

The implementation of a rapid sample preparation method for the detection of SARS-CoV-2 in a diagnostic laboratory in South Africa

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MMed in Virological Pathology

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Abbreviations

CN: Cycle number

Ct: Cycle threshold

E: Envelope

NA: Nucleic acid

NPA: Negative percent agreement

NP: Nasopharyngeal

N: Nucleocapsid

OP: Oropharyngeal

PPA: Positive percent agreement

RdRp: RNA-dependent RNA-polymerase

RP-IC: Internal control

RSP: Rapid sample preparation and inactivation

RT-PCR: Reverse-transcriptase polymerase chain reaction

SARS-CoV-2: Severe Acute Respiratory Syndrome Coronavirus 2

Symbols

™: Trademark

®: Registered trademark

°C: Degrees Celsius

μ: Micro

The implementation of a rapid sample preparation method for the detection of SARS-CoV-2 in a diagnostic laboratory in South Africa

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Abstract

The SARS-CoV-2 pandemic has resulted in shortages of both critical reagents for nucleic acid purification and highly trained staff as supply chains are strained by high demand, public health measures and frequent quarantining and isolation of staff. This created the need for alternate workflows with limited reliance on specialised reagents, equipment and staff. We present here the validation and implementation of such a workflow for preparing samples for downstream SARS-CoV-2 RT-PCR using liquid handling robots. The rapid sample preparation and inactivation technique evaluated, which included sample centrifugation and heating prior to RT-PCR, showed a 97.37% (95% CI: 92.55-99.28%) positive percent agreement and 97.30% (95% CI: 90.67-99.52%) negative percent agreement compared to nucleic acid purification-based testing. A total of 195 samples were tested as part of the validation. This method was subsequently adopted as the primary sample preparation method in the Groote Schuur Hospital Virology Diagnostic Laboratory in Cape Town, South Africa.

Introduction

Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), an emergent betacoronavirus, was identified as a novel causative agent of severe pneumonia in Wuhan, China in 2019 [1]. The capacity for person-to-person transmission was soon identified and the ensuing pandemic has caused more than seventeen million cases at the time of submission [2].

Currently, diagnostic testing for SARS-CoV-2 relies on molecular techniques, primarily reverse-transcriptase polymerase chain reaction (RT-PCR), from respiratory specimens [3]. The specialised equipment and reagents required to offer these tests at scale has placed significant strain on worldwide supply chains of reagents. Public health measures put in place in numerous countries, including travel restrictions, have further made planning for sustainable service delivery difficult as laboratory stock orders may not be filled on time. These issues motivate for the use of diagnostic workflows that favour locally or readily available reagents to, at least partially, insulate supply chains from fluctuations in global demand and evolving travel limiting public health measures. To address these issues, a number of laboratories have successfully developed alternative sample preparation techniques which limit reagent needs and avoid complex nucleic acid (NA) purification protocols [4-6]. There is also a significant cost saving when the reagent-free direct heating method, as described by Fomsgaard and Rosenstjerne [4], is used which will become critical if economic fallout from the pandemic intensifies. Staff shortages in the laboratory are an inevitability as social distancing requirements are implemented in concert with increasing demand for diagnostic testing. SARS-CoV-2 outbreaks in the laboratory environment may also introduce unpredictable shortages of critical

staff further limiting the capacity of laboratories to offer predictable test turnaround times. The necessary influx of new staff, who may have limited training or training in a related field, can further compromise the reliability of diagnostic laboratory services as the capacity for oversight and quality control is hindered by rapidly evolving testing demands and workflow instability due to reagent shortages and potentially unreliable testing kits due to limited regulatory oversight [7]. All these factors highlight the need for automated workflows that limit the number of laboratory staff-dependent steps and in particular steps requiring specialised training. Automation further limits human error such as sample switches and cross-contamination and are generally amenable to greater degrees of workflow control due to traceable instrument log files.

A chemical reagent-free heat-based rapid sample preparation and inactivation (RSP) [8, 9] method for downstream SARS-CoV-2 RT-PCR amplification is presented here optimised for use on automated liquid handling robots.

Materials and methods

Ethics

Biological material of human origin was anonymised and all clinical and other personally identifiable data delinked with only study specific sample identifiers used along with sample SARS-CoV-2 assay performance data. Ethics approval for this work was granted by the University of Cape Town Human Research Ethics Committee (HREC reference number: 335/2020).

Sample selection

Nasopharyngeal (NP) and oropharyngeal (OP) swabs sent dry or in saline to the National Health Laboratory Service Virology Diagnostic Laboratory in Groote Schuur Hospital from its standard referral area for SARS-CoV-2 testing were included. Selection of 115 samples, which tested positive, and 80 samples, which tested negative, for SARS-CoV-2 by NA purification-based commercial diagnostic assays in use at the diagnostic laboratory was done for the method validation. Spectrum bias was avoided by selecting consecutive samples that tested positive by standard testing over two discrete intervals of regular laboratory workflow. Samples that tested negative were selected randomly from the same intervals. The diagnostic assays in use were the Abbott RealTime SARS-CoV-2 Assay (Abbott Laboratories, USA) running on the Abbott m2000 RealTime system and the Allplex™ 2019-nCoV assay (Seegene, South Korea). The assays were run as per package insert (Quick Manual

Version 1.0). The Allplex™ 2019-nCoV assay was performed after sample NA purification using the NucliSENS® easyMag® (bioMérieux, France) as per package insert.

Rapid sample preparation and inactivation

Standard diagnostic testing sample preparation included placing NP or OP swabs in a 2ml Sarstedt sample tube containing 1.5ml autoclaved 0.9% saline. If both a NP and OP swab or multiple swabs of the same type was received, they were combined in a single tube. The swabs were cut to fit in the tube. The tube was then vortexed for 10 seconds. The saline was used as the sample input for downstream assays after which the tube was stored at 4°C. Stored tubes from diagnostic samples were available for inclusion in the study.

Selected sample tubes were centrifuged at 16 000 *g* for 5 minutes and 50µl of the supernatant was then pipetted into the wells of a 96-well PCR plate. The PCR wells were capped and the plate incubated on a thermocycler at 98°C for 5 minutes followed by 4°C for 2 minutes. The PCR plate was then briefly centrifuged and placed on a dedicated QIAgility (Qiagen, Germany) liquid handling instrument for sample-addition.

RT-PCR after rapid sample preparation and inactivation

Concurrent with sample preparation, a second dedicated QIAgility instrument was used for Allplex™ 2019-nCoV assay master mix preparation and aliquoting into appropriate 8-well PCR strips (Bio-Rad Laboratories, USA). Following master mix preparation, the PCR strips were transferred to the sample-addition QIAgility instrument. The sample input volume and master mix constituents are shown in Table 1.

Table 1. RT-PCR reaction preparation

	Volume per reaction (µl)
RNase-free Water	11.1
2019-nCoV MOM (primer and probe mix)	6
5X Real-time One-step Buffer	6
Real-time One-step Enzyme	2.4
Internal control (RP-IC)	1.5
Sample after centrifugation and heating	3
Total volume	30

After sample addition, the PCR strips were sealed and briefly centrifuged before being loaded on a CFX96™ Real-Time PCR Detection System (Bio-Rad Laboratories, USA). The real-time PCR cycling parameters recommended by the Allplex™ 2019-nCoV assay package insert (Quick Manual Version 1.0) were used unchanged. Real-time data analysis was performed using the 2019-nCoV Viewer for

Real time Instruments V3 (Ver 3.18.005.003) software as per the Allplex™ 2019-nCoV assay package insert (Quick Manual Version 1.0).

If the internal control (RP-IC) was not detected with a cycle threshold (Ct) value <40 and no SARS-CoV-2 targets were detected, the test was deemed invalid and the primary sample was retested with a decreased sample volume input, 2µl instead of 3µl, to reduce the concentration of PCR inhibitors in the reaction. The remainder of the protocol was unchanged.

Repeatability and analytical sensitivity

Inter-assay reproducibility was assessed using 8 samples with Envelope (E) gene Ct values ranging between 17.16 and 35.63, which were tested in triplicate 7 days after initial testing. Intra-assay reproducibility was assessed by repeating 16 samples in triplicate. Samples were stored at 4°C while awaiting repeat testing. To assess relative analytical sensitivity, one sample with a mean Ct value of 33.9 for the assay targets was selected and serially diluted with saline and tested with multiple replicates at dilutions specifically selected to allow calculation of the analytical sensitivity of the Allplex™ 2019-nCoV assay after NA purification and RSP. The dilution at which SARS-CoV-2 RNA could be detected with 95% confidence was determined for each method by Probit analysis. The absolute analytical sensitivity of the RSP method was then calculated based on the relative analytical sensitivity compared to NA purification-based detection. The absolute analytical sensitivity for NA purification-based detection is reported in the Allplex™ 2019-nCoV assay package insert (Quick Manual Version 1.0).

Statistical analysis and graphics

Data visualisation and statistical analysis, including paired t-tests for comparison of target Ct values, a Fisher's exact test for statistical significance determination of the positive percent agreement (PPA) and negative percent agreement (NPA) with NA extraction-based testing and the Wilson/Brown method for 95% confidence interval determination, was done using GraphPad Prism version 8.4.2 for macOS, GraphPad Software, San Diego, California USA, www.graphpad.com. Probit analysis was performed using R version 4.0.2 in R Studio [10].

Results and discussion

The RSP method validation included 115 serially collected samples which tested positive and 80 randomly selected samples from the same period which tested negative for SARS-CoV-2 by NA purification-based testing. After testing with the RSP method, repeat testing with a decreased sample volume was required for 20 of the 195 (10.26%) samples due to detection of neither SARS-CoV-2 targets nor the internal control. One sample could not be tested using the RSP method due to excessive viscosity from nasopharyngeal swab breakdown. Repeat testing failed to generate a result for 6 samples possibly due to sample-specific PCR inhibition. The Allplex™ 2019-nCoV assay result after RSP correlated with that of NA purification-based testing for 111 positive and 72 negative samples as shown in Table 2. No result could be generated for 7 of 195 (3.59%) samples. Raw data is shown in the S1 Appendix.

Table 2. Contingency table used for positive and negative percent agreement with NA purification-based testing calculation

	Positive SARS-CoV-2 Abbott RealTime SARS-CoV-2 Assay or Seegene Allplex™ 2019-nCoV Assay NA Purification	Negative SARS-CoV-2 Abbott RealTime SARS-CoV-2 Assay or Seegene Allplex™ 2019-nCoV Assay NA Purification
Positive SARS-CoV-2, RSP method, Seegene Allplex™ 2019-nCoV Assay	111	2
Negative SARS-CoV-2, RSP method, Seegene Allplex™ 2019-nCoV Assay	3	72

The PPA and NPA of the RSP method with NA purification-based testing for SARS-CoV-2 demonstrated a P value of <0.0001. The PPA of the RSP method was 97.37% (95% CI: 92.55-99.28%) and the NPA 97.30% (95% CI: 90.67-99.52%). The 7 samples, for which no result could be generated by RSP due to repeated invalid results or sample unsuitability, were excluded from this analysis as standard laboratory practice designates samples for NA purification-based testing in cases of RSP failure.

The Ct values of individual targets of the Allplex™ 2019-nCoV assay were assessed for samples prepared by NucliSENS® easyMag® NA purification and RSP. The E gene, RNA-dependent RNA-polymerase (RdRp) gene and Nucleocapsid (N) gene targets had Ct values that were significantly different with a P value of <0.0001 (Fig 1). The mean difference in Ct values between RSP and NA purification was 2.148 (95% CI: 1.909-2.387) for the E gene, 3.271 (95% CI: 3.037-3.506) for the RdRp gene and 1.608 (95% CI: 1.407-1.809) for the N gene, with RSP demonstrating a higher mean Ct value in each case.

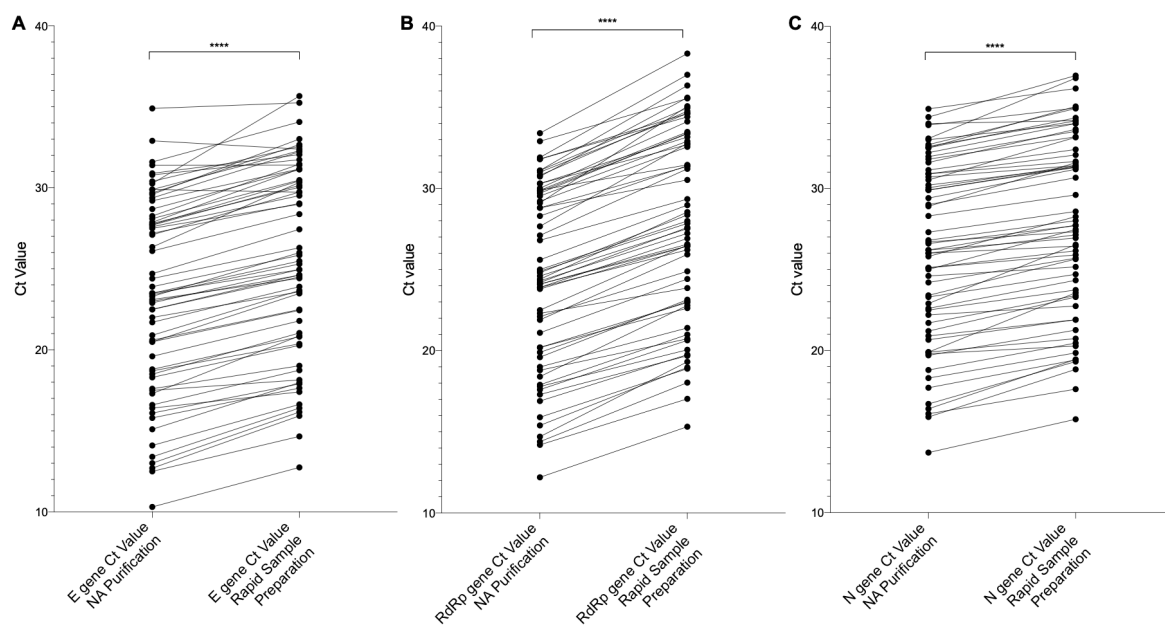


Fig 1. Comparison of target Ct values after RSP and NucliSENS® easyMag NA purification. The Ct values for the SARS-CoV-2 (A) Envelope (E), (B) RNA-dependent RNA-polymerase (RdRp) and (C) Nucleocapsid (N) gene targets are shown for samples tested with the Allplex™ 2019-nCoV assay after NucliSENS® easyMag® NA purification and RSP. The difference in generated Ct values was found to be statistically significant in each case with a P value of <0.0001 as determined by paired t-test.

The relative performance of the Abbott RealTime SARS-CoV-2 assay and the Allplex™ 2019-nCoV assay after RSP is shown in Fig 2. The Abbott assay reports cycle number (CN) values which are not equivalent to Ct values and thus are not directly comparable.

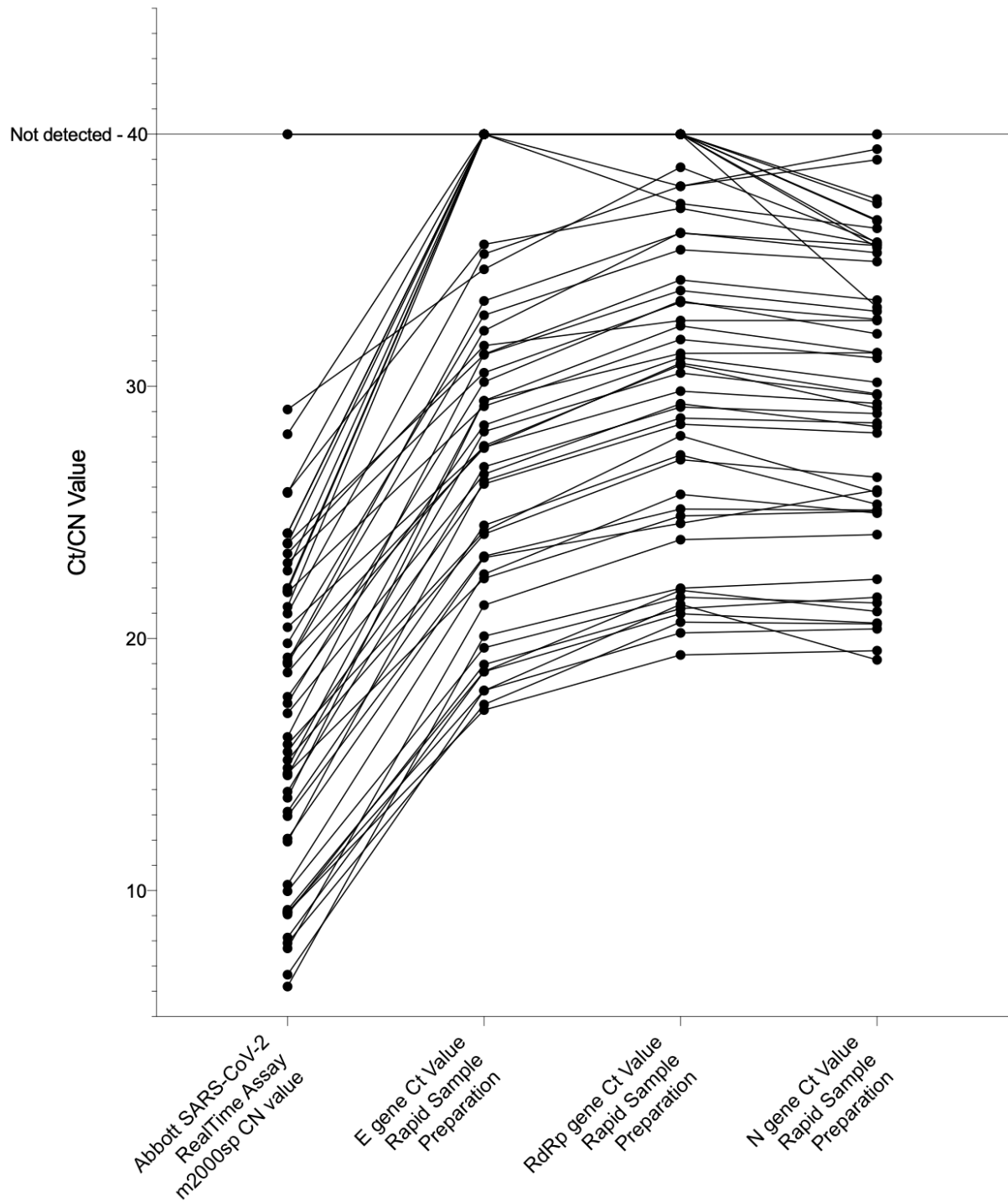


Fig 2. Comparison of target Ct and CN values after RSP and testing with the Abbott RealTime SARS-CoV-2 assay. The Ct values for the SARS-CoV-2 Envelope (E), RNA-dependent RNA-polymerase (RdRp) and Nucleocapsid (N) gene targets are shown for samples tested with the Allplex™ 2019-nCoV assay after RSP and CN values after testing with the Abbott RealTime SARS-CoV-2 assay. A plotted CN or Ct value of 40 indicates that detectable amplification did not occur. The Abbott assay CN values are assay specific and not directly comparable to Ct values, but are shown to demonstrate the performance of the spectrum of selected samples.

The single false negative result from the RSP method when compared to NucliSENS® easyMag® NA purification was from a sample that only tested positive for one of the three Allplex™ 2019-nCoV targets, the N gene, with a Ct value of 36.7. The two false negatives from the RSP method when compared to the Abbott RealTime SARS-CoV-2 Assay, which includes NA purification, had high CN values. However, samples with higher CN values were detected thus sample-specific inhibition may also have played a role.

There were two false positive results from the RSP method when compared to the Abbott RealTime SARS-CoV-2 Assay. A single target was detected in both cases with Ct values above 35. This may represent contamination events or the samples may have viral RNA at levels near the limit of detection for both assays. NA contamination in the laboratory is monitored for by frequent testing of environmental swabs and reagent blanks. Multiple negative controls are also included in each run.

The intra-assay repeatability assessment of mean Ct values for the three Allplex™ 2019-nCoV targets showed a coefficient of variance of 1.14%. The inter-assay repeatability assessment of mean Ct values after 7 days of sample storage showed a coefficient of variance of 1.27%.

The relative analytical sensitivity of the Allplex™ 2019-nCoV assay after RSP was found to be 583 RNA copies per reaction. This was calculated from the 5.83-fold decrease in analytical sensitivity of the RSP method compared to NucliSENS® easyMag® NA purification-based testing, which has an analytical sensitivity of 100 RNA copies per reaction as per the Allplex™ 2019-nCoV assay package insert (Quick Manual Version 1.0). The relative decrease was determined by serially diluting and testing a sample with multiple replicates as shown in Table 3. This relative loss in analytical sensitivity can largely be explained by the smaller sample input volume for RSP. NucliSENS® easyMag® NA purification concentrates sample nucleic acids by a factor of approximately 2, based on sample input versus elution volume. Additionally, the Allplex™ 2019-nCoV assay input volume after NA purification is 8µl versus the 3µl sample input volume for RSP. Thus, the expected loss in analytical sensitivity would be 5.3-fold which is comparable to the experimentally determined loss of 5.83-fold and suggests that sample inhibition plays a minor role. Raw data is shown in the S2 Appendix.

Table 3. Relative analytical sensitivity assessment

Dilution	Replicates	Seegene Allplex™ 2019-nCoV Assay RSP Method Percentage of Samples Positive	Seegene Allplex™ 2019-nCoV Assay NA Purification Percentage of Samples Positive
1:20	24	100%	Not done
1:40	24	95.8%	Not done
1:80	24	70.8%	Not done
1:120	24	58.3%	Not done
1:160	24	41.7%	Not done
1:200	10	Not done	100%
1:320	24	33.3%	Not done
1:400	10	Not done	100%
1:500	10	Not done	90%
1:625	10	Not done	70%
1:2000	10	Not done	60%
1:5000	10	Not done	30%

The performance characteristics were deemed acceptable for clinical diagnostic use in the Groote Schuur Hospital Virology Diagnostic Laboratory and allowed the laboratory to increase the number of samples tested daily by a factor of 5-10 due to the decreased supply chain dependence and simplified workflow. While large quantities of some consumables were still required, such as liquid handling robot tips for the QIAgility instruments, the availability of generic alternatives and the fact that

they are neither SARS-CoV-2 specific nor universally required made consumable depletion less of a concern. The reduced processing time further facilitated a more rapid test turnaround time which was beneficial for in-hospital infection control. A stable workflow, not subject to reagent availability dependent variations, also decreased laboratory errors and may allow for improved clinical planning as a result of a stable test turnaround time.

Prior to the automation described in this protocol, earlier versions of the RSP method were susceptible to fluctuating failure rates. This was largely due to human errors arising from staff shortages and rising test volumes. A simple automated workflow was needed to enable staff with minimal molecular experience to be able to perform testing reliably. In particular the time intervals between assay steps and how thoroughly the master mix was mixed prior to aliquoting were identified as sources of assay performance variation. This operator dependency and fluctuating staff availability motivated for the further automation of the process with liquid handling robots and ultimately the validation described here.

The laboratory approach to result interpretation was also affected by the implementation of the RSP method. The approach to NucliSENS® easyMag® NA purification-prepared samples involved release of numerous inconclusive results, despite multiple target amplification at times, due to the known capacity for sample contamination both on the easyMag® instrument and during processing of swabs. The known decrease in sensitivity of the RSP method and the lack of use of the easyMAG® open system for processing, decreased the number of low-level contamination induced inconclusive results released by our laboratory.

The limitations of this study include evaluation of only a single commercial kit at a single site. Future research should thus investigate alternative RT-PCR commercial kits, in-house assays and equipment. Limitations of the RSP method include unsuitability for sample types other than swabs, such as sputum, and lack of automation of initial processing steps including the placing of dry swabs in labelled saline containers. Further, a poorer analytical sensitivity may be relevant in certain clinical situations such as late presentations.

NA purification is the gold-standard in sample processing for RT-PCR, however, in the setting of a pandemic with significant pressures on reagent supply chains and the need for a rapid increase in testing capacity, the RSP method described here presented a reasonable alternative and has been implemented as the primary sample preparation method in the Groote Schuur Hospital Virology Diagnostic Laboratory in South Africa.

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Supporting information

S1 Appendix. Sample cycle threshold and cycle number values for SARS-CoV-2 targets and internal controls. The cycle threshold (Ct) and cycle number (CN) values of assay targets and internal controls from the Allplex™ 2019-nCoV and Abbott RealTime SARS-CoV-2 assays respectively are shown for samples used. The mastermix protocol used is also shown. RSP: Rapid sample preparation and inactivation.

S2 Appendix. Sample cycle threshold values at dilutions used for analytical sensitivity determination. The cycle threshold (Ct) values for the Allplex™ 2019-nCoV assay targets and internal control at dilutions used in the determination of the analytical sensitivity of the rapid sample preparation and inactivation (RSP) method relative to nucleic acid purification.

Appendix A

Supplementary tables

Seegene Alplex 2019-nCoV assay with RSP Protocol 1 - primary protocol				Seegene Alplex 2019-nCoV assay with RSP Protocol 2 - invalid repeat testing				Seegene Alplex 2019-nCoV assay NucliSENS [®] easyMag [®] nucleic acid purification				Abbott RealTime SARS-CoV-2 Assay				RSP Protocol 1	
U gene Ct value	RdRp gene Ct value	N gene Ct value	Internal control Ct value	U gene Ct value	RdRp gene Ct value	N gene Ct value	Internal control Ct value	U gene Ct value	RdRp gene Ct value	N gene Ct value	Internal control Ct value	U gene Ct value	RdRp gene Ct value	N gene Ct value	Internal control Ct value	Volume per reaction (µl)	
Sample 1	34.07	Not detected	34	Not detected	Not done	Not done	Not done	31.6	Not done	32.1	33	28.1	Not done	Not done	Not done	11.1	
Sample 2	19.02	21.4	21.9	Not detected	Not done	Not done	Not done	17.6	Not done	19	20.66	Not detected	Not done	Not done	Not done	6	
Sample 3	23.66	Not detected	23.66	Not detected	Not done	Not done	Not done	20.9	Not done	22.5	20.2	Not detected	Not done	Not done	Not done	6	
Sample 4	29.74	31.45	31.18	Not detected	Not done	Not done	Not done	27.1	Not done	29.9	29.4	Not detected	Not done	Not done	Not done	2.4	
Sample 5	28.38	31.2	30.66	36.14	Not done	Not done	Not done	26.1	Not done	27.9	27.9	Not detected	Not done	Not done	Not done	1.5	
Sample 6	21.04	21.03	21.03	31.21	Not done	Not done	Not done	18.9	Not done	19.1	21.7	Not detected	Not done	Not done	Not done	3	
Sample 7	16.16	19.32	19.45	Not detected	Not done	Not done	Not done	13	Not done	13.7	14.7	Not detected	Not done	Not done	Not done	2.4	
Sample 8	12.74	18.72	18.76	Not detected	Not done	Not done	Not done	10.3	Not done	12.2	13.7	Not detected	Not done	Not done	Not done	1.5	
Sample 9	16.4	18.87	18.87	Not detected	Not done	Not done	Not done	16.4	Not done	16.7	18.4	Not detected	Not done	Not done	Not done	3	
Sample 10	30.04	35.06	32.06	37.07	Not done	Not done	Not done	27.8	Not done	30.9	30.9	Not detected	Not done	Not done	Not done	12.1	
Sample 11	21.79	24.42	24.43	31.21	Not done	Not done	Not done	19.6	Not done	21.1	22.6	Not detected	Not done	Not done	Not done	6	
Sample 12	14.66	17.03	17.03	Not detected	Not done	Not done	Not done	12.5	Not done	14.1	14.2	Not detected	Not done	Not done	Not done	6	
Sample 13	26	28.54	28.26	26.34	Not done	Not done	Not done	23.3	Not done	24.4	25.8	Not detected	Not done	Not done	Not done	2.4	
Sample 14	31.61	34.75	34.16	Not detected	Not done	Not done	Not done	31.4	Not done	30.8	32.6	Not detected	Not done	Not done	Not done	1.5	
Sample 15	28.99	30.52	31.35	26.27	Not done	Not done	Not done	28.8	Not done	29.9	29.9	Not detected	Not done	Not done	Not done	2	
Sample 16	24.42	27.23	27.13	24.93	Not done	Not done	Not done	23.1	Not done	24.3	26	Not detected	Not done	Not done	Not done		
Sample 17	25.84	27.99	27.7	25.97	Not done	Not done	Not done	23.9	Not done	25	26.6	Not detected	Not done	Not done	Not done		
Sample 18	17.08	19.76	20.45	Not detected	Not done	Not done	Not done	15.1	Not done	16.9	18.8	Not detected	Not done	Not done	Not done		
Sample 19	32.05	34.61	34.18	24.68	Not done	Not done	Not done	30.9	Not done	31.8	34	Not detected	Not done	Not done	Not done		
Sample 20	20.57	22.62	22.74	23.53	Not done	Not done	Not done	18.7	Not done	20.2	22.2	Not detected	Not done	Not done	Not done		
Sample 21	24.61	26.56	26.44	24.76	Not done	Not done	Not done	23	Not done	24.1	26	Not detected	Not done	Not done	Not done		
Sample 22	17.4	20.06	20.28	30.71	Not done	Not done	Not done	16.4	Not done	17.8	19.8	Not detected	Not done	Not done	Not done		
Sample 23	24.5	26.21	26.15	24.62	Not done	Not done	Not done	22.5	Not done	23.9	25.1	Not detected	Not done	Not done	Not done		
Sample 24	31.16	33.69	33.88	23.03	Not done	Not done	Not done	29.2	Not done	30.3	31.8	Not detected	Not done	Not done	Not done		
Sample 25	20.28	23.14	23.78	25.43	Not done	Not done	Not done	18.6	Not done	19.6	22.5	Not detected	Not done	Not done	Not done		
Sample 26	22.49	24.89	25.16	24.1	Not done	Not done	Not done	20.3	Not done	22.1	20.9	Not detected	Not done	Not done	Not done		
Sample 27	18.14	20.73	21.9	26.91	Not done	Not done	Not done	17.5	Not done	18.8	20.6	Not detected	Not done	Not done	Not done		
Sample 28	29.04	31.39	31.3	Not detected	Not done	Not done	Not done	27.2	Not done	28.3	30.2	Not detected	Not done	Not done	Not done		
Sample 29	31.74	Not detected	35.05	31.08	Not done	Not done	Not done	30.8	Not done	31.7	32.5	Not detected	Not done	Not done	Not done		
Sample 30	20.81	22.97	23.39	23.52	Not done	Not done	Not done	18.9	Not done	19.38	21.7	Not detected	Not done	Not done	Not done		
Sample 31	25.3	27.52	27.73	25.03	Not done	Not done	Not done	23.4	Not done	24.6	26.2	Not detected	Not done	Not done	Not done		
Sample 32	22.44	24.86	24.7	24.27	Not done	Not done	Not done	20.5	Not done	22.3	23.3	Not detected	Not done	Not done	Not done		
Sample 33	32.39	35.54	36.16	26.31	Not done	Not done	Not done	28.9	Not done	29.9	28.9	Not detected	Not done	Not done	Not done		
Sample 34	23.48	25.93	26.33	25.25	Not done	Not done	Not done	20.5	Not done	21.9	22.9	Not detected	Not done	Not done	Not done		
Sample 35	28.3	28.37	28.37	24.23	Not done	Not done	Not done	28.3	Not done	28.4	27.3	Not detected	Not done	Not done	Not done		
Sample 36	16.63	18.89	19.42	Not detected	Not done	Not done	Not done	14.1	Not done	15.9	17.7	Not detected	Not done	Not done	Not done		
Sample 37	24.95	26.91	27.46	25.52	Not done	Not done	Not done	22.9	Not done	23.9	25	Not detected	Not done	Not done	Not done		
Sample 38	23.9	25.69	25.69	23.27	Not done	Not done	Not done	23.7	Not done	24.8	26.3	Not detected	Not done	Not done	Not done		
Sample 39	32.29	34.95	34.35	26.28	Not done	Not done	Not done	29.9	Not done	31.1	32.5	Not detected	Not done	Not done	Not done		
Sample 40	15.92	18.03	18.84	Not detected	Not done	Not done	Not done	12.7	Not done	14.4	15.9	Not detected	Not done	Not done	Not done		
Sample 41	29.52	31.29	31.29	23.42	Not done	Not done	Not done	27.6	Not done	28.8	29.9	Not detected	Not done	Not done	Not done		
Sample 42	18.74	20.99	21.26	Not detected	Not done	Not done	Not done	16.6	Not done	17.9	19.7	Not detected	Not done	Not done	Not done		
Sample 43	17.64	19.69	19.85	32.41	Not done	Not done	Not done	15.8	Not done	17.3	18.3	Not detected	Not done	Not done	Not done		
Sample 44	32.01	34.63	34.63	26.77	Not done	Not done	Not done	34	Not done	35.2	36.3	Not detected	Not done	Not done	Not done		
Sample 45	38.3	36.96	36.96	29.36	Not done	Not done	Not done	34.9	Not done	34.4	34.4	Not detected	Not done	Not done	Not done		
Sample 46	20.86	22.79	23.56	23.89	Not done	Not done	Not done	17.3	Not done	18.4	19.9	Not detected	Not done	Not done	Not done		
Sample 47	27.44	29.34	29.34	24.96	Not done	Not done	Not done	24.7	Not done	25.8	28.9	Not detected	Not done	Not done	Not done		
Sample 48	25.48	28.36	28.07	21.71	Not done	Not done	Not done	23.5	Not done	24.8	26.8	Not detected	Not done	Not done	Not done		
Sample 49	17.9	20.64	20.73	Not detected	Not done	Not done	Not done	16.1	Not done	17.6	19.9	Not detected	Not done	Not done	Not done		
Sample 50	30.58	32.64	32.64	25.9	Not done	Not done	Not done	27.2	Not done	28.2	35.1	Not detected	Not done	Not done	Not done		
Sample 51	30.48	32.56	31.66	26.8	Not done	Not done	Not done	28.1	Not done	29.2	30.9	Not detected	Not done	Not done	Not done		
Sample 52	32.56	34.41	34.96	25.02	Not done	Not done	Not done	30.4	Not done	31.8	33.9	Not detected	Not done	Not done	Not done		
Sample 53	24.62	24.62	24.62	27.83	Not done	Not done	Not done	22.5	Not done	24.2	24.2	Not detected	Not done	Not done	Not done		
Sample 54	24.95	27.84	27.33	26.08	Not done	Not done	Not done	23.5	Not done	24.9	24.9	Not detected	Not done	Not done	Not done		
Sample 55	30.15	33.36	31.41	Not detected	Not done	Not done	Not done	27.7	Not done	29.8	30.7	Not detected	Not done	Not done	Not done		
Sample 56	Not detected	Not detected	Not detected	Not detected	Not done	Not done	Not done	Not detected	Not done	Not detected	Not detected	Not detected	Not done	Not done	Not done		
Sample 57	22.55	25.72	24.97	31.1	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	10.23	20.62		
Sample 58	29.21	36.1	35.3	28.99	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	19	16.99		
Sample 59	Not detected	Not detected	Not detected	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	13.68	17.54		
Sample 60	Not detected	Not detected	Not detected	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	21.26	16.97		
Sample 61	21.82	23.92	24.12	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	7.71	19.38		
Sample 62	28.21	28.21	28.21	30.66	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	14.57	17.5		
Sample 63	32.82	34.42	34.95	29.99	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	19.81	16.73		
Sample 64	31.88	34.22	34.22	30.24	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	16.09	17.07		
Sample 65	20.09	21.99	22.35	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	6.19	24.83		
Sample 66	29.42	31.31	31.33	30.24	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	14.86	17.89		
Sample 67	Not detected	Not detected	Not detected	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	24.17	17.84		
Sample 68	33.39	36.08	36.99	31.16	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	19.08	16.7		
Sample 69	Not detected	Not detected	Not detected	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	24.18	16.9		
Sample 70	25.15	27.94	28.41	29.58	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	20.99	17.09		
Sample 71	26.26	28.74	27.89	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	19.08	16.7		
Sample 72	29.73	31.36	31.36	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	24.18	16.9		
Sample 73	27.64	30.85	30.85	21.63	Not done	Not done	Not done	29.9	Not done	30.3	32.6	Not detected	Not done	11.94	Not detected		
Sample 73 (inter-run repeat 1)	26.14	29.26	28.24	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	17.89	
Sample 73 (inter-run repeat 2)	26.06	29.13	28.12	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	17.89	
Sample 73 (inter-run repeat 3)	26.13	29.36	28.24	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	17.89	
Sample 74 (inter-run repeat 1)	17.38	20.65	20.88	Not detected	17.09	19.96	20.36	Not detected	Not done	Not done	Not done	Not done	Not done	7.92	21.36		
Sample 74 (inter-run repeat 2)	16.57	19.95	20.03	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	21.36	
Sample 74 (inter-run repeat 3)	17.14	20.29	20.21	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	21.36	
Sample 74 (inter-run repeat 4)	16.95	19.94	20.01	Not detected	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	21.36	
Sample 75	35.63	37.06	35.62	32.17	Not done	Not done	Not done	34.17	Not done	35.2	36.7	Not detected	Not done	22.69	17.36		
Sample 76 (inter-run repeat 1)	33.7	36	35.88	32.97	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	29.81	17.32		
Sample 76 (inter-run repeat 2)	34.84	37.05	34.71	33.82	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	17.32	
Sample 76 (inter-run repeat 3)	35.09	36.76	35.53	33.77	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	Not done	17.32	
Sample 77	Not detected	Not detected	37.43	Not detected	Not detected	Not detected	Not detected	36.78	Not done	38.9	Not done	Not done	Not done	Not detected	Not done		
Sample 78	Not detected	Not detected	36.6	Not detected	Not detected	Not detected	Not detected	36.73	Not done	38.3	Not done	Not done	Not done	23.76	17.12	</	

Analytical sensitivity determination Seegene Allplex 2019-nCoV assay with RSP				
Dilution	E gene Ct value	RdRp gene Ct value	N gene Ct value	Internal control
1:320	Not detected	Not detected	Not detected	29.01
1:320	Not detected	Not detected	Not detected	29.73
1:320	Not detected	Not detected	Not detected	29.26
1:320	Not detected	Not detected	Not detected	29.5
1:320	Not detected	37.7	38.33	29.71
1:320	Not detected	Not detected	Not detected	29.89
1:320	Not detected	Not detected	Not detected	29.51
1:320	Not detected	Not detected	39.14	29.76
1:320	37.15	38.47	Not detected	29.4
1:320	Not detected	Not detected	Not detected	29.81
1:320	Not detected	Not detected	38.11	29.47
1:320	36.31	37.39	Not detected	29.75
1:320	Not detected	Not detected	Not detected	29.21
1:320	Not detected	Not detected	Not detected	29.46
1:320	Not detected	Not detected	37.14	29.67
1:320	Not detected	Not detected	Not detected	29.76
1:320	Not detected	Not detected	Not detected	29.79
1:320	Not detected	Not detected	Not detected	29.45
1:320	36.42	Not detected	38.05	29.51
1:320	Not detected	Not detected	Not detected	30.87
1:320	37.76	39.4	39.16	30.99
1:320	Not detected	Not detected	Not detected	30.84
1:320	Not detected	Not detected	Not detected	30.93
1:320	Not detected	Not detected	Not detected	29.89
1:160	Not detected	Not detected	Not detected	29.05
1:160	Not detected	Not detected	Not detected	29.44
1:160	36.45	Not detected	38.94	29.2
1:160	34.84	Not detected	36.41	29.24
1:160	35.67	Not detected	35.44	29.27
1:160	36.77	38.03	Not detected	29.01
1:160	Not detected	Not detected	Not detected	29.91
1:160	Not detected	Not detected	Not detected	29.53
1:160	Not detected	Not detected	36.82	28.93
1:160	Not detected	Not detected	38.32	28.67
1:160	Not detected	Not detected	Not detected	29.02
1:160	Not detected	37.07	36.46	28.59
1:160	Not detected	Not detected	Not detected	29.07
1:160	Not detected	Not detected	Not detected	29.11
1:160	Not detected	Not detected	Not detected	28.93
1:160	Not detected	37.31	38.17	29.31
1:160	Not detected	Not detected	Not detected	29.32
1:160	Not detected	39.62	37.96	28.84
1:160	Not detected	Not detected	Not detected	29.35
1:160	Not detected	36.17	37.1	28.75
1:160	Not detected	Not detected	Not detected	28.27
1:160	Not detected	Not detected	Not detected	28.1
1:160	Not detected	Not detected	Not detected	28.48
1:160	Not detected	Not detected	Not detected	28.29
1:120	Not detected	Not detected	39.35	27.44
1:120	Not detected	Not detected	Not detected	27.86
1:120	36.77	Not detected	38.01	27.58
1:120	Not detected	38.27	38.6	27.73
1:120	Not detected	Not detected	Not detected	27.77
1:120	Not detected	Not detected	Not detected	27.61
1:120	Not detected	38.19	Not detected	28.23

Analytical sensitivity determination Seegene Allplex 2019-nCoV assay NucliSENS® easyMag® nucleic acid purification				
Dilution	E gene Ct value	RdRp gene Ct value	N gene Ct value	Internal control
1:5000	Not detected	Not detected	Not detected	25.53
1:5000	36.64	Not detected	38.80	25.88
1:5000	Not detected	Not detected	Not detected	25.73
1:5000	Not detected	Not detected	Not detected	25.67
1:5000	36.76	Not detected	Not detected	27.41
1:5000	Not detected	Not detected	38.71	27.29
1:5000	Not detected	Not detected	Not detected	27.44
1:5000	Not detected	Not detected	Not detected	27.48
1:5000	Not detected	Not detected	Not detected	29.66
1:5000	Not detected	Not detected	Not detected	28.03
1:2000	Not detected	Not detected	39.39	26.44
1:2000	Not detected	Not detected	Not detected	25.96
1:2000	Not detected	Not detected	Not detected	26.02
1:2000	35.44	Not detected	Not detected	25.77
1:2000	37.05	38.10	Not detected	25.68
1:2000	Not detected	Not detected	38.00	25.96
1:2000	Not detected	Not detected	Not detected	25.96
1:2000	Not detected	Not detected	Not detected	25.71
1:2000	35.98	37.49	38.87	25.75
1:2000	36.69	Not detected	Not detected	25.75
1:625	Not detected	37.91	Not detected	29.99
1:625	Not detected	Not detected	Not detected	29.74
1:625	35.56	Not detected	38.83	29.62
1:625	Not detected	Not detected	Not detected	29.84
1:625	36.67	Not detected	38.08	29.66
1:625	Not detected	Not detected	Not detected	29.82
1:625	36.05	Not detected	39.11	29.75
1:625	Not detected	Not detected	38.41	29.86
1:625	35.23	38.54	39.16	29.75
1:625	Not detected	36.77	38.6	29.61
1:500	35.98	Not detected	Not detected	27.37
1:500	35.71	Not detected	36.58	26.53
1:500	Not detected	37.24	Not detected	26.41
1:500	36.61	37.10	37.74	26.36
1:500	36.62	Not detected	Not detected	26.29
1:500	Not detected	38.15	37.72	26.39
1:500	Not detected	37.02	38.54	27.02
1:500	Not detected	Not detected	Not detected	26.6
1:500	35.53	Not detected	Not detected	26.56
1:500	36.02	Not detected	Not detected	26.11
1:400	Not detected	Not detected	37.70	25.7
1:400	35.67	37.19	Not detected	25.22
1:400	Not detected	Not detected	37.84	25.16
1:400	Not detected	36.76	37.81	25.27
1:400	Not detected	36.18	38.83	25.29
1:400	37.08	36.79	Not detected	25.49
1:400	Not detected	Not detected	37.74	25.26
1:400	36.01	Not detected	37.60	25.42
1:400	Not detected	37.16	Not detected	25.53
1:400	35.45	Not detected	36.35	25.18
1:200	35.22	Not detected	Not detected	25.92
1:200	33.85	35.43	Not detected	25.2

1:120	36.86	36.59	37.14	28.28
1:120	Not detected	Not detected	38.53	28.03
1:120	Not detected	Not detected	Not detected	27.72
1:120	Not detected	Not detected	Not detected	27.49
1:120	Not detected	38.45	38.45	27.72
1:120	Not detected	37.44	38.4	27.71
1:120	Not detected	Not detected	38.38	28.22
1:120	Not detected	Not detected	38.54	27.98
1:120	Not detected	37.09	Not detected	27.82
1:120	Not detected	Not detected	Not detected	27.85
1:120	Not detected	Not detected	Not detected	27.58
1:120	Not detected	Not detected	Not detected	27.74
1:120	36.3	Not detected	37.47	27.53
1:120	Not detected	Not detected	38.86	28.83
1:120	Not detected	Not detected	Not detected	27.49
1:120	Not detected	Not detected	Not detected	27.99
1:120	37.65	Not detected	Not detected	28.07

1:80	Not detected	Not detected	Not detected	27.66
1:80	Not detected	Not detected	Not detected	27.89
1:80	36.73	36.83	37.17	27.43
1:80	35.8	Not detected	37.53	28.21
1:80	35.72	Not detected	36.61	27.61
1:80	Not detected	Not detected	38.43	27.54
1:80	Not detected	Not detected	Not detected	27.68
1:80	Not detected	Not detected	37.2	27.87
1:80		37.98	37.43	28
1:80	Not detected	Not detected	Not detected	27.56
1:80	Not detected	Not detected	Not detected	28.49
1:80	Not detected	Not detected	Not detected	27.58
1:80	Not detected	Not detected	38.3	27.73
1:80	Not detected	37.87	38.42	27.56
1:80	Not detected	Not detected	38.73	27.81
1:80	Not detected	37.6	Not detected	27.85
1:80	36.65	Not detected	38.27	27.89
1:80	Not detected	Not detected	37.64	27.81
1:80	Not detected	Not detected	38.66	27.53
1:80	Not detected	37.97	Not detected	27.8
1:80	Not detected	Not detected	Not detected	27.76
1:80	36.9	36.72	Not detected	27.65
1:80	Not detected	Not detected	38.5	27.62
1:80	36.72	Not detected	Not detected	27.89

1:40	Not detected	Not detected	36.85	30.32
1:40	36.22	Not detected	Not detected	30.22
1:40	34.77	35.27	36.11	30.27
1:40	35.6	35.36	36.84	29.73
1:40	36.76	36.16	36.69	30.41
1:40	36.48	34.83	36.44	30.84
1:40	36	Not detected	35.45	29.57
1:40	35.95	35.53	36.18	30.91
1:40	35.38	37.62	37.07	30.41
1:40	Not detected	Not detected	Not detected	29.92
1:40	34.75	35.3	35.96	29.93
1:40	37.08	Not detected	36.87	30.49
1:40	36.35	Not detected	37.19	30.25
1:40	38.42	37.85	37.82	30
1:40	35.05	37.21	37.03	30.38
1:40	35.04	36.54	36.12	30.44

1:200	34.32	37.01	36.63	25.26
1:200	37.12	36.37	37.95	25.35
1:200	35.54	36.19	37.48	25.41
1:200	36.68	36.72	37.88	25.31
1:200	35.49	36.35	38.84	25.43
1:200	35.38	Not detected	38.05	25.14
1:200	34.84	Not detected	36.54	25.41
1:200	35.84	Not detected	37.81	25.42

1:40	35.14	Not detected	36.7	30.55
1:40	Not detected	Not detected	37.84	31.02
1:40	Not detected	37.38	38.6	29.59
1:40	Not detected	36.68	37.49	30.04
1:40	Not detected	36.52	36.83	30.61
1:40	36.54		36.54	31.02
1:40	36.4	35.58	36.26	31.01
1:40	38.36	38.58	37.81	31.85

1:20	34.39	35.2	35.31	29.18
1:20	34.54	36.19	39.29	28.96
1:20	33.64	35.36	33.85	28.43
1:20	33.74	35.38	36.13	28.9
1:20	34.3	36.72	36.18	29.53
1:20	33.9	35.37	36.56	28.99
1:20	34.9	35.97	36.96	28.83
1:20	34.93	35.9	36.36	28.97
1:20	34.64	35.04	35.76	29.16
1:20	34.22	36.07	35	28.64
1:20	33.8	35.58	35.46	29.55
1:20	Not detected	35.47	35.96	28.9
1:20	34.06	35.2	35.86	29.02
1:20	34.69	35.44	35.98	28.76
1:20	36.39	35.4	37.33	28.93
1:20	34.38	35.2	36.06	29.08
1:20	35.83	35.87	38.95	29.04
1:20	34.28	35.13	35.89	29.41
1:20	35.09	37.09	36.19	29.29
1:20	34.6	35.58	36.2	29.04
1:20	33.07	35.35	34.85	28.66
1:20	35.33	36.22	38.21	28.67
1:20	36.01	35.91	36.18	28.68
1:20	34.5	34.65	35.64	29.03

Appendix B

Ethics approval letter



UNIVERSITY OF CAPE TOWN
Faculty of Health Sciences
Human Research Ethics Committee



Room G50- Old Main Building
Groote Schuur Hospital
Observatory 7925
Telephone [021] 406 6492
Email: hrec-enquiries@uct.ac.za

Website: www.health.uct.ac.za/fhs/research/humanethics/forms

29 June 2020

HREC REF:335/2020

Prof D Hardle

Division of Medical Virology
C-18, Laboratory NHLS, NGSB
Email: dlana.hardle@uct.ac.za

Dear Prof Hardle

PROJECT TITLE: THE APPLICATION OF A RAPID SAMPLE PREPARATION METHOD FOR SEVERE ACUTE RESPIRATORY SYNDROME CORONAVIRUS-2 MOLECULAR DETECTION IN A SOUTH AFRICAN DIAGNOSTIC LABORATORY-MMED CANDIDATE-DR GERT MARAIS

Thank you for submitting your study to the Faculty of Health Sciences Human Research Ethics Committee (HREC) for review.

It is a pleasure to inform you that the HREC has **formally approved** the above-mentioned study, subject Biosafety Committee approval.

This approval is subject to strict adherence to the HREC recommendations regarding research involving human participants during COVID -19, dated 17 March 2020.

Approval is granted for one year until the 30 June 2021.

Please submit a progress form, using the standardised Annual Report Form if the study continues beyond the approval period. Please submit a Standard Closure form if the study is completed within the approval period.

(Forms can be found on our website: www.health.uct.ac.za/fhs/research/humanethics/forms)

The HREC acknowledge that the student: Dr Gert Marais will also be involved in this study.

Please note that the ongoing ethical conduct of the study remains the responsibility of the principal investigator.

Please note that for all studies approved by the HREC, the principal investigator must obtain appropriate Institutional approval, where necessary, before the research may occur.

Please quote the HREC reference number in all your correspondence.

Yours sincerely

PROFESSOR M BLOCKMAN
CHAIRPERSON, FHS HUMAN RESEARCH ETHICS COMMITTEE

HREC 335/2020sa

**Federal Wide Assurance Number: FWA00001637.
Institutional Review Board (IRB) number: IRB00001938
NHREC-registration number: REC-210208-007**

This serves to confirm that the University of Cape Town Human Research Ethics Committee complies to the Ethics Standards for Clinical Research with a new drug in patients, based on the Medical Research Council (MRC-SA), Food and Drug Administration (FDA-USA), International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use: Good Clinical Practice (ICH GCP), South African Good Clinical Practice Guidelines (DoH 2006), based on the Association of the British Pharmaceutical Industry Guidelines (ABPI), and Declaration of Helsinki (2013) guidelines. The Human Research Ethics Committee granting this approval is in compliance with the ICH Harmonised Tripartite Guidelines E6: Note for Guidance on Good Clinical Practice (CPMP/ICH/135/95) and FDA Code Federal Regulation Part 50, 56 and 312.

Appendix C

Peer review history

The implementation of a rapid sample preparation method for the detection of SARS-CoV-2 in a diagnostic laboratory in South Africa

Gert Marais, Michelle Naidoo, Nei-yuan Hsiao, Ziyaad Valley-Omar, Heidi Smuts, Diana Hardie

Published: October 20, 2020 • <https://doi.org/10.1371/journal.pone.0241029>

Peer Review History

Original Submission	August 3, 2020
Decision Letter - Sylvia Maria Bruisten, Editor	September 1, 2020
PONE-D-20-24160	
The implementation of a rapid sample preparation method for the detection of SARS-CoV-2 in a diagnostic laboratory in South Africa	
PLOS ONE	
Dear Dr. Marais,	
Thank you for submitting your manuscript to PLOS ONE. After careful consideration, we feel that it has merit but does not fully meet PLOS ONE's publication criteria as it currently stands. Therefore, we invite you to submit a revised version of the manuscript that addresses the points raised during the review process.	
This work is interesting and relevant for the worldwide coronavirus testing.	
In addition to the comments of both reviewers, with which I agree, there are a few (minor) comments that I would like to add, to further improve the quality of your manuscript.	
Please submit your revised manuscript by Oct 16 2020 11:59PM. If you will need more time than this to complete your revisions, please reply to this message or contact the journal office at plosone@plos.org . When you're ready to submit your revision, log on to https://www.editorialmanager.com/pone/ and select the 'Submissions Needing Revision' folder to locate your manuscript file.	
Please include the following items when submitting your revised manuscript:	
<ul style="list-style-type: none">➤ A rebuttal letter that responds to each point raised by the academic editor and reviewer(s). You should upload this letter as a separate file labeled 'Response to Reviewers'.➤ A marked-up copy of your manuscript that highlights changes made to the original version. You should upload this as a separate file labeled 'Revised Manuscript with Track Changes'.➤ An unmarked version of your revised paper without tracked changes. You should upload this as a separate file labeled 'Manuscript'.	
If you would like to make changes to your financial disclosure, please include your updated statement in your cover letter. Guidelines for resubmitting your figure files are available below the reviewer comments at the end of this letter.	
If applicable, we recommend that you deposit your laboratory protocols in protocols.io to enhance the reproducibility of your results. Protocols.io assigns your protocol its own identifier (DOI) so that it can be cited independently in the future. For instructions see: http://journals.plos.org/plosone/s/submission-guidelines#loc-laboratory-protocols	
We look forward to receiving your revised manuscript.	
Kind regards,	
Sylvia Maria Bruisten, Ph.D	
Academic Editor	
PLOS ONE	
Journal Requirements:	

When submitting your revision, we need you to address these additional requirements.

1. Please ensure that your manuscript meets PLOS ONE's style requirements, including those for file naming. The PLOS ONE style templates can be found at https://journals.plos.org/plosone/s/file?id=wjVg/PLOSOne_formatting_sample_main_body.pdf and https://journals.plos.org/plosone/s/file?id=ba62/PLOSOne_formatting_sample_title_authors_affiliations.pdf
2. Please include captions for your Supporting Information files at the end of your manuscript, and update any in-text citations to match accordingly. Please see our Supporting Information guidelines for more information: <http://journals.plos.org/plosone/s/supporting-information>.

Additional Editor Comments (if provided):

1. Table 4 and the paragraph where these data are described (page 12) are not completely clear to me. Samples were serially diluted and tested in several replicates (for example 10 or 24). Testing was however for dilutions 1:20 to 1:160 and 1:320 only performed with the RSP method whereas for all other dilutions it was performed with the NA purification method. This does not allow a direct comparison of the sensitivities of the RSP and NA methods. Why were the dilutions not tested in both ways, for example 12 replicates for each dilution for both RSP and NA?
2. Please avoid starting a sentence with a number (for example in lines 161 and 200). Please rephrase these sentences.
3. Line 212: please remove 'are shown' at the end of the sentence.

[Note: HTML markup is below. Please do not edit.]

Reviewers' comments:

Reviewer's Responses to Questions

Comments to the Author

1. Is the manuscript technically sound, and do the data support the conclusions?

The manuscript must describe a technically sound piece of scientific research with data that supports the conclusions. Experiments must have been conducted rigorously, with appropriate controls, replication, and sample sizes. The conclusions must be drawn appropriately based on the data presented.

Reviewer #1: Yes

Reviewer #2: Yes

2. Has the statistical analysis been performed appropriately and rigorously?

Reviewer #1: Yes

Reviewer #2: N/A

3. Have the authors made all data underlying the findings in their manuscript fully available?

The [PLOS Data policy](#) requires authors to make all data underlying the findings described in their manuscript fully available without restriction, with rare exception (please refer to the Data Availability Statement in the manuscript PDF file). The data should be provided as part of the manuscript or its supporting information, or deposited to a public repository. For example, in addition to summary statistics, the data points behind means, medians and variance measures should be available. If there are restrictions on publicly sharing data—e.g. participant privacy or use of data from a third party—those must be specified.

Reviewer #1: No

Reviewer #2: Yes

4. Is the manuscript presented in an intelligible fashion and written in standard English?

PLOS ONE does not copyedit accepted manuscripts, so the language in submitted articles must be clear, correct, and unambiguous. Any typographical or grammatical errors should be corrected at revision, so please note any specific errors here.

Reviewer #1: Yes

Reviewer #2: Yes

5. Review Comments to the Author

Please use the space provided to explain your answers to the questions above. You may also include additional comments for the author, including concerns about dual publication, research ethics, or publication ethics. (Please upload your review as an attachment if it exceeds 20,000 characters)

Reviewer #1: This manuscripts presents data evaluating procedures to omit the need of nucleic acid extraction from clinical NP/OP swab samples prior to performing molecular testing for Sars-CoV-2 detection. All results for extraction free procedures are compared to established extraction method (used as gold standards).

The results demonstrate that the extraction free procedure leads to some loss of analytical sensitivity, in particular for samples harbouring a low viral load (high Ct values). In general Ct values for samples without extraction are higher as compared to extracted samples. This could either be due to a reduced amplification efficiency (or even inhibition) or a smaller equivalent of the clinical sample used as input into the PCR reaction.

Specific questions:

1. It would be relevant to present the Ct values of the internal control of all samples w/wo extraction listed in appendix 1 and 2, as this will give insight in the effect of (leaving out) extraction on PCR efficiency / inhibition.
2. 6 previously negative samples were left out from the analysis because the IC failed (even after repeat testing upon dilution). These samples should not have been left out from the analysis but included in table 3, because the information is very relevant in judging the appropriateness and feasibility of the extraction free protocol : The results demonstrate that PCR inhibition was present in 6/185 samples (3%).
3. Nucleic acid extraction using chaotropic agents (Guanidinium salts) result in virus inactivation (loss of infectivity). The extraction-free protocol is based on a 5 minute incubation at 98C. Did the investigators perform any experiments to study the effect of this temperature treatment on sample infectivity (bio-safety). Samples which are manipulated on a QIAgility liquid handling system, given the 'open environment' of such a system that lacks HEPA filtering of exhausted air, should be proven to be non-infectious
4. The authors indicate that automation of the PCR setup process significantly reduced robustness of assay performance by reducing the frequency of invalid results. This is just mentioned in the discussion without supporting data. What is meant by invalid results (PC negative / NC positive / IC negative???) and how are these data used in the manuscript (in particular in the S1 appendix)?
5. In the methods section it is described that PCR setup was done using an liquid handling system whereas in the discussion it is mentioned that manual setup was done for at least part of the experiments (and that this is caused operator dependency in the quality of the results). How did these differences in PCR setup procedures affect the overall results and conclusion on the comparison of extraction free procedures to the gold standard methods?

Reviewer #2: This manuscript by Marais and co-workers describes a rapid automated sample preparation method for the detection of SARS-CoV-2. This information is important as limited availability of general nucleic acid purification reagents have impacted SARS-CoV-2 testing worldwide.

There are a number of issues that need to be addressed:

- 1) The authors mention (lines 131-134) that if the internal control failed (ct <40) the sample was repeated with less sample input. They mention (lines 172-174) in 6 negative samples this was the case after repeat testing. They do not mention however the percentage of samples overall that failed internal control (ct<40) in the initial analysis. This is important because if this percentage is high it would mean a significant increased workload for retesting.
- 2) The limited availability of reagents was the main reason for this study. The authors may want to comment on availability of consumables for the QIAgility systems.
- 3) The authors estimate PPA (lines 193-202) based on the mean difference in Ct values between the Nuclisens and RSP method and adding these numbers to Ct values from previously determined samples. They argue that if this newly calculated Ct value was above 40 the sample would be negative if they had used the RSP method. By doing this the authors assume that the relation between the amount of RNA and the Ct value is linear over the entire range of RNA concentrations. The authors do not show this linear correlation. Especially at high Ct values this correlation is almost never linear and generally very variable. In my opinion this method cannot be used to determine the PPA of the RSP method and the authors should delete this part from the manuscript
- 4) Since the values from the Abbott M2000 system cannot be compared to the Ct values from the Seegene PCR due to intrinsic different analysis method I fail to see what information is added by figure 2.
- 5) The authors mention that the loss of analytical sensitivity of at least 8 fold was acceptable for clinical application. It is unclear however which criteria played a role in this consideration.
- 6) Furthermore they mention that the Seegene assay has an analytical sensitivity of 100 RNA copies/reaction with the nuclisense method (and thus > 800 c/reaction for the RSP method). This analytical sensitivity seems rather low compared to other molecular assays which are in the range of 1-50 (see below refs). This should also be taken into consideration with remark 5)

Corman VM, Landt O, Kaiser M, Molenkamp R, Meijer A, Chu DK, Bleicker T,

Brünink S, Schneider J, Schmidt ML, Mulders DG, Haagmans BL, van der Veer B, van den Brink S, Wijsman L, Goderski G, Romette JL, Ellis J, Zambon M, Peiris M, Goossens H, Reusken C, Koopmans MP, Drosten C. Detection of 2019 novel

coronavirus (2019-nCoV) by real-time RT-PCR. Euro Surveill. 2020, Jan;25(3):2000045

van Kasteren PB, van der Veer B, van den Brink S, Wijsman L, de Jonge J, van

den Brandt A, Molenkamp R, Reusken CBEM, Meijer A. Comparison of seven

commercial RT-PCR diagnostic kits for COVID-19. *J Clin Virol.* 2020

Jul;128:104412. doi: 10.1016/j.jcv.2020.104412

Iglói Z, Leven M, Abdel-Karem Abou-Nouar Z, Weller B, Matheussen V, Coppens

J, Koopmans M, Molenkamp R. Comparison of commercial realtime reverse

transcription PCR assays for the detection of SARS-CoV-2. *J Clin Virol.* 2020

Aug;129:104510. doi: 10.1016/j.jcv.2020.104510

6. PLOS authors have the option to publish the peer review history of their article ([what does this mean?](#)). If published, this will include your full peer review and any attached files.

If you choose "no", your identity will remain anonymous but your review may still be made public.

Do you want your identity to be public for this peer review? For information about this choice, including consent withdrawal, please see our [Privacy Policy](#).

Reviewer #1: No

Reviewer #2: **Yes:** Richard Molenkamp

[NOTE: If reviewer comments were submitted as an attachment file, they will be attached to this email and accessible via the submission site. Please log into your account, locate the manuscript record, and check for the action link "View Attachments". If this link does not appear, there are no attachment files.]

While revising your submission, please upload your figure files to the Preflight Analysis and Conversion Engine (PACE) digital diagnostic tool, <https://pacev2.apexcovantage.com/>. PACE helps ensure that figures meet PLOS requirements. To use PACE, you must first register as a user. Registration is free. Then, login and navigate to the UPLOAD tab, where you will find detailed instructions on how to use the tool. If you encounter any issues or have any questions when using PACE, please email PLOS at figures@plos.org. Please note that Supporting Information files do not need this step.

<https://doi.org/10.1371/journal.pone.0241029.r001>

Revision 1

[Author Response](#)

September 28, 2020

Editor's comments

Comment:

1. Table 4 and the paragraph where these data are described (page 12) are not completely clear to me. Samples were serially diluted and tested in several replicates (for example 10 or 24). Testing was however for dilutions 1:20 to 1:160 and 1:320 only performed with the RSP method whereas for all other dilutions it was performed with the NA purification method. This does not allow a direct comparison of the sensitivities of the RSP and NA methods. Why were the dilutions not tested in both ways, for example 12 replicates for each dilution for both RSP and NA?

Response:

The table shows the same sample, thus allowing direct comparison, that was serially diluted in the range 1:20 to 1:5000. Due to the expected greater sensitivity of NA purification, it was deemed unnecessary to perform multiple replicates at a dilution of less than 1:200 as all replicates tested at 1:200 and 1:400 were detected. With the RSP method, performing additional replicates at a dilution of greater than 1:320, where 33% of replicates were detected, was deemed unnecessary as the goal was to determine the dilution at which targets would be detected with 95% confidence.

The table thus shows the data that was required to determine the dilution at which a specific sample could be detected with 95% confidence using the RSP method and NA purification. This value could then be compared.

The methods section of the manuscript was revised to clarify the selection of sample dilutions.

Comment:

2. Please avoid starting a sentence with a number (for example in lines 161 and 200). Please rephrase these sentences.

Response:

The manuscript was appropriately revised.

Comment:

3. Line 212: please remove 'are shown' at the end of the sentence.

Response:

The manuscript was appropriately revised.

Reviewer 1 Comments

Comment:

1. It would be relevant to present the Ct values of the internal control of all samples w/wo extraction listed in appendix 1 and 2, as this will give insight in the effect of (leaving out) extraction on PCR efficiency / inhibition.

Response:

The tables presented in the appendixes were updated with the internal control values for each sample tested to provide insight into PCR inhibition and extraction efficiency.

Comment:

2. 6 previously negative samples were left out from the analysis because the IC failed (even after repeat testing upon dilution). These samples should not have been left out from the analysis but included in table 3, because the information is very relevant in judging the appropriateness and feasibility of the extraction free protocol : The results demonstrate that PCR inhibition was present in 6/185 samples (3%).

Response:

The samples which failed testing by the RSP method or could not be tested (3.59%) were excluded from table 3 as the standard testing procedure would designate these samples for retesting by an alternative method. Thus assigning these samples as either false negatives or false positives would be inappropriate as these would not be the results reported by the laboratory. However, the manuscript was revised to more clearly highlight this failure rate.

In terms of a feasibility assessment, we feel the current PPA and NPA values along with a reported failure rate is a more reasonable way of presenting the data than reduction of all data to the PPA and NPA.

Comment:

3. Nucleic acid extraction using chaotropic agents (Guanidinium salts) result in virus inactivation (loss of infectivity). The extraction-free protocol is based on a 5 minute incubation at 98C. Did the investigators perform any experiments to study the effect of this temperature treatment on sample infectivity (bio-safety). Samples which are manipulated on a QIAgility liquid handling system, given the 'open environment' of such a system that lacks HEPA filtering of exhausted air, should be proven to be non-infectious

Response:

The sample infectivity was deemed to be ablated after heat treatment at 98 degrees C for 5 minutes based on available publications. Batéjat et al. (2020) demonstrated inactivation of SARS-CoV-2 after heat treatment at 95°C for 3 minutes. Further, Saknimit et al. (1988) demonstrated heat inactivation of coronaviruses other than SARS-CoV-2 beyond specific quantification after heat treatment at 80°C for 1 minute. This literature is referenced in the revised manuscript.

References:

Batéjat, C., Grassin, Q. and Manuguerra, J.C., 2020. Heat inactivation of the Severe Acute Respiratory Syndrome Coronavirus 2. bioRxiv.

Saknimit, M., Inatsuki, I., Sugiyama, Y. and Yagami, K.I., 1988. Virucidal efficacy of physico-chemical treatments against coronaviruses and parvoviruses of laboratory animals. *Experimental animals*, 37(3), pp.341-345.

Comment:

4. The authors indicate that automation of the PCR setup process significantly reduced robustness of assay performance by reducing the frequency of invalid results. This is just mentioned in the discussion without supporting data. What is meant by invalid results (PC negative / NC positive / IC negative???) and how are these data used in the manuscript (in particular in the S1 appendix)?

Response:

Invalid results in this context specifically refers to samples that lack both internal control amplification and SARS-CoV-2 target amplification. This definition was more clearly presented in the methods section of the revised manuscript.

Prior to implementation of the automated method, staff shortages were frequent due to rapidly scaling testing demand and intermittent quarantining of staff. Thus staff with minimal molecular experience needed to be trained and staff frequently returned after extended absences. We noticed that these events frequently correlated with an increase in invalid rate but a formal critical assessment of the early pandemic SARS-CoV-2 testing performance of our laboratory is beyond the intended purpose of this work. The anecdotal data of fluctuating invalid rate and operator dependency as a potential aetiology motivated for the initiation of this research.

The manuscript and appendixes were revised to include only data directly involved in the generation of the discussed results. The paragraph discussing the motivation for assay automation was revised to remove specific references to previous assay results and protocols and presented as a general discussion of the events leading to the research.

Comment:

5. In the methods section it is described that PCR setup was done using an liquid handling system whereas in the discussion it is mentioned that manual setup was done for at least part of the experiments (and that this is caused operator dependency in the quality of the results). How did these differences in PCR setup procedures affect the overall results and conclusion on the comparison of extraction free procedures to the gold standard methods?

Response:

No results from the manual set-up of the RSP method, which only occurred for prior version of the method used before the initiation of this research, were included. All data from versions of the RSP method not used in the direct generation of the presented results were removed from the appendixes in the updated manuscript. This was initially included to provide insight into the progression of method development.

Reviewer 2 Comments

Comment:

1) The authors mention (lines 131-134) that if the internal control failed ($ct < 40$) the sample was repeated with less sample input. They mention (lines 172-174) in 6 negative samples this was the case after repeat testing. They do not mention however the percentage of samples overall that failed internal control ($ct < 40$) in the initial analysis. This is important because if this percentage is high it would mean a significant increased workload for retesting.

Response:

The manuscript was revised to more clearly show the assay failure rate and steps taken to produce results when the primary protocol failed to produce a result.

Comment:

2) The limited availability of reagents was the main reason for this study. The authors may want to comment on availability of consumables for the QIAgility systems.

Response:

The availability of QIAgility consumables is discussed in the revised manuscript.

Comment:

3) The authors estimate PPA (lines 193-202) based on the mean difference in Ct values between the Nuclisens and RSP method and adding these numbers to Ct values from previously determined samples. They argue that if this newly calculated Ct value was above 40 the sample would be negative if they had used the RSP method. By doing this the authors assume that the relation between the amount of RNA and the Ct value is linear over the entire range of RNA concentrations. The authors do not show this linear correlation. Especially at high Ct values this correlation is almost never linear and generally very variable. In my opinion this method cannot be used to determine the PPA of the RSP method and the authors should delete this part from the manuscript.

Response:

This part of the manuscript was excluded, as suggested, from the revised manuscript.

Comment:

4) Since the values from the Abbott M2000 system cannot be compared to the Ct values from the Seegene PCR due to intrinsic different analysis method I fail to see what information is added by figure 2.

Response:

While the Abbott RealTime SARS-CoV-2 reported CN values are not directly comparable, they are still based on a real-time PCR cycle threshold value and thus we feel the distribution of values is relevant to the data. If only samples with low CN values were used in the validation, for example, the PPA would likely be greater than that reported.

Additionally, while it would be inappropriate to perform any more in-depth analysis due to the disparate test specifics, for operators of the Abbott RealTime SARS-CoV-2 assay we believe a general impression of relative performance as presented by Figure 2 may be valuable.

Comment:

5) The authors mention that the loss of analytical sensitivity of at least 8 fold was acceptable for clinical application. It is unclear however which criteria played a role in this consideration.

Response:

The primary determinant of acceptability for clinical application of the assay was the PPA and NPA. The analytical sensitivity calculated here allows assessment of the relative contribution of PCR inhibition and sample input volume as the aetiology of differing performance but was not used as the determinant of assay acceptability.

Comment:

6) Furthermore they mention that the Seegene assay has an analytical sensitivity of 100 RNA copies/reaction with the nuclisense method (and thus > 800 c/reaction for the RSP method). This analytical sensitivity seems rather low compared to other molecular assays which are in the range of 1-50 (see below refs). This should also be taken into consideration with remark 5)

Response:

While the Seegene reported analytical sensitivity may be poorer than that of other molecular assays, the PPA and NPA were determined from comparison to both the Seegene and Abbott assays. Further, we did not notice a marked difference in performance of the RSP method compared to NA purification relative to its performance compared to the Abbott system as presented in the appendixes. Additionally, the poorer limit of detection still falls below the reported critical value of 6.63 log₁₀ RNA copies/ml associated with infectivity proposed by van Kampen et al. (2020).

Reference:

van Kampen, J.J., van de Vijver, D.A., Fraaij, P.L., Haagmans, B.L., Lamers, M.M., Okba, N., van den Akker, J.P., Endeman, H., Gommers, D.A., Cornelissen, J.J. and Hoek, R.A., 2020. Shedding of infectious virus in hospitalized patients with coronavirus disease-2019 (COVID-19): duration and key determinants. medRxiv.

Attachments

[Attachment](#)

Submitted filename: *Response to Reviewers .docx*

<https://doi.org/10.1371/journal.pone.0241029.r002>

[Decision Letter](#) - Sylvia Maria Bruisten, Editor

October 7, 2020

PONE-D-20-24160R1

The implementation of a rapid sample preparation method for the detection of SARS-CoV-2 in a diagnostic laboratory in South Africa

PLOS ONE

Dear Dr. Marais,

Thank you for submitting your manuscript to PLOS ONE. After careful consideration, we feel that it has merit but does not fully meet PLOS ONE's publication criteria as it currently stands. Therefore, we invite you to submit a revised version of the manuscript that addresses the points raised during the review process.

There are two minor points that will further improve the manuscript. (see below).

Please submit your revised manuscript by 20 October 2020. If you will need more time than this to complete your revisions, please reply to this message or contact the journal office at plosone@plos.org. When you're ready to submit your revision, log on to <https://www.editorialmanager.com/pone/> and select the 'Submissions Needing Revision' folder to locate your manuscript file.

Please include the following items when submitting your revised manuscript:

- A rebuttal letter that responds to each point raised by the academic editor and reviewer(s). You should upload this letter as a separate file labeled 'Response to Reviewers'.
- A marked-up copy of your manuscript that highlights changes made to the original version. You should upload this as a separate file labeled 'Revised Manuscript with Track Changes'.
- An unmarked version of your revised paper without tracked changes. You should upload this as a separate file labeled 'Manuscript'.

If you would like to make changes to your financial disclosure, please include your updated statement in your cover letter. Guidelines for resubmitting your figure files are available below the reviewer comments at the end of this letter.

If applicable, we recommend that you deposit your laboratory protocols in protocols.io to enhance the reproducibility of your results. Protocols.io assigns your protocol its own identifier (DOI) so that it can be cited independently in the future. For instructions see: <http://journals.plos.org/plosone/s/submission-guidelines#loc-laboratory-protocols>

We look forward to receiving your revised manuscript.

Kind regards,

Sylvia Maria Bruisten, Ph.D

Academic Editor

PLOS ONE

Additional Editor Comments (if provided):

The revised version shows good improvements in manuscript and supplementary files. Most points were answered to satisfaction.

There are two (minor) points that can still improve the manuscript:

1. Table 2 is redundant since here exactly the same mixture scheme is used as in Table 1, with the difference that only 2 μ l input in stead of 3 μ l was used (which is compensated for by the water volume). I therefor advise to remove Table 2 and to add in the text after 'with a decreased sample volume' '2 μ l in stead of 3 μ l' (page 7, line 134).

2. Please replace 'greater' by 'higher' before 'mean Ct value'

[Note: HTML markup is below. Please do not edit.]

Reviewers' comments:

Reviewer's Responses to Questions

Comments to the Author

1. If the authors have adequately addressed your comments raised in a previous round of review and you feel that this manuscript is now acceptable for publication, you may indicate that here to bypass the "Comments to the Author" section, enter your conflict of interest statement in the "Confidential to Editor" section, and submit your "Accept" recommendation.

Reviewer #2: All comments have been addressed

2. Is the manuscript technically sound, and do the data support the conclusions?

The manuscript must describe a technically sound piece of scientific research with data that supports the conclusions. Experiments must have been conducted rigorously, with appropriate controls, replication, and sample sizes. The conclusions must be drawn appropriately based on the data presented.

Reviewer #2: Yes

3. Has the statistical analysis been performed appropriately and rigorously?

Reviewer #2: Yes

4. Have the authors made all data underlying the findings in their manuscript fully available?

The [PLOS Data policy](#) requires authors to make all data underlying the findings described in their manuscript fully available without restriction, with rare exception (please refer to the Data Availability Statement in the manuscript PDF file). The data should be provided as part of the manuscript or its supporting information, or deposited to a public repository. For example, in addition to summary statistics, the data points behind means, medians and variance measures should be available. If there are restrictions on publicly sharing data—e.g. participant privacy or use of data from a third party—those must be specified.

Reviewer #2: Yes

5. Is the manuscript presented in an intelligible fashion and written in standard English?

PLOS ONE does not copyedit accepted manuscripts, so the language in submitted articles must be clear, correct, and unambiguous. Any typographical or grammatical errors should be corrected at revision, so please note any specific errors here.

Reviewer #2: Yes

6. Review Comments to the Author

Please use the space provided to explain your answers to the questions above. You may also include additional comments for the author, including concerns about dual publication, research ethics, or publication ethics. (Please upload your review as an attachment if it exceeds 20,000 characters)

Reviewer #2: (No Response)

7. PLOS authors have the option to publish the peer review history of their article ([what does this mean?](#)). If published, this will include your full peer review and any attached files.

If you choose "no", your identity will remain anonymous but your review may still be made public.

Do you want your identity to be public for this peer review? For information about this choice, including consent withdrawal, please see our [Privacy Policy](#).

Reviewer #2: No

[NOTE: If reviewer comments were submitted as an attachment file, they will be attached to this email and accessible via the submission site. Please log into your account, locate the manuscript record, and check for the action link "View Attachments". If this link does not appear, there are no attachment files.]

While revising your submission, please upload your figure files to the Preflight Analysis and Conversion Engine (PACE) digital diagnostic tool, <https://pacev2.apexcovantage.com/>. PACE helps ensure that figures meet PLOS requirements. To use PACE, you must first register as a user. Registration is free. Then, login and navigate to the UPLOAD tab, where you will find detailed instructions on how to use the tool. If you encounter any issues or have any questions when using PACE, please email PLOS at figures@plos.org. Please note that Supporting Information files do not need this step.

<https://doi.org/10.1371/journal.pone.0241029.r003>

Revision 2

[Decision Letter](#) - Sylvia Maria Bruisten, Editor

October 8, 2020

The implementation of a rapid sample preparation method for the detection of SARS-CoV-2 in a diagnostic laboratory in South Africa

PONE-D-20-24160R2

Dear Dr. Marais,

We're pleased to inform you that your manuscript has been judged scientifically suitable for publication and will be formally accepted for publication once it meets all outstanding technical requirements.

This includes to re-number the Table, after the deletion of Table 2.

Within one week, you'll receive an e-mail detailing the required amendments. When these have been addressed, you'll receive a formal acceptance letter and your manuscript will be scheduled for publication.

An invoice for payment will follow shortly after the formal acceptance. To ensure an efficient process, please log into Editorial Manager at <http://www.editorialmanager.com/pone/>, click the 'Update My Information' link at the top of the page, and double check that your user information is up-to-date. If you have any billing related questions, please contact our Author Billing department directly at authorbilling@plos.org.

If your institution or institutions have a press office, please notify them about your upcoming paper to help maximize its impact. If they'll be preparing press materials, please inform our press team as soon as possible -- no later than 48 hours after receiving the formal acceptance. Your manuscript will remain under strict press embargo until 2 pm Eastern Time on the date of publication. For more information, please contact onepress@plos.org.

Kind regards,

Sylvia Maria Bruisten, Ph.D

Academic Editor

PLOS ONE

Additional Editor Comments (optional):

The requested last adjustments were made, but the Table numbers were not adjusted after deleting Table 2. This should be done in the final version. Then the manuscript can be fully accepted.

Reviewers' comments:

All adjustments were made, but the Tables need to be numbered correctly.

<https://doi.org/10.1371/journal.pone.0241029.r005>

Author Response

October 7, 2020

Thank you for the review of our manuscript. We have prepared responses to the comments provided in addition to a revised manuscript.

Editor's comments

Comment:

1. Table 2 is redundant since here exactly the same mixture scheme is used as in Table 1, with the difference that only 2 μ l input instead of 3 μ l was used (which is compensated for by the water volume). I therefore advise to remove Table 2 and to add in the text after 'with a decreased sample volume' '2 μ l instead of 3 μ l' (page 7, line 134).

Response:

The manuscript has been appropriately updated.

Comment:

2. Please replace 'greater' by 'higher' before 'mean Ct value'

Response:

The manuscript has been appropriately updated.

AttachmentsAttachment

Submitted filename: *Response to Reviewers .docx*

<https://doi.org/10.1371/journal.pone.0241029.r004>

Formally Accepted

Acceptance Letter - Sylvia Maria Bruisten, Editor

October 12, 2020

PONE-D-20-24160R2

The implementation of a rapid sample preparation method for the detection of SARS-CoV-2 in a diagnostic laboratory in South Africa

Dear Dr. Marais:

I'm pleased to inform you that your manuscript has been deemed suitable for publication in PLOS ONE. Congratulations! Your manuscript is now with our production department.

If your institution or institutions have a press office, please let them know about your upcoming paper now to help maximize its impact. If they'll be preparing press materials, please inform our press team within the next 48 hours. Your manuscript will remain under strict press embargo until 2 pm Eastern Time on the date of publication. For more information please contact onepress@plos.org.

If we can help with anything else, please email us at plosone@plos.org.

Thank you for submitting your work to PLOS ONE and supporting open access.

Kind regards,

PLOS ONE Editorial Office Staff

on behalf of

Dr. Sylvia Maria Bruisten

Academic Editor

PLOS ONE

<https://doi.org/10.1371/journal.pone.0241029.r006>

Open letter on the publication of peer review reports

PLOS recognizes the benefits of transparency in the peer review process. Therefore, we enable the publication of all of the content of peer review and author responses alongside final, published articles. Reviewers remain anonymous, unless they choose to reveal their names.

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Appendix D

Published manuscript

RESEARCH ARTICLE

The implementation of a rapid sample preparation method for the detection of SARS-CoV-2 in a diagnostic laboratory in South Africa

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OPEN ACCESS

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Peer Review History: PLOS recognizes the benefits of transparency in the peer review process; therefore, we enable the publication of all of the content of peer review and author responses alongside final, published articles. The editorial history of this article is available here: <https://doi.org/10.1371/journal.pone.0241029>

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Data Availability Statement: All relevant data are within the manuscript and its Supporting Information files.

Abstract

The SARS-CoV-2 pandemic has resulted in shortages of both critical reagents for nucleic acid purification and highly trained staff as supply chains are strained by high demand, public health measures and frequent quarantining and isolation of staff. This created the need for alternate workflows with limited reliance on specialised reagents, equipment and staff. We present here the validation and implementation of such a workflow for preparing samples for downstream SARS-CoV-2 RT-PCR using liquid handling robots. The rapid sample preparation technique evaluated, which included sample centrifugation and heating prior to RT-PCR, showed a 97.37% (95% CI: 92.55–99.28%) positive percent agreement and 97.30% (95% CI: 90.67–99.52%) negative percent agreement compared to nucleic acid purification-based testing. This method was subsequently adopted as the primary sample preparation method in the Groote Schuur Hospital Virology Diagnostic Laboratory in Cape Town, South Africa.

Introduction

Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), an emergent beta-coronavirus, was identified as a novel causative agent of severe pneumonia in Wuhan, China in 2019 [1]. The capacity for person-to-person transmission was soon identified and the ensuing pandemic has caused more than seventeen million cases at the time of submission [2].

Currently, diagnostic testing for SARS-CoV-2 relies on molecular techniques, primarily reverse-transcriptase polymerase chain reaction (RT-PCR), from respiratory specimens [3]. The specialised equipment and reagents required to offer these tests at scale has placed significant strain on worldwide supply chains of reagents. Public health measures put in place in numerous countries, including travel restrictions, have further made planning for sustainable

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Competing interests: The authors have declared that no competing interests exist.

service delivery difficult as laboratory stock orders may not be filled on time. These issues motivate for the use of diagnostic workflows that favour locally or readily available reagents to, at least partially, insulate supply chains from fluctuations in global demand and evolving travel limiting public health measures. To address these issues, a number of laboratories have successfully developed alternative sample preparation techniques which limit reagent needs and avoid complex nucleic acid (NA) purification protocols [4–6]. There is also a significant cost saving when the reagent-free direct heating method, as described by Fomsgaard and Rosenstjerne [4], is used which will become critical if economic fallout from the pandemic intensifies. Staff shortages in the laboratory are an inevitability as social distancing requirements are implemented in concert with increasing demand for diagnostic testing. SARS-CoV-2 outbreaks in the laboratory environment may also introduce unpredictable shortages of critical staff further limiting the capacity of laboratories to offer predictable test turnaround times. The necessary influx of new staff, who may have limited training or training in a related field, can further compromise the reliability of diagnostic laboratory services as the capacity for oversight and quality control is hindered by rapidly evolving testing demands and workflow instability due to reagent shortages and potentially unreliable testing kits due to limited regulatory oversight [7]. All these factors highlight the need for automated workflows that limit the number of laboratory staff-dependent steps and in particular steps requiring specialised training. Automation further limits human error such as sample switches and cross-contamination and are generally amenable to greater degrees of workflow control due to traceable instrument log files.

A chemical reagent-free heat-based rapid sample preparation and inactivation (RSP) [8, 9] method for downstream SARS-CoV-2 RT-PCR amplification is presented here optimised for use on automated liquid handling robots.

Materials and methods

Ethics

Biological material of human origin was anonymised and all clinical and other personally identifiable data delinked with only study specific sample identifiers used along with sample SARS-CoV-2 assay performance data. Ethics approval for this work was granted by the University of Cape Town Human Research Ethics Committee (HREC reference number: 335/2020).

Sample selection

Nasopharyngeal (NP) and oropharyngeal (OP) swabs sent dry or in saline to the National Health Laboratory Service Virology Diagnostic Laboratory in Groote Schuur Hospital from its standard referral area for SARS-CoV-2 testing were included. Selection of 115 samples, which tested positive, and 80 samples, which tested negative, for SARS-CoV-2 by NA purification-based commercial diagnostic assays in use at the diagnostic laboratory was done for the method validation. Spectrum bias was avoided by selecting consecutive samples that tested positive by standard testing over two discrete intervals of regular laboratory workflow. Samples that tested negative were selected randomly from the same intervals. The diagnostic assays in use were the Abbott RealTime SARS-CoV-2 Assay (Abbott Laboratories, USA) running on the Abbott m2000 RealTime system and the Allplex™ 2019-nCoV assay (Seegene, South Korea). The assays were run as per package insert. The Allplex™ 2019-nCoV assay was performed after sample NA purification using the NucliSENS® easyMag® (bioMérieux, France) as per package insert.

Rapid sample preparation

Standard diagnostic testing sample preparation included placing NP or OP swabs in a 2ml Sarstedt sample tube containing 1.5ml autoclaved 0.9% saline. If both a NP and OP swab or multiple swabs of the same type was received, they were combined in a single tube. The swabs were cut to fit in the tube. The tube was then vortexed for 10 seconds. The saline was used as the sample input for downstream assays after which the tube was stored at 4°C. Stored tubes from diagnostic samples were available for inclusion in the study.

Selected sample tubes were centrifuged at 16 000 *g* for 5 minutes and 50µl of the supernatant was then pipetted into the wells of a 96-well PCR plate. The PCR wells were capped and the plate incubated on a thermocycler at 98°C for 5 minutes followed by 4°C for 2 minutes. The PCR plate was then briefly centrifuged and placed on a dedicated QIAgility (Qiagen, Germany) liquid handling instrument for sample-addition.

RT-PCR after rapid sample preparation

Concurrent with sample preparation, a second dedicated QIAgility instrument was used for Allplex™ 2019-nCoV assay master mix preparation and aliquoting into appropriate 8-well PCR strips (Bio-Rad Laboratories, USA). Following master mix preparation, the PCR strips were transferred to the sample-addition QIAgility instrument. The sample input volume and master mix constituents are shown in Table 1.

After sample addition, the PCR strips were sealed and briefly centrifuged before being loaded on a CFX96™ Real-Time PCR Detection System (Bio-Rad Laboratories, USA). The real-time PCR cycling parameters recommended by the Allplex™ 2019-nCoV assay package insert were used unchanged. Real-time data analysis was performed using the 2019-nCoV Viewer for Real time Instruments V3 (Ver 3.18.005.003) software as per the Allplex™ 2019-nCoV assay package insert.

If the internal control (RP-IC) was not detected with a cycle threshold (Ct) value <40 and no SARS-CoV-2 targets were detected, the test was deemed invalid and the primary sample was retested with a decreased sample volume input, 2µl instead of 3µl. The remainder of the protocol was unchanged.

Repeatability and analytical sensitivity

Inter-assay reproducibility was assessed using 8 samples with Envelope (E) gene Ct values ranging between 17.16 and 35.63, which were tested in triplicate 7 days after initial testing. Intra-assay reproducibility was assessed by repeating 16 samples in triplicate. Samples were stored at 4°C while awaiting repeat testing. To assess relative analytical sensitivity, one sample was selected and serially diluted with saline and tested with multiple replicates at dilutions specifically selected to allow calculation of the analytical sensitivity of the Allplex™ 2019-nCoV

Table 1. RT-PCR reaction preparation.

	Volume per reaction (µl)
RNase-free Water	11.1
2019-nCoV MOM (primer and probe mix)	6
5X Real-time One-step Buffer	6
Real-time One-step Enzyme	2.4
Internal control (RP-IC)	1.5
Sample after centrifugation and heating	3
Total volume	30

<https://doi.org/10.1371/journal.pone.0241029.t001>

assay after NA purification and RSP. The dilution at which SARS-CoV-2 RNA could be detected with 95% confidence was determined for each method by Probit analysis. The absolute analytical sensitivity of the RSP method was then calculated based on the relative analytical sensitivity compared to NA purification-based detection. The absolute analytical sensitivity for NA purification-based detection is reported in the Allplex™ 2019-nCoV assay package insert.

Statistical analysis and graphics

Data visualisation and statistical analysis, including paired t-tests for comparison of target Ct values, a Fisher's exact test for statistical significance determination of the positive percent agreement (PPA) and negative percent agreement (NPA) with NA extraction-based testing and the Wilson/Brown method for 95% confidence interval determination, was done using GraphPad Prism version 8.4.2 for macOS, GraphPad Software, San Diego, California USA, www.graphpad.com.

Results and discussion

The RSP method validation included 115 serially collected samples which tested positive and 80 randomly selected samples from the same period which tested negative for SARS-CoV-2 by NA purification-based testing. After testing with the RSP method, repeat testing with a decreased sample volume was required for 20 of the 195 (10.26%) samples due to detection of neither SARS-CoV-2 targets nor the internal control. One sample could not be tested using the RSP method due to excessive viscosity from nasopharyngeal swab breakdown. Repeat testing failed to generate a result for 6 samples possibly due to sample-specific PCR inhibition. The Allplex™ 2019-nCoV assay result after RSP correlated with that of NA purification-based testing for 111 positive and 72 negative samples as shown in [Table 2](#). No result could be generated for 7 of 195 (3.59%) samples. Raw data is shown in the [S1 Appendix](#).

The PPA and NPA of the RSP method with NA purification-based testing for SARS-CoV-2 demonstrated a P value of <0.0001. The PPA of the RSP method was 97.37% (95% CI: 92.55–99.28%) and the NPA 97.30% (95% CI: 90.67–99.52%). The 7 samples, for which no result could be generated by RSP due to repeated invalid results or sample unsuitability, were excluded from this analysis as standard laboratory practice designates samples for NA purification-based testing in cases of RSP failure.

The Ct values of individual targets of the Allplex™ 2019-nCoV assay were assessed for samples prepared by NucliSENS® easyMag® NA purification and RSP. The E gene, RNA-dependent RNA-polymerase (RdRp) gene and Nucleocapsid (N) gene targets had Ct values that were significantly different with a P value of <0.0001 ([Fig 1](#)). The mean difference in Ct values

Table 2. Contingency table used for positive and negative percent agreement with NA purification-based testing calculation.

	Positive SARS-CoV-2	Negative SARS-CoV-2
	Abbott RealTime SARS-CoV-2 Assay or Seegene Allplex™ 2019-nCoV Assay	Abbott RealTime SARS-CoV-2 Assay or Seegene Allplex™ 2019-nCoV Assay
	NA Purification	NA Purification
Positive SARS-CoV-2, RSP method, Seegene Allplex™ 2019-nCoV Assay	111	2
Negative SARS-CoV-2, RSP method, Seegene Allplex™ 2019-nCoV Assay	3	72

<https://doi.org/10.1371/journal.pone.0241029.t002>

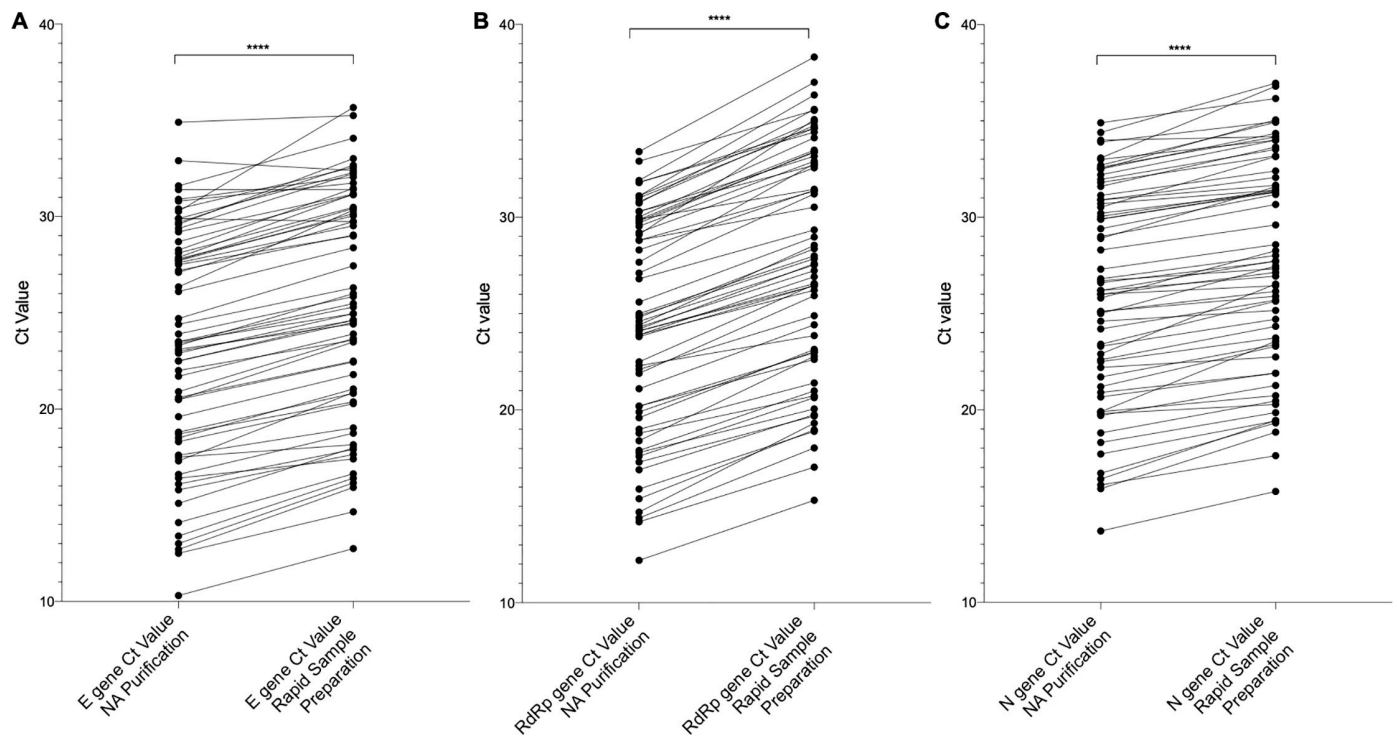


Fig 1. Comparison of target Ct values after RSP and NucliSENS® easyMag NA purification. The Ct values for the SARS-CoV-2 (A) Envelope (E), (B) RNA-dependent RNA-polymerase (RdRp) and (C) Nucleocapsid (N) gene targets are shown for samples tested with the Allplex™ 2019-nCoV assay after NucliSENS® easyMag® NA purification and RSP. The difference in generated Ct values was found to be statistically significant in each case with a P value of <0.0001 as determined by paired t-test.

<https://doi.org/10.1371/journal.pone.0241029.g001>

between RSP and NA purification was 2.148 (95% CI: 1.909–2.387) for the E gene, 3.271 (95% CI: 3.037–3.506) for the RdRp gene and 1.608 (95% CI: 1.407–1.809) for the N gene, with RSP demonstrating a higher mean Ct value in each case.

The relative performance of the Abbott RealTime SARS-CoV-2 assay and the Allplex™ 2019-nCoV assay after RSP is shown in Fig 2. The Abbott assay reports cycle number (CN) values which are not equivalent to Ct values and thus are not directly comparable.

The single false negative result from the RSP method when compared to NucliSENS® easyMag® NA purification was from a sample that only tested positive for one of the three Allplex™ 2019-nCoV targets, the N gene, with a Ct value of 36.7. The two false negatives from the RSP method when compared to the Abbott RealTime SARS-CoV-2 Assay, which includes NA purification, had high CN values. However, samples with higher CN values were detected thus sample-specific inhibition may also have played a role.

There were two false positive results from the RSP method when compared to the Abbott RealTime SARS-CoV-2 Assay. A single target was detected in both cases with Ct values above 35. This may represent contamination events or the samples may have viral RNA at levels near the limit of detection for both assays. NA contamination in the laboratory is monitored for by frequent testing of environmental swabs and reagent blanks. Multiple negative controls are also included in each run.

The intra-assay repeatability assessment of mean Ct values for the three Allplex™ 2019-nCoV targets showed a coefficient of variance of 1.14%. The inter-assay repeatability assessment of mean Ct values after 7 days of sample storage showed a coefficient of variance of 1.27%.

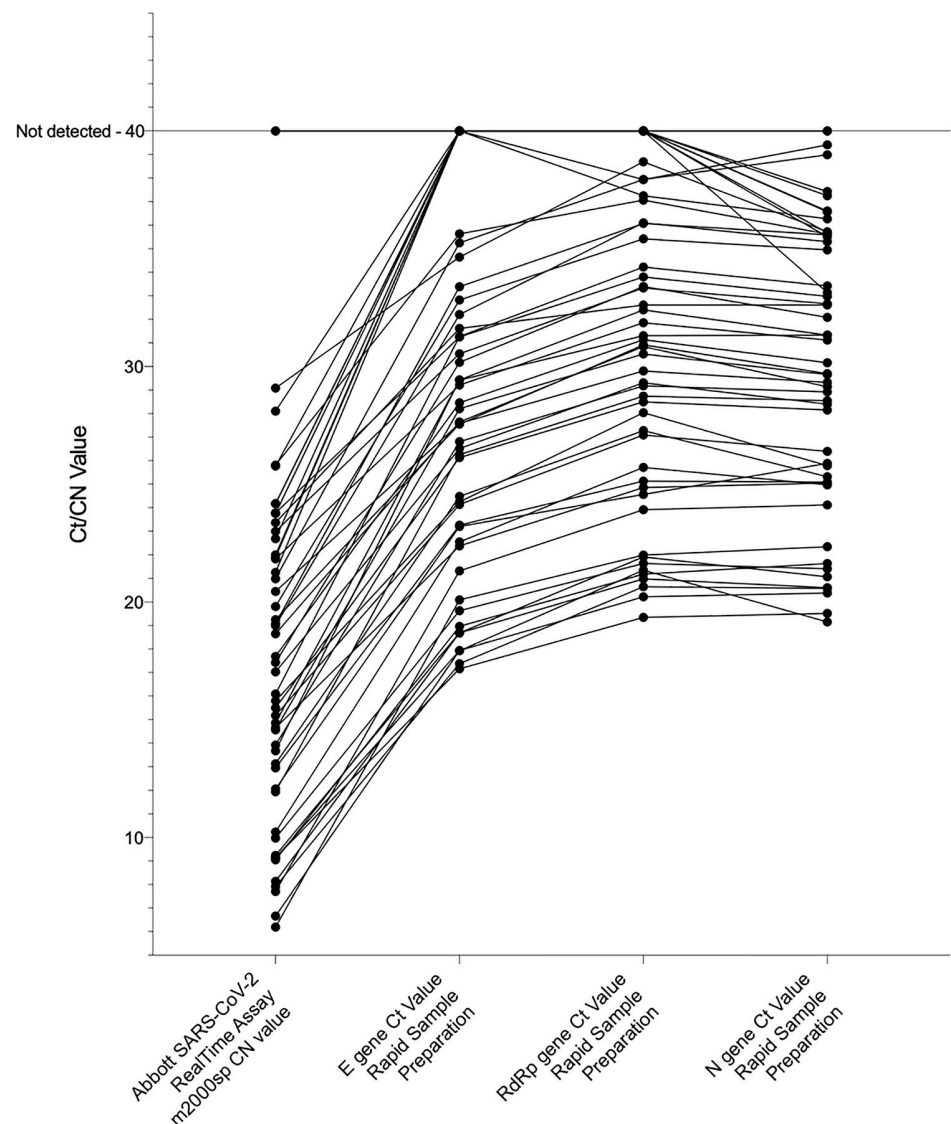


Fig 2. Comparison of target Ct and CN values after RSP and testing with the Abbott RealTime SARS-CoV-2 assay. The Ct values for the SARS-CoV-2 Envelope (E), RNA-dependent RNA-polymerase (RdRp) and Nucleocapsid (N) gene targets are shown for samples tested with the Allplex™ 2019-nCoV assay after RSP and CN values after testing with the Abbott RealTime SARS-CoV-2 assay. A plotted CN or Ct value of 40 indicates that detectable amplification did not occur. The Abbott assay CN values are assay specific and not directly comparable to Ct values, but are shown to demonstrate the performance of the spectrum of selected samples.

<https://doi.org/10.1371/journal.pone.0241029.g002>

The relative analytical sensitivity of the Allplex™ 2019-nCoV assay after RSP was found to be 807 RNA copies per reaction. This was calculated from the 8.07-fold decrease in analytical sensitivity of the RSP method compared to NucliSENS® easyMag® NA purification-based testing, which has an analytical sensitivity of 100 RNA copies per reaction as per the Allplex™ 2019-nCoV assay package insert. The relative decrease was determined by serially diluting and testing a sample with multiple replicates as shown in Table 3. This relative loss in analytical sensitivity can largely be explained by the smaller sample input volume for RSP. NucliSENS® easyMag® NA purification concentrates sample nucleic acids by a factor of approximately 2,

Table 3. Relative analytical sensitivity assessment.

Dilution	Replicates	Seegene Allplex™ 2019-nCoV Assay	Seegene Allplex™ 2019-nCoV Assay
		RSP Method	NA Purification
		Percentage of Samples Positive	Percentage of Samples Positive
1:20	24	100%	Not done
1:40	24	95.8%	Not done
1:80	24	70.8%	Not done
1:120	24	58.3%	Not done
1:160	24	41.7%	Not done
1:200	10	Not done	100%
1:320	24	33.3%	Not done
1:400	10	Not done	100%
1:500	10	Not done	90%
1:625	10	Not done	70%
1:2000	10	Not done	60%
1:5000	10	Not done	30%

<https://doi.org/10.1371/journal.pone.0241029.t003>

based on sample input versus elution volume. Additionally, the Allplex™ 2019-nCoV assay input volume after NA purification is 8µl versus the 3µl sample input volume for RSP. Thus, the expected loss in analytical sensitivity would be 5.3-fold which is comparable to the experimentally determined loss of 8.07-fold and suggests that sample inhibition plays a minor role. Raw data is shown in the [S2 Appendix](#).

The performance characteristics were deemed acceptable for clinical diagnostic use in the Groote Schuur Hospital Virology Diagnostic Laboratory and allowed the laboratory to increase the number of samples tested daily by a factor of 5–10 due to the decreased supply chain dependence and simplified workflow. While large quantities of some consumables were still required, such as liquid handling robot tips for the QIAgility instruments, the availability of generic alternatives and the fact that they are neither SARS-CoV-2 specific nor universally required made consumable depletion less of a concern. The reduced processing time further facilitated a more rapid test turnaround time which was beneficial for in-hospital infection control. A stable workflow, not subject to reagent availability dependent variations, also decreased laboratory errors and may allow for improved clinical planning as a result of a stable test turnaround time.

Prior to the automation described in this protocol, earlier versions of the RSP method were susceptible to fluctuating failure rates. This was largely due to human errors arising from staff shortages and rising test volumes. A simple automated workflow was needed to enable staff with minimal molecular experience to be able to perform testing reliably. In particular the time intervals between assay steps and how thoroughly the master mix was mixed prior to aliquoting were identified as sources of assay performance variation. This operator dependency and fluctuating staff availability motivated for the further automation of the process with liquid handling robots and ultimately the validation described here.

The laboratory approach to result interpretation was also affected by the implementation of the RSP method. The approach to NucliSENS® easyMag® NA purification-prepared samples involved release of numerous inconclusive results, despite multiple target amplification at times, due to the known capacity for sample contamination both on the easyMag® instrument and during processing of swabs. The known decrease in sensitivity of the RSP method and the lack of use of the easyMAG® open system for processing, decreased the number of inconclusive results released by our laboratory.

NA purification is the gold-standard in sample processing for RT-PCR, however, in the setting of a pandemic with significant pressures on reagent supply chains and the need for a rapid increase in testing capacity, the RSP method described here presented a reasonable alternative and has been implemented as the primary sample preparation method in the Groote Schuur Hospital Virology Diagnostic Laboratory in South Africa.

Supporting information

S1 Appendix. Sample cycle threshold and cycle number values for SARS-CoV-2 targets and internal controls. The cycle threshold (Ct) and cycle number (CN) values of assay targets and internal controls from the Allplex™ 2019-nCoV and Abbott RealTime SARS-CoV-2 assays respectively are shown for samples used. The mastermix protocol used is also shown. RSP: Rapid sample preparation and inactivation. (XLSX)

S2 Appendix. Sample cycle threshold values at dilutions used for analytical sensitivity determination. The cycle threshold (Ct) values for the Allplex™ 2019-nCoV assay targets and internal control at dilutions used in the determination of the analytical sensitivity of the rapid sample preparation and inactivation (RSP) method relative to nucleic acid purification. (XLSX)

Author Contributions

Conceptualization: Gert Marais, Michelle Naidoo, Heidi Smuts, Diana Hardie.

Data curation: Gert Marais.

Formal analysis: Gert Marais, Nei-yuan Hsiao.

Investigation: Gert Marais, Michelle Naidoo, Ziyaad Valley-Omar, Diana Hardie.

Methodology: Gert Marais, Michelle Naidoo, Nei-yuan Hsiao, Ziyaad Valley-Omar, Heidi Smuts, Diana Hardie.

Project administration: Gert Marais.

Software: Gert Marais.

Supervision: Diana Hardie.

Validation: Gert Marais, Nei-yuan Hsiao.

Visualization: Gert Marais.

Writing – original draft: Gert Marais.

Writing – review & editing: Michelle Naidoo, Nei-yuan Hsiao, Ziyaad Valley-Omar, Heidi Smuts, Diana Hardie.

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Appendix E

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Style and Format

File format Manuscript files can be in the following formats: DOC, DOCX, or RTF. Microsoft Word documents should not be locked or protected.

LaTeX manuscripts must be submitted as PDFs. [Read the LaTeX guidelines.](#)

Length Manuscripts can be any length. There are no restrictions on word count, number of figures, or amount of supporting information.

We encourage you to present and discuss your findings concisely.

Font Use a standard font size and any standard font, except for the font named "Symbol". To add symbols to the manuscript, use the Insert → Symbol function in your word processor or paste in the appropriate Unicode character.

Headings Limit manuscript sections and sub-sections to 3 heading levels. Make sure heading levels are clearly indicated in the manuscript text.

Layout and spacing Manuscript text should be double-spaced.

Do not format text in multiple columns.

Page and line numbers Include page numbers and line numbers in the manuscript file. Use continuous line numbers (do not restart the numbering on each page).

Footnotes Footnotes are not permitted. If your manuscript contains footnotes, move the information into the main text or the reference list, depending on the content.

Language Manuscripts must be submitted in English.

You may submit translations of the manuscript or abstract as supporting information. [Read the supporting information guidelines.](#)

Abbreviations Define abbreviations upon first appearance in the text.

Do not use non-standard abbreviations unless they appear at least three times in the text.

Keep abbreviations to a minimum.

Reference style PLOS uses "Vancouver" style, as outlined in the [ICMJE sample references](#).

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Equations We recommend using MathType for display and inline equations, as it will provide the most reliable outcome. If this is not possible, Equation Editor or Microsoft's Insert→Equation function is acceptable.

Avoid using MathType, Equation Editor, or the Insert→Equation function to insert single variables (e.g., “ $a^2 + b^2 = c^2$ ”), Greek or other symbols (e.g., β , Δ , or ' [prime]), or mathematical operators (e.g., \times , \geq , or \pm) in running text. Wherever possible, insert single symbols as normal text with the correct Unicode (hex) values.

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Nomenclature Use correct and established nomenclature wherever possible.

Units of measurement Use SI units. If you do not use these exclusively, provide the SI value in parentheses after each value. [Read more about SI units.](#)

Drugs Provide the Recommended International Non-Proprietary Name (rINN).

Species names Write in italics (e.g., *Homo sapiens*). Write out in full the genus and species, both in the title of the manuscript and at the first mention of an organism in a paper. After first mention, the first letter of the genus name followed by the full species name may be used (e.g., *H. sapiens*).

Genes, mutations, genotypes, and alleles Write in italics. Use the recommended name by consulting the appropriate genetic nomenclature database (e.g., [HGNC](#) for human genes; we strongly recommend using [this tool](#) to check against previously approved names). It is sometimes advisable to indicate the synonyms for the gene the first time it appears in the text. Gene prefixes such as those used for oncogenes or cellular localization should be shown in roman typeface (e.g., v-fes, c-MYC).

Allergens The systematic allergen nomenclature of the World Health Organization/International Union of Immunological Societies (WHO/IUIS) Allergen Nomenclature Sub-committee should be used for manuscripts that include the description or use of allergenic proteins. For manuscripts describing new allergens, the systematic name of the allergen should be approved by the WHO/IUIS Allergen Nomenclature Sub-Committee prior to manuscript publication. Examples of the systematic allergen nomenclature can be found at the [WHO/IUIS Allergen Nomenclature site](#).

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Prior to submission, authors who believe their manuscripts would benefit from professional editing are encouraged to use language-editing and copyediting services. Obtaining this service is the responsibility of the author, and should be done before initial submission. These services can be found on the web using search terms like “scientific editing service” or “manuscript editing service.”

Submissions are not copyedited before publication.

Submissions that do not meet the [PLOS ONE publication criterion for language standards](#) may be rejected.

Manuscript Organization

Manuscripts should be organized as follows. Instructions for each element appear below the list.

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The following elements are required, in order:

- Title page: List title, authors, and affiliations as first page of the manuscript
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- Introduction

Middle section

The following elements can be renamed as needed and presented in any order:

- › Materials and Methods
- › Results
- › Discussion
- › Conclusions (optional)

Ending section

The following elements are required, in order:

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Include a full title and a short title for the manuscript.

Title	Length	Guidelines	Examples
Full title	250 characters	Specific, descriptive, concise, and comprehensible to readers outside the field	Impact of cigarette smoke exposure on innate immunity: A <i>Caenorhabditis elegans</i> model Solar drinking water disinfection (SODIS) to reduce childhood diarrhoea in rural Bolivia: A cluster-randomized, controlled trial
Short title	100 characters	State the topic of the study	Cigarette smoke exposure and innate immunity SODIS and childhood diarrhoea

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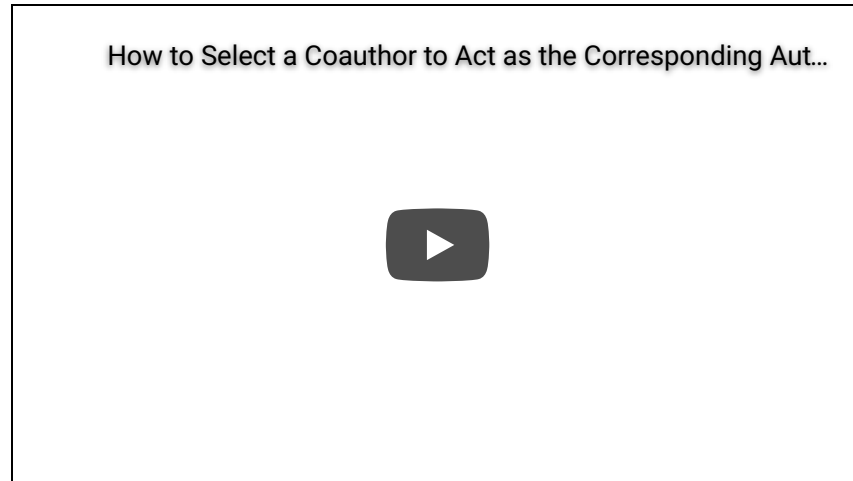
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Source	Format
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Books	Bates B. <i>Bargaining for life: A social history of tuberculosis</i> . 1st ed. Philadelphia: University of Pennsylvania Press; 1992.
Book chapters	Hansen B. New York City epidemics and history for the public. In: Harden VA, Risse GB, editors. <i>AIDS and the historian</i> . Bethesda: National Institutes of Health; 1991. pp. 21-28.
Deposited articles (preprints, e-prints, or arXiv)	<p>Krick T, Shub DA, Verstraete N, Ferreiro DU, Alonso LG, Shub M, et al. Amino acid metabolism conflicts with protein diversity. <i>arXiv:1403.3301v1 [Preprint]</i>. 2014 [cited 2014 March 17]. Available from: https://128.84.21.199/abs/1403.3301v1</p> <p>Kording KP, Mensh B. Ten simple rules for structuring papers. <i>BioRxiv [Preprint]</i>. 2016 bioRxiv 088278 [posted 2016 Nov 28; revised 2016 Dec 14; revised 2016 Dec 15; cited 2017 Feb 9]: [12 p.]. Available from: https://www.biorxiv.org/content/10.1101/088278v5 doi: 10.1101/088278</p>
Published media (print or online newspapers and magazine articles)	Fountain H. For Already Vulnerable Penguins, Study Finds Climate Change Is Another Danger. <i>The New York Times</i> . 2014 Jan 29 [Cited 2014 March 17]. Available from: http://www.nytimes.com/2014/01/30/science/earth/climate-change-taking-toll-on-penguins-study-finds.html
New media (blogs, web sites, or other written works)	Allen L. Announcing PLOS Blogs. 2010 Sep 1 [cited 17 March 2014]. In: <i>PLOS Blogs [Internet]</i> . San Francisco: PLOS 2006 - . [about 2 screens]. Available from: http://blogs.plos.org/plos/2010/09/announcing-plos-blogs/ .

Masters' theses or doctoral dissertations	Wells A. Exploring the development of the independent, electronic, scholarly journal. M.Sc. Thesis, The University of Sheffield. 1999. Available from: http://cumincad.scix.net/cgi-bin/works/Show?2e09
Databases and repositories (Figshare, arXiv)	Roberts SB. QPX Genome Browser Feature Tracks; 2013 [cited 2013 Oct 5]. Database: figshare [Internet]. Available from: http://figshare.com/articles/QPX_Genome_Browser_Feature_Tracks/701214
Multimedia (videos, movies, or TV shows)	Hitchcock A, producer and director. Rear Window [Film]; 1954. Los Angeles: MGM.

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- Include ethical approval or waivers, if applicable
- Preliminary or pilot data may be included, but only if necessary to support the feasibility of the study or as a proof of principle
- For meta-analyses or Clinical Trials, use the protocol-specific reporting guidelines [PRISMA-P](#) or [SPIRIT](#) respectively

For more guidance on format and presentation of a protocol, consult the [sample template hosted by the Open Science Framework](#). [Discipline-specific and study-specific templates](#) are also available.

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All efforts should be made to protect patient privacy and anonymity. Identifying information, including photos, should not be included in the manuscript unless the information is crucial and the individual has provided written consent by completing the [Consent Form for Publication in a PLOS Journal \(PDF\)](#). Download additional translations of the form [here](#). More information about patient privacy, anonymity, and informed consent can be found in the [International Committee of Medical Journal Editors \(ICMJE\) Privacy and Confidentiality guidelines](#).

Manuscripts should conform to the following reporting guidelines:

- › Studies of diagnostic accuracy: [STARD](#)
- › Observational studies: [STROBE](#)
- › Microarray experiments: [MIAME](#)
- › Other types of health-related research: Consult the [EQUATOR](#) web site for appropriate reporting guidelines

Methods sections of papers on research using human subjects or samples must include ethics statements that specify:

- › **The name of the approving institutional review board or equivalent committee(s).** If approval was not obtained, the authors must provide a detailed statement explaining why it was not needed
- › **Whether informed consent was written or oral.** If informed consent was oral, it must be stated in the manuscript:
 - › Why written consent could not be obtained
 - › That the Institutional Review Board (IRB) approved use of oral consent
 - › How oral consent was documented

For studies involving humans categorized by race/ethnicity, age, disease/disabilities, religion, sex/gender, sexual orientation, or other socially constructed groupings, authors should:

- › Explicitly describe their methods of categorizing human populations
- › Define categories in as much detail as the study protocol allows
- › Justify their choices of definitions and categories, including for example whether any rules of human categorization were required by their funding agency
- › Explain whether (and if so, how) they controlled for confounding variables such as socioeconomic status, nutrition, environmental exposures, or similar factors in their analysis

In addition, outmoded terms and potentially stigmatizing labels should be changed to more current, acceptable terminology. Examples: “Caucasian” should be changed to “white” or “of [Western] European descent” (as appropriate); “cancer victims” should be changed to “patients with cancer.”

For papers that include identifying, or potentially identifying, information, authors must [download the Consent Form for Publication in a PLOS Journal](#), which the individual, parent, or guardian must sign once they have read the paper and been informed about the terms of PLOS open-access license. The signed consent form should not be submitted with the manuscript, but authors should securely file it in the individual's case notes and the methods section of the manuscript should explicitly state that consent authorization for publication is on file, using wording like:

The individual in this manuscript has given written informed consent (as outlined in PLOS consent form) to publish these case details.

For more information about *PLOS ONE* policies regarding human subjects research, see the [Publication Criteria](#) and [Editorial Policies](#).

Clinical trials

Clinical trials are subject to all [policies regarding human research](#). *PLOS ONE* follows the [World Health Organization's \(WHO\) definition of a clinical trial](#):

A clinical trial is any research study that prospectively assigns human participants or groups of humans to one or more health-related interventions to evaluate the effects on health outcomes [...] Interventions include but are not restricted to drugs, cells and other biological products, surgical procedures, radiologic procedures, devices, behavioural treatments, process-of-care changes, preventive care, etc.

All clinical trials must be registered in one of the publicly-accessible registries approved by the [WHO](#) or [ICMJE](#) (International Committee of Medical Journal Editors). Authors must provide the trial registration number. Prior disclosure of results on a clinical trial registry site will not affect consideration for publication. We reserve the right to inform authors' institutions or ethics committees, and to reject the manuscript, if we become aware of unregistered trials.

PLOS ONE supports prospective trial registration (i.e. before participant recruitment has begun) as recommended by the ICMJE's [clinical trial registration policy](#). **Where trials were not publicly registered before participant recruitment began**, authors must:

- › Register all related clinical trials and confirm they have done so in the Methods section
- › Explain in the Methods the reason for failing to register before participant recruitment

Clinical trials must be reported according to the relevant reporting guidelines, i.e. [CONSORT](#) for randomized controlled trials, [TREND](#) for non-randomized trials, and [other specialized guidelines](#) as appropriate. The intervention should be described according to the requirements of the [TIDieR checklist and guide](#). Submissions must also include the study protocol as supporting information, which will be published with the manuscript if accepted.

Authors of manuscripts describing the results of clinical trials must adhere to the [CONSORT](#) reporting guidelines appropriate to their trial design, available on the [CONSORT Statement web site](#). Before the paper can enter peer review, authors must:

- The name of the registry and the registration number must be included in the Abstract.
- Provide a copy of the trial protocol as approved by the ethics committee and a completed [CONSORT checklist](#) as supporting information (which will be published alongside the paper, if accepted). This should be named S1 CONSORT Checklist.
- Include the [CONSORT flow diagram](#) as the manuscript's "Fig 1"

Any deviation from the trial protocol must be explained in the paper. Authors must explicitly discuss informed consent in their paper, and we reserve the right to ask for a copy of the patient consent form.

The name of the registry and the registry number must be provided in the Abstract. If the trial is registered in more than one location, please provide all relevant registry names and numbers.

Lab Protocols

[Lab Protocols](#) consist of two interlinked components: a protocol hosted on the [protocols.io](#) platform and a peer-reviewed article on *PLOS ONE* that contextualises the protocol.

i [protocols.io](#) is a secure open access platform that specializes in laboratory protocols. It allows scientists to share, discover and reuse up-to-date protocol knowledge. The platform provides specialist tools and guidance on how to add each element of the protocol, including the title, abstract, steps, files, links, reagents, measurements, formulae, videos, charts and more.

The *PLOS ONE* article component must comply with the general submission guidelines (detailed above in this article).

The *PLOS ONE* article component must also comply with the general [PLOS ONE criteria for publication](#) and in addition it should:

- Present a step-by-step protocol that adds value to the published literature.
- Provide evidence that the protocol works, by:
 - Linking, in the Introduction section, to at least one supporting peer-reviewed publication in which the protocol was applied to generate data.
 - or
 - Providing supporting validation or benchmarking data which demonstrates that the underlying method achieves its intended purpose.
- Link, in the Materials and Methods section, to the [protocol.io](#) component, using the digital object identifier (DOI) and format provided by protocols.io, for example [https://dx.doi.org/10.17504/protocols.io/...](https://dx.doi.org/10.17504/protocols.io/).
- Describe the appropriate controls, sample sizes and replication needed to ensure that the data are robust and reproducible.
- Provide the protocol as a [supporting information](#) (S1) file for printing purposes. You can download a PDF from [protocols.io](#) for this purpose.

i [Download a sample Lab Protocol template](#)

! Lab Protocols describing routine methods, or extensions or modifications of routine methods, add little or no value to the published literature and will not be considered for publication.

Lab Protocols are subject to the same [editorial and peer review process](#) as all other articles, except that the peer review process may be expedited and carried out by one internal Academic Editor and one external reviewer.

i Lab Protocols are eligible for both [signed and published peer review](#).

We encourage you to post your protocol to the protocols.io platform before submitting your manuscript to *PLOS ONE*, or at the latest, before the editorial and peer review process. This approach is optional, but beneficial, because:

- Your DOI is assigned on the protocols.io platform. You need this identifier to link out from the Material and Methods section of your manuscript.
- You can [keep your protocol private](#) on the protocols.io platform (until you are satisfied that it is ready for publication), but still assign a DOI.
- The protocol will be accessible to editors and reviewers during the editorial and peer review process.

If you prefer to submit your manuscript to *PLOS ONE* before uploading your protocol to protocols.io, please provide your protocol as a [supporting information](#) (S1) file. You can use protocols.io's [editorial service](#) at no cost: they will check and publish your protocol for you. As part of *PLOS ONE*'s partnership with protocols.io, your waiver code for this purpose will be provided in the first decision letter.

i Preprint posting is not available for Lab Protocols and [bioRxiv](#) does not accept them.

Study Protocols

[Study Protocols](#) describe plans for conducting research projects and consist of a single article on *PLOS ONE*.

Study Protocols must comply with the *PLOS ONE* general submission guidelines (detailed above in this article) and any guidelines specific to the related research study type. In addition, the protocol must:

- Relate to a research study that has not yet generated results.
- Be submitted before recruitment of participants or collection of data for the study is complete.
- Meet the same standards for [ethics of experimentation and research integrity](#) as the research study. If it involves [human](#) or [animal](#) subjects, [cell lines](#) or [field sampling](#), or has [potential biosafety implications](#), prior approval from the relevant ethics body must be obtained prior to submission. Please contact us if you have a valid reason for not obtaining approval.

Additional prerequisites apply for these study types:

- [Clinical trials](#):
 - The trial must be registered prior to submission of your protocol in one of the publicly accessible registries approved by the WHO or ICMJE (International Committee of Medical Journal Editors).
 - The name of the registry and the trial or study registration number must be included in the Abstract.
 - A copy of the protocol that was approved by the ethics committee must be submitted as a supplementary information file. Please provide an additional English translation if the original document is not in English.
 - A SPIRIT [schedule of enrollment, interventions, and assessments](#) must be included as the manuscript's Figure 1, and a completed [SPIRIT checklist](#) must be uploaded as Supporting Information file S1.
- [Systematic reviews and meta-analyses](#):
 - A completed PRISMA-P [checklist](#) must be provided as a supporting information (SI) file. See [PRISMA-P Explanation and Elaboration](#) for more information on completing your checklist.

Study Protocols must also comply with general *PLOS ONE* [criteria for publication](#) and in addition you should:

- include the word "Protocol" in your Title.
- include a detailed description of the planned study in the Materials and Methods section. This should provide sufficient methodological detail for the protocol to be reproducible and replicable. Your description should cover all relevant and applicable facts and hypothesis, including:
 - the aim, design, and settling
 - the sample size calculation
 - how data saturation will be determined (for qualitative studies)

- the characteristics of participants e.g., inclusion and exclusion criteria, sample selection criteria, variables to be measured, randomization and blinding criteria (where applicable), and how informed consent will be obtained
- how materials will be selected and used e.g., where and how they will be sourced, the processes, interventions, or comparisons to be used, the outcomes to be measured, and when and how they will be measured
- the data management plan
- safety considerations
- the type of data and statistical analyses to be used
- the status and timeline of the study, including whether participant recruitment or data collection has begun
- where and when the data will be made available. See our [Data Availability policy](#) for more.
- include an analysis of preliminary or pilot data, only if it is necessary to support the feasibility of the study or as a proof of principle. This is optional.
- we encourage authors you to register with [OSF](#) and provide the your registration number in the Materials and Methods section. This is optional.
- optionally add any other SI files, figures or tables that elaborate or authenticate the protocol: e.g., any reporting checklists applicable to your study type.


Read the [supporting information guidelines](#) for more details about adding SI files.


 Download [our sample Study Protocol template](#) or an OSF [discipline or study-specific template](#).

Study Protocols are subject to the same [editorial](#) and [peer review](#) process as all other articles, and are eligible for both [signed and published peer review](#).

You can expedite the review process by providing:

- proof of external funding. This is typically your funding approval letter and a list of the names and credentials of the funders who conducted the external peer review of the protocol. Include an English translation if needed.
- proof of ethics approval (if required). This is typically the approval or waiver letter from the relevant ethics body and a copy of the protocol approved by this body.

 These documents are used for internal purposes and do not form part of the published Study Protocol. Expedited review is conducted by an internal Staff Editor only and bypasses the external review process.

 If the Study Protocol describes a replication study or involves re-analysis of published work, we will invite the author of the initial or replicated study to provide a signed review.

We encourage you to share your Study Protocol with other researchers, either before or after submission. You can publish it on your website or [protocols.io](#), or submit it for posting on [medRxiv](#) or another preprint server.

Animal research

All research involving vertebrates or cephalopods must have approval from the authors' Institutional Animal Care and Use Committee (IACUC) or equivalent ethics committee(s), and must have been conducted according to applicable national and international guidelines. Approval must be received prior to beginning research.

Manuscripts reporting animal research must state in the **Methods** section:

- The full name of the relevant ethics committee that approved the work, and the associated permit number(s).
- Where ethical approval is not required, the manuscript should include a clear statement of this and the reason why. Provide any relevant regulations under which the study is exempt from the requirement for approval.
- Relevant details of steps taken to ameliorate animal suffering.

Example ethics statement

This study was carried out in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. The protocol was approved by the Committee on the Ethics of Animal Experiments of the University of Minnesota (Protocol Number: 27-2956). All surgery was performed under sodium pentobarbital anesthesia, and all efforts were made to minimize suffering.

Authors should always state the organism(s) studied in the Abstract. Where the study may be confused as pertaining to clinical research, authors should also state the animal model in the title.

To maximize reproducibility and potential for re-use of data, we encourage authors to follow the Animal Research: Reporting of In Vivo Experiments (ARRIVE) guidelines for all submissions describing laboratory-based animal research and to upload a completed [ARRIVE Guidelines Checklist](#) to be published as supporting information.

Non-human primates

Manuscripts describing research involving non-human primates must report details of husbandry and animal welfare in accordance with the recommendations of the Weatherall report, *The use of non-human primates in research*, including:

- › Information about housing, feeding, and environmental enrichment.
- › Steps taken to minimize suffering, including use of anesthesia and method of sacrifice, if appropriate.

Random source animals

Manuscripts describing studies that use random source (e.g. Class B dealer-sourced in the USA), shelter, or stray animals will be subject to additional scrutiny and may be rejected if sufficient ethical and scientific justification for the study design is lacking.

Unacceptable euthanasia methods and anesthetic agents

Manuscripts reporting use of a euthanasia method(s) classified as unacceptable by the [American Veterinary Medical Association](#) or use of an anesthesia method(s) that is widely prohibited (e.g., chloral hydrate, ether, chloroform) must include at the time of initial submission, scientific justification for use in the specific study design, as well as confirmation of approval for specific use from their animal research ethics committee. These manuscripts may be subject to additional ethics considerations prior to publication.

Humane endpoints

Manuscripts reporting studies in which death of a regulated animal (vertebrate, cephalopod) is a likely outcome or a planned experimental endpoint, must comprehensively report details of study design, rationale for the approach, and methodology, including consideration of humane endpoints. This applies to research that involves, for instance, assessment of survival, toxicity, longevity, terminal disease, or high rates of incidental mortality.

Definition of a humane endpoint

A humane endpoint is a predefined experimental endpoint at which animals are euthanized when they display early markers associated with death or poor prognosis of quality of life, or specific signs of severe suffering or distress. Humane endpoints are used as an alternative to allowing such conditions to continue or progress to death following the experimental intervention ("death as an endpoint"), or only euthanizing animals at the end of an experiment. Before a study begins, researchers define the practical observations or measurements that will be used during the study to recognize a humane endpoint, based on anticipated clinical, physiological, and behavioral signs. [Please see the NC3Rs guidelines for more information](#). Additional discussion of humane endpoints can be found in this article: Nuno H. Franco, Margarida Correia-Neves, I. Anna S. Olsson (2012) How "Humane" Is Your Endpoint? — Refining the Science-Driven Approach for Termination of Animal Studies of Chronic Infection. *PLoS Pathog* 8(1): e1002399 doi.org/10.1371/journal.ppat.1002399.

Full details of humane endpoints use must be reported for a study to be reproducible and for the results to be accurately interpreted.

For studies in which death of an animal is an outcome or a planned experimental endpoint, authors should include the following information in the Methods section of the manuscript:

- › The specific criteria (i.e. humane endpoints) used to determine when animals should be euthanized.

- The duration of the experiment.
- The numbers of animals used, euthanized, and found dead (if any); the cause of death for all animals.
- How frequently animal health and behavior were monitored.
- All animal welfare considerations taken, including efforts to minimize suffering and distress, use of analgesics or anaesthetics, or special housing conditions.

If humane endpoints were not used, the manuscript should report:

- A scientific justification for the study design, including the reasons why humane endpoints could not be used, and discussion of alternatives that were considered.
- Whether the institutional animal ethics committee specifically reviewed and approved the anticipated mortality in the study design.

Observational and field studies

Methods sections for submissions reporting on any type of field study must include ethics statements that specify:

- Permits and approvals obtained for the work, including the full name of the authority that approved the study; if none were required, authors should explain why
- Whether the land accessed is privately owned or protected
- Whether any protected species were sampled
- Full details of animal husbandry, experimentation, and care/welfare, where relevant

Paleontology and archaeology research

Manuscripts reporting paleontology and archaeology research must include descriptions of methods and specimens in sufficient detail to allow the work to be reproduced. Data sets supporting statistical and phylogenetic analyses should be provided, preferably in a format that allows easy re-use. [Read the policy.](#)

Specimen numbers and complete repository information, including museum name and geographic location, are required for publication. Locality information should be provided in the manuscript as legally allowable, or a statement should be included giving details of the availability of such information to qualified researchers.

If permits were required for any aspect of the work, details should be given of all permits that were obtained, including the full name of the issuing authority. This should be accompanied by the following statement:

All necessary permits were obtained for the described study, which complied with all relevant regulations.

If no permits were required, please include the following statement:

No permits were required for the described study, which complied with all relevant regulations.

Manuscripts describing paleontology and archaeology research are subject to the following policies:

- **Sharing of data and materials.** Any specimen that is erected as a new species, described, or figured must be deposited in an accessible, permanent repository (i.e., public museum or similar institution). If study conclusions depend on specimens that do not fit these criteria, the article will be rejected under *PLOS ONE*'s [data availability criterion](#).
- **Ethics.** *PLOS ONE* will not publish research on specimens that were obtained without necessary permission or were illegally exported.

Systematic reviews and meta-analyses

A systematic review paper, as defined by [The Cochrane Collaboration](#), is a review of a clearly formulated question that uses explicit, systematic methods to identify, select, and critically appraise relevant research, and to collect and analyze data from the studies that are included in the review. These reviews differ substantially from narrative-based reviews or synthesis articles. Statistical methods (meta-analysis) may or may not be used to analyze and summarize the results of the included studies.

Reports of systematic reviews and meta-analyses should include a completed [PRISMA \(Preferred Reporting Items for Systematic Reviews and Meta-Analyses\)](#) checklist and flow diagram to accompany the main text. Blank templates are available here:

- › Checklist: [PDF](#) or [Word document](#)
- › Flow diagram: [PDF](#) or [Word document](#)

Authors must also state in their “Methods” section whether a protocol exists for their systematic review, and if so, provide a copy of the protocol as supporting information and provide the registry number in the abstract.

If your article is a systematic review or a meta-analysis you should:

- › State this in your cover letter
- › Select “Research Article” as your article type when submitting
- › Include the PRISMA flow diagram as Fig 1 (required where applicable)
- › Include the PRISMA checklist as supporting information

Meta-analysis of genetic association studies

Manuscripts reporting a meta-analysis of genetic association studies must report results of value to the field and should be reported according to the guidelines presented in *Systematic Reviews of Genetic Association Studies* by Sagoo *et al.*

On submission, authors will be asked to justify the rationale for the meta-analysis and how it contributes to the base of scientific knowledge in the light of previously published results. Authors will also be asked to complete a [checklist \(DOCX\)](#) outlining information about the justification for the study and the methodology employed. Meta-analyses that replicate published studies will be rejected if the authors do not provide adequate justification.

Personal data from third-party sources

For all studies using personal data from internet-based and other third-party sources (e.g., social media, blogs, other internet sources, mobile phone companies), data must be collected and used according to company/website Terms and Conditions, with appropriate permissions. All data sources must be acknowledged clearly in the [Materials and Methods section](#).

 [Read our policy on data availability.](#)


In the Ethics Statement, authors should declare any potential risks to individuals or individual privacy, or affirm that in their assessment, the study posed no such risks. In addition, the following Ethics and Data Protection requirements must be met.

For interventional studies, which impact participants’ experiences or data, the study design must have been prospectively approved by an Ethics Committee, and informed consent is required. The Ethics Committee may waive the requirement for approval and/or consent.

For observational studies in which personal experiences and accounts are not manipulated, consultation with an Ethics or Data Protection Committee is recommended. Additional requirements apply in the following circumstances:

- › If information used could threaten personal privacy or damage the reputation of individuals whose data are used, an Ethics Committee should be consulted and informed consent obtained or specifically addressed.
- › If authors accessed any personal identifying information, an Ethics or Data Protection Committee should oversee data anonymization. If data were anonymized and/or aggregated before access and analysis, informed consent is generally not required.

Note that Terms of Use contracts do not qualify as informed consent, even if they address the use of personal data for research.

 [See our reporting guidelines for human subjects research.](#)

Cell lines

Authors reporting research using cell lines should state when and where they obtained the cells, giving the date and the name of the researcher, cell line repository, or commercial source (company) who provided the cells, as appropriate.

Authors must also include the following information for each cell line:

For *de novo* (new) cell lines, including those given to the researchers as a gift, authors must follow our policies for [human subjects research](#) or [animal research](#), as appropriate. The ethics statement must include:

- › Details of institutional review board or ethics committee approval; AND
- › For human cells, confirmation of written informed consent from the donor, guardian, or next of kin

For established cell lines, the Methods section should include:

- › A reference to the published article that first described the cell line; AND/OR
- › The cell line repository or company the cell line was obtained from, the catalogue number, and whether the cell line was obtained directly from the repository/company or from another laboratory

Authors should check established cell lines using the [ICLAC Database of Cross-contaminated or Misidentified Cell Lines](#) to confirm they are not misidentified or contaminated. Cell line authentication is recommended – e.g., by karyotyping, isozyme analysis, or short tandem repeats (STR) analysis – and may be required during peer review or after publication.

Blots and gels

Please review *PLOS ONE*'s requirements for [reporting blot and gel results and providing the underlying raw images](#).

Antibodies

Manuscripts reporting experiments using antibodies should include the following information:

- › The name of each antibody, a description of whether it is monoclonal or polyclonal, and the host species.
- › The commercial supplier or source laboratory.
- › The catalogue or clone number and, if known, the batch number.
- › The antigen(s) used to raise the antibody.
- › For established antibodies, a stable public identifier from the [Antibody Registry](#).

The manuscript should also report the following experimental details:

- › The final antibody concentration or dilution.
- › A reference to the validation study if the antibody was previously validated. If not, provide details of how the authors validated the antibody for the applications and species used.

We encourage authors to consider adding information on new validations to a publicly available database such as [Antibodypedia](#) or [CiteAb](#).

Small and macromolecule crystal data

Manuscripts reporting new and unpublished three-dimensional structures must include sufficient supporting data and detailed descriptions of the methodologies used to allow the reproduction and validation of the structures. All novel structures must have been deposited in a community endorsed database prior to submission (please see our list of [recommended repositories](#)).

Small molecule single crystal data

Authors reporting X-Ray crystallographic structures of small organic, metal-organic, and inorganic molecules must deposit their data with the Cambridge Crystallographic Data Centre (CCDC), the Inorganic Crystal Structure Database (ICSD), or similar community databases providing a recognized validation functionality. Authors are also required to include the relevant structure reference numbers within the main text (e.g. the CCDC ID number), as well as the crystallographic information files (.cif format) as Supplementary Information, along with the checkCIF validation reports that can be obtained via the International Union of Crystallography (IUCr).

Macromolecular structures

Authors reporting novel macromolecular structures must have deposited their data prior to initial submission with the Worldwide Protein Data Bank (wwPDB), the Biological Magnetic Resonance Data Bank (BMRB), the Electron Microscopy Data Bank (EMDB), or other community databases providing a

recognized validation functionality. Authors must include the structure reference numbers within the main text and submit as Supplementary Information the official validation reports from these databases.

Methods, software, databases, and tools

PLOS ONE will consider submissions that present new methods, software, databases, or tools as the primary focus of the manuscript if they meet the following criteria:

Utility

The tool must be of use to the community and must present a proven advantage over existing alternatives, where applicable. Recapitulation of existing methods, software, or databases is not useful and will not be considered for publication. Combining data and/or functionalities from other sources may be acceptable, but simpler instances (i.e. presenting a subset of an already existing database) may not be considered. For software, databases, and online tools, the long-term utility should also be discussed, as relevant. This discussion may include maintenance, the potential for future growth, and the stability of the hosting, as applicable.

Validation

Submissions presenting methods, software, databases, or tools must demonstrate that the new tool achieves its intended purpose. If similar options already exist, the submitted manuscript must demonstrate that the new tool is an improvement over existing options in some way. This requirement may be met by including a proof-of-principle experiment or analysis; if this is not possible, a discussion of the possible applications and some preliminary analysis may be sufficient.

Availability

If the manuscript's primary purpose is the description of new software or a new software package, this software must be open source, deposited in an appropriate archive, and conform to the [Open Source Definition](#). If the manuscript mainly describes a database, this database must be open-access and hosted somewhere publicly accessible, and any software used to generate a database should also be open source. If relevant, databases should be open for appropriate deposition of additional data. Dependency on commercial software such as Mathematica and MATLAB does not preclude a paper from consideration, although complete open source solutions are preferred. In these cases, authors should provide a direct link to the deposited software or the database hosting site from within the paper. If the primary focus of a manuscript is the presentation of a new tool, such as a newly developed or modified questionnaire or scale, it should be openly available under a license no more restrictive than CC BY.

Software submissions

Manuscripts whose primary purpose is the description of new software must provide full details of the algorithms designed. Describe any dependencies on commercial products or operating system. Include details of the supplied test data and explain how to install and run the software. A brief description of enhancements made in the major releases of the software may also be given. Authors should provide a direct link to the deposited software from within the paper.

Database submissions

For descriptions of databases, provide details about how the data were curated, as well as plans for long-term database maintenance, growth, and stability. Authors should provide a direct link to the database hosting site from within the paper.

 [Read the PLOS policy on sharing materials, software and code.](#)

New taxon names

Zoological names

When publishing papers that describe a new zoological taxon name, PLOS aims to comply with the requirements of the [International Commission on Zoological Nomenclature \(ICZN\)](#). Effective 1 January 2012, the ICZN considers an online-only publication to be legitimate if it meets the criteria of archiving and is registered in ZooBank, the ICZN's official registry.

For proper registration of a new zoological taxon, we require two specific statements to be included in your manuscript.

In the **Results** section, the globally unique identifier (GUID), currently in the form of a Life Science Identifier (LSID), should be listed under the new species name, for example:

<i>Anochetus boltoni</i> Fisher sp. nov. urn:lsid:zoobank.org:act:B6C072CF-1CA6-40C7-8396-534E91EF7FBB

You will need to contact [Zoobank](#) to obtain a GUID (LSID). Please do this as early as possible to avoid delay of publication upon acceptance of your manuscript. It is your responsibility to provide us with this information so we can include it in the final published paper.

Please also insert the following text into the **Methods** section, in a sub-section to be called “Nomenclatural Acts”:

The electronic edition of this article conforms to the requirements of the amended International Code of Zoological Nomenclature, and hence the new names contained herein are available under that Code from the electronic edition of this article. This published work and the nomenclatural acts it contains have been registered in ZooBank, the online registration system for the ICZN. The ZooBank LSIDs (Life Science Identifiers) can be resolved and the associated information viewed through any standard web browser by appending the LSID to the prefix “<http://zoobank.org/>”. The LSID for this publication is: urn:lsid:zoobank.org:pub:XXXXXXX. The electronic edition of this work was published in a journal with an ISSN, and has been archived and is available from the following digital repositories: LOCKSS [author to insert any additional repositories].

All PLOS articles are deposited in [LOCKSS](#). If your institute, or those of your co-authors, has its own repository, we recommend that you also deposit the published online article there and include the name in your article.

Botanical names

When publishing papers that describe a new botanical taxon, PLOS aims to comply with the requirements of the International Code of Nomenclature for algae, fungi, and plants (ICN). The following guidelines for publication in an online-only journal have been agreed such that any scientific botanical name published by us is considered effectively published under the rules of the Code. Please note that these guidelines differ from those for zoological nomenclature, and apply only to seed plants, ferns, and lycophytes.

Effective January 2012, the description or diagnosis of a new taxon can be in either Latin or English. This does not affect the requirements for scientific names, which are still to be Latin.

Also effective January 2012, the electronic PDF represents a published work according to the ICN for algae, fungi, and plants. Therefore the new names contained in the electronic publication of PLOS article are effectively published under that Code from the electronic edition alone, so there is no longer any need to provide printed copies.

Additional information describing recent changes to the Code can be found [here](#).

For proper registration of the new taxon, we require two specific statements to be included in your manuscript.

In the **Results** section, the globally unique identifier (GUID), currently in the form of a Life Science Identifier (LSID), should be listed under the new species name, for example:

<p><i>Solanum aspersum</i> S.Knapp, sp. nov. [urn:lsid:ipni.org:names:77103633-1] Type: Colombia. Putumayo: vertiente oriental de la Cordillera, entre Sachamates y San Francisco de Sibundoy, 1600-1750 m, 30 Dec 1940, J. Cuatrecasas 11471 (holotype, COL; isotypes, F [F-1335119], US [US-1799731]).</p>

Journal staff will contact IPNI to obtain the GUID (LSID) after your manuscript is accepted for publication, and this information will then be added to the manuscript during the production phase

In the **Methods** section, include a sub-section called “Nomenclature” using the following wording:

The electronic version of this article in Portable Document Format (PDF) in a work with an ISSN or ISBN will represent a published work according to the International Code of Nomenclature for algae, fungi, and plants, and hence the new names contained in the electronic publication of a PLOS article are effectively published under that Code from the electronic edition alone, so there is no longer any need to provide printed copies.

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<i>Hymenogaster huthii</i> . Stielow et al. 2010, sp. nov. [urn:lsid:indexfungorum.org:names:518624]
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You will need to contact either [Mycobank](#) or [Index Fungorum](#) to obtain the GUID (LSID). Please do this as early as possible to avoid delay of publication upon acceptance of your manuscript. It is your responsibility to provide us with this information so we can include it in the final published paper. Effective January 2013, all papers describing new fungal species must reference the identifier issued by a recognized repository in the protologue in order to be considered effectively published.

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