1	Loading of cinnamon essential oil into electrospun
2	octenylsuccinylated starch-pullulan nanofiber mats:
3	Electrospinnability evaluation, structural characterization, and
4	antibacterial potential
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## 18 Abstract

19 The application of cinnamaldehyde essential oil (CEO), which is naturally 20 antibacterial, has been limited due to its high volatility and insolubility in water. This 21 issue may be addressed by nanoencapsulation through electrospinning. In this study, 22 octenylsuccinylated starch (OSS) with different molecular structures blended with 23 pullulan was developed to load CEO for enhanced antibacterial potential. OSS with a 24 smaller molecular size and a higher degree of substitution (DS) is beneficial for the 25 electrospinning of OSS/PUL into high-quality nanofiber mats. The electrospinning 26 process of OSS/PUL aqueous dopes is mainly influenced by moderate apparent 27 viscosity, increased conductivity, and reduced surface tension. CEO loading through 28 physical adsorption significantly changed the fiber microstructure and displayed 29 antibacterial effects against Staphylococcus aureus (S. aureus), Escherichia coli (E. 30 coli), and Aspergillus flavus (A. flavus) for electrospun OSS/PUL nanofiber mats. This 31 study demonstrates that electrospinning starch-based nanofiber mats with loading of 32 essential oils could hold a potential application in active food packaging and wound 33 dressing.

# 34 Keywords: Octenylsuccinylated starch; Electrospinning; Cinnamon essential oil; 35 Nanofiber mat; Electrospinnability; Antimicrobial.

#### 36 1. Introduction

37 Many pathogenic microorganisms have developed antibiotic resistance, mainly due to the overuse of antibiotics. Therefore, it is very important to find antibacterial 38 39 active substances that can replace those antibiotics (Maliszewska & Czapka, 2022). 40 Given the concerns over food safety and environmental impact, natural, highly effective 41 and non-toxic antibacterial substances are preferred to use (Sung et al., 2013). Essential 42 oils from plants are a type of natural active substance possessing non-toxic, 43 biocompatible, antioxidant and antibacterial properties (Dai et al., 2023). These oils are 44 generally recognized as Generally Recognized as Safe (GRAS) by the U.S. Food and 45 Drug Administration (FDA), indicating that they can be directly used in the food industry (Wen et al., 2016). 46

47 Cinnamon essential oil (CEO) is a frequently used natural essential oil that is 48 obtained by distilling cinnamon leaves to produce a clear oil, and its main ingredient is 49 cinnamon aldehyde (Barbosa, Yudice, Mitra, & Rosa, 2021; D'agostino, Tesse, Frippiat, Machouart, & Debourgogne, 2019). The bacteriostatic mechanisms of 50 51 cinnamon essential oil has been extensively studied (Vasconcelos, Croda, & 52 Simionatto, 2018), including perturbing the cell membrane and altering the lipid profile 53 of the cell membrane (Burt & Reinders, 2003; Wendakoon & Sakaguchi, 1995), the 54 inhibition of motility and biofilm formation (Venkitanarayanan, 2011), the inhibition of 55 quorum sensing (a bacteria intercellular communication system) (Brackman et al., 56 2011), and others. However, due to its high volatility, insolubility in water, and peculiar 57 odor, it is often necessary to encapsulate CEO using nanotechnology to improve its 58 water-dispersibility and storage stability, mask the peculiar odor, and achieved 59 controlled release (Lin, Dai, & Cui, 2017). Compared with poly (butylene adipate-co-60 terephthalate) capsules (da Silva Barbosa et al., 2021) and cellulose 61 nanocrystals/chitosan composite films (Liu et al., 2022), electrospinning represents one 62 of the most promising encapsulation methods due to its convenience and 63 inexpensiveness.

Electrospinning is an emerging nanotechnology that utilize electrostatic force to
 stretch polymer fluid, thereby reducing the diameter of polymer fibers (Reneker &

66 Chun, 1996). Compared with other nanotechnologies, electrospinning allows for easy 67 control in the preparation of continuous and uniform nanofibers, and the resulting nanofibers are characterized by the large specific surface area and extreme porosity, 68 69 making them particularly suitable for the encapsulation and controlled release of 70 bioactive substances (Lim, Mendes, & Chronakis, 2019). Numerous studies have 71 shown that electrospinning is an effective platform for improving the storage stability 72 and biological activity of essential oils (Ansarifar & Moradinezhad, 2022; Cui, Yuan, 73 Li, & Lin, 2017; Ghasemi, Miri, Najafi, Tavakoli, & Hadadi, 2022; Ribeiro-Santos et 74 al., 2017; Tampau, González-Martínez, & Chiralt, 2018). Therefore, the use of 75 electrospinning for preparing nanofibers shows great potential for applications in food 76 antimicrobial or active packaging (Wang et al., 2023).

77 Biopolymers such as alginate, cellulose, chitosan and starch can serve as raw 78 materials for electrospinning (Angel, Li, Yan, & Kong, 2022). Among them, starch can 79 act as a wall material to encapsulate bioactive molecules with high loading capacity and 80 efficiency using electrospinning, producing nanocapsules or nanofibers with controlled 81 diameters and lengths (Dierings de Souza, Kringel, Guerra Dias, & da Rosa Zavareze, 82 2021). Starch, as one of the most abundant, renewable polysaccharide resources on 83 Earth, is composed of  $\alpha$ -1,4-linked and  $\alpha$ -1,6-linked glucose units and its 84 macromolecules can be divided into amylose and amylopectin, wherein amylose or 85 amylopectin content in starch plays a vital role in determining its distinct physical and 86 chemical properties (Wei et al., 2022; Zhong et al., 2020; Zhong, Qu, et al., 2022). 87 Previous research showed that a high amylose content promotes the formation of 88 nanofibers through electrospinning, since amylose is more likely to form molecular 89 entanglement required by fibers (Cao et al., 2022; Fonseca et al., 2019; Zhong, Tai, et 90 al., 2022). In contrast, amylopectin, due to its branches and bulkiness, is 91 counterproductive to electrospinning (Kong & Ziegler, 2012). Therefore, normal native 92 starch, which contains 70%-80% amylopectin, is insoluble in cold water and requires 93 the use of organic solvents, such as formic acid or dimethyl sulfoxide, for complete 94 dissolution, which limited the application of electrospinning fibers in the food industry 95 (Kong & Ziegler, 2014; Lancuški, Vasilyev, Putaux, & Zussman, 2015).

96 Chemically modified starches such as octenyl succinic anhydride (OSA) 97 modified starch, also known as octenylsuccinylated starch (OSS), originates from OSA 98 modification combined with the degradation of waxy starch using acid or enzymes 99 methods (Dierings de Souza et al, 2021). Compared with native starch, OSS can easily 100 form molecular entanglements that promote fiber formation in aqueous solutions (Liu 101 et al., 2021; Sweedman, Schäfer, & Gilbert, 2014; Tizzotti, Sweedman, Schäfer, & 102 Gilbert, 2013). Furthermore, blending OSS with linear polymers such as pullulan (PUL, 103 a type of linear polysaccharide consisting of maltotriose units) (Viswanathan et al, 104 2006) in an aqueous solution could lead to the formation of nanofibers using 105 electrospinning, which are safe, "green", and non-toxic (Li, Kong, & Ziegler, 2021; 106 Liang & Gao, 2023; Liang, Pan, & Gao, 2021). According to a previous report, 107 OSS/PUL blended aqueous solutions represent a feasible option for "green" 108 electrospinning, which could be used to adsorb the odors of oyster peptides (Li et al., 109 2022).

110 Although an OSS/PUL system used for "green" electrospinning has been 111 explored in our previous study (Li et al., 2021), there is still uncertainty regarding the 112 electrospinnability of OSS and its relationship with molecular structure. Additionally, 113 and the loading evaluation of CEO into the above-mentioned electrospun starch-based 114 nanofibers remains uncharted territory. We hypothesize that the ability to blend 115 electrospinnable OSS with PUL is linked to their molecular structure (molecular size 116 and linear structure), which could further affect their CEO loading capacity and antibacterial potential. Therefore, this study selected six OSS varieties with different 117 118 molecular structures to use as raw materials for electrospinning with PUL. The study 119 investigated their blending electrospinnability map and performed structural 120 characterizations using scanning electron microscopy (SEM), <sup>1</sup>H nuclear magnetic 121 resonance (NMR) spectroscopy, Fourier-transform infrared spectroscopy (FTIR), and 122 texture profile analysis to evaluate their antibacterial potential against Staphylococcus 123 aureus (S.aureus), Escherichia coli (E.coli) and Aspergillus flavus (A.flavus) with CEO 124 loading, which could provide new insights into electrospun starch-based nanofiber 125 mats.

#### 126 **2. Materials and methods**

# 127 **2.1. Materials**

OSS products originated from potato starches, namely CF03 (OSS1) and CM1120 (OSS2), were kindly supplied by Kartoffelmelcentralen (KMC, Denmark). OSS3, OSS4, OSS5, and OSS6 originated from waxy maize starches were prepared in Fuyang Biotechnology Co., Ltd (Shandong, China) according to our previous method (Zhao et al., 2018). PUL, with a molecular weight of  $1.35 \times 10^5$  g/mol was purchased from Kangnaxin Biotechnology (Shandong, China). CEO ( $\geq$ 75% cinnamaldehyde) was purchased from Xin Essence Flavor Co., Ltd. The other reagents are of analytical grade.

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#### 136 **2.2. Molecular characterization of OSS**

Molecular structures of OSS samples were characterized using high-performance size-exclusion chromatography (Liu et al., 2021) and <sup>1</sup>H nuclear magnetic resonance (NMR) spectra according to our previous study (Liu et al., 2021). This process enables the acquisition of characteristic parameters, including the molecular size, molecular weight ( $M_w$ ), and degree of substitution (DS) of OSS, could be obtained. The details can be seen in the supplementary materials.

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# 144 **2.3 Dope preparation and characterization**

145 Different proportions of OSS (0-120%, w/v) and PUL (0-20%, w/v) were 146 dispersed in ultrapure water, and the mixture was then heated in a boiling water bath to prepare a spinning solution according to a previous report (Li et al., 2021). Before 147 148 electrospinning, their apparent viscosity, surface tension, and conductivity were 149 measured. To test their apparent viscosity, a stress-controlled rheometer (MCR302, 150 Anton Paar GmbH, Graz, Austria) with a parallel steel plate geometry with a diameter 151 of 50 mm and a gap of 1.0 mm was used. Flow curves of apparent viscosity versus shear rate were obtained at 25 °C and shear rates of 0.1-100 s<sup>-1</sup> (Cao et al., 2022). Surface 152 153 tension was measured by the interfacial tensiometer (New Boundary Scientific 154 Instrument Co., LTD., Ningbo, China) using the suspended drop method (Berry, Neeson, Dagastine, Chan, & Tabor, 2015). Conductivity was measured by a 155

156 conductivity meter (Mettler Toledo Instrument Co., Ltd., Shanghai, China). More157 details are shown in the supplementary materials.

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# 159 **2.4 Electrospinning**

160 The above spinning solution was loaded into a 5 mL syringe (Yuegang Medical 161 Equipment Co., Ltd., Changzhou, China), and 23-gauge blunt needles (Nano Apparatus Technology Co., Ltd., Changsha, China) were used as the spinneret. The 162 163 electrospinning parameters were based on a previous study with some modifications 164 (Li et al., 2022). The parameters were set at 18 kV voltage, 15 cm collecting distance, and 0.4 mL/h feed speed. Nanofibers were generated by electrospinning equipment 165 166 (JDF05, Nano Apparatus Technology Co., Ltd., Changsha, China) at a temperature of 167 28 °C and 25% relative humidity, with the assistance of air conditioning and a 168 dehumidifier. Nanofiber mats are collected in an electrically grounded drum rotating at 169 50 rpm for 12 h and subsequently stored in a dryer at 25 °C for further analysis.

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# 171 **2.5 Structural characterization of nanofiber mats**

# 172 **2.5.1 Microstructure**

173 Scanning electron microscopy (SEM, TM-3000, Japan) was used to investigate the morphology of nanofibers, which were fixed and gold-sputtered (Tian et al., 2022). 174 175 The nanofiber mats were sectioned into small pieces and mounted on a conductive 176 adhesive. They were then sputter-coated with gold using an ion-sputtering device. The 177 morphology of the nanofibers was imaged using a scanning electron microscope at a 178 magnification of 10,000×. Their fiber diameter distribution and porosity were analyzed 179 by Image J software (National Institutes of Health, Bethesda, MD, USA) according to 180 a previous study (Li et al., 2022).

181 **2.5.2 FT-IR analysis** 

182 The samples were analyzed using an ATR-FTIR facility (PE SP2, Perkin-Elmer, 183 America) with a wavenumber range of  $4000-800 \text{ cm}^{-1}$  and a resolution of  $4 \text{ cm}^{-1}$  (Li 184 et al., 2022).

#### 186 2.5.3 Mechanical strength

The mechanical properties of the samples were tested using a texture analyzer (TA.XT plus, Stable Micro Systems, UK), with a testing velocity of 0.5 mm/s. The nanofiber mats were cut into strips ( $5 \times 2$  cm, thickness of 0.2 mm) using a paper cutter. The stress-strain curves obtained were used to analyze their elastic modulus, tensile strength, and elongation at the break (Zhang, Wang, Li, Zhang, & Weiss, 2021).

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# 193 **2.6 Loading of CEO into nanofiber mats**

The nanofiber mats were cut into round sheets with a diameter of 20 mm, using a hole punch, weighed, and then soaked in CEO for 3 min. Then, the excess CEO was filtered out with a vacuum pump (Haoqing Instrument Co., Ltd., Shanghai, China), and re-weighed until reaching a constant value.

198

# 199 2.7 Characterization of CEO-loaded nanofiber mats

- 200 2.7.1 Microstructure
- The methodology outlined in section 2.5.1 was used with SEM images captured
  at a magnification of 10,000 ×.
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# 204 **2.7.2 CEO loading rate**

The mass of the nanofiber mats loaded with CEO was measured, and the loading rate of CEO was calculated (Li et al., 2018) using the following formula:

207 CEO loading rate  $(g/g) = (m_t-m_0)/m_0$  (1)

wherein  $m_0$  (g) is the original mass of nanofiber mats, and  $m_t$  (g) is the mass of the nanofiber mat after CEO loading for 3 min.

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#### 211 **2.7.3 Antimicrobial evaluation**

The antibacterial effectiveness of the nanofiber mats with CEO loading was evaluated using the inhibition zone method (Zhou, Abdel-Samie, Li, Cui, & Lin, 2020). The microorganisms used in the test were *Escherichia coli* (Gram-negative),

215 Staphylococcus aureus (Gram-positive), and Aspergillus flavus (fungus), which were

activated in Trypticase Soy Broth at 37 °C for 12 h prior to testing. The circular nanofiber mat, with a diameter of 20 mm, was sterilized under an ultraviolet lamp for 1 h before conducting the inhibition zone method. A bacterial suspension of 100  $\mu$ L (1×10<sup>8</sup> CFU/mL) was then coated on the corresponding agar plate. Next, the nanofiber mat was placed on the surface of the plate, and the dish was cultured upright at 37 °C for 24 h. Finally, the diameter of the antibacterial zone was measured to evaluate the mat's antibacterial activity.

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# 224 **2.8 Statistical analysis**

225 Data were expressed as mean  $\pm$  standard deviation. SPSS 16.0 (IBM, Inc., 226 Armonk, NY) was used for significance analysis, and one-way analysis of variance 227 (ANOVA) with Duncan's multiple range test (P < 0.05) was used for data analysis.

228

# 229 **3. Results and discussion**

# 230 **3.1 Molecular structure of OSS**

231 The SEC weight distributions  $w(\log R_h)$  of OSS as a function of hydrodynamic 232 radius are presented in Figure 1A. In this study, OSS1 and OSS2 were OSA-modified 233 potato starch, while OSS3, OSS4, OSS5, and OSS6 were OSA-modified waxy maize 234 starch with different molecular sizes (Chen, Xie, Zhao, Qiao, & Liu, 2017; Liu et al., 235 2021; Zhao et al., 2018). There are two main peaks in OSS1 and OSS2, with Rh values 236 of 11.5 nm and 10 nm observed for the first peak and 47.09 nm and 38.81 nm for the 237 second peak, respectively, highlighting the differences in molecular structure between 238 amylose and amylopectin (Tian et al., 2022). Notably, OSS2 exhibited a shoulder peak 239 at  $R_{\rm h}$ =22.82 nm, possibly due to limited alkali degradation of potato starch (Tian et al., 240 2022). OSS3, OSS4, OSS5 and OSS6 displayed a predominant peak in SEC, likely 241 stemming from waxy starch; however, OSS from waxy starch was subjected to acid or 242 enzyme degradation to different extents to obtain suitable sizes of OSS3-OSS6 (Han, 243 Zhang, Li, Li, & Wu, 2019). The average R<sub>h</sub> values of these starches, namely OSS3, 244 OSS4, OSS5, and OSS6, were 49.71 nm, 3.72 nm, 3.74 nm, and 11.94 nm, respectively. 245 The average molecular weights of the OSS samples were ranked in the following order:

OSS3 > OSS1 > OSS2 > OSS6 > OSS4 > OSS5. The detailed molecular size and 246 247 molecular weight results of OSS can be seen in Table S1.

248 <sup>1</sup>H-NMR was used to determine the degree of substitution (DS) of modified 249 starch. In this work, OSS showed the signal of the CH<sub>3</sub> group at around 0.85 ppm in the 250 <sup>1</sup>H NMR spectra, representing the successful grafting of hydrophobic OS groups into 251 the starch skeleton (Wang, Fu, Tang, Huang, & Zhang, 2017). As shown in Table S1, the DS of OSS increased in the order of OSS1 (0.48%) < OSS3 (1.47%) < OSS2252 253 (2.64%) < OSS5 (2.96%) < OSS4 (3.19%) < OSS6 (4.29%). The DS values were 254 influenced by the average number of octenyl succinate (OS) derivatives per glucose 255 unit along the starch backbone (Sweedman, Tizzotti, Schäfer, & Gilbert, 2013). The 256 different DS values of OSS1 and OSS2 could result from the different surface holes 257 formed by the different varieties of potato starch, which, in turn, influence the surface 258 area for chemical reactions (Wang et al., 2013). Other factors that could affect the DS 259 include the chemical synthesis conditions, such as reaction time (2-4 h) and temperature 260 (30-40°C) (Sweedman et al., 2013).

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#### 262

#### 3.2 Electrospinnability of OSS with PUL

263 The linear structure and good water solubility of PUL provides significant utility for electrospinning, and its use in combination with other polymers can improve 264 265 electrospinnability by changing their surface tension, apparent viscosity, and 266 conductivity (Li et al., 2021; Li et al., 2022; Liang & Gao, 2023). For determining the appropriate processing parameters for electrospinning, the electrospinnability map of 267 268 OSS/PUL aqueous dopes was plotted in Figure 2. Generally, OSS is hard to form fiber 269 in an aqueous solution using common electrospinning parameters, but its 270 electrospinnability could be improved by the addition of PUL, which has a unique linear 271 molecular structure that aids in forming fibers without the use of toxic or corrosive 272 organic solvents (Li et al., 2021; Li et al., 2022). A narrow concentration range of 0-273 14% (w/v) of some commercial OSS products from Ingredion and PUL with molecular weights of  $1-2 \times 10^5$  g/mol was used before (Li et al., 2021); however, the limited OSS 274 275 concentrations and unclear OSS molecular structures are not helpful for obtaining a

276 complete electrospinnability map to understand their electrospinning behavior. With the 277 permission from solubility, a wider range of OSS concertations was used in this work 278 for obtaining the whole electrospinnability map. The concentration of PUL used in this 279 work stayed between 0 and 20% (w/v), with 20% being the minimum concentration 280 required for successful electrospinning, as determined via a pilot study, to produce 281 bead-free nanofibers. From Figure 2, "unable to form fiber mat" (no fibers), "fiber mat with droplets" (usually with beaded fibers), and "good fiber mat without droplets" 282 283 (usually with bead-free fibers) were expressed using the colors of red, blue and green, 284 respectively. The typical SEM images of these different fiber mats electrospun from the 285 OSS4/PUL aqueous dope with varying polymer concentrations were further exhibited 286 in Figure 3 as a model.

The electrospinnability maps of OSS1/PUL, OSS2/PUL, and OSS3/PUL were shown in Figure 2A, 2B and 2C, respectively. These OSS/PUL aqueous dopes were unable to form good nanofiber mats with 2-20% PUL addition and 4-24% OSS concentrations due to the occurrence of a large number of droplets during the electrospinning process, which may be attributed to the relatively high surface tension and high apparent viscosity (Kong & Ziegler, 2012; Poudel et al., 2020) (see Table S2).

293 From the electrospinnability map of OSS4/PUL, OSS5/PUL, and OSS6/PUL (see 294 Figure 2D, 2E and 2F), good fiber mats could be formed when the concentrations of 295 OSS and/or PUL were increased to certain values. When the concentration range of 296 OSS4, OSS5, and OSS6 was set to 0-120% (w/v), and the PUL concentration was set 297 to be at least 6% (w/v), a continuous and stable jet could be formed leading to good 298 fiber mats. Fiber mats with droplets could be produced with a lower concentration of 299 OSS (4%) and PUL (4%), consistent with those reported by Li et al. (2021). The 300 electrospinnable areas of OSS/PUL aqueous dopes follows the order of OSS4/PUL > OSS5/PUL > OSS6/PUL. Among these OSS samples, OSS4 presented a wider 301 302 electrospinnability area, which should result from combined effects of e.g. molecular 303 size, average molecular weight, and DS, making it more suitable for industrial 304 production.

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In order to further understand the fiber formation mechanism of OSS/PUL

306 aqueous dopes by electrospinning, Figure 3 shows the SEM images of electrospun 307 OSS4/PUL nanofibers across varying polymer concentrations. As the concentrations of 308 OSS4 (20-100%, w/v) and PUL (2-20%, w/v) increased, the status of fiber was changed 309 from no fibers (Figure 3-D1) to beaded fibers (Figure 3-D2), then to uniform and 310 continuous bead-free fibers (Figure 3-D3). This result also indicate that a relatively 311 small addition of PUL played a crucial role in establishing sufficient molecular 312 entanglement for electrospinning (Li et al., 2021). The presence of PUL significantly 313 decreased the number of beads, with the minimum required concentration of PUL in 314 the aqueous dopes decreasing as the concentration of OSS increased (Li et al., 2021; 315 Wang & Ziegler, 2019). The electrospinnability map reveals that achieving successful 316 electrospinning for 16%, 20% and 40% (w/v) aqueous OSS dopes requires a minimum 317 addition of 10%, 8%, and 6% (w/v) PUL, respectively. However, excessive OSS 318 concentration, even with PUL added, can result in the ejection of beaded fibers from 319 the nozzle, leading to the formation of poor fibers.

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# 321 **3.3 Electrospinning dope properties**

The electrospinning process of polymer solutions relied on a combination of factors, including molecular structure and entanglement, and the properties of the dopes, such as apparent viscosity, surface tension, and conductivity (Duan et al., 2006; Yu, Fridrikh, & Rutledge, 2006). In order to explain the effect of different OSS components on the electrospinnability of OSS/PUL aqueous dopes, a fixed OSS concentration of 20% (w/v) and a fixed PUL concentration of 10% (w/v) were selected, allowing the characterization of their dope properties.

Among the crucial parameters for electrospinning, rheological properties of polymer dopes hold significant importance (Kong & Ziegler, 2012). The apparent viscosity of OSS/PUL aqueous dopes was measured at different shear rates ranging from 0.1 to 100 s<sup>-1</sup>, as depicted in Figure 4A and presented in Table S2. In Figure 4A, the OSS1/PUL aqueous dope exhibited notably higher apparent viscosity, with the flow curve indicating non-Newtonian behavior (apparent viscosity deceased with the increasing shear rate) at low shear rates (<100 s<sup>-1</sup>). Similarly, the OSS2/PUL and OSS3/PUL aqueous dopes showed this shear-thinning behavior, albeit less pronounced than OSS1/PUL. On the contrary, the OSS4/PUL, OSS5/PUL, and OSS6/PUL aqueous dopes all showed Newtonian behavior. OSS/PUL aqueous dopes, which are suitable for fiber formation by electrospinning, were found to meet the following conditions: being not or weakly shear thinning at shear rates below 100 s<sup>-1</sup>, which is similar to the conclusions reported in a previous study (Stijnman, Bodnar, & Hans Tromp, 2011).

342 Another critical factor for successful electrospinning is the conductivity of 343 electrospinning dopes, affected by the conductivity of solvents, polymers, and additives 344 (e.g. salts) (Bhardwaj & Kundu, 2010). The conductivity of OSS/PUL aqueous dopes is shown in Figure 4B and Table S2. Increased conductivity has been shown to 345 346 effectively suppress bead formation during the electrospinning of fibers (Fong, Chun, 347 & Reneker, 1999). This principle holds true in our study, where the conductivity of the 348 OSS4/PUL, OSS5/PUL and OSS6/PUL aqueous dopes surpasses that of the other three 349 formulations, which may be due to the higher moles of OSA group with a negative 350 charge, contributing to the enhanced electrospinnability of the OSS4/PUL, OSS5/PUL 351 and OSS6/PUL aqueous dopes.

352 The surface tension of polymer dopes acts as a counterforce to the stretching 353 effect induced by applied voltage (Kutzli, Gibis, Baier, & Weiss, 2019; Mitchell, 2015). 354 Overcoming surface tension is crucial for the successful formation of fibers through 355 electrospinning. Figure 4C shows the time-dependence of surface tension for OSS/PUL 356 aqueous dopes. The curves illustrate that the surface tension of these aqueous dopes 357 decreased with increasing time. At the 3000 s mark, the surface tension followed the 358 order of OSS2/PUL, OSS1/PUL, OSS4/PUL, OSS5/PUL, OSS3/PUL, and OSS6/PUL, 359 mainly influenced by the types of polymers and solvents used (Angel, Li, Yan, & Kong, 360 2022). Higher surface tension in polymer dopes often results in bead formation during electrospinning (Cao et al., 2022). Conversely, reducing surface tension can enhance 361 362 polymer jet stability through chain entanglement, effectively preventing bead formation 363 and leading to an increased diameter of the fibers (Lim et al., 2019).

364

### 365 **3.4 Characterization of OSS/PUL nanofiber mats**

#### 366 3.4.1 Morphology

367 The morphology (beads and fiber diameter) of nanofiber mats (with droplets having a diameter  $\geq 1$  mm) can be observed using SEM and a digital camera (Cao et 368 369 al., 2022), respectively. The appearance of electrospun nanofiber mats and the SEM 370 images of OSS/PUL nanofiber mats are shown in Figure 5. No fiber and nanofiber mats 371 were observed for the OSS1/PUL aqueous dope. The OSS2/PUL and OSS3/PUL 372 aqueous dopes could be electrospun into short and discontinuous nanofibers, wherein 373 their higher viscosity produced massive droplets on the surface of the nanofiber mat 374 (see Figure 5A). Additionally, some beads also appeared among the fibers (see Figure 375 5B). The average fiber diameter values, without beads, for the electrospun OSS3/PUL 376 and OSS2/PUL fiber mats were 242 nm and 205 nm, respectively. In contrast, the 377 OSS4/PUL, OSS5/PUL and OSS6/PUL aqueous dopes were conducive to the formation 378 of nanofiber mats with continuous, uniform, and bead-free morphologies, as evident in 379 the SEM images (see Figure 5B). Their average fiber diameters measured 211 nm, 244 380 nm, and 230 nm (see table S3), similar to the results reported in a previous study (Li et 381 al., 2021). Therefore, the electrospun OSS4/PUL, OSS5/PUL, and OSS6/PUL 382 nanofiber mats were selected for further characterization.

383

#### 384 3.4.2 FTIR analysis

385 ATR-FTIR analysis serve as a valuable tool to discern and examine the chemical 386 characteristics of the surface structure in polymer materials (Liu et al., 2013; Liu, Gao, 387 Sangwan, Yu, & Tong, 2014). The FTIR spectra for OSS (OSS4, OSS5, and OSS6), 388 PUL, OSS/PUL nanofiber mats, and CEO-loaded nanofiber mats are displayed in Figure 6. The spectrum for the pure PUL exhibited the stretching vibration of O-H 389 390 bonds at ca. 3345 cm<sup>-1</sup>, accompanied by the stretching vibrations of -CH2-, O-C-O,  $\alpha$ -(1, 6)-glycosidic, and  $\alpha$ -(1, 4)-glycosidic bonds, at ca. 2926 cm<sup>-1</sup>, ca. 1642 cm<sup>-1</sup>, ca. 391 931 cm<sup>-1</sup>, and ca. 755 cm<sup>-1</sup>, respectively. For OSS, the bands at 3436-3428 cm<sup>-1</sup> can be 392 assigned to O-H bending, while those at 2931–2927 cm<sup>-1</sup> represents C-H stretching 393 vibrations. The wavenumber range between 1200 cm<sup>-1</sup> and 1000 cm<sup>-1</sup> can be associated 394 with the stretching of C–O, C–C, and C–O–H and the bending of C–O–H (Zhang et al., 395

396 2013). Notably, peaks at ca.1733–1727 cm<sup>-1</sup> and 1569 cm<sup>-1</sup> indicate the existence of 397 OS groups (Li et al., 2022).

OSS/PUL nanofiber mats exhibited a broad band at 3359-3352 cm<sup>-1</sup>, with the 398 peak at ca. 3346 cm<sup>-1</sup> shifting to a lower wavenumber, indicating that intermolecular 399 hydrogen bonds were formed between OSS and PUL (Li et al., 2022). The characteristic 400 bands of CEO were observed at ca.1670 cm<sup>-1</sup> and ca.1625 cm<sup>-1</sup>, signifying the presence 401 of carbonyl group (C=O) and benzene ring, respectively. Additionally, the band at 1120 402 cm<sup>-1</sup> corresponds to the C–O–H stretching of other trace phenolic compounds in CEO 403 404 (Correa-Pacheco et al., 2020). From Figure 6, the characteristic absorption peaks of 405 CEO were also observed in the three OSS/PUL-CEO nanofiber mats, confirming the 406 successful incorporation of CEO into the electrospun nanofiber mats.

407

## 408 **3.4.2 Mechanical properties**

409 Evaluating the mechanical properties of electrospun nanofiber mats is crucial for 410 assessing their suitability for potential industrial applications, considering factors such 411 as durability and integrity (Yildirim-Yalcin, Tornuk, & Toker, 2022). Their tensile 412 strength, elastic modulus, and elongation at break could be obtained from the strain-413 stress curve of OSS/PUL aqueous mixtures (see Figure 7). The tensile strength is the 414 maximum stress that a fiber mat can withstand while being stretched before breaking, 415 a factor reliant on interactions between the fiber mat constituents (Ghiasi, Golmakani, 416 Eskandari, & Hosseini, 2020).

The nanofiber mat electrospun from the OSS4/PUL aqueous dope showed the higher tensile strength of 1.71 MPa, surpassing OSS5/PUL and OSS6/PUL (1.13 MPa and 1.42 MPa, respectively) (see Figure 7B). Elastic modulus is a measure of the material stiffness (Yao, Bastiaansen, & Peijs, 2014). The OSS4/PUL nanofiber mat had the maximum elastic modulus (38.06 MPa), indicating that this fiber mat was more resistant to deformation, while the OSS5/PUL nanofiber mat had the minimum elastic modulus, meaning that it was more flexible and ductile.

424 Elongation at break, which reflects the ability to withstand applied stress 425 breakage, depends on interactions within nanofiber mats (Choi et al., 2022). The 426 elongation at break of the electrospun OSS6/PUL nanofiber mat was 5.33%, slightly 427 higher than that of OSS4/PUL and OSS5/PUL. This phenomenon may be related to the  $R_h$  of OSS, wherein OSS6 possessed the highest  $R_h$  resulting in the greatest elongation 428 429 at break among the above three OSS. Similar to starch films, the mechanical properties 430 of electrospun starch-based nanofiber mats were significantly influenced by the 431 inherent structure of starch itself.

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# 3.5 Formation mechanism of electrospun OSS/PUL nanofiber mats

435 In this study, OSS with different molecular structures were chosen as model 436 materials to explore the structure-driven formation mechanism of electrospun 437 OSS/PUL nanofiber mats (Figure 8). OSS exhibited greater water solubility than native 438 starch, making the production of electrospun starch-based nanofibers more 439 environmentally friendly and efficient. The aqueous dope of pure OSS could not 440 generate well-formed nanofibers through electrospinning. However, the addition of 441 even a small amount of PUL could significantly enhance nanofiber formation by 442 facilitating molecular entanglement with OSS in an aqueous medium (Li et al., 2021). 443 In addition, the generation of starch-based nanofibers is closely related to the molecular 444 weight distribution of amylose and amylopectin of OSS.

445 For OSS1, OSS2, and OSS3 with high average molecular weight and larger 446 molecular size, when the OSS concentration is high enough, only a small amount of 447 OSS can interact with PUL to achieve the sufficient molecular entanglement, while the 448 remaining OSS molecules tend to self-assemble, forming a dense gel network. This 449 process increases the apparent viscosity, negatively impacting the electrospinning 450 process (Härdelin, Perzon, Hagström, Walkenström, & Gatenholm, 2013; Kutzli, Gibis, 451 Baier, & Weiss, 2018; Vasilyev, Vilensky, & Zussman, 2019).

452 Surface tension and conductivity of polymer dopes play equal vital roles in 453 electrospinning. A reduction in surface tension is advantageous for successful 454 electrospinning, and the corresponding polymer dopes must also exhibit sufficient 455 conductivity. The inability of OSS1/PUL to form fibers may, in part, be attributed to

insufficient conductivity, preventing the stable formation of a Taylor cone even under a
high-voltage electric field, thus hindering fiber production. Therefore, not all OSS
variants are suitable for electrospinning, highlighting the importance of selecting OSS
with an appropriate molecular structure for successful electrospinning in conjunction
with PUL in an aqueous medium.

In additional, electrospun fiber mats possess a three-dimensional network structure resulting from the intertwining of nanofibers, showing an excellent adsorption potential of CEO as a novel porous material. On a macroscopic scale, the physical adsorption process of electrospun fiber mats is affected by factors such as specific surface area, pore structure, surface properties, and adsorbate properties (de Souza et al., 2021). On a microscopic level, it is mainly governed by van der Waals forces, microporous filling, and capillary condensation (Ghasemi et al., 2022).

468

# 469 **3.6 Characterization of CEO-loaded nanofiber mats**

# 470 **3.6.1 Microstructure**

471 Figure 9 shows the SEM images of the electrospun OSS4/PUL, OSS5/PUL, and 472 OSS6/PUL nanofiber mats after CEO loading. The coalescence of overlapping or 473 intersecting fibers led to a web-like 3D structure in all the above three electrospun 474 starch-based fiber mats. Additionally, their average fiber diameter increased from 199 475 nm to 299 nm, 190 nm to 378 nm, and 209 nm to 496 nm for the electrospun OSS4/PUL, 476 OSS5/PUL and OSS6/PUL nanofiber mats, respectively, upon CEO loading. These 477 results are similar to a previous study on the electrospinning of OSS/PUL with limited 478 polymer concentrations (Li et al., 2021), indicating that CEO can be effectively 479 entrapped within the nanofibers, filling their pores.

480

#### 481 **3.6.2 CEO loading rate**

Table 1 shows the CEO loading rates of the electrospun OSS4/PUL, OSS5/PUL, and OSS6/PUL nanofiber mats were 2.64 g/g, 2.73 g/g, and 3.02 g/g, respectively. The effective CEO loading can be attributed to the hydrophobicity of OSS and the high porosity of electrospun nanofiber mats (El-Samak et al., 2020; Sweedman et al., 2013). 486 Specifically, the hydrophobicity of OSS is positively correlated with its DS. OSS6, with 487 the highest DS and greatest hydrophobicity, demonstrated the highest CEO loading rate. 488 Furthermore, after CEO loading, the OSS6 nanofiber mat showed the most significant 489 change in porosity from 53.69% to 6.15%, and their average fiber diameter increased 490 from 209 nm to 496 nm, further confirming that the DS of OSS influenced the CEO 491 loading rate of the resulting electrospun OSS/PUL nanofiber mats.

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# 493 **3.6.3 Antibacterial activity**

494 The antimicrobial activity of the electrospun OSS4/PUL, OSS5/PUL, and 495 OSS6/PUL nanofiber mats after CEO loading was investigated using S. aureus, E. coil, 496 and A. Flavus as test microorganisms, as shown in Figure 10. All the CEO-loaded fiber 497 mats effectively inhibited the growth of these three microorganisms. Notably, in this 498 study, the diameter of inhibition zones for electrospun OSS/PUL nanofiber mats loaded 499 with CEO against S. aureus was higher than those against E. coil, implying that these 500 electrospun OSS/PUL nanofiber mats loaded with CEO had better antimicrobial 501 activity against Gram-positive bacteria than Gram-negative bacteria. This difference 502 may be attributed to variances in cell wall structure. Gram-positive bacteria typically 503 have cell walls that allow hydrophobic molecules to easily penetrate, enabling them to 504 exert their effects both on the cell wall and within the cytoplasm (Nazzaro, Fratianni, 505 De Martino, Coppola, & De Feo, 2013; Nikaido, 1994; Trombetta et al., 2005).

506 Although OSS6/PUL exhibited the highest CEO loading capacity, there was no 507 significant difference in antimicrobial activity among these three OSS/PUL-CEO fiber 508 mats (see Table 1). This result may be related to the saturation point of CEO released 509 from OSS/PUL-CEO fiber mats, resulting in no significant difference in antimicrobial 510 activity (Van de Vel, Sampers, & Raes, 2017). However, OSS6/PUL demonstrated the 511 most potent antifungal activity, followed by OSS5/PUL, suggesting that the antifungal 512 ability of the OSS/PUL-CEO fiber mats increased with the CEO loading. This finding 513 is consistent with a previous study, which showed that the fungal inhibition effect of 514 essential oils is directly proportional to their concentration (Niu et al., 2022). The 515 differential inhibition of fungi and bacteria by the OSS/PUL-CEO fiber mats may be

attributed to the superficial growth of *A. flavus*, making it more susceptible to the
volatile compounds released by CEO (Reyes-Jurado et al., 2020).

518

# 519 4. Conclusion

In this study, electrospun OSS/PUL nanofiber mats were successfully produced using six different OSS variants with different molecular structures, alongside PUL, as the raw materials, and water as the only solvent. Among these, OSS1, OSS2, and OSS3, characterized by larger average molecular weights and lower DS values, exhibited suboptimal electrospinning outcomes. OSS4, OSS5, and OSS6 possessing smaller average molecular weights and higher DS values, yielded well-formed nanofiber mats via electrospinning.

527 For OSS/PUL aqueous dopes, several factors were found to be crucial: 1) The 528 apparent viscosity at a shear rate of 100 s<sup>-1</sup> should not be excessively high; 2) High 529 conductivity is conducive to electrospinning; and 3) Surface tension, while influential, 530 is not the sole determining factor. In addition, these dope properties further affected the 531 appearance, SEM micro-morphology, fiber diameter distribution, and mechanical 532 properties of electrospun OSS/PUL nanofiber mats.

Furthermore, the CEO-loaded nanofiber mats showed different degrees of inhibition against *S.aureus*, *E.coli*, and *A.flavus*, with notable efficacy against *Staphylococcus aureus*. These findings highlights the essential role of PUL as an electrospinning aid and its concentration in facilitating molecular entanglement of OSS in the dope. This work is beneficial for the development of starch electrospinning and its application in active food packaging.

539

# 540 Acknowledgement

This work was funded by the National Natural Science Foundation of China (32372476), the Priority Academic Program Development of Jiangsu Higher Education Institutions (PAPD), and the Postgraduate Research & Practice Innovation Program of Jiangsu Province (KYCX22\_1706). Dr Songnan Li would like to acknowledge the funding support from the Natural Science Foundation of Jiangsu Province (BK20220585), the "Shuangchuang" Doctor Talent Support Program of Jiangsu
Province (JSSCBS20221323), the China Postdoctoral Science Foundation
(2022M712692), the Yangzhou Key R&D Plan (Social Development) Project
(YZ2022076), and the Yangzhou "Lvyangjinfeng" Talent Support Program
(YZLYJFJH2021YXBS172).

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# 851 **Table and Figures**

- Table 1 Average fiber diameter, average porosity, CEO loading rate, and antibacterial
- zone diameter of OSS/PUL nanofibers loaded with CEO.
- Figure 1 (A) SEC and (B) <sup>1</sup>H NMR spectra for the 6 OSS variants.
- Figure 2 Electrospinnability maps of OSS combined with PUL (red: unable to form
- 856 fiber mat, blue: fiber mat with droplets, and green: good fiber mat).
- Figure 3 SEM images of electrospun OSS4/PUL nanofibers based on different polymer
  concentrations.
- Figure 4 (A) Apparent viscosity as a function of shear rate, (B) conductivity, and (C)
- 860 surface tension as a function of time of OSS/PUL aqueous dopes.
- Figure 5 (A) Appearance and (B) SEM images combined with fiber diameter
  distribution of OSS/PUL nanofiber mats.
- 863 Figure 6 FTIR patterns: (A) OSS4, PUL, OSS4/PUL nanofiber mats, and their CEO-
- loaded fiber mat; (B) OSS5, PUL, OSS5/PUL nanofiber mats, and their CEO-loaded
- 865 fiber mat; (C) OSS6, PUL, OSS5/PUL nanofiber mats, and their CEO-loaded fiber mats
- 866 Figure 7 Mechanical properties of the nanofiber mats from OSS4/PUL, OSS5/PUL,
- and OSS6/PUL: (A) stress-strain curves; (B) elastic modulus; (C) tensile strength; (D)
- 868 elongation at break
- 869 Figure 8 Schematic representation of the mechanism of electrospinning nanofiber from
- 870 OSS/PUL aqueous dopes.
- 871 Figure 9 SEM combined with fiber diameter distribution images of CEO-loaded
- 872 OSS4/PUL, OSS5/PUL, and OSS6/PUL nanofiber mats.
- 873 Figure 10 Antibacterial images (S. aureus, E. coli and A. flavus labeled S, E and A,
- 874 respectively) of OSS4/PUL-CEO (S1, E1 A1), OSS5/PUL-CEO (S2, E2 A2), and
- 875 OSS6/PUL-CEO (S3, E3 A3) nanofiber mats.
- 876 **Table S1** Molecular size of OSA starches and pullulan.
- 877 Table S2 Apparent viscosity, conductivity and surface tension of OSA starch and
- 878 pullulan aqueous dopes.
- 879 **Table S3** Average fiber diameter and average porosity of OSS/PUL nanofibers

# 1 1. Materials and Methods

# 2 2.2 Molecular characterization of OSS

# 3 2.2.1 SEC

SEM was employed to determine the hydrodynamic radius of OSS molecules using an Agilent 1260 series instrument (Agilent Technologies) equipped with a refractive index detector (Optilab T-rEX, WYATT Corp., USA). Size separation was achieved using GRAM PreColumn, GRAM 30, and GRAM 3000 analytical columns (Polymer Standard Services, Mainz, Germany) with a flow rate of 0.3 mL/min. All samples were fully dissolved in DMSO with 0.5% LiBr (w/w), thus providing the optimal conditions for separation. A series of pullulan standards (Polymer Standard Services, Mainz, Germany) with varying molecular sizes were used for the calibration to obtain the relation between the SEC elution volume and the hydrodynamic volume  $V_h$  (the separation parameter for SEC). The SEC results are presented as  $w(\log V_h)$  plotted against the corresponding hydrodynamic radius  $R_h$ , following the equation  $V_h = (4/3)PiRh^3$ .

# 11 2.2.2 NMR

<sup>12</sup> <sup>1</sup>H NMR spectra were obtained using a Bruker 600 MHz (Bruker, Fallanden, Switzerland). All spectra were manually phased and baselinecorrected. The degree of substitution (DS) for OSS was determined by quantifying the fraction of OS groups through analysis of the proton signal of methyl protons within the OS group (0.85 ppm).

15 
$$DS = \frac{I_{0.85}}{3(I_{\alpha-1,6} + I_{\alpha-1,4} + I_{r-e})}$$

Here  $I_{r-e}$  corresponds to the <sup>1</sup>H NMR integral of the reducing chain ends ( $\alpha$  and  $\beta$  reducing-end signals at 4.91 and 4.28 ppm, respectively),  $I_{\alpha-1,4}$ and  $I_{\alpha-1,6}$  are the <sup>1</sup>H NMR integrals of internal (1 $\rightarrow$ 4)- $\alpha$  peaks at approximately 5.11 ppm and (1 $\rightarrow$ 6)- $\alpha$  linkages around 4.75 ppm, respectively.

Samples	OS	<b>S</b> 1	OS	S2	OSS3	OSS4	OSS5	OSS6	PUL
$R_{\rm h}$ (×nm)	11.50 ª	47.09 <sup>b</sup>	10.00 <sup>a</sup>	38.81 <sup>b</sup>	49.71	3.72	3.74	11.94	n.a.
$M_{ m w}$ (×g/mol)	1.17×	10 <sup>6</sup>	8.03×	10 <sup>5</sup>	$1.40 \times 10^{6}$	5.09×10 <sup>4</sup>	4.48×10 <sup>4</sup>	2.00×10 <sup>5</sup>	1.35×10 <sup>5</sup>
DS (×10 <sup>-2</sup> )	0.48	3	2.6	4	1.47	3.19	2.96	4.29	n.a.

 
 Table S1 Molecular size of OSS and PUL.
 

<sup>4</sup> AM, amylose content; <sup>6</sup> AP, amylopectin content; OSS: OSA starch; PUL: pullulan; n.a.: not available.

Samples	Apparent Viscosity at 100 s <sup>-1</sup> (Pa·s)	Conductivity (uS/cm)	Surface tension (mN/m)
20%OSS1/10%PUL	3.00±0.29 <sup>a</sup>	$660.60 \pm 1.84 { m f}$	33.99±0.71 °
20%OSS2/10%PUL	1.12±0.05 <sup>b</sup>	761.57±1.76 °	30.82±0.59 <sup>f</sup>
20%OSS3/10%PUL	1.30±0.07 <sup>b</sup>	824.83±4.70 <sup>d</sup>	45.36±0.14 <sup>b</sup>
20%OSS4/10%PUL	0.10±0.00 °	1077.33±1.53 °	38.32±0.36 °
20%OSS5/10%PUL	0.14±0.00 <sup>c</sup>	884.20±1.08 <sup>b</sup>	37.05±0.78 <sup>d</sup>
20%OSS6/10%PUL	0.29±0.00 °	1168.00±2.00 <sup>a</sup>	50.94±0.66 <sup>a</sup>

**Table S2** Apparent viscosity, conductivity and surface tension of OSS and PUL aqueous dopes.

Note: Values with a different superscript letter in the same column indicate significantly different (P < 0.05).

# **Table S3** Average fiber diameter and average porosity of OSS/PUL nanofibers

Samples	OSS4/PUL	OSS5/PUL	OSS6/PUL
Average fiber diameter (nm)	211±23 <sup>a</sup>	244±32 °	230±26 <sup>a</sup>
Average porosity (%)	55.17±1.84 ª	54.00±0.91 <sup>a</sup>	53.69±1.65 ª

31 Note: Values with a different superscript letter in the same row indicate significantly different (P < 0.05).