SCHEST

Can Diaphragmatic Ultrasound Become a New Application for Point-of-Care Ultrasound in Preterm Infants?



Almudena Alonso-Ojembarrena, MD, PhD Lorena Estepa-Pedregosa, MD Cádiz, Spain

The diaphragm is the main muscle involved in respiration, along with the abdominal, intercostal, and accessory muscles. It is a tendomuscular structure that rises centrally in the lumbar vertebrae from L2 to L4 and extends laterally toward the rib cage, where it creates the zone of apposition of both hemithoraxes (between the eighth and ninth intercostal spaces), thus limiting the thoracic and abdominal cavities.

As the use of point-of-care ultrasound has spread as a new complementary tool for critical and noncritical patient evaluation, diaphragmatic ultrasound (DU) recently began being used to assess both diaphragmatic anatomy and function with a similar purpose: recent research in pediatric care settings has focused on its relationship with extubation success¹ and on diaphragm dysfunction in critically ill patients.²

This technique can be performed from a subcostal or lateral view³: the former is used to visualize each diaphragmatic dome and their excursion on the sagittal plane (diaphragmatic excursion [DE]), and the latter is used to measure the inspiratory and expiratory thickness of the diaphragm in the zone of apposition, allowing us

FOR RELATED ARTICLE, SEE PAGE 324

CORRESPONDENCE TO: Almudena Alonso-Ojembarrena, MD, PhD; email: almudena.alonso.sspa@juntadeandalucia.es

Copyright C 2022 American College of Chest Physicians. Published by Elsevier Inc. All rights reserved.

DOI: https://doi.org/10.1016/j.chest.2022.09.011

to assess the percentage that the diaphragm thickens during each respiration (ie, the diaphragm thickening fraction [DTF]). Patients with a lower DTF are at higher risk of extubation failure, and the decrease in diaphragm thickness after some time on mechanical ventilation has been used as a diagnostic criterion for diaphragm dysfunction.

Currently, very little evidence is available of the possible impact of DU in neonatal ICUs, and the article by Yeung and collaborators⁴ in this issue of CHEST is a very good starting point for this technique. To our knowledge, this is the first study in which the authors evaluate DTF after the first days of life in a neonatal ICU, and they demonstrated that healthy term newborns have a lower DTF and DE than preterm infants (PTIs) with bronchopulmonary dysplasia (BPD) at a similar postmenstrual age. Because DTF is related to a greater work of breathing and respiratory load⁵ and DE is the direct movement of the diaphragm, both results seem to be in line with a compensation for the underlying lung disease in these patients. In the same way, a previous article found no difference in DTF between term newborns and PTIs with no respiratory distress⁶; it seems that the difference lies in the respiratory disease, rather than in the prematurity itself.

The logical continuation of this line of research would be to study its relationship with extubation failure in PTI: DU also has been studied to predict extubation failure in PTI after respiratory distress syndrome,⁷ but never in those with evolving BPD after the initial phase of surfactant deficiency. However, the main limitations of this examination in PTI are the slower learning curve than with lung ultrasound (LU), as well as lower interobserver agreement between nonexpert and expert examiners resulting from the very small size of the measurements (0.1-0.2 mm).⁶ These limitations may reduce the spreading of DU in the tiniest PTIs because they need to be handled very carefully and small movements of the patient may affect the recorded measurements.

Another possible application of DU in the neonatal ICU is the study of the relationship of invasive mechanical ventilation with DTF and DE: the study by Yeung and collaborators⁴ compared healthy term newborns who never required respiratory support with patients with BPD. Past evidence in adult, pediatric, and animal

AFFILIATIONS: From the Neonatal Intensive Care Unit (A. A.-O., L. E.-P.), Puerta del Mar University Hospital; and Pediatric Intensive Care Unit (L. E.-P.) Hospital Universitario Puerta del Mar.

settings has shown that even short periods of invasive mechanical ventilation are linked to diaphragm dysfunction and atrophy; however, these results have not yet been replicated in neonates. This is relevant because the diaphragmatic configuration is clearly different in this group from that of adults or older children.⁸ Further variables also may be influencing these outcomes: liver surgery in adults⁹ has been demonstrated to reduce DTF as well as severe sepsis.¹⁰ Their correlates in PTI, necrotizing enterocolitis, and late-onset sepsis may or may not also have an impact on diaphragm function in this population.

However, as Yeung and collaborators⁴ correctly detail in the discussion of their article, the different organs of the respiratory system cannot be evaluated as isolated sections, and the lung also should be taken into consideration when performing DU. We already know that LU is different at the same postmenstrual age in PTIs who demonstrate BPD compared with those who do not¹¹: as we read in Yeung and collaborators⁷⁴ study, these differences in diaphragm function can be explained by the differences in LU, so the optimal point-of-care ultrasound approach would include a combination of LU, DU, and probably also echocardiography, as already has been proven in adult settings.¹²

We believe that diaphragm evaluation should be implemented in neonatal ICUs because it is a vital component of the neonatal respiratory system, but we must still wait for more evidence on DU before it is included as a universally available point-of-care ultrasound tool in this population. In the meantime, other noninvasive measurements, such as transcutaneous electromyography¹³ and electrical activity of the diaphragm,¹⁴ also are possible new tools that are likely to fill this gap.

Financial/Nonfinancial Disclosures

None declared.

References

- 1. Yao Y, He L, Chen W, et al. Predictive value of diaphragmatic ultrasonography for the weaning outcome in mechanically ventilated children aged 1–3 Years. *Front Pediatr.* 2022;10: 840444.
- Supinski GS, Morris PE, Dhar S, Callahan LA. Diaphragm dysfunction in critical illness. *Chest.* 2018;153(4):1040-1051.
- 3. Alonso-Ojembarrena A, Oulego-Erroz I. How to improve precision and reliability of diaphragm ultrasonographic measurements in newborns. *Eur J Pediatr.* 2021;180(4):1323-1324.
- **4.** Yeung T, Mohsen N, Ghanem M, et al. Diaphragmatic thickness and excursion in preterm infants with bronchopulmonary dysplasia compared with term or near term infants: a prospective observational study. *Chest.* 2023;163(2):324-331.
- Vivier E, Mekontso Dessap A, Dimassi S, et al. Diaphragm ultrasonography to estimate the work of breathing during noninvasive ventilation. *Intensive Care Med.* 2012;38(5):796-803.
- Alonso-Ojembarrena A, Ruiz-González E, Estepa-Pedregosa L, Armenteros-López AI, Segado-Arenas A, Lubián-López SP. Reproducibility and reference values of diaphragmatic shortening fraction for term and premature infants. *Pediatr Pulmonol.* 2020;55(8):1963-1968.
- Bahgat E, El-Halaby H, Abdelrahman A, Nasef N, Abdel-Hady H. Sonographic evaluation of diaphragmatic thickness and excursion as a predictor for successful extubation in mechanically ventilated preterm infants. *Eur J Pediatr.* 2021;180(3):899-908.
- 8. Devlieger H, Daniels H, Marchal G, Moerman P, Casaer P, Eggermont E. The diaphragm of the newborn infant: anatomical and ultrasonographic studies. *J Dev Physiol*. 1991;16(6):321-329.
- Kim SH, Na S, Choi J-S, Na SH, Shin S, Koh SO. An evaluation of diaphragmatic movement by M-mode sonography as a predictor of pulmonary dysfunction after upper abdominal surgery. *Anesth Analg.* 2010;110(5):1349-1354.
- Lu Z, Ge H, Xu L, Guo F, Zhang G, Wu Y. Alterations in diaphragmatic function assessed by ultrasonography in mechanically ventilated patients with sepsis. *J Clin Ultrasound*. 2019;47(4): 206-211.
- Alonso-Ojembarrena A, Serna-Guerediaga I, Aldecoa-Bilbao V, et al. The predictive value of lung ultrasound scores in developing bronchopulmonary dysplasia. *Chest.* 2021;160(3): 1006-1016.
- 12. Silva S, Ait Aissa D, Cocquet P, et al. Combined thoracic ultrasound assessment during a successful weaning trial predicts postextubation distress. *Anesthesiology*. 2017;127(4):666-674.
- Leuteren RW, Waal CG, Hutten GJ, Jongh FH, Kaam AH. Transcutaneous monitoring of diaphragm activity as a measure of work of breathing in preterm infants. *Pediatr Pulmonol.* 2021;56(6): 1593-1600.
- 14. Beck J, Sinderby C. Neurally adjusted ventilatory assist in newborns. *Clin Perinatol.* 2021;48(4):783-811.