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1 **Abstract (250 words)**

2 The level of genetic diversity and population structure of *Acacia senegal* variety *kerensis*
3 in Kenya was examined using seven polymorphic nuclear microsatellite loci and two
4 chloroplast microsatellite loci. In both chloroplast and nuclear datasets, high levels of
5 genetic diversity were found within all populations and genetic differentiation among
6 populations was low, indicating extensive gene flow. Analysis of population structure
7 provided support for the presence of 2 groups of populations, although all individuals had
8 mixed ancestry. Groups reflected the influence of geography on gene flow, with one
9 representing Rift Valley populations whilst the other represented populations from
10 Eastern Kenya. The similarities between estimates derived from nuclear and chloroplast
11 data suggest highly effective gene dispersal by both pollen and seed in this species,
12 although population structure appears to have been influenced by distributional changes
13 in the past. The few contrasts between the spatial patterns for nuclear and chloroplast data
14 provided additional support for the idea that, having fragmented in the past, groups are
15 now thoroughly mixed as a result of extensive gene flow. For the purposes of
16 conservation and *in situ* management of genetic resources, sampling could target a few,
17 large populations ideally distributed among the spatial groups identified. This should
18 ensure the majority of extant variation is preserved, and facilitate the investigation of
19 variation in important phenotypic traits and development of breeding populations.

20

21 **Keywords:** *Acacia senegal*, microsatellite, chloroplast, population structure, gene flow

22

1 ***Abbreviations:***

2 ASAL - arid and semi-arid lands

3 RAPD - randomly amplified polymorphic DNA

4 ISSR - Inter-simple sequence repeats

5 HWE - Hardy-Weinburg Equilibrium

6 PCR - Polymerase chain reaction

7 DNA - Deoxyribonucleic acid

8 IAM - Infinite alleles model

9 SMM - Stepwise mutation model

10 TPM - Two-phase mutation model

11

1 **Introduction**

2 The arid and semi-arid lands (ASALs) of Africa are threatened by both land degradation
3 (due to unsustainable agriculture, deforestation, overgrazing) and climate change. Across
4 this vast area (approximately 55% of Africa's surface, Wickens *et al.* 1995) low and
5 erratic rainfall (annually, <100-600 mm), high temperatures and poor soil water and
6 nutrient availability limit agricultural productivity and place a high value on perennial,
7 multipurpose tree species that provide a means to maximise agricultural potential and
8 stabilise yields in stressful, unpredictable growing conditions (Fagg & Allison 2004). In
9 the ASALs, the currently under-utilised tree species *Acacia senegal* (L.) Willd.
10 (Fabaceae, Mimosoideae, *Aculeiferum*) has been recognised as having great potential to
11 increase and diversify agricultural production as well as stabilise and restore degraded,
12 vulnerable agroecosystems. In Kenya, where a new market is developing for an exudate
13 from the species, commonly known as gum arabic, there is currently keen interest in
14 assessing, protecting and improving local *A. senegal* resources, for the benefit of the large
15 silvopastoral dryland community.

16

17 *Acacia senegal* is a small, deciduous tree, most highly valued for the production of gum
18 arabic, a commodity of international trade since ancient times. Gum arabic is used in
19 food, pharmaceuticals, cosmetic products and lithographic ink, because of its unique
20 emulsification, film forming and encapsulation properties, making it a very important
21 economic resource in the ASALs (Goodrum *et al.* 2000; Motlagh *et al.* 2006; Al-Assaf *et*
22 *al.* 2007). In addition, the tree improves soil fertility through nitrogen fixation and
23 provides shade, fodder, traditional medicine, fuel wood and substrate stabilisation (Arce

1 & Blanks 2001; Raddad *et al.* 2005). It is distributed in arid and semi-arid zones, mostly
2 in tropical and sub-tropical regions, across the whole of sub-Saharan Africa and as far as
3 India and Pakistan (White 1983; FAO 1985; ICRAF 1992). Four varieties are recognised
4 within the species: *senegal*, *kerensis*, *rostrata* and *leiorhachis* (Brenan 1983; Fagg &
5 Allison 2004), of which three (*senegal*, *leiorhachis* and *kerensis*) are found in Kenya. The
6 latter, variety *kerensis*, is most widely distributed in Kenya and is very highly valued for
7 the quality of gum it produces, forming the basis of an active international market (Booth
8 & Wickens 1988; Fagg & Allison 2004; Chretien *et al.* 2008).

9

10 As part of an effort to promote sustainable management and conservation of forests for
11 the production of wood and non-wood products, and increased tree-planting in ASALs,
12 the Kenyan government has called for research on priority species (Sessional Paper no 9,
13 2005, Forest Policy), of which *Acacia senegal* is one. A science-based strategy for
14 management and exploitation of genetic resources would help to identify the factors
15 underlying gum quality variation and improve sustainability of production for the benefit
16 of dryland inhabitants (Chikamai & Banks 1993; Chikamai & Odera 2002; Motlagh *et al.*
17 2006). An important focus for research and development, one which limits adoption and
18 domestication of *A. senegal*, is variation in chemical composition of the gum. This
19 determines gum quality and ultimately, its end use (Chikamai & Odera 2002; Motlagh *et*
20 *al.* 2006). It is known that the chemical and molecular structure of gum is highly variable
21 depending on source and this has significant effects on trade (Chikamai & Banks 1993;
22 Jurasek *et al.* 1994; Islam *et al.* 1997; Chikamai & Odera 2002; Motlagh *et al.* 2006). If
23 this geographic variation is a genetically controlled trait then there is the potential for

1 productivity improvement by sourcing and distribution of superior germplasm. However,
2 in this case it is important, for maintenance of extant genetic resources and avoidance of
3 genetic pollution, that the distribution and sources of genetic variation are well-
4 characterised and the physical dimensions of gene flow understood.

5
6 Despite its biological, social and economic importance, little information exists on the
7 genetic resources of *A. senegal* variety *kerensis* in Kenya (Chikamai & Banks 1993;
8 Chikamai & Odera 2002). Indeed, only limited information is available on the extent,
9 distribution and nature of its genetic variability across its entire distribution range (Fagg
10 & Allison 2004). Genetic diversity and population structure of variety *senegal* was
11 evaluated using isoenzymes in Senegal and low diversity was reported ($H=0.175$,
12 Bergonzini & Joly 1992; Chevallier *et al.* 1994). Another study of population
13 differentiation in variety *senegal* with samples from Kenya, Sudan, Pakistan, Mali and
14 Mauritania found a clear separation between West and East African populations
15 (Chevallier & Borgel 1998), a distinction most probably due only to distance. The only
16 study on Kenyan populations of *A. senegal* was done using random amplified
17 polymorphic DNA (RAPD) and inter-specific simple sequence repeat (ISSR), which
18 reported moderate diversity ($H = 0.283$, Chiveu *et al.* 2008). However this study made no
19 distinction between varieties; as commercial gum production in Kenya is entirely from
20 variety *kerensis*, this is a division that must be recognised. Knowledge of genetic
21 diversity and population structure of variety *kerensis* in its natural range in Kenya is
22 crucial for formulation of appropriate management strategies directed towards
23 conservation and maximum utilization of the genetic resource (Hueneke 1991; Malligan

1 *et al.* 1994). Furthermore, information on population differentiation will help to develop
2 efficient sampling strategies for the variety (Bonnin *et al.* 1996) and enable conservation
3 and improvement initiatives.

4

5 To identify levels and structure of genetic variation and initiate development of long term
6 strategies for sustainable use of resources, a widespread analysis of variation in the
7 species in Kenya was undertaken. To achieve this, a combination of nuclear and
8 chloroplast microsatellites was used. This approach takes advantage of data from
9 different parts of the genome to provide insight into different aspects of the species'
10 evolution, at different timescales and reflecting different modes of gene dispersal (nuclear
11 DNA is dispersed via pollen and seed, whilst organelle DNA is dispersed by seed only).
12 The aim was to characterize and understand the genetic diversity and structure of natural
13 populations of *A. senegal* variety *kerensis* in Kenya, detect population structure and
14 patterns of gene flow and identify factors influencing diversity across the range and in
15 individual populations.

16

17

1 **Results**

2 *Genetic diversity*

3 A total of 48 alleles were observed across the 7 nuclear microsatellite loci used in this
4 study with a total number of alleles detected per locus varying from 4 (*Ame02* and *Ab06*)
5 to 13 (*Ab26*) and an average number of alleles of 6.9 per locus (Table 1). Gene diversity
6 (H_E) values per locus ranged from 0.530 (*Ame05*) to 0.868 (*Ab26*) with a mean value over
7 all loci at 0.697, while observed heterozygosity (H_O) values ranged from 0.516 (*Ame05*)
8 to 0.800 (*Ame03*) with a mean value of 0.709 (Table 1). At individual loci, four
9 departures from HWE were noted: loci *Ame07* and *Ab26* showed significant ($P < 0.05$)
10 heterozygote excess, whilst *Ame02* and *Ab18* showed significant ($P < 0.001$)
11 heterozygote deficits. No linkage disequilibrium was detected between different
12 genotypes with the Fisher exact test among the different microsatellite loci ($P > 0.05$)
13 indicating that all seven loci segregate independently of each other.

14

15 Among the 11 populations surveyed, the mean multilocus observed heterozygosity (H_O)
16 based on nuclear data was highest at Ngarendare (0.824, Table 2) and lowest at Daaba
17 (0.613), whilst allelic richness (A_R) per population ranged from 5.05 at Serolipi to 4.27 at
18 Daaba based on a minimum sample size of 20 individuals per population. Private alleles
19 were identified in three of the 11 populations. One private allele per population was
20 found in Ngarendare, Ngurunit and Serolipi.

21

1 A total of 8 alleles were found across the two chloroplast loci, characterising 9
2 haplotypes. Mean within population diversity (H_S) was 0.679, whilst overall diversity
3 (H_T) was 0.807.

4

5 At a population level, significant deviations from HWE were observed at three sites
6 (Table 2): heterozygote deficiency - Daaba ($F_{IS} = 0.039$, $P < 0.01$), heterozygote excess -
7 Ngarendare (-0.207 , $P < 0.001$), Merille (-0.136 , $P < 0.05$). However, across all loci and
8 all populations there was no significant deviation from HWE.

9

10 ***Population differentiation and structure***

11 Population differentiation and structure was evaluated using both F_{ST} and R_{ST} . No
12 significant differentiation was detected using F_{ST} across all populations and loci (0.045 , P
13 > 0.05), whilst R_{ST} was significant 0.125 ($P < 0.05$). If the stepwise mutation model is
14 assumed, as seems reasonable for microsatellite evolution, there is a low but significant
15 differentiation among populations, although in general high levels of gene flow are
16 prevalent. Theory suggests that population differentiation is more accurately estimated by
17 SMM, because this best accounts for the high mutation rate of microsatellite markers. In
18 contrast, IAM often underestimates population differentiation at microsatellite loci
19 (Hedrick, 1995).

20

21 Based on variation in chloroplast haplotype, population differentiation (G_{ST}) across all
22 populations was 0.159. Comparing R_{ST} and G_{ST} (Pons & Petit, 1996) for the chloroplast
23 data, no evidence for phylogeographic structure was found, reflecting the fact that

1 populations are largely mixed for chloroplast haplotypes. In keeping with these results,
2 analysis of molecular variance (AMOVA) found that most of the genetic variation was
3 partitioned within (91 %) rather than among (9 %) the populations (Table 3), as is
4 characteristic of an outcrossing tree species (Hamrick et al, 1992).

5

6 The genetic distance between populations based on Cavalli-Sforza and Edward's chord
7 distance (D_c) ranged from 0.152 (Archers' Post and Merille) to 0.347 (Ngarendare and
8 Lokichar) with an average distance of 0.264 (Table 4). Mantel tests showed a positive but
9 non-significant correlation of both F_{ST} ($r = 0.099$, $P > 0.05$) and R_{ST} ($r = 0.2314$, $P >$
10 0.05) with geographic distance for the 55 pairwise comparisons among the 11 populations
11 under the isolation-by-distance model.

12

13 Using the delta K criterion (Evanno et al 2005), Bayesian clustering (Pritchard et al 2000;
14 Falush et al 2003, 2007) suggested the presence of two groups as most probable (Figure
15 2), although all individuals showed mixed ancestry. This separation placed populations
16 Kakuma, Lokichar, Lokitaung and Marigat within one group (defining group membership
17 as most individuals within a population having >60% ancestry of that group), whilst
18 Archer's Post, Kargi, Merille and Ngarendare fell within a second group. Populations
19 Daaba, Ngurunit and Serolipi were highly mixed and not clearly within one group or
20 another. Spatial analysis of chloroplast variance also suggested most likely presence of
21 two groups, although not completely coincident with the groups identified in the nuclear
22 microsatellite data. Groups were: 1. Kakuma, Lokichar, Lokitaung and Ngurunit and 2.
23 Marigat, Merille, Ngarendare and Serolipi (Figure 1).

1

2 ***Tests for bottlenecks***

3 Using the Wilcoxon's signed rank test, all 11 populations deviated significantly from
4 mutation-drift equilibrium ($P < 0.05$) under the assumption of the infinite allelic mutation
5 model (IAM), whilst only two of the populations (Kargi, Ngurunit) showed significant
6 deviations under the TPM and SMM (Table 2, results shown for TPM only). In two
7 populations (Kargi, Kakuma) evidence of a mode-shift was detected.

8

1 **Discussion**

2 *Genetic diversity*

3 From nuclear microsatellite data, all populations showed high levels of genetic diversity.
4 The levels of heterozygosity (mean $H_E = 0.667$) detected were comparable to estimates
5 obtained for other tropical tree species such as *Ceiba pentandra* ($H_E = 0.85$, Brondani *et al.*
6 *al.*, 2003), *Carapa guianensis* ($H_E = 0.61$, Dayanandan *et al.*, 1999), *Swietenia humilis*
7 ($H_E = 0.53$, White *et al.*, 1999) and *Swietenia macrophylla* ($H_E = 0.66$, Novick *et al.*,
8 2003) and are in keeping with expectations for widespread, long lived and outcrossing
9 tree species (Hamrick & Godt 1990; Hamrick *et al.* 1992; Figueira *et al.* 2006). The
10 estimates were similar to those recorded for the species from which the microsatellites
11 were transferred, *A. brevispica* ($H_E = 0.72$, Otero-Arnaiz *et al.*, 2005) and *A. mellifera*
12 ($H_E = 0.67$, Ruiz-Guajardo *et al.*, 2007). Diversity estimates from the chloroplast data
13 were also high ($H_T = 0.807$), although similar to those found in other species (e.g.
14 *Vitellaria paradoxa*, $H_{cp} = 0.71$, Fontaine *et al.* 2004; *Adansonia digitata*, $G_{ST} = 0.97$,
15 Tsy *et al.*, 2009).

16

17 Such findings confirm earlier studies of the species by Chevallier *et al.* (1994) using
18 isoenzymes, where significant levels of heterozygosity and partitioning of the majority of
19 variation within populations was attributed to its outcrossing mating system. Doligez &
20 Joly (1997), reviewing the outcrossing rates reported for 28 species of tropical forest trees
21 in natural populations, found high genetic diversity to be common. Such levels of
22 diversity are maintained by high levels of gene flow facilitated by efficient pollen

1 movement by pollinators and the widespread occurrence of efficient self-incompatibility
2 mechanisms (Dick et al, 2008; White *et al.*, 2002).

3

4 In general, most populations showed no significant deviation from HWE, although slight
5 heterozygote excess was observed in some. Significant deviations from HWE were
6 observed in three populations: Ngarendare and Merille showed heterozygote excess,
7 whilst Daaba showed significant heterozygote deficiency. *Acacia senegal* var. *kerensis* is
8 found distributed across the whole of the northern part of Kenya and is known to be long-
9 lived (Fagg and Allison, 2004). The high levels of allelic diversity and heterozygosity
10 coupled with low and negative values of F_{IS} found in this study support botanical and
11 experimental data suggesting that *A. senegal* is a predominantly outcrossing species. In
12 pollination trials, Obunga (1995) and Tandon *et al.* (2001) found *A. senegal* to be almost
13 exclusively outcrossed and self incompatible. The numerous flowers produce abundant
14 pollen, grouped into polyads of 16 pollen grains (Guinet, 1969) and the cup-shaped non-
15 papillate stigma generally only accommodates one polyad. While self-pollen can be
16 deposited on the stigma, self-incompatibility appears to operate inside the embryo sac and
17 may function either pre- or post-fertilization (Obunga, 1995). The significant deviations
18 noted at Ngarendare, Merille and Daaba therefore probably reflect local site history,
19 although, due to a lack of detailed historical information, explanations can only be
20 speculative. There are various potential causes of heterozygote excess: e.g. heterozygote
21 advantage, negative assortative mating, clonal growth, reduced presence of selfed
22 progeny due to small population size, population mixing (Stoeckel *et al.*, 2006). In the
23 absence of good supporting data to allow rejection of the first three and as there is no

1 evidence for clonal reproduction in this species, the most parsimonious explanation
2 seems to be demographic. Certainly, the sample taken from the site at Ngarendare and
3 Merille were necessarily (due to low density) drawn from across a much greater area than
4 at other sites and so may represent mixing of discrete demographic units. In contrast,
5 heterozygote deficiency is most commonly the result of inbreeding, due either to selfing
6 or mating between close relatives (biparental inbreeding). The population at Daaba was
7 notably even-sized (80 % of trees of the same height and DBH) suggesting they may be a
8 single or few cohorts, possibly establishing together as a result of some disturbance event.
9 The area is known to be prone to flooding which would provide a mechanism for
10 significant, biased seed dispersal. If the population is more closely related than it would
11 be if seed dispersal was random, a slight reduction in heterozygosity might be expected.

12

13 ***Population differentiation and structure***

14 At nuclear markers, the degree of differentiation among populations was low ($F_{ST} =$
15 0.0447), even taking the evolution of microsatellites into account ($R_{ST} = 0.12483$)
16 although the latter estimate should most accurately reflect the true situation (Hedrick
17 1995). Similarly, for chloroplast data, differentiation was low (reflecting the effectiveness
18 of gene flow via seed dispersal), though slightly higher than at nuclear markers ($G_{ST} =$
19 0.159). In contrast to patterns observed for many tree species, the levels of differentiation
20 at nuclear and chloroplast markers were of a similar order of magnitude: it is expected
21 that differentiation at chloroplast markers will be higher, due to the more limited
22 dispersal capability of seeds versus pollen, the slower evolution of the chloroplast
23 molecule and lower effective population size. However, in *A. senegal*, it appears that

1 dispersal via pollen and seed may be equally effective and highly efficient. The species is
2 both wind (Tybirk, 1997) and insect pollinated (Fagg & Allison, 2004) and, as the
3 landscapes in which it commonly occurs tend to be structurally open, the potential for
4 long distance pollen dispersal is high. Open, low density forest structures facilitate longer
5 pollen dispersal distances, not just because pollinators must travel further to find
6 flowering conspecifics but also because visibility of flowering trees is heightened,
7 drawing pollinators across longer distances. Indeed, it has been observed that
8 fragmentation of forested populations often drives increases in pollen dispersal distances
9 (Dick *et al* 2008; White *et al* 1999, 2002). If wind dispersal also plays a role in
10 pollination, dispersal distances should also be increased. The seeds of *A. senegal* are
11 relatively large and attractive as a food source to animals in arid environments (Fagg &
12 Allison, 2004). Dispersal following ingestion has a high potential to be long distance
13 (Hamrick *et al.*, 1991), particularly as the animals in question may be domestic herds
14 belonging to pastoralist communities in transit between sparsely distributed pastures or
15 water sources and potentially between regions during seasonal movements of livestock.

16

17 Generally speaking, however, these data suggest the existence of a single, weakly
18 structured population, with few physical barriers to gene flow and extensive outcrossing
19 (9 % of variation among populations - AMOVA and no significant isolation by distance).
20 Very low levels of differentiation were observed in the earlier isoenzyme and
21 RAPD/ISSR studies (Chevallier *et al.* 1994; Chiveu *et al.* 2008), with over 80 % of
22 variation partitioned within population. This is in keeping with general observations for
23 other tropical tree species with similarly high outcrossing rates and wide distributions,

1 (Muona 1990; Hamrick & Godt 1990; Hamrick *et al.* 1991, Dayanandan, *et al.* 1999;
2 White *et al.* 1999; Collevatti *et al.* 2001; Lemes *et al.* 2002) and suggests species
3 ecology, such as pollen and seed dispersal mechanisms and demographic history, rather
4 than biogeography, is the major driver of population structure in Kenyan *A. senegal*
5 (Alvarez-Buylla *et al.* 1996).

6

7 In studies of other *Acacia* species, geographic patterns have been similar. Joly *et al.*
8 (1992), in a study of the population genetics of *Faidherbia albida* (Delile) A. Chev.- a
9 species with similar seed and pollen dispersal characteristics to *A. senegal* - found strong
10 differentiation among geographic zones, although the comparison was between West
11 African and Zimbabwean populations. Within West Africa, where population
12 differentiation might be expected to more directly reflect seed and pollen dispersal
13 characteristics, genetic differentiation among populations bore no relation to geography,
14 and the influence of grazing animals was proposed. In a survey of allozyme variation in
15 *Acacia melanoxylon* two genetically distinct regions were observed (Playford *et al.*
16 1993), and similar differentiation was found by Brain (1986) in a study of the influence
17 of environment on the geographic distribution of *Acacia karroo*. These studies indicated
18 the role of geographic distance in the partitioning of genetic variation.

19

20 Nevertheless, some structuring of the Kenyan populations was noted, with two groupings
21 detected in both nuclear and chloroplast data. Although groups in the two datasets do not
22 precisely coincide, there appears to have been division in the past between populations to
23 the northwest of Lake Turkana and those in Eastern Kenya. Effective gene flow

1 following this division appears to have more or less eliminated differentiation between
2 these groups but traces still remain. Clustering of populations using nuclear data suggests
3 the Eastern Rift Valley formation may have played a role in shaping gene flow with
4 Marigat, Lokichar, Kakuma and Lokitaung - all located within or to the west of the
5 Eastern Rift - showing predominant common ancestry, whilst to the east populations were
6 either predominantly of single ancestry or highly mixed; the chloroplast data was largely
7 in agreement. The intermediate case, Marigat, which grouped with eastern populations
8 for chloroplast data but with Rift Valley populations for nuclear data, reflects the
9 effective gene dispersal capability of the species and indicates how effectively
10 populations are now mixed. As to the potential driving forces for creating the two
11 groupings observed, a more rigorous analysis of the habitat requirements of *A. senegal*
12 var. *kerensis* might shed some light. Certainly the zone between northwest Turkana and
13 Eastern Kenya represents something of a corridor between the extensive drylands of
14 Sudan and those of the Horn of Africa, and is interrupted not just by the Lake itself, but
15 also by numerous upland areas such as the Samburu, Lenkiyo, Ndoto and Nyiru hills, all
16 of which rise above common altitudinal limits for *A. senegal* var. *kerensis*. In this region,
17 following an increase in aridity which began >2Mya (Bonnefille, 1976) the environment
18 has remained relatively stable despite global climatic shifts (Gibernau & Montuire 1996).
19 It is possible that more extensive forest vegetation and changes in the Lake levels could
20 have been sufficient to restrict *A. senegal* to disjunct eastern and western populations, that
21 have subsequently expanded, but this will require more detailed analysis, in particular
22 bringing in other varieties of the species which co-occur with var. *kerensis* in Kenya.
23

1 ***Population bottlenecks***

2 Bottleneck effects were detected in all the populations of *Acacia senegal* under the
3 infinite alleles model (IAM) but in only two populations under the stepwise mutation
4 (SMM) and the two-phase mutation (TMM) models . Under the IAM, microsatellite loci
5 may exhibit heterozygosity excess even in stable populations (Cornuet & Luikart, 1996;
6 Luikart & Cornuet 1998; Maruyama & Fuerst, 1985), so it seems conservative to assume
7 that the finding of bottlenecks in all populations under this model is excessive and that
8 the results of assuming a TPM or SMM (which were broadly in agreement) are closer to
9 reality. In the latter cases, two of the populations show significant effects deviations from
10 equilibrium heterozygosity suggesting recent bottlenecks; this is not to say that other
11 populations have not undergone bottlenecks, but indicates that there are two cases in
12 which the effect is detectable. If gene flow to bottlenecked populations is extensive, as
13 seems likely from other results, any signature of recent bottlenecking will be obscured.

14

15 In any case, regardless of the mutation model assumed, two populations show significant
16 signatures of recent bottlenecks. The possible reasons for this are many. In the dry,
17 northern part of Kenya, the destruction of forests through clearance for agriculture,
18 charcoal burning and settlement has produced landscapes of patchily distributed forests.
19 Such landscape level change may have severe effects on diversity including changes in
20 competitive regimes of plant species, dispersal patterns of pollen and seeds and effective
21 population size (Nason *et al.* 1997). Furthermore, the arid and semi-arid lands are
22 susceptible to regular natural disturbances such as fire and therefore individual
23 populations may well have experienced substantial recent size changes. Based on the

1 observed efficiency of gene flow evident in other results, it seems likely that the two
2 populations showing evidence of bottlenecking have experienced the effect recently and
3 represent post-disturbance generations. Given the clear evidence that bottlenecking is
4 occurring in some of these populations, a systematic study of multiple generations within
5 single populations is merited to assess the impacts of recent human degradation of these
6 forests.

8 ***Conclusions***

9 This study has detected high genetic diversity, low inter-population genetic
10 differentiation and occurrence of gene flow at long distances in *Acacia senegal* var.
11 *kerensis* in Kenya, valuable information for conservation and improvement purposes.
12 Understanding how genetic variation is partitioned within and among populations is a
13 prerequisite for decision-making in the management of natural populations of valuable
14 tree species (William & Hamrick 1996), particularly where development of the species as
15 a commercial crop may initiate movements of germplasm. For the establishment of
16 breeding programs or seed orchards, sampling can focus on a few populations of *A.*
17 *senegal* variety *kerensis* as most variation should be accessible this way (Newton *et al.*
18 2003). However, it will also be important to assess the variation in quantitative traits
19 across the range as the current data can only advise as to patterns of gene flow and likely
20 distributions of gene diversity. Selectively influenced traits may differ substantially in
21 their distribution. The current study indicates that, historically, reproductive isolation
22 among populations has been low, although the extent to which more recent population
23 fragmentation has increased isolation is not clear; this will require further investigation of

1 seed and seedling generations. Although variation in neutral markers does not necessarily
2 reflect the adaptive potential of different populations, the allelic richness and the variance
3 in allelic frequencies are useful for estimating the contribution of each population to the
4 total genetic diversity of a species and to orientate conservation priorities (Petit *et al.*
5 1998). Future work will consider the sources of variation observed in the current data in
6 more detail, assess patterns of quantitative variation and, in particular, examine
7 relationships between genetic variation and gum arabic biochemical composition to
8 complement the efforts towards improving gum arabic quality production from Kenya.
9

1 **Methods**

2 ***Population sampling***

3 Three hundred individual trees of *Acacia senegal* variety *kerensis* were sampled from
4 eleven natural populations in Kenya representing gum arabic production potential areas
5 (Table 2, Figure 1). Leaf tissue was collected from 20-30 adult trees per population at a
6 distance of between 150-600 metres apart depending on the size of the population and
7 distribution of trees within the population. Sample sizes also varied among populations
8 due to ease of accessibility. The leaves were dried on silica gel and stored at -20 °C until
9 DNA extraction.

10

11 ***DNA extraction and PCR***

12 Total genomic DNA was isolated from the leaves following a modified cetyltrimethyl
13 ammonium bromide (CTAB) procedure (Fernandez *et al.* 2000) and DNA quantification
14 performed through both Bio-photometer readings and comparison with low DNA mass
15 ladder (Invitrogen) in ethidium bromide-stained 2 % agarose gels. Polymerase chain
16 reaction (PCR) amplifications of seven polymorphic microsatellite loci (Otero-Arnaiz *et*
17 *al.* 2005; Ruiz-Guajardo *et al.* 2007) were carried out in reaction volumes of 10 µl
18 containing approximately 20 ng of genomic DNA, 1x PCR buffer (10 mM Tris-HCL pH
19 8.3, 50 mM KCl), 1.5 mM MgCl₂, 200 µM of each dNTP, 0.05 µM of each primer, and 1
20 unit of *Taq* DNA polymerase (Invitrogen). Amplification profile used a touchdown
21 program, with annealing temperatures ranging from 60-50, 58-48 to 55-45 (Table1),
22 using a Peltier Thermal Cycler PTC-225 (MJ research). The program was 95 °C for 3
23 min, 20 cycles at 95 °C for 30 s (denaturation), either 60-50, 58-48 or 55-45 °C

1 (decreased by 0.5 °C, annealing) for 30 s, 72 °C for 30 s (extension), 10 cycles of 95 °C
2 for 30 s, 50, 48 or 45 °C for 30 s, 72 °C for 30 s, followed by 72 °C for 10 min. The PCR
3 products were then separated on 0.25 mm thick polyacrylamide gels containing 10 %
4 ammonium persulfate (APS), tetramethylethylenediamine (TEMED)-Omnipur and 8 %
5 acrylamide (Gene-PAGE plus)-Amresco, electrophoresed in 1x TBE buffer on a Li-Cor
6 IR² 4200 DNA sequencer. Band sizes and genotypes were determined by referencing the
7 standard IRD 800 (50-350 bp and 50-700 bp) molecular marker from Li-Cor (Lincoln)
8 using SAGA^{GT} version 2.1 software (Li-Cor) and double checked by eye (Khasa *et al.*
9 2005).

10 For 8 of the 11 populations for which microsatellite genotyping was undertaken
11 (Kakuma, Lokichar, Lakitaung, Marigat, Merille, Ngarendare, Ngurunit, Serolipi), two
12 universal chloroplast microsatellite loci ccmp5 and 10 (Weising & Gardner 1999) were
13 amplified (selected following screening of all ccmp loci for variation; data prepared by D.
14 Odee as part of a rangewide study). PCR amplification followed Weising & Gardner
15 (1999) and electrophoresis was carried out on 8% non-denaturing polyacrylamide gels in
16 a Hoefer SE600 electrophoresis unit (300V) using Tris borate EDTA buffer (1X). All
17 individuals were characterised for cpDNA haplotype at both loci, scoring band sizes
18 against a 1 kb standard (Microzone).

19

20 ***Data analysis***

21 Genetic diversity at nuclear microsatellite loci was estimated per population and overall.
22 For each locus, the total number of alleles per locus and per population was determined
23 and the distribution of allele frequencies calculated. The average number of alleles per

1 locus (A) for each population over all loci and unbiased value of expected (H_E) and
2 observed (H_O) frequency of heterozygote were determined using F_{STAT} software version
3 2.9.3 (Goudet 2002). For each population, deviation from Hardy-Weinberg Equilibrium
4 (HWE) and linkage disequilibrium tests were performed using Fisher exact tests
5 employing GenePop 4.0 (Raymond & Rousset 1998), in which significant levels were
6 determined using the Markov chain method (Guo & Thompson 1992). The heterozygote
7 deficiency for each locus and population was tested using GenePop 4.0 software.

8

9 The extent and significance of genetic differentiation among populations was quantified
10 using unbiased estimates of Wright's F_{ST} (Weir & Cockerham 1984) and its analogue R_{ST}
11 (Slatkin 1995) using two models for microsatellite evolution (Infinite allele model
12 (Kimura & Crow 1964) and stepwise mutation model (Ohta & Kimura 1973; Kimura &
13 Ohta 1978)). A randomization test of pairwise F_{ST} differentiation with 1000 interactions
14 (Goudet 2002) was conducted through F_{STAT} . Unbiased estimates of R_{ST} and their
15 significance were determined after 1000 bootstraps with 95 % nominal confidence
16 interval and permutation tests (Lynch & Crease 1990), using $R_{ST}CALC$ version 2.2
17 (Goodman 1997). Fixation indices (Wright 1965); degree of inbreeding within population
18 (F_{IS}) and overall inbreeding coefficient (F_{IT}) were also determined. The statistical
19 significance of F_{IS} , F_{IT} and F_{ST} were tested by bootstrapping over loci with 95 %
20 confidence interval and significance tests of multilocus pairwise differentiation were
21 done using F_{STAT} software version 2.9.1 (Goudet 2002) with Bonferroni corrections.

22

1 A pairwise geographic distance matrix was calculated based on the latitude and longitude
2 of each population, using the Geographic Distance Matrix Generator version 1.2.2 (Ersts,
3 Internet). The hypothesis, that populations are differentiated because of isolation by
4 distance, was tested by correlating pairwise F_{ST} and R_{ST} matrices against the geographical
5 distance matrix. Spearman's rank correlation coefficient was calculated and significance
6 determined with 10000 permutations using a Mantel procedure (Mantel 1967) available
7 in F_{STAT} software version 2.9.1 (Goudet 2002). The co-existence of two mutational
8 processes operating on different loci or even different alleles within a microsatellite locus
9 raises the question of the appropriate measure of genetic distance between populations
10 (Estoup *et al.* 2002). It has been suggested that Cavalli-Sforza and Edwards' chord
11 distance (D_c) (Cavalli-Sforza & Edwards 1967; Goldstein & Pollock 1997) should be
12 more appropriate, because it relies on the geometric disposition of populations in a
13 multidimensional sphere delimited by allele frequencies, rather than on a given
14 mutational model. This distance has also been shown to be the most suitable for analysis
15 of microsatellite data, even in the presence of strong bottlenecks (Takezaki & Nei 1996).
16 Genetic distances between populations were calculated using Cavalli-Sforza and
17 Edwards' chord distance (D_c) (Cavalli-Sforza & Edwards 1967; Goldstein & Pollock
18 1997) using the GENDIST program (PHYLIP, version 3.6, Felsenstein 1993). To analyze
19 intra- and inter-population genetic variation, analysis of molecular variance (AMOVA)
20 was carried out using GenAlex version 6 software (Peakall & Smouse 2006). Population
21 structuring was analysed using Bayesian inference (implemented in STRUCTURE v2.2,
22 Pritchard *et al.* 2000; Falush *et al.* 2003, 2007). After preliminary testing, the optimal
23 number of groups (K) was determined using a 50,000 cycle burn-in period and 500,000

1 Monte-Carlo Markov Chains, using the admixture model (which assumes individuals
2 may have mixed ancestry), assuming correlated allele frequencies among subpopulations
3 and without any prior information on clustering of samples. Simulations for each value of
4 K were repeated 20 times to provide stable probability estimates. The optimal number of
5 groups was determined using the second order rate of change approach of Evanno *et al*
6 (2005).

7
8 In general, *A. senegal* populations have suffered significant degradation over
9 approximately the past 50 years, due to human impacts. As aging *A. senegal* trees is
10 difficult, and precise population histories were not available, it was not clear whether or
11 not the trees sampled in this study represented pre- or post-disturbance generations. In
12 addition, natural disturbance effects, such as fire, are a frequent occurrence in arid and
13 semi-arid zones and may have played a role at any of sites studied. Therefore, the
14 populations were assessed for possible bottlenecks, following the procedure of Cornuet
15 and Luikart (1996), implemented in the program BOTTLENECK v1.2 (Piry *et al.* 1999).
16 The infinite alleles model (IAM), stepwise mutation model (SMM) and two-phase
17 mutation model (TPM, using 95% SMM) were applied. This approach compares
18 observed and expected gene diversities based on the observed number of alleles under
19 mutation-drift equilibrium. Tests for mode-shift (change in allele frequency distribution)
20 were also applied. If a recent bottleneck has occurred, allelic diversity is likely to have
21 been lost faster than heterozygosity and may be manifested as a shift in the allele
22 frequency distribution away from rare / low-frequency alleles.

23

1 For chloroplast data, within-population (H_S) and total (H_T) diversity and the level of
2 population subdivision (G_{ST}) were estimated using Permut v2.0 (Pons & Petit, 1996). A
3 test for phylogeographic structure was carried out by comparing the estimate of
4 population differentiation using ordered alleles, assuming stepwise evolution, (R_{ST}) with
5 that for unordered alleles (G_{ST}). If phylogeographic structure exists, population
6 differentiation based on ordered alleles (i.e. reflecting phylogenetic relationships) should
7 exceed that based on unordered alleles. Spatial structuring of variation at chloroplast loci
8 was examined using a simulated annealing procedure - spatial analysis of molecular
9 variance (SAMOVA, Dupanloup et al, 2002). For user-defined numbers of groups (K),
10 the SAMOVA algorithm identifies geographical groups of populations such that the
11 component of variation partitioned among groups (F_{CT}) is maximised. Values of K from
12 2-8 were tested, with 10,000 iterations, starting from 100 initial conditions.

13

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23

24

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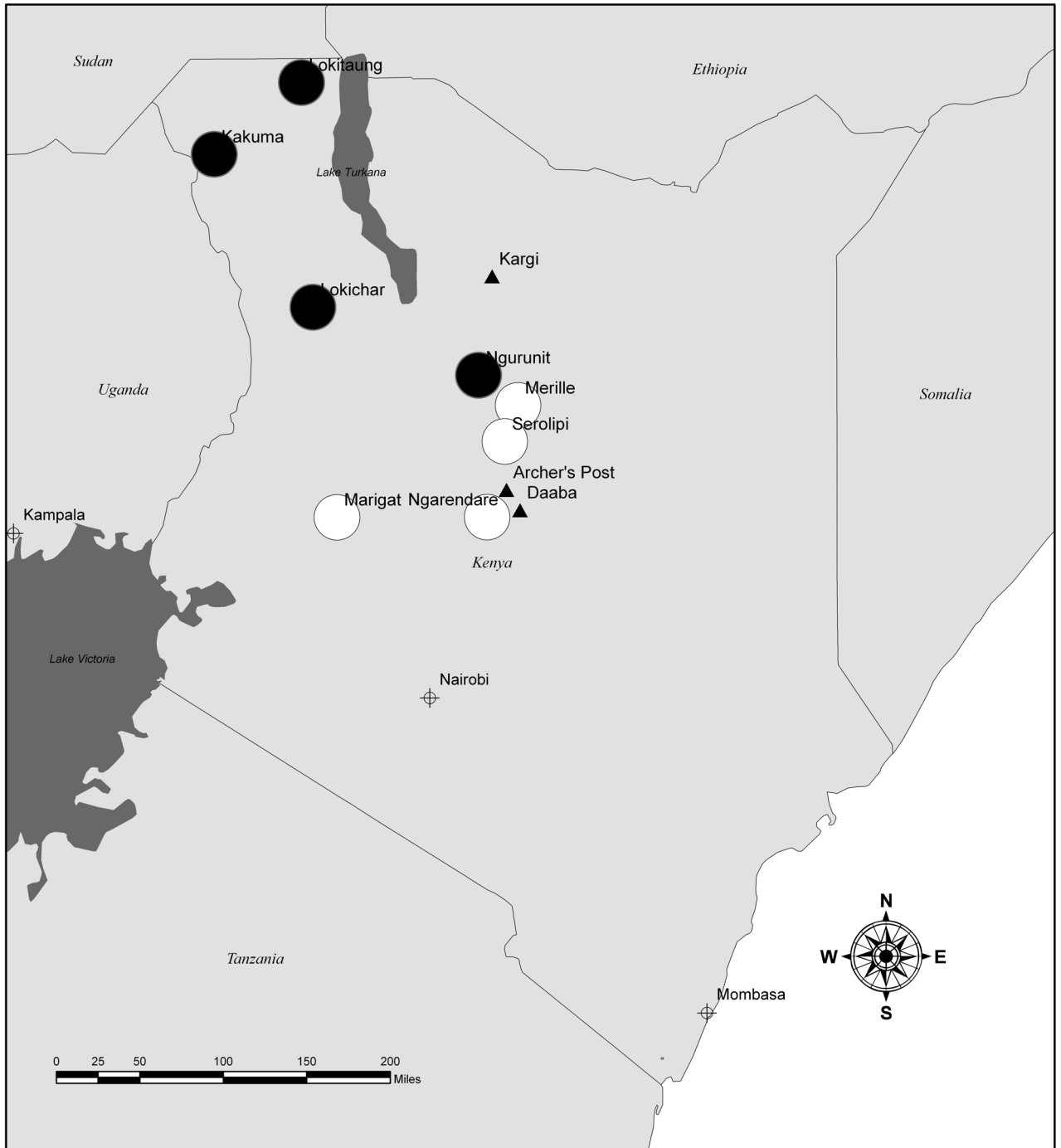
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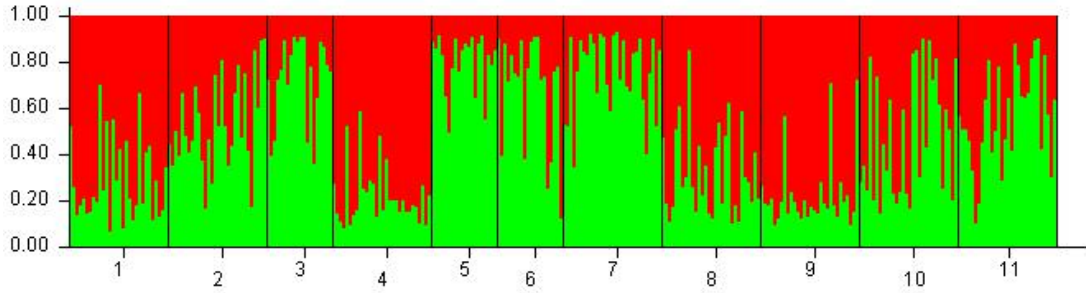
1 **Figure 1:** Distribution of the 11 populations of *A. senegal* var. *kerensis* in Kenya. Large
2 black or white circles show populations assigned to SAMOVA group (K=2) based on
3 chloroplast haplotype variation. Populations represented by triangles were only analysed
4 for nuclear SSR variation.
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Figure 2: Plots of proportional group membership for the 300 trees genotyped at nuclear microsatellite loci, for $K = 2$. Each line represents a single tree, with colour representing proportion of ancestry derived from each group. Gray lines indicate the division between populations. Populations are 1 – Archers Post, 2 - Daaba, 3 - Kakuma, 4 - Kargi, 5 - Lokichar, 6 - Lakitaung, 7 - Marigat, 8 - Merille, 9 - Ngarendare, 10 - Ngurunit, 11 – Serolipi.



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Table 1: Microsatellite loci in *A. senegal* var. *kerensis* obtained from cross amplification from *A. mellifera* and *A. brevispica* including primer sequence, GenBank accession number and annealing temperatures.

Locus accession no.	Primer sequence	Repeat motif	Ta (°C)	Size (bp)	A	H _O	H _E	HWE
<i>Ame02</i> <i>DQ467674</i>	GAACCATCAGCGTAATAA GGTTTAGCAACATACTATCTC	(AC) ₇ (AG) ₁₁	55-45	117	4	0.670	0.543	***
<i>Ame03</i> <i>DQ467673</i>	GAACAATATCAGCAATCACT CCTCATGCACACACAAGAT	(AG) ₉	55-45	139	8	0.800	0.810	ns
<i>Ame05</i> <i>DQ467656</i>	CCCAACAAAGATCATCAT ATGGTTCAGTTTCTTTATTCT	(ATC) ₇	58-48	203	5	0.516	0.530	ns
<i>Ame07</i> <i>DQ467658</i>	ATAAAAAACAAAAACCCAATAATG GTCCAAAACCTCTTCAATGTCAA	(GT) ₂₀	55-45	353	10	0.695	0.802	***
<i>Ab06</i> <i>AY843537</i>	CCTTCTTTGACGGTATTC TCATCTCTCTTCTCCATT	(AC) ₉ (AG) ₁₀	58-48	147	4	0.785	0.745	ns
<i>Ab18</i> <i>AY843549</i>	GAAGGTCTGGCATTAC CGACGACGAAGATACT	(AAG) ₁₅	60-50	212	4	0.717	0.579	***
<i>Ab26</i> <i>AY843557</i>	ATATTCTGCTTTAGTCTA GGGGCATAAATATGAG	(AG) ₈ (AG) ₉	61-51	126	13	0.780	0.868	**

Ta, annealing temperature; bp, expected allelic size in base pairs; A, number of alleles observed per locus (in all cases, 30 individuals were analysed); H_O, observed heterozygosity; H_E, expected heterozygosity; HWE - departures from Hardy Weinburg Equilibrium (** P<0.01, ***P<0.001).

Table 2: Geographical locations and descriptive statistics over all loci for each population of *Acacia senegal* var. *kerensis* sampled in Kenya. Final column shows probabilities associated with Wilcoxon's sign rank test used to detect deviations from expected levels of gene diversity under the assumption of mutation-drift equilibrium using the two-phase mutation model (TPM).

Population	Latitude (°N)	Longitude/ (°E)	Altitude (m)	n	N _e	A _R	H _o	H _e	F _{IS}	TPM†
Ngarendare	0°33'39.9"	37°20'45.3"	972	30	5.30	5.00	0.824	0.685	-0.207***	0.2969
Daaba	0°32'00.2"	37°45'39.9"	941	30	4.40	4.27	0.613	0.637	0.039**	0.1094
Archer's Post	0°39'52.7"	37°38'47.0"	810	30	4.70	4.47	0.701	0.661	-0.061	0.2969
Serolipi	1°09'05.8"	37°35'51.9"	763	30	4.60	5.05	0.705	0.655	-0.063	0.1094
Kargi	2°38'35.8"	37°27'34.7"	454	30	4.60	4.45	0.724	0.639	-0.077	0.0078**
Merille	1°31'40.8"	37°45'23.7"	627	30	5.10	4.35	0.729	0.686	-0.136**	0.2969
Ngurunit	1°43'17.0"	37°17'24.3"	760	30	5.00	4.90	0.714	0.701	-0.019	0.0078**
Lokichar	2°21'57.2"	35°38'24.5"	786	20	4.40	4.43	0.693	0.677	-0.056	0.1094
Lokitaung	4°23'48.5"	35°31'40.5"	606	20	5.00	5.00	0.714	0.684	-0.045	0.5781
Kakuma	3°45'26.1"	34°39'59.5"	670	20	4.40	4.43	0.664	0.630	-0.025	0.0547
Marigat	0°28'20.4"	35°55'10.6"	1243	30	4.90	4.69	0.719	0.687	-0.047	0.0547
Average				27.3	6.90	5.48	0.709	0.667	-0.0634	

5 n - number of samples per population; N_e - mean number of alleles per locus per population; A_R - allelic richness; H_o -
6 mean observed heterozygosity; H_e - mean expected heterozygosity; F_{IS} - fixation index; Significant P-values are
7 followed by asterisks * - P<0.05; ** - P<0.01; † - TPM with 95% SMM.
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3 **Table 3:** Analysis of molecular variance (AMOVA) for 11 populations of *Acacia senegal* var.

4 *kerensis*, based on variation at nuclear microsatellite loci.

Source of variation	DF	SS	MS	Est. Var.	%Mol var.
Among Populations	10	167.103	16.710	0.453	9%
Within Populations	289	1268.467	4.389	4.389	91%
Total	299	1435.570	-	4.842	100%

5 DF, degrees of freedom; SS, sum of square; MS, mean square, Est.Var., estimated variance; % Mol. Var.;

6 percentage molecular variance

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1 **Table 4:** Matrix of pairwise genetic distances between populations of *Acacia senegal* var.
 2 *kerensis* in Kenya calculated using Cavalli-Sforza and Edwards chord distance, D_C
 3 (1967).

D	D	NN	AP	KI	ME	SE	Ng	KA	LOK	LO
NN	0.250									
AP	0.276	0.203								
KI	0.298	0.226	0.247							
ME	0.296	0.210	0.152	0.243						
SE	0.239	0.225	0.233	0.269	0.203					
Ng	0.278	0.264	0.242	0.288	0.207	0.264				
KA	0.236	0.283	0.294	0.304	0.264	0.202	0.229			
LOK	0.328	0.294	0.263	0.293	0.247	0.238	0.242	0.251		
LO	0.318	0.347	0.297	0.341	0.303	0.278	0.299	0.250	0.244	
MA	0.265	0.285	0.324	0.322	0.293	0.237	0.252	0.258	0.261	0.269

4 D, Daaba; NN, Ngarendare; AP, Archer's Post; KI, Kargi; ME, Merille; SE, Serolipi; Ng, Ngurunit; KA, Kakuma;
 5 LOK, Lokitaung; LO, Lokichar; MA, Marigat

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