# arXiv:1909.07023v1 [math.AG] 16 Sep 2019 [arXiv:1909.07023v1 \[math.AG\] 16 Sep 2019](http://arxiv.org/abs/1909.07023v1)

# THE DIMENSION OF THE IMAGE OF THE ABEL MAP ASSOCIATED WITH NORMAL SURFACE SINGULARITIES

JÁNOS NAGY AND ANDRÁS NÉMETHI

ABSTRACT. Let  $(X, o)$  be a complex normal surface singularity with rational homology sphere link and let  $\tilde{X}$  be one of its good resolutions. Fix an effective cycle Z supported on the exceptional curve and also a possible Chern class  $l' \in H^2(\tilde{X}, \mathbb{Z})$ . Define Eca<sup>l'</sup>(Z) as the space of effective Cartier divisors on Z and  $c^{l'}(Z)$ :  $\text{Eca}^{l'}(Z) \to \text{Pic}^{l'}(Z)$ , the corresponding Abel map. In this note we provide two algorithms, which provide the dimension of the image of the Abel map.

Usually, dim  $Pic^{l'}(Z) = p_g$ , dim  $Im(c^{l'}(Z))$  and codim  $Im(c^{l'}(Z))$  are not topological, they are in subtle relationship with cohomologies of certain line bundles. However, we provide combinatorial formulae for them whenever the analytic structure on  $\widetilde{X}$  is generic.

The codim  $\text{Im}(c^{l'}(Z))$  is related with  $\{h^1(\tilde{X}, \mathcal{L})\}_{\mathcal{L}\in\text{Im}(c^{l'}(Z))}$ ; in order to treat the 'twisted' family  $\{h^1(\widetilde{X}, \mathcal{L}_0 \otimes \mathcal{L})\}_{\mathcal{L} \in \text{Im}(c^{l'}(Z))}$  we need to elaborate a generalization of the Picard group and of the Abel map. The above algorithms are also generalized.

# 1. INTRODUCTION

1.1. Fix a complex normal surface singularity  $(X, o)$  and let  $\widetilde{X}$  be one of its good resolutions. We assume that the link of  $(X, o)$  is a rational homology sphere. Denote by L the lattice  $H_2(\tilde{X}, \mathbb{Z})$ (endowed with its negative definite intersection form), by L' its dual lattice  $H^2(\tilde{X}, \mathbb{Z})$  and by  $\mathcal{S}' \subset L'$ the Lipman cone of antinef cycles. The irreducible exceptional curves are denoted by  $\{E_v\}_{v\in\mathcal{V}}$ , their duals in L' by  $\{E_v^*\}_{v\in\mathcal{V}}, E := \cup_v E_v$ . (For details see section [2\)](#page-4-0).

In [\[NN18a\]](#page-27-0) for any effective cycle  $Z \geq E$  and Chern class  $l' \in -S'$  the authors introduced (based on [\[Gro62,](#page-27-1) [Kl05,](#page-27-2) [Kl13\]](#page-27-3)) and investigated the set of effective Cartier divisors  $ECa^{l'}(Z)$  and the corresponding Abel maps  $c^{l'}(Z): \text{ECa}^{l'}(Z) \to \text{Pic}^{l'}(Z)$ , where  $\text{Pic}^{l'}(Z)$  is the affine subspace of the Picard group of line bundles over Z with Chern class  $l'$ . The image of the Abel map consists of line bundles without fixed components. [\[NN18a\]](#page-27-0) and follow-up articles contain several properties of the Abel map, e.g. characterisation when it is dominant, or its relationship with cohomological properties of line bundles. See [\[NN18b\]](#page-27-4) and [\[NN19a\]](#page-27-5) for the study in the case of generic and elliptic singularities. In all these treatments the investigation of the image  $\text{Im}(c^{l'}(Z))$  was extremely useful. The main goal of the present article is the computation of dim  $\text{Im}(c^{l'}(Z))$  and the deduction of several new consequences. We consider these as necessary steps towards a long–term final goal: the development of the Brill–Noether theory of normal surface singularities.

Though the dimension  $(l', Z)$  (and the homotopy type) of the connected complex manifold  $\mathrm{ECa}^{l'}(Z)$  is topological (i.e. it depends only on the link, or on the lattice L), the dimension  $h^1(\mathcal{O}_Z)$  of the target affine space  $Pic^{l'}(Z)$  depends essentially on the analytic structure: if we fix the topological

<sup>2010</sup> Mathematics Subject Classification. Primary. 32S05, 32S25, 32S50, 57M27 Secondary. 14Bxx, 14J80, 57R57. Key words and phrases. normal surface singularities, links of singularities, plumbing graphs, rational homology spheres, Abel map, effective Cartier divisors, Picard group, Brill–Noether theory, Laufer duality, surgery formulae,

superisolated singularities, cohomology of line bundles.

The authors are partially supported by NKFIH Grant "Élvonal (Frontier)" KKP 126683.

type (and Z), the cohomology group  $H^1(\mathcal{O}_Z)$  usually depends on the chosen analytic structure supported by the fixed topological type. The same is true for both dim  $\mathrm{Im}(c^{l'}(Z))$  and codim  $\mathrm{Im}(c^{l'}(Z))$ : though (surprisingly) there is a topological characterisation of those cases when  $c^{l'}(Z)$ ) is dominant, oppositely, the cases e.g. when  $c^{l'}(Z)$  is a point or it is a hypersurface have no such topological characterisations. In particular, both integers dim  $\text{Im}(c^{l'}(Z))$  and codim  $\text{Im}(c^{l'}(Z))$  are subtle analytical invariants. In fact, it turns out that codim  $\text{Im}(c^{l'}(Z))$  equals  $h^1(Z, \mathcal{L}_{gen}^{im})$ , where  $\mathcal{L}_{gen}^{im}$  is a generic line bundle from  $\text{Im}(c^{l'}(Z))$ . For more about such general facts regarding the Abel maps (and also about several concrete examples) see [\[NN18a,](#page-27-0) [NN18b,](#page-27-4) [NN19a\]](#page-27-5).

Maybe it is worth to emphasize that in the case of the Abel map associated with a smooth projective curve the dimension of the image is immediate (for this classical case consult e.g. [\[ACGH85,](#page-26-0) [Fl10\]](#page-27-6)). This (and almost any other comparison) shows the huge technical differences between the classical smooth curve cases and our situation (which, basically, is the Brill–Noether theory of a non–reduced exceptional curve supported by the exceptional set of a surface singularity resolution).

1.2. The algorithms. In the body of the article we present two inductive algorithm for the computation of  $d_Z(l') := \dim \operatorname{Im}(c^{l'}(Z))$ . The induction follows a sequential blow up procedure starting from the resolution  $\widetilde{X}$ . Write  $-l' = \sum_{v \in V} a_v E_v^* \in \mathcal{S}' \setminus \{0\}$  (hence each  $a_v \in \mathbb{Z}_{\geq 0}$ ). Then, for every  $v \in V$  with  $a_v > 0$  we fix  $a_v$  generic points on  $E_v$ , say  $p_{v,k_v}$ ,  $1 \leq k_v \leq a_v$ . Starting from each  $p_{v,k_v}$  we consider a sequence of blowing ups: first we blow up  $p_{v,k_v}$  and we create the exceptional curve  $F_{v,k_n,1}$ , then we blow up a generic point of  $F_{v,k_n,1}$  and we create  $F_{v,k_n,2}$ , and we do this, say,  $\mathbf{s}_{v,k_v}$  times (an exact bound is given in [3.2\)](#page-8-0). We proceed in this way with all points  $p_{v,k_v}$ , hence we get  $\sum_{v} a_v$  chains of modifications. Hence, a set of integers  $\mathbf{s} = \{\mathbf{s}_{v,k_v}\}_{v \in \mathcal{V}, 1 \leq k_v \leq a_v}$  provides a modification  $\pi_{\mathbf{s}}: X_{\mathbf{s}} \to X$ . In  $X_{\mathbf{s}}$  we find the exceptional curves  $\cup_{v \in \mathcal{V}} E_v \cup \cup_{v,k_v} \cup_{1 \leq t \leq \mathbf{s}_{v,k_v}} F_{v,k_v,t}$ . At each level **s** we set  $Z_s := \pi_s^*(Z)$  and  $-l'_s := \sum_{v,k_v} F_{v,k_v,s_{v,k_v}}^*$  (in  $L'(\widetilde{X}_s)$ , where  $F_{v,k_v,0} = E_v$ ). We also write  $d_s := \dim \mathrm{Im}(c^{l'_s}(Z_s))$ . Note that  $d_0 = d_Z(l')$ , and it turns out that  $d_s = 0$  whenever the entries of **s** are large enough. (Sometimes we abridge the pair  $(v, k_v)$  by  $(v, k)$ .)

In order to run an induction, for any **s** and  $(v, k)$  let  $s^{v,k}$  denote that tuple which is obtained from **s** by increasing  $\mathbf{s}_{v,k}$  by one. The inductive algorithm compares  $d_{\mathbf{s}}$  with all possible  $d_{\mathbf{s}^{v,k}}$ .

Using the fact (cf. the proof of Theorem [8.1.1\)](#page-23-0) that  $\operatorname{ECa}_{s^{v,k}}^{l'_{s^{v,k}}}(Z_{s^{v,k}})$  is birational with a codimension one subspace of  $ECa^{l'_{s}}(Z_{s}),$  we obtain

$$
(1.2.1) \t\t d_{\mathbf{s}} - d_{\mathbf{s}^{v,k}} \in \{0,1\}.
$$

A very subtle part of the theory is to identify all those pairs  $(s, s^{v,k})$ , where the gaps/jumps occur (that is, when the difference in  $(1.2.1)$  is 0 or 1). The identification of such places carries a deep analytic content (and even if in some cases it can be characterised topologically  $-e.g.,$  in the case of a generic analytic structure —, it might be guided by rather complicated combinatorial patterns).

Example 1.2.2. To create a good intuition for such a phenomenon, let us recall the classical case of Weierstrass points. Let C be a smooth projective complex curve of genus  $g$  and let us fix a point  $p \in C$ . For any  $s \in \mathbb{Z}_{\geq 0}$  consider  $\ell(s) := h^0(C, \mathcal{O}_C(sp))$ . Then  $\ell(0) = 1$  and  $\ell(2g - 1 + k) = g + k$ for  $k \geq 0$ . Moreover,  $\ell(s) - \ell(s-1) \in \{0,1\}$  for any  $s \geq 0$ . Those s values when this difference is 0 are called the gaps, there are g of them. For a generic point the gaps are  $\{1, 2, \ldots, g\}$ , otherwise p is called a Weierstrass point. For Weierstrass point the set of gaps might depend on the choice of p and on the analytic structure of C. The characterization of all possible gap–sets is still unsettled.

In order to characterize completely our gaps/jump places, we will use test functions. For such a test function, say  $\tau_s$ , we will require the following properties. Firstly, it is a function  $s \mapsto \tau_s \in \mathbb{Z}_{\geq 0}$ , such that  $d_s \leq \tau_s$  for any s. Usually,  $\tau_s$  is defined by a weaker (more robust) geometric construction, which approximates/bounds  $\text{Im}(c^{l'}(Z))$ , and which hopefully is easier to compute. Secondly,  $t_s$ satisfies the following remarkable *testing property* formulated by the next pattern theorem.

Pattern Theorem. The sequence of integers  $d_s$  are determined inductively as follows:

 $(1)$   $d_{s} - d_{s^{v,k}} \in \{0,1\}$   $(cf. (1.2.1)),$  $(cf. (1.2.1)),$  $(cf. (1.2.1)),$ 

(2) if for some fixed s the numbers  $\{d_{s^v,k}\}_{v,k}$  are not the same, then  $d_s = \max_{v,k} \{d_{s^v,k}\}\$ . In the case when all the numbers  $\{d_{s_v,k}\}_{v,k}$  are the same, then if this common value  $d_{s_v,k}$  equals  $\tau_s$ , then  $d_{s} = \tau_{s} = d_{s^{v,k}}$ ; otherwise  $d_{s} = d_{s^{v,k}} + 1$ .

More precisely, we wish to determine from the collection  $\{d_{s_v,k}\}_{v,k}$  the term  $d_s$  (as a decreasing induction). Using (1) this is ambiguous only if all this numbers are the same, say d. In this case  $d_s$ can be d or  $d+1$ . Well, if the inequality (†)  $d_s \leq \tau_s$  is not obstructed by the choice of  $d_s = d+1$ , then this value is taken. Otherwise it is d. That is,  $d_s$  is as large as it can be, modulo (1) and (†).

This can be an interesting procedure even if s is a 1–entry parameter. E.g., in the case of classical Weierstrass points, the inequality  $\ell(s) \leq 1 + |s/2|$  (valid for  $s \leq 2g - 1$ ), given by Clifford's theorem, by this 'maximal–testing procedure' gives the sequence  $\{1, 1, 2, 2, \ldots\}$  for  $s \geq 0$ , with gaps  $\{1, 3, \ldots, 2g - 1\}$ . In fact, in the case of hyperelliptic curves the Weierstrass points are the branch points of the hyperelliptic projection and their gap–set is uniformly  $\{1, 3, 5, \ldots, 2q - 1\}$ . (However, for non–hyperelliptic curves we are not aware of the existence of a non-trivial test function.)

If the Pattern Theorem from above holds, then it turns out (see e.g. Corollary [3.2.4\)](#page-9-0) that  $d_{\mathbf{s}} = \min_{\mathbf{s} \leq \tilde{\mathbf{s}}} \{ |\tilde{\mathbf{s}} - \mathbf{s}| + \tau_{\tilde{\mathbf{s}}} \}$  for any  $\mathbf{s}$ . (Here  $|\mathbf{s}| = \sum_{v,k} s_{v,k_v}$ .) In particular,

(1.2.3) 
$$
d_Z(l') = d_0 = \min_{0 \le s} \{|s| + \tau_s\}.
$$

Such type of formulas already appeared in the computation of  $d_Z(l')$  for weighted homogeneous singularities (and specific l') in [\[NN18a\]](#page-27-0), case which lead us to the present general case. (The type of formula, and also the conceptual approach behind, can also be compared e.g. with Pflueger's formula regarding the dimension of the Brill–Noether varieties of a generic smooth projective curve  $C$  with fixed gonality, cf.  $[P16, JR17]$  $[P16, JR17]$ .) Nevertheless, the approach of the testing function (and the corresponding min–type close formulae) is the novelty of the present manuscript.

1.3. The testing functions for  $d_s$ . Obviously, the above theorem is valuable only if  $\tau_s$  is essentially different than  $d_s$  and also if it is computable from other different geometrical behaviours. It is also clear that not any upper bound  $d_s \leq \tau_s$  satisfies the testing property (2): this is satisfied only for bounds  $\tau(s)$  with very structural relationship, symbiosis with the original  $d_s$ . Hence it is not easy to find testing functions, they must 'testify' about some deep geometric property: even the existence of computable testing function(s) is really remarkable.

Our first test function is defined as follows. Consider again  $Z \geq E$ ,  $l' \in -S'$  associated with a resolution  $\widetilde{X}$ , as above. Then, besides the Abel map  $c^{l'}(Z)$  one can consider its 'multiples'  ${c^{nl'}(Z)}_{n\geq 1}$ . It turns out that  $n \mapsto \dim \text{Im}(c^{nl'}(Z))$  is a non-decreasing sequence,  $\text{Im}(c^{nl'}(Z))$ is an affine subspace for  $n \gg 1$ , whose dimension  $e_Z(l')$  is independent of  $n \gg 0$ , and essentially it depends only on the E<sup>\*</sup>-support of l' (i.e., on  $I \subset V$ , where  $-l' = \sum_{v \in I} a_v E_v^*$  with all  $\{a_v\}_{v \in I}$ nonzero). From construction  $d_Z(l') \leq e_Z(l')$ , however they usually are not the same. Furthermore,  $e_Z(l') = e_Z(l)$  plays a crucial role in different analytic properties of  $\tilde{X}$  (surgery formula,  $h^1(\mathcal{L})$ computations, base point freeness properties). For details see [\[NN18a\]](#page-27-0) or subsections [2.2](#page-5-0) and [2.4](#page-6-0) here, especially definition [3.1.1](#page-9-1) and Theorem [2.2.5](#page-6-1) (and also the proof of Theorem [3.2.2\)](#page-9-2). Now, at any step of the tower  $\tilde{X}_s$  one can consider this invariant  $e_{Z_s}(l'_s)$ , an integer denoted by  $e_s$ .

Theorem [3.2.2](#page-9-2) (the 'first algorithm') guarantees that  $e_s$  is a testing function for  $d_s$ .

The invariants  $\{e_s\}$  are still hard to compute (cf. [4.1\)](#page-10-0). However, the first algorithm is a necessary intermediate step for the second algorithm, valid for another testing function.

The advantage of the second testing function is that it is defined at the level of  $\tilde{X}$  only. It is based on Laufer's perfect pairing  $H^1(\mathcal{O}_Z) \otimes \mathcal{G}_Z \to \mathbb{C}$ , where  $\mathcal{G}_Z$  denoted the space of classes of forms  $H^0(\tilde{X}, \Omega^2_{\tilde{X}}(Z))/H^0(\tilde{X}, \Omega^2_{\tilde{X}})$ .  $\mathcal{G}_Z$  has a natural divisorial filtration  $\{\mathcal{G}_l\}_{0 \leq l \leq Z}$ , where  $\mathcal{G}_l$  is generated by forms with pole  $\leq l$ . Its dimension (via Laufer duality) is  $h^1(\mathcal{O}_l)$ . (For more see [\[NN18a\]](#page-27-0) and [2.4](#page-6-0) here.) Next, for any s define the cycle  $l_s \in L$  of  $\widetilde{X}$  by

$$
l_{\mathbf{s}} := \min \Big\{ \sum_{v \in \mathcal{V}} \min_{1 \leq k_v \leq a_v} \{ \mathbf{s}_{v,k_v} \} E_v, Z \Big\} \in L.
$$

Set also  $g_s := \dim \mathcal{G}_{l_s}$  as well. It turns out (see [4.1\)](#page-10-0) that  $d_s \le e_s \le h^1(\mathcal{O}_Z) - g_s$ . Usually, the equality  $e_s = h^1(\mathcal{O}_Z) - g_s$  rarely happens, however, it happens whenever the testing property requires it! Theorem [4.1.2](#page-10-1) (the 'second algorithm') says that  $h^1(\mathcal{O}_Z) - g_s$  is a testing function for  $d_s$  indeed.

The cases of superisolated singularities is exemplified.

The second algorithm has several consequences. E.g., a 'numerical' one, cf. [\(4.1.6\)](#page-11-0):

$$
d_Z(l') = \min_{0 \le Z_1 \le Z} \{ (l', Z_1) + h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1}) \}, \text{ or, } \operatorname{codim} \operatorname{Im}(c^{l'}(Z)) = \max_{0 \le Z_1 \le Z} \{ h^1(\mathcal{O}_{Z_1}) - (l', Z_1) \}.
$$

The cycles  $Z_1$  for which the above minimum is realized have several additional geometric properties (cf. Lemma [4.1.14](#page-12-0) and [4.2\)](#page-13-0). In particular, such a  $Z_1$  imposes the following conceptual consequence:

Structure Theorem for the image of the Abel map. Fix a resolution  $\widetilde{X}$ , a cycle  $Z \geq E$  and a Chern class  $l' \in -S'$  as above. Then there exists an effective cycle  $Z_1 \leq Z$ , such that: (i) the map  $ECa^{l'}(Z) \rightarrow H^1(Z_1)$  is birational onto its image, and (ii) the generic fibres of the restriction of  $r, r^{im}: \mathrm{Im}(c^{l'}(Z)) \to \mathrm{Im}(c^{l'}(Z_1)),$  have dimension  $h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1})$ . In particular, for any such  $Z_1$ , the space  $\text{Im}(c^{l'}(Z))$  is birationally equivalent with an affine fibration over  $\text{ECa}^{l'}(Z_1)$  with affine fibers of dimension  $h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1})$ .

1.4. The case of generic analytic structure. In section [5](#page-14-0) we prove that if  $\widetilde{X}$  has a generic analytic structure (in the sense of [\[La73,](#page-27-9) [NN18b\]](#page-27-4)), and  $Z \ge E$  and  $l' \in -S'$  then both dim Im( $c^{l'}(Z)$ ) and codim $\text{Im}(c^{l'}(Z))$  are topological. E.g., we have (where  $\chi$  is the usual Riemann–Roch expression):

(1.4.1) 
$$
\text{codim}\,\text{Im}(c^{l'}(Z)) = \max_{0 \leq Z_1 \leq Z} \left\{ -(l', Z_1) - \chi(Z_1) + \chi(E_{|Z_1|}) \right\}.
$$

The maximum at the right hand side is realized e.g. for the cohomology cycle of  $\mathcal{L}_{gen}^{im} \in \text{Im}(c^{l'}(Z)) \subset$  $Pic^{l'}(Z)$ . Furthermore,

<span id="page-3-0"></span>
$$
h^{1}(Z, \mathcal{L}) \ge \max_{0 \le Z_1 \le Z} \left\{ - (l', Z_1) - \chi(Z_1) + \chi(E_{|Z_1|}) \right\}
$$

for any  $\mathcal{L} \in \text{Im}(c^{l'}(Z))$  and equality holds for generic  $\mathcal{L}_{gen}^{im} \in \text{Im}(c^{l'}(Z)).$ 

The identity [\(1.4.1\)](#page-3-0), valid for a generic analytic structure of  $\widetilde{X}$ , extends to an optimal inequality valid for any analytic structure.

**Theorem 1.4.2.** Consider an arbitrary normal surface singularity  $(X, o)$ , its resolution  $\widetilde{X}$ ,  $Z \ge E$ and  $l' \in -S'$ . Then codim  $\text{Im}(c^{l'}(Z)) = h^1(Z, \mathcal{L}_{gen}^{im})$  satisfies

(1.4.3) 
$$
\text{codim}\, \text{Im}(c^{l'}(Z)) \geq \max_{0 \leq Z_1 \leq Z} \left\{ -(l', Z_1) - \chi(Z_1) + \chi(E_{|Z_1|}) \right\}.
$$

In particular, for any  $\mathcal{L} \in \mathrm{Im}(c^{l'}(Z))$  one also has

<span id="page-3-1"></span>
$$
h^{1}(Z, \mathcal{L}) \geq h^{1}(Z, \mathcal{L}_{gen}^{im}) = \text{codim}\, \text{Im}(c^{l'}(Z)) \geq \max_{0 \leq Z_{1} \leq Z} \big\{ -(l', Z_{1}) - \chi(Z_{1}) + \chi(E_{|Z_{1}|}) \big\}.
$$

The right hand side of [\(1.4.3\)](#page-3-1) is a sharp topological lower bound for codim  $\text{Im}(c^{l'}(Z))$ . The inequality [\(1.4.3\)](#page-3-1) can also be interpreted as the semi-continuity statement

 $\text{codim}\, \text{Im}(c^{l'}(Z))$ (arbitrary analytic structure)  $\geq \text{codim}\, \text{Im}(c^{l'}(Z))$ (generic analytic structure).

1.5. **Generalization.** Sections [7](#page-19-0) and [8](#page-22-0) target generalizations of the previous parts, valid for  $\{h^1(Z, \mathcal{L})\}_{\mathcal{L}\in\text{Im}c^{l'}(Z)}$ , to the shifted case, valid for  $\{h^1(Z, \mathcal{L}_0 \otimes \mathcal{L})\}_{\mathcal{L} \in \text{Im}c^{l'}(Z)}$ , where  $\mathcal{L}_0 \in \text{Pic}^{l'_0}(Z)$  is a fixed bundle without fixed components. In order to run a parallel theory based on Abel maps, we have to create the new Abel map  $c_{\mathcal{L}_0}^{l'}(Z)$  :  $\mathrm{ECa}^{l'}(Z) \rightarrow \mathrm{Pic}_{\mathcal{L}}^{l'}$  $\iota_{\mathcal{L}_0}^{l'}(Z)$ , where  $\operatorname{Pic}_{\mathcal{L}}^{l'}$  $L_{\mathcal{L}_0}(Z)$  is an affine space associated with the vector space  $\operatorname{Pic}^0_{\mathcal{L}_0}(Z) \simeq H^1(Z, \mathcal{L}_0)$ . (Pic<sup>l'</sup>  $L_{\mathcal{L}_0}(Z)$  appears also as an affine quotient of the classical  $Pic^{l'}(Z)$  as well.) Section [7](#page-19-0) contains the definitions and the needed exact sequences. Section [8](#page-22-0) contains the extension of the two algorithms to this situation.

# 2. Preliminaries

<span id="page-4-0"></span>2.1. Notations regarding a good resolution. [\[N99b,](#page-27-10) [N07,](#page-27-11) [N12,](#page-27-12) [NN18a\]](#page-27-0) Let  $(X, o)$  be the germ of a complex analytic normal surface singularity, and let us fix a good resolution  $\phi : \tilde{X} \to X$  of  $(X, o)$ . Let E be the exceptional curve  $\phi^{-1}(0)$  and  $\cup_{v \in \mathcal{V}} E_v$  be its irreducible decomposition. Define  $E_I := \sum_{v \in I} E_v$  for any subset  $I \subset \mathcal{V}$ .

We will assume that each  $E<sub>v</sub>$  is rational, and the dual graph is a tree. This happens exactly when the link  $M$  of  $(X, o)$  is a rational homology sphere.

 $L := H_2(X, \mathbb{Z})$ , endowed with a negative definite intersection form (, ), is a lattice. It is freely generated by the classes of  $\{E_v\}_{v\in\mathcal{V}}$ . The dual lattice is  $L' = \text{Hom}_{\mathbb{Z}}(L,\mathbb{Z}) = \{l' \in L \otimes \mathbb{Q} : (l',L) \in L\}$ Z}. It is generated by the (anti)dual classes  $\{E_v^*\}_{v \in V}$  defined by  $(E_v^*, E_w) = -\delta_{vw}$  (where  $\delta_{vw}$  stays for the Kronecker symbol). L' is also identified with  $H^2(\tilde{X}, \mathbb{Z})$ , where the first Chern classes live.

All the  $E_v$ -coordinates of any  $E_u^*$  are strict positive. We define the Lipman cone as  $\mathcal{S}' := \{l' \in$  $L' : (l', E_v) \leq 0$  for all v}. As a monoid it is generated over  $\mathbb{Z}_{\geq 0}$  by  $\{E_v^*\}_v$ .

L embeds into L' with  $L'/L \simeq H_1(M, \mathbb{Z})$ , abridged by H. Each class  $h \in H = L'/L$  has a unique representative  $r_h \in L'$  in the semi-open cube  $\{\sum_v r_v E_v \in L' : r_v \in \mathbb{Q} \cap [0,1)\}\)$ , such that its class  $[r_h]$  is h.

There is a natural (partial) ordering of L' and L: we write  $l'_1 \geq l'_2$  if  $l'_1 - l'_2 = \sum_v r_v E_v$  with all  $r_v \geq 0$ . We set  $L_{\geq 0} = \{l \in L : l \geq 0\}$  and  $L_{>0} = L_{\geq 0} \setminus \{0\}.$ 

The support of a cycle  $l = \sum n_v E_v$  is defined as  $|l| = \bigcup_{n_v \neq 0} E_v$ .

The *(anti)canonical cycle*  $Z_K \in L'$  is defined by the *adjunction formulae*  $(Z_K, E_v) = (E_v, E_v) + 2$ for all  $v \in \mathcal{V}$ . We write  $\chi : L' \to \mathbb{Q}$  for the (Riemann–Roch) expression  $\chi(l') := -(l', l' - Z_K)/2$ .

**2.1.1.** Natural line bundles. Let  $\phi : (\tilde{X}, E) \to (X, o)$  be as above. Consider the 'exponential' cohomology exact sequence (with  $H^1(\tilde{X}, \mathcal{O}_{\tilde{X}}^*) = Pic(\tilde{X})$ , the group of isomorphic classes of holomorphic line bundles on  $\widetilde{X}$ , and  $H^1(\widetilde{X}, \mathcal{O}_{\widetilde{X}}) = \text{Pic}^0(\widetilde{X})$ 

(2.1.2) 
$$
0 \to \mathrm{Pic}^0(\widetilde{X}) \longrightarrow \mathrm{Pic}(\widetilde{X}) \xrightarrow{c_1} H^2(\widetilde{X}, \mathbb{Z}) \to 0.
$$

Here  $c_1(\mathcal{L}) \in H^2(\widetilde{X}, \mathbb{Z}) = L'$  is the first Chern class of  $\mathcal{L} \in \mathrm{Pic}(\widetilde{X})$ . Since  $H^1(M, \mathbb{Q}) = 0$ ,  $\mathrm{Pic}^0(\widetilde{X}) \simeq$  $H^1(\widetilde{X}, \mathcal{O}_{\widetilde{X}}) \simeq \mathbb{C}^{p_g}$ , where  $p_g$  is the geometric genus. Write also  $\mathrm{Pic}^{l'}(\widetilde{X}) = c_1^{-1}(l')$ . Furthermore, see e.g. [\[O04,](#page-27-13) [N07\]](#page-27-11), there exists a unique homomorphism (split)  $s_1 : L' \to Pic(X)$  of  $c_1$ , that is  $c_1 \circ s_1 = id$ , such that  $s_1$  restricted to L is  $l \mapsto \mathcal{O}_{\widetilde{X}}(l)$ . The line bundles  $s_1(l')$  are called natural line bundles of X. For several definitions of them see [\[N07\]](#page-27-11). E.g.,  $\mathcal{L}$  is natural if and only if one of its power has the form  $\mathcal{O}_{\widetilde{X}}(l)$  for some *integral* cycle  $l \in L$  supported on E. In order to have a uniform notation we write  $\mathcal{O}_{\widetilde{X}}(l')$  for  $s_1(l')$  for any  $l' \in L'$ .

For any  $Z \ge E$  let  $\mathcal{O}_Z(l')$  be the restriction of the natural line bundle  $\mathcal{O}_{\widetilde{X}}(l')$  to Z. In fact,  $\mathcal{O}_Z(l')$ can be defined in an identical way as  $\mathcal{O}_{\widetilde{X}}(l')$  starting from the exponential cohomological sequence  $0 \to Pic^0(Z) \to Pic(Z) \to H^2(\widetilde{X}, \mathbb{Z}) \to 0$  as well. Set also  $Pic^{l'}(Z) = c_{1,Z}^{-1}(l').$ 

<span id="page-5-0"></span>2.2. The Abel map [\[NN18a\]](#page-27-0). For any  $Z \geq E$  let  $ECa(Z)$  be the space of (analytic) effective Cartier divisors on Z. Their supports are zero–dimensional in E. Taking the line bundle of a Cartier divisor provides the Abel map  $c = c(Z) : ECa(Z) \rightarrow Pic(Z)$ . Let  $ECa^{l'}(Z)$  be the set of effective Cartier divisors with Chern class  $l' \in L'$ , i.e.  $\operatorname{ECa}^{l'}(Z) := c^{-1}(\operatorname{Pic}^{l'}(Z))$ . The restriction of c is denoted by  $c^{l'} : \operatorname{ECa}^{l'}(Z) \to \operatorname{Pic}^{l'}(Z).$ 

A line bundle  $\mathcal{L} \in Pic^{l'}(Z)$  is in the image  $im(c^{l'})$  if and only if it has a section without fixed components, that is, if  $H^0(Z, \mathcal{L})_{reg} \neq \emptyset$ , where  $H^0(Z, \mathcal{L})_{reg} := H^0(Z, \mathcal{L}) \setminus \cup_v H^0(Z - E_v, \mathcal{L}(-E_v))$ . By this definition (see (3.1.5) of [\[NN18a\]](#page-27-0))  $\text{ECa}^{l'}(Z) \neq \emptyset$  if and only if  $-l' \in \mathcal{S}' \setminus \{0\}$ . It is advantageous to have a similar statement for  $l' = 0$  too, hence we redefine  $ECa^0(Z)$  as  $\{\emptyset\}$ , a set/space with one element (the empty divisor), and  $c^0$ :  $ECa^0(Z) \rightarrow Pic^0(Z)$  by  $c^0(\emptyset) = \mathcal{O}_Z$ . In particular,

(2.2.1) 
$$
H^0(Z, \mathcal{L})_{reg} \neq \emptyset \Leftrightarrow \mathcal{L} = \mathcal{O}_Z \Leftrightarrow \mathcal{L} \in \text{im}(c^0) \text{ whenever } c_1(\mathcal{L}) = 0.
$$

Hence, the extended statement valid for any  $l'$  is:

(2.2.2) 
$$
\operatorname{ECa}^{l'}(Z) \neq \emptyset \Leftrightarrow -l' \in \mathcal{S}'.
$$

Sometimes even for  $\mathcal{L} \in Pic^{l'}(\widetilde{X})$  we write  $\mathcal{L} \in Im(c^{l'})$  whenever  $\mathcal{L}|_Z \in Im(c^{l'}(Z))$  for some  $Z \gg 0$ . This happens if and only if  $\mathcal{L} \in \mathrm{Pic}(\widetilde{X})$  has no fixed components.

It turns out that  $ECa^{l'}(Z)$  (- $l' \in S'$ ) is a smooth complex algebraic variety of dimension  $(l', Z)$ and the Abel map is an algebraic regular map. For more properties and applications see [\[NN18a,](#page-27-0) [NN18b\]](#page-27-4).

**2.2.3.** The modified Abel map. Multiplication by  $\mathcal{O}_Z(-l')$  gives an isomorphism of the affine spaces  $Pic^{l'}(Z) \to Pic^{0}(Z)$ . Furthermore, we identify (via the exponential exact sequence)  $Pic^{0}(Z)$ with the vector space  $H^1(Z, \mathcal{O}_Z)$ .

It is convenient to replace the Abel map  $c^{l'}$  with the composition

$$
\tilde{c}^{l'} : \operatorname{ECa}^{l'}(Z) \xrightarrow{c^{l'}} \operatorname{Pic}^{l'}(Z) \xrightarrow{\mathcal{O}_Z(-l')} \operatorname{Pic}^0(Z) \xrightarrow{\simeq} H^1(\mathcal{O}_Z).
$$

The advantage of this new set of maps is that all the images sit in the same vector space  $H^1(\mathcal{O}_Z)$ .

Consider the natural additive structure  $s^{l'_1, l'_2}(Z) : \text{ECa}^{l'_1}(Z) \times \text{ECa}^{l'_2}(Z) \to \text{ECa}^{l'_1 + l'_2}(Z)$   $(l'_1, l'_2 \in$  $-\mathcal{S}'$ ) provided by the sum of the divisors. One verifies (see e.g. [\[NN18a,](#page-27-0) Lemma 6.1.1]) that  $s^{l'_1, l'_2}(Z)$ is dominant and quasi-finite. There is a parallel multiplication  $Pic^{l'_1}(Z) \times Pic^{l'_2}(Z) \to Pic^{l'_1+l'_2}(Z)$ ,  $(\mathcal{L}_1, \mathcal{L}_2) \mapsto \mathcal{L}_1 \otimes \mathcal{L}_2$ , which satisfies  $c^{l'_1 + l'_2} \circ s^{l'_1, l'_2} = c^{l'_1} \otimes c^{l'_2}$  in Pic<sup> $l'_1 + l'_2$ </sup>. This, in the modified case, using  $\mathcal{O}_Z(l'_1 + l'_2) = \mathcal{O}_Z(l'_1) \otimes \mathcal{O}_Z(l'_2)$ , reads as  $\tilde{c}^{l'_1 + l'_2} \circ s^{l'_1, l'_2} = \tilde{c}^{l'_1} + \tilde{c}^{l'_2}$  in  $H^1(\mathcal{O}_Z)$ .

**Definition 2.2.4.** For any  $l' \in -S'$  let  $A_Z(l')$  be the smallest dimensional affine subspace of  $H^1(\mathcal{O}_Z)$ which contains Im( $\tilde{c}^{l'}$ ). Let  $V_Z(l')$ , be the parallel vector subspace of  $H^1(\mathcal{O}_Z)$ , the translation of  $A_Z(l')$  to the origin.

For any  $I \subset V$ ,  $I \neq \emptyset$ , let  $(X_I, o_I)$  be the multigerm  $X/_{\bigcup_{v \in I} E_v}$  at its singular points, obtained by contracting the connected components of  $\bigcup_{v\in I}E_v$  in  $\widetilde{X}$ . If  $I = \emptyset$  then by convention  $(X_I, o_I)$  is a smooth germ.

**Theorem 2.2.5.** [\[NN18a,](#page-27-0) Prop. 5.6.1, Lemma 6.1.6 and Th. 6.1.9] Assume that  $Z \geq E$ .

(a) For any  $-l' = \sum_{v} a_v E_v^* \in S'$  let the  $E^*$ -support of l' be  $I(l') := \{v : a_v \neq 0\}$ . Then  $V_Z(l')$ depends only on  $I(l')$ . (This motivates to write  $V_Z(l')$  as  $V_Z(I)$  where  $I = I(l')$ .)

- (b)  $V_Z(I_1 \cup I_2) = V_Z(I_1) + V_Z(I_2)$  and  $A_Z(l'_1 + l'_2) = A_Z(l'_1) + A_Z(l'_2)$ .
- (c) dim  $V_Z(I) = h^1(\mathcal{O}_Z) h^1(\mathcal{O}_{Z|_{\mathcal{V}\setminus I}}).$
- (d) If  $\mathcal{L}_{gen}^{im}$  is a generic bundle of  $\text{Im}(c^{l'})$  then  $h^1(Z, \mathcal{L}_{gen}^{im}) = h^1(\mathcal{O}_Z) \text{dim}(\text{Im}(c^{l'}))$ .

(e) For  $n \gg 1$  one has  $\text{Im}(\tilde{c}^{nl'}) = A_Z(nl')$ , and  $h^1(Z, \mathcal{L}) = h^1(\mathcal{O}_Z) - \dim V_Z(I) = h^1(\mathcal{O}_{Z|_{\mathcal{V}\setminus I}})$  for any  $\mathcal{L} \in \mathrm{Im}(c^{nl'})$ .

<span id="page-6-4"></span>For different geometric reinterpretations of dim  $V_Z(I)$  see also [\[NN18a,](#page-27-0) §9].

2.3. Theorem 4.1.1 of [\[NN18a\]](#page-27-0) says that  $c^{l'}(Z)$  is dominant if and only if  $\chi(-l') < \chi(-l'+l)$  for any  $0 < l \leq Z$ . In particular, the dominance of  $c^{l'}(Z)$  is a topological property. If  $c^{l'}(Z)$  is dominant then  $c^{l'}(Z')$  is dominant for any  $0 < Z' \leq Z$ .

<span id="page-6-0"></span>2.4. Review of Laufer Duality [\[La72\]](#page-27-14), [\[La77,](#page-27-15) p. 1281]. Following Laufer, we identify the dual space  $H^1(\tilde{X}, \mathcal{O}_{\tilde{X}})^*$  with the space of global holomorphic 2-forms on  $\tilde{X} \setminus E$  up to the subspace of those forms which can be extended holomorphically over  $\widetilde{X}$ .

For this, use first Serre duality  $H^1(X, \mathcal{O}_{\tilde{X}})^* \simeq H^1_c(X, \Omega_{\tilde{X}}^2)$ . Then, in the exact sequence

$$
0 \to H_c^0(\widetilde{X}, \Omega_{\widetilde{X}}^2) \to H^0(\widetilde{X}, \Omega_{\widetilde{X}}^2) \to H^0(\widetilde{X} \setminus E, \Omega_{\widetilde{X}}^2) \to H_c^1(\widetilde{X}, \Omega_{\widetilde{X}}^2) \to H^1(\widetilde{X}, \Omega_{\widetilde{X}}^2)
$$

 $H_c^0(\tilde{X}, \Omega_{\tilde{X}}^2) = H^2(\tilde{X}, \mathcal{O}_{\tilde{X}})^* = 0$  by dimension argument, while  $H^1(\tilde{X}, \Omega_{\tilde{X}}^2) = 0$  by the Grauert-Riemenschneider vanishing. Hence,

(2.4.1) 
$$
H^1(\widetilde{X}, \mathcal{O}_{\widetilde{X}})^* \simeq H^1_c(\widetilde{X}, \Omega_{\widetilde{X}}^2) \simeq H^0(\widetilde{X} \setminus E, \Omega_{\widetilde{X}}^2)/H^0(\widetilde{X}, \Omega_{\widetilde{X}}^2).
$$

<span id="page-6-5"></span>**2.4.2.** Above  $H^0(\tilde{X} \setminus E, \Omega_{\tilde{X}}^2)$  can be replaced by  $H^0(\tilde{X}, \Omega_{\tilde{X}}^2(Z))$  for a large cycle Z (e.g. for  $Z \geq \lfloor Z_K \rfloor$ ). Indeed, for any cycle  $Z > 0$  from the exacts sequence of sheaves  $0 \to \Omega_{\widetilde{X}}^2 \to \Omega_{\widetilde{X}}^2(Z) \to$  $\mathcal{O}_Z(Z + K_{\widetilde{X}}) \to 0$  and from the vanishing  $h^1(\Omega_{\widetilde{X}}^2) = 0$  and Serre duality one has

<span id="page-6-2"></span>(2.4.3) 
$$
H^{0}(\Omega_{\tilde{X}}^{2}(Z))/H^{0}(\Omega_{\tilde{X}}^{2}) = H^{0}(\mathcal{O}_{Z}(Z + K_{\tilde{X}})) \simeq H^{1}(\mathcal{O}_{Z})^{*}.
$$

Since  $H^1(\mathcal{O}_Z) \simeq H^1(\mathcal{O}_{\widetilde{X}})$  for  $Z \geq \lfloor Z_K \rfloor$ , the natural inclusion

(2.4.4) 
$$
H^0(\Omega^2_{\widetilde{X}}(Z))/H^0(\Omega^2_{\widetilde{X}}) \hookrightarrow H^0(\widetilde{X} \setminus E, \Omega^2_{\widetilde{X}})/H^0(\Omega^2_{\widetilde{X}})
$$

is an isomorphism.

This pairing reduces to a perfect pairing at the level of an arbitrary  $Z > 0$ , cf. [\[NN18a,](#page-27-0) 7.4]. Indeed, consider the above perfect pairing  $\langle \cdot, \cdot \rangle : H^1(\tilde{X}, \mathcal{O}_{\tilde{X}}) \otimes H^0(\tilde{X} \setminus E, \Omega_{\tilde{X}}^2) / H^0(\Omega_{\tilde{X}}^2) \to \mathbb{C}$  given via integration of class representatives. In  $H^1(X, \mathcal{O}_{\widetilde{X}})$  let A be the image of  $H^1(X, \mathcal{O}_{\widetilde{X}}(-Z))$ , hence  $H^1(\tilde{X}, \mathcal{O}_{\tilde{X}})/A = H^1(\mathcal{O}_Z)$ . On the other hand, in  $H^0(\tilde{X} \setminus E, \Omega_{\tilde{X}}^2)/H^0(\Omega_{\tilde{X}}^2)$  consider the subspace  $B := H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2)$  of dimension  $h^1(\mathcal{O}_Z)$  (cf. [\(2.4.3\)](#page-6-2)). Since  $\langle A, B \rangle = 0$ , the pairing factorizes to a perfect pairing  $H^1(\mathcal{O}_Z) \otimes H^0(\Omega^2_{\widetilde{X}}(Z))/H^0(\Omega^2_{\widetilde{X}}) \to \mathbb{C}$ . It can be described by the very same integral form of the corresponding class representatives.

<span id="page-6-1"></span>2.4.5. The linear subspace arrangement  ${V_Z(I)}_I \subset H^1(\mathcal{O}_Z)$  and differential forms. The arrangement  $\{V_Z(I)\}_I$  transforms into a linear subspace arrangement of  $H^0(\Omega_{\tilde{X}}^2(Z))/H^0(\Omega_{\tilde{X}}^2)$  via the (Laufer) non-degenerate pairing  $H^1(\mathcal{O}_Z) \otimes H^0(\Omega^2_{\widetilde{X}}(Z))/H^0(\Omega^2_{\widetilde{X}}) \to \mathbb{C}$  as follows. Let  $\Omega_Z(I)$  be the subspace  $H^0(\Omega_{\tilde{X}}^2(Z|_{V\setminus I}))/H^0(\Omega_{\tilde{X}}^2)$  in  $H^0(\Omega_{\tilde{X}}^2(Z))/H^0(\Omega_{\tilde{X}}^2)$ , that is, the subspace generated by those forms which have no poles along generic points of any  $E_v$ ,  $v \in I$ .

<span id="page-6-3"></span>**Proposition 2.4.6.** [\[NN18a,](#page-27-0) 8.3] *Via Laufer duality*  $V_Z(I) = \Omega_Z(I)^{\perp} = \{x : \langle x, \Omega_Z(I) \rangle = 0\}$  for  $Z \geq E$ .

<span id="page-7-1"></span>**2.4.7.** Furthermore, for any  $l' \in -S' \setminus \{0\}$  consider a divisor  $D \in \text{ECa}^{l'}(Z)$ , which is a union of  $(l', E)$  disjoint divisors  $\{D_i\}_i$ , each of them  $\mathcal{O}_Z$ -reduction of reduced divisors  $\{D_i\}_i$  of  $\tilde{X}$  intersecting E transversally. Set  $\widetilde{D} = \cup_i \widetilde{D}_i$  and  $\mathcal{L} := \widetilde{c}^{l'}(D) \in H^1(\mathcal{O}_Z)$ . Write also  $Z = \sum_{v \in \mathcal{V}} r_v E_v$ .

We introduce a subsheaf  $\Omega_{\tilde{X}}^2(Z)^{\text{regRes}_{\widetilde{D}}}$  of  $\Omega_{\tilde{X}}^2(Z)$  consisting of those forms  $\omega$  which have the property that the residue  $\text{Res}_{\tilde{D}_i}(\omega)$  has no poles along  $D_i$  for all i. This means that the restrictions of  $\Omega_{\tilde{X}}^2(Z)^{\text{regRes}_{\widetilde{D}}}$  and  $\Omega_{\tilde{X}}^2(Z)$  on the complement of the support of  $D$  coincide, however along  $D$ one has the following local picture. Introduce near  $p = E \cap D_i = E_{v_i} \cap D_i$  local coordinates  $(u, v)$  such that  $\{u = 0\} = E$  and  $D_i$  has local equation v. Then a local section of  $\Omega_{\tilde{X}}^2(Z)$  in this system has the form  $\omega = \sum_{k \geq -r_{v_i}, j \geq 0} a_{k,j} u^k v^j du \wedge dv$ . Then, by definition, the residue  $\text{Res}_{\tilde{D}_i}(\omega)$ is  $(\omega/dv)|_{v=0} = \sum_k a_{k,0} u^k du$ , hence the pole-vanishing reads as  $a_{k,0} = 0$  for all  $k < 0$ . Note that  $\Omega_{\tilde{X}}^2(Z-\tilde{D})$  and the sheaf of regular forms  $\Omega_{\tilde{X}}^2$  are subsheaves of  $\Omega_{\tilde{X}}^2(Z)^{\text{regRes}_{\tilde{D}}}$ .

 $\operatorname{Set}\Omega_Z(D) := H^0(\widetilde{X}, \Omega^2_{\widetilde{X}}(Z)^{\operatorname{regRes}_{\widetilde{D}}})/H^0(\widetilde{X}, \Omega^2_{\widetilde{X}}).$  This can be regarded as a subspace of  $H^1(\mathcal{O}_Z)^* =$  $H^0(X,\Omega^2_{\widetilde X}(Z))/H^0(X,\Omega^2_{\widetilde X}).$ 

<span id="page-7-0"></span>Theorem 2.4.8. [\[NN18a,](#page-27-0) Th. 10.1.1] In the above situation one has the following facts.

- (a) The sheaves  $\Omega_{\widetilde{X}}^2(Z)^{\text{regRes}_{\widetilde{D}}}/\Omega_{\widetilde{X}}^2$  and  $\mathcal{O}_Z(K_{\widetilde{X}}+Z-D)$  are isomorphic.
- (b)  $H^1(Z, \mathcal{L})^* \simeq \Omega_Z(D)$ .

(c) The image  $(T_D \widetilde{c})(T_D \widetilde{c}Ca^{l'}(Z))$  of the tangent map at D of  $\widetilde{c}: \widetilde{ECa}^{l'}(Z) \to H^1(\mathcal{O}_Z)$  is the intersection of kernels of linear maps  $T_{\mathcal{L}} \omega : T_{\mathcal{L}} H^1(\mathcal{O}_Z) \to \mathbb{C}$ , where  $\omega \in H^0(\tilde{X}, \Omega^2_{\tilde{X}}(Z)^{\text{regRes}_{\tilde{D}}}).$ 

If I is the E<sup>\*</sup>-support of l' (that is,  $\tilde{D}$  intersects E exactly along  $\cup_{v\in I}E_v$ ), then  $\Omega_Z(I)\subset \Omega_Z(D)\subset$  $H^1(\mathcal{O}_Z)^*$ . Dually, via Proposition [2.4.6](#page-6-3) and Theorem [2.4.8](#page-7-0)(c) (and up to a linear translation of  $\text{Im}(T_D\tilde{c})$ 

<span id="page-7-3"></span>(2.4.9) 
$$
(T_D \tilde{c})(T_D \mathbf{ECa}^{l'}(Z)) = \Omega_Z(D)^{\perp} \subset \Omega_Z(I)^{\perp} = V_Z(I) \subset H^1(\mathcal{O}_Z).
$$

Let us fix a point  $p \in E$  and a local coordinate system  $(u, v)$  around p such that  $E = \{u = 0\}$ , cf. [2.4.7.](#page-7-1) Fix also some  $\omega \in H^0(\tilde{X}, \Omega^2_{\tilde{X}}(Z))$  which has pole of order  $o > 0$  at the exceptional divisor in E containing p. We say that (the divisor of)  $\omega$  has no support point at p if it can be represented locally as  $(\varphi(u, v)/u^o)du \wedge dv$  with  $\varphi$  holomorphic and  $\varphi(0, 0) \neq 0$ . The other points are the support points denoted by  $supp(\omega)$ .

<span id="page-7-4"></span>**Lemma 2.4.10.** Fix  $\omega \in H^0(\tilde{X}, \Omega^2_{\tilde{X}}(Z))$  such that there exists a point  $p \in E_v$ , a local divisor  $\widetilde{D}_1$  in  $\widetilde{X}$  with the following properties: (a)  $\widetilde{D}_1$  is part of certain  $\widetilde{D} = \widetilde{D}_1 + \widetilde{D}_2$ , such that  $\widetilde{D}_1 \cap$  $E = \widetilde{D}_1 \cap E_v = p \notin \widetilde{D}_2 \cup \text{supp}(\omega)$ , and (b)  $\widetilde{D}$  is a lift of  $D \in \text{ECa}^{l'}(Z)$ , and the class of  $\omega$  in  $H^0(\widetilde{X}, \Omega_{\widetilde{X}}^2(Z))/H^0(\widetilde{X}, \Omega_{\widetilde{X}}^2)$  restricted on  $\text{Im}T_D\widetilde{c}^{l'}(Z)$  is zero. Then  $\omega$  has no pole along  $E_v$ .

*Proof.* Assume that  $\omega$  has a pole of order  $o > 0$  along  $E_v$ . Fix some local coordinated  $(u, v)$  at  $p := \tilde{D}_1 \cap E_v$  such that  $\omega$  locally is  $du \wedge dv/u^o$  and  $\tilde{D}_1$  is  $\{g(u, v) = 0\}$ . A deformation  $g_t(u, v)$  of g produces a tangent vector in  $T_D \text{ECa}^{l'}(Z)$  and the action of  $\omega$  on it is given by (for details see [\[NN18a,](#page-27-0) 7.2])

<span id="page-7-2"></span>(2.4.11) 
$$
\frac{d}{dt}\Big|_{t=0} \int_{|u|=\epsilon, |v|=\epsilon} \log \frac{g_t(u,v)}{g(u,v)} \cdot \frac{du \wedge dv}{u^o}.
$$

Hence if we realize a deformation  $q_t$  for which the expression from [\(2.4.11\)](#page-7-2) is non-zero, we get a contradiction. Note that g necessarily has the form  $cv^k + \sum_{n>k} c_n v^n + uh(u, v) = cv^k + h'$  for some  $k \geq 1, c_n \in \mathbb{C}$  and  $c \in \mathbb{C}^*$ . Then set  $g_t = c(v - tu^{o-1})^k + h'$ . Then the t-coefficient of the integrant is  $\frac{kdu \wedge dv}{uv}$  ·  $(1 - \frac{h'}{cv^k} + (\frac{h'}{cv^k})^2 - \cdots)$ , hence [\(2.4.11\)](#page-7-2) is non-zero. □

<span id="page-8-1"></span>**Definition 2.4.12.** Additionally to the linear subspace arrangement  $\{\Omega_Z(I)\}_I \subset H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2) \simeq$  $H^1(\mathcal{O}_Z)^*$  we consider a more subtle object, a filtration indexed by  $l \in L$ ,  $0 \leq l \leq Z$  as well, called the multivariable divisorial filtration of forms. Indeed, for any such l we define  $\mathcal{G}_l :=$  $H^0(\Omega_{\widetilde{X}}^2(l))/H^0(\Omega_{\widetilde{X}}^2) \subset H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2)$ , equivalent to  $H^1(\mathcal{O}_l)^* \hookrightarrow H^1(\mathcal{O}_Z)^*$ , dual to the natural epimorphisms  $H^1(\mathcal{O}_Z) \to H^1(\mathcal{O}_l)$ . In particular,  $\mathcal{G}_l \simeq H^1(\mathcal{O}_l)^*$ .  $\mathcal{G}_l$  is generated by forms with pole  $\leq l$ . In particular,  $\mathcal{G}_0 = 0$ ,  $\mathcal{G}_Z$  is the total vector space,  $\mathcal{G}_{l_1} \subset \mathcal{G}_{l_2}$  whenever  $l_1 \leq l_2$ , and  $\mathcal{G}_{l_1} \cap \mathcal{G}_{l_2} = \mathcal{G}_{\min\{l_1,l_2\}}.$ 

<span id="page-8-2"></span>Note that if  $l = \sum_{v \notin I} r_v E_v$  and all  $r_v \gg 0$  then  $\mathcal{G}_{\min(l, Z)} = \Omega_Z(I)$ .

3. THE FIRST ALGORITHM FOR THE COMPUTATION OF  $\dim \mathrm{Im}(c^{l'}(Z))$ 

3.1. We fix  $Z \ge E$  and  $l' \in -S'$  as above.

**Definition 3.1.1.** For any  $l' \in -\mathcal{S}'$  with  $E^*$ -support  $I$  ( $\emptyset \subset I \subset \mathcal{V}$ ) we set the following notations:  $e_Z(l') = e_Z(I) := \dim V_Z(l') = \dim V_Z(I)$  and  $d_Z(l') := \dim \text{Im}(c^{l'}(Z)).$ 

From definitions and Propositions [2.2.5](#page-6-1) and [2.4.6](#page-6-3) (see also [\(2.4.9\)](#page-7-3))

(3.1.2) 
$$
d_Z(l') \le e_Z(l')
$$

$$
e_Z(I) = h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z|_{\mathcal{V}\setminus I}}) = h^1(\mathcal{O}_Z) - \dim \Omega_Z(I).
$$

Usually  $d_Z(l') \neq e_Z(l')$ . Next statement provides a criterion for the validity of the equality.

**Lemma 3.1.3.** Let  $l' \in -S'$  with  $E^*$ -support I and  $Z \geq E$ . Assume that  $\mathcal{L}$  is a regular value of  $\tilde{c}^{l'}$  in  $\text{Im}(\tilde{c}^{l'})$  such that for any  $\omega \in H^0(\tilde{X}, \Omega_{\tilde{X}}^2(Z))$  there exists a section  $s \in H^0(\mathcal{L})_{reg}$  such that  $div(s) \cap supp(\omega) = \emptyset$ . (This is guaranteed e.g. if the bundle L has no base points.) Then  $T_{\mathcal{L}}(\text{Im}\tilde{c}^{l'})=A_{Z}(l'),$  hence  $d_{Z}(l')=e_{Z}(l').$ 

*Proof.* Since  $\mathcal L$  is a regular value,  $\mathcal L$  is a smooth point of  $\text{Im}(\tilde{c}^{l'})$  and  $T_{\mathcal L}\text{Im}(\tilde{c}^{l'}) = \text{Im}(T_D\tilde{c}^{l'})$  for any  $D \in (\tilde{c}^{l'})^{-1}(\mathcal{L})$  (cf. [\[NN18a,](#page-27-0) 3.3.2]). We have to prove that  $T_{\mathcal{L}}\text{Im}(\tilde{c}^{l'}) = A_Z(l')$ ; we prove the dual identity in the space of forms, namely,  $(T_{\mathcal{L}}Im(\tilde{c}^{l'})^{\perp} = \Omega_Z(I)$  (see [\(2.4.9\)](#page-7-3)).

Assume the contrary, that is,  $(T_{\mathcal{L}}\text{Im}(\tilde{c}^{l'}))^{\perp} \neq \Omega_{Z}(I)$ . Since  $\Omega_{Z}(I) \subset (T_{\mathcal{L}}\text{Im}(\tilde{c}^{l'}))^{\perp}$  (the duality integral on  $\Omega_Z(I) \times T_{\mathcal{L}}\text{Im}(\tilde{c}^{l'})$  is zero, cf. [\[NN18a,](#page-27-0) 7.2] or [\(2.4.9\)](#page-7-3)) we get, that there is a form  $\omega \in (T_{\mathcal{L}}\text{Im}(\tilde{c}^{l'}))^\perp \setminus \Omega_Z(I).$ 

Next choose  $D \in (\tilde{c}^{l'})^{-1}(\mathcal{L})$  such that its lift  $\tilde{D}$  satisfies  $\tilde{D} \cap \text{supp}(\omega) = \emptyset$ . But  $\omega \in (T_{\mathcal{L}}\text{Im}(\tilde{c}^{l'}))^{\perp}$  $(\text{Im}(T_D \tilde{c}^{l'}))^{\perp}$  and  $\omega \notin \Omega_Z(I)$  contradict Lemma [2.4.10.](#page-7-4)

In this section we provide an algorithm, valid for any analytic structure, which determines  $d_Z(l')$  in terms of a finite collection of invariants of type  $e_Z(l')$ , associated with a finite sequence of resolutions obtained via certain extra blowing ups from  $\widetilde{X}$ .

<span id="page-8-0"></span>3.2. Preparation for the algorithm. Fix some resolution  $\widetilde{X}$  of  $(X, o)$  and  $-l' = \sum_{v \in V} a_v E_v^* \in$  $\mathcal{S}'\setminus\{0\}$  (hence each  $a_v \in \mathbb{Z}_{\geq 0}$ ). In the next construction we will consider a finite sequence of blowing ups starting from  $\tilde{X}$ . In order to find a bound for the number of blowing ups recall that for any representative  $\omega$  in  $H^0(\tilde{X} \setminus E, \Omega_{\tilde{X}}^2)/H^0(\tilde{X}, \Omega_{\tilde{X}}^2)$  the order of pole of  $\omega$  along some  $E_v$  is less than or equal to the  $E_v$ -multiplicity  $m_v$  of max $\{0, \lfloor Z_K \rfloor\}$  (see e.g. [\[NN18a,](#page-27-0) 7.1.3] or [2.4](#page-6-0) here). Then, for every  $v \in V$  with  $a_v > 0$  we fix  $a_v$  generic points on  $E_v$ , say  $p_{v,k_v}$ ,  $1 \leq k_v \leq a_v$ . Starting from each  $p_{v,k_v}$  we consider a sequence of blowing ups of length  $m_v$ : first we blow up  $p_{v,k_v}$  and we create the exceptional curve  $F_{v,k_v,1}$ , then we blow up a generic point of  $F_{v,k_v,1}$  and we create  $F_{v,k_v,2}$ , and we do this all together  $m_v$  times. We proceed in this way with all points  $p_{v,k_v}$ , hence we get  $\sum_{v} a_v$  chains of modifications. If  $a_v m_v = 0$  we do no modification along  $E_v$ . A set of integers

 $\mathbf{s} = {\mathbf{s}_{v,k_v}}_{v \in \mathcal{V}, 1 \le k_v \le a_v}$  with  $0 \le \mathbf{s}_{v,k} \le m_v$  provides an intermediate step of the tower: in the  $(v, k_v)$  tower we do exactly  $\mathbf{s}_{v, k_v}$  blowing ups;  $\mathbf{s}_{v, k_v} = 0$  means that we do not blow up  $p_{v, k_v}$  at all. (In the sequel, in order to avoid aggregation of indices, we simplify  $k_v$  into k.) Let us denote this modification by  $\pi_{\mathbf{s}} : \widetilde{X}_{\mathbf{s}} \to \widetilde{X}$ . In  $\widetilde{X}_{\mathbf{s}}$  we find the exceptional curves  $\cup_{v \in \mathcal{V}} E_v \cup \cup_{v,k} \cup_{1 \leq t \leq \mathbf{s}_{v,k}} F_{v,k,t}$ ; we index the set of vertices as  $V_s := \mathcal{V} \cup \cup_{v,k} \cup_{1 \leq t \leq s_{v,k}} \{w_{v,k,t}\}.$  At each level s we set the next objects:  $Z_{\mathbf{s}} := \pi_{\mathbf{s}}^*(Z), I_{\mathbf{s}} := \cup_{v,k} \{w_{v,k,\mathbf{s}_{v,k}}\}, -l'_{\mathbf{s}} := \sum_{v,k} F_{v,k,\mathbf{s}_{v,k}}^*$  (in  $L'_{\mathbf{s}}$ , where  $F_{v,k,0} = E_v$ ),  $d_{\mathbf{s}} := \dim \text{Im}c^{l'_{\mathbf{s}}}(Z_{\mathbf{s}})$  and  $e_{\mathbf{s}} := e_{Z_{\mathbf{z}}}(I_{\mathbf{s}})$  (both considered in  $\widetilde{X}_{\mathbf{s}}$ ).

By similar argument as in [\(3.1.2\)](#page-9-2) one has again  $d_s \leq e_s$  for any s.

From definitions, for  $\mathbf{s} = \mathbf{0}$  one has  $I_0 = |l'|$ ,  $e_0 = e_Z(l')$  and  $d_0 = d_Z(l')$ .

There is a natural partial ordering on the set of s–tuples. Some of the above invariants are constant with respect to s, some of them are only monotonous. E.g., by Leray spectral sequence one has  $h^1(\mathcal{O}_{Z_s}) = h^1(\mathcal{O}_Z)$  for all **s**. One the other hand,

<span id="page-9-1"></span>
$$
(3.2.1) \qquad \text{if } s_1 \le s_2 \text{ then } e_{s_1} = h^1(\mathcal{O}_{Z_{s_1}}) - \dim \Omega_{Z_{s_1}}(I_{s_1}) \ge h^1(\mathcal{O}_{Z_{s_2}}) - \dim \Omega_{Z_{s_2}}(I_{s_2}) = e_{s_2}
$$

because  $\Omega_{Z_{\mathbf{s}_1}}(I_{\mathbf{s}_1}) \subset \Omega_{Z_{\mathbf{s}_2}}(I_{\mathbf{s}_2})$ . In fact, for any  $\omega$ , the pole–order along  $F_{v,k,\mathbf{s}_{v,k}+1}$  of its pullback is one less than the pole–order of  $\omega$  along  $F_{v,k,s_{v,k}}$ . Hence, for  $s = m$  (that is, when  $s_{v,k} = m_v$  for all v and k, hence all the possible pole–orders along  $I_{\bf m}$  automatically vanish) one has  $\Omega_{Z_{\bf m}}(I_{\bf m}) =$  $H^0(\bar{X}_{\mathbf{m}}, \Omega_{\widetilde{X}_{\mathbf{m}}}^2(Z_{\mathbf{m}}))/H^0(\Omega_{\widetilde{X}_{\mathbf{m}}}^2)$ . Hence  $e_{\mathbf{m}} = 0$ . In particular, necessarily  $d_{\mathbf{m}} = 0$  too.

More generally, for any **s** and  $(v, k)$  let  $s^{v, k}$  denote that tuple which is obtained from **s** by increasing  $\mathbf{s}_{v,k}$  by one. By the above discussion if no form has pole along  $F_{v,k,s}$  then  $\Omega_{Z_{s}}(I_{s}) = \Omega_{Z_{s,v,k}}(I_{s^{v,k}})$ , hence  $e_s = e_{s^{v,k}}$ . Furthermore, by Laufer duality (or, integral presentation of the Abel map as in [\[NN18a,](#page-27-0)  $\S7$ ]), under such condition  $d_{\mathbf{s}} = d_{\mathbf{s}^v,k}$  as well.

Therefore, we can redefine  $e_s$  and  $d_s$  for tuples  $s = \{s_{v,k}\}_{v,k}$  even for arbitrary  $s_{v,k} \geq 0$ :  $e_s =$  $e_{\min\{s,m\}}$  and  $d_s = d_{\min\{s,m\}}$  (and these values agree with the ones which might be obtained by the first original construction applied for larger chains of blow ups).

The next theorem relates the invariants  ${d_{\bf s}}_s$  and  ${e_{\bf s}}_s$ .

<span id="page-9-2"></span>Theorem 3.2.2. (First algorithm) With the above notations the following facts hold.

(1)  $d_{\mathbf{s}} - d_{\mathbf{s}^{v,k}} \in \{0,1\}.$ 

(2) If for some fixed s the numbers  $\{d_{s^v,k}\}_{v,k}$  are not the same, then  $d_s = \max_{v,k} \{d_{s^v,k}\}\$ . In the case when all the numbers  $\{d_{s_v,k}\}_{v,k}$  are the same, then if this common value  $d_{s_v,k}$  equals  $e_s$ , then  $d_{s} = e_{s} = d_{s^{v,k}}$ ; otherwise  $d_{s} = d_{s^{v,k}} + 1$ .

The proof of Theorem [3.2.2](#page-9-2) together with the proof of Theorem [4.1.2](#page-10-1) (the 'Second algorithm') from the next section will be given in a more general context in section [8.](#page-22-0)

<span id="page-9-3"></span>3.2.3. Theorem [3.2.2](#page-9-2) is suitable to run a decreasing induction over the entries of s in order to determine  ${d_{\bf s}}_{\bf s}$  from  ${e_{\bf s}}_{\bf s}$ . In fact we can obtain even a closed–form expression.

<span id="page-9-0"></span>Corollary 3.2.4. With the notations of Theorem [3.2.2](#page-9-2) one has  $d_s = \min_{s \leq \tilde{s} \leq m} \{|\tilde{s} - s| + e_{\tilde{s}}\}$  for any  $0 \leq s \leq m$ . (Here  $|s| = \sum_{v,k} s_{v,k_v}$ .) In particular,

$$
d_Z(l') = d_0 = \min_{0 \le s \le m} \{|{\bf s}| + e_{\bf s}\}.
$$

(By the end of [3.2](#page-8-0) one also has  $\min_{s\leq \tilde{s}\leq m} \{|\tilde{s}-s|+e_{\tilde{s}}\} = \min_{s\leq \tilde{s}} \{|\tilde{s}-s|+e_{\tilde{s}}\}$  and  $\min_{0\leq s\leq m} \{|s|+e_s\} =$  $\min_{0 \leq s} \{|s| + e_s\}$ .)

*Proof.* By Theorem [3.2.2](#page-9-2)(1) for any  $\tilde{s} \ge s$  one has  $d_s - d_{\tilde{s}} \le |\tilde{s} - s|$ , and by [\(3.1.2\)](#page-9-2)  $d_{\tilde{s}} \le e_{\tilde{s}}$ . These two imply  $d_s \leq |\tilde{s} - s| + e_{\tilde{s}}$ , hence  $d_s \leq \min_{s \leq \tilde{s} \leq m} {|\tilde{s} - s| + e_{\tilde{s}}}.$  Next we show that  $d_s$  in fact equals  $|\tilde{\mathbf{s}} - \mathbf{s}| + e_{\tilde{\mathbf{s}}}$  for some  $\tilde{\mathbf{s}}$ . The wished  $\tilde{\mathbf{s}}$  is the last term of the sequence  $\{\mathbf{s}_i\}_{i=0}^t$  constructed as follows. Set  $s_0 := s$ . Then, assume that  $s_i$  is already constructed, and that there exists  $(v, k)$  such that  $d_{\mathbf{s}_i} = d_{(\mathbf{s}_i)^{v,k}} + 1$ . Then set  $\mathbf{s}_{i+1} := (\mathbf{s}_i)^{v,k}$  (for one of the choices of such possible  $(v,k)$ ). This inductive construction will stop after finitely many steps (since each  $d_s \ge 0$ ). But if  $d_{s_t} = d_{(s_t)^{v,k}}$ for all  $(v, k)$ , then by  $3.2.2(2) d_{\mathbf{s}_t} = e_{\mathbf{s}_t}$ . Hence  $e_{\mathbf{s}_t} = d_{\mathbf{s}_t} = d_{\mathbf{s}} - |\mathbf{s}_t - \mathbf{s}|$ .

# 4. THE SECOND ALGORITHM FOR THE COMPUTATION OF  $\dim \mathrm{Im}(c^{l'}(Z))$

<span id="page-10-2"></span><span id="page-10-0"></span>4.1. Preparation. The algorithm from the previous section determines the dimensions of the Abel maps  $d_Z(l')$  in terms of a finite collection of invariants of type  $e_Z(l')$  associated with a finite sequence of resolutions obtained via certain extra blowing ups from  $\tilde{X}$ . Though, in principle,  $e_Z(l')$  is much simpler than  $d_Z(l')$  (it is the 'stabilizer' of  $d_Z(l')$ ), the algorithm is still slightly cumbersome, it is more theoretical, it is not easy to apply in concrete examples: one needs to know all the integers  $\{e_{\mathbf{s}}\}_{\mathbf{s}},$  that is, cf. Proposition [2.2.5,](#page-6-1) all the integers  $\{h^1(\mathcal{O}_{Z_{\mathbf{s}}|_{\mathcal{V}_{\mathbf{s}}\setminus I_{\mathbf{s}}}})_{\mathbf{s}}\}$  associated with the tower of blowing ups. (However, it is a necessary intermediate step in the proof of the new algorithm).

The new algorithm is considerably simpler, e.g. it can be formulated in terms of the resolution  $\tilde{X}$  (see also the comments below). It provides  $d_Z(l')$  in terms of the filtration  $\{\mathcal{G}_l\}_l$  of 2–forms.

As a starting point, consider the construction from [3.2.](#page-8-0) For any s define the cycle  $l_s \in L$  of X by

$$
l_{\mathbf{s}}:=\min\Big\{\sum_{v\in\mathcal{V}}\,\min_{1\leq k_v\leq a_v}\{\mathbf{s}_{v,k_v}\}E_v, Z\Big\}\in L.
$$

Set  $\mathcal{G}_s := \mathcal{G}_{l_s}$  and  $g_s := \dim \mathcal{G}_s$  as well. Note that (via pullback) there is an inclusion  $\mathcal{G}_s \subset \Omega_{Z_s}(I_s)$ . Indeed, if the pole order of certain  $\omega$  along  $E_v$  is  $\leq$   $\mathbf{s}_{v,k_v}$  then its pullback along  $F_{v,k_v,\mathbf{s}_{v,k_v}}$  has no pole. Hence  $g_s \leq \dim \Omega_{Z_s}(I_s) = h^1(\mathcal{O}_Z) - e_s$  too (cf. [\(3.1.2\)](#page-9-2)). In particular,

$$
(4.1.1) \t\t d_s \le e_s \le h^1(\mathcal{O}_Z) - g_s.
$$

However, in principle it can happen that for a certain  $\omega$  with even higher pole than  $l_s$  its pullback is in  $\Omega_{Z_{s}}(I_{s})$ . E.g., if  $\omega$  in some local coordinates  $(u, v)$  of an open set U is  $vdu \wedge dv/u^{\circ}$  (and  $U \cap E = \{u = 0\}$  then its pullback via blowing up (once) at  $u = v = 0$  has pole order  $o - 2$ . This phenomenon can happen even if we blow up a generic point: imagine a family of forms  $\omega_t$  with 'moving divisor', parametrized by t given by  $(v - t)du \wedge dv/u^o$ . Then, even if we blow up E at a generic point  $u = v - t_0 = 0$ , in the family  $\{\omega_t\}_t$  there is a form  $\omega_{t_0}$  whose pole along  $E_v$  is o while its pullback has pole  $o-2$ . Hence the equality of subspaces  $\mathcal{G}_s \subset \Omega_{Z_s}(I_s)$ , or of the equality  $e_{\mathbf{s}} = h^1(\mathcal{O}_Z) - g_{\mathbf{s}}$  in principle is subtle and it is hard to test.

Note also that the invariant  $h^1(\mathcal{O}_Z) - g_s$  conceptually (and technically) is much simpler than  $e_s$ . E.g., it depends only on  $v \mapsto \min_{k_v \leq a_v} {\{s_{v,k_v}\}}$ , and it can be described via a cycle of  $\tilde{X}$  (namely  $l_s$ ) instead of the geometry of the tower  $\tilde{X}_s$ . Nevertheless, via the next theorem, it still contains sufficient information to determine  $d_s$ , in particular  $d_Z(l')$ . In order to emphasize the parallelism between the two algorithms we formulate them in a completely symmetric way (in particular, the first parts are completely identical).

#### <span id="page-10-1"></span>Theorem 4.1.2. (Second algorithm) With the above notations the following facts hold.

(1)  $d_{\mathbf{s}} - d_{\mathbf{s}^{v,k}} \in \{0,1\}.$ 

(2) If for some fixed s the numbers  $\{d_{s_v,k}\}_{v,k}$  are not the same, then  $d_s = \max_{v,k} \{d_{s_v,k}\}\$ . In the case when all the numbers  $\{d_{s_v,k}\}_{v,k}$  are the same, then if this common value  $d_{s_v,k}$  equals  $h^1(\mathcal{O}_Z)-g_s$ , then  $d_{\mathbf{s}} = h^1(\mathcal{O}_Z) - g_{\mathbf{s}} = d_{\mathbf{s}^{v,k}}$ ; otherwise  $d_{\mathbf{s}} = d_{\mathbf{s}^{v,k}} + 1$ .

For the proof see section [8.](#page-22-0)

<span id="page-11-2"></span>**Corollary [4.1](#page-10-0).3.** With the notations of 4.1 and of Theorem [4.1.2,](#page-10-1) for  $l' \in -S'$  and  $Z \ge E$  one has

(4.1.4) 
$$
d_Z(l') = \min_{\mathbf{s}} \{| \mathbf{s} | + h^1(\mathcal{O}_Z) - g_{\mathbf{s}} \}.
$$

<span id="page-11-1"></span>The proof runs similarly as the proof of Corollary [3.2.4.](#page-9-0)

<span id="page-11-0"></span>The formula [\(4.1.4\)](#page-11-1) can be rewritten in a different flavour.

<span id="page-11-4"></span>Corollary 4.1.5. For  $l' \in -S'$  and  $Z \ge E$  one has

(4.1.6) 
$$
d_Z(l') = \min_{0 \le Z_1 \le Z} \{ (l', Z_1) + h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1}) \}.
$$

*Proof.* From [2.4.12](#page-8-1)  $g_s = \dim \mathcal{G}_s = h^1(\mathcal{O}_{l_s})$  and also  $|\mathbf{s}| \ge \sum_v a_v(l_s)_v = (l', l_s)$ , and  $0 \le l_s \le Z$ , hence  $\min_{\mathbf{s}} \{|s| + h^1(\mathcal{O}_Z) - g_{\mathbf{s}}\} \geq \min_{0 \leq Z_1 \leq Z} \{|l', Z_1\rangle + h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1})\}\.$  The opposite inequality is also true since any such  $Z_1$  can be represented as a certain  $l_s$  with  $|\mathbf{s}| = (l', l_s)$ .

<span id="page-11-3"></span>**Example 4.1.7.** (1)  $(c^{l'}(Z) \text{ constant})$  For any  $0 \le Z_1 \le Z$  one has  $(l', Z_1) \ge 0$  and  $h^1(\mathcal{O}_Z) \ge$  $h^1(\mathcal{O}_{Z_1})$ , hence  $d_Z(l')=0$  happens exactly when there exists  $Z_1$  with  $(l', Z_1)+h^1(\mathcal{O}_Z)-h^1(\mathcal{O}_{Z_1})=0$ , or,  $(l', Z_1) = 0$  and  $h^1(\mathcal{O}_Z) = h^1(\mathcal{O}_{Z_1})$ . This means that  $Z_1 \leq Z|_{\mathcal{V}\setminus I}$ , where I is the  $E^*$ -support of l', a fact which (together with  $h^1(\mathcal{O}_Z) = h^1(\mathcal{O}_{Z_1})$ ) implies  $h^1(\mathcal{O}_Z) = h^1(\mathcal{O}_{Z|_{\mathcal{V}\setminus I}})$  too. Hence,  $d_Z(l') = 0$  if and only if  $h^1(\mathcal{O}_Z) = h^1(\mathcal{O}_{Z|_{\mathcal{V}\setminus I}})$ . This is exactly the statement of [\[NN18a,](#page-27-0) 6.3(v)].

(2)  $c^{l'}(Z)$  is dominant if and only if  $d_Z(l') = h^1(\mathcal{O}_Z)$ , hence, via [\(4.1.6\)](#page-11-0), if and only if  $h^1(\mathcal{O}_{Z_1}) \le$  $(l', Z_1)$  for any  $0 \leq Z_1 \leq Z$ . This can be seen in a different way as follows. First, if  $c^{l'}(Z)$  is dominant, then, for any  $0 < Z_1 \leq Z$ ,  $c^{l'}(Z_1)$  is dominant too, hence  $(l', Z_1) = \dim(\text{ECa}^{l'}(Z_1)) \geq$  $\dim(H^1(\mathcal{O}_{Z_1}))$ . Conversely, if  $(l', Z_1) \geq h^1(\mathcal{O}_{Z_1})$  and  $Z_1 > 0$  then  $(l', Z_1) - h^1(\mathcal{O}_{Z_1}) > -h^0(\mathcal{O}_{Z_1})$ , that is,  $\chi(-l') < \chi(-l' + Z_1)$ , hence  $c^{l'}(Z)$  is dominant by [\[NN18a,](#page-27-0) Thm. 4.1.1], cf. [2.3](#page-6-4) here. Note that the characterization [2.3](#page-6-4) for dominant property is topological.

(3) By [\(4.1.6\)](#page-11-0)  $\text{Im}(c^{l'}(Z))$  is a hypersurface if and only if  $\min_{0 \le Z_1 \le Z} \{ (l', Z_1) - h^1(\mathcal{O}_{Z_1}) \} = -1$ . Since  $h^0(\mathcal{O}_{Z_1}) \geq 1$ , this implies that  $\chi(-l') = \min_{0 \leq l \leq Z} \chi(-l' + l)$ .

The converse statement is not true: take e.g. a Gorenstein elliptic singularity with length of elliptic sequence  $m + 1$ . (For elliptic singularities consult [\[N99,](#page-27-16) [NN19a,](#page-27-5) [NN19b\]](#page-27-17). For more on the Abel map of elliptic singularities see [\[NN19a\]](#page-27-5).) Set  $Z \gg 0$  and  $-l' = Z_{min}$ , the fundamental (minimal) cycle. Then  $\text{Im}(c^{l'}(Z)) = 1$  and  $h^1(Z) = p_g = m + 1$ . However,  $\chi(Z_{min}) = \min_{0 \leq l \leq Z} \chi(Z_{min} + l) = 0$ . Therefore, if  $m = 1$  then  $\text{Im}(c^{l'})$  is a hypersurface, but for  $m \geq 2$  it is not. It is instructive to consider with the same topological data (elliptic numerically Gorenstein singularity with  $m \geq 1$ ,  $Z \gg 0, -l' = Z_{min}$ ) the generic analytic structure. Then  $p_g = 1$  (cf. [\[La77,](#page-27-15) [NN18b\]](#page-27-4)) but Im( $c^{l'}(Z)$ ) is a point (this follows from part (1) too). Hence  $\text{Im}(c^{l'}(Z))$  is a hypersurface for any  $m \geq 1$ . In particular, the property that  $\text{Im}(c^{l'}(Z))$  is a hypersurface is not a topological property.

<span id="page-11-5"></span>**Example 4.1.8. (Superisolated singularities)** Assume that  $(X, o)$  is a hypersurface superisolated singularity whose link is a rational homology sphere. More precisely,  $(X, o) = \{F(x_1, x_2, x_3) =$ 0}, where the homogeneous terms  $F_i$  of F are as follows:  $\{F_d = 0\}$  defines an irreducible rational cuspidal curve in  $\mathbb{CP}^2$  and  $\{F_{d+1} = 0\} \cap \text{Sing}\{F_d = 0\}$  is empty in  $\mathbb{CP}^2$ . (For details see [\[Lu87,](#page-27-18) [LMN05,](#page-27-19) [NN18a\]](#page-27-0).) Consider the minimal good resolution and let  $E_0$  be the irreducible exceptional curve corresponding to C (the exceptional curve of the first blow up of the maximal ideal). Assume that  $l' = -kE_0^*$  for some  $k \ge 1$  and  $Z \ge Z_K$ . For any  $\mathbf{m} = (m_1, m_2, m_3) \in \mathbb{Z}_{\ge 0}^3$ write  $|\mathbf{m}| = \sum_i m_i$ . Then by the discussion from [\[NN18a,](#page-27-0) 11.2] one has the following facts:  $p_q = d(d-1)(d-2)/6 = \#\{\mathbf{m} : |\mathbf{m}| \leq d-3\},\$  this is exactly the cardinality of the set of forms of type  $x^m \omega$ , where  $\omega$  is the Gorenstein form. The pole order of  $\omega$  along  $E_0$  is  $d-2$ , and the vanishing order of  $\mathbf{x}^{\mathbf{m}}$  along  $E_0$  is  $|\mathbf{m}|$ .  $\{\mathbf{x}^{\mathbf{m}}\omega\}_{\mathbf{m}}$  constitute a basis in  $H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2)$ . Hence, for  $0 \le s \le d-2$ one has  $g_s = \dim \mathcal{G}_{sE_0} = \#\{\mathbf{m} : d-2-s \leq |\mathbf{m}| \leq d-3\}$  and  $h^1(\mathcal{O}_Z) - g_s = \binom{d-s}{3}$ . In particular,

$$
d_Z(-kE_0^*) = \min_{0 \le s \le d-2} \ \{ks + \binom{d-s}{3}\}.
$$

In [\[NN18a,](#page-27-0) 11.2]  $d_Z(-kE_0^*)$  was computed in a different way as  $\sum_{j=0}^{d-3} \min\{k, \binom{j+2}{2}\}.$  The identification of the two numerical answers is left to the reader. (Use  $\sum_{j=0}^{t} {\binom{j+2}{2}} = {\binom{t+3}{3}}$ .)

**Example 4.1.9.** For weighted homogeneous germs (and  $l' = -kE_0^*$ , where  $E_0$  is the central vertex of the star shaped graph)  $d_Z(l')$  was computed by a similar method in [\[NN18a,](#page-27-0) §12].

<span id="page-12-1"></span>Remark 4.1.10. (1) In Theorems [3.2.2](#page-9-2) and [4.1.2](#page-10-1) (and Corollaries [3.2.4](#page-9-0) and [4.1.3](#page-11-2) as well) the functions  $\mathbf{s} \mapsto e_{\mathbf{s}}$  and  $\mathbf{s} \mapsto h^1(\mathcal{O}_Z) - g_{\mathbf{s}}$  serve as 'test-functions': "if this common value  $d_{\mathbf{s}^{v,k}}$  equals the test value, then  $d_s = d_{s^{v,k}}$ , otherwise  $d_s = d_{s^{v,k}} + 1$ ". Via this fact in mind, the second algorithm is rather surprising: the test function for each fixed v depends only on  $\mathbf{s} \mapsto \min_{0 \le k_v \le a_v} s_{v,k_v} = (l_{\mathbf{s}})_v$ , hence does not depend on the number of integers  $\{s_{v,k_v}\}_{0 \leq k_v \leq a_v}$ , or, on  $a_v$ . However, the final output, namely  $d_s$  (and the right hand side of  $(4.1.4)$  and the algorithm itself) do depend on  $l'$ . We encourage the reader to work out the algorithm for an example when  $a_v \ge 2$  (say, for  $-l' = 2E_v^*$ ).

(2) Notice that the formulas  $\min_{s}(|s| + h^1(Z) - g_s)$  and  $\min_{s}(|s| + \epsilon_s)$  can be defined without any restriction on the numbers  $g_s$  and  $e_s$ , however in our case these numbers are restricted. For example we have  $\min_{s\geq s_1}(|s|-|s_1|+h^1(Z)-g_s)-\min_{s\geq s_1^{v,k}}(|s\geq s_1^{v,k}|+h^1(Z)-g_s)\in\{0,1\}$  for all  $v, k, s_1$ . Or,  $g_s \leq |\mathbf{s}|$  for all s if and only if  $\chi(-l') < \chi(-l'+l)$  for all  $Z \geq l > 0$  (cf. Example [4.1.7\(](#page-11-3)2)).

(3) (Bounds for codim Im  $c^{l'}(Z)$ ) In some expression the codimension of Im( $c^{l'}(Z)$ ) appears more naturally. E.g., we have the following two general statements from [\[NN18a,](#page-27-0) Prop. 5.6.1] (under the conditions of Corollary [4.1.5\)](#page-11-4):

(a)  $h^1(Z, \mathcal{L}) \geq \text{codim}\, \text{Im}(c^{l'}(Z))$  for any  $\mathcal{L} \in \text{Im}(c^{l'}(Z))$ . Equality holds whenever  $\mathcal{L}$  is generic in  $\mathrm{Im}(c^{l'}(Z)).$ 

(b) codim Im  $c^{l'}(Z) \ge \chi(-l') - \min_{0 \le l \le Z} \chi(-l'+l)$ , and this inequality is strict whenever  $c^{l'}(Z)$ is not dominant. (This can be compared with the discussion from Example [4.1.7\(](#page-11-3)3).)

<span id="page-12-2"></span>Note that Corollary [4.1.5](#page-11-4) reads as:

(4.1.11) 
$$
\text{codim}\,\text{Im}(c^{l'}(Z)) = \max_{0 \leq Z_1 \leq Z} \{ h^1(\mathcal{O}_{Z_1}) - (l', Z_1) \}.
$$

4.1.12. Before we state the next theorem let us emphasise the obvious fact that for any  $0 \leq Z_1 \leq Z$ the natural restriction (linear projection)  $r : H^1(\mathcal{O}_Z) \to H^1(\mathcal{O}_{Z_1})$  is surjective, hence for any irreducible constructible subset  $C_1 \subset H^1(\mathcal{O}_{Z_1})$  one has  $\dim r^{-1}(C_1) - \dim C_1 = h^1(\mathcal{O}_{Z}) - h^1(\mathcal{O}_{Z_1})$ .

However, though the restriction of r to  $\text{Im}(c^{l'}(Z)) \to \text{Im}(c^{l'}(Z_1))$  is dominant, in general dim  $\text{Im}(c^{l'}(Z))$ can be smaller than dim  $r^{-1}(\text{Im}(c^{l'}(Z_1))).$ 

4.1.13. It is instructive to see that certain extremal geometric phenomenons (indexed by effective cycles) are realized by the very same set of cycles.

<span id="page-12-0"></span>**Lemma 4.1.14.** The following three sets of cycles coincide (for fixed  $Z \ge E$  and  $l' \in -S'$  as above): (I) the set of cycles  $Z_1$  with  $0 \le Z_1 \le Z$  realizing the minimality in [\(4.1.6\)](#page-11-0), that is:  $d_Z(l') =$  $(l', Z_1) + h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1}).$ 

(II) the set of cycles  $Z_1$  with  $0 \le Z_1 \le Z$  such that (i) the map  $\operatorname{ECa}^{l'}(Z) \to H^1(Z_1)$  is birational onto its image, and (ii) the generic fibres of the restriction of r,  $r^{im}: \text{Im}(c^{l'}(Z)) \to \text{Im}(c^{l'}(Z_1)),$ have dimension  $h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1})$ . (That is, the fibers of  $r^{im}$  have maximal possible dimension.)

(III) the set of cycles  $Z_1$  with  $0 \le Z_1 \le Z$  such that for the generic element  $\mathcal{L}_{gen}^{im} \in \text{Im}(c^{l'}(Z))$ and arbitrary section  $s \in H^0(Z_1, \mathcal{L}_{gen}^{im})_{reg}$  with divisor D (i) in the (analogue of the Mittag-Lefler sequence associated with the exact sequence  $0 \to \mathcal{O}_{Z_1} \xrightarrow{\times s} \mathcal{L}_{gen}^{im} \to \mathcal{O}_D \to 0$ , cf. [\[NN18a,](#page-27-0) 3.2]),

$$
0 \to H^0(\mathcal{O}_{Z_1}) \xrightarrow{\times s} H^0(Z_1, \mathcal{L}_{gen}^{im}) \to \mathbb{C}^{(Z_1, l')} \xrightarrow{\delta} H^1(\mathcal{O}_{Z_1}) \to h^1(Z_1, \mathcal{L}_{gen}^{im}) \to 0
$$

δ is injective, and (ii)  $h^1(Z, \mathcal{L}_{gen}^{im}) = h^1(Z_1, \mathcal{L}_{gen}^{im}).$ 

*Proof.* For (I) $\Rightarrow$ (II) use the following. First recall that dim  $ECa^{l'}(Z') = (l', Z')$  for any effective cycle Z'. Next, from [\(4.1.6\)](#page-11-0), there exists an effective cycle  $Z_1 \leq Z$ , such that dim Im( $c^{l'}(Z)$ ) =  $(l', Z_1) + h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1})$ . But  $\dim(\text{Im}(c^{l'}(Z_1))) \leq \dim \text{ECa}^{l'}(Z_1) = (l', Z_1)$  (cf. [2.2\)](#page-5-0) and  $\dim(\text{Im}(c^{l'}(Z))) - \dim(\text{Im}(c^{l'}(Z_1))) \leq h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1})$ . Hence, necessarily we have equalities in both these inequalities.  $(I) \leftarrow (II)$  is similar.

For  $(II)(i) \Leftrightarrow (III)(i)$  use the fact that  $\delta$  is the tangent application  $T_D \text{Im}c^{l'}(Z_1)$  at D, cf. [\[NN18a,](#page-27-0) 3.2], and for  $(II)(ii)\Leftrightarrow(III)(ii)$  use Remark [4.1.10\(](#page-12-1)3)(a).

<span id="page-13-0"></span>4.2. Structure theorem for the Abel map. The geometric interpretation from Lemma [4.1.14\(](#page-12-0)II) has the following consequence.

**Theorem 4.2.1.** (Structure theorem) Fix a resolution  $\widetilde{X}$ , a cycle  $Z \geq E$  and a Chern class  $l' \in -S'$  as above.

(a) There exists an effective cycle  $Z_1 \leq Z$ , such that: (i) the map  $\operatorname{ECa}^{l'}(Z) \to H^1(Z_1)$  is birational onto its image, and (ii) the generic fibres of the restriction of r,  $r^{im}: \text{Im}(c^{l'}(Z)) \to \text{Im}(c^{l'}(Z_1)),$ have dimension  $h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1})$ . (Cf. Lemma [4.1.14\(](#page-12-0)II).)

(b) In particular, for any such  $Z_1$ , the space  $\text{Im}(c^{l'}(Z))$  is birationally equivalent with an affine fibration with affine fibers of dimension  $h^1(\mathcal{O}_Z) - h^1(\mathcal{O}_{Z_1})$  over  $\mathrm{ECa}^{l'}(Z_1)$ .

(c) The set of effective cycles  $Z_1$  with property as in (a) has a unique minimal and a unique maximal element denoted by  $C_{min}(Z, l')$  and  $C_{max}(Z, l')$ . Furthermore,  $C_{min}(Z, l')$  coincides with the cohomology cycle of the pair  $(Z, \mathcal{L}_{gen}^{im})$  (the unique minimal element of the set  $\{0 \leq Z_1 \leq Z : Z_2 \leq Z_2\}$  $h^1(Z, \mathcal{L}_{gen}^{im}) = h^1(Z_1, \mathcal{L}_{gen}^{im})$  for the generic  $\mathcal{L}_{gen}^{im} \in \text{Im}(c^{l'}(Z)).$ 

Proof. (a) Use Lemma [4.1.14.](#page-12-0)

(c) Assume that two cycles  $Z_1$  and  $Z_2$  satisfy (a). We claim that  $Z' := \max\{Z_1, Z_2\}$  satisfies too. First, for any cycle  $Z''$  with  $Z_1 \leq Z'' \leq Z$ , if  $Z_1$  satisfies  $(a)(ii)$  then  $Z''$  satisfies too. This applies for Z' too. To prove  $(a)(i)$  for Z', let us denote by  $ECa^{l'}(Z'')_0 \subset ECa^{l'}(Z'')$  the set of divisors whose support is disjoint from the singular points of E. If  $l' = \sum_{v} a_v E_v^*$  then  $ECa^{l'}(Z)_0 =$  $\prod_{v} \mathrm{ECa}^{a_v E_v^*}(Z)$  Using this fact one shows that the product  $\mathrm{ECa}^{l'}(Z') \to \mathrm{ECa}^{l'}(Z_1) \times \mathrm{ECa}^{l'}(Z_2)$ of the two restrictions  $\operatorname{ECa}^{l'}(Z') \to \operatorname{ECa}^{l'}(Z_j)$   $(j = 1, 2)$  is birational onto its image (BioIm). This composed with the product of the maps  $\operatorname{ECa}^{l'}(Z_1) \to H^1(Z_1)$  and  $\operatorname{ECa}^{l'}(Z_2) \to H^1(Z_2)$  (both BioIm) guarantees that  $ECa^{l'}(Z') \rightarrow H^1(Z_1) \times H^1(Z_2)$  is BioIm too. This map writes as the composition  $\operatorname{ECa}^{l'}(Z') \to H^1(Z') \to H^1(Z_1) \times H^1(Z_2)$ , hence the first term  $\operatorname{ECa}^{l'}(Z') \to H^1(Z')$ should be BioIm. Hence the claim and the existence of  $C_{max}(Z, l')$  follows.

In order to prove the existence of  $C_{min}(Z, l')$ , first we claim that the set of cycles  $Z^{ii}$ , which satisfy  $(a)(ii)$  has a unique minimal element  $Z_{min}^{ii}$ . This fact via Remark [4.1.10\(](#page-12-1)3)(a) is equivalent with the existence of the (unique) cohomological cycle for the pair  $(Z, \mathcal{L}_{gen}^{im})$ . This was proved in [\[NN18a,](#page-27-0) 5.5], see also [\[Re97,](#page-27-20) 4.8]. Next, we claim that the map  $ECa^{l'}(Z_{min}^{ii}) \rightarrow H^1(Z_{min}^{ii})$  is BioIm as well. From the existence of the cycle  $C_{max}(\cdot, l')$  (already proved above), applied for  $Z_{min}^{ii}$ , there exists a cycle  $C_{max}(Z_{min}^{ii}, l') \leq Z_{min}^{ii}$ , which satisfies (a). In particular, (a)(ii) is valid for the pair  $C_{max}(Z_{min}^{ii}, l') \leq Z_{min}^{ii}$ . By the definition of  $Z_{min}^{ii}$  the condition  $(a)(ii)$  is valid for the pair  $Z_{min}^{ii} \leq Z$ too. Hence,  $(a)(ii)$  is valid for the pair  $C_{max}(Z_{min}^{ii}, l') \leq Z$  as well. Therefore, by the definition of  $Z_{min}^{ii}$  necessarily  $C_{max}(Z_{min}^{ii}, l') = Z_{min}^{ii}$ , hence  $Z_{min}^{ii}$  satisfies (a).

# 5. Example. The case of generic analytic structure

<span id="page-14-0"></span>5.1. Let us fix the topological type of a good resolution of a normal surface singularity, and we assume that the analytic type on  $\tilde{X}$  is generic (in the sense of [\[NN18b\]](#page-27-4), see [\[La73\]](#page-27-9) as well). Recall that in such a situation, if  $Z' = \sum n_v E_v$  is a non–zero effective cycle, whose support  $|Z'| = \bigcup_{n_v \neq 0} E_v$ is connected, then by [\[NN18b,](#page-27-4) Corollary 6.1.7] one has

$$
h^{1}(\mathcal{O}_{Z'})=1-\min_{|Z'| \leq l \leq Z', \ l \in L} \ \{ \chi(l) \}.
$$

**Corollary 5.1.1.** Assume that  $\hat{X}$  has a generic analytic type,  $Z \geq E$  an integral cycle and  $l' \in -S'$ . For any  $0 \le Z_1 \le Z$  write  $E_{|Z_1|}$  for  $\sum_{E_v \subset |Z_1|} E_v$ . Then

$$
(5.1.2) \t dZ(l') = 1 - \min_{E \le l \le Z} \left\{ \chi(l) \right\} + \min_{0 \le Z_1 \le Z} \left\{ (l', Z_1) + \min_{E_{|Z_1|} \le l \le Z_1} \left\{ \chi(l) \right\} - \chi(E_{|Z_1|}) \right\}.
$$

In particular,  $d_Z(l') = \dim(\text{Im}c^{l'}(Z))$  is topological.

Let us concentrate again on the *codimension*  $h^1(\mathcal{O}_Z) - d_Z(l')$  of  $\text{Im}(c^{l'}(Z)) \subset \text{Pic}^{l'}(Z)$  instead of the dimension. Then, [\(5.1.2\)](#page-15-0) reads as

(5.1.3) 
$$
\text{codim}\,\text{Im}(c^{l'}(Z)) = \max_{0 \leq Z_1 \leq Z} \left\{ \ - (l', Z_1) - \min_{E_{|Z_1|} \leq l \leq Z_1} \left\{ \chi(l) \right\} + \chi(E_{|Z_1|}) \right\}.
$$

This is a rather complicated combinatorial expression in terms of the intersection lattice L. The next lemma aims to simplify it.

**Proposition 5.1.4.** Consider the assumptions of Corollary [5.1.1.](#page-15-1) Let  $Z_1$  be minimal such that the maximum in [\(5.1.3\)](#page-16-0) is realized for it. Then  $\min_{E|Z_1|\leq l\leq Z_1} {\chi(l)} = \chi(Z_1)$ . In particular,

(5.1.5) 
$$
\text{codim}\,\text{Im}(c^{l'}(Z)) = \max_{0 \leq Z_1 \leq Z} \big\{ -(l', Z_1) - \chi(Z_1) + \chi(E_{|Z_1|}) \big\}.
$$

The maximum at the right hand side is realized e.g. for the cohomology cycle of  $\mathcal{L}_{gen}^{im} \in \text{Im}(c^{l'}(Z)) \subset$  $Pic^{l'}(Z)$ . Furthermore,

(5.1.6) 
$$
h^{1}(Z, \mathcal{L}) \geq \max_{0 \leq Z_{1} \leq Z} \left\{ -(l', Z_{1}) - \chi(Z_{1}) + \chi(E_{|Z_{1}|}) \right\}
$$

for any  $\mathcal{L} \in \mathrm{Im}(c^{l'}(Z))$  and equality holds for generic  $\mathcal{L}_{gen}^{im} \in \mathrm{Im}(c^{l'}(Z)).$ 

*Proof.* Assume that the minimum  $\min_{E_{|Z_1|} \le l \le Z_1} {\chi(l)} = \chi(Z_1)$  is realized by some  $l_1$ . Then  $(l', Z_1) \ge (l', l_1)$  (since  $l' \in -S'$ ),  $\min_{E_{|Z_1|} \le l \le Z_1} {\{\chi(l)\}} = \min_{E_{|l_1|} \le l \le l_1} {\{\chi(l)\}}$  and  $\chi(E_{|Z_1|}) = \chi(E_{|l_1|})$ hence  $-(l', Z_1) - \min_{E_{|Z_1|} \le l \le Z_1} {\chi(l)} + \chi(E_{|Z_1|}) \le -(l', l_1) - \min_{E_{|l_1|} \le l \le l_1} {\chi(l)} + \chi(E_{|l_1|})$ . Since the maximality in [\(5.1.3\)](#page-16-0) is realized by  $Z_1$ , which is minimal with this property, necessarily  $Z_1 = l_1$ . Next,

$$
\max_{0 \leq Z_1 \leq Z} \left\{ - (l', Z_1) - \min_{E_{|Z_1|} \leq l \leq Z_1} \left\{ \chi(l) \right\} + \chi(E_{|Z_1|}) \right\} \geq \max_{0 \leq Z_1 \leq Z} \left\{ - (l', Z_1) - \chi(Z_1) + \chi(E_{|Z_1|}) \right\}.
$$

But the maximum at the left hand side is realized by a term from the right.

For the last statement use again Remark [4.1.10\(](#page-12-1)3)(a).  $\square$ 

5.2. The identity [\(5.1.5\)](#page-16-1), valid for a generic analytic structure of  $\widetilde{X}$ , extends to an optimal inequality valid for any analytic structure.

**Theorem 5.2.1.** Consider an arbitrary normal surface singularity  $(X, o)$ , its resolution  $\tilde{X}$ ,  $Z > E$ and  $l' \in -S'$ . Then codim  $\text{Im}(c^{l'}(Z)) = h^1(Z, \mathcal{L}_{gen}^{im})$  (cf. Remark [4.1.10\(](#page-12-1)3)(a)) satisfies

(5.2.2) codim Im
$$
(c^{l'}(Z)) \ge \max_{0 \le Z_1 \le Z} \{ -(l', Z_1) - \chi(Z_1) + \chi(E_{|Z_1|}) \}.
$$

In particular, for any  $\mathcal{L} \in \mathrm{Im}(c^{l'}(Z))$  one also has (everything computed in  $\widetilde{X}$ )

$$
(5.2.3) \t h1(Z, \mathcal{L}) \geq h1(Z, \mathcal{L}_{gen}^{im}) = \text{codim}\, \text{Im}(c^{l'}(Z)) \geq \max_{0 \leq Z_1 \leq Z} \{ -(l', Z_1) - \chi(Z_1) + \chi(E_{|Z_1|}) \}.
$$

Note that the right hand side of [\(5.2.2\)](#page-15-0) is a sharp topological lower bound for codim  $\text{Im}(c^{l'}(Z))$ . The inequality [\(5.2.2\)](#page-15-0) can also be interpreted as the semi-continuity statement

 $\text{codim}\, \text{Im}(c^{l'}(Z))$ (arbitrary analytic structure)  $\geq \text{codim}\, \text{Im}(c^{l'}(Z))$ (generic analytic structure).

*Proof.* Consider the identity [\(4.1.11\)](#page-12-2) applied for an arbitrary  $\widetilde{X}$  and for the generic  $\widetilde{X}$ , denoted by  $\hat{X}_{gen}$ . Then, by semi-continuity of  $h^1(\mathcal{O}_{Z_1})$  with respect to the analytic structure as parameter space (see e.g. [\[NN18b,](#page-27-4) 3.6]), for any fixed effective cycle  $Z_1 > 0$ ,  $h^1(\mathcal{O}_{Z_1})$  computed in  $\tilde{X}$  is greater than or equal to  $h^1(\mathcal{O}_{Z_1})$  computed in  $\widetilde{X}_{gen}$ . Therefore, by [\(4.1.11\)](#page-12-2) one has codim  $\text{Im}(c^{l'}(Z))$  (in  $\widetilde{X}$ )  $\geq$ codim Im( $c^{l'}(Z)$ )(in  $\widetilde{X}_{gen}$ ). Then for  $\widetilde{X}_{gen}$  apply [\(5.1.5\)](#page-16-1).

**Remark 5.2.4.** Certain upper bounds for  $\{h^1(Z, \mathcal{L})\}_{\mathcal{L}\in\text{Pic}^{l'}(Z)}$ , valid for any analytic structure, were established in [\[NN18a,](#page-27-0) Prop. 5.7.1] (see alo Remark [5.3.3\)](#page-16-0). However, an optimal upper bound is not known (see [\[NO17\]](#page-27-21) for a particular case). Large  $h^1$ -values are realized by special strata, whose existence and study is extremely hard.

<span id="page-15-2"></span>5.3. The cohomology of  $\mathcal{L}_{gen}^{im}(l)$ . Assume that  $Z \geq E$ ,  $l' \in -\mathcal{S}'$  and let  $\mathcal{L}_{gen}^{im}$  be a generic element of Im( $c^{l'}(Z)$ ). If the analytic structure of  $(X, o)$  is generic, then by Proposition [5.1.4](#page-16-2)  $h^1(Z, \mathcal{L}_{gen}^{im}) = t_Z(l')$ , where  $t_Z(l')$  is the topological expression from the right hand side of [\(5.1.5\)](#page-16-1).

Our goal is to give a topological lower bound for  $h^1(Z, \mathcal{L})$ , where  $\mathcal{L} := \mathcal{L}_{gen}^{im}(l) = \mathcal{L}_{gen}^{im} \otimes \mathcal{O}(l) \in$  $Pic^{l'+l}(Z)$  whenever  $l \in L_{>0}$ . In this way we will control the generic element of the 'new' strata  $\mathcal{O}(l) \otimes (\mathrm{Im}(c^{l'}(Z)))$  of Pic<sup>l'+l</sup>(Z), unreachable directly by the previous result. Our hidden goal is to construct in this way line bundles with 'high'  $h<sup>1</sup>$ .

For simplicity we will assume that all the coefficients of Z are sufficiently large (even compared with l, hence the coefficients of  $Z - l$  are large as well). The monomorphism of sheaves  $\mathcal{L}_{gen}^{im}|_{Z-l} \hookrightarrow$  $\mathcal{L}_{gen}^{im}(l)$  gives  $h^0(Z-l, \mathcal{L}_{gen}^{im}) \leq h^0(Z, \mathcal{L}_{gen}^{im}(l)),$  hence

$$
h^1(Z-l,\mathcal{L}_{gen}^{im})+\chi(Z-l,\mathcal{L}_{gen}^{im})\leq h^1(Z,\mathcal{L}_{gen}^{im}(l))+\chi(Z,\mathcal{L}_{gen}^{im}(l)).
$$

By a computation regarding  $\chi$  this transforms into

<span id="page-15-1"></span><span id="page-15-0"></span>
$$
h^{1}(Z, \mathcal{L}_{gen}^{im}(l)) \geq h^{1}(Z-l, \mathcal{L}_{gen}^{im}) + \chi(-l'-l) - \chi(-l').
$$

If  $\tilde{X}$  is generic and  $Z, Z - l \gg 0$  then  $h^1(Z - l, \mathcal{L}_{gen}^{im}) = t_{Z-l}(l') = t_Z(l')$ , hence

(5.3.1) 
$$
h^1(Z, \mathcal{L}_{gen}^{im}(l)) \ge t_Z(l') - \chi(-l') + \chi(-l' - l).
$$

E.g., with the choice  $l = -l' \in \mathcal{S}' \cap L_{>0}$  we get that  $\mathcal{L}_{gen}^{im}(-l') \in \text{Pic}^0(\mathbb{Z})$  and

(5.3.2) 
$$
h^1(Z, \mathcal{L}_{gen}^{im}(-l')) \ge t_Z(l') - \chi(-l').
$$

<span id="page-16-0"></span>**Remark 5.3.3.** By [\[NN18a,](#page-27-0) Prop. 5.7.1] for  $Z \gg 0$ ,  $\mathcal{L} \in Pic(Z)$  with  $c_1(\mathcal{L}) \in -\mathcal{S}'$  one has  $h^1(Z, \mathcal{L}) \leq p_g$  whenever either  $H^0(Z, \mathcal{L}) = 0$  or  $\mathcal{L} \in \text{Im}(c^{l'}(Z))$ . For other line bundles a weaker bound is established (see [loc. cit.]), which does not guarantee  $h^1(\mathcal{L}) \leq p_g$ . However, it is not so easy to find singularities and bundles with  $h^1(\mathcal{L}) > p_g$  in order to show that such cases indeed might appear. In the next [5.3.4](#page-16-2) we provide such an examples (with a recipe to find many others as well) based partly on [\(5.3.2\)](#page-15-0).

<span id="page-16-2"></span>Example 5.3.4. Assume that we can construct a nonrational resolution graph which satisfies the following (combinatorial) properties, valid for certain  $Z \gg 0$  and  $l' \in -S' \cap L$ :

<span id="page-16-1"></span>(5.3.5)   
\n(a) 
$$
t_Z(l') \ge \chi(-l') - \min_{l \ge 0} \chi(-l' + l) + 2
$$
, and  
\n(b)  $-l' \le \max M$ , where  $M := \{l \in L_{>0} : \chi(l) = \min \chi\}$ .

Now, if we consider the generic analytic structure supported on this topological type, then  $\min_{l\geq 0} \chi(-l'+l')$ l)  $\stackrel{(b)}{=} \min \chi = 1 - p_g$  (for the second identity use [\[NN18b,](#page-27-4) Cor. 5.2.1]), hence  $t_Z(l') - \chi(-l') \stackrel{(a)}{\geq}$  $-1 + p_g + 2 = p_g + 1$ . This combined with [\(5.3.2\)](#page-15-0) gives  $h^1(Z, \mathcal{L}_{gen}^{im}(-l')) > p_g$ .

Next we show that [\(5.3.5\)](#page-16-1) can be realized. Consider two copies  $\Gamma_1$  and  $\Gamma_2$  of the following graph



The wished graph  $\Gamma$  consists of  $\Gamma_1$ ,  $\Gamma_2$  and a new vertex v, which has two adjacent edges connecting v to the (−13)-vertices of  $\Gamma_1$  and  $\Gamma_2$ . Let the decoration of v be  $-b_v$  where  $b_v \gg 0$ . One verifies that the minimal cycle is  $Z_{min} = (b_v - 2)E_v^*$ , whose  $E_v$ -multiplicity is 1. We set  $-l' := Z_{min}$ . Since  $\max \mathcal{M} \in \mathcal{S}_{an} \subset \mathcal{S}' \cap L$  (cf. [\[NN18b,](#page-27-4) 5.7]) we get that  $-l' = Z_{min} \leq \max \mathcal{M}$ . One verifies that  $\chi(Z_{min}) = -3$  (e.g. by Laufer's criterion), and also that min  $\chi = -5$  (realized e.g. for  $2Z_{min} - E_v$ ). Therefore  $\chi(-l') - \min_{l \geq 0} \chi(-l'+l) + 2 = -3 + 5 + 2 = 4$ . On the other hand, the expression (under max) in [\(5.1.5\)](#page-16-1) for  $Z_1 = Z_{min}(\Gamma_1) + Z_{min}(\Gamma_2)$  supported on  $\Gamma \setminus v$  is 4, hence  $t_Z(l') \geq 4$ .

<span id="page-16-3"></span>6. Appendix. Geometrical aspects behind the lower bound Theorem [5.2.1](#page-15-1)

6.1. Let us discuss with more details the geometry behind the inequality [\(5.2.2\)](#page-15-0). Along the discussion we will provide a second independent proof of it and we also provide several examples, which show its sharpness/weakness in several situations. Similar construction (with similar philosophy) will appear in forthcoming manuscripts on the subject as well. The construction of the present section shows also in a conceptual way how one can produce different sharp lower bounds for sheaf cohomologies (for another case see e.g. subsection [7.2\)](#page-21-0).

We provide the new proof in several steps. First, we define a topological lower bound for codim Im( $c^{l'}(Z)$ ), which (a priori) will have a more elaborated form then the right hand side  $t_Z(l')$ of [\(5.2.2\)](#page-15-0). Then via several steps we will simplify it and we show that in fact it is exactly  $t_Z(l')$ .

**Definition 6.1.1.** For any  $Z > 0$  with |Z| connected we define  $D(Z, l')$  as 0 if  $c^{l'}(Z)$  is dominant and 1 otherwise. (For a criterion see [2.3.](#page-6-4)) Furthermore, set

(6.1.2) 
$$
T(Z, l') := \chi(-l') - \min_{0 \le l \le Z, l \in L} \chi(-l' + l) + D(Z, l').
$$

By [\[NN18a,](#page-27-0) Theorem 5.3.1] for any singularity  $(X, o)$ , any resolution  $\tilde{X}$ , any  $Z > 0$  and  $l' \in L'$ , and for  $\mathcal{L}_{gen}$  generic in  $Pic^{l'}(Z)$  one has

(6.1.3) 
$$
h^{1}(Z, \mathcal{L}_{gen}) = \chi(-l') - \min_{0 \leq l \leq Z, l \in L} \chi(-l' + l).
$$

By [\[NN18a,](#page-27-0) Prop. 5.6.1], see also [4.1.10\(](#page-12-1)3), for any  $Z \ge E$  and for any  $l' \in -S'$ , if  $\mathcal{L}_{gen}^{im}$  is a generic element of  $\text{Im}(c^{l'}(Z))$ , then  $h^1(Z, \mathcal{L}_{gen}^{im}) = \text{codim}\, \text{Im}(c^{l'}(Z))$  satisfies (the semicontinuity)

$$
(6.1.4) \ \ h^1(Z, \mathcal{L}_{gen}^{im}) \geq \chi(-l') - \min_{0 \leq l \leq Z, l \in L} \chi(-l'+l) + D(Z, l') = h^1(Z, \mathcal{L}_{gen}) + D(Z, l') = T(Z, l').
$$

**Remark 6.1.5.** Assume that  $Z > 0$  is a nonzero cycle with connected support  $|Z|$ , but with  $Z \not\geq E$ . Then the statements from  $(6.1.4)$  remain valid for such Z once we replace l' by its restriction  $R(l')$ , where  $R: L' \to L'(|Z|)$  is the natural cohomological operator dual to the natural homological inclusion  $L(|Z|) \hookrightarrow L$ . (For this apply the statement for the singularity supported on  $|Z|$ .) On the other hand, for  $l \in L(|Z|)$  one has  $\chi(-R(l')) - \chi(-R(l') + l) = -\chi(l) - (R(l'),l)_{L(|Z|)} =$  $-\chi(l) - (l', l) = \chi(-l') - \chi(-l' + l)$ . Hence, in fact, [\(6.1.4\)](#page-19-1) remains valid in its original form for any such  $Z > 0$  with  $|Z|$  connected.

**Example 6.1.6.** The difference  $h^1(Z, \mathcal{L}_{gen}^{im}) - h^1(Z, \mathcal{L}_{gen})$  can be arbitrary large. Indeed, let us start with a singularity with an arbitrary analytic structure, we fix a resolution  $\tilde{X}$  with dual graph Γ, and we distinguish a vertex, say  $v_0$ , associated with the irreducible divisor  $E_0$ . Let  $k$  ( $k > 0$ ) be the number of connected components of  $\Gamma \setminus v_0$ , and we assume that each of them is non-rational. Furthermore, we choose  $Z \gg 0$ , hence  $h^1(\mathcal{O}_Z) = p_g$ . Let  $\widetilde{X}|_{\mathcal{V}\setminus v_0}$  be a small neighbourhood of  $\cup_{v\neq v_0} E_v$ , let  $\{\tilde{X}_i\}_{i=1}^k$  be its connected components, and set  $p_{g,i} = h^1(\mathcal{O}_{\tilde{X}_i})$  for the geometric genus of the singularities obtained from  $X_i$  by collapsing its exceptional curves. Write also  $\Gamma \setminus v_0 = \cup_i \Gamma_i$ . We also assume that  $-l' = nE_0^*$  with  $n \gg 0$ .

Since *n* is large,  $\text{Im}(\tilde{c}^{l'}(Z)) = A_Z(l')$ , hence  $d_Z(l') = e_Z(l') = p_g - \sum_i p_{g,i}$ , cf. [\[NN18a,](#page-27-0) Th. 6.1.9] or Theorem [2.2.5](#page-6-1) here. Hence, cf.  $(6.1.4)$ ,  $codim(Im\tilde{c}^{l'}(Z)) = h^1(\mathcal{O}_Z) - d_Z(l') = h^1(Z, \mathcal{L}_{gen}^{im}) =$  $\sum_i p_{g,i}$  (in particular,  $\tilde{c}^{l'}$  is not dominant).

Next we compute  $h^1(Z, \mathcal{L}_{gen}) = \chi(nE_0^*) - \min_{l \geq 0} \chi(nE_0^* + l)$ . Write l as  $l_0E_0 + l$ , where l is supported on  $\cup_{v\neq v_0} E_v$ . Then  $\chi(nE_0^*) - \chi(nE_0^* + l) = -\chi(l) - n l_0$ . If  $l_0 = 0$  then  $-\chi(l) = -\chi(\tilde{l})$ , and its maximal value is  $M := \sum_i (-\min \chi(\Gamma_i))$ . On the other hand, if  $l_0 > 0$  then for  $n > -M - \min \chi$ one has  $-\chi(l) - l_0 n < M$ . Hence  $h^1(Z, \mathcal{L}_{gen}) = \chi(nE_0^*) - \min_{l \geq 0} \chi(nE_0^* + l) = \sum_i (-\min \chi(\Gamma_i)).$ 

Now,  $p_{g,i} \geq 1 - \min \chi(\Gamma_i)$  (cf. [\[Wa70\]](#page-27-22) or [\[NN18b\]](#page-27-4)), hence  $h^1(Z, \mathcal{L}_{gen}^{im}) - h^1(Z, \mathcal{L}_{gen}) \geq k$ .

**6.1.7.** We wish to estimate  $h^1(Z, \mathcal{L}_{gen}^{im})$ . Note that the estimate given by  $(6.1.4)$ , that is,  $h^1(Z, \mathcal{L}_{gen}^{im}) \ge$  $T(Z, l')$ , sometimes is week, see the previous example. However, surprisingly, if we replace Z by a smaller cycle  $Z' \leq Z$ , then we might get a better bound. More precisely, first note that if  $\mathcal{L}_{gen}^{im}$  is a generic element of Im( $c^{l'}(Z)$ ), and  $0 < Z' \leq Z$ , then its restriction  $r(\mathcal{L}_{gen}^{im})$  (via  $r: Pic^{l'}(Z) \to Pic^{R(l')}(Z')$  is a generic element of  $Im(c^{l'}(Z'))$ . If Z' has more connected components,  $Z' = \sum_i Z'_i$  (where each  $|Z'_i|$  is connected and  $|Z'_i| \cap |Z'_j| = \emptyset$  for  $i \neq j$ ), then for each  $Z'_i$ we can apply [\(6.1.4\)](#page-19-1). Therefore, we get

<span id="page-17-0"></span>(6.1.8) 
$$
h^{1}(Z, \mathcal{L}_{gen}^{im}) \geq h^{1}(Z', r(\mathcal{L}_{gen}^{im})) = \sum_{i} h^{1}(Z'_{i}, r(\mathcal{L}_{gen}^{im})) \geq \sum_{i} T(Z'_{i}, l').
$$

Define

<span id="page-17-2"></span>
$$
(6.1.9) \quad t(Z, l') := \max_{0 < Z' \leq Z} \sum_{i} T(Z_i', l') = \max_{0 < Z' \leq Z} \Big( \sum_{i} (\chi(-l') - \min_{0 \leq l_i \leq Z_i'} \chi(-l' + l_i) + D(Z_i', l')) \Big).
$$

(Here there is no need to restrict  $l'$ , cf. Remark [6.1.5.](#page-19-2)) Hence [\(6.1.8\)](#page-17-0) reads as

<span id="page-17-1"></span>(6.1.10) 
$$
h^{1}(Z, \mathcal{L}_{gen}^{im}) \ge t(Z, l').
$$

In this estimate the point is the following: though  $\sum_i (\chi(-l') - \min_{0 \le l_i \le Z'_i} \chi(-l' + l_i) = \chi(-l') \min_{0 \leq l \leq Z'} \chi(-l'+l)$  is definitely not larger than  $\chi(-l') - \min_{0 \leq l \leq Z} \chi(-l'+l)$ , the number of components of Z' might be large, and the sum of the 'non-dominant' contribution terms  $\sum_i D(Z'_i, l')$ might increase the right hand side of  $(6.1.10)$  — compared with  $T(Z, l')$  — drastically.

<span id="page-18-1"></span>Example 6.1.11. (Continuation of Examle [6.1.6\)](#page-19-3) The last computation of Example [6.1.6](#page-19-3) shows that the maximum of  $\chi(nE_0^*) - \min_{l \geq 0} \chi(nE_0^* + l)$  is obtained for  $l_0 = 0$  and  $T(Z, l') =$  $1 + \sum_i (-\min \chi(\Gamma_i))$ . Hence, taking  $Z' = \sum_i Z'_i$ , each  $Z'_i$  supported on  $\Gamma_i$  and large, we get that the restriction of l' is zero and  $\sum_i T(Z'_i, l') = \sum_i (1 - \min \chi(\Gamma_i)) = T(Z, l') + k - 1$ .

Summarized (also from Example [6.1.6\)](#page-19-3), for any analytic type one has  $\sum_i p_{g,i} = h^1(Z, \mathcal{L}_{gen}^{im}) \ge$  $t(Z, l') \geq \sum_i T(Z'_i, l') = \sum_i (1 - \min \chi(\Gamma_i))$ . However, if  $\widetilde{X}$  is generic then  $p_{g,i} = 1 - \min \chi(\Gamma_i)$  (cf. [\[NN18b\]](#page-27-4)), hence, all the inequalities transform into equalities. Hence, for generic analytic structure  $h^1(Z, \mathcal{L}_{gen}^{im}) = t(Z, l')$ , that is, [\(6.1.10\)](#page-17-1) provides the optimal sharp topological lower bound.

Note also that both  $t(Z, l')$  and  $\sum_i (1 - \min \chi(\Gamma_i))$  are topological, hence if they agree for  $\widetilde{X}$ generic, then they are in fact equal. Since  $p_{g,i} - 1 + \min \chi(\Gamma_i)$  for arbitrary analytic type can be considerably large, for arbitrary analytic types the inequality [\(6.1.10\)](#page-17-1) can be rather week.

6.2. Our goal is to simplify the expression  $(6.1.9)$  of  $t(Z, l').$ 

First we analyse the set of cycles  $Z'$  for which the maximum in the right hand side of  $(6.1.9)$  can be realized. E.g., if  $c^{l'}(Z)$  is dominant (equivalently,  $t(Z, l') = 0$ , cf. [2.3\)](#page-6-4) then any  $0 \leq Z' \leq Z$ realizes the maximum 0 (with all  $l_i = 0$ ). (Indeed, use the fact that  $D(Z_2, l') \ge D(Z_1, l')$  for  $Z_2 \ge Z_1$ and  $|Z_i|$  connected.)

In the next Lemmas [6.2.1](#page-18-0) and [6.2.4](#page-19-1) we will assume that  $c^{l'}(Z)$  is not dominant.

<span id="page-18-0"></span>**Lemma 6.2.1.** (a) Assume that Z' is a minimal cycle (or a cycle with minimal number of connected components) among those cycles which realize the maximum in the right hand side of [\(6.1.9\)](#page-17-2). Then  $D(Z'_i, l') = 1$  for all i.

(b) If  $D(Z'_i, l') = 1$  then the minimal value  $\min_{0 \leq l_i \leq Z'_i} \chi(-l' + l_i)$  can be realized by  $l_i > 0$ .

*Proof.* (a) Otherwise,  $c^{l'}(Z'_i)$  is dominant, and by [2.3](#page-6-4)  $\chi(-l') - \min_{0 \le l_i \le Z'_i} \chi(-l' + l_i) = 0$  (realized for  $l_i = 0$ ). Hence  $T(Z'_i, l') = 0$ , that is, the right hand side of [\(6.1.9\)](#page-17-2) is realized by  $Z' - Z'_i$  too, contradicting the minimality of Z'. (b) If the wished minimum is realized by  $l_i = 0$ , and only by  $l_i = 0$ , then by [2.3](#page-6-4)  $c^{l'}(Z'_i)$  is dominant, contradicting  $D(Z'_i, l') = 1$ .

**Example 6.2.2.** Though in Example [6.1.6](#page-19-3) we have shown that  $h^1(Z, \mathcal{L}_{gen}^{im}) = t(Z, l')$  can be much larger than  $T(Z, l')$  (that is, the maximizing Z' usually should be necessarily strict smaller than Z), in some cases  $Z' = Z$  still works. Indeed, we claim that

if the E<sup>\*</sup>-support I of l' is included in the set of end vertices of  $\Gamma$ , then  $t(Z, l') = T(Z, l')$ .

Let Z' be a cycle for minimal number n of connected components  $\{Z_i'\}_{i=1}^n$  for which the right hand side of [\(6.1.9\)](#page-17-2) is realized. We claim that  $n = 1$ . Indeed, by Lemma [6.2.1,](#page-18-0) each  $D(Z_i', l') = 1$ . Let  $l_i$ be a cycle which realizes  $\chi(-l') - \min_{0 \le l \le Z'_i} \chi(-l' + l)$ . By Lemma [6.2.1](#page-18-0) we can assume  $l_i \neq 0$ .

If  $n > 1$  then let  $Z_1$  and  $Z_2$  be two adjacent component, which means, that there is a vertex  $u \in |Z'_1|$  and  $v \in |Z'_2|$  and a (minimal) path  $u_1 = u, u_2, \dots, u_t = v$ , such that  $u_2, \dots, u_{t-1} \notin |Z'|$ and  $u_k$  and  $u_{k+1}$  are neighbours in the resolution graph. Moreover, define a new cycle by  $Z'_{1,new}$  $Z'_1 + Z'_2 + \sum_{2 \le k \le t-1} E_{u_k}$  and  $Z'_{new} = Z'_{1,new} + \sum_{3 \le i \le n} Z'_i$ . Similarly, let us have a minimal path between  $|l_1|$  and  $|l_2|$ : vertices  $w_1, \dots, w_l$ , such that  $w_1 \in |l_1|$  and  $w_l \in |l_2|$ ,  $w_2, \dots, w_{l-1} \notin |l_1| \cup |l_2|$  and  $w_k, w_{k+1}$  are neighbours in the resolution graph. Then define  $l_{1,new} = l_1 + l_2 + \sum_{2 \le k \le l-1} E_{w_k}$ . The point is that the vertices  $w_2, \dots, w_{l-1}$  are not end vertices, in particular  $(l', \sum_{2 \le k \le l-1} E_{w_k}) = 0$ .

Note also that  $D(Z'_{1,new}, l') = 1$ . Then a computation gives that

(6.2.3) 
$$
\chi(-l') - \chi(-l' + l_{1,new}) + D(Z'_{1,new}, l') \geq T(Z_1, l') + T(Z_2, l'),
$$

or,  $T(Z_{1,new}, l') \geq T(Z_1, l') + T(Z_2, l')$ , contradicting the minimality of Z'. Hence necessarily  $n = 1$ .

On the other hand, if Z' is connected, then  $T(Z', l') \leq T(Z, l')$ , hence the maximal value in the right hand side of  $(6.1.10)$  is realized for Z as well (and maybe by several other smaller cycles too; here we minimalized  $\#|Z'|$  by increasing  $Z'$ ).

The present example together with Examples [6.1.6](#page-19-3) and [6.1.11](#page-18-1) show that the structure of possible cycles  $Z'$  for which the maximality in  $(6.1.9)$  realizes can be rather subtle.

<span id="page-19-1"></span>**Lemma 6.2.4.** Assume that  $Z'$  is a minimal cycle among those cycle which realizes the maximum in the right hand side of  $(6.1.9)$ . Then the following facts hold:

- (a)  $\min_{0 \leq l_i \leq Z'_i} \chi(-l' + l_i)$  is realized by  $l_i = Z'_i$ .
- (b)  $\min_{0 \leq l_i \leq Z'_i} \chi(l)$  is realized by  $l_i = Z'_i$ .
- (c)  $t(Z', l') = t(Z, l') = \sum_i (-(Z'_i, l') \chi(Z'_i) + 1).$

*Proof.* (a) For each  $Z_i'$  let  $l_i$  be minimal non–zero cycle (cf. Lemma [6.2.1\)](#page-18-0) such that  $M_i := \chi(-l')$  –  $\min_{0\leq l\leq Z'_i}\chi(-l'+l)$  is realized by  $l_i$ . Let  $l_i = \bigcup_k l_{i,k}$  be its decomposition into cycles with  $|l_{i,k}|$ connected and disjoint. Since  $M_i = -\chi(l_i) - (l', l_i) \geq 0$ , there exists k such that  $\chi(-l') - \chi(-l' +$  $l_{i,k}$ ) =  $-\chi(l_{i,k}) - (l', l_{i,k}) \geq 0$ , hence by the criterion from [2.3](#page-6-4) the Abel map  $c^{l'}(l_{i,k})$  must be non-dominant. Thus (using also  $D(Z'_i, l') = 1$  from Lemma [6.2.1](#page-18-0)(a))

<span id="page-19-2"></span>(6.2.5) 
$$
\sum_{k} T(l_{i,k}, l') \geq \chi(-l') - \chi(-l' + l_i) + 1 = T(Z'_i, l').
$$

In particular, by the minimality of  $Z_i'$ ,  $Z_i' = l_i$ .

(b) By part (a)  $\chi(Z'_i) + (Z'_i, l') \leq \chi(l_i) + (l_i, l')$  for any  $0 \leq l_i \leq Z'_i$ . But, since  $l' \in -S'$ ,  $(Z'_i, l') \geq (l_i, l')$ , hence  $\chi(Z'_i) \leq \chi(l_i)$  for any  $0 \leq l_i \leq Z'_i$ . Part *(c)* follows from [\(6.1.9\)](#page-17-2) and *(a)*.  $\Box$ 

Recall that in [5.3](#page-15-2) we defined  $t_Z(l') := \max_{0 \le Z' \le Z} \left\{ - (l', Z') - \chi(Z') + \chi(E_{|Z'|}) \right\}.$ 

# <span id="page-19-3"></span>Corollary 6.2.6.  $t(Z, l') = t_Z(l').$

*Proof.* If  $c^{l'}(Z)$  is dominant then both sides are zero. Otherwise, by Lemma [6.2.4\(](#page-19-1)c) (with its notations)  $t(Z, l') = \sum_i \left( -(Z'_i, l') - \chi(Z'_i) + 1 \right) \le t_Z(l')$ . On the other hand, let us fix some  $Z' = \bigcup_i Z'_i$ for which the maximum in  $t_Z(l')$  is realized. Then we can assume that each  $c^{l'}(Z_i')$  is not dominant. Then  $-(Z'_i, l') - \chi(Z'_i) + 1 = \chi(-l') - \chi(-l' + Z'_i) + 1 \leq \chi(-l') - \min_{0 \leq l_i \leq Z'_i} \chi(-l' + l_i) + D(Z'_i, l').$ Hence  $t_Z(l') \leq t(Z, l')$ ) too.  $\Box$ 

<span id="page-19-0"></span>Remark 6.2.7. The second proof of Theorem [5.2.1](#page-15-1) follows from  $(6.1.10)$  and Corolary [6.2.6.](#page-19-3)

# 7. THE  $\mathcal{L}_0$ -PROJECTED ABEL MAP

In this section we introduce a new object, a modification of the Picard group  $Pic(Z)$ , which will play a key role in the cohomology computation of the shifted line bundles of type  $\{\mathcal{L}_0 \otimes \mathcal{L}\}_{\mathcal{L} \in \text{Im}(c^{l'}(Z))}$ . <span id="page-20-0"></span>7.1. The  $\mathcal{L}_0$ -projected Picard group. Let  $(X, o)$  be a normal surface singularity. For simplicity we assume (as always in this manuscript) that the link is a rational homology sphere. Let  $\widetilde{X}$  be one of its good resolutions and  $Z \ge E$  an effective cycle. Fix also  $\mathcal{L}_0 \in \text{Pic}(Z)$  such that  $H^0(Z, \mathcal{L}_0)_{reg} \ne \emptyset$ (cf. [2.2\)](#page-5-0). Choose  $s_0 \in H^0(Z, \mathcal{L}_0)_{reg}$  arbitrarily, and write  $\text{div}(s_0) = D_0 \in \text{ECa}^{l'_0}(Z)$ , where  $l'_0 =$  $c_1(\mathcal{L}_0) \in -\mathcal{S}'$ . Motivated by the exponential exact sequence of sheaves  $0 \to \mathbb{Z}_Z \stackrel{i}{\to} \mathcal{O}_Z \to \mathcal{O}_Z^* \to 0$ , we define  $\mathcal{L}_0^* := \text{coker}(\mathbb{Z}_Z \stackrel{i}{\to} \mathcal{O}_Z \stackrel{s_0}{\to} \mathcal{L}_0)$ , where the second morphism is the multiplication by (restrictions of)  $s_0$ . Then we have the following commutative diagram of sheaves:

$$
\begin{array}{ccccccc}\n & & & & & 0 & & 0 & \\
 & & & \downarrow & & \downarrow & & \\
0 & \longrightarrow & \mathbb{Z}_Z & \xrightarrow{i} & \mathcal{O}_Z & \longrightarrow & \mathcal{O}_Z^* & \longrightarrow & 0 \\
 & & \downarrow = & & \downarrow s_0 & & \downarrow s_0^* & & \\
0 & \longrightarrow & \mathbb{Z}_Z & \longrightarrow & \mathcal{L}_0 & \longrightarrow & \mathcal{L}_0^* & \longrightarrow & 0 \\
 & & & \downarrow & & \downarrow & & \downarrow & & \\
 & & & \mathcal{O}_{D_0} & = & \mathcal{O}_{D_0} & & \\
 & & & \downarrow & & \downarrow & & \downarrow & & \\
 & & & 0 & & 0 & & \\
\end{array}
$$

where  $s_0^*$  is induced by  $s_0$ . At cohomological level we get the (identical/renamed) diagrams

$$
H^{0}(\mathcal{O}_{D_{0}}) = H^{0}(\mathcal{O}_{D_{0}})
$$
\n
$$
\downarrow \delta^{0} \qquad \downarrow \delta
$$
\n
$$
0 \rightarrow H^{1}(\mathcal{O}_{Z}) \rightarrow H^{1}(\mathcal{O}_{Z}^{*}) \stackrel{c_{1}}{\rightarrow} L' \rightarrow 0 \qquad 0 \rightarrow \text{Pic}^{0}(Z) \rightarrow \text{Pic}(Z) \qquad \stackrel{c_{1}}{\rightarrow} L' \rightarrow 0
$$
\n
$$
\downarrow s^{0} \qquad \downarrow s \q
$$

where we use the notation  $Pic_{\mathcal{L}_0}(Z) := H^1(Z, \mathcal{L}_0^*)$  — and call it the  $\mathcal{L}_0$ -projected Picard group —, and (its linearization)  $Pic_{\mathcal{L}_0}^0(Z) := H^1(Z, \mathcal{L}_0)$ . Note that the classical first Chern class map  $c_1$  factorizes to a well-defined map  $c_1$ :  $Pic_{\mathcal{L}_0}(Z) \to L'$ . Set also  $Pic_{\mathcal{L}}^{l'}$  $L_{\mathcal{L}_0}^{l'}(Z) := c_1^{-1}(l')$  for any  $l' \in L'$ ; it is an affine space isomorphic to  $Pic^{l'}(Z)/Im(\delta)$  associated with the vector space  $Pic^{0}_{\mathcal{L}_{0}}(Z) = H^{1}(Z, \mathcal{L}_{0}) =$  $H^1(\mathcal{O}_Z)/\mathrm{Im}(\delta^0).$ 

The corresponding vector spaces appear in the following exact sequences as well. Let us take another line bundle  $\mathcal{L} \in Pic^{l'}(Z)$  without fixed components,  $s \in H^0(Z, \mathcal{L})_{reg}$  and  $D := \text{div}(s)$ . Then one can take the exact sequences  $0 \to \mathcal{O}_Z \stackrel{s}{\to} \mathcal{L} \to \mathcal{O}_D \to 0$  and  $0 \to \mathcal{L}_0 \stackrel{s}{\to} \mathcal{L}_0 \otimes \mathcal{L} \to \mathcal{O}_D \to 0$ . They induce (at cohomology, or 'tangent' vector space level) the following commutative diagram

$$
H^{0}(\mathcal{O}_{D_{0}}) = H^{0}(\mathcal{O}_{D_{0}})
$$
\n
$$
\downarrow \delta^{0} \qquad \downarrow
$$
\n
$$
H^{0}(\mathcal{O}_{D}) \stackrel{\delta_{\mathcal{L}}^{0}}{\rightarrow} H^{1}(\mathcal{O}_{Z}) \stackrel{s}{\rightarrow} H^{1}(\mathcal{L}) \rightarrow 0
$$
\n
$$
\downarrow = \qquad \qquad \downarrow s_{\mathcal{L}_{0}}^{0} \qquad \qquad \downarrow
$$
\n
$$
H^{0}(\mathcal{O}_{D}) \stackrel{\bar{\delta}_{\mathcal{L}}^{0}}{\rightarrow} H^{1}(\mathcal{L}_{0}) \stackrel{s}{\rightarrow} H^{1}(\mathcal{L}_{0} \otimes \mathcal{L}) \rightarrow 0
$$
\n
$$
\downarrow \qquad \qquad \downarrow
$$
\n
$$
0 \qquad \qquad 0
$$

This is related with the Abel map  $c^{l'}(Z) : ECa^{l'}(Z) \rightarrow Pic^{l'}(Z)$  as follows. Recall from [\[NN18a,](#page-27-0) 3.2.2] that the tangent linear map  $T_D c^{l'}(Z) : T_D E Ca^{l'}(Z) \to T_{\mathcal{L}} Pic^{l'}(Z)$  can be identified with  $\delta^0_{\mathcal{L}}$ :  $H^0(\mathcal{O}_D) \to H^1(\mathcal{O}_Z)$ . Therefore, if  $\mathcal{L} = \mathcal{L}_{gen}^{im}$  is a generic element of  $\text{Im}(c^{l'}(Z))$  then codim  $\text{Im}(c^{l'}(Z))$ dim  $H^1(\mathcal{O}_Z)/\text{Im}(\delta_{\mathcal{L}}^0)=h^1(Z,\mathcal{L}).$  Similarly, consider the composition

$$
c_{\mathcal{L}_0}^{l'}(Z): \mathrm{ECa}^{l'}(Z) \stackrel{c^{l'}(Z)}{\longrightarrow} \mathrm{Pic}^{l'}(Z) \stackrel{s_{\mathcal{L}_0}^0}{\longrightarrow} \mathrm{Pic}_{\mathcal{L}_0}^{l'}(Z).
$$

We call it the  $\mathcal{L}_0$ -projection of the Abel map  $c^{l'}(Z)$ . Using the previous paragraph we obtain that the tangent linear map  $T_D c_{\mathcal{L}_0}^{l'}(Z) : T_D \operatorname{ECa}^{l'}(Z) \to T_{\mathcal{L}} \operatorname{Pic}^{l'}_{\mathcal{L}}$  $\ell'_{\mathcal{L}_0}(Z)$  can be identified with  $\bar{\delta}^0_{\mathcal{L}} = s^0_{\mathcal{L}_0} \circ \delta^0_{\mathcal{L}}$ :  $H^0(\mathcal{O}_D) \to H^1(\mathcal{L}_0)$ . Therefore, if  $\mathcal L$  is a generic element of  $\text{Im}(c_{\mathcal{L}_0}^{l'}(Z))$  (or, it is the image by  $s_{\mathcal{L}_0}$  of a generic element  $\mathcal{L}_{gen}^{im}$  of  $\text{Im}(c^{l'}(Z))$  then

(7.1.1) 
$$
\text{codim}\,\text{Im}(c_{\mathcal{L}_0}^{l'}(Z)) = \text{dim}\,\,H^1(\mathcal{L}_0)/\text{Im}(\overline{\delta}_{\mathcal{L}}^0) = h^1(Z,\mathcal{L}_0\otimes\mathcal{L}).
$$

This fact fully motivates the next point of view: if one wishes to study  $h^1(Z, \mathcal{L}_0 \otimes \mathcal{L})$  with  $\mathcal{L}_0$  fixed and  $\mathcal{L} \in Pic^{l'}(Z)$  then — as a tool — the right Abel map is the  $\mathcal{L}_0$ -projected  $c_{\mathcal{L}_0}^{l'}(Z)$ .

<span id="page-21-0"></span>7.2. The cohomology  $h^1(Z, \mathcal{L}_0 \otimes \mathcal{L})$ . Using the exact sequence  $H^0(\mathcal{O}_D) \to H^1(\mathcal{O}_Z) \stackrel{s}{\to} H^1(Z, \mathcal{L}) \to$ 0 and  $h^0(\mathcal{O}_D) = (l', Z)$  we obtain the inequality  $h^1(Z, \mathcal{L}) \geq h^1(\mathcal{O}_Z) - (l', Z)$ . Usually it is not sharp, since  $\delta^0_{\mathcal{L}}$  might not be injective. However, as in the prototype construction from section [6](#page-16-3) (and even in its preceding sections), if we consider any  $Z_1 \leq Z$  then we also have  $h^1(Z, \mathcal{L}) \geq h^1(Z_1, \mathcal{L}) \geq$  $h^1(\mathcal{O}_{Z_1}) - (l', Z_1)$ , hence  $h^1(Z, \mathcal{L}) \ge \max_{Z_1 \le Z} \{h^1(\mathcal{O}_{Z_1}) - (l', Z_1)\}$ , and, remarkably, this for the generic  $\mathcal{L}_{gen}^{im} \in \text{Im}(c^{l'}(Z))$  is an equality (cf. [\(4.1.11\)](#page-12-2)).

Similarly, using the exact sequence  $H^0(\mathcal{O}_D) \to H^1(Z, \mathcal{L}_0) \stackrel{s}{\to} H^1(Z, \mathcal{L}_0 \otimes \mathcal{L}) \to 0$  we obtain  $h^1(Z, \mathcal{L}_0 \otimes \mathcal{L}) \geq h^1(\mathcal{L}_0) - (l', Z)$ . Again, this usually is not sharp. However, by the same procedure,

<span id="page-21-1"></span>(7.2.1) 
$$
h^{1}(Z, \mathcal{L}_{0} \otimes \mathcal{L}) \geq \max_{0 \leq Z_{1} \leq Z} \{h^{1}(Z_{1}, \mathcal{L}_{0}) - (l', Z_{1})\}.
$$

In the next section (cf. Corollary [8.2.4\)](#page-24-0) we will prove that this is again an equality for the generic  $\mathcal{L} = \mathcal{L}_{gen}^{im} \in \text{Im}(c_{\mathcal{L}_0}^{l'}(Z))$ . (The above inequality [\(7.2.1\)](#page-21-1) can be compared with [\(5.3.1\)](#page-15-1) as well.)

<span id="page-21-2"></span>7.3. Compatibility with Laufer duality and differential forms. Consider the perfect pairing  $\langle , \rangle : H^1(\mathcal{O}_Z) \otimes H^0(\Omega^2_{\widetilde{X}}(Z))/H^0(\Omega^2_{\widetilde{X}}) \to \mathbb{C}$  from [2.4.2,](#page-6-5) see alo [\[NN18a\]](#page-27-0). Once we fix  $D_0 = \text{div}(s_0)$ of certain  $s_0 \in H^0(Z, \mathcal{L}_0)_{reg}$ , we can define  $\Omega_Z(D_0) := (\text{Im}(\delta^0_{\mathcal{L}_0}))^\perp \subset H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2)$ . It is generated by forms which vanish on the image of the tangent map  $T_{D_0} c^{l'_0}(Z)$ , identified with  $\delta^0_{\mathcal{L}_0}$ , cf. [2.4.7](#page-7-1) and [\(2.4.9\)](#page-7-3). The pairing  $\langle , \rangle$  induces a perfect pairing  $\langle , \rangle_{\mathcal{L}_0} : H^1(Z, \mathcal{L}_0) \otimes \Omega_Z(D_0) \to \mathbb{C}$ , see also Theorem [2.4.8.](#page-7-0)

7.4. The G-filtration of  $\Omega_Z(D_0) = H^1(\mathcal{L}_0)^*$ . Consider the situation and notations of Definition [2.4.12;](#page-8-1) in particular,  $\mathcal{G}_l = H^0(\Omega_{\tilde{X}}^2(l))/H^0(\Omega_{\tilde{X}}^2)$  for any  $0 < l \leq Z$ . In the presence of  $\mathcal{L}_0 =$  $\mathcal{O}_Z(D_0)$  as above, we have the subspace  $\Omega_Z(D_0) = (\text{Im}\delta^0)^{\perp} \subset H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2)$ , and the induced perfect pairing  $\langle , \rangle_{\mathcal{L}_0} : H^1(Z, \mathcal{L}_0) \otimes \Omega_Z(D_0) \to \mathbb{C}$ . Similarly, for any  $0 < l \leq Z$ , we have the analogues data  $\Omega_l(D_0) = (\text{Im}(\delta^0|_l))^{\perp} \subset H^0(\Omega_{\tilde{X}}^2(l))/H^0(\Omega_{\tilde{X}}^2)$ , and the induced perfect pairing  $\langle , \rangle_{\mathcal{L}_0|_l}: H^1(l, \mathcal{L}_0) \otimes \Omega_l(D_0) \to \mathbb{C}$ . One has the following inclusions inside  $H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2)$ 

$$
\begin{array}{ccc}\n\Omega_l(D_0) & \longrightarrow & \Omega_Z(D_0) \\
\downarrow & & \downarrow \\
\mathcal{G}_l & \longrightarrow & H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2)\n\end{array}
$$

)

and, in fact,  $\Omega_l(D_0) = \Omega_Z(D_0) \cap \mathcal{G}_l$ . Hence  $\{\Omega_l(D_0)\}_l = \{\Omega_Z(D_0) \cap \mathcal{G}_l\}_l$  filters  $\Omega_Z(D_0)$ . Moreover, by  $\langle , \rangle_{\mathcal{L}_0|_l}$ , one has dim  $\Omega_Z(D_0) \cap \mathcal{G}_l = \dim \Omega_l(D_0) = h^1(l, \mathcal{L}_0)$ .

<span id="page-22-1"></span>If  $A_Z(l')$  is the smallest affine space which contains  $\text{Im}(c^{l'}(Z))$  in  $\text{Pic}^{l'}(Z)$ , then  $s_{\mathcal{L}_0}(A_Z(l'))$  is the smallest affine space which contains  $\text{Im}(c_{\mathcal{L}_0}^{l'}(Z))$ . We denote it by  $A_{\mathcal{L}_0,Z}(l')$  and its dimension by  $e_{\mathcal{L}_0,Z}(l')$ . From definitions  $d_{\mathcal{L}_0,Z}(l') \leq e_{\mathcal{L}_0,Z}(l').$ 

<span id="page-22-0"></span>In the next section we provide two algorithms for the computation of  $d_{\mathcal{L}_0,Z}(l')$ , the analogues of the algorithms from Theorems [3.2.2](#page-9-2) and [4.1.2.](#page-10-1)

## 8.  $\mathcal{L}_0$ -PROJECTED VERSIONS OF THE ALGORITHMS

<span id="page-22-2"></span>8.1. The setup. Let us fix  $(X, o)$ , a good resolution  $\hat{X}, Z \geq E$  and  $l' \in -S'$ . We also fix a line bundle  $\mathcal{L}_0$  as in section [7,](#page-19-0) whose notations we will adopt. In order to estimate  $d_{\mathcal{L}_0,Z}(l')$  we proceed as in sections [3](#page-8-2) and [4.](#page-10-2) In particular, we perform the modificatiosn  $\pi_s : X_s \to X$ , and we adopt the notations of [3.2](#page-8-0) as well. By the generic choice of the centers of blow ups we can assume that they differ from the support of  $D_0$ . Notice that we have a natural identification between  $H^1(\mathcal{O}_Z)$  and  $H^1(\mathcal{O}_{Z_s})$ , and also between  $H^1(\mathcal{O}_Z^*)$  and  $H^1(\mathcal{O}_{Z_s}^*)$ . Furthermore, we denote the divisor  $\pi_s^{-1}(D_0)$  on  $\tilde{X}_s$  still by  $D_0$  (basically unmodified), and the line bundle  $\mathcal{O}_{Z_s}(D_0)$  still by  $\mathcal{L}_0$ . Then we have the identification of  $H^0(Z, \mathcal{O}_D)$  with  $H^0(Z_{\mathbf{s}}, \mathcal{O}_D)$ , and also  $H^1(Z, \mathcal{L}_0) \simeq H^1(Z_{\mathbf{s}}, \mathcal{L}_0)$  and  $H^1(Z, \mathcal{L}_0^*) \simeq H^1(Z_{\mathbf{s}}, \mathcal{L}_0^*)$ (hence identifications of the corresponding commutative diagrams from [7.1](#page-20-0) as well). The subspace  $\Omega_{Z_{\mathbf{s}}}(D_0)$  in  $H^1(\mathcal{O}_{Z_{\mathbf{s}}})^* = H^1(\mathcal{O}_Z)^*$  is also 'stable' of dimension  $h^1(Z, \mathcal{L}_0)$ .

Write  $d_{\mathcal{L}_0,s}$  and  $e_{\mathcal{L}_0,s}$  the corresponding dimensions associated with  $\widetilde{X}_s$  defined as in [7.5.](#page-22-1) Then  $d_{\mathcal{L}_0,\mathbf{s}} \leq e_{\mathcal{L}_0,\mathbf{s}}$ . If  $\mathbf{s} = \mathbf{0}$  then  $d_{\mathcal{L}_0,\mathbf{0}} = d_{\mathcal{L}_0,Z}(l')$  and  $e_{\mathcal{L}_0,\mathbf{0}} = e_{\mathcal{L}_0,Z}(l').$ 

**Theorem 8.1.1.** (1)  $d_{\mathcal{L}_0,s} - d_{\mathcal{L}_0,s^{v,k}} \in \{0,1\}$ . Moreover,  $d_{\mathcal{L}_0,s} = d_{\mathcal{L}_0,s^{v,k}}$  if and only if for a generic point  $\bar{\mathcal{L}} \in \text{Im}(c_{\mathcal{L}_0}^{l'_s}(Z_s))$  the set of divisors in  $(c_{\mathcal{L}_0}^{l'_s}(Z_s))^{-1}(\bar{\mathcal{L}})$  do not have a base point on  $F_{v,k,\mathbf{s}_{v,k}}$ .

(2) If for some fixed s the numbers  $\{d_{\mathcal{L}_0,s^{v,k}}\}_{v,k}$  are not the same, then  $d_{\mathcal{L}_0,s} = \max_{v,k} \{d_{\mathcal{L}_0,s^{v,k}}\}.$ In the case when all the numbers  $\{d_{\mathcal{L}_0, \mathbf{s}^v, k}\}_{v,k}$  are the same, then if this common value  $d_{\mathcal{L}_0, \mathbf{s}^v, k}$  equals  $e_{\mathcal{L}_0,\mathbf{s}}$ , then  $d_{\mathcal{L}_0,\mathbf{s}} = e_{\mathcal{L}_0,\mathbf{s}} = d_{\mathcal{L}_0,\mathbf{s}^{v,k}}$ ; otherwise  $d_{\mathcal{L}_0,\mathbf{s}} = d_{\mathcal{L}_0,\mathbf{s}^{v,k}} + 1$ .

*Proof.* (1) Assume first that either  $s_{v,k} \geq 1$  or  $a_v = 1$ . Then divisors from  $ECa^{l'_s}(Z_s)$  intersect  $F_{v,k,\mathbf{s}_{v,k}}$  by multiplicity one, hence the intersection (supporting) point gives a map  $q: \mathrm{ECa}^{l'_{\mathbf{s}}}(Z_{\mathbf{s}}) \to$  $F_{v,k,\mathbf{s}_{v,k}}$ , which is dominant. Moreover,  $\text{ECa}^{l'_{\mathbf{s}^{v,k}}}(Z_{\mathbf{s}^{v,k}})$  is birational with a generic fiber of q (the fiber over the point which was blown up), hence the first statement follows. Note also that  $d_{\mathcal{L}_0,\mathbf{s}} = d_{\mathcal{L}_0,\mathbf{s}^v,k}$ if and only if the generic fiber of the  $\mathcal{L}_0$ -projected Abel map  $c_{\mathcal{L}_0}^{l'_s}$  is not included in a  $q$ -fiber. This implies the second part of  $(1)$ .

If  $s_{v,k} = 0$  and  $a_v > 1$  then write  $l'_{-} := l'_{s} - E_v^*$  and consider the 'addition map'  $s : \text{ECa}^{E_v^*}(Z_s) \times$  $\text{ECa}^{l'}(Z_s) \rightarrow \text{ECa}^{l'_s}(Z_s)$ , which is dominant and quasifinite (cf. [\[NN18a,](#page-27-0) Lemma 6.1.1]). Let  $q: \text{ECa}^{E_v^*}(Z_s) \to E_v$  be given by the supporting point as before. Then if  $q^{-1}(gen)$  is a generic fiber of q (above the point which was blown up), then the restriction of s to  $q^{-1}(gen) \times \text{ECa}^{l'}(Z_{s})$  with target  $ECa^{l'_{s_v,k}}(Z_{s_v,k})$  is dominant and quasifinite. Hence the arguments can be repeated.

(2) First notice that if the numbers  $\{d_{\mathcal{L}_0, s^v,k}\}\$  are not the same then from (1) we have  $d_{\mathcal{L}_0, s} \leq$  $\min_{v,k} d_{\mathcal{L}_0,\mathbf{s}^{v,k}} + 1 \leq \max_{v,k} d_{\mathcal{L}_0,\mathbf{s}^{v,k}} \leq d_{\mathcal{L}_0,\mathbf{s}}, \text{ hence } d_{\mathcal{L}_0,\mathbf{s}} = \max_{v,k} d_{\mathcal{L}_0,\mathbf{s}^{v,k}}.$ 

Next, assume that the numbers  $\{d_{\mathcal{L}_0, \mathbf{s}^{v,k}}\}$  are the same, say d.

If  $d_{\mathcal{L}_0,\mathbf{s}} = d$  then part (1) reads as follows:  $d_{\mathcal{L}_0,\mathbf{s}} = d_{\mathcal{L}_0,\mathbf{s}^v,k}$  for all v and k if and only if for a generic  $\bar{\mathcal{L}} \in \text{Im}(c_{\mathcal{L}_0}^{l_s'}(Z_{s}))$  the set of divisors in  $(c_{\mathcal{L}_0}^{l_s'}(Z_{s}))^{-1}(\bar{\mathcal{L}})$  do not have a base point on any of the curves  $\{F_{v,k,\mathbf{s}_{v,k}}\}_{v,k}$ .

Let us choose a generic element  $\bar{\mathcal{L}} \in \text{Im}(c_{\mathcal{L}_0}^{l'_s}(Z_s))$ , which is in particular a regular value of  $c_{\mathcal{L}_0}^{l'_s}(Z_s)$ and the generic divisors in  $ECa^{l_s'}(Z_s)$  mapped to  $\bar{\mathcal{L}}$  are in fact generic divisors of  $ECa^{l_s'}(Z_s)$  itself.

Next, take an element in  $\Omega_{Z_{s}}(D_{0})$  (for details see [7.3\)](#page-21-2) represented by a form  $\omega$ , such that the class of  $\omega$  vanish on  $T_{\mathcal{L}}\text{Im}(c_{\mathcal{L}_0}^{l'_{\mathbf{s}}}(Z_{\mathbf{s}})).$ 

Then choose a generic D from  $\operatorname{ECa}^{l_s'}(Z_s)$ , which is mapped to  $\bar{\mathcal{L}}$  and which has no common points with the support of  $\omega$  (we can even assume additionally that it is transversal and reduced). Then we apply the previous statements for  $\overline{\mathcal{L}} := c_{\mathcal{L}_0}^{l'_s}(Z_s)(D)$ .

In particular, the class of  $\omega$  vanish on  $\text{Im}(T_D c_{\mathcal{L}_0}^{l'_s}(Z_s))$  so  $\omega$  cannot have pole along any of the curves  ${F_{v,k,\mathbf{s}_{v,k}}}_{v,k}$ , that is, it belongs to  $\Omega_{Z_{\mathbf{s}}}(I_{\mathbf{s}})$ , cf. Theorem [2.4.8](#page-7-0) and Lemma [2.4.10.](#page-7-4) Hence  $d_{\mathcal{L}_0,\mathbf{s}} = e_{\mathcal{L}_0,\mathbf{s}}$ , cf. Lemma [3.1.3,](#page-9-3) and also  $d = e_{\mathcal{L}_0,\mathbf{s}}$  too.

On the other hand if  $d = e_{\mathcal{L}_0,s}$ , then from  $d_{\mathcal{L}_0,s^{\upsilon,k}} \leq d_{\mathcal{L}_0,s} \leq e_{\mathcal{L}_0,s}$  we get  $d = d_{\mathcal{L}_0,s}$ . Hence  $d_{\mathcal{L}_0,\mathbf{s}} = d$  if and only if  $d = e_{\mathcal{L}_0,\mathbf{s}}$ . Otherwise  $d_{\mathcal{L}_0,\mathbf{s}}$  should be  $d+1$  by (1).

<span id="page-23-1"></span>8.2. Notations for the second algorithm. Consider the setup of [4.1](#page-10-0) and combine it with the one from [8.1,](#page-22-2) where  $\mathcal{L}_0$  enters in the picture. Accordingly, we have the following subspaces (inclusions):

$$
\begin{array}{ccccccc}\n\Omega_{Z_{\mathbf{s}}}(D_{0}) \cap \mathcal{G}_{l_{\mathbf{s}}} & \to & \Omega_{Z_{\mathbf{s}}}(D_{0}) \cap \Omega_{Z_{\mathbf{s}}}(I_{\mathbf{s}}) & \xrightarrow{j} & \Omega_{Z_{\mathbf{s}}}(D_{0}) & = & H^{1}(Z, \mathcal{L}_{0})^{*} \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
\mathcal{G}_{l_{\mathbf{s}}} & & \to & \Omega_{Z_{\mathbf{s}}}(I_{\mathbf{s}}) & \xrightarrow{i} & H^{0}(\Omega_{\widetilde{X}_{\mathbf{s}}}^{2}(Z_{\mathbf{s}}))/H^{0}(\Omega_{\widetilde{X}_{\mathbf{s}}}^{2}) & = & H^{1}(\mathcal{O}_{Z})^{*}\n\end{array}
$$

The codimension of the inclusion i is  $e_s$  and the dimension of  $\mathcal{G}_s$  is  $g_s$  providing the inequality  $e_s \leq h^1(\mathcal{O}_Z) - g_s$ . Similarly, the codimension of j is  $e_{\mathcal{L}_0,s}$  and the dimension of  $\Omega_{Z_s}(D_0) \cap \mathcal{G}_{l_s}$  will be denoted by  $g_{\mathcal{L}_0, \mathbf{s}}$  providing the inequality  $e_{\mathcal{L}_0, \mathbf{s}} \leq h^1(Z, \mathcal{L}_0) - g_{\mathcal{L}_0, \mathbf{s}}$ . Hence

<span id="page-23-0"></span>(8.2.1) 
$$
d_{\mathcal{L}_0, \mathbf{s}} \le e_{\mathcal{L}_0, \mathbf{s}} \le h^1(Z, \mathcal{L}_0) - g_{\mathcal{L}_0, \mathbf{s}}.
$$

It is conveninent to lift the s-independent subspace  $\Omega_{Z_s}(D_0) = \Omega_Z(D_0)$  of  $H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2)$  as  $\Omega_{\widetilde{X}}(D_0) := \pi^{-1}(\Omega_Z(D_0))$  by the projection  $\pi : H^0(\Omega_{\widetilde{X}}^2(Z)) \to H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2)$ .

**Theorem 8.2.2.** (1)  $d_{\mathcal{L}_0, \mathbf{s}} - d_{\mathcal{L}_0, \mathbf{s}^v, k} \in \{0, 1\}.$ 

(2) If for some fixed s the numbers  $\{d_{\mathcal{L}_0,s^{v,k}}\}_{v,k}$  are not the same, then  $d_{\mathcal{L}_0,s} = \max_{v,k} \{d_{\mathcal{L}_0,s^{v,k}}\}.$ In the case when all the numbers  $\{d_{\mathcal{L}_0, s^v,k}\}_{v,k}$  are the same, then if this common value  $d_{\mathcal{L}_0, s^v,k}$  equals  $h^1(Z, \mathcal{L}_0) - g_{\mathcal{L}_0, \mathbf{s}}, \text{ then } d_{\mathcal{L}_0, \mathbf{s}} = h^1(Z, \mathcal{L}_0) - g_{\mathcal{L}_0, \mathbf{s}} = d_{\mathcal{L}_0, \mathbf{s}^{v,k}}; \text{ otherwise } d_{\mathcal{L}_0, \mathbf{s}} = d_{\mathcal{L}_0, \mathbf{s}^{v,k}} + 1.$ 

*Proof.* Part (1) was already proved in Theorem [8.1.1.](#page-23-0) Regarding part (2), if the numbers  $\{d_{\mathcal{L}_0,s^v,k}\}$ are not the same then we argue again as in the proof of Theorem [8.1.1.](#page-23-0)

Next, assume that the numbers  $\{d_{\mathcal{L}_0,s^v,k}\}\$  are the same, say d. Via [\(8.2.1\)](#page-23-0) and the first algorithm Theorem [8.1.1](#page-23-0) we need to show that if  $d = e_{\mathcal{L}_0, \mathbf{s}}$  then necessarily  $d = h^1(Z, \mathcal{L}_0) - g_{\mathcal{L}_0, \mathbf{s}}$  as well. However, if  $d = e_{\mathcal{L}_0, \mathbf{s}}$  then we have  $e_{\mathcal{L}_0, \mathbf{s}} = d_{\mathcal{L}_0, \mathbf{s}^v, k}$  for all  $(v, k)$ , hence by  $(8.2.1)$  we get  $e_{\mathcal{L}_0, \mathbf{s}} = d =$  $d_{\mathcal{L}_0,\mathbf{s}^{v,k}} \leq e_{\mathcal{L}_0,\mathbf{s}^{v,k}}$ . But  $e_{\mathcal{L}_0,\mathbf{s}} \geq e_{\mathcal{L}_0,\mathbf{s}^{v,k}}$  by the combination of the argument from  $(3.2.1)$  and the diagram from [8.2.](#page-23-1) Hence,  $d_{\mathcal{L}_0, \mathbf{s}^v, k} = e_{\mathcal{L}_0, \mathbf{s}}$  for all k and v implies  $e_{\mathcal{L}_0, \mathbf{s}^v, k} = e_{\mathcal{L}_0, \mathbf{s}}$  for all v and k.

<span id="page-23-2"></span>In particular, it is enough to verify the (stronger statement):

(8.2.3) if 
$$
e_{\mathcal{L}_0, \mathbf{s}^{v,k}} = e_{\mathcal{L}_0, \mathbf{s}}
$$
 for all v and k then  $e_{\mathcal{L}_0, \mathbf{s}} = h^1(Z, \mathcal{L}_0) - g_{\mathcal{L}_0, \mathbf{s}}$  as well.

Assume that [\(8.2.3\)](#page-23-2) is not true, that is,  $e_{\mathcal{L}_0, \mathbf{s}^v, k} = e_{\mathcal{L}_0, \mathbf{s}}$  for all v and k, but  $e_{\mathcal{L}_0, \mathbf{s}} < h^1(Z, \mathcal{L}_0)$  –  $g_{\mathcal{L}_0,\mathbf{s}}$ . The last inequality via the diagram from [8.2](#page-23-1) says that the inclusion  $\Omega_{Z_\mathbf{s}}(D_0)\cap\mathcal{G}_{l_\mathbf{s}}\subset\Omega_{Z_\mathbf{s}}(D_0)\cap$  $\Omega_{Z_{s}}(I_{s})$  is strict. This means, that there is a differential form  $\omega \in \Omega_{\tilde{X}}(D_{0}),$  with class  $[\omega]$  in  $H^0(\Omega_{\widetilde{X}}^2(Z))/H^0(\Omega_{\widetilde{X}}^2) \subset H^0(\widetilde{X} \setminus E, \Omega_{\widetilde{X}}^2)/H^0(\widetilde{X}, \Omega_{\widetilde{X}}^2)$ , such that  $\omega$  does not have a pole along the exceptional divisor  $F_{v,k,\mathbf{s}_{v,k}}$ , however  $[\omega] \notin \mathcal{G}_{\mathbf{s}}$ . In particular, there exists a vertex  $v \in |l'|$ , such that the pole order of  $\omega$  along  $E_v$  is larger than  $(l_s)_v$ . Notice that this also means  $(l_s)_v = \min_{1 \le i \le a_v} s_{v,i}$  $Z_v$ .

Let  $1 \leq i \leq a_v$  be an integer such that  $\mathbf{s}_{v,i} = (l_{s})_v$  (abridged in the sequel by t) and we denote the order of vanishing of  $\omega$  on an arbitrary exceptional divisor  $E_u$  by  $b_u$ , where u is an arbitrary vertex along the blowing up procedure. Next we focus on the string between v and  $w_{v,i,s_{v,i}}$  and we denote them by  $v_0 = v, \ldots, v_t = w_{v,i,s_{v,i}}$ . Set  $r := \min\{0 \le s \le t : b_{v_s} + t - s \ge 0\}$ . Since for  $s = t$  one has  $b_{v_t} \geq 0$  (since  $\omega$  has no pole along  $F_{v,i,s_{n,i}}$ ) r is well-defined. On the other hand we have  $r \geq 1$ . Indeed,  $b_{v_0} + t < 0$ , since pole order of  $\omega$  along  $E_v$  is higher than  $(l_s)_v = t$ . Note that  $b_{v_{r-1}} + t - r + 1 < 0$  and  $b_{v_r} + t - r \ge 0$  imply  $b_{v_r} - b_{v_{r-1}} \ge 2$  (†).

Let  $\tilde{X}'$  be that resolution obtained from  $\tilde{X}$ , as an intermediate step of the tower between  $\tilde{X}$  and  $\tilde{X}_{s}$ , when in the  $(v, i)$  sequence of blow ups we do not proceed all  $s_{v,i}$  of them, but we create only the divisors  $\{F_{v,i,k}\}_{k\leq r-1}$ . Let  $\mathcal{V}'$  be its vertex set and  $\{E_u\}_{u\in\mathcal{V}'}$  its exceptional divisors. On  $\widetilde{X}'$ consider the line bundle  $\mathcal{L} := \Omega^2_{\widetilde{X}'}(-\sum_{u \in \mathcal{V}'} b_u E_u)$ . Since  $F_{v,i,v_r}$  was created by blowing up a *generic* point p of  $E_{v_{r-1}} = F_{v,i,v_{r-1}}$ , the existence of  $\omega$  guarantees the existence of a section  $s \in H^0(\tilde{X}', \mathcal{L})$ , which does not vanish along  $E_{v_{r-1}}$  and it has multiplicity  $m := b_{v_r} - b_{v_{r-1}} - 1$  at the generic point  $p \in E_{v_{r1}}$ . By (†)  $m \ge 1$ . By construction,  $\omega$  (or s) belongs also to the subvectorspace  $\Omega_{\tilde{X}}(D_0)$  after certain identifications.

Now by the technical Lemma [9.1.1](#page-25-0) (valid for general line bundles, and separated in section [9\)](#page-25-1) for any  $0 \leq k < m$  and a generic point  $p \in E_{v_{r-1}}$  there exists a section  $s' \in H^0(\tilde{X}', \mathcal{L})$ , which does not vanish along the exceptional divisor  $E_{v_{r-1}}$ , and the divisor of s' has multiplicity k at p. We apply for  $k = -(b_{v_{r-1}} + t - r + 1) - 1$ . (Note that  $0 \le k < m$ .) The section s' gives a differential form  $\omega' \in \Omega_{\tilde{X}}(D_0)$ , such that if we blow up  $E_{v_{r-1}}$  in the generic point p and we denote the new exceptional divisor by  $E_{v_{r,new}}$ , then  $\omega'$  has wanishing order  $-(t-r+1)$  on  $E_{v_{r,new}}$ . This means, that if we blow up it in generic points  $t - r + 1$  times, then  $\omega'$  has a pole on  $E_{v_{t, new}}$ , but has no pole on  $E_{v_{t+1,new}}$ . This means that  $e_{\mathcal{L}_0, \mathbf{s}^v, i} \neq e_{\mathcal{L}_0, \mathbf{s}}$ , which is a contradiction.

The analogues of Corollaries [4.1.3](#page-11-2) and [4.1.5](#page-11-4) (with similar proofs) are:

<span id="page-24-0"></span>**Corollary 8.2.4.** For any  $l' \in -S'$ ,  $Z \ge E$  and  $\mathcal{L}_0$  with  $H^0(Z, \mathcal{L}_0)_{reg} \ne \emptyset$  one has

$$
d_{\mathcal{L}_0,Z}(l') = \min_{\mathbf{s}} \{|s| + h^1(Z,\mathcal{L}_0) - g_{\mathcal{L}_0,\mathbf{s}}\} = \min_{0 \le Z_1 \le Z} \{ (l', Z_1) + h^1(Z,\mathcal{L}_0) - h^1(Z_1,\mathcal{L}_0) \}.
$$

This combined with [\(7.1.1\)](#page-21-1) gives for a generic  $\mathcal{L}_{gen}^{im} \in \text{Im}(c^{l'}(Z))$ :

$$
h^{1}(Z, \mathcal{L}_{0} \otimes \mathcal{L}_{gen}^{im}) = \max_{0 \leq Z_{1} \leq Z} \{ h^{1}(Z_{1}, \mathcal{L}_{0}) - (l', Z_{1}) \}.
$$

Example 8.2.5. This is a continuation of Example [4.1.8](#page-11-5) (based on [\[NN18a,](#page-27-0) §11]), whose notations and statements we will use. Assume that  $Z \gg 0$  and  $l' = -kE_0^*$  as in [4.1.8.](#page-11-5) Additionally we take a generic line bundle  $\mathcal{L}_0$  with  $c_1(\mathcal{L}_0) = l'_0 = -k_0 E_0^*$ ,  $k_0 \ge 0$ , (hence  $D_0$  consists of  $k_0$  generic irreducible cuts of  $E_0$ ). Recall that  $H^0(\Omega_{\tilde{X}}^2(Z))/H^0(\Omega_{\tilde{X}}^2)$  admits a basis consisting of elements of type  $\mathbf{x}^{\mathbf{m}}\omega$ , where  $\omega$  is the Gorenstein form and  $0 \leq |\mathbf{m}| \leq d-3$ . Each 'block'  $\{|\mathbf{m}| = j\}$  $(0 \leq j \leq d-3)$  (which can be identified with  $H^{0}(\mathbb{P}^{2}, \mathcal{O}(j)))$  contributes with  $\binom{j+2}{2}$  monomials. The  $k_0$  generic divisors impose  $\min\{k_0, \binom{j+2}{2}\}$  independent conditions (see [\[NN18a,](#page-27-0) 11.2] for the explication), hence the block  $\{|\mathbf{m}| = j\}$   $(0 \le j \le d-3)$  contributes into  $\dim \Omega_Z(D_0) = h^1(\mathcal{L}_0)$  with  ${j+2 \choose 2}$  – min{k<sub>0</sub>,  ${j+2 \choose 2}$ } = max{0,  ${j+2 \choose 2}$  – k<sub>0</sub>}. In particular,  $h^1(\mathcal{L}_0) = \sum_{j=0}^{d-3} \max\{0, {j+2 \choose 2} - k_0\}$  and  $h^1(\mathcal{L}_0) - g_{\mathcal{L}_0,s} = \sum_{j=0}^{d-3-s} \max\{0, \binom{j+2}{2} - k_0\}$   $(0 \le s \le d-2)$ . Therefore,

$$
d_{\mathcal{L}_0,Z}(-kE_0^*) = \min_{0 \le s \le d-2} \left\{ ks + \sum_{j=0}^{d-3-s} \max\left\{0, \binom{j+2}{2} - k_0\right\} \right\}.
$$

However, if  $\mathcal{L}_0 = \mathcal{O}_Z(D_0)$  is not generic, then the points  $D_0$  might fail to impose independent conditions on the corresponding linear systems, and the determination of the dimsnion of  $\Omega_Z(D_0)$ can be harder. See [\[NN18a,](#page-27-0) 11.3] for discussion, examples and connection with the Cayley–Bacharach type theorems (cf. [\[EGH96\]](#page-27-23)). Those discussions with combined with the present section produces further examples for  $d_{\mathcal{L}_0,Z}(l')$  whenever  $D_0$  is special (and  $(X, o)$  is superisolated).

## 9. Appendix 2. A technical lemma

<span id="page-25-1"></span>9.1. The next lemma is used in the body of the article, however, it might have also an independent general interest.

<span id="page-25-0"></span>**Lemma 9.1.1.** Let  $\widetilde{X}$  be an arbitrary resolution of a normal surface singularity  $(X, 0)$ . Let us fix an arbitrary line bundle  $\mathcal{L} \in Pic(X)$  with  $c_1(\mathcal{L}) = l' \in -S'$ , an irreducible exceptional curve  $E_v$ , and an integer  $m > 0$ .

Assume that there exists a sub-vector pace  $V \subset H^0(\tilde{X}, \mathcal{L})$  with the following property: for a generic point  $p \in E_v$  there exists a section  $s \in V$  such that s does not vanish along  $E_v$  and the multiplicity of the divisor of s at  $p \in E_v$  is m. Then for any number  $0 \leq k \leq m$  and a generic point  $p \in E_v$  there exists a section  $s \in V$  such that s does not vanish along  $E_v$  and the multiplicity of the divisor of s at  $p \in E_v$  is k.

*Proof.* By induction we need to prove the statement only for  $k = m - 1$ .

First we fix a very large integer  $N \gg m$ , and consider the restriction  $r : H^0(X, \mathcal{L}) \to H^0(NE_v, \mathcal{L})$ . Then r induces a map from  $H^0(\tilde{X}, \mathcal{L})_{reg} := H^0(\tilde{X}, \mathcal{L}) \setminus H^0(\tilde{X}, \mathcal{L}(-E_v))$  to  $H^0(NE_v, \mathcal{L})_{reg} :=$  $H^0(NE_v, \mathcal{L}) \setminus H^0((N-1)E_v, \mathcal{L}(-E_v))$ . Denote its restriction  $H^0(\tilde{X}, \mathcal{L})_{reg} \cap V \to H^0(NE_v, \mathcal{L})_{reg} \cap$  $r(V)$  by  $r_V$ . Consider also the natural map div:  $H^0(NE_v, \mathcal{L})_{reg} \to \text{ECa}^{l'}(NE_v)$ , and the composition map div  $\circ r_V = g : H^0(\tilde{X}, \mathcal{L})_{reg} \cap V \to \text{ECa}^{l'}(NE_v)$ , which sends a section to its divisor restricted to the cycle  $NE_v$ .

Next, for any  $p \in E_v^0 := E_v \setminus \cup_{u \neq v} E_u$  set  $D_{m,p} \subset \mathrm{ECa}^{l'}(NE_v)$ , the set of divisors with multiplicity m at p. (Since  $N \gg m$  this notion is well–defined). Set also  $D_m := \bigcup_p D_{m,p}$ .

By the assumption, the image of g intersects  $D_{m,p}$  for any generic p. Since  $D_m$  is constructible subvariety of  $\mathrm{ECa}^{l'}(NE_v)$ ,  $g^{-1}(D_m)$  is a nonempty constructible subset of  $H^0(\widetilde{X}, \mathcal{L})_{reg} \cap V$ . Define an analytic curve  $h_0: (-\epsilon, \epsilon) \to g^{-1}(D_m)$  such that its image is not a subset of some  $g^{-1}(D_{m,p})$ . Let us denote the zeros of the section  $h_0(0)$  along  $E_v^0$  by  $\{p_1, \ldots, p_r\}$ . Then there exists a small neighborhood U of one of the points  $p_i$  and a restriction of  $h_0$  to some smaller  $(-\epsilon', \epsilon')$ , such that for any  $t \in (-\epsilon', \epsilon')$  the restriction of  $h_0(t)$  to U has a unique zero, say  $p(t)$ , and its multiplicity is m. Furthermore,  $t \mapsto p(t)$ ,  $(-\epsilon', \epsilon') \to U \cap E_v^0$  is not constant, hence taking further restrictions to some interval we can assume that  $t \mapsto p(t)$  is locally invertible. Reparametrising  $h_0$  by the inverse of this map, we obtain an analytic map  $U \cap E_v^0 \to g^{-1}(D_m)$ ,  $t \mapsto h(t)$  such that the restriction of the section  $h(t)$  to some local chart U has only one zero, namely t, and the multiplicity of the section at t is m. In some local coordinates  $(x, y)$  of U (with  $U \cap E_v = \{y = 0\}$ ) the equation of  $h(t)$  has the form (modulo  $y^N$ )

(9.1.2) 
$$
h(t) = \sum_{j \ge 0, i \ge 0} (x - t)^j y^i c_{j,i}(t),
$$

where by the multiplicity condition  $c_{j,i} \equiv 0$ , if  $j+i < m$  and, there is a pair  $(j, i)$ , such that  $j+i = m$ and  $c_{i,i}(t) \neq 0$ . Moreover, by the non–vanishing condition y  $\hat{h}(t)$ , or,  $c_{i,0}(t) \neq 0$  for some j.

We claim that there is a generic choice of  $t_1, \ldots, t_r$  (for some large r) of t–values, and a convenient choice of the coefficients  $\{\alpha_l\}_{l=1}^r$  such that  $s := \sum_{l=1}^r \alpha_l h(t_l)$  satisfies the requirements. Indeed, first we consider the Taylor expansion of  $h(t)$  in variables  $(x, y)$  at a point  $(x, y) = (q, 0)$  with q generic (and modulo  $y^N$  as usual):

$$
\sum_{j,i} (x - q + q - t)^j y^i c_{j,i}(t) = \sum_{j,i} \sum_{k=0}^j (x - q)^k y^i {j \choose k} (q - t)^{j-k} c_{j,i}(t).
$$

The fact that s at  $(q, 0)$  has multiplicity  $\geq m-1$  transforms into a linear system

$$
\sum_{l=1}^r \alpha_l \left( \sum_{j\geq k} \binom{j}{k} (q-t_l)^{j-k} c_{j,i}(t_l) \right) = 0
$$

for any  $(k, i)$  with  $k, i \geq 0$  and  $k + i \leq m - 2$ . This linear system  $LS(r, m - 2)$  with unknowns  $\{\alpha_l\}_{l=1}^r$  has matrix  $M(r, m-2)$  of size  $r \times m(m-1)/2$ . If  $r \gg m(m-1)/2$  then the system has a nontrivial solution. We need to show that for a generic choice of the solutions  $\{\alpha_l\}_l$  the section s has multiplicity  $m-1$  at q. Assume that this is not the case. Then the generic solution of the system  $LS(r, m-2)$  is automatically solution of  $LS(r, m-1)$  too (the last one defined similarly). This means that  $\text{rank}M(r, m-2) = \text{rank}M(r, m-1)$  (†) for generic  $\{t_l\}_l$ .

The matrix  $M(r, m - 1)$  has m additional rows corresponding to the indexes  $(k, i)$  with  $k, i \geq 0$ and  $k + i = m - 1$ . Let us fix one of them, corresponding to the following choice.

Now let d be the minimal number, such that there exists j, i such that  $i \leq m-1$ ,  $j + i = d$  and  $c_{i,i}(t)$  is not identically 0. Since by assumption (by non–vanishing of  $h(t)$  along  $E_v$ ) there exists certain  $j \geq m$  with  $c_{j,0} \not\equiv 0$ , such a d exists. Fix  $i_0$  such that  $i_0 \leq m-1$ ,  $j_0+i_0=d$  and  $c_{j_0,i_0}(t) \not\equiv 0$ .

Then, from the additional rows of  $M(r, m - 1)$  we chose the one indexed by  $(m - 1 - i_0, i_0)$ .

Consider the minor of  $M(r, m-1)$  of size  $m(m-1)/2+1$ , whose last row is the row corresponding to  $(m-1-i_0,i_0)$ , and the other rows belong to  $M(r, m-2)$ , while the last column corresponds to the generic  $t_r = t$ . Then its determinant should be zero by (†). Expanded it by the last column gives

$$
\sum_{j \geq m-1-i_0} {j \choose m-1-i_0} (q-t)^{j-m+1+i_0} c_{j,i_0}(t) = \sum_{k,i \geq 0; k+i \leq m-2} \beta_{k,i}(q) \cdot \sum_{j \geq k} {j \choose k} (q-t)^{j-k} c_{j,i}(t)
$$

for some holomorphic functions  $\beta_{k,i}(q)$ . But such an identity cannot exist. Indeed, since  $c_{j_0,i_0} \neq 0$ , but  $c_{j,i_0} \equiv 0$  for any  $j < j_0$ , the vanishing order of  $q - t$  at the left hand side is exactly  $d - m + 1$ , while on the right hand side — since  $j \geq d - i$  (otherwise  $c_{j,i} \equiv 0$ ) and  $k \leq m - 2 - i$  implies  $j - k > d - m + 2$  — we get vanishing order  $> d - m + 2$ .

Finally we need to show that this generic s does not vanish along  $E_v$ . This follows from a similar argument as above, or one can proceed as follows. For any generic  $q$  consider a section  $s$  which has multiplicity  $m-1$  at  $(q, 0)$ . If it vanishes along  $E_v$  then  $s + h(q)$  does not vanish along  $E_v$  and it has multiplicity  $m-1$  at  $(q, 0)$ .

Remark 9.1.3. We claim that under the assumptions of Lemma [9.1.1](#page-25-0) the following property also holds: For any finite set  $F \subset E_v$  there exists a section  $s \in V$  such that s does not vanish along  $E_v$ ,  $div(s) \cap F = \emptyset$ , and at each each  $p \in div(s) \cap E_v$  the intersection is transversal. Indeed, we can use first Lemma [9.1.1](#page-25-0) for  $k = 1$  and then show that a generic combination of 'moving' sections of multiplicity one works.

#### **REFERENCES**

<span id="page-26-0"></span>[ACGH85] Arbarello, E., Cornalba, M., Griffiths, P. A., Harris, J.: Geometry of algebraic curves, Vol. I. Grundlehren der Mathematischen Wissenschaften 267, Springer Verlag, New York, 1985.

- <span id="page-27-23"></span>[EGH96] Eisenbud, D., Green, M., Harris, J.: Cayley–Bacharach theorems and conjectures, Bull. AMS 33 (3) (1996), 295–324.
- <span id="page-27-6"></span>[Fl10] Flamini, F.: Lectures on Brill–Noether theory, in Proceedings of the workshop "Curves and Jacobians", Eds. J-M Muk, Y. R. Kim, Korea Institute for Advanced Study, (2011), 1-20.
- <span id="page-27-1"></span>[Gro62] Grothendieck, A.: Fondements de la géométrie algébrique, [Extraits du Séminaire Bourbaki 1957–1962], Secrétariat mathématique, Paris 1962.
- <span id="page-27-8"></span>[JR17] Jensen, D. and Ranganathan, D.: Brill–Noether theory for curves of fixed gonality, [arXiv:1701.06579](http://arxiv.org/abs/1701.06579)
- <span id="page-27-2"></span>[Kl05] Kleiman, St. L.: The Picard scheme, in 'Fundamental Algebraic Geometry: Grothendieck's FGA Explained', Mathematical Surveys and Monographs Volume: 123; 2005, 248–333.
- <span id="page-27-3"></span>[Kl13] Kleiman, St. L.: The Picard Scheme, In 'Alexandre Grothendieck: A Mathematical Portrait', International Press of Boston, Inc., 2014 (L. Schneps editor).
- <span id="page-27-14"></span>[La72] Laufer, H.B.: On rational singularities, Amer. J. of Math., 94, 597-608, 1972.
- <span id="page-27-9"></span>[La73] Laufer, Henry B.: Deformations of Resolutions of Two-Dimensional Singularities, Rice Institute Pamphlet -Rice University Studies 59 no. 1 (1973), 53–96.
- <span id="page-27-15"></span>[La77] Laufer, H.B.: On minimally elliptic singularities, Amer. J. of Math. **99** (1977), 1257–1295.
- <span id="page-27-18"></span>[Lu87] Luengo, I.: The  $\mu$ -constant stratum is not smooth, *Invent. Math.*, 90 (1), 139-152, 1987.
- <span id="page-27-19"></span>[LMN05] Luengo, I., Melle-Hernández, A. and Némethi, A.: Links and analytic invariants of superisolated singularities, Journal of Algebraic Geometry, 14 (2005), 543-565.
- [Mu66] Mumford, D.: Lectures on curves on an algebraic surface, Ann. of Math. Studies 59, Princeton, 1966.
- <span id="page-27-0"></span>[NN18a] Nagy, J., Némethi, A.: The Abel map for surface singularities I. Generalities and examples, [arXiv:1809.03737,](http://arxiv.org/abs/1809.03737) to appear in Math. Annalen.
- <span id="page-27-4"></span>[NN18b] Nagy, J., Némethi, A.: The Abel map for surface singularities II. Generic analytic structure, [arXiv:1809.03744;](http://arxiv.org/abs/1809.03744) version 2.
- <span id="page-27-5"></span>[NN19a] Nagy, J., Némethi, A.: The Abel map for surface singularities III. Elliptic germs, [arXiv:1902.07493.](http://arxiv.org/abs/1902.07493)
- <span id="page-27-17"></span>[NN19b] Nagy, J., Némethi, A.: On the topology of elliptic singularities, [arXiv:1901.06224.](http://arxiv.org/abs/1901.06224)
- <span id="page-27-16"></span>[N99] Némethi, A.: "Weakly" Elliptic Gorenstein singularities of surfaces, *Inventiones math.*, 137, 145-167 (1999).
- <span id="page-27-10"></span>[N99b] Némethi, A.: Five lectures on normal surface singularities, lectures at the Summer School in Low dimensional topology Budapest, Hungary, 1998; Bolyai Society Math. Studies 8 (1999), 269–351.
- <span id="page-27-11"></span>[N07] Némethi, A.: Graded roots and singularities, Singularities in geometry and topology, World Sci. Publ., Hackensack, NJ (2007), 394–463.
- <span id="page-27-12"></span>[N12] Némethi, A.: The cohomology of line bundles of splice–quotient singularities, Advances in Math. 229 4 (2012), 2503–2524.
- <span id="page-27-21"></span>[NO17] Némethi, A. and Okuma, T.: Analytic singularities supported by a specific integral homology sphere link, [arXiv:1711.03384;](http://arxiv.org/abs/1711.03384) to appear in the Proceedings dedicated to H. Laufer's 70th birthday (Conference at Sanya).
- <span id="page-27-13"></span>[O04] Okuma, T.: Universal abelian covers of rational surface singularities, Journal of London Math. Soc. 70(2) (2004), 307-324.
- <span id="page-27-7"></span>[P16] Pflueger, N.: Brill–Noether varieties of k–gonal curves, [arXiv:1603.08856](http://arxiv.org/abs/1603.08856) (2016).
- <span id="page-27-20"></span>[Re97] Reid, M.: Chapters on Algebraic Surfaces. In: Complex Algebraic Geometry, IAS/Park City Mathematical Series, Volume 3 (J. Kollár editor), 3-159, 1997.
- <span id="page-27-22"></span>[Wa70] Wagreich, Ph.: Elliptic singularities of surfaces, Amer. J. of Math., 92 (1970), 419–454.

Central European University, Dept. of Mathematics, Budapest, Hungary E-mail address: nagy janos@phd.ceu.edu

ALFRÉD RÉNYI INSTITUTE OF MATHEMATICS, HUNGARIAN ACADEMY OF SCIENCES, REÁLTANODA UTCA 13-15, H-1053, Budapest, Hungary

ELTE - University of Budapest, Dept. of Geometry, Budapest, Hungary

BCAM - Basque Center for Applied Math., Mazarredo, 14 E48009 Bilbao, Basque Country – Spain E-mail address: nemethi.andras@renyi.mta.hu