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## **GABAergic synaptic scaling is triggered by changes in spiking activity rather than transmitter receptor activation.**

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C.G. and P.W. designed research; C.G, P.B., and M.F. performed research; C.G, Z.S., M.F., N.A.Y., K.E. and P.W. analyzed data; C.G., M.F., K.E. and P.W. wrote the paper.

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### **This PDF file includes:**

Main Text  
Figures 1 to 6, and Supplemental Figures 1-4

## 1 **Abstract**

2 Homeostatic plasticity represents a set of mechanisms that are thought to recover some  
3 aspect of neural function. One such mechanism called AMPAergic scaling was thought to  
4 be a likely candidate to homeostatically control spiking activity. However, recent findings  
5 have forced us to reconsider this idea as several studies suggest AMPAergic scaling is  
6 not directly triggered by changes in spiking. Moreover, studies examining homeostatic  
7 perturbations *in vivo* have suggested that GABAergic synapses may be more critical in  
8 terms of spiking homeostasis. Here we show results that GABAergic scaling can act to  
9 homeostatically control spiking levels. We find that increased or decreased spiking in  
10 cortical cultures triggers multiplicative GABAergic upscaling and downscaling,  
11 respectively. In contrast, we find that changes in AMPAR or GABAR transmission only  
12 influence GABAergic scaling through their indirect effect on spiking. We propose that  
13 GABAergic scaling, rather than glutamatergic scaling, is a key player in spike rate  
14 homeostasis.

15

## 16 **Significance Statement**

17 The nervous system maintains excitability in order to perform network behaviors when  
18 called upon to do so. Networks are thought to maintain spiking levels through homeostatic  
19 synaptic scaling, where compensatory multiplicative changes in synaptic strength are  
20 observed following alterations in cellular spike rate. Although we demonstrated that  
21 AMPAergic synaptic scaling does not appear meet these criteria as a spike rate  
22 homeostat, we now show that GABAergic scaling does. Here we present evidence that  
23 the characteristics of GABAergic scaling place it in an excellent position to be a spiking

24 homeostat. This work highlights the importance of inhibitory circuitry in the homeostatic  
25 control of excitability. Further, it provides a point of focus into neurodevelopmental  
26 disorders where excitability is impaired.

27

## 28 **Introduction**

29 Homeostatic plasticity represents a set of compensatory mechanisms that are  
30 thought to be engaged by the nervous system in response to cellular or network  
31 perturbations, particularly in developing systems (1). It has been postulated that synaptic  
32 scaling is one such mechanism where homeostatic compensations in the strength of the  
33 synapses onto a neuron occur following chronic perturbations in spiking activity or  
34 neurotransmitter receptor activation (neurotransmission)(2). Scaling is typically identified  
35 by comparing the distribution of miniature postsynaptic current (mPSC) amplitudes in  
36 control and activity-perturbed conditions. For instance, when spiking activity in cortical  
37 cultures was reduced for 2 days with the Na<sup>+</sup> channel blocker TTX or the AMPA/kainate  
38 glutamate receptor antagonist CNQX, the overall distribution of mEPSC amplitudes were  
39 increased (2). When first discovered, homeostatic synaptic scaling was thought to be  
40 triggered by the cell sensing its reduction in spike rate through associated calcium  
41 signaling. This was then believed to trigger a signaling cascade that increased AMPA  
42 receptor (AMPA) insertion in a cell-wide manner such that all synapses increased  
43 synaptic strength multiplicatively based on each synapse's initial strength (3). This led to  
44 the idea that the scaling was a global phenomenon. In this way excitatory synaptic strength  
45 was increased across all of the cell's inputs in order to recover spiking activity without  
46 altering relative synaptic strengths resulting from Hebbian plasticity mechanisms. These

47 criteria, sensing spike rate and adjusting synaptic strengths multiplicatively, thus establish  
48 the expectations of a spiking homeostat.

49 More recent work has demonstrated that AMPAergic synaptic scaling is more  
50 complicated than originally thought. First, studies have now shown that increases in  
51 mEPSC amplitudes or synaptic glutamate receptors often do not follow a simple  
52 multiplicative function (4, 5). Rather, these studies show that changes in synaptic strength  
53 at different synapses exhibit different scaling factors, arguing against a single  
54 multiplicative scaling factor that alters synaptic strength globally across the cell. Second,  
55 AMPAergic scaling triggered by receptor blockade induces a synapse-specific plasticity  
56 rather than a cell-wide plasticity. Compensatory changes in synaptic strength were  
57 observed in several studies where neurotransmission at individual synapses was reduced  
58 (6-9). This synapse-specific plasticity would appear to be cell-wide if neurotransmission at  
59 all synapses were reduced as occurs in the typical pharmacological blockades that are  
60 used to trigger scaling. Regardless, this would still be a synapse specific plasticity,  
61 determined at the synapse, rather than the cell sensing it's lowered spiking activity. Finally,  
62 several different studies now suggest that reducing spiking levels in neurons is not  
63 sufficient to trigger AMPAergic upscaling (however see (10)). Forced expression of a  
64 hyperpolarizing conductance reduced spiking of individual cells but did not trigger scaling  
65 (11). Further, optogenetic restoration of culture-wide spiking in the presence of AMPAergic  
66 transmission blockade triggered AMPAergic scaling that was indistinguishable from that  
67 of cultures where AMPAR block reduced spiking (no optogenetic restoration of spiking)  
68 (12). Most studies that separate the importance of cellular spiking from synapse-specific  
69 transmission suggest that AMPAergic scaling is triggered by changes in  
70 neurotransmission, rather than a cell's spiking activity (9, 11-13). If AMPAergic scaling

71 does not act to homeostatically maintain spiking activity, then what homeostatic  
72 mechanisms do?

73 Here, we consider the possibility that GABAergic, rather than glutamatergic,  
74 synaptic scaling plays a role of spiking homeostat. Homeostatic regulation of GABAergic  
75 miniature postsynaptic current (mIPSC) amplitude was first shown in excitatory neurons  
76 following network activity perturbations (14). Similar to AMPAergic scaling, chronic  
77 perturbations in AMPAR or spiking activity triggered mIPSC scaling through compensatory  
78 changes in the number of synaptic GABA<sub>A</sub> receptors (14-18). However, the sensing  
79 machinery for triggering GABAergic scaling appears to be distinct from that of AMPAergic  
80 scaling (19). Further, GABAergic plasticity does appear to be a key player in the  
81 homeostatic response *in vivo*, as many different studies have shown strong GABAergic  
82 compensations following somatosensory, visual, and auditory deprivations (20-24). In  
83 addition, these homeostatic GABAergic responses precede and can outlast compensatory  
84 changes in the glutamatergic system. Here we describe that GABAergic scaling is  
85 triggered by changes in spiking levels rather than changes in neurotransmission, that  
86 GABAergic scaling is expressed in a multiplicative manner, and could contribute to the  
87 homeostatic recovery of spiking activity. Our results suggest that GABAergic scaling  
88 serves as a homeostat for spiking activity.

89

## 90 **Results**

91

### 92 **TTX and AMPAR blockade triggered a non-uniform scaling of AMPA mPSCs.**

93 Previously we have shown that blocking spike activity in neuronal cultures  
94 triggered scaling in a non-uniform or divergent manner, such that different synapses

95 scaled with different scaling ratios (4, 25). Importantly, these results were consistent  
96 across independent studies performed in three different labs using rat or mouse cortical  
97 cultures, or mouse hippocampal cultures. We quantitatively evaluated scaling by dividing  
98 the rank-ordered mEPSC amplitudes following treatment with TTX by the rank-ordered  
99 mEPSC amplitudes from the control cultures and plotted these ratios for all such  
100 comparisons. Previously, scaling had been thought to be multiplicative, meaning all mPSC  
101 amplitudes were altered by a single multiplicative factor. If true for AMPAergic scaling,  
102 then our ratio plots should have produced a horizontal line at the scaling ratio. However,  
103 we found that ratios progressively increased across at least 75% of the distribution of  
104 amplitude ratios. Still, it was unclear whether this was true for all forms of AMPAergic  
105 scaling triggered by different forms of activity blockade. Therefore, we repeated this  
106 analysis on the data from our previous study (12), but now on AMPAergic scaling produced  
107 by blocking AMPAR neurotransmission (CNQX), rather than TTX. We found that the  
108 scaling was non-uniform and replicated the scaling triggered by TTX application where  
109 there was a progressive increase in scaling ratios from 1.2 to 1.5 across the distribution  
110 of ratios (Supplemental Figure 1). The results suggest that AMPAergic scaling produced  
111 by blocking glutamatergic transmission or spiking in culture was not multiplicative, but  
112 rather different synapses increased by different scaling factors.

113

#### 114 **TTX and AMPAR blockade reduced both spiking and GABAergic mIPSC amplitude.**

115 Previously we made the surprising discovery that AMPAergic upscaling in rat  
116 cortical cultures was triggered by a reduction in AMPAR activation rather than a reduction  
117 in spiking activity (12). Here we tested whether GABAergic scaling was dependent on  
118 AMPAR activation or rather might be mediated by changes in spiking activity levels. We  
119 plated E18 mouse cortical neurons on 64 channel planar multi-electrode arrays (MEAs)



120 and allowed the networks to develop for ~14 days *in vitro* (DIV), a time point where most  
121 cultures develop a network bursting behavior (Supplemental Figure 2) (26). We used a  
122 custom written Matlab program that was able to detect and compute overall spike rate and  
123 burst frequency (Supplemental Figure 2, see methods). We again found that TTX  
124 abolished bursts and spiking activity (n=2, Supplemental Figure 3). On the other hand,  
125 AMPAR blockade (20 $\mu$ M) merely reduced bursts and spiking, with a greater effect on  
126 bursting. An example of the influence of adding 20  $\mu$ M CNQX to the culture is shown in  
127 Figure 1A. Similar to our findings in rat cortical cultures (12), CNQX dramatically reduced  
128 burst frequency and maintained this reduction for the entire 24hrs of treatment (Figure  
129 1B). Overall spike frequency was also reduced in the first 6 hours, but then recovered over  
130 the 24 hour drug treatment (Figure 1C). While overall spiking was recovered, we did note  
131 that this was highly variable.

132 In order to examine the possibility that compensatory changes in GABAergic  
133 synaptic strength could have contributed to the recovery of the network spiking activity we  
134 assessed synaptic scaling by measuring mIPSC amplitudes in pyramidal-like neurons in  
135 a separate set of cortical cultures plated on coverslips. We found that both activity  
136 blockade with TTX and AMPAergic blockade with CNQX triggered a dramatic  
137 compensatory reduction in mIPSC amplitude compared to control (untreated) cultures  
138 (Figure 2A). Even though TTX completely abolished spiking while CNQX only reduced  
139 spiking, both treatments triggered a similar reduction in average mIPSC amplitude. In  
140 order to more carefully compare the GABAergic scaling that is triggered by TTX and CNQX  
141 mechanistically, we created scaling ratio plots as described above (4). In addition to  
142 identifying the multiplicative nature of this form of plasticity, it provides a means to  
143 mechanistically assess distinct forms of scaling that are triggered in different ways (TTX

144 vs CNQX). In Figure 2B we show that TTX-induced scaling does produce a largely  
145 multiplicative downscaling with a scaling factor of slightly less than 0.5. GABAergic scaling  
146 induced by CNQX-treatment produced a similar ratio plot that only differed in that it had a  
147 slightly higher ratio through the middle of the plot (Figure 2B). This is consistent with the  
148 idea that the mechanisms were similar, although TTX-induced scaling may be slightly  
149 more effective through much of the distribution, possibly related to the fact that TTX  
150 completely abolished spiking in these cultures. These results are consistent with the idea  
151 that either spiking or reduced AMPA receptor activation could trigger the GABAergic  
152 downscaling since both would be reduced by TTX or CNQX.

153

154 **Optogenetic restoration of spiking in the presence of AMPAR blockade prevented**  
155 **GABAergic downscaling.**

156 In order to separate the importance of spiking levels from AMPAR activation in  
157 triggering GABAergic downscaling we blocked AMPARs while restoring spike frequency  
158 as we had done in a previous study assessing AMPAergic scaling (12). Cultures were  
159 plated on the MEA and infected with Chr2 under the human synapsin promoter on DIV 1.  
160 Experiments were carried out on ~ DIV14, when cultures typically express network  
161 bursting. Baseline levels of spike frequency were measured in a 3-hour period before the  
162 addition of 20 $\mu$ M CNQX (Figure 3A). We then used a custom written TDT Synapse  
163 software that activated a blue light photodiode to initiate bursts (see methods) whenever  
164 the running average of the firing rate fell below the baseline level, established before the  
165 addition of the drug. In this way we could optically induce bursts of normal structure and  
166 largely restore spike rate to pre-drug values in the cultures while blocking AMPAR  
167 activation (Figure 3B).

168           We have already established that bursts and spiking were reduced following the  
169 application of CNQX (Figure 1). However, when we optogenetically activated the cultures  
170 in the presence of CNQX we found that both the burst rate and spike frequency were  
171 increased compared to CNQX treatment alone, no optostimulation (Supplemental Figure  
172 4). Because the program was designed to maintain total spike frequency, photostimulation  
173 of CNQX-treated cultures did a relatively good job at recovering this parameter to control  
174 levels (Figure 3D). In fact, spike frequency was slightly, but not significantly, above control  
175 levels through the 24 hour recording period (Figure 3D). On the other hand,  
176 optostimulation in CNQX did not completely return burst frequency back to control levels  
177 (Figure 3C).

178           We next assessed mIPSC amplitudes using whole cell recordings taken from  
179 cultures plated on MEAs. After blocking AMPAR activation without optogenetic restoration  
180 of spiking activity, we found that mIPSC amplitudes were significantly reduced compared  
181 to control conditions (Figure 4A), as we had shown for CNQX treatment on cultures plated  
182 on coverslips (Figure 2A). Strikingly, when spiking activity was optogenetically restored in  
183 the presence of CNQX for 24 hours we observed that mIPSCs were no different than  
184 control values (same as control, larger than CNQX only – Figure 4A). This result  
185 suggested that unlike AMPAergic upscaling, GABAergic downscaling was dependent on  
186 spiking activity levels. In order to compare scaling profiles we plotted the scaling ratios for  
187 these different treatments. Not surprisingly, we found that MEA-plated cultures treated  
188 with CNQX but given no optogenetic stimulation were similar to CNQX-treated cultures  
189 plated on coverslips (CNQX/control ~ 0.5, Figure 4B vs Figure 2B). Ratio plots of cultures  
190 treated with CNQX where activity was restored optogenetically compared to controls  
191 demonstrated a fairly linear relationship with a ratio of around 1 through most of the

192 distribution suggesting the mIPSCs in these two conditions were similar and therefore  
193 unscaled (Figure 4B). Interestingly, the scaling ratio and the average mIPSC amplitudes  
194 in the optogenetically activated cultures were slightly larger than control mIPSCs which  
195 may be due to the slight increase in spiking in optogenetically stimulated cultures.  
196 Together, these results are consistent with the idea that GABAergic downscaling was  
197 triggered by reductions in spiking activity, not AMPA receptor activation, and was  
198 multiplicative and therefore satisfied the criterion for being a spiking homeostat.

199 **Enhancement of AMPAR currents triggered GABAergic upscaling though spiking**  
200 **activity, not receptor activation.**

201 While reductions in spiking activity triggered a GABAergic downscaling, it was not  
202 clear whether increases in spiking activity could trigger compensatory GABAergic  
203 upscaling. To test for such a possibility, we exposed the cultures to cyclothiazide (CTZ),  
204 an allosteric enhancer of AMPA receptors that also enhances spontaneous currents (12).  
205 Due to the hydrophobic nature of CTZ it was necessary to dissolve it in ethanol, and used  
206 ethanol without CTZ as a control (final solution 1:1000 ethanol). In addition to increasing  
207 AMPAR activation, CTZ application trended to increase overall spiking activity and burst  
208 rate in our MEA-plated cultures during the 24 hour application, although this was quite  
209 variable and only the 3 hour timepoint for spike frequency reached significance (Figure  
210 5A-B). We then treated coverslip-plated cultures with CTZ for 24 hours and measured  
211 GABAergic mIPSC amplitude and found that this did indeed produce a compensatory  
212 increase in GABA mIPSC amplitude (Figure 5C). In our previous study we found that CTZ  
213 reduced TTX-induced AMPAergic upscaling suggesting that AMPAR activation,  
214 independent of spiking, could influence scaling (12). To test whether this CTZ-mediated  
215 increase in GABAergic mIPSC amplitude was dependent on spiking activity we treated

216 cultures with the combination of CTZ and TTX for 24 hrs. Here we found that the CTZ-  
217 induced increase in mIPSC amplitude was converted to a reduction in amplitudes that was  
218 no different than TTX treatment alone (Figure 5D). The finding that GABAergic mIPSC  
219 amplitudes were scaled in opposite directions depending on whether we treated with CTZ  
220 or CTZ + TTX suggested that enhancing AMPAR activation had no direct influence on  
221 GABAergic scaling, but rather it was CTZ's ability to increase spiking that triggered the  
222 scaling. To determine if these changes in mIPSC amplitude were of a multiplicative scaling  
223 nature we made ratio plots. This demonstrated that both CTZ increases and CTZ+TTX  
224 decreases in mIPSC amplitude were multiplicative and therefore represented scaling  
225 (Figure 5E, CTZ – scaling ratio of 1.5, CTZ+TTX - scaling ratio of 0.6). Further, the scaling  
226 ratio plot for CTZ + TTX looked similar to those of TTX alone (compare Figure 5E and 2B).  
227 These results showed a compensatory upward and downward GABAergic scaling and  
228 both were dependent on spiking activity levels rather than AMPAergic receptor activation.  
229 This is therefore distinct from upward AMPAergic scaling, which is dependent on  
230 glutamatergic receptor activation.

231 **Blocking GABAergic receptors for 24 hours triggered upscaling of GABAergic**  
232 **mIPSCs.**

233 The above results suggested that GABAergic scaling was dependent on the levels  
234 of spiking activity. However, one alternative possibility was that these changes in GABA  
235 mPSCs were due to changes in GABAergic receptor activation. It is unlikely that  
236 alterations in GABAR activation trigger compensations at the receptor level (e.g. reduced  
237 GABAR activity increases synaptic GABARs – upscaling), as CNQX treatment would  
238 decrease GABAR activation but results in a GABAergic downscaling, and CTZ should  
239 increase GABAR activation but results in a GABAergic upscaling. On the other hand,

240 GABA receptor activation could act as a proxy for activity levels (e.g. increases in GABAR  
241 activation signal an increase in spiking activity and this triggers a compensatory  
242 GABAergic upscaling to recover activity levels). In this way, GABARs sense changes in  
243 spiking activity levels and directly trigger GABAergic scaling to recover activity. To address  
244 this possibility, we treated cultures with the GABA<sub>A</sub> receptor antagonist bicuculline to  
245 chronically block GABAergic receptor activation while increasing spiking activity. If  
246 increased spiking activity is directly the trigger (not mediated through GABAR activity),  
247 then we would expect to see GABAergic upscaling. On the other hand, if GABAR  
248 activation is a proxy for spiking then blockade of these receptors would indicate low activity  
249 levels and we would expect a downscaling to recover the apparent loss of spiking. GABAR  
250 block produced an upward trend in both burst frequency (Figure 6A) and spike frequency  
251 (Figure 6B). We measured mIPSCs in a separate cohort of cultures plated on coverslips  
252 which were treated with bicuculline for 24 hours, and we observed GABAergic upscaling  
253 (Figure 6C). These results suggested that direct changes in spiking activity, rather than  
254 AMPA or GABA receptor activation triggered compensatory GABAergic scaling. The  
255 scaling ratio plots were again relatively flat, with a scaling ratio of around 1.5 suggesting  
256 a multiplicative GABAergic upscaling (Figure 6D) that was similar to CTZ-induced upward  
257 scaling (Figure 5E).

258

## 259 **Discussion**

260 Here we find that GABAergic up- and downscaling exhibits all the features  
261 expected for a key homeostatic mechanism that maintains spike rate – 1) was triggered  
262 by alterations in spike rate, rather than neurotransmission, 2) was expressed  
263 multiplicatively, and 3) occurred by the time the spike rate had recovered. First, GABAergic

264 scaling was triggered by altered spiking levels. We found that CNQX-triggered GABAergic  
265 downscaling was abolished when we optogenetically restored spiking activity levels  
266 (Figure 3-4), that increasing spiking with bicuculline or CTZ both triggered GABAergic  
267 upscaling (Figures 5-6), and that CTZ-induced upscaling was converted to downscaling  
268 when we concurrently blocked spiking with TTX (Figure 5C-D). Further, the findings  
269 suggest that altering neurotransmission did not contribute to GABAergic scaling.  
270 Increasing AMPAergic transmission with CTZ in the presence of TTX had no impact on  
271 downscaling as it was no different than following TTX treatment alone (Figure 5D). Also,  
272 if GABA transmission were a proxy for activity levels, then blocking GABA<sub>A</sub> receptors  
273 would mimic activity blockade and should lead to a compensatory downscaling. However,  
274 bicuculline (reduced GABAR activity) and CTZ (increased GABAR activity), both  
275 increased spiking and triggered a GABAergic upscaling consistent with the idea that  
276 spiking was the critical feature (Figure 5-6). Second, a global change in GABA synaptic  
277 strength throughout the cell should be expressed as a single multiplicative scaling factor,  
278 which is largely what we saw (Figures 2, 4-6). Finally, if scaling contributed to a  
279 homeostatic recovery of activity, then GABAergic scaling should have been expressed by  
280 the time the network had fully recovered its spiking levels and it did (Figures 1 & 2).  
281 Although AMPAergic scaling was initially thought to play the role of spiking homeostat, it  
282 appears more likely that GABAergic scaling is playing this role.

283         In the original study describing AMPAergic synaptic scaling, the authors triggered  
284 this plasticity by blocking spiking activity with TTX or blocking AMPAergic  
285 neurotransmission with CNQX (2). Similar results have now been demonstrated in multiple  
286 tissues and labs (25). It was thought that AMPAergic scaling was a homeostatic  
287 mechanism, triggered by alterations in spiking and likely calcium transients associated

288 with a cellular spiking; once the cell drifted outside the setpoint for spiking a cell-wide  
289 signal was activated that changed the synaptic strengths of all AMPAergic inputs by a  
290 single multiplicative scaling factor to return the cell to the spiking set point (3). In this way,  
291 AMPAergic scaling could homeostatically regulate spiking levels, while also preserving  
292 the relative differences in synaptic strength set up by Hebbian plasticity mechanisms.  
293 However, the triggers and multiplicative nature of the scaling appear to be more complex  
294 than our original understanding. Altering spiking levels in individual cells in some studies  
295 triggers scaling (10, 27), but not in other studies (11, 28). Further, the multiplicative nature  
296 of scaling following TTX treatment does not fit our recent work showing different synapses  
297 have different scaling factors (4) and this is consistent with another study that followed  
298 AMPAR expression following TTX + APV treatment (5). In the current study we show that  
299 AMPAergic scaling triggered by AMPAR blockade also produced a non-uniform scaling  
300 (Supplemental Figure 1). In addition, several studies have suggested that glutamate  
301 receptor activation due to action potential-independent spontaneous release could play a  
302 significant role in triggering AMPAergic scaling (7, 12, 29). In recent years it has become  
303 clear that when glutamatergic neurotransmission is reduced at individual synapses there  
304 is a synapse-specific compensatory increase in synaptic strength mediated by an insertion  
305 of AMPA receptors. Neurotransmission has been reduced by local application of a  
306 neurotransmitter antagonist (7), hyperpolarization of individual presynaptic inputs that are  
307 unlikely to alter the postsynaptic neuron's spiking (6, 8), or altering the activity of individual  
308 sensory pathways *in vivo* (9). These perturbations result in altered AMPA receptor  
309 trafficking, which strengthen only the synapses that were inhibited. When all AMPAergic  
310 synapses in the culture were blocked with CNQX it should be expected that all synapses  
311 would strengthen due to this neurotransmission-based compensatory plasticity. Because  
312 CNQX also reduced spiking levels, one might have expected that this reduced spiking



313 would add to the overall synaptic strengthening. However, as we have shown, putting back  
314 spiking activity levels and their associated calcium transients in the presence of CNQX  
315 had no effect on AMPAergic scaling (no reduction in the existing scaling (12)). This  
316 demonstrated that CNQX-triggered scaling was not dependent on reduced spiking.  
317 Because AMPAergic scaling does not act in a multiplicative manner and maintain relative  
318 differences in a cell's synaptic strengths and because it is not directly following spiking  
319 activity levels, it does not fulfill the expectations of a homeostat for spiking. Rather,  
320 AMPAergic scaling in many cases appears to act to homeostatically maintain the  
321 effectiveness of individual synapses.

322 Previously, in embryonic motoneurons we found that both GABAergic and  
323 AMPAergic scaling was mediated by changes in GABAR activation from spontaneous  
324 release rather than changes in spiking activity (13, 30). However, this was at a  
325 developmental stage when GABA was depolarizing and could potentially activate calcium  
326 signaling pathways. On the other hand, spike rate homeostasis through the GABAergic  
327 system is consistent with many previous studies in which sensory input deprivation *in vivo*  
328 led to rapid compensatory disinhibition (31, 32). For instance, one day of visual deprivation  
329 (lid suture) reduced evoked spiking in fast spiking parvalbumin (PV) interneurons and this  
330 was thought to underlie the recovery of pyramidal cell responses to visual input at this  
331 point (24). One day of whisker deprivation between P17 and P20 produced a reduction of  
332 PV interneuron firing that was due to reduced intrinsic excitability in the GABAergic PV  
333 neuron (20). In addition, one day after enucleation of the eye, the excitatory to inhibitory  
334 synaptic input ratio in pyramidal cells was dramatically increased due to large reductions  
335 in GABAergic inputs to the cell (23). This disinhibition occurs rapidly (22) and can outlast  
336 changes in glutamatergic counterparts (21, 23). These results highlight the important role

337 that inhibitory interneurons play in the homeostatic maintenance of spiking activity.  
338 Further, these cells have extensive connectivity with pyramidal cells, placing them in a  
339 strong position to influence network excitability (33, 34). Here we show a critical feature of  
340 homeostatic regulation of spiking is through one aspect of inhibitory control, GABAergic  
341 synaptic scaling.

342 It is not clear what specific features of spiking triggers GABAergic scaling.  
343 GABAergic scaling may require the reduction of spiking in multiple cells in a network,  
344 rather than a single cell. Reduced spiking with sporadic expression of a potassium channel  
345 in one hippocampal cell in culture did not induce GABAergic scaling in that cell (16). Such  
346 a result could be mediated by the release of some activity-dependent factor from a  
347 collection of neurons. BDNF is known to be released in an activity-dependent manner and  
348 has been shown to mediate GABAergic downward scaling following activity block  
349 previously in both hippocampal and cortical cultures and could mediate the process (15,  
350 35). On the other hand, another study increased spiking in hippocampal cultures and  
351 showed that homeostatic increases in mIPSC amplitudes were dependent on the  
352 individual cells spiking activity (17). Finally, in order to determine the importance of overall  
353 spike frequency vs. burst frequency in triggering GABAergic scaling, additional  
354 experiments will be necessary, as both were reduced in the CNQX-treated network (Figure  
355 1). Interestingly, our optogenetic restoration experiments found that downward scaling  
356 was completely abolished, and in fact mIPSC amplitudes were slightly increased  
357 compared to controls (Figure 4). Optogenetic stimulation did not fully restore burst  
358 frequency but did restore overall spiking, which is more consistent with the idea that  
359 downward scaling is due to reduced overall spike frequency, rather than reduced burst  
360 frequency. However, it is difficult to fully assess such parameters as our MEA recordings

361 of network spiking activity were subject to high levels of variability and our intracellular  
362 recordings were carried out on coverslips on a separate electrophysiology rig with whole  
363 cell capabilities. Whatever the specific features of spiking activity that trigger GABAergic  
364 scaling, our results strongly point to the idea that GABAergic scaling, rather than  
365 glutamatergic scaling, serves the critical role of a spiking homeostat, and highlights the  
366 fundamentally important homeostatic nature of GABAergic neurons.

367

## 368 **Materials and Methods**

369

370 **Cell Culture.** Brain cortices were obtained from C57BL/6J embryonic day 18 mice from  
371 BrainBits or harvested from late embryonic cortices. Neurons were obtained after cortical  
372 tissue was enzymatically dissociated with papain. Cell suspension was diluted to 2,500  
373 live cells per ml and 35,000 cells were plated on glass coverslips or planar MEA coated  
374 with polylysine (Sigma, P-3143) and laminin. The cultures were maintained in Neurobasal  
375 medium supplemented with 2% B27 and 2mM GlutaMax. No antibiotics or antimycotics  
376 were used. Medium was changed completely after one day in vitro (1 DIV) and half of the  
377 volume was then changed every 7 days. Spiking activity was monitored starting ~10 DIV  
378 to determine if a bursting phenotype was expressed and continuous recordings were  
379 made between 14-20 DIV. Cultures were discarded after 20 DIV. All protocols followed  
380 the National Research Council's Guide on regulations for the Care and Use of Laboratory  
381 Animals and from the Animal Use and Care Committee from Emory University.

382

383 **Whole cell recordings.** Pyramidal-like cells were targeted based on their large size.  
384 Whole-cell voltage clamp recordings of GABA mPSCs were obtained using an AxoPatch

385 200B amplifier, controlled by pClamp 10.1 software, low pass filtered at 5 KHz on-line and  
386 digitized at 20 KHz. Tight seals (>2 G $\Omega$ ) were obtained using thin-walled boro-silicate  
387 glass microelectrodes pulled to obtain resistances between 7 and 10 M $\Omega$ . The intracellular  
388 patch solution contained the following (in mM): CsCl 120, NaCl 5, HEPES 10, MgSO<sub>4</sub> 2,  
389 CaCl<sub>2</sub> 0.1, EGTA 0.5, ATP 3 and GTP 1.5. The pH was adjusted to 7.4 with KOH.  
390 Osmolarity of patch solution was between 280-300 mOsm. Artificial Cerebral-Spinal Fluid  
391 (ACSF) recording solution contained the following (in mM): NaCl 126, KCl 3, NaH<sub>2</sub>PO<sub>4</sub> 1,  
392 CaCl<sub>2</sub> 2, MgCl<sub>2</sub> 1, HEPES 10 and D-glucose 25. The pH was adjusted to 7.4 with NaOH.  
393 GABAergic mPSCs were isolated by adding to ACSF (in  $\mu$ M): TTX 1, CNQX 20 and APV  
394 50. Membrane potential was held at -70 mV and recordings were performed at room  
395 temperature. Series resistance during recordings varied from 15 to 20 M $\Omega$  and were not  
396 compensated. Recordings were terminated whenever significant increases in series  
397 resistance (> 20%) occurred. Analysis of GABA mPSCs was performed blind to condition  
398 with MiniAnalysis software (Synaptosoft) using a threshold of 5 pA for mPSC amplitude  
399 (50 mPSCs were taken from each cell and their amplitudes were averaged and each dot  
400 in the scatterplots represent the average of a single cell). Ratio plots of mIPSCs were  
401 constructed by taking a constant total number of mIPSCs from control and drug-treated  
402 cultures (e.g. 15 control cells with 40 mIPSCs from each cell and 20 CNQX-treated cells  
403 with 30 mIPSCs from each cell, 600 mIPSCs per condition). Then the amplitudes of  
404 mIPSCs from each condition were rank ordered from smallest to largest and plotted as a  
405 ratio of the drug-treated amplitude divided by the control amplitude, as we have described  
406 previously (4, 25, 36).  
407

408 **MEA recordings.** Extracellular spiking was recorded from cultures plated on planar 64  
409 channel MEAs (Multichannel Systems) recorded between 14-20 DIV in Neurobasal media  
410 with B27 and GlutaMax, as described above. Cultured MEAs were covered with custom  
411 made MEA rings with gas permeable ethylene-propylene membranes (ALA Scientific  
412 Instruments). Synapse software (Tucker-Davis Technologies TDT) was used to monitor  
413 activity on a TDT electrophysiological platform consisting of the MEA MZ60 headstage,  
414 the PZ2 pre-amplifier and a RZ2 BioAmp Processor. Recordings were band-pass filtered  
415 between 200 and 3000Hz and acquired at 25KHz. MEA's were placed in the MZ60  
416 headstage, which was housed in a 5% CO<sub>2</sub> incubator at 37°C. Drugs were added  
417 separately in a sterile hood and then returned to the MEA recording system. MEA spiking  
418 activity was analyzed offline with a custom-made Matlab program. The recordings  
419 acquired in Synapse software (TDT) were subsequently converted using the subroutine  
420 TDT2MAT (TDT) to Matlab files (Mathworks). The custom written Matlab program  
421 identified bursts of network spikes using an interspike interval-threshold detection  
422 algorithm (37). Spiking activity was labeled as a network burst when it met a user-defined  
423 minimum number of spikes (typically 10) occurring across a user-defined minimum  
424 number of channels (5-10) within a Time-Window (typically 0.1-0.3 seconds) selected  
425 based on the distribution of interspike intervals (typically between the first and 10th  
426 consecutive spike throughout the recording, Supplemental Figure 2). This program  
427 allowed us to remove silent channels and channels that exhibited high-noise levels. The  
428 identified network bursts were then visually inspected to ensure that these parameters  
429 accurately identified bursts. The program also computed network burst metrics including  
430 burst frequency, overall spike frequency and other characteristics.

431

432 **Optogenetic control of spiking.** For photostimulation experiments neurons were plated  
433 on 64-channel planar MEAs and transfected with AAV9-hSynapsin–ChR2(H134R)-eYFP  
434 (ChR2) produced by the Emory University Viral Vector Core. All cultures used in ChR2  
435 experiments, including controls, were transfected at 1 DIV. The genomic titer was  $1.8 \times 10^{13}$   
436 vg/ml. Virus was diluted 1 to 10,000 in growth medium and this dilution was used for the  
437 first medium exchange at DIV 1. Finally, the media containing the virus was washed out  
438 after 24 hour incubation. A 3 hour predrug recording was obtained in the TDT program  
439 that determined the average MEA-wide firing rate before adding CNQX. This custom  
440 written program from TDT then delivered a TTL pulse (50-100ms) that drove a blue light  
441 photodiode (465 nm, with a range from 0 to 29.4 mwatts/mm<sup>2</sup>, driven by a voltage  
442 command of 0-4V) from a custom-made control box that allowed for scaled illumination.  
443 The photodiode sat directly below the MEA for activation of the ChR2. This triggered a  
444 barrage of spikes resulting in a burst that looked very similar to a naturally occurring burst  
445 not in the presence of CNQX. The program measured the MEA-wide spike rate every 10  
446 seconds and if the rate fell below the set value established from the predrug average, an  
447 optical stimulation (50-100ms) was delivered triggering a burst which then increased the  
448 average firing rate, typically above the set point.

449

450 **Statistics.** Estimation statistics have been used throughout the manuscript. 5000  
451 bootstrap samples were taken; the confidence interval is bias-corrected and accelerated.  
452 The *P* value(s) reported are the likelihood(s) of observing the effect size(s), if the null  
453 hypothesis of zero difference is true. For each permutation *P* value, 5000 reshuffles of the  
454 control and test labels were performed (Moving beyond P values: data analysis with  
455 estimation graphics (38).

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462

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464 Tucker Davis Technologies for helping us write the Synapse Program that ran the MEA  
465 recording/optogenetic stimulation software. We would also like to thank Dr. Gary Bassell  
466 for providing us with some of the mice used in culture experiments.

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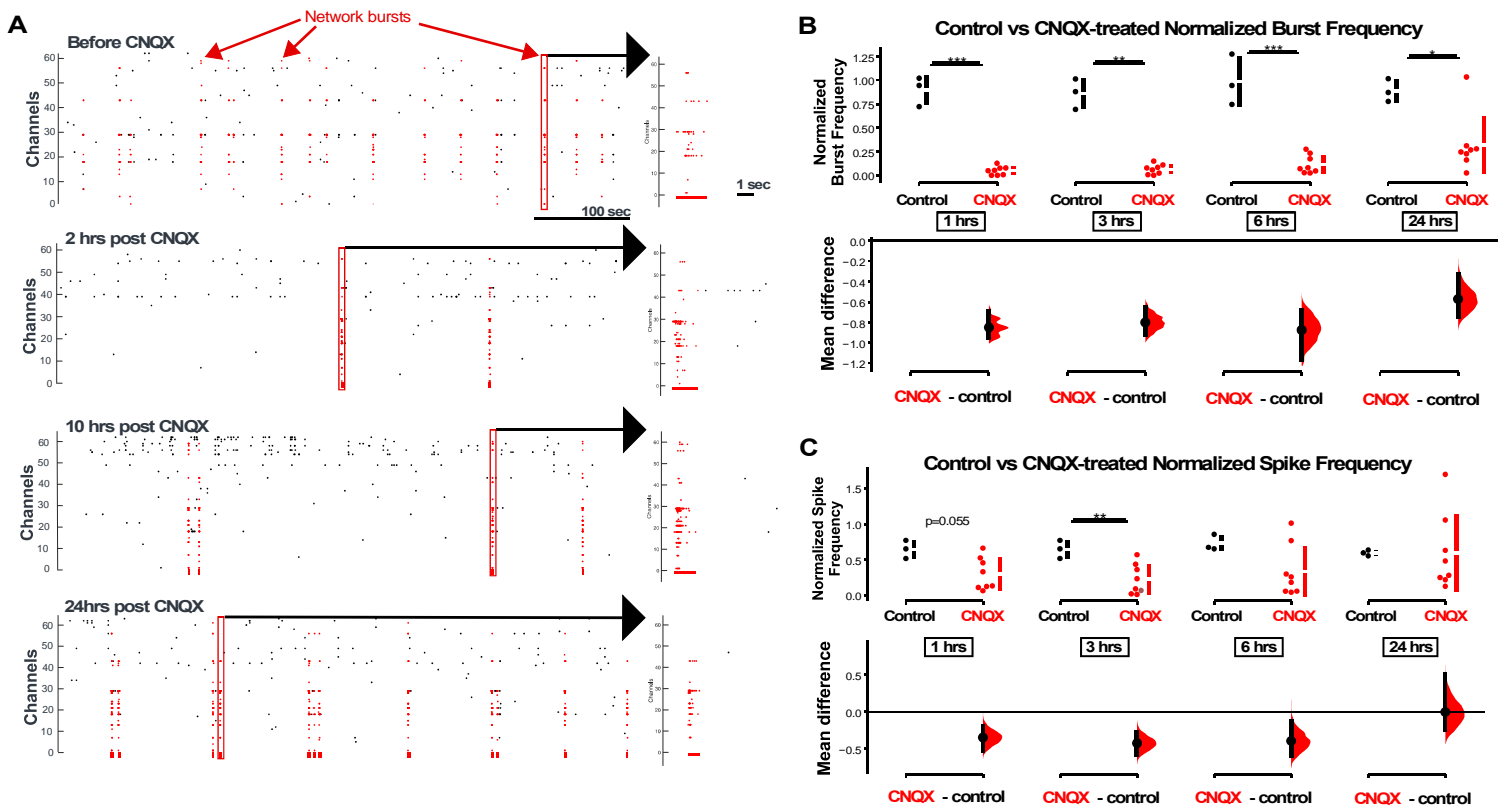


Figure 1. AMPAergic blockade reduces burst frequency and overall spike rate. A) Network bursts can be identified by detected spikes (red dots) time-locked in multiple channels of the MEA (Y axis). One burst (highlighted in red rectangle) is expanded in time and shown in the raster plot on the right. This is illustrated before CNQX (top) and then repeated below at 2hrs, 10 hrs, and 24 hrs following the addition of CNQX. B) The normalized burst rate is shown in control cultures and then following application of CNQX for 24 hrs. C) The normalized overall spike rate is shown in control cultures and following CNQX addition over 24 hrs. The mean differences at different time points are compared to control and displayed in Cumming estimation plots. Significant differences denoted by \*  $p \leq 0.05$ , \*\*  $p \leq 0.01$ , \*\*\*  $p \leq 0.001$ . Recordings from single cultures (filled circles), where mean values (represented by the gap in the vertical bar) and SD (vertical bars) are plotted on the upper panels. Mean differences between control and treated groups are plotted on the bottom panel, as a bootstrap sampling distribution (mean difference is represented by a filled circles and the 95% CIs are depicted by vertical error bars).

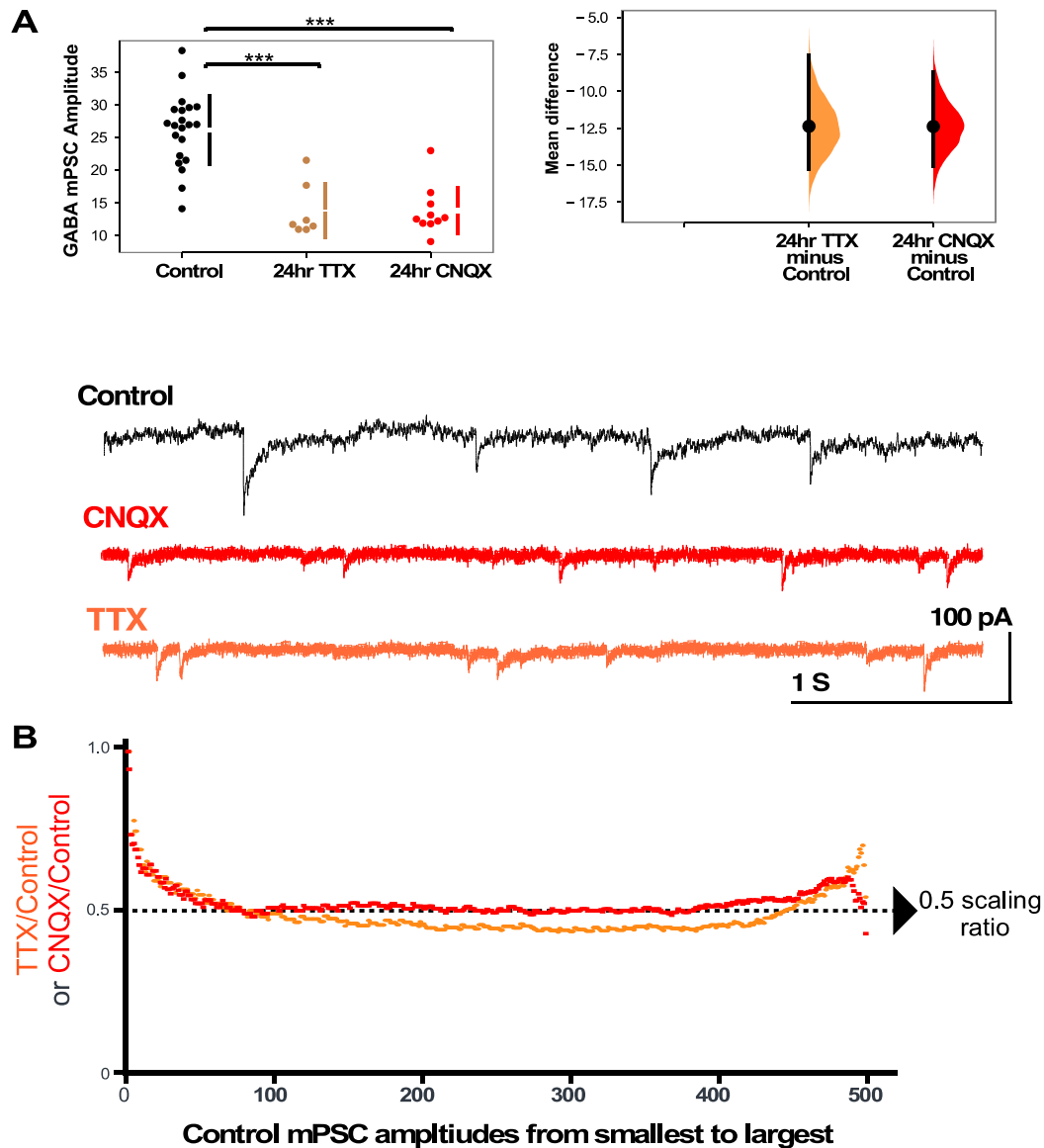
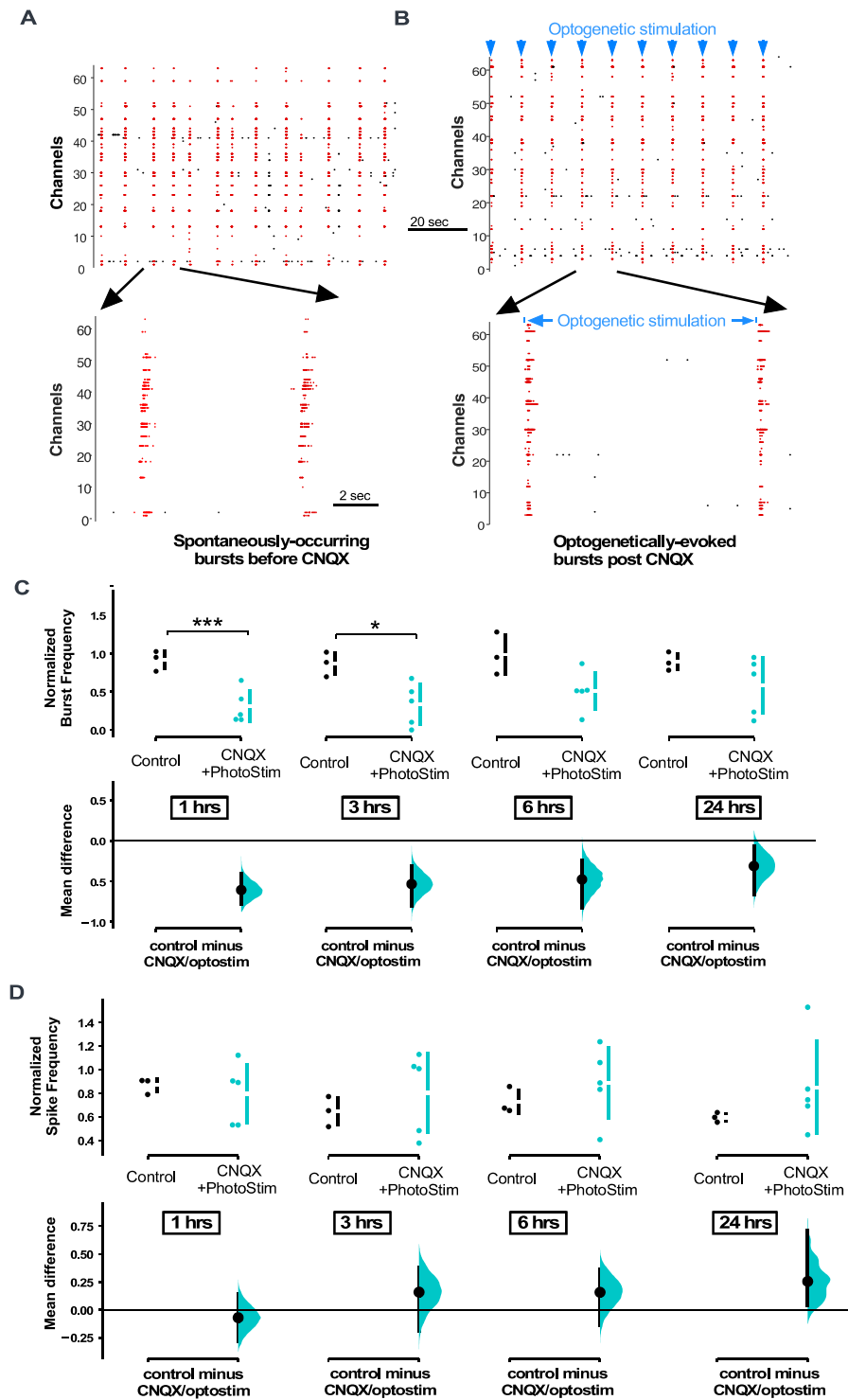


Figure 2. Both activity and AMPAR blockade cause a reduction in mIPSC amplitudes that appear to scale down. A) CNQX and TTX produce a reduction in average amplitude of mIPSCs as shown in the scatter plot. The mean differences are compared to control and displayed in Cumming estimation plots. Significant differences denoted by \*\*\*  $p \leq 0.001$ . GABAergic mPSC amplitudes from single neurons (filled circles), where mean values (represented by the gap in the vertical bar) and SD (vertical bars) are plotted on the panels to the left. Mean differences between control and treated groups are plotted on the panel to the right, as a bootstrap sampling distribution (mean difference is represented by a filled circles and the 95% CIs are depicted by vertical error bars). Example traces showing mIPSCs are shown below. B) Scaling ratio plots show the relationship of mIPSC amplitudes from treated cultures compared to untreated cultures. All recordings taken from cultured neurons plated on coverslips, not MEAs.



**Figure 3.** MEA recordings show that optogenetic stimulation restores spiking activity in cultures treated with CNQX. **A)** Spontaneously-occurring bursts of spiking are identified (synchronous spikes/red dots). Expanded version of raster plot highlighting 2 bursts is shown below. **B)** Same as in **A)**, but after CNQX was added to the bath and bursts were now triggered by optogenetic stimulation (blue line shows duration of optogenetic stimulation). **C-D)** Average burst frequency (**C**) or spike rate (**D**) is compared for CNQX-treated cultures with optogenetic stimulation and control unstimulated cultures at 1hr, 3hrs, 6hrs, and 24hrs after addition of CNQX or vehicle (same control data presented in Figure 4). The mean differences at different time points are compared to control and displayed in Cumming estimation plots. Significant differences denoted by \*  $p \leq 0.05$ , \*\*\*  $p \leq 0.001$ . Recordings from single cultures (filled circles), where mean values (represented by the gap in the vertical bar) and SD (vertical bars) are plotted on the upper panels. Mean differences between control and treated groups are plotted on the bottom panel, as a bootstrap sampling distribution (mean difference is represented by a filled circles and the 95% CIs are depicted by vertical error bars).

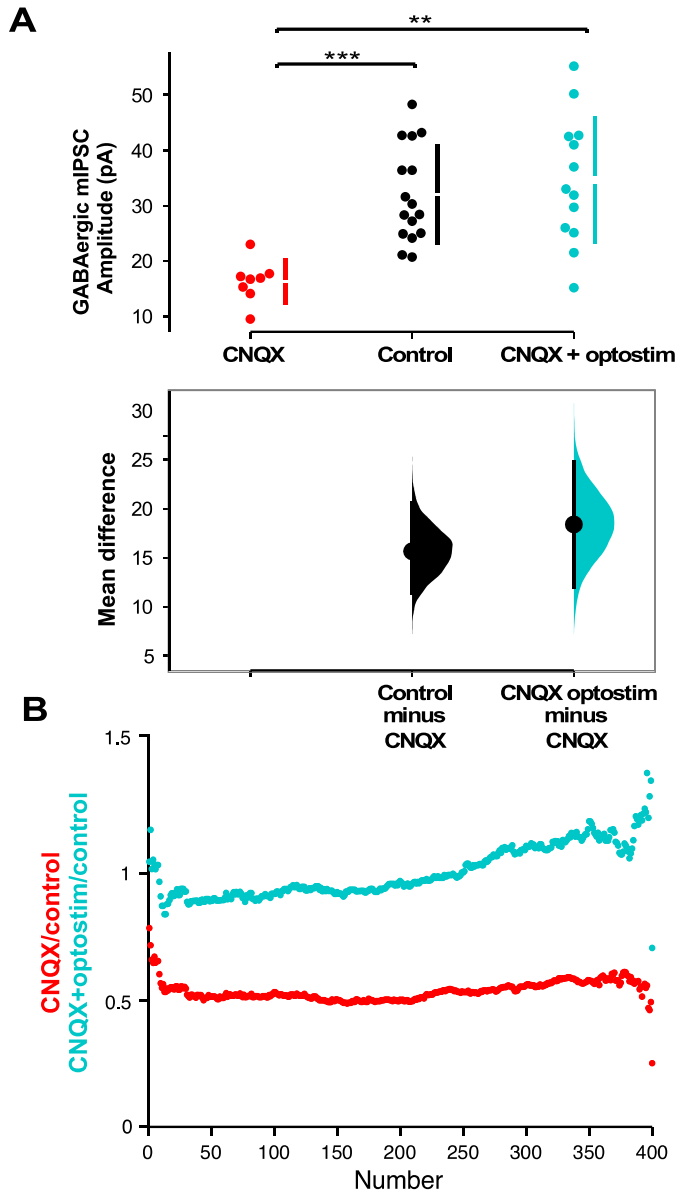


Figure 4. Optogenetic restoration of spiking activity in the presence of AMPAR blockade prevents GABAergic downscaling observed in CNQX alone. A) Scatter plot shows AMPAR blockade triggers a reduction in mIPSC amplitude compared to controls that is prevented when combined with optogenetic stimulation (optostim). The mean differences are compared to control and displayed in Cumming estimation plots. Significant differences denoted by \*\*  $p \leq 0.01$ , \*\*\*  $p \leq 0.001$ . GABAergic mIPSC amplitudes from single neurons (filled circles), where mean values (represented by the gap in the vertical bar) and SD (vertical bars) are plotted on the upper panels. Mean differences between control and treated groups are plotted on the bottom panel, as a bootstrap sampling distribution (mean difference is represented by a filled circles and the 95% CIs are depicted by vertical error bars). B) Scaling ratio plots show largely multiplicative relationships to control values for both CNQX and CNQX + photostimulation treatments. Cultured neurons for these recordings were obtained from cells plated on MEAs (control, CNQX, and CNQX+optostim).

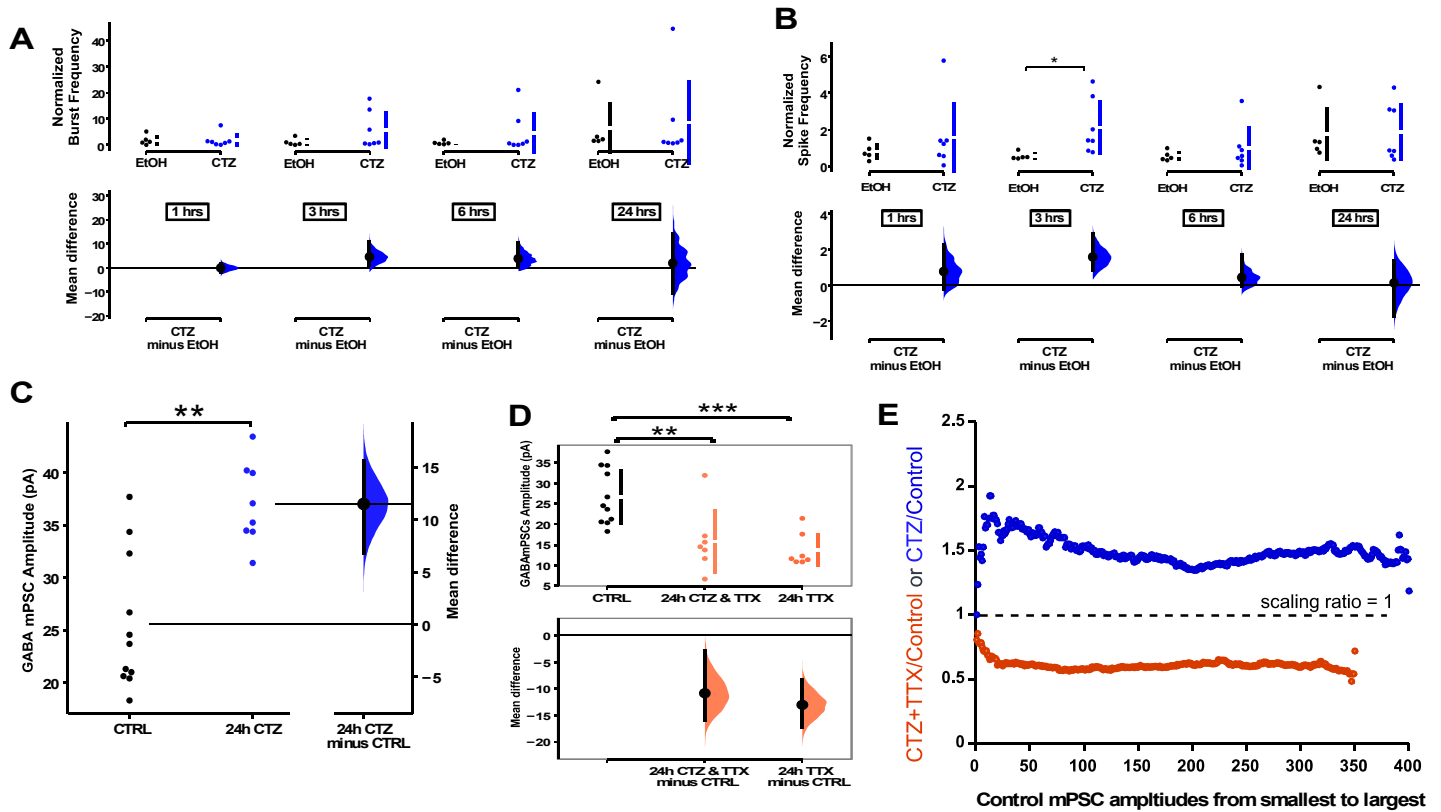
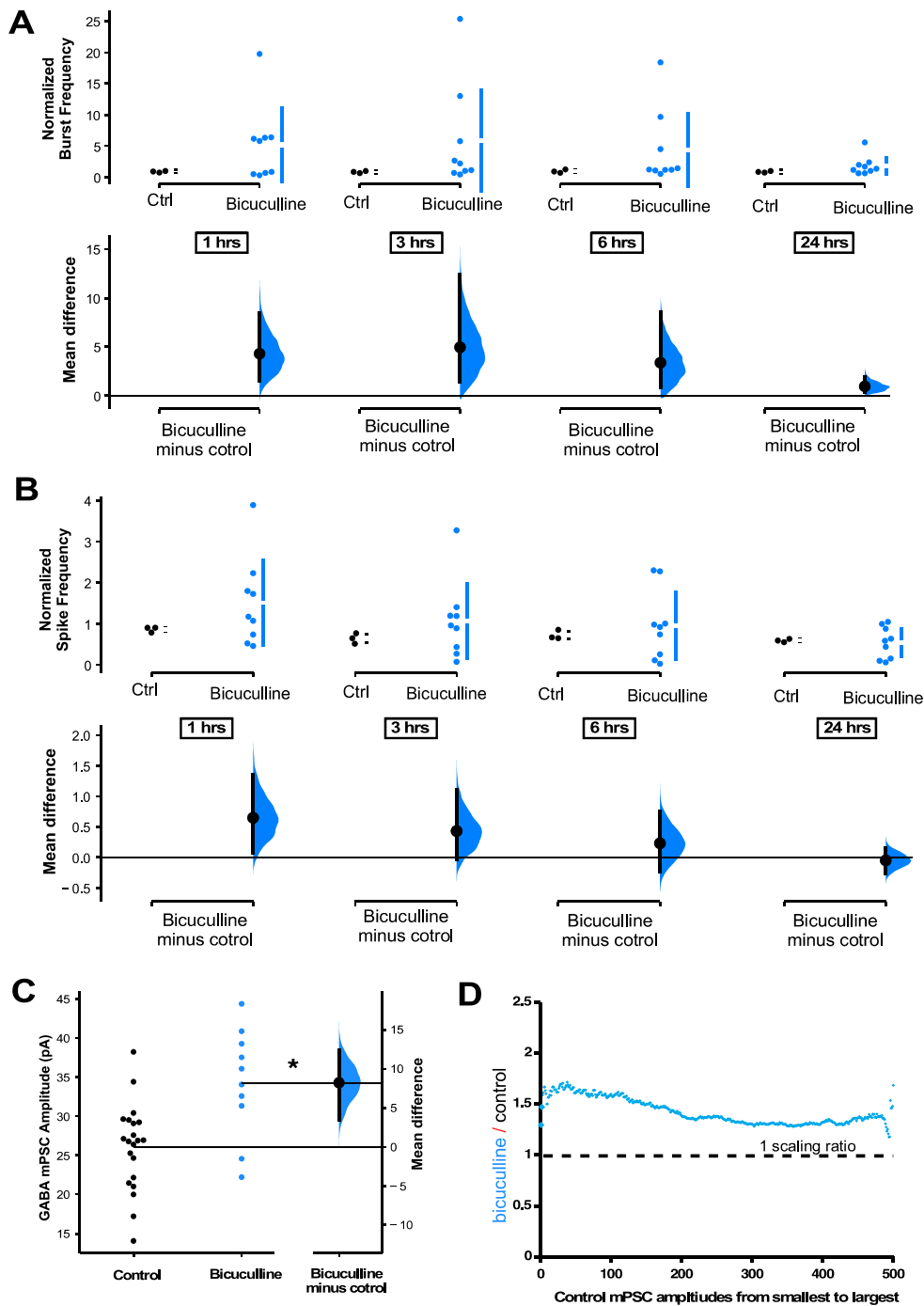
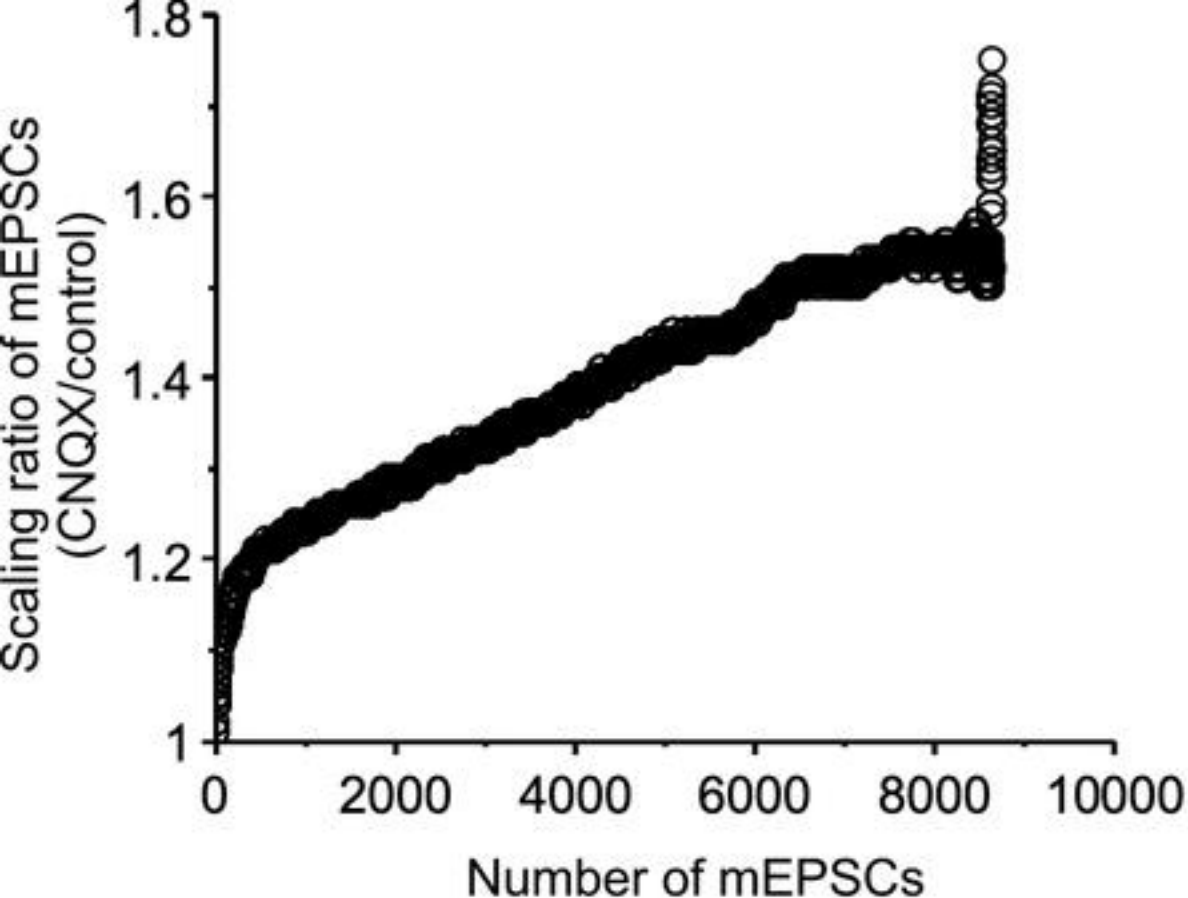


Figure 5. GABAergic upscaling was also triggered by changes in spiking activity rather than AMPAR activation. MEA recordings show that CTZ trended toward increases of both burst rate (A) and overall spike frequency (B) during the 24 hr application, and achieved significance at the 3 hr timepoint for spike frequency. C) CTZ treatment (dissolved in 1:1000 dilution of ethanol (EtOH)) led to an increase in mIPSC amplitude compared to control cultures (equivalent volume of 1:1000 ethanol solution). D) CTZ combined with TTX (in 1:1000 ethanol) produced a reduction of mIPSC amplitude compared to controls (that was no different than TTX alone). The mean differences at different time points or conditions are compared to control and displayed in Cumming estimation plots. Significant differences denoted by \*  $p \leq 0.05$ , \*\*  $p \leq 0.01$ , \*\*\*  $p \leq 0.001$ . Recordings from single cultures (filled circles), where mean values (represented by the gap in the vertical bar) and SD (vertical bars) are plotted on the upper panels. Mean differences between control and treated groups are plotted on the bottom panel, as a bootstrap sampling distribution (mean difference is represented by a filled circle and the 95% CIs are depicted by vertical error bars). E) Scaling ratios show that both CTZ-induced increases and CTZ+TTX-induced decreases were multiplicative. All mIPSC amplitudes recorded from cultures plated on coverslips, not MEAs.

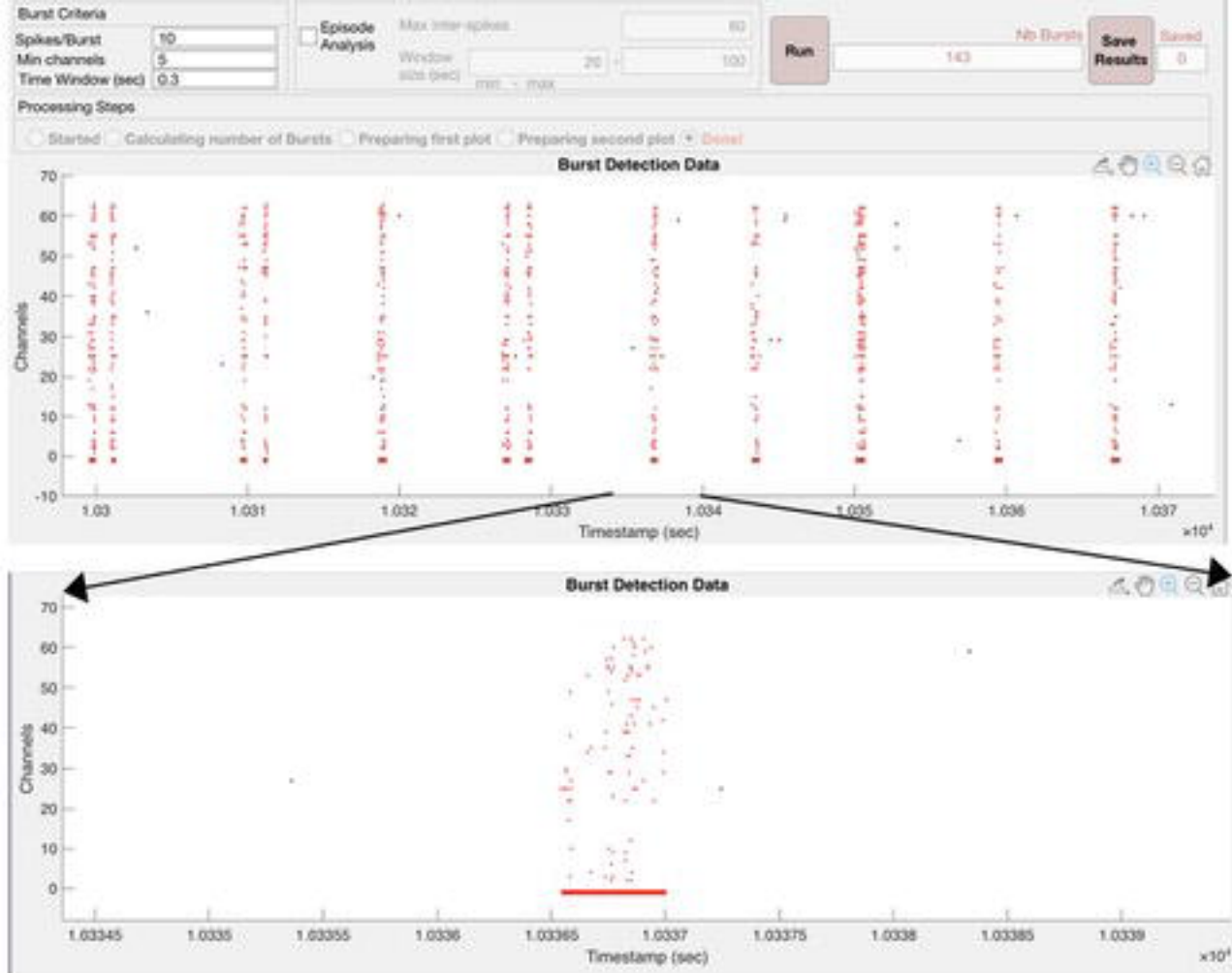


**Figure 6.** GABAergic upscaling is triggered by increased spiking activity rather than reduced GABAR activation. Bicuculline-treated cultures (24hrs) plated on MEA's trended upward in burst frequency (A) and overall spike frequency (B). Recordings from single cultures (filled circles), where mean values (represented by the gap in the vertical bar) and SD (vertical bars) are plotted on the upper panels. C) Bicuculline treatment (24hrs) produced an increase in mIPSC amplitudes. The mean difference is compared to control and displayed in Cumming estimation plots. Significant difference denoted by \*  $p \leq 0.05$ . Recordings from single neurons (filled circles), and mean values (represented by the horizontal line). Control and treated group is plotted, as a bootstrap sampling distribution (mean difference is represented by a filled circles and the 95% CI is depicted by vertical error bar). D) Ratio plots for bicuculline-induced increase in mIPSCs exhibits a multiplicative profile. All mIPSC amplitudes recorded from cultures plated on coverslips, not MEAs.

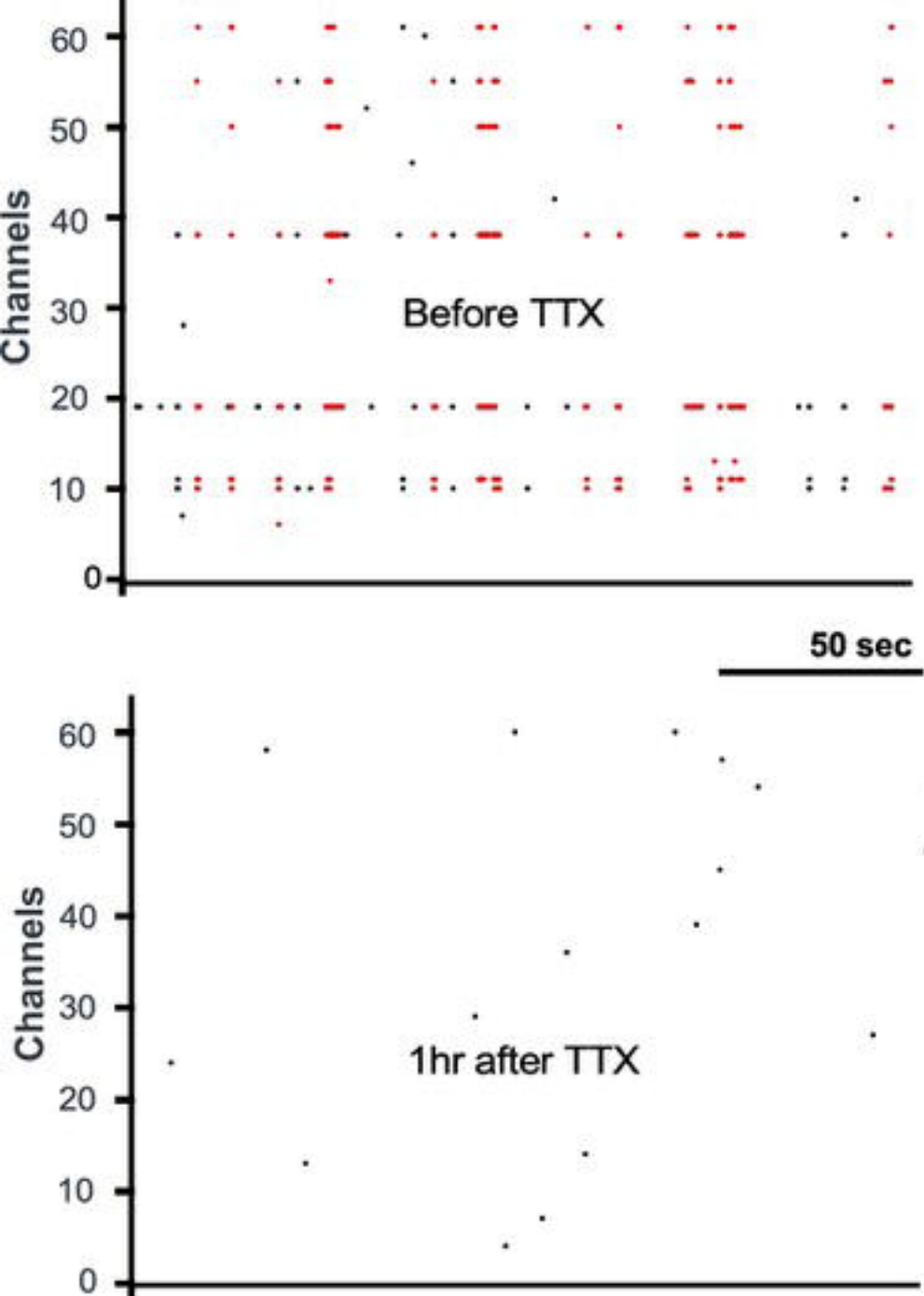




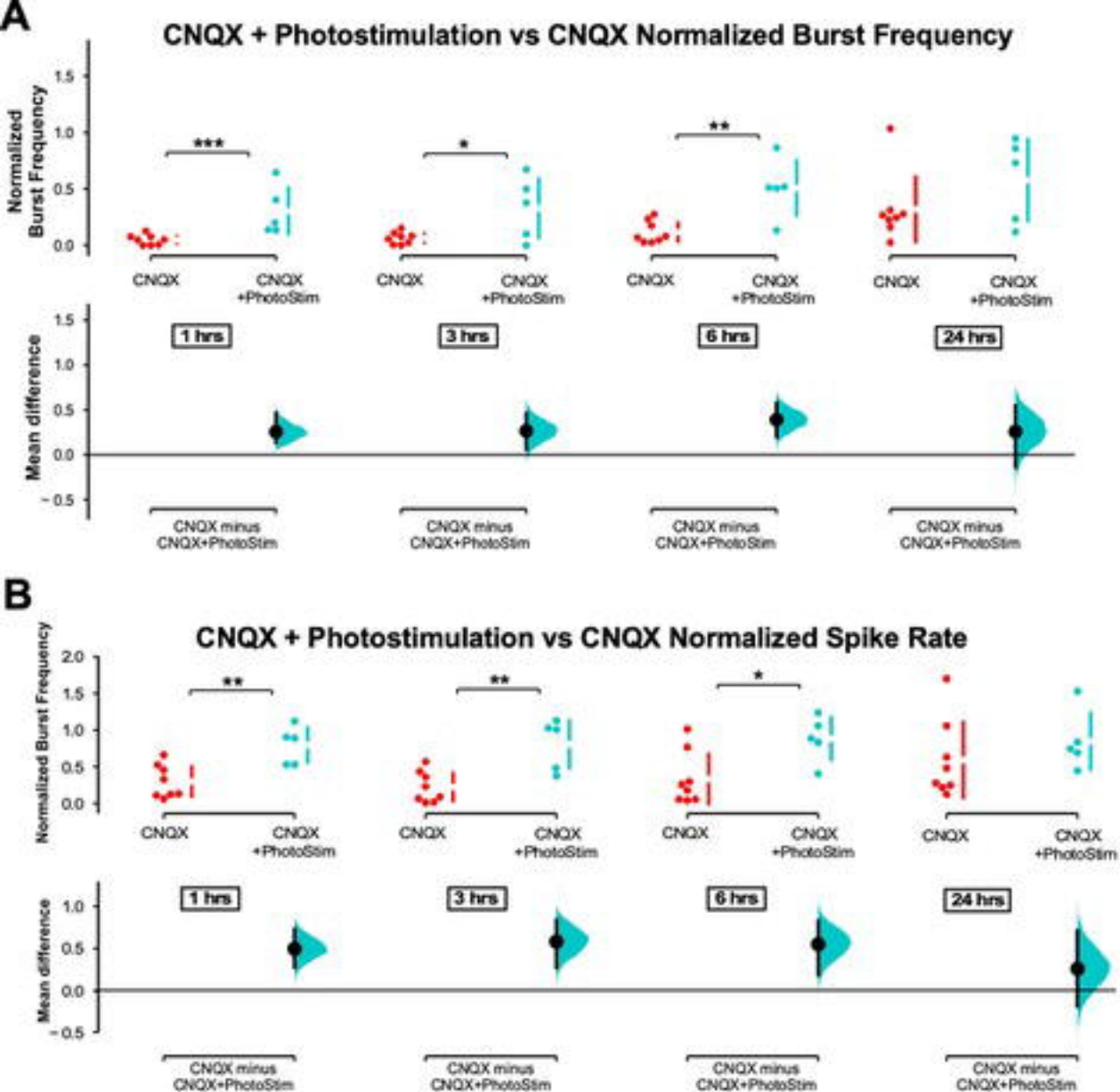
Supplemental Figure 1. AMPAR block triggered non-uniform AMPAergic scaling. Scaling ratio plot shows the ratio of rank ordered mEPSC amplitudes from CNQX-treated cultures (n=95 cells, 91mEPSCs/cell) divided by those from untreated cultures (n=91 cells, 95 mEPSCs/cell). The X axis represents the rank ordered number of mEPSCs (from smallest to largest).



**Supplemental Figure 2.** Custom written Matlab program identifies bursts in cortical cultures plated on MEA's by choosing the minimum number of spikes per burst (Spikes/Burst) across a minimum number of channels contributing to a burst (Min channels) within a maximum Time Window. Upper image shows the identification of bursts in red across 64 channels as a raster plot where each dot represents one spike detected on the MEA. The program then examines various parameters which were then exported to an excel spreadsheet for analysis. Burst identity and duration are shown as a red line positioned below the raster plot. A single burst is expanded and plotted below the upper image.



Supplemental Figure 3. Rasterplot of cortical culture plated on MEA demonstrating network bursting (red dots, upper plot). Bursts were then abolished after addition of TTX ( $1\mu\text{M}$ ) to the culture; a small number of spike detections remain, however these are likely to be noise that crosses the detection threshold.



Supplemental Figure 4. MEA recordings show optostim + CNQX increases burst frequency and spike frequency compared to CNQX alone. A-B) The average Burst rate (A) or spike frequency (B) is shown in cultures following CNQX + photostim or just CNQX over 24 hrs. The mean differences at different time points are compared to control and displayed in Cumming estimation plots. Significant differences denoted by \*  $p \leq 0.05$ , \*\*  $p \leq 0.01$ , \*\*\*  $p \leq 0.001$ . Recordings from single cultures (filled circles), where mean values (represented by the gap in the vertical bar) and SD (vertical bars) are plotted on the upper panels. Mean differences between control and treated groups are plotted on the bottom panel, as a bootstrap sampling distribution (mean difference is represented by a filled circles and the 95% CIs are depicted by vertical error bars).