

# High-aspect-ratio dielectric pillar with nanocavity backed by metal substrate in the infrared range

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**Abstract:** We investigated absorption and field enhancements of shallow nanocavities on top of high-aspect-ratio dielectric pillars in the infrared range. The structure includes a high-aspect-ratio nanopillar array of high refractive index, with nano-cavities on top of the pillars, and a metal plane at the bottom. The enhancement factor of electric field intensity reaches 3180 in the nanocavities and peak absorption reaches 99%. We also investigated the finite-size effect of the presented structure to simulate real experiments. Due to its narrow absorption bandwidth 3.5 nm, it can work as a refractive index sensor with sensitivity 297.5 nm/RIU and figure of merit 85. This paves the way to directly control light field at the nanoscales in the infrared light range. The investigated nanostructure will find applications in multifunctional photonics devices such as chips for culturing cells, refractive index sensors, biosensors of single molecule detection and nonlinear sensors.

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## 1. Introduction

In recent years, metasurfaces [1] have been investigated in plenty of applications including sensors [2], energy harvesting devices [3], and high resolution imaging [4], due to their ability to mold the electromganetic field at the nanoscale [5]. Plasmonic metasurfaces are particularly suitable for sensing applications since they allow to achieve high field enhancements and sharp spectral features [6–8]. However, the intrinsic losses of metals in the visible region [9] hinder the development of plasmonic nanodevices in that region due to broader resonances, which are more challenging to employ for refractive index sensing [10]. To reduce the detrimental effects caused by losses in metals, dielectric nanostructures have been investigated due to their low intrinsic losses and tunability [11–13]. Dielectric resonators enhances the electromagnetic field inside the device and might be considered less sensitive to the surrounding medium. However, it is possible to exploit sharp resonances, which are extremely vulnerable to environmental perturbations, such as bound states in the continuum or exceptional points, to build competitive optical sensors

[14–17], guiding light direction [18], and improving radiation efficiency [19]. Metallic mirrors or metal films are introduced in the dielectric structures to further improve field enhancement, light funneling, absorption and directionality [20]. These structures have constituted basic elements for developing new spectroscopic tools based on surface electric field enhancement effects in addition to plasmonic nanostructures [21,22].

In this framework, hybrid metasurfaces allow to merge the advantages of dielectric and plasmonic devices to obtain greater flexibility in shaping the radiation pattern at both the fundamental and second harmonic frequency [23], and improve sensing performances [24]. In particular, the use of nano-voids and nano-slits were proved to be suitable geometrical implementations to obtain large field enhancement in both dielectric and hybrid structures [8,25].

High-aspect ratio (HAR) nanopillars were already employed in culturing cells [26,27] and tailoring chemical processes [28] by shaping the local electric field. Here, we propose a hybrid nonlocal metasurface for optical sensing, composed by HAR dielectric nanopillars on a metallic substrate, featuring a nano-slit on the top side to enhance the electric field localization and obtain narrow absorption spectral features. Finally, we present a comprehensive study to unveil the optical response dependence of a HAR hybrid nonlocal metasurface on the geometrical parameters and finite size effects, which are relevant when considering the fabrication of high-quality factor devices [29,30]. This study further confirms the nonlocal nature of the ongoing optical response. The tunability of the high electric field enhancement, performed by changing geometrical parameters, is exploited to perform refractive index sensing at multiple wavelengths in the third telecommunication window, and highlight potential use as a substrate design for culturing cells.

## 2. Model and methods

Figure 1 reports the periodic metasurface under study. The unit cell, with periodicity (p), is composed by an infinite dielectric pillar with width (w) and height (h) extending along the *z*-direction. A small nano-slit on the top, with width  $(\delta)$  and depth (l), is present. The array lies on a metallic film with thickness (L), which is introduced to improve the electric field enhancement [31], and a glass substrate. The periodic structure can be fabricated by performing wet-transfer of dielectric metasurfaces onto a plasmonic mirror [32] or by evaporating a metallic layer over which amorphous silicon is deposited by plasma-enhanced chemical vapor deposition. In the latter case, the periodic structure is realized by an additional step involving SiO<sub>2</sub> nanodisks fabricated with electron-beam lithography and reactive ion etching [33]. In both cases, the nano-slit on top of the resonators can be realized by a two-step e-beam lithography process [34,35]. We consider a plane wave impinging at normal incidence with wavevector  $(k_y)$  and polarized along the *x*-axis.



**Fig. 1.** Schematic of the hybrid metasurface composed by a periodic array of resonant dielectric pillars (purple) with a nano-slit on top lying on a metal film (grey) and glass substrate (light-blue).  $E_x$  represents electric field of the transverse magnetic (TM) polarized incident light and  $k_y$  represents the direction of propagation.

We employ Comsol Multiphysics to perform finite element method simulations in the frequency domain. The computational burden of the problem is drastically reduced by exploiting the periodicity and the symmetries of the problem, which allow to simulate only the *xoy* cross section of a single unit cell (or a finite number of resonators in the case of the finite structure). We consider a plane wave at normal incidence with TM polarization (see Fig. 1). The refractive index of aluminum is taken from [36], and the dielectric constant of silicon is taken from [37]. We set the maximum and minimum mesh element size to 20 nm and 0.1 nm, respectively. We define the electric and magnetic field enhancement in the nano-slit as:

$$F_{\rm e} = \frac{\int |E|^2 \mathrm{d}S}{\int |E_0|^2 \mathrm{d}S} \qquad \text{and} \qquad F_{\rm m} = \frac{\int |H| \mathrm{d}S}{\int |H_0| \mathrm{d}S},\tag{1}$$

where E and H are the calculated electric and magnetic fields,  $E_0$  and  $H_0$  are the incident electric and magnetic fields and the integral is performed over the cross sectional area of the nano-slit of the dielectric structure.

## 3. Results

## 3.1. Field enhancement in single HAR pillar

We begin our analysis considering a single isolated HAR pillar electromagnetic field distribution and field enhancement spectra. These elements are fundamental building blocks to understand the enhancement mechanism of the periodic metasurface. In Fig. 2(a), we report absorption (A),  $F_e$ , and  $F_m$  as a function of the wavelength. Three peaks of A at the wavelengths  $\lambda_1 = 1411.2$ nm,  $\lambda_2 = 1575.5$  nm, and  $\lambda_3 = 1782.7$  nm are present, while electric field enhancement has three peaks at 1405.6 nm, 1574.3 nm, and 1781.3 nm. Additionally, magnetic field enhancement has three peaks are at 1411.2 nm, 1580 nm, and 1794 nm. Figure 2(a) (bottom) shows the far field pattern of the single pillar at the three resonant wavelengths, which present a main lobe along the normal direction and multiple side lobes. In Figs. 2(b-g), we report the electric (top row) and magnetic (bottom row) field distribution at  $\lambda_1$ ,  $\lambda_2$  and  $\lambda_3$ , which clearly show the contribution of high order Mie-multipoles. Although high-order multipoles usually lead to higher field enhancement, in the following we show that it is possible to further enhance  $F_e$  and  $F_m$  by introducing a periodicity.

#### 3.2. Absorption, electric and magnetic field enhancement spectra

Remarkable optical sensing performances are often related to sharp spectral resonances, which can be achieved by properly arranging single resonators. Thus, we report in Fig. 3 the  $F_e$ ,  $F_m$  and A spectra, under TM excitation, to unveil the electromagnetic field localization mechanism in a metasurface composed by HAR pillars.

The absorption spectrum in Fig. 3 shows four resonant peaks at  $\lambda_1$ =1408.4 nm,  $\lambda_2$ =1560.8 nm,  $\lambda_3$ =1761.8 nm, and  $\lambda_4$ =1868.3 nm in the range from 1.4  $\mu$ m to 2.0  $\mu$ m. From the spectrum curves, there is a superposition of A,  $F_e$ , and  $F_m$  spectra at  $\lambda_2$ , but slight deviations at  $\lambda_1$  and large deviations at  $\lambda_3$  for peaks of  $F_e$  and  $F_m$  with respect to A peaks. In the field enhancement spectra, the maximum  $F_e$  of 1738.8 and the maximum  $F_m$  of 18.9 are at  $\lambda_2$ , which is significantly sharper than the other three resonances. Additionally, the absorption values at  $\lambda_2$  and  $\lambda_4$  are far larger than those at  $\lambda_1$  and  $\lambda_3$ . The  $F_m$  peak of  $\lambda_3$  is at 1781.3 nm, which is 20 nm red shifted with respect to A resonant wavelength. Differently, at the resonant wavelength  $\lambda_4$ , electric field and magnetic field are not much enhanced in the nanocavity compared to the other three resonance, since  $F_e$  = 9.6 and  $F_m$ = 0.8. The enhancement factor  $F_e$  is 10.9 at the wavelength 1872.7 nm and  $F_m$  is 2.2 at the wavelength 1896.7 nm, which are not the resonant wavelength. These can be explained by the enhanced field distributions at the resonant wavelengths.



150

210

240

270

180

**Fig. 2.** (a) Absorption (black),  $F_e$  (red),  $F_m$  (blue) spectra (top), and radiation pattern (bottom) of a single nanoantenna for TM polarized light. Absorption resonant wavelengths are  $\lambda_1 = 1411.2 \text{ nm}$ ,  $\lambda_2 = 1575.5 \text{ nm}$ , and  $\lambda_3 = 1782.7 \text{ nm}$ , while peak values of  $F_e$  are at: 1405.6 nm, 1574.3 nm, and 1781.3 nm. For peaks of  $F_e$ , 257 at  $\lambda_1$ , 223 at  $\lambda_2$ , 234 at  $\lambda_3$ . For peaks of  $F_m$ , 8.7 at  $\lambda_1$ , 7 at  $\lambda_2$ , 6.7 at  $\lambda_3$ . In (a. bottom), I and II represent  $1.75 \times 10^{-3}$  and  $3.50 \times 10^{-3}$ , respectively. (b-d) Distributions of normalized electric field |E| and (e-g) magnetic amplitude |H| at the three wavelengths: (b)(e)  $\lambda_1$ , (c)(f)  $\lambda_2$ , and (d)(g)  $\lambda_3$ . Geometrical parameters: w = 300 nm,  $\delta = 10 \text{ nm}$ , h = 1400 nm, l = 150 nm, p = 845 nm, and the whole structure is in the air.

0

330

300

In Fig. 3, we report the electric (b-e) and magnetic (f-i) field enhancements to unveil the nature of the above mentioned spectral features. The first and third peaks at  $\lambda_1$  and  $\lambda_3$  present an increased electric and magnetic field localization inside the dielectric structure, showing that they originate from high order magnetic multipolar modes perpendicular to the incident electric field [11]. Whereas the second and fourth peaks at  $\lambda_2$  and  $\lambda_4$  present electric field enhancement not only in the pillar but also in the domain close to the interface of silicon and the surrounding. As the field distribution suggests, the peak at  $\lambda_4$  might be related to a boundary mode, while the peak at  $\lambda_2$  originates from high-Q collective Mie-type modes and quasi-BIC modes leading to their hybridization [38]. The nonlocal nature of the mode is confirmed by the finite size dependence of the mode at  $\lambda_2$ . As the field distribution suggests, the peaks at  $\lambda_1$  and  $\lambda_3$  are related to resonances of the isolated pillar (see Figs. 2(e) and (g)). The periodicity further enhances the electric and magnetic field inside the resonators by closing the radiation channels along the directions of the side lobes (see Fig. 2(a) bottom) [39]. Figures 3(f-i) show that the nodes and antinodes of the magnetic field distributions are with different heights for the resonant wavelengths, which is the main course of discrepancy among the peak values. The top antinode of magnetic field |H| distribution of  $\lambda_1$  is located a little higher than that of the resonant wavelength  $\lambda_2$ . For the resonant wavelength  $\lambda_4$ , electric field enhancement  $F_e$  is not notable because enhanced electric field components are close to the three interfaces including external walls of the nanopillar (nanopillar-air, nanopillar-metal and air-metal), whereas antinode of magnetic field distribution is far from the nanocavity.



**Fig. 3.** (a) Absorption (*A*), electric field enhancement factor ( $F_e$ ), and magnetic field enhancement factor ( $F_m$ ) spectra of the HAR structure at normal incident TM polarized light. The absorption peaks are at  $\lambda_1 = 1408.4$  nm,  $\lambda_2 = 1560.8$  nm,  $\lambda_3 = 1761.3$  nm, and  $\lambda_4 = 1868.3$  nm.  $F_e$  reaches values of 305.7 at  $\lambda_1$ , 1738.8 at  $\lambda_2$ , 324.8 at  $\lambda_3$ , 10.9 at  $\lambda_4$ .  $F_m$  reaches values of 9 at  $\lambda_1$ , 18.9 at  $\lambda_2$ , 6.5 at  $\lambda_3$ , 2.2 at  $\lambda_4$ . (b-e) Distributions of normalized electric field intensity |E| (top row) and magnetic field |H| (bottom row) of the presented nanostructure. Structural parameters: w = 300 nm,  $\delta = 10$  nm, h = 1400 nm, l = 150 nm, p = 845 nm. The whole structure is in air.

## 3.3. Tunability of the geometrical parameters

Structural parameters are key elements for photonic response of nanostructures. Although nanofabrication techniques dramatically improved in the last two decades, fabrication tolerances should be accounted. Thus, we investigate geometrical dependence of the optical properties of the presented HAR structure. Figure 4 shows tunable properties of electric field enhancement for the presented HAR structure. When the array period p is varied from 700 nm to 1250 nm, the resonant wavelength redshifts, as shown in Fig. 4(a). Figure 4(b) shows that the enhancement spectra are influenced by the length *l* of the nano-slit when it is increased from 100 nm to 200 nm. The resonant wavelength blue shifts while the nanocavity length increases. In Fig. 4(c), the resonant wavelength redshifts when the nanocavity width  $\delta$  increases from 5 nm to 30 nm; peak absorption value sharply reduces when the nanocavity width is larger than 20 nm. We obtained field enhancement  $F_e = 3180$  and A = 99% at the wavelength 1558 nm while p = 845 nm,  $\delta = 5$ nm, l = 150 nm, and h = 1400 nm. We fix the wavelength at 1550 nm while the width and period of the nanocavity are respectively varied from 3 nm to 10 nm and 770 nm to 820 nm. Figure 4(d) shows that peak value of light intensity enhancement reaches 2270 at p = 800 nm and  $\delta = 3$ nm. The length of the nano-slit does not affect the width of the narrow resonant peak, while  $F_e$ decreases when the width of the nano-slit increases.



**Fig. 4.**  $F_e$  as a function of the wavelength and (a) period, (b) nano-slit length, (c) nano-slit width for TM polarized incident light. (d)  $F_e$  as a function of different slit width and period at fixed incident wavelength 1550 nm.

# 4. Finite-size effects

Previously, we assumed the structure to be infinitely extended along the x-direction. However, in real experiments, these kinds of periodic structures, such as metasurface, metamaterials, and photonic crystals, always have a finite number of unit cells, which bring finite size effects into play [30,40]. In [40], the authors reported that finite size effects of systems lead to counter-intuitive behavior of competition between multiple loss channels including dissipation, intentional out coupling of coherent radiation, and leakage from the edges of finite systems. Here, we investigated the spectral response of finite-size periodic arrays to evaluate the correctness of our approximation to an infinite structure and provide a guideline to understand the minimum size to observe sizable increase of absorption due to nonlocal effects. To simulate the real experiment in air, we set the length of a chip along the x axis to 60  $\mu$ m and perfectly matched layers along the x-axis. Figure 5(a) shows that for less than 15 unit cells, no sizable absorption enhancement is present. The inset of Fig. 5(a) shows the narrow part of the spectra related to  $\lambda_2$ . We note that as the number of unit cells increases, the resonances blue shift and the spectra converge to the one of the infinite structure. We also note that when the number of unit cells is larger than 30, the variation of the resonant position is almost negligible when adding additional unit cells. Indeed, Fig. 5(b) shows the resonant wavelengths  $\lambda_2$  and  $\lambda_4$  are blue shifted with increasing number of cells. For example,  $\lambda_2$  changes from 1567.5 nm to 1560.8 nm, and  $\lambda_4$  shifts from 1905.2 nm to 1869.3 nm.

# 5. Sensing performance

Now, we investigate the sensing performance of the presented HAR metasurface when it is operated as a refractive index sensor. We assume the surrounding to be an aqueous solution, which has a typical refractive index in the range 1.312-1.352 [41]. Figure 6 shows that the resonant wavelengths  $\lambda_2$  and  $\lambda_4$  linearly depend on the environment refractive index. As shown in Fig. 6, when the refractive index is changed, the resonant wavelengths redshift from 1418.2 nm to 1430.1 nm for  $\lambda_2$  and from 1938 nm to 1948 nm for  $\lambda_4$ . The variation of 0.04 in the refraction index induces shifts of the resonant wavelengths  $\Delta \lambda_2 = 11.9$  nm and  $\Delta \lambda_4 = 10$  nm. Based on the definition of sensitivity (S) [41], S is 297.5 nm/RIU and 250 nm/RIU for  $\lambda_2$  and  $\lambda_4$ , respectively. Following the definition of figure of merit (FoM) [41], FoM is 85 with a FWHM of 3.5 nm at  $\lambda_2$  and FoM is 8.3 with a FWHM of 30.2 nm at  $\lambda_4$ . The results show that although its sensitivity is smaller, compared to other metal-dielectric-metal structures [6,41], the FWHM of the considered resonances is far narrower than that of other metal-dielectric-metal structures. Thus, the presented HAR structure has larger FoM in the near infrared light range. Moreover, we proposed a structure working at multiple wavelengths with comparable sensing performance, which allows to simultaneously cross check the resonance shift and improve the reliability of our device. Here, we did not evaluate sensing performance of the other resonant wavelengths  $\lambda_1$  and  $\lambda_3$  because the spectrum curve presents weak absorption at those wavelengths.



**Fig. 5.** (a) Absorption spectrum of the finite-size nanostructure array consisting of a different number of unit cells. The device size is 60  $\mu$ m wide. (b) Resonant wavelengths  $\lambda_2$  (black, left) and  $\lambda_4$  (red, right) as a function of the number of unit cells. Geometrical parameters:  $w = 300 \text{ nm}, \delta = 10 \text{ nm}, h = 1400 \text{ nm}, l = 150 \text{ nm}, p = 845 \text{ nm}$ . The whole structure is in the air.



**Fig. 6.** Resonant wavelengths  $\lambda_2$  (black, left) and  $\lambda_4$  (red, right) as a function of the refractive index in the surrounding.

#### 6. Conclusion

In summary, we numerically investigated a hybrid metasurfaces based on HAR dielectric pillar structure, through which we obtain the multiple narrowband absorption peaks in the spectrum. The local electric field intensity is enhanced up to 3 orders of magnitude for the incident light with TM polarization. We performed feasibility study by investigating the absorption and field enhancement as a function of the geometrical parameters and accounting for finite size effects. We show that HAR nanopillar structures are suitable to perform refractive index sensing at multiple wavelength, reaching sensitivities up to 297.5 nm/RIU and figure of merit of 85. The possibility to perform sensing at multiple wavelengths with comparable efficiencies allows to improve the reliability of measurements. Our results provide insight in the design of refractive index sensors based on compact photonic platforms.

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**Data availability.** The authors confirm that the data supporting the findings of this study are available within the article.

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