

#### The formation of lithium-rich pegmatites through multi-stage melting

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#### Authors:

Lot Koopmans (University of Oxford), Tania Martins (Manitoba Geolgical Survey), Robert Linnen (Western University), Nicholas Gardiner (University of St Andrews), Catriona Breasley (University of British Columbia), Richard Palin (University of Oxford), Lee Groat (University of British Columbia), David Silva (University of British Columbia), and Laurence Robb (University of Oxford)

#### Abstract:

Lithium-cesium-tantalum type pegmatites - the primary source of lithium - crystallize from highly evolved, volatile- felsic melts that incorporated crustal material in their source. Pegmatites are classically thought to form either from extreme fractionation of a parental granite body or via low-degree partial melting of a metamorphic rock (anatectic-origin). However, the processes that lead to the formation of economic lithium pegmatite deposits remain enigmatic, since precipitation of lithium ore minerals requires melt lithium concentrations in excess of 5000 ppm - approximately 500 times upper crustal abundances. Here, we use petrological modeling to quantify lithium enrichment in an anatectic-origin scenario and show that it is primarily driven by the relative stability of residual biotite and muscovite at medium to high pressures (~8 kbar), and biotite and cordierite at low pressures (~3 kbar). We show anatexis of an average lithium-enriched metasedimentary source cannot sufficiently elevate the lithium content of the ensuing melt to form economic deposits; however, if this first-generation melt - now crystallized as granitic crust - is re-melted, the second-generation melt will be sufficiently concentrated in lithium to crystallize lithium ore minerals. We propose a petrogenetic model for anatectic-origin lithium pegmatites, in which a region experiences at least two stages of partial melting, ultimately generating lithium-rich melts without invoking extensive fractional crystallization. This mechanism can account for both the occurrence of unzoned lithium pegmatites and explains why economic pegmatites in many terranes are younger than their inferred source granites.

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## 2 melting

- 3 Lot Koopmans<sup>1</sup>, Tania Martins<sup>2</sup>, Robert Linnen<sup>3</sup>, Nicholas J. Gardiner<sup>4</sup>, Catriona M.
- 4 Breasley<sup>5</sup>, Richard M. Palin<sup>1</sup>, Lee A. Groat<sup>5</sup>, David Silva<sup>5</sup>, and Laurence J. Robb<sup>1</sup>
- <sup>5</sup> <sup>1</sup>Department of Earth Sciences, University of Oxford, 3 South Parks Road, Oxford, OX1 3AN
- 6 United Kingdom
- 7 <sup>2</sup>Manitoba Geological Survey, 360-1395 Ellice Avenue, Winnipeg, Manitoba, Canada, R3G 3P2
- 8 <sup>3</sup>Department of Earth Sciences, Western University, 1151 Richmond Street N., London, Ontario,
- 9 Canada, N6A 5B7
- <sup>4</sup>School of Earth & Environmental Sciences, University of St Andrews, Bute Building, Queen's
- 11 Terrace, St Andrews, KY16 9TS, United Kingdom
- <sup>5</sup>Department of Earth, Ocean, & Atmospheric Sciences, University of British Columbia, 2207
- 13 Main Mall, Vancouver, British Columbia, Canada, V6T 1Z4

#### 14 ABSTRACT

- 15 Lithium-cesium-tantalum type pegmatites the primary source of lithium crystallize from
- 16 highly evolved, volatile- felsic melts that incorporated crustal material in their source. Pegmatites
- 17 are classically thought to form either from extreme fractionation of a parental granite body or via
- 18 low-degree partial melting of a metamorphic rock (anatectic-origin). However, the processes that
- 19 lead to the formation of economic lithium pegmatite deposits remain enigmatic, since
- 20 precipitation of lithium ore minerals requires melt lithium concentrations in excess of 5000 ppm
- 21 approximately 500 times upper crustal abundances. Here, we use petrological modeling to
- 22 quantify lithium enrichment in an anatectic-origin scenario and show that it is primarily driven

23 by the relative stability of residual biotite and muscovite at medium to high pressures ( $\sim$ 8 kbar), 24 and biotite and cordierite at low pressures (~3 kbar). We show anatexis of an average lithium-25 enriched metasedimentary source cannot sufficiently elevate the lithium content of the ensuing 26 melt to form economic deposits; however, if this first-generation melt – now crystallized as 27 granitic crust – is re-melted, the second-generation melt will be sufficiently concentrated in 28 lithium to crystallize lithium ore minerals. We propose a petrogenetic model for anatectic-origin 29 lithium pegmatites, in which a region experiences at least two stages of partial melting, 30 ultimately generating lithium-rich melts without invoking extensive fractional crystallization. 31 This mechanism can account for both the occurrence of unzoned lithium pegmatites and explains 32 why economic pegmatites in many terranes are younger than their inferred source granites.

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#### 34 INTRODUCTION

35 Lithium-cesium-tantalum (LCT) pegmatites are formed from highly fractionated melts (Černý, 36 1991) and dominate global lithium (Li) production (U.S. Geological Survey, 2023). LCT 37 pegmatites first appeared in the Neoarchean (c. 2.8 Ga), are dominantly hosted in greenschist- to 38 amphibolite-grade supracrustal rocks (Dittrich et al., 2019), and retain geochemical signatures 39 which imply their genesis involved reworking of existing (typically metasedimentary) crust 40 (Černý, 1991). However, fundamental questions remain regarding how these evolved rocks 41 become highly concentrated in Li, since the primary extractable Li ore minerals - the Li-42 aluminosilicates spodumene and petalite – only crystallize when melt Li contents exceed 5000 43 ppm (London, 1984; Maneta et al., 2015), representing an enrichment of ca. 500 times above 44 ordinary crustal abundances (Taylor and McLennan, 2003). Furthermore, to be economically 45 viable, an economic Li-pegmatite deposit must contain a sufficiently large mineralized zone

46 (Bradley and Mccauley, 2017), the extent of which is controlled by the initial Li concentration in
47 the emplaced pegmatitic melt (London, 2014).

48	In general, concentrating incompatible elements such as Li within a small-volume melt
49	fraction occurs through either (i) high-degree crystal fractionation of a larger body of magma, or
50	(ii) siphoning low-degree (e.g., 7–10%) partial melts away from a source rock undergoing
51	anatexis. These contrasting processes underpin two proposed LCT pegmatite petrogenetic
52	models: (1) extreme fractionation of a granitic magma that carries a typical (crustal) Li
53	concentration, generating a minor volatile- and metal-rich melt fraction which migrates from the
54	source region and ultimately crystallizes as a pegmatite (Cameron et al., 1949; Jahns, 1953;
55	Černý, 1991; Černý et al., 2005), or (2) low-degree partial melting of Li-rich host rocks during
56	prograde metamorphism ('anatectic origin'), generating a volatile-rich melt that does not require
57	significant additional fractionation (Stewart, 1978; Simmons et al., 1995; Shaw et al., 2016;
58	Müller et al., 2017).
59	Recent research has focused on the anatectic model as a viable mechanism to form
60	economic pegmatite deposits (Müller et al., 2017; Kunz et al., 2022; Knoll et al., 2023). Here, we
61	use petrological modelling to quantify the extent of Li enrichment during crustal melting of
62	metasedimentary protoliths, and then assess how subsequent melting of their crystallized
63	magmatic products might serve to further concentrate Li in newly formed melt fractions. Our

results imply that multi-step anatexis in long-lived orogenic systems can act as a highly efficient
 mechanism to produce economic grade LCT pegmatites.

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### 67 MODELING LI ENRICHMENT DURING CRUSTAL ANATEXIS

68 Lithium in metasedimentary rocks is primarily hosted in biotite, muscovite, cordierite, and 69 staurolite (Simons et al., 2017; Kunz et al., 2022; Knoll et al., 2023). During anatexis, the Li 70 content of a melt is controlled both by the breakdown of these minerals, and by the partitioning 71 of Li between restitic minerals and melt as they re-equilibrate. We examined Li mineral-melt 72 partitioning during crustal anatexis via a batch melting geochemical model, which assumes that 73 generated melt remains in equilibrium with the source rock until extraction (Rosenberg and 74 Handy, 2005); an assumption validated in experimental studies (e.g., Acosta-Vigil et al., 2012). 75 The onset of melting, as well as the stability and thus presence of restitic minerals in equilibrium 76 with the melt, depends on the source composition and thermobarometric conditions of melting. 77 As such, we performed Gibbs free energy minimization calculations using the petrological 78 modeling program Theriak-Domino (De Capitani and Petrakakis, 2010) to determine the stable 79 mineral-silicate melt assemblages at different pressure (P, i.e. depth) and temperature (T) 80 conditions within the continental crust for two putative metasedimentary source rocks: the 81 average metapelite of Ague (1991) and a greywacke (Composition I of Pettijohn (1963)). 82 Although Li contents vary between metasedimentary rocks, for ease of comparison we assume 83 both compositions have a starting Li composition of 125.5 ppm, the average of 11,634 84 siliciclastic sedimentary rocks worldwide (see Supplementary Material). 85 We calculated P-T phase diagrams for both metasedimentary protoliths and examined the 86 crystallized products of extracted melts. Initial anatexis was examined along isobaric heating 87 paths at 3 kbar (~9 km depth, i.e., intrusion-related heating) and 8 kbar (~24 km, i.e., a standard 88 orogenic geotherm intersecting the solidus) under both dehydration melting (minimal saturation) 89 and flux melting scenarios to quantify how Li behaves during partial melting. Our approach 90 allowed the calculation of changes in melt Li content during crustal anatexis and then the

91 behavior of that melt fraction after extraction (set at 7 vol. % melt, the threshold at which melt
92 can escape its source, after Rosenberg and Handy, 2005).

93 Melt Li concentration was then modeled for two end-member scenarios: (1) the melt 94 extracted undergoes fractional crystallization in a closed system; and (2) the resulting extracted 95 melt crystallizes to form a granitic body which then undergoes a second (later) stage of anatexis. 96 Bulk Li partition coefficients for fractional crystallization were calculated using stable 97 assemblages present at the solidus and published mineral/melt partition coefficients. All models 98 were run through TDMelts (Koopmans et al., 2023) with the setup described in the 99 Supplementary Material. 100 During melting, both metasedimentary compositions (Figure 1a and Supplementary 101 Material) stabilize cordierite and biotite at low pressures, whereas muscovite and biotite are 102 stable at higher pressures. Figure 1 (b) shows the predicted partitioning of Li between 103 metasediment-derived melt and residuum for both starting compositions. At fluid-present 104 conditions, the solidus occurs between 660 and 690 °C and the release of Li into the melt is 105 largely controlled by the initial breakdown of muscovite and later breakdown of biotite. 106 Metapelites are typically enriched in Al compared to greywackes and stabilize higher 107 proportions of cordierite and mica at equivalent P-T conditions. At equivalent pressures, 108 greywacke-derived melts contain higher Li contents (by a factor of 1.3–2.1) than metapelite-109 derived melts (Figure 1b); this difference is amplified at higher pressure due to the extended 110 stability of mica compared to cordierite. 111 Micas are the main hosts of Li in the subsolidus, and our models confirm that Li

muscovite stability, with cordierite having a minor influence, and feldspar playing an

enrichment during partial melting of metasedimentary rocks is primarily controlled by biotite and

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114 insignificant role (Figure 1), agreeing with previous work (Kunz et al., 2022; Knoll et al., 2023).

115 Li is released into the melt upon mica breakdown, but importantly, the persistence of micas

116 during melting sequesters Li and serves to inhibit melt Li enrichment.

At a melt proportion of ~7 vol.%, we calculate maximum Li concentrations of 411 ppm at 788 °C and 538 ppm at 785 °C for metapelites and metagreywackes, respectively. These values represent a potential maximum Li enrichment factor of ~4.3 at extraction compared to the source. Additionally, flux melting (i.e., ingress of external  $H_2O$ ) also serves to depress melt Li enrichment under all conditions, largely due to the higher proportion of micas present at melt extraction conditions that have not broken down during melting reactions, although we note melts are extractable at a significantly lower temperature (Figure 1b).

124 Fractional crystallization (our scenario 1) during cooling may further enrich the melt 125 phase in incompatible elements including Li. Modeled Rayleigh fractionation trends for melts 126 extracted at the 7 vol. % threshold are shown in Figure 2. In all cases, the concentration of Li 127 reaches 800–1800 ppm at 75% fractionation and 1500–3400 ppm at extreme fractionation 128 conditions (Zhao et al., 2022), still below the minimum saturation point for spodumene. 129 Spodumene saturation is only achieved at low pressure (3 kbar) during the final stages of 130 crystallization (Figure 2), when the melt fraction is reduced below 5 vol. %, a scenario which is 131 not physically extractable (Vigneresse et al., 1996).

Alternatively (scenario 2), the melt produced during anatexis of a metasedimentary protolith may crystallize as a granitic body in the crust and experience a separate, later stage of melting. We modeled the remelting of the granitic compositions produced through primary anatexis (Figure 1c, d) to calculate potential second stage melt Li concentrations. In this scenario, melt first appears between 640 °C and 675 °C, and coexists with a smaller proportion of micas (<8 wt. %) relative to metasedimentary rocks, and no stable cordierite in any of the</li>
modeled scenarios (Figure 1c). Accordingly, the secondary melting stage generates significantly
higher melt Li concentrations of 3590–7496 ppm at a 7 vol. % extraction point (Figure 1d), equal
to a potential Li enrichment factor of ~14.2 (Figures 1d, 3). These results importantly indicate
that a second stage of melting substantially enriches Li; in two stages driving Li concentration
from 125.5 to 7496 ppm, with the resultant secondary melts having economic potential without
invoking fractional crystallization.

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#### 145 MULTI-STEP DISTILLATION MODEL FOR LI PEGMATITES

146 Our results show that partial melting of typical metasedimentary sources followed by closed-147 system fractional crystallization of those melt fractions cannot concentrate Li to the saturation 148 limit required (>5000 ppm) to generate a large zone of Li-aluminosilicates within a pegmatite. 149 Thus, production of a melt capable of saturating Li ore minerals in economic quantities within a 150 single stage melt scenario would require a rare, extremely enriched protolith (e.g., 1160 ppm in 151 the metasedimentary rock). While such concentrations have been documented in reworked Li-152 rich volcanic sediments (e.g., Kadir et al., 2023), they are typically not documented near Li-rich 153 pegmatite provinces (e.g., Roda Robles et al., 1999) and therefore their role in Li pegmatite 154 formation is equivocal. By contrast, additional processing (i.e., re-melting) of a package of continental crust can increase the Li concentration in a second-generation in order to allow 155 156 spodumene/petalite saturation very early in the fractionation history, obviating the need for 157 extensive fractional crystallization.

We therefore propose the following petrogenetic model for anatectic-origin Li pegmatiteswith economic potential (Figure 4):

160 (1) Partial melting of a metasedimentary succession during prograde metamorphism,

161 which produces a granitic melt that is modestly enriched in Li;

162 (2) This melt crystallizes as a granitic intrusion structurally above the migmatite zone;

- 163 (3) A subsequent melting event, either an extension of the initial metamorphic event or
- 164 later during an unrelated orogenic cycle, reheats and remelts the granite forming a highly
- 165 enriched melt that ultimately crystallizes as a Li-rich pegmatite.

166 Our two-stage anatectic model indicates that the size of the pegmatite generated is approximately

167 200 times smaller than the metasedimentary protolith; for example, a deposit the size of Tanco

168 (0.021 km<sup>3</sup>, Stilling et al., 2006) likely formed from an initial metasedimentary package of c. 4.5
169 km<sup>3</sup>.

170 This mechanism also accounts for two particular features of pegmatites. Firstly,

171 pegmatites can be unzoned with economic minerals homogenously distributed throughout,

172 requiring Li-bearing minerals to crystallize from the margin inwards (e.g., Kings Mt pegmatites;

173 Swanson, 2012); Mt Cattlin pegmatites, Sweetapple et al., 2019). This suggests the emplaced

174 melt has sufficiently high Li concentrations prior to crystallization.

Secondly, where pegmatite fields have a spatial relationship with granites, they are often significantly younger (e.g., Stilling et al., 2006), which is at odds with arguments for the rapid crystallization of pegmatites from the granitic melt when standard magmatic fractionation is invoked (Simmons and Webber, 2008). Alternatively, many orogenic events are long-lived and experience multiple cycles of melting (e.g., Mulcahy et al., 2014). Together, this suggests the possibility of granites remelting as a mechanism for pegmatite genesis (e.g., Issia Zone, Brou et al., 2022).

- 182 A multi-step anatectic model for the formation of Li pegmatites therefore satisfies these
- 183 geochemical and geochronological constraints, providing an efficient mechanism to elevate Li
- 184 concentrations and generate economic Li deposits.
- 185

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296	Figure 1. Representative results of petrologic modeling of a metasedimentary rock (a, b, here the
297	greywacke at 8 kbar) and granite (c, d, sourced from greywacke at 8 kbar) composition
298	undergoing isobaric heating. Modebox diagrams (a, c) show the equilibrium assemblages across
299	the modelled temperature range. The dashed lines highlight the stable assemblage under flux
300	melting conditions. The graph in (b) traces the concentration of lithium in the melt component of
301	the modelled metasedimentary scenarios. (d) as (b), though of the melt components of the
302	corresponding metasedimentary rocks of (b). Stars denote melt extraction points for each
303	condition, where Flux corresponds to flux melting and Sat. corresponds to dehydration melting.
304	Details of the modelling method are provided in the Supplementary Material. Mineral
305	abbreviations: Amph: amphibole; Bt: biotite; Cd: cordierite; Fsp: feldspar; Gt: garnet; Kfs:
306	Alkali feldspar; Mu: muscovite; Pl: plagioclase; Opx: orthopyroxene; Q: quartz.

307 Figure 2. The behavior of lithium (Li) in the extracted melt during Rayleigh fractionation. The

- 308 initial Li content of each melt corresponds to the concentration of Li at extraction in each of the
- 309 modelled scenarios. The partition coefficients are taken from the model near the solidus of each
- 310 individual melt. The particle-locking threshold (PLT) of Vigneresse et al. (1996) and the extreme
- 311 fractionation boundary of Zhao et al. (2022) are highlighted, as well as the minimum threshold
- 312 for spodumene saturation (Maneta et al., 2015).

313 Figure 3. Evolution of Li concentrations during melting and fractionation of melt components

314 generated during crustal anatexis – with the spodumene saturation boundary highlighted (Maneta

315 et al., 2015). After initial melt extraction, the granite either: 1) undergoes fractional

316 crystallization (hollow symbols, the compositions of fractional crystallization was taken as the

317 composition at 90% crystallization); or (2) is assumed to crystallize and then remelted and

318 extracted at the 7% melt (filled shapes).

319

Figure 4. Schematic petrogenetic model for generating lithium (Li)-rich pegmatites in the via crustal anatexis. (A) Partial melting of a metasedimentary rock generates melt lenses, which periodically lose melt. (B) grain-scale diagram visualizing melt films connecting and driving melt out of the system in (A). The breakdown of hydrous phases and mineral-melt diffusion controls the Li budget of the resultant melt. (C) The melt accumulates and crystallizes as a granite structurally above the anatectic zone. (D) A subsequent melting event, either associated with the primary metamorphic event, or during a later orogenic cycle melts the granite,

327 generating Li-rich pegmatites in their vicinity.

- 328 <sup>1</sup>Supplemental Material. *Description of model setup and geochemical database used*. Please visit
- 329 https://doi.org/10.1130/XXXX to access the supplemental material, and contact
- 330 editing@geosociety.org with any questions.









Event 2



# Step 1 - Anatexis of metased imentary rocks