



Influence of initial microcracks on the dynamic mechanical characteristics of sandstone

Shaohua Li · Kai Liu · Luke Griffiths ·
Chongfeng Chen · Xiaohu Yao

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Abstract Microcracks are pervasive within rock in active fault zone or geotechnical engineering and strongly influence the dynamic characteristics of rock. Here we performed dynamic compression test of sandstone to clarify the effect of initial microcracks on the mechanical performance. The different initial microcrack density levels were generated by repetitive impact loading, which was quantified using an image process method based on scanning electron microscope. Then, the strength, fragmentation, fracture propagation and energy dissipation of sandstone

with different initial microcrack densities were studied using Split Hopkinson Pressure Bar apparatus. Results reveal that the strength of sandstone decreases with the increase microcrack density at a given strain rate, while, the dynamic increase factor of sandstone increases with increasing microcrack density. The sensitivity of fragmentation fractal dimension and dissipated energy to strain rate depend on the initial microcracks density. Moreover, the fractal dimension of fragmentation becomes more sensitive to the energy absorption with the increase of initial microcrack density. The present results contribute to understand the evolution of active fault and safety evaluation of geotechnical engineering.

S. Li · X. Yao (✉)
School of Civil Engineering, Wuhan University,
Wuhan 430072, People's Republic of China
e-mail: yaoxh@scut.edu.cn

S. Li
Department of Engineering Mechanics, South China
University of Technology, Guangzhou 510640,
People's Republic of China

K. Liu (✉)
Department of Engineering Science, University of Oxford,
Parks Road, Oxford OX1 3PJ, UK
e-mail: kai.liu@eng.ox.ac.uk

L. Griffiths
NGI – Norwegian Geotechnical Institute, 0806 Oslo,
Norway

C. Chen
Xi'an Research Institute, China Coal Technology
and Engineering Group, Xi'an 710054,
People's Republic of China

Article highlights

- The initial microcrack density was quantified based on SEM combining with an image process method.
- The DIF of sandstone increases with increasing microcrack density.
- The fractal dimension of fragmentation becomes more sensitive to the energy absorption with the increase of initial microcrack density.

Keywords Initial microcracks · Pulverization · Microcrack density · Fractal dimension · Dissipated energy

1 Introduction

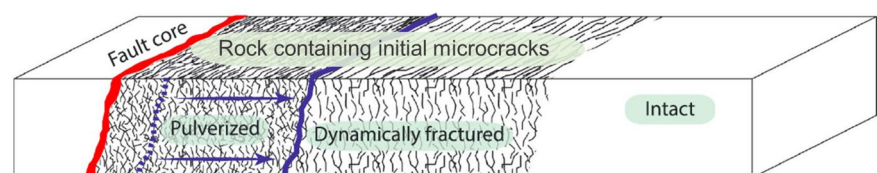
As a common geomaterial, rock materials, widely distributed in the earth's crust, are vulnerable to dynamic loadings, generated from earthquake, explosive volcanic activity, rock bursts or drilling and blasting (Ahsan et al. 2015; Braunagel and Griffith 2019; Doan and Gary 2009; Zhou et al. 2010), etc. Characterization of the dynamic characteristics of rock material is of great importance to understand the evolution of active fault and the stability evaluation of geotechnical engineering (Chen et al. 2020; Ma et al. 2020; Moosavi et al. 2018; Roy and Singh 2016). Many studies have investigated the mechanical performance of rock materials under dynamic loading (Cai et al. 2020; Field et al. 2004; Li et al. 2008; Zhang and Zhao 2014a, b). During dynamic loading the strain rate may range from 10^{-1} to 10^4 s $^{-1}$ and the strength, energy absorption and fragmentation of rock materials have been shown to related to the strain rate (Huang and Xia 2015; Li et al. 2020a, b; Wang et al. 2017; Xia and Yao 2015).

Over its lifetime, rock may undergo pervasive damage from a range of stress sources—from tectonic activity or rock blasting (Doan and d'Hour 2012; Hudson et al. 2009; Souley et al. 2001). As shown in Fig. 1, the upper crustal fault zones typically contain a fracture damage zone, where rock contains different levels of initial microcracks (Aben et al. 2016). Based on the field research, these initial microcracks are supposed to influence the mechanical response to seismic activity (Andrews 2005; Faulkner et al. 2006; Griffith et al. 2012; Huang et al. 2014). Moreover, for the geotechnical engineering, it has been reported that an internal damage zone was caused by the blasting within the underground research laboratory (Martino and Chandler 2004). In mining engineering, rocks may also encounter dynamic loading such as by blasting, or impact from adjacent rock structures, leading to different levels of initial microcrack damage (Li et al. 2018a, b; Yan 2007; Zhou et al. 2010).

Many previous researches have demonstrated the strain rate sensitivity of rock is resulted from the transition of propagation and coalescence of microcracks under different loading rate (Wu et al. 2015; Zuo et al. 2006). The study of Kipp and Grady indicates that the strain-rate related fracture of rock is the result of a structural response of microcracks, the propagation and interaction of which are strain rate sensitive (Grady and Kipp 1979; Kipp et al. 1980). In addition, more pulverized granite has been observed to be localized within a few kilometers of the fault, where higher microcracks level related to successive loadings are generated in granite (Aben et al. 2016; Mitchell et al. 2011). Few researches have proven the effect of initial microcrack damage on the dynamic performance of rock materials. It is concluded that the dynamic fracture resistance of marble sample decreased with the increase cumulative damage (Yu et al. 2020). Moreover, at low strain rate, the threshold of pulverization of granite was reported to be roughly proportional to the sample strength (Doan and d'Hour 2012). These studies advanced our knowledge of the effect of initial microcracks damage on the dynamic mechanical characteristics of rock materials.

During the earthquake rupture propagation, the energy from stress wave will be dissipated by microcracks for the initiation, propagation and interaction, resulting in deformation and failure of rock materials (Andrews 2005; Chen et al. 2009; Zhang and Zhao 2014a, b). Given that the initial microcracks have been proven to influence the fracture and threshold of pulverization of rock, it can be expected that the microcracks would influence the strength, energy absorption and fragmentation characteristics of rock under dynamic loading. However, the effect of initial microcracks on the strength and relationship between the energy absorption and fragmentation of rock, which is of vital importance to understand the temporal and spatial evolution of active fault and safety evaluation of geotechnical engineering, has not been reported.

Fig. 1 Schematic of fault zone consisted by rock containing initial microcracks (Aben et al. 2016)



To this aim, we applied repetitive impact loading on sandstone to create varying degrees of microcracks damage, which we quantified through analysis of SEM micrographs combining an image process method. Then, split Hopkinson pressure bar (SHPB) device was used to test the dynamic performance of sandstone with different microcrack densities. We explored the effect of the initial microcracks on the strength and relationship between the energy absorption and fragmentation of sandstone under dynamic loading and clarify the corresponding mechanism. The results obtained from this study would help with elucidating the evolution of active fault and design and stability evaluation of geotechnical engineering made of rock materials.

2 Materials and experimental methods

2.1 Rock material and preparation

To make sure the comparison in this study, the sandstone samples for experiment were cored from a single block from a quarry, China. The physical and mechanical parameters of the samples are shown in Table 1. The diameter and length of the cylindrical sandstone sample used for compression tests were both 50 mm, to eliminate radial and axial inertial effects (Zhang and Zhao 2014a, b). A strict tolerance of ± 0.02 mm was selected as the precision of the samples ends. The compressive strength under

uniaxial loading of the sandstone is 45.9 MPa. The stress–strain curve at the quasi-static uniaxial compression is shown in Fig. 2.

2.2 Experimental methods

2.2.1 Split Hopkinson pressure bar (SHPB) apparatus

Dynamic compression experiments were carried out on SHPB device with a diameter of 74 mm in this work, shown in Fig. 3. To avoid premature failure of specimens before reaching a state of stress equilibrium, annealed copper disks were used as pulse shaper. To record the surface crack propagation behavior, a high-speed digital camera (i-SPEED 726) is used to capture image of sandstone sample during the impact process. 10 μ s is set as the inter-frame time, which benefits to capture enough images before the failure of sandstone sample.

The typical three waves acquired in SHPB test are shown in Fig. 4. The stress wave is measured based on the one-dimensional wave theory, moreover, the dissipated energy is calculated based on the energy conservation assumption. More information concerning SHPB test can be found in our previous study (Li et al. 2018a, b).

Table 1 The physical and mechanical parameters of the sandstone sample

Parameter	Value
Modal composition	64% quartz, 18% feldspar, 13 cement and 5% mica
Grain size (mm)	0.2–0.5
Uniaxial compressive strength (MPa)	45.9
Tensile strength (MPa)	3.24
Elasticity modulus (GPa)	9.4
Poisson’s ratio	0.29
Cohesion (MPa)	6.52
Internal friction angle (°)	29.39
Density (g/cm ³)	2.47
Porosity	4.2
P-wave velocity (m/s)	2810

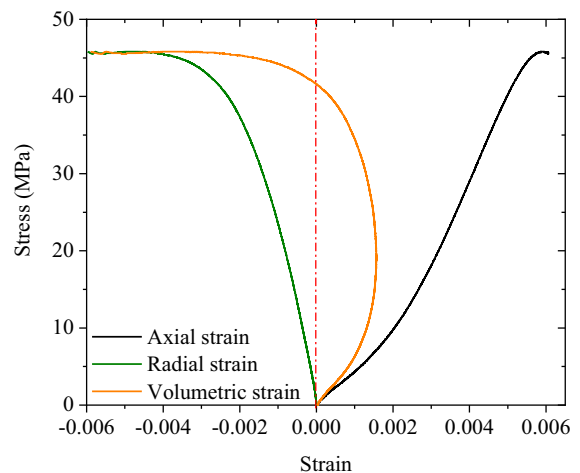


Fig. 2 Uniaxial compressive stress–strain relationship of sample

Fig. 3 The Split Hopkinson Pressure Bar (SHPB) system

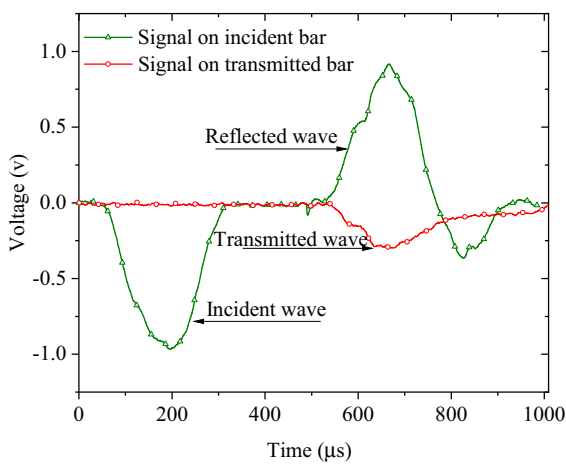
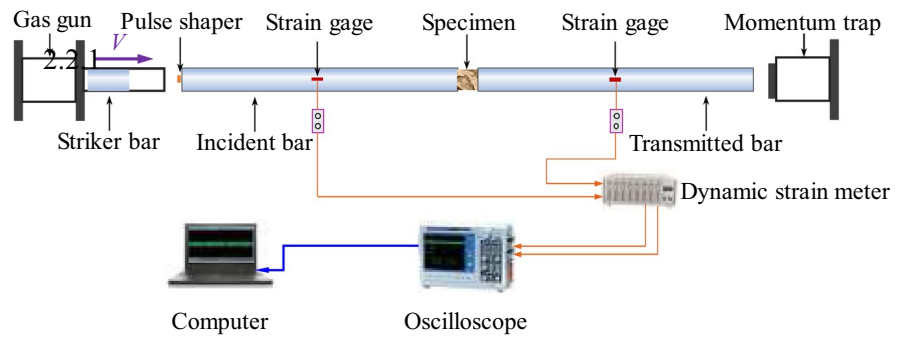


Fig. 4 Typical original wave signals captured in SHPB test

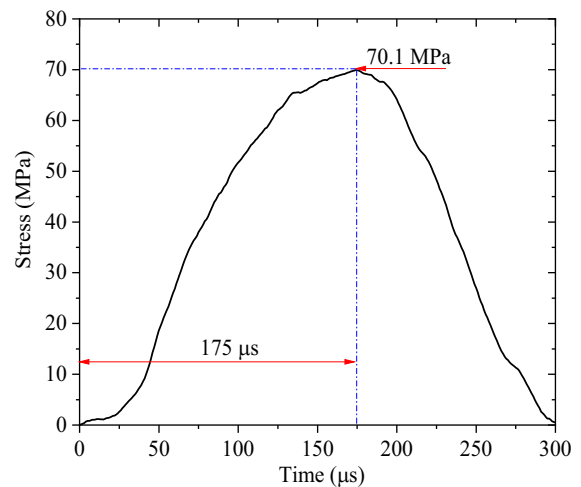


Fig. 5 Incident wave for repetitive impact loading

2.2.2 Procedure for repetitive impact loading

For the repetitive loading experiment, an incident stress wave was selected so that a sufficient number of impacts could be completed before failure, guaranteeing that rock samples contain different amounts of cumulated microcrack damage. For the repetitive impact experiment, an incident stress under impact velocity of 2.5 m/s, shown in Fig. 5. To achieve the stress uniformity (Xia and Yao 2015), the rising time was set as 175 μ s. The sandstone sample failed after exposition to 8 impact loadings with the same incident stress wave. Total 15 samples were used for the determination of test set for the repetitive impact loading.

2.2.3 Procedure for single impact loading

To investigate the dynamic performance of sandstone containing initial microcrack damage, single impact experiments were carried out on sandstone samples that had previously been exposed to different numbers (0, 4 and 6) of repetitive impact loadings. In each set of single impact loading test with the same strain, at least 5 samples were tested. Generally, the strain rate is selected as the standard for comparison (Xia and Yao 2015; Zhou et al. 2011). Due to that different initial damage would result in different elastic modulus, leading to different strain rate of sandstone exposed to same incident wave. Thus, to ensure that the sandstone specimens deformed at similar strain rates, incident waves with different loading rates were selected to impact sandstone specimens with different initial microcrack damage. The incident waves

with different loading rates were reached by adjusting the air pressure. 0.25 MPa was set as the initial level, with an increasing step of 0.025 MPa.

2.2.4 Quantification of microcracks

Thin sections of the sandstone samples were prepared in a plane parallel to the sandstone sample axis. Before testing, all the samples were coated with gold sputtering to enhance the electrical conductivity. Micrographs were recorded by using a scanning electron microscope (Quanta 250 FEG, Thermo Fisher scientific, USA) configured for Backscattered-Electron (BSE) imaging at an accelerating voltage of 10 kV. All the images (1024×1024 pixels or 1.1 mm×1.1 mm) were at 235× magnification and taken across an area of 5.5 mm×5.5 mm across each of the thin sections, as shown in Fig. 6. At least five regions with area of 5.5 mm×5.5 mm were selected arbitrarily in each sample to minimize the influence of the heterogeneity of microcracks quantification.

An image process method capable of segmentation and skeletonization of microcracks was used to process the micrographs and provide the microcrack length and 2D crack density. As shown in Fig. 7,

the procedure of quantification of the microcracks includes filtering, segmentation, skeletonization and calculation of microcrack length. This image process is written in Python and more detailed information can be in (Griffiths et al. 2017).

Here we use the 2D crack density, noted γ , to quantify the initial microcrack damage within the sandstone samples exposed to different repetitive loadings. The 2D crack density is defined as following (Walsh 1965):

$$\gamma = N_A c^2 \tag{1}$$

where N_A is the cracks number in unit area, c is the mean microcrack length.

3 Experimental results

3.1 Quantification of initial microcracks

The damage accumulation of rock materials have been proven to be resulted from the initiation, propagation and interaction of microcracks (Eberhardt et al. 1999). To assess the influence of repetitive impacts

Fig. 6 BSE imaging of thin sections of sandstone samples

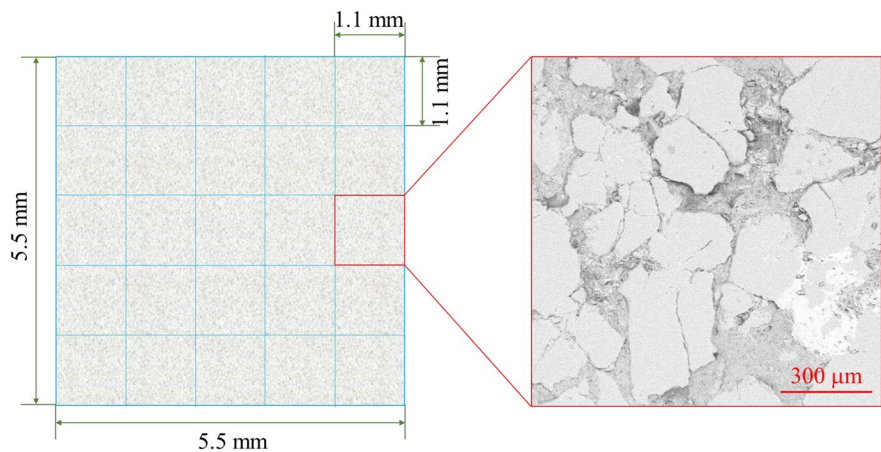
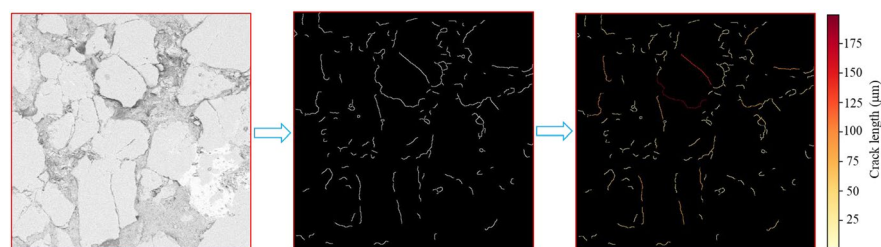


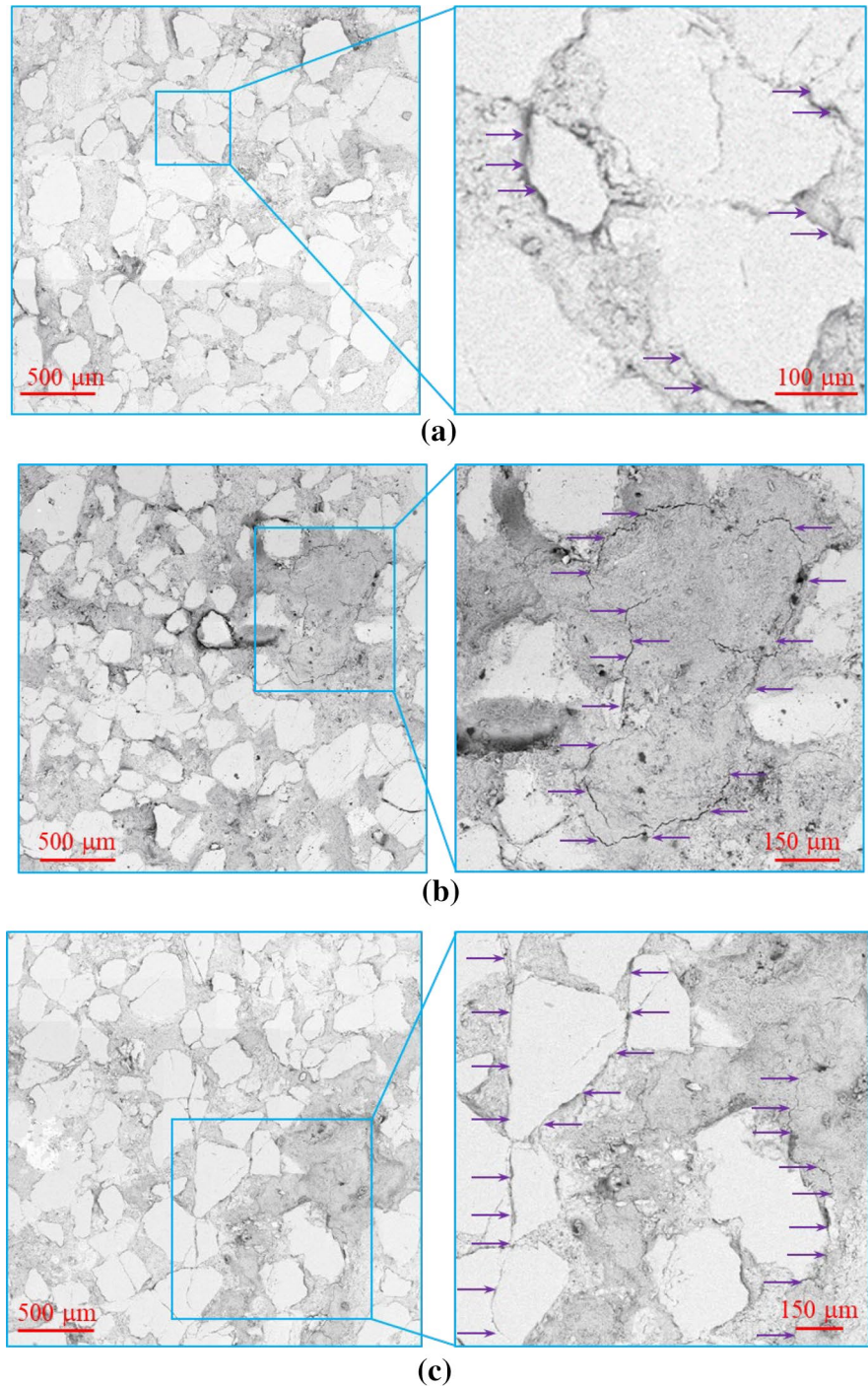
Fig. 7 Procedure of quantitatively characterize the microcracks



on the evolution of microcrack damage, we selected the samples exposed to 0, 4 and 6 impacts. As shown in Fig. 8a, for the sample with 0 impact, microcracks are mostly intergranular, following grain boundaries, and appear near-randomly distributed. The observed

initial microcrack damage within the sample with 0 impact can be attributed to a range of factors, including geological history and material properties (Dresen and Guéguen 2004), as well as tectonic or blasting activity (Doan and d'Hour 2012; Hudson et al. 2009;

Fig. 8 The microcracks within a sandstone sample exposed to repetitive impact loading. SEM micrographs of: **a** sample exposed to 0 impacts; **b** sample exposed to 4 impacts; and **c** sample exposed to 6 impacts



Souley et al. 2001). Once the sample was exposed to 4 repetitive impacts, the length and number of microcracks show an increase tendency. Meanwhile, as shown in Fig. 8b, few long microcracks can be found around a mineral crystal, indicating that microcracks resulted from dynamic impact start to interact with each other. As shown in Fig. 8c, within the sample exposed to 6 repeated impacts, the length of microcracks increases further. We observe more microcracks along grain boundaries, and some longer microcracks spanning several grains, oriented near-parallel to the loading direction.

To quantify the microcracks damage of samples, the 2D microcrack density was analyzed. The corresponding values of N_A and c of three different crack densities are presented in Table 2. As shown in Fig. 9, some initial microcracks distribute in the sample without impact, resulting in a 2D microcrack density of 0.026. It is lower than that of Garibaldi Grey Granite, in which the initial 2D microcrack density is 0.062 (Griffiths et al. 2017). This might be attributed to different diagenetic process or loading history (Anders et al. 2014). The 2D microcrack density increases to 0.043 and 0.053 as the repetitive impact number increases to 4 and 6.

3.2 Influence of initial microcracks on the deformation and strength of sandstone

3.2.1 Quasi-static strength

The quasi-static strength of sample containing different initial microcracks is presented in Fig. 10. The quasi-static strength decreases from 45.7 to 42.3 MPa and 37.8 MPa as the initial microcrack density increases from 0.026 to 0.043 and 0.053, respectively. Undoubtedly, the quasi-static strength is sensitive to the initial microcracks, that is, a higher microcrack density level results in a lower quasi-static strength

Table 2 The microcracks information in the sample with different repetitive impact number

Repetitive impact number	N_A (mm ⁻²)	c (μm)	γ
0	49.1	23	0.026
4	58.9	27	0.043
6	63.0	29	0.053

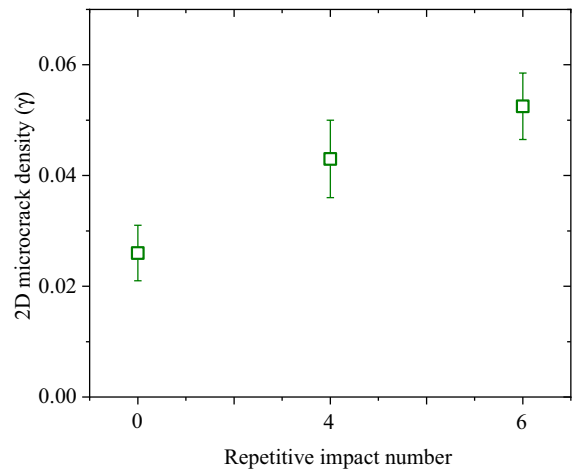


Fig. 9 2D microcrack density of sandstone sample

value. This is in line with previous results (Griffiths et al. 2017; Peng et al. 2016). This can be attributed to that a higher initial microcrack density is along with a higher crack number and a longer crack length, which leads these cracks easier to propagate and interact with each other (Ashby and Hallam 1986; Ashby and Sammis 1990).

3.2.2 Strain rate

Generally, the strain rate is selected as the reference for evaluating the deformation characteristics of rock

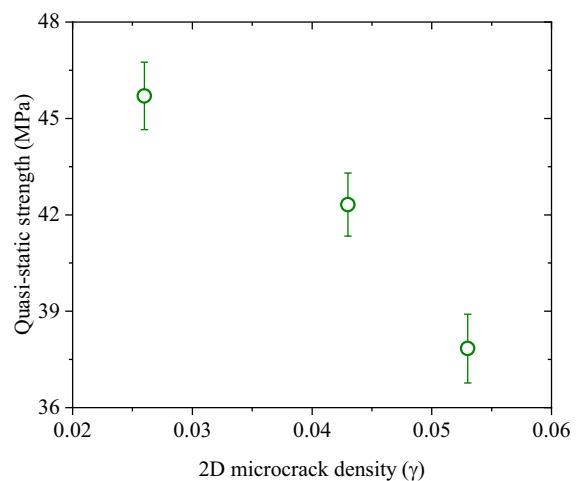


Fig. 10 Quasi-static strength of sample containing different initial microcracks

materials (Xia and Yao 2015; Zhou et al. 2011). Here, to compare tests on sandstone samples with different initial microcrack damage, the influence of gas pressure on the strain rate of sample with various microcrack densities was analyzed. The minimum gas pressure was 0.25 MPa, the maximum was 0.55 MPa, and the step between measurements was 0.025 MPa. As shown in Fig. 11, the strain rate of sandstone sample increases with increasing gas pressure in a quasi-linear way. For a given air pressure, the strain rate is higher for samples with a higher microcrack damage level, which is similar to previous results from repetitive loading experiments (Li et al. 2018a, b). As the strain rate is calculated based on the reflected stress wave, the increase in strain rate for a given gas pressure indicates a decrease in impedance of the sandstone sample. The decrease in impedance is resulted from the degradation of sandstone sample exposed to different impact loadings (Li et al. 2005; Zhou et al. 2018).

3.2.3 Stress–strain relationship

To clarify the effect of initial microcracks on the dynamic performance of the sandstone sample, the stress–strain response of sandstone with different repetitive impact loadings under similar strain rate ($\sim 80 \text{ s}^{-1}$) were analyzed. As shown in Fig. 12, at the similar strain rate ($\sim 80 \text{ s}^{-1}$), the stress–strain response of sandstone samples containing different

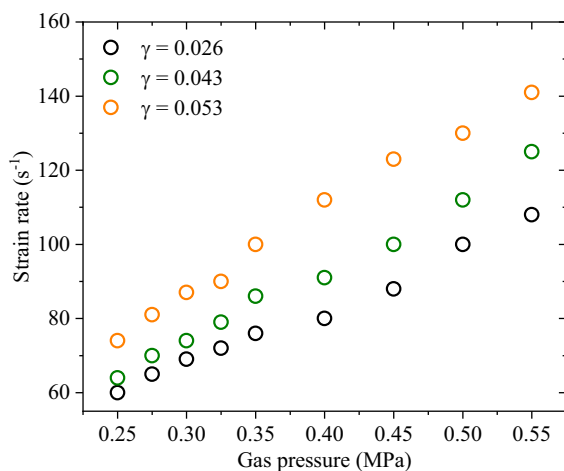


Fig. 11 Strain rate of samples containing different initial microcracks

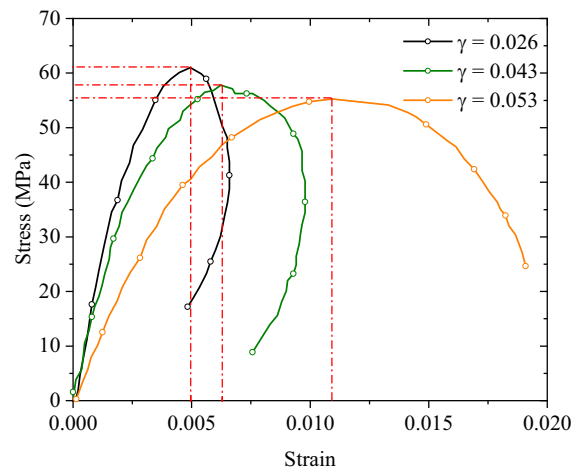


Fig. 12 Stress–strain curves of samples containing different initial microcrack densities deformed at a strain rate of 80 s^{-1}

initial microcrack densities show some obvious differences. Within the initial linear elastic section of the stress–strain curve, the slope of the curve decreases with increasing initial damage. The decrease in the slope of the curve could be attributed to the degradation of elastic modulus of sandstone sample due to the impact damage. However, given that the dynamic stress uniformity can't be reached during the most part of the elastic loading stage, the specific elastic modulus value can't be calculated based on the stress–strain curve presented in Fig. 12. We measure a decrease in peak stress with increasing initial microcrack density, while strain at the peak stress increases with the increase initial microcracks. For the sample with microcrack density of 0.053, the post-peak stress–strain behavior differs to the other two samples, showing an increase in strain as the stress drops. The increase in strain may be related to the different failure pattern of sandstone sample with different initial damage. Deformed at a strain rate of 100 s^{-1} , the sample with microcrack density of 0.053 was pulverized, whilst the samples exposed to 0 and 4 repetitive impacts were still of some bearing capacity. It can be deduced that the threshold of pulverization of sandstone sample exposed to 6 repetitive impact is no higher than 80 s^{-1} , while the thresholds of pulverization of samples with initial microcrack densities of 0.026 and 0.043 are higher than 80 s^{-1} . This means that the initial microcrack damage would lead to the change of failure pattern of sandstone sample at the

same strain rate, which is consistent with the results of (Doan and d’Hour 2012; Doan and Gary 2009).

To clarify the effect of strain rate on the deformation behavior of sample with initial microcracks, here we select the sandstone sample with initial microcrack density of 0.043 for analysis. As shown in Fig. 13, the slope of the linear elastic parts of each stress–strain curve are similar for each sample, indicating that the slope of stress–strain of sandstone sample with initial

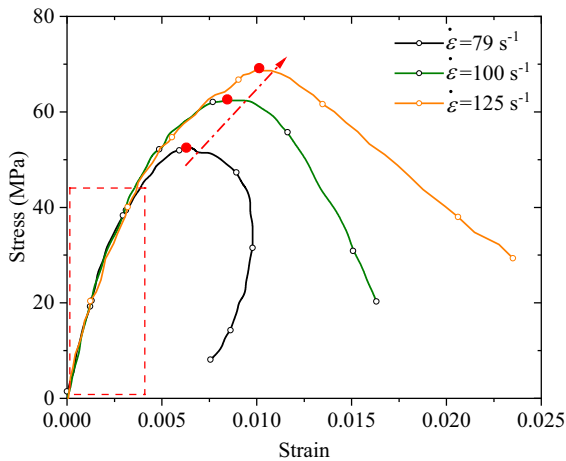


Fig. 13 Stress–strain curves for the sample with microcrack density of 0.043 deformed at strain rates of 79, 100 and 125 s⁻¹

microcrack damage is insensitive to the strain rate, as is the case for the rock without repetitive impact. Both the peak stress and strain value at the peak stress increase with the increase of strain rate, which is consistent with previous results of rock materials without repetitive impact (Frew et al. 2001; Li et al. 2017). Meanwhile, the secondary unloading can be found in the post-peak part of stress–strain of 79 s⁻¹, while the post-peak parts of stress–strain of 100 s⁻¹ and 125 s⁻¹ are just of one unloading stage. It can be deduced that the threshold of pulverization of sandstone sample is between 79 and 100 s⁻¹.

3.2.4 Dynamic compressive strength

Here we analyze the rate dependence of strength of the sandstone containing initial microcrack damage. As presented in Fig. 14a, the strength of sandstone is dependent to the strain rate. For a given microcrack density, the strength increases with increasing strain rate. The strength of sample without impact damage is higher than both samples exposed to repetitive impact. Figure 14b shows the DIF with strain rate, to clarify the influence of initial microcrack density on the strain rate sensitivity. As shown in Fig. 14b, for a given strain rate, the DIF increases with increasing initial microcrack density. Previous research has attributed the strain rate effect of rock materials to

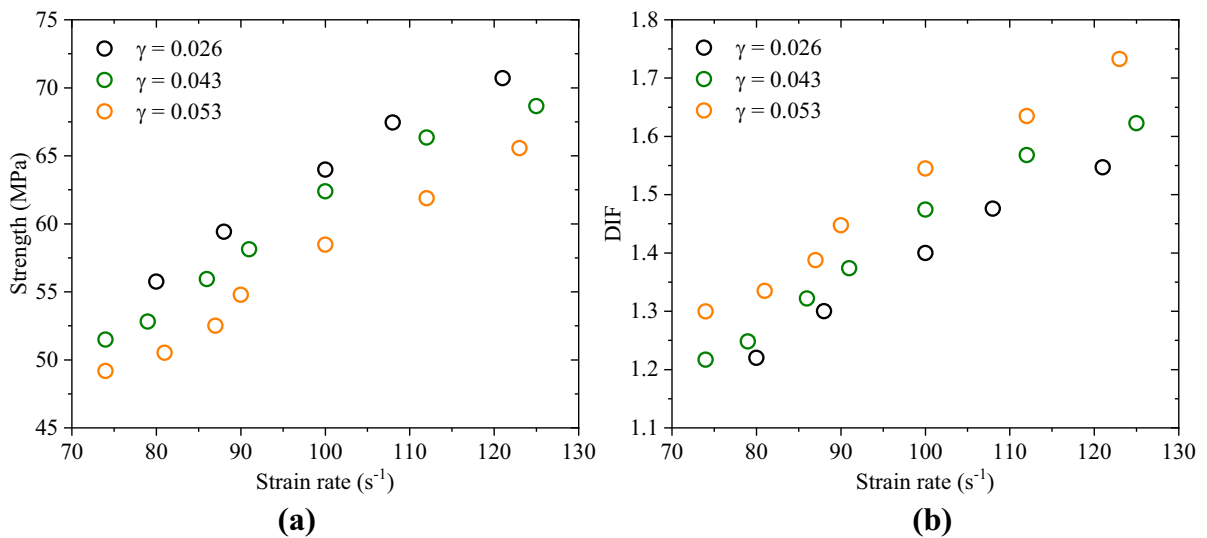


Fig. 14 Strength and dynamic increase factor (DIF) of sandstone with different initial microcrack densities. **a** Dynamic strength; **b** DIF

the heterogeneity of microstructure of rock materials (Zhu et al. 2012). Undoubtedly, with the increase of initial microcrack density, the microcracks number show a positive relationship, leading to a higher heterogeneity of microstructure of sandstone sample.

3.3 Fragmentation and energy absorption characteristics

3.3.1 Fragmentation

To elucidate the fragmentation behavior of sandstone containing different initial microcrack damages, the fragmentations of the failed sandstone samples were collected. Figure 15 shows photographs of the fragmented pieces of sandstone samples containing different initial microcrack densities, deformed at strain rates of around 80 s^{-1} and 100 s^{-1} . We observe that fragmentation characteristics of sandstone sample is strongly influenced by the strain rate, as has widely been reported in previous research (Cai et al. 2007; Yuan et al. 2011). The fragmented samples change from large split pieces to pulverized debris as the strain rate increases from 80 to 100 s^{-1} .

The mean fragmentation size of the sandstone samples with repetitive impact (initial microcrack densities of 0.043 and 0.053), reduced compared to the sample without repetitive impact damage (initial microcrack density of 0.026). This indicates that the fragmentation

of sandstone sample is not just influenced by the strain rate, but also by the microcracks damage, which is consistent with the result of (Doan and d'Hour 2012). The failure of rock materials under dynamic loading has been attributed to the propagation of microcracks (Zhang and Zhao 2014a, b). The introduction of additional microcracks generated from dynamic loading would lead to more microcracks propagation simultaneously under the same strain rate, resulting in a higher degree of fragmentation, as confirmed by the result of the threshold of pulverization (Fig. 15).

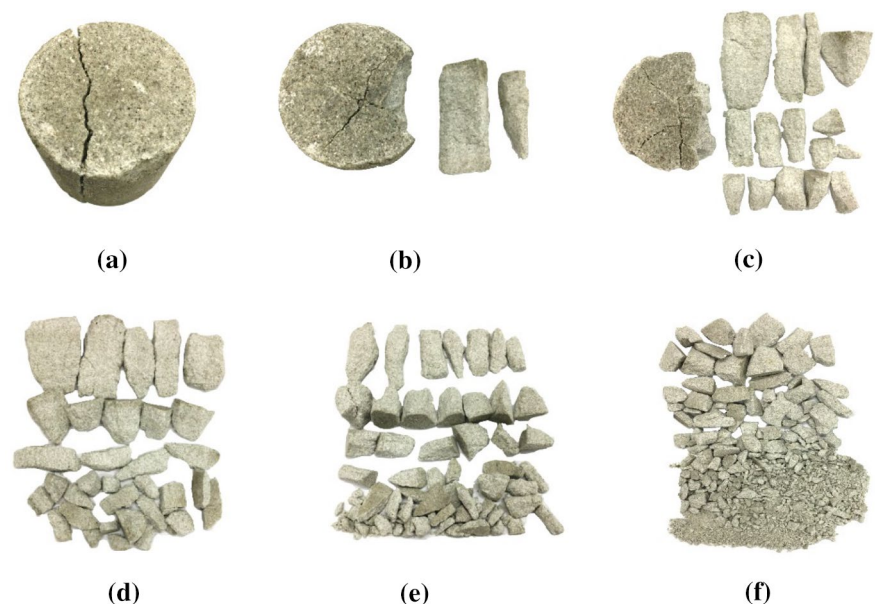
Previous research has proven that rock fragmentation has self-similarity and can be quantified based on fractal dimension (Grady 2008; Grady and Winfree 2001). To quantitatively characterize the effect of initial microcrack on the pulverization characteristics of sample, the fractal dimension of sandstone sample is analyzed. The fractal dimension of rock fragmentation can be determined as follows (Xie et al. 2003):

$$Y = \frac{M(x)}{M_T} = \left(\frac{x_i}{x_m} \right)^{3-D} \quad (2)$$

where M_T is total mass of rock fragmentation, $M(x)$ is cumulative mass of rock fragmentation with size below x_i , x_m is the maximum fragmentation size, and D is the fractal dimension of rock fragmentation.

The fractal dimension of sample under dynamic loading is shown in Fig. 16. Noting that the unbroken

Fig. 15 Fragmentation of sandstone samples with different initial microcrack densities. **a** 80 s^{-1} ; **b** 79 s^{-1} ; **c** 81 s^{-1} ; **d** 97 s^{-1} ; **e** 100 s^{-1} ; **f** 99 s^{-1}



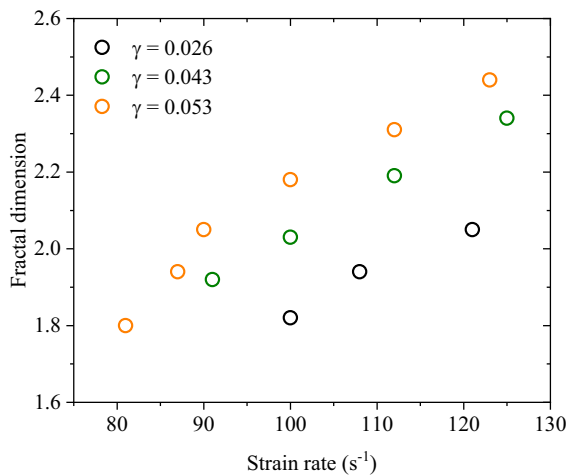


Fig. 16 Fractal dimension of the rock fragment size distribution (fragments shown in Fig. 15) against strain rate during dynamic loading. The results are shown for the sandstone samples with initial microcrack densities of 0.026, 0.043 and 0.053

and slightly split sample are excluded. Specifically, the results of sample containing microcrack densities of 0.026 under strain rate less than 100 s⁻¹, sample with microcrack densities of 0.043 under strain rate less than 90 s⁻¹ and sample with microcrack densities of 0.053 under strain rate less than 80 s⁻¹ are excluded during the analysis processing. The fractal dimension of sandstone sample increases with the increase of strain rate, which is assigned to the decreasing size of failed sample under a higher loading rate. Meanwhile, under the same strain rate, the fractal dimension of sandstone sample increases with increasing initial microcrack density. It means that, under the same strain rate, more debris with smaller fragment size are generated during the pulverization process of sandstone sample with more microcracks. It can be deduced that higher number of microcrack propagate during the failure process of sandstone sample with more microcracks.

3.3.2 Dynamic fracture process

To give a further interpretation of the fragmentation behavior of sandstone, the surface crack propagation of sandstone is analyzed based on the high-speed camera image. The propagation of the macro-cracks under a similar strain rate, around 80 s⁻¹, are presented to clarify the effect of microcracks on the

cracking process. As shown in Fig. 17, at the loading time of 100 μs, no obvious macro-crack can be observed on the surface of sandstone sample with initial microcrack density of 0.026, while few macro-cracks have generated on the surface of samples with initial microcrack density of 0.043 and 0.053. With the increase of loading time, more macro-cracks are presented on the surface of sandstone samples. Meanwhile, some distinct differences can be found between samples containing different microcracks. Specifically, at the loading time of 100 μs, more macro-cracks are generated on the surface of sandstone sample with initial microcrack density of 0.053, but, only about three macrocracks can be found on the surface of sample with initial microcrack density of 0.026. In addition, the length of the cracks on the surface of sample with initial microcrack density of 0.053 are longer than that of samples with fewer microcracks.

Hence, it can be concluded that the initial microcracks affect the fracture process of sandstone, leading to different fragmentation characteristics. Specifically, under the same strain rate, more microcrack will propagate simultaneously in the sandstone sample with a higher microcrack density. Based on the micromechanical theory, the microcrack start to propagate as the fracture toughness is satisfied (Li et al.

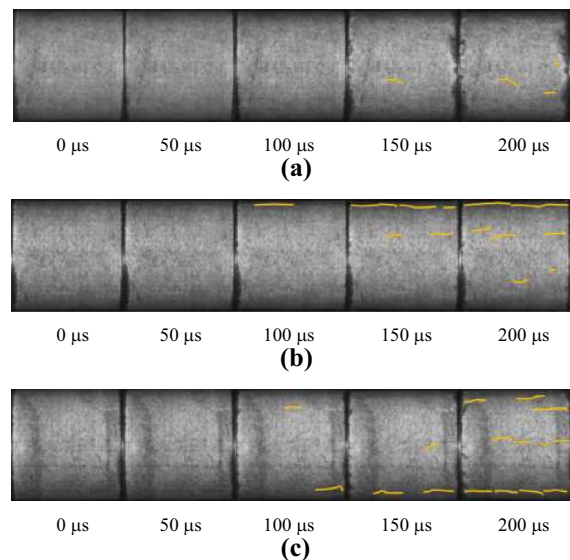


Fig. 17 Macro-cracks on the surface of sandstone during dynamic loading process. **a** sample with microcrack density of 0.026; **b** sample with microcrack density of 0.043; **c** sample with microcrack density of 0.053

2020a, b). Given that a higher microcrack density means higher values of number and length of microcracks in sandstone sample, it is expected that the microcracks are easier to propagate under the same loading conditions (Li et al. 2020a, b). It can be also responsible to the lower threshold of pulverization of sandstone with a higher microcrack density.

3.3.3 Dynamic energy dissipation

The energy absorption per unit volume under different strain rates are presented to investigate the effect of microcracks on the energy absorption capability of sandstone. As presented in Fig. 18, despite of the different initial microcrack densities, the energy absorption increases with the increase of strain rate, which is in line with other studies (Li et al. 2019, 2005). Nevertheless, the sensitivity of energy absorption capability to strain rate varies with the initial microcracks density. In the case of a lower strain rate (less than 100 s^{-1}), the energy absorption presents a positive relationship with the microcracks, while at a higher strain rate (larger than 120 s^{-1}), the energy absorption per unit volume shows a negative relationship with the microcracks. That is, there is a transition zone, where the energy absorption per unit volume is insensitive to the initial microcracks. This transition zone might be attributed to different failure patterns of sandstone samples due to different initial microcracks densities. It should keep in mind that the sandstone

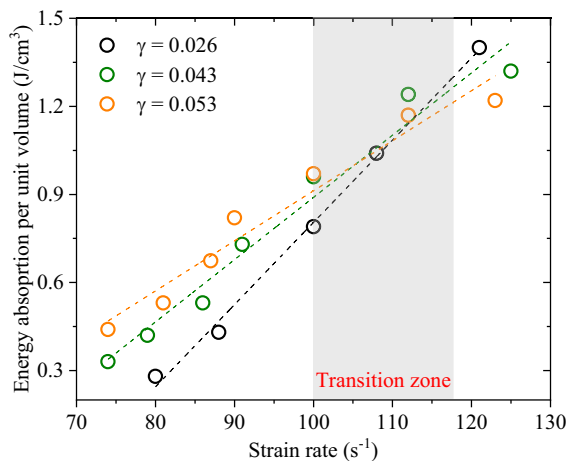


Fig. 18 Energy dissipation of sandstone containing different microcracks

sample with lower microcracks density can't fail under lower strain rate (less than 80 s^{-1}), thus, less energy will be dissipated during the impact process. In contrast, the sandstone sample with higher microcracks density can fail with few pulverized debris, which will dissipate more energy for the initiation and propagation of cracks. As the strain rate increases to a higher level, all the sandstone samples will pulverize, leading to a higher energy absorption capability of sample with less microcracks than that of sandstone sample with more microcracks.

3.3.4 Relationship between fragmentation and energy absorption

The dissipated energy is used for the propagation of cracks during the impact process of sample, resulting in different fragmentation characteristics (Andrews 2005; Kipp et al. 1980). Here, to quantitatively analyze the effect of the microcrack density on the relationship between energy absorption and fragmentation of sandstone, the relationship between the energy absorption per unit volume and fractal dimension is presented.

As shown in Fig. 19, at any initial microcrack density, the fractal dimension increases with increasing energy absorption per unit volume, indicating that a more pulverized failure pattern corresponds to a higher energy absorption of sandstone. This is consistent with the previous study (Cai et al. 2020),

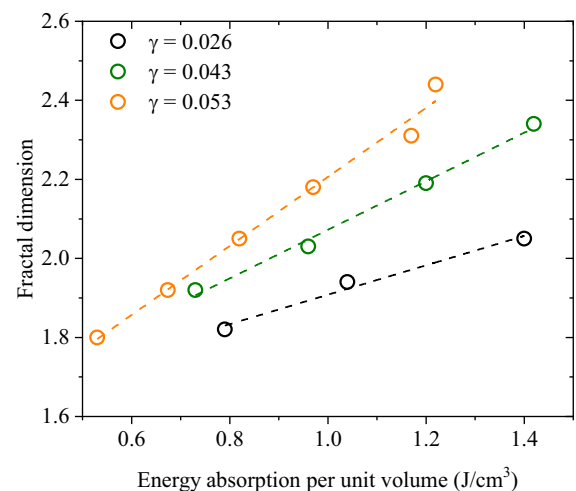


Fig. 19 Relationship between Fractal dimension and energy absorption of sandstone

which can be explained by that more cracks are activated under a higher strain rate, consuming more energy per unit volume during the impact process. Meanwhile, based on the fitting lines, the sensitivity of fractal dimension to energy absorption varies with the initial microcrack density. In the case of a higher microcracks density, the fractal dimension becomes more sensitive to the energy absorption. This could be related to the crack propagation characteristic of sample with different microcracks.

4 Implications to geoen지니어ing

Initial Microcracks are pervasive in upper crustal fault zone, which is typically consisted with a fault core and a surrounding damage zone. The transition of the properties of rock in fault zone has been confirmed to affect the evolution of faulting as exposed to seismic cycle (Faulkner et al. 2006; Griffith et al. 2012). In addition, pulverized rocks near the fault core are found to be related to low strain rate successive seismic events (Doan and d'Hour 2012). This is inconsistent with the laboratory study concerning single impact loading experiment, which suggests that pulverization of rocks just takes place when the strain rate is higher than a certain threshold (Doan and Gary 2009; Xia et al. 2008). However, the initial microcracks would affect the fracture process of sandstone, resulting in that more microcrack will propagate simultaneously in the sandstone sample with a higher microcrack density. Hence, the strain rate threshold of pulverization decreases with the increase of initial microcrack density, suggesting that successive seismic would results in more pulverized rock along fault core. Meanwhile, during the earthquake rupture propagation, the energy dissipation of stress wave is used to further weaken the fault damage zone (Andrews 2005). Based on the experimental results, as an earthquake stress wave with lower strain rate propagates across the damage zone, the energy dissipation of sandstone increases with the increase of initial microcrack density, in contrast, as an earthquake stress wave with higher strain rate propagates across the damage zone, the energy dissipation of sandstone decreases with the increase of initial microcrack density. It is suggested that the influence of initial microcrack damage and strain rate should be considered

simultaneously when analyzing the energy dissipation of earthquake rupture.

5 Conclusions

To elucidate the effect of microcrack damage on the dynamic performance of rock material, we performed compression tests at a range of strain rates on sandstone samples which had first been subjected to different numbers of repetitive impacts. Microcrack damage within the sandstone samples was quantified by calculating the microcrack density from processed SEM micrographs of thin sections. Finally, the strength, deformation, energy dissipation and degree of fragmentation of the tested samples were discussed. The following conclusions can be drawn:

- Repetitive impact leads to higher level of the number and length of microcracks within sandstone. The 2D microcrack density increases from 0.026 for the sample without repetitive impact, to 0.043 and 0.053 of samples subjected to 4 and 6 repetitive impacts.
- The strength of sandstone decreases with increasing initial microcrack density, while, the dynamic increase factor (DIF) of sandstone increases with the increase of microcrack density.
- The pulverization threshold of sandstone decreases with the increase of initial microcrack density. For a given strain rate, in the case of a higher microcracks density, more cracks propagate simultaneously resulting in a larger fractal dimension of fragmentation.
- The sensitivity of energy absorption capability of sandstone to strain rate depends on the initial microcrack density. There is a transition zone, from 100 to 120 s^{-1} , beyond which the energy absorption capability of sandstone sample shows a higher level with the increase of strain rate.
- The fractal dimension of failed sample exhibits a linear relationship with the energy absorption per unit volume. With the increase of initial microcrack density, the fractal dimension becomes more sensitive to the dissipated energy, which suggests that in the case of a higher microcracks density, more small fragments generate with the increase of dissipated energy.

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Declarations

Conflict of interest The authors declare that they have no conflict of interest regarding the publication of this paper.

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