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### Citation for published version:

Ilska, J, Tolhurst, D, Tumas, H, Maclean, P, Cottrell, J, Lee, SJ, Mackay, J & Woolliams, J 2023, 'Additive and non-additive genetic variance in juvenile Sitka spruce (*Picea sitchensis* Bong. Carr)', *Tree Genetics and Genomes*, vol. 19, no. 6, 53, pp. 1-14. <https://doi.org/10.1007/s11295-023-01627-5>

### Digital Object Identifier (DOI):

[10.1007/s11295-023-01627-5](https://doi.org/10.1007/s11295-023-01627-5)

### Link:

[Link to publication record in Edinburgh Research Explorer](#)

### Document Version:

Peer reviewed version

### Published In:

Tree Genetics and Genomes

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**Additive and non-additive genetic variance in juvenile Sitka spruce**  
**(*Picea sitchensis* Bong. Carr)**

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23 **Abstract**

24 Offspring from three full-sib Sitka spruce families were clonally replicated to produce 12 ramets from  
25 each of 1500 offspring per family. The trees were planted across three sites, with each offspring  
26 represented by one ramet in each of four blocks per site. All trees were measured for height at 2, 4,  
27 6 and 11 years of age and, depending on site and family, for bud burst at 5 years and pilodyn  
28 penetration depth at 10 years. Genotypes were obtained by RADseq for all six parents and for a  
29 subset of 1524 offspring and a linkage map was used to impute genotypes for 1630 loci segregating  
30 in all three families. A linear mixed model was developed which combines all available phenotypic  
31 and genomic data on all genotyped and non-genotyped trees to estimate the fraction of additive  
32 genetic variance ( $f_a$ ) for each trait and family. The consensus value for  $f_a$  increased with age for  
33 height from 0.60 at 2 years to 0.80 at 11 years, with non-overlapping 95% support intervals ( $I_{95}$ ). The  
34 estimated value of  $f_a$  for bud burst was 0.83 ( $I_{95}=[0.78, 0.90]$ ) and was 0.80 ( $I_{95}=[0.76, 0.92]$ ) for  
35 pilodyn depth. There was no evidence of differences in  $f_a$  between families for bud burst and height,  
36 but there was evidence of differences for pilodyn depth ( $P<0.05$ ). There was no evidence of  
37 inbreeding depression associated with genomic homozygosity, which would be expected if  
38 dominance variance were the major component of the non-additive variance, and the results offer  
39 no support for the development of sublines for crossing within the species.

40 [256 words]

## 41 **Introduction**

42 Breeding is well-established in many forest tree species but it is often hindered by the lengths of  
43 generation intervals. Current breeding cycles in conifers including spruces, pines, larches, firs,  
44 among others, commonly exceed 15 years requiring candidate trees to have reached reproductive  
45 age and have sufficiently accurate predictions of breeding value obtained from early predictors of  
46 adult performance, possibly supplemented with progeny testing, prior to selection decisions being  
47 made. The history of Sitka spruce (*Picea sitchensis* [Bong.] Carr.) in the UK is an example of a well-  
48 planned and executed breeding program which is faced with this challenge of long intervals. Sitka is  
49 a conifer species originating from the Pacific North West extending from south-eastern Alaska to  
50 northern California. It was first brought to the UK in the 1830's (Lee et al. 2013), and now accounts  
51 for over 50% of all the area planted with conifers and ~25% of all woodland area of Great Britain  
52 (IFOS-Statistics, 2022). Breeding objectives for the species relate to its primary use for construction  
53 timber and wood pulp (Lee et al. 2013), and although improvements have been achieved since the  
54 start of plus tree selection in the early 1960s, only two cycles of selection have been completed (Lee  
55 and Connelly, 2010). This time constraint along with the high cost of field evaluations, among others,  
56 has often limited the ability to fully characterise the genetic control of phenotypic traits, such as their  
57 partitioning into additive and non-additive gene effects.

58

59 An attraction of genomic prediction is the potential to transform forest breeding through reducing  
60 generation intervals while retaining sufficient accuracy of the predicted breeding values (EBVs) to  
61 obtain faster rates of improvement (Grattapaglia, 2017). This is due to a different approach to  
62 estimating breeding values using molecular data (Meuwissen et al. 2001) and genomic relationship  
63 coefficients (Van Raden, 2008), compared to using pedigree and the matrix of numerator relationship  
64 coefficients derived from it. In the pedigree approach, the breeding values are predicted from models  
65 which, beyond the base generation, rely on estimating Mendelian sampling terms of individuals,  
66 which in turn relies on obtaining phenotypic information on the candidate or offspring. In contrast,  
67 when applying the molecular approach, the breeding values are predicted from the estimated effects  
68 of (dense and genome wide) marker alleles, typically SNPs, which can be obtained for all genotyped  
69 individuals provided relevant data are available for estimating the SNP effects. With genotypes

70 available from 'conception' one barrier to reducing the generation interval and obtaining an EBV that  
71 encompasses an individual's own genome is removed.

72

73 While most attention in tree breeding (as in other fields) has focused upon developing genomic  
74 predictions of breeding value, or additive genetic merit, and the variance of the breeding values  
75 defines the additive genetic variance. However, the total genetic variance includes contributions from  
76 non-additive genetic variation, and predicting non-additive effects can be used to improve the merit  
77 of those deployed in the wider forest population for timber. The SNP data make it possible to access  
78 the non-additive genetic effects more directly, and to predict non-additive components of the total  
79 genetic merit (Vitezica et al. 2017; Joshi et al. 2020). One benefit of using the genomic data is that  
80 it is feasible to estimate non-additive genetic variance from simpler designs than would be necessary  
81 using pedigree data. In forestry, the ease of vegetative propagation allows clonal experiments to be  
82 established which provide material to estimate the total genetic variance and broad heritability ( $H^2$ ),  
83 while the genotypic data can be used to estimate genomic relationships, and hence estimate the  
84 additive genetic variance and narrow sense heritability ( $h^2$ ). Consequently, the extent and potential  
85 impact of the non-additive genetic variance can be estimated.

86

87 One of the challenges of advancing the use of genomic techniques in Sitka has been the need to  
88 generate the thousands of SNP marker genotypes on selection candidates to provide a marker  
89 coverage of the genome that is sufficiently dense. There are multiple ways of obtaining SNP  
90 genotypes, e.g. through SNP chip arrays, whole genome resequencing, or reduced representation  
91 sequencing. Restriction-site associated DNA sequencing (RADseq) belongs to a group of reduced  
92 representation sequencing methods which have been particularly popular in non-model species. The  
93 benefits of RADseq are its flexibility and relatively low cost compared to whole genome resequencing  
94 (Parchman et al. 2018) but it is particularly attractive for species, including many conifers, with large  
95 repetitive genomes where the compilation of a draft reference genome is challenging (Pan et al.  
96 2015; Fuentes-Utrilla et al. 2017; Parchman et al. 2018). While assays for RADseq have been  
97 described for Sitka (Fuentes-Utrilla et al. 2017), this was for a single family and their application and  
98 performance across multiple families is unknown. One of the drawbacks of RADseq is the stochastic

99 nature of the sequence reads for a given coverage, particularly when the coverage is low but this  
100 can be overcome using imputation (e.g. Li et al. 2009).

101

102 The goal of this paper was to estimate the fraction of additive genetic variance in the total genetic  
103 variance of Sitka spruce, based on SNP markers derived from RADseq data and phenotypic data  
104 collected on height, wood density and bud burst in the offspring of three full sib families. The newly  
105 developed linkage map of the Sitka spruce genome (Tumas et al. 2023) allowed us to apply an  
106 imputation procedure which enabled missing genotypes to be recovered, thereby making maximum  
107 use of the available SNP data. The tree height data was collected at different ages, and allowed the  
108 sensitivity to site and family variation to be studied as it came from three large full sib families, clonally  
109 replicated across three geographically and climatically diverse sites. The analyses employed spatial  
110 modelling to account for natural and extraneous variation within each site (Gilmour et al. 1997). To  
111 the authors' knowledge, this is the first paper where the heritability of economically important traits  
112 in conifers was estimated using analyses which simultaneously accounted for additive and non-  
113 additive genetic variance based on genomic data along with spatial modelling.

114

## 115 **Materials & Methods**

### 116 **Population**

117 The phenotypic and SNP data were based on material in a large field experiment established in 2005  
118 by Forest Research. The experiment consisted of three full-sib families (denoted as FS1, FS2 and  
119 FS3) each with 1,500 offspring (where offspring represent a unique genotype), clonally replicated  
120 across three contrasting sites: Huntly, Llandoverly and Torridge (Table 1).

121

122 The families were created by controlled pollination of maternal clones growing in the Sitka spruce  
123 clone bank of Forest Research. Each offspring was vegetatively propagated from cuttings to produce  
124 12 ramets (clonally replicated copies of an offspring genotype), with four ramets of each genotype  
125 on each site and, within sites, one ramet of each genotype in each of four randomised blocks. In  
126 addition to 1,500 offspring trees, each block contained 46 control trees raised from open pollinated

127 seed collected from Haida Gwaii (formerly Queen Charlotte Islands), British Columbia. The trees of  
128 FS1 at Torridge formed the data for a previous publication (Fuentes-Utrilla et al. 2017).

129

### 130 **Traits**

131 All trees had their height measured after 2, 4, 6 and 11 years of age. In addition, the depth of  
132 penetration of a pilodyn pin at breast height after 10 years was recorded as an indicator trait for wood  
133 density at the Torridge site only. Trees from family FS1 were also scored for the timing of bud-burst  
134 at the start of the fifth year, using an 8-point scale according to Krutzsch (1973) at all sites. This  
135 scoring was carried out on three occasions within a three-week period (5A, 5B and 5C). A summary  
136 of the design for the measurement of traits is shown in Table 2. The number of trees available for  
137 measurement of height at each age is shown in Table 3, which also provides a guide to numbers of  
138 trees assessed for bud burst and pilodyn measurements at the intermediate ages.

139

### 140 **RADseq Genotypes**

#### 141 *Assay*

142 The RADseq data used for FS1 were initially produced for Noveltree  
143 (<https://cordis.europa.eu/project/id/211868>), and those for FS2 and FS3 were produced for  
144 Procogen (<https://cordis.europa.eu/project/id/289841>). DNA was extracted from the needles of all 6  
145 parents and from randomly-selected subsets of the 1,500 offspring in each family, with 622, 493 and  
146 496 sampled from FS1, FS2 and FS3 respectively. The protocols for DNA extraction and RADseq  
147 digestion were fully described in Fuentes-Utrilla et al. (2017). Briefly, DNA was extracted using a  
148 Qiagen DNeasy Plant mini-kit but with the protocol modified to maximize DNA quantity. The  
149 extracted DNA was then subjected to a double-digest RADseq protocol using Alnwl and PstI  
150 enzymes. Paired-end reads were produced for parents, and single-end for offspring using Illumina  
151 HiSeq2000. While the protocol for the RADseq digestion was the same for all 3 families, the resulting  
152 average read length ranged from 45bp in offspring of FS1 to 112bp in parents of FS2 and FS3.

#### 153 *Bioinformatic pre-processing of RADseq data*

154 The raw, barcoded fastq-libraries were de-multiplexed using RADtools v1.2.4 (Baxter et al. 2011).

155 The paired-end reads of parents were then screened for PCR duplicates using a Perl script (Kerth,

156 2014) which removed between 22-24% reads in parents of FS1 and 43-46% reads in parents of FS2  
157 and FS3. Offspring whose number of reads fell outside 3 standard deviations from the overall mean  
158 within each family were removed, and this resulted in the removal of 5, 4 and 8 offspring from families  
159 FS1, FS2 and FS3 respectively. Adapter sequences were removed from all reads using Scythe  
160 v.0.994 (Buffalo, 2014). The reads were then processed with the 'process radtags' package of Stacks  
161 v.2 (Rochette and Catchen, 2017) to remove reads with uncalled bases and quality scores <20, and  
162 then to truncate all reads to 45bp to allow simultaneous processing of all three families. The 'k-mer  
163 filter' option of Stacks v.2 was used to remove both abundant and rare k-mers, with the default k-  
164 mer size set to 15. The final number of reads retained for further analysis ranged between 17.4 and  
165 20.2M for each of the six parents, and between 2.2 and 3.5M reads for each of the 1,594 remaining  
166 offspring.

167

#### 168 *SNP genotyping*

169 SNP markers were identified and genotyped using the Stacks v.2 pipeline: 'ustacks' to build loci  
170 within a sample; 'cstacks' to construct a catalogue of loci from parental samples; 'sstacks' to match  
171 loci from all samples to the catalogue; 'tsv2bam' to transpose data to become locus oriented;  
172 'gstacks' to call variants sites and genotyping individuals. The parameters used for the genotyping  
173 were optimised as recommended in Paris et al. (2017). The outcome was: minimum stack depth ( $m$ )  
174 set to 2, distance between stacks ( $M$ ) set to 3, and distance between catalogued loci ( $n$ ) set to 3.  
175 The resulting genotypes were exported to a vcf format using the 'populations' package of Stacks v.2,  
176 parameterised so that a locus was processed if it was detected in at least 3 populations ( $p=3$ ), and  
177 in at least 3% of all individuals across all populations ( $R=3$ ). The parameter values were chosen to  
178 minimise the number of Mendelian inconsistencies and missing values across the resulting  
179 genotypes.

180

#### 181 *SNP quality control*

182 The genotypes were split into 3 within-family datasets using Plink (Purcell et al. 2007). Quality control  
183 was then applied within each family by sequentially removing individuals with call rates less than 0.6  
184 and then removing SNPs with call rates less than 0.8 and MAF<0.15. Note that within families the



185 segregating SNPs are expected to have frequencies of either 0.25, 0.5 or 0.75. The resulting call  
186 rates across all retained individuals and SNPs were 0.77, 0.79 and 0.81 for FS1, FS2 and FS3  
187 respectively. The SNP genotypes were then tested for Mendelian inconsistencies using a custom  
188 Python script ([https://github.com/joannailska/Mendelian\\_inconsistencies](https://github.com/joannailska/Mendelian_inconsistencies)), with a Bonferroni  
189 correction for multiple testing. The resulting numbers of trees and SNPs per family are reported in  
190 Table 4. Among the retained SNPs, 2,054 SNPs were segregating in all 3 families and offered an  
191 element of validation, and these are henceforth referred to as “common SNPs”.

192

### 193 *Imputation*

194 Among the common SNPs, 1,630 (78%) had been reliably assigned to the 12 linkage groups of the  
195 linkage map compiled by Tumas et al. (2023) and this map was used for imputation. For each of the  
196 three families used in this study, the genotyping data for these 1,630 loci were assigned to the 12  
197 linkage groups and ordered within them. The genotypes identified were processed using AlphaPeel  
198 (v1.1.0; Whalen et al. 2018) using the multi-locus peeling option, with an additional parameter giving  
199 the map distance in Morgans spanning the loci for each linkage group. The distribution of SNPs  
200 across linkage groups and families is shown in Table 5. Imputation accuracy was assessed using  
201 posterior genotype probabilities provided by AlphaPeel for the full-sib offspring and summarised by  
202 assuming genotypes to be assigned if a genotype posterior probability was greater than  $p$  and  
203 varying  $p$  over the range 0.5 to 1. For a given value of  $p$ , the SNP call rate over offspring and offspring  
204 call rates over SNPs were calculated.

205

### 206 **Statistical models**

207 A site and family combination is hereafter referred to as a trial (with 9 trials in total). Each trial was  
208 designed as a randomised complete block design with four replicate blocks. Each block was  
209 allocated 46 control trees and 1,500 offspring trees. Due to topographic constraints, some blocks  
210 were spatially separated (non-contiguous) which required “master blocks” to be constructed. For  
211 trials with non-contiguous blocks, two master blocks were created and filler plots with missing  
212 phenotypes were added to ensure a continuous spatial structure within each master block. Trials

213 with contiguous blocks were treated as having a single master block. The number of master blocks  
214 in each trial is shown in Table 2.

215

216 All models were fitted separately for each trial, and included: (i) a preliminary spatial model without  
217 genomic data, and (ii) an extended spatial model with genomic data. The novel feature of (ii) is that  
218 phenotypic data was included on all control and offspring trees (regardless of whether they have  
219 been genotyped) while genomic data was also included on the subset of genotyped trees in each  
220 family. This preserved all data to estimate the genetic and non-genetic variance parameters, and  
221 also enabled estimates of additive and non-additive genetic variance parameters to be obtained.

222

### 223 *Preliminary spatial model*

224 This is a univariate BLUP model which accommodates the experimental design and spatial modelling  
225 for each trial. The linear mixed model for  $\mathbf{y}$ , the vector of available phenotypic data on all 46 control  
226 trees and 1,500 offspring trees in each trial, can be written as:

$$227 \quad \mathbf{y} = \mathbf{X}\mathbf{b} + \mathbf{Z}_u\mathbf{u} + \mathbf{Z}_v\mathbf{v} + \mathbf{e} \quad (1)$$

228 where  $\mathbf{b}$  is the vector of fixed effects, here only the mean, with  $\mathbf{X}$  being a vector 1's,  $\mathbf{u}$  is the vector  
229 of random genetic effects for all offspring trees with design matrix  $\mathbf{Z}_u$ ,  $\mathbf{v}$  is a vector of random non-  
230 genetic effects, here only blocks, with design matrix  $\mathbf{Z}_v$ , and  $\mathbf{e}$  is the vector of residuals. The genetic  
231 effects are assumed to be distributed as  $\mathbf{u} \sim \text{MVN}(\mathbf{0}, \sigma_u^2\mathbf{I})$ , where  $\sigma_u^2$  is the total genetic variance.  
232 The block effects are assumed to be distributed as  $\mathbf{v} \sim \text{MVN}(\mathbf{0}, \sigma_v^2\mathbf{I})$ , where  $\sigma_v^2$  is the block variance.  
233 The residuals are assumed to be distributed as  $\mathbf{e} \sim \text{MVN}(\mathbf{0}, \mathbf{R})$ , where  $\mathbf{R}$  is the residual variance  
234 matrix which includes a model for natural and extraneous variation, i.e. variation due to random error  
235 and correlated spatial error (Gilmour et al. 1997). The residual variance matrix is given by:

$$236 \quad \mathbf{R} = \sigma_r^2\mathbf{I} + \sigma_s^2 \bigoplus_{k=1}^b \boldsymbol{\Sigma}_{c(k)}(\rho_c) \otimes \boldsymbol{\Sigma}_{r(k)}(\rho_r) \quad (2)$$

237 where  $\sigma_r^2$  is the random error variance and  $\sigma_s^2$  is the spatial error variance, such that  $f_r =$   
238  $\sigma_r^2/(\sigma_r^2 + \sigma_s^2)$  and  $f_s = \sigma_s^2/(\sigma_r^2 + \sigma_s^2)$  are the fractions of random and spatial error variance. The  
239 Kronecker plus operator ( $\bigoplus$ ) constructs a block-diagonal model across the  $b$  master-blocks ( $b = 1$   
240 or 2; Table 2) and the Kronecker product operator ( $\otimes$ ) constructs a separable model between the

241 columns and rows in each master block. Note that the model for each master block is parameterised  
242 by different auto-correlation matrices, i.e.  $\Sigma_{c(k)}$  and  $\Sigma_{r(k)}$ , but the same auto-correlation parameters,  
243  $\rho_c$  and  $\rho_r$ . The significance of the spatial models were informally assessed by log-likelihood ratio  
244 tests and the Akaike Information Criterion, and showed considerable improvements compared to  
245 models with independent residuals, i.e.  $\mathbf{e} \sim \text{MVN}(\mathbf{0}, \sigma_e^2 \mathbf{I})$ . The model described by Equations [1] and  
246 [2] is hereafter referred to as Model 1.

247

#### 248 *Extension to include genomic data*

249 Model 1 was then extended to genomic BLUP using a genomic relationship matrix,  $\mathbf{G}$ , derived from  
250 RADseq data (described below). This model included phenotypic data on all 46 control trees and  
251 1,500 offspring trees, while genomic data were also included on the 572, 470 and 482 genotyped  
252 trees in FS1, FS2 and FS3 respectively. Let the vector of genetic effects be partitioned as  $\mathbf{u} =$   
253  $(\mathbf{u}_1, \mathbf{u}_2)$  where  $\mathbf{u}_1$  and  $\mathbf{u}_2$  are the vectors for the non-genotyped and genotyped trees, respectively.  
254 Since there is clonal replication, the genetic effects for the genotyped trees can be further partitioned  
255 into additive and non-additive effects, where  $\mathbf{u}_2 = \mathbf{u}_{2(a)} + \mathbf{u}_{2(d)}$ . The design matrix is partitioned  
256 conformably with  $\mathbf{u}$ , where  $\mathbf{Z} = [\mathbf{Z}_1 \ \mathbf{Z}_2]$ .

257 The linear mixed model for  $\mathbf{y}$  can now be written as:

$$258 \quad \mathbf{y} = \mathbf{X}\mathbf{b} + \mathbf{Z}_1\mathbf{u}_1 + \mathbf{Z}_2[\mathbf{u}_{2(a)} + \mathbf{u}_{2(d)}] + \mathbf{Z}_v\mathbf{v} + \mathbf{e} \quad (3)$$

259 where the non-genetic and residual terms are as described for Model 1. The genetic effects for the  
260 non-genotyped trees are assumed to be distributed as  $\mathbf{u}_1 \sim \text{MVN}(\mathbf{0}, \sigma_u^2 \mathbf{I})$ . The additive genetic effects  
261 (breeding values) for the genotyped trees are assumed to be distributed as  $\mathbf{u}_{2(a)} \sim \text{MVN}(\mathbf{0}, \sigma_a^2 \mathbf{G})$ ,  
262 where  $\sigma_a^2$  is the additive genetic variance and  $\mathbf{G}$  is the genomic relationship matrix. The non-additive  
263 effects for the genotyped trees are assumed to be distributed as  $\mathbf{u}_{2(d)} \sim \text{MVN}(\mathbf{0}, \sigma_d^2 \mathbf{I})$ , where  $\sigma_d^2$  is  
264 the non-additive genetic variance. The model described by Equations [2] and [3] is hereafter referred  
265 to as Model 2. Model 2 was repeated with heterozygosity included as a covariate: this extension is  
266 of interest in describing -1x inbreeding depression, but potentially removes non-additive variance  
267 and results are given in Supplementary File 1.

268

269 Model 2 provides a direct estimate of the total genetic variance from the non-genotyped trees ( $\sigma_u^2$ )  
 270 and an indirect estimate from the genotyped trees, which is a function of the additive ( $\sigma_a^2$ ) and non-  
 271 additive ( $\sigma_d^2$ ) genetic variances. In terms of the additive genetic variance, it should be noted that the  
 272 model parameter  $\sigma_a^2$  is *not* the additive genetic variance of each family since it corresponds to a  
 273 population with markers in Hardy-Weinberg equilibrium. In a full-sib family there is negative  
 274 assortment of alleles, i.e. increased heterozygosity, when selfing is excluded. The true additive  
 275 genetic variance of each family was therefore estimated by  $k\sigma_a^2$ , where  $k = \overline{\text{diag}(\mathbf{G})} - \bar{\mathbf{G}}$  with the bar  
 276 denoting the mean value, and  $k = 0.669, 0.686$  and  $0.603$  for FS1, FS2, and FS3 respectively. Note  
 277 that scaling was not necessary for  $\sigma_a^2$  and  $\sigma_u^2$  since  $\overline{\text{diag}(\mathbf{I})} - \bar{\mathbf{I}} \approx 1$  when the number of genotyped  
 278 and non-genotyped trees is large. The total genetic variance for the non-genotyped and genotyped  
 279 trees was therefore constrained as:

$$280 \quad \sigma_u^2 = k\sigma_a^2 + \sigma_d^2 \quad (4)$$

281 This constraint was applied when fitting Model 2 (see below).

### 282 *Genomic relationship matrix*

283 The genomic relationship matrix,  $\mathbf{G}$ , was constructed separately for each family following Van  
 284 Raden's Model 1 (Van Raden, 2008), using the alternative allele dosages for each locus for each  
 285 genotyped tree provided by AlphaPeel (Whalen et al. 2018) following imputation. The dosage is the  
 286 expected number of alternative alleles accounting for the genotypic probabilities, and takes values  
 287 between 0 and 2. For example, if the genotype probabilities for locus  $j$  of tree  $i$  are 0.01, 0.99 and  
 288 0.00 for allele counts 0, 1 and 2 the dosage is 0.99 ( $0 \times 0.01 + 1 \times 0.99 + 2 \times 0.00$ ). The allele  
 289 frequencies ( $p_i$  for locus  $i$ ) used for centring the dosages and calculating the scaling factor  
 290 ( $\sum_i 2p_i(1 - p_i)$ ) were calculated from the full-sib parents for each family and were therefore either  
 291 0.25, 0.50 or 0.75, as each parent had been genotyped to high coverage, and additionally had been  
 292 imputed from the large full-sib family.

### 293 *Model fitting*

294 Models 1 and 2 were fitted separately for each trait and trial in *ASReml-R* (Butler et al., 2018), which  
 295 obtains REML estimates of the variance parameters and empirical BLUPs of the random effects.  
 296 The spatial model in Equation [2] was constructed by fitting a separate model for each master block,

297 with the sets of auto-correlation and variance parameters constrained to be equal across master  
298 blocks using the *vcc* argument (see Tolhurst et, 2019). Model 2 was fitted with the constraint in  
299 Equation [4] using the 'own' function, which constructs user specified variance models. The variance  
300 models for the genotyped trees were constructed as  $\text{var}(\mathbf{u}_{2(a)}) = \sigma_u^2 f_a \mathbf{G}/k$  and  $\text{var}(\mathbf{u}_{2(d)}) = \sigma_u^2 f_d \mathbf{I}$ ,  
301 where  $f_a = k\sigma_a^2/\sigma_u^2$  and  $f_d = \sigma_d^2/\sigma_u^2$  are the fractions of additive and non-additive genetic variance  
302 and  $\sigma_u^2$  is constrained to equal the total genetic variance of the non-genotyped trees, i.e.  $\text{var}(\mathbf{u}_1) =$   
303  $\sigma_u^2 \mathbf{I}$ .

304

### 305 *Model summaries*

306 Sample variograms showing the residual semi-variance between plots were constructed using a  
307 custom Python script  
308 ([https://github.com/joannailska/Sitka\\_variogram/blob/main/variogram\\_Sitka.py](https://github.com/joannailska/Sitka_variogram/blob/main/variogram_Sitka.py)). The variograms  
309 were used to informally assess the spatial models and detect any additional extraneous variation in  
310 the column or row directions. An example is presented and summarised in Supplementary File 2.

311

312 Model 2 provided estimates of  $H^2$  and  $h^2$ . These were defined for the observed populations of full-  
313 sibs, as  $H^2 = \sigma_u^2 / (\sigma_u^2 + \sigma_v^2 + \sigma_r^2 + \sigma_s^2)$  and  $h^2 = f_a \sigma_u^2 / (\sigma_u^2 + \sigma_v^2 + \sigma_r^2 + \sigma_s^2)$ . The confidence intervals  
314 for the estimated fraction of additive genetic variance were obtained from likelihood profiles  
315 calculated by constraining  $f_a$  in Model 2 to take values over relevant ranges in the interval [0,1], most  
316 densely around the REML estimates. The 95% confidence intervals were defined by the interval for  
317 which the drop in  $2\log L$  was less than 3.84, the 95% point for  $\chi_1^2$ . Estimates of the parameters were  
318 pooled across families, and sometimes sites as described in the results. For the estimates of spatial  
319 parameters and heritabilities, this was done by weighting estimates by the reciprocal of their  
320 sampling variance. For the fraction of additive genetic variance, this was done by summing the  
321 likelihood profiles.

322

## 323 **Results**

324 *Imputation*

325 The cumulative distribution functions of the call rates for SNPs over offspring are shown in Figure  
326 1a, and those for offspring over SNPs in Figure 1b, for no imputation and for different thresholds ( $p$ )  
327 for the posterior probability required to call a genotype following imputation by AlphaPeel. All such  
328 functions will tend to 1 as the call rate tends to 1, and if all genotypes were known with certainty the  
329 function would be a step function, where  $f(x)=0$  for  $x<1$  and  $f(x)=1$  for  $x=1$ . The distribution functions  
330 will asymptote towards this step function as the number of genotypes called increases, and the  
331 sensitivity of the distribution functions to the value of  $p$  decreases as confidence in the imputation  
332 increases. When the threshold was set to  $p=0.9$ , 96% of SNPs had call rates exceeding 95% over  
333 all offspring (from Figure 1a), and 94% of offspring had call rates exceeding 95% over all SNPs (from  
334 Figure 1b). Without imputation, only 50% of SNPs and 67% of offspring had call rates exceeding  
335 95%.

336

### 337 *Spatial Parameters*

338 The spatial parameters described in Equation [2] are treated in this study as nuisance parameters  
339 and are summarised below in less detail than the genetic parameters of interest. The sample  
340 variograms presented in Supplementary Information 2 shows an example outcome from fitting Model  
341 2 and illustrate the residual semi-variance between plots  $x$  rows and  $y$  columns apart. The  
342 variograms peak at the spatial ( $\sigma_s^2$ ) and total error variance ( $\sigma_r^2 + \sigma_s^2$ ), with the discontinuity at zero  
343 displacement reflecting the random error variance ( $\sigma_r^2$ ). The general shape of the variograms is  
344 determined by the auto-correlation parameters  $\rho_c$  and  $\rho_r$ . Table 6 summarises the fraction of random  
345 error variance ( $f_r$ ) and the auto-correlation parameters for height at all four ages. Since the 'column'  
346 and 'row' labels were arbitrarily assigned for each site, the values for  $\rho_r$  and  $\rho_c$  have been pooled  
347 into a common value  $\rho$ .

348

349 Two trends for height were observed in Table 6: (i)  $f_r$  diminished from 2 to 11 years of age, indicating  
350 stronger spatial (positive) associations in height with neighbours as the trees grew; and (ii) the auto-  
351 correlations differed between sites, indicating that the observable associations extended over longer  
352 distances at Torridge, and conversely smallest at Llandovery. The value of  $f_r$  and  $\rho$  for pilodyn depth  
353 averaged across families at Torridge was 0.64 (range [0.39, 0.77]) and 0.92 (range [0.79, 0.98]),

354 respectively. For the three measurements of bud burst at 5 years of age (5A, 5B and 5C) for FS1 at  
355 the three sites,  $f_r$  was comparatively high (mean 0.81; range [0.73, 0.94]) and  $\rho$  was also  
356 comparatively high (mean 0.78; range [0.61, 0.97]). Taken together, although the common  
357 environmental component of variance among neighbours decays slowly for all traits, there is  
358 substantial environmental variance independent of neighbours for these ages.

359

#### 360 *Pilodyn depth at 10 years*

361 Pilodyn depth was measured at 10 years in all three families at Torridge only, with the results shown  
362 in Table 7. The total genetic variance,  $\sigma_u^2$ , was considerable in all families, although the broad sense  
363 heritability,  $H^2$ , differed widely between families (range [0.112, 0.349]). These differences were  
364 largely due to the differing environmental variances. Considerable additive genetic variance,  $\sigma_a^2$ ,  
365 was detected in all families with differences in  $h^2$  that reflected the differences in  $H^2$ . This  
366 correspondence was due to a relative constancy in the fraction of additive genetic variance,  $f_a$ . Figure  
367 2 shows the likelihood profile for  $f_a$  in each family, together with the consensus profile pooled across  
368 families. The consensus value for  $f_a$  was estimated as 0.80 with 95% confidence interval of [0.76,  
369 0.92]; although this estimate was within the 95% confidence intervals for each family, the hypothesis  
370 of a common value across families was rejected by the chi-squared test ( $P < 0.05$ ;  $X^2 = 6.16$  c.f.  $\chi^2_{(2)}$ ).

371

#### 372 *Bud burst at 5 years*

373 Bud burst at five years was only measured in FS1 and Table 8 focuses on the first measurement  
374 (5A), and the results for the other two measurements are given in the Supplementary Information 3.  
375 Estimates of  $H^2$  differed between sites (range [0.276, 0.476]) and these differences were, again,  
376 reflected in the estimates of  $h^2$  for the sites. However,  $f_a$  was very similar across sites. Figure 3 shows  
377 the likelihood profiles for  $f_a$  and the consensus profile pooled across sites. The consensus value for  
378  $f_a$  was estimated as 0.83 with 95% confidence interval of [0.78, 0.90]. There was no evidence to  
379 reject the hypothesis of a common value across sites ( $P > 0.05$ ;  $X^2 = 0.617$  c.f.  $\chi^2_{(2)}$ ). Similar results  
380 were obtained for measurements 5B and 5C, which had consensus values of 0.91 (s.e. 0.03) and  
381 0.89 (s.e. 0.04) respectively.

382

### 383 *Height at 2, 4, 6 and 11 years*

384 Table 9 shows the broad sense heritabilities,  $H^2$ , and phenotypic variances,  $\sigma_P^2$ , for height measured  
385 at 2, 4, 6 and 11 years in all four families at all three sites. For each site,  $\sigma_P^2$  increased with age but  
386 there was no clear trend in the changes in  $H^2$  with age. The estimates of  $H^2$  for Llandovery were  
387 generally smaller than for Huntly and Torridge, which were associated with generally larger  $\sigma_P^2$  at a  
388 given age compared to the other sites. There were no clear trends in  $H^2$  or  $\sigma_P^2$  between families  
389 across ages or sites.

390

391 The consensus values of  $f_a$  pooled across families are given in Table 10 for each age and site. There  
392 was no evidence of differences in  $f_a$  between families for each age and site ( $P < 0.05$ ). However, there  
393 was evidence of increasing  $f_a$  with age at all sites, in particularly  $f_a$  was largest at 11 years and  
394 smallest at 2 years. This trend is particularly evident in the consensus values of  $f_a$  after pooling  
395 across sites with  $f_a$  increasing from 0.60 at 2 and 4 years to 0.80 at 11 years, with 95% confidence  
396 intervals that do not overlap. The confidence interval for the consensus value of  $f_a$  at 11 years does  
397 not include 1, i.e. not all genetic variance is additive. However, some individual families at some  
398 individual sites do include 1 in their confidence intervals, which are wider, and the best point effort  
399 for FS2 at 11 years at Llandovery was 1. There was no evidence of differences between families  
400 across sites from the goodness of fit tests.

401

### 402 **Discussion**

403

404 This study combines all available phenotypic and genomic data from a multi-site, clonally replicated  
405 experiment with large full-sib families produced by controlled crossing to partition the genetic  
406 variance observed between clones for height, bud burst and pilodyn penetration depth into additive  
407 and non-additive components. The additive genetic variance formed the largest fraction of total  
408 genetic variation for all traits, with estimates of 0.60 for height at 2 years of age increasing to 0.80 at  
409 11 years, 0.80 for pilodyn penetration depth at 10 years, and ranging from 0.83 to 0.91 for the 3  
410 measures of bud burst at 5 years. This partition is possible as the model underlying the Van Raden  
411 relationship matrix,  $\mathbf{G}$ , is a ridge regression model on marker allele counts and therefore only



412 describes what is observed as an additive sum of effects over loci, whereas the total genetic variance  
413 obtained from the clonal replication includes dominance and epistasis. The experimental design had  
414 several aspects that made the study feasible, or more powerful, beyond the clonal replication of the  
415 offspring. Firstly, the experiment's large full-sib families made it possible to consolidate genotypes  
416 obtained from RADseq by imputation, using the recent availability of a molecular map for Sitka  
417 spruce (Tumas et al. 2023). Secondly, the measurement of traits across sites, or across families, or  
418 both, allowed for a degree of replication estimates of  $f_a$ , and the estimates were found to be very  
419 largely consistent, subject to their sampling errors.

420

421 The majority of studies identified by the authors that partition the genetic variance in forest species  
422 have used models based on pedigree combined with clones. An important theoretical perspective to  
423 consider when comparing the current results with those from published studies is that here the  
424 partitioning has been carried out entirely within full-sib families. Therefore, the estimates presented  
425 here are partitions of the Mendelian sampling variance and not the full genetic variance for a random-  
426 mating population. The expectations for the additive and non-additive components can be scaled up  
427 to the corresponding variance for a full random-mating population, and based on these expectations,  
428 the fraction  $f_a$  would increase. Although half the additive variation lies within families, a greater portion  
429 ( $3/4$ ) of the dominance and the additive x additive epistatic variation is within families, and more than  
430  $3/4$  for higher order epistatic terms (Falconer & Mackay, 1996). Assuming that any non-additive  
431 variation observed within families is explained by dominance or additive by additive, then the  
432 expectation is that  $f_a$  in this study corresponds to  $3 f_a / (2 + f_a)$  in a random mating population; e.g.  $f_a$   
433 = 0.6 and 0.8 corresponds to 0.69 and 0.86. While only three full-sib families were sampled, the  
434 consensus values for  $f_a$  estimated for the traits measured on all families is important.

435

436 Among previous studies, Weng et al. (2008) estimated partitions of genetic variance in white spruce,  
437 a close relative to Sitka spruce, for a similar range of ages for height, and also for pilodyn depth.  
438 Their results show comparable estimates of  $f_a$  ranging from  $\sim 0.4$  at 4 years to 0.8 at 14 years,  
439 despite the large s.e.s found in their data. The study of Nguyen et al. (2022) in Norway spruce  
440 covered a range of ages for height between 6 and 12 years and their results also appear to suggest

441 that  $f_a$  decreases between these ages, however examination of the results show large s.e.'s and  
442 negative estimates which  $f_a$  seriously limit interpretation. Results for Norway spruce were also  
443 reported by Chen et al. (2019) using genomic analysis: for height at 17 years,  $f_a \sim 0.4$  and  $0.6$  at  
444 two sites, and for pilodyn depth at 30 years of age  $f_a \sim 1$  at both sites. Among other studies of height,  
445 Isik et al. (2003) assessed four ages between 1 and 6 years and Baltunis et al. (2007) at 2 years,  
446 both in loblolly pine, Baltunis et al. (2013) at 12 years in yellow cypress but for the large sampling  
447 errors limit comparability. Few studies have examined pilodyn depth, but those that have are in  
448 agreement with the findings here that the fraction of additive genetic variance is very high, with  
449 estimates of  $0.90$  (s.e.  $0.18$ ) at 26 years of age in white spruce (Nguyen et al. 2022);  $\sim 0.8$  in  
450 *Eucalyptus globulus* at 4 years derived from the results of Costa de Silva et al. (2004). There are no  
451 comparable results for bud burst in other published studies. Each trait should be expected to have  
452 its own architecture, but too few results are available to attempt generalisation particularly given the  
453 substantial standard errors of many estimates (stem diameter in Norway spruce (Nguyen et al. 2022;  
454 Berlin et al. 2019), *Eucalyptus globulus* (Costa de Silva et al. 2004) and radiata pine (Baltunis et al.  
455 2009); wood quality traits in white spruce (Nguyen et al. 2022) and Norway spruce (Chen et al. 2019).

456

457 This study partitions the genetic variance in Sitka spruce into additive and non-additive components  
458 using an approach similar to that of de Almeida Filho et al. (2019), which used the classical ridge  
459 regression model to estimate the fraction of additive genetic variance and the clonal variance to  
460 estimate the total genetic variance. However, their approach requires all trees to be genotyped, and  
461 removes any non-genotyped trees. In this paper, a linear mixed model was developed which  
462 combines all available phenotypic and genomic data on all trees, regardless of whether they have  
463 been genotyped. In particular, the fraction of additive genetic variance was estimated using the  
464 subset of genotyped trees and the total genetic variance was estimated using all genotyped and  
465 non-genotyped trees. This approach preserves all available data to estimate the genetic and non-  
466 genetic variances, which is particularly important for spatial modelling (as it requires a continuous  
467 spatial structure). This approach also bears similarities to single-step GBLUP (Legarra et al. 2009),  
468 but without the need for pedigree or the need to construct a  $\mathbf{H}$  matrix. The distinguishing feature here  
469 is that the primary goal of this study was to obtain reliable estimates of the fraction of additive genetic

470 variance, rather than obtaining predictions of additive genetic merit for genomic selection. In fact,  
471 this approach is equivalent to setting the non-genotyped trees as diagonal (independent) in the  
472 genomic relationship matrix within Model 2, so that the additive component for these trees would not  
473 be well defined.

474

475 Obtaining reasonable precision on the fraction of additive genetic variance using pedigree alone has  
476 proved challenging as it typically involves scaling up and calculating linear functions of the estimated  
477 pedigree components. The models used here are parsimonious in that no attempt has been made  
478 to partition the non-additive genetic variance into dominance and epistatic components to avoid  
479 overfitting. The further partition is in general feasible, without assuming Hardy-Weinberg equilibrium,  
480 as shown by Vitezica et al. (2017), and exemplified in *Nile tilapia* by Joshi et al. (2020). This involves  
481 using the markers to calculate orthogonal relationship matrices for the dominance and epistatic  
482 components (e.g. the additive by additive relationship matrix is proportional to the Hadamard product  
483 of G with itself). This was attempted in the study of Chen et al. (2019) in Norway spruce but assumed  
484 Hardy-Weinberg equilibrium. Furthermore, no attempt has been made here to estimate genetic  
485 variance across families (as distinct from pooling the results within families) for two reasons: (i) the  
486 number of parents is small, and (ii) the number of markers are too few for satisfactory estimation  
487 across families, but more than adequate within families (Lillehammer et al. 2013). This leads to  
488 limitations in interpretation of this study, e.g. there is no assessment of whether additive marker  
489 effects in one family are similar to those from another, despite the consistency of the  $f_a$  observed  
490 within families. The different sets of anonymous markers segregating within the small number of  
491 families would make this uninterpretable.

492

493 In conclusion, the evidence suggests that the fraction of additive genetic variance increases with age  
494 for height towards the high fractions observed for pilodyn and bud burst. The results of  
495 Supplementary Information 1 show no evidence of inbreeding depression for any of the traits and  
496 therefore no evidence on the form of the non-additive genetic variance e.g. in the study of Joshi et  
497 al. (2020) in *Nile tilapia* the extra genetic variance observed in full-sibs aligned with additive by  
498 additive epistasis and not dominance. While the form of the non-additive genetic variance may be

499 less relevant for deployment strategies using clones, it does influence the form of the breeding  
500 program, as additive by additive fractions become converted to additive variance under selection  
501 and little benefit is expected from establishing sub-lines for crossing, as in reciprocal recurrent  
502 selection.

503

504 **Competing Interests**

505 The authors have no competing interests.

506

507 **Acknowledgments**

508 The authors gratefully acknowledge funding for the RADseq assays from European Commission  
509 Framework 7 programme through the projects Noveltree (Grant Agreement ID 211868) and  
510 Procogen (Grant Agreement ID 289841). The authors are also grateful to Dr S. O'Hara for the DNA  
511 extraction in both Noveltree and Procogen projects, and Rob Sykes and the Technical Support Unit  
512 at Forest Research for organising and collecting phenotypes and field samples. The bioinformatic  
513 and genetic analyses were part of the Sitka Spruced project funded by Biotechnology and Biological  
514 Science Research Council under grants BB/P018653/1 and BB/P020488/1.

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516 **Data Archiving Statement**

517 All data will be made available on reasonable request. Some data is already in data repositories  
518 and remaining data will be lodged on publically accessible data repositories.

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**Table 1.** Geographic and climatic characteristics of the three sites. The accumulated temperature is defined by the number of days above 5°C using historical data from the UK Meteorological Office over the 30-year recording period 1961-1990.

Characteristic	Units	Huntly Scotland	Llandovery Wales	Torrige England
Latitude	°N	57.58	51.97	50.82
Longitude	°W	2.82	4.12	4.37
Height above sea level	m	140	230	120
Accumulated temperature	°days	1106	1450	1828

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**Table 2.** The design of trials showing which traits were measured at which sites and in which families (FS: 1, 2 or 3). Measurements were made for all trials other than those shaded grey. Trials shaded green are those which have one master block while trials shaded yellow have two master blocks (described in text).

Trait	Age (years)	Site								
		Huntly			Llandovery			Torrige		
Height	2	1	2	3	1	2	3	1	2	3
	4	1	2	3	1	2	3	1	2	3
	6	1	2	3	1	2	3	1	2	3
	11	1	2	3	1	2	3	1	2	3
Bud Burst	5A	1	2	3	1	2	3	1	2	3
	5B	1	2	3	1	2	3	1	2	3
	5C	1	2	3	1	2	3	1	2	3
Pilodyn	10	1	2	3	1	2	3	1	2	3

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**Table 3.** Numbers of trees measured for height according to site, age and family. The trials were allocated 6000 trees per family per site prior to planting.

Age (years)	Huntly			Llandovery			Torrige		
	FS1	FS2	FS3	FS1	FS2	FS3	FS1	FS2	FS3
2	5854	5903	5685	5786	5805	5821	5988	5981	5861
4	5698	5861	5414	5220	5288	5180	5987	5875	4219
6	5676	5857	5414	4716	4900	4539	5982	5829	4100
11	5673	5856	5410	4326	4760	3958	5982	5829	4087

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**Table 4.** The number of SNP markers and trees retained within each family (FS1, FS2 or FS3) following quality control, together with the percentage of these SNPs that were found in all 3 families.

	Family		
	FS1	FS2	FS3
SNPs	15,452	17,915	13,176
% present in all FS	13.2	11.5	15.6
Trees	572	470	482

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**Table 5.** The number of informative loci used for imputation according to family (FS1, FS2 and FS3) and linkage group and the group's map length.

Linkage Group	Length (cM)	Number of loci		
		FS1	FS2	FS3
1	218	159	158	154
2	194	163	163	154
3	201	153	153	141
4	194	146	145	141
5	165	109	109	103
6	174	139	139	138
7	203	149	149	135
8	199	129	129	122
9	164	125	124	112
10	157	129	129	127
11	128	108	107	101
12	146	121	121	117
<b>Total</b>	2143	1630	1626	1545

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**Table 6.** The fraction of random error variance ( $f_r$ ) and the auto-correlation pooled across columns and rows ( $\rho$ ) for height measured at four ages at all three sites (see Equation [2]). The values presented have been averaged across all three families with the range given in parentheses.

Age (years)	Huntly		Llandovery		Torridge	
	$f_r$	$\rho$	$f_r$	$\rho$	$f_r$	$\rho$
2	0.85 (0.80,0.92)	0.85 (0.75,0.90)	0.88 (0.87,0.89)	0.77 (0.67,0.86)	0.74 (0.67,0.77)	0.91 (0.79,0.98)
4	0.76 (0.70,0.85)	0.82 (0.72,0.91)	0.70 (0.62,0.76)	0.70 (0.62,0.81)	0.60 (0.43,0.76)	0.90 (0.82,0.96)
6	0.72 (0.63,0.80)	0.84 (0.79,0.91)	0.61 (0.57,0.68)	0.73 (0.64,0.81)	0.54 (0.40,0.72)	0.91 (0.84,0.95)
11	0.52 (0.45,0.58)	0.91 (0.86,0.97)	0.53 (0.49,0.58)	0.81 (0.74,0.87)	0.60 (0.39,0.82)	0.93 (0.88,0.96)

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**Table 7.** The total genetic ( $\sigma_u^2$ ) and phenotypic ( $\sigma_P^2$ ) variances, broad ( $H^2$ ) and narrow ( $h^2$ ) sense heritabilities and the fraction of additive genetic variance ( $f_a$ ) for pilodyn depth measured at 10 years in all three families at Torridge. The associated s.e.s are given in parentheses.

Family	$\sigma_P^2$	$\sigma_u^2$	$H^2$	$f_a$	$h^2$
FS 1	2.994 (0.125)	1.046 (0.060)	0.349 (0.019)	0.912 (0.043)	0.319 (0.024)
FS 2	4.673 (0.368)	1.283 (0.073)	0.275 (0.024)	0.751 (0.064)	0.206 (0.026)
FS 3	4.657 (0.657)	0.520 (0.053)	0.112 (0.019)	0.918 (0.199)	0.102 (0.027)

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**Table 8.** The total genetic ( $\sigma_u^2$ ) and phenotypic ( $\sigma_P^2$ ) variances, broad ( $H^2$ ) and narrow ( $h^2$ ) sense heritabilities and the fraction of additive genetic variance ( $f_a$ ) for the first measurement of bud burst at 5 years in family one at all three sites. The associated s.e.s are given in parentheses.

Site	$\sigma_P^2$	$\sigma_u^2$	$H^2$	$f_a$	$h^2$
Huntly	0.251 (0.010)	0.085 (0.005)	0.333 (0.019)	0.836 (0.056)	0.278 (0.026)
Llandovery	0.499 (0.021)	0.138 (0.010)	0.276 (0.024)	0.831 (0.069)	0.229 (0.025)
Torridge	0.699 (0.020)	0.326 (0.018)	0.476 (0.019)	0.851 (0.039)	0.405 (0.025)

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**Table 9.** The broad sense heritability ( $H^2$ ) and phenotypic variance ( $\sigma_P^2$ ) for height measured at four ages in all three families at all three sites. The associated s.e.s are given in parentheses.

Age (years)	Family	Huntly		Llandovery		Torridge	
		$H^2$	$\sigma_P^2$	$H^2$	$\sigma_P^2$	$H^2$	$\sigma_P^2$
2	FS 1	0.202 (0.014)	0.013 (0.000)	0.101 (0.014)	0.015 (0.001)	0.256 (0.015)	0.019 (0.001)
	FS 2	0.099 (0.018)	0.014 (0.002)	0.043 (0.011)	0.025 (0.001)	0.286 (0.014)	0.012 (0.001)
	FS 3	0.194 (0.014)	0.012 (0.000)	0.101 (0.012)	0.012 (0.001)	0.198 (0.021)	0.009 (0.001)
4	FS 1	0.155 (0.013)	0.087 (0.002)	0.088 (0.012)	0.140 (0.006)	0.239 (0.015)	0.130 (0.004)
	FS 2	0.165 (0.014)	0.075 (0.004)	0.056 (0.012)	0.178 (0.005)	0.178 (0.015)	0.075 (0.004)
	FS 3	0.152 (0.013)	0.097 (0.003)	0.062 (0.011)	0.128 (0.005)	0.063 (0.011)	0.085 (0.007)
6	FS 1	0.149 (0.013)	0.341 (0.010)	0.061 (0.012)	0.590 (0.027)	0.225 (0.012)	0.402 (0.014)
	FS 2	0.174 (0.013)	0.214 (0.005)	0.065 (0.012)	0.544 (0.016)	0.222 (0.015)	0.324 (0.017)
	FS 3	0.155 (0.012)	0.289 (0.009)	0.096 (0.014)	0.357 (0.033)	0.081 (0.016)	0.367 (0.017)
11	FS 1	0.089 (0.012)	1.416 (0.134)	0.056 (0.011)	1.786 (0.055)	0.214 (0.015)	0.779 (0.029)
	FS 2	0.100 (0.028)	1.895 (0.505)	0.062 (0.011)	2.156 (0.136)	0.334 (0.027)	1.282 (0.097)
	FS 3	0.202 (0.014)	1.622 (0.068)	0.076 (0.017)	1.344 (0.254)	0.183 (0.015)	1.470 (0.080)

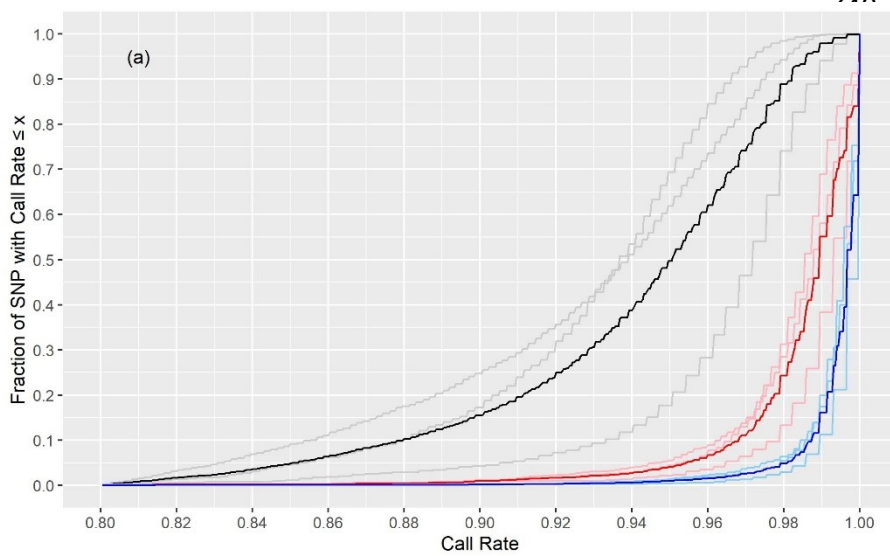
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**Table 10.** The fraction of additive genetic variance ( $f_a$ ) for height measured at four ages at all three sites. The values presented are pooled across families using likelihood profiles, and the consensus value is obtained by pooling the resulting profiles across sites. The associated 95% confidence intervals are given in parentheses.

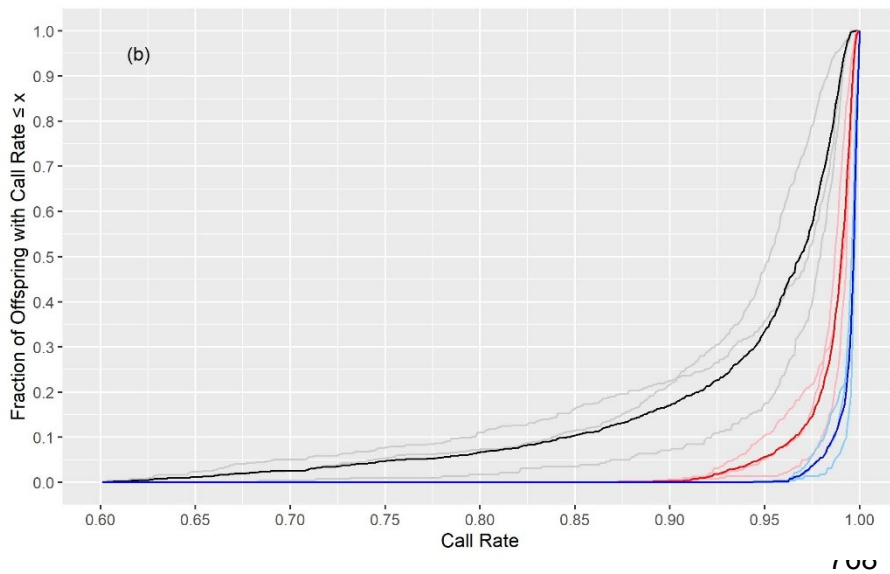
Age (years)	Huntly	Llandovery	Torridge	Consensus
2	0.53 (0.38,0.68)	0.50 (0.25,0.75)	0.60 (0.49,0.71)	0.60 (0.47,0.65)
4	0.60 (0.46,0.74)	0.50 (0.25,0.75)	0.68 (0.56,0.80)	0.60 (0.54,0.72)
6	0.57 (0.49,0.75)	0.60 (0.36,0.90)	0.72 (0.62,0.82)	0.70 (0.61,0.76)
11	0.70 (0.58,0.85)	1.00 (0.68,1.00)	0.80 (0.70,0.90)	0.80 (0.72,0.84)

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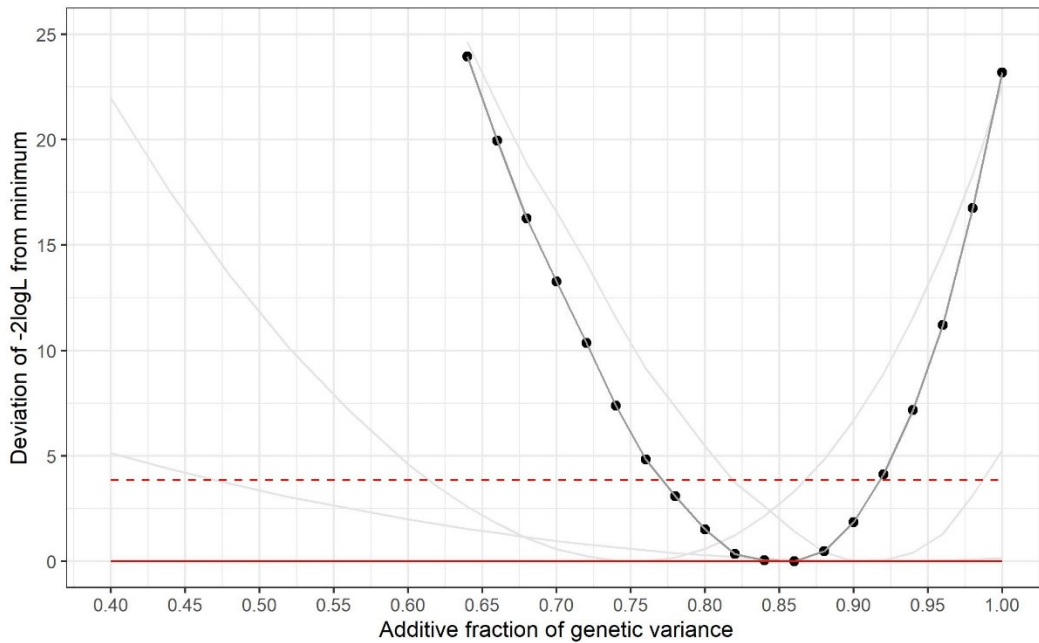
737 **Figure 1.** A summary of imputation success rates for full-sib offspring obtained from AlphaPeel: **(a)**  
738 cumulative distribution function for SNP call rate over offspring, and **(b)** cumulative distribution function for offspring call rate over SNPs. These are shown for no imputation (black), with genotypes  
739 assigned with probability >0.7 (blue), and genotypes assigned with probability >0.9 (red), and where  
740 light colours are for each family and the dark colour is their average.  
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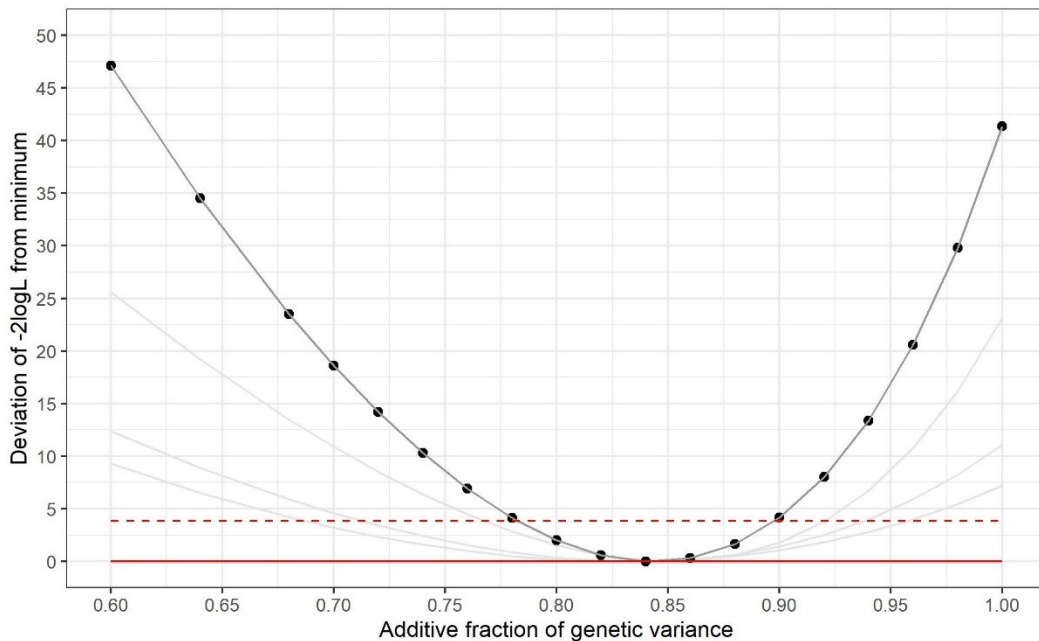


769 **Figure 2.** The profiles of  $-2\log L$  for pilodyn depth measured at 10 years in all three families (grey  
 770 lines) according to the constrained fraction of additive genetic variance, and the profile pooled across  
 771 families. Each profile has been adjusted by subtracting its minimum value and therefore the junction  
 772 with the solid red line  $y=0$  indicates the maximum likelihood estimate, and the interval below the  
 773 dashed red line  $y=3.84$  indicates the 95% confidence interval.  
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**Figure 3.** The profiles of  $-2\log L$  for the first measurement of bud burst at 5 years in family one at all  
 three sites (grey lines) according to the constrained fraction of additive genetic variance, and the profile pooled across  
 sites. Each profile has been adjusted by subtracting its minimum value and therefore the junction with the solid red line  $y=0$  indicates the maximum likelihood estimate, and the  
 interval below the dashed red line  $y=3.84$  indicates the 95% confidence interval.



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788 **Supplementary Information 1.** Regression on observed heterozygosity.

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790 **Methods.** Model 2 was modified to include the heterozygosity of the offspring as a linear covariate,  
 791 and it was fitted separately to all site by family by trait combinations. For tree heights and pilodyn  
 792 penetration depth the coefficients were pooled across families within sites following Dersimian and  
 793 Laird (1986). The pooled value for height at each age, and the estimates for bud burst observations  
 794 in Family 1 were then pooled over sites using DerSimian and Laird (1986).

795

796 **Results.** The regression coefficients are shown in Table S1.1. Since the covariate was  
 797 heterozygosity positive values represent deleterious inbreeding depression. There was no evidence  
 798 of heterogeneity when pooling across families for height or pilodyn. Similarly there was no evidence  
 799 of heterogeneity when pooling across sites. The magnitudes of the consensus estimates rarely  
 800 exceeded 1 s.e. and were always <1.2 s.e. In summary there was no evidence for an effect of  
 801 heterozygosity and inbreeding depression in these data.

802

803 **Table S1.1.** The regression coefficient for the fraction of heterozygous marker loci for height, bud  
 804 burst and pilodyn. Coefficients were pooled across families, and consensus values across sites were  
 805 calculated following DerSimian and Laird (1986).

806

Trait	Age	Site							
		Huntly		Llandovery		Torridge		Concensus	
Height	2	-0.01	(0.10)	0.03	(0.12)	0.01	(0.10)	0.01	(0.06)
	4	-0.39	(0.37)	-0.08	(0.46)	0.05	(0.33)	-0.13	(0.22)
	6	-0.72	(0.65)	0.34	(0.67)	-0.44	(0.95)	-0.25	(0.42)
	11	-1.43	(1.01)	0.80	(1.85)	-0.43	(1.03)	-0.71	(0.67)
Bud Burst *	5A	1.52	(0.91)	-1.70	(1.38)	2.56	(1.62)	0.79	(1.18)
	5B	0.39	(1.12)	-0.18	(1.32)	2.75	(2.14)	0.51	(0.79)
	5C	1.19	(0.95)	-0.62	(1.10)	1.51	(1.96)	0.55	(0.68)
Pilodyn	10					2.84	(2.44)		

807

808 **Reference**

809

810 DerSimonian R, Laird N (1986) Meta-analysis in clinical trials. Control Clin Tr 7:177-188.  
 811 [http://doi.org/10.1016/0197-2456\(86\)90046-2](http://doi.org/10.1016/0197-2456(86)90046-2)

812 **Supplementary Information 2.** Example of the sample variograms obtained from fitting the residual  
813 spatial model in Model 2.

814

815 Fig S2.1 shows the sample variograms obtained for height measured at 11 years in family FS1 at  
816 Huntly from fitting Model 2, which includes the residual spatial model in Eqn 2. This example was  
817 chosen as the fraction of random error variance was low ( $f_r = 0.45$ ) and the auto-correlation pooled  
818 across columns and rows was high ( $\rho=0.95$ ). The sample variograms are constructed using the  
819 residual semi-variance between plots  $x$  rows and  $y$  columns apart, and are hence different to a  
820 theoretical variogram constructed directly from the column and row auto-correlation parameters,  $\rho_c$   
821 and  $\rho_r$  (Gilmour et al. 1997). There are three important features of the sample variograms shown.  
822 Firstly, the variograms have properties determined by the parameters: Fig. 2.1 (a) peaks at  $\sim 0.7$   
823 which reflects the spatial error variance ( $\sigma_s^2$ ); and Fig. 2.1 (b) peaks at  $\sim 1.3$  which reflects the total  
824 error variance ( $\sigma_r^2 + \sigma_s^2$ ). The discontinuity at zero displacement in (b) is  $\sim 0.6$  which reflects the  
825 random error variance ( $\sigma_r^2$ ). Secondly, both variograms demonstrate a substantial increase in semi-  
826 variance between plots either 60 columns or 60 rows apart. Random column and row terms were  
827 fitted to account for this variation, but these proved unsuccessful. Lastly, there is a noticeable  
828 decrease in semi-variance between plots 11, 22, 33, ... rows apart. Unfortunately, the source of this  
829 variation could not be identified. In models the standard random terms with effects for rows 1 to the  
830 number of rows were supplemented with terms cycled through 1 to 11 over the rows, but this proved  
831 unsuccessful.

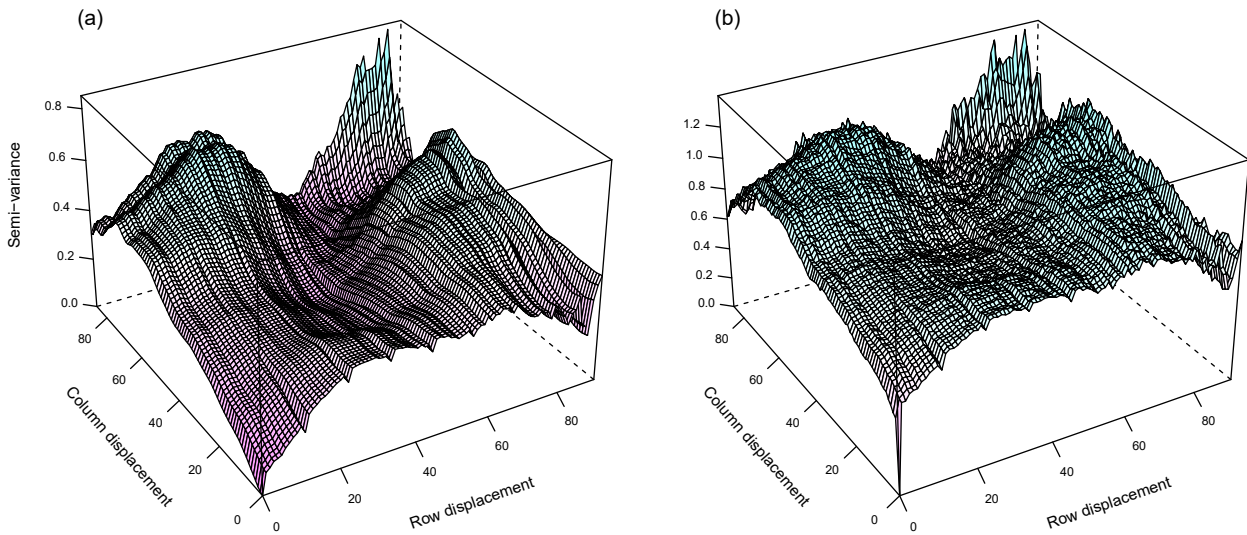
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### 833 **References**

834 Gilmour AR, Cullis BR, Verbyla AP (1997) Accounting for natural and extraneous eariation in the  
835 analysis of field experiments. *J Agric Biol Environ Stat* 2:269-293.  
836 <https://doi.org/10.2307/1400446>  
837

838 **Figure S2.1** Sample variograms for height measured at 11 years in FS1 at Huntly. Plot (a) shows  
839 the variogram for the correlated spatial error and (b) shows the variogram for the correlated spatial  
840 error plus random error. The z-axis shows the residual semi-variance between pairs of plots x rows  
841 and y columns apart. Only semi-variances based on more than 50 pairs are shown.

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845 **Supplementary Information 3.** Results for bud burst observations 5B and 5C.

846 The 5B and 5C measurements of bud burst in FS1 were subject to the same analyses as 5A, and  
847 the results for 5A are presented in the main text. The Tables S3.1 and S3.2 show the results for 5B  
848 and 5C corresponding to Table 8 for 5A. The consensus estimates across sites, obtained using  
849 Dersiminian & Laird (1986), were 0.909 (s.e. 0.027) and 0.891 (s.e. 0.037) for 5B and 5C  
850 respectively.

851

852 **Table S3.1** Ortet ( $\sigma_u^2$ ) and phenotypic ( $\sigma_p^2$ ) variances, the broad ( $H^2$ ) and narrow ( $h^2$ ) heritabilities  
853 and the fraction of additive genetic variance ( $f_A$ ) for measurement 5B of bud burst in FS1. The  
854 associated s.e.s are given in parentheses.

855

Site	$\sigma_p^2$	$\sigma_u^2$	$H^2$	$f_A$	$h^2$
Huntly	0.475 (0.029)	0.077 (0.006)	0.163 (0.015)	0.867 (0.092)	0.141 (0.020)
Llandoverly	0.499 (0.019)	0.142 (0.010)	0.285 (0.018)	0.939 (0.063)	0.268 (0.024)
Torrige	1.288 (0.044)	0.628 (0.034)	0.488 (0.018)	0.907 (0.032)	0.442 (0.024)

856

857 **Table S3.2** Ortet ( $\sigma_u^2$ ) and phenotypic ( $\sigma_p^2$ ) variances, the broad ( $H^2$ ) and narrow ( $h^2$ ) heritabilities  
858 and the fraction of additive genetic variance ( $f_A$ ) for measurement 5C of bud burst in FS1. The  
859 associated s.e.s are given in parentheses.

860

Site	$\sigma_p^2$	$\sigma_u^2$	$H^2$	$f_A$	$h^2$
Huntly	0.385 (0.025)	0.050 (0.005)	0.129 (0.014)	0.901 (0.116)	0.117 (0.019)
Llandoverly	0.381 (0.009)	0.061 (0.006)	0.159 (0.015)	0.846 (0.116)	0.135 (0.021)
Torrige	1.267 (0.190)	0.408 (0.023)	0.322 (0.050)	0.895 (0.041)	0.289 (0.047)

861

862 **Reference**

863

864 DerSimonian R, Laird N (1986) Meta-analysis in clinical trials. Control Clin Tr 7:177-188.  
865 [http://doi.org/10.1016/0197-2456\(86\)90046-2](http://doi.org/10.1016/0197-2456(86)90046-2)

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