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3 study

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12

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43

44 **Keywords:** cutaneous oncology, non-melanoma skin cancer, sebaceous carcinoma, Mohs  
45 micrographic surgery, clinical outcomes, recurrence.

46

47 **Abstract**

48 *Background*

49 Sebaceous carcinoma (SC) is a rare, potentially recurrent and life-threatening cutaneous  
50 malignancy that can be associated with Muir-Torre syndrome (MTS), a DNA mismatch repair-  
51 driven genodermatosis. Prior studies examining factors associated with recurrence have focused  
52 on periocular tumors only.

53 *Objective*

54 Examine outcomes of SC and identify factors associated with recurrence

55 *Methods & Materials*

56 Retrospective study from two tertiary care centers

57 *Results*

58 Sixty-seven cases from 63 patients were identified, including 7 cases of MTS and 13 arising in  
59 the context of immunosuppression. Fifty-five cases (82.1%) were treated with complete  
60 circumferential peripheral and deep margin assessment (CCPDMA) methods. Five recurrences  
61 developed during the post-operative period. On univariate analysis, periocular location (Odds  
62 Ratio [OR] 7.6,  $p=0.0410$ ) and lesion size  $\geq 2$  centimeters (OR 9.6,  $p=0.005$ ) were associated  
63 with recurrence, while CCPDMA (OR 0.052,  $p=0.0006$ ) was inversely associated with  
64 recurrence. On multivariate analysis, only lesion size  $\geq 2$  centimeters (OR 9.6,  $p=0.0233$ ) and  
65 CCPDMA approaches (OR 0.052,  $p=0.007$ ) were significant.

66 *Conclusion*

67 Non-CCPDMA methods and large lesion size were independent risk factors predicting  
68 recurrence, while anatomic subtype and MTS status were not. These findings can assist in  
69 identifying SC cases that may benefit from more aggressive treatment and closer surveillance.

70

71 **INTRODUCTION**

72 Sebaceous carcinoma (SC) is a rare, potentially recurrent adnexal skin cancer that arises on  
73 sebaceous skin. SC is typically classified as periocular or extraocular.<sup>1</sup> Treatment for local  
74 disease is primarily surgical resection, with a preference for techniques offering full margin  
75 evaluation. Factors associated with worse outcomes have been reported primarily in single-center  
76 studies and one multicenter study, all focused on periocular SC.<sup>2-7</sup> Several registry-based studies  
77 examined outcomes in both periocular and extraocular sebaceous carcinomas.<sup>8-16</sup> Collectively,  
78 these studies showed worse outcomes in periocular SC, male patients, immunosuppressed  
79 patients, larger tumors, or tumors with multifocal spread.

80

81 The purpose of this study is to report on our combined cohort of patients with periocular and  
82 extraocular SC treated at Stanford University and the University of Texas Southwestern (UTSW)  
83 medical centers and identify factors associated with disease recurrence.

84

85 **METHODS**

86 For the Stanford University School of Medicine cohort, a search of the pathology records was  
87 performed for the diagnosis of SC rendered during the time period between January 1<sup>st</sup>, 2010 and  
88 January 1<sup>st</sup>, 2021. The search terms utilized were: “sebaceous carcinoma”, “sebaceous atypia”,  
89 “atypical sebaceous”, “sebaceous neoplasm”, and “sebaceous adnexal”. A total of 252 matching  
90 cases were identified for review. Cases where a definitive diagnosis of SC was not rendered but  
91 SC was favored diagnosis were also included. Of these, 213 were excluded because they: were  
92 benign sebaceous neoplasms (145), were a second opinion of an outside specimen only (39),  
93 were biopsies of nodal or visceral spread of sebaceous neoplasms (14), did not have a follow-up

94 visit after the biopsy (10), underwent treatment elsewhere (4), declined definitive treatment (1),  
95 or were duplicate (2). Thirty-seven cases met the final inclusion criteria.

96

97 For the UTSW Medical Center cohort, a search was performed in the electronic medical record  
98 for all ICD-9 and ICD-10 codes entered between January 1<sup>st</sup>, 2010 and January 1<sup>st</sup>, 2021 and  
99 related specifically to sebaceous carcinoma, as well as all generic codes for malignant eyelid  
100 neoplasms and other specified and unspecified carcinomas of the skin. A total of 1948 matching  
101 cases were identified for review. Of these, 1919 were excluded because they did not meet SC  
102 diagnosis (1902) or were treated elsewhere (17). Twenty-nine cases met the final inclusion  
103 criteria.

104

105 The study was approved by the Stanford University Institutional Review Board (IRB) protocol #  
106 60691 and the UTSW IRB protocol # STU-2022-0866.

107

#### 108 *Data Collection*

109 Data were extracted from the electronic medical record for analysis. The following demographic  
110 data were collected: age, sex, race, MTS or Lynch syndrome diagnosis, including any  
111 confirmatory genetic testing, immunosuppressed status (solid-organ transplant recipient,  
112 hematologic malignancy, HIV, immunosuppressant medication), and prior radiation at the tumor  
113 site. The following tumor factors were recorded: primary versus recurrent on presentation, pre-  
114 treatment lesion size (centimeter x centimeter), anatomic location, orbital involvement, if  
115 present, and disease status on presentation: local, nodal, or distant. The following  
116 histopathological features were recorded: depth of invasion, differentiation status, pagetoid

117 spread, perineural invasion, lymphovascular invasion, and immunohistochemistry for mismatch  
118 repair protein loss. Primary and adjuvant treatment approaches were collected. The type of  
119 surgery recorded were the following: wide local excision, Mohs micrographic surgery, frozen  
120 section margin control, and orbital exenteration. Both MMS and frozen section margin control  
121 were considered methods of complete circumferential peripheral and deep margin assessment  
122 (CCPDMA). Surgical margins, if applicable, margin status upon completion of surgery, and  
123 defect size were noted. Additional treatment approaches beyond original surgery were recorded:  
124 radiation regimen and/or topical or systemic chemotherapy regimens.

125

126 Disease status at initial follow-up and final follow-up were recorded. The type of recurrence  
127 (local, nodal, distant) and treatment of recurrence were recorded.

128

129 *Statistical analysis:*

130 Descriptive and inferential statistics were used for patient demographics, clinical characteristics  
131 of SC, histopathological features, and treatments. Student t-test and Mann-Whitney U test were used  
132 for parametric and non-parametric variables, respectively. Chi-squared analysis was used to significant  
133 differences in categorical variables. Univariate regression analyses to predict recurrence were  
134 completed for age, sex, periocular location, poor histopathological grade, lesion size, MTS by  
135 germline testing, and tumors treated with CCPDMA. A multivariate regression model with age,  
136 periocular location, mean, MTS by germline, and tumors treated with CCPDMA was also  
137 performed to determine significant predictors of recurrence. Odds ratios were calculated for  
138 variables in the univariate and multivariate regression analyses. Statistical significance was set at  
139 a p-value of 0.05 or less. All analyses were completed in Excel (version 16.74).

140

141 **RESULTS**

142 *Demographics and clinical features:* Sixty-seven cases of SC from 63 patients were included  
143 from both cohorts: 65 were primary and two were recurrent on presentation (**Table 1**). There  
144 were no significant demographic differences between the two cohorts. Across both cohorts, the  
145 mean age was 70.0 years (range 42-94), and 29 tumors (43.3%) arose in males. Seven cases  
146 (10.4%, 3 male, 4 female) were associated with MTS, confirmed by germline genetic testing.  
147 Thirteen cases (20.6%) arose in patients who were immunosuppressed, including from solid  
148 organ transplantation (7 cases). Thirty-seven cases (55.2%) were extraocular, with 28/37 (75.7%)  
149 located in the head and neck region. The remaining 44.8% were periocular (30/67 cases),  
150 confined predominantly to either the upper eyelid (12 cases) or lower eyelid (11 cases). Eighteen  
151 periocular SC tumors were category T2b or lower, by AJCC 8<sup>th</sup> edition. The median lesion  
152 diameter was 1.1 centimeters (range 0.2-6.2). No significant differences in gender, age,  
153 immunosuppression status, or lesion size were noted by anatomic subtype.

154  
155 *Histopathology:* Most tumors (48/67, 71.6%) were confined to the epidermis or dermis, and  
156 only five had invaded into the muscle, bone, or orbit (**Table 1**). Pagetoid spread was reported in  
157 10 cases, all periocular. Loss of mismatch repair proteins (MMR) by immunohistochemistry was  
158 performed in 27 cases: 12 with no loss of MMR, 12 with loss of 2 MMR (10/12 with loss of  
159 MSH-2 and MSH-6), and 1 case with loss of 4 MMR (MLH-1, MSH-2, MSH-6, PMS-2). Seven  
160 of these IHC-positive cases underwent genetic testing: 3 were positive (all with *MSH2* mutation),  
161 but 4 were negative. An additional 4 cases (that did not undergo tumor immunohistochemical  
162 testing) underwent germline testing and were found to have MTS (3 with *MSH2* mutation, 1 with  
163 *MLH1* mutation). All MTS-confirmed cases were of the extraocular SC subtype.



164

165 *Treatment:* Forty-eight tumors (71.6%) were treated with Mohs micrographic surgery (MMS), 7  
166 cases (10.4%) with other complete circumferential peripheral and deep margin assessment  
167 (CCPDMA) methods (other than MMS), 7 cases (10.4%) with wide local excision, and 5 cases  
168 (7.5%) with orbital exenteration (**Table 1**). Clear margins were achieved in 64 cases (95.5%). In  
169 all three remaining cases with positive margins, the patients underwent additional curative  
170 therapy and were deemed to be in remission. The first case (left ear canal) underwent wide local  
171 excision followed by additional radiation therapy and remained disease-free at follow-up. The  
172 second case (left lower conjunctiva SC) was treated initially with MMS and then with CCPDMA  
173 technique with positive margins each time, followed by adjuvant topical 5-fluorouracil.  
174 Following a year-long remission, the patient recurred with fatal pulmonary and cutaneous  
175 metastases. The third case (left nasolacrimal duct SC) was treated with orbital exenteration and  
176 found to have regional metastasis thus underwent maxillectomy, parotidectomy and neck  
177 dissection followed by radiation therapy to the primary bed and draining nodal basin. Initial  
178 radiographic remission was achieved but the patient developed recurrence and ultimately  
179 succumbed to his disease.

180

181 *Follow-up and recurrences:* The median follow-up was 19.9 months (range 0.2-110.1). Five  
182 recurrences (2 local, 2 nodal, 1 metastatic) were identified over a median period of nearly 19.8  
183 months (range 11.6-41.4): 4 were periocular and 1 was extraocular (left neck) (**Table 2**).  
184 Recurrent cases were treated with systemic chemotherapy (Cases 1 and 3), neck dissection and  
185 adjuvant radiation therapy (Case 2), orbital exenteration (Case 4), and surveillance (Case 5).

186

187 On univariate analysis comparing recurrent cases to disease-free cases (**Table 3**), the following  
188 variables were noted to be significant: lesion size  $\geq 2$  centimeters (odds ratio, OR 9.6, p-  
189 value=0.005), tumors treated with CCPDMA (OR 0.052, p-value=0.0006), and periocular  
190 location (OR 7.6, p-value=0.041). Tumor histopathological grade, MTS status, and  
191 immunosuppressed state were not significant factors. On multivariate analysis incorporating age,  
192 MTS syndrome, anatomic subtype, lesion size, and primary treatment, the following variables  
193 were significant: lesion size  $\geq 2$  centimeters (OR 9.6, p=0.023), CCPDMA (OR 0.052, p=0.007),  
194 and age (OR 1.0, p=0.015). As the OR for age was 1.0, this was not considered a clinically  
195 meaningful result. No changes to this result were noted when MTS syndrome and age were  
196 excluded from the analysis.

197

## 198 **DISCUSSION**

199 Recently published clinical practice guidelines on SC reported the pooled analysis  
200 comparing various surgical modalities and found that Mohs surgery or other CCPDMA  
201 techniques resulted in superior cure rates.<sup>1</sup> The data collected was based on a systematic review  
202 of previously published cohort studies which have several limitations. The studies were either  
203 restricted to periocular SC cohorts only,<sup>2-7</sup> SC cohorts with only a minority of extraocular  
204 cases,<sup>17</sup> or included cases treated primarily with wide local excision.<sup>18,19</sup> This study presents a  
205 well-sized two-center cohort for a rare malignancy with a balanced representation of periocular  
206 (44.8% of cohort) vs extraocular (55.2% of cohort) subtypes and includes MTS-associated SC  
207 (10.4% of cohort), nearly all of which were treated primarily with CCPDMA techniques.

208 Our study reveals new findings regarding the risk of recurrence by treatment type and  
209 lesion size, that have not been previously shown in cohorts of extraocular and periocular SC.<sup>17,19-</sup>

210 <sup>21</sup> First, CCPDMA was a significant predictor of disease-free survival on univariate and  
211 multivariate analysis, which is consistent with data from prior registry-based studies showing the  
212 importance of achieving clear margins<sup>22</sup> and the contribution of MMS to lowering recurrence  
213 rates.<sup>23</sup> Second, lesion size was an important predictor of recurrence, and this has been  
214 demonstrated by other cohort studies before, though these were confined to eyelid SC.<sup>5</sup> Lesion  
215 size has also been demonstrated to be a strong predictor of overall survival in registry-based  
216 studies of SC.<sup>12,14</sup> Importantly, our study showed this to be the case even after controlling for  
217 treatment type. Thus, for a SC tumor that is  $\geq 2$  centimeters, regardless of anatomic subtype, it  
218 may be worth not only considering CCPDMA techniques for disease control, but given the  
219 higher risk of recurrence, additional adjuvant therapy and close monitoring. In light of our  
220 findings, it would be reasonable to consider either adjuvant radiation therapy to the primary  
221 tumor bed or, in certain cases of periocular SC (such as those with pagetoid spread), adjuvant  
222 topical chemotherapy to the primary tumor bed. Unfortunately, our study was not powered to  
223 explore the impact of adjuvant therapy on recurrences, but future studies are needed. Close  
224 surveillance, such as with baseline imaging, can be considered in these large cases, and imaging  
225 can be repeated every 6 months for at least the first 2 years when nodal metastasis is most  
226 commonly encountered.<sup>1</sup> We could not study the role of additional treatment (such as adjuvant  
227 radiation) in our cohort due to the small sample size, but a population-based cohort failed to  
228 show that adjuvant radiation results in improved overall survival, though its impact on recurrence  
229 has not been studied.<sup>20</sup>

230         Importantly, our analysis did not find that periocular tumors were at increased risk of  
231 recurrence compared with extraocular tumors, in contrast with past reports.<sup>20</sup> This may reflect  
232 evolved practices using CCPDMA techniques to extirpate SC tumors on the head and neck that

233 have significantly reduced recurrence rates.<sup>23</sup> It may also reflect referral patterns of only the  
234 more complex extraocular SC cases to our centers, as evidenced by the development of 1  
235 extraocular SC recurrence among the 5 recurrent cases in our cohort. Yet, our overall recurrence  
236 rate (7.4%) was low and may reflect the availability of multidisciplinary care at our institutions.

237 Our study also confirmed prior findings that MTS-associated SC is not more aggressive  
238 than sporadic SC,<sup>24</sup> which has also been demonstrated in other mismatch repair deficient  
239 syndrome cancers such as colorectal carcinoma when compared with sporadic cases.<sup>25,26</sup>  
240 Although this has not been studied in SC, microsatellite unstable tumors present with greater  
241 tumor mutational burden than sporadic cases which may account for increased immunogenicity  
242 and predict superior cure rates.<sup>27</sup>

243 In further examining our cases for microsatellite instability, we found that 13 cases tested  
244 for at least 2 MMR protein loss by immunohistochemistry, but among the 7 of those that  
245 underwent germline analysis, only 3 were confirmed by genetic testing to have MTS. Unlike in  
246 colorectal carcinoma where loss of MMR by immunohistochemistry is highly sensitive and  
247 specific for Lynch syndrome (92-94% and 88-100%, respectively), immunohistochemistry for  
248 SC is only 85% sensitive and 48% specific.<sup>28,29</sup> Our results continue to support that  
249 immunohistochemistry has limitations as a screening tool for MTS, and instead newer assays that  
250 detect microsatellite instability or more sensitive and specific clinical risk criteria are preferred.<sup>1</sup>

251 This two-center study is limited by its retrospective design and lack of systematic follow  
252 up. Our median follow-up time of was shy of 2 years, but most recurrences in SC occur within  
253 this time frame.<sup>1</sup> Other limitations include differing search strategies between the two  
254 institutions, and missing information on histopathological grade which precluded its inclusion in  
255 multivariate analysis. Interestingly, however, 4/5 recurrences were classified as poorly

256 differentiated tumors. A histopathological grading system in SC has not yet been defined, and  
257 future studies are needed to define a system and study its impact on disease outcomes.

258         In summary, this two-center cohort study examined factors associated with recurrence in  
259 SC. CCPDMA methods that ensure complete margin control were found to reduce the risk of  
260 recurrence. Lesion size was also found to be a significant independent risk factor, and regardless  
261 of tumor subtype, lesions  $\geq 2$  centimeters may benefit from CCPDMA methods and possibly  
262 adjuvant therapy and close surveillance. Lastly, while our MTS subgroup was small, we did not  
263 find that cases arising from MTS fared worse. These findings can further stratify SC cases into  
264 high- and low-risk, clarifying which patients may benefit from more aggressive treatment  
265 approaches.

266

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342



343 **Table 1:** Patient demographics, histopathological features, and primary treatment modalities.  
 344 MMR: mismatch repair. CCPDMA: complete circumferential peripheral and deep margin assessment.

	Cohort 1 (Stanford)	Cohort 2 (UTSW)	Combined cohorts
Total # cases	38	29	67
Recurrent at time of presentation	2	0	2
Total patients	36	27	63
Mean age, years (range)	70.0 (42-94)	70.0 (38-92)	70.0 (38-94)
Male	16 (44.4%)	13 (48.1%)	29 (46.0%)
Muir Torre syndrome (MTS)	6 (16.7%)	1 (3.7)	7 (10.4%)
Immunosuppressed	8 (22.2%)	5 (18.5%)	13 (20.6%)
Solid organ transplant recipient	6 (16.7%)	1 (3.7%)	7 (11.1%)
Anatomic location			
Periocular	17 (44.7%)	13 (44.8%)	30 (44.8%)
Extraocular	21 (55.3%)	16 (55.2%)	37 (55.2%)
Head + neck (excluding periocular)	15	13	28
Trunk + extremities	6	3	9
Median lesion diameter, cm (mean, range)	1.2 (1.4, 0.3-6.2)	1.1 (1.4, 0.2-5.3)	1.1 (1.3, 0.2-6.2)
Depth of invasion			
Epidermis	4	2	6
Dermis	21	21	42
Subcutis	5	1	6
Conjunctiva	2	4	6
Orbit/Muscle	4	0	4
Bone	0	1	1
Unknown	2	0	2
Pagetoid spread, present	4	6	10
Differentiation status			
Well-differentiated	2	6	8
Moderately differentiated	3	0	3
Poorly differentiated	7	4	11
Unknown	26	19	45
Perineural invasion, present	2	3	5
Loss of MMR by immunohistochemistry			
0	11	1	12
1	0	0	0
2	9	3	12
4	0	1	1
Not performed	18	22	40
Primary treatment modality			
Mohs micrographic surgery	26 (68.4%)	22 (75.9%)	48 (71.6%)
CCPDMA	3 (7.9%)	4 (13.8%)	7 (10.4%)
Wide local excision	7 (18.4%)	0 (0%)	7 (10.4%)
Orbital exenteration	2 (5.3%)	3 (10.3%)	5 (7.5%)
Median time from biopsy to surgery, months (range)	1.6 (0-15.6)	1.2 (0-10.1)	1.3 (0-15.6)
Cases with clear margins achieved	37 (97.4%)	27 (93.1%)	64 (95.5%)

Average number of Mohs stages, +/- SD	1.8 +/- 0.9	1.7 +/- 1.2	1.8 +/- 1.1
Average surgical margin (range), cm (if applicable)	0.4 (0.1-1.0)	0.6 (0.4-1.0)	0.5 (0.1-1.0)
Median defect size following surgery, cm (mean, range)	1.4 (2.2, 0.7-10.5)	2.6 (2.8, 0.9-8.0)	1.8 (2.5, 0.7-10.5)
Adjuvant therapy			
Radiation	2	2	4
Topical 5-FU	2	0	2
Median follow-up, months (mean, range)	15.5 (23.5, 0.2-110.1)	26.0 (29.2, 0.2-99.4)	19.9 (25.7, 0.2-110.1)

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Case #	Patient age (years), sex, primary tumor location and size (cm)	Primary surgical modality	Time from surgery to recurrence (months)	Type of recurrence	Treatment of recurrence	Final status
1	83, M, left nasolacrimal duct, 3.1 x 2.9 cm	Wide local excision	11.6	Regional node	Cetuximab	PD, death due to disease
2	59, M, left neck, 2.5 x 2.5 cm	Wide local excision	12.5	Regional node	Left neck dissection with extracapsular extension in 1/29 nodes, adjuvant radiation to left neck (66 Gy, 33 fractions)	DF
3	42, F, left medial canthus, 1.0 x 0.5 cm	CCPDMA (MMS)	27.1 to first, 41.6 to second	-Local in the first recurrence  -metastatic to pulmonary and integumentary systems in the second recurrence	-CCPDMA +adjuvant 5-FU injections for first recurrence -orbital exenteration, systemic capecitabine, cetuximab, 5-FU for second recurrence	PD, death due to disease
4	74, M, left upper eyelid, 2.0 x 0.5 cm	CCPDMA	41.4	Local with extension to the orbit	Orbital exenteration	DF
5	79, M, right upper and lower eyelids and orbit, 5.3 cm	Orbital exenteration	Unknown	Local	Ongoing surveillance	PD

350 **Table 3:** Univariate and multivariate analysis comparing recurrent cases with disease-free cases.  
351 CCPDMA: complete circumferential peripheral and deep margin assessment.

<b>Risk factor</b>	<b>Univariate OR (p-value)</b>	<b>Multivariate OR (p-value)</b>
Age, years	1.37 (p=0.268)	1.0 (p=0.015*)
Sex, male	2.3 (p=0.355)	
Periocular location	7.6 (p=0.041*)	7.6 (p=0.234)
Poor histopathological grade	5.14 (p=0.182)	
Immunosuppression	1.9 (p=0.484)	
Mean lesion size $\geq$ 20 mm	9.6 (p=0.005*)	9.6 (p=0.0233*)
MTS by germline testing	0.14 (p=0.375)	0 (p=0.330)
Tumors treated with CCPDMA	0.052 (p=0.0006*)	0.052 (p=0.007*)

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