

**CELL-FREE DNA AND TUMOR EXOSOME CARGO AS DIAGNOSTIC  
AND PROGNOSTIC MARKER FOR PROSTATE CANCER**

**BY**

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**TMLDAD001**

**IN FULFILMENT OF THE REQUIREMENTS FOR THE DEGREE**

**DOCTOR OF PHILOSOPHY**

**IN THE DIVISION OF CHEMICAL AND SYSTEMS BIOLOGY,  
DEPARTMENT OF INTEGRATIVE BIOMEDICAL SCIENCES**

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**DECEMBER 2022**

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## **DEDICATION**

I dedicate this thesis to God, the giver of Life, the Author and Finisher of my Faith. Thank you, Lord for the courage and ~~strength~~strength.

To my wife, Kelechi Temilola and my family thank you for the support. God bless you.

To Dr Luiz Zerbini, and all who guided me through this PhD journey.

## **ACKNOWLEDGEMENTS**

I want to sincerely appreciate Dr Luiz Zerbini for his supervision towards the completion of this work. I also like to appreciate Dr Mariet Wium, Dr Stefano Cacciatore, and all members ICGEB cancer genomics group for their assistance. I would like to appreciate ICGEB for the Author falaschi PhD fellowship given to me, your financial support is highly appreciated. I would like to appreciate all members of staff of ICGEB Cape Town component, thank you for the support.

I also want to thank my wife, Kelechi Temilola and all my family for their encourage. Finally, I want to thank for making this work possible. To Him belong the glory, honor and praise.

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## **List of Abbreviations**

ADT: Androgen deprivation therapy

AJCC: American Joint Committee on Cancer

AR: Androgen receptor

BPH: Benign prostatic hyperplasia

cfDNA: Cell free DNA

CSC: Cancer-stem-cell

CTCs: Circulating tumor cells.

ctDNA: Circulating tumor DNA.

DHT: Dihydrotestosterone

DNA: Deoxyribonucleic acid

DRE: Digital rectal examination

ESCRTs: Endosomal sorting complex required for transports.

FDA: Food and Drug Administration

GSH: Groote Schuur Hospital

GS: Gleason score

HDRB: High-dose rate brachytherapy

ICGEB: International Centre for Genetic Engineering and Biotechnology

ILVs: Intraluminal vesicles

KEGG: Kyoto encyclopedia of genes and genomes

mCSPC: Metastatic castration-sensitive prostate cancer

mCRPC: Metastatic castration-resistant prostate cancer

MIENTURNET: MicroRNA enrichment turned network.

miRNA: Micro-ribonucleic acid

mRNA: Messenger ribonucleic acid

MVB: Multivesicular body

NCCN: National Comprehensive Cancer Network

NGS: Next-generation sequencing

nsSNV: nonsynonymous single-nucleotide variant

PBS: Phosphate buffer saline

PCa: Prostate cancer

PSA: Prostate specific antigen

PSMA: Prostate specific membrane antigen

RIN: RNA Integrity Number

RNA: Ribonucleic acid

TCGA: The Cancer Genome Atlas

TEM: Transmission electron microscopy

TRUS: Transrectal ultrasound

WHO: World Health Organization

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# **Chapter 1 Human Prostate Gland: Health and Disease**

## **1.1 Human Cancer**

Cancer is a major burden globally, with millions of cancer cases and deaths recorded annually. Prostate cancer (PCa) is the leading cause of male cancer death in Africa (1). Factors such as the aggressive nature of the disease and late diagnosis are responsible for the high PCa mortality among African men. (2). This thesis investigates the potential use of cell free DNA and tumor exosome cargo in diagnosing PCa in South African populations. This may contribute to developing new, less invasive biomarkers for PCa diagnosis in South Africa and Africa at large.

Worldwide, cancer is the second most non-communicable cause of death following cardiovascular diseases. Breast, prostate, lungs, colorectum, stomach, liver, oesophagus, and pancreas cancers are accountable for approximately 60% of all cancer deaths (3). In Africa, cancer is the fifth leading cause of all mortalities (4). Although cancer is a global disease, the incidence and death rates differ along geographical regions. For example, North and South America account for 20.9% of the global cancer cases with 14.2% of the mortalities, while Africa reports 5.7% of the global cancer cases but 7.2% of the mortalities (1). The regional variation in cancer incidence and death has been attributed to the unequal spread of cancer types and elevated case fatality rates in some regions such as Africa and Asia (1).

The population growth, in combination with an increase in risk factors related with economic change, such as obesity, smoking, and physical inactivity, is driving an increased cancer burden in Africa (5). Due to the increasing population growth and aging, it was projected that there will be a 70% increase in cancer incidence in Africa by 2030 (6).

Cancer mortality rates continue to increase in Africa due to absence of appropriate healthcare facilities (7). Despite the burden posed by cancer, the commitment towards fighting cancer in Africa remains largely insufficient. The majority of healthcare efforts in Africa are focused on investigating and management of communicable diseases, such as Malaria and AIDS, while little consideration is given to the burden posed by cancer and other non-communicable diseases (8).

There is also an increase in the financial burden of cancer. The increasing direct cost of cancer management is not only responsible for the economic impact of cancer, but other indirect cost such as impaired quality of life and productivity loss, contribute largely to economic impact of cancer (9). In United States, the estimated cost of cancer management in 2010 was \$124 billion and increased to \$157 billion in 2020 (10). The growing cost of cancer management has also been shown in African nations (11). Additionally, limited access to recent effective PCa therapies due to cost, low availability, and scarcity of well-trained specialists contribute to high morbidity and mortality PCa rate in Africa (12,13).

### **1.1.1 An overview of carcinogenesis.**

The malignant transformation of normal cells to cancer cells is not fully understood. Several theories have been proposed to explain carcinogenesis, however, none of these theories can fully explain the development of all cancers. Some of the most acknowledged theories include somatic mutation theory, viral/microbial theory of cancer, cancer-stem-cell (CSC) concept, and tissue organization field theory (14,15).

Several regulatory genes usually control cell replication, keeping cell replication in functional homeostasis. These regulatory genes are referred to as tumor suppressor genes such as Deoxyribonucleic acid (DNA) repair genes, checkpoint genes, and apoptotic genes (16). Cancer develops when one or more regulatory genes are compromised or bypassed. Different explanations have been given to describe the multistep process of cancer development. Hanahan and Weinberg described six hallmarks: tissue invasion and metastasis, sustained angiogenesis, self-sufficiency in growth signals, evasion of programmed cell death (apoptosis), limitless replicative potential, and insensitivity to growth-inhibitory signals (17). In 2011, two provisional emerging hallmarks, avoiding immune destruction and deregulating cellular energetics, were added. Also added were two enabling characteristics, which are genome instability/mutation, and tumor-promoting inflammation (18). The cancer hallmarks were updated in 2022, and the two emerging hallmarks were validated as part of the core hallmarks (19). Additionally, the updated hallmark introduced two additional proposed emerging hallmarks and two enabling characteristics, which includes unlocking phenotypic plasticity, non-mutational epigenetic reprogramming, polymorphic microbiomes, and senescent cells (19).

Carcinogens are substances that cause cancer and can either be agents that initiate carcinogenesis or promote cell proliferation, also known as tumor promoters. Some examples of tumor initiating agents include radiation and chemical carcinogens such as arsenic, asbestos, beryllium, and benzene. These agents lead to DNA damage and thereby causing cancer development (20,21). Carcinogens such as oestrogen hormones and phorbol esters, known as tumor promoters, stimulate cell proliferation. Phorbol esters is known to activate protein kinase C, which eventually increases cell proliferation and promotes tumor development (22).

Viruses can also induce cancer development both in humans and animals. Cervical and liver cancer are typical examples of cancer caused by viruses.

Some types of cancers are known to have an autosomal dominant inheritance pattern, such as prostate cancer (PCa) (BRCA1 mutation), hereditary non-polyposis colon cancer (MSH2, MSH6, MLH1 mutations) with some of them occurring in syndromic form, for example, Li Fraumeni Syndrome (p53 mutation) (16). Cancer can be inherited in autosomal recessive patterns, for example, non-Hodgkin lymphoma (ATM mutation), and colon cancer (MUTYH mutation). Some of them also occur in syndromic form for instance, Fanconi anaemia (FANC mutation), MUTYH-associated polyposis (MUTYH mutation) (16).

## **1.2 Prostate cancer**

### **1.2.1 The Prostate Gland**

The human prostate gland develops from epithelial invaginations that arise from the posterior urogenital sinus during the first trimester (23). Prostate gland development occurs in the presence of 5 $\alpha$ -dihydrotestosterone, a fetal testosterone enzyme (24). The enzyme 5 $\alpha$ -dihydrotestosterone is usually localized in the external genitalia and urogenital sinus (24). The structural constitution of the prostate gland appears to remain the same from birth to the beginning of puberty. During puberty, the prostate gland undergoes morphological changes and grows up to about 20g (adult size) (25).

Anatomically, the prostate gland is cone-shaped with the apex towards the urogenital diaphragm and the base at the neck of bladder (26). Anteriorly, the prostate gland receives muscular fibres from the urogenital diaphragm and is separated posteriorly from the seminal vesicles by Denonvilliers' fascia (26). The prostate gland has long been classified into lobes.

However, in the adult prostate, the lobes are not well defined. Therefore, the McNeal zonal anatomy classification has become popular and is now widely accepted (27,28). The peripheral zone, representing 70% of the prostate gland, includes the tissues in close proximity to the capsule posteriorly and prostatic glandular tissue at the apex (Figure 1.1). The peripheral zone is most involved with prostatic diseases such as prostatitis, post-inflammatory atrophy, and PCa (27–29). Some studies have attributed the high predisposition of the peripheral zone to developing PCa to gene expression differences between the peripheral and other prostate zones (30,31). The central zone represents about 25% of the prostate gland (27–29). This zone is cone-shaped, with the apex directed towards the juncture between the prostatic urethra at the verumontanum and the ejaculatory ducts.

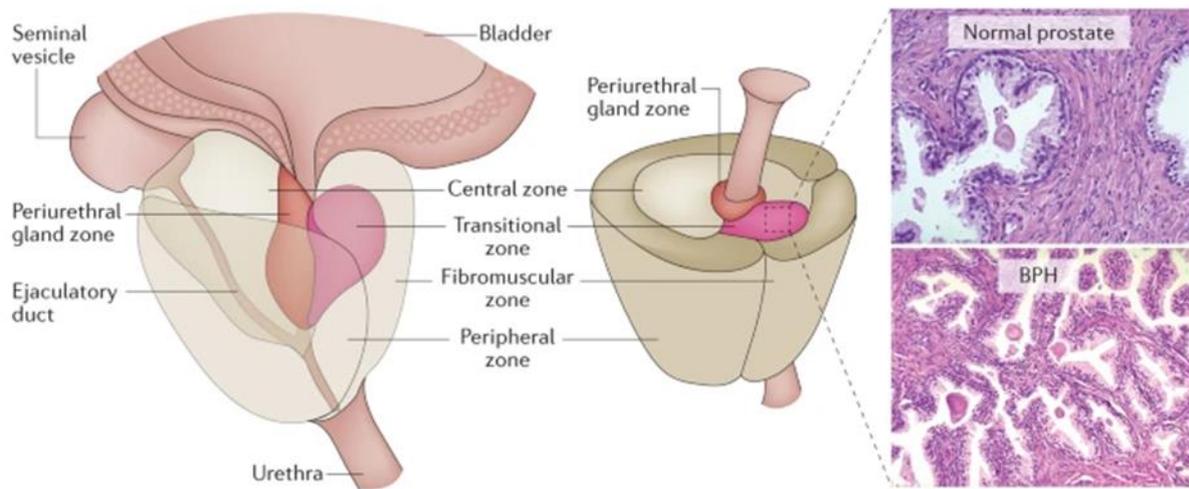


Figure 1.1: McNeal zonal anatomy of the prostate with hematoxylin & eosin stained specimen of normal prostate gland and benign prostatic hyperplasia (32)

The transition zone is the smallest zone representing about 5% of the prostate gland (27,29). This zone comprises of two equal parts of glandular tissue that surrounds the urethra (Figure 1.1). The transition zone harbours most of the age-related benign prostatic hyperplasia (BPH) (27,29).

Functionally, the prostate gland is a tubule-alveolar male accessory reproductive organ that produces and stores an alkaline fluid, which makes up approximately 70% of human semen

volume (~3mL) (33,34). This fluid helps in preserving the spermatozoa lifespan and semen liquefaction (33,34).

The most common prostatic diseases are prostatitis (chronic inflammation), BPH, and PCa. Usually, the size of prostate gland tends to increase with age, making the prostate tissue more susceptible to infection or injury (35–37).

Prostatitis refers to a group of inflammatory diseases such as chronic pelvic pain syndrome, and bacterial prostatitis (acute and chronic). Prostatitis is the third most common urinary tract disease among men of all ages. The 1998 National Institutes of Health classification of prostatitis is the most commonly used classification by the (38) (Table 1.1).

Table 1.1: Prostatitis syndromes classification (38).

Category	Name	Characteristics
I	Acute bacterial prostatitis	Acute bacterial infection Acute urinary tract infection
II	Chronic bacterial prostatitis	Persistent bacterial infection Recurrent urinary tract infections
III	Chronic prostatitis/chronic pelvic pain syndrome	Characteristic pelvic pains, urinary complaints, and sexual dysfunction Absence of other urological disorders
Subtype a	Inflammatory subtype	Leukocytes in the expressed prostatic fluid, post-prostate massage fluid or seminal fluid
Subtype b	Non-inflammatory subtype	No inflammation in the expressed prostatic fluid, post-prostate massage fluid or seminal fluid
IV	Asymptomatic inflammatory	Asymptomatic patients with inflammatory infiltrate in prostate tissue or seminal fluid specimens evaluated for other indications

BPH describes the non-malignant growth of the prostate gland usually caused by a proliferation of prostatic cells, thereby increasing the prostate size, thereby causing obstruction of urethral and other urinary tract symptoms (39,40). BPH is common among men of increasing age, with

approximately 50% of men diagnosed with BPH at age 50 years. BPH mostly affects the prostatic cells in the transitional zone. The hyperplastic growth of these prostatic cells is largely dependent on sex hormones and cytokine responses (39). The sex hormone, testosterone is transformed to dihydrotestosterone (DHT) by the 5 $\alpha$ -reductase 2 enzyme. DHT, the major androgen in the prostate, is considered the mediator of prostatic hyperplasia. The role of DHT was shown when men with normal prostate size were shown to have significant lower DHT level compared with men having BPH (41). The risk factors of BPH include increase in age, functioning testicles, black race, family history of BPH, and obesity (39).

PCa is an abnormal, uncontrolled malignant growth of prostate gland tissue (42). PCa often develops as a small, slowly progressive growth limited to the prostate gland, referred to as localized. PCa could sometimes spread rapidly to invade the prostate gland and spread to distant sites, referred to as advanced PCa (43). It is generally accepted that PCa consists of indolent and aggressive varieties. Indolent PCa may be present in the patient for a long period without causing morbidity or mortality, while aggressive PCa often causes symptoms and may lead to cancer-specific mortality. Factors responsible for indolent PCa or aggressive phenotypes are not fully known.

### **1.2.2 Epidemiology of Prostate Cancer**

Globally, PCa is the second most frequent cancer among men, according to the GLOBOCAN/IARC 2020 databases. In 2020, there were 1 414 259 new cases of PCa (7.3% of all cancer incidence) and 375 304 deaths (3.8% of all cancer deaths) (3). The incidence and deaths from PCa globally correlate with increasing age. The mean age at the point of diagnosis PCa is 66 years. The database showed that PCa has the highest rate of new cases (93 173), death (47 249), and 5-year prevalence rate (17 8197) of all cancers among men in Africa (Figure 1.2) (3,44). Western Africa had the highest rate of new PCa cases (26 392) and the highest mortality rate (14 903), while Middle Africa had the lowest rate of new PCa cases (13 386) and Northern Africa had the lowest mortality rate (7 177) (3).

Estimated age-standardized incidence and mortality rates (World) in 2020, prostate, males, all ages

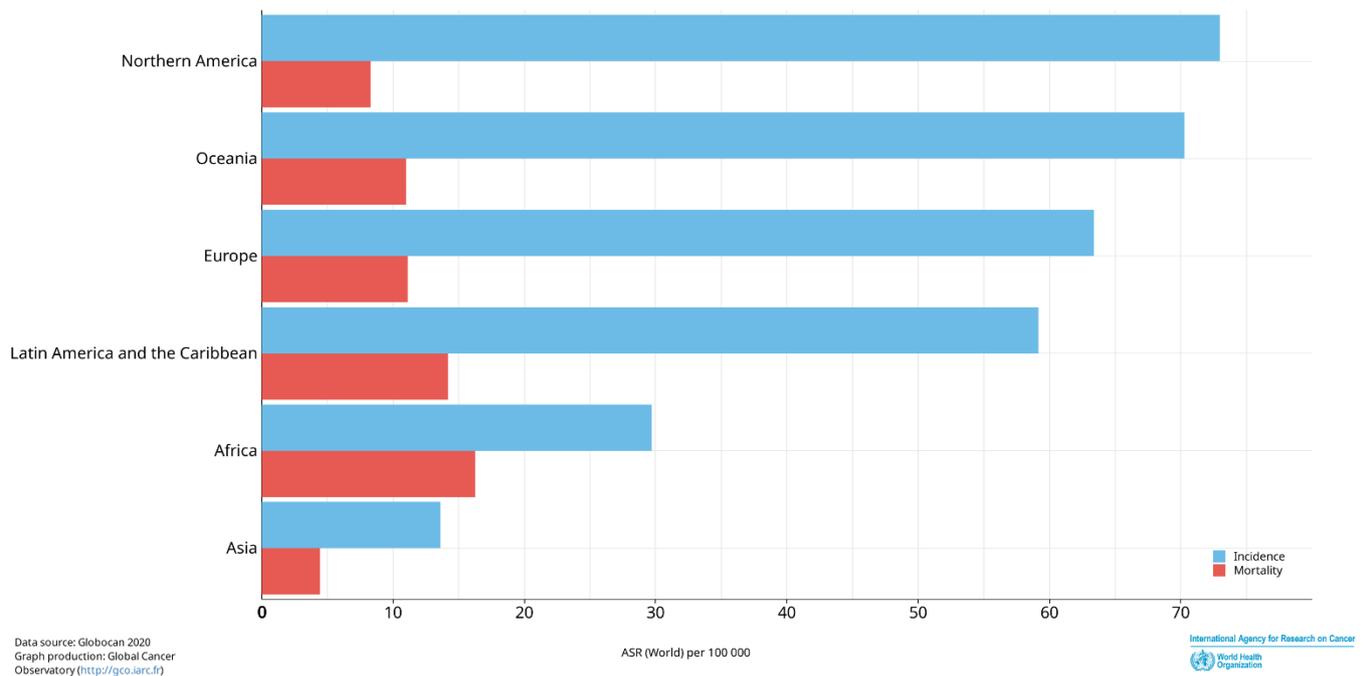


Figure 1.2: Global estimated age-standardized incidence & mortality rates (2020) of PCa. (GLOBOCAN 2020) (44)

Generally, Africa has high mortality and 5 years prevalence rate of PCa irrespective of the region in Africa (3). In the developed world, there is relatively lower mortality compared to the incidence rate of PCa when compared with the ratio of incidence to mortality rate in Africa (Figure 1.3 and 1.4) (44). This is partly due to the available health infrastructure and well-established health system that allow effective early diagnosis of patients compared to Africa, where there is a lack of proper health infrastructures (45).

Estimated age-standardized incidence rates (World) in 2020, prostate, males, all ages

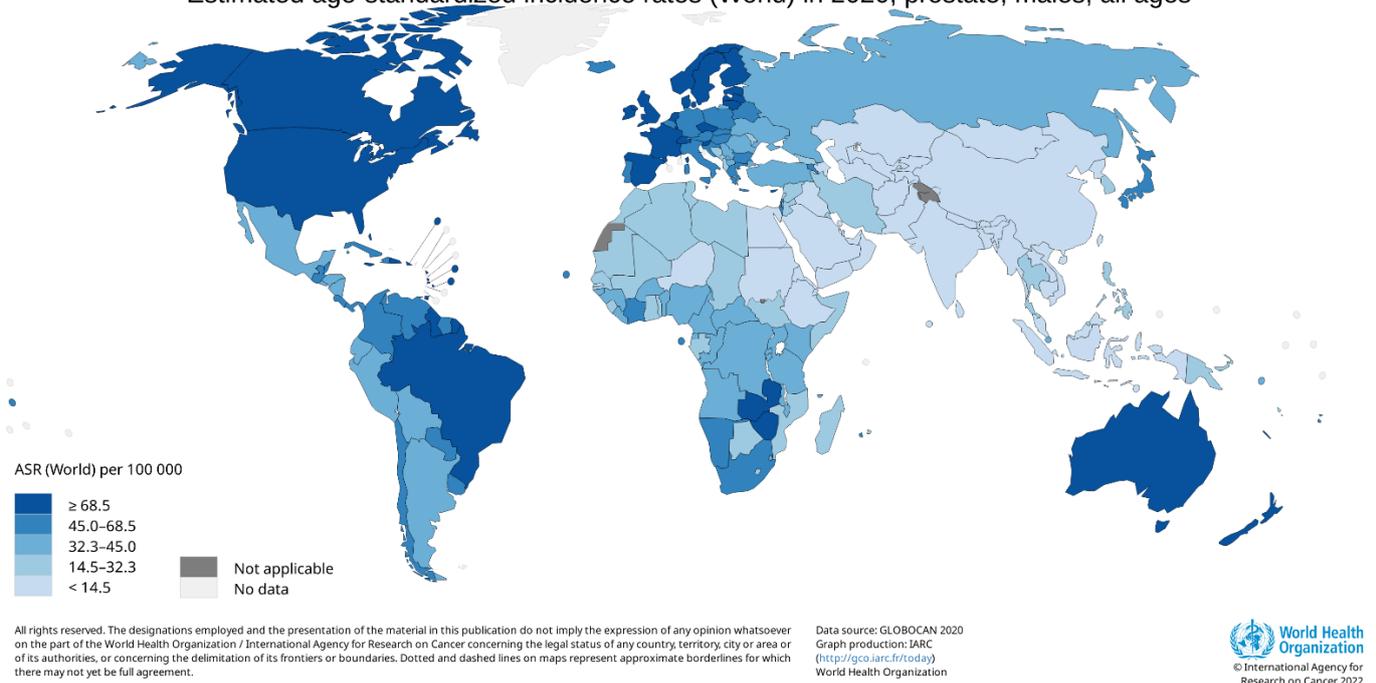


Figure 1.3: Global estimated age-standardized incidence rates (2020) of PCa. (GLOBOCAN 2020) (44).

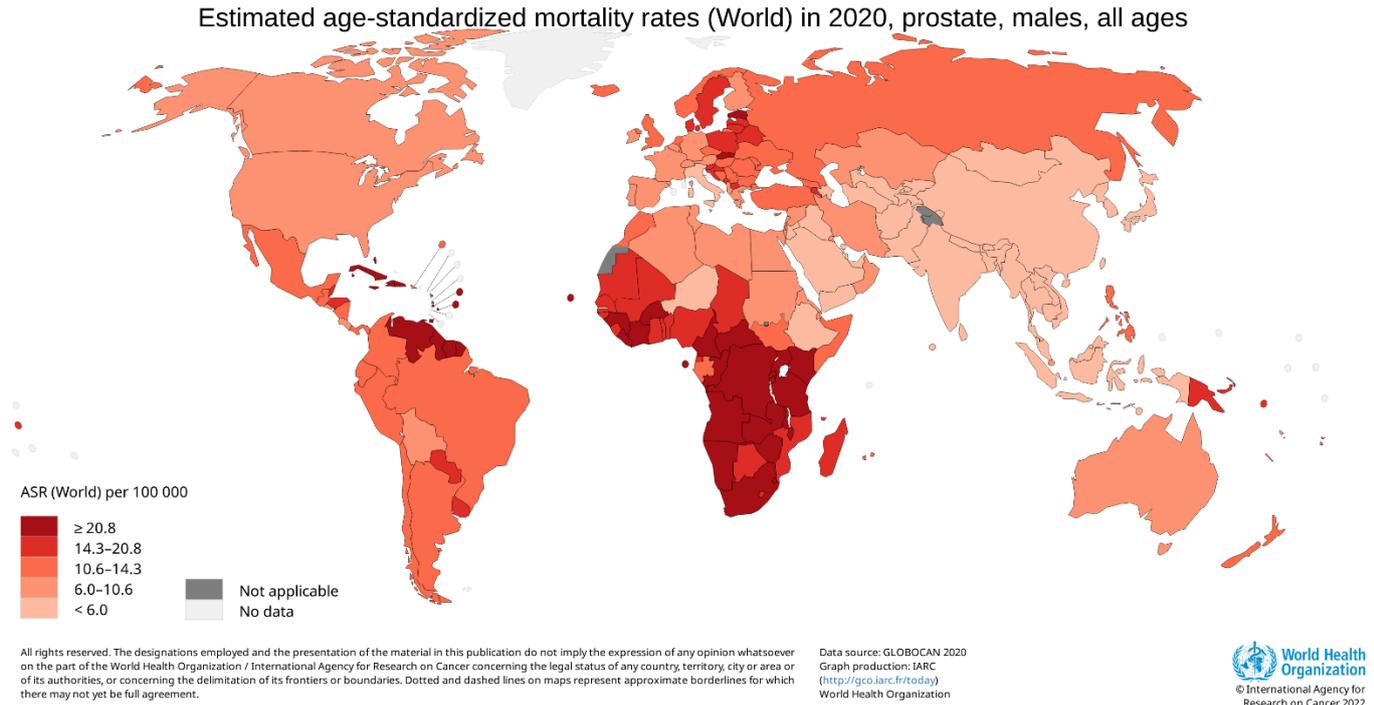


Figure 1.4: Global estimated age-standardized mortality rates (2020) of PCa. (GLOBOCAN 2020) (44).

### 1.2.3 Aetiology of prostate cancer.

The carcinogenesis of PCa, like many other human cancers, is not fully understood. However, some aetiological risk factors are associated with PCa development and progression (46). Some of these risk factors cannot be modified these include age, family history of PCa, and race. In contrast, others are modifiable, including smoking, alcohol use, obesity, diet, androgens, and diseases such as diabetes mellitus (46).

PCa is the most commonly diagnosed cancer among elderly males, making age an important factor in the development of PCa (3). The risk of developing PCa increases from 40 years of age among black men and 50 years in white men (47).

Previous studies have shown men of African origin to have a higher rate of developing PCa than other groups (48,49). Some studies have investigated this racial disparity in incidence, aggressiveness, and mortality rates (50,51). Variation in germline and genetic background, poor access to medical care and screening facilities, and socioeconomic status have been mentioned in different studies as the reasons for racial disparity (50–52). Blackburn et al. found

a lower frequency for TMPRSS2-ERG fusion in black South Africans than in those of European ancestry. There is inverse association between TMPRSS2-ERG fusion and aggressive PCa (53). Jaratlerdsiri et al. in their whole-genome sequencing study found an increase in small somatic variants when they compared paired tumor-normal tissues of African patients and European patients (54). They also found increased oncogenic driver mutations in tumors of African patients compared to the European patients (54).

Family history and other genetic factors are important risk factors in PCa development. The role of family history in PCa development depends on the degree of relationship and the age of the relative at diagnosis (55–58). About 15% of PCa patients of African origin have one or more relatives with PCa (48). A meta-analysis review of studies in European populations revealed an increased risk of about 2.5-fold of developing PCa among men with first-degree relatives diagnosed with PCa (56). Genetic predisposition of PCa has been widely researched. For example, the mutation in the RNaseL/hereditary prostate cancer 1 gene has been linked to PCa development below the age of 65 years, while HPC20 gene is associated with PCa diagnosis in older men (59). Other genes associated with PCa development include PTEN, BRCA2, RB1, MSR1 (8p22), NKX3.1, androgen receptor (AR), CYP17, and steroid-5- $\alpha$ -reductase type II (SRD5A2), CDKN1B, TMPRSS2, and ELAC2 (60).

The variation seen in PCa incidence globally agrees with variations in diet (61). The diet of people living in developed countries with a high incidence of PCa is known to be very rich in animal fat (61). In contrast, countries with a low incidence of PCa have a diet high in soy proteins and little animal fats (61). Studies have shown consuming food such as red meat and animal fat leads to a high risk of developing PCa, while diets rich in red tomatoes, cruciferous vegetables, and soy products have been linked with a low PCa risk (62–65).

Alcohol consumption and smoking have been well associated with many human cancers. A meta-analysis review on the relationship between smoking and PCa development showed a 14% increase in mortality risk of PCa (66). The association between PCa and alcohol consumption is somewhat contradictory as some studies have shown a positive relationship between alcohol consumption and PCa development, while other studies showed no relationship (67–69).

#### **1.2.4 Clinicopathological Classification of Prostate Cancer**

Diseases, including PCa, require an international standardized system of classification that will enhance easy communication between pathologists and clinicians, proper understanding of the disease aggressiveness and severity, and guide treatment decisions.

The three major criteria that must be considered in formulating a grading system are 1) reproducibility of the grading system among pathologists, 2) the prognostic ability of the grading system surpassing clinical parameters, and 3) the results of random biopsies sufficiently representative of the entire tumor (70).

### **1.2.5 Histological Classification of prostate cancer**

#### *The Gleason's grading system*

Many grading systems have been used for grading PCa. However, the histopathological grading system for PCa has proven to be the strongest grading system for stratifying patients into different treatment options and determining the prognosis of disease recurrence and death. Among the grading systems developed over time for PCa, the Gleason grading system developed in 1966 by Donald Gleason has become widely accepted (71).

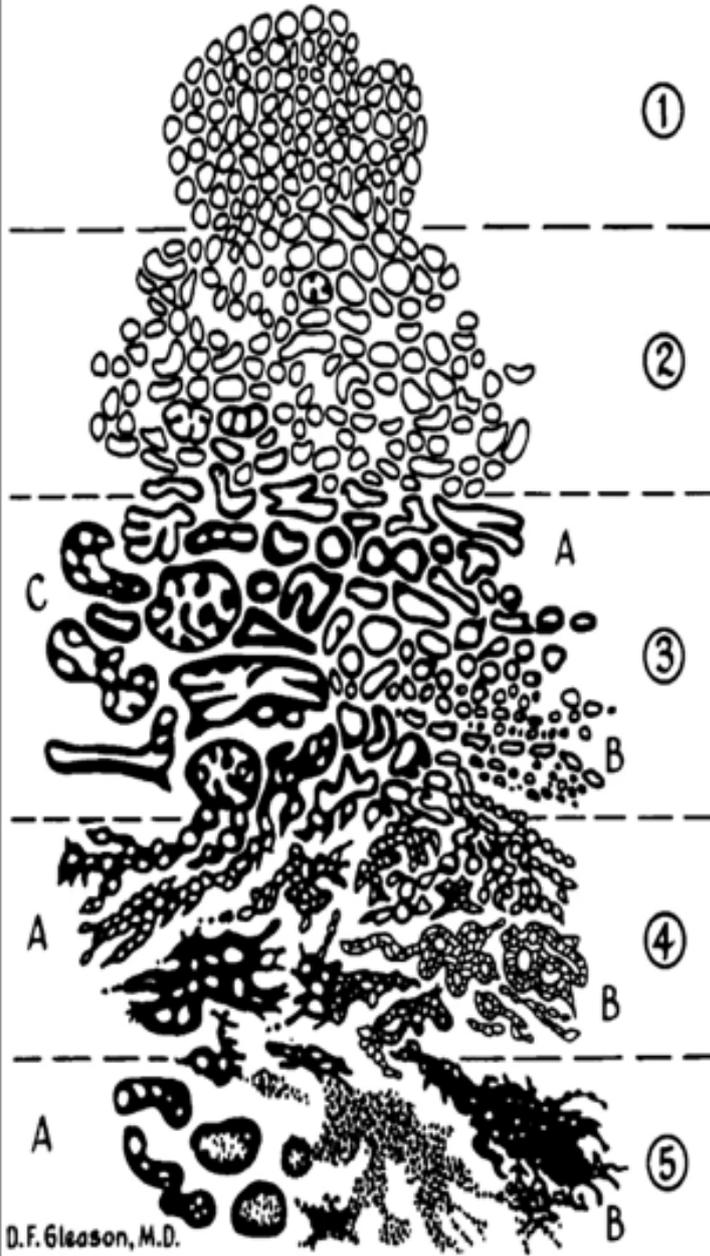
The grading system is based on research by Donald Gleason and his group at the Veteran's Hospital in Minnesota between 1959 and 1964 (71). The grading system was developed after considering the architectural pattern of hematoxylin and eosin slides of 270 PCa patients under a light microscope (71).

The Gleason grading was further tested in a larger cohort of 1032 patients, after which the grading system received global acceptance in grading PCa (72). Based on cell morphology, the Gleason grading system classifies prostatic carcinoma into five grading categories 1 to 5. As the grade increases from grade 1 to 5, histopathologic differentiation becomes poorer with increasing severity of malignancy (65,66,73) (Figure 1.5). Grade 1 is well-differentiated, while grade 5 is the worst differentiated morphology. Gleason score (GS) is usually calculated by adding the Gleason grade of the most predominant (primary) and the second most predominant (secondary) histologic patterns of the tumor (65,66,73). In cases with only one histologic pattern, the primary and secondary patterns will be regarded as similar and the GS of 3+3=6, 4+4=8, or 5+5 is obtained.

Although the Gleason grading system is widely accepted in the grading of PCa, some issues were not properly addressed. This includes that the Gleason study was on tissue morphology alone without immunohistochemistry, Gleason grading system did not offer any recommendations on reporting different grades of tumor nodules in radical prostatectomies, and new patterns of prostatic carcinoma have been defined and need to be integrated into the system (74–76).

Figure 1.5: Histologic grades of PCa (adapted from the original Gleason grading system) (77)

**PROSTATIC ADENOCARCINOMA  
(Histologic Grades)**



1-Simple round glands, close-packed in rounded masses with well-defined edges

2-Simple rounded glands, loosely packed in vague, rounded masses with loosely packed defined edges

3A-Medium-sized single glands of irregular shape and irregular spacing with ill-defined infiltrating edges.

3B-Very similar to 3A, but small to very small glands, which must not form significant chains or cords.

3C-Papillary and cribriform epithelium in smooth, sharply circumscribed rounded cylinders and masses, no necrosis.

4A-Small, medium, or large glands fused into cords, chains or ragged, infiltrating masses.

4B-Very similar to 4A, but with many large clear cells, sometimes resembling 'hypernephroma'

5A-Papillary and cribriform epithelium in smooth, rounded masses, more solid than 3C and with central necrosis

5B-Anaplastic adenocarcinoma in ragged sheets

*WHO histologic classification of prostate cancer.*

In 2004, the World Health Organization (WHO) developed a detailed histological classification of prostate tumors (78). Due to better insight into the morphology of PCa immunohistochemistry and their associations with clinical features, the classification was updated in 2016 (79). The major differences between the 2004 and 2016 WHO classifications are: (1) inclusion of new immunohistochemical markers, which are important in making diagnosis, (2) modification of the Gleason grading system to accurately match the clinical outcomes, (3) an update of the variant of acinar adenocarcinoma and recognition of new subtypes of prostatic cancer (large cell neuroendocrine carcinoma and Intraductal carcinoma of the prostate) (80) (Table 1.2).

Table 1.2: WHO Classification of Prostate cancer in 2004 and 2016 (78,79)

<b>2004 WHO classification</b>	<b>2016 WHO classification</b>
Glandular neoplasms	Glandular neoplasms
<i>Acinar adenocarcinoma</i>	<i>Acinar adenocarcinoma</i>
	<i>Intraductal carcinoma</i>
<i>Ductal adenocarcinoma</i>	<i>Ductal adenocarcinoma</i>
Urothelial carcinoma	Urothelial carcinoma
Squamous neoplasms	Squamous neoplasms
<i>Adenosquamous carcinoma</i>	<i>Adenosquamous carcinoma</i>
<i>Squamous cell carcinoma</i>	<i>Squamous cell carcinoma</i>
Basal cell carcinoma	Basal cell carcinoma
Neuroendocrine tumors	Neuroendocrine tumors
<i>Endocrine differentiation within adenocarcinoma</i>	<i>Adenocarcinoma with neuroendocrine differentiation</i>
<i>Small cell carcinoma</i>	<i>Small cell neuroendocrine carcinoma</i>
	<i>Large cell neuroendocrine carcinoma</i>

### 1.2.6 Staging of Prostate Cancer

PCa staging is usually based on clinical examination, imaging, biomedical testing, and biopsy. The Whitmore-Jewett staging system has been used for a long time as a clinical staging method by clinicians (81,82). However, the new tumor, node, and metastasis (TNM) staging method developed by WHO is fast becoming the most common method by clinicians for staging PCa. The “T” in the TNM acronym represents tumor extent, while “N” represents lymph node invasion, and “M” represents the presence or absence of metastasis. The TNM classification described by WHO is shown in Tables 1.4 and 1.5.

Table 1.3: Definitions of clinical TNM classifications.

CATEGORY	CRITERIA
Clinical cT	
T Category	
TX	Primary tumor cannot be assessed
T0	No evidence of primary tumor
T1	Clinically inapparent tumor that is not palpable
T1a	Tumor incidental histologic finding in 5% or less of tissue resected.
T1b	Tumor incidental histologic finding in more than 5% of tissue resected.
T1c	Tumor identified by needle biopsy found in one or both sides, but not palpable
T2	Tumor is palpable and confined within prostate
T2a	Tumor involves one-half of one side or less
T2b	Tumor involves more than one-half of one side but not both sides
T2c	Tumor involves both sides
T3	Extraprostatic tumor that is not fixed or does not invade adjacent structures
T3a	Extraprostatic extension (unilateral or bilateral)
T3b	Tumor invades seminal vesicle(s)
T4	Tumor is fixed or invades adjacent structures other than seminal vesicles such as external sphincter, rectum, bladder, levator muscles, and/or pelvic wall

Table 1.4: Definitions of pathological TNM classifications.

CATEGORY	CRITERIA
Pathologic pT	
T Category	
T2	Organ confined
T3	Extraprostatic extension
T3a	Extraprostatic extension (unilateral or bilateral) or microscopic invasion of bladder neck
T3b	Tumor invades seminal vesicle(s)
T4	Tumor is fixed or invades adjacent structures other than seminal vesicles such as external sphincter, rectum, bladder, levator muscles, and/or pelvic wall
N Category	
NX	Regional lymph nodes were not assessed
N0	No positive regional lymph nodes
N1	Metastases in regional lymph node(s)
M Category	
M0	No distant metastasis
M1	Distant metastasis
M1a	Nonregional lymph nodes
M1b	Bone
M1c	Other site(s) with or without bone disease

The American Joint Committee on Cancer (AJCC) used TNM staging in combination with the GS and Prostate Specific Antigen (PSA) level to develop a more acceptable staging system for PCa. The first edition of the AJCC staging manual was published in 1977, and it has since been revised several times until the current 8<sup>th</sup> edition (83). In prostatic carcinoma staging, the TNM staging system was first introduced in the 4<sup>th</sup> edition of AJCC staging manual when the AJCC and the International Union Against Cancer approved a joint TNM staging system for PCa (Table 1.5) (84). The AJCC staging system has helped bring about a more multiparametric improvement to PCa staging and guides more appropriate treatment plans.

Table 1.5: AJCC Prognostic Stage Grouping.

T	N	M	PSA	GRADE GROUP	STAGE GROUP
cT2a pT2	N0	M0	<10 ng/mL	1	I
cT1a-c, cT2a	N0	M0	≥10, <20 ng/mL	1	IIA
pT2	N0	M0	≥10, <20 ng/mL	1	IIA
cT2b-c	N0	M0	<20 ng/mL	1	IIA
T1-2	N0	M0	<20 ng/mL	2	IIB
T1-2	N0	M0	<20 ng/mL	3	IIC
T1-2	N0	M0	<20 ng/mL	4	IIC
T1-2	N0	M0	≥20 ng/mL	1-4	IIIA
T3-4	N0	M0	Any	1-4	IIIB
Any T	N0	M0	Any	5	IIIC
Any T	N1	M0	Any	Any	IVA
Any T	Any	M1	Any	Any	IVB

Note that when either PSA or grade group is unavailable, grouping should be determined by T category and/or either PSA or grade group, as available.

### 1.2.7 Diagnosis of Prostate Cancer.

PCa diagnosis involves proper clinical history, digital rectal examination (DRE), testing for PSA in the blood. Transrectal ultrasound (TRUS) assisted biopsy is required in making final diagnosis when prostate irregularities are found during the DRE and/or elevated PSA levels.

The DRE is an examination where the healthcare practitioner palpates the prostate for any irregularities by inserting a lubricated glove finger into the rectum (Figure 1.6). The DRE is usually part of the complete general physical examination. Prostate gland irregularities such as enlargement, hard spot, tenderness is indication for prostate biopsy.

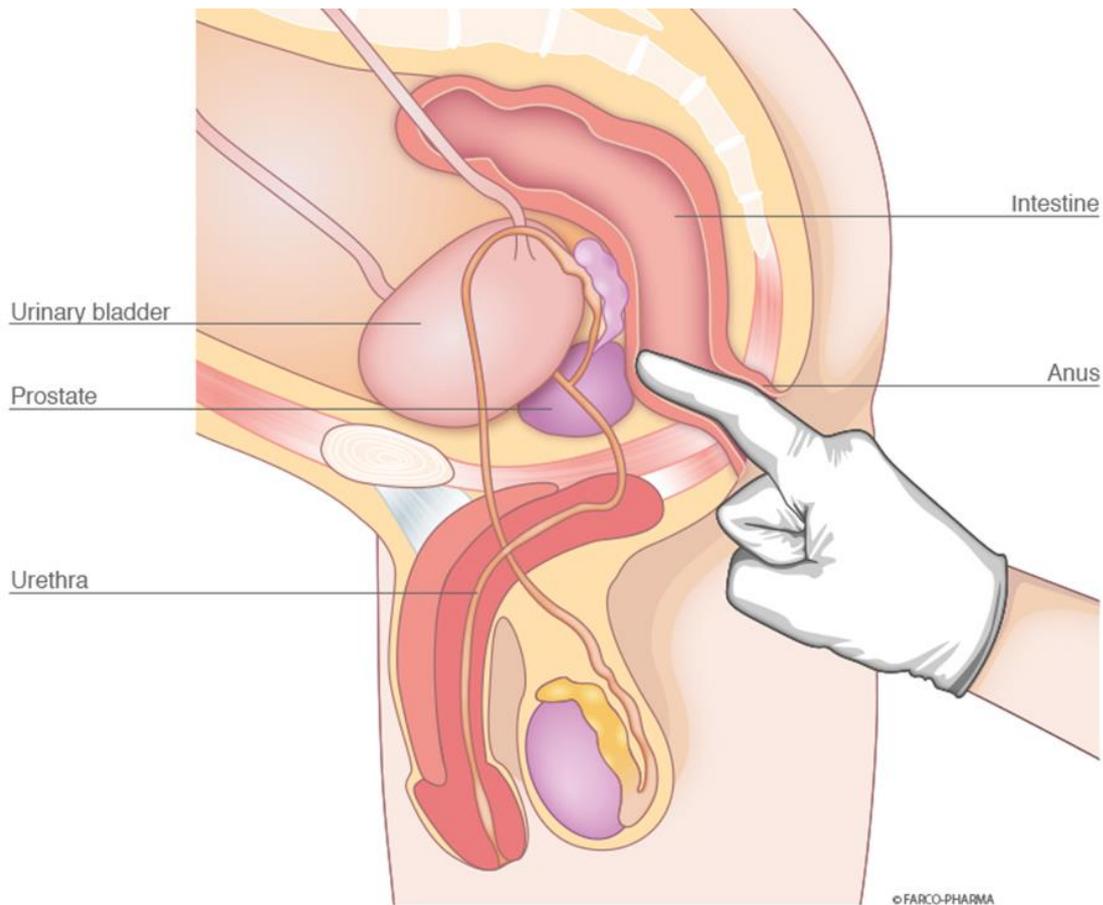


Figure 1.6: Digital rectal examination of the prostate (<https://www.farco.de>)

PSA level in the blood remains an important biochemical test in diagnosing PCa. Serum PSA level is specific to prostate gland and not PCa-specific, this explains high PSA levels reported in other prostate pathologies such as prostatitis and BPH (85,86). Generally, PSA levels above 4.0ng/mL may indicate a need to further investigation of PCa in men (87). However, PSA levels are age and population specific. Oesterling et al. developed an age-related reference range for serum PSA of white Americans in the United States (88). They showed that the recommended upper limit of normal serum PSA levels of white men is 2.5 ng/mL for 40-49 years, 3.5 ng/mL for 50-59 years, 4.5 ng/mL for 60-69 years, and 6.5 ng/mL for men aged 70-79 years (88). Black men generally have higher PSA levels when compared with white men (89). Ikuerowo et al. demonstrated that the upper limit of normal serum PSA levels of African men is 4.8 ng/mL for 40-49 years, 5.5 ng/mL for 50-59 years, 8.9 ng/mL for 60-70 years, and 6.5 ng/mL for men aged 70-79 years (90). Serum PSA level is specific to prostate gland and

not PCa-specific, this explains high PSA levels reported in other prostate pathologies such as prostatitis and BPH (85,86).

Hodge et al. 1989, showed that TRUS guided biopsies are superior to DRE guided biopsies (91). Since then, TRUS guided biopsy has been widely accepted as a standard technique for PCa diagnosis. The biopsy procedure involves the insertion of a hollow, thin needle into the prostate gland. This is usually either through the rectal wall (transrectal) or through the skin between the anus and scrotum (transperineal) (Figure 1.7) (91). The transrectal biopsy is the commonest form of the two types. As the thin needle is pulled out, a small portion of prostatic tissue is removed. This process is repeated about 8 to 10 times from different parts of the prostate to have an adequate prostatic sample for investigation. Other indications for using TRUS include measurement of prostate gland size, cryotherapy, or brachytherapy (92). The complications of TRUS guided biopsy include pain, prostate gland and epididymis infections, rectal bleeding, hematospermia, and hematuria (93).

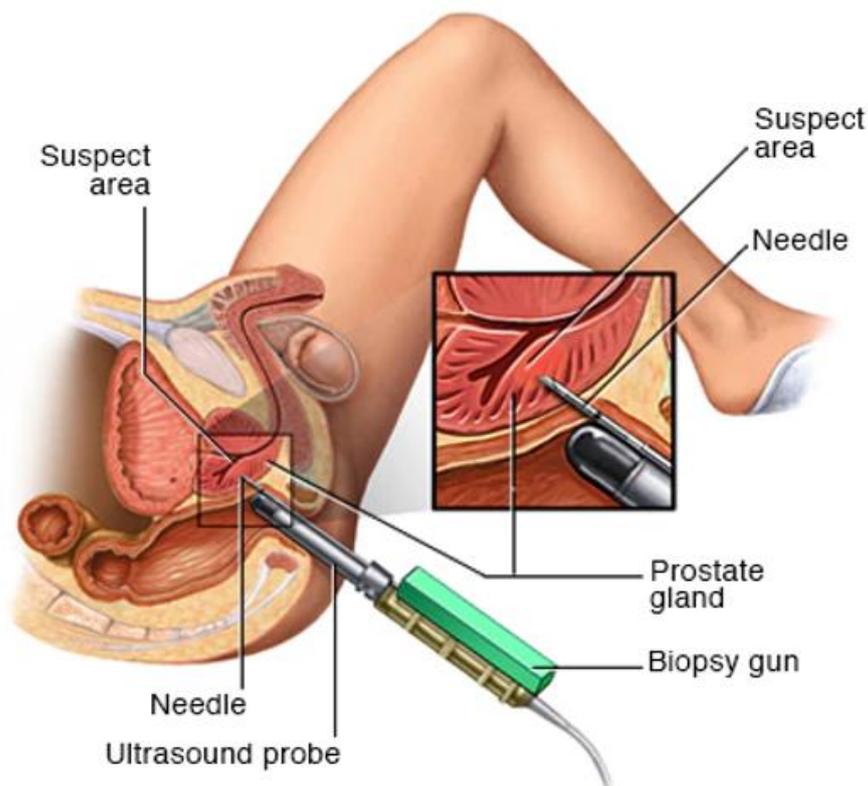


Figure 1.7: TRUS guided biopsy of the prostate ([www.mayoclinic.org](http://www.mayoclinic.org))

### **1.2.8 Management of Prostate Cancer**

Factors such as history of prostate malignancy, the stage at presentation, the risk of progressing to more aggressive malignancy, age, and socioeconomic status of patients are important features to be considered in deciding the treatment plan for a newly diagnosed PCa (94).

The overall management of PCa is dependent on if the malignancy is diagnosed as a localized or metastatic disease. The treatment options for localized PCa are based on risk stratification of the clinical feature of the disease, such as the one developed by the National Comprehensive Cancer Network (NCCN) have been developed (94,95) (Table 1.6).

Table 1.6: NCCN Risk Stratification and Management of Localized Prostate Cancer (adapted from Kachuur 2018) (42). mm

NCCN Risk Stratification and Management of Localized Prostate Cancer	
Risk Group and Features	Initial Therapy
<p>Very low</p> <p>Stage T1c, GS&lt;6, PSA&lt;10, &lt;3 positive biopsy cores, cancer in 50% of each core, and PSA density &lt;0.15</p>	<p>LE &lt;10y: observation</p> <p>LE 10-19y: AS</p> <p>LE &gt;20y: same as low risk with &gt;10y expected survival</p>
<p>Low</p> <p>Stage T1-2a, GS&lt;6, PSA&lt;10</p>	<p>LE &lt;10y: observation</p> <p>LE ≥10y: either: AS, EBRT, brachytherapy, RP±PLND</p>
<p>Favourable intermediate</p> <p>Stage T2b-2c or GS3+4=7 or PSA 10-20 and &lt;50% positive biopsy cores</p>	<p>LE &lt;10y: either: observation, EBRT, or brachytherapy</p> <p>LE ≥10y: same as low risk with &gt;10y expected survival</p>
<p>Unfavourable intermediate</p> <p>Stage T2b-2c or GS3+4=7 or PSA 10-20</p>	<p>LE &lt;10y: either: observation, EBRT + short-term ADT, or EBRT + brachytherapy + short-term ADT</p> <p>LE &gt;10y: RP±PLND, EBRT + short-term ADT, or EBRT + brachytherapy + short-term ADT</p>
<p>High or Very High</p> <p>Stage T3a-T4, GS 8 to 10, primary Gleason pattern 5, PSA &gt;20</p>	<p>LE &gt;5y: either: EBRT + long-term ADT, EBRT + brachytherapy + long-term ADT, or RP±PLND</p>
<p>ADT: androgen deprivation therapy; AS: Active surveillance; EBRT: external beam radiation therapy; GS: Gleason score; LE: life expectancy; PLND: pelvic lymph node dissection; PSA: prostate-specific antigen; RP: radical prostatectomy.</p>	

The treatment of PCa may range from expectant management (watchful waiting and active surveillance), surgery, hormone therapy, radiation, chemotherapy and other forms of palliative treatment. The treatment of choice is usually depending on factors such as stage of the disease at diagnosis, patient age, presence or absence of metastasis. Watchful waiting and active surveillance are two major components of expectant management (94,96). Watchful waiting

and active surveillance are sometimes used interchangeably, although they are two different entities. Watchful waiting involves less monitoring of patients with the goal of palliative treatment, while active surveillance involves more frequent monitoring with curative treatment in view (94,96).

Radical prostatectomy and pelvic lymphadenectomy are the two most common surgical procedures in treating PCa (97). Traditionally, radical prostatectomy has been discouraged in the management of localized PCa due to side effects such as urinary incontinence, erectile dysfunction, risk of lymph node metastasis and increase rates of positive surgical margins. However, studies have shown radical prostatectomy is more beneficial than watchful waiting (98,99). A clinical trial by Bill-Axelsson et al. showed a reduction in local progression, metastasis and mortality rate among patients when radical prostatectomy was compared with watchful waiting (100).

PCa treatment by radiotherapy can either be with external-beam radiotherapy or brachytherapy (101). Brachytherapy can be administered as low-dose rate brachytherapy, which involves permanently placing into the prostate gland radioactive seeds of about sixty days half-life (102). High-dose rate brachytherapy (HDRB) involves the placement of applicators temporarily in the prostate in such a way to allow the exposure of high energy sources to different positions of the prostate and, at the same time, minimizing the exposure dose to the bladder and other surrounding tissues. HDRB is usually considered a good treatment option for patients with more locally advanced PCa (102).

Hormonal treatment in the management of PCa is based on the role of androgen in prostatic growth (103). Androgen hormone activates AR, a ligand-dependent transcription factor acting in the cell nucleus to promote prostatic growth. PCa develops when other surrogate pathways activate and amplify AR without androgen stimulation (104). Adrenal androgens have only minimal effect on the prostate at normal concentrations. However, when other surrogate pathways become involved in the activation of AR, androgens become fuel for the progressive growth of prostate tumor, making androgen deprivation therapy (ADT) an important therapy in the treating PCa (105). Bilateral orchidectomy is the original form of ADT that is still in use globally, although it is being replaced by medical options such as ADT drugs. Diethylstilbestrol was traditionally used as ADT and later replaced with luteinizing hormone-releasing hormone (106). The current medications used for ADT are agonists and antagonists of GnRH (Gonadotropin releasing hormone), these include estrogens and AR blockers (107). Orgovyx

(relugolix) is the first United State Food and Drug Administration (FDA) approved oral hormone therapy for the treatment of advanced PCa in adult patients (108). Some chemotherapeutic drugs have also been used in the treatments of advanced PCa. These include docetaxel, doxorubicin, carbazitaxel, and mitoxantrone.

The treatment of very low and low-risk localized PCa may include expectant management, radical prostatectomy, and radiation (94). The treatment of intermediate-risk PCa is concurrent radiation and ADT for a short period of 4-6 months (94). This treatment regimen is based on the results of some clinical trials that showed the addition of ADT to this category of patients led to an increase in overall survival among patients (109,110). For localized PCa patients with high- and very high-risk, the recommended treatment protocol is external beam radiation therapy and ADT for a long period of about 2-3 years or radical prostatectomy. Studies have shown that a combination of radiation therapy and ADT helped to improve the overall survival of patients (111,112).

In patients diagnosed with metastatic PCa, the first line of treatment is ADT, which can either be taken intermittently or continuously. Other new options for the treatment of metastatic PCa include the use of abiraterone in combination with prednisone or ADT and docetaxel (94). Metastatic PCa patients who initially respond to ADT may develop resistance to ADT, while in few cases, patients do not respond at all and continue to progress despite the patients been on ADT, this is referred to as castration resistant PCa (CRPC). The treatment options for CRPC patients depend on the disease symptoms and the presence or absence of metastases (94). For treatment of minimally symptomatic CRPC, ADT may be changed to abiraterone, or a complete change to second-line hormonal therapy such as progesterones, estrogens, or ketoconazole (94,113). Treatment of CRPC patients with metastases may include docetaxel with a corticosteroid, cabazitaxel with corticosteroid, or Sipuleucel-T (94,114).

So far, there is still no available approved biomarker for the screening of aggressive PCa in the management of PCa. A good biomarker for the screening of aggressive PCa will largely help in effective treatment of PCa and to avoid overtreatment of indolent, localized lesion. This makes it important for more studies to be done to investigate the role of liquid biopsy in the diagnosis of PCa.

### **1.3 Liquid Biopsy in Prostate Cancer**

The microscopy analysis of tissue biopsy is considered the gold standard in the diagnosis and treatment monitoring of PCa (115). However, tissue biopsy is usually accompanied by varying complications, ranging from minor to more severe. Also, the accuracy of PSA in correctly diagnosing PCa is low, with a high false positive and negative rate (116). Studies explore liquid biopsy-based biomarkers in PCa diagnosis and prognosis in the quest for accurate, safe, and non-invasive diagnostic tool for PCa. Circulating tumor cells (CTCs) and other biological molecules such as cell-free nucleic acids (DNA and RNA), and extracellular vesicles are shed from tumor masses into the blood, urine, saliva, and other body fluids (Figure 1.8) (117). Generally, there is a growing focus on the discovery of liquid biopsy biomarkers in cancer diagnosis and treatment monitoring.

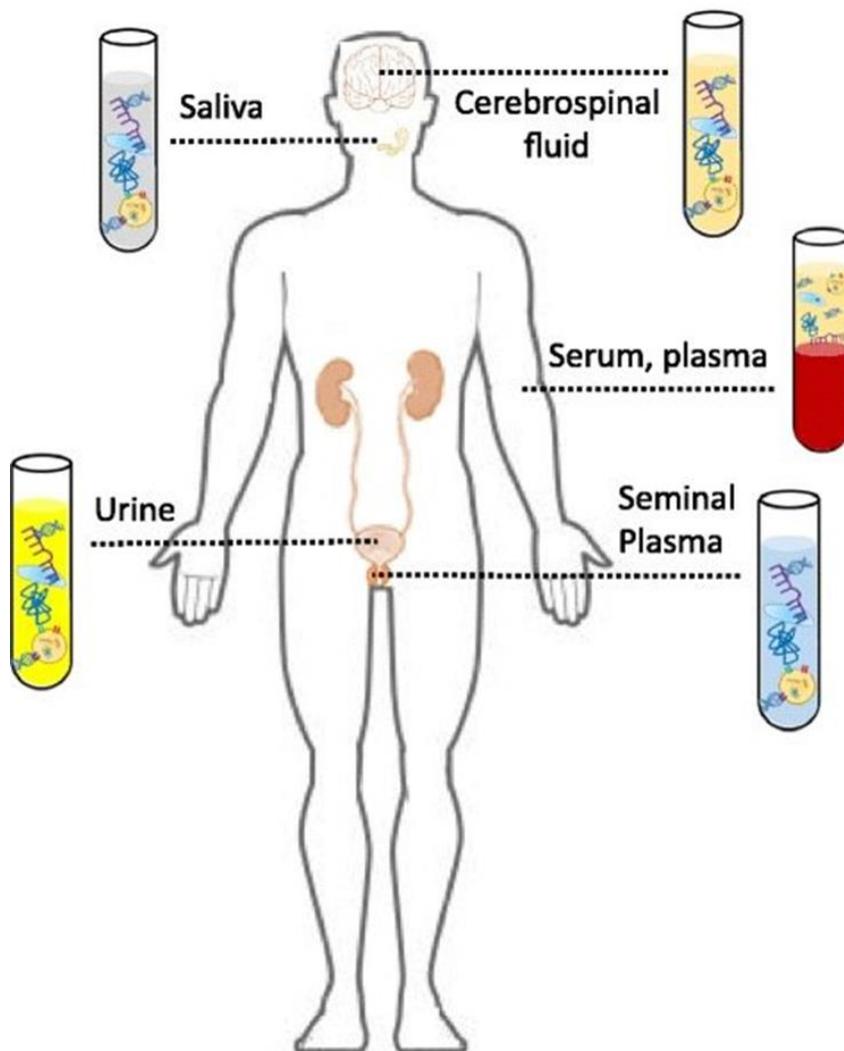


Figure 1.8: Body fluids used as liquid biopsy (117).

### 1.3.1 Cell free DNA

CfDNA was first identified in plasma by Mandel in 1948 (118). Some years after Mandel's discovery, Leon et al. showed an increased cfDNA concentration in cancer patients (119). The increased cfDNA levels distinguished healthy individuals from cancer patients and differentiated between patients with benign and malignant tumors (120). It has been shown that the increase in cfDNA levels in cancer patients is due to the release of DNA fragments from tumor cells. DNA fragments from tumor cells, known as circulating tumor DNA (ctDNA), have longer fragment size than the fragment size of DNA released from normal cells (121,122). The fragment size of cfDNA usually measures between 150 and 200 bp, with an average size of 167 bp (123,124).

CfDNA is found in body fluids such as blood, urine, and saliva (125–127). Although cfDNA fragments are free from cells, they are usually found in complexes with proteins (128,129). The mechanism of cfDNA released into circulation is not completely known, although cell lysis, necrosis, apoptosis and active release are considered major sources. The origin of cfDNA in a healthy person is mainly of hematopoietic origin, while the origin is both hematopoietic and tumor cells in cancer patients (130). CfDNA parameters used for diagnosis, monitoring of treatment response, and predicting the stage of cancer include cfDNA level and DNA integrity index (131). DNA integrity index is calculated using the ratio of long to short DNA fragments (132).

Tumor-specific abnormalities, such as copy number variation, oncogenes and tumor suppressor genes mutations, DNA methylation, and microsatellite instability, are present in cfDNA fragments (133–136). These genomic aberrations can be identified with techniques such as next-generation sequencing (NGS), real-time PCR, or droplet PCR, which can also aid in the cancer diagnosis and treatment response monitoring (137).

The role cfDNA play in metastatic PCa diagnosis have been described (138,139). Hennigan et al. found that ctDNA can be detected by low pass whole-genome and targeted deep sequencing in metastatic PCa patients but not in localized disease (139). Presently, the utility of cfDNA in the diagnosis of early PCa is limited. However, recent findings in some cfDNA studies show differences in healthy and tumor cells nucleosome footprints which may help in expanding the use of cfDNA to early detection (140,141).

Studies have described the potential use of cfDNA as prognostic biomarker for PCa (138,142,143). A study by Wyatt et al., compared cfDNA abnormalities with matched tissue in metastatic PCa and found many genetic alterations, such as AR amplifications, and inactivation of TP53, BRCA2, PTEN, APC, CDKN1B, RB1, and PIK3R1 genes (138). These findings revealed the possibility of using cfDNA as prognostic biomarker for PCa (138). So far, the FDA has approved five cfDNA based diagnostic tests for cancer. In 2021, FDA approved Guardant360 CDx to help in lung cancer diagnosis. In 2020, FDA approved FoundationOne Liquid CDx, a comprehensive genomic profiling assay, to aid in the diagnosis of PCa, lungs and other solid tumors. The Qiagen Therascreen EGFR Plasma kit got approval from FDA in 2019 to aid the breast cancer treatment. The Cobas EGFR Mutation Test v2 by Roche Molecular Diagnostics got approval from FDA in 2016, to assist in management of lung cancer (144). FDA approved The Epi proColon test, a PCR test was also approved in 2016 by FDA for the screening of colorectal cancer (145).

In Europe, three cfDNA-based tests have received approval to aid diagnosis of colorectal cancer. These are Idylla™ ctKRAS Mutation Test, Sysmex Inostics OncoBEAM RAS CRC Kit, and Idylla™ ctNRAS-BRAF mutation test (146). The Therascreen EGFR RGQ kit is also approved in Europe to aid the diagnosis of lung cancer (146). The Chinese FDA approved the Super-ARMS EGFR kit to aid lung cancer diagnosis and treatment.

### **1.3.2 Exosomes**

Extracellular vesicles released by cells into body fluids have been explored as a biomarker source in the management of cancer (147). Extracellular vesicles are differentiated into three major subtypes, microvesicles, exosomes, and apoptotic bodies, based on their size, biogenesis, and function (148,149). Exosomes are the most studied of the major three forms (150). When exosomes were first discovered in the 1980s, they were initially considered cellular waste (151). They were later found to be a means of intercellular communication with key function in health and pathological state (152). Exosomes have a size ranging from 40–150 nm in diameter (153,154). Exosomes possess a lipid bilayer membrane of about 5 nm in width, which helps to preserve them from proteases and RNases activity. The lipid bilayer membrane contains various cargo, such as proteins, lipids, viral particles, DNA, messenger ribonucleic acid (mRNA), micro-ribonucleic acid (miRNA), and non-coding ribonucleic acid (RNA). Their

presence depend on the health status of the organism and the cells releasing the exosomes (155).

Exosomes are released by different cells, into the blood and other body fluids (156–158). Plasma and other body fluids from cancer patients contain more exosomes than healthy individuals (159,160). This proved that more exosomes are produced in cancer than normal cells (154). Exosomes serve as a vehicle for transmitting molecular messages between heterotypic and homotypic cells, thereby contributing to tumor progression, immune response, angiogenesis, and metastasis (161). This makes exosomes a potential biomarkers source for PCa and other cancer types.

### *Biogenesis of Exosomes*

Exosomes originate from the late endosomes, which are produced by inward budding of the multivesicular body (MVB) membrane. Invagination of the late endosomal membranes leads to formation of intraluminal vesicles (ILVs) within the MVBs (162). This process involves the incorporation of some proteins into the late endosomal membranes and the engulfment of cytosolic components within the ILVs (163). Exosomes are formed either by fusion of ILVs with plasma membrane or by the trafficking of ILVs into lysosomes for degradation (163,164).

The MVBs and ILVs formation depend largely on endosomal sorting complex required for transports (ESCRTs) functional activities (165). The components of ESCRTs, which are made up of about 20 proteins consists of four complexes (ESCRT-0, -I, -II, and -III), with other proteins, such as VTA1 (Vesicle Trafficking 1, ALIX (Apoptosis-linked gene and TSG101 (Tumor susceptibility gene 101 protein) (166,167). The four complexes of ESCRT-0 functions at different stages of MVBs biogenesis for example, ubiquitinated cargo, which is the main pathway-specific signal in the biogenesis of MVBs to endosomal membrane uses ESCRT-0 (168,169). The formation of buds from endosomal membrane usually requires ESCRT-I and -II, while ESCRT-III complex helps in separating vesicles from cytoplasmic membrane (168,169). Evidence of other pathways, which affect exosomes but not dependent on ESCRTs have been shown in recent studies (170,171). Some of these pathways include neutral sphingomyelinase 2-dependent pathway, miRNA post-transcriptional 3'end modification and RNA induced silencing complex related pathway (171).

### *Exosomal microRNA*

Exosomal RNAs include mRNAs and other non-coding RNAs such as miRNA, long non-coding RNAs, and circular RNAs (172–174). miRNA can be transported by exosomes to neighbouring cells, where they control gene expression and other biological functions (175–177). There are series of evidence to show that miRNAs are not randomly sorted into exosomes but are rather sorted into exosomes under well-controlled mechanisms (178,179). The small RNAs in exosomes are enriched with miRNAs, suggesting a selective loading of miRNAs into exosomes (180,181). Other studies have also shown that exosomal miRNA have different profiles from miRNA in cells supporting a well-organized sorting mechanism for miRNA into exosomes (182,183).

Presently, the specific mechanism for sorting miRNA into exosomes is not fully known. However, some mechanisms have been proposed to be responsible for the sorting of miRNA into exosomes, these include RNA-induced silencing complex; ceramide; sequence motifs and guide proteins; 3' end non-template terminal nucleotide additions; and cellular levels of miRNAs and miRNA targets (175,178,184,185).

Growing evidence has shown tumor cell-derived exosomes, through their miRNAs cargo, play a major role in promoting proliferation of tumor cell, angiogenesis, and tumor metastasis (186). Studies have revealed that exosomal miRNA released from tumor cells including miRNA21, miRNA29, miRNA23, and miRNA210 promote tumor proliferation, angiogenesis, and migration (186–189). A study by Le et al., reported the transfer of exosomal miRNA most especially the miRNA200 family, could impact metastasis in cancer cells (190).

Exosomal miRNAs have been shown to be suitable potential diagnostic and prognostic biomarkers in PCa. Xu et al. found the potential use of exosomal miRNA145 as a biomarker for diagnosis of PCa (191). Foj et al. in their urinary exosomal study also found miRNA21, miRNA141, miRNA214, miRNA375, and let-7c as potential diagnostic biomarkers for PCa (192). Recent studies have also shown the role of miRNA424 as a potential biomarker for PCa (193–195). A study by Huang et al. showed the utility of exosomal miRNA as prognostic biomarker in PCa by identifying the prognostic role of miRNA1290 in CRPC (196).

#### *Exosomal mRNA*

Exosomal mRNAs have been shown to play major biological functions in various cancers (197). The composition of exosomal mRNA was found to be slightly different from that of the donor cells due to their selective uptake into exosomes (177,198). The mechanism regulating

the sorting of mRNA into exosomes is not well understood. However, few studies have shown that specific mRNAs, such as fragmented mRNAs with enriched UTRs and some full-length mRNAs, are transported into exosomes by the binding of multifunctional protein YB-1 and methyltransferase NSUN2 to specific motifs of mRNAs (ACCAGCCU, CAGUGAGC, and UAAUCCCA) (199,200).

Recent studies have proposed the potential use of exosomal mRNAs as biomarkers for different cancers (201–204). A study by Goldvaser et al. found in patients' blood of different cancer types an increased concentration of human telomerase reverse transcriptase (exo-hTERT) mRNA (204). The study further showed the role of exo-hTERT mRNA as a potential pan-cancer diagnostic marker (204). A study by Ji et al. identified six exosomal mRNA (CDC42, IL32, MAX, NCF2, PDGFA, and SRSF2) as diagnostic biomarkers for PCa (205). Some studies have also reported the diagnostic function of exosomal mRNA in other body fluids. Mckiernan et al., in their urine exosomes study, found the expression of erythroblast transformation-specific-related gene or PCa associated 3 (PCA3) mRNA to differentiate high-grade from low-grade PCa and BPH (206). Another urinary exosome study by Gan et al. found that the combination of PCA3 and prostate specific membrane antigen (PSMA) mRNAs to be a highly specific and sensitive diagnostic biomarker for PCa (207).

#### Exosomal protein

Since the discovery of exosomal miRNA in 2007, it has remained as the most explored of the exosomal cargoes with relatively less focus on the role of other exosomal cargoes potential liquid biopsy biomarkers in cancer diagnosis (177). Exosomal proteins are frequently overlooked even though they have great potential as cancer diagnostic biomarkers due to their unique advantages.

A major advantage of tumor-secreted protein enriched in exosomes is the ability to easily detect them when compared with circulating protein in blood. This is because proteins present in blood are diluted with other substances in circulation (208). Another advantage is that tumor-secreted proteins enriched within exosomes are well preserved within the exosomes as compared with protein in body fluid. The presence of protease in body fluids cause degradation of protein, thereby making tumor-secreted protein unstable (209). Also, exosomal proteins provide comprehensive information of the parent tumor because protein components from tumor-derived exosomes have similar profile as their parent tumor cells (210). Tumor-derived exosomes are well enriched for proteins including tetraspanins, heat-shock proteins, transport

proteins (TSG101), adhesion proteins, integrins, tumor specific proteins, lipid-anchored and glyco-proteins (211).

Studies have been done to elucidate the role of exosomal proteins as biomarkers for PCa (212–215). Nilsson et al. showed the expression of PCa gene-3 (PCA-3),  $\beta$ -catenin, transmembrane serine protease 2-ETS transcription factor family member-related gene fusion (TMPRSS2 - ERG) and other PCa-related markers in urinary exosomes of PCa patients (216). The study also showed the expression of PSA and PSMA in urinary exosomes of PCa patients demonstrating their potential use as biomarker for PCa diagnosis (216). A study by Sequeiros et al. found a panel of 5 proteins including PSA (CD63 SPHM, PAPP, GLPK5) able to differentiate between high and low grade PCa patients (217). Panigrahi et al., in their exosomal protein study, found distinct expression of Filamin A between African-American and Caucasian (213). They also observed lower expression of Filamin A protein among PCa patients compared to BPH and healthy individuals (213). Other studies have shown that African-American PCa patients have higher amount of Survivin, an inhibitors of apoptosis proteins, than European-American PCa patients (218,219).

#### **1.4 Research Hypothesis and Aim**

The factors that determine indolence or aggressive phenotype of PCa among the African population is not well known. Factors such as low educational levels, cultural beliefs, low socioeconomic status, and lack of sufficient healthcare facilities and manpower, have been attributed to the high mortality of PCa in this population (220). Since the introduction of PSA testing, most cases of PCa are diagnosed on TRUS-guided prostate biopsy triggered by an increased serum PSA level. PSA is inadequate in the diagnosis of PCa, especially in the lower reference ranges (2-10 ng/mL) where PSA is not able to differentiate benign from cancerous disease (221,222). There is a need for new accurate and cost-effective diagnostic approaches to enhance or replace the present techniques for PCa diagnosis.

The aim of this study is therefore to investigate the role of blood and urinary cfDNA and tumor exosome cargo as diagnostic biomarker for PCa in the South African population with the goal of discovery of reliable, non-invasive, and novel biomarkers of PCa.

Aim 1:

To investigate the role of serum exosomes cargoes as diagnostic and prognostic biomarker for PCa in South African populations.

Objectives:

1. To isolate exosomes from the plasma of PCa and BPH patients.
2. To characterize exosomal microRNA cargo

Aim 2:

To investigate the role of blood and urinary cell-free DNA as diagnostic and prognostic biomarker for PCa in South African populations.

Objectives:

1. To determine the concentration of cell-free DNA in PCa and BPH patients in the South African population.
2. To determine the DNA integrity index in PCa and BPH patients in the South African population.
3. To determine genetic variation within the cfDNA fragments in PCa from South African populations.

## **CHAPTER 2: General Methodology**

### **2.1 Background**

The study was carried out at the Cancer Genomics laboratory of the International Centre for Genetic Engineering and Biotechnology (ICGEB), Cape Town. This study was done in collaboration with the Urology Departments of Groote Schuur Hospital (GSH). The staff of the Urology Clinics, which include specialists, registrars, intern doctors, and nurses, participated in successful recruitment of patients and sample collections.

#### **2.1.1 Ethical Approval and Patient Recruitment.**

Ethical approval for the project was received from the University of Cape Town, Faculty of Health Sciences, Human Research Ethics Committee (HREC 454/2012). The standard ethical guideline, as stipulated in the Helsinki declaration, was followed in carrying out the research (223–225).

The participants for this study were recruited from GSH and New Somerset Hospital. The two hospitals are part of the major referral hospital in Western Cape province. Patients scheduled for prostatectomy or Transurethral resection of the prostate were approached to recruit into the study. Information such as the study purpose, the roles of the study participants, the risk involved in participating in the study, participant's right to withdraw, and the sample collection process were fully explained to the patients. Patients that agreed to participate were given the consent form to sign, after which blood and urine samples were collected from patients.

Patients included in the study were South African men diagnosed with BPH, and PCa. Other inclusion criteria considered are:

- (1) Patients who have not been previously treated for PCa.
- (2) Patients who have not had hormonal treatment or surgical orchiectomy.
- (3) Patients with no other comorbidities such as diabetes and arterial hypertension
- (4) Patients with no previous history of cancer at any other site in the body.

#### **2.1.2 Sample Collection and Laboratory Processing**

Blood and urine samples were collected from every patient that accepted to be part of the study. Blood samples were collected intravenously into a 10 ml K2-EDTA tube. The EDTA tube was gently inverted a few times after and was transported on ice. Urine was collected by asking the patient to void urine into a 50 ml collection tube or drawn from a catheter bag in patients who

had a catheter bag in place. The urine sample was transported on ice to the ICGEB laboratory for further processing.

*Blood and Urine Sample Processing:* Separation of blood samples into plasma and other components was done by centrifuging at 1000×g for 10 min at 4°C. The different separated layers were carefully removed from the EDTA tube after centrifugation into 2 ml microtubes and stored at -80°C.

Urine samples were centrifuged at 1000×g for 10 min at 4°C to remove particles and cellular debris. The supernatant was carefully removed into 5 ml tubes and stored and stored in -80°C freezer.

## **2.2 Exosomes experimental framework**

Exosomes are the smallest of the three extracellular vesicle subgroups ranging from 30-150 nm. Exosomes are intraluminal vesicles released from the exocytosis of MVB upon fusion with the plasma membrane (152). Exosomes are a snapshot of the cell content from which they are produced and contain cargo that modify the cell function of the recipient cell (149). Exosomal cargo such as proteins, lipids, metabolites, DNA, mRNA, and microRNA, may differ depending on the parent cell origin and cellular microenvironment (226).

An appropriate exosome isolation technique must consider the heterogeneous nature of exosome sizes and contents. Presently, no isolation technique can completely separate exosomes from lipoproteins and other extracellular vesicles with similar biophysical properties (227). There are four commonly used isolation methods, ultracentrifugation, size-based isolation, immunoaffinity capture, and polymer precipitation techniques. Various commercial kits based on these four isolation techniques are available. The advantages of these kits include time saving, ease to use and high yield while high cost and low purity are some of the setbacks. Presently, some of the most commonly used isolation kits include Total Exosome Isolation kit (Invitrogen), Eloquence (System Biosciences) (ExoQ), and Exo-spin (Cell guidance systems) (ExoS) (228). Major setbacks of the commercial kits include diverse purity and size distribution of isolated exosomes (229,230).

### **2.2.1 Exosome isolation from plasma and exosomal RNA isolation**

Exosome isolation for this study was done using the Invitrogen™ Total Exosome Isolation Kit (from plasma) (catalog number: 4484450) according to the manufacturer's protocol. Exosomal RNA was extracted from exosomes isolated from plasma using Invitrogen™ Total Exosome

RNA & Protein Isolation Kit (catalog number: 4478545) after which miRNA sequencing was done. Below is the step-by-step protocol for exosome and exosomal miRNA isolation.

*Steps in isolating exosomes from 1 ml of plasma (with proteinase K):* Exosomes were isolated from 1 ml of plasma. The frozen plasma was thawed on ice until it was completely liquified. In order to remove cells and debris, the plasma sample was centrifuged at  $2,000 \times g$  for 20 min at room temperature and the supernatant was transferred to a new tube. The plasma was centrifuged again to further remove any available debris at  $10,000 \times g$  for 20 min at room temperature. The plasma supernatant was carefully transferred into a new tube and placed on ice.

This was followed by the addition of 500  $\mu$ l of 1X phosphate buffer saline (PBS) (pH 7.4) and 50  $\mu$ l of Proteinase K (50  $\mu$ g/ml) into the tube. The mixture was mixed thoroughly by vortexing and incubated at 37°C for ten min. After incubation, 300  $\mu$ l of the Exosome Precipitation Reagent (from plasma) was added and mixed thoroughly until a homogenous solution was observed. The tube containing the solution was incubated for 30 min at 4°C and the solution was centrifuged for 5 min  $10,000 \times g$  at room temperature. The supernatant was carefully removed and discarded. The exosomes contained as pellet in the tube. Then, 100  $\mu$ l of 1X PBS was added to the tube containing the exosomes pellet and was vortexed until the pellet was completely suspended. The suspended exosomes were stored in -80°C freezer.

*Steps in isolating total RNA from 50  $\mu$ l of suspended exosomes:* Total RNA was isolated from 50  $\mu$ l of suspended exosomes. The isolation steps involved adding 150  $\mu$ l 1X PBS and 200  $\mu$ l 2X Denaturing Solution to the exosome sample in an RNase-free tube. The mixture was thoroughly vortexed and incubated on ice for five min. This was followed by addition of 400  $\mu$ l of Acid-Phenol: Chloroform (125:24) and the mixture was thoroughly mixed by vortexing for 60 seconds. The mixture was centrifuged at  $10,000 \times g$  for 5 min at room temperature to separate the mixture into organic and aqueous phases. The upper aqueous phase was carefully removed and transferred into a new tube. A 1.25 volume of 100% ethanol was added to the recovered volume of the aqueous phase and thoroughly mixed. This was followed by placing filter cartridge in collection tubes. Then, 700  $\mu$ l of the mixture was pipetted into the filter cartridge and was centrifuge at  $10,000 \times g$ . This was repeated until all mixture was completely centrifuged through the filter cartridge.

For the washing step, 700  $\mu$ l miRNA Wash Solution 1 was pipetted into the filter cartridge and was centrifuge for 15 seconds at 10,000 x g. The flow-through was discarded and the filter cartridge was placed back into the same collection tube. This was followed by adding 500  $\mu$ l Wash Solution 2/3 into the filter cartridge and centrifuged for 15 seconds at 10,000 x g. The washing done by Wash Solution 2/3 was repeated to completely remove the contaminants. The flow through was discarded and the filter cartridge was placed in the same collection tube and centrifuge at 10,000  $\times$  g for one minute to remove from the filter possible residual fluid.

The filter cartridge was placed into a new collection tube and 50  $\mu$ l of preheated (95°C) nuclease-free water was applied at the center of the filter. The filter cartridge was centrifuged for 30 seconds at 10 000 x g to recover the RNA and the eluate was stored in -80°C freezer.

### **2.2.2 Exosomes Transmission Electron Microscope Imaging**

The transmission electron microscopy (TEM) was invented in 1931 by Ernst Ruska and Max Knoll (11). The invention of TEM has largely influenced the study of science. For example, TEM imaging was fundamental to discovering that the human nervous system is formed from separate cells interacting through neurotransmitters and not just a single structure (12, 13). TEM imaging also helped develop the field of virology by making possible the visibility of the complex structure of viruses (14, 15). With resolution of  $\sim$ 1 nm, TEM imaging contributed to detect and describe particles like extracellular vesicles (16). Electron microscopy is the standard imaging method for characterizing nanosized samples, including extracellular vesicles (17-19). Electron microscope such as TEM and cryo transmission electron microscopy (cryo-TEM) have been shown to be a standard imaging method for characterizing exosomes due to its highly effective ability to characterize single extracellular vesicles (20). In-vivo, exosomes appear rounded in shape but appear cup-shaped when characterized with TEM due to chemical fixing and uranyl acetate contrast (21, 22).

*Steps in preparing exosomes sample for TEM imaging:* Exosome samples was diluted 1:100 with double de-ionized water. The carbon-coated copper grid was first glow discharge before loading 5  $\mu$ l diluted sample. After a one min incubation, the copper grid was blotted on a filter paper and wash twice with 5  $\mu$ l of double de-ionized water. The exosomes loaded copper grid was then stained with 5  $\mu$ l of 2% Uranyl acetate and blotted on filter paper after one minute. The grid was dried for 3 min and stored in grid cassette.

Capturing Exosomes Images on Transmission Electron Microscope

All the safety precautions for the use of TEM were properly followed. The copper grid was carefully loaded on the rod and inserted into the sample port of the electron microscope. After the rod had been properly placed, the column valve was opened, and the magnification turned down to 290 X. This was followed by taking out the aperture to select an appropriate field on the grid. After an appropriate field was selected the aperture was turned in and the magnification increased to 25500X. Exosomes images were captured after lifting up the field stage and focusing the image properly using the “Eucentric focus” on the control panel.



Figure 2.1: FEI TECNAI T20 TEM used in this thesis.

### **2.2.3 miRNA sequencing**

Exosomal RNA quantity and quality were assessed using the ND100 Nanodrop (Thermo Fisher). The RNA integrity was determined using the Agilent 2200 TapeStation (Agilent Technologies, USA) with an RNA Integrity Number (RIN) value greater or equal to 7. A total amount of 10 ng of exosomal RNA is required for library construction for each sample.

SMARTer smRNA-Seq Kit for Illumina (New England Biolabs, U.S.A) was used in the construction of small RNA libraries. Libraries were generated according to the manufacturer's protocols.

Total RNA was subjected to sequential 3' and 5' adapter ligations (T4 RNA Ligase 2, Epicenter, LR2D1132K) followed by reverse transcription (SuperScript II Reverse Transcriptase, Invitrogen, 18064-014). The quality of library was determined using an Agilent Bioanalyzer 2100 system (Agilent). Library quantity was checked with qPCR according to the Illumina qPCR quantification manual. The cDNA libraries that passed the quality check were used to generate cluster and sequenced on the Illumina HiSeq. 2500 platform (Illumina) to achieve 125 bp pair-end reads.

#### Steps in the analysis of miRNA sequenced data

*Data preparation:* Two separate reads are usually produced from Illumina paired-end sequencing for each DNA fragment. Sequenced data for each sample have paired end singleton reads, which were concatenated in a single file per library and overlapping paired-end reads were merged with the BBmerge from BBMap package (2).

*RNA identification step:* RNA identification from the merged files was done using Oasis 2.0 (<http://oasis.dzne.de/index.php>). The merged files were uploaded on Oasis 2.0 website with information such as the email address, experiment name, reference genome and adapter sequence. The results were obtained from the website after a few hours. The stem-loop sequence code was obtained by using the miRBaseConverter, a broad and efficient tool used to convert and retrieve miRNAs information in different miRBase versions. R-Studio was used to analyse the miRNAs identified by Oasis 2.0 to determine differentially expressed miRNA in high and low Gleason's score PCa samples. R-studio was also used to determine differentially expressed miRNA in high and low Gleason's score PCa in the Cancer Genome Atlas (TCGA) PCa tissue miRNA. The differentially expressed exosomal miRNAs were compared with the differentially expressed miRNA in TCGA PCa tissue miRNAs. We selected the miRNAs common between the two cohorts, which are also expressed in the same direction.

*TCGA Tissue miRNA:* The results are based on data produced from TCGA Research Network. The cancer profiling data were produced by informed consent as part of studies published before and analysed according to each original study's data regulations. Primary samples from the prostate adenocarcinoma dataset were deduced using TCGA code "01A" (limiting the

analysis to a sample for each patient), which is the two-digit code according to TCGA usual sample name. The miRNA-sequencing data were acquired from The Broad Institute Firehose pipeline (<http://gdac.broadinstitute.org>).

#### **2.2.4 miRNA validation experiments.**

The selected miRNAs were further validated by accessing their expression in PCa and BPH exosomal miRNA samples. This involves the synthesis of complementary DNA (cDNA) from exosomal RNA, then followed by using a real time PCR. The Qiagen miRCURY LNA RT Kit (catalog no: 339340) was used for cDNA synthesis while miRCURY LNA SYBR® Green PCR Kit was used for real time PCR reactions.

*Reagents and buffers in miRCURY LNA RT Kit:* The reagents and buffers available in miRCURY LNA RT kit includes, 5x miRCURY RT SYBR® Green® Reaction Buffer, which consists of a universal reverse transcription primer for the SYBR® Green-based workflow, Mg<sup>2+</sup>, and dNTPs; 5x miRCURY RT Probe Reaction Buffer, which contains universal reverse transcription primer for the Probe-based workflow, Mg<sup>2+</sup>, Probe RT primer and dNTPs; 10x miRCURY RT Enzyme Mix, which is an enzyme for reverse transcription; UniSp6 RNA Spike-in Template for monitoring successful reverse transcription; and Nuclease-free water for elution.

*Reagents and buffers in miRCURY LNA SYBR® Green PCR Kit:* The reagents and buffer available in miRCURY LNA SYBR® Green PCR kit includes, 2x miRCURY SYBR® Green PCR Master Mix containing miRCURY SYBR® Green PCR Buffer, and dNTP mix (dATP, dCTP, dGTP, and dTTP). The kit also contains ROX™ Reference Dye, Nuclease-free water and QuantiNova® DNA Polymerase, which composed of Taq DNA Polymerase, QuantiNova Antibody, QuantiNova Guard.

*Steps in synthesis of complementary DNA (cDNA) from exosomal RNA:* For each cDNA reaction 2 µl of 5x miRCURY SYBR® Green RT Reaction Buffer was added to 1 µl of 10x miRCURY RT Enzyme Mix and 3 µl RNase-free water using 4 µl of exosomal RNA as template. The reverse transcription reaction was done at 42°C for 60 min and inactivation of reaction done at 95°C for 5 min. The was followed with cooling at 4°C and cDNA stored at – 20°C.

*Steps in real time PCR protocol for miRNA expression:* For validation of miRNA expression, a 1:30 dilution of the cDNA was made by adding 290 µl RNase-free water to the 10 µl reverse

transcription reaction product. Each 10  $\mu$ l PCR reaction well contained 1  $\mu$ l resuspended PCR primer, 1  $\mu$ l RNase-free water, 5  $\mu$ l 2x miRCURY SYBR® Green Master Mix, and 3  $\mu$ l diluted cDNA template. PCR plate was centrifuged for 20 seconds at 1000 x g. Real time PCR was performed on LightCycler 480 system (Roche Diagnostics, Mannheim, Germany). Amplification was performed according to the following protocol: initial heat activation at 95°C for 2 min, denaturation at 95°C for 2 seconds followed by a combined annealing and extension at 56°C for 10 seconds for 45 cycles. Melting curve analysis was done at electrophoresis. The miRNA expression was normalized to the absolute copy number of all miRNAs.

### **2.3 CfDNA experimental framework**

Due to the presence of different cell free molecules in plasma, urine, and other body fluids, it becomes important that cfDNA is properly and efficiently isolated from these body fluids. There are presently dozens of commercially available methods, including kits, to isolate cfDNA from plasma, urine, and other body fluids. These methods are either used manually or automated (4). The major differences between these methods are the mechanism by which they isolate cfDNA. Some of these kits isolate cfDNA by binding the cfDNA to silica gel membrane or magnetic particles or by precipitation with organic chemicals (231). Studies have compared the efficiency of these kits in terms of their ability to efficiently recover cfDNA, reproducibility, and size discrimination (232,233).

Many comparative studies of cfDNA commercial isolation kits have shown the QIAamp Circulating Nucleic Acid kit to be highly effective in the isolation of cfDNA from plasma and urine when compared with other manual commercial kits (234–236).

#### **2.3.1 CfDNA Extraction**

The QIAamp Circulating Nucleic Acid kit (Qiagen) was used in the extraction of cfDNA from plasma and urine in this study. The kit contains different reagents and buffers for the extraction process.

*Reagents and buffers in the kit:* The kit contains proteinase K solution and the following buffers ACL, ATL, ACB, ACW1, ACW2, and AVE. The proteinase K is usually added to samples prior to the addition of ACL buffer. It helps to digest protein and remove contamination from the sample. Proteinase K also inactivates nucleases that might cause degradation of nucleic acids. Buffer ACL is a lysis buffer used in combination with carrier RNA to ensure the

complete release of cfDNA from bound vesicles, protein, and lipids. The buffer also helps in inactivating DNases and RNases. Buffer ATL is an additional lysis buffer used to ensure complete release of cfDNA in urine samples. Buffer ACB is used just before the column binding step to adjust the binding conditions to allow maximum binding of nucleic acids to the silica membrane column. Buffer ACW1 and ACW2 were used as washing buffers to remove contaminants that bind to the membrane column. Buffer AVE is used as an elution buffer and to dissolve lyophilized carrier RNA.

*Steps involved in cfDNA extraction from plasma and urine.*

CfDNA extraction was done from 1 ml of plasma and 10 ml of urine. For plasma sample extraction, 100 µL Proteinase K was pipetted into a 50 ml centrifuge tube and 1 ml of plasma sample was added. For each urine sample extraction, 1.25 ml Proteinase K was pipetted into a 50 ml centrifuge tube and 10 ml of urine sample was added. Lysis was done by adding 0.8 ml of Buffer ACL (containing 1.0 µg carrier RNA) to the mixture of plasma and Proteinase K, after which thorough mixing was done by pulse-vortexing for 30 seconds. This was followed by incubation of the mixture at 60°C for 30 min. For urine samples, lysis was done by adding 10 ml of Buffer ACL (containing 1.0 µg carrier RNA) and 2.5 ml ATL buffer to ensure complete release of cfDNA. The solution was mixed thoroughly by pulse-vortexing for 30 seconds and the mixture incubated at 60°C for 30 min.

Then, 1.8 ml and 19.8 ml of ACB buffer was added to the plasma and urine mixture respectively, to ensure optimal binding of the circulating nucleic acids to the membrane. The lysate–buffer ACB mixture was incubated for 5 min on ice. This was followed by carefully applying the mixture into the mini column connected to a vacuum manifold to draw the lysates through the mini column. At this stage, the nucleic acids were bound to the column and the lysate was discarded.

Washing of the column containing the nucleic acids was done by applying 600 µl of buffer ACW1 into the mini column and drawn through the column using the vacuum pump. A second washing was done by applying 750 µl of buffer ACW2 into the mini column and drawn out with the vacuum pump. A final wash is done by applying 750 µl of 100% ethanol into the mini column and drawn out with the vacuum pump. The QIAamp Mini column was then placed in a 2 ml collection tube, and centrifuge for 3 min at full speed. Immediately after centrifugation, the mini column was placed in a new 2 ml tube and incubated at 56°C for ten min to dry the

membrane. The mini column was transferred into a new 1.5 ml tube. Elution of cfDNA was done by applying 50  $\mu$ L of AVE buffer at the center of the membrane and incubated for 3 min at room temperature. The mini column in the 1.5 ml was centrifuged at full speed for one minute to elute the cfDNA through the membrane. The concentration and purity of cfDNA were measured using the NanoDrop ND-2000. CfDNA eluate was stored in  $-80^{\circ}\text{C}$  freezer.

### **2.3.2 CfDNA Quantification**

The quantification of cfDNA in this study was done using the quantitative real time polymerase chain reaction (qPCR). The qPCR is a polymerase chain reaction (PCR) technique that combines DNA amplification and detection into one step.

The PCR was invented in 1983 by Mullis (237). PCR became widely used with the replacement of thermolabile Klenow fragment initially used for amplification with a thermostable polymerase from *Thermus aquaticus* (238). In the early 1990s, real time detection of DNA amplification through fluorescence monitoring was introduced (239,240). This was a major milestone because measuring fluorescence after each cycle allows quantification of target DNA. This is done using the calibration curve constructed with serially diluted standard samples with known concentrations or copy numbers.

The qPCR is one of the most used approach in the absolute quantification of cfDNA due to its reproducibility and accuracy (241). Alu gene, human genome most abundant repeat, was used to quantify cfDNA concentration (242,243). DNA integrity was calculated as the ratio of the longer DNA fragment (ALU 247) to that of shorter DNA fragment (ALU 115).

#### Steps in cfDNA qPCR reaction:

*ALU primers master mix preparation:* We made a 100  $\mu$ M solution of Alu 115 forward and reverse primer sets and 100  $\mu$ M solution of Alu 247 forward and reverse primer sets. A 2  $\mu$ M solution of both ALU 115 and ALU 247 primers was prepared with nuclease-free water. The sequences of ALU 115 primers used were forward CCTGAGGTCAGGAGTTCGAG and reverse CCCGAGTAGCTGGGATTACA; ALU 247 primers sequence were forward GTGGCTCACGCCTGTTAATC and reverse CAGGCTGGAGTGCAGTGG.

We prepared a primer master mix in the ratio of 0.25  $\mu$ l of 2  $\mu$ M ALU primer and 5.75  $\mu$ l of SYBR Green for each sample. We diluted 1  $\mu$ l each cfDNA samples in nuclease free water in

the ratio of 1:400. For each qPCR reaction 6 µl primer master mix was added to 4 µl of diluted cfDNA into each well of the PCR plate

### **2.3.3 CfDNA exome sequencing**

We performed whole exome sequence of cfDNA extracted from urine samples. The quantification of cfDNA samples for whole exome sequence was determined using Picogreen (Invitrogen, Catalog number: P7589). The quality of cfDNA fragment size was evaluated using High Sensitivity DNA Assay 2100 Bioanalyzer.

Whole exome sequencing was done on Illumina platform. Library preparation was done using SureSelect V6-Post(cfDNA) kit after samples quality control have been ascertained. The sequencing library was constructed by random fragmentation of DNA sample, after which 5' and 3' adapter ligation was done. Amplification and purification of adapter-ligated fragments was done using PCR and gel respectively. In order to generate clusters, the library was loaded into a flow cell where fragments were captured on a lawn of surface-bound oligos complementary to the library adapters. Bridge amplification was done to amplify each fragment into distinct, clonal clusters. The templates were ready to be sequenced after the cluster generation was completed. Sequencing was performed with Illumina SBS technology which make use of proprietary reversible terminator-based method.

The terminator-based method has four reversible, terminator-bound dNTPs available at every sequencing cycle, reduces incorporation bias, and raw error rates in comparison with other technologies. This produces very accurate base-by-base sequencing that practically removes sequence-context-specific errors. The data produced from the sequencing process was transformed into raw data that is ready for analysis.

### **2.3.4 Bioinformatics analysis of cfDNA experimental data**

Data generated from cfDNA qPCR reactions and whole exome sequencing were analyzed using R. R is very helpful when analyzing large statistical data analysis, including graphical computing data (244). R-Studio ([www.rstudio.com](http://www.rstudio.com)) is an easy-to-use tool based on the R interface and is accessible as an application on computer. The R (version 3.6.1) and R-studio (version 1.1.456) software were used for statistical analysis and graphical illustration of data generated from cfDNA experiments using in-house developed scripts.

## **CHAPTER 3: Exosome cargo as a source of biomarker for prostate cancer**

### **3.1 Background**

The most common approach for PCa diagnosis remains needle biopsy despite its invasiveness and other known associated complications. PSA has long been used as a biomarker for PCa

screening. However, factors such as low sensitivity of PSA, over detection leading to overtreatment, and the resultant side effects and complications limit the use of PSA (245). New and better biomarkers are, therefore, needed in the diagnosis, treatment monitoring and prognosis of PCa.

Exosomes are extracellular vesicles released by virtually all normal or pathological cells (246–248). Exosomes function in intercellular communication due to their ability to transmit protein, DNA, mRNA, miRNA, and lipid molecules from one cell to another (249,250). Exosomes can modulate physiological and disease state processes, elimination of toxic substances, including delivery of drug and therapeutic antibody (246,247,251). Also, tumor exosomes are a more comprehensive landscape of tumor heterogeneity that cannot be appreciated with tissue biopsy (252). These qualities made exosomes a promising biomarker for cancer diagnosis, prognosis, and treatment monitoring. Studies have explored exosome cargo as diagnostic and prognostic biomarkers for many cancer types, including PCa (216,226,253,254). Studies have shown blood and urinary exosomes from PCa patients possess PCa-specific components, which can serve as biomarkers for PCa metastasis (255,256). Huang et al. found that exosomal miRNA1290 and miRNA375 are possible biomarkers for prognosis of castration resistance prostate cancer (CRPC) (173,257). However, most of these studies are within European populations and studies in African populations are sparse, making this present study imperative.

Together with the Oncology Institute of Southern Switzerland and the Portuguese Oncology Institute of Porto, we investigated the oncogenic role of exosomal miRNA424 prostate tumor self-sustenance, disease recurrence and progression (195). The study and its findings are discussed briefly next.

We investigated the presence of miRNA424-loaded exosomes in blood circulation by measuring the expression level of miRNA424 in exosomes isolated from plasma of BPH and PCa samples. The expression of miRNA424 was shown to increase from primary PCa to metastatic castration-sensitive prostate cancer (mCSPC) and metastatic castration-resistant prostate cancer (mCRPC). There was no detection of miRNA424 expression in BPH samples ( $Ct \geq 40$ ).

The role of miRNA424 in driving tumorigenic traits in recipients' cells was investigated by assessing the effect of prostate donor cells conditioned medium engineered to express

miRNA424 in miRNA424-negative recipient cells. We found an increased miRNA424 level in recipient cells after being supplemented with conditioned medium from prostate donor cells. Also, the transfer miRNA424 to recipient cells significantly increased clonogenic capability, tumor-sphere formation, and cell migration in recipient cells.

The study also assessed the association between miRNA424 secretion and transition from indolent to aggressive phenotype using PCa experimental models. We found significantly higher expression of miRNA424 in LNCaP<sup>abl</sup> cells, a CRPC cell model derived from LNCaP cells, compared to parental cells. LNCaP<sup>abl</sup> cells were found to secrete miRNA424 enriched exosomes compared to parental LNCaP cells. Furthermore, RWPE-1 recipient cells were supplemented with exosomes from parental and LNCaP<sup>abl</sup> cells. A similar uptake of exosomes in recipient cells using parental and LNCaP<sup>abl</sup>-derived exosomes was found. However, there was increased miRNA424 level, increased cell migration and enhanced tumor-sphere formation in recipient cells exosomes derived from LNCaP<sup>abl</sup>-cells compared to exosomes from parental LNCaP cells.

The release of miRNA424 into exosomes from prostate tumors was examined using a mouse model. This was done by subcutaneously establishing xenografts of control and miRNA424-positive LNCaP cells in mice. Tumors of miRNA424 expressing LNCaP cells grew bigger than control tumors. We also showed that exosomes from miRNA424-positive tumors have higher miRNA424 expression compared to control xenografts. Exosomes from miRNA424-positive tumors were found to be fully functional, promote tumor-sphere formation, and cell migration when supplemented with miRNA424-negative recipient cells. This confirmed that tumor xenografts in mice secrete functional exosomes that can transfer miRNA424 and induce malignant phenotypes in recipient cells.

We further hypothesized that tumors exosomes could cause low tumorigenic cells to acquire stem-like and tumorigenic traits distal metastatic sites. In order to test this, mice were injected with subcutaneous implants of RWPE-1 cells ( $\geq 100$  mm<sup>3</sup>) with exosomes control and exosomes loaded with miRNA424 derived from LNCaP cells. We detected using DiD-labeling and in vivo imaging, fluorescently labeled exosomes in the tumor area at 24 h after injection, confirming that both exosomes' preparations reached the tumors. The supplementation of exosomes loaded with miRNA424 was found to enhance tumor growth compared to exosomes control. Also, miRNA424 level was significantly higher in mice receiving exosomes loaded with miRNA424 compared to exosomes control.

The findings from the mouse model were further verified in human circulating exosomes by supplementing RWPE-1 cells with exosomes isolated from plasma of BPH, primary PCa, mCSPC and mCRPC patients. We found, using confocal microscopy, similar intake of fluorescently labeled exosomes. Our findings showed that exosomes from mCSPC and mCRPC patients enhanced tumor-sphere formation significantly more than those from BPH and primary PCa patients. Furthermore, high miRNA424 content in exosomes was significantly associated with increased induction of tumor-sphere formation across all samples. These findings showed that exosomes containing miRNA424 could travel through the blood circulation and activate the oncogenic cascade associated with miRNA424 in recipient cells at distal sites.

In this collaboration, we showed that exosomes-mediated release of miRNA424 can serve as an efficient means for transferring oncogenic signals across cells in the surrounding microenvironment and at distal metastatic sites promoting disease recurrence and progression.

In the current chapter, we investigate exosomes and its miRNA cargo in PCa patients from a South African cohort. PCa exosome was characterized in terms of exosomal concentration and size using a transmission electron microscope (TEM). The miRNA cargo was identified and quantified with small RNA sequencing.

## 3.2. Methodology

### 3.2.1 Exosome and exosomal RNA extraction.

Exosome was isolated from 1ml of plasma samples of 32 PCa and 26 BPH patients using the Invitrogen Total Exosome Isolation Kit (from plasma) [Catalog number: 4484450], resuspended in 100  $\mu$ l 1x PBS and stored in a -80°C freezer (Figure 3.1).

*RNA extraction:* Total RNA extraction was done from 50  $\mu$ l each of isolated exosomes using the Invitrogen Total Exosome RNA & Protein Isolation Kit (Catalog number: 4478545). Extracted RNA was eluted in 50  $\mu$ l nuclease free water and stored at -80°C.

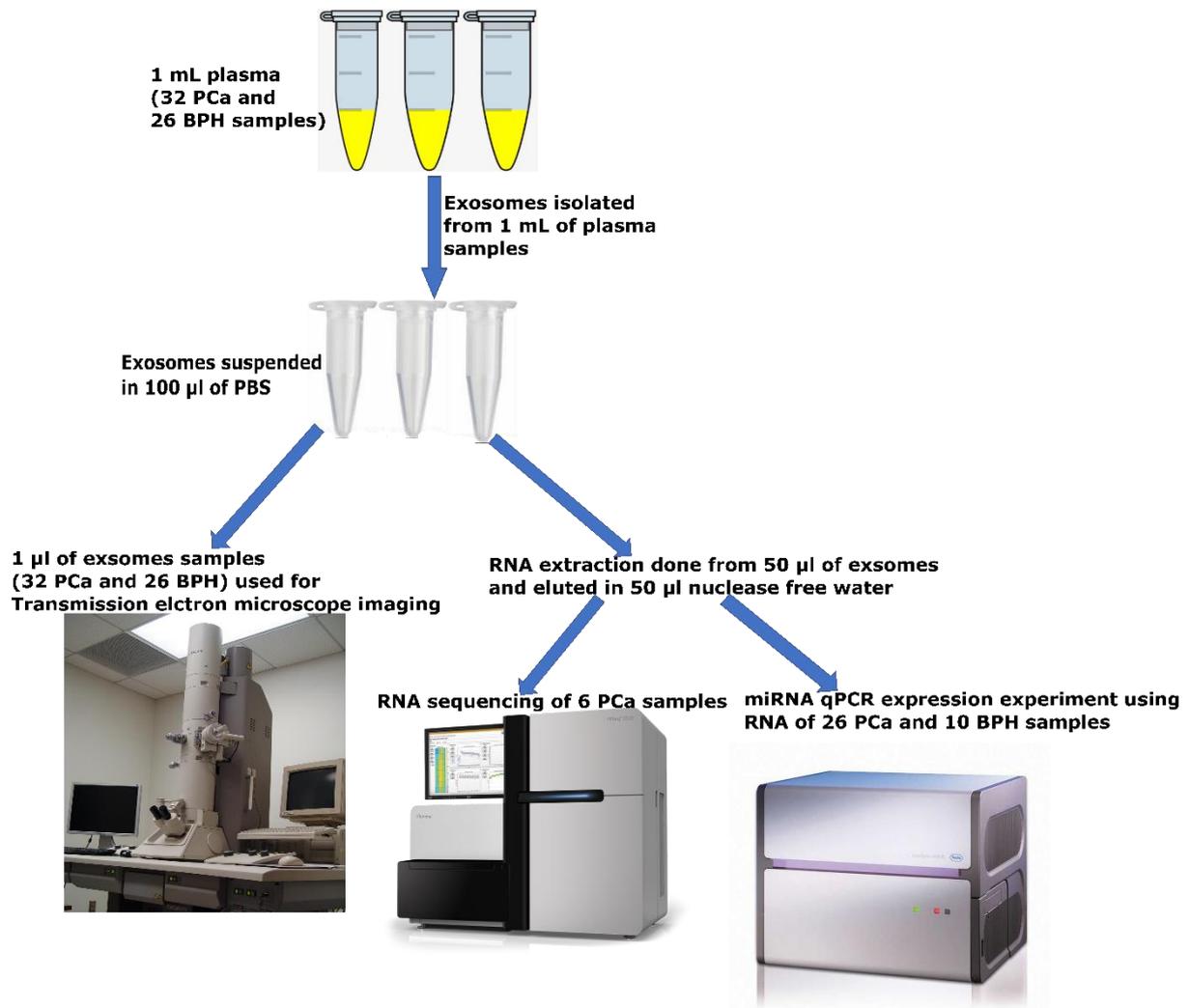


Figure 3.1: Workflow of exosomes experimental design

### 3.2.2 Transmission Electron Microscope imaging

In order to confirm the isolated exosomes and also to compare the size and number of exosomes present in PCa patients with BPH patients, we did an electron microscopy imaging of the exosomes from 32 PCa and 26 BPH patients.

For each exosome sample, a 1:100 dilution was made. We then prepared a glow discharged carbon coated copper grid by applying 5 µl diluted exosome sample on the discharged copper grid for one minute. The carbon coated copper grid was blotted on a filter paper and wash twice with 5 µl of double deionized water. The carbon coated copper grid was then stained with 5 µl of 2% Uranyl acetate and blot on filter paper after one minute. The copper grid was viewed on Transmission Electron Microscope (TEM) and ten photos were taken randomly in all quadrants of the grid.

Data and bioinformatic analysis:

*Exosomal size profiling:* The number of exosomes grouped by diameter range of 2 nm was calculated for each patient. The exosomal (diameter) size profile was smoothed using a loess function. The profile was deconvoluted using 8 Gaussian curves centered at 16, 19, 22, 25, 28, 31, 34, and 37 nm and with standard deviation of 3 nm. The quantification and the morphological features analysis of exosomes in clusters was done by manually calculating the number of exosomes in each cluster. Cluster with less than 10 exosomes were categorized as small cluster while clusters with more than 10 exosomes were categorized as big clusters. The number of exosomes and clusters were normalized based on the size and the number of the photos of each patient.

*Data analysis:* The analysis of the TEM images was done using ImageJ according to the following steps: (i) raw tiff image was imported into FIJI with the image type changed to 8 bit; (ii) the spatial scale of the image was define using a known distance (100 nm) on the image in other to calibrate the image in nanometer; The size of the area captured was of 4380x4380 nm or 5240x5240 nm, respectively; (iii) low-pass Gaussian filter (with sigma=10) was applied; (iv) the local median intensity was subtracted from the image to remove intensity gradient in the image; (v) the low intensity belonging to the first quintile were removed from the following analysis; (vi) the grayscale image is converted to a binary image using a threshold value calculated using the Otsu's method (258); (vii) holes in the identified exosomes were filled; (viii) morphological features of identified exosomes were computed and exosome with ratio between standard deviation and mean of radius larger than 0.4 were excluded; (ix) watershed transformation was applied and morphological features of identified exosomes were computed again; (x) exosome with ratio between standard deviation and mean of radius larger than 0.15 and exosome with a calculated area smaller than 150 nm<sup>2</sup> were excluded.

*Bioinformatic analysis:* Wilcoxon rank-sum test was used to compare differences in concentration of exosomes and exosomal clusters between BPH and PCa, and low and high GS. P values were adjusted for multiple testing with the Benjamini–Hochberg correction and a false discovery rate (FDR) cutoff of 0.01 was used. Statistical analysis and graphical images of the data were produced with the R (version 3.6.1) and R studio (version 1.1.456) software using in-house developed scripts.

### 3.2.3 Exosomal RNA sequencing

We performed small RNA sequencing of total RNA isolated from 3 high GS (total score  $\geq 8$ ) and 3 low GS (total score  $\leq 7$ ) PCa patients. Small RNA sequencing was done on Illumina platform. Quality control of small RNA was done using Agilent 2100 Bioanalyzer with a total amount of 10 ng of exosomal RNA required for each sample. The SMARTer smRNA-Seq Kit was used in preparing the library. Sequencing was done using the Illumina HiSeq. 2500 platform (Illumina) to obtain 151 bp pair-end reads.

*Data preparation and miRNA identification:* The paired end reads of each sample sequenced were merged with the BBmerge from BBMap package (2). Identification miRNA from merged files was done using Oasis 2.0 (<http://oasis.dzne.de/index.php>). R-Studio was used to analyze the miRNAs identified by Oasis 2.0 to determine miRNAs that are differentially expressed in high and low GS PCa samples. R-studio was also used to determine miRNAs differentially expressed in TCGA high and low GS PCa tissue miRNA. The differentially expressed exosomal miRNAs were compared with the differentially expressed miRNA in TCGA PCa tissue miRNAs. We selected the miRNAs common between the two cohorts, which are also expressed in the same direction.

*MicroRNA enrichment:* To investigate the genes targeted by our selected miRNAs, a miRNA-target enrichment analysis was done using the MIENTURNET (MicroRNA ENrichment TURned NETwork) web tool (259). We made a regulatory network of genes that are related to PCa and their target miRNAs using the web tool. We also did functional enrichment analysis of selected miRNAs targets by querying the Kyoto Encyclopedia of Genes and Genomes (KEGG) (260) pathway through the MIENTURNET web tool (259).

*Data and bioinformatic analysis:*

*Data analysis:* The stem-loop sequence code of identified miRNA was obtained using the Bioconductor package miRBaseConverter. From 903 miRNA identified, 294 miRNAs with an average read counts above 10 were considered for the following analysis.

*Bioinformatic analysis:* We used the Trimmed Mean of M-values (TMM) scaling employed in the Bioconductor package to normalize miRNA read counts for the library size. This was followed by conversion into log<sub>2</sub> counts per million. We used R to identify differentially expressed genes (DEGs) by applying the negative binomial differential expression method edgeR (261). Statistical analysis and graphical illustrations of the data were generated in the R (version 3.6.1) and R studio (version 1.1.456) software using scripts made in-house.

### **3.2.4 miRNA qPCR expression experiment**

For validation of our selected miRNA qPCR expression experiment was done. We made complementary DNA (cDNA) from 26 PCa and 10 BPH exosomal RNA samples using Qiagen miRCURY LNA RT Kit (catalog no: 339340) following the protocol designed by the manufacturer.

This was followed by a real time PCR expression analysis of the miRNAs identified at the initial experimental phase. We included miRNA424 to broaden the analysis in our previous study (195). The qPCR expression analysis was done as described earlier in Chapter 2.

To determine the expression level of the 8 miRNAs, real time PCR reaction was done in duplicate for each cDNA sample of the 7 miRNAs. Real time PCR was performed on LightCycler480 system (Roche Diagnostics, Mannheim, Germany).

In order to determine the absolute copy number of the qPCR products for each of the 8 miRNAs, a 2% agarose gel of the qPCR products were made, the band size was determined after which the band excised. DNA was purified from the band using the QIAEX II Gel Extraction Kit (cat. no. 20021) and quantified using Nanodrop. The known band size (50bp) and concentration of cDNA was used to calculate the copy number of DNA present in 1  $\mu$ l of cDNA using Avogadro's constant. The purified cDNA was serially diluted to construct standard curves on qPCR for each of the 8 miRNAs to determine the absolute copy number of miRNA present in each sample used for validation.

*Data analysis:* We used Wilcoxon rank-sum test to make comparison of logarithms of all miRNAs absolute copy number for the miRNA qPCR expression experiment. The absolute copy number for all the miRNA was used to normalize each of the miRNA. Statistical analysis and graphical illustrations of the data were generated in the R (version 3.6.1) and R studio (version 1.1.456) software using scripts developed in-house.

## **3.3 Results**

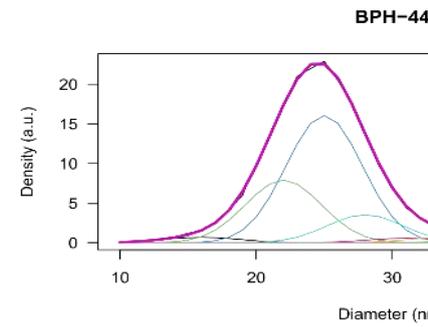
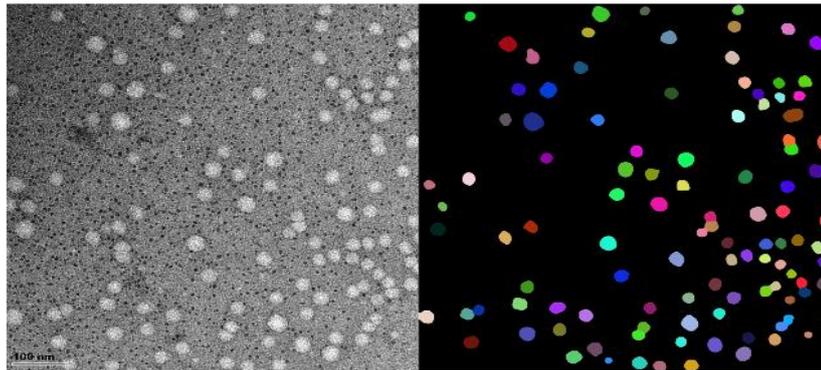
### **3.3.1 Transmission Electron Microscope Image analysis**

TEM image analysis of 32 PCa patients and 26 BPH were done (Table 3.1). Figure 3.2 showed some of the TEM images of PCa and BPH.

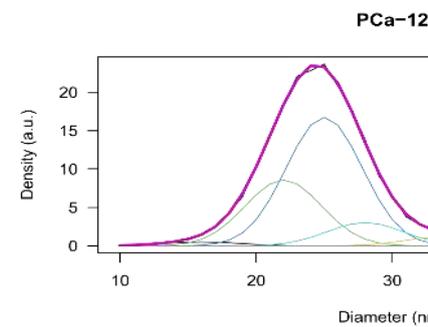
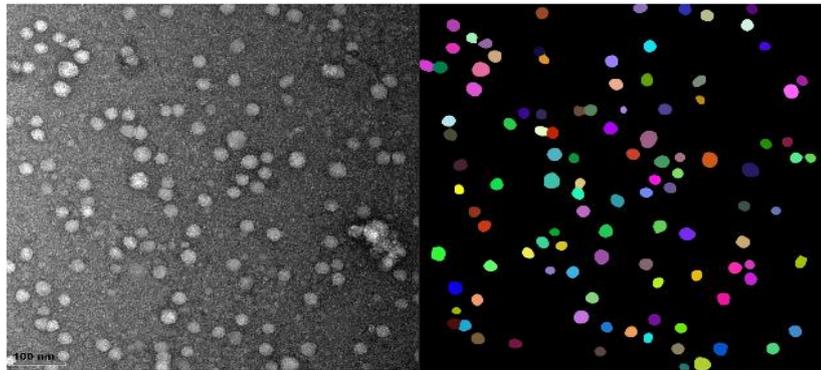
Table 3.1: Summary of clinical data of PCa and BPH patients used for exosomes transmission electron microscope imaging.

Patient ID	PCa	BPH	Total
Age, median [IQR] (years)	70 [63 74.25]	67 [65 71]	68.5 [63 73]
PSA, median [IQR] (ng/mL)	26 [14.125 76.15]	4.2 [1.245 13.175]	14.5 [5.075 35.25]
Number of patients	32	26	58

Benign Prostatic Hyperplasia



Prostate Cancer - low Gleason Score



Prostate Cancer - high Gleason Score

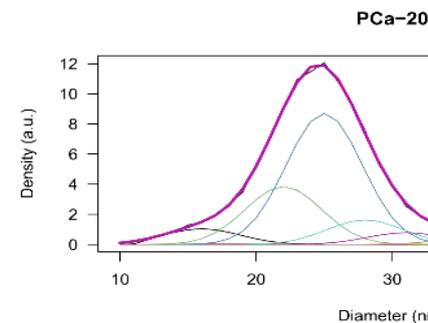
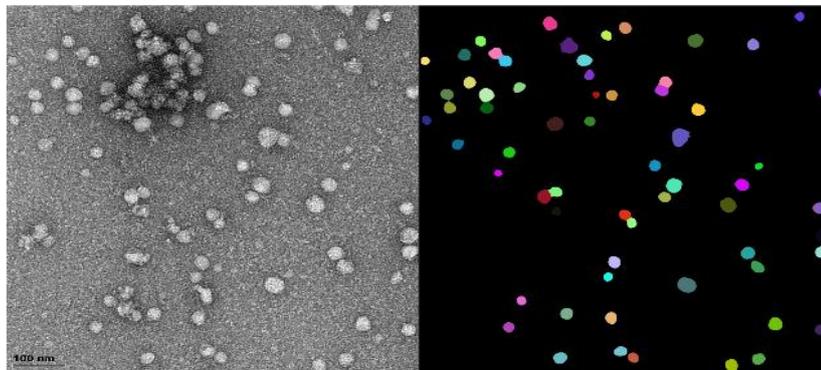


Figure 3.2: TEM imaging of exosomes isolated from patients with BPH, PCa with a low Gleason score, and PCa with a high GS. The first panel shows the TEM photo. In the second panel, exosomes were colored to indicate the different sizes. The third panel is the density graph of different size distributions. The color codes are 16 nm=gray, 19 nm=green, 22 nm=blue, 25 nm=purple, 28 nm=cyan, 31 nm=yellow, 34 nm=orange, 37 nm=red.

In order to understand the morphological differences in exosomes from PCa and BPH, exosomes size found both in PCa and BPH images were grouped into 8 groups of 16, 19, 22, 25, 28, 31, 34, and 37 nm. Table 3.2 showed the median sizes of exosomes for PCa and BPH

in each group. The PCa exosomes size was significantly higher than BPH in the 16 nm and 28 nm groups. Table 3.3 showed the median sizes of exosomes between low and high GS PCa patients. There was no significant difference in exosomes size between low and high GS PCa patients. We also analyzed the small and big exosomes clusters formed in BPH, low and high GS PCa samples. There was significantly higher number of small clusters formed in high and low GS than BPH (Figure 3.3).

Table 3.2: Size distribution of exosomes across 8 groups in BPH and PCa

<b>Feature</b>	<b>BPH, median [IQR]</b>	<b>PCa, median [IQR]</b>	<b>Log change</b>	<b>p-value</b>	<b>FDR</b>
16 nm diameter	3.82 [1.62 11.04]	12.09 [6.62 18.05]	0.98	9.78E-03	7.82E-02
19 nm diameter	3.79 [0 12.93]	8.70 [1.156 30.28]	0.52	2.94E-01	3.92E-01
22 nm diameter	49.98 [24.13 68.56]	25.73 [12.942 53.24]	-0.22	1.48E-01	2.66E-01
25 nm diameter	68.90 [46.64 106.79]	92.76 [67.292 128.33]	0.33	9.58E-02	2.55E-01
28 nm diameter	0 [0 10.33]	16.41 [0.24 45.08]	1.22	3.23E-02	1.29E-01
31 nm diameter	5.65 [0 13.06]	5.83 [0.48 13.31]	0.35	6.49E-01	7.42E-01
34 nm diameter	3.15 [1.22 5.45]	1.38 [0 4.44]	-0.39	1.66E-01	2.66E-01
37 nm diameter	3.34 [1.50 5.70]	2.69 [0.71 5.88]	0.31	8.55E-01	8.55E-01

Table 3.3: Size distribution of exosomes across 8 groups in low and high GS PCa

<b>Feature</b>	<b>Low GS, median [IQR]</b>	<b>High GS, median [IQR]</b>	<b>Log change</b>	<b>p-value</b>	<b>FDR</b>
16 nm diameter	12.11 [4.91 18.51]	8.78 [7.20 17.27]	-0.46	7.43E-01	9.32E-01
19 nm diameter	8.46 [3.05 46.55]	9.26 [0 11.03]	-1.25	4.21E-01	8.79E-01
22 nm diameter	35.45 [13.10 56.96]	25.73 [9.01 30.96]	-1.15	4.40E-01	8.79E-01

	107.01	[77.83				
25 nm diameter	139.04]		80.75 [62.79 89.08]	-0.5	1.06E-01	8.49E-01
28 nm diameter	10.65 [0.12 45.18]		17.68 [4.62 44.35]	-0.06	8.15E-01	9.32E-01
31 nm diameter	4.81 [0.24 13.54]		6.88 [1.56 9.05]	-0.16	9.38E-01	9.38E-01
34 nm diameter	2.469 [0 4.781]		0 [0 2.297]	-0.48	3.60E-01	8.79E-01
37 nm diameter	2.572 [0.81 5.525]		5.121 [0.688 5.736]	-0.01	5.89E-01	9.32E-01

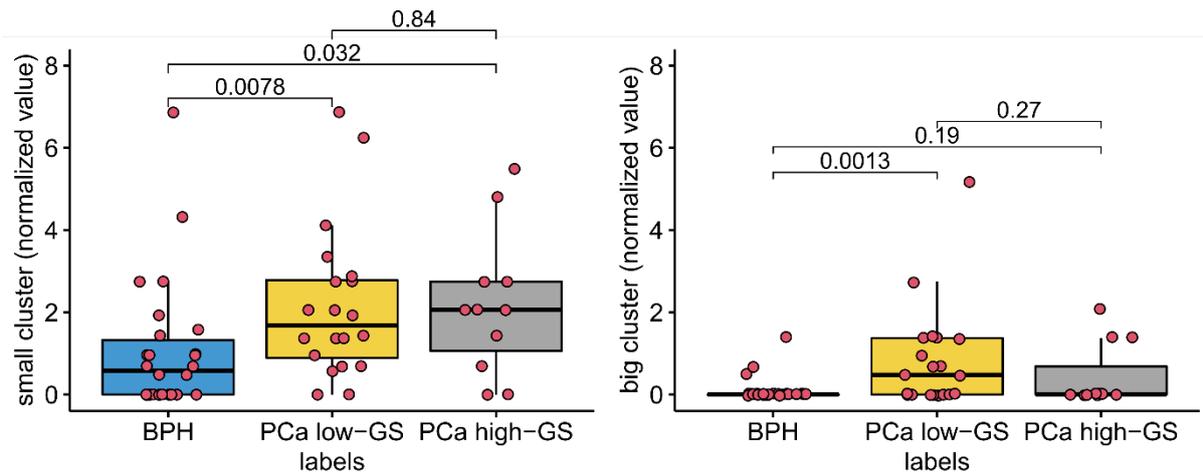


Figure 3.3: Boxplot of number of (A) small exosomes and (B) big exosomes in BPH, low GS and high GS

### 3.3.2 Exosomal miRNA Sequencing

Exosomal RNA isolated from six exosome samples (3 high GS and 3 low GS). The clinical information of all six samples is highlighted in Table 3.4.

Table 3.4: PCa patients' clinical data.

Samples	Age (years)	PSA	Race	GS	DRE	NCCN Classification
SAPC0164	74.2	2.5	Black	3+3	T1a	Very low
SAPC0180	58.1	5000	Black	5+5	T3/T4	Very high
SAPC0185	69	18	MA	4+5	T2c	High
SAPC0195	87.2	26.53	Black	4+5	T3	Very high
SAPC0203	66.8	18.56	MA	3+3	cT2a	Intermediate

SAPC0238	92.5	24.43	MA	3+3	T1a	High
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DRE (Digital rectal examination), MA (Mixed ancestry).

Library construction for miRNA sequencing was done using SMARTer Small RNA library kit with library quality results shown in Table 3.5.

Table 3.5: Exosomal RNA library quality results

Samples	Conc. (ng/μl)	Conc. (nM)	Size (bp)
SAPC0164	0.35	2.72	200
SAPC0203	0.58	4.62	192
SAPC0238	0.86	7.15	186
SAPC0195	0.41	3.68	192
SAPC0203	0.23	1.72	209
SAPC0183	0.38	3.06	192

A total of 903 miRNAs were identified from the sequencing data, and which 294 miRNAs have an average read counts above 10. A total of 65 miRNAs were differentially expressed between high and low GS PCa (Figure 3.4). In order to compare our findings in plasma exosomal miRNA to tissue miRNA, a total of 1046 miRNA transcriptome data of TCGA prostate adenocarcinoma were obtained from GDC Data Portal. Differential expression of TCGA data identified 185 miRNAs. A comparison of the differential expressed TCGA miRNA and exosomal miRNA showed 13 miRNAs common between the two data (Figure 3.4). However, 7 of the 13 miRNAs are upregulated or downregulated in both TCGA miRNA and exosomal miRNA (Table 3.6).

Table 3.6: Significant miRNA commonly expressed in same direction between TCGA miRNA and exosomal miRNA.

miRNA	Exosome			TCGA		
	LogFC	Pvalue	FDR	LogFC	Pvalue	FDR

hsa-miR-10a-5p	9.21	2.78E-04	2.92E-03	0.3	9.52E-05	1.11E-03
hsa-miR-194-5p	3.45	5.11E-03	3.34E-02	0.25	3.41E-06	5.95E-05
hsa-miR-144-5p	2.3	5.72E-03	3.56E-02	0.27	7.70E-03	4.91E-02
hsa-miR-16-5p	1.89	1.67E-02	8.05E-02	0.19	4.42E-06	7.34E-05
hsa-miR-221-5p	-2.08	1.83E-02	8.51E-02	-0.55	1.55E-12	6.77E-11
hsa-miR-326	-2.15	1.84E-02	8.51E-02	-0.33	5.26E-04	4.83E-03
hsa-miR-93-5p	1.67	1.85E-02	8.51E-02	0.35	9.74E-09	2.55E-07

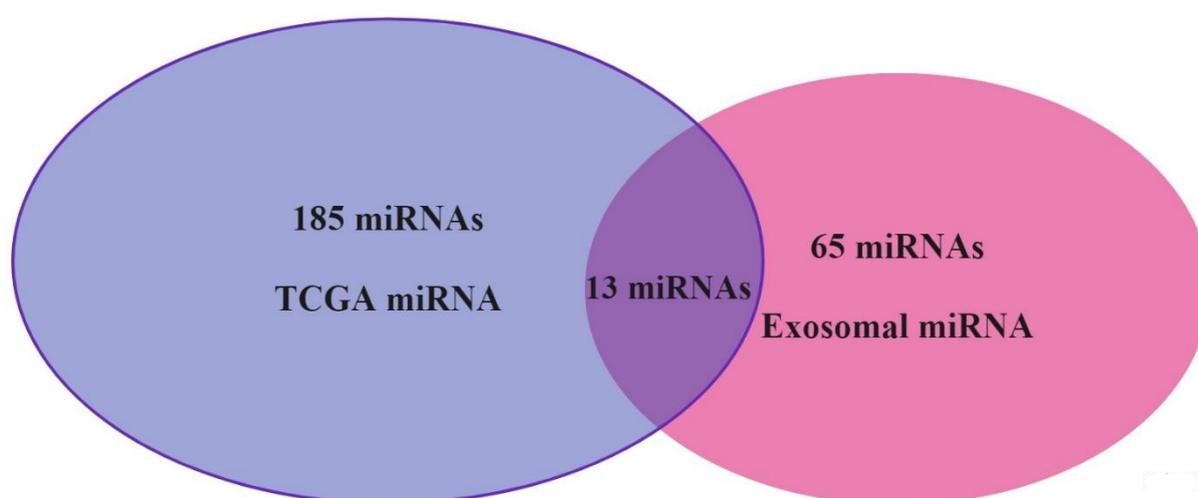


Figure 3.4: Venn diagram showing comparison between the differential expressed TCGA miRNA and exosomal miRNA.

#### *Identification of exosomal miRNA Target Genes*

To investigate the genes targeted by our selected microRNA, a miRNA-target enrichment analysis was done using the MIENTURNET web tool. The mRNAs potentially targeted by these miRNAs were predicted using miRTarbase database and a total of 450 genes were obtained. Some of the most significant genes are shown in Table 3.7 and Figure 3.5

Table 3.7: Mienturnet Enrichment results of top 20 most significant genes using miRTarBase.

Gene Symbol	p-value	FDR	Odd ratio	Number of interactions
NOB1	8.8086E-08	0,000277119	0,013466718	4
ERLIN2	2.3868E-07	0,000375451	0,005386687	3
FASN	2.8957E-06	0,003036679	0,030973451	4
AMPD1	6.2201E-06	0,003463858	0,002693344	2
AQP12B	6.2201E-06	0,003463858	0,002693344	2
POLR2A	6.6062E-06	0,003463858	0,014364499	3
AGER	1.8636E-05	0,005863083	0,004040015	2
CARD8	1.5012E-05	0,005863083	0,046460177	4
GARS	1.8636E-05	0,005863083	0,004040015	2
MRC2	1.8636E-05	0,005863083	0,004040015	2
CCT8	3.7225E-05	0,007319446	0,005386687	2
GTF3C2	3.7225E-05	0,007319446	0,005386687	2
NTHL1	3.7225E-05	0,007319446	0,005386687	2
PRPF8	3.0317E-05	0,007319446	0,023342311	3
PYGL	3.7225E-05	0,007319446	0,005386687	2
SOCS2	3.7225E-05	0,007319446	0,005386687	2
MIS12	6.1962E-05	0,010829702	0,006733359	2
TELO2	6.1962E-05	0,010829702	0,006733359	2
BTA1F1	9.2824E-05	0,013906024	0,008080031	2
DDX54	9.2824E-05	0,013906024	0,008080031	2

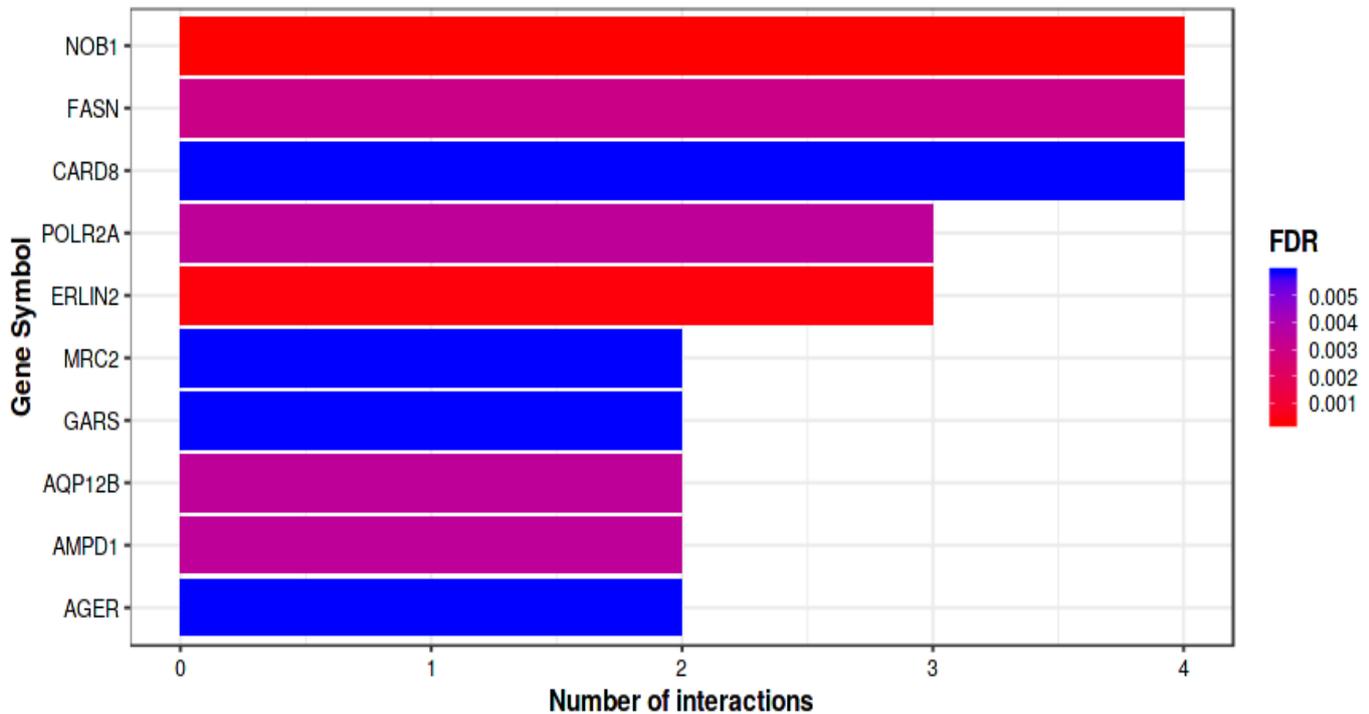


Figure 3.5: miRNA-target enrichment analysis, bar plot representing each target gene resulting from the enrichment along with the number of interactions (bottom). The color of the bars represents the adjusted p-values (FDR).

#### *Regulatory network of the 7 Exo-miRNAs and their targets*

In order to understand the interaction between our selected miRNA and their target gene, a target gene interaction networks were constructed with the miRNA-target gene pairs using MIENTURNET. In this network, hsa-miR-144-5p, hsa-miR-194-5p, hsa-miR-221-5p, hsa-miR-326, hsa-miR-93-5p, and hsa-miR-16-5p regulating 9, 38, 54, 57, 159, 294, and 344 target genes respectively (Figure 3.6). Among the targets, the nin one binding protein 1 (NOB1), fatty acid synthase (FASN), caspase activation and recruitment domain-containing protein 8 (CARD8), heterogeneous nuclear ribonucleoprotein A1 (HNRNPA1), and splicing factor 3b subunit 3 (SF3B3) were the genes with the highest connectivity.

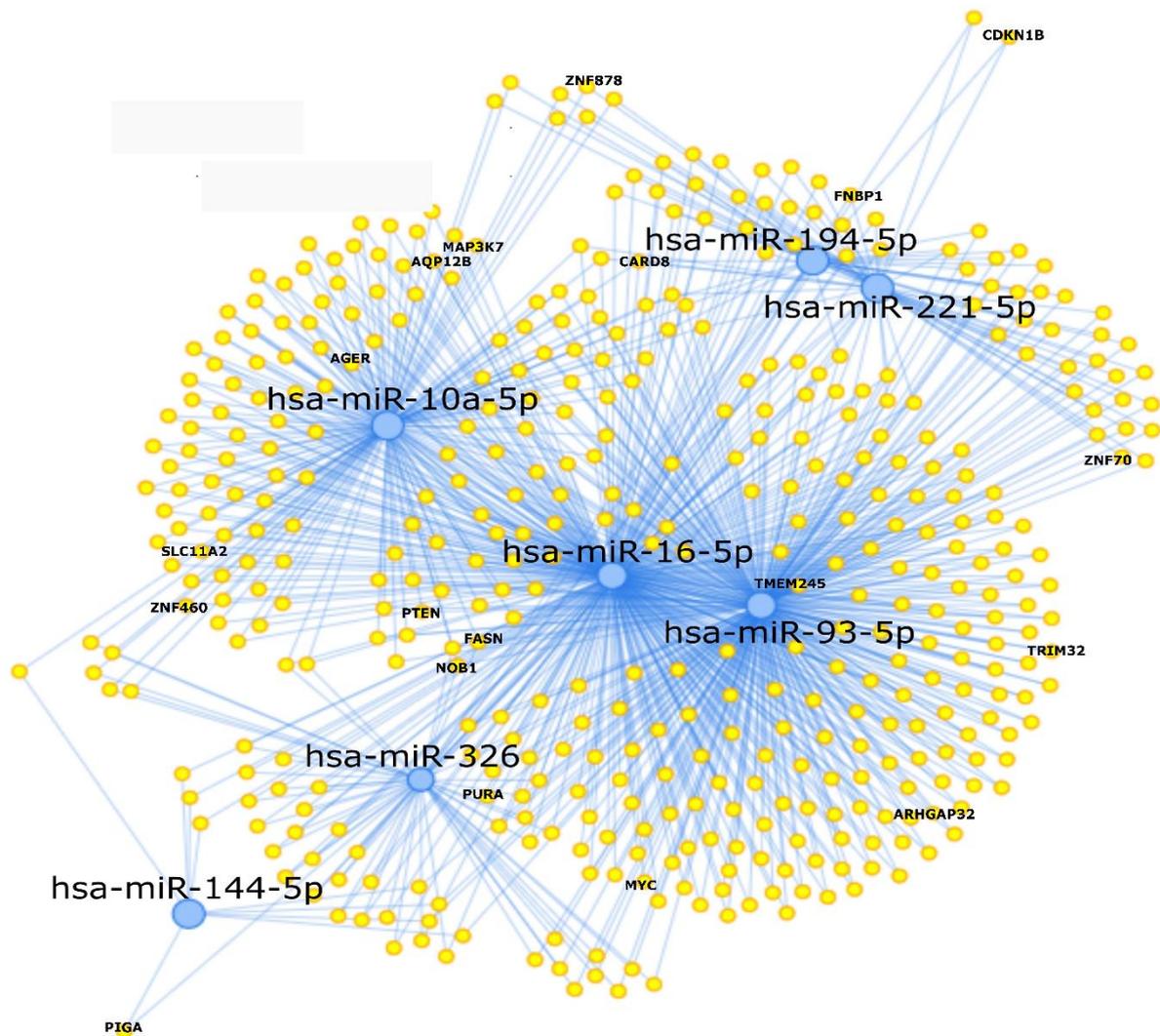


Figure 3.6: Regulatory network of PCa-associated genes and their target miRNAs. Blue nodes represent differentially expressed exosomal miRNAs. Yellow nodes represent miRNA associated genes. The genes with the highest numbers of interactions are also shown on their yellow nodes.

#### KEGG pathways analysis of target genes of 7 Exo-miRNAs

In order to understand the biological processes underlying the activity of the target gene we performed a functional enrichment analysis of the targets of our selected miRNAs by querying the KEGG (260) pathway through MIENTURNET web tool (259). KEGG analysis results revealed target genes enrichment in cancer such as PCa, small cell lung cancer, breast cancer, gastric cancer, pancreatic cancer, chronic myeloid leukemia, bladder cancer, melanoma, and glioma. The enrichment analysis also showed associated pathways such as IL-17 signaling pathway, PI3K-Akt signaling pathway, p53 signaling pathway, signaling pathways regulating

pluripotency of stem cells, and HIF-1 signaling pathway. The pathways are shown in Figure 3.7.

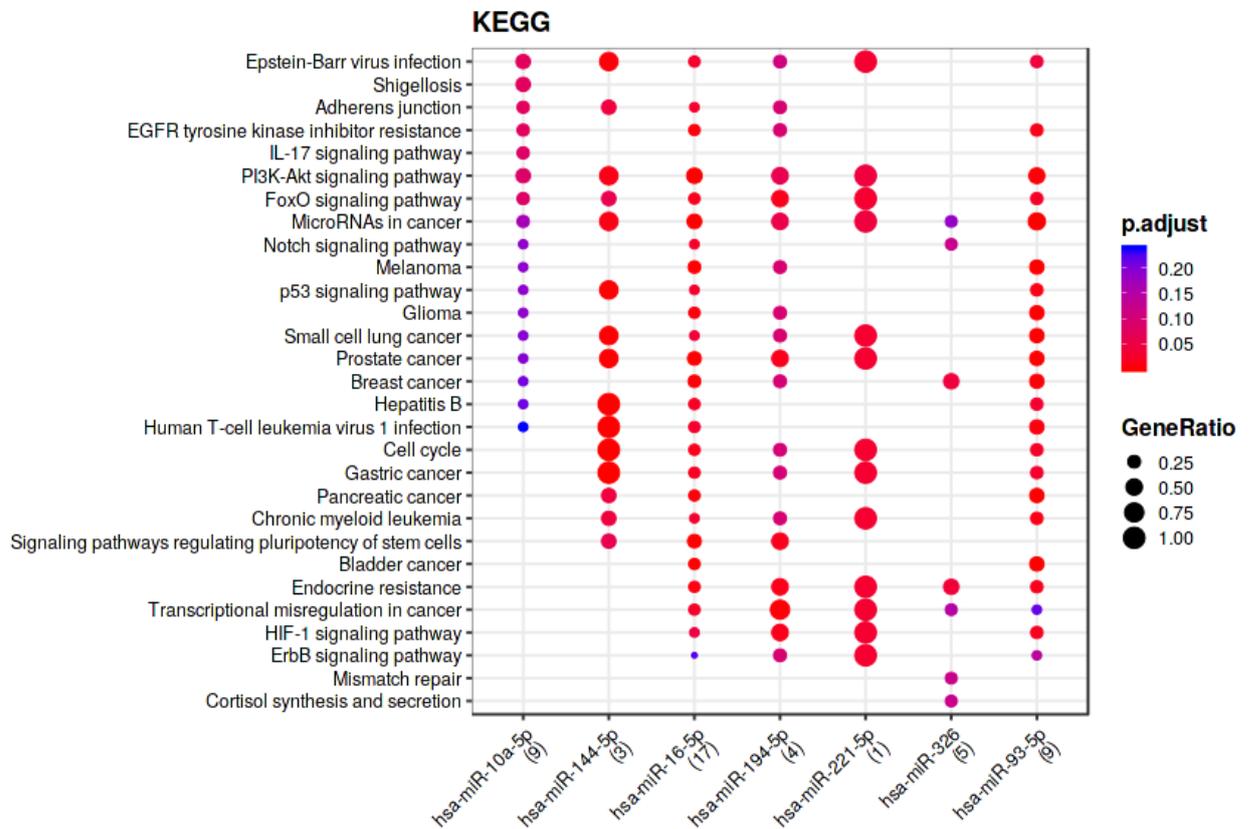


Figure 3.7: Dot plot of functional enrichment analysis for target genes of selected miRNAs resulting from the enrichment analysis. The Y-axis shows the KEGG pathways while the X-axis shows the selected miRNAs. The color of the dots denotes the adjusted p-values (FDR), while dots size signifies gene ratio (i.e., the number of miRNA targets found enriched in each category over the number of total genes associated to that category).

### miRNA expression validation

For us to validate the selected miRNAs expressed, qPCR was done to determine the expression level in 26 PCa and 10 BPH patients. The absolute copy number of cDNA in each of the samples were calculated using the standard curve prepared with qPCR experiment.

To determine the miRNA that could best be used as biomarker for PCa, we calculated the expression ratio between each pair of miRNAs and correlated each ratio with the disease severity. A pairwise comparison may increase the accuracy and make the analysis independent

of the platform used. Initially we did correlate the single miRNA expression with the disease severity using Pearson coefficient, however we found the pairwise analysis improved the result significantly. The ratio between miRNA194 and miRNA16 was found to have the highest correlation coefficient (Fig 3.8). We also found significant correlation in the two miRNAs among BPH, low and high GS and metastatic PCa (Figure 3.9).

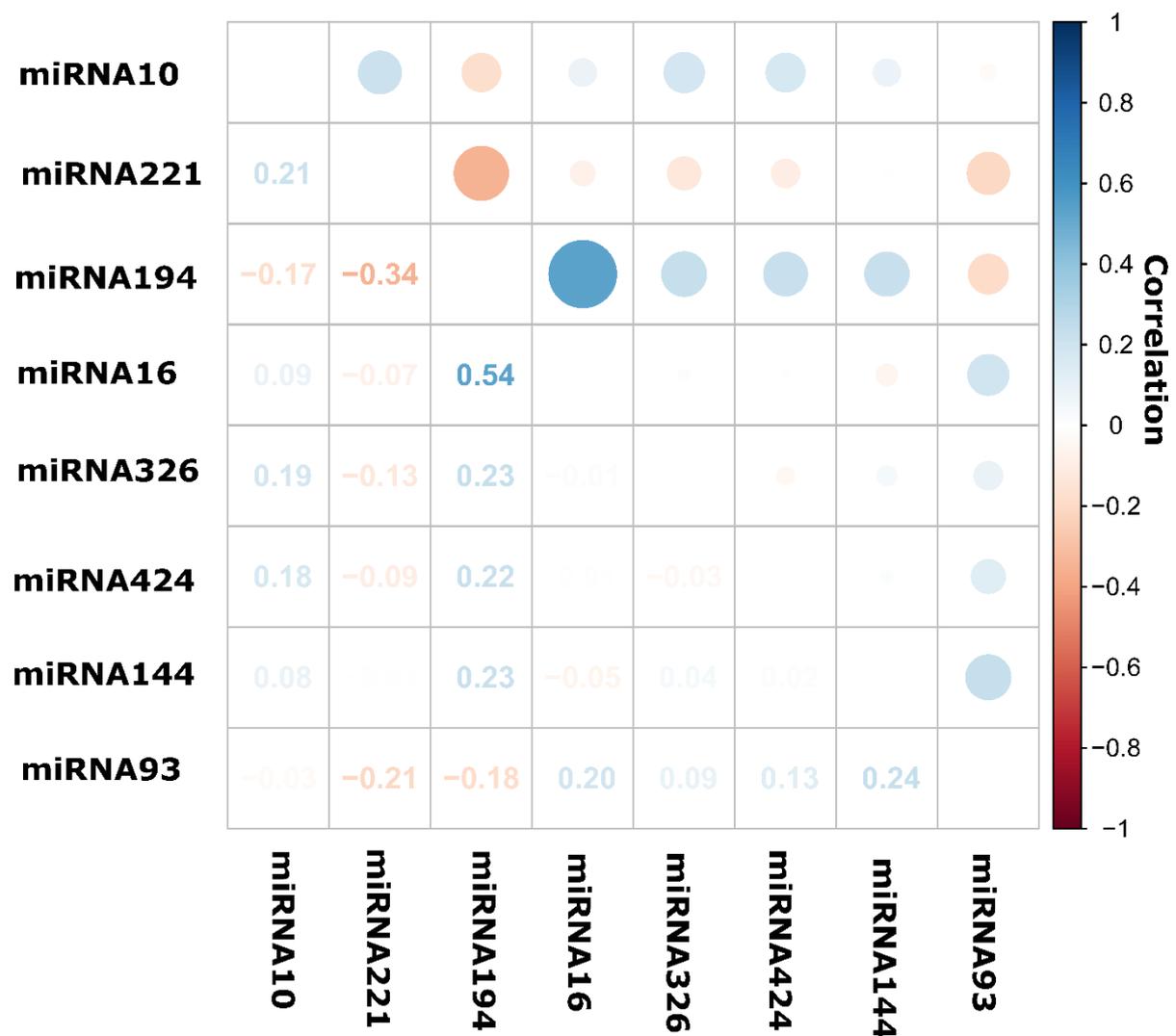


Figure 3.8: Heatmap showing Pearson correlation coefficient between each miRNA pairs and the disease severity. The blue color represents positive correlation and the red represent negative correlation.

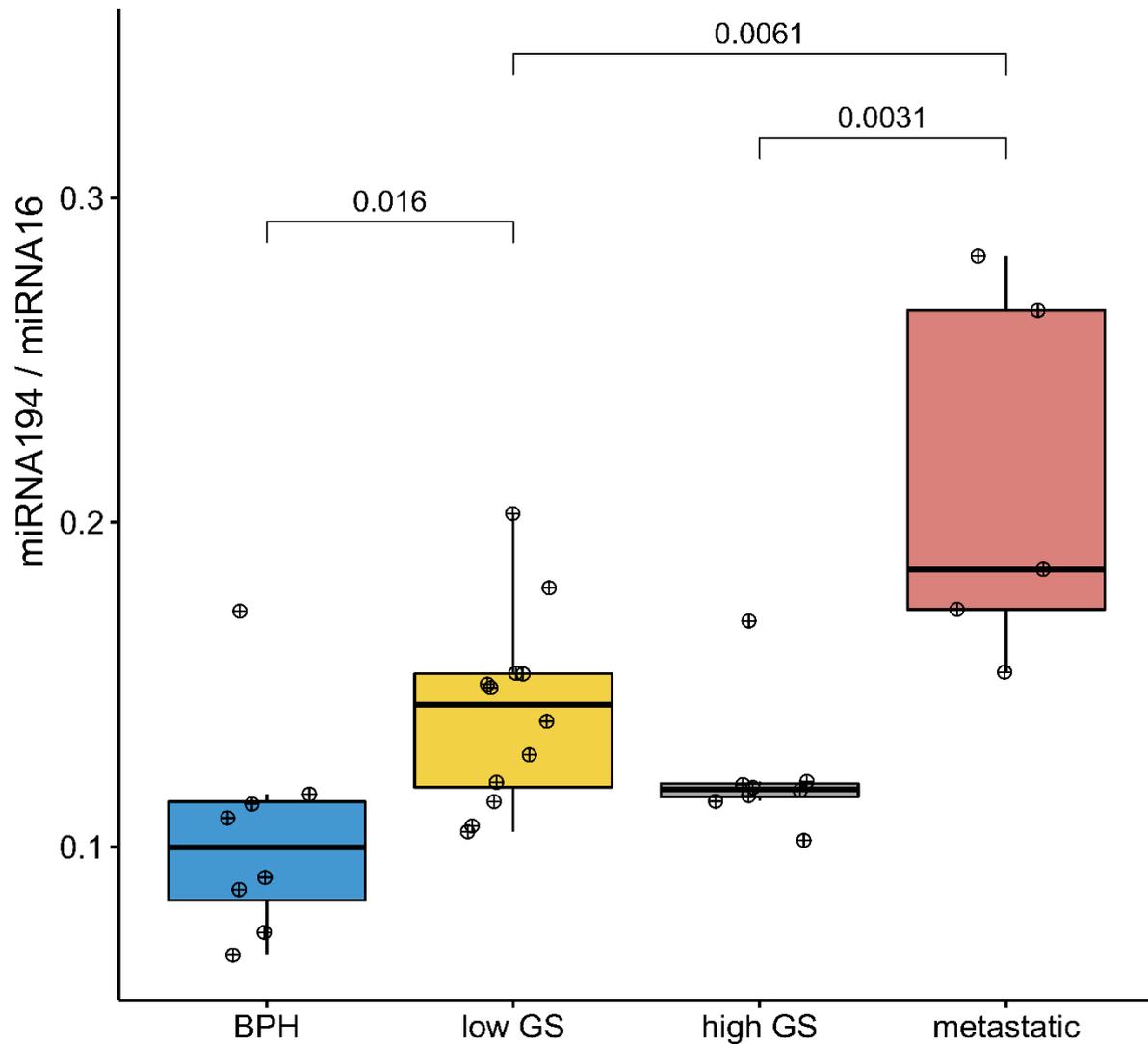


Figure 3.9: Boxplot of the correlation of miRNA194 and miRNA16 expression among BPH, low GS, high GS and metastatic PCa.

### 3.4 Discussion

Exosome cargoes are considered as key diagnostic and prognostic biomarkers of PCa (262). Exosomes in the blood and urine of PCa patients possess prostate-cancer-specific contents, which can serve as biomarkers for PCa (255,256). Previous studies have revealed the role of exosomal miRNA as biomarker in the diagnosis of PCa (192,196,263). A recent study by Li et

al. showed that a combination of exosomal miR-375 and miRNA451a can be used to distinguish PCa patients from BPH patients (264). However, studies evaluating exosomal miRNA as biomarker for diagnosis or prognosis of PCa in African populations are sparse. Our study was focused on finding potential exosomal miRNA among South African PCa patients. We investigated the role of exosomes number and size in the diagnosis of PCa. Our study showed no significant difference in size distributions of exosomes in both in PCa and BPH samples in the groups except for 16nm and 28nm groups where PCa exosomes size was significantly larger than BPH. Our finding is consistent with a previous study by Logozzi et al., which found higher exosomes concentration in PCa compared to BPH (265). Another study by Zlotogorski-Hurvitz et al. found significant difference in exosomes concentration and size distribution in oral cancer and normal individuals (266). However, the studies found significant larger exosomes size in cancer patients compared to their healthy control. The lack of difference in exosomes size in our PCa group and BPH group may be that increase in exosomes size is more tumor specific rather than cancer specific. We suggest that more studies to be done in a larger cohort to compare differences in exosomes size in BPH and PCa.

We also found that the exosomes formed clusters. The clusters were categorized into small (less than 10 exosomes) and big clusters (more than 10 exosomes) based on the number of exosomes forming the clusters. We found significant higher number of both small and big clusters in PCa than BPH. Our findings showed that exosomes cluster might be a good means of characterizing PCa and BPH.

It is important to mention that some of the exosome's size in our study were lower than usual exosomes diameter ranges between 30 to 100 nm. Studies have shown that the size of exosomes are usually underestimated by TEM due to the frequent collapse in the structure of exosomes resulting from chemical fixation and dehydration process involved in preparation (267,268). A study by Lyu et al. found a significant difference in size of exosomes measured by dynamic light scattering (DLS) and TEM (269). The study found that exosomes measured by DLS are 40nm larger than same exosome samples measured by TEM (269).

In our exosomal miRNA analysis, to determine if plasma level of exosomal miRNA identified are reflected in PCa tissues, we compared exosomal miRNA identified with PCa tissue miRNA of TCGA database. We found seven differentially expressed miRNA between plasma exosomal miRNA and tissue miRNA in TCGA.

We then investigated the genes targeted by the differentially expressed miRNA. The genes with the highest number of interactions are NOB1, FASN and CARD8 with each having interactions with four miRNAs. Notably, these genes have been shown to play major role in PCa development, progression, and metastasis (270–272).

NOB1, a subunit of the 26S proteasome play major role in the protein degradation pathway (273). In human, NOB1 is widely expressed in several organs, such as, spleen, prostate, lungs and liver (273). It functions as an oncogene for various human cancers (274,275). Previous studies have shown the role of NOB1 in PCa. Che et al. showed that NOB1 expression in the nucleus, correlate with lymph node metastasis in PCa patients (276). Also, another study showed that gene silencing of NOB1 inhibits the malignant transformation of PCa cells (277). NOB1 expression has been proposed as a potential marker of PCa prognosis (278,279).

FASN is an important enzyme used in synthesizing long-chain fatty acids from acetyl-coenzyme A (CoA) and malonyl-CoA using reduced nicotinamide adenine dinucleotide phosphate as a cofactor. The expression of FASN in human tissues is at a minimum level apart from adipose tissue and liver where it is highly expressed (280). However, in many human cancers the expression of FASN is largely increased and when it is overexpressed in tumors it is usually associated with poor prognosis (281–283). Studies have shown the role of FASN in PCa (270,282,284,285). FASN is found to be overexpressed in both early and late stages of PCa, suggesting its key role in PCa carcinogenesis, maintenance, and aggressiveness (282,285,286). Cao et al. found PCa patients with overexpression of FASN to have shorter biochemical recurrence free survival, signifying its role as a prognostic biomarker in PCa (270).

CARD8 belong to the caspase-associated recruitment domains (CARD) family, that are protein-protein interaction modules present in proteins. CARD8 is largely involved in apoptosis and serve as negative regulator of NF- $\kappa$ B and caspase-1 activation (287). Studies have revealed relationship between CARD8 mutation and increased in the risk of various human cancers (288). A study by Lavender showed that overexpression of CARD8 is linked with PCa aggressiveness, and poor prognosis (289).

We also performed an enrichment analysis querying KEGG pathways to investigate miRNAs-regulated pathways. We found p53 signaling pathway, PI3K-Akt signaling pathway, signaling pathways regulating pluripotency of stem cells, and HIF-1 signaling pathway to be related with the miRNAs.

The role of p53 pathways in cancers have been well studied (290–292). The tumor suppressor p53, serves as one of the major cellular barriers against carcinogenesis by regulating DNA damage responses and cell apoptosis (293,294). The expression of p53 have been shown to increase in response to cellular stresses including hypoxia, UV radiation, cytotoxic drugs. The role of p53 signalling pathway in PCa development, progression and proliferation have been largely described (295–298). Research have shown significant association between high expression levels of p53 and cell migration, proliferation, and adhesion capacities in PCa cells (299). Androgen-dependent genes in PCa have been found to be inhibited by overexpression of p53 (296). Also, advanced PCa cells that do not express a functional p53 protein have been found to be more resistance to chemotherapy and radiotherapy regimen (298).

The PI3K/Akt signalling pathway regulates cellular metabolism, tumor development, growth, proliferation, metastases, and cytoskeletal reorganization (300). PI3K/Akt pathway induce cancer development by activating the growth and survival pathways (300). In prostate carcinogenesis, PI3K/Akt pathway has been found to play major role in the survival and proliferation of PCa stem cells (301). Akt and AR activation have been shown to synergize to increase the growth of PCa (302). Studies have demonstrated the reciprocal interactions between PI3K/Akt and AR pathways (303–305). An inhibition of the AR pathway leads to PHLPP-mediated Akt inactivation due to the decrease in androgen regulated FKBP5(303,306).

The hypoxia inducible factor (HIF) is a heterodimer, consisting of HIF1b and HIF1a subunits (307). HIF1a protein is ubiquitinated and rapidly broken down in oxygenated conditions. However, in conditions when there is lack of oxygen, HIF1a dimerizes with HIF1b subunits to produce an active HIF transcription complex (307). The HIF complex translocate to the nucleus and induces genes that promote cell survival, metabolism, angiogenesis, and invasion (308). In PCa, it has been found that hypoxia induced activation of HIF increase the expression AR (309,310). HIF signaling and AR pathways have been shown to play major role PCa progression (309). Also, the expression of HIF has been associated with poor PCa prognosis (311,312).

To further validate the miRNAs identified by sequencing, we performed qPCR miRNA expression on additional samples. We found that miRNA194 and miRNA16 expression ratio correlate with the disease severity to serve as potential biomarkers for PCa diagnosis. The miRNA194 and miRNA16 ratio significantly separate BPH samples from PCa samples. We also found the ~~correlation of the expression ratio of miRNA194 and miRNA16~~ expression

~~ratio with the disease severity significantly~~ separate metastatic PCa from low and high **Gleason GS** PCa. Our findings showed that the **correlation-expression ratio** of these two miRNAs could serve a potential diagnostic and prognostic biomarkers in PCa. Previous studies have reported the individual roles of miRNA194 and miRNA16 in PCa but none has described the potential role of combining miRNA194 and miRNA16 as biomarker for PCa most especially in African populations (313–315). A study by Das et al., found significantly higher levels of miRNA194 in serum of metastatic castrate-resistant prostate cancer than in those with localized PCa (314). Another study by Selth et al. showed that miRNA194 was elevated in metastatic PCa and related with poor prognosis among PCa patients (316). In prostate carcinogenesis, miRNA194 modulate cell survival and tumor growth by targeting cadherin-2. miRNA194 play key role in PCa metastasis by increasing cell invasion in PCa through epithelial-mesenchymal transition induction. MiRNA16, which is located at chromosome 13q14 has been shown to be a tumor suppressor and is involved in the onset of PCa. A study by Alshalalfa et al showed that miRNA16 is an important master regulator of miRNA-mediated regulation in PCa (317).

In conclusion, our study for the first time revealed the potential uses of miRNA194 and miRNA16 in the diagnosis of PCa in South African populations. It further showed the potential role of exosome morphological features in the diagnosis of PCa. Studies in African populations with larger cohort are needed to further validate these findings.

## **CHAPTER 4: Cell free DNA as a source of biomarker for prostate cancer**

### **4.1 Background**

The sparsity of a less invasive, easy-to-use, PCa specific and cost-effective biomarker has made that PSA remains the gold standard biomarker in the diagnosis and prognosis of PCa. Circulating nucleic acids have been studied as biomarker for diagnosis, prognosis, and treatment monitoring of PCa (139,202,318–320). cfDNA are released by virtually all cells in the body and has been described in many body fluids (321–324). cfDNA released from tumor cells are known as ctDNA.

In a healthy state, individuals carry between 1 and 10 ng/mL cfDNA, while in cancer state, concentrations of cfDNA can rise to 50-1000 ng/mL of blood, with ctDNA comprising 3-90% of the total (125,325). The rise in cfDNA in cancer patients is due to a high turnover rate of tumor cells and an ineffective clearing of dead and dying cells (326). The presence of ctDNA in cancer patients has also been explored as a source of biomarker for early diagnosis of cancer because of the presence of tumor-specific variations corresponding to the patient's tumor, such as mutated tumor suppressor genes or oncogenes, microsatellite instability, and DNA methylation (135,327,328). A study by Chen et al. found in cfDNA of PCa patients somatic tissue alterations including nonsynonymous variants in FOXA1, ATM, PTEN, and MED12 (329).

Studies have shown the promising role of cfDNA as a biomarker for diagnosis, prognosis, and monitoring of PCa (330–334). A study by showed that AR gene alterations in cfDNA are associated with resistance to enzalutamide and abiraterone in mCRPC (333). The study concluded that genomic analysis of cfDNA is a less invasive way for investigating therapeutic resistance in mCRPC. Zimmerman et al. showed through genomic profiling of cfDNA the differences in genomic landscape between Caucasians and African American patients (335). The study found significantly higher frequency of AR gene alteration in African American patients than the Caucasians (335). It also reported a higher frequency of alterations in EGFR, MYC, FGFR1, and CTNNB1 in African American patients (335). This makes it imperative for studies to be done to explore the diagnostic role of cfDNA among African PCa patients, for

more precise management of PCa in the African population. This study is aimed at elucidating the role of cfDNA as a diagnostic biomarker for PCa in the South African population.

## 4.2. Methodology

### 4.2.1 Extraction and quantification of cfDNA in plasma and urine

*cfDNA extraction:* cfDNA was extracted from 1ml of plasma and 10ml of urine using QIAamp Circulating Nucleic Acid Kit (Catalog number: 55114). Extracted cfDNA was eluted in 50 µl nuclease-free water and kept in -80°C freezer.

*qPCR cfDNA quantification:* In this study, to accurately perform a qPCR quantification of our cfDNA samples, we used primers designed for ALU gene for real-time PCR reactions. We used ALU 115 set of primers to amplify the shorter fragments, while ALU 247 set of primers was used to amplify only the longer DNA fragments.

*Gel electrophoresis:* To determine the copy number of cell-free DNA samples, we performed gel electrophoresis analysis of qPCR DNA products and excised DNA bands of 115 bp. Excised DNA bands was purified with QIAEX<sup>®</sup> II Gel Extraction Kit (Catalog number: 20021). Purified DNA was eluted in 20 µl of nuclease-free water and concentration was checked with Nanodrop. Purified DNA was stored in -80°C freezer.

*Real time PCR reaction set up:* A 10 µl reaction of real time PCR was prepared for each cfDNA sample and for each of the primer set. For each 10 µl real time PCR reaction, we added 1 µl of 2 µM solution of either ALU 115 or ALU 247 forward and reverse primers to 5 µl Buffer SYBR Green with 1 µl cfDNA and 3 µl nuclease free water. All reactions were prepared in duplicates. The qPCR amplification was done with initial preincubation step at 95°C for 30 s, followed by 40 cycles of denaturation at 95°C for 5 s, annealing at 60°C for 34 s, and extension at 72°C for 30 s using Light Cycler 480 (Roche, Switzerland).

To do an absolute quantification of cfDNA using real time PCR, we did serial dilution of initially purified DNA with known concentration and copy number to construct a standard curve for cfDNA quantification. A 10 µl qPCR reaction was also prepared for each of the serial dilution made by adding 1 µl of 2 µM solution of both ALU 115 forward and reverse primers to 5 µl Buffer SYBR Green with 1 µl DNA and 3 µl nuclease-free water.

Real time PCR reaction steps include preliminary heat activation at 95°C for 2 minutes, denaturation at 95°C for 2 seconds, combination of annealing and extension at 56°C for 10

seconds (45 cycles) and melting curve analysis done at 60–95°C. Acquisition of the data for the real time PCR reaction was done during the annealing/extension step.

#### 4.2.2 Data analysis:

The qPCR post-run data are semi-automated on all commercial qPCR systems. The absolute quantification data generated for each sample were exported into Excel file. The data analysis and graphical illustrations were produced in R (version 3.6.1) and R studio (version 1.1.456) software with the help of scripts made in-house. Wilcoxon Rank Sum test was used to compare differences in numerical covariates (e.g., age and PSA) between BPH and PCa. Spearman's test was used in calculating correlation coefficient ( $\rho$ ) between variables. Two-way ANOVA was used to estimate how their means change according to the PSA, GS, age, and their respective interactions.

#### 4.2.3 Whole exome sequencing

Whole exome sequencing was done using cfDNA extracted from 12 urine samples. The concentration and fragment size of cfDNA were determined using Agilent 2100 Bioanalyzer and the Agilent High Sensitivity DNA chip. A concentration of 20 ng of cfDNA fragment size between 150-200 bp was required for whole exome sequencing.

Whole exome sequencing libraries were constructed with 20 ng of cfDNA using the SureSelect V6-Post (cfDNA) kit for the HiSeq2500 (Agilent Technologies, Inc., Santa Clara, CA). Library preparation was done by following the SureSelectXT Target Enrichment System for Illumina Paired-End Sequencing Library Protocol (version 1.5, November 2012) (Agilent). Whole exome sequencing was done on Illumina platform.

#### 4.2.4 Data and Statistical Analysis

*Data analysis:* Data analysis for our cfDNA WES data was done using an in-house developed pipeline. The pipeline involves a preprocessing stage of de-multiplexing the raw data originally in BCL file using bcl2fastq tool into separate FASTQ files for each of the samples. The FASTQ files were then filtered using the AfterQC (336) tool optimized for cfDNA data to remove reads of low quality. The filtered FASTQ files was then aligned to reference genome with the help of BWA software (337). The SAM file generated after alignment was changed into BAM and then indexed using Samtools. Next, we removed duplicate reads using Samtools rmdup tool. After the BAM file processing was completed, we did variant calling for somatic mutation

using the VarScan2 (338). The VarScan2 caller have previously been used with good success in previous cfDNA analysis. The VCF file generated after the calling was annotated with the COSMIC database version 70 using ANNOVAR with build hg19 databases. Then, the false-positive mutations were marked using MySQL baseline technology, after which the VCF filtered and cleaned. The cleaned VCF file was used in reporting the final target mutations.

*Statistical Analysis:* A two-sample t-test was used to compare the mutation quantities between the BPH and PCa groups. The mutations with significant difference between PCa and BPH (p-value=0.05) were identified and plotted in a heatmap with two-way hierarchical clustering using the Jaccard distance measure and the Ward's linkage method.

### 4.3 Results

In order to quantify cfDNA using copy number, we performed gel electrophoresis analysis of real-time PCR DNA products and excised DNA bands of 115 bp. The purified DNA was used to prepare a standard curve for absolute quantification of cfDNA PCR reactions (Figure 4.1).

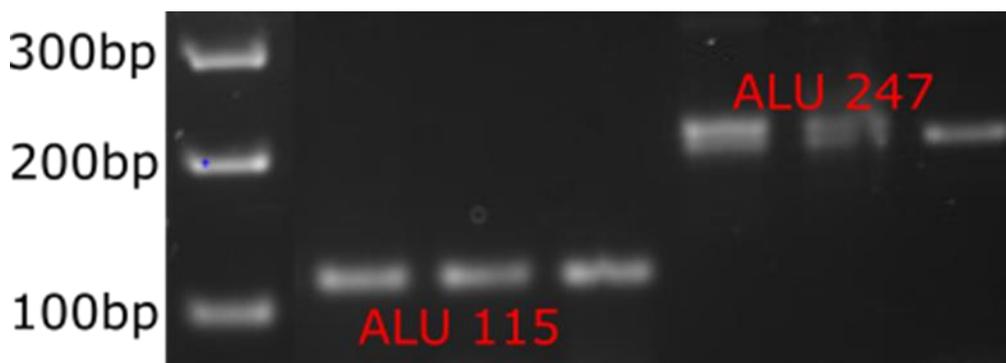


Figure 4.1: Electrophoresis gel image of ALU 115 and ALU 247 DNA bands

#### 4.3.1 Plasma cfDNA quantification

Quantification was done using cfDNA extracted from plasma samples of 59 (30 BPH and 29 PCa) patients. Thirteen of the PCa patients have high GS while 16 have low GS. The median age of all PCa patients was 71 [interquartile range (IQR) 63 74.8] years while the median age of all BPH patients was 72 [IQR 65.3 76.7] years. The median ALU 115 level in PCa patients [1.048 (IQR 0.25 2.285) ng/mL] was found to be higher than the median ALU 115 in BPH patients [0.865 (IQR 0.267 1.776) ng/mL]. The median ALU 247 level in PCa patients [0.049 (0.008 0.603) ng/mL] was also found to be higher than the median ALU 247 in BPH patients [0.035 (0.004 0.329) ng/mL] (Table 4.1).

Table 4.1: Plasma cfDNA quantification in BPH and PCa patients.

Feature	BPH	PCa	Total	p-value
No of patient (%)	30 (50.8)	29 (49.2)	59 (100)	
ALU 115, median [IQR] (ng/mL)	0.865 [0.267 1.776]	1.048 [0.25 2.285]	0.878 [0.246 2.247]	9.34E-01
ALU 247, median [IQR] (ng/mL)	0.035 [0.004 0.329]	0.049 [0.008 0.603]	0.037 [0.008 0.42]	6.55E-01
Integrity, median [IQR]	0.046 [0.013 0.239]	0.104 [0.014 0.347]	0.058 [0.013 0.279]	4.71E-01
Age, median [IQR] (years)	72 [65.3 76.7]	71 [63 74.8]	71.3 [63.1 76.2]	7.79E-01
PSA, median [IQR] (ng/mL)	5.33 [1.36 13.475]	24.61 [10.99 103.025]	12.9 [3.975 25.98]	1.13E-05

GS				
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We performed secondary analyses to investigate the relationship between baseline cfDNA concentration and clinical characteristics among PCa and BPH patients. There was a significant positive correlation between patients' PSA level and DNA integrity in all patients. Among PCa patients, we found significant correlation between ALU 115 and ALU 247 cfDNA concentration and patient's age. Our study also showed a significant correlation between ALU 115 and ALU 247 cfDNA concentration and patient's age. There was also significant correlation between patient's PSA level and DNA integrity among PCa patients (Table 4.2).

Table 4.2: Correlation between cfDNA, age and PSA among BPH, PCa, and all patients

	Feature	rho	p-value
Correlation: cfDNA and age (all patients)			
1	ALU 115	0.11	4.05E-01
2	ALU 247	0.14	2.92E-01
3	Integrity	0.13	3.10E-01
Correlation: cfDNA and PSA (all patients)			
1	ALU 115	0.13	3.59E-01
2	ALU 247	0.25	6.61E-02
3	Integrity	0.26	5.27E-02
Correlation: cfDNA and age (PCa patients)			
1	ALU 115	0.37	4.68E-02
2	ALU 247	0.43	1.99E-02
3	Integrity	0.27	1.51E-01
Correlation: cfDNA and PSA (PCa patients)			
1	ALU 115	0.08	6.67E-01
2	ALU 247	0.41	3.25E-02
3	Integrity	0.43	2.23E-02
Correlation: cfDNA and age (BPH patients)			
1	ALU 115	-0.19	3.15E-01
2	ALU 247	-0.14	4.66E-01
3	Integrity	0.01	9.57E-01
Correlation: cfDNA and PSA (BPH patients)			
1	ALU 115	0.06	7.66E-01
2	ALU 247	0.05	7.90E-01
3	Integrity	0.07	7.43E-01

We also performed correlation tests to investigate the relationship between cfDNA concentration, DNA integrity, PSA, and age among PCa and BPH patients (Figure 4.2). We investigated the parameters between high (total score  $\geq 8$ ) and low (total score  $\leq 7$ ) GS PCa patients (Figure 4.3). There was significant correlation between DNA integrity and PSA among PCa patients. There was also significant correlation seen between ALU 247 cfDNA concentration and age among low GS PCa patients.

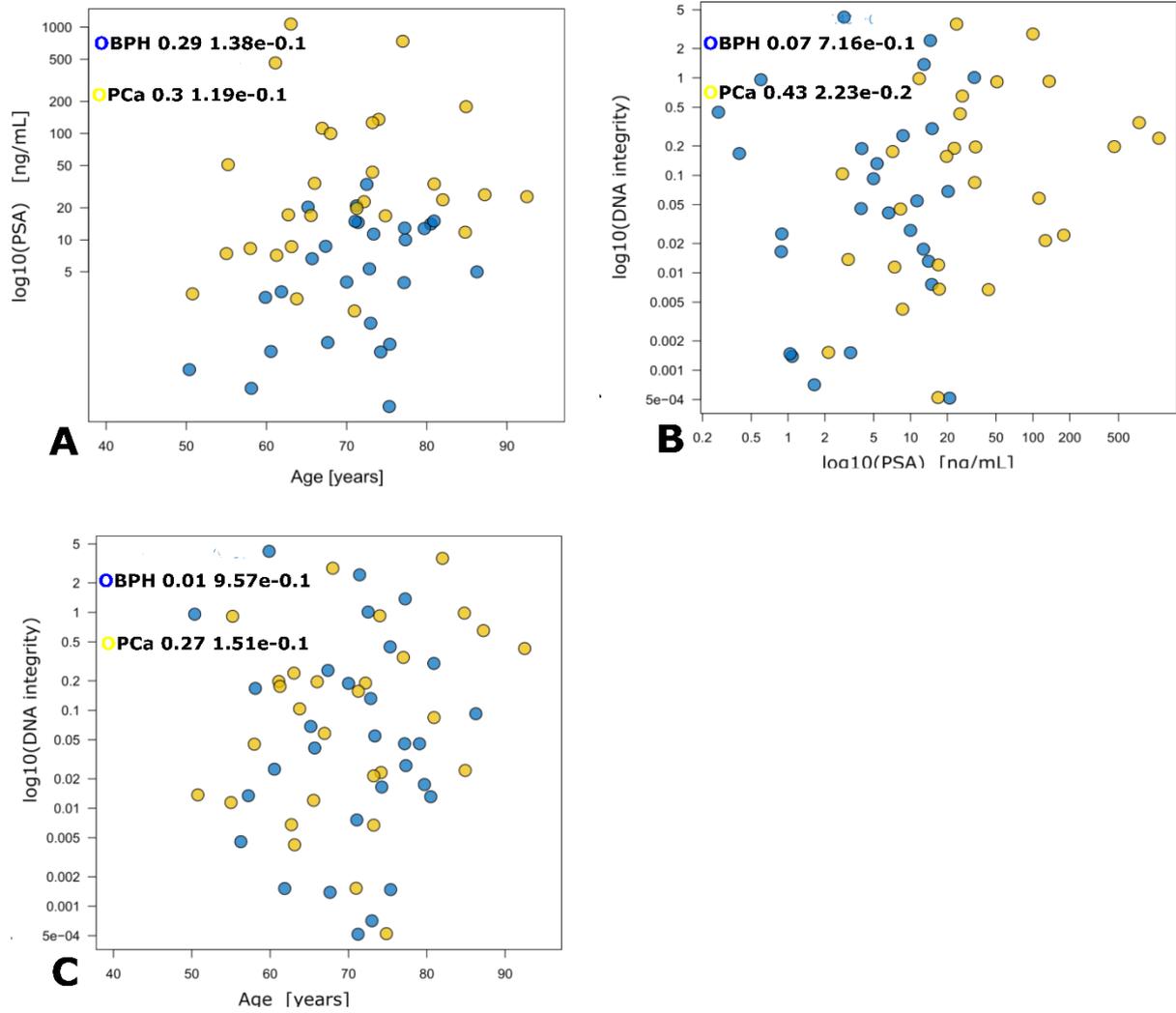


Figure 4.2: Correlation between A) PSA and age in BPH and PCa patients, B) DNA integrity and PSA in BPH and PCa patients, C) DNA integrity and age in BPH and PCa patients.

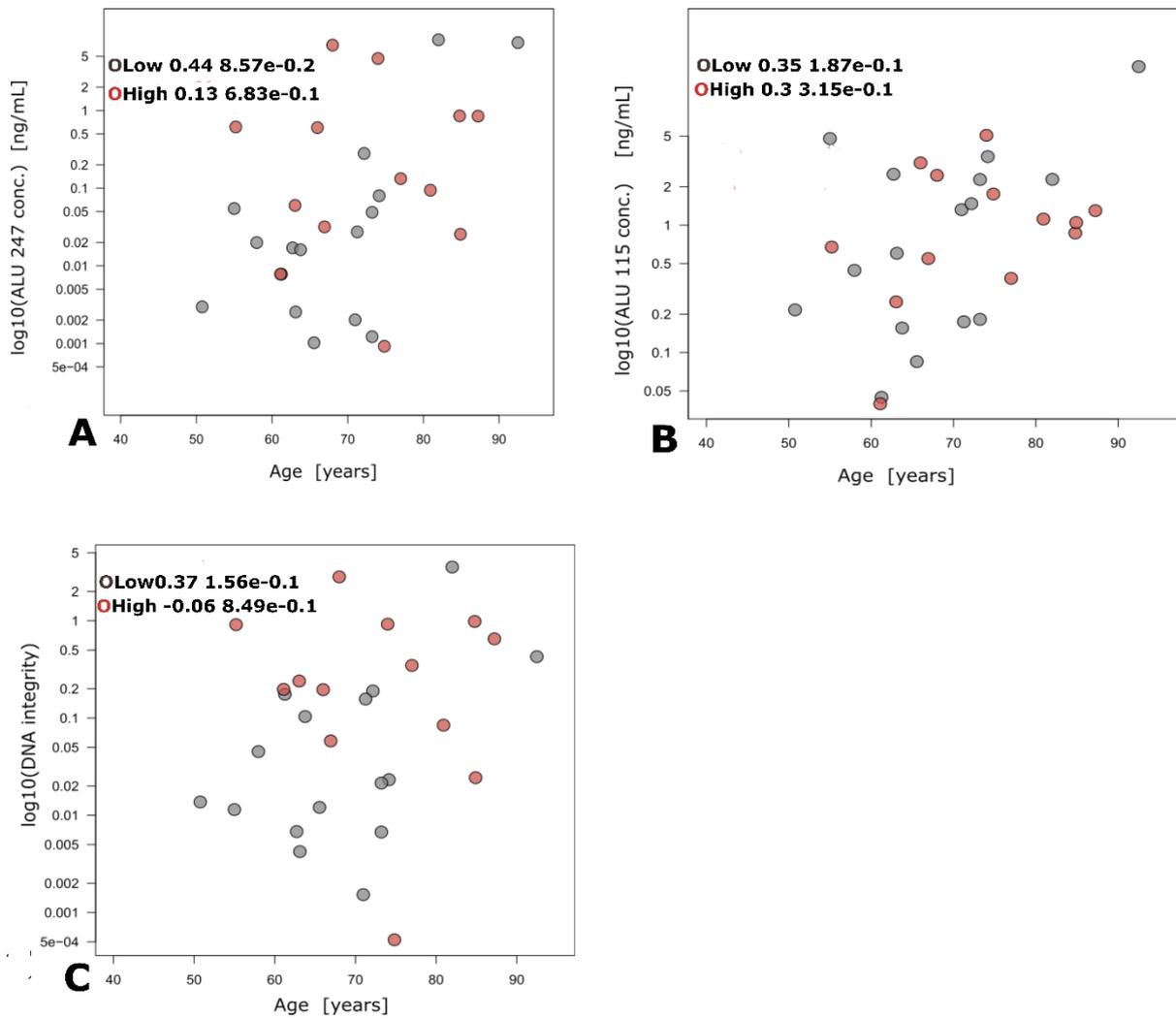


Figure 4.3: Correlation between A) plasma ALU 247 concentration and age in low and high GS PCa patients, B) plasma ALU 115 concentration and age in low and high GS PCa patients, C) plasma DNA integrity and age in low and high GS PCa patients.

To investigate the combined effects of the independent variables on variables such as cfDNA concentration and DNA integrity, we used a two-way ANOVA for secondary analysis of our data (Tables 4.3, 4.4 and 4.5). We found a significant relationship between ALU 115 and ALU 247 concentration and the combined effect of patient's age and Gleason's score. (Table 4.3 and 4.4).

Table 4.3: A two-way ANOVA between ALU 115 concentration and clinical data of all patients

	Df	Sum Sq	Mean Sq	F value	p-value
log10 (PSA)	1	0.107566	0.107566	0.014848	0.904231
Age	1	55.35293	55.35293	7.640819	0.011966

GS	1	13.78501	13.78501	1.902857	0.182989
log10(PSA): Age	1	0.374996	0.374996	0.051764	0.822332
log10(PSA): GS	1	0.032546	0.032546	0.004493	0.947226
Age: GS	1	69.19189	69.19189	9.551123	0.005768
log10(PSA): Age: GS	1	18.90289	18.90289	2.609321	0.121903

Table 4.4: A two-way ANOVA between ALU 247 concentration and other clinical data of all patients

	Df	Sum Sq	Mean Sq	F value	p-value
log10(PSA)	1	1.703986	1.703986	0.401276	0.533609
Age	1	26.37594	26.37594	6.211338	0.021587
GS	1	2.493382	2.493382	0.587173	0.452464
log10(PSA): Age	1	1.376277	1.376277	0.324103	0.575491
log10(PSA): GS	1	0.521745	0.521745	0.122867	0.72961
Age: GS	1	37.19775	37.19775	8.759795	0.007747
log10(PSA): Age: GS	1	6.120957	6.120957	1.44144	0.243934

Table 4.5: A two-way ANOVA between DNA integrity and other clinical data of all patients

	Df	Sum Sq	Mean Sq	F value	p-value
log10 (PSA)	1	0.325227	0.325227	0.413553	0.527477
Age	1	0.999828	0.999828	1.271363	0.272857
GS	1	0.071068	0.071068	0.090369	0.766811
log10 (PSA): Age	1	0.402591	0.402591	0.511927	0.482576
log10 (PSA): GS	1	0.005389	0.005389	0.006852	0.934852
Age: GS	1	1.368478	1.368478	1.740133	0.202026
log10(PSA): Age: GS	1	0.121046	0.121046	0.15392	0.698966

#### 4.3.2 Urine cfDNA quantification

Urine cfDNA quantification was done with a total of 26 samples. The median age of all PCa patients was 67.9 [IQR 62.7 73.5] while the median age of all BPH patients was 75 [IQR 69.4

77.3]. The median ALU 115 level in PCa patients [0.045 (0.011 0.1)] was found to be higher than the median ALU 115 in BPH patients [0.019 (0.013 0.028)]. The median ALU 247 level in PCa patients [0.037 (0.004 0.088)] was also found to be higher than the median ALU 247 in BPH patients [0.01 (0.004 0.042)] (Table 4.6).

Table 4.6: Urine cfDNA quantification in BPH and PCa patients

Feature	BPH	PCa	Total	p-value
ALU 115, median [IQR]	0.019 [0.013 0.028]	0.045 [0.011 0.1]	0.021 [0.012 0.097]	5.51E-01
ALU247, median [IQR]	0.01 [0.004 0.042]	0.037 [0.004 0.088]	0.024 [0.004 0.058]	4.75E-01
Integrity, median [IQR]	0.686 [0.504 0.88]	0.598 [0.375 1.266]	0.616 [0.381 1.069]	8.56E-01
Age, median [IQR]	75 [69.4 77.3]	67.9 [62.7 73.5]	70.3 [63.5 75.4]	6.57E-02
PSA, median [IQR]	9.345 [5.942 12.425]	46.275 [17.56 177.875]	16.56 [9.018 68.808]	9.10E-04
GS				
high, n (%)	-	8 (50.0)	8 (50.0)	
low, n (%)	-	8 (50.0)	8 (50.0)	

We also performed secondary analyses to investigate the relationship between baseline cfDNA concentration and clinical characteristics among PCa and BPH patients. There was no significant correlation found cfDNA and any of the clinical data (Table 4.7).

Table 4.7: Correlation between cfDNA, age and PSA among BPH, PCa, and all patients

	Feature	rho	p-value
Correlation: cfDNA and age (all patients)			
1	ALU 115	0.13	5.49E-01
2	ALU 247	0.11	5.88E-01
3	Integrity	0.14	5.15E-01
Correlation: cfDNA and PSA (all patients)			

1	ALU 115	0.1	6.09E-01
2	ALU 247	0.15	4.50E-01
3	Integrity	0.1	6.11E-01
Correlation: cfDNA and age (PCa patients)			
1	ALU 115	0.28	2.94E-01
2	ALU 247	0.3	2.57E-01
3	Integrity	0.17	5.19E-01
Correlation: cfDNA and PSA (PCa patients)			
1	ALU 115	0.17	5.19E-01
2	ALU 247	0.21	4.36E-01
3	Integrity	0.15	5.71E-01
Correlation: cfDNA and age (BPH patients)			
1	ALU 115	0.22	5.74E-01
2	ALU 247	0.04	9.15E-01
3	Integrity	0.46	2.13E-01
Correlation: cfDNA and PSA (BPH patients)			
1	ALU 115	-0.33	3.49E-01
2	ALU 247	-0.47	1.66E-01
3	Integrity	0.25	4.92E-01

#### 4.3.3 CfDNA whole exome sequencing

We performed whole exome sequencing of cfDNA from 12 urine samples. Patients' clinical data are shown below in Table 4.8

Table 4.8: Clinical data of patient's samples used for whole exome sequencing.

SAMPLE ID	Sample type	Age (years)	Race	Pathology	GS	PSA
SAPC0108	Urine	56	Black	PCa	3+4	82.6
SAPC0164	Urine	74	Black	PCa	3+3	74
SAPC0195	Urine	87	Black	PCa	4+5	26
SAPC0249	Urine	63	MA	PCa	5+4	1070

SAPC0321	Urine	63	MA	PCa	3+3	8.62
SAPC0281	Urine	71	MA	PCa	3+3	19
SAPC0331	Urine	75	MA	PCa	4+5	53.23
SAPC0334	Urine	73.22	MA	PCa	3+4	126.8
SAPC0339	Urine	77	MA	BPH		12.9
SAPC0346	Urine	69.45	MA	BPH		1.05
SAPC0119	Urine	69	MA	BPH		5.49
SAPC0224	Urine	81	MA	BPH		14.05

MA (mixed ancestry)

To identify nonsynonymous single-nucleotide variant (nsSNV) in the absence of germline mutation, we analyzed the data using pipeline developed in-house to identify SNVs in PCa and the BPH samples used as control. We identified a total of 4095159 nsSNV in the coding region of all samples. We filtered the total mutations for nsSNV and found a total of 194112 nsSNV for all samples. Due to the absence of corresponding germline mutation in our samples, we compared the nsSNV found in our samples with that of PCa nsSNV in COSMIC database. A total of 9461 genes were found at COSMIC annotated sites. The mutated genes found were then analyzed according to the frequency of their occurrence in PCa and BPH samples.

In order to identify significantly mutated genes in PCa, we made a comparison of genes found in PCa samples and BPH. We found 31 (ZNF286A, MMAB, IMPG1, BRCA1, ERCC6, KCNMB3, CGB7, JMJD1C, CD109, ADGRV1, SLC13A2, NLRP5, SELP, ARHGAP21, HTR3E, CEP85L, TMEM106B, CLYBL, MGP, ZNF615, PLIN4, MADCAM1, MTHFR, CPLANE1, NTAQ1, NUSAP1, AOC1, CYFIP1, ADAMTSL3, GDF3, and MST1) genes that showed significant difference between PCa and BPH samples Figure 4.4.

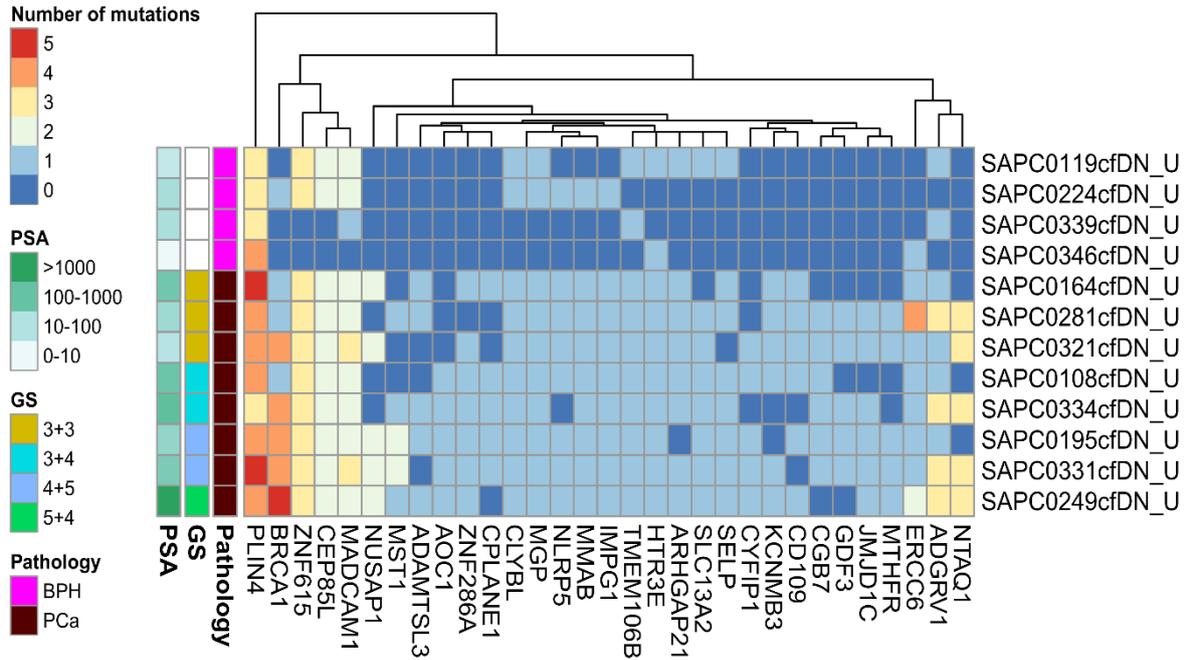


Figure 4.4: Heatmap of significant mutated gene between BPH and PCa patients colored according to the number of mutations found in each of the samples. [GS-Gleason score]

#### 4.4 Discussion

Our study investigated the role of plasma and urinary cfDNA level and DNA integrity as diagnostic tools for PCa. CfDNA released from tumor cells is a mirror of the ongoing state of the tumor and measuring cfDNA level can serve as an ideal diagnostic and prognostic tool for tumors (339). We used Alu gene, the most abundant repeats in the genome sequence, to measure the level of cfDNA in plasma and urine (242,243). ALU 115 concentration represents the shorter DNA fragments and the total amount of plasma or urinary cfDNA, while ALU 247 represents the longer DNA fragments usually products of necrosis. DNA integrity, the ratio of longer to shorter cfDNA fragments, is higher in cancer patients and higher in metastatic cancers than in non-metastatic cases (340,341). DNA integrity also predict the progression of tumor and lymph node metastases in PCa patients (342,343).

In this study, we found significant association between plasma cfDNA concentration and age among PCa patients while no association between urinary cfDNA concentration and age. There have been conflicting reports from previous studies that explore the relationship between cfDNA concentration and age. Sozzi et al. reported a significant association between age and

plasma cfDNA concentration (344). On the contrary, other studies observed no association between the concentration of plasma cfDNA and age (345,346).

Our study also found significant association between plasma concentration of longer fragment cfDNA (ALU 247) and PSA in PCa patients and a significant positive correlation between DNA integrity and PSA among PCa patients. However, there was no association between urinary cfDNA concentration and PSA. This is consistent with Seyedolmohadessin et al. which found significant association between PSA and serum cfDNA concentration (319). The lack of association between PSA and urinary cfDNA may be due to small sample size of the urinary cohort in our study. Although, there is no logical direct relationship between PSA and cfDNA concentration, studies have explored the possibility of combining cfDNA concentration and PSA in the diagnosis and monitoring of PCa (319,347,348). Gordian et al. reported that combination of cfDNA concentration with PSA helped increase the specificity of PSA test for detection of PCa (348). Another study by Torquato et al. found a positive correlation between PSA and cfDNA and concluded that the combination of PSA and cfDNA might improve the early diagnosis of PCa (349).

In order to determine genetic variation within the cfDNA fragments in PCa from South African populations, we performed exome sequencing of urinary cfDNA. Our study is the first African based whole exome sequencing of urinary cfDNA in PCa. This is important because it help to give better understanding of the specific genetic alteration in PCa among African populations. In our study we sequenced urinary cfDNA of 8 PCa samples and 4 BPH samples and found 31 significantly somatic mutated genes.

Our finding of this novel panel of mutated genes in PCa of South African men largely contribute towards the effort of identifying genetic mutation specific to African populations. These mutated genes may potentially serve as PCa diagnostic biomarker particularly in African populations. Also, this finding is a great contribution towards the search of more specific liquid biopsy for African men considering that the presently available liquid biopsy for PCa diagnosis was based on studies done among Caucasians populations (350,351).

Interestingly, four of the 31 genes (BRCA1, ERCC6, ARHGAP21, and ADAMTSL3) have been described in previous studies to be frequently mutated in PCa of African men (352–354). The role of BRCA1 gene in the development of PCa has been extensively described (170,355–357). BRCA1 is a tumor suppressor gene inherited in an autosomal dominant fashion with

incomplete penetrance. The development of tumor in persons with germline mutations in BRCA1 genes requires somatic inactivation of the remaining wild-type allele (357). BRCA1 plays major role in cellular control systems, due to its role in different cellular processes such as transcriptional regulation, DNA damage response and repair, and chromatin modelling (358,359). BRCA1 has been shown as a coregulators of AR, which mediates a signalling pathway important in prostate carcinogenesis and progression (360,361). Mutations in BRCA1 and BRCA2 genes are linked with poor prognosis of PCa (356,362,363). Studies have shown a higher BRCA1 mutation in PCa in men of African ancestry than the Caucasian populations (352,353,364,365). Yadav et al. found an increase in BRCA1 mutation in men of African ancestry than Caucasian men (352). A study by White et al. found about 2.16-fold increase of BRCA1 mutation in men of African ancestry compared to European men (353).

The excision repair cross-complementing group 6 (ERCC6) gene encodes a protein which, play key repairing of damage DNA (366,367). ERCC6 functions through transcription and nucleotide excision repair (NER), which works by removing bulky adducts and repairing of DNA damage produced by environmental agents, such as ultraviolet light, (368). ERCC6 gene mutation can reduce its activity, thereby leading to defects in NER repair of damage DNA. Yadav et al. found ERCC6 to be more frequently mutated in PCa of African-American populations (352).

ARHGAP21 belong to RhoGAP protein family which, play major role in conversion of Rho-GTPases from an active to inactive bound state (369,370). Rho family GTPases are involved in regulation of several cell functions, such as cell adhesion, migration, proliferation, and survival (371). ARHGAP21 play major function in cell-cell interaction, vesicular trafficking of Golgi membranes, and cardiac stress (369,372,373). Studies have reported ARHGAP21 has negative regulator of cancer cell growth, migration, and invasion (374–376). A low expression of ARHGAP21 have been shown to correspond with worse prognosis in prostate, lung, ovarian, and colon cancer (376–379). Xu et al. reported the diagnostic potential of ARHGAP21 gene in African American PCa patients (354). ADAMTSL3 is one of the superfamilies of cell surface associated glycoproteins comprising of nineteen ADAMTS proteases and seven ADAMTS-like (ADAMTSL) proteins (380). ADAMTS proteases play major function in biological processes including, procollagen maturation, connective tissue assembly, angiogenesis, and cancer (381–383). ADAMTSL proteins do not directly participate in proteolytic activity, they are majorly involved in regulation of ADAMTS activity and assembly of extracellular matrices

(380,384,385). The proliferative role of ADAMTSL3 has been described in different human cancers (386–388). Koo et al. described the expression of ADAMTSL3 in PCa (388). Xu et al. revealed the potential of ADAMTSL3 in the diagnosis of PCa in African populations (354).

PCa is the leading cause of cancer related death in Africa. Factors that contribute to high mortality of PCa in Africa include late diagnosis of the cancer among African men. Also, the aggressive nature of PCa among men in Africa make early diagnosis of the cancer imperative. PSA has long been the main biomarker for diagnosis and prognosis of PCa. However, PSA has low specificity and sensitivity for PCa as it has been more organ specific than disease specific. Screening with PSA has led to early identification, overdiagnosis, and overtreatment of PCa (5–7) making a need for new biomarkers that is more sensitive and specific highly important. Previous studies including studies done among African populations have shown the potential role of cfDNA concentration and DNA integrity as diagnostic and prognostic biomarker for PCa, which are consistent with our findings. Our findings on the genetic profiling of cfDNA in South African patients help to contribute to the possibility of finding genetic mutation specific to African populations known for aggressive PCa disease. This will largely help in developing a population specific biomarker in the diagnosis PCa in South African populations. Our study also gave support to earlier postulation made that the combination of PSA and cfDNA will serve as more specific and sensitive biomarkers in the diagnosis of PCa.

In conclusion our study contributed to existing knowledge on the potential use of cfDNA as biomarker for diagnosis of PCa in South African populations.

## **Chapter 5: General Discussion and Conclusion**

### **5.1 General Discussion**

PCa is the most common cause of cancer death among African men (3). Factors such as poor healthcare, late presentation and the aggressive nature of PCa in black men are responsible for high mortality rate of PCa in Africa (389,390). Histopathological examination of tissue biopsy remains the gold standard for diagnosing PCa (391). However, tissue biopsy is known to be invasive and associated with surgical complications (392). This makes it imperative for studies to be done in search of a less invasive liquid biopsy in the diagnosis of PCa, especially in African men known to have more aggressive disease.

Our previous review paper highlighted the prospect and challenges facing the use of liquid biopsy in Africa (393). We discussed the lack of data verifying the efficacy of FDA approved PCa liquid biopsy assays in African populations (393). This is important due to the varying genetic landscape of different population groups (394). This makes it important for more research to be done in quest for more specific diagnostic biomarkers in the management of PCa in African populations.

Exosome cargoes and cfDNA are two major biological molecules that are largely explored as liquid biopsy markers for PCa. However, African based studies on exosomes and cfDNA in the diagnosis, prognosis, and treatment monitoring of PCa are limited, hence, the need for this study. This dissertation characterized cfDNA and exosomal miRNA in the South African population.

Our study investigated exosome morphology in South African populations using transmission electron microscope. PCa patients showed significantly higher levels of plasma exosomes than BPH in the 16nm and 28nm exosome size groups. This is consistent with an earlier study reporting higher concentration plasma exosomes in PCa than BPH (318). These findings will encourage more exosome-based studies on potential opportunities in maximizing exosome morphology as biomarkers for PCa diagnosis either alone or in combination with other biomarkers.

In the exosomal miRNA analysis, we found that the correlation of the expression ratio of miRNA16 and miRNA194 with disease severity was able to differentiate between high and low Gleason's score PCa. These findings from an African population known to have more aggressive PCa is very important. This may result in development of aggressive PCa diagnostic biomarkers, which will further help in prompt and better disease management.

One major limitation of our exosomal miRNA study is the small number of samples sequenced. Although, we tried to mitigate this limitation by validating the miRNAs found in more PCa and BPH samples using real-time PCR, a larger number of samples will be required to be sequenced to ascertain these findings.

PSA remains the most used biomarker in the diagnosis and treatment monitoring of PCa. However, it is limited due to its low specificity in the diagnosis of PCa leading to overdiagnosis. A combination of other biomarker with PSA that improves its specificity in PCa diagnosis will be of great help in the management of PCa. We characterized cfDNA in PCa patients from South Africa. We found a significant positive relationship between cfDNA concentration and PSA. The potential combination of cfDNA level and PSA in improving the specificity of diagnosis of PCa was earlier proposed by Gordian et al. (348). Our findings help to give credence to this assertion.

Our study is the first African study to perform a whole exome sequencing of urinary cfDNA. We found 31 significantly mutated genes between PCa and BPH samples. We reported for the first time an association between 27 of these genes and PCa in an African population. Four of the genes have earlier been described among PCa patients of African origin previously (352,354). These findings are important because it contribute to existing knowledge in the search of less invasive diagnostic and prognostic PCa biomarkers specific for African populations.

A major limitation of our cfDNA study is the small number of urinary cfDNA sequenced. It will also be valuable if we performed whole exome sequencing of plasma cfDNA samples from same patients to compare if there are possible differences in the mutated gene found. This will help to mitigate the lack of reference genome for African populations which is a major limitation in our study.

## **5.2 Conclusion**

In conclusion, our study found the potential uses of cfDNA and exosomes in diagnosis and prognosis of PCa in South African populations. Our findings could potentially contribute to the development of personalized therapy for the management of PCa among African populations.

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Supplementary data 1: Differential expressed TCGA and exosomal miRNAs.

Differentially expressed TCGA miRNA				Differentially expressed exosomal miRNA			
	logFC	P Value	FDR		logFC	P Value	FDR
hsa-mir-483	4.43	1.14E-54	1.20E-51	hsa-miR-4485-5p	-10.78	4.85E-16	1.42E-13
hsa-mir-508	-3.44	7.42E-34	3.88E-31	hsa-miR-4485-3p	-9.37	2.53E-12	3.71E-10
hsa-mir-592	1.88	1.28E-30	4.45E-28	hsa-miR-4284	-6.98	1.18E-10	1.16E-08
hsa-mir-210	1.45	6.24E-28	1.63E-25	hsa-miR-3123	-12.21	3.72E-10	2.73E-08
hsa-mir-372	-2.94	2.77E-19	5.80E-17	hsa-miR-4484	-10.14	1.06E-09	6.21E-08
hsa-mir-514-1	-2.52	3.68E-18	6.29E-16	hsa-miR-1282	-9.6	6.58E-09	3.22E-07
hsa-mir-425	0.57	4.21E-18	6.29E-16	p-hsa-miR-146	-7.75	1.86E-08	7.45E-07
hsa-mir-1274b	-1.43	6.30E-18	7.46E-16	hsa-miR-1973	-8.87	2.03E-08	7.45E-07
hsa-mir-708	0.55	6.42E-18	7.46E-16	hsa-miR-4461	-10.42	4.70E-08	1.54E-06
hsa-mir-514-3	-2.52	9.26E-18	9.69E-16	hsa-miR-6880-3p	-8.68	1.40E-07	4.13E-06
hsa-mir-3676	-1.25	1.09E-17	1.04E-15	hsa-miR-6723-5p	-10.78	9.84E-07	2.46E-05
hsa-mir-514-2	-2.5	1.82E-17	1.59E-15	p-hsa-miR-249	-8.06	1.01E-06	2.46E-05
hsa-mir-21	0.43	3.79E-17	3.05E-15	hsa-miR-6873-3p	-5.52	1.67E-06	3.78E-05
hsa-mir-519a-1	-3.04	6.09E-17	4.55E-15	p-hsa-miR-102-1__p-hsa-miR-102-2__p-hsa-miR-102-3	-5.44	3.42E-06	7.17E-05
hsa-mir-509-2	-2.52	4.28E-16	2.99E-14	hsa-miR-5096	-4.47	4.12E-06	7.81E-05
hsa-mir-509-3	-2.55	7.67E-16	5.02E-14	hsa-miR-6830-3p	-4.63	4.25E-06	7.81E-05
hsa-mir-217	0.82	8.54E-16	5.25E-14	hsa-miR-1273g-3p	-4.14	4.52E-06	7.81E-05
hsa-mir-133b	-0.95	1.15E-15	6.69E-14	hsa-miR-6739-5p	-6.19	6.39E-06	1.04E-04
hsa-mir-222	-0.56	3.51E-15	1.87E-13	hsa-miR-4668-5p	-3.53	7.21E-06	1.12E-04
hsa-mir-506	-3.04	3.58E-15	1.87E-13	hsa-miR-4644	-5.33	9.37E-06	1.38E-04
hsa-mir-509-1	-2.41	1.26E-14	6.27E-13	hsa-miR-4454	3.5	2.35E-05	3.29E-04
hsa-mir-133a-2	-0.95	2.62E-14	1.25E-12	hsa-miR-451a	3.27	5.50E-05	7.35E-04
hsa-mir-133a-1	-0.76	2.82E-14	1.28E-12	p-hsa-miR-121	-7.93	1.12E-04	1.43E-03
hsa-mir-221	-0.55	1.55E-12	6.77E-11	hsa-miR-6510-5p	-4.47	1.21E-04	1.43E-03
hsa-mir-30e	-0.23	9.43E-12	3.94E-10	hsa-miR-877-3p	-5.88	1.22E-04	1.43E-03
hsa-mir-519a-2	-2.41	1.25E-11	5.02E-10	hsa-miR-6832-3p	-4.33	1.74E-04	1.97E-03

hsa-mir-1-2	-0.59	2.98E-11	1.16E-09	hsa-miR-33a-5p	-3.7	2.20E-04	2.40E-03
hsa-mir-30a	-0.39	6.00E-11	2.24E-09	hsa-miR-10a-5p	9.21	2.78E-04	2.92E-03
hsa-mir-522	-2.46	6.79E-11	2.45E-09	p-hsa-miR-6	-3.6	3.63E-04	3.68E-03
hsa-mir-582	-0.57	1.24E-10	4.32E-09	hsa-miR-3195	9.08	4.60E-04	4.51E-03
hsa-mir-197	0.27	1.63E-10	5.50E-09	hsa-miR-7107-5p	-4.14	6.16E-04	5.84E-03
hsa-let-7d	0.19	2.47E-10	8.07E-09	p-hsa-miR-135	-4.3	6.58E-04	5.96E-03
hsa-mir-137	1.74	2.55E-10	8.10E-09	hsa-miR-6741-3p	-6.5	6.69E-04	5.96E-03
hsa-mir-378	-0.45	5.56E-10	1.71E-08	hsa-miR-6809-3p	-4.48	7.06E-04	6.11E-03
hsa-mir-653	0.54	1.18E-09	3.54E-08	hsa-miR-6809-5p	-4.03	1.12E-03	9.44E-03
hsa-mir-516a-1	-2.18	1.51E-09	4.39E-08	p-hsa-miR-208	-3.51	1.37E-03	1.12E-02
hsa-mir-516a-2	-2.17	1.64E-09	4.64E-08	hsa-miR-20a-5p	2.64	1.43E-03	1.14E-02
hsa-mir-129-2	0.94	2.96E-09	8.16E-08	hsa-miR-139-5p	3.45	2.76E-03	2.13E-02
hsa-mir-126	0.34	7.42E-09	1.99E-07	hsa-miR-4326	-6.56	3.18E-03	2.40E-02
hsa-mir-93	0.35	9.74E-09	2.55E-07	hsa-miR-556-3p	-5.31	3.39E-03	2.49E-02
hsa-mir-301a	0.37	1.43E-08	3.64E-07	hsa-miR-483-5p	-3.82	3.58E-03	2.57E-02
hsa-mir-129-1	0.85	4.02E-08	1.00E-06	hsa-miR-4488	3.97	4.71E-03	3.29E-02
hsa-mir-589	0.25	5.18E-08	1.26E-06	p-hsa-miR-103	-2.47	4.85E-03	3.31E-02
hsa-mir-181a-1	0.25	5.39E-08	1.26E-06	hsa-miR-194-5p	3.45	5.11E-03	3.34E-02
hsa-mir-574	-0.24	5.43E-08	1.26E-06	hsa-miR-877-5p	-3.11	5.12E-03	3.34E-02
hsa-mir-139	-0.35	5.62E-08	1.28E-06	hsa-miR-144-5p	2.3	5.72E-03	3.56E-02
hsa-mir-23c	-0.9	6.69E-08	1.49E-06	hsa-miR-6126	-4.21	5.77E-03	3.56E-02
hsa-mir-216a	0.78	1.06E-07	2.30E-06	hsa-let-7i-5p	1.95	5.81E-03	3.56E-02
hsa-mir-891a	-0.67	2.28E-07	4.86E-06	hsa-miR-130a-3p	-2.08	6.77E-03	4.06E-02
hsa-mir-2115	0.95	3.12E-07	6.52E-06	hsa-miR-4668-3p	-3.77	7.09E-03	4.17E-02
hsa-mir-378c	-0.36	3.49E-07	7.15E-06	hsa-miR-107	-3.42	7.40E-03	4.26E-02
hsa-mir-503	0.56	8.25E-07	1.66E-05	p-hsa-miR-336	3.1	8.42E-03	4.72E-02
hsa-mir-125b-1	-0.24	1.05E-06	2.07E-05	hsa-miR-1273a	-3.08	8.50E-03	4.72E-02
hsa-mir-371	-1.36	1.08E-06	2.10E-05	hsa-miR-7111-3p	-3.55	9.12E-03	4.97E-02
hsa-mir-192	0.31	1.21E-06	2.30E-05	hsa-miR-450a-5p	7.94	1.08E-02	5.80E-02
hsa-mir-1301	0.35	1.60E-06	2.98E-05	hsa-miR-92a-3p	2.05	1.23E-02	6.42E-02
hsa-mir-493	0.34	1.78E-06	3.27E-05	hsa-miR-7150	8.02	1.24E-02	6.42E-02
hsa-mir-96	0.34	2.29E-06	4.12E-05	hsa-miR-424-5p	2.21	1.32E-02	6.69E-02
hsa-mir-194-2	0.24	3.31E-06	5.87E-05	hsa-miR-6865-3p	-4.91	1.46E-02	7.30E-02
hsa-mir-194-1	0.25	3.41E-06	5.95E-05	hsa-miR-532-5p	2.52	1.64E-02	8.05E-02
hsa-mir-513c	-1.22	3.61E-06	6.19E-05	hsa-miR-16-5p	1.89	1.67E-02	8.05E-02
hsa-mir-30c-2	-0.22	3.93E-06	6.63E-05	hsa-miR-221-3p	-2.08	1.83E-02	8.51E-02

hsa-mir-16-1	0.19	4.42E-06	7.34E-05	hsa-miR-326	-2.15	1.84E-02	8.51E-02
hsa-mir-3065	0.41	5.12E-06	8.36E-05	hsa-miR-93-5p	1.67	1.85E-02	8.51E-02
hsa-mir-145	-0.3	5.48E-06	8.82E-05	hsa-miR-3613-5p	-1.87	2.19E-02	9.89E-02
hsa-mir-106b	0.19	7.41E-06	1.17E-04				
hsa-mir-449a	1.06	9.37E-06	1.44E-04				
hsa-mir-18b	0.52	9.37E-06	1.44E-04				
hsa-mir-128-1	0.19	1.08E-05	1.64E-04				
hsa-mir-23b	-0.22	1.21E-05	1.81E-04				
hsa-mir-374b	-0.22	1.30E-05	1.92E-04				
hsa-mir-1258	-0.56	1.35E-05	1.96E-04				
hsa-mir-212	-0.3	1.37E-05	1.96E-04				
hsa-mir-1911	0.86	1.42E-05	1.98E-04				
hsa-mir-484	0.2	1.42E-05	1.98E-04				
hsa-mir-625	0.23	1.69E-05	2.32E-04				
hsa-mir-143	-0.36	1.83E-05	2.49E-04				
hsa-mir-339	0.23	1.95E-05	2.61E-04				
hsa-mir-29c	-0.2	2.76E-05	3.66E-04				
hsa-mir-16-2	0.35	2.83E-05	3.70E-04				
hsa-mir-1298	0.83	3.43E-05	4.43E-04				
hsa-mir-206	-1.68	3.94E-05	5.03E-04				
hsa-mir-1-1	-0.68	4.37E-05	5.51E-04				
hsa-mir-18a	0.31	5.20E-05	6.47E-04				
hsa-mir-15a	0.2	5.38E-05	6.62E-04				
hsa-mir-30d	0.2	5.89E-05	7.16E-04				
hsa-mir-576	0.26	6.32E-05	7.60E-04				
hsa-mir-345	0.29	6.47E-05	7.69E-04				
hsa-mir-191	0.21	7.10E-05	8.34E-04				
hsa-mir-10a	0.3	9.52E-05	1.11E-03				
hsa-mir-103-1	0.18	1.06E-04	1.22E-03				
hsa-mir-373	-0.93	1.16E-04	1.32E-03				
hsa-mir-1247	-0.38	1.27E-04	1.42E-03				
hsa-mir-337	0.23	1.39E-04	1.54E-03				
hsa-mir-1283-2	-1.12	1.54E-04	1.70E-03				
hsa-mir-490	-0.96	1.71E-04	1.87E-03				
hsa-mir-29a	-0.17	1.87E-04	2.02E-03				
hsa-mir-32	0.27	1.97E-04	2.10E-03				

hsa-mir-132	-0.17	2.21E-04	2.34E-03				
hsa-mir-135a-2	-0.37	2.27E-04	2.35E-03				
hsa-mir-449b	0.75	2.27E-04	2.35E-03				
hsa-mir-454	0.22	2.29E-04	2.35E-03				
hsa-mir-409	0.22	2.72E-04	2.76E-03				
hsa-mir-1224	0.7	3.15E-04	3.16E-03				
hsa-mir-516b-1	0.62	3.24E-04	3.23E-03				
hsa-mir-1283-1	-1	3.45E-04	3.41E-03				
hsa-mir-184	-0.71	3.51E-04	3.43E-03				
hsa-mir-98	0.16	3.54E-04	3.43E-03				
hsa-mir-651	0.32	3.81E-04	3.66E-03				
hsa-mir-449c	0.72	3.92E-04	3.73E-03				
hsa-mir-3200	0.44	4.12E-04	3.88E-03				
hsa-mir-125a	-0.16	4.30E-04	4.01E-03				
hsa-mir-1296	0.25	4.79E-04	4.43E-03				
hsa-mir-326	-0.33	5.26E-04	4.83E-03				
hsa-mir-28	-0.15	5.45E-04	4.96E-03				
hsa-mir-590	0.18	5.93E-04	5.32E-03				
hsa-mir-190	-0.28	5.95E-04	5.32E-03				
hsa-mir-3615	0.36	7.65E-04	6.78E-03				
hsa-mir-142	0.33	8.42E-04	7.40E-03				
hsa-mir-507	-0.72	9.69E-04	8.45E-03				
hsa-mir-940	0.48	1.00E-03	8.68E-03				
hsa-mir-199a-1	0.16	1.47E-03	1.25E-02				
hsa-mir-3614	0.47	1.47E-03	1.25E-02				
hsa-mir-3152	-0.66	1.48E-03	1.25E-02				
hsa-mir-1307	0.17	1.56E-03	1.31E-02				
hsa-mir-577	0.59	1.68E-03	1.40E-02				
hsa-mir-20b	0.29	1.81E-03	1.49E-02				
hsa-mir-296	-0.42	1.87E-03	1.53E-02				
hsa-mir-125b-2	-0.17	2.07E-03	1.67E-02				
hsa-mir-335	0.2	2.29E-03	1.84E-02				
hsa-mir-30b	0.2	2.33E-03	1.86E-02				
hsa-mir-877	0.52	2.49E-03	1.98E-02				
hsa-mir-185	0.13	2.64E-03	2.07E-02				
hsa-mir-514b	-0.57	2.85E-03	2.22E-02				

hsa-mir-1248	-0.4	2.94E-03	2.28E-02				
hsa-mir-7-3	0.54	3.05E-03	2.35E-02				
hsa-mir-505	-0.13	3.10E-03	2.37E-02				
hsa-mir-512-1	0.55	3.13E-03	2.37E-02				
hsa-mir-513a-2	-0.49	3.20E-03	2.41E-02				
hsa-mir-3648	-0.39	3.40E-03	2.54E-02				
hsa-mir-495	0.18	3.62E-03	2.67E-02				
hsa-mir-942	0.31	3.63E-03	2.67E-02				
hsa-mir-520g	0.46	3.65E-03	2.67E-02				
hsa-mir-3074	0.26	3.80E-03	2.76E-02				
hsa-mir-513a-1	-0.51	4.02E-03	2.88E-02				
hsa-mir-15b	0.16	4.02E-03	2.88E-02				
hsa-mir-202	-0.44	4.14E-03	2.94E-02				
hsa-mir-501	0.17	4.89E-03	3.46E-02				
hsa-mir-520a	0.55	4.95E-03	3.48E-02				
hsa-let-7c	-0.17	5.09E-03	3.53E-02				
hsa-mir-615	0.38	5.10E-03	3.53E-02				
hsa-mir-518e	-0.79	5.48E-03	3.77E-02				
hsa-mir-153-1	-0.29	5.57E-03	3.81E-02				
hsa-mir-616	0.32	5.78E-03	3.92E-02				
hsa-mir-106a	0.24	5.81E-03	3.92E-02				
hsa-mir-660	0.16	5.95E-03	3.99E-02				
hsa-mir-331	-0.24	6.04E-03	4.02E-02				
hsa-mir-513b	-0.41	6.52E-03	4.32E-02				
hsa-mir-628	-0.17	6.92E-03	4.55E-02				
hsa-mir-9-1	0.37	7.07E-03	4.57E-02				
hsa-mir-937	0.43	7.10E-03	4.57E-02				
hsa-mir-3926-1	0.34	7.11E-03	4.57E-02				
hsa-mir-9-2	0.37	7.12E-03	4.57E-02				
hsa-mir-144	0.27	7.70E-03	4.91E-02				
hsa-mir-183	0.22	7.82E-03	4.96E-02				
hsa-mir-379	-0.19	8.16E-03	5.14E-02				
hsa-mir-663	-0.53	9.19E-03	5.74E-02				
hsa-mir-4326	0.25	9.21E-03	5.74E-02				
hsa-mir-520b	-0.65	9.50E-03	5.88E-02				
hsa-mir-3943	0.49	1.10E-02	6.75E-02				

hsa-mir-103-2	0.17	1.11E-02	6.75E-02				
hsa-mir-1264	0.49	1.12E-02	6.75E-02				
hsa-mir-1275	-0.43	1.12E-02	6.75E-02				
hsa-mir-451	0.27	1.12E-02	6.75E-02				
hsa-mir-26a-2	-0.12	1.13E-02	6.75E-02				
hsa-mir-525	0.44	1.15E-02	6.86E-02				
hsa-mir-1255a	0.36	1.20E-02	7.06E-02				
hsa-mir-618	0.42	1.24E-02	7.31E-02				
hsa-mir-204	-0.22	1.30E-02	7.59E-02				
hsa-mir-122	-0.79	1.32E-02	7.69E-02				
hsa-mir-556	0.47	1.42E-02	8.20E-02				
hsa-mir-381	-0.16	1.49E-02	8.55E-02				
hsa-mir-199a-2	0.12	1.70E-02	9.74E-02				
hsa-mir-128-2	0.12	1.75E-02	9.92E-02				
hsa-mir-504	-0.17	1.76E-02	9.92E-02				