



The Impact of Exercise Training and Supplemental Oxygen on Peripheral Muscles in COPD: A Randomized Controlled Trial

Daniel Neunhäuserer^{1,2,5}, Martin Hudelmaier³, David Niederseer^{1,2,7}, Marco Vecchiato⁵, Wolfgang Wirth³, Eva Steidle-Kloc³, Bernhard Kaiser⁴, Bernd Lamprecht^{4,6}, Andrea Ermolao⁵, Michael Studnicka⁴, and Josef Niebauer^{1,2}

¹University Institute of Sports Medicine, Prevention and Rehabilitation, Paracelsus Medical University of Salzburg, Salzburg, AUSTRIA; ²Research Institute for Molecular Sports Medicine and Rehabilitation, Paracelsus Medical University of Salzburg, Salzburg, AUSTRIA; ³Institute of Anatomy and Cell Biology, Paracelsus Medical University of Salzburg, Salzburg, AUSTRIA;

⁴University Clinic of Pneumology, Paracelsus Medical University of Salzburg, Salzburg, AUSTRIA; ⁵Sports and Exercise Medicine Division, Department of Medicine, University of Padova, Padova, ITALY; ⁶Department of Pulmonary Medicine, Faculty of Medicine, Kepler-University-Hospital, Johannes-Kepler-University, Linz, AUSTRIA; ⁷Department of Cardiology, University Heart Center, University Hospital Zurich, University Hospital Zurich, Zurich, SWITZERLAND

Accepted for Publication: 13 July 2023

Medicine & Science in Sports & Exercise® Published ahead of Print contains articles in unedited manuscript form that have been peer reviewed and accepted for publication. This manuscript will undergo copyediting, page composition, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered that could affect the content.

The Impact of Exercise Training and Supplemental Oxygen on Peripheral Muscles in COPD: A Randomized Controlled Trial

Daniel Neunhäuserer^{1,2,5}, Martin Hudelmaier³, David Niederseer^{1,2,7}, Marco Vecchiato⁵,
Wolfgang Wirth³, Eva Steidle-Kloc³, Bernhard Kaiser⁴, Bernd Lamprecht^{4,6}, Andrea Ermolao⁵,
Michael Studnicka⁴, and Josef Niebauer^{1,2}

¹University Institute of Sports Medicine, Prevention and Rehabilitation, Paracelsus Medical,
University of Salzburg, Salzburg, AUSTRIA; ²Research Institute for Molecular Sports Medicine
and Rehabilitation, Paracelsus Medical University of Salzburg, Salzburg, AUSTRIA; ³Institute
of Anatomy and Cell Biology, Paracelsus Medical University of Salzburg, Salzburg, AUSTRIA;

⁴University Clinic of Pneumology, Paracelsus Medical University of Salzburg, Salzburg,
AUSTRIA; ⁵Sports and Exercise Medicine Division, Department of Medicine, University of
Padova, Padova, ITALY; ⁶Department of Pulmonary Medicine, Faculty of Medicine, Kepler-
University-Hospital, Johannes-Kepler-University, Linz, AUSTRIA; ⁷Department of Cardiology,
University Heart Center, University Hospital Zurich, University Hospital Zurich, Zurich,

SWITZERLAND

Address for Correspondence: Prof. Andrea Ermolao, Sports and Exercise Medicine Division,
Department of Medicine, University of Padova, Via Giustiniani 2, 35128, Padova, Italy; E-mail:
andrea.ermolao@unipd.it; Phone: +39 0498215857

Conflict of Interest and Funding Source:

The Salzburg COPD Exercise and Oxygen (SCOPE) study was supported by an unconditional and unrestricted grant by Air Liquide. All authors declare hereby to have no conflicts of interest. The results of this study are presented clearly, honestly and without fabrication, falsification, or inappropriate data manipulation. The results of the present study do not constitute endorsement by the American College of Sports Medicine.

This is an open-access article distributed under the terms of the Creative Commons Attribution-Non Commercial-No Derivatives License 4.0 (CCBY-NC-ND), where it is permissible to download and share the work provided it is properly cited. The work cannot be changed in any way or used commercially without permission from the journal.

ABSTRACT

Objective: Exercise training is a cornerstone of the treatment of COPD while the related inter-individual heterogeneity in skeletal muscle dysfunction and adaptations are not yet fully understood. We set out to investigate the effects of exercise training and supplemental oxygen on functional and structural peripheral muscle adaptation. **Methods:** In this prospective, randomized, controlled, double-blind study, 28 patients with non-hypoxemic COPD (FEV_1 $45.92 \pm 9.06\%$) performed six-weeks of combined endurance and strength training, three times a week while breathing either supplemental oxygen or medical air. The impact on exercise capacity, muscle strength and quadriceps femoris muscle cross-sectional area (CSA), was assessed by maximal cardiopulmonary exercise testing, ten-repetition maximum strength test of knee extension, and magnetic resonance imaging, respectively. **Results:** After exercise training, patients demonstrated a significant increase of functional capacity, aerobic capacity, exercise tolerance, quadriceps muscle strength and bilateral CSA. Supplemental oxygen affected significantly the training impact on peak work rate when compared to medical air ($+0.20 \pm 0.03$ vs $+0.12 \pm 0.03$ Watt/kg, $p=0.047$); a significant increase in CSA ($+3.9 \pm 1.3$ cm², $p=0.013$) was only observed in the training group using oxygen. Supplemental oxygen and exercise induced peripheral desaturation were identified as significant opposing determinants of muscle gain during this exercise training intervention, which led to different adaptations of CSA between the respective subgroups. **Conclusions:** The heterogenous functional and structural muscle adaptations seem determined by supplemental oxygen and exercise induced hypoxia. Indeed, supplemental oxygen may facilitate muscular training adaptations, particularly in limb muscle dysfunction, thereby contributing to the enhanced training responses on maximal aerobic and functional capacity.

Key Words: CHRONIC OBSTRUCTIVE PULMONARY DISEASE, HYPEROXIA, PULMONARY REHABILITATION, LIMB MUSCLE DYSFUNCTION, QUADRICEPS FEMORIS MUSCLE, MAGNETIC RESONANCE IMAGING

ACCEPTED

INTRODUCTION

Physical exercise training is recommended in all international guidelines on COPD, being an evidence based central therapeutic measure for these patients.(1–5) In this context, improving peripheral muscle structure and function represents a key target for pulmonary rehabilitation, because skeletal muscle mass, strength, and dysfunction were shown to predict mortality independently of lung function.(6–10) However, the effects of exercise training on peripheral musculature are still poorly studied and thus not well understood. The magnitude of response to exercise on limb muscles is highly variable with some patients showing little or no benefit.(8, 11, 12) Indeed, one of the major characteristics of muscle dysfunction in COPD is its inter-individual heterogeneity, a fact leading to the concept of a limb muscle dysfunction phenotype in COPD.(8) Moreover, characteristic structural and metabolic alterations such as a loss of muscle mass, skeletal muscle fatigue, a shift in fibre type distribution, mitochondrial dysfunction, and decreased oxidative capacity have been described.(8, 11, 13) Despite also chronic cellular hypoxia may affect limb muscle (dys)function,(8, 14) little is known about the effects of oxygen therapy during exercise on peripheral muscle adaptation in patients with COPD.

It remains unresolved, whether non-hypoxemic COPD patients should exercise with supplemental oxygen.(5, 15–17) Several studies have applied oxygen during endurance exercise training in patients with,(18–25) and without exertional hypoxemia,(26–29) with conflicting results in both cases. Moreover, it has recently been shown that supplemental oxygen might be able to further improve the effects of endurance training on peak exercise capacity.(30) Until this study, little evidence was supporting the use of supplemental oxygen during training interventions in non-hypoxemic COPD.(15, 16) Technical aspects of oxygen delivery and

specific training adaptations must be considered when prescribing supplemental oxygen for pulmonary rehabilitation.(28, 30–32)

Since supplemental oxygen is known to reduce ventilatory limitation thereby improving exercise tolerance,(33) the hypothesis of this investigation was that supplemental oxygen could help patients to improve and/or accelerate peripheral muscle adaptations/remodeling, leading thereby to better functional capacity. The quadriceps femoris muscle may constitute a valid skeletal muscle to study, since it affects daily physical functioning and represents a typical example of a primary locomotor muscle that is underused in patients with COPD.(8) Magnetic Resonance Imaging (MRI)- based direct lower limb muscle assessment has been shown to provide additional value to objectively evaluate structural peripheral adaptations; indeed, muscle mass correlates with muscle strength and provides useful clinical and functional information.(6–8)

In this study we set out to investigate whether exercise training and supplemental oxygen affect peripheral muscle adaptation, which incorporates important prognostic markers for this population. This is the first training intervention study in patients with COPD, which aims to assess the impact of supplemental oxygen, providing parallel analyses on muscle function and mass evaluated by MRI.

MATERIALS AND METHODS

The Salzburg COPD Exercise and Oxygen (SCOPE) study is a prospective, randomized, controlled, double-blind, trial in a population with non-hypoxemic COPD (Figure 1).(30–33)

Patients with a stable COPD, aged ≥ 30 years, forced expiratory volume in 1 second (FEV₁) between 30% and 60% of predicted, and resting arterial oxygen partial pressure (PaO₂) > 55 mmHg and carbon dioxide partial pressure (PaCO₂) < 45 mmHg were included; those with comorbidities known to impair physical exercise training were not eligible for participation.⁽³⁰⁾ All included patients underwent a training-free run-in, control period lasting 6 weeks to optimize pharmacological treatment according to international guidelines. Subsequently, patients were randomized to training with supplemental oxygen (O₂) or medical air (Air). Participants, caregivers, and those assessing outcomes were blinded for the provided gas supply. The primary outcome of the SCOPE study assessing the impact of the exercise training intervention and supplemental oxygen was peak work rate (watt/kg).⁽³⁰⁾ This manuscript specifically provides an analysis of secondary endpoints of peripheral muscle (dys)function and adaptations to a 6-weeks training intervention. Since it was not feasible to perform four MRIs per participant, the cross-over analyses of the SCOPE study are not available in this manuscript. The ethics committee of the State of Salzburg approved the study, participants provided written informed consent and it has been registered on clinicaltrials.gov (NCT01150383).

Exercise training intervention

Patients performed 6 weeks of combined endurance and strength training three times a week with either supplemental oxygen or medical air during training. Each endurance training session included 5 min warm-up (flow via nasal cannula: 4 L/min), seven one-min high-intensity cycling intervals starting at 70–80% of gas-specific peak work rate each separated by two min of active recovery (10 L/min), and 5 min final cool down (4 L/min). The intermittent recovery periods as well as the warm-up and cool down were performed at about 50% of peak work rate. The

exercise intensity in terms of work rate was progressively increased based on training adaptations monitored by heart rate. Additionally, eight high-intensity strength training exercises were performed on weightlifting machines with the respective gas supply: latissimus pull-down, shoulder press, back extension, abdominal crunch, butterfly, butterfly reverse, leg extension, and leg flexion. Patients performed 1 set with 8 to 15 repetitions to failure. Whenever more than 15 repetitions were realized, weight was increased.

Functional evaluation

Functional and aerobic capacity were assessed by ECG-monitored, incremental cardiopulmonary exercise testing (without oxygen supply) using Jaeger Hardware, analyzed with the JLAB Software on a stationary cycle ergometer (Ergoline Ergoselect 200).(30, 33) Testing started at 20 watts and increased by 10 watts/min in men and 5 watts/min in women until exhaustion (Borg-RPE: 18-20). Gas exchange parameters were registered breath by breath. Capillary blood samples for lactate measurements as well as patients' blood pressure were obtained every two minutes. Lactate measurements were analyzed with the EKF Biosen-C lactate analyzer.

Quadriceps femoris muscle strength was contemporaneously assessed by a standardized ten-repetition maximum strength test of knee extension (10-RM) with Proxomed® compass weight lifting machines. The 10-RM refers to the weight with which the patient was able to perform no more than 10 repetitions.

Muscle cross-sectional area

The main outcome of this investigation was the quadriceps femoris muscle cross-sectional area (CSA), assessed by MRI datasets acquired with a 3.0-T scanner (Achieva, Phillips-Medical-Systems, Best). A T1-weighted turbo-spin-echo sequence (echo time 15 msec, section thickness 10mm, in-plane resolution 0.78 mm², repetition time 500-1909 msec, acquisition time 878-1242 sec) was used to obtain axial images. A single representative cross-section for both thighs was selected at 30% of distance between the transition of the femoral neck to the shaft and the most distal slice showing the muscular portion of the rectus femoris where it transits to the quadriceps tendon as a singular entity without direct contact to the heads of the muscle. The congruency of the selected cross-sections between baseline and follow-up was confirmed by comparing anatomical features like vascular and connective tissue details. The outline of the quadriceps femoris muscle was delineated manually with a custom-made in-house software in order to obtain the anatomical CSA. The segmentation followed the outline of the quadriceps closely, avoiding surrounding fatty and connective tissues, as well as the fascia lata. However, the quadriceps tendon was included as it is considered part of the muscle (Figure 2). Reader and quality controller (M.H.) were blinded to acquisition order.

Statistical analysis

A statistician has been involved in study design and outcome analyses. The Shapiro-Wilk test has been used to test for normality. For normally distributed data, unpaired t-tests were performed for comparisons between study arms and paired t-tests for analyses within study arms. The Wilcoxon test was used in case of non-normal data distribution. Subgroup analyses were performed for patients who desaturated during incremental exercise testing (Desat.: peripheral

oxygen saturation (SpO₂) <95%, decrease >5%, measured with pulse oximetry on the fingertip). Comparisons between subgroups were performed with the one-way analysis of variance. A multivariate analysis of variance investigated the impact of supplemental oxygen and exercise induced desaturation, as determinants of muscle gain during the training intervention. The relationship between continuous variables was evaluated by Pearson's correlation coefficient. Study outcomes are presented as mean ± standard error (SE), while baseline characteristics as mean ± standard deviation (SD).

RESULTS

This study analysis finally evaluated 28 patients aged 63.64±5.97 years, with stable COPD and a mean FEV₁ of 45.92±9.06% of predicted. The baseline characteristics of the study sample are shown in Table 1, and the study flow chart is presented in Figure 1. At training start, both study groups (supplemental oxygen or medical air) did not differ significantly with regard to pulmonary function (FEV₁/FVC, FEV₁, DLCOc, PaCO₂, PaO₂, SpO₂ at rest and peak exercise) and functional capacity; i.e. peak work rate (1.1±0.4 vs. 1.1±0.4 watt/kg, respectively), peak oxygen uptake (VO₂: 18.2±3.5 vs. 18.3±4.0 ml/min/kg, respectively) and muscle strength of quadriceps femoris (10-RM: 27.5±9.2 vs. 31.3±12.2 kg, respectively) (p>0.1 for all parameters).

Effects of exercise training

Six weeks of exercise training led to a significant increase in functional capacity (peak work rate: 85.3±6.0 vs 97.3±6.2 watt, p<0.001; 1.10±0.07 vs 1.25±0.07 watt/kg, p<0.001), aerobic capacity (VO₂: 18.3±0.7 vs 20.1±0.7 ml/min/kg, p<0.001) and exercise tolerance (peak respiratory exchange ratio (RER): 1.03±0.01 vs 1.07±0.02, p<0.001; maximal lactate: 3.7±0.2 vs

5.0±0.4 mmol/L, $p < 0.001$). Furthermore, muscle strength of knee extension increased significantly during the training intervention (10-RM: 29.5±2.1 vs 41.3±2.2 kg, $p < 0.001$). A growth in CSA has been observed for both quadriceps femoris muscles (left CSA: 57.6±2.5 vs 58.6±2.5 cm², $p = 0.049$; right CSA: 56.7±2.6 vs 57.7±2.7 cm², $p = 0.042$; Figure 2).

Effects of supplemental oxygen

Although both study groups significantly improved their maximal exercise capacity, when both breathing conditions were compared after six weeks of exercise intervention, it was observed that supplemental oxygen positively affected peak work rate when compared to medical air (differences: O₂ +15.1±2.3 vs Air +9.5±1.9 watt, $p = 0.067$; O₂ +0.20±0.03 vs Air +0.12±0.03 watt/kg, $p = 0.047$). This impact on functional capacity was also associated with structural adaptations in peripheral muscles. While those patients who trained with supplemental oxygen were able to significantly increase the total quadriceps femoris CSA (+3.9±1.3 cm², $p = 0.013$), this was not the case during training with medical air (+0.6±1.3 cm², $p = 0.656$). However, the increase in muscle strength of knee extension was not found significantly affected by supplemental oxygen (differences: O₂ +11.1±1.1 vs Air +12.3±2.2 kg, $p = 0.649$).

Impact of exercise induced peripheral desaturation

While those patients who did not desaturate during exercise were able to significantly increase their total quadriceps femoris CSA during the training intervention (+4.0±1.4 cm², $p = 0.012$), no structural peripheral adaptation was found for the subgroup with exercise induced peripheral desaturation (54% of the study participants; +0.5±1.3 cm², $p = 0.701$).

Subgroup analyses

The impact of supplemental oxygen and exercise induced peripheral desaturation led to different adaptations of total quadriceps femoris CSA between the respective subgroups (Table 2); a multivariate analysis of variance showed that both were significant opposing determinants of muscle gain during the exercise training intervention ($p=0.005$ and $p=0.008$, respectively). Moreover, peak work rate and quadriceps femoris muscle CSA showed independently similar training adaptations in the different subgroups (Figure 3). The non-benefit of the exercise training intervention on CSA in desaturating patients training with medical air should be highlighted, while supplemental oxygen was able to compensate this missed peripheral adaptation (Figure 4). The opposite impact of supplemental oxygen and exercise induced peripheral desaturation affected training adaptations in peripheral muscles and thus influenced peak work rate. This was confirmed by significant correlations of the changes in total CSA with the impact on functional capacity and exercise tolerance during training with supplemental oxygen; these correlations were found inverted for patients with exercise induced peripheral desaturation who trained with medical air (Table 3, Figure 4).

DISCUSSION

To the best of our knowledge, this is the first study to investigate the impact of an exercise training intervention with and without supplemental oxygen on independently assessed endpoints of functional and structural peripheral muscle adaptations in patients with non-hypoxemic COPD. The innovative assessment of the quadriceps femoris muscle CSA by MRI provides new insights in the training adaptations during pulmonary rehabilitation. In this population limb muscle dysfunction and the related inter-individual variability in the effects of exercise training on

skeletal muscles are not yet fully understood. The main results of this investigation suggest the following interpretations:

- Peripheral functional and structural training adaptations are highly variable in this population.
- Supplemental oxygen increases the impact of exercise training on peak work rate and skeletal muscle mass.
- Peripheral muscle fatigue and hypoxia during exercise may affect the training response on muscle gain.
- Exercise induced intermittent hypoxia might be a determinant to further investigate regarding its association with limb muscle dysfunction in COPD.

Impact of exercise training and supplemental oxygen

Exercise training led to a significant increase in functional capacity, aerobic capacity and exercise tolerance. Furthermore, a gain in muscle strength of knee extension has been shown after the training intervention. Data also suggest an important role of supplemental oxygen during exercise training, which significantly increased the functional training effects on peak exercise intensities.(30) Although oxygen supplementation during training may have limited direct effects on muscle oxygenation in certain conditions/patients, it also inhibits carotid body stimulation and reduces thereby respiratory drive.(30, 34, 35) Moreover, it is known that supplemental oxygen can improve pulmonary hemodynamics by local vasodilatation, leading to lower pulmonary resistances and thus increased cardiac output, allowing thereby better exercise tolerance during training sessions.(36) Thus, supplemental oxygen could reduce ventilatory

limitation during exercise and permit higher training loads/volume, also because of greater peripheral muscle activation and less fatigability,(13) consequently leading to improved exercise capacity due to better training stimuli.(28, 30, 33) Until publication of the results of our SCOPE study supporting the use of supplemental oxygen during training in non-hypoxemic COPD, the outcomes from previous studies were rather heterogenous.(15–17) Different study designs as well as technical aspects of oxygen delivery might have contributed to the inconclusive discussion.(16) High oxygen flows, gas-specific exercise prescription, higher exercise intensities, and progressive training adaptations were proposed as critical issues.(15, 30, 33, 35) However, the impact of supplemental oxygen seems more evident on maximal workloads than on submaximal exercise capacity.(25, 30, 32) Furthermore, the effects of exercise training on endothelial dysfunction and chronic inflammation were not significantly affected by supplemental oxygen.(31) All these aspects might raise the question if the study hypothesis regarding supplemental oxygen during training should be reconsidered; from “whether or not” towards “when, how, for which training objectives and patients”.

Peripheral muscular training adaptation

This study provides additional value in evaluating the impact of supplemental oxygen during training in patients with COPD, since the previously discussed functional improvements on exercise capacity were associated with independently obtained parameters of peripheral muscular training adaptation.(30) Skeletal muscle dysfunction and strength are predictors of mortality independent of lung function,(7–9) and the related most potent currently available treatment option is exercise training.(8, 10, 11) Strength tests and evaluation of skeletal muscle mass could thus provide useful clinical and prognostic information for patients with COPD. MRI-based

direct lower limb muscle assessment objectively evaluates structural peripheral adaptation, which is particularly useful in research because these methods may be more responsive to specific lower limb interventions.(8, 37) Indeed, the study sample's CSAs of the quadriceps femoris muscles increased significantly in six weeks of training. However, subgroup analyses showed that only during training with supplemental oxygen a significant gain in muscle mass could be obtained. The impact of supplemental oxygen on patients' muscle growth was particularly apparent for the subgroup with exercise induced peripheral desaturation. Moreover, those patients of this subgroup who have trained with medical air did not show any improvement with a trend of decreasing the CSA of the quadriceps muscles. On the other hand, supplemental oxygen led to a clear mitigation of this maladaptation and thus to an increase in muscle CSA and improved peak work rate (Figure 4). Indeed, therapies, including those aimed at alleviating hypoxia, have been shown to partially restore muscle mass and oxidative capacity in patients with chronic respiratory disease.(38) Although many COPD patients may not experience excessive tissue hypoxia during standard pulmonary rehabilitation programs, it was shown that hypoxia can be a key factor driving changes in limb muscle tissue, affecting muscle weakness, dysfunction and atrophy through altered signalization in the HIF/von Hippel–Lindau pathway as well as by epigenetic mechanisms.(8, 14, 39) Diseased muscles are largely unable to coordinate the expression of muscle remodeling;(39) hypoxia has an inhibitory effect on muscle protein synthesis and leads to an activation of proteolysis.(8, 14) Hypoxemia may also potentiate the inflammatory response and compromise muscles' oxidative capacity, providing additional mechanisms predisposing to muscle atrophy and fatigue.(8, 38) The SCOPE trial cannot provide direct mechanistic data confirming these pathophysiological (mal)adaptations in skeletal muscles, but study outcomes might emphasize the impact supplemental oxygen could have on limb

muscle adaptations in order to prevent the consequences of exercise induced hypoxia in certain patients with COPD. The fact that this hypothesis has not been confirmed evaluating muscle strength of knee extension could be due to the important exercise-specific neuromuscular learning effect during strength training, which may have a relatively greater impact than changes in CSA in a short training period for patients who are not used to strength exercises. Future trials may re-assess the effect of hypoxia/hyperoxia on strength gain during longer training interventions, with different training modalities and a higher volume of strength exercises, providing also follow-up evaluations as well as accurate isokinetic/isometric strength analyses.

Limb muscle dysfunction in COPD

The inter-individual heterogeneity regarding muscle dysfunction, weakness and adaptation in these patients, led to the concept that an unexpected limb muscle dysfunction phenotype may exist in COPD.(8) Furthermore, although pulmonary rehabilitation improves limb muscle function,(4) it has been shown that the magnitude of response to exercise training interventions is highly variable with some patients showing little or no benefit.(12) Clusters of COPD patients with differential muscle molecular rehabilitation responses have been described, which may be reflective of distinct phases of muscle remodeling and correspond to a differential gain in physical functioning.(11) The data of our study are in line with previous publications, which discussed a high inter-individual variability of training-related functional and structural peripheral muscle adaptations.(8, 11, 12) This is mirrored by significant differences between subgroups, revealing supplemental oxygen and exercise induced peripheral desaturation as significant opposing determinants of skeletal muscle adaptation. Although the development of limb muscle dysfunction is related to multifactorial issues like physical inactivity, chronic

inflammation, nutritional imbalance, medical drugs, hypercapnia and oxidative stress, also hypoxemia has been discussed as possible trigger contributing to peripheral structural degradation.(8, 14) Thus, our study observations may suggest that (exercise induced intermittent) hypoxia could significantly influence limb muscle adaptations and dysfunction, which would also partially explain the substantial inter-individual variability in the effects of pulmonary rehabilitation on skeletal muscles.(11) These outcomes add further pathophysiological and mechanistic hypotheses how supplemental oxygen may affect a training intervention, providing also data related to its impact on peripheral muscle mass, which was independently evaluated from functional testing. This should motivate further studies to specifically investigate the impact of supplemental oxygen on skeletal muscles regarding structural, cellular and molecular adaptations in different COPD subpopulations and phenotypes.

Limitations and perspectives

A limitation of this study is that MRI repetition- and acquisition time varied due to software updates of the scanner in between. However, it has been shown that different turbo spin echo sequences, acquired at different scanners, and even with different echo times will yield highly reproducible shape parameters.(40) The muscle CSA analysis is exclusively morphological and no quality measures like spectroscopy were performed. However, it has been shown, that muscle CSA correlates strongly with muscle quality measures.(41) Furthermore, due to the complexity of conducting RCTs in such COPD patients, the statistical power of this secondary analysis of the SCOPE study limits strong conclusions for subgroup analyses with small sample size. However, since functional and structural peripheral muscle adaptations showed very similar results by independent markers and evaluations, the risk of bias in the study interpretation seems

rather low. Further specific research with larger prospective cohorts and a well-characterized COPD population is needed to confirm these outcomes and to improve existing knowledge regarding the pathophysiological mechanisms of limb muscle dysfunction and the related muscular adaptations to exercise. Since this study cannot provide mechanistic data supporting the hypothesis of cellular hypoxia as determinant of skeletal muscle adaptations during an exercise training intervention, direct measurements of peripheral oxygen supply to muscles with an assessment of molecular and cellular training adaptations may carry this research question forward. Future studies should add analyses of muscle proteomics/metabolomics with muscle biopsies and/or specific imaging techniques; also measures of electromyography should be investigated in order to identify whether the enhanced training adaptation is consequent to increased muscle activity. This may improve the understanding of the heterogenous peripheral training adaptations in skeletal muscles of patients with COPD.

CONCLUSIONS

To the best of our knowledge, this is the first randomized, controlled, double-blind trial to provide an evaluation of functional and morphological adaptations of thigh muscles after an exercise intervention with and without supplemental oxygen during training in patients with COPD. Supplemental oxygen significantly increased the training impact on peak work rate and fostered quadriceps femoris muscle growth. Our data may generate the hypothesis that exercise-induced peripheral hypoxia limits muscular training adaptations, which might, at least partially, explain the high inter-individual variability, supporting the concept of a possible limb muscle dysfunction phenotype in COPD. However, this could be mitigated by providing supplemental oxygen during exercise training, which demonstrated great importance to ensure muscle gain and

thus improve peak exercise capacity. Although the current evidence for providing supplemental oxygen during training for patients with non-hypoxemic COPD is limited, future studies should not anymore focus on the yes or no, but they may aim to understand when, how and in which patients it could be best used.

ACCEPTED

Declarations

Funding: The Salzburg COPD Exercise and Oxygen (SCOPE) study was supported by an unconditional and unrestricted grant by Air Liquide.

Conflicts of interest: All authors declare hereby to have no conflicts of interest.

Availability of data and material: Data are available on motivated request from the authors.

Ethics approval: The ethics committee of the State of Salzburg approved the SCOPE study, which was performed in accordance with the ethical standards as laid down in the 1964 Declaration of Helsinki and its later amendments.

Consent to participate: All participants provided written informed consent.

Consent for publication: n.a.

Code availability: n.a.

Author contributions: Neunhäuserer D. was involved in study design, data acquisition, analysis and interpretation, as well as in writing the article. Hudelmaier M. was involved in data acquisition/interpretation, article writing and revisions. Niederseer D. was involved in study design/conduct, and particularly as expert consultant, as well as in article revisions. Vecchiato M. was particularly involved in data interpretation, literature- and article revisions. Wirth W. was involved in data acquisition and article revisions. Steidle-Kloc E. was involved in study coordination/conduct and data acquisition. Kaiser B. was involved in statistical study analyses, data interpretation, and article revisions. Lamprecht B. was involved in study design, data acquisition, and article revisions. Ermolao A. was particularly involved as expert consultant, in data- and article revisions. Studnicka M. was involved in study design, data analysis, interpretation, and revision of the article. Niebauer J. was involved in study design, data analysis

and interpretation, writing and revision of the article. All authors meet the criteria for authorship and read and approved the final version.

Acknowledgements

The authors thank the staff, collaborators and technicians of the different involved Institutions for their contribution and valuable support during study conduct. The results of this study are presented clearly, honestly and without fabrication, falsification, or inappropriate data manipulation. The results of the present study do not constitute endorsement by the American College of Sports Medicine.

REFERENCES

1. McCarthy B, Casey D, Devane D, Murphy K, Murphy E, Lacasse Y. Pulmonary rehabilitation for chronic obstructive pulmonary disease. *Cochrane Database Syst Rev.* 2015;2015(2):CD003793.
2. Watz H, Pitta F, Rochester CL, et al. An official European Respiratory Society statement on physical activity in COPD. *Eur Respir J.* 2014;44(6):1521–37.
3. Singh D, Agusti A, Anzueto A, et al. Global strategy for the diagnosis, management, and prevention of chronic obstructive lung disease: the GOLD science committee report 2019. *Eur Respir J.* 2019;53(5):1900164.
4. Spruit MA, Pitta F, McAuley E, ZuWallack RL, Nici L. Pulmonary rehabilitation and physical activity in patients with chronic obstructive pulmonary disease. *Am J Respir Crit Care Med.* 2015;192(8):924–33.
5. Spruit M a., Singh SJ, Garvey C, et al. An official American Thoracic Society/European Respiratory Society statement: key concepts and advances in pulmonary rehabilitation. *Am J Respir Crit Care Med.* 2013;188(8):e13–64.
6. Marillier M, Bernard AC, Vergès S, Neder JA. Locomotor muscles in COPD: the rationale for rehabilitative exercise training. *Front Physiol.* 2020;10:1590.
7. Marquis K, Debigaré R, Lacasse Y, et al. Midthigh muscle cross-sectional area is a better predictor of mortality than body mass index in patients with chronic obstructive pulmonary disease. *Am J Respir Crit Care Med.* 2002;166(6):809–13.
8. Maltais F, Decramer M, Casaburi R, et al. An official American thoracic society/european respiratory society statement: update on limb muscle dysfunction in chronic obstructive pulmonary disease. *Am J Respir Crit Care Med.* 2014;189(9):e15-62.

9. Swallow EB, Reyes D, Hopkinson NS, et al. Quadriceps strength predicts mortality in patients with moderate to severe chronic obstructive pulmonary disease. *Thorax*. 2007;62(2):115–20.
10. Li P, Li J, Wang Y, Xia J, Liu X. Effects of exercise intervention on peripheral skeletal muscle in stable patients with COPD: a systematic review and meta-analysis. *Front Med (Lausanne)*. 2021;18(8):766841.
11. Kneppers AEM, Haast RAM, Langen RCJ, et al. Distinct skeletal muscle molecular responses to pulmonary rehabilitation in chronic obstructive pulmonary disease: a cluster analysis. *J Cachexia Sarcopenia Muscle*. 2019;10(2):311–22.
12. Troosters T, Gosselink R, Decramer M. Exercise training in COPD: how to distinguish responders from nonresponders. *J Cardiopulm Rehabil*. 2001;21(1):10–7.
13. Cannon DT, Coelho AC, Cao R, et al. Skeletal muscle power and fatigue at the tolerable limit of ramp-incremental exercise in COPD. *J Appl Physiol (1985)*. 2016;121(6):1365–73.
14. Abdulai RM, Jensen TJ, Patel NR, et al. Deterioration of limb muscle function during acute exacerbation of chronic obstructive pulmonary disease. *Am J Respir Crit Care Med*. 2018;197(4):433–49.
15. Nonoyama M, Brooks D, Lacasse Y, Gh G, Goldstein R. Oxygen therapy during exercise training in chronic obstructive pulmonary disease. *Cochrane Database Syst Rev*. 2007;18(2):CD005372.
16. Freitag N, Doma K, Neunhaeuserer D, Cheng S, Bloch W, Schumann M. Is structured exercise performed with supplemental oxygen a promising method of personalized medicine in the therapy of chronic diseases? *J Pers Med*. 2020;10(3):135.

17. Liu Y, Gong F. Determination of whether supplemental oxygen therapy is beneficial during exercise training in patients with COPD: a systematic review and meta-analysis. *Exp Ther Med.* 2019;18(5):4081–9.
18. Rooyackers JM, Dekhuijzen PN, van Herwaarden CL, Folgering HT. Training with supplemental oxygen in patients with COPD and hypoxaemia at peak exercise. *Eur Respir J.* 1997;10(6):1278–84.
19. Garrod R, Paul EA, Wedzicha JA. Supplemental oxygen during pulmonary rehabilitation in patients with COPD with exercise hypoxaemia. *Thorax.* 2000;55(7):539–43.
20. McDonald CF, Blyth CM, Lazarus MD, Marschner IAN, Barter CE. Exertional oxygen of limited benefit in patients with chronic obstructive pulmonary disease and mild hypoxemia. *Am J Respir Crit Care Med.* 1995;152(5 Pt 1):1616–9.
21. Ringbaek T, Martinez G LP. The long-term effect of ambulatory oxygen in normoxaemic COPD patients: a randomised study. *Chron Respir Dis.* 2013;10(2):77–84.
22. Wadell K, Henriksson-Larsen K, Lundgren R. Physical training with and without oxygen in patients with chronic obstructive pulmonary disease and exercise-induced hypoxaemia. *J Rehab Med.* 2001;33(24):200–5.
23. Helgerud J, Bjørgen S, Karlsen T, et al. Hyperoxic interval training in chronic obstructive pulmonary disease patients with oxygen desaturation at peak exercise. *Scand J Med Sci Sports.* 2010;20(1):e170–6.
24. Eaton T, Garrett JE, Young P, et al. Ambulatory oxygen improves quality of life of COPD patients: a randomised controlled study. *Eur Respir J.* 2002;20(2):306–12.

25. Alison JA, McKeough ZJ, Leung RWM, et al. Oxygen compared to air during exercise training in COPD with exercise-induced desaturation. *Eur Respir J*. 2019;53(5):1802429.
26. Scorsone D, Bartolini S, Saporiti R, et al. Does a low-density gas mixture or oxygen supplementation improve exercise training in COPD? *Chest*. 2010;138(5):1133–9.
27. Spielmanns M, Fuchs-Bergsma C, Winkler A, Fox G, Krüger S, Baum K. Effects of oxygen supply during training on subjects with COPD who are normoxemic at rest and during exercise: a blinded randomized controlled trial. *Respir Care*. 2015;60(4):540–8.
28. Emtner M, Porszasz J, Burns M, Somfay A, Casaburi R. Benefits of supplemental oxygen in exercise training in nonhypoxemic chronic obstructive pulmonary disease patients. *Am J Respir Crit Care Med*. 2003;168(9):1034–42.
29. Eves ND, Sandmeyer LC, Wong EY, et al. Helium-hyperoxia: a novel intervention to improve the benefits of pulmonary rehabilitation for patients with COPD. *Chest*. 2009;135(3):609–18.
30. Neunhäuserer D, Steidle-Kloc E, Weiss G, et al. Supplemental oxygen during high intensity exercise training in nonhypoxemic COPD. *Am J Med*. 2016;129(11):1185–93.
31. Neunhäuserer D, Patti A, Niederseer D, et al. Systemic inflammation, vascular function, and endothelial progenitor cells after an exercise training intervention in COPD. *Am J Med*. 2021;134(3):e171–80.
32. Neunhäuserer D, Reich B, Mayr B, et al. Impact of exercise training and supplemental oxygen on submaximal exercise performance in patients with COPD. *Scand J Med Sci Sports*. 2021;31(3):710–9.

33. Neunhäuserer D, Steidle-Kloc E, Bergamin M, et al. Role of breathing conditions during exercise testing on training prescription in chronic obstructive pulmonary disease. *Am J Phys Med Rehabil.* 2017;96(12):908-11.
34. Somfay A, Porszasz J, Lee S, Casaburi R. Effect of hyperoxia on gas exchange and lactate kinetics following exercise onset in nonhypoxemic COPD patients. *Chest.* 2002;121(2):393–400.
35. Bitos K, Furian M, Mayer L, et al. Effect of high-flow oxygen on exercise performance in COPD patients. randomized trial. *Front Med (Lausanne).* 2021;19(7):595450.
36. Fujimoto K, Matsuzawa Y, Yamaguchi S, Koizumi T, Kubo K. Benefits of oxygen on exercise performance and pulmonary hemodynamics in patients with COPD with mild hypoxemia. *Chest.* 2002;122(2):457–63.
37. HajGhanbari B, Hamarneh G, Changizi N, Ward AD, Reid WD. MRI-based 3D shape analysis of thigh muscles: patients with chronic obstructive pulmonary disease versus healthy adults. *Acad Radiol.* 2011;18(2):155–66.
38. Theije C de, Costes F, Langen RC, Pison C, Gosker HR. Hypoxia and muscle maintenance regulation: implications for chronic respiratory disease. *Curr Opin Clin Nutr Metab Care.* 2011;14(6):548–53.
39. Turan N, Kalko S, Stincone A, et al. A systems biology approach identifies molecular networks defining skeletal muscle abnormalities in chronic obstructive pulmonary disease. *PLoS Comput Biol.* 2011;7(9):e1002129.
40. Wong OL, Yuan Ji, Zhou Y, Yu SK, Cheung KY. Longitudinal acquisition repeatability of MRI radiomics features: an ACR MRI phantom study on two MRI scanners using a 3D T1W TSE sequence. *Med Phys.* 2021;48(3):1239–49.

41. Otsuka Y, Yamada Y, Maeda A, et al. Effects of resistance training intensity on muscle quantity/quality in middle-aged and older people: a randomized controlled trial. *J Cachexia Sarcopenia Muscle*. 2022;13(2):894–908.
42. Cotofana S, Hudelmaier M, Wirth W, et al. Correlation between single-slice muscle anatomical cross-sectional area and muscle volume in thigh extensors, flexors and adductors of perimenopausal women. *Eur J Appl Physiol*. 2010;110(1):91–7.

ACCEPTED

FIGURE LEGENDS

Figure 1: Flow diagram

50 of 137 contacted patients met eligibility criteria and entered the training-free run-in period. At training start, allocation concealment was ensured by an external block randomization for group allocation and concealed medical gas sources by locked away gas cylinders; a hidden gas distributing system was provided from the gas supplier. Although 16 patients could not be evaluated after the training intervention, no differences in dropout rates were observed between both samples. Only two patients opted out during the training period, at a very early stage of the intervention. Other withdrawals were because of comorbidities, exacerbations during the cold winter months and no available MRI data. The exercise training intervention was conducted at the University Institute of Sports Medicine, Prevention and Rehabilitation of the Paracelsus Medical University of Salzburg (Austria). Air = Exercise training with medical air; MRI= Magnetic Resonance Imaging; O₂ = Exercise training with supplemental oxygen.

Figure 2: Muscle segmentation in MR images before and after training intervention

T1-weighted MR images with segmentation of the quadriceps femoris muscle (patient's right side purple, left side pink) at baseline (a, above) and after the exercise training intervention (b, below). The femur, fatty and connective tissues as well as vessels were excluded from the segmentation, but fascia lata and beginning rectus tendon were included. This technique and the slice-selection have been shown to adequately represent changes in extensor muscle volume ($r^2 = 0.73$).⁽⁴²⁾

Figure 3: Subgroup comparisons of exercise capacity and cross-sectional area

The changes (Δ) of peak work rate [Watt] and quadriceps femoris muscle cross-sectional area (CSA [cm^2]) are presented in Figure 3a and 3b, respectively. Functional and structural adaptation showed both similar training responses in the different subgroups. Air = Exercise training with medical air; CSA = cross-sectional area; Desat = exercise induced peripheral desaturation; O_2 = Exercise training with supplemental oxygen.

Figure 4: The impact of supplemental oxygen on training adaptations in desaturating patients

The impact of supplemental oxygen on patients with exercise induced peripheral desaturation significantly affected the training adaptations on quadriceps femoris cross-sectional area (Δ CSA [cm^2]) related to the respective peak work rate (Δ [Watt]). Air = Exercise training with medical air; CSA = cross-sectional area; Desat = exercise induced peripheral desaturation; O_2 = Exercise training with supplemental oxygen.

Figure 1

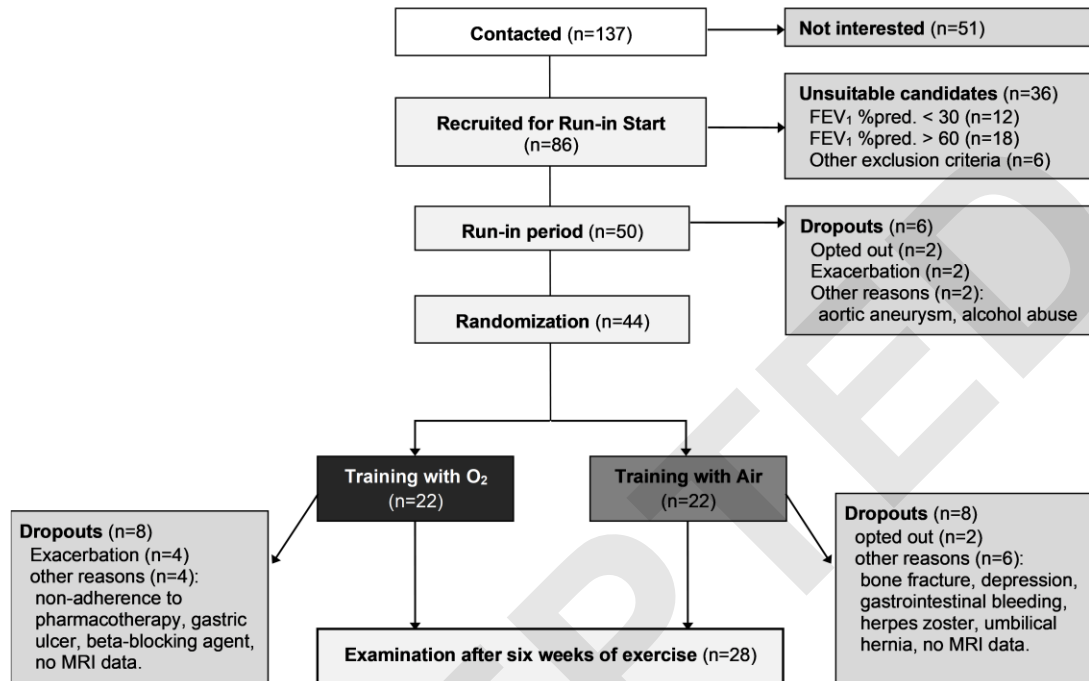
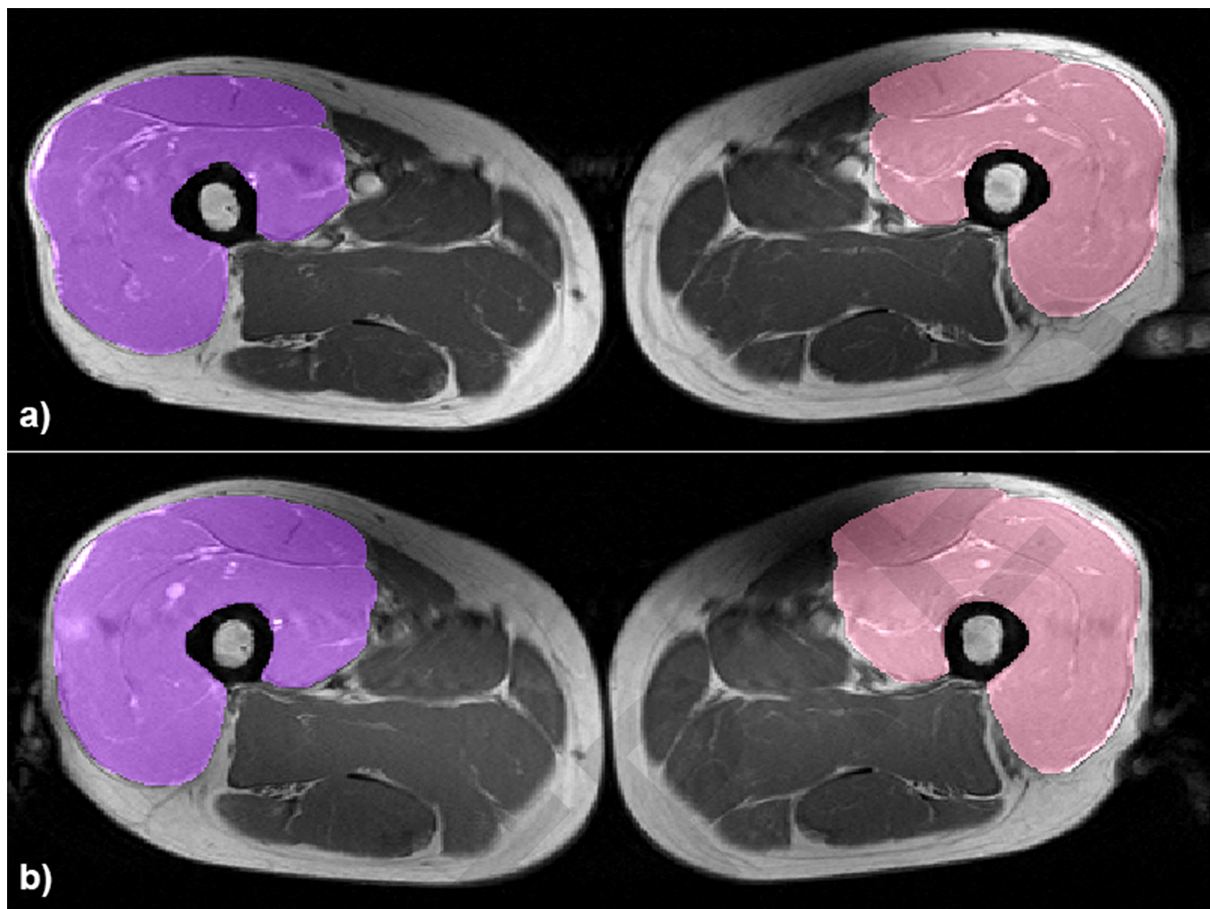


Figure 2



ACCEPT

Figure 3

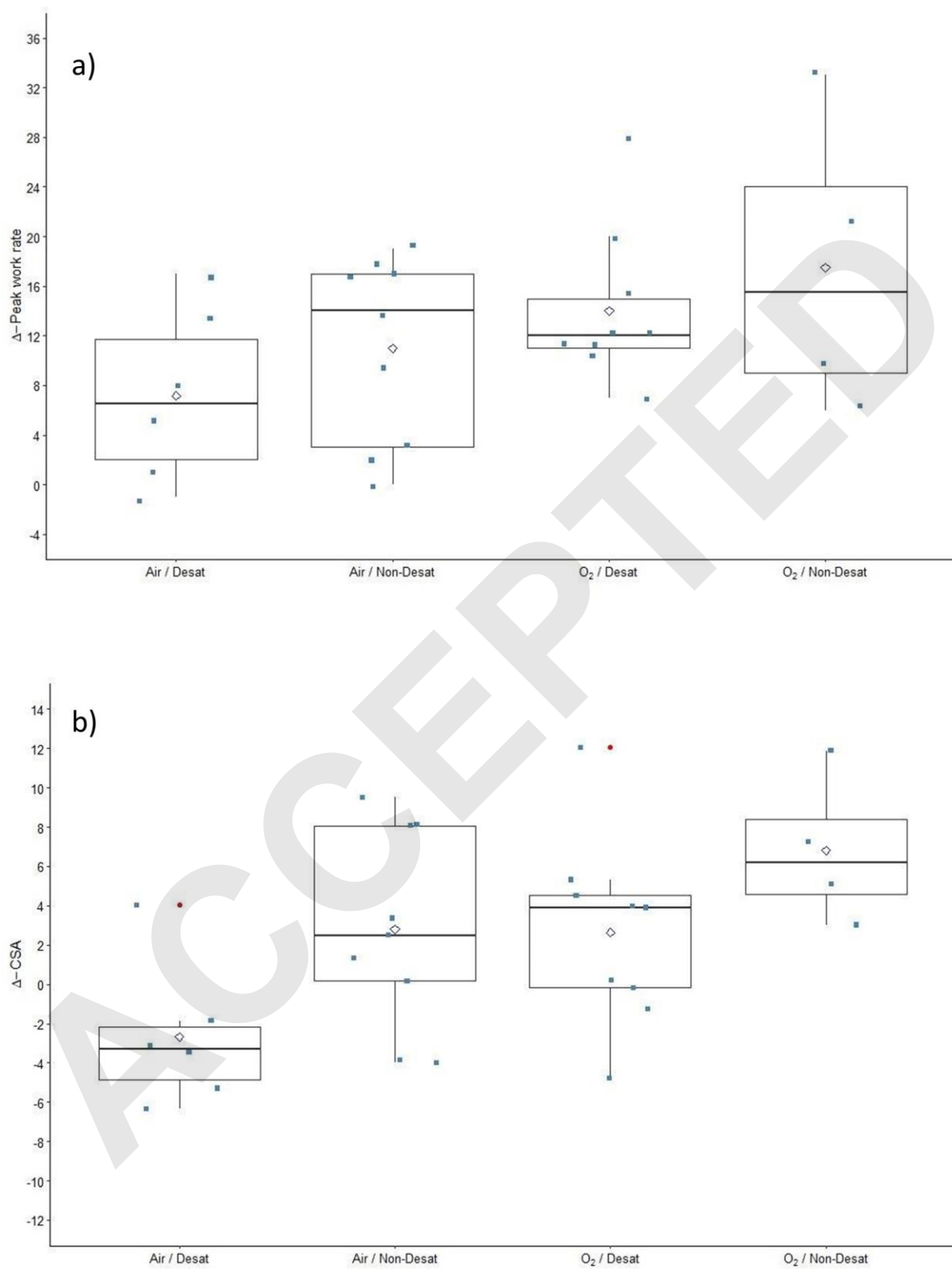


Figure 4

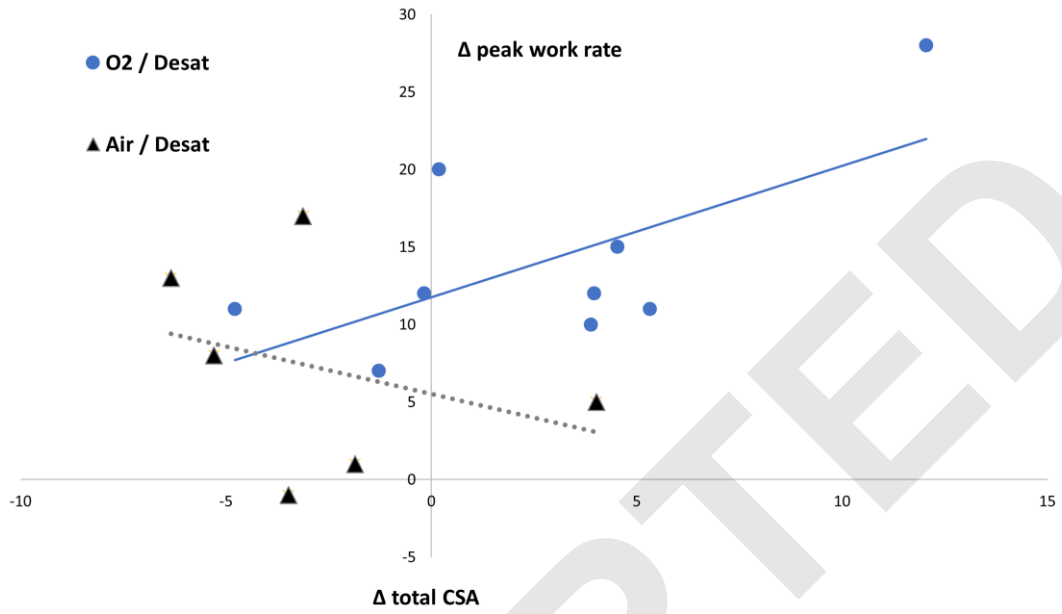


Table 1: Baseline characteristics

Patient characteristics (n=28; 75% males):		
At rest:	Mean	SD
Age, [year]s	63.6	6.0
BMI, [kg/m ²]	26.9	5.0
FEV ₁ /FVC, [%]	58.6	11.6
FEV ₁ , [%] predicted	45.9	9.1
VC inspired, [%] predicted	82.0	13.6
DLCOC, [%] predicted	49.8	12.4
Resting HR, [bpm]	75.2	15.2
Systolic BP, [mmHg]	119.6	14.0
Diastolic BP, [mmHg]	73.9	9.2
Haemoglobin, [g/dL]	14.9	1.6
PaO ₂ , [mmHg]	69.3	8.1
PaCO ₂ , [mmHg]	37.8	3.2
SpO ₂ , [%]	97.0	4.0
At peak exercise:		
Peak work rate, [Watt]	85.2	31.7
Peak VO ₂ , [mL/kg/min]	18.2	3.7
VE, [L/min]	53.6	11.1
Breathing reserve [%]	0.0	16.3
Maximal HR, [bpm]	129.0	17.0
Systolic BP, [mmHg]	177.1	33.6
Diastolic BP, [mmHg]	76.8	14.0
BORG, RPE (6-20)	18.8	1.0
Peak RER	1.03	0.07
Maximal lactate, [mmol/L]	3.7	1.3
SpO ₂ , [%]	89.9	7.7

Table 1 shows the patients' baseline characteristics at rest and at peak exercise measured at training start. Data are presented as mean \pm standard deviation (SD). BMI = body mass index; BP = blood pressure; Breathing reserve = based on a calculated maximal voluntary ventilation from resting FEV₁ x 38; DLCOC = diffusing capacity of the lung for carbon monoxide; FEV₁ = forced expiratory volume in 1 second; FVC = forced vital capacity; HR= heart rate; PaCO₂ = arterial carbon dioxide partial pressure; PaO₂ = arterial oxygen partial pressure; RER = respiratory exchange ratio; RPE = rating of perceived exertion; SpO₂ = peripheral oxygen saturation; peak VO₂ = peak oxygen consumption; VC = vital capacity; VE = minute ventilation.

Table 2: Impact of exercise training on quadriceps femoris muscle cross-sectional area

	Subgroups	Training start			Training end			Difference		p value
		n	mean	SE	n	mean	SE	mean	SE	
Total CSA	all	28	114.2	5.1	28	116.4	5.1	2.1	1.0	0.038
	Air / Desat	6	119.1	9.5	6	116.4	9.5	-2.7	1.4	0.049
	Air / Non-Desat	9	121.1	9.4	9	123.9	9.0	2.8	1.7	
	O ₂ / Desat	9	111.0	8.3	9	113.6	8.8	2.6	1.6	
	O ₂ / Non-Desat	4	98.6	18.4	4	105.4	20	6.8*	1.9	

Table 2 shows that although patients' total CSA [cm²] of their quadriceps femoris muscle has increased during the exercise training intervention, the impact was found statistically significant different when subgroups were compared with a one-way analysis of variance (p=0.049). *p<0.05 for intra-group comparison. Air = Exercise training with medical air; CSA = cross-sectional area; Desat = exercise induced peripheral desaturation; O₂ = Exercise training with supplemental oxygen; SE = Standard error.

Table 3: Correlations of structural with functional parameters of exercise capacity

	Subgroups	n	Peak work rate [Watt]	Peak work rate [Watt/kg]	Peak VO ₂ [mL/kg/min]	10-RM Knee extension [kg]	Peak RER	Peak lactate [mmol/L]
Differences Total CSA	all	28	0.489 **	0.399 *	0.267	0.256	0.414 *	0.323
	O ₂	13	0.594 *	0.432	0.668 *	0.228	0.651 *	0.672 *
	Air	15	0.277	0.231	-0.107	0.351	0.204	-0.158
	Desat	15	0.487	0.213	0.339	0.247	0.264	0.425
	Non-Desat	13	0.491	0.503	0.304	0.305	0.588 *	0.287
	Air / Desat	6	-0.323	-0.266	-0.361	0.306	-0.467	-0.601
	Air / Non-Desat	9	0.371	0.352	0.164	0.474	0.469	0.014
	O ₂ / Desat	9	0.644 *	0.188	0.731 *	0.475	0.570	0.717 *
	O ₂ / Non-Desat	4	0.588	0.504	0.291	-0.482	0.962 *	0.818

Table 3 provides an overview on how the changes of total CSA (quadriceps femoris muscle) during the training intervention are correlated with the impact on functional capacity, aerobic capacity, muscle strength, and exercise tolerance. Pearson's correlation coefficient is provided for all variables. ** p<0.01; * p<0.05.

10-RM = ten-repetition maximum strength test of knee extension; Air = Exercise training with medical air; CSA = cross-sectional area; Desat = exercise induced peripheral desaturation; O₂ = Exercise training with supplemental oxygen; peak VO₂ = peak oxygen consumption; RER = respiratory exchange ratio.