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High-resolution boreal winter precipitation projections over Tropical America from CMIP5 models --Manuscript Draft--

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| Abstract: | <p>Climate change projections for boreal winter precipitation in Tropical America has been addressed by statistical downscaling (SD) using the principal component regression with sea-level pressure (SLP) as the predictor variable. The SD model developed from the reanalysis of SLP and gridded precipitation GPCC data, has been applied to SLP outputs from 20 CGMS of CMIP5, both from the present climate (1971-2000) and for the future (2071-2100) under the RCP2.6, RCP4.5, and RCP8.5 scenarios. The SD model shows a suitable performance over large regions, presenting a strong bias only in small areas characterized by very dry climate conditions or poor data coverage. The difference in percentage between the projected SD precipitation and the simulated SD precipitation for present climate, ranges from moderate to intense changes in rainfall (positive or negative, depending on the region and the SD GCM model considered), as the radiative forcing increases from the RCP2.6 to RCP8.5. The disparity in the GCMs outputs seems to be the major source of uncertainty in the projected changes, while the scenario considered appears less decisive. Mexico and eastern Brazil are the areas showing the most coherent decreases between SD GCMs, while northwestern</p> | |

and southeastern South America show consistently significant increases. This coherence is corroborated by the results of the ensemble mean which projects positive changes from 10°N towards the south, with exceptions such as eastern Brazil, northern Chile and some smaller areas, such as the center of Colombia, while projected negative changes are the majority found in the northernmost part.

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1 **High-resolution boreal winter precipitation projections over Tropical**
2 **America from CMIP5 models**

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25 **ABSTRACT**

26 Climate-change projections for boreal winter precipitation in Tropical America has been
27 addressed by statistical downscaling (SD) using the principal component regression with
28 sea-level pressure (SLP) as the predictor variable. The SD model developed from the
29 reanalysis of SLP and gridded precipitation GPCC data, has been applied to SLP outputs
30 from 20 CGMS of CMIP5, both from the present climate (1971-2000) and for the future
31 (2071-2100) under the RCP2.6, RCP4.5, and RCP8.5 scenarios. The SD model shows a
32 suitable performance over large regions, presenting a strong bias only in small areas
33 characterized by very dry climate conditions or poor data coverage. The difference in
34 percentage between the projected SD precipitation and the simulated SD precipitation for
35 present climate, ranges from moderate to intense changes in rainfall (positive or negative,
36 depending on the region and the SD GCM model considered), as the radiative forcing
37 increases from the RCP2.6 to RCP8.5. The disparity in the GCMs outputs seems to be the
38 major source of uncertainty in the projected changes, while the scenario considered
39 appears less decisive. Mexico and eastern Brazil are the areas showing the most coherent
40 decreases between SD GCMs, while northwestern and southeastern South America show
41 consistently significant increases. This coherence is corroborated by the results of the
42 ensemble mean which projects positive changes from 10°N towards the south, with
43 exceptions such as eastern Brazil, northern Chile and some smaller areas, such as the
44 center of Colombia, while projected negative changes are the majority found in the
45 northernmost part.

46

47 **Keywords:** boreal winter precipitation; climate projections; Tropical America; statistical
48 downscaling; CMIP5 GCMs.

49

50 **1. INTRODUCTION**

51 Producing reliable estimates of changes in precipitation at local and regional level
52 remains a major challenge in climate science, as it is a key aspect for planning adaptation
53 and mitigation measures in order to reduce the negative impacts of the climate change in
54 vulnerable regions (Giorgi et al. 2001; Christensen et al. 2007). The tropical American
55 region, because of its meteorological and climatological characteristics, has received a
56 special attention from the scientific community over recent decades. Unique
57 environments, such as the Amazonia (the largest tropical rainforest on the planet), the
58 Andes Mountains (with steep slopes), the desert of Atacama in Chile, the arid region of
59 northeastern Brazil, the extreme west of Peru and Ecuador, the biodiversity of western
60 Colombia and western Central America, the migration of the Intertropical Convergence
61 Zone (ITCZ), the South American Monsoon System, among others, that interact in a
62 complex superposition of physical processes at diverse spatio-temporal scales, determine
63 the meteorological and climatological aspects of Tropical America, constituting a
64 fundamental component of the global system. In turn, the main features of atmospheric
65 circulation are associated with precipitation in the region, which directly and indirectly
66 affect the economy, ecosystems, and society (Alexander et al. 2002; Barsugli and
67 Sardeshmukh 2002). The Fifth Assessment Report of the Intergovernmental Panel on
68 Climate Change (IPCC AR5 2013a, 2013b) suggests both increases and decreases in
69 rainfall for Central and South America by 2100, depending on the region, although with
70 high uncertainties due to high discrepancies between different General Circulation
71 Models (GCMs) projections. According to Magrin et al. (2014), changes in agricultural
72 production, with consequences for food supply, associated with climate change, are
73 expected to show significant spatial variability in Central and South America (Marengo
74 et al. 2010). The increase in agricultural production and intensive land use could lead to
75 desertification, water pollution, erosion, and negative effects on biodiversity and health.
76 For this reason, the study of climate change in this area constitutes a vital objective for
77 the socio-economic development of the region.

78 Dynamic (DD) and statistical (SD) downscaling methods (Schmidli et al., 2006; Zorita
79 and von Storch 1999; von Storch et al. 2000) are often used to reduce the gap between
80 the coarse resolution of GCMs and the information at higher spatial resolution (Grotch
81 and MacCracken 1991; von Storch et al. 1993; Wilby and Wigley 1997; Xu 1999). While
82 the DD methods use a high-resolution regional climate model nested in a GCM, the SD
83 is performed by looking for empirical statistical relationships between large scale
84 atmospheric predictors and regional scale variables (Wood et al. 2004; Yang and Wang
85 2012), assuming that these will be maintained over time under future climate conditions.
86 The SD presents the added benefit of low computational cost versus DD methods. There
87 are uncertainties in the projections associated with both methodologies, such as the
88 parameterizations (in the DD) or the predictors choice (in the SD) (Frost et al., 2011; Bae
89 et al., 2011; Wilby and Wigley 2000). Little consensus exists on which predictors are
90 more appropriate, although variables related to atmospheric circulation, such as level
91 pressure (SLP) are widely used, due to their availability from both observational and
92 GCM output data. One of the most frequently used approaches for developing SD models

93 is the principal component regression (PCR), which is based on the principal component
94 analysis (PCA) to reduce the dimensionality of the predictor data (Preisendorfer 1988;
95 Jolliffe 2002; Wilks 2006). According to the use of principal components (PCs) as
96 predictors, the SD model generated by PCR, which takes into account the interactions
97 between predictands and observed predictors, is applied to results from the GCM outputs
98 representing climate change projections (Wilks 2006; Li and Smith 2009; Eden and
99 Widmann 2014). However, before the SD model can be applied to project changes in
100 rainfall for the end of the century, an evaluation of the ability of the SD model to
101 reproduce the present climate should be performed. In any case, the climate change
102 estimations at the regional scale are affected by different uncertainties coming from the
103 different GCMs, scenarios, and the downscaling method itself selected.

104 The use of several GCMs and scenarios is important to reduce some of these uncertainties
105 (Wilby and Harris 2006; Maurer 2007). Thus, one way to analyze the uncertainty is to
106 work with a multimodel ensemble (Palmer et al. 2005), which provides a probability
107 distribution of possible future values (Harris et al. 2010). Some studies have demonstrated
108 that simulation errors and uncertainties using individual GCMs could be reduced by the
109 use of the ensemble mean of the members for multi-model projections. This is true for
110 studies concerning the verification of seasonal forecasts (Palmer et al. 2004; Hagedorn et
111 al. 2005), present-day climate from long-term simulations (Lambert and Boer 2001) or
112 climate change projections (Nohara et al. 2006). So, the ensemble average usually
113 reproduces the observations better than do individual models (Wallach et al. 2016).

114 In the current literature few works attempt projections of climate change in Tropical
115 America, most research being more focused on particular regions such as Brazil,
116 Colombia or southern South America (Ramírez et al. 2006; Solman and Nuñez 1999;
117 Mendes and Marengo 2010; Teichmann et al. 2013, Palomino-Lemus et al. 2015). Thus,
118 there is a clear need for the study of climate change in Tropical America.

119 The present work takes into account all the previous considerations and has a primary aim
120 to obtain climate change projections for the boreal winter precipitation of Tropical
121 America, during the period 2071-2100. For this, the precipitation has been statistically
122 downscaled, using as predictor the SLP from the tropical Pacific through the PCR
123 technique. Once the skill of the SD model developed was demonstrated for simulating the
124 rainfall of the region under the present climate, this was applied to the SLP simulations
125 of 20 GCMs selected from the Coupled Model Intercomparison Project Phase 5 (CMIP5,
126 Taylor et al. 2012), for three representative concentration pathways, RCP2.6, RCP4.5,
127 and RCP8.5. The study is structured as follows. Section 2 describes the datasets used,
128 Section 3 explains the methodology, Section 4 displays the results, and Section 5 presents
129 the concluding remarks.

130 **2. DATA**

131 For this study, the observational precipitation dataset from the Global Precipitation
132 Climatology Centre, GPCC version 6.0 (Schneider et al. 2014) was used. The boreal
133 winter precipitation, composed by the averaged December, January, and February (DJF)
134 rainfall over the 61-yr period, from 1950 to 2010, was generated from GPCC data. The

135 time series of winter rainfall corresponding to the grid points of the study region
136 [30°N–30°S, 120°W–30°W] (Figure 1), with a spatial resolution of 0.5°×0.5°, were used
137 as the predictand in the process of building a SD model, using principal component
138 regression (PCR) method, to simulate the boreal winter precipitation for the period 1950-
139 2010.

140 As a predictor variable, the mean monthly sea level pressure (SLP) data available from
141 the National Center for Environmental Prediction-National Center for Atmospheric
142 Research (NCEP-NCAR reanalysis project), which has a spatial grid resolution of
143 2.5°×2.5° (Kalnay et al. 1996), was used, covering a more extensive area [30°S–30°N,
144 180°W–30°W] for the same period 1950-2010.

145 In addition, SLP outputs from 20 GCMs, taken from the CMIP5 (Taylor et al. 2012), were
146 used. These models were chosen for their accurate reproduction of the SLP variability
147 modes (Palomino-Lemus et al. 2015). The model data include simulations with historical
148 atmospheric concentrations and future projections for the representative concentration
149 pathways RCP2.6, RCP4.5, and RCP8.5 (Moss et al. 2010; Taylor et al. 2012). The
150 historical experiments cover the period from 1850 to 2005. In the present study, the period
151 1971-2000 was used as representative of present climate, while, for the future climate,
152 the period 2071-2100 was considered. Table 1 shows these 20 GCMs, labeled from (a) to
153 (t) for their identification, and their principal features. In all the cases, the *run1* of the
154 simulations for historical climate was used.

155 **3. METHODOLOGY**

156 Statistical downscaling is a process consisting of a double step. First, a search was made
157 of relationships between the local climate variables and the large-scale predictors (winter
158 precipitation and SLP, respectively, in our case). Second, the relationships found were
159 applied to the GCMs outputs to develop a SD model.

160 A key point to take into account in this process is the multicollinearity between data
161 subset, which could be a serious problem when a statistical regression model has a great
162 number of input data, because the number of estimated regression coefficients can be very
163 large, resulting in misleading estimates of the regression equation (Draper and Smith
164 1981; Jolliffe 2002). To address the problems associated with multicollinearity, we used
165 biased regression estimators, such as the principal components regression (PCR) method,
166 as frequently suggested. A detailed description of this methodology can be seen in
167 Palomino-Lemus et al. (2015).

168 In this work the spatio-temporal variability of SLP reanalysis data from NCEP was
169 analyzed by PCA using the covariance matrix (Preisendorfer 1988). Empirical orthogonal
170 functions (EOFs) and principal components (PCs) that account for a high percentage of
171 explained SLP variance, presenting significant correlations with the winter precipitation
172 in the study area, were selected. For an assessment of the robust correlations between the
173 main leading SLP PCs and DJF precipitation, the non-parametric bootstrap technique
174 (Stine 1985; Li and Smith 2009) was used, identifying significant correlations at the 95%
175 confidence level. When the main PCs of SLP were selected, the PCR method was applied

176 to model the winter precipitation following the scheme proposed by Li and Smith (2009).
177 The periods 1950-1993 and 1994-2010 were used for calibration and validation,
178 respectively. The Bootstrap with replacement was applied to provide estimates of the
179 statistical errors. Afterwards, the statistical model built for each grid point was
180 recalibrated using the total observational period (1950-2010), allowing us to consider the
181 most recent variability of the fields in the regression model, and finally, to generate the
182 definitive SD model.

183 The skill of the different GCMs to simulate the DJF rainfall in the Tropical America for
184 present climate (1971-2000) was studied by computing the differences between the
185 simulated and observed precipitation values. Lastly, to project DJF precipitation in the
186 area for the period 2071-2100, the SD model, was applied to the SLP outputs from 20
187 GCMs under the RCP2.6, RCP4.5, and RCP8.5 scenarios. The non-parametric rank sum
188 test of Wilcoxon-Mann-Whitney (von Storch and Zwiers 2013) was applied to analyze
189 the significance of the changes projected.

190 Finally, to take the advantage of reducing simulation errors and uncertainties (Lambert
191 and Boer 2001; Palmer et al. 2004; Hagedorn et al. 2005; Nohara et al. 2006), we
192 calculated the projected precipitation changes under the three scenarios using the
193 arithmetic ensemble mean of the 20 SD GCM outputs.

194 **4. RESULTS**

195 **4.1 Spatio-temporal SLP modes and their relationship with precipitation**

196 A PCA applied to the DJF SLP reanalysis data in the period 1950-2010 identifies 10
197 leading modes of variability that explain 88.8% of the total variance. Figure 2 shows the
198 spatial patterns (EOFs) of these modes and their corresponding PC series.

199 The first mode of variability (EOF1) explains 31.5% of the total variance of the SLP data,
200 and is characterized by the presence of a dominant pattern of positive correlations that
201 represents the variability of almost the entire region of tropical Pacific Ocean included in
202 this study, with a strong positive correlation center located around the 150°W-10°S,
203 stretching to the northern tropical Atlantic. The second mode (EOF2), which explains
204 16.9% of the SLP variance, exhibits two well-defined action centers, one with positive
205 correlations located in the northwestern edge of the study area, and the other with negative
206 correlations extending from the Gulf of Mexico, covering all Central America to
207 approximately 150°W. EOF3 (12.3% of variance), shows a spatial pattern with a strong
208 core of positive correlations in the northeast, centered around 15°N-40°W, which spreads,
209 though weakened, throughout northern South America, to northern Chile. Additionally a
210 gradient of negative correlations, which is distributed from the south end to the 10°S,
211 between 170°W and 90°W, also appears. EOF4 (8.8% of variance) shows two negative
212 centers located in the west Pacific and South America, respectively, along with a weaker
213 positive center covering the Gulf of Mexico, the Florida peninsula and most of the
214 Caribbean islands. EOF5 (8.8% of variance) to EOF10 jointly account for 19.3% of the
215 SLP variance and show different action centers over the study region with weaker factor
216 loadings.

217 To explore the physical meaning of these variability modes, we analyzed the correlations
218 between their corresponding PC series (also shown in Figure 2) and several
219 teleconnection indices. The results show that the first PC series is related to the ENSO
220 and SOI indices, the highest correlation coefficient being for bivariate ENSO index
221 (BEST, Smith and Sardeshmukh 2000) ($r = -0.71$), followed by El Niño4 ($r = -0.68$) and
222 El Niño3.4 ($r = -0.65$) indices, all significant at 95% confidence level. PC2 is strongly
223 correlated with the Western Pacific (WP) index ($r = 0.80$), and with El Niño1+2 index (r
224 $= 0.53$). PC3 is related to the Atlantic SST, showing the highest negative correlations with
225 the Atlantic Meridional Mode (AMM, Chiang and Vimont 2004) ($r = -0.63$), followed by
226 the Atlantic Tripole SST EOF (ATLTRI, Deser and Timlin 1997) ($r = -0.54$) and the
227 Tropical Northern Atlantic (TNA, Enfield et al. 1999) ($r = -0.52$) indices. The PC4 shows
228 significant correlation with the Pacific SST, being the highest coefficient with the
229 Western Hemisphere Warm Pool (WHWP, Wang and Enfield 2001) ($r = -0.53$) index.

230 For the analysis of the relationships between the SLP and precipitation, Figure 3 shows
231 the spatial distribution of the correlation coefficients between DJF precipitation data and
232 each time PC series associated with the 10 main modes of variability of DJF SLP. Only
233 statistically significant results at 95% confidence are colored. Additionally, the
234 percentage of area covered by these significant correlations is also shown. The correlation
235 map for the PC1 (Figure 3a) clearly presents significant correlations in an extended area
236 of the region, with significant correlations covering about 40.9% of the region, being the
237 SLP PC which correlates most extensively with the precipitation of the study region. The
238 correlation map for this PC1 is dominated by a broad band of positive correlations that
239 starts from the southwest and northern Brazil and extends to northern Nicaragua. In this
240 area, two main centers have the highest values of positive correlation (above 0.6), located
241 northwest of the Andes in Colombia, and the other in northern Brazil, reaching the east
242 of Venezuela, and entirely covering Guiana, Surinam, and French Guiana. These positive
243 correlations show the influence of the first DJF SLP mode of variability on DJF
244 precipitation in these regions. In addition, significant negative correlations also appear,
245 with values of up to -0.5, especially in Mexico, and slightly weaker in southeastern Brazil,
246 in Paraguay, and in northeastern Argentina. Since PC1 is related mainly to the ENSO
247 phenomenon, this result indicates a clear association between ENSO and DJF
248 precipitation variability in the area of Tropical America.

249 The next DJF SLP mode of variability that presents the second highest percentage
250 (31.1%) of continental area with significant correlations with precipitation, is associated
251 with the SLP PC3. The spatial correlation map (Figure 3c) shows a pattern similar to that
252 of the PC1 (Figure 3a), with certain differences, but with opposite sign correlations. It has
253 negative correlations in northern South America, stretching from Colombia to French
254 Guiana, while positive correlations are located in northern Mexico, the Yucatan Peninsula
255 and central Brazil. PC4 follows the third mode in percentage of area with significant
256 correlations (Figure 3d), with 24.6%, and is characterized by the presence of lower and
257 more localized correlation values. Regionally, it presents significant positive correlations
258 with precipitation in Venezuela, Guiana, Surinam, and French Guiana, and negative in
259 northeastern Argentina and southern end of Brazil.

260 In addition, the correlation between DJF SLP PC2 and DJF precipitation (Figure 3b),
261 presents, generally low values, showing significant positive correlations only in the
262 Florida peninsula, some Caribbean islands and western Ecuador; and negative ones in
263 Guiana, Surinam and at the mouth of the Amazon River in northern Brazil. These areas
264 represent only 16% of total area.

265 Moreover, the rest of DJF SLP PCs (PC5, PC8, PC7, PC10, PC9, and PC6) have lower
266 percentages of areas with significant correlations (14.7%, 14.7%, 12.4%, 11.5%, 10.8%,
267 and 8.9%, respectively). Note the PC5 correlations (Figure 3e), for which there are two
268 centers of significant correlations with opposite signs located to the east of Brazil, and in
269 southern Brazil, and in southern Paraguay, as well as PC8 (Figure 3h), for which a large
270 center to the east of Brazil with significant negative correlations is shown. The rest of
271 PCs show weaker correlations with precipitation, identifying localized regions scattered
272 over the area of study.

273 **4.2 Statistical downscaling model**

274 After the analysis of the relationships between SLP and precipitation, the aim was to
275 develop a robust statistical model that would provide the downscaled precipitation for
276 each grid point from the large-scale SLP field. The PCR method was used to build the
277 statistical downscaling (SD) model for DJF rainfall, using the PC series corresponding to
278 the first 10 modes of variability of DJF SLP NCEP reanalysis data as predictor variables,
279 and the observed gridded DJF precipitation as predictands. As mentioned above, the
280 training period 1950-1993 was used as calibration period, and the period 1994-2010 to
281 validate the model.

282 Figure 4 shows the spatial distribution of the correlation coefficients between observed
283 DJF precipitation data and the generated with the SD model for each grid point during
284 the calibration (1950-1993) and validation (1994-2010) periods (Figure 4a and 4b,
285 respectively). The highest correlations ($r > 0.8$) for the validation period are found in
286 southern Central America, in the northwestern regions of Colombia and Ecuador, and in
287 the northwestern end of Peru. There are also high correlations extending from eastern
288 Venezuela to northern Brazil, covering Guiana, Surinam, and French Guiana.
289 Additionally, strong correlation values appear in many scattered areas, such as Florida
290 and south of the study area. On the other hand, comparing the calibration period with the
291 validation one, lower correlation coefficients are found for the latter area, mainly from
292 southern Mexico (through the Yucatan Peninsula) to Honduras. Lower values are also
293 appreciated southeast of Colombia, northern Venezuela and a vast area over the center of
294 South America.

295 The relative root mean square error (RMSE) was used to quantify the differences between
296 observed and simulated precipitation as well as to assess the stability of the SD model.
297 The spatial distribution of the percentage of RMSE during the calibration and validation
298 periods is shown in Figure 5a and 5b, respectively, reflecting great similarity between the
299 two periods. Some regions have relatively large errors, such as Chile, coastal Peru,
300 southwestern Bolivia, and Mexico, all registering low precipitation values. Generally,

301 errors are lower on the southern half of the study area, while in the north the opposite
302 happens.

303 For a direct comparison between simulated and observed precipitation values at each grid
304 point, Figure 6 depicts the spatial distribution of the observed (Figure 6a) and simulated
305 DJF precipitation (Figure 6b) for the validation period (1994-2010), as well as the spatial
306 distribution of the percentage differences between the two fields (Figure 6c). This
307 comparison shows that the SD model provides a good representation of the average DJF
308 rainfall field, with very small differences between observed and simulated values.
309 Moreover, the maximum values of rainfall in the region, over relatively small areas in
310 western Colombia, southeastern Peru, and central Bolivia, are properly reproduced. The
311 major discrepancies are associated with very dry areas or without information, such as
312 the western edge of South America or the Pacific coast of Mexico, where both
313 underestimations and overestimations of precipitation are appreciated.

314 **4.3 Simulated DJF precipitation for present climate**

315 After assessing the ability of the SD model, we recalibrated it using the complete period
316 1950-2010. Figure 7 presents the spatial distribution of the correlation coefficients
317 between observed DJF precipitation data and the SD modeled values during the period of
318 recalibration (Figure 7a), as well as the ones estimated from the SD model for the period
319 1971-2000 (Figure 7b), which will be used as reference period to characterize
320 precipitation in the present climate. For both the calibration (1950-1993, Figure 4a) and
321 recalibration (1950-2010, Figure 7a) periods, the SD model shows the same spatial
322 correlation pattern. For the period 1971-2000, correlations for certain relatively large
323 areas prove poorer, while in more limited and scattered areas the correlation improves,
324 but remaining essentially the same spatial configuration of the correlation as for the other
325 periods. Figure 7c shows the percentage differences between the observed DJF
326 precipitation and the results from SD modeled one using the SLP, for the period 1971-
327 2000. Only a small very dry area over the northwest of Chile presents remarkable bias.

328 After recalibrating the SD model for the complete period 1950-2010, and assess its ability
329 to reproduce the precipitation in each grid point, this was applied to SLP data derived
330 from 20 GCMs, selected from CMIP5 (Table 1) for both present climate (1971-2000) and
331 future climate (2071-2100) under the RCP2.6, RCP4.5, and RCP8.5 scenarios.

332 Figure 8 shows the percentage of the differences between the SD precipitation from 20
333 GCMs and the observed DJF precipitation for 1971-2000 period. Additionally, the
334 statistical significance at 95% confidence level of these differences was estimated using
335 the Wilcoxon-Mann-Whitney bilateral rank sum test. The results show that, generally,
336 there are no statistically significant differences for a large number of models, indicating
337 that the SD model applied to the SLP outputs of these GCMs has a high ability to
338 faithfully reproduce the precipitation field. However, the simulations performed directly
339 by using non-downscaled outputs of GCMs (Figure 9) strongly distort the precipitation
340 field, since they are able to reproduce neither the values nor the spatial distribution of
341 precipitation. Note that the area with significant differences (Figure 8) is on average
342 (considering the SD of all models) only 16.79% for the period 1971-2000. Therefore, the

343 SD applied to the 20 GCMs accurately reproduces the highest and lowest values of the
344 rainfall in most of the study area. Furthermore, these SD precipitation values (not shown)
345 are very close to those observed, showing spatial patterns very similar to the observed
346 ones.

347 The results of Figure 8 also reveal that, although the SD model successfully reproduces
348 the most important spatial patterns of DJF precipitation in the study area, significant
349 deficiencies are evident for simulations made with outputs from MIROC-ESM (p) and
350 GISS-E2-R (k), followed by GFDL-CM3 (j), with a percentage of the area showing
351 significant differences higher than 20%. In particular, for GISS-E2-R model (Figure 8k),
352 SD overestimates by more than 60% the observed rainfall in areas located above 20°N,
353 covering Mexico. Meanwhile, for the MIROC-ESM (Figure 8p), differences in
354 percentage strongly underestimate precipitation in Mexico (< -90%).

355 **4.4 Projected changes in DJF precipitation**

356 Figures 10, 11, and 12 show the percentage of changes in projected (2071-2100) DJF
357 rainfall compared to the present (1971-2000) SD precipitation for each GCM under the
358 RCP2.6, RCP4.5, and RCP8.5 scenarios, respectively. The statistical significance of the
359 projected precipitation changes, as previously, has been estimated by using the bilateral
360 rank sum test of Wilcoxon-Mann-Whitney. As can be seen, for the 20 projected
361 predictions in general, the RCP4.5 and RCP8.5 scenarios show large areas with
362 significant changes. For the RCP2.6 scenario (Figure 10), projected results reflect a
363 predominance of very moderate decreases in rainfall, these being significant in some
364 models. The extent of the area affected by significant changes varies from 2.56% for the
365 SD CSIRO-Mk3.6 (Fig. 10g) to 57.91% for SD HadGEM2-ES (Fig. 10m). The area with
366 most consistent changes between the SD GCMs is eastern Brazil (around 10°S, 40°W),
367 particularly intense (declines of more than 80%) in SD CanESM2 (Fig. 10c) and SD
368 GFDL-CM3 (Fig. 10j) models. Some models also show a sharp decline in the Chilean
369 Andes. Northern Mexico also presents significant declines from some SD models (around
370 30% or higher in some areas), while the southwestern Mexican coastal area shows
371 increases (over 60%) for several SD GCMs.

372 As radiative forcing increases, the extent of the area with significant changes in
373 precipitation also increases (Fig. 11 and 12). For example, for RCP8.5 (Fig. 12) the
374 minimum extension with significant changes exceeds 40% (SD MPI-ESM-LR model,
375 Fig. 12q, and SD MPI-ESM-MR model, Fig. 12r), reaching 80% in some case (SD
376 NorESM1-ME model, Fig. 12t). This latter SD model also presents a greater surface area
377 with significant changes under the RCP4.5 scenario (Fig. 11t). For this RCP4.5 scenario
378 (Fig. 11), some models have fewer areas with significant changes than for the RCP2.6
379 one (SD IPSL-CM5A-MR, Fig. 11n; SD MPI-ESM-MR, Fig. 11r; and especially the SD
380 BCC-ESM1.1, Fig. 11b). In addition, there are more changes towards a decline in rainfall,
381 which become very marked again in eastern Brazil (SD CanESM2, Fig. 11c, and SD
382 GFDL-CM3, Fig. 11j), and Mexico (SD MIROC5, Fig. 11o, and SD NorESM1-ME, Fig.
383 11t). However, the changes shown are less consistent in some areas, such as northern
384 South America, where some models show increases (SD CNRM-CM5, Fig. 11f, and SD

385 GISS-E2-R, Fig 11k) and other reductions (SD FGOALS-g2, Fig 11h, and SD
386 HadGEM2-AO, Fig. 11l), or even opposing trends in relatively nearby areas (SD MRI-
387 CGCM3, Fig. 11s).

388 For RCP8.5 (Fig. 12), the SD of 13 GCMs show strongly significant declines (above
389 30%) in most of Mexico, especially in the north, reaching over -90% in some cases (SD
390 MIROC5, Fig. 12o, and SD NorESM1-ME, Fig. 12t). Eastward of Brazil (10°S, 40°W),
391 similar results appear for 13 GCMs, showing significant decreases. In the northwest of
392 South America (west of Colombia) simulations (for 12 GCMs), showing significant
393 increases in precipitation predominate, in the northernmost part reaching an 80% increase
394 (SD HadGEM2-ES, Fig. 12m).

395 To identify how robust the projected precipitation changes are, we have studied the
396 coherence between the results of the 20 SD GCMs by calculating the percentage of them
397 that agree in the sign of projected precipitation change at each grid point of the study area.
398 Only coherence values higher than 55% are shown. The Figure 13 depicts these results,
399 showing that the projected precipitation changes have great coherence between the 20 SD
400 models in most of the area, with positive or negative changes depending on the region
401 and the scenario considered. The areas that are consistently affected by increased or
402 decreased rainfall are spread as the radiative forcing increases, except for the region
403 between Venezuela and Guiana, where there is a light loss of coherence. In general, there
404 are wide spatial areas with coherence higher than 80%. Note for example the border region
405 between Colombia, Ecuador, and Peru, the border between Brazil and Paraguay and the
406 southern tip of Brazil, with coherent positive projected changes. Meanwhile, the diagonal
407 band between the northwestern Brazil to the east coast of Brazil located around 20°S-
408 40°W, the border between Bolivia, Chile, and Argentina, and an extended area covering
409 Mexico and Central America, present coherent negative projected changes. The high
410 coherence (higher than 90% in some grid points) is remarkable between the SD GCMs in
411 the narrow area of Central America, where almost all the models are able to discriminate
412 between positive changes in the Pacific coast and negative ones in the Atlantic coast.

413 The coherence found between the sign of the projected precipitation changes for 20 SD
414 GCMs provides the base to generate multimodel ensemble projections. The projected
415 precipitation changes under the three scenarios considered were calculated from the
416 arithmetic ensemble mean of the 20 SD GCM outputs. Figure 14 shows the percentage of
417 changes in projected (2071-2100) DJF rainfall compared to the present (1971-2000) SD
418 precipitation for the ensemble multi-model mean under the RCP2.6, RCP4.5 and RCP8.5
419 scenarios, respectively. The statistical significance of the projected precipitation changes,
420 as before, was estimated by the Wilcoxon-Mann-Whitney test. The results show that the
421 projected changes were significant in most of the study area, covering from 66.27% under
422 the RCP2.6 scenario, up to 83.95% under the RCP8.5. Projected changes are mostly
423 moderate, covering extended regions with coherent sign, even under the scenario of
424 highest radiative forcing. For all scenarios, areas with increased precipitation predominate
425 over those where a decline is projected, although the prevalence increases with the
426 radiative forcing considered, becoming 48.38% vs. 35.57% under the RCP8.5 scenario.
427 Note the sharp increase projected in some parts of the Pacific coast, especially in southern

428 Mexico, Peru, and Chile, as well as the sharp decline in parts of Colombia, Venezuela,
429 on the border between Brazil and Guiana, and areas of Chile.

430 **5. CONCLUDING REMARKS AND DISCUSSION**

431 The main goal of this work was to get climate change projections for boreal winter
432 precipitation in Tropical America. For this, we developed a precipitation SD model for
433 each grid point of the area by PCR technique using as predictors the SLP PCs series of
434 NCEP data, and the observed gridded DJF precipitation as predictands. These predictors
435 were rigorously selected according to the significance of their correlations with the
436 observed precipitation field. Climate variability modes related to ENSO phenomenon can
437 satisfactorily describe the precipitation in many areas of South America (Barros et al.
438 2000; Grimm et al. 2002; Tedeschi et al. 2013; Córdoba-Machado et al. 2015a, 2015b).
439 For example, for Colombia precipitation these latter authors showed that the variability
440 in the tropical Pacific SST, including El Niño and El Niño Modoki, is sufficient to
441 reproduce and predict seasonal rainfall. El Niño phenomenon leads the variability of
442 precipitation in much of the study region through its influence on the circulation of
443 Walker, whose variations are reflected in the SLP field, this mode being particularly
444 associated with the PC1 taken from the PCA applied to the tropical Pacific SLP. In
445 addition, other patterns associated with the variability of the SLP on the tropical American
446 continent and over the tropical Atlantic can also help in describing the behavior of
447 precipitation in various areas of the tropical America, such as the Panama High or the
448 northeastern Brazil Low pressure system. Moreover, some of the SLP PCs series analyzed
449 in this study reflect the influence of certain extra-tropical Atlantic patterns, such as the
450 Atlantic Meridional Mode, the Tripolar Atlantic SST or the Tropical Northern Atlantic
451 pattern, whose contribution to the SD model could also be significant. So, in accordance
452 with our results, other papers have shown that during the boreal winter (DJF), most of the
453 moisture arriving to Central and South America comes from the Atlantic (Hoyos et al,
454 2017). In this sense, the ability of the SD model to predict the precipitation comes from
455 the inclusion of these climate variability modes through their corresponding PCs.

456 In general, the SD model shows proper performance over large areas with small domains
457 with major bias, particularly for the validation period (1994-2010). This may be due to
458 the unreliable coverage of the GPCP data in certain areas (e.g. forest areas of the Amazon
459 and Orinoco and Andes) in recent years, or regions characterized by very dry climate
460 conditions (e.g. western edge of South America). These results are consistent with those
461 reported by Eden et al. (2012) and Eden and Widmann (2014), who found bias greater
462 than 10% in most of the tropics and in areas where the quality of the observation network
463 is poor. However, SD model can properly reproduce the maximum values of rainfall in
464 the region in western Colombia, southeastern Peru, or central Bolivia.

465 For present climate, while the simulations performed directly using GCM outputs are
466 unable to reproduce the distribution of the precipitation field, there are no statistically
467 significant differences between the observed DJF precipitation and the simulated one
468 using the SD model for many GCMs. We find that, on average, the areas with significant
469 differences represent only 16.79% of the complete region. Thus, the SD model applied to

470 the selected GCMs can accurately reproduce the DJF precipitation field throughout most
471 of the study area.

472 The high-resolution climate simulations projected for the end of this century have been
473 evaluated using the difference in percentage between the projected SD precipitation for
474 the period 2071-2100 and the simulated SD precipitation for the period 1971-2000.
475 Results show positive or negative differences depending on the region and the SD GCM
476 model considered. In general, these changes in rainfall range from very moderate to
477 intense as the radiative forcing increases from the RCP2.6 to RCP8.5. Major sources of
478 uncertainty in the projected precipitation changes for the end of the century seem to come
479 from the disparity in the GCMs outputs, being less sensitive to the scenario considered.
480 The results of the coherence between models shows that three northwest-to-southeast
481 bands can be differentiated throughout the region, alternating projected changes in
482 increased and decreased precipitation. Central and southeastern Brazil, Mexico and
483 Guatemala are the areas showing the most consistent decrease changes between SD
484 GCMs, while for the northwest and southeast of South America simulations showing
485 significant increases predominate.

486 The mean ensemble shows regions having projected significant increases and significant
487 decreases. While the percentage of area presenting negative significant changes is very
488 similar for the three RCPs (from 32.06% to 35.74%), the percentage relative to significant
489 positive changes is higher as the radiative forcing intensifies (ranging from 34.21% for
490 the RCP2.6 to 48.38% for the RCP8.5). Basically, positive projected changes are found
491 from 10°N latitude to the south, with exceptions such as eastern Brazil, northern Chile
492 and smaller areas such as the center of Colombia, while negative projected changes
493 appear mostly in the northernmost part. The coherence of our results essentially agrees
494 with the findings of Sánchez et al. (2015). Most of the simulations in this paper and in the
495 present work show a precipitation decrease in the east and some interior parts of Brazil,
496 as well as increases in the coast of Ecuador and Bolivia in addition to northern Argentina,
497 Paraguay and southern Brazil, although Sánchez et al. (2015) used different GCMs,
498 dynamical downscaling, and the A1B scenario. Chou et al. (2014), in their study of
499 assessing the climate change over South America using dynamical downscaling,
500 projected a reduction of DJF precipitation in a large area that extends from northwestern
501 to southeastern South America, also especially important towards the end of the century
502 and for the RCP8.5 in southeastern Brazil. However, comparing the results found in the
503 present work with those reported by other authors is problematic because of the
504 differences between regions, periods, seasons, GCMs, and scenarios analyzed.

505 Few studies have used the statistical downscaling over Tropical America, being more
506 focused on the climate of some regions of Brazil or in the southern part of South America
507 (Johnson et al. 2014; Valverde Ramírez et al. 2006; Solman and Nuñez 1999; Mendes
508 and Marengo 2010). Hence the present study is novel for being one of the few papers
509 devoted to obtain future rainfall projections at the regional scale for the Tropical America
510 using CMIP5 models. Additionally, the statistical downscaling method developed in this
511 work accurately reproduces the precipitation at the local scale for the study region, being,
512 therefore, a useful technique for climate change studies, with the advantage of minimal

513 computation requirement. Therefore the results of this work could be useful for the
514 climate change mitigation purposes in this area.

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738

739 **Figure captions**

740

741 Figure 1: a) Region used for the precipitation study. b) Topographical features of the
742 region of interest.

743

744 Figure 2. Loading factors for the 10 leading variability modes of the DJF SLP reanalysis
745 data for the period 1950–2010 and their corresponding PC series.

746

747 Figure 3. Spatial correlation patterns between gridded DJF precipitation and the 10
748 leading PCs from NCAR DJF SLP. Only statistically significant results at 95%
749 confidence are colored, and the percentage of area covered by these patterns is also
750 shown.

751

752 Figure 4. Spatial distribution of the correlation coefficients between observed DJF
753 precipitation values and simulated one by the SD model for each grid point during: a)
754 calibration (1950-1993), and b) validation (1994-2010) periods.

755

756 Figure 5. Spatial distribution of the percentage of RMSE between observed DJF
757 precipitation values and simulated one by the SD model for each grid point during: a)
758 calibration (1950-1993) and b) validation (1994-2010) periods.

759

760 Figure 6. Spatial distribution of: a) simulated, and b) observed DJF precipitation (mm)
761 during the validation period (1994-2010). c) Spatial distribution of the difference (%)
762 between these two fields.

763

764 Figure 7. Spatial distribution of the correlation coefficients between observed DJF
765 precipitation and predicted one by the SD model for each grid point during: a) 1950-2010
766 recalibration, and b) 1971-2000 periods. c) Difference in percentage the between the
767 observed DJF precipitation and the SD modeled one for the period 1971-2000.

768

769 Figure 8. Differences (%) between the SD precipitation from 20 GCMs and the observed
770 DJF precipitation for the 1971-2000 period. The areas where the differences are
771 significant at the 95% confidence level (according to the Wilcoxon-Mann-Whitney non-
772 parametric rank sum test) are marked by gray dots, and the numbers in brackets represent
773 the percentages of these areas.

774

775 Figure 9. As in Figure 8, but for direct precipitation outputs of the 20 GCMs.

776

777 Figure 10. Changes (%) in projected (2071-2100) DJF precipitation compared to the
778 present (1971-2000) SD precipitation for each GCM under the RCP2.6 scenario. The
779 areas where the differences are significant at the 95% confidence level (according to the
780 Wilcoxon-Mann-Whitney non-parametric rank sum test) are marked by gray dots, and
781 the numbers in brackets represent the percentages of these areas.

782

783 Figure 11. As in Figure 10, but for the RCP4.5 scenario.

784

785 Figure 12. As in Figure 10, but for the RCP8.5 scenario.

786

787 Figure 13. Percentage of 20 SD GCMs that predict a positive or negative change in
788 projected (2071-2100) DJF precipitation respect to the present (1971-2000) for each grid
789 point, under: a) RCP2.6, b) RCP4.5, and c) RCP8.5 scenarios. The positive or negative
790 sign of the percentage corresponds to an increase or decrease, respectively, in the
791 projected change, with a coherence value higher than 55%.

792

793 Figure 14. Changes (%) in projected (2071-2100) DJF precipitation compared to the
794 present (1971-2000) SD precipitation for the ensemble multi-model under the: a) RCP2.6,
795 b) RCP4.5, and c) RCP8.5 scenarios. The areas where the differences are significant at
796 the 95% confidence level (according to the Wilcoxon-Mann-Whitney non-parametric
797 rank sum test) are marked by gray dots, and the numbers in brackets represent the
798 percentages of these areas with positive (P), negative (N) and total (A) change.

799

800 **Table caption**

801

802 Table 1. CMIP5 models used for the analysis of SD at both present climate (1971-2000),
803 and future climate (2071-2100) under the RCP2.6, RCP4.5 and RCP8.5 scenarios.

804

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1 **High-resolution boreal winter precipitation projections over Tropical**
2 **America from CMIP5 models**

3
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25 **ABSTRACT**

26 Climate-change projections for boreal winter precipitation in Tropical America has been
27 addressed by statistical downscaling (SD) using the principal component regression with
28 sea-level pressure (SLP) as the predictor variable. The SD model developed from the
29 reanalysis of SLP and gridded precipitation GPCC data, has been applied to SLP outputs
30 from 20 CGMS of CMIP5, both from the present climate (1971-2000) and for the future
31 (2071-2100) under the RCP2.6, RCP4.5, and RCP8.5 scenarios. The SD model shows a
32 suitable performance over large regions, presenting a strong bias only in small areas
33 characterized by very dry climate conditions or poor data coverage. The difference in
34 percentage between the projected SD precipitation and the simulated SD precipitation for
35 present climate, ranges from moderate to intense changes in rainfall (positive or negative,
36 depending on the region and the SD GCM model considered), as the radiative forcing
37 increases from the RCP2.6 to RCP8.5. The disparity in the GCMs outputs seems to be the
38 major source of uncertainty in the projected changes, while the scenario considered
39 appears less decisive. Mexico and eastern Brazil are the areas showing the most coherent
40 decreases between SD GCMs, while northwestern and southeastern South America show
41 consistently significant increases. This coherence is corroborated by the results of the
42 ensemble mean which projects positive changes from 10°N towards the south, with
43 exceptions such as eastern Brazil, northern Chile and some smaller areas, such as the
44 center of Colombia, while projected negative changes are the majority found in the
45 northernmost part.

46

47 **Keywords:** boreal winter precipitation; climate projections; Tropical America; statistical
48 downscaling; CMIP5 GCMs.

49

50 1. INTRODUCTION

51 Producing reliable estimates of changes in precipitation at local and regional level
52 remains a major challenge in climate science, as it is a key aspect for planning adaptation
53 and mitigation measures in order to reduce the negative impacts of the climate change in
54 vulnerable regions (Giorgi et al. 2001; Christensen et al. 2007). The tropical American
55 region, because of its meteorological and climatological characteristics, has received a
56 special attention from the scientific community over recent decades. Unique
57 environments, such as the Amazonia (the largest tropical rainforest on the planet), the
58 Andes Mountains (with steep slopes), the desert of Atacama in Chile, the arid region of
59 northeastern Brazil, the extreme west of Peru and Ecuador, the biodiversity of western
60 Colombia and western Central America, the migration of the Intertropical Convergence
61 Zone (ITCZ), [the South American Monsoon System](#), among others, that interact in a
62 complex superposition of physical processes at diverse spatio-temporal scales, determine
63 the meteorological and climatological aspects of Tropical America, constituting a
64 fundamental component of the global system. In turn, the main features of atmospheric
65 circulation are associated with precipitation in the region, which directly and indirectly
66 affect the economy, ecosystems, and society (Alexander et al. 2002; Barsugli and
67 Sardeshmukh 2002). [The Fifth Assessment Report of the Intergovernmental Panel on
68 Climate Change \(IPCC AR5 2013a, 2013b\)](#) suggests both increases and decreases in
69 rainfall for Central and South America by 2100, depending on the region, although with
70 high uncertainties due to high discrepancies between different General Circulation
71 Models (GCMs) projections. According to Magrin et al. (2014), changes in agricultural
72 production, with consequences for food supply, associated with climate change, are
73 expected to show significant spatial variability in Central and South America (Marengo
74 et al. 2010). The increase in agricultural production and intensive land use could lead to
75 desertification, water pollution, erosion, and negative effects on biodiversity and health.
76 For this reason, the study of climate change in this area constitutes a vital objective for
77 the socio-economic development of the region.

78 [Dynamic \(DD\) and statistical \(SD\) downscaling methods \(Schmidli et al., 2006; Zorita
79 and von Storch 1999; von Storch et al. 2000\)](#) are often used to reduce the gap between
80 the coarse resolution of GCMs and the information at higher spatial resolution (Grotch
81 and MacCracken 1991; von Storch et al. 1993; Wilby and Wigley 1997; Xu 1999). [While
82 the DD methods use a high-resolution regional climate model nested in a GCM, the SD
83 is performed by looking for empirical statistical relationships between large scale
84 atmospheric predictors and regional scale variables \(Wood et al. 2004; Yang and Wang
85 2012\)](#), assuming that these will be maintained over time under future climate conditions.
86 [The SD presents the added benefit of low computational cost versus DD methods. There
87 are uncertainties in the projections associated with both methodologies, such as the
88 parameterizations \(in the DD\) or the predictors choice \(in the SD\) \(Frost et al., 2011; Bae
89 et al., 2011; Wilby and Wigley 2000\)](#). Little consensus exists on which predictors are
90 more appropriate, although variables related to atmospheric circulation, such as level
91 pressure (SLP) are widely used, due to their availability from both observational and
92 GCM output data. One of the most frequently used approaches for developing SD models

93 is the principal component regression (PCR), which is based on the principal component
94 analysis (PCA) to reduce the dimensionality of the predictor data (Preisendorfer 1988;
95 Jolliffe 2002; Wilks 2006). According to the use of principal components (PCs) as
96 predictors, the SD model generated by PCR, which takes into account the interactions
97 between predictands and observed predictors, is applied to results from the GCM outputs
98 representing climate change projections (Wilks 2006; Li and Smith 2009; Eden and
99 Widmann 2014). However, before the SD model can be applied to project changes in
100 rainfall for the end of the century, an evaluation of the ability of the SD model to
101 reproduce the present climate should be performed. In any case, the climate change
102 estimations at the regional scale are affected by different uncertainties coming from the
103 different GCMs, scenarios, and the downscaling method itself selected.

104 The use of several GCMs and scenarios is important to reduce some of these uncertainties
105 (Wilby and Harris 2006; Maurer 2007). Thus, one way to analyze the uncertainty is to
106 work with a multimodel ensemble (Palmer et al. 2005), which provides a probability
107 distribution of possible future values (Harris et al. 2010). [Some studies have demonstrated
108 that simulation errors and uncertainties using individual GCMs could be reduced by the
109 use of the ensemble mean of the members for multi-model projections. This is true for
110 studies concerning the verification of seasonal forecasts \(Palmer et al. 2004; Hagedorn et
111 al. 2005\), present-day climate from long-term simulations \(Lambert and Boer 2001\) or
112 climate change projections \(Nohara et al. 2006\). So, the ensemble average usually
113 reproduces the observations better than do individual models \(Wallach et al. 2016\).](#)

114 In the current literature few works attempt projections of climate change in Tropical
115 America, most research being more focused on particular regions such as Brazil,
116 Colombia or southern South America (Ramírez et al. 2006; Solman and Nuñez 1999;
117 Mendes and Marengo 2010; Teichmann et al. 2013, Palomino-Lemus et al. 2015). Thus,
118 there is a clear need for the study of climate change in Tropical America.

119 The present work takes into account all the previous considerations and has a primary aim
120 to obtain climate change projections for the boreal winter precipitation of Tropical
121 America, during the period 2071-2100. For this, the precipitation has been statistically
122 downscaled, using as predictor the SLP from the tropical Pacific through the PCR
123 technique. Once the skill of the SD model developed was demonstrated for simulating the
124 rainfall of the region under the present climate, this was applied to the SLP simulations
125 of 20 GCMs selected from the Coupled Model Intercomparison Project Phase 5 (CMIP5,
126 Taylor et al. 2012), for three representative concentration pathways, RCP2.6, RCP4.5,
127 and RCP8.5. The study is structured as follows. Section 2 describes the datasets used,
128 Section 3 explains the methodology, Section 4 displays the results, and Section 5 presents
129 the concluding remarks.

130 **2. DATA**

131 For this study, the observational precipitation dataset from the Global Precipitation
132 Climatology Centre, GPCC version 6.0 (Schneider et al. 2014) was used. The boreal
133 winter precipitation, composed by the averaged December, January, and February (DJF)
134 rainfall over the 61-yr period, from 1950 to 2010, was generated from GPCC data. The

135 time series of winter rainfall corresponding to the grid points of the study region
136 [30°N–30°S, 120°W–30°W] (Figure 1), with a spatial resolution of 0.5°×0.5°, were used
137 as the predictand in the process of building a SD model, using principal component
138 regression (PCR) method, to simulate the boreal winter precipitation for the period 1950-
139 2010.

140 As a predictor variable, the mean monthly sea level pressure (SLP) data available from
141 the National Center for Environmental Prediction-National Center for Atmospheric
142 Research (NCEP-NCAR reanalysis project), which has a spatial grid resolution of
143 2.5°×2.5° (Kalnay et al. 1996), was used, covering a more extensive area [30°S–30°N,
144 180°W–30°W] for the same period 1950-2010.

145 In addition, SLP outputs from 20 GCMs, taken from the CMIP5 (Taylor et al. 2012), were
146 used. These models were chosen for their accurate reproduction of the SLP variability
147 modes (Palomino-Lemus et al. 2015). The model data include simulations with historical
148 atmospheric concentrations and future projections for the representative concentration
149 pathways RCP2.6, RCP4.5, and RCP8.5 (Moss et al. 2010; Taylor et al. 2012). The
150 historical experiments cover the period from 1850 to 2005. In the present study, the period
151 1971-2000 was used as representative of present climate, while, for the future climate,
152 the period 2071-2100 was considered. Table 1 shows these 20 GCMs, labeled from (a) to
153 (t) for their identification, and their principal features. In all the cases, the *run1* of the
154 simulations for historical climate was used.

155 **3. METHODOLOGY**

156 Statistical downscaling is a process consisting of a double step. First, a search was made
157 of relationships between the local climate variables and the large-scale predictors (winter
158 precipitation and SLP, respectively, in our case). Second, the relationships found were
159 applied to the GCMs outputs to develop a SD model.

160 A key point to take into account in this process is the multicollinearity between data
161 subset, which could be a serious problem when a statistical regression model has a great
162 number of input data, because the number of estimated regression coefficients can be very
163 large, resulting in misleading estimates of the regression equation (Draper and Smith
164 1981; Jolliffe 2002). To address the problems associated with multicollinearity, we used
165 biased regression estimators, such as the principal components regression (PCR) method,
166 as frequently suggested. A detailed description of this methodology can be seen in
167 Palomino-Lemus et al. (2015).

168 In this work the spatio-temporal variability of SLP reanalysis data from NCEP was
169 analyzed by [PCA using the covariance matrix](#) (Preisendorfer 1988). Empirical orthogonal
170 functions (EOFs) and principal components (PCs) that account for a high percentage of
171 explained SLP variance, presenting significant correlations with the winter precipitation
172 in the study area, were selected. For an assessment of the robust correlations between the
173 main leading SLP PCs and DJF precipitation, the non-parametric bootstrap technique
174 (Stine 1985; Li and Smith 2009) was used, identifying significant correlations at the 95%
175 confidence level. When the main PCs of SLP were selected, the PCR method was applied

176 to model the winter precipitation following the scheme proposed by Li and Smith (2009).
177 The periods 1950-1993 and 1994-2010 were used for calibration and validation,
178 respectively. The Bootstrap with replacement was applied to provide estimates of the
179 statistical errors. Afterwards, the statistical model built for each grid point was
180 recalibrated using the total observational period (1950-2010), allowing us to consider the
181 most recent variability of the fields in the regression model, and finally, to generate the
182 definitive SD model.

183 The skill of the different GCMs to simulate the DJF rainfall in the Tropical America for
184 present climate (1971-2000) was studied by computing the differences between the
185 simulated and observed precipitation values. Lastly, to project DJF precipitation in the
186 area for the period 2071-2100, the SD model, was applied to the SLP outputs from 20
187 GCMs under the RCP2.6, RCP4.5, and RCP8.5 scenarios. The non-parametric rank sum
188 test of Wilcoxon-Mann-Whitney (von Storch and Zwiers 2013) was applied to analyze
189 the significance of the changes projected.

190 Finally, to take the advantage of reducing simulation errors and uncertainties (Lambert
191 and Boer 2001; Palmer et al. 2004; Hagedorn et al. 2005; Nohara et al. 2006), we
192 calculated the projected precipitation changes under the three scenarios using the
193 arithmetic ensemble mean of the 20 SD GCM outputs.

194 **4. RESULTS**

195 **4.1 Spatio-temporal SLP modes and their relationship with precipitation**

196 A PCA applied to the DJF SLP reanalysis data in the period 1950-2010 identifies 10
197 leading modes of variability that explain 88.8% of the total variance. Figure 2 shows the
198 spatial patterns (EOFs) of these modes and their corresponding PC series.

199 The first mode of variability (EOF1) explains 31.5% of the total variance of the SLP data,
200 and is characterized by the presence of a dominant pattern of positive correlations that
201 represents the variability of almost the entire region of tropical Pacific Ocean included in
202 this study, with a strong positive correlation center located around the 150°W-10°S,
203 stretching to the northern tropical Atlantic. The second mode (EOF2), which explains
204 16.9% of the SLP variance, exhibits two well-defined action centers, one with positive
205 correlations located in the northwestern edge of the study area, and the other with negative
206 correlations extending from the Gulf of Mexico, covering all Central America to
207 approximately 150°W. EOF3 (12.3% of variance), shows a spatial pattern with a strong
208 core of positive correlations in the northeast, centered around 15°N-40°W, which spreads,
209 though weakened, throughout northern South America, to northern Chile. Additionally a
210 gradient of negative correlations, which is distributed from the south end to the 10°S,
211 between 170°W and 90°W, also appears. EOF4 (8.8% of variance) shows two negative
212 centers located in the west Pacific and South America, respectively, along with a weaker
213 positive center covering the Gulf of Mexico, the Florida peninsula and most of the
214 Caribbean islands. EOF5 (8.8% of variance) to EOF10 jointly account for 19.3% of the
215 SLP variance and show different action centers over the study region with weaker factor
216 loadings.

217 To explore the physical meaning of these variability modes, we analyzed the correlations
218 between their corresponding PC series (also shown in Figure 2) and several
219 teleconnection indices. The results show that the first PC series is related to the ENSO
220 and SOI indices, the highest correlation coefficient being for bivariate ENSO index
221 (BEST, Smith and Sardeshmukh 2000) ($r = -0.71$), followed by El Niño4 ($r = -0.68$) and
222 El Niño3.4 ($r = -0.65$) indices, all significant at 95% confidence level. PC2 is strongly
223 correlated with the Western Pacific (WP) index ($r = 0.80$), and with El Niño1+2 index (r
224 $= 0.53$). PC3 is related to the Atlantic SST, showing the highest negative correlations with
225 the Atlantic Meridional Mode (AMM, Chiang and Vimont 2004) ($r = -0.63$), followed by
226 the Atlantic Tripole SST EOF (ATLTRI, Deser and Timlin 1997) ($r = -0.54$) and the
227 Tropical Northern Atlantic (TNA, Enfield et al. 1999) ($r = -0.52$) indices. The PC4 shows
228 significant correlation with the Pacific SST, being the highest coefficient with the
229 Western Hemisphere Warm Pool (WHWP, Wang and Enfield 2001) ($r = -0.53$) index.

230 For the analysis of the relationships between the SLP and precipitation, Figure 3 shows
231 the spatial distribution of the correlation coefficients between DJF precipitation data and
232 each time PC series associated with the 10 main modes of variability of DJF SLP. Only
233 statistically significant results at 95% confidence are colored. Additionally, the
234 percentage of area covered by these significant correlations is also shown. The correlation
235 map for the PC1 (Figure 3a) clearly presents significant correlations in an extended area
236 of the region, with significant correlations covering about 40.9% of the region, being the
237 SLP PC which correlates most extensively with the precipitation of the study region. The
238 correlation map for this PC1 is dominated by a broad band of positive correlations that
239 starts from the southwest and northern Brazil and extends to northern Nicaragua. In this
240 area, two main centers have the highest values of positive correlation (above 0.6), located
241 northwest of the Andes in Colombia, and the other in northern Brazil, reaching the east
242 of Venezuela, and entirely covering Guiana, Surinam, and French Guiana. These positive
243 correlations show the influence of the first DJF SLP mode of variability on DJF
244 precipitation in these regions. In addition, significant negative correlations also appear,
245 with values of up to -0.5, especially in Mexico, and slightly weaker in southeastern Brazil,
246 in Paraguay, and in northeastern Argentina. Since PC1 is related mainly to the ENSO
247 phenomenon, this result indicates a clear association between ENSO and DJF
248 precipitation variability in the area of Tropical America.

249 The next DJF SLP mode of variability that presents the second highest percentage
250 (31.1%) of continental area with significant correlations with precipitation, is associated
251 with the SLP PC3. The spatial correlation map (Figure 3c) shows a pattern similar to that
252 of the PC1 (Figure 3a), with certain differences, but with opposite sign correlations. It has
253 negative correlations in northern South America, stretching from Colombia to French
254 Guiana, while positive correlations are located in northern Mexico, the Yucatan Peninsula
255 and central Brazil. PC4 follows the third mode in percentage of area with significant
256 correlations (Figure 3d), with 24.6%, and is characterized by the presence of lower and
257 more localized correlation values. Regionally, it presents significant positive correlations
258 with precipitation in Venezuela, Guiana, Surinam, and French Guiana, and negative in
259 northeastern Argentina and southern end of Brazil.

260 In addition, the correlation between DJF SLP PC2 and DJF precipitation (Figure 3b),
261 presents, generally low values, showing significant positive correlations only in the
262 Florida peninsula, some Caribbean islands and western Ecuador; and negative ones in
263 Guiana, Surinam and at the mouth of the Amazon River in northern Brazil. These areas
264 represent only 16% of total area.

265 Moreover, the rest of DJF SLP PCs (PC5, PC8, PC7, PC10, PC9, and PC6) have lower
266 percentages of areas with significant correlations (14.7%, 14.7%, 12.4%, 11.5%, 10.8%,
267 and 8.9%, respectively). Note the PC5 correlations (Figure 3e), for which there are two
268 centers of significant correlations with opposite signs located to the east of Brazil, and in
269 southern Brazil, and in southern Paraguay, as well as PC8 (Figure 3h), for which a large
270 center to the east of Brazil with significant negative correlations is shown. The rest of
271 PCs show weaker correlations with precipitation, identifying localized regions scattered
272 over the area of study.

273 **4.2 Statistical downscaling model**

274 After the analysis of the relationships between SLP and precipitation, the aim was to
275 develop a robust statistical model that would provide the downscaled precipitation for
276 each grid point from the large-scale SLP field. The PCR method was used to build the
277 statistical downscaling (SD) model for DJF rainfall, using the PC series corresponding to
278 the first 10 modes of variability of DJF SLP NCEP reanalysis data as predictor variables,
279 and the observed gridded DJF precipitation as predictands. As mentioned above, the
280 training period 1950-1993 was used as calibration period, and the period 1994-2010 to
281 validate the model.

282 Figure 4 shows the spatial distribution of the correlation coefficients between observed
283 DJF precipitation data and the generated with the SD model for each grid point during
284 the calibration (1950-1993) and validation (1994-2010) periods (Figure 4a and 4b,
285 respectively). The highest correlations ($r > 0.8$) for the validation period are found in
286 southern Central America, in the northwestern regions of Colombia and Ecuador, and in
287 the northwestern end of Peru. There are also high correlations extending from eastern
288 Venezuela to northern Brazil, covering Guiana, Surinam, and French Guiana.
289 Additionally, strong correlation values appear in many scattered areas, such as Florida
290 and south of the study area. On the other hand, comparing the calibration period with the
291 validation one, lower correlation coefficients are found for the latter area, mainly from
292 southern Mexico (through the Yucatan Peninsula) to Honduras. Lower values are also
293 appreciated southeast of Colombia, northern Venezuela and a vast area over the center of
294 South America.

295 The relative root mean square error (RMSE) was used to quantify the differences between
296 observed and simulated precipitation as well as to assess the stability of the SD model.
297 The spatial distribution of the percentage of RMSE during the calibration and validation
298 periods is shown in Figure 5a and 5b, respectively, reflecting great similarity between the
299 two periods. Some regions have relatively large errors, such as Chile, coastal Peru,
300 southwestern Bolivia, and Mexico, all registering low precipitation values. Generally,

301 errors are lower on the southern half of the study area, while in the north the opposite
302 happens.

303 For a direct comparison between simulated and observed precipitation values at each grid
304 point, Figure 6 depicts the spatial distribution of the observed (Figure 6a) and simulated
305 DJF precipitation (Figure 6b) for the validation period (1994-2010), as well as the spatial
306 distribution of the percentage differences between the two fields (Figure 6c). This
307 comparison shows that the SD model provides a good representation of the average DJF
308 rainfall field, with very small differences between observed and simulated values.
309 Moreover, the maximum values of rainfall in the region, over relatively small areas in
310 western Colombia, southeastern Peru, and central Bolivia, are properly reproduced. The
311 major discrepancies are associated with very dry areas or without information, such as
312 the western edge of South America or the Pacific coast of Mexico, where both
313 underestimations and overestimations of precipitation are appreciated.

314 **4.3 Simulated DJF precipitation for present climate**

315 After assessing the ability of the SD model, we recalibrated it using the complete period
316 1950-2010. Figure 7 presents the spatial distribution of the correlation coefficients
317 between observed DJF precipitation data and the SD modeled values during the period of
318 recalibration (Figure 7a), as well as the ones estimated from the SD model for the period
319 1971-2000 (Figure 7b), which will be used as reference period to characterize
320 precipitation in the present climate. For both the calibration (1950-1993, Figure 4a) and
321 recalibration (1950-2010, Figure 7a) periods, the SD model shows the same spatial
322 correlation pattern. For the period 1971-2000, correlations for certain relatively large
323 areas prove poorer, while in more limited and scattered areas the correlation improves,
324 but remaining essentially the same spatial configuration of the correlation as for the other
325 periods. Figure 7c shows the percentage differences between the observed DJF
326 precipitation and the results from SD modeled one using the SLP, for the period 1971-
327 2000. Only a small very dry area over the northwest of Chile presents remarkable bias.

328 After recalibrating the SD model for the complete period 1950-2010, and assess its ability
329 to reproduce the precipitation in each grid point, this was applied to SLP data derived
330 from 20 GCMs, selected from CMIP5 (Table 1) for both present climate (1971-2000) and
331 future climate (2071-2100) under the RCP2.6, RCP4.5, and RCP8.5 scenarios.

332 Figure 8 shows the percentage of the differences between the SD precipitation from 20
333 GCMs and the observed DJF precipitation for 1971-2000 period. Additionally, the
334 statistical significance at 95% confidence level of these differences was estimated using
335 the Wilcoxon-Mann-Whitney bilateral rank sum test. The results show that, generally,
336 there are no statistically significant differences for a large number of models, indicating
337 that the SD model applied to the SLP outputs of these GCMs has a high ability to
338 faithfully reproduce the precipitation field. However, the simulations performed directly
339 by using non-downscaled outputs of GCMs (Figure 9) strongly distort the precipitation
340 field, since they are able to reproduce neither the values nor the spatial distribution of
341 precipitation. Note that the area with significant differences (Figure 8) is on average
342 (considering the SD of all models) only 16.79% for the period 1971-2000. Therefore, the

343 SD applied to the 20 GCMs accurately reproduces the highest and lowest values of the
344 rainfall in most of the study area. Furthermore, these SD precipitation values (not shown)
345 are very close to those observed, showing spatial patterns very similar to the observed
346 ones.

347 The results of Figure 8 also reveal that, although the SD model successfully reproduces
348 the most important spatial patterns of DJF precipitation in the study area, significant
349 deficiencies are evident for simulations made with outputs from MIROC-ESM (p) and
350 GISS-E2-R (k), followed by GFDL-CM3 (j), with a percentage of the area showing
351 significant differences higher than 20%. In particular, for GISS-E2-R model (Figure 8k),
352 SD overestimates by more than 60% the observed rainfall in areas located above 20°N,
353 covering Mexico. Meanwhile, for the MIROC-ESM (Figure 8p), differences in
354 percentage strongly underestimate precipitation in Mexico (< -90%).

355 **4.4 Projected changes in DJF precipitation**

356 Figures 10, 11, and 12 show the percentage of changes in projected (2071-2100) DJF
357 rainfall compared to the present (1971-2000) SD precipitation for each GCM under the
358 RCP2.6, RCP4.5, and RCP8.5 scenarios, respectively. The statistical significance of the
359 projected precipitation changes, as previously, has been estimated by using the bilateral
360 rank sum test of Wilcoxon-Mann-Whitney. As can be seen, for the 20 projected
361 predictions in general, the RCP4.5 and RCP8.5 scenarios show large areas with
362 significant changes. For the RCP2.6 scenario (Figure 10), projected results reflect a
363 predominance of very moderate decreases in rainfall, these being significant in some
364 models. The extent of the area affected by significant changes varies from 2.56% for the
365 SD CSIRO-Mk3.6 (Fig. 10g) to 57.91% for SD HadGEM2-ES (Fig. 10m). The area with
366 most consistent changes between the SD GCMs is eastern Brazil (around 10°S, 40°W),
367 particularly intense (declines of more than 80%) in SD CanESM2 (Fig. 10c) and SD
368 GFDL-CM3 (Fig. 10j) models. Some models also show a sharp decline in the Chilean
369 Andes. Northern Mexico also presents significant declines from some SD models (around
370 30% or higher in some areas), while the southwestern Mexican coastal area shows
371 increases (over 60%) for several SD GCMs.

372 As radiative forcing increases, the extent of the area with significant changes in
373 precipitation also increases (Fig. 11 and 12). For example, for RCP8.5 (Fig. 12) the
374 minimum extension with significant changes exceeds 40% (SD MPI-ESM-LR model,
375 Fig. 12q, and SD MPI-ESM-MR model, Fig. 12r), reaching 80% in some case (SD
376 NorESM1-ME model, Fig. 12t). This latter SD model also presents a greater surface area
377 with significant changes under the RCP4.5 scenario (Fig. 11t). For this RCP4.5 scenario
378 (Fig. 11), some models have fewer areas with significant changes than for the RCP2.6
379 one (SD IPSL-CM5A-MR, Fig. 11n; SD MPI-ESM-MR, Fig. 11r; and especially the SD
380 BCC-ESM1.1, Fig. 11b). In addition, there are more changes towards a decline in rainfall,
381 which become very marked again in eastern Brazil (SD CanESM2, Fig. 11c, and SD
382 GFDL-CM3, Fig. 11j), and Mexico (SD MIROC5, Fig. 11o, and SD NorESM1-ME, Fig.
383 11t). However, the changes shown are less consistent in some areas, such as northern
384 South America, where some models show increases (SD CNRM-CM5, Fig. 11f, and SD

385 GISS-E2-R, Fig 11k) and other reductions (SD FGOALS-g2, Fig 11h, and SD
386 HadGEM2-AO, Fig. 11l), or even opposing trends in relatively nearby areas (SD MRI-
387 CGCM3, Fig. 11s).

388 For RCP8.5 (Fig. 12), the SD of 13 GCMs show strongly significant declines (above
389 30%) in most of Mexico, especially in the north, reaching over -90% in some cases (SD
390 MIROC5, Fig. 12o, and SD NorESM1-ME, Fig. 12t). Eastward of Brazil (10°S, 40°W),
391 similar results appear for 13 GCMs, showing significant decreases. In the northwest of
392 South America (west of Colombia) simulations (for 12 GCMs), showing significant
393 increases in precipitation predominate, in the northernmost part reaching an 80% increase
394 (SD HadGEM2-ES, Fig. 12m).

395 To identify how robust the projected precipitation changes are, we have studied the
396 coherence between the results of the 20 SD GCMs by calculating the percentage of them
397 that agree in the sign of projected precipitation change at each grid point of the study area.
398 Only coherence values higher than 55% are shown. The Figure 13 depicts these results,
399 showing that the projected precipitation changes have great coherence between the 20 SD
400 models in most of the area, with positive or negative changes depending on the region
401 and the scenario considered. The areas that are consistently affected by increased or
402 decreased rainfall are spread as the radiative forcing increases, except for the region
403 between Venezuela and Guiana, where there is a light loss of coherence. In general, there
404 are wide spatial areas with coherence higher than 80%. Note for example the border region
405 between Colombia, Ecuador, and Peru, the border between Brazil and Paraguay and the
406 southern tip of Brazil, with coherent positive projected changes. Meanwhile, the diagonal
407 band between the northwestern Brazil to the east coast of Brazil located around 20°S-
408 40°W, the border between Bolivia, Chile, and Argentina, and an extended area covering
409 Mexico and Central America, present coherent negative projected changes. The high
410 coherence (higher than 90% in some grid points) is remarkable between the SD GCMs in
411 the narrow area of Central America, where almost all the models are able to discriminate
412 between positive changes in the Pacific coast and negative ones in the Atlantic coast.

413 The coherence found between the sign of the projected precipitation changes for 20 SD
414 GCMs provides the base to generate multimodel ensemble projections. The projected
415 precipitation changes under the three scenarios considered were calculated from the
416 arithmetic ensemble mean of the 20 SD GCM outputs. Figure 14 shows the percentage of
417 changes in projected (2071-2100) DJF rainfall compared to the present (1971-2000) SD
418 precipitation for the ensemble multi-model mean under the RCP2.6, RCP4.5 and RCP8.5
419 scenarios, respectively. The statistical significance of the projected precipitation changes,
420 as before, was estimated by the Wilcoxon-Mann-Whitney test. The results show that the
421 projected changes were significant in most of the study area, covering from 66.27% under
422 the RCP2.6 scenario, up to 83.95% under the RCP8.5. Projected changes are mostly
423 moderate, covering extended regions with coherent sign, even under the scenario of
424 highest radiative forcing. For all scenarios, areas with increased precipitation predominate
425 over those where a decline is projected, although the prevalence increases with the
426 radiative forcing considered, becoming 48.38% vs. 35.57% under the RCP8.5 scenario.
427 Note the sharp increase projected in some parts of the Pacific coast, especially in southern

428 Mexico, Peru, and Chile, as well as the sharp decline in parts of Colombia, Venezuela,
429 on the border between Brazil and Guiana, and areas of Chile.

430 **5. CONCLUDING REMARKS AND DISCUSSION**

431 The main goal of this work was to get climate change projections for boreal winter
432 precipitation in Tropical America. For this, we developed a precipitation SD model for
433 each grid point of the area by PCR technique using as predictors the SLP PCs series of
434 NCEP data, and the observed gridded DJF precipitation as predictands. These predictors
435 were rigorously selected according to the significance of their correlations with the
436 observed precipitation field. Climate variability modes related to ENSO phenomenon can
437 satisfactorily describe the precipitation in many areas of South America (Barros et al.
438 2000; Grimm et al. 2002; Tedeschi et al. 2013; Córdoba-Machado et al. 2015a, 2015b).
439 For example, for Colombia precipitation these latter authors showed that the variability
440 in the tropical Pacific SST, including El Niño and El Niño Modoki, is sufficient to
441 reproduce and predict seasonal rainfall. El Niño phenomenon leads the variability of
442 precipitation in much of the study region through its influence on the circulation of
443 Walker, whose variations are reflected in the SLP field, this mode being particularly
444 associated with the PC1 taken from the PCA applied to the tropical Pacific SLP. In
445 addition, other patterns associated with the variability of the SLP on the tropical American
446 continent and over the tropical Atlantic can also help in describing the behavior of
447 precipitation in various areas of the tropical America, such as the Panama High or the
448 northeastern Brazil Low pressure system. Moreover, some of the SLP PCs series analyzed
449 in this study reflect the influence of certain extra-tropical Atlantic patterns, such as the
450 Atlantic Meridional Mode, the Tripolar Atlantic SST or the Tropical Northern Atlantic
451 pattern, whose contribution to the SD model could also be significant. [So, in accordance
452 with our results, other papers have shown that during the boreal winter \(DJF\), most of the
453 moisture arriving to Central and South America comes from the Atlantic \(Hoyos et al,
454 2017\). In this sense, the ability of the SD model to predict the precipitation comes from
455 the inclusion of these climate variability modes through their corresponding PCs.](#)

456 In general, the SD model shows proper performance over large areas with small domains
457 with major bias, particularly for the validation period (1994-2010). This may be due to
458 the unreliable coverage of the GPCP data in certain areas (e.g. forest areas of the Amazon
459 and Orinoco and Andes) in recent years, or regions characterized by very dry climate
460 conditions (e.g. western edge of South America). These results are consistent with those
461 reported by Eden et al. (2012) and Eden and Widmann (2014), who found bias greater
462 than 10% in most of the tropics and in areas where the quality of the observation network
463 is poor. However, SD model can properly reproduce the maximum values of rainfall in
464 the region in western Colombia, southeastern Peru, or central Bolivia.

465 For present climate, while the simulations performed directly using GCM outputs are
466 unable to reproduce the distribution of the precipitation field, there are no statistically
467 significant differences between the observed DJF precipitation and the simulated one
468 using the SD model for many GCMs. We find that, on average, the areas with significant
469 differences represent only 16.79% of the complete region. Thus, the SD model applied to

470 the selected GCMs can accurately reproduce the DJF precipitation field throughout most
471 of the study area.

472 The high-resolution climate simulations projected for the end of this century have been
473 evaluated using the difference in percentage between the projected SD precipitation for
474 the period 2071-2100 and the simulated SD precipitation for the period 1971-2000.
475 Results show positive or negative differences depending on the region and the SD GCM
476 model considered. In general, these changes in rainfall range from very moderate to
477 intense as the radiative forcing increases from the RCP2.6 to RCP8.5. Major sources of
478 uncertainty in the projected precipitation changes for the end of the century seem to come
479 from the disparity in the GCMs outputs, being less sensitive to the scenario considered.
480 The results of the coherence between models shows that three northwest-to-southeast
481 bands can be differentiated throughout the region, alternating projected changes in
482 increased and decreased precipitation. [Central and southeastern Brazil, Mexico and](#)
483 [Guatemala](#) are the areas showing the most consistent decrease changes between SD
484 GCMs, while for the northwest and southeast of South America simulations showing
485 significant increases predominate.

486 The mean ensemble shows regions having projected significant increases and significant
487 decreases. While the percentage of area presenting negative significant changes is very
488 similar for the three RCPs (from 32.06% to 35.74%), the percentage relative to significant
489 positive changes is higher as the radiative forcing intensifies (ranging from 34.21% for
490 the RCP2.6 to 48.38% for the RCP8.5). Basically, positive projected changes are found
491 from 10°N latitude to the south, with exceptions such as eastern Brazil, northern Chile
492 and smaller areas such as the center of Colombia, while negative projected changes
493 appear mostly in the northernmost part. The coherence of our results essentially agrees
494 with the findings of Sánchez et al. (2015). Most of the simulations in this paper and in the
495 present work show a precipitation decrease in the east and some interior parts of Brazil,
496 as well as increases in the coast of Ecuador and Bolivia in addition to northern Argentina,
497 Paraguay and southern Brazil, although Sánchez et al. (2015) used different GCMs,
498 dynamical downscaling, and the A1B scenario. Chou et al. (2014), in their study of
499 assessing the climate change over South America using dynamical downscaling,
500 projected a reduction of DJF precipitation in a large area that extends from northwestern
501 to southeastern South America, also especially important towards the end of the century
502 and for the RCP8.5 in southeastern Brazil. However, comparing the results found in the
503 present work with those reported by other authors is problematic because of the
504 differences between regions, periods, seasons, GCMs, and scenarios analyzed.

505 Few studies have used the statistical downscaling over Tropical America, being more
506 focused on the climate of some regions of Brazil or in the southern part of South America
507 (Johnson et al. 2014; Valverde Ramírez et al. 2006; Solman and Nuñez 1999; Mendes
508 and Marengo 2010). Hence the present study is novel for being one of the few papers
509 devoted to obtain future rainfall projections at the regional scale for the Tropical America
510 using CMIP5 models. Additionally, the statistical downscaling method developed in this
511 work accurately reproduces the precipitation at the local scale for the study region, being,
512 therefore, a useful technique for climate change studies, with the advantage of minimal

513 computation requirement. Therefore the results of this work could be useful for the
514 climate change mitigation purposes in this area.

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738

739 **Figure captions**

740

741 Figure 1: a) Region used for the precipitation study. b) Topographical features of the
742 region of interest.

743

744 Figure 2. Loading factors for the 10 leading variability modes of the DJF SLP reanalysis
745 data for the period 1950–2010 and their corresponding PC series.

746

747 Figure 3. Spatial correlation patterns between gridded DJF precipitation and the 10
748 leading PCs from NCAR DJF SLP. Only statistically significant results at 95%
749 confidence are colored, and the percentage of area covered by these patterns is also
750 shown.

751

752 Figure 4. Spatial distribution of the correlation coefficients between observed DJF
753 precipitation values and simulated one by the SD model for each grid point during: a)
754 calibration (1950-1993), and b) validation (1994-2010) periods.

755

756 Figure 5. Spatial distribution of the percentage of RMSE between observed DJF
757 precipitation values and simulated one by the SD model for each grid point during: a)
758 calibration (1950-1993) and b) validation (1994-2010) periods.

759

760 Figure 6. Spatial distribution of: a) simulated, and b) observed DJF precipitation (mm)
761 during the validation period (1994-2010). c) Spatial distribution of the difference (%)
762 between these two fields.

763

764 Figure 7. Spatial distribution of the correlation coefficients between observed DJF
765 precipitation and predicted one by the SD model for each grid point during: a) 1950-2010
766 recalibration, and b) 1971-2000 periods. c) Difference in percentage the between the
767 observed DJF precipitation and the SD modeled one for the period 1971-2000.

768

769 Figure 8. Differences (%) between the SD precipitation from 20 GCMs and the observed
770 DJF precipitation for the 1971-2000 period. The areas where the differences are
771 significant at the 95% confidence level (according to the Wilcoxon-Mann-Whitney non-
772 parametric rank sum test) are marked by gray dots, and the numbers in brackets represent
773 the percentages of these areas.

774

775 Figure 9. As in Figure 8, but for direct precipitation outputs of the 20 GCMs.

776

777 Figure 10. Changes (%) in projected (2071-2100) DJF precipitation compared to the
778 present (1971-2000) SD precipitation for each GCM under the RCP2.6 scenario. The
779 areas where the differences are significant at the 95% confidence level (according to the
780 Wilcoxon-Mann-Whitney non-parametric rank sum test) are marked by gray dots, and
781 the numbers in brackets represent the percentages of these areas.

782

783 Figure 11. As in Figure 10, but for the RCP4.5 scenario.

784

785 Figure 12. As in Figure 10, but for the RCP8.5 scenario.

786

787 Figure 13. Percentage of 20 SD GCMs that predict a positive or negative change in
788 projected (2071-2100) DJF precipitation respect to the present (1971-2000) for each grid
789 point, under: a) RCP2.6, b) RCP4.5, and c) RCP8.5 scenarios. The positive or negative
790 sign of the percentage corresponds to an increase or decrease, respectively, in the
791 projected change, with a coherence value higher than 55%.

792

793 Figure 14. Changes (%) in projected (2071-2100) DJF precipitation compared to the
794 present (1971-2000) SD precipitation for the ensemble multi-model under the: a) RCP2.6,
795 b) RCP4.5, and c) RCP8.5 scenarios. The areas where the differences are significant at
796 the 95% confidence level (according to the Wilcoxon-Mann-Whitney non-parametric
797 rank sum test) are marked by gray dots, and the numbers in brackets represent the
798 percentages of these areas with positive (P), negative (N) and total (A) change.

799

800 **Table caption**

801

802 Table 1. CMIP5 models used for the analysis of SD at both present climate (1971-2000),
803 and future climate (2071-2100) under the RCP2.6, RCP4.5 and RCP8.5 scenarios.

804

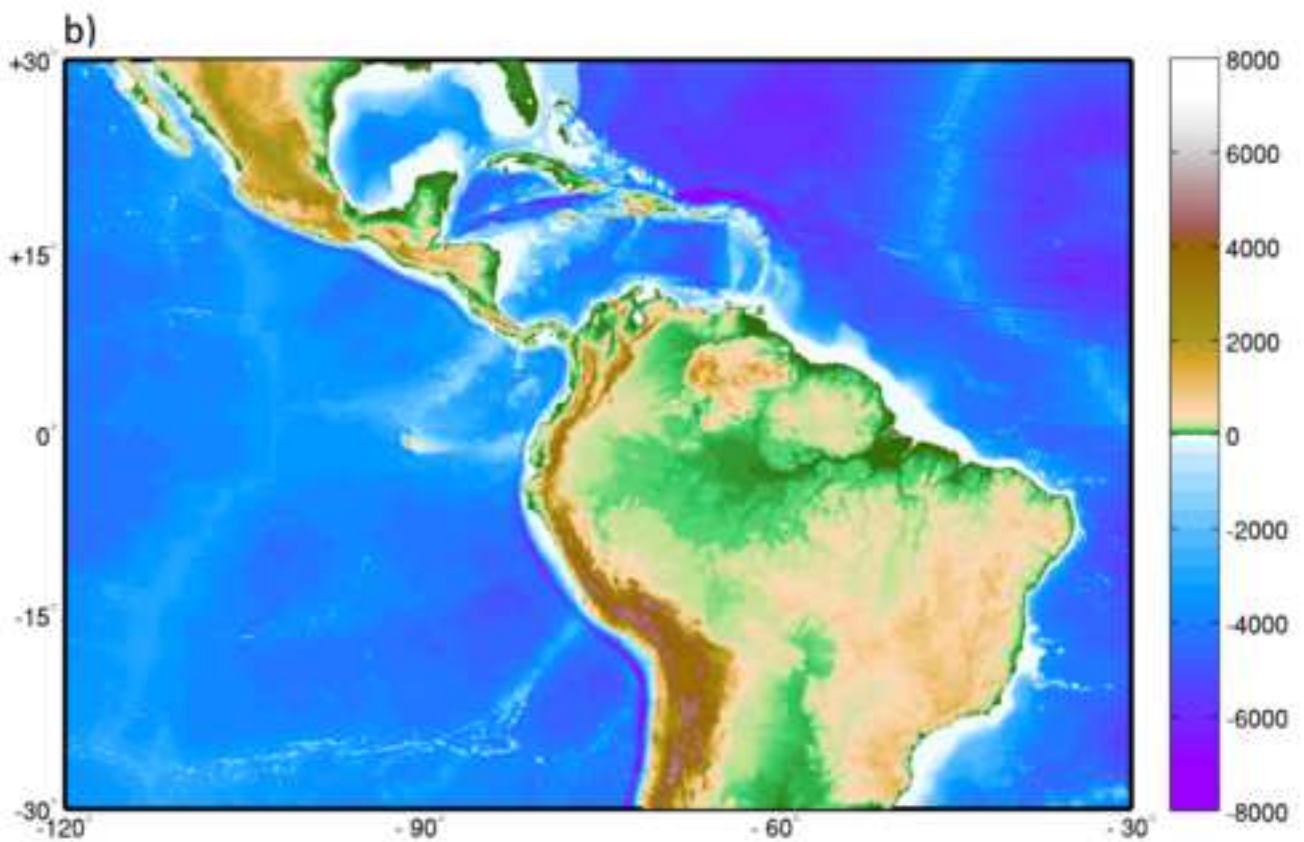
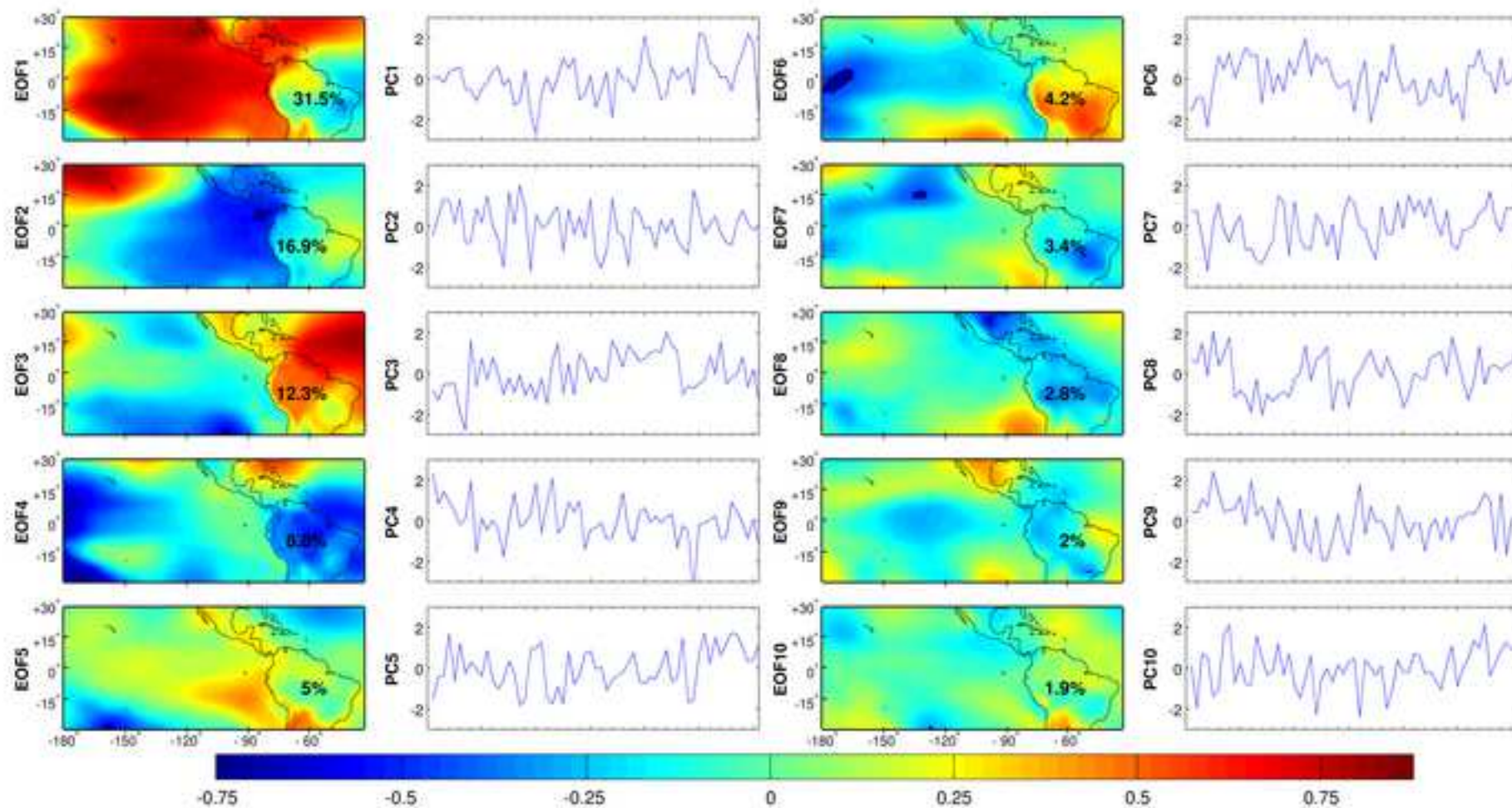
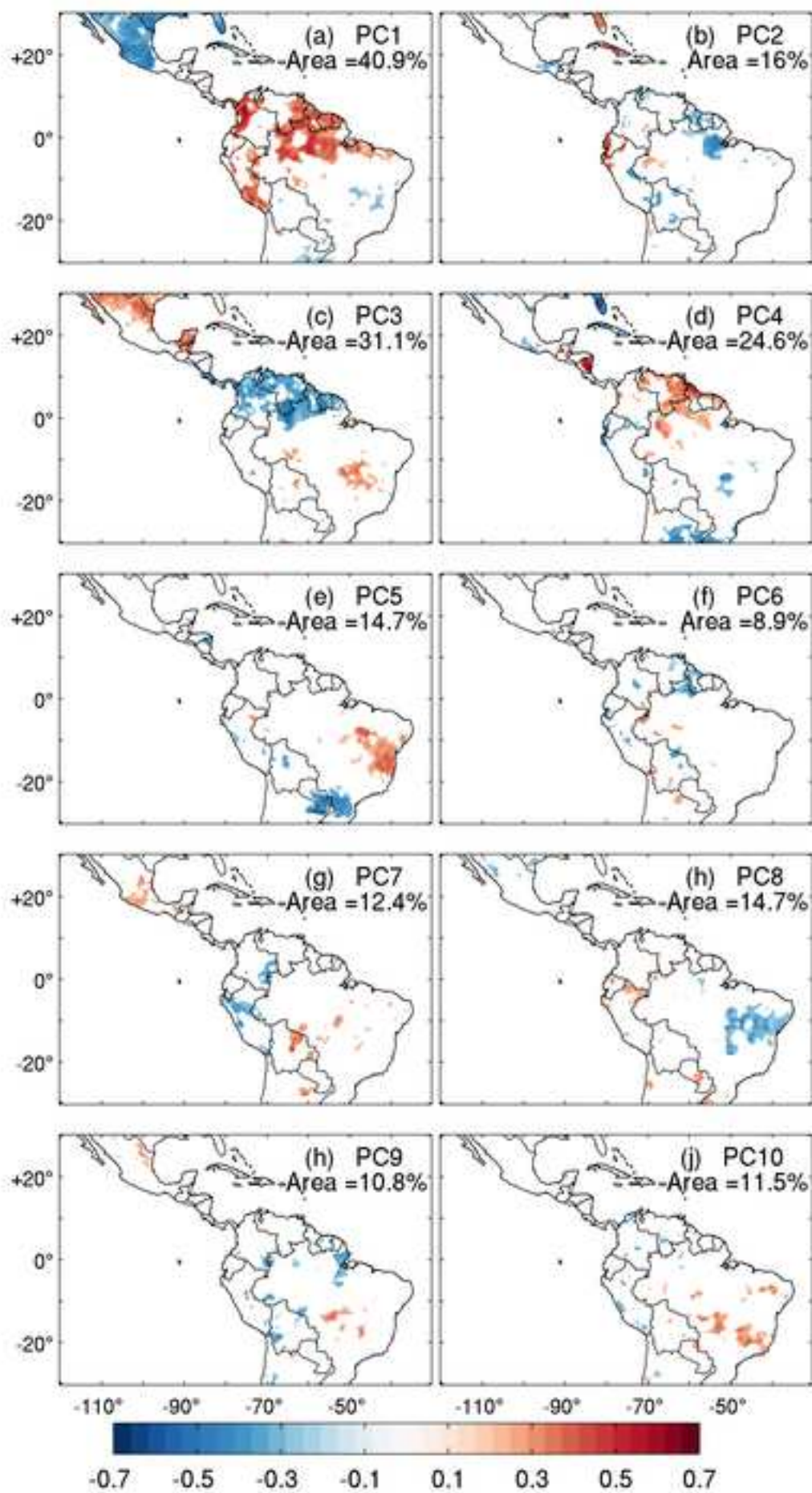
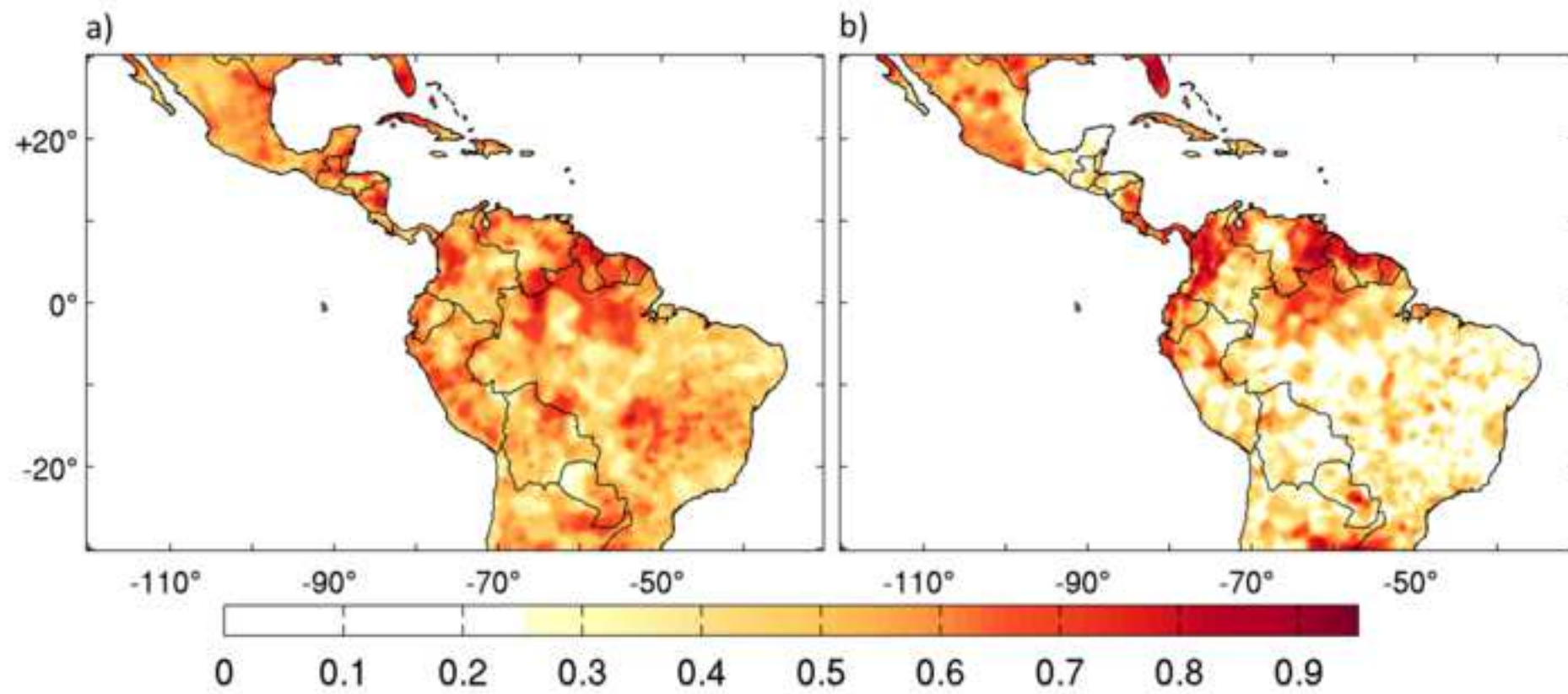
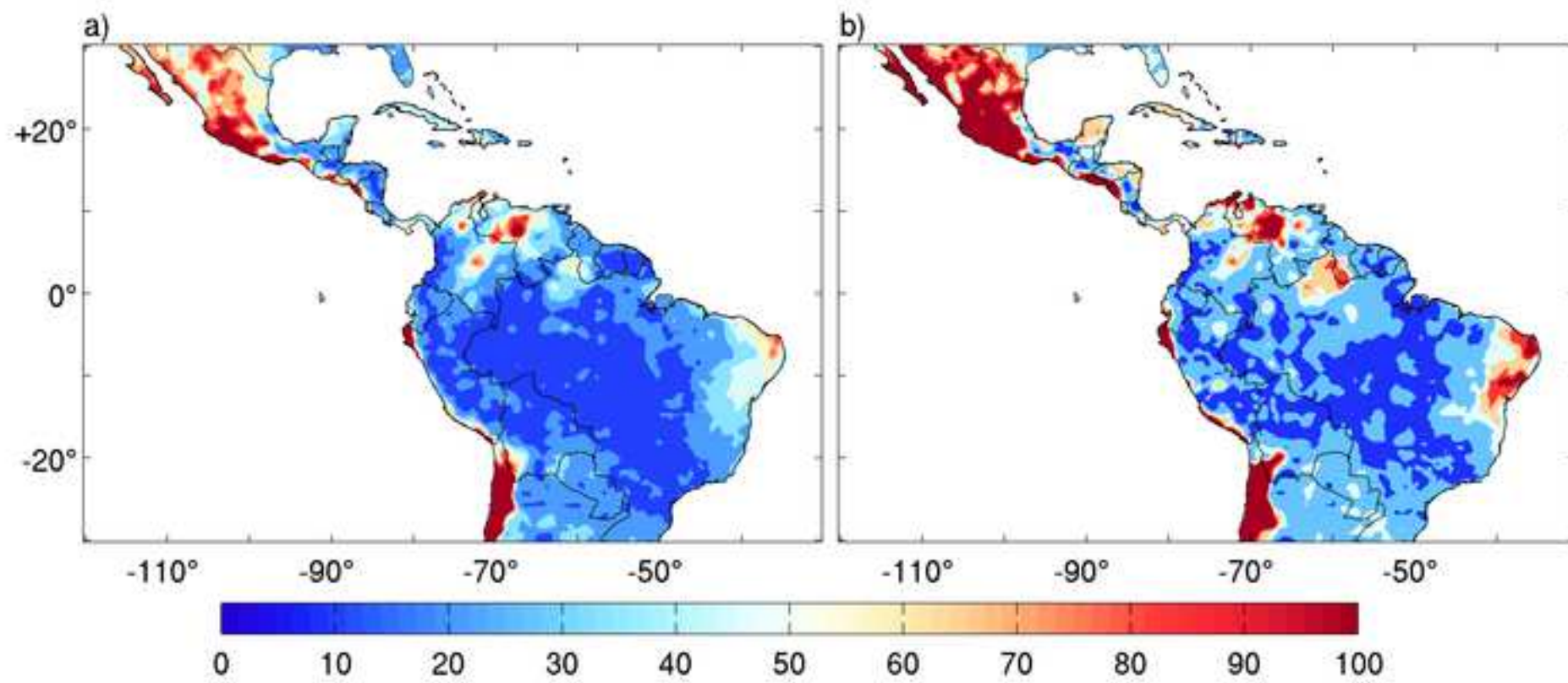


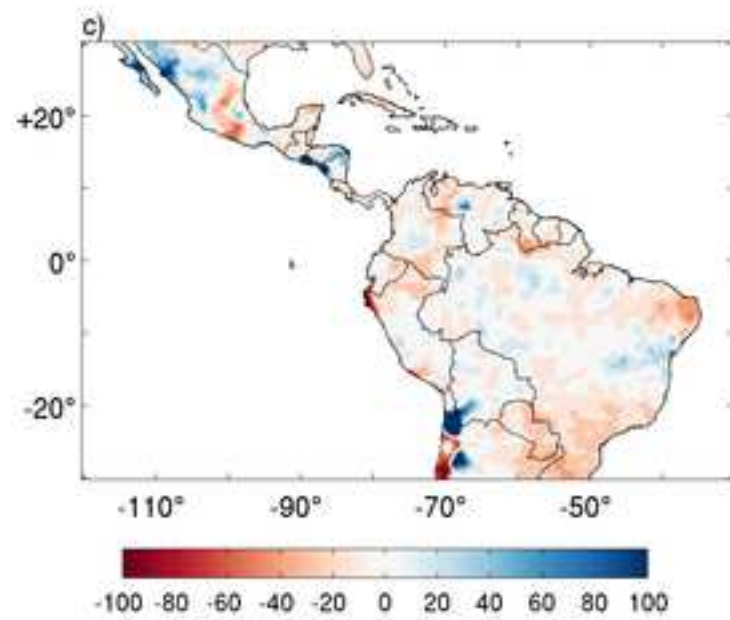
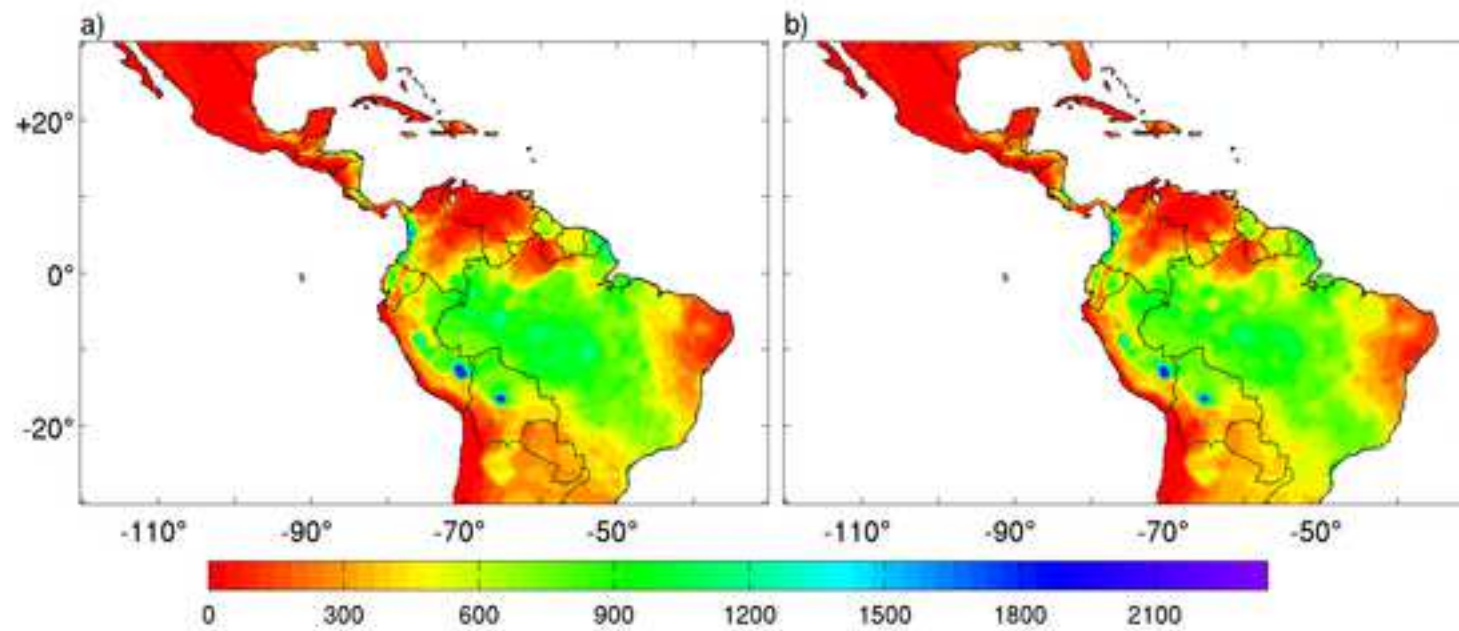
Figure 2











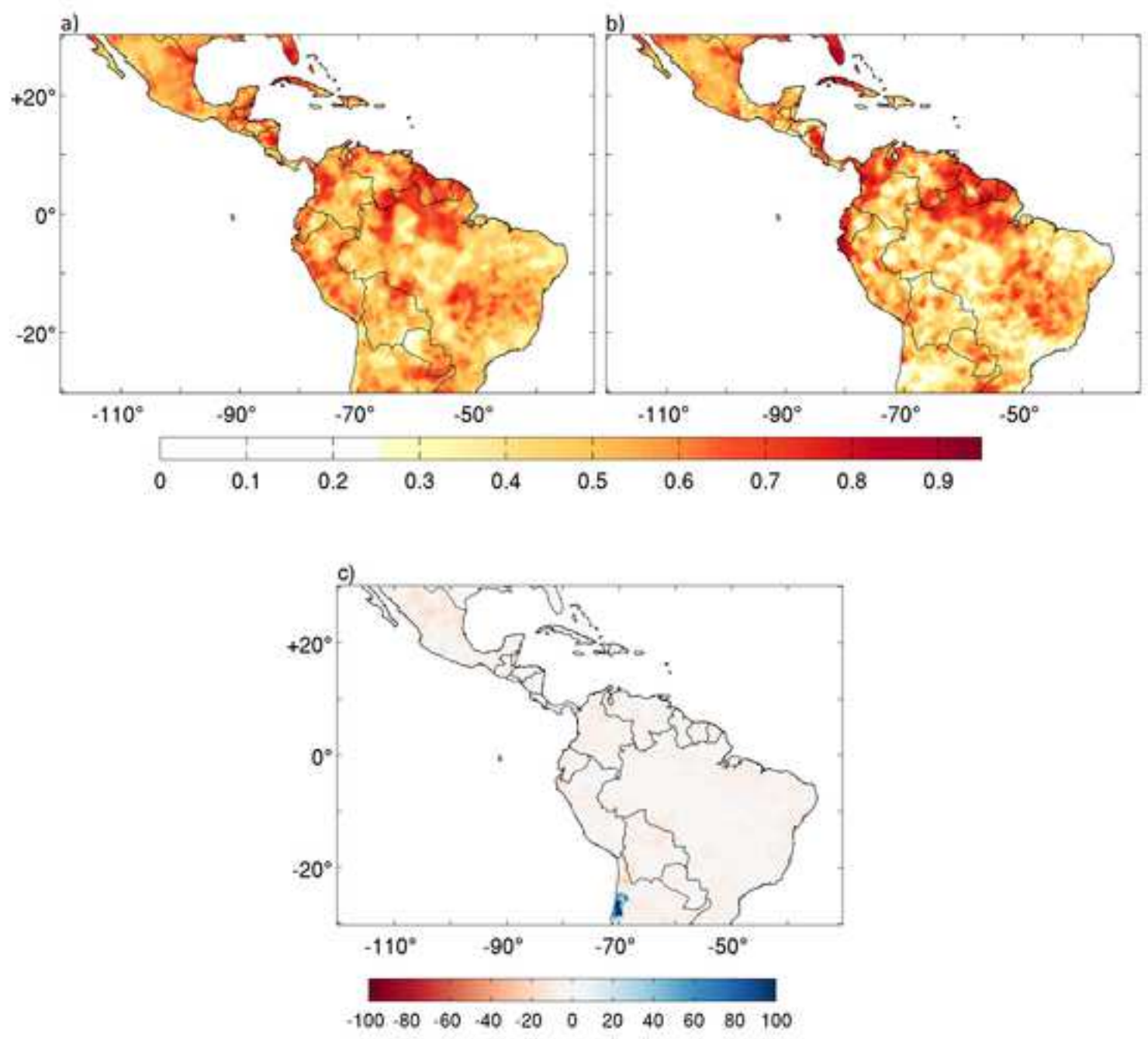
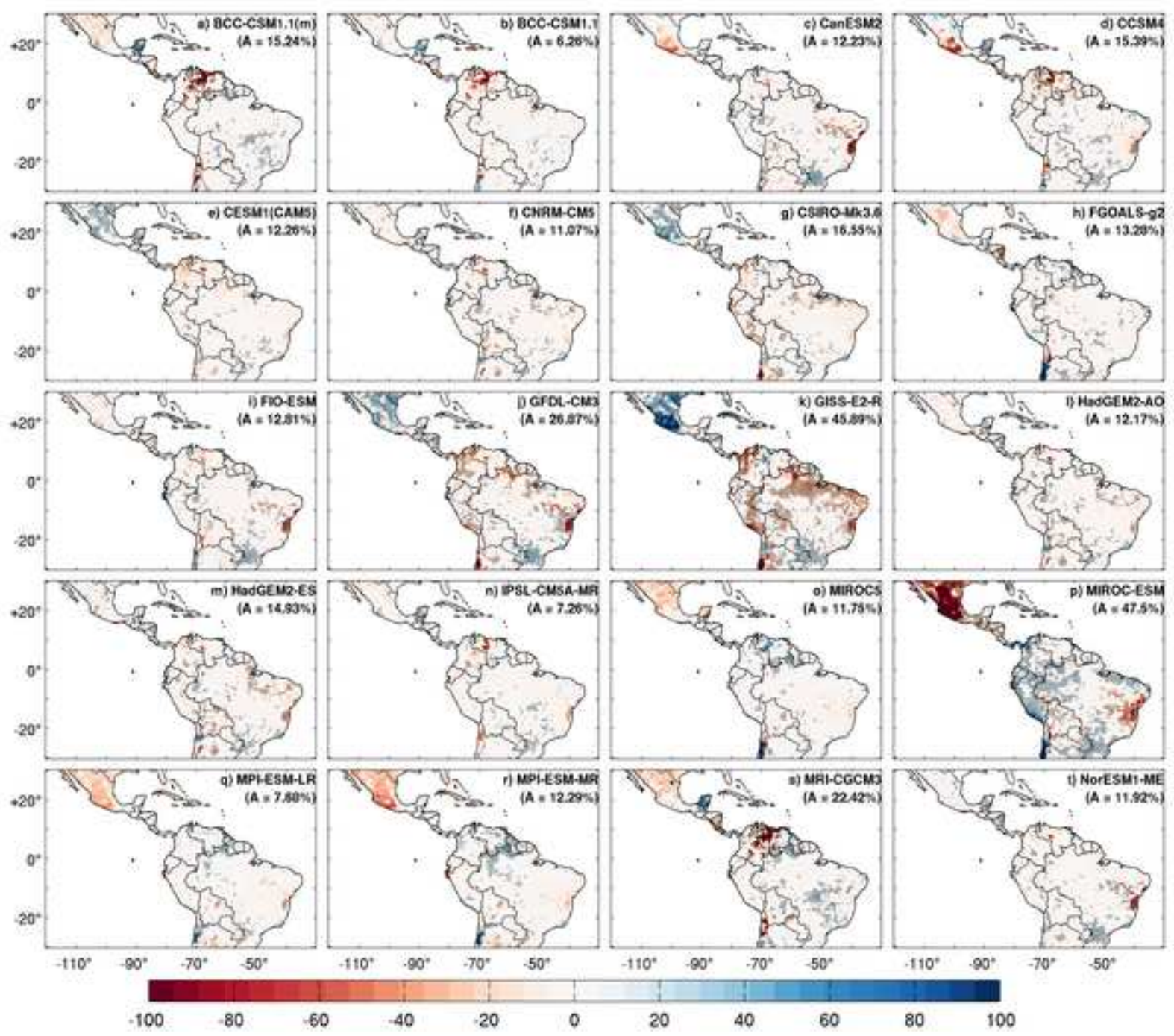


Figure 8



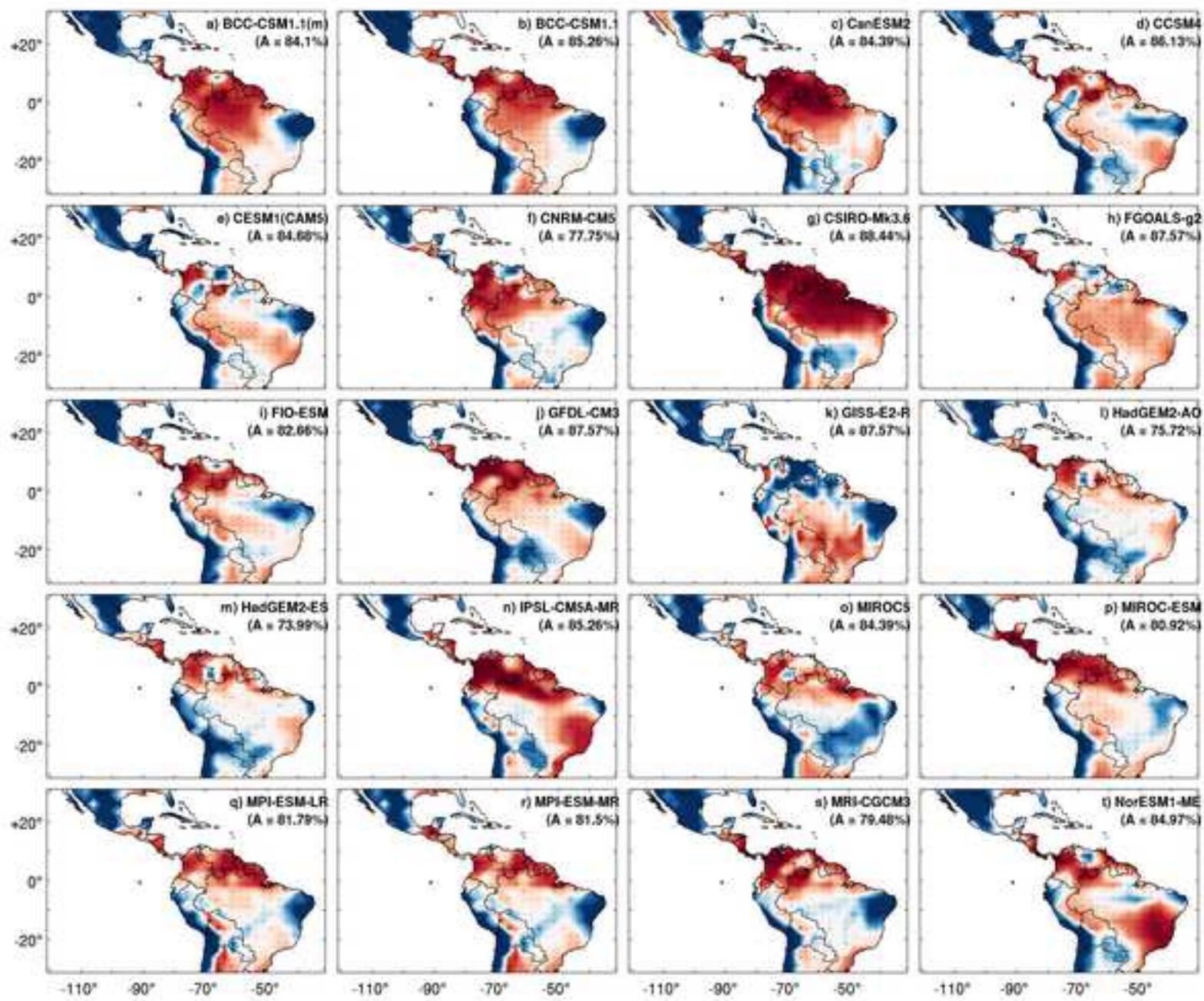
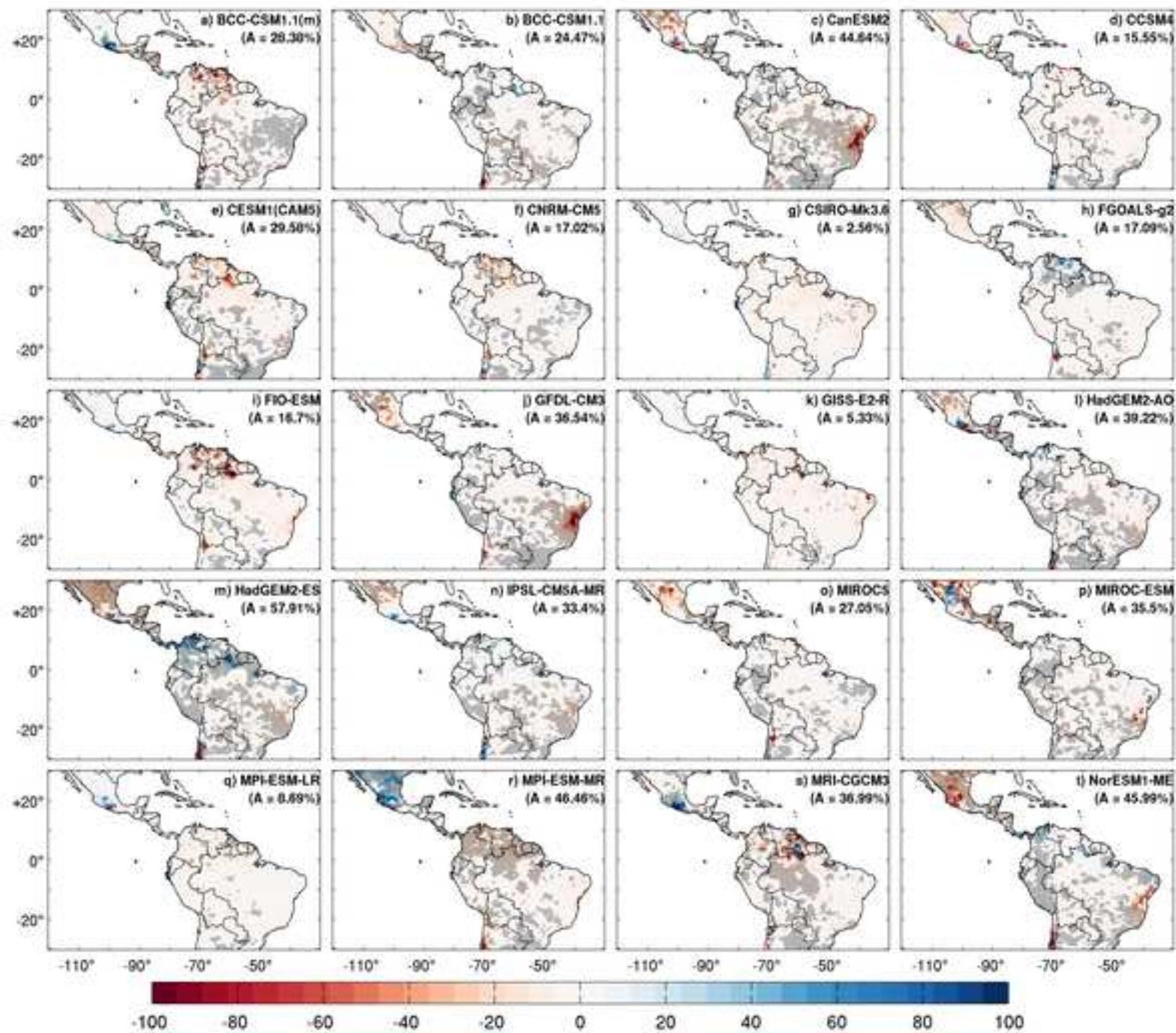
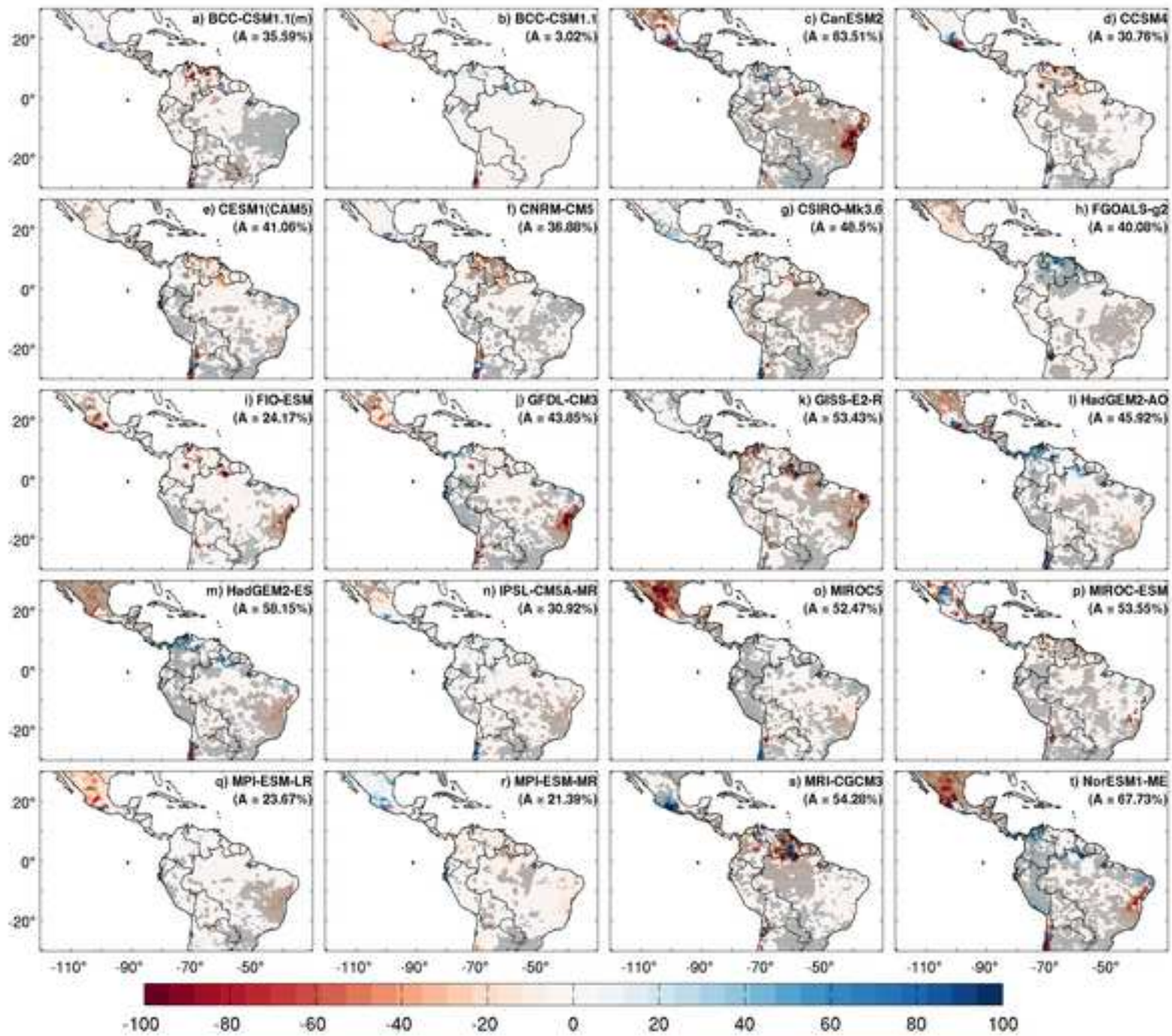
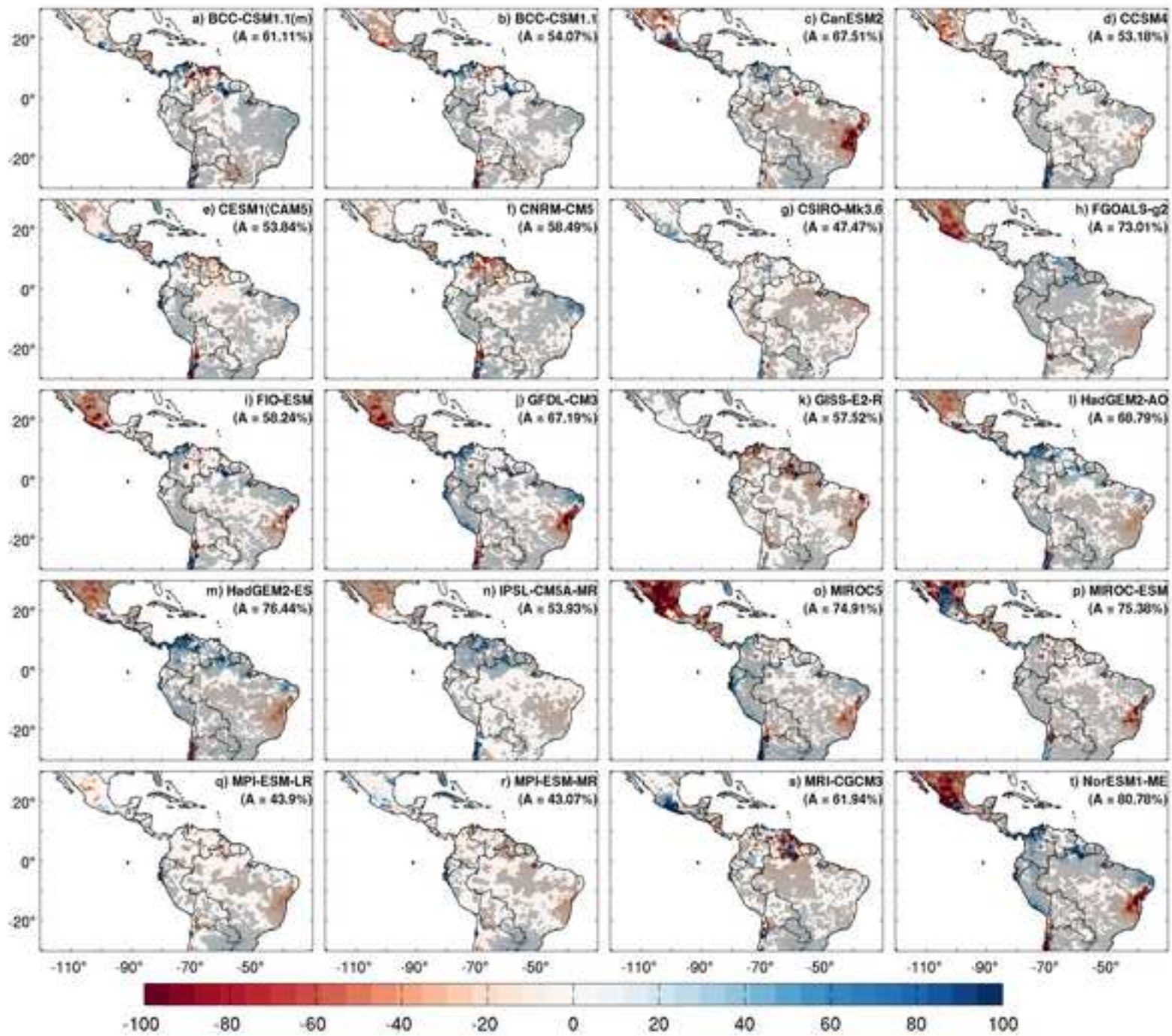
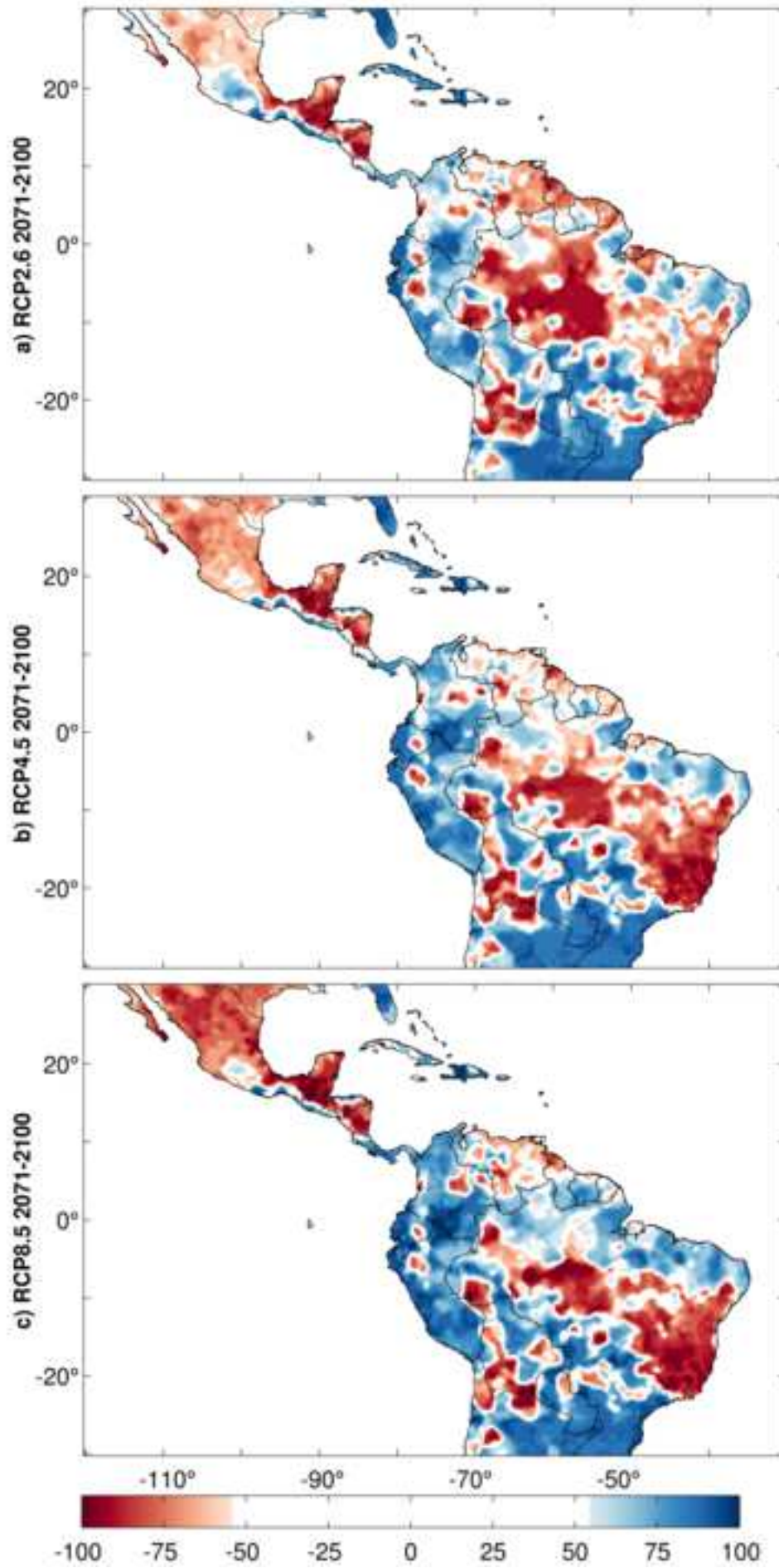


Figure 10









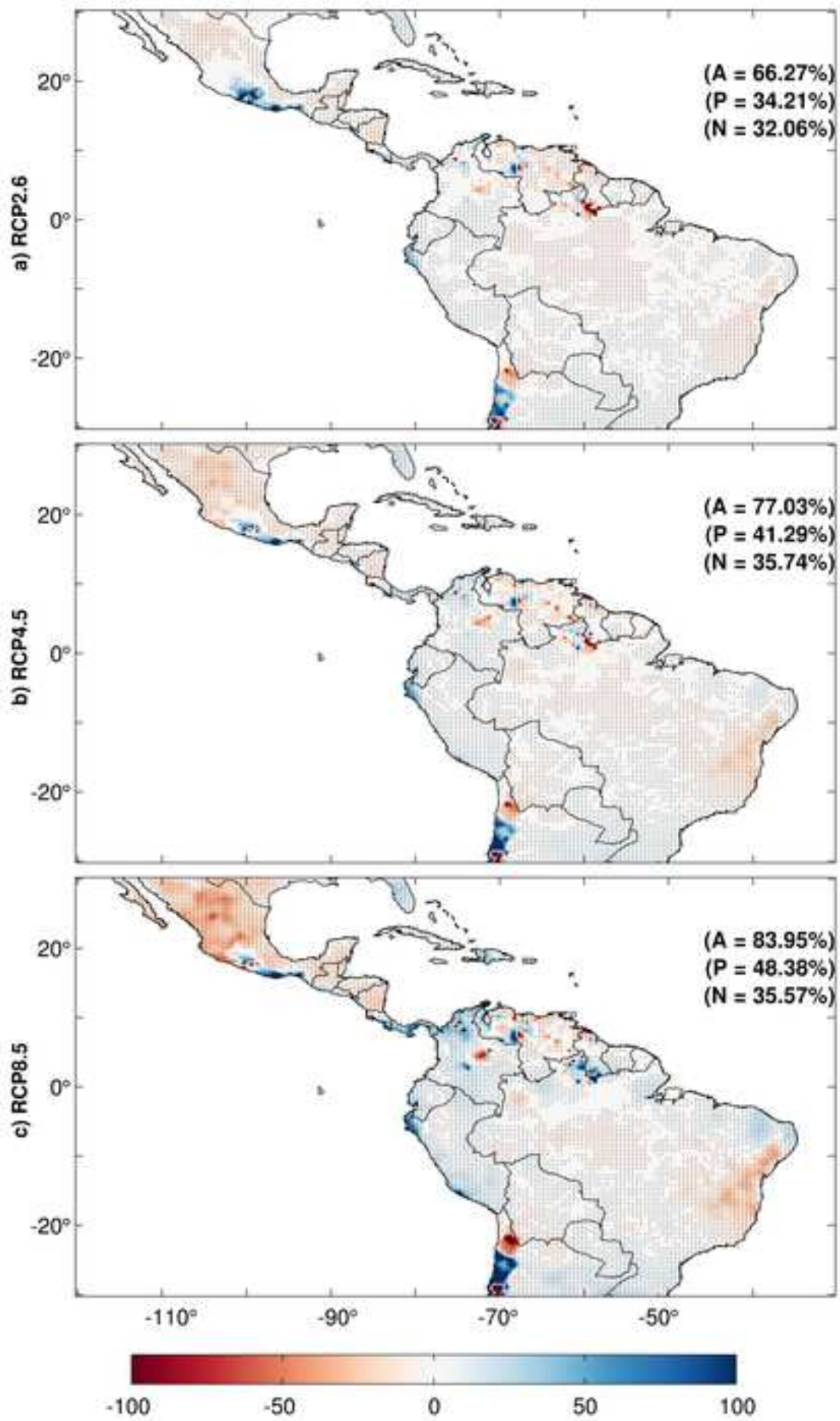


Table 1. CMIP5 models used for the analysis of SD at both present climate (1971-2000), and future climate (2071-2100) under the RCP2.6, RCP4.5 and RCP8.5 scenarios.

| Label | GCM | Centre | Label | GCM | Centre |
|-------|---------------|---|-------|--------------|--|
| a | BCC-CSM1.1(m) | Beijing Climate Center, China Meteorological Administration (BCC/China) | k | GISS-E2-R | NASA Goddard Institute for Space Studies (NASA GISS/USA) |
| b | BCC-CSM1.1 | | l | HadGEM2-AO | National Institute of Meteorological Research (NIMR/South Korea) |
| c | CanESM2 | Canadian Centre for Climate Modeling and Analysis (CCCma/Canada) | m | HadGEM2-ES | Met Office Hadley Centre(MOHC/UK) |
| d | CCSM4 | National Center for Atmospheric Research (NCAR/USA) | n | IPSL-CM5A-MR | Institute Pierre-Simon Laplace (IPSL/France) |
| e | CESM1(CAM5) | National Center for Atmospheric Research (NSF-DOE NCAR/USA) | o | MIROC5 | National Institute for Environmental Studies, The university of Tokyo (MIROC/Japan) |
| f | CNRM-CM5 | Centre National de Recherches Meteorologiques / Centre Europeen de Recherche et Formation Avancees en Calcul Scientifique (CNRM/France) | p | MIROC-ESM | Japan Agency for Marine-Earth Science and Technology (JAMSTEC), The University of Tokyo Atmosphere Ocean Research Institute (AORI) and National Institute for Environmental Studies (NIES) |
| g | CSIRO-Mk3.6 | Communication Scientific and Industrial Research Organization (CSIRO/Australia) | q | MPI-ESM-LR | Max Planck Institute for Meteorology (MPI-M/Germany) |
| h | FGOALS-g2 | LASG, Institute of Atmospheric Physics, Chinese Academy of Sciences; and CESS, Tsinghua University | r | MPI-ESM-MR | |
| i | FIO-ESM | The First Institute of Oceanography, SOA, China | s | MRI-CGCM3 | Meteorological Research Institute (MRI/Japan) |
| j | GFDL-CM3 | NOAA Geophysical Fluid Dynamics Laboratory (GFDL/USA) | t | NorESM1-ME | Norwegian Climate Centre (NCC/Norway) |