

# STALLINGS AUTOMATA AND APPLICATIONS

BGSMATH GRADUATE COURSE

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FREE GROUPS

## Definition

Let *F* be a group and  $A \subseteq F$ . Then, *F* is **free over**  $A \subseteq F$  (or *A* is a free basis for *F*)  $\Leftrightarrow$  $\forall G$  group and  $\forall \varphi \in Map(A, G) \exists ! \widetilde{\varphi} \in Hom(F, G)$  such that  $\iota \widetilde{\varphi} = \varphi$ .

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Which groups are free?

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Let *F* be a group and  $A \subseteq F$ . Then, *F* is *free over*  $A \subset F$  (or *A* is a free basis for *F*)  $\Leftrightarrow$ 

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# Question

Which groups are free? Does there exist a free group over any set A?

## THE RANK OF A FREE GROUP

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The *rank* of a free group  $F_A$  is the cardinal of a (any) free basis of  $F_A$ , i.e.,  $rk(F_A) = #A$ . If #A = r we write  $\mathbb{F}_r \simeq F_A$ .

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#### Remark

It is clear that  $\mathbb{F}_1\simeq\mathbb{Z},$  but we still do not know whether free groups of higher ranks

 $\mathbb{F}_2, F_3, \ldots, F_{\aleph_0}, F_{\aleph_1}, \ldots$ 

do exist. Let us construct them combinatorially ....

Let  $A = \{a_1, \ldots, a_r\}$  be a (possibly infinite) set called *alphabet*. Then,  $\widetilde{A} = \{a_1, \ldots, a_r, a_1^{-1}, \ldots, a_r^{-1}\}$  is an *involutive alphabet* ( $\#\widetilde{A} = 2\#A$ ). Convention:  $(a_i^{-1})^{-1} = a_i$ .

A word on A is a finite sequence of letters from A,  $w = a_{i_1}a_{i_2}\cdots a_{i_n}$ ,  $n \ge 0$ . For n = 0 we have the *empty word*, denoted by 1. The *length* of w is |w| = n. Note that |1| = 0 and |uv| = |u| + |v|.

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### Observation

The set  $A^* = \{a_{i_1}a_{i_2}\cdots a_{i_n} \mid n \ge 0\}$  with the operation of concatenation,  $u \cdot v = uv$ , is a monoid. Any subset  $L \subseteq A^*$  is called a *language*.

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*Elementary reductions/insertions*:  $uaa^{-1}v \sim uv$ , for  $u, v \in \widetilde{A}^*$ ,  $a \in \widetilde{A}$ .

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*Free equivalence:* For  $u, v \in \widetilde{A}^*$ , define  $u \sim^* v \Leftrightarrow \exists$  a finite chain of elementary reductions/insertions  $u = u_1 \sim u_2 \sim \cdots \sim u_n = v$ .

The relation  $\sim^*$  (or simply  $\sim$ ) is an equivalence in  $\widetilde{A}^*$ . We denote the quotient by  $\mathbb{F}_A = \widetilde{A}^* / \sim = \{[u] \mid u \in \widetilde{A}^*\}$  and  $\widetilde{A}^* \longrightarrow \mathbb{F}_A$ ,  $u \mapsto [u]$ .

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So, we can think  $\mathbb{F}_A$  as R(A) with the operation  $u \cdot v = \overline{uv}$ ,  $u, v \in R(A)$ .

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- S is a *generating set* of G  $\Leftrightarrow \pi_S$  is surjective,
- S is a *free family* in G  $\Leftrightarrow \pi_S$  is injective,
- S is a (free) **basis** of  $G \Leftrightarrow \pi_S$  is bijective.

Given  $u, v_1, \ldots, v_n \in \mathbb{F}_A$ , decide whether  $u \in H = \langle v_1, \ldots, v_n \rangle$ ; if yes, express u as a word in  $v_1, \ldots, v_n$ .

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## Example

Consider  $\mathbb{FF}_2 = \langle a, b \rangle$  and the subgroup  $H = \langle v_1, v_2, v_3 \rangle \leq \mathbb{FF}_2$ , where  $v_1 = baba^{-1}$ ,  $v_2 = aba^{-1}$ , and  $v_3 = aba^2$ . Is it true that  $a \in H$ ? is it true that  $u = b^2 aba^{-1} b^7 a^{-2} b^{-1} a^2 \in H$ ? If yes, express them as a (unique?) word on  $\{v_1^{\pm 1}, v_2^{\pm 1}, v_2^{\pm 1}\}$ .

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$$|v_1|_a = |baba^{-1}|_a = 0 |v_2|_a = |aba^{-1}|_a = 0 |v_3|_a = |aba^2|_a = 3$$
  $\Rightarrow$   $a \notin H.$ 

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$$\begin{array}{lll} |v_1|_a &= |baba^{-1}|_a = 0\\ |v_2|_a &= |aba^{-1}|_a = 0\\ |v_3|_a &= |aba^2|_a = 3 \end{array} \right\} \quad \Rightarrow \quad a \notin H.$$

But  $|u|_a = |b^2 a b a^{-1} b^7 a^{-2} b^{-1} a^2|_a = 1 - 1 - 2 + 2 = 0$ ; so,  $u \in H$ ?
$$v_1v_2^{-1}v_1(v_1v_2^{-1})^7v_3^{-1}v_2^{-1}v_3 =$$

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**Question** Is this expression unique?

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So, YES, *u* ∈ *H* !!!

# Question

Is this expression unique? How to find it/them systematically?

# Subgroup Intersection Problem, $SIP(\mathbb{F}_A)$

Given  $u_1, \ldots, u_n; v_1, \ldots, v_m \in \mathbb{F}_A$ , decide whether the intersection of  $H = \langle u_1, \ldots, u_n \rangle$  and  $K = \langle v_1, \ldots, v_m \rangle$  is finitely generated; if yes, compute generators for  $H \cap K$ .

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How to find generators (or just elements!) for  $H \cap K$ ?

# Subgroup Intersection Problem, $SIP(\mathbb{F}_A)$

Given  $u_1, \ldots, u_n; v_1, \ldots, v_m \in \mathbb{F}_A$ , decide whether the intersection of  $H = \langle u_1, \ldots, u_n \rangle$  and  $K = \langle v_1, \ldots, v_m \rangle$  is finitely generated; if yes, compute generators for  $H \cap K$ .

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Clearly,  $H \ni u_2 = a^3 = v_2 \in K$ . What else?

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Is  $H = \langle a^3, b^{-1}a^3b, a^{-1}ba^3b^{-1}a \rangle$ ? Do we need more generators?

# **DIGRAPHS AND AUTOMATA**

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Flower automata are natural 'drawings' associated to every subgroup of  $\mathbb{F}_A$ , are they 'nice'?

A *directed graph* (*digraph*) is a tuple  $\Delta = (V, E, \iota, \tau)$ , where:

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We denote by  $W\Delta$  the **set of walks** in  $\Delta$ .

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Let A be an alphabet. An **A**-digraph is a pair  $\Gamma = (\Delta, \ell)$ , where  $\Delta$  is a digraph, and  $\ell: E\Delta \to A$  is the *labelling* of  $\Gamma$ .

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If p, q  $\in V\Gamma$ , then  $\mathcal{L}_{\{p\},\{q\}}(\Gamma) = \mathcal{L}_{p,q}(\Gamma)$  and  $\mathcal{L}_{\{p\},\{p\}}(\Gamma) = \mathcal{L}_{p}(\Gamma)$ .

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Let A be an alphabet. An **A**-automaton is an A-digraph with two distinguished sets of vertices; formally, a triple  $\Gamma = (\Delta, P, Q)$  where  $\Delta$  is an A-digraph, and  $P, Q \subseteq V\Delta$ .

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An automaton  $\Gamma = (\Delta, P, Q)$  is **pointed** if it has a unique common initial and terminal state (i.e., if  $P = Q = \{\mathbf{o}\}$ ).

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An *A-involutive automaton* is an  $A^{\pm}$ -automaton with a labelled involution on its arcs; i.e., to every arc  $e \equiv p \xrightarrow{a} q$  we associate a unique arc  $e^{-1} \equiv p \xleftarrow{a^{-1}} q$  (the *inverse* of e) such that  $e' \neq e$  and  $(e^{-1})^{-1} = e$ .

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From now on, automata = pointed involutive automata.

### UNDERLYING GRAPH AND RANK

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Let  $\Gamma$  be A-involutive and let  $p, q \in V\Gamma$  such that  $p \rightsquigarrow q$ . Then, i)  $\overline{\mathcal{L}}_p(\Gamma) = \{ \overline{w} \in \mathbb{F}_A : p \rightsquigarrow p \}$  is a subgroup of  $\mathbb{F}_A$ , ii)  $\overline{\mathcal{L}}_{p,q}(\Gamma) = \{ \overline{w} \in \mathbb{F}_A : p \rightsquigarrow q \}$  is a coset of  $\overline{\mathcal{L}}_p(\Gamma)$  in  $\mathbb{F}_A$ . Let  $\Gamma$  be an A-involutive automaton, and let  $\gamma=p_0e_1p_1\cdots e_lp_l$  be a walk in  $\Gamma.$  Then,

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If  $\Gamma$  is pointed then we say that  $\overline{\mathcal{L}}_{\odot}(\Gamma)$  is the *subgroup recognized by*  $\Gamma$ , and we write  $\overline{\mathcal{L}}_{\odot}(\Gamma) = \langle \Gamma \rangle$ .

### Remark

Since for every (pointed & involutive) A-automaton  $\Gamma$  we have that  $\langle \Gamma \rangle$  is a subgroup of  $\mathbb{F}_A$ , this is a reasonable candidate family of drawings representing subgroups of  $\mathbb{F}_A$ .

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- i)  $\Gamma$  can be disconnected,
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- iii) non-determinism.

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#### Lemma

If  $\Gamma$  is involutive and deterministic and  $\gamma$  is a walk in  $\Gamma\!\!,$  then:

 $\gamma$  is reduced  $\Leftrightarrow \ell(\gamma)$  is reduced

and

$$\langle \Gamma \rangle = \{ \ell(\gamma) : \gamma \equiv 0 \rightsquigarrow \bullet reduced \}$$

A vertex (resp., arc) in  $\Gamma$  is *alive* if it belongs to some reduced  $\bullet$ -walk, otherwise it is *dead*.

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## CORE AND REDUCED AUTOMATA

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# Definition

An automaton  $\Gamma$  is *reduced* if it is deterministic and core.

## SCHREIER AUTOMATON

Let  $G = \langle S \rangle$  be a group and let *H* be a subgroup of *G*.

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Let H be a subgroup of  $\mathbb{F}_A$ . Then, Sch(H, A) is deterministic, saturated, connected, and (Sch(H, A)) = H.

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**Remark:** The Schreier automaton depends on the chosen generating set for *G*.

# CAYLEY AUTOMATON OF $\mathbb{F}_2$

The Cayley automaton  $Cay(\mathbb{F}_{\{a,b\}}, \{a, b\})$ (consisting in four *Cayley branches* adjacent to the basepoint  $\bullet$ ).



## STALLINGS AUTOMATON

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**Remark:** The Stallings automaton St(*H*, *A*) depends on the chosen basis *A* for the ambient free group.

# Definition

A homomorphism (of automata) between  $\Gamma$  and  $\Gamma'$  is a function  $\phi: V\Gamma \to V\Gamma'$  such that:

i) 
$$\varphi(\bullet) = \bullet'$$
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If  $\varphi\colon \Gamma\to \Gamma'$  is a homomorphism of automata, then

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# STALLINGS BIJECTION

#### Theorem

Let  $\Gamma, \Gamma'$  be reduced (pointed and involutive) A-automata. Then,

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### Theorem (Stallings, 1983)

Let  $\mathbb{F}_A$  be a free group with basis A. Then,

is a bijection.

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4. Keep folding until (necessarily) reaching St(H).

(why?)

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**Remark:** the result of the folding process depends neither on the folding sequence *nor on the starting (finite) generating set* for *H.* 

Let 
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# $COMPUTABILITY OF GENERATORS ( \leftarrow ). FREENESS$

## Theorem

Let  $\Gamma$  be a connected A-automaton, let T be an spanning tree of  $\Gamma,$  and let

$$S_T = \{ \overline{\ell} ( \bullet \stackrel{\tau}{\leadsto} \bullet \stackrel{e}{\longrightarrow} \bullet \stackrel{\tau}{\leadsto} \bullet ) : e \in E^+ \Gamma \setminus ET \}$$

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Sketch of proof. i) Let  $w = \overline{\ell}(\gamma) \in \langle \Gamma \rangle$ , where  $\gamma$  is reduced. Write:  $\gamma: \textcircled{o} \xrightarrow{T} \textcircled{o} \xleftarrow{e_1^{e_1}} \textcircled{o} \xrightarrow{T} \textcircled{o} \xleftarrow{e_2^{e_2}} \textcircled{o} \xrightarrow{T} \textcircled{o} \cdots \textcircled{o} \xrightarrow{T} \textcircled{o} \xleftarrow{e_l^{e_l}} \textcircled{o} \xrightarrow{T} \textcircled{o}$ where  $e_1, \dots, e_l \in E^+\Gamma \setminus ET$  and  $e_j = \pm 1$ . Now consider  $\gamma': \textcircled{o} \xrightarrow{T} \textcircled{o} \xleftarrow{e_1^{e_1}} \textcircled{o} \xrightarrow{T} \textcircled{o} \xleftarrow{e_2^{e_2}} \overbrace{T} \textcircled{o} \cdots \textcircled{o} \xrightarrow{T} \textcircled{o} \xleftarrow{e_l^{e_l}} \overbrace{T} \textcircled{o}$ It is clear that  $w = \overline{\ell}(\gamma) = \overline{\ell}(\gamma') = w_{e_1}^{e_1} w_{e_2}^{e_2} \cdots w_{e_l}^{e_l} \in \langle S_T \rangle$ .

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$$\mathrm{rk}\langle\Gamma
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**Sketch of proof.** iii) Assume that  $\Gamma$  is reduced.

If  $\Gamma$  is finite, then  $\mathsf{rk}\langle\Gamma\rangle = \#(\mathsf{E}^+ \smallsetminus \mathsf{E}T) < \infty$ .

If  $\mathsf{rk} \Gamma = \mathsf{rk}(\mathsf{core}(\Gamma)) < \infty$  then  $\Gamma$  is finite (why?).

Then,  $\mathbf{rk} \langle \Gamma \rangle = \mathbf{rk} \Gamma = \# \mathsf{E} \Gamma^+ - \# \mathsf{V} \Gamma + 1$ .

Let 
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Then, we start by drawing the flower automaton  $Fl(u_1, u_2, u_3)$ :



Hence,  $\{a, bab^{-1}\}$  is a free basis of *H*, which is free of rank 2.

# STALLINGS BIJECTION (FULL RESULT)

Let  $\mathbb{F}_A$  be the free group with basis A.

#### Theorem

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 $\begin{array}{rcl} \{(f.g.) \text{ subgroups of } \mathbb{F}_A\} & \longrightarrow & \mathfrak{S} = \{(finite) \text{ reduced } A\text{-automata}\} \\ & H & \longmapsto & \operatorname{St}(H, A) \\ & & \langle \Gamma \rangle & \longleftrightarrow & \Gamma \end{array}$ 

Sketch of computability:

 $[\mapsto]$  Let  $S = \{w_1, \ldots, w_k\} \subseteq \mathbb{F}_A$  such that  $\langle S \rangle = H$ ,

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is a basis for the subgroup  $H = \langle \Gamma \rangle$ .

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(1) Identify two *nonparallel* incident arcs with the same label:



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**Remark:** If  $\Gamma$  is finite and  $\Gamma \curvearrowright \Gamma'$  is a Stallings folding, then:

$$\mathsf{rk}(\Gamma') = \begin{cases} \mathsf{rk}(\Gamma) & \text{ if } \Gamma \curvearrowright \Gamma' \text{ is open,} \\ \mathsf{rk}(\Gamma) - 1 & \text{ if } \Gamma \curvearrowright \Gamma' \text{ is closed.} \end{cases}$$

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Let  $\Gamma$  be a connected A-automaton, let T be an spanning tree of  $\Gamma$ , and let  $S_T$  be the set of T-petals of  $\Gamma$ . Then,

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If  $\Gamma$  is finite and  $\Gamma \stackrel{\Phi_1}{\longrightarrow} \Gamma_1 \stackrel{\Phi_2}{\longrightarrow} \cdots \stackrel{\Phi_p}{\longrightarrow} \Gamma_p = \overline{\Gamma}$  is a folding sequence, then the *loss* of  $\Gamma$  is:

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### Remark

Let  $S \subseteq \mathbb{F}_A$ . Then,

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Finitely generated free groups are Hopfian.

#### THE MEMBERSHIP PROBLEM

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The subgroup membership problem is solvable in  $\mathbb{F}_A = \langle A | - \rangle$ : given v,  $u_1, \ldots, u_n \in (\widetilde{A})^*$ , it is decidable whether  $v \in H = \langle u_1, \ldots, u_n \rangle$ . In this case, we can compute v as a word in  $\{u_1, \ldots, u_n\}$ .

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When  $v \in H$ , how to express it as a word in  $\{u_1, \ldots, u_n\}$ ?

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If yes, express them as a (unique?) word on  $\{u_1, u_2, u_3\}$ .
Consider  $\mathbb{F}_2 = \langle a, b \rangle$  and the subgroup  $H = \langle u_1, u_2, u_3 \rangle \leqslant \mathbb{F}_2$ , where

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Let us recover the construction of the Stallings automaton St(H)...

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Let us now express a as a word on  $\{u_1, u_2, u_3\}$ ...

When  $v \in H$ , how to express v as a word in  $\{u_1, \ldots, u_n\}$ ?

(8) Look at the computed tower of foldings

$$Fl(U) = \Gamma_0 \curvearrowright \Gamma_1 \curvearrowright \cdots \curvearrowright \Gamma_n = St(H);$$

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#### THE MEMBERSHIP PROBLEM

### Lemma

(continuation)

(iii) if the folding  $\mathcal{A} \curvearrowright \mathcal{A}'$  is open, then  $\widetilde{\gamma}$  is unique;

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Lifting to  $\Gamma_5$  (no interaction with the folded arcs), we get  $\gamma_5 = a_1$ :



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Factorizing through the visits to •, we get the desired word:

$$a = (abab^{-1})(ba^{-1}b^{-1}a)(a^{-1}a^{-1}a^{-1})(abab^{-1})(ba^{-1}b^{-1}a)$$
  
=  $u_2u_3^{-1}u_1^{-1}u_2u_3^{-1}$ .

Taking  $\gamma_4 = a_{12}$  (instead of  $\gamma_4 = a_{11}$ ) at the closed folding, we get the alternative expression:

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The responsible for this is the closed folding ...

#### A PRESENTATION FOR THE SUBGROUP

In general,

At every closed folding  $\Gamma_i \sim \Gamma_{i+1}$ , take the reduced non-trivial walk



reading the trivial element,  $\bar{\ell}(\gamma) = 1$ , and lift it up to Fl(U) getting a nontrivial relation  $w_i(u_1, \dots, u_n) = 1$ .

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### Proposition

Let  $\{u_1, \ldots, u_n\}$  be a set of generators for the (free) subgroup  $H = \langle u_1, \ldots, u_n \rangle \leqslant \mathbb{F}_A$ . Then,

$$H = \langle u_1, \ldots, u_n | w_i = 1 \text{ for each closed folding} \rangle$$

is a presentation for H with generators  $\{u_1, \ldots, u_n\}$ .
## Definition

Let *G* be a group,  $H \leq G$  a subgroup. An *equation over H* is an expression of the form  $w(X) = h_0 X^{\epsilon_1} h_1 \cdots X^{\epsilon_n} h_n \in H * \langle X \rangle = H * \mathbb{Z}$ , where  $h_0, \ldots, h_n \in H$ ,  $\epsilon_1, \ldots, \epsilon_n = \pm 1$ , and  $h_i = 1 \Rightarrow \epsilon_i = \epsilon_{i+1}$ , for  $i = 1, \ldots, n-1$ . The *degree* is *n* (for n = 0 it is a *trivial* equation).

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- (iv) This is already the equation w(X) we are looking for.

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## Definition

Let G be a group,  $H \leq G$ , and  $g \in G$ . The **anihilator of g over H** is

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COSETS AND INDEX

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**Remark:** Sch(*H*) is a connected, deterministic, and saturated (but not necessarily core) automaton recognizing *H*.

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#### Lemma

Sch(H) is the automaton obtained after adjoining an a-Cayley branch to every a-deficient vertex in St(H).

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# Corollary

Given a finite  $S \subseteq \mathbb{F}_A$ , we can compute the index of  $\langle H \rangle$  in  $\mathbb{F}_A$ . In particular,  $FIP(\mathbb{F}_A)$  is decidable.

# SCHREIER INDEX FORMULA

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# FREE FACTORS AND HANDSHAKING LEMMA

Let  $\Gamma$  be a reduced A-automaton, and let  $\Delta$  be a connected subautomaton of  $\Gamma$ . Then  $\langle \Delta \rangle$  is a free factor of  $\langle \Gamma \rangle$ .  $(\langle \Delta \rangle \leqslant_* \langle \Gamma \rangle)$ 

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Lemma (Handshaking lemma) If  $\Gamma$  is a finite reduced A-automaton. Then  $\forall a \in A$ ,  $def_a(\Gamma) = def_{a^{-1}}(\Gamma)$ .

This property fails for infinite reduced automata:



## MARSHALL-HALL THEOREM AND RESIDUAL FINITENESS

If H is a finitely generated subgroup of a free group  $\mathbb{F}$ , then H is a free factor of a finite-index subgroup of  $\mathbb{F}$ ; i.e.,

 $H \leqslant_{\mathrm{fg}} \mathbb{F} \ \Rightarrow \ \exists K : \ H \leqslant_{\mathrm{ff}} K \leqslant_{\mathrm{fi}} \mathbb{F}.$ 

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Prove it using Stallings automata!

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**Corollary** Let  $\{1\} \neq H \leq \mathbb{F}_n$ , Then, H is finitely generated  $\Leftrightarrow H \leq_{fi} \mathbb{F}_n$ 

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#### Theorem

The subgroup conjugacy problem  $SCP(\mathbb{F}_n)$  is decidable.

 $SCP(G) \equiv H \sim K ?_{H,K \leq_{fg} G}$ 

# INTERSECTIONS

# Subgroup Intersection Problem

Given  $u_1, \ldots, u_k; v_1, \ldots, v_l \in \mathbb{F}_A$ , decide whether the intersection of  $H = \langle u_1, \ldots, u_k \rangle$  and  $K = \langle v_1, \ldots, v_l \rangle$  is finitely generated; when this is the case, compute generators for  $H \cap K$ .

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#### Example

Consider  $\mathbb{F}_2 = \langle a, b \rangle$  and the subgroups

$$\begin{split} H &= \langle u_1, u_2, u_3 \rangle \leqslant \mathbb{F}_2 \quad \text{and} \quad K &= \langle v_1, v_2, v_3 \rangle \leqslant \mathbb{F}_2 \\ u_1 &= b, & v_1 &= ab, \\ u_2 &= a^3, & v_2 &= a^3, \\ u_3 &= a^{-1}bab^{-1}a; & v_3 &= a^{-1}ba. \end{split}$$

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Just playing, we realized that  $a^3$ ,  $b^{-1}a^3b$ ,  $a^{-1}ba^3b^{-1}a \in H \cap K$ . What else?

# Definition

Let  $\Gamma_1$  and  $\Gamma_2$  be two A-automata. Their *product* (or *pull-back*) is the A-automaton  $\Gamma_1 \times \Gamma_2$  defined as:

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- (iii) even with  $\Gamma_1$  and  $\Gamma_2$  being connected,  $\Gamma_1 \times \Gamma_2$  may not be so;

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The Stallings automaton of the intersection  $H \cap K$  is

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### Two immediate applications follow ...

### HOWSON PROPERTY AND THE INTERSECTION PROBLEM

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  and compute its core;
- (v) choose a spanning tree and read a free basis for  $H \cap K$ .  $\Box$

# Example

To compute  $H \cap K$  with  $H = \langle b, a^3, a^{-1}bab^{-1}a \rangle$ ,  $K = \langle ab, a^3, a^{-1}ba \rangle$  ...

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Hence, the intersection  $H \cap K$  has rank equal to 5.

Moreover, projecting paths in  $\Gamma_1 \times \Gamma_2$  to the components, and lifting through the tower of foldings, we get expressions in terms of the original generators:

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Given  $u, u_1, \ldots, u_k; v, v_1, \ldots, v_l \in \mathbb{F}_A$ , decide whether the coset intersection  $\langle u_1, \ldots, u_k \rangle u \cap \langle v_1, \ldots, v_l \rangle v$  is empty and, if not, compute a coset representative.

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## Observation

If 
$$\Gamma = \mathsf{St}(H)$$
 and  $\gamma = \bullet \stackrel{^{u}}{\leadsto} p$ , then  $\overline{\mathcal{L}}_{\bullet,p}(\Gamma) = Hu$ .

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**Proof:** Let  $H = \langle u_1, \ldots, u_k \rangle$ ,  $K = \langle v_1, \ldots, v_l \rangle \leqslant \mathbb{F}_A$ , and  $u, v \in \mathbb{F}_A$ ,

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- (v) if this is not the case, then any path  $\gamma = (\bullet, \bullet) \xrightarrow{w} (p, q)$  spells a word  $w \in Hu \cap Kv$ .
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- (ii) compute the pull-back with itself  $St(H) \times St(H)$ ;
- (iii) ignore the diagonal component  $\Delta \simeq St(H)$  (just meaning that  $H \cap H = H$ );
- (iv) *H* is malnormal  $\Leftrightarrow$  all other components of  $St(H) \times St(H)$  are trees;
- (v) *H* is cyclonormal  $\Leftrightarrow$  all other components of  $St(H) \times St(H)$  have graphical rank 0 or 1.

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Let G be a group and H, K, H', K'  $\leq$  G subgrups. If H  $\leq_{\text{f.f.}}$  K and H'  $\leq_{\text{f.f.}}$  K', then H  $\cap$  H'  $\leq_{\text{f.f.}}$  K  $\cap$  K'.

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Applying this fact twice,  $H \cap H' \leq_{\text{f.f.}} K \cap H' \leq_{\text{f.f.}} K \cap K'$ .  $\Box$ 

## Definition

The *reduced rank* of a group G is  $\tilde{rk}(G) = \max\{rk(G) - 1, 0\}$ , i.e.,  $\tilde{rk}(G) = rk(G) - 1$  except for the trivial group, for which  $\tilde{rk}(\{1\}) = 0$ .

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Theorem (J. Friedman, 2015; I. Mineyev, 2012)

The factor 2 can be removed in both theorems.

Lets us show that  $\sum_{HwK \in H \setminus \mathbb{F}_A/K} \widetilde{\mathsf{rk}}(H^{\mathsf{w}} \cap K) \leq 2 \, \widetilde{\mathsf{rk}}(H) \, \widetilde{\mathsf{rk}}(K).$ 

Lets us show that  $\sum_{H \le K \in H \setminus \mathbb{F}_A/K} \widetilde{\mathsf{rk}}(H^{w} \cap K) \leq 2 \widetilde{\mathsf{rk}}(H) \widetilde{\mathsf{rk}}(K)$ .

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- we can assume *H*, *K* ≠ 1, i.e., St(*H*) and St(*K*) are not single vertices;
- conjugating appropriately, we can assume that St(H) and St(K) have no vertices of degree 1;
- forget about the double cosets (till the end of proof) and let us show  $\widetilde{rk}(W) \leq 2 \widetilde{rk}(St(H)) \widetilde{rk}(St(K))$ , where  $W = St(H) \times St(K)$  and

$$\widetilde{\mathsf{rk}}(W) = \sum_{C \text{ c.c. } W} \widetilde{\mathsf{rk}}(C) = \sum_{C \text{ c.c. } W} \max\{|EC| - |VC|, 0\}.$$

### Lemma

Let X be a finite connected graph. Then,

(i) if X is not a tree then 
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Let X, Y be two deterministic A-automata without vertices of degree 0 or 1, and let W be their product. Then,

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Finally, let us link the connected components of W with the double cosets  $H \backslash \mathbb{F}_A/K, \ldots$ 

#### Lemma

Let  $(p, \bullet)$ ,  $(p', \bullet)$  be two vertices in W, and let  $\bullet \xrightarrow{X} p$  and  $\bullet \xrightarrow{X'} p'$ be walks in St(H). Then,  $(p, \bullet)$  and  $(p', \bullet)$  belong to the same c.c. of W  $\Leftrightarrow$  HxK = Hx'K.

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Corollary

The following map is a bijection

$$\begin{array}{rcl} \alpha \colon H \setminus \mathbb{F}_A / K & \to & \{c.c. \ of \ W \} \\ & HxK & \mapsto & the \ c.c. \ containing \ (p, \bullet), \ where \ \bullet \stackrel{x}{\leadsto} p \\ H \overline{\ell} (\bullet \leadsto p) K & \leftarrow & C \ , \ where \ (p, \bullet) \in VC \end{array}$$

further satisfying that, for every  $x \in \mathbb{F}_A$ ,  $\langle \alpha(HxK) \rangle_{(p, \bullet)} = H^x \cap K$ .

# QUOTIENTS OF AUTOMATA

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far from true because  $H \leq K \Rightarrow r(H) \leq r(K)$  ... almost true again, ... in the sense of Takahasi.

Let  $H \leq K \leq \mathbb{F}_A$ . We say that  $H \leq K$  is an *algebraic extension*, denoted by  $H \leq_{alg} K$ , if H is not contained in any proper free factor of K, i.e., if

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## Examples

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Proposition (Miasnikov–V.–Weil, 2007)

Let  $H \leq M_i \leq K \leq \mathbb{F}_A$ , for i = 1, 2. Then,

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- Additionally,  $\mathcal{AE}(H)$  will be computable...

#### QUOTIENTS AND FRINGE

### Definition

A morphism of reduced A-automata  $f: \Gamma_1 \to \Gamma_2$  is called **onto** if every edge in  $\Gamma_2$  is the image of at least one edge from  $\Gamma_1$ . Then, we say that  $\Gamma_2$  is a **quotient** of  $\Gamma_1$ , and write  $f: \Gamma_1 \twoheadrightarrow \Gamma_2$ .
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Let  $\Gamma$  be a finite reduced A-automata, and let ~ be an equivalence relation on V $\Gamma$ . We denote by  $\Gamma$ /~ the new reduced A-automata resulting from identifying the vertices according to ~, plus reduction.

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The *fringe* of a finite reduced A-automaton  $\Gamma$ , denoted by  $O(\Gamma)$ , is the (finite) collection of all its reduced quotients:

 $\mathcal{O}(\Gamma) = \{\Gamma/\sim \mid \sim \text{ eq. rel. on } V\Gamma\}.$ 

## Definition

Let  $H \leq_{fg} \mathbb{F}_A$ . The *fringe* of H is  $\mathcal{O}(H) = \{ \langle \Gamma \rangle \mid \Gamma \in \mathcal{O}(\mathsf{St}(H)) \}$  $= \{ \langle \mathsf{St}(H) / \sim \rangle \mid \sim \text{ eq. rel. on } V\mathsf{St}(H) \},$ 

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For  $H = \langle a^{-1}b^{-1}ab \rangle$ ,  $O(H) = \{H_0, H_1, H_2, H_3, H_4, H_5, H_6\}$ , where:

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## Proof.

• Compute St(H);

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 $\mathcal{AE}(H)$  is computable from a set of generators for  $H \leqslant_{\mathsf{fg}} \mathbb{F}_A.$ 

- Compute St(H);
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- clean  $\mathcal{O}(H)$  by deleting L whenever K,  $L \in \mathcal{O}(H)$  with  $K \leq_{\text{ff}} L$ ;

For  $H \leq_{fg} \mathbb{F}_A$ , we have  $\mathcal{O}(H) = \{H_0, H_1, \dots, H_k\}$ , all f.g., computable, and with minimum and maximum,  $H = H_0 \leq H_i \leq H_k = \langle A' \rangle \leq_{ff} \mathbb{F}_A$ , where  $A' \subseteq A$  is the set of letters in use.

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For  $H = \langle a^{-1}b^{-1}ab \rangle \leq \mathbb{F}_2$ , we have  $\mathcal{AE}(H) = \{H, \mathbb{F}_2\}$ . In particular,  $a^{-1}b^{-1}ab$  is almost primitive.

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For every extension  $H \leq_{fg} K \leq_{fg} \mathbb{F}_A$  of f.g. subgroups, there exists a unique L such that  $H \leq_{alg} L \leq_{ff} K$ ; it is called the *K*-algebraic closure of *H* and denoted  $L = Cl_K(H)$ .

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#### Observation

For  $H \leq K$ ,  $Cl_K(H)$  is the maximal algebraic extension of H contained in K; in particular, it is computable from given generators of H and K.

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Compare with M. Hall's Theorem.
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 $\mathcal{V}$  is **extension-closed** if  $V \triangleleft W$  with  $V, W/V \in \mathcal{V} \Rightarrow W \in \mathcal{V}$ .

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### Observation:

The pro- $\mathcal{V}$  top. is Hausdorff  $\Leftrightarrow$  *d* is a metric  $\Leftrightarrow$  *G* is residually- $\mathcal{V}$ .

Let  $\mathcal{V}$  be an extension-closed pseudo-variety, and consider  $\mathbb{F}_A$  with the pro- $\mathcal{V}$  topology. For a given  $H \leq_{fg} \mathbb{F}_A$ ,

H is  $\mathcal{V}$ -closed  $\iff$  H is a free factor of a clopen subgroup.

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Proposition (Ribes, Zaleskiĭ)

For an extension-closed  $\mathcal{V}$ , and  $H \leq_{fg} \mathbb{F}_A$ , we have  $\mathsf{rk}(Cl_{\mathcal{V}}(H)) \leq \mathsf{rk}(H)$ .

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#### Problem

Find an algorithm to compute the solvable closure  $Cl_{sol}(H)$  of a given  $H \leqslant_{fg} \mathbb{F}_A.$ 

### FIXED SUBGROUPS ARE COMPLICATED

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#### INERTIA

## Definition

A subgroup  $H \leq \mathbb{F}_n$  is *inert* if  $rk(H \cap K) \leq rk(K)$ , for every  $K \leq \mathbb{F}_n$ . And H is *compressed* if  $rk(H) \leq rk(K)$ , for every  $H \leq K \leq \mathbb{F}_n$ .

### Observation

There is an algorithm which, on input  $u_1, \ldots, u_k \in \mathbb{F}_A$  decides whether  $H = \langle u_1, \ldots, u_k \rangle$  is compressed: check the members in  $\mathcal{AE}(H)$ .

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**Theorem (Antolin–Jaikin-Zapirain, 2021)** Let  $S \subseteq \text{End}(G)$ , where  $G = \mathbb{F}_n$  or  $G = \mathbb{S}_n$ . Then, Fix(S) is inert.

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## Sketch of proof:

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- Hence,  $H = M_r \leq_{ff} Fix(\phi \phi^r)$ .

# ASYMPTOTIC BEHAVIOR

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- Gromov, Arjantseva, Ol'shanskii, Kapovich, Miasnikov, Schupp, Shpilrain, Ollivier, Jitsukawa, Bassino, Nicaud, W. ...

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## STRATEGY

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- Random generation strategy: draw independently, uniformly at random, |A| partial injections, select randomly a base point. This *almost* works...

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- Refer to the Bible: Ph. Flajolet, R. Sedgewick, *Analytic combinatorics*, Cambridge University Press, 2009

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- Example. The EGS of 1 point is z. A permutation is a labeled sequence of points: its EGS is  $\frac{1}{1-z} = \sum \frac{n!}{n!} z^n$

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$$\sum_{k \ge 1} \frac{A^k(z)}{k} = -\log(1 - A(z)) = \log\left(\frac{1}{1 - A(z)}\right)$$

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• Also: 
$$\frac{PI_{n-1}}{PI_n} \leq \frac{1}{2n}$$

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- Take the derivative:  $\frac{d}{dz}J(z) = \frac{d}{dz}C(z) (1 + J(z))$
- Yields a formula for the coefficients  $C_n$ , in terms of the  $PI_n$

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# Theorem (Bender)

Let F(z, y) is a real function, analytic at (0, 0). Let  $J(z) = \sum_{n>0} j_n z^n$ ,  $C(z) = \sum_{n>0} c_n z^n$  and  $D(z) = \sum_{n>0} d_n z^n$  with C(z) = F(z, J(z)) and  $D(z) = \frac{\partial F}{\partial y}(z, J(z))$ . If  $j_{n-1} = o(j_n)$  and there exists  $s \ge 1$  such that  $\sum_{k=s}^{n-s} |j_k j_{n-k}| = O(j_{n-s})$ , then  $c_n = \sum_{k=0}^{s-1} d_k j_{n-k} + O(j_{n-s})$ .

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## Proposition

The probability that a size *n* tuple of partial injections is connected is  $1 - \frac{2^r}{n^{r-1}} + o(\frac{1}{n^{r-1}})$ : connectedness holds with probability tending to 1

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- Let X<sub>n</sub> be the random variable which counts the number of sequences in a partial injection of size *n*.

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Proposition (statistics on the number of sequences)  $\mathbb{E}(X_n) = \sqrt{n}(1 + o(1))$  and  $\sigma^2(X_n) = n(1 + o(1))$ 

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- the corresponding probability is at most

$$\frac{6\sqrt{n}\,\mathsf{PI}_{n-1}\,\mathsf{PI}_n}{\mathsf{PI}_n^2} \leqslant 6\sqrt{n}\frac{\mathsf{PI}_{n-1}}{\mathsf{PI}_n} \leqslant \frac{6}{\sqrt{n}}$$

### WHERE DOES THAT TAKE US?

• The probability that an A-tuple of size *n* partial injections does not define a Stallings automaton (non-connectedness, non-coreness) tends to 0 as *n* grows to infinity

## Algorithm

A rejection algorithm to randomly generate a subgroup of  $\mathbb{F}_r$ :

Draw a random partial injection  $f_a$  of [n], independently for each  $a \in A$ ; if the  $(f_a)_{a \in A}$  do not induce a Stallings automaton (with base vertex 1), reject and repeat.

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A rejection algorithm to randomly generate a subgroup of  $\mathbb{F}_r$ :

Draw a random partial injection  $f_a$  of [n], independently for each  $a \in A$ ; if the  $(f_a)_{a \in A}$  do not induce a Stallings automaton (with base vertex 1), reject and repeat.

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- The *expected* number of steps is at most 2
- (Forget the labeling of the graph)
- Still needed: an efficient random generation algorithm for partial injections

#### ANOTHER BY-PRODUCT: EXPECTED RANK OF A SIZE *n* SUBGROUP

• The expected number of sequences of  $f_a$  is  $\sqrt{n}$ , so the expected number of *a*-labeled edge is  $n - \sqrt{n}$ 

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## Proposition

The number of size *n* subgroups in  $\mathbb{F}_r$  is

$$\frac{1}{n!} P l_n^r (1 + o(1)) \sim n!^{r-1} \frac{n^{1-r/4} e^{2r\sqrt{n}}}{(2\sqrt{e\pi})^r}$$

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### STRATEGY TO DRAW A RANDOM INJECTION

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- Compute the distribution of sizes of orbits (cycles and sequences), and the distribution of cycles vs. sequences for each size of orbits
- Draw a size m of an orbit, decide whether it is a cycle or a sequence; and draw another random partial injection of size n-m

• Pointing operator: selecting a vertex in a partial injection. The corresponding EGS is  $\Theta PInj(z) = \sum_{n} \frac{nPl_n}{n!} z^n = z \frac{d}{dz} PInj(z)$ 

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- Now we can randomly generate a partial injection

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## COMPLEXITY ISSUES

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- It looks complicated...but it is fast!
- We are dealing with very large numbers:  $PI_n \ge (n + 1)!$  has size  $O(n \log n)$ : in the bitcost model, the precomputation is in  $O(n^2 \log n)$  and the cost of one generation is  $O(n^2 \log^2 n)$

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- Comparing the number of size *n* saturated Stallings automata with the number of general Stallings automata yields the following probability:  $O(n^{r/4}e^{-2r\sqrt{n}}) = o(n^{-k})$

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# Theorem (Bassino, Nicaud, W.)

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Theorem (Bassino, Martino, Nicaud, V., W.)

With probablility tending to  $e^{-r}$ , *H* fails to contain a conjugate of a letter.

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- Gromov's density model: let  $B_n$  be the ball of radius n in  $\mathbb{F}_A$  $(|B_n| = \Theta((2r - 1)^n)$ . Fix 0 < d < 1. Pick uniformly at random a  $|B_n|^d$ -tuple of words of length at most n, and let n tend to infinity.

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- Variant: use the sphere rather than the ball.
- Easy to implement, and questionable (uniqueness).

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- If the central tree property holds, then  $\vec{h}$  freely generates *H*.
- Also note: the central tree is usually very small: fix f(n) an unbounded, non-decreasing function. In the few-generator model, generically (only),  $lcp(\vec{h}) < f(n)$ .

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- if  $lcp(\vec{h}) < \frac{1}{4} \min \vec{h}$  and no word of length  $\frac{1}{8} \min \vec{h}$  occurs twice as a factor of the elements of  $\vec{h}$  and  $\vec{h}^{-1}$ , then *H* is malnormal.

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#### THE CENTRAL TREE PROPERTY: RIGIDITY

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- So: picking a tuple of generators at random is in practice a method to randomly generate a subgroup in the sense that collisions are exponentially rare.
- The distribution of subgroups induced is radically different from the distribution based on drawing Stallings automata.
- Malnormality is generic in the word-based model, and negligible in the graph-based model.

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- [Bassino, Nicaud, W.] Whitehead minimality is exponentially generic in the few-generator model (Kapovich, Schupp, Shpilrain for cyclic subgroups)

and it is also exponentially generic in the graph-based model.

• Classically:  $G = \langle A \mid \vec{h} \rangle = \mathbb{F}_A / \langle \langle \vec{h} \rangle \rangle.$ 

#### **GROUP PRESENTATIONS: AN ODD RESULT**

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- Up to density 1/2,  $\langle A \mid \vec{h} \rangle$  is generically infinite, hyperbolic (Gromov, Ol'shanskii, Ollivier).
- But the probability that  $\mathbb{F}_A/\langle\!\langle H \rangle\!\rangle$  is trivial tends to 1 as the size of *n* grows to infinity.

• [Gilman, Miasnikov, Osin, 2010] Let *G* be hyperbolic, *A*-generated and let  $k \ge 1$ . Exponentially generically, a random *k*-tuple  $\vec{h} = (h_1, \dots, h_k)$  of elements of *G* freely generates the subgroup  $H(\vec{h}) = \langle \vec{h} \rangle$  of *G*, and  $H(\vec{h})$  is quasi-convex.

• [Kharlampovich, Miasnikov, W., 2017] Let  $G = \langle A \mid R \rangle$ , finite presentation. Assume that *L* is a language of representatives. Let  $H \leq G$  and  $\Gamma_L(H)$  be the fragment of the Schreier graph S(G, H)spanned by the loops at *H* labeled by the *L*-representatives of the elements of *H*.

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- Examples: quasi-convex subgroups of hyperbolic groups, all subgroups of virtually free subgroups.
- Generalizes work by Short, Gersten, Kapovich, Gitik, Markus-Epstein, Silva, Soler-Escriva, V.

#### THE MODULAR GROUP

• [Bassino, Nicaud, W.] The particular case of subgroups of  $PSL_2(\mathbb{Z}) = \mathbb{Z}_2 * \mathbb{Z}_3 = \langle a, b \mid a^2 = b^3 = 1 \rangle$ : the Stallings automata are combinatorially nice enough and can be counted: statistics, random generation.

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- E.g., the expected isomorphism type of a subgroup of  $\mathsf{PSL}_2(\mathbb{Z})$  of size n is

$$\left(n^{\frac{1}{2}}+o(n^{\frac{1}{2}}),n^{\frac{1}{3}}+o(n^{\frac{1}{3}}),\frac{n}{6}-\frac{1}{3}n^{\frac{2}{3}}+o(n^{\frac{2}{3}})\right),$$

and there is strong concentration around these values.

#### THE MODULAR GROUP

- [Bassino, Nicaud, W.] The particular case of subgroups of  $PSL_2(\mathbb{Z}) = \mathbb{Z}_2 * \mathbb{Z}_3 = \langle a, b \mid a^2 = b^3 = 1 \rangle$ : the Stallings automata are combinatorially nice enough and can be counted: statistics, random generation.
- E.g., the expected isomorphism type of a subgroup of  $\mathsf{PSL}_2(\mathbb{Z})$  of size n is

$$\left(n^{\frac{1}{2}}+o(n^{\frac{1}{2}}),n^{\frac{1}{3}}+o(n^{\frac{1}{3}}),\frac{n}{6}-\frac{1}{3}n^{\frac{2}{3}}+o(n^{\frac{2}{3}})\right),$$

and there is strong concentration around these values.

• Also: counting and random generation of finite index subgroups (Stothers, 1970s), free subgroups, subgroups of a fixed isomorphism type.

# **ENRICHED STALLINGS AUTOMATA**

A group is free-abelian by free (FABF) if it is of the form

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# Remarks

• Normal form:  $w t_1^{a_1} \cdots t_m^{a_m} = w t^a \quad (w \in \mathbb{F}_n, \mathbf{a} = (a_1, \dots, a_m) \in \mathbb{Z}^m).$ 

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- $\cdot \ \mbox{If} \ A_1, A_2, \ldots, A_n = I_m, \mbox{then}$

 $G_{\alpha} = \mathbb{F}_n \times \mathbb{Z}^m$  is a *free-abelian times free (FATF)* group.

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### **Definition.** $L_H = H \cap \mathbb{Z}^m$ is called the **base subgroup** of H.

#### Corollary

Subgroups of FABF (resp., FATF) groups are again FABF (resp FATF).

Recall that every subgroup  $H \leq G_{\alpha}$  splits as:  $H = H\pi\sigma \ltimes (H \cap \mathbb{Z}^m),$ where  $\sigma: H\pi \to G_{\alpha}$  is a section of  $\pi_H: H \to H\pi$ 

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# Definition

A **'basis'** of a subgroup  $H \leq G_{\alpha}$  is a pair

$$(V\sigma; B) = (v_1 t^{c_1}, v_2 t^{c_2}, \dots, v_{n'} t^{c_{n'}}; t^{b_1}, t^{b_2}, \dots, t^{b_{m'}})$$

such that:

- $B = (\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_{m'})$  is a *free-abelian basis* of  $L_H = H \cap \mathbb{Z}^m \simeq \mathbb{Z}^{m'}$ ,
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**Remark.** Note that  $V\sigma$  is a free basis of the subgroup  $H\pi\sigma$ , hence:

• A *basis* of *H* is the result of joining a basis of each factor in (1).

Let 
$$H \leq G_{\alpha} = \mathbb{F}_n \ltimes \mathbb{Z}^m$$
 and let  $w \in \mathbb{F}_n$ .

Definition

The completion of w in H is  $c_H(w) = \{ c \in \mathbb{Z}^m : wt^c \in H \} = (w)\pi^{\leftarrow}\tau$ .

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then  
 $\mathbf{c}_H(w) = \begin{cases} \varnothing & \text{if } w \notin H\pi \\ w \phi \rho \mathbf{C} + L_H & \text{if } w \in H\pi \end{cases}$ ,

where  $\phi : H\pi \to \mathbb{F}_{n'}$  is the change of basis  $x_i \mapsto x_i(v_j)$ ,  $\rho : \mathbb{F}_{n'} \twoheadrightarrow \mathbb{Z}^{n'}$  is the abelianization map, **C** is the  $n' \times m$  integer matrix having **c**<sub>i</sub> as *i*th row.

# ENRICHED FLOWER AUTOMATA
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•  $\mathcal{F}_S$  is called the *(enriched) flower automaton of S*.

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The *subgroup recognized* by  $\widehat{\Gamma}_L$  in  $G_{\alpha}$ , denoted by  $\langle \widehat{\Gamma}_L \rangle_{\alpha}$  is the set of  $\alpha$ -enriched labels of  $\mathfrak{S}$ -walks in  $\widehat{\Gamma}$ .

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The **skeleton** of  $\widehat{\Gamma}_L$ , denoted by  $\mathsf{sk}(\widehat{\Gamma}_L)$  is the X-automaton obtained after removing from  $\widehat{\Gamma}$  all the abelian labels.

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In order to get rid of these redundancy we introduce different kinds of transformations ...

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- 2. compute a basis *W* of  $H\pi = \langle \mathsf{sk}(\widehat{\Gamma}_L) \rangle$ ;
- 3. check whether  $L = \langle B \rangle$  is invariant by conjugation by  $H\pi$ , i.e., check whether

$$(B) \mathbf{A}_W \subseteq B$$

(decidable since both *B* and *W* are finite)

- 4. if YES then return B;
- 5. otherwise compute a basis for B' for  $\langle B \cup (B) \mathbf{A}_W \rangle$ ;
- 6. update  $B \leftarrow B'$  and repeat step 3.
### Lemma

# If $\widehat{\Gamma}_L$ is finite then a basis for $\overline{L} = L^{H\pi}$ is computable.

**Proof.** Given  $\hat{\Gamma}_L$  a finite enriched automaton, the previous algorithm always ends because every updating of *B* either:

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# Proof. Play with abelian transformations.

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# Theorem (D.-V.)

There exists a (computable) bijection

{(f.g.) subgroups of  $\mathbb{F}_n \ltimes \mathbb{Z}^m$ }  $\rightarrow \mathfrak{S} \subseteq$  {(finite) enriched automata}  $H \mapsto \operatorname{St}(H)$ 

# Corollary

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Membership Problem for  $G = \langle X | R \rangle$ , MP(G)

Given  $u, v_1, \ldots, v_k \in \mathbb{F}_X$ , decide whether  $u \in H = \langle v_1, \ldots, v_k \rangle_G$ ; if yes, express u as a word in  $v_1, \ldots, v_k$ .

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- 4. compute the completion  $\mathbf{c}_w$  of w in  $\widehat{\Gamma}_L$  and check whether

 $\mathbf{a} - \mathbf{c}_{w} \in L$ . If so return YES, otherwise return NO.

# INTERSECTIONS IN $\mathbb{F}_n \times \mathbb{Z}^m$

A group is *free-abelian times free (FATF)* if it is of the form

$$\mathbb{F}_n \times \mathbb{Z}^m = \begin{pmatrix} x_1, \dots, x_n \\ t_1, \dots, t_m \end{pmatrix} \begin{vmatrix} t_i t_k = t_k t_i & \forall i, k \in [1, m] \\ x_j^{-1} t_i x_j = t_i & \forall i \in [1, m], \forall j \in [1, n] \end{pmatrix}$$

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H is finitely generated  $\Leftrightarrow$  H $\pi$  is finitely generated

A basis for  $H \leq \mathbb{F}_n \times \mathbb{Z}^m$  has the form:

$$V_1 t^{a_1}, \ldots, V_n t^{a_{n'}}; t^{b_1}, \ldots, t^{b_{m'}}$$

where:

- $\{v_1, \ldots, v_{n'}\}$  is a basis of  $H\pi$
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then  
$$\mathbf{c}_H(w) = \begin{cases} \varnothing & \text{if } w \notin H\pi\\ w \phi \rho \mathbf{A} + L & \text{if } w \in H\pi \end{cases},$$

where  $\phi : H\pi \to \mathbb{F}_{n'}$  is the change of basis  $x_i \mapsto x_i(v_j)$   $\rho \colon \mathbb{F}_{n'} \twoheadrightarrow \mathbb{Z}^{n'}$  is the abelianization map,  $\mathbf{A} = (\mathbf{a}_i)_{i \in [1,n']}$  is an integral  $n' \times m$  matrix.

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Subgroup Intersection Problem for  $G = \langle X | R \rangle$ , SIP(G)

```
Input: u_1, \ldots, u_k, v_1, \ldots, v_l \in (X^{\pm})^*
Decide: \langle u_1, \ldots, u_k \rangle \cap \langle v_1, \ldots, v_l \rangle is f.g.,
and if so, compute generators.
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Then:

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**Remark:** *H* and *K* are free groups with non-f.g. intersection... doesn't this contradict Howson's property for free groups?





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# **DECIDING INTERSECTIONS**

We have:

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#### Theorem

Let  $H_1, H_2 \leq_{fe} \mathbb{F}_n \times \mathbb{Z}^m$ . Then, TFAE:

- 1. the intersection  $H_1 \cap H_2$  is finitely generated;
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### Corollary

The subgroup intersection problem SIP( $\mathbb{F}_n \times \mathbb{Z}^m$ ) is decidable.

## INTERSECTION EXAMPLE
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 $\begin{aligned} H_1 \cap H_2 &= \{ u \, t^{\mathbf{a}} : u \, t^{\mathbf{a}} \text{ is componentwise-readable in St}(H_1) \times \text{St}(H_2) \} \\ (H_1 \cap H_2) \pi &= \left\{ w \in \mathbb{F}_{w_1, w_2} : w(w_1 t^{2\mathbf{a}}, w_2 t^{\mathbf{a}}) \, t^{L_1} \cap w(w_1 t^{3\mathbf{d}}, w_2 t^{\mathbf{0}}) \, t^{L_2} \neq \varnothing \right\} \\ &= \left\{ w \in \mathbb{F}_{w_1, w_2} : \, w^{\mathbf{ab}} \left[ \begin{smallmatrix} 2\mathbf{a} - 3\mathbf{d} \\ \mathbf{a} - \mathbf{0} \end{smallmatrix} \right] \in L_1 + L_2 \right\} \end{aligned}$ 

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We have that  $(H_1 \cap H_2)\pi = (L_1 + L_2)\mathbf{R}^{-1}\rho^{-1} = M\rho^{-1}$ , i.e.,

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$$w_1=x^6$$
  
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$$\mathbb{F}_{\{x,y\}} \geq H_1 \pi \cap H_2 \pi \simeq \mathbb{F}_{w_1,w_2} \xrightarrow{\rho} \mathbb{Z}^2 \xrightarrow{\mathbb{R}} \mathbb{Z}^m$$

$$\overrightarrow{\bigvee} \qquad \overrightarrow{\bigvee} \qquad \overrightarrow{\bigvee} \qquad \overrightarrow{\bigvee} \qquad \overrightarrow{\bigvee} \qquad (H_1 \cap H_2) \pi \simeq M \rho^{-1} \longleftrightarrow M \longleftrightarrow L_1 + L_2$$

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### INTERSECTION AUTOMATON

# Theorem (D.–V.) Let $H_1, H_2 \leq \mathbb{F}_n \times \mathbb{Z}^m$ . Then St $((H_1 \cap H_2)\pi, \{w_i(X)\}_i) = \operatorname{Cay}(\bigoplus_{i=1}^r \mathbb{Z}/\delta_i\mathbb{Z}, \{\mathbf{e}_i\mathbf{Q}\}_i)$ , where $r = \operatorname{rk}(H_1\pi \cap H_2\pi)$ .
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### Theorem (D.-V.)

Let  $H_1, H_2 \leq \mathbb{F}_n \times \mathbb{Z}^m$ . Then,

- 1. we can algorithmically decide whether  $H_1 \cap H_2$  is f.g.
- 2. if so,  $St(H_1 \cap H_2)$  is computable.

In particular, SIP( $\mathbb{F}_n \times \mathbb{Z}^m$ ) is solvable.

$$H_1 = \langle t^{L_1}, \mathbf{x}^3 t^{\mathbf{a}}, \mathbf{y} \mathbf{x} \rangle, H_2 = \langle t^{L_2}, \mathbf{x}^2 t^{\mathbf{d}}, \mathbf{y} \mathbf{x} \mathbf{y}^{-1} \rangle \leqslant \mathbb{F}_2 \times \mathbb{Z}^2$$

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After replacing  $w_{1} \to \mathbf{x}^{6} t^{(2,0),(0,3)}, w_{2} \to \mathbf{y} \mathbf{x}^{3} \mathbf{y}^{-1} t^{(1,0),(0,0)}$  and folding:



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After normalizing w.r.t. an spanning tree:



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Finally, after equalizing the abelian labels we obtain  $St(H_1 \cap H_2)$ :



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# Multiple intersections in $\mathbb{F}_n \times \mathbb{Z}^m$

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If G is not Howson one cannot just apply induction ...

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There are subgroups  $H_1, H_2, H_3 \leq \mathbb{F}_n \times \mathbb{Z}^m$  such that  $H_1, H_2, H_3$  and  $H_1 \cap H_2 \cap H_3$  are finitely generated, but  $H_1 \cap H_2, H_1 \cap H_3, H_2 \cap H_3$  are not ...

#### MULTIPLE INTERSECTIONS

Let  $H_1, H_2 \leq G$ . There are  $2^3 = 8$  possibilities for the finite/infinite generation of  $H_1, H_2, H_1 \cap H_2$ :



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Observation

G is Howson  $\Leftrightarrow$  the highlighted 2-configuration is not realizable.

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 $\chi_{\{\{2\},\{3\},\{1,2\},\{1,2,3\}\}}$ 





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- The *k*-configuration **0** is always realizable in any group *G*;
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- if a *k*-configuration  $\chi$  is realizable in a free group  $\mathbb{F}_n$ ,  $n \ge 2$ , then  $\chi$  satisfies the Howson property:

 $\forall \ \varnothing \neq I, J \subseteq [k], \ (I)\chi = (J)\chi = 0 \ \Rightarrow \ (I \cup J)\chi = 0.$ 

# Question

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Does there exists a finitely presented intersection-saturated group?

#### THE MULTIPLE INTERSECTION PROBLEM IS COMPUTABLE

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## Proposition

Let  $M', M'' \leq \mathbb{F}_n$  be two subgroups of  $\mathbb{F}_n$  in free factor position, i.e., such that  $\langle M', M'' \rangle = M' * M''$ . Then, for any  $H'_1, \ldots, H'_k \leq M' \leq \mathbb{F}_n$ and  $H''_1, \ldots, H''_k \leq M'' \leq \mathbb{F}_n$ , then

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**Remark:** The same equality is not true, in general, in  $\mathbb{F}_n \times \mathbb{Z}^m$ .

#### STRONG COMPLEMENTARITY

## Definition

Two subgroups  $M', M'' \leq \mathbb{F}_n \times \mathbb{Z}^m$  are *strongly complementary*, denoted by  $\langle M', M'' \rangle = M' \circledast M''$ , if

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## Definition

Two subgroups  $M', M'' \leq \mathbb{F}_n \times \mathbb{Z}^m$  are *strongly complementary*, denoted by  $\langle M', M'' \rangle = M' \circledast M''$ , if

 $\langle M'\pi, M''\pi \rangle = M'\pi * M''\pi$  and  $\langle M'\tau, M''\tau \rangle = M'\tau \oplus M''\tau$ .

A basis for  $M' \otimes M''$  can be obtained by joining bases for M' and M''.

# Theorem (D.-Roy-V.)

Let  $M', M'' \leq \mathbb{F}_n \times \mathbb{Z}^m$  be strongly complementary. Then, for any  $H'_1, \ldots, H'_k \leq M' \leq \mathbb{F}_n \times \mathbb{Z}^m$  satisfying  $r' = \operatorname{rk} \left( \bigcap_{i=1}^k H'_i \pi \right) \geq 2$ , and any  $H''_1, \ldots, H''_k \leq M'' \leq \mathbb{F}_n \times \mathbb{Z}^m$  satisfying  $r'' = \operatorname{rk} \left( \bigcap_{i=1}^k H''_i \pi \right) \geq 2$ ,  $\bigcap_{i=1}^k \langle H'_i, H''_i \rangle$  is f.g.  $\Leftrightarrow \bigcap_{i=1}^k H'_i$  and  $\bigcap_{i=1}^k H''_i$  are both f.g.

Remark: It is not true without the hypotheses.
#### Lemma

Let  $H_1, \ldots, H_k \leq \mathbb{F}_n \times \mathbb{Z}^m$ . If, for some  $\emptyset \neq I, J \subseteq [k]$ ,  $H_I$  and  $H_J$  are f.g. whereas  $H_{I\cup J} = H_I \cap H_J$  is not, then  $\exists i \in I, \exists j \in J$  s.t. both  $L_i, L_j \leq \mathbb{Z}^m$  have rank strictly smaller than m.

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Let  $\chi$  be a k-configuration for which  $\exists r \ge 2$  non-empty subsets  $I_1, \ldots, I_r \subseteq [k]$  s.t.  $\forall j \in \{1, \ldots, r\}, (I_1 \cup \cdots \cup \widehat{I_j} \cup \cdots \cup I_r)\chi = 0$  but  $(I_1 \cup \cdots \cup I_r)\chi = 1$ . Then  $\chi$  is not realizable in  $\mathbb{F}_n \times \mathbb{Z}^{r-2}$ .

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**Example:** An unrealizable configuration in  $\mathbb{F}_2 \times \mathbb{Z}$ :



### Proposition (D.-Roy-V.)

The k-config.  $\chi_{[k]}$  is realizable in  $\mathbb{F}_2 \times \mathbb{Z}^{k-1}$ , but not in  $\mathbb{F}_2 \times \mathbb{Z}^{k-2}$ .

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$$H_1 = \langle x, y; t^{\mathbf{e}_2}, \ldots, t^{\mathbf{e}_{k-1}} \rangle \leqslant \mathbb{F}_2 \times \mathbb{Z}^{k-1},$$

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For a given set of indices  $\emptyset \neq I \subseteq [k]$ , let us compute  $H_I$ :

• Case 1:  $k \notin I \subsetneq [k]$ . In this case, clearly,  $H_I = \langle x, y; t^{e_j} \text{ for } j \notin I \rangle$  is f.g.

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• *Case 3: I* = *[k*]. In this case,

 $H_{l} = (H_{1} \cap \cdots \cap H_{k-1}) \cap H_{k} = \langle x, y \rangle \cap \langle x, yt^{\mathbf{e}_{1}}; t^{\mathbf{e}_{2}-\mathbf{e}_{1}}, \dots, t^{\mathbf{e}_{k-1}-\mathbf{e}_{1}} \rangle = \langle \langle x \rangle \rangle_{\mathbb{F}_{2}}$  is not finitely generated.

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Any almost-**0** k-configuration  $\chi[I_0]$  is realizable in  $\mathbb{F}_2 \times \mathbb{Z}^{|I_0|-1}$ .

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For  $k \ge 1$ , every k-configuration  $\chi$  is realizable in  $\mathbb{F}_n \times \mathbb{Z}^m$ , for every  $n \ge 2$  and  $m \gg 0$ ; more precisely, for  $m = \sum_{(I)\chi=1} (|I| - 1)$ .

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### Theorem (D.-Roy-V.)

There exist finitely presented intersection-saturated groups G.

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A k-configuration  $\chi$  is realizable in a free group  $\mathbb{F}_n$ ,  $n \ge 2$  if and only if  $\chi$  satisfies the Howson property; i.e., if and only if

$$\forall \varnothing \neq I, J \subseteq [k], (I)\chi = (J)\chi = 0 \implies (I \cup J)\chi = 0.$$

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# THANKS!

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