patients enrolled prior to prospective targeted sequencing, a  
395 banked pre-treatment plasma sample was retrospectively sequenced. An additional  
396 plasma sample taken at disease progression was also subject to targeted  
397 sequencing.

398 For the baseline ctDNA test, 30-40ml of blood was collected in 3–4 10ml cell-free  
399 DNA BCT Streck tubes. 30ml of blood was shipped at ambient temperature to a  
400 central laboratory (Centre for Molecular Pathology, Royal Marsden Hospital) for  
401 ddPCR testing and retrospective targeted sequencing. In addition, from partway  
402 through the trial 10ml blood were shipped to Guardant Health (Redwood City,  
403 California, USA) for targeted sequencing. An additional sample was collected at  
404 cycle 1 day1, and end of treatment sample in 2 x 10mL BD Vacutainer® EDTA  
405 tubes, centrifuged within 1 hour of collection, for retrospective targeted sequencing.

### 406 Computer modeling of estrogen *pi*-stacking with ER

407 Models of estrogen ligand A-ring *pi*-stacking with F404 in the ligand binding pocket of  
408 ER $\alpha$  were generated as follows: There is no crystal structure for fulvestrant bound to  
409 ER $\alpha$ ; the only related crystal structure is for ICI 164,384, a close fulvestrant analog,  
410 in the other ER subtype, ER $\beta$  (PDB ID 1HJ1). Therefore, we removed the ICI



411 164,384 ligand from this structure, modified the side chain to match that of  
412 fulvestrant, and modelled it into the ER $\alpha$  crystal structure for the antiestrogen  
413 Bazedoxifene after removing the Bazedoxifene ligand (PDB ID 6PSJ); the fitting was  
414 done using Schrödinger Glide (<https://www.schrodinger.com/products/glide>). The  
415 estradiol structure in ER $\alpha$  is from PDB ID 3UUD.

#### 416 ctDNA testing and analysis.

417 ctDNA targeted sequencing was conducted with Guardant360 that identifies single  
418 nucleotide variants (SNVs), indels, copy number alterations and fusions within  
419 protein-coding regions of 73 (version 2.10) or 74 genes (version 2.11), as previously  
420 described(29,32).

421 Variants from Guardant 360 were annotated with VEP version 96(33). Germline calls  
422 were identified by Guardant360 with additional calls (identified based on a  
423 combination of VAF frequency around 50% $\pm$  2% and VAF in general population in  
424 the Genome Aggregation Database >0.001%) excluded. To identify pathogenic  
425 mutations, variants were annotated with OncoKB(34) and CancerHotspots(35).  
426 Mutations were classified as pathogenic based on Cancer Hotspots or OncoKB  
427 annotations or recurrent mutations in key breast cancer genes (*ESR1*, *HER2*,  
428 *PIK3CA*, *EGFR*, *RB1* and *FGFR2*) or splicing mutations. All analyses presented are  
429 based on mutations assessed as likely pathogenic. Targetability was assigned using  
430 OncoKB annotation, a manually curated database of alterations(34).

#### 431 Cell Lines

432 MCF7 cell lines were obtained from ATCC and cultured in phenol free RPMI media  
433 (32404-014, Life technologies) supplemented with 10% dextran/charcoal stripped  
434 FBS (12676029, Life Technologies), 1nM oestradiol (Sigma), glutamine (25030149,

435 Life technologies), penicillin and streptomycin (15140-122, Life technologies). Cell  
436 lines were banked in multiple aliquots on receipt to reduce risk of phenotypic drift  
437 and identity confirmed by STR profiling with the PowerPlex 1.2 System (Promega).  
438 Cell cultures were routinely tested for presence of mycoplasma using MycoAlert®  
439 Detection kit (LT07-318 Lonza).

#### 440 Antibodies and Drugs

441 Antibodies used were ER $\alpha$  (sc543, Santa Cruz Biotechnology), PGR (8757, Cell  
442 Signaling Technology), TFF1 (15571, Cell Signaling Technology) and  $\beta$ actin (A5441  
443 Sigma). Secondary antibodies used were  $\alpha$ -rabbit-HRP (7074) and  $\alpha$ -mouse-HRP  
444 (7076, Cell Signaling Technology). Fulvestrant (S1191), 4OH-tamoxifen (S7827) and  
445 camizestrant (S8958) were obtained from Selleck Chemicals. Elacestrant (HY-  
446 19822A) and giredestrant (HY-109176) were obtained from MedChemExpress.

#### 447 Generation and analysis of *ESR1* mutant CRISPR models

448 MCF7 cells were subjected to CRISPR-Cas9 genome editing with homology-directed  
449 repair (HDR) using Integrated DNA Technologies' (IDT) Alt-R™ CRISPR-Cas9  
450 system according to manufacturer's guidelines. Briefly, the day before transfection  
451 250,000 cells were plated per well of a 6 well plate in antibiotic free media containing  
452 HDR enhancer V2 (2 $\mu$ M, 10007910 IDT). crRNA and HDR templates were designed  
453 using IDT's Alt-R™ CRISPR HRD design tool ([https://eu.idtdna.com/pages/tools/alt-r-](https://eu.idtdna.com/pages/tools/alt-r-crispr-hdr-design-tool)  
454 [crispr-hdr-design-tool](https://eu.idtdna.com/pages/tools/alt-r-crispr-hdr-design-tool); Supplementary table 2). gRNA complexes (1 $\mu$ M) were prepared  
455 by hybridisation of targeting crRNA with tracrRNA-ATTO555 (1075928, IDT).  
456 Ribonucleoprotein (RNP) complexes were prepared by addition of gRNA complexes,  
457 Cas9 (1081060 IDT), HDR template, Cas9 PLUS reagent (ThermoFisher Scientific),  
458 and OptiMEM (31985062, ThermoFisher Scientific), and incubated for 5min at room  
459 temperature. Transfection mixes were prepared using RNP complexes with

460 Lipofectamine™ CRISPMAX™ (CMAX00008, ThermoFisher Scientific) and  
461 incubated for 20mins at room temperature. Transfection mixes were added to pre-  
462 seeded cells in 6 well plates and incubated overnight. 48h post transfection cells  
463 were spilt into 10cm dishes and cells cultured until colonies had established. gDNA  
464 was extracted from the transfection pool using QuickExtract™ DNA Extraction  
465 Solution (QE09050 Lucigen) and CRISPR editing assessed using Alt-R Genome  
466 Editing Detection kit (1075932 IDT). After approximately 2 weeks individual colonies  
467 were picked into 96 well plates and expanded. gDNA was extracted from colonies  
468 using QuickExtract™ DNA Extraction Solution (QE09050 Lucigen), subjected to  
469 PCR (primer details in Supplementary table 1), PCR products isolated (QIAquick  
470 PCR purification kit, 28104 Qiagen) and screened for presence of targeted mutations  
471 by Sanger sequencing (Azenta Life Sciences). Clones in which targeted mutations  
472 were identified were expanded.

473 To confirm mutant *ESR1* variants were expressed by selected clones, RNA was  
474 extracted using RNeasy Mini Kit (74104, Qiagen), cDNA prepared using SuperScript  
475 IV first strand synthesis kit (18091050, ThermoFisher Scientific) and amplified using  
476 AllTaq PCR Core Kit (203123, Qiagen; primer details in Supplementary table 1). As  
477 described, PCR products were isolated and screened for presence of targeted  
478 mutations by Sanger sequencing (Azenta Life Sciences).

#### 479 Fulvestrant Screen of *ESR1* mutant expressing MCF7 cells

480 A series of expression constructs with *ESR1* point mutations was generated in the  
481 pcDNA3.1 HA-ER $\alpha$  (18). Transfections of MCF7 cells using HA-tagged wild-type or  
482 mutant ER $\alpha$ , with 3 $\times$ -ERE-TATA-Luciferase reporter and pRL-TK-Renilla luciferase  
483 plasmid (Promega) using Lipofectamine 2000 (Life technologies) were done  
484 according to the methods of Toy et al 2013 (18). Cells were exposed to fulvestrant at

485 indicated concentrations 1 day after transfection for 24h, and luciferase activities  
486 were determined using the Dual® Luciferase Reporter Assay System (E2920,  
487 Promega) according to the manufacturer's instructions. Luciferase bioluminescence  
488 measurements were performed with the Veritas Microplate Luminometer (Promega).

#### 489 ERE assays with transient transfection

490 pcDNA3.1+/C-DYK plasmids, with the open reading frame of *ESR1* (NM\_000125.4)  
491 with and without point mutations (estrogen receptor constructs, ERCs;  
492 Supplementary Table 2), were purchased from GenScript (The Netherlands). Sanger  
493 sequencing was used to confirm the presence of the desired mutations within the  
494 custom insert. MCF-7 cells were seeded in 6 well plates with 250,000 cells per well  
495 in antibiotic free media, the following day transfected using Fugene 6 (Promega,  
496 USA) with the ERC, a plasmid expressing an estrogen response element with firefly  
497 luciferase (ERE-luciferase) (36) and pRL-CMV (*Renilla* luciferase control, Promega).  
498 24 hours post transfection, experimental conditions were applied for a further 24h,  
499 and firefly luciferase (ERE activity) and *Renilla* luciferase using the Dual-Glo®  
500 Luciferase Assay System (E2920, Promega) following the manufacturer's  
501 instructions measured with a VICTOR X3 MultiLab. Experiments were repeated a  
502 minimum of 3 times.

#### 503 In Vitro Viability Assessment

504 Colony formation assays were conducted in 6-well plates, seeded with 10,000  
505 cells/well prior to exposure to the indicated experimental conditions. Plates were  
506 fixed with tricyclic acid (10%<sup>v/v</sup>), stained with sulforhodamine B (S1402, Sigma;  
507 0.37%<sup>w/v</sup>, in 1% acetic acid) and colonies counted using a GelCOUNT instrument  
508 (Oxford Technologies). For short-term survival assays, 700cells/well were plated in

509 384 well plates and exposed to indicated drugs. Survival was assessed after 6 days  
510 of treatment using CellTiter-Glo cell viability assay (G7572, Promega).

### 511 Western Blotting

512 Cells were lysed in NP40 lysis buffer (1% v/v NP40, 10 mmol/L Tris–Cl pH8, 150  
513 mmol/L NaCl, 1 mmol/L EDTA, 1 mmol/L DTT) supplemented with  
514 protease/phosphatase inhibitor cocktail (5872, Cell Signaling Technologies).  
515 Western blots were carried out with precast Bis-Tris gels (Life Technologies).

### 516 RNAseq expression analysis

517 *ESR1* mutant models and controls were treated with 1nM estradiol ± 1µM fulvestrant  
518 for 24hr (9 models with estradiol treatment, 7 of which also had fulvestrant treatment,  
519 n=3), cells harvested, and RNA extracted using RNeasy Mini Kit (74104, Qiagen).  
520 Each cell model was treated in 3 independent experiments.

521 48 total RNA samples were sent to Novogene (UK) Company Ltd and subjected to  
522 Eukaryotic mRNA-Seq (Illumina Novaseq PE150, Q30 ≥ 80%). Sequencing data for  
523 48 RNA samples for 9 models using bcbio-nextgen,1.2.4 pipeline, reads were  
524 aligned using STAR with version STAR 2.6.1d, counted using salmon,1.4.0. The  
525 data was divided in two parts with respect to treatment with 1nM estradiol and 1µM  
526 fulvestrant as EST and FUL. The data normalized using DEseq2 version '1.38.3'.  
527 DESeq2 was also used to determine differentially expressed genes between  
528 different model of single mutants (404\_D10, 538\_D6C) versus control (MCF7), single  
529 mutants (404\_D10, 538\_D6C) versus wt\_D11 and double mutants (538\_404,  
530 404\_538) vs single mutants (538\_D6C, 404\_D10) using shrunken log2 fold changes  
531 in EST and FUL data respectively. Heatmaps were generated using pheatmap  
532 package version '1.0.12'and ggplots '3.4.2' R package. GSEA analysis was carried

533 out using Molecular Signatures Database 'Hallmarks' gene set collection using  
534 package fgsea '1.24.0' and clusterProfiler '4.6.2' R packages.

### 535 Statistical analyses

536 Statistical analysis was carried out using R version 4.0.5 and GraphPad Prism  
537 v8.4.3. Time to event survival data were analysed with log-rank test and hazard  
538 ratios were calculated with Cox regression. Plots were created using GraphPad  
539 Prism v8.4.3 and the R software packages ggplot2 and survminer.

540

### 541 **Data Availability Statement**

542 The processed plasmaMATCH Guardant360 sequencing data generated and  
543 analysed during the current study are available as part of Kingston B, *et al* 2021 (29).  
544 We do not have permission from the patients to publicly deposit the raw sequencing  
545 data. To protect the privacy and confidentiality of patients in this study, clinical data  
546 are also not made publicly available. The data can be obtained by submitting a  
547 formal data access request in accordance with the Institute of Cancer Research  
548 Clinical Trials and Statistics Unit (ICR-CTSU) data and sample access policy.  
549 Requests are to be made via a standard proforma describing the nature of the  
550 proposed research and extent of data requirements which is reviewed by the trial  
551 management group. Data recipients are required to enter a formal data sharing  
552 agreement, which describes the conditions for data release and requirements for  
553 data transfer, storage, archiving, publication, and intellectual property. Trial  
554 documentation including the protocol are available on request by contacting  
555 [plasmamatch-icrctsu@icr.ac.uk](mailto:plasmamatch-icrctsu@icr.ac.uk).

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558

559 **Acknowledgments**

560 This research was funded by Cancer Research UK and Breast Cancer Now, and  
561 sequencing of ctDNA was conducted by Guardant Health. The plasmaMATCH trial is  
562 funded by Cancer Research UK (CRUK/15/010, C30746/A19505), with additional  
563 support from AstraZeneca, Puma Biotechnology, Guardant Health and BioRad.  
564 Grateful thanks to all trial participants and their families. We thank Breast Cancer  
565 Now for funding this work as part of Programme Funding to the Breast Cancer Now  
566 Toby Robins Research Centre. This study represents independent research  
567 supported by the NIHR Biomedical Research Centre at The Royal Marsden NHS  
568 Foundation Trust and the Institute of Cancer Research, London.

569 This study was presented in part at the 2022 American Society of Clinical Oncology  
570 3-7th June 2022, J Clin Oncol 40, 2022 (suppl 16; abstr 1009),  
571 [https://doi.org/10.1200/JCO.2022.40.16\\_suppl.1009](https://doi.org/10.1200/JCO.2022.40.16_suppl.1009).

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721

722 **Table 1. Calculated IC50 and EC50 of 4OH tamoxifen and novel SERDs in *ESR1* mutant models.**

		MCF7	WT D11	F404L D10	D538G D6C	404 538 1EF	538 404 30EF	538 404 34EF	538 404 36EF	538 404 37EF
Elacestrant	IC50 (nM)	12.2	3.9	5.4	27.7	20.1	23.3	34.6	nc	35.5
	EC50 (nM)	16.2	10.7	6.9	59.0	24.9	27.5	46.3	67.0	57.8
Camizestrant	IC50 (nM)	1.0	0.7	1.8	14.0	9.6	9.9	15.1	7.5	15.8
	EC50 (nM)	2.5	2.2	2.2	28.8	10.9	12.2	20.8	47.2	25.3
4OH tamoxifen	IC50 (nM)	5.6	1.2	1.4	16.8	10.3	8.7	14.4	11.1	20.6
	EC50 (nM)	8.9	7.1	3.4	37.9	14.9	11.8	21.7	95.3	46.9
Giredestrant	IC50 (nM)	1.2	0.3	0.4	3.1	2.5	2.5	3.7	1.0	4.2
	EC50 (nM)	1.3	0.8	0.5	6.3	3.1	3.2	4.6	9.2	6.9

723 nc not calculated

724

725 **Figures**

726 **Figure 1. Baseline *ESR1* mutations and fulvestrant efficacy**

727 A. % Incidence of mutations in indicated genes at baseline in Cohort A (n=79  
728 assessable patients).

729 B. Incidence of baseline *ESR1* alterations within Cohort A (n=79 assessable  
730 patients).

731 C. Progression-free survival of patients in Cohort A, divided by baseline *ESR1*  
732 Y537C mutation status (left) and *ESR1* Y537S mutation status (right). p-values from  
733 log rank test. HR >1 denotes worse PFS for that group. WT, wild type; mt, mutant

734 D. MCF7 cells were co-transfected with the indicated *ESR1* expression constructs  
735 and treated with the indicated concentration of fulvestrant in the presence of 1nM  
736 estradiol for 24 hours and ERE-luciferase reporter activity determined. 2 independent  
737 experiments.

738

739 **Figure 2. Acquired mutations on Fulvestrant.**

740 A. incidence of acquired alterations (n=69 assessable patients), coloured by  
741 targetability of the alterations (methods). Level 2B denotes the highest level of  
742 supporting evidence (“Standard care biomarker recommended by the NCCN or other  
743 professional advice guidelines predictive of response to an FDA-approved drug”),  
744 while Level 4 is the lowest (“Compelling biochemical evidence supports the  
745 biomarker as being predictive of response to a drug”).

746 B. incidence of acquired *ESR1* mutations (n=14 patients), and resultant amino acid  
747 changes.

748 C. *ESR1* F404 locus in the DNA-binding domain of the estrogen receptor. The  
749 number of base changes identified within the dataset that result in the three different  
750 missense mutations are illustrated using <https://proteinpaint.stjude.org/> (37).

751 D. *cis/trans* analysis of F404 and E380Q in the three patients with assessable  
752 targeted sequencing data. Both alleles of chromosome 6 are represented, with  
753 annotated location of the F404 and E380Q on each respective allele representing  
754 the *cis/trans* relationship of the variants.

755 E, Mutations at phenylalanine 404 result in substitution of amino acid residues  
756 without an aromatic ring.

757 F, *In silico* modelling predicts the aromatic ring of F404 contributes to a *pi*-stacking  
758 bond between the receptor and both estrogen and fulvestrant.

759

760 **Figure 3. F404 does not activate estrogen signalling.**

761 A. CRISPR clones of MCF7 cells expressing *ESR1* F404L (1210T>C, CRISPR edit  
762 indicated by red arrows) or D538G (1613A>G; CRISPR edit indicated by black  
763 arrows) were identified by RT-PCR followed by Sanger sequencing (left hand  
764 panels). Similarly, a second round of CRISPR was used to introduce *ESR1* F404L  
765 (1210T>C) into a clone (D6C) that expressed D538G (1613A>G; right hand panels).

766 B. Estrogen dependent growth was assessed in colony formation assay. Parental  
767 MCF7 cells and indicated *ESR1* mutant models were grown in either the absence or  
768 presence of estradiol (1nM) for 14 days.

769 C. Quantification of colony formation assays of *ESR1* mutant models treated with  
770 and without estradiol (1nM). SRB stained colonies were dissolved and absorbance at

771 565nm measured. Mean with sem, n=3 independent experiments, nonparametric  
772 one way ANOVA with Dunn's multiple comparisons test, \*\*P<0.01.

773 D. Expression of estrogen target genes, progesterone receptor (PgR) and trefoil  
774 factor-1 (TFF1), assessed by western blot in parental MCF7 cells and indicated  
775 *ESR1* mutant models grown in either the absence or presence of estradiol (1nM) for  
776 24 hours.

777 E. MCF7 cells were transfected with *ESR1* expression constructs with indicated  
778 *ESR1* variants. Expression of ER $\alpha$  was determined by western blot.

779 F. MCF7 cells were co-transfected with the indicated *ESR1* expression constructs  
780 ERE-luciferase reporter and control construct. Cells were treated in either the  
781 absence or presence of estradiol (1nM) for 24 hours and ERE-luciferase activity  
782 assessed. 2-way repeated measures ANOVA with Dunnett's multiple comparisons  
783 test, n=4 mean with SD, \*P<0.05.

784

785 **Figure 4. Compound F404L mutations induces resistance to fulvestrant.**

786 A. Compound mutations of D538G-F404L in MCF7 cells, along with single mutations  
787 and wildtype, with sensitivity to fulvestrant assessed after 6 days treatment with Cell  
788 Titre Glo viability assay. N=4 mean with SD.

789 B. Representative images of clonogenic assays grown in indicated concentrations  
790 of fulvestrant for 14 days.

791 C. Quantification of colony formation assays for *ESR1* mutant models treated with  
792 the indicated concentrations of fulvestrant for 14 days. EC50 and IC50 values were

793 calculated from the response curves. SRB stained colonies were dissolved and  
794 absorbance at 565nm measured. Mean with sem, n=3 independent experiments.

795 D. Expression of estrogen target genes, progesterone receptor (PgR) and trefoil  
796 factor-1 (TFF1), assessed by western blot in parental MCF7 cells and indicated  
797 *ESR1* mutant models grown in the presence of 1nM estradiol or 1 $\mu$ M fulvestrant.

798 E. MCF7 cells were co-transfected with the indicated *ESR1* expression constructs  
799 ERE-luciferase reporter and control construct. Cells were treated with 1nM estradiol  
800 either the absence or presence of fulvestrant (1 $\mu$ M) for 24 hours and ERE-luciferase  
801 activity assessed. 2-way repeated measures ANOVA with Sidak's multiple  
802 comparisons test, n=4 mean with SD, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

803

#### 804 **Figure 5. Transcriptomic analysis of *ESR1* mutant models**

805 A, Gene set enrichment analysis for D538G+F404L models compared to D538G  
806 D6C cells maintained in 1nM estradiol. Pathways highlighted red, false discovery  
807 rate adjusted q value <0.05.

808 B, Gene set enrichment analysis for D538G+F404L models compared to D538G  
809 D6C cells treated with 1 $\mu$ M fulvestrant for 24hr. Pathways highlighted red, false  
810 discovery rate adjusted q value <0.05.

811 C, Gene Set Enrichment Analysis (GSEA) for *ESR1* mutant models. Normalised  
812 enrichment score (NES) is shown for the indicated pathways. \*False discovery rate  
813 adjusted q value <0.05.

814 D, Heat map of "Estrogen response late" genes (Log2 expression) for *ESR1* mutant  
815 models maintained in 1nM estradiol.

816 E, Heat map of “Estrogen response late” genes (Log2 expression) for *ESR1* mutant  
817 models treated with 1 $\mu$ M fulvestrant in presence of 1nMestradiol.

818

819 **Figure 6. Compound F404 mutations are sensitive to novel SERDs.**

820 A-D. Compound mutations of D538G-F404L in MCF7 cells, along with single  
821 mutations and wildtype, with sensitivity to elacestrant (A), camizestrant (B), 4OH  
822 tamoxifen (C) and giredestrant (D), assessed after 6 days treatment with Cell Titre  
823 Glo viability assay. N=4 mean with SD.

824 E. Representative clonogenic assays grown in indicated concentrations of  
825 elacestrant, camizestrant, 4OH tamoxifen and giredestrant for 14 days.

826 F. MCF7 cells were co-transfected with the indicated *ESR1* expression constructs  
827 ERE-luciferase reporter and control construct. Cells were treated with indicated  
828 concentrations of fulvestrant, elacestrant, camizestrant, 4OH tamoxifen and  
829 giredestrant, in the presence of 1nM estradiol, for 24 hours and ERE-luciferase  
830 activity assessed. 2-way repeated measures ANOVA with Sidak’s multiple  
831 comparisons test, n=3 mean with SD, \*P<0.05.



Figure 1

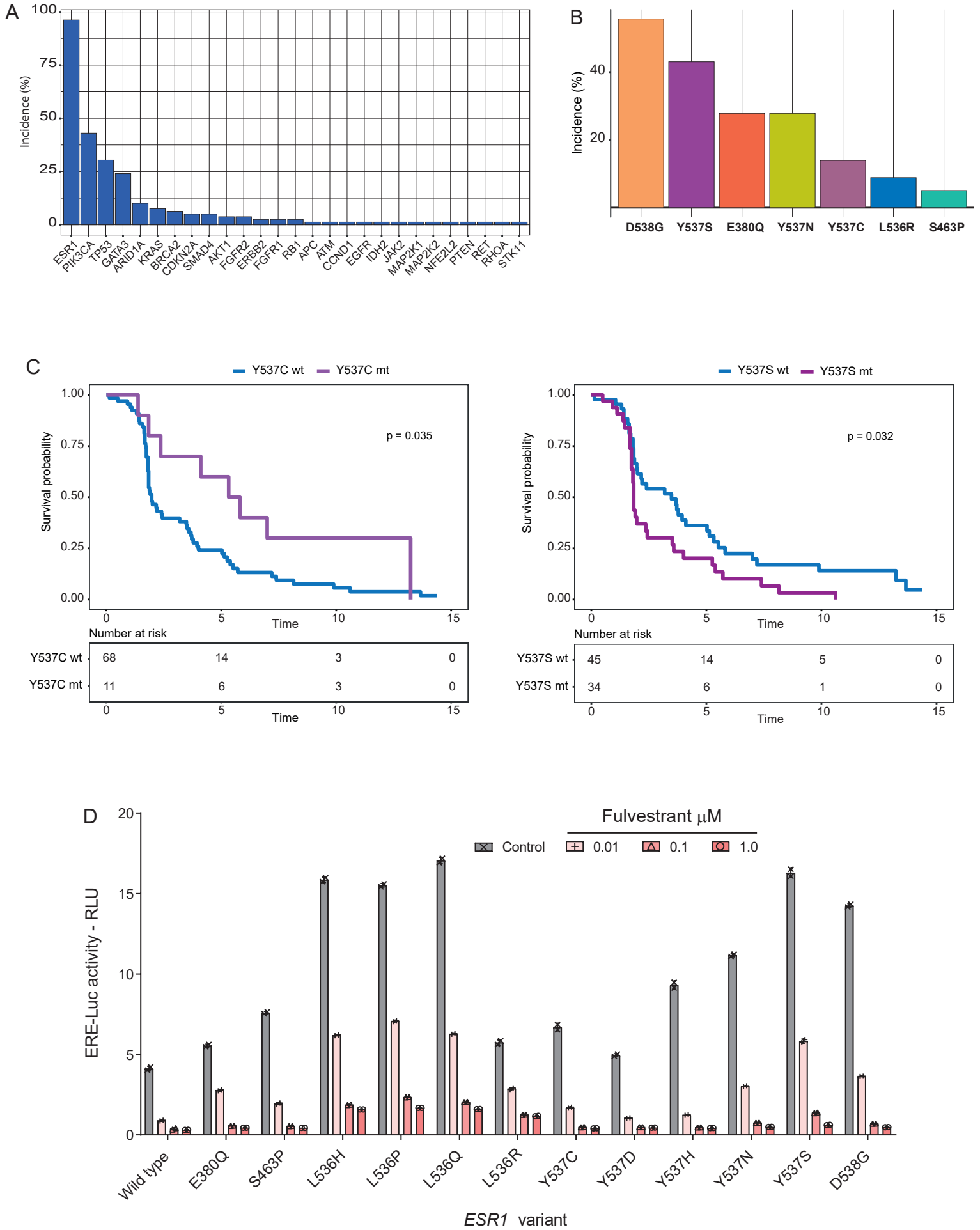


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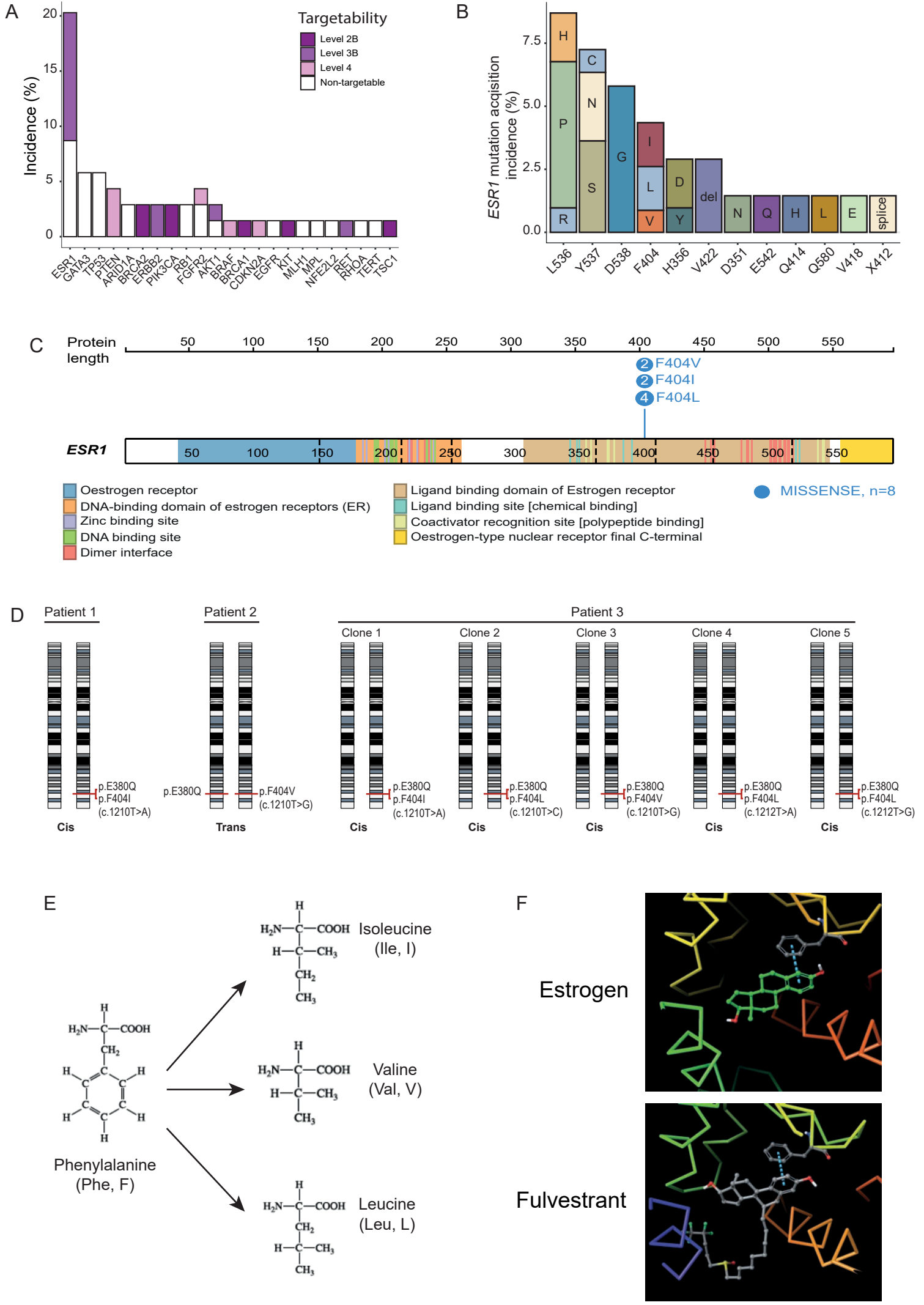
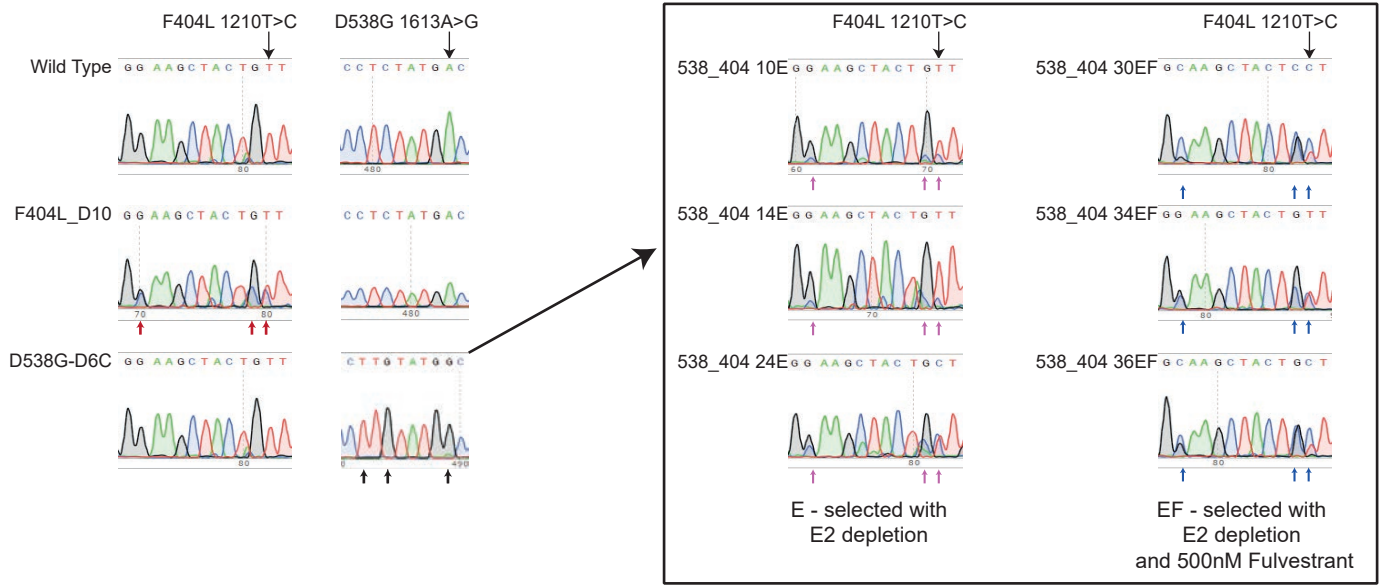
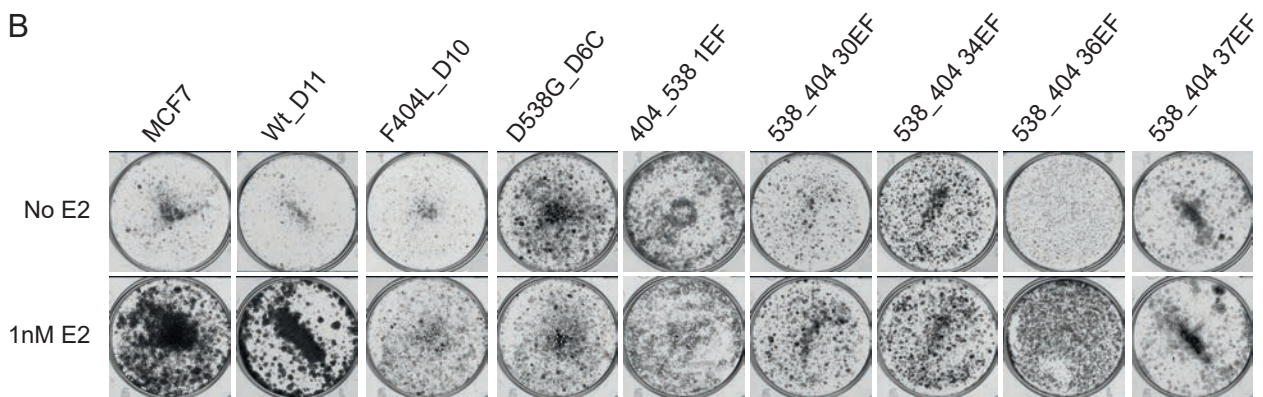


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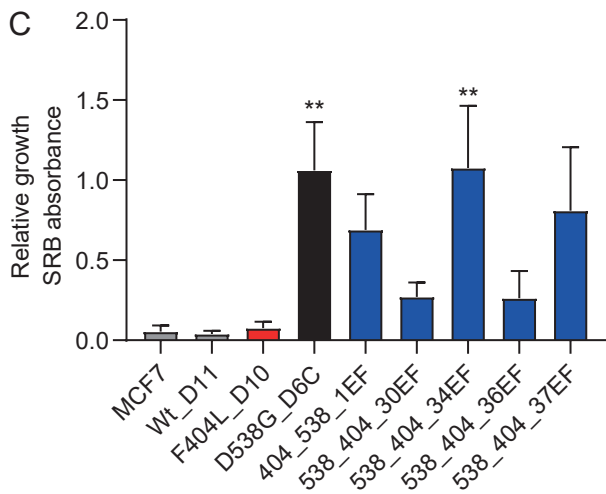
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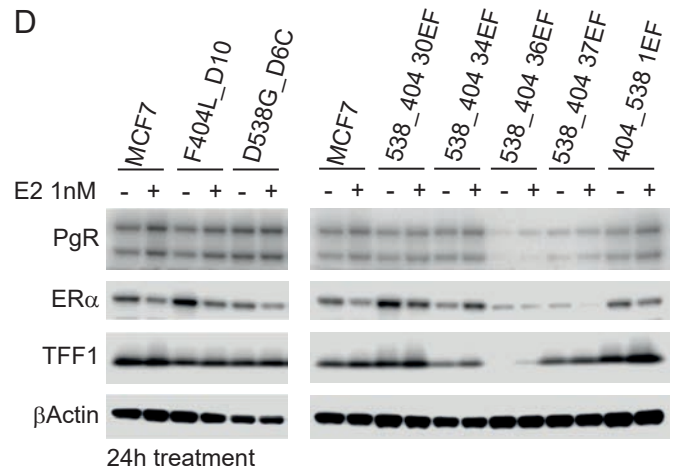
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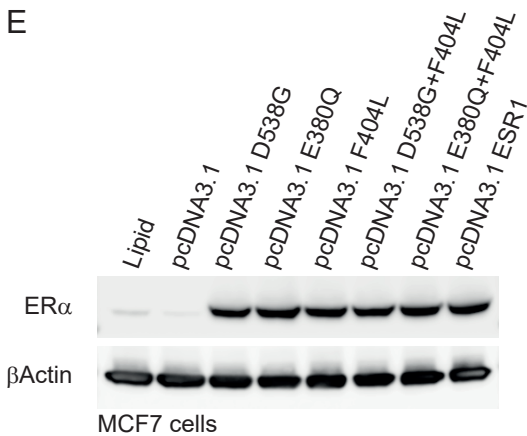
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D



E



F

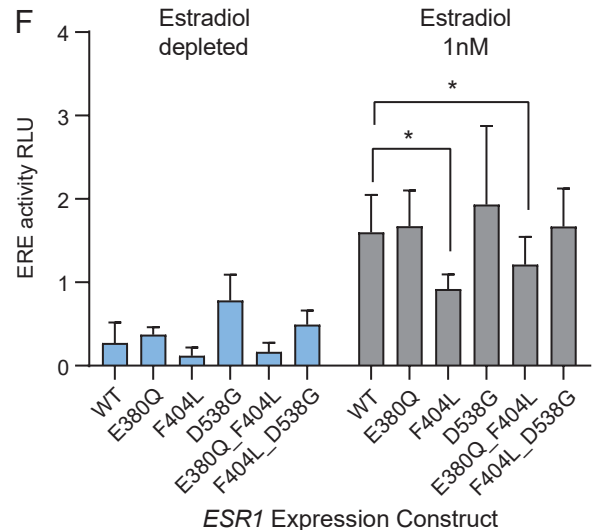


Figure 4

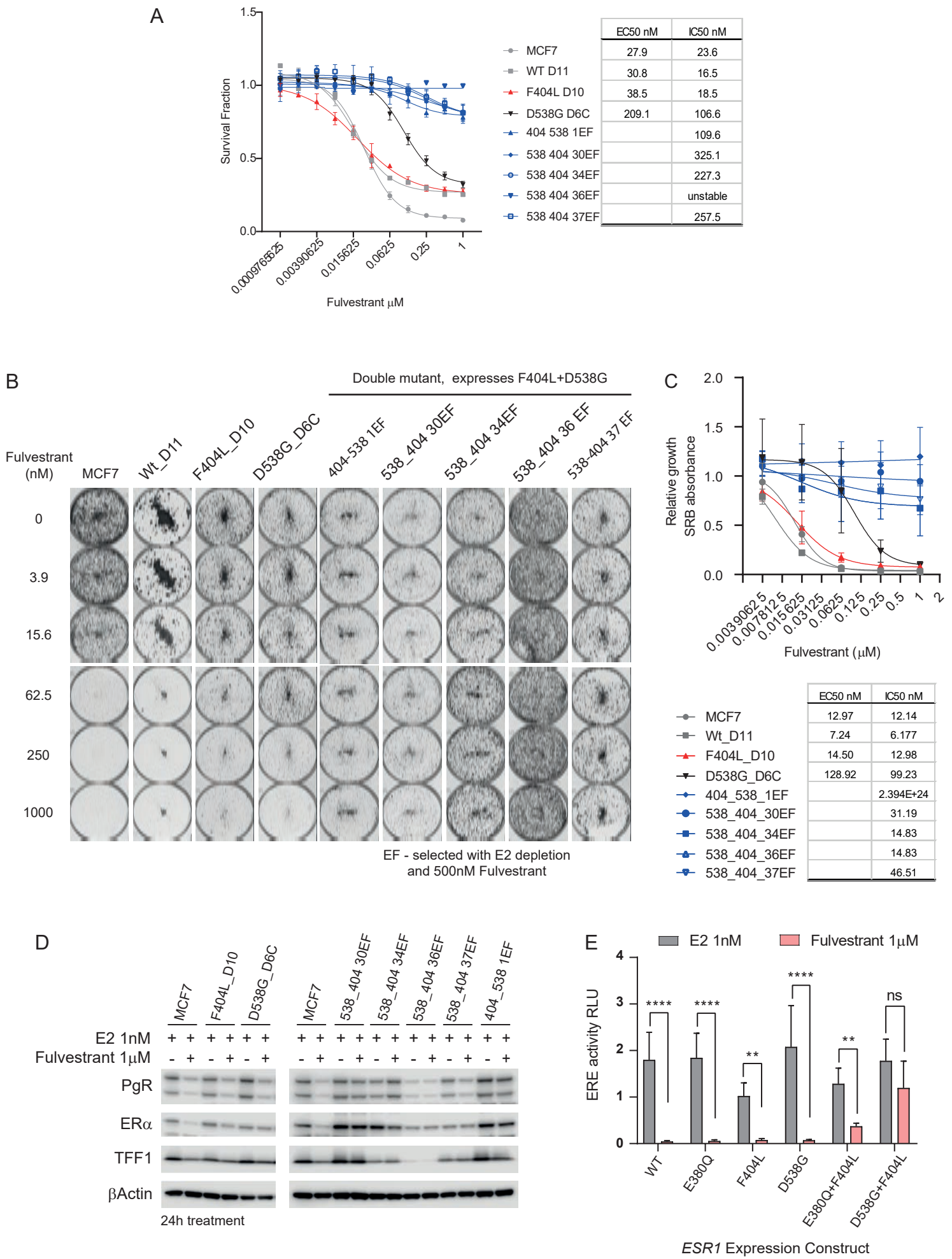


Figure 5

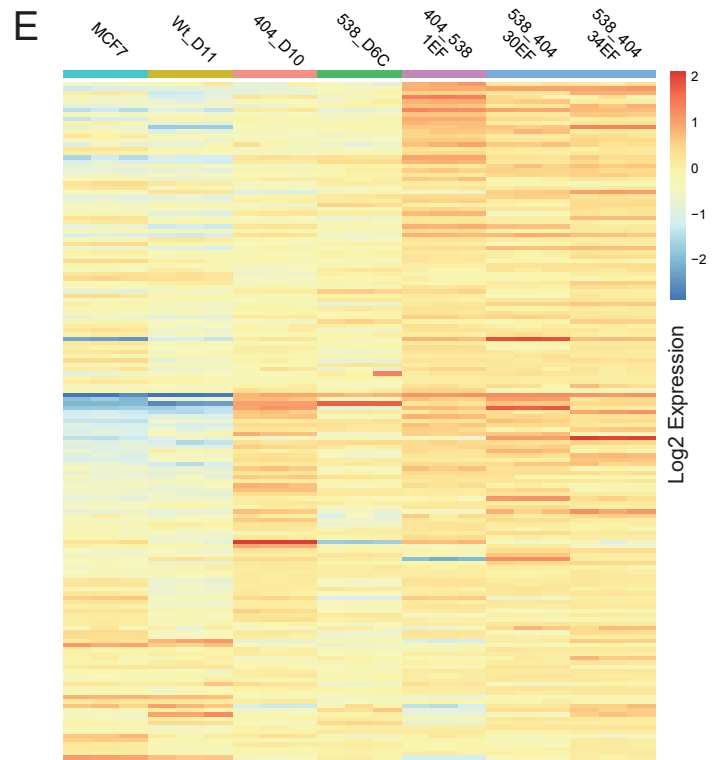
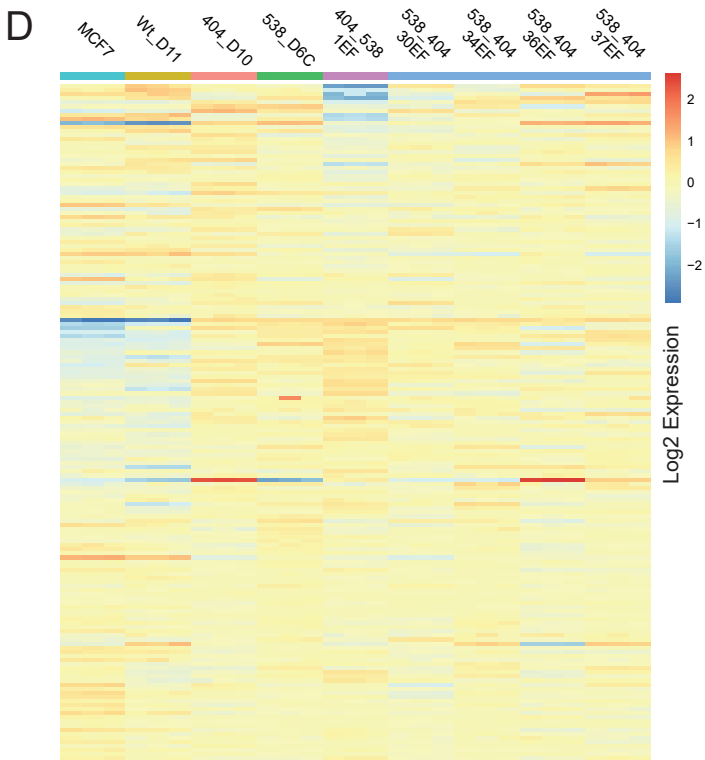
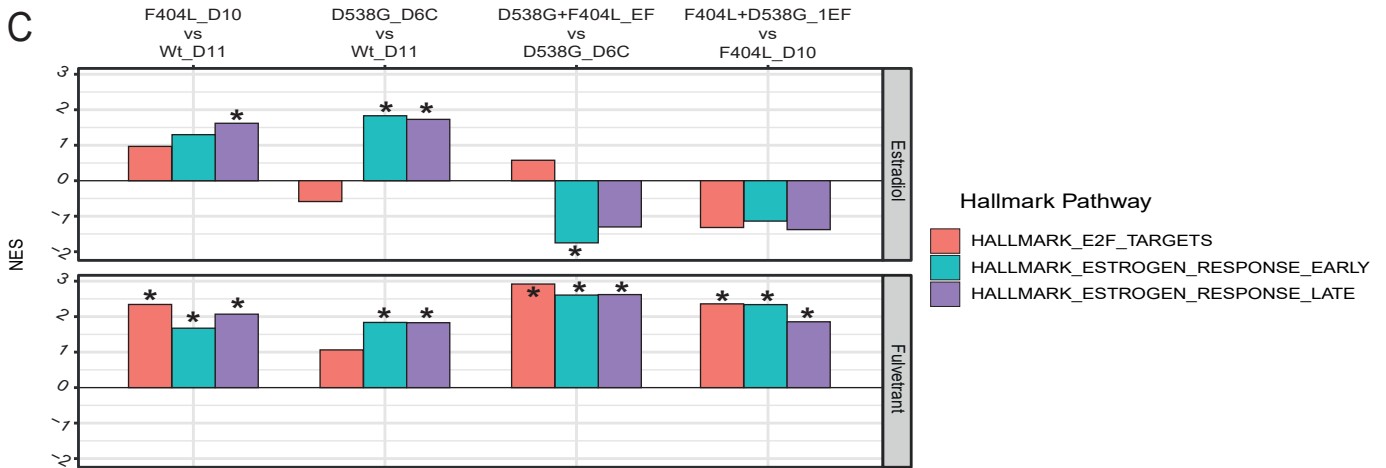
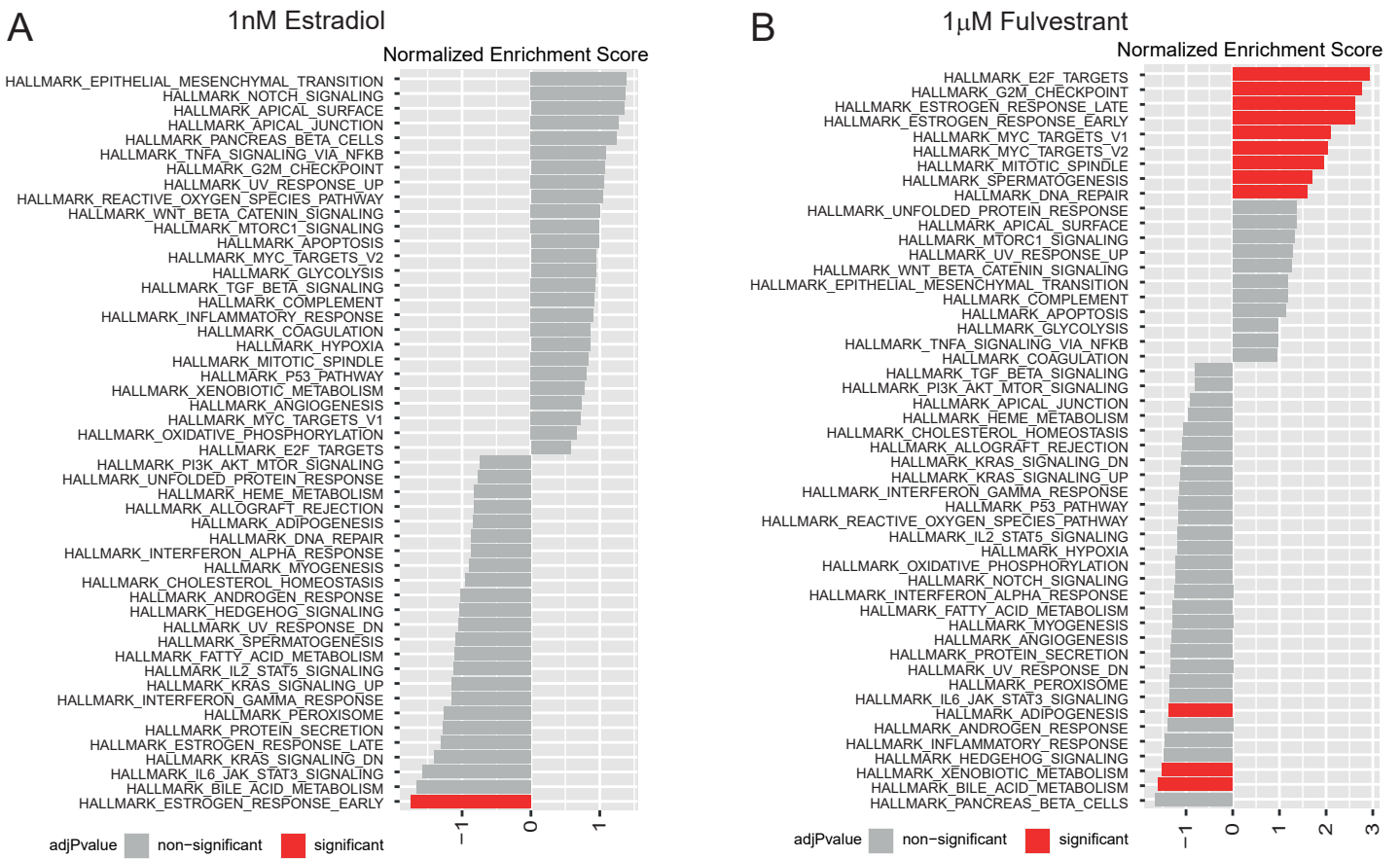




Figure 6

