# Evaluation of biomarkers for clinical hypoxia modification in cervical cancer A thesis submitted to The University of Manchester for the degree of Doctor of Philosophy in the Faculty of Biology, Medicine and Health 2023

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### List of abbreviations

AC/ASC Adenocarcinoma/adenosquamous carcinoma

BIGART Biological Image-Guided Adaptive Radiotherapy

BioCHECC Biomarkers for Clinical Hypoxia Evaluation in Cervical Cancer study

BOLD MRI Blood Oxygen Level Dependent MRI

CA IX Carbonic Anhydrase IX

CO<sub>2</sub> Carbon dioxide

CON Carbogen and Nicotinamide

CRT Chemo-radiotherapy

CRUK Cancer Research United Kingdom

CSS Cancer Specific Survival
CT Computed Tomography
CTV Clinical Target Volume

DCE MRI Dynamic Contrast Enhanced MRI

DFS Disease Free Survival
DNA Deoxyribonucleic acid
DW MRI Diffusion Weighted MRI

EBRT External beam radiotherapy

EPI Echo-Planar Imaging

EQD<sub>2</sub> Equivalent dose in 2-Gy fractions FDA Food and Drug Administration

FFPE Formalin-Fixed Paraffin-Embedded

FIGO International Federation of Gynaecology and Obstetrics

GEO Gene Expression Omnibus

GTV Gross Tumour Volume

Gy Gray (units)

HF Hypoxic Fraction

HIF Hypoxia-Inducible Factor
HPV Human papillomavirus

HV Healthy Volunteer

IGABT MRI Guided Adaptive Brachytherapy

IHC Immunohistochemistry

IR-TFE Inversion Recovery Turbo Field Echo
IR-TSE Inversion Recovery Turbo Spin Echo
IVIM MRI Intravoxel incoherent motion MRI

LACC Locally Advanced Cervical Cancer

LRC Locoregional Control

LVSI Lymphovascular Space Invasion

MFS Metastasis Free Survival

MRI Magnetic Resonance Imaging

MR Linac MRI scanner and Linear Accelerator

MSigDB Molecular Signatures Database

NCITA National Cancer Imaging Translational Accelerator

NifTI Neuroimaging Informatics Technology Initiative

NHS National Health Service

NIH National Institutes of Health

OE-MRI Oxygen Enhanced MRI

OS Overall Survival

pO<sub>2</sub> Partial pressure of Oxygen

PAM Prediction Analysis for Microarrays

PET Positron Emission Tomography

PFS Progression Free Survival

PTV Planning Target Volume

QBI Quantitative Biomedical Imaging group

QIB(s) Quantitative Imaging Biomarker(s)

QIBA Quantitative Imaging Biomarkers Alliance

qMRI Quantitative MRI

RC Repeatability Coefficient

(m)RNA (messenger) Ribonucleic acid

ROI(s) Region(s) Of Interest

RT Radiotherapy

SCC Squamous Cell Carcinoma

TBR Tumour to Blood Ratio

TCGA The Cancer Genome Atlas

TMR Tumour to Muscle Ratio

TNM Tumour Node Metastasis

TRB Translational Radiobiology group

UB Uterine Body

UC Uterine Cervix

VEGF Vascular Endothelial Growth Factors

wCV within-subject Coefficient of Variation

### **Abstract**

Cervical cancer is a major problem in low/middle income countries where 85% of the new cases/deaths occur. Secondary prevention measures reduced incidence and mortality in developed countries over the last 30 years, but cervical cancer remains a major cause of cancer deaths in women. Hypoxia, or low tumoural oxygenation, is a ubiquitous feature of solid tumours which drives disease progression and restricts treatment efficacy. Hypoxia targeting therapies have shown great promise, however there is an unmet need for hypoxia biomarkers to select patients most likely to benefit from hypoxia modification treatment. The thesis aimed to develop hypoxia biomarkers in patients with cervical cancer which may be used in future hypoxia modification trials. The investigated biomarkers were derived from gene expression and magnetic resonance imaging (MRI) data. The gene expression signature development was from cell line experiments, developed using a publicly available dataset and validated in an independent retrospective cohort of women treated at The Christie with 4-5 years of clinical outcome data. The de novo 31-gene signature was enriched for known hypoxia pathways and biological processes, and showed prognostic significance in the external validation cohort. Oxygen enhanced (OE) -MRI of the female pelvis was developed in healthy volunteers and translated onto the MR Linac for the first time. The results showed quantitative T<sub>1</sub> values derived using the inversion recovery sequence to be comparable with published literature. The  $\Delta R_1$  parameter was shown to be repeatable across the two imaging systems (a Diagnostic MR and MR Linac) and using two hyperoxic gases (100% O<sub>2</sub> and 98% O<sub>2</sub> / 2% CO<sub>2</sub>). Finally, I identified the uterine body as a quality control region for OE-MRI. In a prospective patient pilot study, I analysed data from locally advanced cervical tumours in three world firsts: a) tumour biopsies acquired following five weeks of chemoradiation assessed with the de novo hypoxia signature; b) serial assessments of patient tumours using OE-MRI during chemoradiotherapy; and c) exploratory imaging-genomic correlations using independently derived hypoxia biomarkers. Unfortunately, a batch processing error meant I could not analyse all the prospective patient biopsies. The data suggested intra-tumoural heterogeneity of the transcriptional and imaging biomarkers. The  $\Delta R_1$  parameter was able to map, quantify and track whole tumour changes in hypoxia modification secondary to chemoradiation during the five weeks of external beam radiotherapy.

In summary, this thesis presents important new insights on hypoxia associated gene expression and OE-MRI data acquisition and analysis. I highlight a potential role for the combined imaging-genomic evaluation of tumour hypoxia and highly targeted radiation delivery on the MR Linac system.

### **Declaration**

No portion of the work referred to in the thesis has been submitted in support of an application for another degree or qualification of this or any other university or other institute of learning.

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### **Acknowledgement**

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I am grateful to all the participants who volunteered in this study, and to colleagues at the TRB Lab, QBI Lab, The Christie NHS Foundation Trust, Manchester Cancer Research Centre, Cancer Research UK Manchester Institute, Yourgene Health and The University of Manchester Genomic Technologies Core Facility.

I acknowledge the financial support from Cancer Research UK.

### **Preface**

This thesis is presented in traditional format as six chapters. Chapters 3 – 5 detail the results of the 'Biomarkers for Clinical Hypoxia Evaluation in Cervical Cancer' (BioCHECC) study which was conceived by my supervisors and Peter Hoskin is the chief investigator.

Chapter 1: Introduction to cervical cancer, hypoxia biomarkers and MR Linac

Chapter 2: Materials and Methods

Chapter 3 (First results chapter): Hypoxia associated gene expression signature development and external validation

Chapter 4 (Second results chapter): Oxygen enhanced-MRI development in healthy volunteers and translation onto MR Linac

Chapter 5 (Third results chapter): Multiparametric MRI and gene expression assessments in patients with locally advanced cervical cancer

Chapter 6: Conclusions and future work

Material from two publications in which I was the primary author are included in this thesis, and co-author contributions for each paper are provided.

Publication 1: Datta A, Aznar MC, Dubec M, Parker GJM, O'Connor JPB. Delivering Functional Imaging on the MRI-Linac: Current Challenges and Potential Solutions. Clin Oncol (R Coll Radiol). 2018 Nov;30(11):702-710. doi: 10.1016/j.clon.2018.08.005. Epub 2018 Sep 14. PMID: 30224203.

Marianne Aznar, Michael Dubec and Geoff JM Parker contributed expertise in radiotherapy and imaging physics. James PB O'Connor contributed expertise on imaging biomarkers.

Publication 2: Datta A, West C, O'Connor JPB, Choudhury A, Hoskin P. Impact of hypoxia on cervical cancer outcomes. Int J Gynecol Cancer. 2021 Nov;31(11):1459-1470. doi: 10.1136/ijqc-2021-002806. Epub 2021 Sep 30. PMID: 34593564.

Catharine West contributed expertise on gene expression signatures and hypoxia radiobiology. James PB O'Connor contributed expertise on imaging biomarkers. Ananya Choudhury and Peter Hoskin contributed expertise on the hypoxia modification therapies and clinical translation.

Disruption from the COVID-19 pandemic resulted in multiple interruptions to the PhD. I started my dedicated research time in September 2019 and was able to make good progress until the first national lockdown in March 2020. Shortly after I was repatriated to the NHS to help support the delivery of clinical radiology services in the Manchester University NHS Foundation Trust. I returned to full time research at the start of August 2020, however there were considerable delays in ethics approval, study set up and patient recruitment. A further national lockdown spanning 6 weeks (Dec 2021 – Jan 2022) resulted in a temporary suspension of all clinical research at The Christie. As a result, the findings in this thesis are in a small number of patients.

### 1 Introduction

Cervical cancer is a major global health problem. It is the fourth most frequent cancer in women worldwide, with an estimated 604,000 new cases in 2020 and approximately 342,000 new deaths<sup>1</sup>. Age-standardized survival correlates strongly with socioeconomic deprivation (Figure 1.1). Given that 90% of cervical cancer deaths are in low- and middle- income countries, the World Health Organization Cervical Cancer Elimination Modelling Consortium mentions no single intervention can eliminate cervical cancer, and that treatment is a key intervention alongside vaccination, screening and palliation<sup>2</sup>.

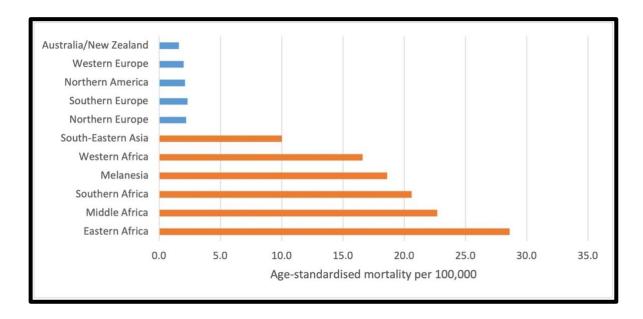


Figure 1.1: Age-standardized mortality per 100,000 females of some of the worst and least affected regions. Women in regions with a low or medium human development index (orange bars) experience higher mortality rates compared to those in high or very high human development index regions (blue bars). (Adapted from source: GLOBOCAN 2020 <a href="https://doi.org/10.3322/caac.21660">https://doi.org/10.3322/caac.21660</a>). Figure reproduced with permission from publisher<sup>3</sup>.

Cancer treatment has seen a paradigm shift towards targeted therapy. Two notable examples which have significantly improved survival are tyrosine kinase inhibitors<sup>4</sup> and trastuzumab in HER2-positive breast cancer<sup>5</sup>. Oxygen deficiency, termed hypoxia, is a hallmark of solid tumours that encourages tumour angiogenesis, genetic instability and metastasis<sup>6</sup>. Furthermore, as hypoxia is a feature of cancer and not normal tissue, hypoxia targeting drugs offer a high therapeutic index<sup>7</sup>. However, hypoxia-targeted therapy is not standard treatment and many factors have contributed to poor clinical translation of these therapies<sup>8</sup>. In particular, it is the inability to reliably select the most hypoxic tumours to benefit from hypoxia targeting

treatments. This introduction briefly describes the role of hypoxia and highlights key studies targeting hypoxia. Specifically, I will discuss the different hypoxia measurement techniques, examine candidate biomarkers, and outline the requirements of a robust biomarker than can be used in the clinic.

### 1.1 Hypoxia pathophysiology

The oxygenation status of normal uterine cervix tissue is different than that of cancerous tissue<sup>9</sup>. In normal tissues, the oxygen supply matches the metabolic demand due to homeostasis and does not usually cause hypoxia. In the tumour, hypoxia is a pathophysiological consequence of disturbed and deficient microcirculation resulting in a hypoxia-glycolysis-acidosis paradigm<sup>10</sup>. The result is a relatively avascular tumour microenvironment which is deficient in oxygen<sup>10</sup>. Oxygenation below a critical threshold restricts or eliminates normal biologic functions such as mitochondrial oxygen (O<sub>2</sub>) consumption rate and ATP production<sup>11</sup>. Glucose deprivation, along with severe long-lasting hypoxia, ends in the central necrotic core often noted on histological examinations.

### 1.2 Targeting Hypoxia

The stage at diagnosis strongly influences the choice of treatment, along with the patient's performance status and preference for their own management. Early-stage cancers (stage 1A) are mostly treated by surgery alone whereas however a combination of radiotherapy and chemotherapy plays the dominant therapeutic role in locally advanced cervical cancer (LACC). In high-resource settings, around 40% of cervical cancer patients undergo potentially curative or palliative radiotherapy every year<sup>12</sup>. In low resource settings, the main stay of treatment is (chemo)radiotherapy with only a small portion of early-stage cancers being surgically removed.

Radiosensitivity, defined as the susceptibility of cells to ionizing radiation, varies between individuals and tissues. Sensitivity to sparsely ionizing radiation is affected by oxygen levels (*Figure* 1.2).

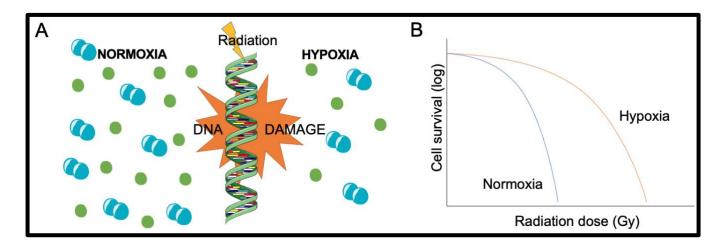


Figure 1.2: (A) The 'oxygen effect'. Free radicals generated when sparsely ionizing radiation interacts with tissue (green) are fixed to a non-restorable form in the presence of oxygen (blue) causing more damage to DNA. (B) Higher doses of sparsely ionizing radiation are required under hypoxic conditions to kill the same fraction of tumour cells. Figure reproduced with permission from publisher<sup>3</sup>.

Radiation interacts with tissue producing free radicals. DNA radicals can be chemically restored by antioxidants or 'fixed' to a non-restorable form by oxygen. This 'oxygen effect' means that cellular radiosensitivity decreases with decreasing oxygen levels. Gottwald Schwartz first identified the clinical effects of low oxygen states (hypoxia) on radiotherapy in 1909<sup>8</sup>. Along with being a key factor in radioresistance, tumour hypoxia adversely affects prognosis and is associated with reduced patient survival independent of treatment option <sup>13</sup>. Hypoxia represents a convincing therapeutic target and several strategies have been researched (Table *1.1*).

Table 1.1: Hypoxia targeting strategies classified by mechanism of action. Table reproduced with permission from publisher<sup>3</sup>.

| Mechanism of action  | Hypoxia targeting approach    | Comments                         |
|----------------------|-------------------------------|----------------------------------|
| Increasing           | Increasing haemoglobin        | Either via transfusion or        |
| intratumoural oxygen |                               | erythropoietin                   |
|                      | Hyperbaric oxygen (HBO)       | Increases oxygen transfer within |
|                      |                               | the lungs                        |
|                      | Carbogen and nicotinamide     | Improves oxygen diffusion and    |
|                      | (CON)                         | perfusion within tumour          |
| Decreasing tumour    | Biguanides (metformin and     | Inhibition of the mTOR-HIF-1α    |
| oxygen consumption   | phenformin)                   | axis (mitochondrial inhibitors)  |
| Hypoxia-specific     | Nitroaromatic compounds       | Mimic the effect of oxygen       |
| radiosensitizers and | (metronidazole, misonidazole, | causing radiosensitisation       |
| cytotoxins           | nimorazole and pimonidazole)  |                                  |
|                      | Quinone based moieties        | Cytotoxins causing DNA           |
|                      | (mitomycin C, porfiromycin)   | alkylation                       |
|                      | N-oxide (tirapazamine)        | Free radical formation           |
| Various              | Hyperthermia                  | Increased oxygen delivery,       |
|                      |                               | direct thermal damage, selective |
|                      |                               | destruction of hypoxic cells     |

### 1.2.1 Increasing intratumoural oxygen

The most intuitive way to combat hypoxia is to increase oxygen delivery to the tumour. Oxygen bound to haemoglobin is transported in the blood and the negative prognostic impact of low haemoglobin levels at time of presentation or during radiotherapy is clear<sup>14</sup>. However, approaches to increase haemoglobin levels either via transfusions or via erythropoietin injections have produced a mixed clinical response and their use as hypoxia-targeting approaches is not clear<sup>8</sup>. Anaemia is a complex phenomenon which may reflect a higher cancer burden, general wellbeing, nutrition and other co-morbidities rather than being wholly related to hypoxia<sup>15</sup>.

A more promising avenue of hypoxia modification involved patients breathing high-oxygen content gas within hyperbaric chambers. The Medical Research Council multicentre randomized trials in the late 1970s comparing hyperbaric oxygen v air reported 67% v 47%

locoregional control at 5 years and 37% v 25% overall survival at 5 years <sup>16</sup>. More recently, hypoxia modification using normobaric carbogen gas (95-98% oxygen and 2-5% carbon dioxide) along with nicotinamide have been used in clinical trials with improved outcomes in head and neck and bladder cancers <sup>17,18</sup>. Carbogen gas is preferred to pure oxygen as it is thought to have a greater intratumoural O<sub>2</sub> diffusion capacity and does not cause the same degree of vasoconstriction. Nicotinamide, the amide derivative of vitamin B<sub>3</sub>, is a potent vasodilator and improves tumour perfusion. Together the compounds complement each other to target chronic and acute hypoxia respectively <sup>19</sup>. A phase II clinical trial of 139 patients with LACC concluded that the addition of carbogen and nicotinamide (CON) hypoxia modification to standard therapy was feasible and safe <sup>20</sup>. More work is needed to investigate the therapeutic effects of this promising approach in cervical cancers.

### 1.2.2 Decreasing tumour oxygen consumption

An alternative strategy to combat hypoxia is to reduce oxygen consumption within tumour cells. Metformin, an antidiabetic agent, reduces cancer incidence in diabetic patients<sup>21</sup>. Subsequent studies highlight a complex interplay with hypoxia-associated molecular pathways, likely through the inhibition of the mTOR-HIF-1α axis<sup>22</sup>. Two phase II randomized clinical trials, NCT02394652 and NCT04275713, are currently investigating the use of metformin as a hypoxia-modifying therapy for LACC, following the evidence in other tumour types, such as non-small cell lung cancer, that this strategy is feasible and may be beneficial<sup>23</sup>. These trials will assess metformin-induced changes in tumour hypoxia using imaging and gene expression biomarkers.

### 1.2.3 Hypoxia-specific radiosensitizers and cytotoxins

Hypoxia-specific radiosensitizers are given with radiation and mimic the effects of oxygen. Hypoxia-selective drugs can be administered in the form of a bioreductive compound, which is reduced in hypoxia to form a cytotoxic agent<sup>24</sup>. Nitroimidazole compounds have been extensively researched as radiosensitising agents which undergo enzymatic and radiation-induced redox reactions in an oxygen deficient environment<sup>25</sup>. Despite the success of first and second generation nitroimidazoles in pre-clinical models, the drugs resulted in high toxicity and limited radiosensitisation in humans<sup>26</sup>. The third generation drug, pimonidazole, also failed to show any added benefit in the treatment of uterine cervix cancers despite being developed to have a lower toxicity profile<sup>27</sup>. However, a large multi-centre study of 333 patients concluded that giving the nitrotriazole Sanazol with radical radiotherapy significantly improved local

tumour control and overall survival without an increase in major toxicity<sup>28</sup>. Continued research of nitroaromatic compounds remains valuable as illustrated by the Danish Head and Neck Cancer 5 (DAHANCA 5) study<sup>29</sup>, which led to the routine clinical use of nimorazole in the treatment of head and neck cancers in some countries.

There are several classes of hypoxia-specific cytotoxins. Quinone based agents selectively activate in hypoxia through a reductive mechanism and induce a DNA-alkylation mediated cytotoxicity<sup>30</sup>. 160 patients with locally advanced squamous-cell carcinoma of the uterine cervix participated in a multicentre phase III trial which randomized participants to receive radiotherapy alone or radiotherapy with concomitant mitomycin C<sup>31</sup>. Despite improved four-year disease-free survival rates in the intervention group (71% vs 44% in control group, p = 0.01), the study failed to demonstrate a significant benefit in overall survival or local recurrence rates. Mitomycin C is the most widely used hypoxia-activated prodrug but has very minor increased toxicity towards hypoxic versus normoxic cells in vivo. In other words, this is a dose related effect which is only hypoxia sensitising at high non-clinical doses. The related compound, porfiromycin, displays a greater hypoxic selectivity, which makes it a possible candidate for future clinical trials.

Tirapazamine was discovered nearly 40 years ago and is the first purely hypoxic cytotoxin and one of the most advanced bioreductive drugs in clinical trials. The best-known aromatic Noxide is used as an anticancer drug which undergoes enzymatic one-electron reduction and converts to an electron-donating mono-N-oxide metabolite (tirapazamine radical)<sup>32</sup>. Murine model experiments showed considerably more tumour cell death when tirapazamine was combined with radiotherapy or cisplatin chemotherapy<sup>33,34</sup>. Following these encouraging results, early phase clinical trials focused on the synergistic interactions between tirapazamine and cisplatin in treating cervical cancer patients<sup>35,36</sup>. When combined with radiotherapy in the treatment of locally advanced cervical cancers, Rischin et al. recommend a weekly maximum tolerated dose of 260 mg/m<sup>2</sup> tirapazamine and 30 mg/m<sup>2</sup> cisplatin though the authors reported higher than expected toxicity<sup>37</sup>. Craighead et al. preferred an alternating weekly regimen of between 220 mg/m<sup>2</sup> and 290 mg/m<sup>2</sup> tirapazamine with 75 mg/m<sup>2</sup> cisplatin, reporting an acceptable toxicity profile at these doses. The latter dosing schedule was used in the interventional arm of a prospective, randomized phase III intergroup trial<sup>38</sup>. 402 locally advanced cervical cancer patients were randomly assigned to cisplatin chemoradiotherapy versus cisplatin/tirapazamine chemoradiotherapy. However, cisplatin/tirapazamine chemoradiotherapy was not superior to cisplatin chemoradiotherapy for either progression free survival or overall survival.

### 1.2.4 Hyperthermia

A strategy which encompasses a variety of hypoxia targeting mechanisms is hyperthermia. It is assumed to improve oxygenation by causing vasodilatation, as well as direct cellular damage, an immune-mediated killing of tumour cells and inhibition of DNA repair<sup>39</sup>. In cervical cancers, hyperthermia has been used to sensitize tumours to radiotherapy and the evidence suggests that combining radiotherapy with hyperthermia results in improved locoregional control when compared to using radiotherapy alone (77% vs 52%)<sup>40</sup>. There is ongoing research using hyperthermia to treat cancer patients with a focus on hyperthermic intraperitoneal chemotherapy after cytoreductive surgery (HIPEC) and homogeneous tumour heating<sup>41</sup>.

### 1.3 Future of hypoxia targeting trials

A meta-analysis used data from 19 cervix trials of patients undergoing primary radiotherapy with and without a range of hypoxia-modifying therapies. The authors reported an odds ratio of 0.80 (0.69-0.94) in favour of hypoxia modification for locoregional control and an odd ratio of 0.91 (0.78-1.05) in favour of hypoxia modification benefitting overall survival<sup>8</sup>. Despite the evidence supporting hypoxia targeted treatments, nothing is used routinely in the treatment of cervical cancer patients. This may in part be due to the earlier studies being small and underpowered, or that hypoxia-targeting treatments which largely comprise inexpensive drugs are of limited financial interest to industry.

An important observation is that hypoxia modification is most likely to impact outcome in the most hypoxic tumours. A hypoxia PET imaging sub-study of a larger tirapazamine trial in stage II/IV head and neck cancer patients exemplifies this point<sup>42</sup>. Though the main study did not show any significant difference in outcome between the control and intervention groups, hypoxia PET imaging was able to identify patients who benefitted from tirapazamine containing chemoradiation. This study illustrates the potential for a predictive hypoxia biomarker selecting patients who are likely to benefit from hypoxia-targeting treatment.

### 1.4 Measuring hypoxia

A biomarker is a "defined characteristic that is measured as an indicator of normal biological processes, pathogenic processes or responses to an exposure or intervention, including therapeutic intervention. The current FDA-NIH Biomarker Working Group definition states

explicitly that "molecular, histologic, radiographic or physiologic characteristics are examples of biomarkers" <sup>43</sup>. Routinely used biomarkers in cervical cancer patient stratification are clinical stage, histological grade, lymphovascular space invasion and radiographic lymph node metastasis. Biomarkers can be used to make diagnoses, monitor disease status, inform on drug levels, or assess clinical outcome. There is no validated hypoxia biomarker in routine clinical practice (Table *1.2*).

Table 1.2: Selected studies investigating hypoxia response-associated biomarkers in cervical cancer. Patients in these studies received either surgery or (chemo)radiotherapy or a combination of the two. Table reproduced permission from publisher<sup>3</sup>.

| Assay platform/        | Biomarker*      | Number of | Clinical          | Year               |
|------------------------|-----------------|-----------|-------------------|--------------------|
| Imaging modality       |                 | patients  | outcome**         |                    |
| (NCT ID)               |                 |           |                   |                    |
| IHC staining           | CA-IX           | 130       | OS and MFS (+)    | 2001 44            |
|                        | expression      |           |                   |                    |
|                        | CA-IX           | 166       | OS and PFS (+)    | 2010 45            |
|                        | expression      |           |                   |                    |
| IHC staining           | Pimonidazole    | 127       | Tumour control or | 2006 46            |
|                        | staining        |           | OS (na)           |                    |
| Illumina bead array    | Gene            | 109       | PFS (-)           | 2012 47            |
|                        | expression      |           |                   |                    |
|                        | signature score |           |                   |                    |
|                        | Gene            | 239       | Disease           | 2016 <sup>48</sup> |
|                        | expression      |           | progression (+)   |                    |
|                        | signature score |           |                   |                    |
| <sup>18</sup> F-FAZA   | Visual uptake   | 15        | DFS (-)           | 2010 <sup>49</sup> |
| (NCT00388687)          | score†          |           |                   |                    |
| (NCT01549730)          | TBR; HF         | 27        | DFS (na)          | 2018 <sup>50</sup> |
| <sup>18</sup> F-FETNIM | TMR             | 16        | PFS and OS (-)    | 2012 51            |
| <sup>60</sup> Cu-ATSM  | TMR             | 38        | PFS and OS (-)    | 2008 52            |
| (NCT00794339)          |                 |           |                   |                    |

| BOLD MRI | $R_2^*$          | 30 | Tumour response   | 2014 53 |
|----------|------------------|----|-------------------|---------|
|          |                  |    | after therapy (-) |         |
|          | R <sub>2</sub> * | 65 | Tumour response   | 2015 54 |
|          |                  |    | after therapy (-) |         |
|          | $R_2^*$          | 92 | PFS and CSS (-)   | 2019 55 |

<sup>\*</sup> TBR = tumour to blood ratio, TMR = tumour to muscle ratio, HF = hypoxic fraction

Any potential hypoxia biomarker must contend with the challenge that tumour oxygen distribution is highly variable (*Figure* 1.3). Tumour-to-tumour (inter-tumour) heterogeneity of oxygenation is greater than within a single tumour (intra-tumour)<sup>56</sup>. Hypoxic status is a dynamic entity influenced by other physiological factors such as tumour blood flow rate, haemoglobin concentration and arterial partial pressure of oxygen (pO<sub>2</sub>)<sup>57</sup>. These factors mean that a snapshot measurement will be unrepresentative and serial measurements are required if the biomarker is to guide clinical decision making.

<sup>\*\*</sup> OS = overall survival, PFS = progression free survival, MFS = metastasis free survival, DFS = disease free survival, CSS = cancer specific survival, (+) = positive association, (-) = negative association, (na) = no association †semiqualitative marker

# B

### Why is measuring hypoxia a challenge?

Chronic hypoxia (A): As oxygen diffuses away from the vasculature, it gets metabolized by cells resulting in a continuum of oxygen diffusion. Cells closest to the vessel are normoxic (yellow cells). Therefore, those cells that lie outside the diffusion limit die (black cells) and those residing near the limit experience hypoxia (brown cells).

Acute hypoxia (B): Sometimes the blood vessel becomes occluded. The effects of intermittent tumour blood vessel patency can transiently restrict perfusion and cause a cyclic hypoxia.

Both types of hypoxia co-exist and contribute to the spatial and temporal heterogeneity as observed in tumors. It is difficult to distinguish between the types of hypoxia experimentally and therefore difficult to detail the relative extent to which the different forms occur.

Figure 1.3: Why measuring hypoxia is a challenge. Figure reproduced with permission from publisher<sup>3</sup>.

Tissue biopsy and imaging are two ways of acquiring biomarkers embedded into the clinical workflow. Tissue-derived biomarkers can be diagnostic, prognostic, or predictive. Imaging-derived biomarkers are more useful in assessing susceptibility/risk, monitoring, response, and safety. It is important to note that as more of the diagnostic pathways become automated, the sensitivity and specificity of the tests will improve<sup>58,59</sup>. This automation does have the potential drawback of being initially resource intensive to set-up before a return is seen.

Polarographic oxygen electrodes provided the foundation for measuring hypoxia in cancer research and are cited as the "gold standard". In the 1980s, they provided the first repeatable and reproducible evidence that hypoxia existed in solid tumours with reports of median pO<sub>2</sub> values of 9 mmHg in uterine cervix tumours and 48 mmHg in normal cervix tissue<sup>60,61</sup> Values between 5 to 10 mmHg are considered to define 'hypoxic cervical tumours'. The role of hypoxia in cervical cancers was then established as the lower pO<sub>2</sub> values were strongly associated with increased risk of nodal and distant metastases, and poorer treatment outcomes<sup>62</sup>. Use of oxygen electrodes is limited due to their invasive nature and manufacturer availability.

### 1.4.1 Tissue biomarkers

### 1.4.1.1 Protein expression

Routine diagnostic biopsy tissue can be used to assess hypoxia by measuring the expression of hypoxia-inducible genes at the protein or RNA level. Two of the key molecules underlying oxygen homeostasis are hypoxia-inducible factor HIF-1α and HIF-2α. The HIF-1<sup>63,64</sup> mediated response, along with several of its downstream targets such as Glut-1<sup>65,66</sup>, CA-IX<sup>44,45,67</sup> and VEGF<sup>68,69</sup> have been thoroughly investigated as endogenous markers in cervical cancer. A meta-analysis of 147 studies in multiple cancers showed high CA-IX protein expression was an adverse prognostic factor<sup>70</sup>, but no conclusions could be drawn from the sub-group analysis of studies on cervix tumours. Individual studies on cervix cancer reported mixed results. For example, a study of 130 cervix cancer patients showed a correlation between CA-IX expression and oxygen electrode data, and that high tumour CA-IX expression was a significant independent adverse prognostic factor<sup>44</sup>. However, a study in 77 patients showed no association with pO<sub>2</sub> or survival outcomes<sup>71</sup>. Similar conflicting findings were reported for other endogenous markers of hypoxia in carcinoma of the cervix<sup>72,73</sup>. Nevertheless, a meta-analysis of high tumour HIF-1α expression was associated with a poor prognosis in cervical cancer<sup>74</sup>.

A major disadvantage of using immunohistochemical detection of the expression of individual genes is that the proteins can be regulated by factors other than hypoxia. HIF-1 $\alpha$  may be influenced by non-hypoxic stimuli such as trophic stimuli (insulin-like-growth factors), oncogenes (p53, v-Src, PTEN) and cytokines (interleukin- $\beta$  and tumour necrosis factor- $\alpha$ ). Other drawbacks include lack of immunohistochemistry (IHC) standardization protocols (such as tissue fixation times) and varied result interpretation (quantitative image analysis vs visual estimation) between different laboratories.

Exogenous markers for hypoxia have also been researched. Various 2-nitroimidazole compounds such as misonidazole<sup>75</sup>, pimonidazole<sup>76</sup> and EF5<sup>77</sup> have been studied within cervical cancer. The compounds bind with intra-cellular macromolecules forming stable adducts – a reaction that is prohibited at higher oxygen levels. Chronic hypoxia areas in viable cells, at pO<sub>2</sub> levels below 10mmHg, are detected when monoclonal antibodies bind to these adducts. The degree of IHC or immunofluorescence staining is relative to cellular oxygenation and levels of the bioreductives<sup>78,79</sup>. The compounds differ in pharmacokinetics and tissue distribution, e.g. pimonidazole accumulation rate is more dependent on pH resulting in more vessel wall binding in transient hypoxia, and this is an important factor in compound choice<sup>78</sup>. Pimonidazole is able to reflect intra- and inter- cervical tumour heterogeneity and endogenous

hypoxia markers (CA IX and HIF-1α) moderately correlate, in extent and location, with pimonidazole<sup>80</sup>. However, there is no correlation with oxygen electrode measurements of hypoxia<sup>81,82</sup>. This may be explained as the non-viable necrotic areas with no blood flow do not take up pimonidazole. Furthermore, a prospective multicentre international study of 127 cervical cancer patients did not find pimonidazole to be a statistically significant prognostic factor for loco-regional tumour control or overall survival<sup>46</sup>.

Secreted proteins or circulating free DNA as hypoxia biomarkers are being studied as they can be rapidly measured in blood and/or urine. Liquid biopsies are not as invasive as tumour tissue biopsies and allow for serial measurements through therapy. Serum VEGF<sup>69,83</sup> has been reported to be significantly elevated in patients with cervical cancer and influences progression-free survival. Serum VEGF-C<sup>84</sup> also correlated significantly with disease recurrence. Importantly, VEGF is primarily a marker for angiogenesis rather than hypoxia, and concentrations may be affected by other regulatory factors such as inflammation. This is a major barrier preventing regular clinical use. Besides, spatial information as a response to therapy is lost and combination with imaging strategies would be a necessity.

### 1.4.1.2 Gene expression

To circumvent the problems faced by measuring hypoxia-inducible genes at the protein level, RNA-based markers have been developed to improve biomarker reliability. Advances in high-throughput expression profiling technologies and bioinformatics have enabled gene expression analysis to generate hypoxia-associated gene signatures. When cancer cells adapt to their hypoxic environment, they alter transcription by activating biological processes required to adapt to low oxygen levels<sup>85</sup>. By identifying a set of 'signature genes' most likely to associate with hypoxia in tumours, the expression levels of these genes can be summarized into a single score. A 'gene expression signature' refers to multiple genes which collectively express a particular phenotype, in this case hypoxia. When developing gene expression signatures, it is typical to start with a list of candidate genes. These are genes with a *bona fide* relationship with the disease process and biological phenotype being investigated<sup>86</sup>.

Four hypoxia-associated gene signatures for cervical cancer have been developed and the methods are summarised in Table 1.3 <sup>47,48,87,88</sup>. The Halle 31-gene signature <sup>47</sup> was developed by first associating a threshold for A<sub>Brix</sub>, a magnetic resonance imaging (MRI) parameter derived using dynamic contrast enhanced-MRI, with poor outcome. Though A<sub>Brix</sub> is not a strict measure of hypoxia, it informs about tumour perfusion which is closely related to hypoxia and vascular parameters have been shown to be associated with a negative prognostic outcome

in women with uterine cervical cancer 89. MRI-derived vascular parameters, as surrogate markers of hypoxia, form a large body of evidence which is explored in greater detail in section 1.4.2.2 of this thesis. In the Halle signature, candidate genes curated from four cohorts (including cervical cancer cell line experimental data) were ranked by their association (Spearman's rank correlation) to the revised A<sub>Brix</sub> parameter so that upregulated genes in tumours with low A<sub>Brix</sub> values were selected. The median centred expression levels for the 31genes were used to calculate a hypoxia score. For the Fjeldbo 6-gene classifier<sup>48</sup>, the Halle signature was further enriched with genes showing a 2-fold upregulation by hypoxia in at least one of eight cervical cancer cell lines. This new list of 17 candidate genes were modelled based on how well each gene discriminated a low A<sub>Brix</sub> group from a high A<sub>Brix</sub> group. The ratio of between group variations (B) to within group variations (W) in expression were calculated such that a high B/W-ratio will best discriminate between the low vs high A<sub>Brix</sub> groups. A similar formula has also been used to develop the Toustrup head and neck signature<sup>90</sup>. The mean expression of a classifier gene in the training cohort was tabulated and used to classify new tumours. The advantage of a classifier is that it produces a binary outcome. Mean or median expression scores result in a continuous variable that usually requires defining a threshold, above or below which a clinical intervention is justified. However, the mechanics of the Fjeldbo classifier make it platform specific requiring classifier gene expression to be assessed on the target platform and tabulated for future classification.

Table 1.3: Summary of published hypoxia gene signatures for patients with cervical cancer.

| General                     | Candidate genes  | Model   | Clinical cohorts  |
|-----------------------------|--|---|---|
| Halle <sup>47</sup>         | in vitro cell line experiments and in silico hypoxia gene sets | Upregulated genes associated with low A <sub>Brix</sub> ( $ ho$ ) | Train/test: 46 patients (GSE36562/ Illumina WG-6)           |
| 31 genes                    |  |   | External validation: 109 patients (GSE36562/ Illumina WG-6) |
| Mean expression as          |  |   |   |
| a continuous score          |  |   |   |
| Fjeldbo <sup>48</sup> (SCC) | Halle signature enriched using in vitro cell line experiments  | B/W ratio   | Train/test: 42 patients (subset of Halle; GSE 72723)        |

| 6 genes   |   |                         | External validation:  |
|---|---|-------------------------|---|
| Binary classifier   |   |                         | 1 - 108 patients (subset of Halle; GSE 72723) 2 – 131 patients (GSE 72723/Illumina HT-12) |
| Yang <sup>87</sup>  | in silico curated hypoxia related genes (MSigDB) with high expression and poor prognosis in | LASSO cox<br>regression | Train/test: 257 patients (TCGA- CESC/ RNA-seq)  External validation:                      |
| 5 genes   | training cohort<br>(HR>1, p<0.05)   |                         | 300 patients (GSE<br>44001/ Illumina HT-<br>12)   |
| Σ (coefficient x median expression) as a continuous score |   |                         |   |
| Nie <sup>88</sup>   | in silico curated hypoxia related genes (MSigDB) with poor prognosis in                     | LASSO cox<br>regression | Train/test: 289 patients (TCGA- CESC/ RNA-seq)  |
| 9 genes   | training cohort<br>(HR>1, p<0.01)   |                         | External validation:<br>117 patients (CGCI-<br>HTMCP-CC/ RNA-<br>seq)                     |
| Σ (coefficient x median expression) as a continuous score |   |                         |   |

Yang<sup>87</sup> and Nie<sup>88</sup> have used very similar strategies in developing their gene expression signatures, however have little overlap in signature genes (Table *1.4*). In both papers, approximately 200 hypoxia related genes were curated from The Molecular Signatures Database (MSigDB) and the median expression was used to form two subgroups within The Cancer Genome Atlas cervical squamous cell carcinoma and endocervical adenocarcinoma (TCGA-CESC) cohort. Genes associated with poor overall survival were selected as candidate genes. A risk model was generated using least absolute shrinkage and selection operator (LASSO) regression analysis. The risk score is derived by multiplying median gene expression by a coefficient and summating the scores. The differences in selected signature genes may be reflective of known variations in the RNA-seq data analysis pipeline<sup>91</sup> and model input parameters. In fact, only one gene, Prolyl 4-hydroxylase, alpha polypeptide II (*P4HA2*), is common across all gene signatures. This reflects the heterogeneity in hypoxia response and tumour microenvironment diversity between patients<sup>92</sup>.

Table 1.4: Summary of published hypoxia associated cervical cancer signature genes.

| Publication (s) | Gene    | Gene name                                 |
|-----------------|---------|---|
|                 | symbol  |   |
| Halle           | AK2     | Adenylate kinase 2                        |
| Halle, Yang     | AK4     | Adenylate kinase 4                        |
| Halle           | ALDOA   | Aldolase A, fructose-bisphosphate         |
| Halle           | B3GNT4  | UDP-GlcNAc:betaGal beta-1,3-N-            |
|                 |         | acetylglucosaminyltransferase 4           |
| Halle           | C140RF2 | Chromosome 14 open reading frame 2        |
| Halle           | C190RF5 | Chromosome 19 open reading frame 53       |
|                 | 3       |   |
| Halle           | C40RF3  | Chromosome 4 open reading frame 3         |
| Halle           | CLK3    | CDC-like kinase 3                         |
| Halle, Fjeldbo  | DDIT3   | DNA-damage-inducible transcript 3         |
| Halle           | ECE2    | Endothelin converting enzyme 2            |
| Nie             | EFNA1   | Ephrin A1                                 |
| Halle, Fjeldbo  | ER01A   | Endoplasmic reticulum oxidoreductase α    |
| Halle           | FGF11   | Fibroblast growth factor 11               |
| Halle           | GAPDH   | Glyceraldehydes-3-phosphate dehydrogenase |
| Halle           | HMOX1   | Heme oxygenase (decycling) 1              |
|                 |         |   |

| Nie             | IER3    | Immediate Early Response 3                            |
|-----------------|---------|---|
| Halle           | ISG15   | ISG15 ubiquitin-like modifier                         |
| Nie             | ISG20   | Interferon stimulated exonuclease gene 20             |
| Halle, Fjeldbo  | KCTD11  | Potassium channel tetramerisation domain containing   |
|                 |         | 11  |
| Nie             | KLF7    | Kruppel like transcription factor 7                   |
| Nie             | LDHC    | Lactate dehydrogenase C                               |
| Halle           | MRGBP   | Mortality factor on chromosome 4-related gene binding |
|                 |         | protein   |
| Halle, Fjeldbo, | P4HA2   | Prolyl 4-hydroxylase, alpha polypeptide II            |
| Yang, Nie       |         |   |
| Halle           | PFKFB4  | 6-Phosphofructo-2-kinase/fructose-2,6-biphosphatase   |
|                 |         | 4   |
| Nie             | PGM1    | Phosphoglucomutase 1                                  |
| Halle           | PVR     | Poliovirus receptor                                   |
| Halle           | PYGL    | Phosphorylase, glycogen, liver                        |
| Nie             | RBPJ    | Recombination signal binding protein for              |
|                 |         | immunoglobulin kappa J region                         |
| Halle           | RHOC    | Ras homolog gene family, member C                     |
| Halle           | RPL36A  | Ribosomal protein L36a                                |
| Halle           | S100A2  | S100 calcium binding protein A2                       |
| Halle           | SCARB1  | Scavenger receptor class B, member 1                  |
| Halle           | SH3GL3  | SH3-domain GRB2-like 3                                |
| Halle           | SNTA1   | Syntrophin Alpha 1                                    |
| Halle           | SPAG7   | Sperm associated antigen 7                            |
| Nie             | STC1    | Stanniocalcin 1                                       |
| Halle, Fjeldbo  | STC2    | Stanniocalcin 2                                       |
| Yang            | TGFBI   | Transforming growth factor beta induced               |
| Halle           | TRAPPC1 | Trafficking protein particle complex 1                |
|                 |         |   |
| Halle, Fjeldbo  | UPK1A   | Uroplakin 1A  |
| Yang            | VEGFA   | Vascular endothelial growth factor A                  |

All the listed signatures  $^{47,48,87,88}$  have been trained on prognosis which reflects the notion that a hypoxic tumour is an aggressive phenotype with poor clinical outcome. However, there are other independent reasons for an adverse clinical outcome and therefore such an assumption may not reflect the hypoxic ground truth as measured by the biomarker. This can be achieved by associating gene signature expression to another hypoxia biomarker however an association with pO<sub>2</sub> measurements acquired using needle electrodes is yet to be proven and has only been reported for a head and neck signature  $^{90}$ .

Gene expression platforms measuring relative mRNA abundance are influenced by several external factors such as preservation technique, technical batch effect and age of samples. Despite this, gene signatures have shown the best evidence for biomarker utility in predicting treatment outcome benefit from hypoxia modification in other solid tumours<sup>93</sup>. A limitation of a gene signature approach for measuring tumour hypoxia is the use of diagnostic biopsy samples that assess a very small section of a tumour, is prone to sampling bias and may not accurately reflect tumour heterogeneity. However, it has been shown that using a single cervical cancer biopsy to measure hypoxia gene signature expression may result in an adequate estimate of whole tumour hypoxia with the capability to differentiate tumours of varying scores<sup>94</sup>. Perhaps the greatest drawback of the gene signature approach is that they appear to be tissue type and site specific<sup>85</sup>, and are unsuitable for longitudinal studies.

#### 1.4.2 Hypoxia imaging biomarkers

#### 1.4.2.1 Positron emission tomography (PET) imaging

PET imaging is the most commonly investigated indirect method for imaging tumour hypoxia<sup>95,96</sup>. It reports on intracellular hypoxia and is highly sensitive and specific. Following hypoxia radioisotope administration, PET imaging is acquired after an interval of approximately 90-120 minutes to enable optimal image contrast, but protocols vary between centres and no one standard approach is used worldwide. Hypoxia radiotracers can be grouped into two classes: nitroimidazole and dithiosemicarbazone derivatives. Nitroimidazole pharmacodynamics are outlined earlier in this chapter and PET imaging detection in this group is via the radiolabelled <sup>18</sup>F. Copper isotopes, with varying half-lives, used to radiolabel diacetyl-bis(N4-methylthiosemicarbazone) analogues (Cu-ATSM) are seldom used nowadays as the variety and flexibility of <sup>18</sup>F agents has blossomed. However, their mechanism of accumulation in hypoxic cells is not entirely known<sup>97</sup>.

<sup>18</sup>F-fluoromisonidazole (<sup>18</sup>F-FMISO) is the most frequently used hypoxia PET tracer in cancer imaging, however there are only a few clinical studies investigating feasibility in cervical cancer<sup>98,99</sup>. Studies associated with clinical outcome have used the other fluorine labelled <sup>18</sup>F-fluoroazomycin arabinoside (18F-FAZA) nitroimidazoles, and fluoroerythronitroimidazole (18F-FETNIM). These are small, exploratory studies that show no clear association with outcome or other hypoxia biomarkers<sup>49-51</sup>. The seldom used category, <sup>60</sup>Cu-ATSM, forms the basis of the largest multicentre hypoxia PET study in 38 patients with cervical cancer treated with chemoradiotherapy<sup>52</sup>. The tumour to muscle ratio (TMR) biomarker was significantly associated with worse survival and a sub-group analysis within this study demonstrated that the more hypoxic tumours had significantly higher CA IX expression <sup>100</sup>. However validation of hypoxia PET tracers with established invasive methods, such as oxygen electrodes or IHC staining, has proven to be problematic<sup>95</sup>. Specifically in cervical cancer, there is a noticeable lack of hypoxia PET imaging studies. This is discrepant from other tumour sites such as primary head and neck, and lung cancers 101,102. Cervical cancer studies have used fewer, and less homogeneous tracers, and this limits generalizability when compared to the other tumour types.

#### 1.4.2.2 Magnetic resonance imaging (MRI)

MRI is another imaging modality that has been used to measure hypoxia non-invasively. Significant potential advantages of MRI over PET are the lack of radiation and therefore the potential for multiple safe repeatable studies, and its superior spatial resolution  $^{103}$ . PET requires complimentary anatomical imaging for interpretation. Since the 1990s, an MRI technique used to measure hypoxia is blood oxygenation level dependent (BOLD) imaging. This quantifies the transverse relaxation rate,  $R_2^*$ , which is sensitive to the concentration of paramagnetic deoxyhaemoglobin molecules in an imaging voxel, where more deoxyhaemoglobin results in a faster native  $R_2^*$   $^{104}$ . The technique can also be performed with inhalation of hyperoxic gas (either 100% oxygen or carbogen), to distinguish tumour subregions that do not alter their  $R_2^*$  (are already well oxygen saturated in their haemoglobin = normoxic) from those that have a reduction in  $R_2^*$  (as excess oxygen binds to deoxyhaemoglobin molecules and the absolute amount of these molecules is reduced = hypoxic). Thus, both native  $R_2^*$  and the oxygen-induced  $\Delta R_2^*$  have been used as imaging biomarkers of hypoxia  $^{105}$ .

A pilot study in 9 men with prostate cancer observed a significant negative correlation between  $R_2^*$  and pO<sub>2</sub> measured using an oxygen electrode (r = -0.66, p = 0.07)<sup>106</sup>. The majority of published human studies focus on feasibility however a handful assessed clinical outcome

associated with BOLD imaging biomarkers in cervical cancer<sup>53–55</sup>. These isolated studies have identified an inverse association between the native  $R_2^*$  biomarker, measured at pretreatment, and either anatomical response or a survival outcome measure. However  $R_2^*$  measurements are affected by blood flow, changes in vessel geometry, artefacts from haemorrhage and field inhomogeneity due to tissue-gas interface which makes repeatability and reproducibility problematic<sup>105,107</sup>.

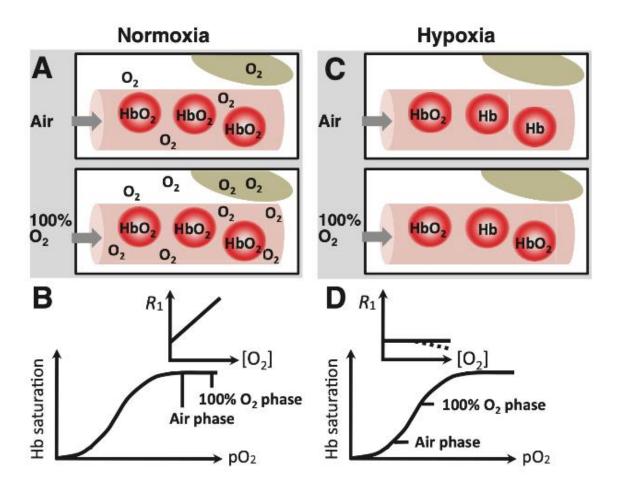


Figure 1.4: (adapted from O'Connor et al  $^{107}$ ). OE-MRI distinguishes between normoxic (A and B) and hypoxic (C and D) tissue environments. In normoxic tissue: (a) and (b) inhalation of a hyperoxic gas increases the amount of dissolved plasma  $O_2$ , but oxygenated haemoglobin (HbO<sub>2</sub>) concentration is essentially unaltered. It is the increased pO<sub>2</sub> in interstitial fluid and plasma that increases tissue R<sub>1</sub>. In hypoxic tissue: (c) and (d) inhalation of a hyperoxic gas increases the oxy-haemoglobin to deoxy-haemoglobin ratio as there is a paucity of haemoglobin that is fully saturated. This has a negligible effect on dissolved plasma O<sub>2</sub>. This has a negligible change in pO<sub>2</sub> and the R<sub>1</sub> remains nearly constant (straight black line).

Techniques such as oxygen enhanced MRI (OE-MRI; *Figure 1.4*) that measure changes in longitudinal relaxation ( $\Delta R_1$ ) offer an alternative to  $R_2^*$  methods<sup>108</sup>. During the dynamic  $T_1$  sequence, participants inhale a hyperoxic gas which results in different  $R_1$  measurements being acquired from regions which are normoxic or hypoxic<sup>107</sup>. This is equivalent to the oxygen challenge seen in BOLD, but the contrast mechanism is different as it reflects change in proton longitudinal relaxation rate due to the presence of paramagnetic  $O_2$  molecules. To date, only a small feasibility study has assessed OE-MRI in two patients with uterine cervical carcinoma<sup>109</sup>. OE-MRI has been now studied in around 70 patients with other solid tumour types and shown initial promised as a response biomarker of hypoxia modification<sup>108,110–112</sup>.

A key criterion of any perfect hypoxia biomarker is that it should be hypoxia specific rather than measure a hypoxia surrogate process. Despite this, there are several studies investigating associations between hypoxia related biomarkers as derived from MRI not specific to hypoxia with some of the hypoxia specific biomarkers mentioned above. These studies are primarily investigating other tumour characteristics that relate to poor outcome (Table 1.5).

Table 1.5: Selected studies investigating hypoxia-surrogate imaging biomarkers acquired at baseline in cervical cancer. Patients in these studies received either surgery or (chemo)radiotherapy or a combination of the two. Table reproduced permission from publisher<sup>3</sup>.

| Biomarker*        | Number of | Biological                | Clinical outcome**  | Year                |  |
|-------------------|-----------|---------------------------|---------------------|---------------------|--|
| patient           |           | comparator                |                     |                     |  |
| DCE-MRI           |           |                           |                     |                     |  |
| RSI               | 37        | Microvessel               | OS (-)              | 1998 <sup>113</sup> |  |
|                   |           | density                   |                     |                     |  |
|                   | 81        |                           | LRC (+)             | 2012 114            |  |
|                   | 98        |                           | LRC, CSS and OS (+) | 2010 115            |  |
|                   | 52        |                           | PFS (+)             | 2017 116            |  |
| LETV              | 85        |                           | DFS and OS (-)      | 2015 <sup>117</sup> |  |
| A <sub>Brix</sub> | 50        | Eppendorf pO <sub>2</sub> | CSS (+)             | 2002 118            |  |
|                   |           | histography               |                     |                     |  |

|                    | 57  | Microvessel          | Survival (na)          | 1998 <sup>119</sup> |
|--------------------|-----|----------------------|------------------------|---------------------|
|                    |     | density              |                        |                     |
|                    | 78  |                      | LRC and PFS (+)        | 2013 120            |
| K <sub>trans</sub> | 78  |                      | LRC and PFS (+)        | 2013 120            |
| DWI-MRI            |     |                      |                        |                     |
| ADC                | 52  |                      | PFS (+)                | 2017 116            |
|                    | 47  | No correlation       | Early response after   | 2008 121            |
|                    |     | with pO <sub>2</sub> | therapy (-)            |                     |
|                    |     | histography          |                        |                     |
|                    | 42  |                      | Time to recurrence (-) | 2013 122            |
|                    | 66  |                      | DFS (-)                | 2016 123            |
|                    | 85  |                      | DFS (-)                | 2016124             |
|                    | 44  |                      | Recurrence, OS, and    | 2016 <sup>125</sup> |
|                    |     |                      | DFS (+)                |                     |
| ΔADC (serial       | 124 |                      | PFS, CSS and OS (+)    | 2019 126            |
| measurements)      |     |                      |                        |                     |
| D                  | 30  |                      | Survival (na)          | 2017 127            |
| D                  | 45  |                      | Early response (na)    | 2021 128            |
| f                  | 30  |                      | Survival (na)          | 2017 127            |
| f                  | 45  |                      | Early response after   | 2021 128            |
|                    |     |                      | therapy (+)            |                     |

<sup>\*</sup> RSI = relative signal increase, LETV = low enhancing tumor volume

Dynamic contrast enhanced (DCE) MRI is a technique that enables biomarkers of perfusion, permeability, and other vascular features to be quantified and mapped spatially. This technique with quantitative analysis has been investigated extensively since the 1990s. The signal within each tumour voxel is monitored prior to and following intravenous contrast administration. These relative signal increases are reported to correlate with polarographic pO<sub>2</sub> histography<sup>118,129</sup>. The data suggests that DCE-MRI biomarkers, such as contrast

<sup>\*\*</sup> OS = overall survival, PFS = progression free survival, DFS = disease free survival, CSS

<sup>=</sup> cancer specific survival, LRC = locoregional control, (+) = positive association, (-) = negative association, (na) = no association

<sup>†</sup>semiqualitative marker

enhancement<sup>114,117,130</sup> or related pharmacokinetic parameter<sup>113,118,120</sup>, have a pre-treatment relationship with improved outcome. There is conflicting data on the value of enhancement during radiotherapy, with some suggestion that persistently low signal in DCE-MR images reflects poor re-oxygenation and therefore a higher risk of treatment failure<sup>115,131</sup>. Critically, it is unknown as to what DCE-MRI biomarker(s), if any, relate closely with tumour hypoxia. Despite this, the above-mentioned cervical cancer hypoxia gene signature<sup>47</sup> was derived using a DCE-MRI parameter, A<sub>Brix</sub>, which is a seldom quantified parameter but it is similar to the more widely known parameter *K*<sup>trans</sup>, a measure of perfusion and vascular permeability.

A technique popularised in the last decade is diffusion weighted (DW) MRI. It is sensitive to the random Brownian motion of intra-cellular water molecules. Lower apparent diffusion coefficients (ADCs) are exhibited in highly cellular tissues or those with cellular swelling <sup>132</sup>, due to the restricted movement of the free water molecules. Conversely, necrotic tissue has a higher ADC value and as necrosis and hypoxia are linked, it is suggested that ADC may be a useful surrogate biomarker of hypoxia. Similarly, intravoxel incoherent motion (IVIM; a type of DWI), can inform on microstructure (D) and microcirculation (f), and has been applied to hypoxia <sup>127,128</sup>. However, ADC, D and f fail to correlate with tumour pO<sub>2</sub> <sup>121</sup> and the prognostic utility of pre-, during or post treatment values is unclear <sup>122,123,125,127,128,133</sup>.

DCE-MRI and DWI-MRI sequences can be easily acquired on hospital scanners and added on to the existing clinical workflow with minimal set up or disruption (in distinction, both BOLD and T<sub>1</sub>-weighted OE-MRI require a gas challenge). This might explain why these studies are relatively abundant. Recent research strategies have attempted to validate the imaging biomarkers derived from these techniques in a 'consumption-and-supply' hypoxia imaging model (Figure 1.5)  $^{127,134,135}$ . For example,  $v_e$  (a measure of the extracellular extravascular space) can be combined with  $K^{trans}$  so that a high  $v_e/K^{trans}$  ratio may inform on hypoxic subgregions  $^{135}$ . Hompland et al.  $^{136}$  proposed that the D/f relationship can be used to define the hypoxic fraction biomarker (HF<sub>DWI</sub>). However, the studies try and derive meaningful associations between the MRI parameters and the underlying biology using complex mathematical models, and the assumptions made may not be valid in necrotic or fibrotic tissues<sup>137</sup>. The utility of these hypoxia surrogate biomarkers is being assessed in a multicentre interventional cervix cancer study launched by the Groupe Européen de Curiethérapie -European SocieTy for Radiotherapy & Oncology network. The EMBRACE II 'Functional Imaging' sub-study (NCT03210428) specifically defines the trial sequences in detail to achieve uniformity between the participating centres<sup>138</sup>. If substantiated, these imaging biomarkers can be rapidly translated into the clinical setting.

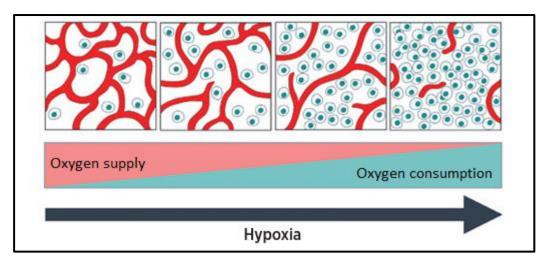


Figure 1.5: (adapted from Hompland et al<sup>136</sup>). The consumption and supply-based hypoxia model has been used to integrate IVIM DWI derived biomarkers related to oxygen supply and consumption.

# 1.5 The MR Linac and its role in evaluating hypoxia in patients with LACC

The magnetic resonance imaging (MRI)–linear accelerator combination (termed the MR Linac) is a new technique for delivering radiotherapy to patients as it enables real-time adaptation of treatment. Although the essential element of radiotherapy planning in the MRI environment is anatomical imaging, there is also the possibility to perform functional imaging during the therapy planning window, with the ultimate aim of augmenting the available tumour and organ information to inform clinical decision-making<sup>139</sup>. For example, 3D spatial maps of a hypoxia biomarker may be used to identify hypoxic zones within the tumour. These sub-volumes potentially highlight regions which may benefit from higher doses or altered fractionation using a technique called dose painting<sup>140</sup>. Given the potential for Biological Image-Guided Adaptive Radiotherapy (BIGART) to help target functionally distinct subregions within the tumour microenvironment, the MR Linac consortium has been formed to facilitate collaborative research and evidence-based clinical translation<sup>141</sup>.

MR-guided radiotherapy machines are still in their infancy and will no doubt undergo major technological developments in the years to come. Nevertheless, the current technological limitations must be considered when designing the first studies employing functional MR biomarkers. These challenges can be either generic to all MRI methods, or can be sequence-specific.

#### 1.5.1 Generic challenges for all anatomical and functional sequences

# 1.5.1.1 Workflow and time to acquire data

Many cancer patients are frail and their ability to lie immobile in the radiotherapy (RT) fixation devices for an extended period is limited. The proximity of the MR receive coil to the patient, as well as the noise produced by the machine itself, will cause anxiety or claustrophobia in some individuals and might limit their compliance to the extent that MR Linac may be contraindicated. Studies suggest that around 3% of the adult population have a diagnosis of claustrophobia. However, the percentage of patients who find MRI claustrophobic varies depending on the length of examination and the type of magnet system (open systems are better tolerated than closed)<sup>142,143</sup>. Recent study of several thousand patients suggests that the incidence of MRI claustrophobia may be nearer to 9%<sup>144</sup>.

Clearly, anatomical MRI sequences acquired for positioning and the treatment itself must be prioritised, so functional imaging – initially acquired for research purposes – will be acquired either during the treatment itself (if no intra-fraction monitoring imaging is required) or after the treatment fraction has been completed. Hence, functional imaging acquired on the MR Linac needs to be optimised for time efficiency to an even greater extent than in diagnostic imaging conditions. One alternative is to import functional MRI data acquired on a diagnostic machine in a separate scan episode to inform treatment planning, but this requires accurate registration of the imported data to the MR Linac images.

### 1.5.1.2 Respiratory, cardiac, and other motion

Physiological motion from the lungs adds a challenge for anatomical and functional sequences acquired in the thorax and abdomen. One strategy is to employ respiratory gating, but this elongates acquisition time, typically by up to 2-4 times longer than non-gated acquisitions<sup>145</sup>. While respiratory gating can minimise motion artefact, the gated images may not be representative of the tumour position during treatment, unless this treatment is also delivered during respiratory gating: in this case the gate will need to be monitored during treatment<sup>146</sup> and the treatment delivery time is likely to increase further by a factor of 2-3<sup>147</sup>. In thoracic imaging, cardiac gating may also be employed with fast sequences and cause variable additional elongation of acquisition times<sup>148</sup>.

# 1.5.1.3 Field strength and optimising signal

MR Linac machines are available currently at several magnetic field strengths ranging from 0.35 T to 1.5 T (Table 1.6). In general, higher field strengths allow greater a signal-to-noise ratio (SNR) for any sequence, although in the lung lower field strengths may be preferable due to magnetic susceptibility-related signal loss. MR imaging at higher field strengths also leads to a greater separation between water, fat and other metabolites (choline, creatine etc), such that spectral fat suppression techniques and spectroscopy become more effective<sup>149</sup>. Chemical shift artefacts in images are increased but can be compensated by increasing bandwidth at the cost of reduced SNR.

Table 1.6: Comparison of combined MR Linac systems. Table reproduced permission from publisher<sup>150</sup>.

| System              | B0 (T) | B0 orientation | Beam Energy (MV) |
|---------------------|--------|----------------|------------------|
| Viewray MRIdian     | 0.35   | Split          | Co60             |
| Canadian linac-MR   | 0.5    | Split          | 6 or 10 MV       |
| Australian MR Linac | 1.0    | Split          | 6 or 10 MV       |
| Elekta Unity        | 1.5    | Closed         | 7 MV             |
|                     |        |                |                  |

All MRI sequences (anatomical and functional) must be optimised for use on a given machine, and this optimisation centres on establishing the optimal trade-off between SNR, measurement accuracy and precision, spatial (and, where relevant temporal) resolution, and acquisition time <sup>149</sup>. For anatomical imaging, optimisation aims to provide high enough spatial resolution images to guide a visual assessment for delineation of both the tumour to be irradiated and the organs at risk without leading to unacceptably high levels of noise. In distinction, for functional imaging, voxel resolution needs to be optimised to visualise the structures of interest but also to provide sufficient data in the time available to enable sufficiently accurate and precise parameter quantification; for example, adequate *b*-value images (for DWI) or adequate images to calculate tissue longitudinal relaxation (for DCE-MRI or OE-MRI). Further, for some functional sequences (e.g. DCE-MRI) temporal resolution may be the driving factor in optimization<sup>151</sup>.

In some cases, additional equipment is required to carry out functional MRI studies to a high standard on an MR Linac system, such as power injector for DCE-MRI studies or gas supplies for oxygen-enhanced MRI or BOLD studies. This may require access and scanner room modifications.

## 1.5.2 Implementation challenges

## 1.5.2.1 Establishment of research agreements

Different vendors offer different sequences on their MR-Linac machines. Many functional imaging biomarkers require specialist MR sequences that are not available on the machine as purchased and require a specific research agreement before they can be added. This requires liaison between vendor, academic institution and healthcare provider and requires project management.

## 1.5.2.2 Diffusion-weighted imaging

Echo-planar imaging (EPI) based sequences, which are commonly used for DWI (and also for sequences such as BOLD imaging) are particularly susceptible to geometric distortion. Methods to reduce distortions for functional imaging exist and include the use of multi-shot sequences, increased bandwidth, additional image acquisitions<sup>152</sup>, and radial k-space based acquisitions<sup>153</sup>. Parallel imaging permits reductions in acquisition time and image distortion; however the degree of parallel imaging which can be applied is limited by the number of RF coil channels available<sup>154</sup> and the number of channels on MR-Linac receive coils does not yet match the high numbers available on current diagnostic MR systems.

The development of geometrically accurate DWI sequences on an MR-Linac system is ongoing. DWI imaging with minimal distortion and reproducible ADC measurements (b-values = 0, 200 and 500) has been performed in vivo on the 0.35 T Viewray system using a turbo spin echo (TSE)-based readout, albeit with longer acquisition times than the EPI based sequence<sup>155</sup>. SNR increases with field strength, which means it is generally possible to obtain higher b-value images on the higher field strength systems, although distortions also increase. Diagnostically, DWI tends to utilise b-values across a wide range and can include higher b-values up to 1000 s/mm² or 1500 s/mm² due to their higher sensitivity<sup>156</sup>.

At the moment, functional imaging is not easily incorporated in the treatment adaptation workflow. Should it become easier in the future, then the challenges related to gradients and distortion in diffusion weighted images need to be addressed before a DWI-based "boost volume of the day" can be safely targeted.

#### 1.5.2.3 Geometric Distortion

Imperfections in the static magnetic field ( $B_0$ ) and the magnetic field gradients lead to errors in positional encoding, resulting in geometric distortions in anatomical and functional images. These imperfections can be due to system hardware or patient induced susceptibilities and may appear as signal voids, signal intensification (signal pile up) or as shifts in the image signal<sup>157</sup>.

System-related field inhomogeneity and gradient non-linearities tend to result in distortions which increase when moving away from the magnet isocentre<sup>157</sup>. The use of on-board distortion correction or post-processing corrections, following characterisation of system distortions, is required. Verification of the hardware-induced distortions can be carried out using large field of view distortion phantoms<sup>158</sup> and are available from many manufacturers or can be made in-house.

Functional imaging sequences need to be made available by manufacturers or otherwise implemented via research agreements (see above) and must be carefully optimised to ensure they are geometrically robust and offer sufficient SNR and resolution for the task at hand. The sensitivity to field inhomogeneity is sequence-dependent; gradient echo based techniques are more susceptible than spin echo based sequences and fast EPI techniques can be especially poor when it comes to geometric fidelity  $^{157}$ . Single-shot EPI sequences are often used for DWI and several techniques have been proposed to reduce gradient induced distortion for such techniques including: the use of parallel imaging to shorten echo trains  $^{159}$ ; the use of segmented EPI $^{160}$  and TSE based readouts  $^{161}$ . Furthermore, the application of  $B_0$  field map corrections using additional image acquisitions  $^{152}$  and image registration techniques have shown promising results for correcting distortions at the post-processing stage  $^{162,163}$ .

Those wishing to carry out functional imaging during treatment must also be aware of the effect of the patient and organ size and shape on field homogeneity and the need for shimming between and during acquisitions<sup>164</sup>. In addition, the signal stability and effect of induced eddy-currents when using fast imaging sequences also needs to be determined<sup>165</sup>. The distortion in functional MR images will need to be characterised and minimised and a dedicated method qualification and QA programme established before techniques such as dose painting can be performed.

#### 1.5.2.4 Quantitative T<sub>1</sub> mapping

The  $T_1$ -shortening effect of gadolinium-based contrast agents and molecular oxygen on surrounding tissues can be assessed by performing an initial  $T_1$  measurement followed by continuous dynamic measurement of signal intensity while the contrast agent is injected (gadolinium) or inhaled (oxygen). In order to gain quantitative information from such studies one requires sufficient temporal resolution to adequately sample contrast agent dynamics and sufficient spatial resolution, to identify heterogeneity across the tissue of interest<sup>166</sup>. Both temporal and spatial resolution require a trade off with SNR and so sequences need to be made available and optimised for this task on MR-Linac systems.

The accuracy and precision of quantitative  $T_1$  measurements can also be affected by RF field  $(B_1)$  inhomogeneity. These can be caused by the inherent amplitude profile of the coils used for RF transmission but also by the geometry of the patient, which can lead to the presence of RF standing waves and skin depth effects, particularly at higher static magnetic field strengths<sup>167</sup>. It is necessary to correct for such effects or employ methods that are insensitive to RF variation, in addition to carrying out qualification and quality control of such techniques on MR-Linac systems to assess their suitability to such quantitative imaging tasks.

# 1.5.3 Application of functional biomarkers developed on the MR-Linac to large multicentre studies

Most biomarker translation begins with studies from a single academic centre. For example, one research group might test the ability of DWI biomarkers measured on the MR-Linac to detect change within the gross tumour volume for a particular patient group during adaptive planning. However, any biomarker intended for widespread use in healthcare must be translated to multicentre studies to test this key translational step<sup>56</sup>. The proof that a biomarker or imaging test is clinically useful requires large powered studies that recruit patients from multiple sites around the world.

Multicentre studies involve different research institutions that often utilise devices supplied by different vendors. These devices are broadly equivalent for clinical radiology purposes – and in the case of the MR-Linac for delivery of therapy – but they often have important hidden differences that affect biomarker acquisition and analysis. The nuclear medicine community have relatively tight defined guidelines and phantom tests to ensure the equivalence of biomarkers, such as SUV<sub>max</sub>, between different acquisition sites<sup>168</sup>. However, such guidelines

are not as well developed for MRI in general, partly due to the high level of flexibility of implementation that MRI affords, and are required for the MR Linac in particular.

Factors such as those above do not preclude multicentre technical assessment of the precision of an MRI biomarker, but are important as they are likely to indicate how reproducible a biomarker is compared to precision of data acquired in few-centre or single-centre studies<sup>169</sup>. The variability in centre-specific devices and software must be accounted for when considering multicentre reproducibility and minimised by a process of protocol harmonisation, site qualification, and the use of phantoms relevant to the quantification task at hand (see Waterton *et al.*<sup>170</sup> for a recent non-oncology example). Mixed-effects modelling methods may provide statistically robust approaches to account for residual differences in biomarkers acquired at geographical separate sites to maximise data inclusion, while acknowledging inevitable slight inconsistencies in the data<sup>171</sup>.

Data-analysis strategies must be also developed for multicentre studies. Analysis led by one central site can reduce data variation for studies with a moderate number of participating sites<sup>172</sup>, as may be seen with the initial studies involving adaptive planning on MR-Linac systems. However, if a functional imaging biomarker is to be used in healthcare applications, it must be readily analysed to Good Clinical Practice (GCP) standards at all clinical sites. To facilitate this transition, sites should compare their own technical performance against a central analysis, similarly to the assessment of objective responses in oncology trials<sup>56</sup>. Alternatively, common analysis tools may be developed, either via collaboration between academic sites or by engagement of commercial software providers.

A wealth of biomarkers can be derived using MRI to measure different aspects of the tumour microenvironment. To use these optimally requires careful selection of an appropriate validated biomarker from the literature and then this biomarker must be tested on the MR Linac hardware that is a related but distinct machine compared to diagnostic scanners. While these processes have numerous challenges, the potential benefits mean that considerable research effort should be employed to enable deployment of functional MRI on the MR Linac.

#### 1.6 Summary

Chasing a single perfect hypoxia measurement may be an unrealistic aim. The literature tends to focus on discovery, isolated development, and technical validation rather than appraisal or consolidation of information. The reviewed biomarkers are measuring different things in their attempt to accurately assess the extent of tumour hypoxia (Table 1.7). Depending on the

strategy used to derive or measure a hypoxia biomarker, there are procedure related and biomarker specific pitfalls. Therefore, a more useful way to trial biomarkers maybe in complementary groups. Studies comparing multiple hypoxia biomarkers for a specific tumour type are less common, and certainly the lack of matched tissue-imaging cohorts needs to be addressed. It is important to note that any study investigating multiple approaches needs to involve biomarkers that are derived independently. Early work in this area suggests a synergy between imaging-derived and biopsy-derived biomarkers<sup>173</sup>. Undoubtedly, in medical practice, clinicians use composite biomarkers to inform their decision making.

Table 1.7: Summary of potential biomarkers used to investigate hypoxia. Table reproduced permission from publisher<sup>3</sup>.

| Biomarker              | What is being measured?           | Strengths   | Limitations                                       |
|------------------------|-----------------------------------|---|---|
| pO <sub>2</sub> (using | Rate of arrival of O <sub>2</sub> | Direct and real-time measurement representing the     | Highly invasive, tumour accessibility, limited    |
| histography            | molecules at electrode            | gold standard, validated in human tumours             | availability, no spatial information, affected by |
| )                      |                                   |   | other factors (e.g., pressure, necrosis).         |
| Tissue deriv           | ved                               | KEY: Assessed on diagnostic biopsy (or archived       | KEY: Limited ability for repeat assessments       |
|                        |                                   | material). Do not need additional invasive            | as this requires acquisition of new tumour        |
|                        |                                   | procedure, or injection of a foreign material.        | material each time.                               |
| CA-IX                  | Downstream product of             | Spatial resolution closer to that of cellular hypoxia | Requires tissue, not hypoxia specific,            |
| expression             | HIF 1α transactivation            | distribution (µm scale)                               | variability in staining, scoring and              |
|                        | (biologic hypoxia)                |   | interpretation, sampling bias                     |
| Pimonidazo             | Retained                          | Measures chronic hypoxia, Spatial resolution closer   | Oral or i.v. administration, additional           |
| le staining            | nitroimidazole in                 | to that of cellular hypoxia distribution (µm scale)   | biopsy/specimen acquired after, variability in    |
|                        | environment with                  |   | staining and interpretation, sampling bias        |
|                        | insufficient O <sub>2</sub>       |   |   |
| Gene                   | Gene expression (RNA              | Predictive in other tumour sites, provides cross-     | Sampling bias (less than other endogenous         |
| expression             | abundance) associated             | validation for other hypoxia biomarkers               | markers), no spatial information, repeat          |
| signature              | with hypoxia                      |   | measurements difficult                            |
| score                  |                                   |   |   |

| Imaging der              | rived                  | KEY: entire tumour hypoxia visualization, prior to and during treatment, allows treatment | KEY: poor spatial resolution (mm scale), altered perfusion limits tracer/contrast |
|--------------------------|------------------------|---|---|
|                          |                        | adaptation based on biomarker change  | agent delivery  |
| SUV <sub>max</sub>       | Maximum tumour         | Chronic hypoxia measurement, tumour delineation   | Wide variability, sensitive to image noise,                                       |
| (PET)                    | uptake of hypoxia      | does not affect result  | radiation exposure, i.v. injection  |
|                          | tracer                 |   |   |
| Tumour to                | Ratio of tumour uptake | Chronic hypoxia measurement   | Depends on accurate tumour delineation,   |
| blood ratio              | of hypoxia tracer to   |   | blood sampling may be required, radiation   |
| (PET)                    | blood radioactivity    |   | exposure, i.v. injection  |
| R <sub>2</sub> * (MRI)   | Deoxyhaemoglobin       | Cyclic hypoxia measurement, endogenous contrast   | Requires gas inhalation (for $\Delta R_2^*$ ), requires                           |
|                          |                        | medium  | further validation, sensitive to variations in                                    |
|                          |                        |   | implementation  |
| $\Delta R_1$ (MRI)       | Oxygen dissolved in    | Chronic hypoxia measurement, potential highly   | Requires gas inhalation (for $\Delta R_1$ ), requires                             |
|                          | plasma or interstitial | specificity for tumoral oxygenation   | further validation, sensitive to variations in                                    |
|                          | tissue fluid           |   | implementation  |
| K <sup>trans</sup> (MRI) | Permeability and       | Vasculature-specific measure, good repeatability  | Requires i.v. agent, sensitive to variations in                                   |
|                          | perfusion              |   | implementation  |
| ADC (MRI)                | Necrosis, apoptosis,   | High sensitivity, good repeatability, no i.v. contrast                                    | Low pathological specificity, sensitive to  |
|                          | cellular density       | requirement   | variations in implementation  |

#### 1.7 Aims

Given the gaps in our knowledge on hypoxia assessment in patients with uterine cervical cancer, the central hypothesis of the PhD is that multi-disciplinary diagnostic approach can select patients that would stand to benefit from hypoxia-modifying treatment.

The specific objectives of the thesis were to:

- 1. Develop and validate a hypoxia gene signature for cervical cancer
- 2. Explore the impact of (chemo)radiotherapy on the *de novo* gene signature
- 3. Develop OE-MRI for female pelvis imaging and translate it to the MR Linac
- 4. Investigate the role of functional MRI techniques in monitoring response to radiotherapy
- 5. To evaluate the association of OE-MR imaging during treatment with tissue biomarkers

# 2 Materials and methods

This chapter provides a general description of the various methods implemented in the thesis. Detailed explanation of methodology is provided in the relevant results sections (chapters 3, 4 and 5).

#### 2.1 Clinical cohorts

The 'Biomarkers for Clinical Hypoxia Evaluation in Cervical Cancer' (BioCHECC) study investigates tumour oxygenation as measured using a) a gene signature derived from patient tumour biopsies and b) functional imaging parameters acquired via serial magnetic resonance imaging (MRI) scans. Four different clinical cohorts were curated to develop the hypoxia biomarkers evaluated in this study: The Cancer Genome Atlas (TCGA), retrospective patient, prospective healthy volunteer, and prospective patient.

The BioCHECC study was set up to recruit the latter three cohorts. Ethical approval was obtained from the Northwest - Preston Research Ethics Committee (REC Ref: 20/NW/0377) and is registered with ClinicalTrials.gov (Identifier: NCT05029258). I wrote and submitted the REC application and addressed committee comments, along with input from my PhD supervisors. I liaised with the local R&D at The Christie to open this single centre study.

The study started recruiting in January 2021 and recruitment is ongoing until October 2024. The biomarker analysis presented in the thesis was performed on tissue samples or imaging data collected by 01/08/2022. Clinical data for patients in the BioCHECC study was collected from baseline presentation to the last available clinical follow up for all patients (database last accessed November 2022). Patients in the retrospective cohort were staged per the 2009<sup>174</sup> International Federation of Gynaecology and Obstetrics (FIGO) staging system and the prospective patient cohort per the revised 2019<sup>175</sup> FIGO system. The guidelines have been revised to permit more accurate clinical-pathological-radiological staging, with the last two domains to assign stage where available. The salient changes in the new guidance mean stages IA and IB are diagnosed on microscopic examination of a surgical specimen; stage IB is sub-divided based on maximum tumour size; and the presence of pathological lymph nodes upstages the patient to stage IIIC regardless of tumour size. The revised 2019 FIGO system aims to be more closely aligned with the TNM classification and was implemented on 1 January 2020. Re-staging historical datasets (pre-2019) included in this thesis would require

a considerable effort between consultant histopathologists and radiologists, and is beyond the scope of this thesis.

In the prospective cohorts, all participants were registered and given sequential ID numbers following eligibility assessment and consent. A pseudonymised key linked the study ID to the participant's name. A letter was also sent to a participant's GP informing them of the study. Due to the high mortality associated with the disease, it was thought to be inappropriate and insensitive to contact the retrospective cohort patients, or their families, for consent. As a result, patient identifiers were not disclosed to any of the research team unless they were also part of the direct healthcare team at The Christie.

#### 2.1.1 TCGA cohort (gene expression only)

Paired whole transcriptome and clinical data for 307 patients from the Cervical Squamous Cell Carcinoma and Endocervical Adenocarcinoma (TCGA-CESC; upload date 28/01/2016) cohort were downloaded via the Broad institute Firehose portal (https://gdac.broadinstitute.org/).

Patients with locally advanced (stages  $1B_2$  to IVA) squamous cell cervical cancer and whole transcriptome data (n=141) were selected. The mean age was  $50 \pm 15$  years ( $\mu \pm SD$ ) and patients were treated with chemoradiation delivered with curative intent. This cohort was split into two sub-groups: a) train (n=71) and b) test (n=70). Both sub-groups were used to construct the model from the candidate genes, however only the latter was used to internally validate it.

#### 2.1.2 Retrospective patient cohort (gene expression only)

The retrospective patient cohort was curated as part of the BioCHECC study and used to externally validate the gene expression signature. The consort flow diagram shows how the patient study population was recruited and handled (*Figure* 2.1). Patients with carcinoma of the uterine cervix treated between 2013 and 2018 at The Christie were identified through the gynae-oncology database. Inclusion criteria were women >18 years with no upper age limit and biopsy confirmed uterine cervix cancer. Patients were identified by the clinical team and block recruitment was undertaken by the research team.

Clinicopathological summary statistics for the 168 analysed patients are presented in Table 2.1. The mean age of women in the retrospective cohort was  $53 \pm 17$  years and treatment received was surgery (n=25), (chemo)radiotherapy (n=124) and palliation (n=19).

All patients in the (chemo)radiotherapy sub-group (n=124) were treated with curative intent, though the treatment delivered varied between patients (*Figure 2.2*). Everyone received 25# of external beam radiotherapy (EBRT) given to a total dose of 45 Gy (1.8 Gy per fraction) and in keeping with protocol, clinical target volume (CTV) was defined to include gross tumour volume (GTV), entire cervix, entire uterus, parametria, ovaries and vagina depending on involvement<sup>176</sup>. Nodal CTV included the pelvic nodes. A subset of patients received two fractions of pulsed dose rate brachytherapy delivered with an intracavitary or a combined intracavitary/interstitial implant (n=76). MRI guided adaptive brachytherapy (IGABT) was prescribed to deliver a dose of 40 to 45 Gy (EQD₂) to reach a total chemoradiotherapy + IGABT dose of 85 to 90 Gy EQD₂ to the high-risk CTV, and ≥60 Gy to the intermediate-risk CTV. Patients unsuitable for brachytherapy were prescribed additional EBRT fractions (external beam boost). Single-agent concomitant cisplatin chemotherapy was given weekly at 40 mg/m². Patients receiving at least one chemotherapy dose were logged as having had chemotherapy.

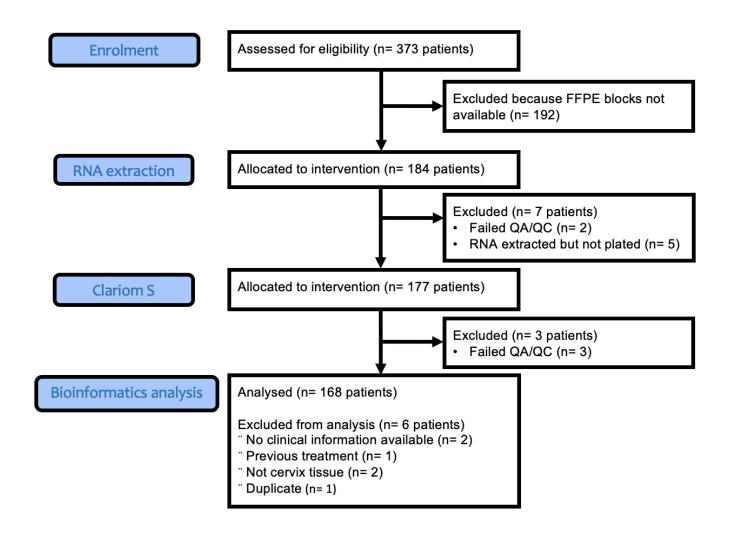


Figure 2.1: Consort flow diagram for retrospective tissue collection.

Table 2.1: Summary statistics for all women (n=168) in the retrospective patient cohort.

| Level     | All (n=168)                 |
|-----------|-----------------------------|
| <40 years | 43                          |
| ≥40 years | 125                         |
| 0         | 94                          |
| 1         | 48                          |
| 2         | 14                          |
| 3         | 11                          |
| 4         | 1                           |
|           | <40 years ≥40 years 0 1 2 3 |

| Clinical stage    | IA                            | 3   |
|-------------------|-------------------------------|-----|
|                   | IB                            | 38  |
|                   | IIA                           | 8   |
|                   | IIB                           | 75  |
|                   | IIIA                          | 4   |
|                   | IIIB                          | 13  |
|                   | IVA                           | 14  |
|                   | IVB                           | 13  |
| Histology         | squamous cell carcinoma       | 124 |
|                   | adenocarcinoma                | 34  |
|                   | adenosquamous carcinoma       | 5   |
|                   | neuroendocrine carcinoma      | 1   |
|                   | clear cell carcinoma          | 2   |
|                   | undifferentiated carcinoma    | 2   |
| Grade             | 1 - Well differentiated       | 16  |
|                   | 2 - Moderately differentiated | 64  |
|                   | 3 - Poorly differentiated     | 86  |
|                   | 4 - Undifferentiated          | 2   |
| LVSI†             | Absent                        | 66  |
|                   | Present                       | 39  |
| Tumour size       | <4cm                          | 45  |
|                   | ≥4cm                          | 123 |
| Pelvic nodes      | no                            | 85  |
|                   | yes                           | 83  |
| Para-aortic nodes | no                            | 154 |
|                   | yes                           | 14  |
| Hydro-nephrosis   | no                            | 140 |
|                   | yes                           | 28  |
|                   |                               |     |

^PS = performance status

†LVSI = lymphovascular space invasion

# Treatment combinations in external beam radiotherapy cohort (n=124)

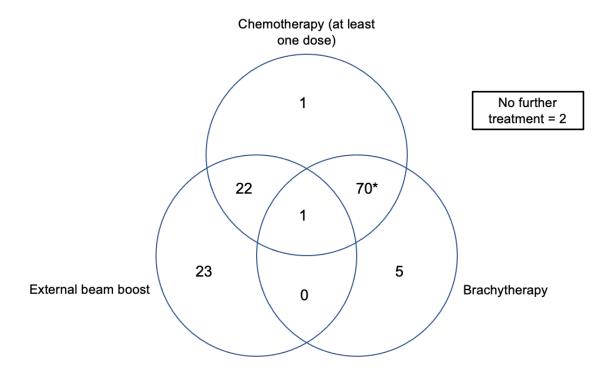


Figure 2.2 : All the patients treated with primary radiotherapy received 5 weeks of external beam radiotherapy (n=124). The Venn diagram shows the breakdown of additional treatments given to this cohort (brachytherapy, external beam boost and chemotherapy). \*Seventy patients received 5 weeks of external beam radiotherapy and 2 courses of brachytherapy, with at least 1 session of chemotherapy.

#### 2.1.3 Prospective healthy volunteer cohort (imaging only)

A prospective healthy volunteer cohort consented to develop a novel imaging technique called oxygen-enhanced MRI (OE-MRI). The consort diagram shows how the volunteer population was recruited and managed (*Figure 2.3*). Volunteers were identified by the lead radiographers and contacted via email. Interested participants were screened and eligible participants provided written informed consent before being registered to the study. Due to COVID-19 restricting access to the clinical MRI scanners, healthy volunteer recruitment was limited to staff working within the radiotherapy department at The Christie. Inclusion criteria were women >18 years with no upper age limit. Exclusion criteria were prior cancer diagnosis; previous surgery or chemoradiation; pregnancy or lactation; and contraindication to MRI examinations.

Eighteen healthy volunteers were recruited and imaged at two time points aimed at being 1 week apart. Data from twelve volunteers were analysed for MRI parameter repeatability. The mean age of women in this cohort was  $28 \pm 5$  years.

Healthy volunteer imaging was double reported by two board certified radiologists. In cases of incidental findings, the participant was informed by the clinical research fellow. The scan report and a letter were sent to the GP for further investigations and/or follow up.

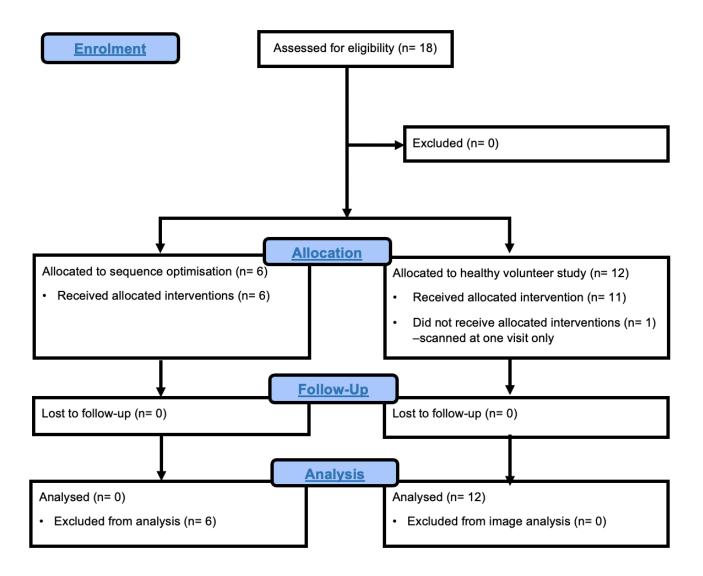


Figure 2.3: Consort flow diagram for healthy volunteers recruited to the BioCHECC study.

# 2.1.4 Prospective patient cohort (gene expression and imaging)

The gene expression and imaging biomarkers were evaluated in the prospective patient cohort. The consort flow diagram shows how the patient study population was recruited and handled (*Figure* 2.4). Patients were identified by the gynae-oncology clinical team at The Christie Hospital. Willing participants were approached with information about the study. Patients were given 72 hours to decide whether they wanted to participate, after which interested patients were screened. Eligible patients provided written informed consent and were registered to the study. Inclusion criteria were women >18 years with no upper age limit; biopsy confirmed cancer of the uterine cervix; locally advanced cervical cancer (stages IB<sub>2</sub> to IVA) staged by an experienced clinical oncologist using the FIGO classification; and planned chemoradiotherapy with curative intent. Exclusion criteria were prior hysterectomy, pelvic radiotherapy, or systemic chemotherapy; pregnancy or lactation; unsuitable for concurrent chemotherapy; and contraindication to MRI examinations or hyoscine-N-butylbromide.

Eleven patients (age: 47 years ± 18) with locally advanced cervical cancer completed the study. Clinicopathological data are presented in Table 2.2. All patients received standard of care. The treatment plan and doses prescribed followed the treatment regime outlined in the retrospective patient cohort, with the extension of nodal CTV to include the para-aortic nodes. Patient 11 had a left sided hydronephrosis requiring a percutaneous nephrostomy, however preserved renal function permitted 5 cycles of chemotherapy. No patients had para-aortic node involvement. Follow up is from time of diagnosis to the last time the patient was seen in the clinic.

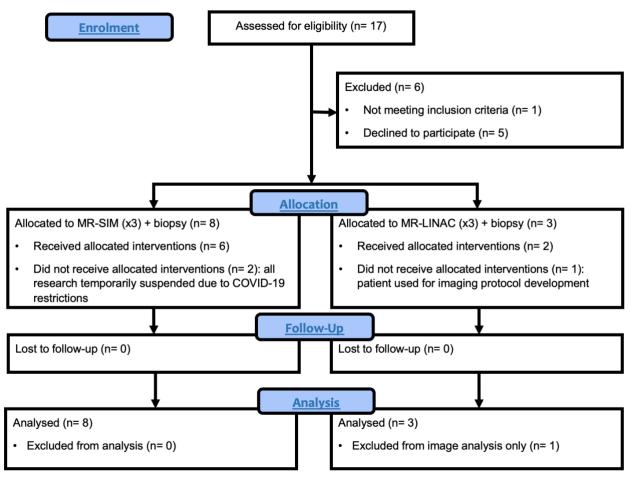


Figure 2.4: Consort flow diagram for patients recruited to the BioCHECC study.

Table 2.2 : Clinicopathological data of prospective study patients.

| ID | Age     | Follow   | PS* | Stage | Histology      | Grade <sup>^</sup> | LVSI†     | Pelvic |
|----|---------|----------|-----|-------|----------------|--------------------|-----------|--------|
|    | (years) | up       |     |       |                |                    |           | nodes  |
|    |         | (months) |     |       |                |                    |           |        |
| 1  | 51      | 15       | 0   | IIB   | squamous       | 2                  | absent    | no     |
| 2  | 73      | 16       | 0   | IVA   | squamous       | 3                  | absent    | yes    |
| 3  | 77      | 11       | 1   | IB2   | adenocarcinoma | 1                  | not known | no     |
| 4  | 67      | 15       | 0   | IIB   | squamous       | 2                  | not known | no     |
| 5  | 30      | 12       | 0   | IIIC1 | squamous       | 3                  | present   | yes    |
| 6  | 37      | 13       | 1   | IIB   | squamous       | 3                  | not known | no     |
| 7  | 37      | 12       | 0   | IIIC1 | squamous       | 2                  | not known | yes    |
| 8  | 46      | 10       | 0   | IIB   | squamous       | 3                  | absent    | no     |
| 9  | 36      | 10       | 0   | IIB   | squamous       | 2                  | absent    | no     |
| 10 | 34      | 9        | 0   | IIA   | squamous       | 3                  | present   | no     |
| 11 | 28      | 7        | 0   | IVA   | squamous       | 2                  | present   | no     |
|    |         |          |     |       |                |                    |           |        |

\*PS = Eastern Cooperative Oncology Group performance status scale<sup>177</sup>

^Grade 1: Well differentiated (low grade); Grade 2: Moderately differentiated (intermediate

grade); Grade 3: Poorly differentiated (high grade)

†LVSI = lymphovascular space invasion

#### 2.2 Study interventions (patients only)

For a 6-week period in December 2021 to January 2022, all clinical research activities were suspended at The Christie due to COVID-19. This resulted in two missed patient interventions (MRI scans) and delays in healthy volunteer imaging.

#### 2.2.1 Diagnostic tumour biopsy retrieval (retrospective and prospective)

Archived formalin fixed paraffin embedded (FFPE) blocks containing biopsy material taken at time of diagnosis were requested for all patients in the study. Blocks were collected by The Christie gynae-oncology research team based at the Manchester Cancer Research Centre. Anonymised blocks were made available to the researchers for analysis. Detailed descriptions of ribonucleic acid (RNA) extraction, profiling and analysis are presented in sections 1.4, 1.5 and 1.6 of this chapter respectively.

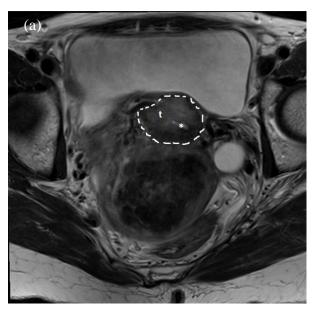
#### 2.2.2 On-treatment tumour biopsy (prospective only)

Up to six biopsies per patient were acquired just prior to brachytherapy insertion for all prospective study patients. The second timepoint biopsies were acquired in theatre and under direct vision by an experienced clinical oncologist using a Max-Core Disposable Core Biopsy Instrument 14G x 10cm (C. R. Bard, Inc. Covington, Georgia, USA). Biopsy samples were taken from four regions representing the 12, 3, 6 and 9 o'clock positions on a clock face (*Figure 2.5*). The anatomical axial T<sub>2</sub> weighted image acquired for brachytherapy planning was used to estimate residual tumour burden and guide the on-treatment biopsies.

Samples were immediately preserved in 10% neutral buffered formalin (Cellpath, Newtown, Wales, UK) or RNAlater Stabilization Solution (Invitrogen, Thermo Fisher Scientific, Massachusetts, USA) or Allprotect Tissue Reagent (Qiagen, Hilden, Germany) as per the manufacturers' protocols. Two samples were taken for formalin preservation from opposite sides of the clock face (either 12 and 6, or 3 and 9). Each sample was stored in a separate

labelled formalin pot. Four samples were taken for fresh frozen preservation from the remaining two sides. Each sample was stored in a separate labelled sample tube so that paired samples from the same region were placed in RNAlater and Allprotect tubes.

Samples in formalin pots were sent for fixation to Histology Services, CRUK Manchester Institute, Alderley Park, UK. Fresh frozen tissue was stored at -20°C in 7mL Polystyrene Bijou Containers (Thermo Fisher Scientific, Massachusetts, USA). Fresh frozen tissue samples have not been analysed in this thesis.



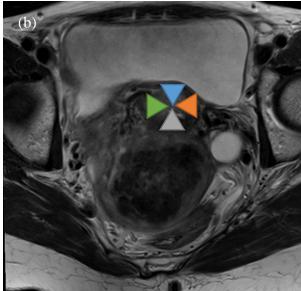


Figure 2.5: Axial T<sub>2</sub> weighted image acquired towards the end of external beam radiotherapy for clinical use (brachytherapy planning). (a) The cervix is outlined by the dashed white lines. 't' highlights the tumour returning heterogenous T<sub>2</sub> signal as opposed to the low signal usually seen from normal cervical tissue. The tumour predominantly involves the anterior and right side of the uterine cervical ring. '\*' highlights the high T<sub>2</sub> signal (fluid signal) within the endo cervical canal. (b) The uterine cervical ring can be imagined as having 4 regions represented by a clock face: 12 (blue), 3 (orange), 6 (grey) and 9 (green) o'clock positions. Biopsies from each region were acquired under direct vision.

# 2.2.3 MRI scans (prospective only)

Imaging was acquired around the external beam radiotherapy (EBRT) treatment schedule. Patients underwent imaging at baseline (pre-treatment), in the 3<sup>rd</sup> week (mid-EBRT), and in the 5<sup>th</sup> week (end-EBRT) of treatment using a multiparametric protocol including three

functional imaging techniques which were oxygen-enhanced MRI (OE-MRI), dynamic contrast enhanced MRI (DCE-MRI) and intravoxel incoherent motion MRI (IVIM-MRI). Greater details on imaging acquisition, data transfer and analysis are provided in sections 1.7, 1.8 and 1.9 of this chapter respectively.

#### 2.2.4 Timeline in the prospective patient cohort

Figure 2.6 outlines the study interventions in relation to each other and to patient treatment. There are two paired biopsy-imaging timepoints: the first is prior to treatment commencing and the second is at the end of EBRT. The first paired timepoint reflects hypoxia measurements at baseline, whereas the latter shows therapy induced change. Due to the study design, the imaging and biopsy acquisitions at the end of EBRT have a known spatial and temporal association.

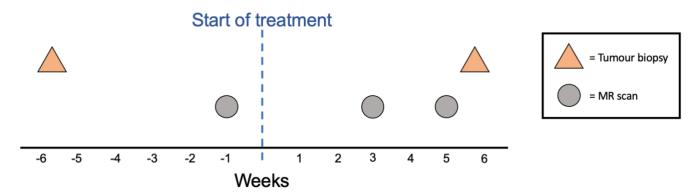


Figure 2.6: Study interventions in the patient cohort.

# 2.3 Cell line experiments

Cell lines used in the experiments were sent for authentication and mycoplasma testing to the Molecular Biology Core Facility, CRUK Manchester Institute, Alderley Park, UK. Cell line experiments were used to generate RNA for differential gene expression analysis.

Figure 2.7 summarises the methods.

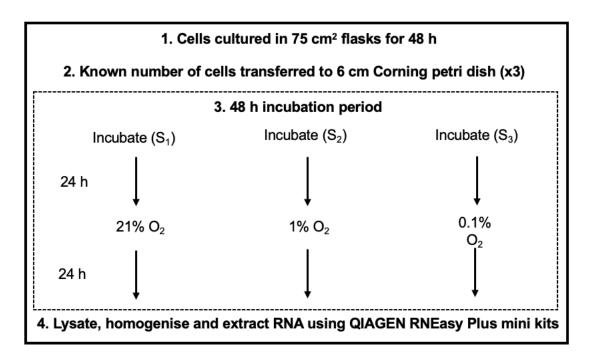


Figure 2.7: Flow diagram of oxygen exposure and RNA extraction for a cell line experiment  $(S_n = \text{sample number})$ . Cells cultured in one 75 cm<sup>2</sup> flask were seeded across three petri dishes at a pre-determined density, exposed to different oxygen conditions and the RNA was extracted. Experiments were repeated for three different passages for a cell line, and 6 cell lines were included in the experiment.

#### 2.3.1 Cell culture

Six cervical cancer cell lines were chosen to represent the disease as observed within the population (Table 2.3). All cell lines were purchased from American Type Culture Collection (ATCC, LCG Standards, Teddington, UK).

The cell lines were cultured according to established lab protocols<sup>178</sup>. Cells were grown in Dulbecco's Modified Eagle Medium (Sigma-Aldrich, Poole, UK) with 10% foetal bovine serum (FBS; Gibco, Thermo Fisher Scientific, US) and 10 mM L-Glutamine solution (Sigma-Aldrich, Poole, UK). Cells were passaged three times per week at sub-cultivation ratios of 1:4 to 1:10. Each line was bulked, split into vials containing 90% FBS (Sigma Aldrich, UK) and 10% dimethyl sulfoxide (Sigma Aldrich, UK) and stored in a -80°C freezer.

Table 2.3 Uterine cervical cancer cell lines used in study experiments.

| Name   | Histology               | Tumour source                      | HPV              |
|--------|-------------------------|------------------------------------|------------------|
| Boku   | Squamous cell carcinoma | Primary                            | 16               |
| CaSki  | Squamous cell carcinoma | Small bowel mesentery (recurrence) | 16               |
| HeLa   | Adenocarcinoma          | Primary                            | 18               |
| MS-751 | Squamous cell carcinoma | Lymph node                         | 18, 45 (partial) |
| SiHa   | Squamous cell carcinoma | Primary                            | 16               |
| SW-756 | Squamous cell carcinoma | Primary                            | 18               |

#### 2.3.2 Hypoxia exposure

Cells were grown in 75 cm<sup>2</sup> flasks for 48 h. They were then seeded at a pre-determined density onto 6 cm petri dishes. The seeding density was calculated as the number of cells required to achieve 75% confluence following a 48-hour culture in the incubator under normoxic conditions (range 6,000 – 30,000 cells/cm<sup>2</sup>).

Initially cells plated in the petri dishes were cultured under normoxia in the incubator for 24 hours. Following this, the media was replaced, and the cells were exposed to three different oxygen environments for a further 24 hours – 21%  $O_2$  (normoxia), and 1% and 0.1% (Ruskin Invivo2 400 hypoxia workstation, Ruskinn Technology Ltd, Bridgend, UK). Media was placed in the respective hypoxia stations 24 hours prior to use, thus allowing equalisation of the dissolved oxygen concentration and the hypoxic environment. Experiments were repeated for three different passages for each cell line. Cells exposed to hypoxia were harvested in the workstation under hypoxic conditions.

#### 2.4 RNA extraction

#### 2.4.1 Cell line samples

Media was removed from the petri dish and the cells washed with 5 ml x 2 of PBS matching the oxygenation of the sample. RNA was extracted using the RNeasy Plus Mini Kit (Qiagen, Hilden, Germany) as per the manufacturer's protocol.

600  $\mu$ l of RLT buffer with 1%  $\beta$ -mercaptoethanol was added directly to the petri dish to disrupt the cells. The lysate was extracted using a cell scraper and pipetted into RNAase-free Eppendorf tubes after which it was vortexed for 30 s. The centrifuge was run at 10,000 G during the extraction. The homogenised lysate was placed in a gDNA Eliminator spin column and centrifuged. 70% ethanol was added to the sample in a 1:1 ratio. The sample was placed in a RNeasy spin column, centrifuged for 15 s and flow-through discarded (x2). 700  $\mu$ l Buffer RW1 was added to the spin column, centrifuged for 15 s and flow-through discarded. 500  $\mu$ l Buffer RPE was added to the spin column, centrifuged for 15 s and flow-through discarded. Further 500  $\mu$ l Buffer RPE was added to the spin column, centrifuged for 2 min and flow-through discarded. The RNeasy spin column was centrifuged for 1 min to further dry the membrane. 40  $\mu$ l RNase-free water was directly applied to the spin column membrane and centrifuged for 1 min to elute the RNA into a 1.5 ml collection tube.

RNA quantity was assessed using the Qubit RNA broad range assay kit (Invitrogen, Thermo Fisher Scientific, Massachusetts, USA) to ensure a minimum concentration of 1 µg total RNA in 20 µl of RNase-free water. Samples were stored in a -80°C freezer.

Despite multiple attempts, the Boku cell line could not be grown under hypoxic conditions to yield enough RNA.

# 2.4.2 Patient samples

RNA was extracted from retrospective and prospective patient samples and used to validate the gene expression signature. RNA extraction was performed in collaboration with Histology Services, CRUK Manchester Institute, Alderley Park, UK. The Roche High Pure FFPET RNA Isolation Kit (06650775001; Basel, Switzerland), which is a column-based extraction kit, was used for extraction of RNA from FFPE tumour tissue. The starting sample comprised of 2 x 10 µm sections. The steps are described in Table 2.4.

RNA quantity was assessed using the Qubit RNA broad range assay kit (Invitrogen, Thermo Fisher Scientific, Massachusetts, USA) to ensure a minimum concentration of 72 ng total RNA in 9  $\mu$ I of RNase-free water. Quality was assessed using a 2200 TapeStation (Agilent Technologies, Santa Clara, USA). Samples were stored in a -80°C freezer.

Table 2.4 RNA extraction steps from FFPE samples.

|                    | • add 800 µl xylene (vortex), add 400 µl ethanol (vortex), centrifuge               |
|--------------------|---|
|                    | 16000 G 2 min   |
| De-waxing          | remove supernatant  |
|                    | <ul> <li>add 1000 μl 100% ethanol (vortex), centrifuge 16000 G 2 min</li> </ul>     |
|                    | air dry 55°C 10-20 min  |
|                    | <ul> <li>prepare 156 μl lysis buffer using 100 μl RNA lysis buffer, 16μl</li> </ul> |
|                    | SDS and 40µl Proteinase K (vortex)  |
|                    | • incubate 85°C 30 min 600 G (shaking hot block), cool <55°C                        |
| Lysate preparation | • add 80 µl Proteinase K, incubate 55°C 30 min 600 rpm (shaking                     |
|                    | hot block)  |
|                    | <ul> <li>add 650 μl column binding solution mastermix (325 μl RNA</li> </ul>        |
|                    | binding buffer and 325 µl 100% ethanol; then vortex)                                |
| Column loading     | <ul> <li>add lysate to column (up to 900 μl, centrifuge 12,000 G 30 s,</li> </ul>   |
| Coldini loading    | discard flow through, centrifuge 16000G 2 min to dry filter                         |
|                    | <ul> <li>add 100 μl of DNase working solution (90 μl DNase incubation</li> </ul>    |
| DNA removal        | buffer +10 μl DNase) directly to the filter fleece                                  |
|                    | incubate room temperature 15 min  |
|                    | add 500 μl Wash Buffer 1, centrifuge 12,000 G 20 s, discard                         |
|                    | flow through, add 500 µl Wash Buffer 2, centrifuge 12,000 G 20                      |
| Column washing     | s, discard flow through, add 500 µl Wash Buffer 3, centrifuge                       |
|                    | 12,000 G 20 s   |
|                    | centrifuge 16,000 G 2 min to dry filter fleece                                      |
| RNA elution        | add 30 µl RNA elution buffer, incubate room temperature 1 min                       |
| INIA GIULIOII      | centrifuge 12,000 G 2 min   |

# 2.5 Gene expression profiling

# 2.5.1 RNA-sequencing (cell line samples)

Extracted total RNA was managed by the Genomic Technologies Core Facility at the University of Manchester (Manchester, UK). The RNA samples were processed in a single batch of 45 samples (5 cells lines and 3 oxygen conditions run as triplicates). Figure *2.8* summarises the steps involved in processing the extracted RNA. mRNA libraries were prepared using the TruSeq Stranded mRNA assay (Illumina, San Diego, USA) according to the manufacturer's protocol. The final cDNA library was loaded onto a flow-cell and sequenced using the Illumina HiSeq 4000 platform (Illumina, San Diego, USA). The paired end sequencing – 76 base pair cycles in directions R<sub>1</sub> and R<sub>2</sub> – was quality tested by FastQC. FastQC is a quality control tool for high throughput sequence data, written by Simon Andrews at the Babraham Institute in Cambridge. Adapter trimming was performed using Trimmomatic v0.39<sup>179</sup>. Spliced Transcripts Alignment to a Reference (STAR) software v2.7.7a<sup>180</sup> mapped the reads to the human reference genome (assembly GRCh38.p13/hg38) with GENCODE (release 37)<sup>181</sup>.

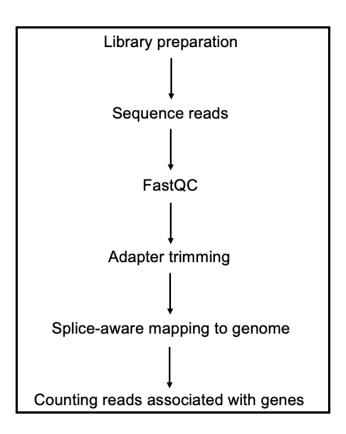


Figure 2.8: Flow diagram of the RNA-sequencing pathway.

# 2.5.2 Clariom S microarray analysis (patient samples)

RNA extracted from FFPE cervical tumour tissue is fragmented and cross-linked making it unsuitable for whole transcriptome next generation sequencing. Therefore, transcriptome profiling using Clariom S Arrays for Humans was performed (Applied Biosystems, Thermo Fisher Scientific, Santa Clara, California, USA). Clariom S Arrays detect only the constitutive exons present in known transcript isoforms expressed from a single gene locus which makes it well suited for amplification of partially degraded RNA samples.

Seventy-two ng of extracted RNA from each sample was suspended in 9 µl of RNase-free water and plated on a Clariom S plate (96-wells; 92 tumour samples and 4 controls). The plates were processed by YourGene Health (Manchester, UK). YourGene Health use 4 µl of plated sample to achieve the recommended input range of 2 – 50 ng total RNA. High quality RNA is recommended as it affects how efficiently the samples are amplified. The manual suggests an A<sub>260</sub>/A<sub>280</sub> ratio of 1.8 to 2.0 (absence of contaminating proteins) and an A<sub>260</sub>/A<sub>230</sub> ratio of >2.0 (absence of other organic compounds). The presence of contaminants might interfere with total RNA quantitation. The GeneChip 3' IVT Pico Kit assay prepares the RNA for Clariom S array gene expression profiling. The assay protocol is detailed in the "GeneChip™ 3' in vitro transcription (IVT) Pico Kit Manual Workflow for use with: GeneChip™ Expression Arrays GeneChip™ 3' IVT Pico Kit" (Catalog Numbers 902789 and 902790) and Figure 2.9. In brief, reverse transcription initiated at the poly-A tail is used to synthesise singlestranded complimentary deoxyribonucleic acid (ss- cDNA) and then a 3' adaptor is added. sscDNA is converted to double stranded-cDNA (ds-DNA) using Tag DNA polymerase and adaptor-specific primers amplify ds-cDNA. Complimentary RNA (cRNA) is synthesised and amplified by IVT using T7 RNA polymerase<sup>182</sup>. The cRNA is then converted to biotinylated dscDNA for hybridisation. The samples were analysed on a GeneTitan Multi-Channel Instrument (Applied Biosystems).

Clariom S hybridisation quality check is performed using the Transcriptome Analysis Console software (version 4.0.1, Applied Biosystems). This is a sample consistency metric which compares the intron (false positives) and exon controls (true negatives), and a score of 1 reflects perfect separation. The recommended sample consistency threshold is 0.7.

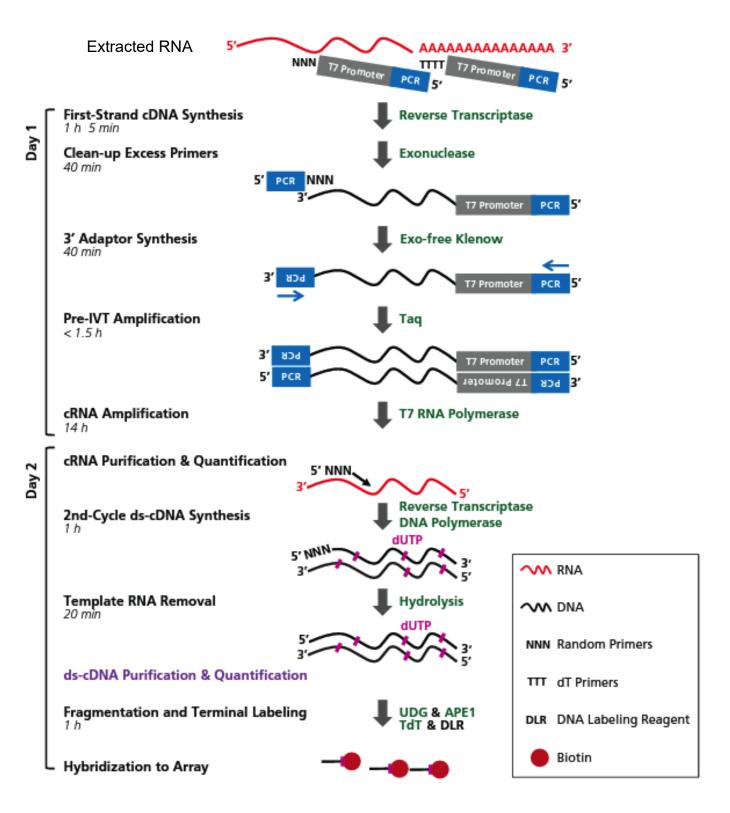


Figure 2.9: Pico amplification and labelling process (adapted from https://assets.thermofisher.com/TFS-Assets/LSG/manuals/703308\_GeneChip\_3prime\_IVT\_Pico\_UG.pdf – last accessed November 2022)

#### 2.6 Bioinformatics

The bioinformatics analysis for gene signature development and validation was carried out in collaboration with Dr Leo Zeef in Bioinformatics Core Facility at the University of Manchester (cell line data analysis) and Dr Mark Reardon in the Translational Radiobiology (TRB) group at the University of Manchester (cell line and patient biopsy data analysis).

#### 2.6.1 Data normalisation and batch correction

Whole transcriptome data from RNA-sequencing for the 45 cell line samples was analysed using DESeq2 (v 1.28.1)<sup>183</sup>. First the raw counts were normalised by dividing the count of an individual gene in every sample by the geometric mean of this gene across all samples. Importantly, in experiments with six or fewer replicates, any gene flagged as an outlier was excluded from downstream analysis<sup>183</sup>.

Whole transcriptome data from Clariom S microarrays were imported as raw image data (\*.CEL files) and processed using the apt-probeset-summarize programme (version 1.20.0, Applied Biosystems) to yield within-plate-normalised log<sub>2</sub> expression levels. Normalisation methods do not address all of the batch effects, or variations in data due to technical rather than biological factors<sup>184</sup>. ComBat, an empirical Bayes method, estimates and adjusts the location and/or scale for each gene in each batch<sup>185</sup>. In other words, ComBat standardises gene-wise means and variances across batches. The ComBat function is available in R/surrogate variable analysis (sva)<sup>186</sup>.

# 2.6.2 Differentially expressed gene (DEG) analysis

DEG analysis was performed on cell line data to identify a list of candidate genes. Differentially expressed protein coding genes were calculated using Empirical Analysis of Digital Gene Expression Data package in R (edgeR, v 2.26.0)<sup>187</sup>. Differential expression was defined as genes which displayed ≥2-fold change on pre-log₂ transformed expression.

#### 2.6.3 Labelling the TCGA data

The TCGA data is unlabeled for hypoxia status. Unsupervised k-means clustering (k=2) was used to partition a subset of the data (TCGA train) based on the expression of the candidate genes. The k-means class label was then used to perform a differential expression analysis

using edgeR. The Molecular Signatures Database (MSigDB) was used to perform an enrichment analysis in the upregulated genes.

# 2.6.4 Refinement to a gene expression signature

The candidate genes were refined using the prediction analysis for microarrays (PAM) method<sup>188</sup>. The method utilises the "nearest shrunken centroid" to identify the smallest number of genes that are required for the classification. First it identifies the average expression of each gene (centroid) within each of the two classes (high hypoxia vs low hypoxia). Then the gene expression profile of a tumour (the distance from each centroid) is calculated and the value squared. This occurs across both classes. Only those candidate genes that contribute towards the classification are selected. Several iterations using models of varying size were examined to set a gene classifier threshold through cross-validation i.e., the amount of shrinkage is determined by cross-validation.

# 2.6.5 Hypoxia classification of patient samples

Class centroids for each signature gene were generated by PAM. A sample is assigned a class (high hypoxia vs low hypoxia) according to which centroid it is closest to in distance<sup>2</sup>.

#### 2.7 MRI data acquisition

#### 2.7.1 MR scanners

Imaging was acquired on either a diagnostic 1.5T Philips Ingenia MR-RT (Philips Healthcare, Best, Netherlands), or a MR-Linac system (Elekta AB, Stockholm, Sweden) equipped with a Philips Marlin 1.5T MRI scanner (Philips Healthcare, Best, Netherlands). These are referred to as the Diagnostic MRI and MR Linac systems respectively in the thesis.

#### 2.7.2 MRI sequences

The locked down protocol for healthy volunteers is summarised in *Figure 2.10* and Table *2.5*.  $T_2$ -weighted ( $T_2$ w) spin-echo was for anatomy, and 3D  $T_1$  inversion-recovery turbo field echo (IRTFE) for  $T_1$  mapping and dynamic oxygen-enhanced (OE-MRI) measurements. In addition to these, patient participants also had intravoxel incoherent motion diffusion weighted imaging (IVIM-DWI), and variable flip angle  $T_1$  gradient echo (GRE) for  $T_1$  mapping and dynamic

contrast enhanced (DCE-MRI) measurements. The locked down protocol for patients is summarised in *Figure 2.11* and the additional patient only sequences are detailed in Table 2.6. The first patient had a slightly different protocol: medical air (21%  $O_2$ ; timepoints 0 – 25); 100%  $O_2$  (26 – 65); 21%  $O_2$  (66 – 80), so had 5 less images on 100%  $O_2$  and 6 less images on the second phase of medical air breathing.

All imaging was in the sagittal plane. Mean set up/participant preparation time was 15 minutes and 30-45 minutes of on-table scan time. Participants were positioned so that the uterine cervix was in the isocentre of the magnet. A 'shim' box was placed over the uterine cervix to homogenise the main magnetic field. All sequences had a spatial resolution of 3.0 x 3.0 x 6.0 mm<sup>3</sup> and a 128 x 128 pixels matrix across 40 slices.

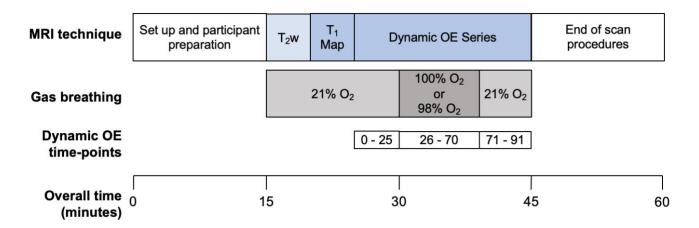


Figure 2.10: Imaging protocol for healthy volunteers in the study.

Table 2.5: Summary of MRI acquisition parameters for T<sub>1</sub> mapping and oxygen-enhanced (OE-MRI) in healthy volunteers.

|          | Diagnostic MRI                | MR Linac                      |  |  |
|----------|-------------------------------|-------------------------------|--|--|
| Sequence | 3D IR-TFE (T₁ mapping)        | 3D IR-TFE (T₁ mapping)        |  |  |
| TR       | 2.2 ms                        | 2.3 ms                        |  |  |
| TE       | 0.66 ms                       | 0.75 ms                       |  |  |
| TI       | 100, 500, 1100, 2000, 4300 ms | 100, 500, 1100, 2000, 4300 ms |  |  |
| α        | 4°                            | 6°                            |  |  |

| Sequence     | 3D IR-TFE (OE-MRI) | 3D IR-TFE (OE-MRI) |
|--------------|--------------------|--------------------|
| TR           | 2.2 ms             | 2.3 ms             |
| TE           | 0.66 ms            | 0.75 ms            |
| TI           | 1100 ms            | 1100 ms            |
| α            | 4°                 | 6°                 |
| Number of    | 91                 | 91                 |
| measurements |                    |                    |
| Temporal     | 12 s               | 13.5 s             |
| resolution   |                    |                    |

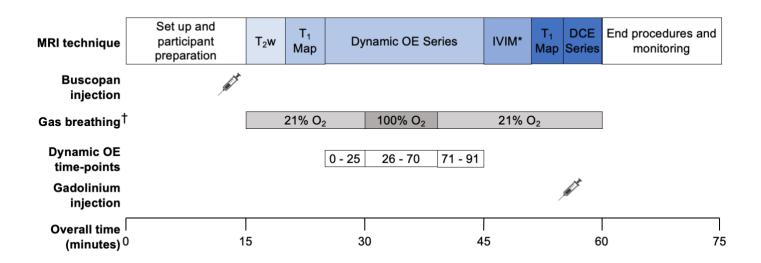


Figure 2.11: Imaging protocol for the patient study. \*IVIM was performed on the Diagnostic MRI scanner only. †Patient 1 had a slightly different protocol: 21%  $O_2$  (timepoints 0 – 25); 100%  $O_2$  (26 – 65); 21%  $O_2$  (66 – 81).

Table 2.6: Summary of MRI acquisition parameters used in the patient study. Additional imaging included IVIM, a  $T_1$  map and DCE-MRI.

|                                  | Diagnostic MRI                         | MR Linac                               |
|----------------------------------|--|--|
| Sequence                         | 3D IR-TFE (T₁ mapping)                 | 3D IR-TFE (T₁ mapping)                 |
| TR                               | 2.2 ms                                 | 2.3 ms                                 |
| TE                               | 0.66 ms                                | 0.75 ms                                |
| TI                               | 100, 500, 1100, 2000, 4300 ms          | 100, 500, 1100, 2000, 4300 ms          |
| α                                | 4°                                     | 6°                                     |
| Sequence                         | 3D IR-TFE (OE-MRI)                     | 3D IR-TFE (OE-MRI)                     |
| TR                               | 2.2 ms                                 | 2.3 ms                                 |
| TE                               | 0.66 ms                                | 0.75 ms                                |
| TI                               | 1100 ms                                | 1100 ms                                |
| α                                | 4°                                     | 6°                                     |
| Number of                        | 91                                     | 91                                     |
| measurements                     |  |  |
| Temporal                         | 12 s                                   | 13.5 s                                 |
| resolution                       |  |  |
| Sequence                         | IVIM                                   |  |
| TR                               | 2800 ms                                |  |
| TE                               | 61 ms                                  |  |
| Echo train                       | 39                                     |  |
| length                           |  |  |
| <i>b</i> values                  | 0, 20, 40, 60, 80, 100, 150, 300,      |  |
|                                  | 500, 800                               |  |
| Number of                        | 4                                      |  |
| averages                         |  |  |
| Sequence                         | 3D mDIXON FFE (T <sub>1</sub> mapping) | 3D mDIXON FFE (T <sub>1</sub> mapping) |
| TR                               | 3.2 ms                                 | 3.8 ms                                 |
| TE <sub>1</sub> /TE <sub>2</sub> | 1.7/2.2 ms                             | 1.6/2.6 ms                             |
| α                                | 2°, 8°, 12°                            | 2°, 8°, 12°                            |
|                                  |  |  |

| TR                               | 3.2 ms     | 3.8 ms     |
|----------------------------------|------------|------------|
| TE <sub>1</sub> /TE <sub>2</sub> | 1.7/2.2 ms | 1.6/2.6 ms |
| α                                | 8°         | 8°         |
| Number of                        | 51         | 56         |
| Measurements                     |            |            |
| Temporal                         | 3.1 s      | 3.3 s      |
| Resolution                       |            |            |

# 2.7.3 Participant preparation

Prior to image acquisition, all participants were asked to remove any metal items of clothing or jewellery, and to empty their urinary bladder using a 'double-void' regime.

# 2.7.4 Hyperoxic gases and gas delivery (all participants)

Medical air (21%) and 100% Oxygen were delivered via the main hospital supply. Carbogen (CO<sub>4</sub>; 98% oxygen and 2% carbon dioxide) was stored and transported in a cylinder type AV (Material number 294964-AV-PC; BOC gases, Woking, UK). Gas pressure for delivery was normalised using an O<sub>2</sub> two-stage bullnose regulator (Catalogue ID 6070SH, Hitchen, UK).

Gases were delivered to the participant using a tight-sealed, non-rebreathing Intersurgical EcoLite™ adult facemask (Intersurgical Ltd, UK). Switching between the gases – from medical air to either 100% O₂ or carbogen gas – was controlled via the Low Flow Air-Oxygen Blender (Inspiration Healthcare, UK). An O₂ hose assembled with British Standard (BS) and National Institute of Standards & Technology (NIST) probes was used to connect the gas outlet/regulator to the blender.

# 2.7.5 Gadolinium contrast agents (patients only)

Patients were injected with a bolus of 0.1 mmol/kg gadoterate meglumine (Dotarem®, Guerbet, France) at 3 ml/s at the 8<sup>th</sup> time point during the dynamic GRE sequence.

# 2.7.6 Buscopan (patients only)

Patients were given Hyoscine-N-butylbromide (Buscopan®) unless they withdrew consent on the day of the scan. Patients were evaluated by the direct healthcare team for any health conditions which would contradict Buscopan usage. Examples of such conditions included heart failure or uncontrolled high blood pressure, coronary artery disease, problems emptying the urinary bladder or urinary incontinence, glaucoma, myasthenia gravis and a gastrointestinal tract obstruction. All participants were counselled on the common side effects such as blurred vision, a dry mouth, dizziness, increased heart rate and constipation.

Hyoscine butylbromide is licensed for use to combat spasm in diagnostic procedures and was used to improve image quality. 20 mg was administered via a slow intra-venous injection within the guidelines of the British National Formulary.

# 2.8 Image storage and transfer

DICOM images were anonymised and downloaded from the scanner at time of acquisition onto an encrypted portable storage device. Images were assigned an alphanumeric ID in keeping with the participant's study ID. Images were transferred to the Quantitative Biomedical Imaging (QBI) Lab server where they were converted to a Neuroimaging Informatics Technology Initiative (NifTI) file format (<a href="https://nifti.nimh.nih.gov/dfwg">https://nifti.nimh.nih.gov/dfwg</a>). This allows data analysis within the QBI Lab to be performed on a standardised data format which better supports analysis and visualisation, and is widely used throughout imaging research.

# 2.9 Image analysis

All regions of interest (ROIs) were defined by a board-certified radiologist (AD, 7 years' experience) and image analysis was performed using MATLAB Release 2022a (Mathworks, Massachusetts, USA). For OE-MRI healthy tissue assessments (both healthy volunteers and patient participants), a ROI measuring 7 x 7 voxels was placed on a single sagittal slice. The signal across five consecutive slices, two either side of the selected image, were averaged for analysis (n = 245 voxels). This ensured a consistent signal-to-noise ratio between participants. For OE-MRI and DCE-MRI tumour assessments (the latter in patients only), tumour ROIs were marked on all T<sub>2</sub>w images containing tumour using the Jim 6 software (Xinapse Systems, Essex, UK). Due to spatial distortion between IVIM DWI-MRI analysis required separate

tumour cervix ROIs drawn on the *b*800 acquisitions using Jim 6. In all instances, voxel-wise model fitting was applied.

MRI parameters within a defined region are presented in the thesis.

#### 2.9.1 OE-MRI

Signal data acquired at 5 inversion times (TI) using the IRTFE T<sub>1</sub> mapping sequence were converted to native T<sub>1</sub> measurements using the equation<sup>189</sup>:

$$S(TI) = S_0 \left| 1 - 2 \cdot \exp\left(\frac{-TI}{T_1}\right) \right| [1]$$

where S(TI) and S<sub>0</sub> represent the signal intensity with inversion times TI and TI = 0 ms, respectively.  $R_1$  is the tissue longitudinal relaxation rate and is defined as the inverse of T<sub>1</sub> (1/T<sub>1</sub>). OE-MRI measures the  $\Delta R_1$  biomarker given by<sup>105</sup>:

$$\Delta R_1 = R_1(t) - R_1(0)$$
 [2]

where  $R_1(t)$  is  $R_1$  while breathing hyperoxic gas (mean of time points 61 - 70 for healthy volunteers and mean of time points 56 - 65 for patients) and  $R_1(0)$  is  $R_1$  on breathing air at the start (mean of all initial 25 time points).

#### 2.9.2 IVIM MRI

For IVIM DWI imaging, the proposed biexponential model by Le Bihan et. al<sup>190</sup> was applied which better describes the signal decay when acquiring a range of low  $(0 < \sim 150 \text{ s/mm}^2)$  and high  $(\sim 150 < 1000 \text{ s/mm}^2)$  b-values:

$$S_b = S_0 | f \cdot e^{-b \cdot D*} + (1 - f) \cdot e^{-b \cdot D} | [3]$$

where  $S_b$  and  $S_0$  represent the signal intensity with diffusion-weighting b and b = 0 s/mm<sup>2</sup>, respectively. Two quantitative perfusion parameters were derived: f — the fraction of total signal coming from the microvasculature, and D – the diffusion coefficient of water molecules in tissue (outside the microvasculature).

#### 2.9.3 DCE MRI

Established methods using the Madym toolkit<sup>191</sup> were used to analyse the DCE-MRI sequence using the extended-Tofts model<sup>192</sup>:

$$C(t) = v_p C_a(t) + K^{trans} e^{-tk_{ep}} * C_a(t) [4]$$

where C(t) and  $C_a(t)$  are gadolinium concentration-time curves in the tissue of interest and in the supplying artery respectively.  $C_a(t)$  was substituted for a population-based arterial input function  $(AIF)^{193}$  in this study. The main disadvantage of using a population-based AIF is that any individual variation is ignored.

The model assumes two-compartments: the vasculature (v<sub>p</sub>; the plasma volume) and the extravascular extracellular space (EES; the interstitial space). These are modelled by the first and second parts of the equation respectively.

The MRI parameters used in this study are (a)  $K^{trans}$  which characterises the diffusive transport of gadolinium across the capillary endothelium, and (b)  $v_e$  which is the fractional volume of the EES. The two parameters are related to the rate constant,  $k_{ep}$ , so that:

$$k_{ep} = \frac{K^{trans}}{v_e} [5]$$

The model also assumes that the gadolinium-based contrast agent is evenly distributed within each compartment.

#### 2.9.4 Motion assessments

The dynamic OE, IVIM and DCE sequences have scan times of approximately 20, 7, and 3 minutes respectively, with both bulk patient movement and physiological motion potentially affecting inter- and intra-sequence image alignment.

To ensure technical precision, a 'motion tracking' model was applied when deriving the  $\Delta R_1$  parameter for the uterine body region in healthy volunteers (Figure 2.12). Large movements of the uterine body in the superior-inferior and anterior-posterior directions secondary to urinary bladder filling are well documented<sup>194</sup>. Multiple ROIs were placed in a defined location

at specified image timepoints in the dynamic series (timepoints 1, 31, 61 and 91), and ROIs were interpolated for the intervening timepoints. A 'static' ROI model was also derived from the first timepoint ROI for uterine body assessments.

As cervical displacement is typically less marked and rectal filling predominantly affects cervical position<sup>194</sup>, no motion correction strategy was applied when analysing this region in healthy volunteers. No motion correction was applied to the patient uterine body assessments.

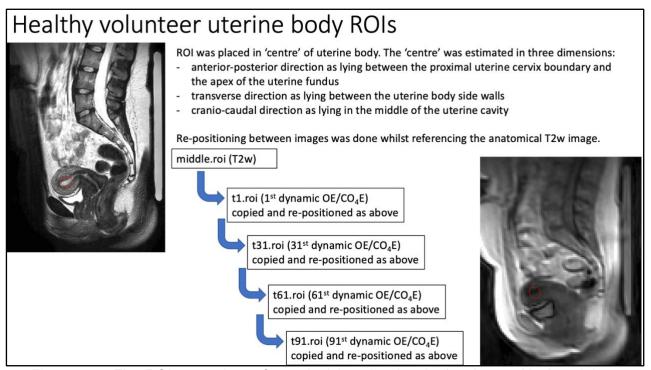


Figure 2.12: Five ROIs were drawn for each visit to develop the 'motion tracking' model.

#### 2.10 Statistical analysis

#### 2.10.1 Gene expression data

All data analysis for gene expression related work was performed in R version  $4.2.2^{195}$ . Null hypothesis testing in gene expression data involves large numbers of simultaneous comparisons and there is an increased possibility that a p value is deemed significant purely by chance. This would lead to type I errors (incorrect rejections of the null hypothesis) and must be accounted for. False discovery rate correction was done using the Benjamini-Hochberg method<sup>196</sup> which lists the p values in ascending order and derives a critical value for each p-value. This is done using the formula (i/m)\*  $\alpha$  where: i = index rank of p-value, m = total

number of tests, and  $\alpha$  = chosen false discovery rate (usually 0.05). Any p value that is equal to or below the critical value is considered significant and rejects the null hypothesis.

Study group proportions were compared using the Chi-squared test for categorical variables and the Mann-Whitney U test compared discrete variables. Overall survival and progression free interval were defined as time from diagnosis to last follow up or event occurrence (locoregional recurrence, metastasis, or death). All follow up data was censored at 5 years. Time to event analyses were performed using the Kaplan–Meier method and differences compared using the log-rank test. Univariate and multivariate regression analyses were conducted using hypoxia status as defined by the gene signature, age, performance status, histology, stage, differentiation, size of tumour, lymph node involvement and hydronephrosis. Hazard ratios (HR) and 95% confidence intervals (CI) were reported in keeping with the Cox proportional hazard model.

# 2.10.2 Imaging data

Once derived, the imaging parameters were analysed as per Quantitative Imaging Biomarker Alliance (QIBA) recommendations<sup>197</sup>. The within-subject coefficient of variation (wCV), repeatability coefficient (RC) and limits of agreement (LOA), and Bland-Altman analyses were used to assess biomarker repeatability on a particular MR system. The Student's t test was used to compare the means between two groups.

# 3 Hypoxia associated gene signature for patients with carcinoma of the uterine cervix

I performed the initial cervical cancer cell line experiments under the supervision of the TRB lab (Catherine West, Ananya Choudhury and Sapna Lunj). I performed the RNA extraction for all cell lines. RNA-seq was performed by the University of Manchester core facilities (Andrew Hayes) and analysis was performed alongside Leo Zeef. Suitable blocks were identified with the help of the gynae-oncology clinical team (Peter Hoskin, Lisa Barraclough and Kate Haslett). Pre-treatment diagnostic biopsy samples were requested and initially processed by the gynae-oncology research team (Emma Buckley, Giorgio Arnetoli, Chelsey Wheeler, and Melanie Oddy). I was helped in RNA extraction and storage by CRUK AP core facilities (Garry Ashton, David Millard and Caron Behan) and the TRB lab (Sapna Lunj, Kamilla Bigos and Rachel Reed). RNA microarray analysis was performed by Your Gene Health (Manchester, UK). Bioinformatics analysis was performed alongside Mark Reardon (TRB lab).

#### 3.1 Introduction

The molecular characterisation of tumour tissue is a key component of precision oncology and has the potential to optimise use of targeted therapy. Hypoxic environments alter the abundance of coding or messenger ribonucleic acid (mRNA) for many genes, and transcriptome-wide gene expression can be measured using micro-array or RNA sequencing platforms<sup>85,86</sup>. The collective expression of a group of genes can be evaluated using a 'signature' and when measured in a patient tumour sample, it can designate a hypoxic tumour phenotype<sup>198</sup>.

Since the first hypoxia-associated gene expression signature was published in 2007<sup>199</sup>, subsequently several research groups have derived hypoxia signatures using bulk transcriptomics. Perhaps the strongest indication to the potential clinical usefulness of gene expression signatures comes from the retrospective analysis of the BCON (Bladder Carbogen Nicotinamide) Phase 3 Randomized Trial (ISRCTN45938399) samples<sup>200</sup>. A high hypoxia score derived using a 24-gene expression signature<sup>93</sup> predicted a 10-year survival benefit when hypoxia modification was used.

In this body of work, I sought to a) derive a hypoxia gene expression signature *de novo* and b) validate the signature in an independent cohort.

# 3.2 Study design

Figure 3.1 provides a roadmap to gene expression signature discovery and highlights key steps in generating this hypoxia biomarker.

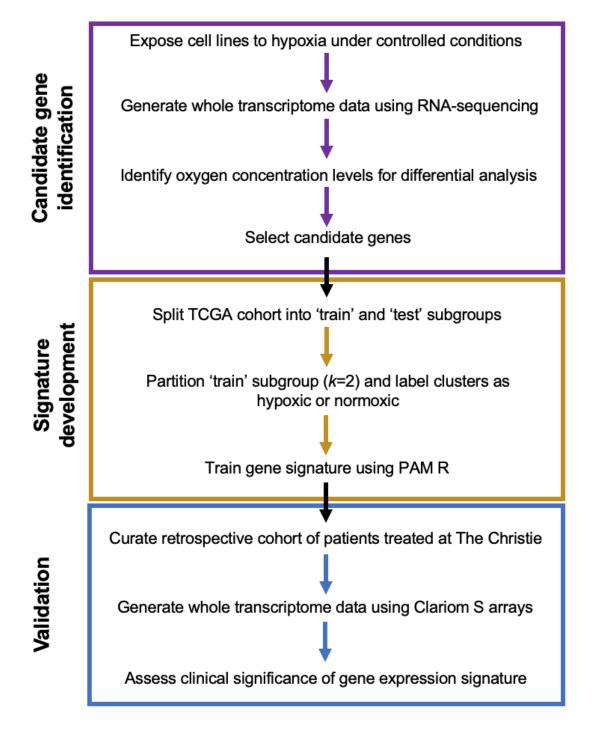


Figure 3.1: Flow diagram of study design.

# 3.3 Candidate gene identification

# 3.3.1 RNA-sequencing (RNA-seq) quality check

Prior to analysis, RNA-seq data quality was assessed using FastQC for a) per base position sequence quality and b) adapter content. The mean quality value (Phred score) across each base position in the read was satisfactory (*Figure 3.2*) and no samples found with any adapter contamination >0.1%. All experimental data was used for further analysis.

# FastQC: mean quality scores 50 40 Phred Score 30 20 10 0 10 20 30 40 50 60 70 Position (bp) Created with MultiQC

Figure 3.2: The mean quality value (Phred score) across each base position in the read.

# 3.3.2 Selection of oxygen concentration level

Experimental data consisted of gene expression measurements from 21%, 1% and 0.1% oxygen environments, with cell lines being exposed to hypoxia for 24 hours (Appendix 1). For gene signature derivation, a lower oxygen threshold needed to be selected. The top differentially expressed genes (DEGs) between all groups (n=7041; p <0.05) were analysed using k-means clustering and visually represented with a silhouette plot (*Figure 3.3*). The

optimal number of clusters (Cj) with similar average silhouette width (ave<sub>iECj</sub>) and total within sum of square  $(n_i)$  is k=5.

Figure 3.4a showed clusters 2, 3 and 4 had similar differential gene expression profiles between normoxia and hypoxia, and the clearest separation between the oxygen concentrations was exhibited by cluster 2. Cluster 2 was also the only gene set that enriched for hypoxia-related biological processes using The Gene Ontology resource<sup>201,202</sup>. The 1% oxygen environment data were selected based on Cluster 2 expression profile (n=1897 genes), which was highest at 1% oxygenation and lowest at 21% (Figure 3.4b).

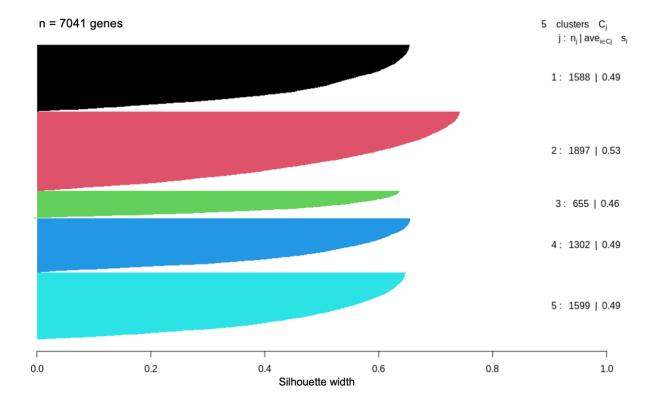
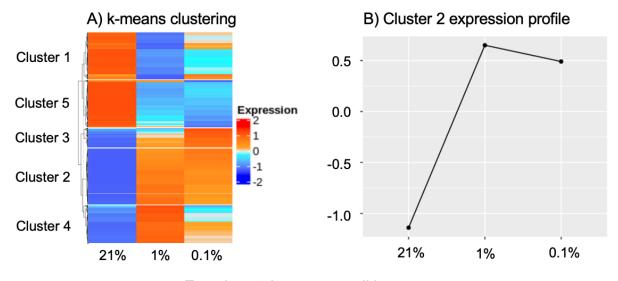


Figure 3.3: Silhouette analysis of the top differentially expressed genes resulted in 5 optimal clusters. Cluster 3 is the smallest whereas the other clusters are of similar sizes.



Experimental oxygen conditions

Figure 3.4: (a) Heatmap of the top differentially expressed genes within each cluster at varying oxygen concentrations. High expression is in red and low expression in blue. (b) The mean expression profile of the hypoxia associated 'Cluster 2' gene set.

# 3.3.3 Selection of candidate genes

Differential expression was performed on pre-log₂ transformed data and was defined as genes which displayed ≥2-fold change in expression between 21% and 1% oxygen. False discovery rate (FDR) corrected p<0.1 identified 402 genes that were differentially expressed in all 5 cell lines. A strategy implemented in published signatures by our lab group is to select a sub-group of genes, the 'candidate' gene list which represents a *bona fide* relationship to tumour phenotype, and take it forward for modelling<sup>203,204</sup>.

Numbers of differentially expressed genes are shown in Table 3.1. A matrix discovery of FDR corrected p value (rows) and numbers of cell lines included (columns) was used to identify a 61 'candidate' gene list (p<0.000001 and differentially expressed in  $\geq$ 4 cell lines). When enriched in the Gene Ontology (GO) knowledge base, the gene set shows a significant association to the 'cellular response to hypoxia' biological process (Fold enrichment = 31.04, p = 4.70 x10<sup>-10</sup>). All the candidate genes are upregulated in hypoxia.

Table 3.1: Numbers of differentially expressed genes (DEGs) varies with false discovery rate (FDR) corrected p-value and number of selected cell lines. **Highlighted\*** gene set was selected as the candidate gene list.

| FDR corrected p-value |      | DEGs foun | d in at least | #/5 Cell Lines | 5  |
|-----------------------|------|-----------|---------------|----------------|----|
|                       | 1    | 2         | 3             | 4              | 5  |
| 0.000001              | 1170 | 348       | 113           | 53             | 25 |
| 0.000001              | 1459 | 460       | 149           | 61*            | 34 |
| 0.00001               | 1850 | 625       | 198           | 75             | 39 |
| 0.0001                | 2405 | 874       | 285           | 108            | 49 |

# 3.4 Gene signature development

# 3.4.1 Mapping candidate genes to The Cancer Genome Atlas (TCGA) data

Mapping the candidate genes was done using the Ensembl IDs and Ensembl genome database (European Bioinformatics Institute project). Six of the 61 candidate genes could not be directly mapped onto the older TCGA data due to differences in the human genome reference assemblies used to curate the two datasets (GRCh37 vs GRCh38).

The six genes were: DARS1 Antisense RNA 1 (*DARS1-AS1*), MIR210 Host Gene (*MIR210hg*), SAP30 Divergent Transcript (*SAP30-DT*), Mitochondrially Encoded 16S RRNA (*MT-RNR2*), SDA1 Domain Containing 1 Pseudogene 1 (*SDAD1P1*), Triosephosphate Isomerase 1 Pseudogene 1 (*TPL1P1*). *DARS1-AS1*, *MIR210hg* and *SAP30-DT* are associated with long non-coding RNA. *MT-RNR2* is a ribosomal RNA encoding gene. *SDAD1P1* and *TPL1P1* are pseudogenes and their role in cervical cancer is not clear.

# 3.4.2 Labelling TCGA cohort

The TCGA dataset was unlabelled for hypoxia status. The cohort was split into two subgroups: TCGA train (n=71) and TCGA test (n=70).

Unsupervised k-means clustering (k=2) was used to partition the TCGA train sub-group into two classes (*Figure* 3.5). Clear differential expression of the 55 candidate genes was seen between the two classes. The high expression cluster (Class 2) was associated with the up-

regulated candidate genes. Subsequently the upregulated genes were associated with hypoxia related processes. This makes class1=Low Hypoxia and class2=High Hypoxia.

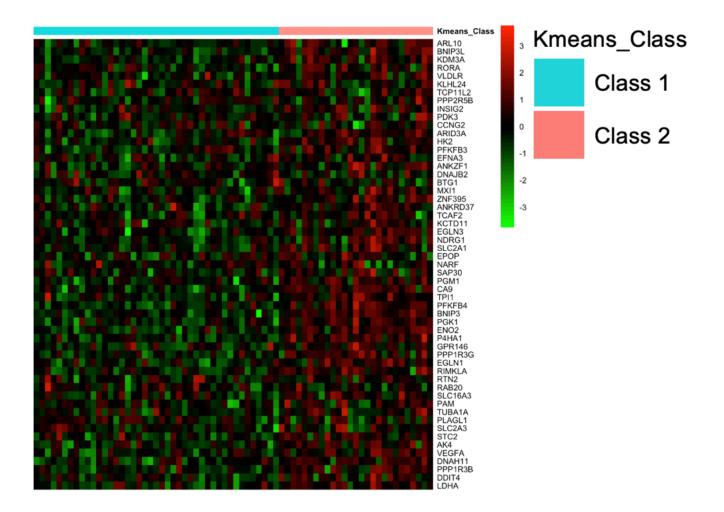


Figure 3.5: Heatmap showing expression of candidate genes in the TCGA train cohort (n=70). Green indicates lower expression and red indicates higher expression. 'Class 1' and 'Class 2' are represented by the pale blue and pale red horizontal bars respectively. 'Class 2' was enriched for hypoxia associated pathways using The Molecular Signatures Database (MSigDB).

# 3.4.3 Candidate gene set refinement

The labelled 'TCGA train' dataset was used to train the Prediction Analysis for Micro-arrays (PAM) method. The chosen model size had the lowest classification errors following 10-fold cross validation and was associated with a poor prognosis (log-rank test) in all TCGA patients. The predicted misclassification error of the signature genes following 10-fold cross validation is estimated at 5% (*Figure 3.6a*) and when assessed in the cell line experimental data resulted in an accuracy of 93% (*Figure 3.6b*).

Time to event analyses in the TCGA cohort are shown in *Figure 3.7*, and the signature was significant for poor prognosis (overall survival, OS; progression free interval, PFI) in a subset of the TCGA patients with available clinical outcome data (p log-rank test;  $OS_{train} = 0.0029$ ,  $PFI_{train} = 0.0033$ ,  $OS_{test} = 0.019$ ,  $PFI_{test} = 0.011$ ).

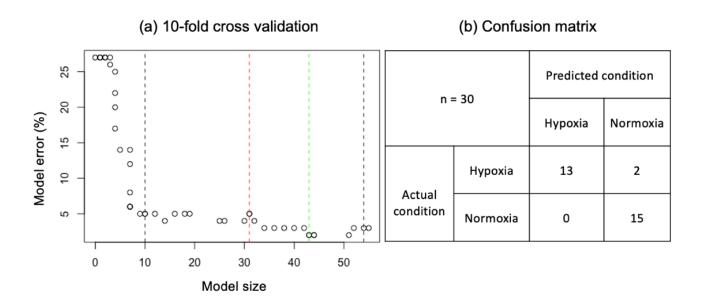


Figure 3.6: (a) Estimated model error (misclassification error) for a given model size. The black dashed lines indicate the range of model sizes investigated. The model with the lowest error (n=43 genes; green line) had an estimated 1% error rate. The selected model (n=31; red line) has an estimated 5% misclassification rate. (b) Confusion matrix of actual and predicted conditions within the cell line experiments. The chosen 31 gene signature model has a 93% accuracy.

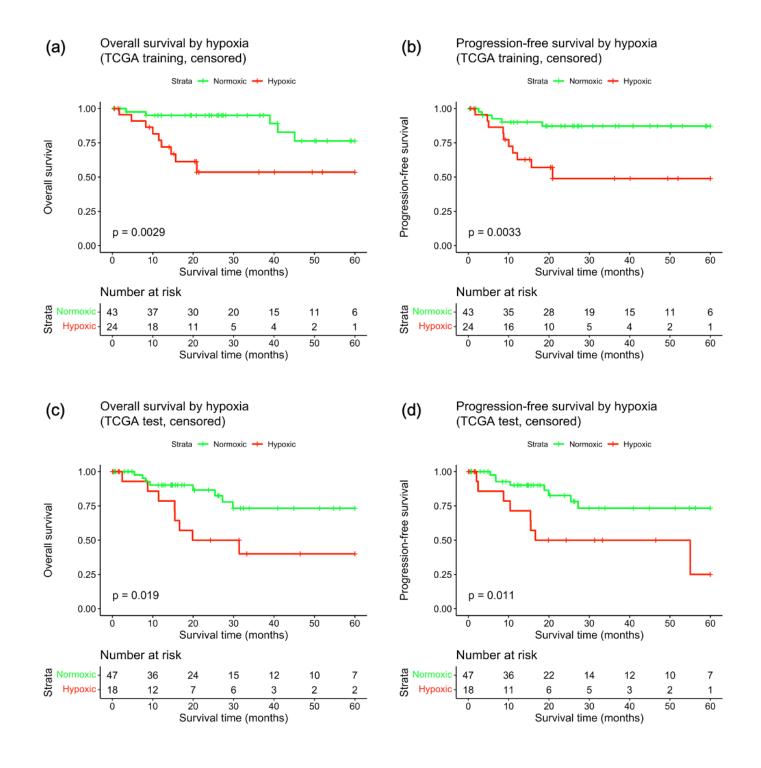


Figure 3.7: Kaplan-Meier analysis for (a) overall survival (OS) train, (b) progression free interval (PFI) train, (c) OS test and (d) PFI test cohorts. The model was trained for prognostic significance in the TCGA cohort.

# 3.5 Gene signature bioinformatics analysis

A 31 gene signature was selected, and enrichment analysis is summarised Table 3.2. All signature genes were categorised as protein coding and 7/31 were amongst the top 20 most frequently included in published hypoxia signatures<sup>86</sup>: BCL2 Interacting Protein 3 (*BNIP3*), BCL2 Interacting Protein 3 Like (*BNIP3L*), DNA Damage Inducible Transcript 4 (*DDIT4*), N-Myc Downstream Regulated 1 (*NDRG1*), Prolyl 4-Hydroxylase Subunit Alpha 1 (P4HA1), Phosphoglycerate Kinase 1 (*PGK1*) and Solute Carrier Family 2 Member 1/Glucose transporter 1 (*SLC2A1*).

Table 3.2: Selected 31 gene model for the hypoxia associated transcriptomic signature. The table columns have been populated using standards set by the Human Genome Organisation Gene Nomenclature Committee (HGNC)<sup>205</sup>. Genes were compared to published hypoxia signatures and enriched for cellular response to hypoxia.

| Symbol  | Name                                    | Тор      | Тор      |
|---------|---|----------|----------|
|         |   | genes*   | pathway^ |
| AK4     | Adenylate Kinase 4                      |          | ✓        |
| ANKRD37 | Ankyrin Repeat Domain 37                |          |          |
| ARID3A  | AT-Rich Interaction Domain 3A           |          |          |
| ARL10   | ADP Ribosylation Factor Like GTPase 10  |          |          |
| BNIP3   | BCL2 Interacting Protein 3              | ✓        | ✓        |
| BNIP3L  | BCL2 Interacting Protein 3 Like         | <b>√</b> | ✓        |
| CA9     | Carbonic Anhydrase 9                    |          | ✓        |
| DDIT4   | DNA Damage Inducible Transcript 4       | ✓        |          |
| DNAH11  | Dynein Axonemal Heavy Chain 11          |          |          |
| EGLN1   | Egl-9 Family Hypoxia Inducible Factor 1 |          | ✓        |
| EGLN3   | Egl-9 Family Hypoxia Inducible Factor 3 |          | ✓        |
| ENO2    | Enolase 2                               |          |          |
| HK2     | Hexokinase 2                            |          |          |
| KDM3A   | Lysine Demethylase 3A                   |          |          |
| LDHA    | Lactate Dehydrogenase A                 |          |          |
| NDRG1   | N-Myc Downstream Regulated 1            | <b>√</b> | ✓        |
| P4HA1   | Prolyl 4-Hydroxylase Subunit Alpha 1    | ✓        |          |
|         |   |          |          |

| PFKFB3        | 6-Phosphofructo-2-Kinase/Fructose-2,6-                            |          |          |
|---------------|---|----------|----------|
|               | Biphosphatase 3   |          |          |
| PFKFB4        | 6-Phosphofructo-2-Kinase/Fructose-2,6-                            |          |          |
|               | Biphosphatase 4   |          |          |
| PGK1          | Phosphoglycerate Kinase 1   | ✓        | ✓        |
| PGM1          | Phosphoglucomutase 1  |          |          |
| PPP1R3B       | Protein Phosphatase 1 Regulatory Subunit 3B                       |          |          |
| PPP1R3G       | Protein Phosphatase 1 Regulatory Subunit 3G                       |          |          |
| RIMKLA        | Ribosomal Modification Protein RimK Like Family                   |          |          |
|               | Member A  |          |          |
| SLC16A3       | Solute Carrier Family 16 Member 3                                 |          |          |
| SLC2A1        | Solute Carrier Family 2 Member 1/Glucose transporter              | <b>√</b> |          |
|               | 1   | V        |          |
|               |   |          |          |
| STC2          | Stanniocalcin 2   |          | <b>√</b> |
| STC2 TPI1     | Stanniocalcin 2 Triosephosphate Isomerase 1                       |          | <b>√</b> |
|               |   |          | √<br>✓   |
| TPI1          | Triosephosphate Isomerase 1                                       |          | •        |
| TPI1<br>VEGFA | Triosephosphate Isomerase 1  Vascular Endothelial Growth Factor A |          | •        |

<sup>\*</sup>Genes most frequently included in published hypoxia signatures<sup>86</sup>.

# 3.5.1 Enrichment analysis

Gene ontology<sup>201,202</sup> biological processes enriched using PANTHER v17.0<sup>206</sup> with the signature genes are shown in Table 3.3. Results were filtered by hierarchy which encompasses over-represented functional classes and sorted by significance. Significance was assessed using FDR corrected Fisher's Exact test. Cellular response to hypoxia was the most enriched term ( $p=1.87 \times 10^{-9}$ ).

<sup>^</sup>Genes enriched for cellular response to hypoxia in Gene Ontology biological process or Reactome pathway<sup>206</sup>.

Gene signature data were also analysed with the use of QIAGEN IPA (QIAGEN Inc., https://digitalinsights.qiagen.com/IPA)<sup>207</sup> to identify top canonical pathways associated with the signature genes and their sub-cellular compartments (

Figure 3.8). Nine genes contributed to the top hypoxia pathways: hypoxia-inducible factor 1-alpha (HIF1α) and cyclic AMP-activated protein kinase (AMPK) signalling pathways. Signature genes involved included Lactate Dehydrogenase A (*LDHA*), *SLC2A1*, Vascular Endothelial Growth Factor A (*VEGFA*) and 6-Phosphofructo-2-Kinase/Fructose-2,6-Biphosphatase 3 (*PFKFB3*).

Table 3.3: The Gene Ontology knowledgebase terms enriched by with signature genes.

| GO term    | Fold enrichment  | FDR  |
|------------|--|--|
|            |  | corrected p  |
| GO:0071456 | 45.24  | 1.87E-09   |
| GO:0061621 | > 100  | 1.27E-05   |
| GO:0006094 | 61.28  | 3.07E-04   |
| GO:0018401 | > 100  | 3.77E-04   |
|            |  |  |
| GO:0043467 | 24.37  | 7.15E-04   |
|            |  |  |
| GO:0046835 | 71.49  | 3.68E-03   |
| GO:0006003 | > 100  | 1.19E-02   |
|            |  |  |
| GO:0035694 | > 100  | 1.57E-02   |
|            |  |  |
| GO:0046184 | > 100  | 2.40E-02   |
| GO:0097345 | > 100  | 4.57E-02   |
|            |  |  |
|            | GO:0071456 GO:0061621 GO:0006094 GO:0018401 GO:0043467 GO:0046835 GO:0006003 GO:0035694 GO:0046184 | GO:0071456 45.24 GO:0061621 > 100 GO:0006094 61.28 GO:0018401 > 100  GO:0043467 24.37  GO:0046835 71.49 GO:0006003 > 100  GO:0035694 > 100 |

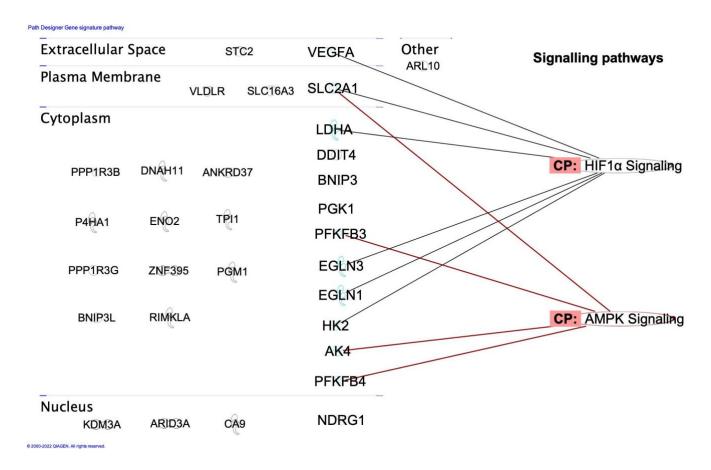


Figure 3.8: Signature genes in respective subcellular compartments, and associations between selected genes and top canonical pathways.

# 3.5.2 Co-expression analysis

The top 5 co-expressed genes in the TCGA cohort were 6-phosphofructo-2-kinase/fructose-2,6-bisphosphatase 2 (*PFKFB4*), *P4HA1*, *VEGFA*, Egl-9 Family Hypoxia Inducible Factor 3 (*EGLN3*) and ankyrin repeat domain 37 (*ANKRD37*; *Figure* 3.9).

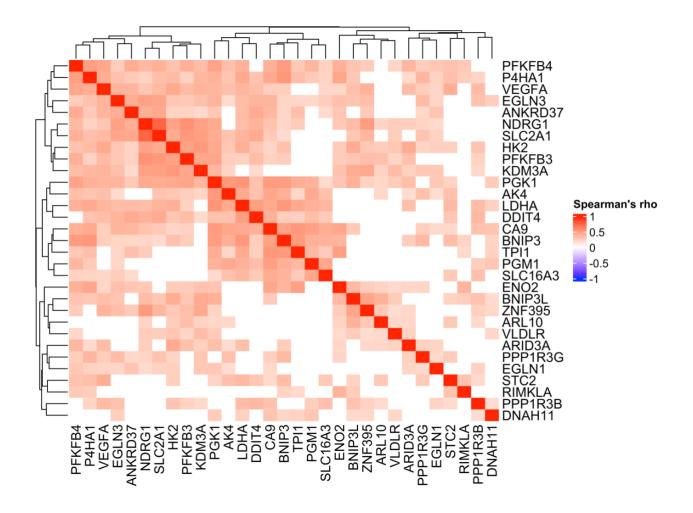


Figure 3.9: Plot showing Spearman's rank correlation (Benjamini-Hochberg corrected) matrix for the 31-signature genes as expressed in all TCGA cohort patients.

# 3.6 Curating the retrospective cohort

# 3.6.1 Diagnostic biopsy sample recruitment

The recruitment for patient samples started on 01/02/2021 and is set to finish at 31/10/2024. Formalin fixed paraffin embedded (FFPE) tumour blocks collected by 01/08/2022 have been analysed in this thesis. All patient clinicopathological parameters are accurate as of 01/11/2022.

# 3.6.2 RNA quantity and quality assessments

Optimal ranges and thresholds for RNA quantity and quality (QC) are recommended for Clariom S array input as they may affect technical performance. An optimised RNA extraction developed by the TRB Lab and used in several previous gene expression studies was implemented in the study<sup>93,203,208</sup>. RNA quantity was assessed using a Qubit assay kit and quality was assessed using a 2200 TapeStation.

Block age is a known factor affecting RNA QC following extraction from uterine cervical tumours preserved in FFPE<sup>209</sup>. The mean  $\pm$  SD block age in our sample group was 72  $\pm$  25 months and showed no association with RNA concentration (r = -0.11, p = 0.94) or with RNA integrity number (r = -0.12, p = 0.95).

The sample acquisition method was investigated as a potential source of RNA QC parameter variability. Twenty-five of the 184 samples were acquired following hysterectomy, and the remaining were from different types of tumour biopsies such as wedge/cone, endocervical curettage, large loop excision of the transformation zone (LLETZ), punch and TruCut. Haematoxylin and eosin (H&E) stained sections of the FFPE tumour blocks were double reported by two consultant histopathologists for histology, grade, and tumour surface area (TSA; given as a percentage either <50% or >50%). The minimum TSA reported was 25%. Differences between groups were determined using the unpaired Student's t test and results are summarised in Table 3.4. There were significant differences in RNA concentration quantity and quality. The best samples in this study were acquired following hysterectomy (RNA concentration =  $362 \pm 199$  ng/ul,  $260/280 = 1.98 \pm 0.06$ , and  $260/230 = 1.96 \pm 0.24$ ). When comparing samples acquired using biopsies, those with a >50% TSA yielded better QC results compared to those with a <50% TSA: RNA concentration =  $275 \pm 270$  ng/ul vs  $127 \pm 88$  ng/ul, p =  $3.88 \times 10^{-4}$ ;  $260/280 = 1.94 \pm 0.07$  vs  $1.90 \pm 0.07$ , p = 0.0012;  $260/230 = 1.83 \pm 0.28$  vs  $1.63 \pm 0.33$ , p =  $2.49 \times 10^{-4}$ ; respectively).

Two biopsy samples from the less than 50% tumour surface area failed QC and were not assessed any further. Of the 182 successful extractions, 5 samples were not plated. *Figure 3.10* highlights the failed 3/177 plated samples (sample IDs 02-096, 02-097 and 02-149) following Clariom S output analysis using the Transcriptome Analysis Console software (TAC version 4.0.1, Applied Biosystems). Failure threshold was set to 0.7 as per the software instructions. The samples 02-096 and 02-097 were surgical samples and 02-149 was acquired following biopsy. The RNA QC and TAC positive vs negative area under the curve (pos vs neg AUC) scores for these samples are summarised in Table 3.5. There was no clear association

between the RNA QC parameters and sample failure designated by the pos vs neg AUC score. Six further samples were removed from the final analysis due to not meeting the eligibility criteria for the study when paired with the clinical data.

Table 3.4 RNA QC assessments in n = 182 samples (mean  $\pm 1$  SD)

| Acquisition                 | RNA           | р    | RNA         | р      | RNA quality | р      |
|-----------------------------|---------------|------|-------------|--------|-------------|--------|
|                             | concentration |      | quality     |        | (260/230)   |        |
|                             | (ng/μl)       |      | (260/280)   |        |             |        |
| Hysterectomy                | 362 ± 199     | 0.04 | 1.98 ± 0.06 | 0.0036 | 1.96 ± 0.24 |        |
| (n=25)                      |               | 0.01 |             |        |             | 0.0028 |
| Biopsy (n=157)              | 232 ± 241     | . 1  | 1.93 ± 0.08 |        | 1.76 ± 0.32 | _      |
| >50% surface                | 275 ± 270     |      | 1.94 ± 0.07 | 0.0012 | 1.83 ± 0.28 |        |
| area (n=111)                | 210 ± 210     | 3.88 | 1.34 ± 0.07 | 0.0072 | 1.00 ± 0.20 | 2.49E- |
| <50% surface<br>area (n=46) | 127 ± 88      | E-04 | 1.90 ± 0.07 |        | 1.63 ± 0.33 | 04     |

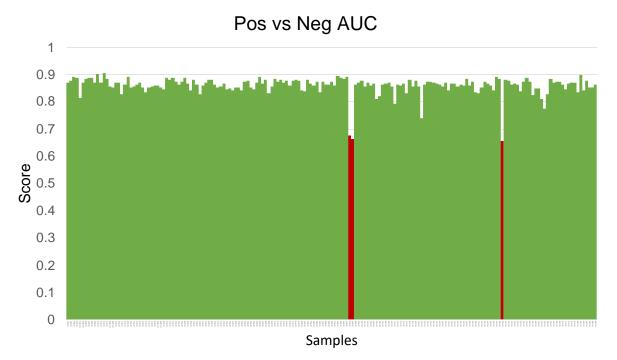


Figure 3.10: Clariom S analysis was performed on 177 tumours and sample consistency assessed using the Transcriptome Analysis Console. The score is calculated by comparing the intron (false positives) and exon controls (true negatives), and a score of 1 reflects perfect separation. The recommended threshold is set at 0.7. Passed (green) and failed (red) samples are shown.

Table 3.5: RNA QC assessments in n=3 samples that failed following Clariom S gene expression profiling.

| ID   | % tumour | extractio<br>n date | Clariom<br>batch | block age<br>(months) | RNA<br>conc.* | 260/<br>280 | 260/2<br>30 | pos<br>vs |
|------|----------|---------------------|------------------|-----------------------|---------------|-------------|-------------|-----------|
|      | area     | ii dato             | baton            | ()                    | (ng/ul)       | 200         |             | neg       |
|      |          |                     |                  |                       |               |             |             | AUC       |
| 02-  |          | 07/10/21            | 2                | 71                    | 466.80        | 1.996       | 2.118       | 0.676     |
| 096† |          |                     |                  |                       |               |             |             |           |
| 02-  |          | 07/10/21            | 2                | 211                   | 420.00        | 2.002       | 1.997       | 0.661     |
| 097† |          |                     |                  |                       |               |             |             |           |
| 02-  | <50%     | 06/04/22            | 2                | 98                    | 106.80        | 1.886       | 1.565       | 0.656     |
| 149^ |          |                     |                  |                       |               |             |             |           |

†surgery

^biopsy

\*RNA conc. = RNA concentration

AUC = area under the curve

# 3.6.3 Batch effect assessment

Normalisation and batch effect correction are two complementary strategies aimed at minimising technical noise or bias in gene expression profiling experiments. A principal component analysis (PCA) plot showed no significant batch effects following correction with the ComBat method<sup>185</sup> (*Figure 3.11*).

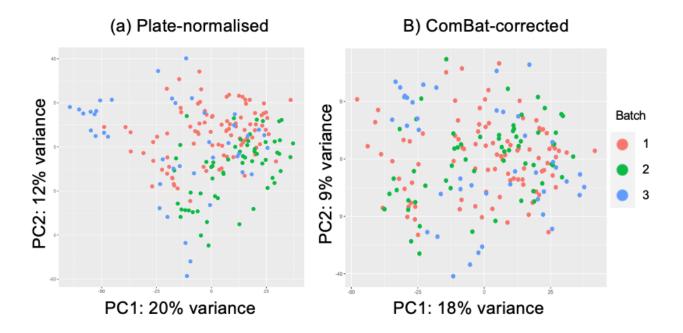


Figure 3.11: Principal component analysis for (a) Plate-normalised and (b) ComBat-corrected gene expression data (n=168 samples) showed no significant difference between batches following ComBat correction.

# 3.7 External validation of the gene expression signature

# 3.7.1 Signature expression profile

The top 5 co-expressed genes in the retrospective cohort were *VEGFA*, *PGK1*, *LDHA*, *PFKFB4* and hexokinase-2 (*HK2*; *Figure* 3.12). *VEGFA* and *PFKFB4* were also significantly co-expressed in the TCGA group. All the genes were recognised hypoxia-induced regulators of the glycolysis and pyruvate/lactate pathways as demonstrated earlier in the chapter.

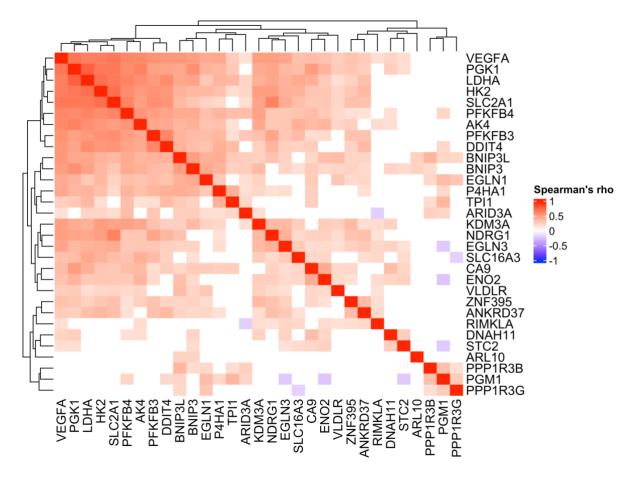


Figure 3.12. Plot showing Spearman's rank correlation (Benjamini-Hochberg corrected) matrix for the 31-signature genes as expressed in all retrospective cohort patients.

# 3.7.2 Baseline characteristic correlation

The mean age of women in the retrospective cohort was  $53 \pm 17$  years and treatment received was surgery (n=25), (chemo)radiotherapy (n=124) and palliation (n=19). Clinicopathological summary statistics for the 'normoxia' and 'hypoxia' groups are presented in Table 3.6.

A significant association was found between hypoxia status and a patient's performance status (p=0.006) but not with age. Further associations were noted with clinical stage (p<0.0001), histology type (p=0.028), tumour size (p<0.0001), pelvic node involvement (p=0.0002) and hydronephrosis (p=0.004). Of these, only clinical stage (p=0.008) and pelvic node presence (p=0.03) remained significantly associated in the (chemo)radiotherapy subgroup. A higher proportion of patients with a clinical stage ≥III were classified as hypoxic vs normoxic (42% vs 14% in 'all patients' group, and 33% vs 14% in subgroup). Pelvic lymph node involvement was higher in hypoxic vs normoxic patients in main group (66% vs 37%) and subgroup (64% vs 44%).

Table 3.6 Summary statistics for all (n=168) and (chemo)radiotherapy (n=124) patients in the retrospective Christie validation cohort. Significance values were calculated using either the Chi-squared test or the Mann-Whitney U test.

| Clinico-       | Level            | Α        | II (n=168) |                 | (chemo)ra | diotherapy | (n=124)            |
|----------------|------------------|----------|------------|-----------------|-----------|------------|--------------------|
| pathological   |                  | Normoxia | Hypoxia    | р               | Normoxia  | Нурохіа    | р                  |
| parameter      |                  | (n=95)   | (n=73)     |                 | (n=66)    | (n=58)     |                    |
| Age            | <40 years        | 29       | 14         | 0.095           | 21        | 13         | 0.24*              |
|                | ≥40 years        | 66       | 59         | *               | 45        | 45         | . U.Z <del>4</del> |
| PS^            | 0                | 61       | 33         |                 | 41        | 32         |                    |
|                | 1                | 25       | 23         | -<br>- 6.16E    | 19        | 21         | -                  |
|                | 2                | 5        | 9          | - 0.10E<br>03** | 5         | 5          | 0.51**             |
|                | 3                | 3        | 8          | 03              | 1         | 0          | -                  |
|                | 4                | 1        | 0          | -               | 0         | 0          | -                  |
| Clinical stage | IA               | 3        | 0          |                 | 0         | 0          |                    |
|                | IB               | 33       | 5          | -               | 11        | 5          | -                  |
|                | IIA              | 6        | 2          | -               | 6         | 2          | -                  |
|                | IIB              | 40       | 35         | 1.18E           | 40        | 32         | 7.84E-<br>03**     |
|                | IIIA             | 0        | 4          | -07**           | 0         | 2          |                    |
|                | IIIB             | 4        | 9          | -               | 4         | 7          | -                  |
|                | IVA              | 3        | 11         | -               | 2         | 9          | -                  |
|                | IVB              | 6        | 7          | -               | 3         | 1          | -                  |
| Histology      | squamous cell    | 66       | 58         |                 | 49        | 47         |                    |
|                | carcinoma        |          |            |                 |           |            |                    |
|                | adenocarcinoma   | 26       | 8          | -               | 15        | 7          | -                  |
|                | adenosquamous    | 3        | 2          | -               | 2         | 1          | -                  |
|                | carcinoma        |          |            | 0.000           |           |            |                    |
|                | neuroendocrine   | 0        | 1          | - 0.028<br>*    | 0         | 1          | 0.33*              |
|                | carcinoma        |          |            |                 |           |            |                    |
|                | clear cell       | 0        | 2          | -               | 0         | 1          | -                  |
|                | carcinoma        |          |            |                 |           |            |                    |
|                | undifferentiated | 0        | 2          | -               | 0         | 1          | -                  |
|                | carcinoma        |          |            |                 |           |            |                    |
| Grade          | 1 - Well         | 9        | 7          | 0.088           | 5         | 6          | 0 0E**             |
|                | differentiated   |          |            | **              |           |            | 0.25**             |

| 2 - Moderately   | 42   | 22   |   | 33  | 20  |  |
|------------------|--|--|---|---|---|--|
| differentiated   |  |  |   |   | -   |  |
| 3 - Poorly       | 44   | 42   |   | 28  | 31  |  |
| differentiated   |  |  |   |   |   |  |
| 4 -              | 0  | 2  |   | 0   | 1   | _  |
| Undifferentiated |  |  |   |   |   |  |
| Absent           | 42   | 24   | _ 0.39* _   | 27  | 22  | 0.39*  |
| Present          | 28   | 11   |   | 17  | 9   |  |
| Tumour size <4cm | 38   | 7  | 1.024   | 16  | 7   | 0.082*   |
| ≥4cm             | 57   | 66   | E-05*   | 50  | 51  |  |
| Pelvic nodes no  | 60   | 25   | 2.03E<br>-04*   | 37  | 21  | 0.027*   |
| yes              | 35   | 48   |   | 29  | 37  |  |
| no               | 89   | 65   | 0.28*   | 62  | 56  | 0.50*  |
| yes              | 6  | 8  |   | 4   | 2   |  |
| no               | 86   | 54   | 4.32E<br>-03*   | 60  | 46  | 0.067*   |
| yes              | 9  | 19   |   | 6   | 12  |  |
|                  | 3 - Poorly differentiated 4 - Undifferentiated Absent Present <4cm ≥4cm no yes no yes no | differentiated         3 - Poorly       44         differentiated         4 -       0         Undifferentiated         Absent       42         Present       28         <4cm | differentiated         3 - Poorly       44       42         differentiated         4 -       0       2         Undifferentiated         Absent       42       24         Present       28       11         <4cm | differentiated         3 - Poorly       44       42         differentiated         4 -       0       2         Undifferentiated         Absent       42       24         Present       28       11         <4cm | differentiated       3 - Poorly       44       42       28         differentiated       0       2       0         Undifferentiated       42       24       0.39*       27         Present       28       11       17         <4cm | differentiated         3 - Poorly       44       42       28       31         differentiated       4-       0       2       0       1         Undifferentiated       42       24       0.39*       27       22         Present       28       11       17       9         <4cm |

<sup>^</sup>PS = performance status

# 3.7.3 Survival analyses

Five-year censored event rates for all patients are presented in Table 3.7. In the external validation cohort (*Figure* 3.13a and b), 73 tumours were classified as 'hypoxic' and had significantly worse OS (log-rank test p=0.00031) and PFI (p=0.0016). Survival analyses in the radiotherapy treated sub-group were also performed but did not show any significant survival differences for OS or PFI between the hypoxic and normoxic groups, p=0.11 and p=0.18 respectively (*Figure* 3.14a and b).

In univariate Cox regression analysis, the hypoxia classifier, histology, performance status, tumour size and pelvic nodes showed prognostic significance (Table 3.8). However, the gene expression signature did not retain significance in the multivariate analysis with a hazard ratio of 1.48 (0.90 - 2.41), p=0.12.

<sup>†</sup>LVSI = lymphovascular space invasion

<sup>\*</sup> Chi-squared test

<sup>\*\*</sup> Mann-Whitney U test

Table 3.7 Christie cohort censored 5-year event rates.

| Event                 | N = 168  |
|-----------------------|----------|
| Local recurrence      | 28 (17%) |
| Regional recurrence   | 23 (14%) |
| Metastatic recurrence | 27 (16%) |
| Any recurrence        | 49 (29%) |
| Death                 | 61 (36%) |
|                       |          |

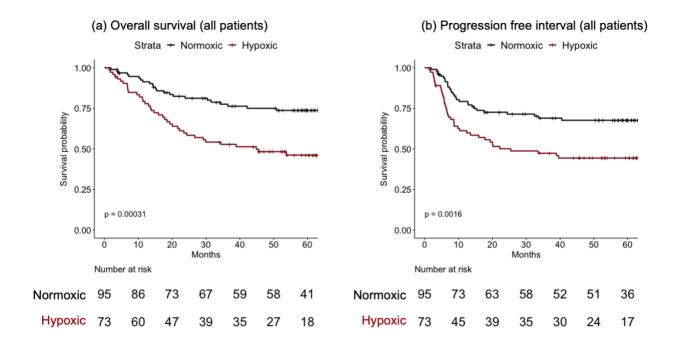


Figure 3.13: Kaplan-Meier (a) overall survival and (b) progression free interval analyses in all Christie patients (n=168).

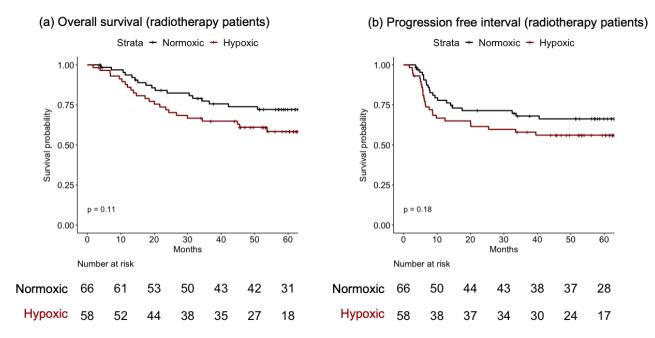


Figure 3.14: Kaplan-Meier (a) overall survival and (b) progression free interval analyses in external beam radiotherapy treated Christie patients (n=124).

Table 3.8: Cox regression analyses based on all patients (n=168).

|            |                | Univariat     | e analysis     | Multivariate analysis |                  |
|------------|----------------|---------------|----------------|-----------------------|------------------|
| Factor     | Level          | P HR (95% CI) |                | P HR (95%             |                  |
| Нурохіа    | Hypoxic        | 4.41894E-05   | 2.76           | 0.12                  | 1.48             |
| classifier |                |               | (1.70 - 4.50)  |                       | (0.90 - 2.41)    |
| PS^        | 1              | 0.0154        | 1.87           | 1.95E-03              | 2.19             |
|            |                |               | (1.13 - 3.09)  |                       | (1.33 - 3.58)    |
|            | 2              | 0.0016        | 3.13           | 0.05                  | 1.92             |
|            |                |               | (1.54 - 6.34)  |                       | (1.00 - 3.69)    |
|            | 3              | 1.17432E-09   | 9.46           | 7.32E-14              | 15.96            |
|            |                |               | (4.59 - 19.50) |                       | (7.72 - 32.97)   |
|            | 4              | 0.954         | 0.029          | 2.51E-04              | 89.51            |
|            |                |               | (6.41E-54 -    |                       | (8.07 - 992.37)  |
|            |                |               | 1.34E50)       |                       |                  |
| Histology  | adenocarcinoma | 0.255         | 0.69           | 0.01                  | 2.40             |
|            |                |               | (0.36 - 1.3)   |                       | (1.25 - 4.61)    |
|            | neuroendocrine | 0.019         | 11.55          | 9.88E-07              | 163.92           |
|            | carcinoma      |               | (1.50 - 88.62) |                       | (21.27 - 1263.42 |

|              | undifferentiated | 0.286    | 2.20          | 3.67E-03 | 8.87           |
|--------------|------------------|----------|---------------|----------|----------------|
|              | carcinoma        |          | (0.52 - 9.40) |          | (2.03 - 38.67) |
| Tumour size  | >4cm             | 2.03E-09 | 1.41          | 1.04E-03 | 1.20           |
|              |                  |          | (1.26 - 1.58) |          | (1.08 - 1.35)  |
| Pelvic nodes | Yes              | 2.41E-05 | 2.99          | 8.68E-04 | 2.40           |
|              |                  |          | (1.80 - 4.98) |          | (1.43 - 4.01)  |

^PS = performance status

#### 3.8 Discussion

The first objective of the thesis was to develop a gene expression signature that measured tumour hypoxia from diagnostic biopsies of patients with uterine cervical cancer. The main findings were (a) the *de novo* 31-gene expression signature was significantly associated with known hypoxia pathways, and (b) it was associated with known clinicopathological factors of poor prognosis and with adverse survival in an independent patient dataset. The findings suggest that the gene signature may classify women with uterine cervical cancer as having a clinically significant hypoxia status.

The work presented in this chapter builds on the considerable contribution to research in hypoxia gene expression signatures published by the TRB Lab. The signatures offer insight into (a) hypoxia-induced gene biology (processes and pathways), and (b) hypoxia related clinical manifestation (clinicopathological correlates and patient prognosis characterisation)<sup>86</sup>. Key decisions in deriving the cervical cancer 31-gene signature were based on methodology from these previous studies<sup>93,199,203,204,208,210,211</sup>.

Gene set analysis enriched the HIF1α signalling pathway and three signature genes are key components of this pathway: *VEGFA*, *SLC2A/GLUT-1* and *LDHA*. It is well established that the HIF transcription factor also induces *CA-IX* transcription and protein synthesis. All four signature genes code downstream proteins which have been thoroughly investigated as prognostic biomarkers of hypoxia over the past two decades <sup>65,68,71,212</sup>. Hypoxia is also a potent inducer of the 5′-AMP-activated protein kinase (AMPK) pathway which is independent of HIF-1 activity<sup>213</sup>. AMPK has a role in regulating normal glycolytic and oxidative metabolism<sup>214</sup>. Two key genes in this glucose metabolism pathway are *PFKB3* and *PFKB4*. Recent research suggests an association between PFK-2 isozymes and CA-IX<sup>215</sup>. Hsin et al. found that PFKB4

acted downstream of CA-IX, and was upregulated with CA-IX overexpression and downregulated with CA-IX knockdown. Furthermore, knocking down CA-IX or PFKB4 increased E-cadherin protein expression and reduced vimentin protein expression. The *in vitro* data suggests reduced CA-IX/PFKB4 expression may inhibit the epithelial-to-mesenchymal transition (EMT) of cervical cancer cells via the MAPK-ERK pathway. EMT signifies local tumour invasion as cells pass through the basement membrane before being disseminated via the blood or lymphatic system. Finally, the authors found that uterine cervical cancer patients with high CAIX and high PFKB4 expression had worse survival outcomes in three publicly available datasets.

Four of the signature genes (*BNIP3*, *BNIP3L*, *NDRG1* and *PGK1*) are amongst the most widely reported in published hypoxia gene signatures and enrich for cellular response to hypoxia in the Gene Ontology database. *BNIP3* and *BNIP3L* code for the BCL-2 family proteins which possess the BCL-2 Homology domain 3 only (BH3-only). Hypoxia increases the expression of these proteins via the HIF-1 transcription factor<sup>216</sup>. The BH-3 only proteins are effectors that integrate and transmit cell death signal, either transcriptionally or post-transcriptionally, and induce cell death, autophagy and mitophagy<sup>217</sup>. *NDRG1* regulates an intracellular protein and is governed by HIF-1alpha and p53-dependent pathways<sup>218</sup>. It has a complex role in suppression of tumour growth and metastasis, and high expression was associated with a worse progression free interval and overall survival in cervical adenocarcinomas<sup>219</sup>. The *PGK1* gene codes for an enzyme essential to the aerobic glycolysis pathway and is involved in multiple biological activities. It mediates glycolysis and generates ATP for tumour cells under hypoxia<sup>220</sup>. High *PGK1* expression is associated with poor survival in multiple tumours, including cervical cancer<sup>221</sup>.

Comparison with 3 other published cervical cancer signatures: Fjeldbo<sup>48</sup> (6-gene), Yang<sup>87</sup> (5-gene) and Nie<sup>88</sup> (9-gene), show some similarities. The 2012 Halle<sup>47</sup> signature was refined and replaced by the 2016 Fjeldbo classifier, and therefore only the latter is included in this discussion. Common genes/gene families in at least two of the signatures include *AK4*, *DDIT3/DDIT4*, *HK2*, *LDHA/LDHC*, *P4HA1/P4HA2*, *PGM1*, *STC1/STC2* and *VEGFA*. The similarities suggest a conserved hypoxia-related pathway in cervical cancer (e.g. procollagen prolyl 4-hydroxylase domain<sup>222</sup>) that maybe a target for treatment<sup>223</sup>. Differences in the signatures may arise due to differences in the model input parameters. In this study, I chose to analyse extracted RNA from cell lines grown in controlled environments with varying levels of oxygen. The in vitro approach is widely used however there is no set oxygen concentration for cell line experiments best reflective of clinically significant hypoxia. Pathological hypoxia is reported as being 1% oxygen (8 mmHg) however it is important to note that radiobiological

hypoxia is much lower at 0.4% (3mm Hg)<sup>224</sup>. Recently it has been suggested that the level with the strongest association to treatment outcome in cervical cancer patients is 0.7%<sup>135</sup>. In contrast, Yang et al. and Nie et al. developed their respective signatures entirely in silico using a list of 200 hypoxia related genes from MSigDB. The Fjeldbo classifier was derived by associating gene expression with a magnetic resonance imaging (MRI) parameter, A<sub>Brix</sub>.

Initial attempts by our lab group were aimed at developing a common hypoxia signature, or metagene, which could be generalised to different cancer types 199,210. However, it has been demonstrated that whilst some signatures may apply to other cancer types, most remain single cancer type specific<sup>85</sup>. A type-specific approach has resulted in hypoxia gene signatures for urinary bladder<sup>93</sup>, prostate<sup>208</sup> and soft tissue sarcoma<sup>203</sup>. Whilst bladder and prostate are clearly represented by one histological subtype, the soft tissue sarcoma paper notes that cancer type specific approaches may not generalise to the different histological subtypes. Indeed, two signatures from our group are histology subtype specific: a squamous cell carcinoma (SCC) head and neck signature<sup>211</sup> and one for adenocarcinoma lung tumours<sup>204</sup>. The Fjeldbo<sup>48</sup> classifier was trained on squamous cell carcinomas (SCC) whereas the Yang<sup>87</sup> and Nie<sup>88</sup> signatures include all histology types within the TCGA-CESC dataset. I chose to model the candidate genes in a SCC cervical cancer cohort. Histological subtype incidence in cervical cancer is ~70-80% for SCCs and 20% for adeno/adenosquamous carcinomas (AC/ASCs), with the latter having a worse clinical prognosis<sup>225</sup>. Other histological subtypes do exist but are rare and consistently associated with worse survival<sup>226</sup>. An AC/ASC model was not investigated as part of this work.

Other sources of variation in the final gene signature may arise from the decisions made during the modelling process. Gene signature modelling typically involves assessment of gene expression in clinical samples however no hypoxia-level labelled clinical dataset could be identified. The TCGA data was forced into *k*=2 clusters and labelled using the differential expression of the candidate genes. This is in contrast with other signatures that associate *in vitro* gene expression with pO2 Eppendorf histography<sup>90</sup> or with pimonidazole staining<sup>136</sup>. None of the published hypoxia associated gene signatures in cervical cancer<sup>48,87,88</sup> used a hypoxia biomarker in the development process. I have trained the final signature genes on hypoxia classification and prognosis, which is consistent with the published signatures in cervical cancer<sup>48,87,88</sup>, though it is unclear on how best to describe the aggressive phenotype for optimal clinical translation of the gene signature. The bladder signature was trained on both prognosis and hypoxia association<sup>93</sup>, whereas the sarcoma signature<sup>203</sup> is hypoxia associated and is combined with a prognostic marker (e.g. CINSARC signature<sup>227</sup>) at a later stage.

In the retrospective clinical cohort, the 31-gene signature shows a significant association with performance status which is often used to indicate tolerance to chemotherapy, and is a strong predictor of prognosis<sup>228</sup>. Other correlates included clinical stage, pelvic nodal involvement and tumour size which are also well recognised poor negative prognostic factors<sup>229</sup>. Lymphovascular space invasion which is a known adverse pathological finding did not show any association with hypoxia status in our dataset, though this may be due to a significant number of 'unknown' reports. The gene signature was also significantly associated with poor 5-year overall survival and progression free interval, though did not show significance in the multivariate analysis. This is likely due to the hypoxia variable being highly correlated with the other variables included in the multivariate analysis. Locally advanced cancers are treated with chemoradiotherapy which is the intended patient group application of this biomarker. Unfortunately, the *de novo* signature was not significantly prognostic in the external beam radiotherapy sub-group which may be due to treatment variability as shown in the Venn diagram in Chapter 2 (Figure 2.2). Treatment regimens which include cisplatin chemotherapy<sup>230</sup> and brachytherapy<sup>231</sup> have been consistently shown to be associated with significantly higher patient survival.

In summary, I have derived a hypoxia gene expression signature using cell line experimental data modelled in TCGA and validated in a retrospective clinical cohort of patients treated at The Christie between 2013 and 2018.

# 4 Developing novel magnetic resonance imaging sequences in healthy volunteers

I consented healthy volunteers for the study. Sequences were developed alongside the Christie Medical Physics team (David Buckley, Michael Dubec, Damien McHugh). Image acquisition was performed at The Christie Hospital by on site radiographers. The image analysis work was carried out in collaboration with the QBI Lab at the University of Manchester (Michael Berks, Sue Cheung, Michael Dubec, Ross Little, led by James O'Connor) and Christie Medical Physics at The Christie Hospital (David Buckley, Michael Dubec, Damien McHugh). Statistical evaluation was discussed with James O'Connor, along with Nuria Porta at the Clinical Trials and Statistics Unit of The Institute of Cancer Research.

#### 4.1 Introduction

In this chapter I provide an overview of a study which designs, implements, and validates a multi-parametric quantitative magnetic resonance imaging (qMRI) protocol in female healthy volunteers.

Anatomical MRI is firmly embedded in routine healthcare as a clinical diagnostic tool in patients with locally advanced cervical cancer (LACC). In addition, clinicians typically use diffusion-weighted imaging (DWI) both in the form of b value images and as derived parameter maps of ADC. Some institutions use gadolinium contrast-enhanced imaging MRI as well<sup>232</sup>. These functional sequences are used as an adjunct to improve uterine tumour detection. More recently, DWI has established its role in the clinical assessment of treatment response, again by using qualitative images to aid assessment of change in appearances<sup>233</sup>.

These 'standard' sequences are 'weighted' to provide an optimal contrast for visual descriptive discrimination rather than quantitative characterisation. qMRI is conceptually different in that image acquisition and analysis are inherently designed to enable mapping of a parameter that has some relationship to tumour pathophysiology<sup>234</sup>. As radiotherapy is delivered in multiple fractions over the course of weeks, quantitative imaging biomarkers (QIBs) allow for serial non-invasive tumour assessments. Clinical scientists and clinicians can utilise QIBs to personalise treatment: better prognostication, predicting outcome of different treatments, treatment planning and on-treatment adaptation, toxicity prediction and response assessment. Given these potential benefits, integrated MRI and radiotherapy systems are a highly compelling research avenue<sup>235</sup>.

QIBs derived from OE-MRI have shown potential in quantifying oxygenation within a tumour in mouse models and in patients with hepatocellular<sup>236</sup>, lung<sup>110</sup>, rectal<sup>111</sup> and head and neck cancers<sup>112</sup>. This is detailed more thoroughly in chapter 1, but it is important to state here that to date OE-MRI has only been reported in two patients with cervical cancer in a descriptive analysis only<sup>109</sup>. QIBs require technical and biological/clinical validation then assessment of clinical utility prior to clinical implementation<sup>56</sup>. Biological/clinical validation is discussed in chapter 5 of this thesis. Two fundamental metrology areas that most directly address technical performance are 'repeatability' and 'reproducibility'. Repeatability measures the same feature under identical or near identical conditions, whereas reproducibility measures the reliability of the QIB measuring system in different conditions<sup>237</sup>.

Along with colleagues, I followed the 'The Quantitative Imaging Biomarker Alliance (QIBA) Technology Performance Working Group' framework when developing the novel sequences in this chapter<sup>197</sup>. This body of work a) assesses the repeatability of OE-MRI in healthy tissues, b) identifies a reference region for technical gas delivery assessment, and c) evaluates translation of OE-MRI onto the MR-Linac system in patients with LACC.

## 4.2 Study design

Figure 4.1 provides an outline of the stages in sequence development and technical validation by highlighting key steps.

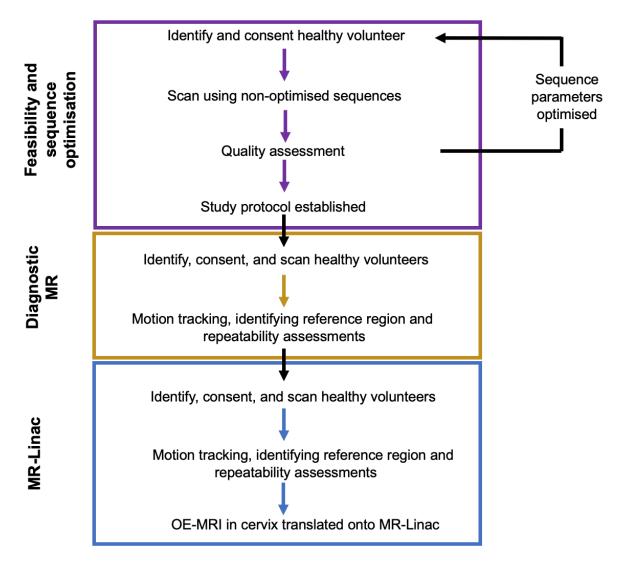


Figure 4.1: An outline of the steps taken in this chapter.

#### 4.3 Feasibility and sequence optimisation

Initial work developed an imaging protocol in a group of healthy volunteers. OE-MRI sequences were developed in six healthy volunteers, scanned at two time-timepoints. Images were assessed for artefact, distortion, and signal-to-noise ratio after each examination (*Figure* 4.2). Relevant changes to the acquisition parameters aimed to improve these criteria were implemented, and the next healthy volunteer was scanned using the updated protocol. The protocols were finalised once a volunteer had two acceptable imaging sessions. The data generated from the initial experiments is not presented in the thesis, but the locked down protocol and acquisition parameters are presented here.

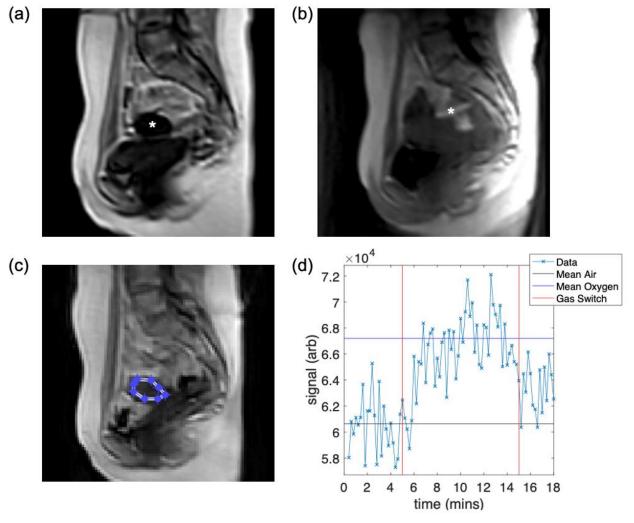


Figure 4.2: Early examples of healthy volunteer pelvic imaging using OE-MRI sequences in development. Artefact (\*) from areas of signal drop out in (a) and oxygen tubing interposed between participant and the anterior body coil in (b). Initial assessment of the uterine body (c) in a higher quality OE-MRI scan resulted in (d) an arbitrary signal time-series which shows a change in signal following 100% oxygen delivery.

#### 4.4 Main study data acquisition

## 4.4.1 Healthy volunteer recruitment

Twelve volunteers (age:  $28 \pm 5.9$  years;  $\mu \pm SD$ ) were recruited and allocated to the Diagnostic MRI only = 3, MR-Linac only = 4, or both = 5. Eleven volunteers were scanned twice,  $14 \pm 19$  days apart. For a 6-week period in December 2021 to January 2022, all clinical research activities were suspended at The Christie Hospital. This along with volunteer illness and a busy work rota resulted in missed scheduled appointments aimed at imaging volunteers 7 days apart.

#### 4.4.2 MRI assessments overview

T<sub>1</sub> mapping and OE-MRI acquisitions were performed by radiographers at The Christie Hospital. Analysis was performed with help from Michael Berks (motion tracking model) and Michael Dubec (T<sub>1</sub> and OE MRI analysis) from the QBI Lab. All native T<sub>1</sub> values acquired on a particular MR system are presented together as these are unaffected by the hyperoxic gas challenge.

OE-MRI was performed using 100% oxygen and carbogen (98% oxygen, 2% carbon dioxide) enhanced- sequences and was well tolerated by all participants. Acquisition time for the dynamic OE-MRI sequence was 18 minutes on the Diagnostic MRI and 19.5 minutes on the MR Linac. Previous work assessing the effects of carbogen (95% oxygen/5% CO<sub>2</sub>) and 100% oxygen on T<sub>1</sub> shortening of healthy tissues found no consistent differences between the hyperoxic gases in small numbers of participants<sup>238,239</sup>, but we evaluated both gases in the healthy volunteers.

As OE-MRI has a low signal-to-noise ratio (SNR), a quality control (QC) region can act as a positive control within the subject and is useful to exclude technical failures of gas inhalation. Initial measurements in the subcutaneous fat overlying the gluteal region were too 'noisy' and were not investigated any further (*Figure* 4.3). A motion tracking model was applied when investigating uterine body measurements due to known issues with organ motion<sup>194</sup>. Reported healthy tissue regions in this chapter include the uterine body (UB), right psoas muscle and L5 vertebral body, and these were compared with healthy uterine cervix (UC) measurements. Representative  $\Delta R_1$  parameter maps from two participant visits and repeatability measures are provided for the UC and UB regions.

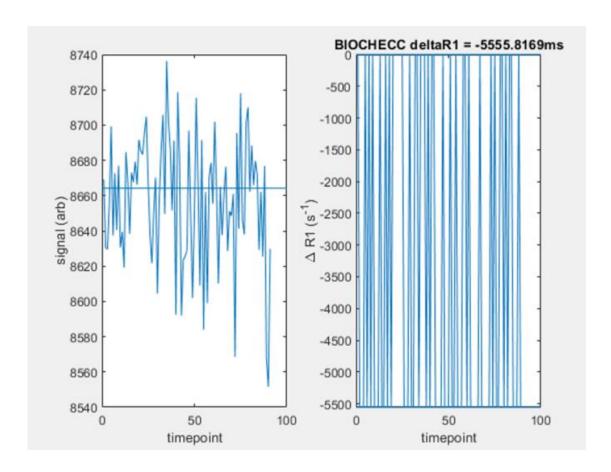


Figure 4.3: An example of 'noisy' signal from subcutaneous fat (left panel) which failed to show a meaningful  $\Delta R_1$  trace following signal conversion (right panel).

## 4.5 Diagnostic MR assessments

#### 4.5.1 Measurement and repeatability of native T<sub>1</sub>

UC and UB  $T_1$  measures for all healthy volunteer (HV) visits are summarised in Table 4.1. The mean  $\pm$  SD of all UC and UB measurements are similar, 1305  $\pm$  234 ms vs 1338  $\pm$  74 ms respectively. The uterine subregions also have similar repeatability measures: wCV = 7% and RC = 266 for UC, and wCV = 5% and RC = 200 for UB. The repeatability statistics are plotted in *Figure 4.4* and all values lay within the 95% intervals of agreement on Bland-Altman analysis.

Table 4.1 Healthy tissue uterine cervix (UC) and uterine body (UB) T<sub>1</sub> values (ms) for all participants scanned on the Diagnostic MR. Repeatability measures wCV (within subject coefficient of variation) and repeatability co-efficient (RC) along with upper and lower limits of confidence are also given. UC and UB mean and 1 standard deviation measures for the subgroup are given in the last two rows.

| Tissue         | Study ID | Visit 1    | Visit 2    | wCV (%) | RC (95% CI)     |
|----------------|----------|------------|------------|---------|-----------------|
| Uterine cervix | HV 6     | 1857†      | 1887†      | 7       | 266 (180 - 510) |
|                | HV 7     | 1161       | 1252       |         |                 |
|                | HV 8     | 1221       | 1225       |         |                 |
|                | HV 9     | 1393       | 1160       |         |                 |
|                | HV 10    | 1269       | 1235       |         |                 |
|                | HV 12    | 1302       | 1128       |         |                 |
|                | HV 13    | 1178       | 1180       |         |                 |
|                | HV 14    | 1334       | 1104       |         |                 |
| Uterine body   | HV 6     | 1192       | 1340       | 5       | 200 (135 - 384) |
|                | HV 7     | 1363       | 1203       |         |                 |
|                | HV 8     | 1383       | 1406       |         |                 |
|                | HV 9     | 1325       | 1337       |         |                 |
|                | HV 10    | 1395       | 1443       |         |                 |
|                | HV 12    | 1254       | 1431       |         |                 |
|                | HV 13    | 1300       | 1300       |         |                 |
|                | HV 14    | 1385       | 1342       |         |                 |
| UC μ ± SD      |          | 1339 ± 223 | 1272 ± 254 |         |                 |
| UB μ ± SD      |          | 1325 ± 72  | 1350 ± 78  |         |                 |

<sup>†</sup>Outlier results due to fluid distending the endocervical canal

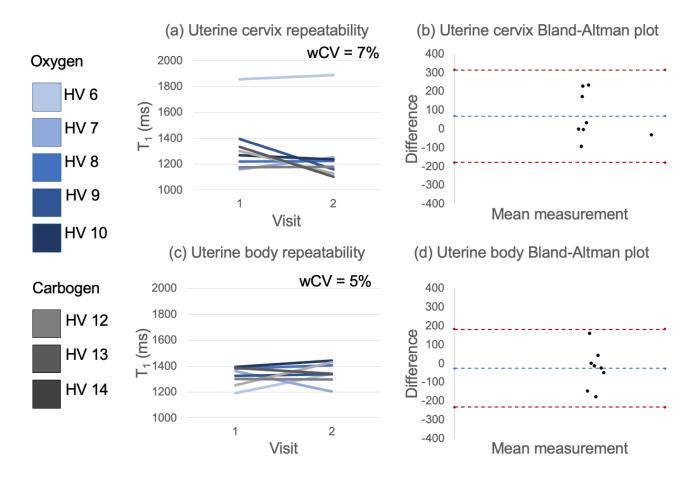
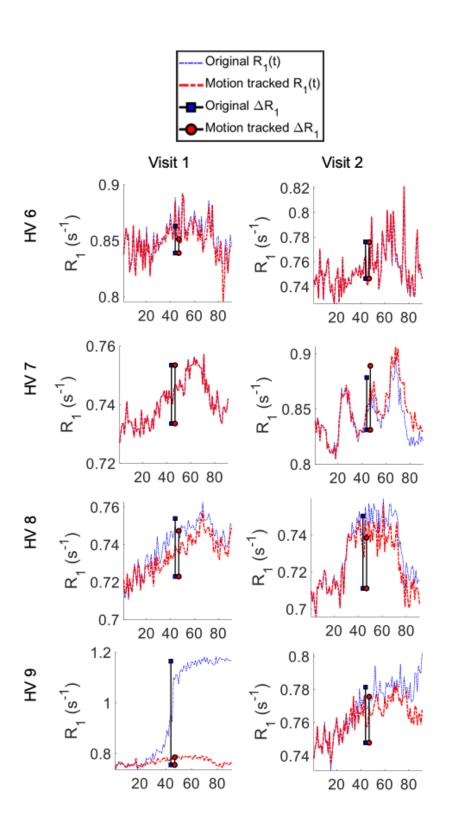


Figure 4.4: Native  $T_1$  repeatability measurements for uterine cervix in (a) and (b), and uterine body in (c) and (d) as acquired on the Diagnostic MR. wCV = within subject co-efficient of variation and RC = repeatability co-efficient. HV = healthy volunteer.

#### 4.5.2 Uterine body (UB) motion tracking

The UB is susceptible to motion and deformation secondary to urinary bladder filling. A 'motion tracking' model was applied to the 16 healthy volunteer visits (*Figure 4.5*). UB 'motion tracked'  $R_1$  timeseries (red line) compared to 'static'  $R_1$  (blue line) showed a) little variation in baseline calculation compared to peak enhancement calculation, and b) a more consistent decrease in  $R_1$  when participants were switched from hyperoxic gas to medical air breathing at the 71 st timepoint. The model failed to correct motion from sources other than the urinary bladder e.g., in HV 7 visit 2 rectal distension caused an oscillatory motion of the uterine body resulting in periodic peaks and troughs (*Figure 4.5*).



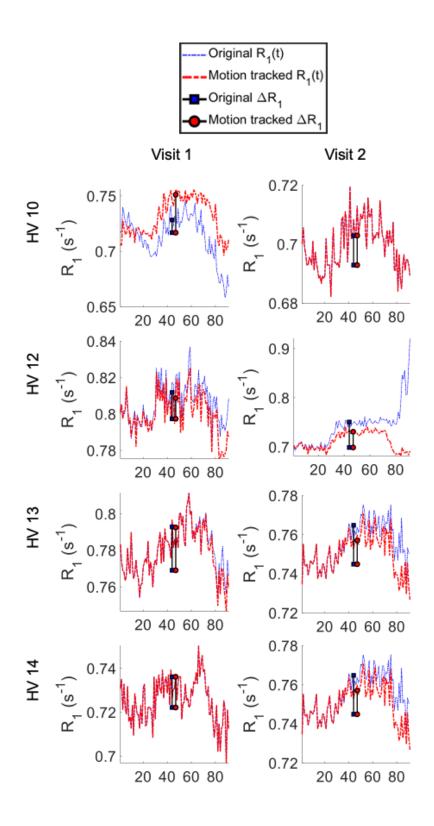


Figure 4.5.  $R_1$  time series in the UB for participants imaged on the Diagnostic MR derived using two methods: motion tracked (red) and static (blue) regions of interest (ROIs). Note that the y axis is scaled to fit each healthy volunteer (HV) visit and varies between cases.

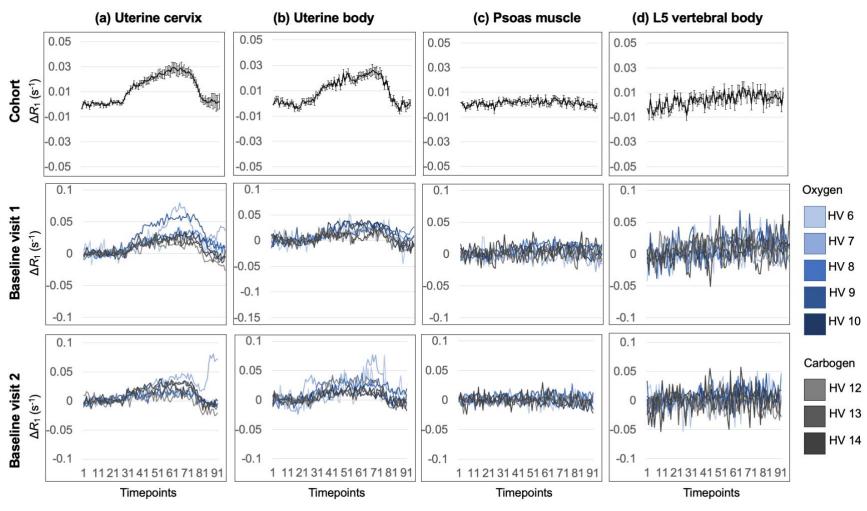


Figure 4.6: All healthy tissue assessments on Diagnostic MR for (a) Uterine cervix, (b) Uterine body, (c) Psoas muscle, and (d) L5 vertebral body. Cohort level changes for all participant visits are shown in the top row, with error bars representing the standard error of the mean. Individual  $\Delta R_1$  time series are shown for visit 1 (middle row) and visit 2 (bottom row). Note the difference in y axis scale for cohort and individual time series. HV = healthy volunteer.

#### 4.5.3 Healthy tissue assessment of oxygen-induced $\Delta R_1$

MRI parameter assessments in the four healthy tissues showed significant oxygenenhancement in traces in the UC and UB regions (

Figure 4.6), and UB was selected as a QC region for OE-MRI performed on the Diagnostic MR. The UB and UC  $R_1$  timeseries had five stages; (a) baseline<sub>1</sub>: on the 0-25 timepoints (medical air breathing, (b) ascent: rise in signal between the 26-40 timepoints (hyperoxic gas breathing), (c) plateau: minimal change between the 41-70 timepoints (100% oxygen breathing), (d) descent: drop in signal between the 71-80 timepoints (medical air breathing), and (e) baseline<sub>2</sub>: minimal change at baseline between 81-91 timepoints (medical air breathing). No significant change in  $R_1$  was seen in the psoas (skeletal muscle) or the L5 vertebral body (bone) when participants breathed a hyperoxic gas.

#### 4.5.4 Repeatability measurements of oxygen-induced $\Delta R_1$

All 8 participants were evaluated for repeatability. Example healthy tissue UC and UB  $\Delta R_1$  parameter maps (*Figure* 4.7) show MRI parameter spatial heterogeneity when compared between different tissues on the same visit, and between similar tissue regions across the two visits. Biomarker repeatability statistics are shown in *Figure* 4.8 and Table 4.2 summarises the healthy tissue UC and UB  $\Delta R_1$  values for all participants scanned on the Diagnostic MRI. An unpaired Student's t-test was used to assess for significant change from baseline (p < 0.05). All UC and UB  $\Delta R_1$  values were significant. UC  $\Delta R_1$  within subject coefficient of variability (wCV) was better than UB (49% vs 55%). In an estimate of agreement analysis shown by Bland-Altman plot, all 8 participant measurements were within the 95% intervals of agreement for both tissue types.

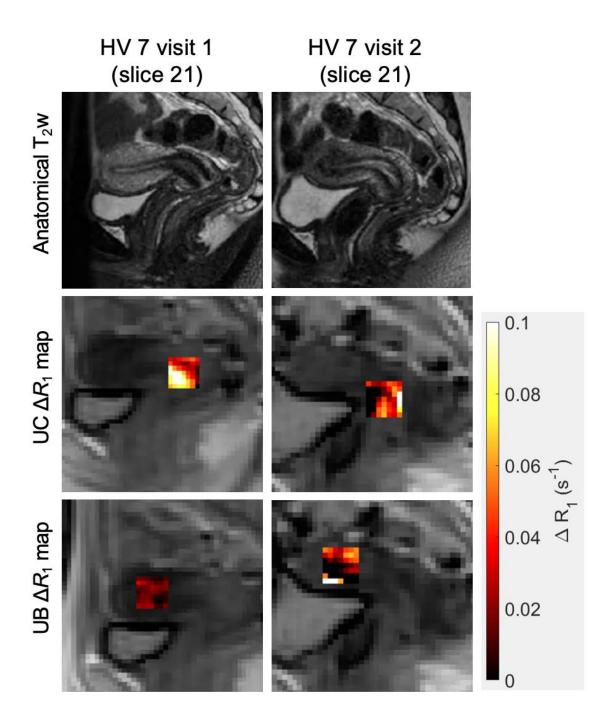


Figure 4.7: Example images acquired over two visits for participant (HV 7) imaged on the Diagnostic MR are shown:  $T_2$ -w anatomy (top row) and  $\Delta R_1$  parameter maps (UC = middle row; UB = bottom row) overlaid on the inversion recovery  $T_1$  mapping sequence. HV = healthy volunteer; UB = uterine body; UC = uterine cervix.

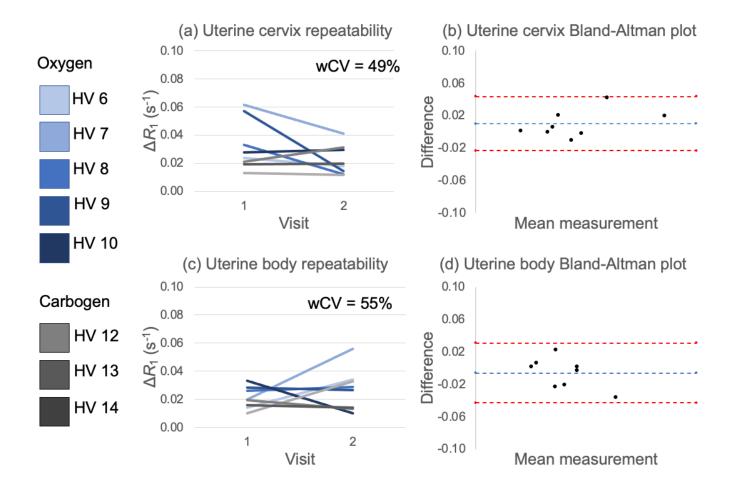


Figure 4.8:  $\Delta R_1$  repeatability measurements for uterine cervix in (a) and (b), and uterine body in (c) and (d) as acquired on the Diagnostic MR. wCV = within subject co-efficient of variation and RC = repeatability co-efficient. HV = healthy volunteer.

Table 4.2: Healthy tissue UC and UB  $\Delta R_1$  values (s<sup>-1</sup>) for all participants scanned on the Diagnostic MR. Significant parameter changes from baseline are highlighted (\*). Repeatability measures wCV and RC along with upper and lower limits of confidence are also given. UC and UB mean and 1 standard deviation measures for the sub-group are given in the last two rows.

| Study | visit 1           | visit 2                             | wCV  | RC (95% CI)   |
|-------|-------------------|-------------------------------------|--|---|
| ID    |                   |                                     | (%)  |   |
| HV6   | 0.0237*           | 0.0179*                             | 49   | 0.0367 (0.0248 -  |
| HV7   | 0.0615*           | 0.0411*                             | _  | 0.0704)   |
| HV8   | 0.0328*           | 0.0120*                             | _  |   |
| HV9   | 0.0569*           | 0.0142*                             | _  |   |
|       | HV6<br>HV7<br>HV8 | HV6 0.0237* HV7 0.0615* HV8 0.0328* | ID         HV6       0.0237*       0.0179*         HV7       0.0615*       0.0411*         HV8       0.0328*       0.0120* | ID     (%)       HV6     0.0237*     0.0179*     49       HV7     0.0615*     0.0411*       HV8     0.0328*     0.0120* |

|              | HV10 | 0.0278* | 0.0293*       |    |                  |
|--------------|------|---------|---------------|----|------------------|
|              | HV12 | 0.0130* | 0.0114*       |    |                  |
|              | HV13 | 0.0209* | 0.0310*       |    |                  |
|              | HV14 | 0.0194* | 0.0195*       |    |                  |
| Uterine body | HV6  | 0.0139* | 0.0342*       | 55 | 0.0366 (0.0247 - |
|              | HV7  | 0.0200* | 0.0560*       |    | 0.0701)          |
|              | HV8  | 0.0261* | 0.0288*       |    |                  |
|              | HV9  | 0.0284* | 0.0264*       |    |                  |
|              | HV10 | 0.0331* | 0.0103*       |    |                  |
|              | HV12 | 0.0102* | 0.0327*       |    |                  |
|              | HV13 | 0.0196* | 0.0133*       |    |                  |
|              | HV14 | 0.0159* | 0.0142*       |    |                  |
| UC μ ± SD    |      | 0.032 ± | 0.022 ± 0.011 |    |                  |
|              |      | 0.018   |               |    |                  |
| UB μ ± SD    |      | 0.021 ± | 0.027 ± 0.015 |    |                  |
|              |      | 0.008   |               |    |                  |
|              |      |         |               |    |                  |

#### 4.6 MR Linac assessments

## 4.6.1 Measurement and repeatability of native T<sub>1</sub>

UC and UB  $T_1$  measures for all healthy volunteer visits are summarised in Table 4.3. The mean of all UC and UB visits are similar,  $1256 \pm 99$  ms vs  $1295 \pm 95$  ms respectively. The uterine subregions once again have very similar repeatability measures: wCV = 6% and RC = 204 for UC, and wCV = 6% and RC = 227 for UB. The repeatability statistics are plotted in Figure 4.9 and showed a single UB measurement lay outside the 95% intervals of agreement on Bland-Altman analysis.

Table 4.3: Healthy tissue UC and UB native  $T_1$  values (ms) for all participants scanned on the MR Linac. Repeatability measures wCV and RC along with upper and lower limits of confidence are also given. UC and UB mean and 1 standard deviation measures for the subgroup are given in the last two rows. UC = uterine cervix, UB = uterine body, HV = healthy volunteer, wCV = within subject co-efficient of variation and RC = repeatability co-efficient.

| Tissue         | Study ID | Visit 1    | Visit 2    | wCV (%) | RC (95% CI)     |
|----------------|----------|------------|------------|---------|-----------------|
| Uterine cervix | HV 6     | 1291       | 1290       | 6       | 204 (138 – 392) |
|                | HV 7     | 1314       | 1178       | -       |                 |
|                | HV 8     | 1097       | 1312       | -       |                 |
|                | HV 9     | 1138       |            | -       |                 |
|                | HV 10    | 1305       | 1265       | -       |                 |
|                | HV 15    | 1436       | 1413       | -       |                 |
|                | HV 16    | 1262       | 1341       | -       |                 |
|                | HV 17    | 1151       | 1266       | -       |                 |
|                | HV 18    | 1159       | 1133       | -       |                 |
| Uterine body   | HV 6     | 1303       | 1298       | 6       | 227 (154 – 436) |
|                | HV 7     | 1276       | 1248       | -       |                 |
|                | HV 8     | 1231       | 1309       | -       |                 |
|                | HV 9     | 1268       |            | -       |                 |
|                | HV 10    | 1394       | 1418       | -       |                 |
|                | HV 15    | 1291       | 1569       | -       |                 |
|                | HV 16    | 1242       | 1296       | -       |                 |
|                | HV 17    | 1171       | 1311       | -       |                 |
|                | HV 18    | 1187       | 1207       | -       |                 |
| UC μ ± SD      |          | 1239 ± 110 | 1275 ± 89  |         |                 |
| UB µ ± SD      |          | 1262 ± 67  | 1332 ± 113 |         |                 |

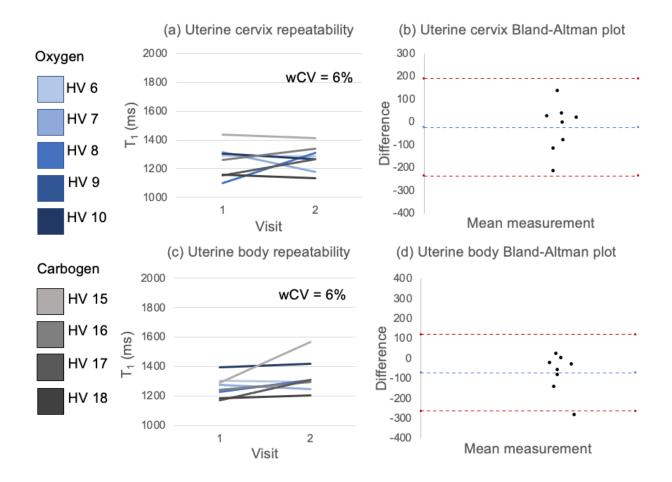
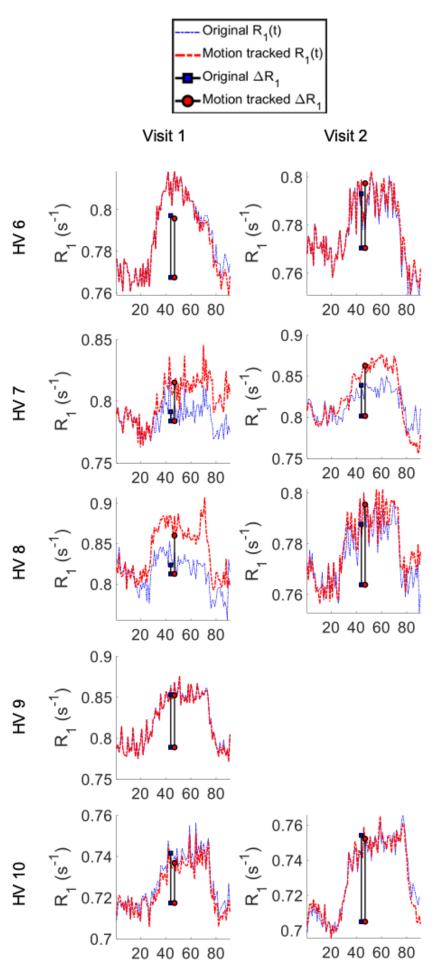


Figure 4.9: Native  $T_1$  repeatability measurements for uterine cervix in (a) and (b), and uterine body in (c) and (d) as acquired on the MR Linac. wCV = within subject co-efficient of variation and RC = repeatability co-efficient. HV = healthy volunteer.

#### 4.6.2 Uterine body (UB) motion tracking

The same 'motion tracking' model was applied to scans acquired on the MR Linac (*Figure 4.10*). UB 'motion tracked'  $R_1$  timeseries (red line) was unable to correct motion induced signal corruption in three visits: HV 15 visit 1, HV visit 2 and HV 18 visit 2. Review of the images showed the motion corruption in these participants was largely due to colonic and rectal wall peristalsis. These participant visits were not assessed any further.



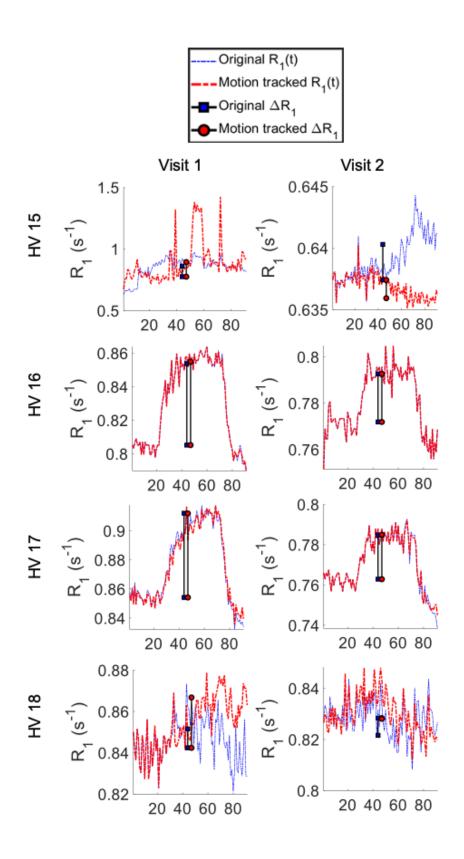


Figure 4.10: R<sub>1</sub> time series in the UB for participants imaged on the MR Linac derived using two methods: motion tracked (red) and static (blue) ROIs. Note that the y axis is scaled to fit each HV visit and varies between cases. HV = healthy volunteer.

#### 4.6.3 Healthy tissue assessment of oxygen-induced $\Delta R_1$

Colorectal bowel wall motion also corrupted the signal from two UC regions in this dataset as evident by the  $\Delta R_1$  time series plots (*Figure* 4.11). These are the same participant visits identified in the previous section (HV 15 visit 1 and HV 18 visit 2). These measurements were not included in any further analysis.

MRI parameter assessments in the four healthy tissues showed meaningful traces in the UC and UB regions (*Figure* 4.12), and UB was selected as a QC region for OE-MRI performed on the MR Linac. Like the Diagnostic MR results, the psoas muscle and L5 vertebral body tissues did not have a significant change in  $R_1$  following hyperoxic gas challenge.

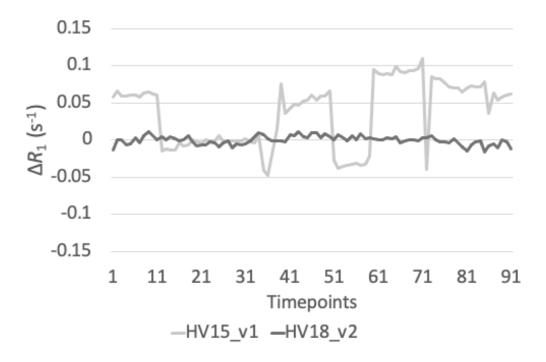


Figure 4.11: UC  $\Delta R_1$  time series from two selected participants. Analysis of the images shows significant colorectal wall motion corrupting the dynamic OE signal, and the measurements are not analysed any further. HV = healthy volunteer.

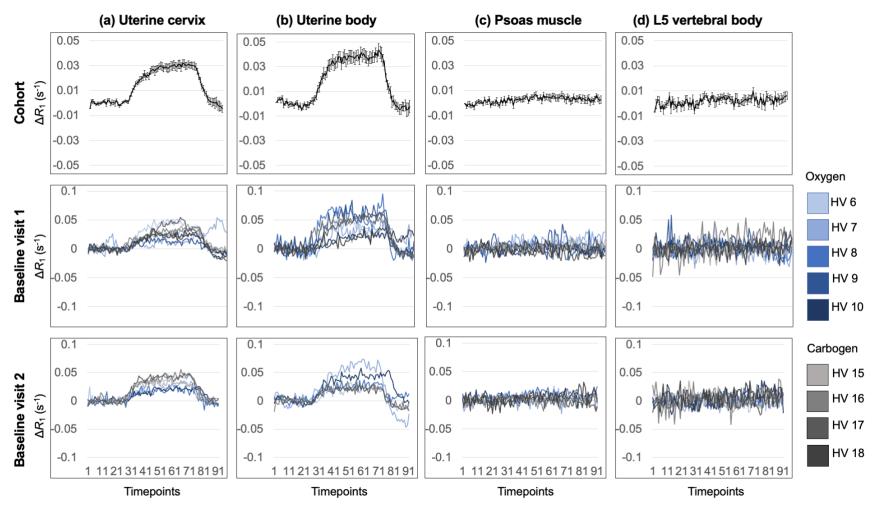


Figure 4.12: All healthy tissue assessments on MR Linac for (a) Uterine cervix, (b) Uterine body, (c) Psoas muscle, and (d) L5 vertebral body. Cohort level changes for all participant visits are shown in the top row, with error bars the standard error of the mean. Individual  $\Delta R_1$  time series are shown for visit 1 (middle row) and visit 2 (bottom row). Note the difference in y axis scale for cohort and individual time series. HV = healthy volunteer.

### 4.6.4 Repeatability measurement of oxygen-induced $\Delta R_1$

UC and UB  $\Delta R_1$  repeatability statistics were assessed in 6 out of the 9 volunteers imaged on the MR Linac. Example healthy tissue UC and UB  $\Delta R_1$  parameter maps (*Figure 4.13*) demonstrate biomarker heterogeneity when participants are scanned on the MR Linac system. Repeatability statistics were plotted in *Figure 4.14* and summarised in Table 4.4. All non-motion corrupted results showed significant changes in  $R_1$  measurements from baseline. UC  $\Delta R_1$  wCV was better than UB (23% vs 47%). All measurements were within the Bland-Altman 95% intervals of agreement for both tissue types.

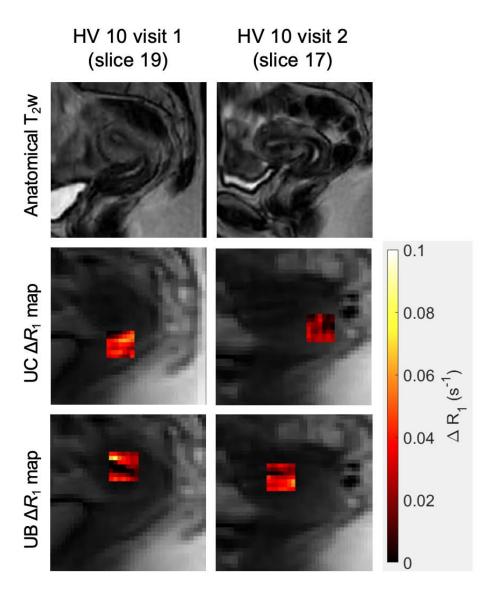


Figure 4.13: Example images acquired over two visits for participant (HV 10) imaged on the MR Linac are shown:  $T_2$ w anatomy (top row) and  $\Delta R_1$  parameter maps (UC = middle row; UB = bottom row) overlaid on the inversion recovery  $T_1$  mapping sequence. UC = uterine cervix, UB = uterine body and HV = healthy volunteer.

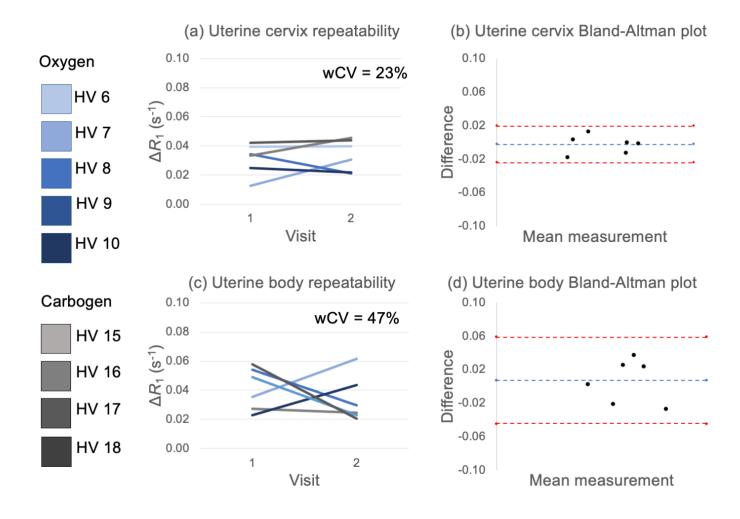


Figure 4.14:  $\Delta R_1$  repeatability measurements for uterine cervix in (a) and (b), and uterine body in (c) and (d) as acquired on the Diagnostic MR. wCV = within subject co-efficient of variation and RC = repeatability co-efficient. HV = healthy volunteer.

Table 4.4: Healthy tissue UC and UB  $\Delta R_1$  values (s<sup>-1</sup>) for all participants scanned on the MR Linac. Significant parameter changes from baseline are highlighted (\*). Repeatability measures wCV and RC along with upper and lower limits of confidence are also given. UC and UB mean and 1 standard deviation measures for the sub-group are given in the last two rows. UC = uterine cervix, UB = uterine body, HV = healthy volunteer, wCV = within subject co-efficient of variation and RC = repeatability co-efficient.

| Tissue       | Study | visit 1 | visit 2 | wCV | RC (95% CI)      |
|--------------|-------|---------|---------|-----|------------------|
|              | ID    |         |         | (%) |                  |
| Uterine      | HV6   | 0.0394* | 0.0399* | 23  | 0.0206 (0.0133 - |
| cervix       |       |         |         |     | 0.0453)          |
|              | HV7   | 0.0126* | 0.0306* | _   |                  |
|              | HV8   | 0.0344* | 0.0212* | _   |                  |
|              | HV9   | 0.0135* |         | _   |                  |
|              | HV10  | 0.0247* | 0.0217* | _   |                  |
|              | HV15  |         | 0.0313* | _   |                  |
|              | HV16  | 0.0333* | 0.0456* | _   |                  |
|              | HV17  | 0.0423* | 0.0437* | _   |                  |
|              | HV18  | 0.0232* |         | _   |                  |
| Uterine body | HV6   | 0.0274* | 0.0245* | 47  | 0.0492 (0.0317 - |
|              | HV7   | 0.0353* | 0.0615* | _   | 0.1083)          |
|              | HV8   | 0.0540* | 0.0298* | _   |                  |
|              | HV9   | 0.0612* |         | _   |                  |
|              | HV10  | 0.0228* | 0.0436* | _   |                  |
|              | HV15  |         |         | _   |                  |
|              | HV16  | 0.0491* | 0.0230* | _   |                  |
|              | HV17  | 0.0578* | 0.0206* | _   |                  |
|              | HV18  | 0.0257* |         | _   |                  |
| UC μ ± SD    |       | 0.028 ± | 0.033 ± |     |                  |
|              |       | 0.011   | 0.010   |     |                  |
| UB μ ± SD    |       | 0.042 ± | 0.034 ± |     |                  |
|              |       | 0.016   | 0.016   |     |                  |

#### 4.7 Discussion

The data presented in this chapter report the first healthy volunteer repeatability study of OE-MRI in the female pelvis. This is also the first study to successfully translate OE-MRI in the female pelvis on to the MR Linac system. The results show that the locked down protocol was well tolerated in both sets of healthy volunteers. No adverse events were reported. A motion tracking analysis was incorporated and used to provide a QC step. The repeatability of both native  $T_1$  and  $\Delta R_1$  were measured.

Diagnostic MR vs MR Linac native  $T_1$  measurements were similar for both UC and UB regions. The native  $T_1$  values were within the expected range compared to a very limited published literature that recognises variations secondary to the implemented MRI protocol (magnetic field strength and technique)<sup>240</sup>. The UB and UC ROIs included fluid within the endocervical or endometrial cavities which attenuated the signal returned from the target tissues. This may explain the  $T_1$  variation in the data which is higher than other published literature<sup>241,242</sup>.

Quantitative imaging parameters must be comparable over time, between subjects and across scanners. Subtle variations in scanner hardware and software may influence the MR signal. Discrepancies in  $T_1$  mapping are well documented in the literature and believed to be secondary to an inhomogeneous static magnetic field ( $B_0$ ) or RF field ( $B_1$ )<sup>243</sup>. Despite the relatively small effects, there may be a significant impact on image quality and MR parameter technical validation (accuracy and precision)<sup>244</sup>. Furthermore, it is necessary that any observed differences across MR systems and in longitudinal studies are due to the underlying biological phenomena. To further the clinical use of quantitative imaging, the Quantitative Imaging Biomarkers Alliance (QIBA)<sup>197</sup> and the Quantitative Imaging Network (QIN)<sup>245</sup> have issued guidance on the use of standard protocols and phantoms. The use of MR phantoms enables multi-site multi-scanner comparison and MR signal validation for a specified protocol. A  $T_1$  mapping phantom which is sensitive to variations in the partial pressures of dissolved  $O_2$  does not exist (Appendix 2). It is recognised that even highly optimised techniques which have been carefully validated in phantoms may result in  $T_1$  discrepancies when imaging *in vivo*<sup>243</sup>.

Significant increases in  $R_1$  measurements followed hyperoxic gas challenge in UB and UC healthy tissues in all non-motion corrupted measurements. No meaningful change was observed in psoas skeletal muscle, L5 vertebral body or subcutaneous fat overlying the gluteal muscles. This suggests that the UB region can be selected as a reference for QC in the patient study, as it is anatomically distinct from the site of tumour in patients with LACC. A sagittal scan orientation may explain why tissues (subcutaneous fat and psoas muscle) located at the

edge of the scan and far from the isocentre, did not return significant changes in signal following gas challenge.

We used an IR TFE technique which requires a relative short time to acquire at the expense of spatial resolution, and because inversion recovery prepared turbo spin echo (IR TSE) is the gold standard for  $T_1$  mapping. We converted the signal intensity into  $R_1$  measurements, since change in  $R_1$  is proportional to change in  $pO_2$ , and measuring  $\Delta R_1$  may allow for more meaningful comparison between different tissues and different scanners<sup>105</sup>. It should be noted, however that there is no current consensus on how OE-MRI data should be analysed and other groups report signal intensity change<sup>246</sup> (Appendix 3).

It is also important to assess measurement precision both for a potential biomarker and for a QC reference region. UC  $\Delta R_1$  measures were more repeatable than UB measures. When compared to other studies that have looked at OE-MRI repeatability in healthy tissues such as the liver<sup>236</sup> and the nasal conchae<sup>112</sup>, our results show worse repeatability statistics. Table 4.2 and Table 4.4 highlight the relatively large repeatability coefficient (RC) values as measured on the Diagnostic MR and MR Linac systems respectively. The RC values are higher than the  $\Delta R_1$  measurements except for UC measurements on the MR Linac. In other words, if the smallest real difference between two measurements is larger than the magnitude of the measurement, then there is poor absolute reliability<sup>247</sup>. Differences in both the magnitude and repeatability of the  $\Delta R_1$  may reflect two factors: a) inherent tissue characteristics, and b) signal corruption from motion. The uterine cervix is primarily composed of fibromuscular tissue (stroma) and a mucosal lining, whereas the uterine body is a combination of smooth muscle (myometrium) and epithelial lining (endometrium). Both regions are under systemic hormonal regulation with cyclic variations in blood perfusion, blood volume and tissue metabolism. These physiological fluctuations have a complex relationship which underlies the observed  $R_1$  changes in all OE-MRI experiments<sup>238</sup>. A potential solution may be to image healthy volunteers at the same timepoint in their menstrual cycle to minimise the impact of hormonal variation in  $\Delta R_1$  measurements.

The literature reports vasoconstriction and reduced blood flow following 100% oxygen inhalation<sup>248,249</sup>, which is the main reason why carbogen gas inhalation is preferred in the radiotherapy setting<sup>17,200</sup>. Carbogen is said to counteract the oxygen-induced vasoconstriction<sup>250</sup>, though the optimal gas mixture (oxygen to carbon-dioxide ratio) for treatment is not defined<sup>251</sup>. In small participant numbers, there was no clear difference in the absolute measurements obtained using 100% oxygen or carbogen gas (98% oxygen/2% carbon dioxide), or shape in the time series enhancement, in keeping with previous

studies<sup>238,239</sup>. Furthermore, the repeatability appeared to be similar in subjects breathing the two gases. Given the small numbers, there is no meaningful statistic I can apply to formally assesses this. The disagreement between the therapeutic and imaging studies reported above may be due to the differences in the imaged cohorts. The imaging studies were performed in healthy volunteers whereas the therapeutic effects were noted in patients with tumours. The disorganised neo-vasculature within tumours is thought to be more sensitive to the vasoconstrictive effects of 100% oxygen inhalation, whereas these effects are negligible in healthy tissues. This explains why imaging using carbogen-enhanced T<sub>2</sub>\* based techniques (blood oxygen dependent MR) show a change in signal between the air-carbogen-air breathing phases<sup>252</sup>. Carbogen based hypoxia modification therapies have shown some early promise in cervical cancer treatment<sup>20</sup>, and combined carbogen based imaging and treatment on the MR Linac is worth exploring.

In this current study we used a motion tracker model, however this had limited success in correcting for motion from sources other than urinary bladder filling. An alternative approach is to perform a robust motion corrective strategy (Appendix 4). Motion corrective strategies are not typically applied to diagnostic MR imaging and are more common in RT delivery where precise application of the RT field is important.

In the upcoming chapter, I will discuss the patient data from the BioCHECC study. Poor test-retest reliability is arguably more impactful within the patient data. Not only because precise tumour measurements are of utmost importance, but the magnitude of  $\Delta R_1$  measurements are smaller in hypoxic tumours which directly effects reliability. If qualitative assessments are clinically acceptable, binary hypoxic vs normoxic tumour classification, then this potential error can be mitigated. For example, patients undergo two OE-MR imaging sessions prior to treatment to assess repeatability and if both sessions classify the tumour as hypoxic, then the patient is prescribed a hypoxia modifying therapy. Subsequent on-treatment imaging can be performed pre- and post- radiotherapy to monitor treatment efficacy.

Another consideration prior to implementation in a patient workflow is the complex image analysis. When defining ROIs, intra- and inter- reader variability is well documented and automated segmentation strategies are likely to be helpful in the future.

In summary, work in this chapter demonstrates successful implementation and characterisation of an OE-MRI protocol for use in the female pelvis, both on a diagnostic 1.5T MR system and on an MR Linac.

## 5 Investigating biomarkers of hypoxia in patients with locally advanced uterine cervical cancer

The work in this chapter was performed as part of a large collaborative. I recruited and consented patient participants with the help of the gynae-oncology clinical team (Peter Hoskin, Lisa Barraclough and Kate Haslett). Pre-treatment diagnostic biopsy samples were requested and initially processed by the gynae-oncology research team (Emma Buckley, Giorgio Arnetoli, Chelsey Wheeler, and Melanie Oddy). On-treatment biopsy samples were acquired in brachytherapy theatres by the gynae-oncology clinical team (Peter Hoskin and Lisa Barraclough). I was helped in RNA extraction and storage by CRUK AP core facilities (Garry Ashton, David Millard and Caron Behan) and the TRB lab (Sapna Lunj, Kamilla Bigos and Rachel Reed). RNA microarray analysis was performed by Your Gene Health (Manchester, UK). Bioinformatics analysis was performed alongside Mark Reardon (TRB lab).

Sequences were developed alongside the Christie Medical Physics team (David Buckley, Michael Dubec, Damien McHugh). Image acquisition was performed at The Christie Hospital by on site radiographers. The image analysis work was carried out in collaboration with the QBI Lab at the University of Manchester (Michael Berks, Sue Cheung, Michael Dubec, Ross Little, led by James O'Connor) and Christie Medical Physics at The Christie Hospital (David Buckley, Michael Dubec, Damien McHugh). Statistical evaluation was discussed with James O'Connor, along with Nuria Porta at the Clinical Trials and Statistics Unit of The Institute of Cancer Research.

#### 5.1 Introduction

In this chapter I apply the OE-MRI protocols developed in Chapter 4 to patients with LACC undergoing treatment. The aims were to assess technique feasibility in this clinical population, to evaluate response to therapy, and to compare how OE-MRI changes related to those derived from other MRI techniques.

DCE-MRI has been thoroughly investigated over several decades as a surrogate measurement technique for hypoxia in cervical cancer due to the strong association between tumour perfusion and oxygenation<sup>253</sup>. Most of the research published on this topic is from one research group led by Prof H. Lyng and they have suggested several different clinical and preclinical mouse models<sup>135,254–257</sup> associating hypoxia with DCE-MRI derived quantitative

biomarkers. This body of work includes deriving and validating a 31-gene signature<sup>47</sup> and 6-gene classifier<sup>48</sup> from a DCE-MRI parameter termed  $A_{Brix}$ .

IVIM has gained considerable momentum in oncology imaging recently, and is especially attractive for daily imaging, largely due to the lack of exogenous contrast agents<sup>258</sup>. It can provide information about tumour microstructure and microvasculature, which may be used to infer hypoxia status using the consumption-supply imaging hypothesis<sup>136</sup>.

However, both DCE-MRI and IVIM have at best an indirect relationship with tumour hypoxia. OE-MRI is sensitive to changes in the spin-lattice relaxation rate ( $\Delta R_1$ ) following delivery of 100% oxygen<sup>259</sup> delivery in tissues<sup>238</sup>. Previous pre-clinical evaluation validated  $\Delta R_1$  as a measurement of tumour hypoxia and subsequent translation in patients with non-small cell lung cancer showed that the biomarker identified and mapped hypoxia in human tumours, and detected hypoxia modification following chemoradiotherapy<sup>107,108,110</sup>. We sought to extend the OE-MRI work developed in Manchester in lung cancer imaging to patients with LACC.

This chapter a) evaluates parameter differences between healthy cervix tissue and cervical tumours, b) assesses the sensitivity of the imaging biomarkers derived from each of the three techniques to chemoradiotherapy-induced changes in uterine cervical tumours, and c) explores possible associations between the imaging and gene signature classification.

## 5.2 Study design

Figure 5.1 provides an outline of the stages in patient recruitment, data acquisition and analysis in this study.

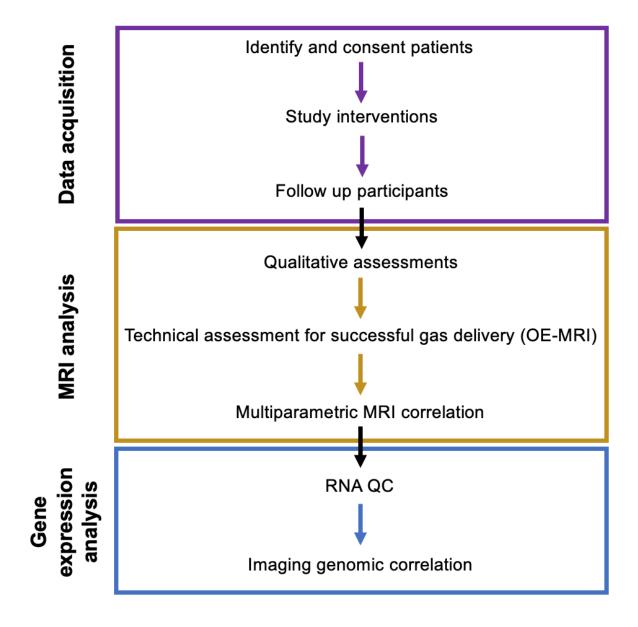


Figure 5.1: An outline of the steps taken in this chapter.

#### 5.3 Data acquisition

#### 5.3.1 Patient recruitment

The patient study was open for recruitment on 01/04/2021 and will run until 31/10/2024 (the study timeline is presented in greater detail in Chapter 2). Patients recruited until 01/08/2022 are included in the thesis. All patient clinicopathological parameters are accurate as of 01/11/2022.

## 5.3.2 Study interventions

Diagnostic biopsy material preserved in FFPE blocks and surplus to clinical use, were requested from the hospitals where they were acquired and stored. Tissue taken at time of first brachytherapy was preserved in formalin and sent to CRUK-AP for fixation. Samples were processed within 48 hours and returned to the TRB Lab for analysis. Imaging was acquired on a diagnostic 1.5T Philips Ingenia MR-RT (Philips Healthcare, Best, Netherlands), or a MR-Linac system (Elekta AB, Stockholm, Sweden) equipped with a Philips Marlin 1.5T MRI scanner (Philips Healthcare, Best, Netherlands). All OE-MRI imaging was obtained with 100% oxygen gas (OE-MRI), since no clear advantage was seen with carbogen inhalation in healthy volunteers evaluated in chapter 4. Next, 20 mg Buscopan® was administered via a slow intravenous injection just prior to imaging, when the patient was on the table. Imaging data were anonymised and transferred to the QBI servers for analysis. There were no study intervention related complications and all patients completed EBRT within the allotted time. Diagnostic MRI and MR Linac patient datasets were pooled for analysis.

As hypoxia is a dynamic entity<sup>224</sup>, knowledge of measurement timing is useful for meaningful biomarker interpretation. The temporal relationships of study interventions to start of treatment are shown in Table *5.1*. Diagnostic biopsy and pre- external beam radiotherapy (EBRT) scan values are the number of days prior to the start of EBRT. Mid-EBRT scan, on-treatment biopsy and end-EBRT scan values are the number of days from the start of EBRT. Number of EBRT fractions delivered by the time of intervention is provided in brackets. Diagnostic biopsies were acquired  $50 \pm 13$  ( $\mu \pm SD$ ) days prior to the start of treatment, and baseline imaging acquired  $8.4 \pm 7.5$  days prior. There was better temporal overlap between the end-EBRT scan and ontreatment biopsy ( $32 \pm 1.7$  vs  $38 \pm 2.3$  days respectively).

Table 5.1: Temporal relationships of study interventions for each patient. Numbers are either days to (-) or days from (+) start of treatment.

| ID                | diagnostic    | pre-EBRT     | mid-EBRT      | end-EBRT      | on-treatment |
|-------------------|---------------|--------------|---------------|---------------|--------------|
|                   | biopsy        | scan         | scan          | scan          | biopsy       |
| 1                 | -49           | -12          | +16 (13#)     | +30 (23#)     | +36 (25#)    |
| 2                 | -39           | -4           | +17 (14#)     | +32 (25#)     | +37 (25#)    |
| 3                 | not analysed^ | -4           | +18 (14#)     | +31 (23#)     | +37 (25#)    |
| 4 <sup>MRL</sup>  | -69           | not acquired | not acquired  | not analysed† | +43 (25#)    |
| 5                 | -40           | -6           | +16 (13#)     | +31 (24#)     | +36 (25#)    |
| 6 <sup>MRL</sup>  | -46           | -5           | +18 (15#)     | +32 (25#)     | +37 (25#)    |
| 7                 | -27           | -3           | not acquired* | +35 (25#)     | +37 (25#)    |
| 8                 | -61           | -27          | +16 (13#)     | +31 (24#)     | +36 (25#)    |
| 9                 | -54           | -14          | not acquired* | +33 (24#)     | +41 (25#)    |
| 10 <sup>MRL</sup> | -53           | -4           | +15 (12#)     | +32 (25#)     | +37 (25#)    |
| 11                | -71           | -5           | +16 (13#)     | +30 (23#)     | +36 (25#)    |

<sup>^</sup>Sample not arrived with research team

MRL = Imaging on MR-Linac system

†Imaging used for setting up on the MR-Linac system

#### 5.4 Patient imaging analysis

#### 5.4.1 Qualitative assessments

Initial qualitative analysis of the imaging highlighted altered anatomy within the patient population compared to the healthy volunteers (*Figure* 5.2). The uterine body (UB) region contained benign pathology such as leiomyomas (uterine fibroids) or hematometra (uterine distension with blood), which altered the signal characteristics of the uterine body tissue region of interest.

<sup>\*</sup>Study interventions not performed due to COVID-19 restrictions

Patient 2, baseline visit (pre-treatment)



Patient 7, baseline visit (pre-treatment)



Patient 8, baseline visit (pre-treatment)



Figure 5.2: Two patients with hematometra (white asterisk, left and middle panel), and a patient with multiple large leiomyomas (white star, right panel) almost replacing the entire normal uterine body tissue.

#### 5.4.2 Measurement of native T<sub>1</sub>

Figure 5.3 shows a range of  $T_1$  measurements for participants in this study (healthy uterine tissues and cervical tumour) and those reported in literature. All quantitative  $T_1$  values acquired in this study were within published measurement ranges for healthy UB (1045-1991 ms)<sup>242</sup>, healthy uterine cervix (UC; 1060 – 1695 ms)<sup>241</sup>, and cervical cancers (1016 – 1749 ms)<sup>130,241</sup>. There was variation depending on uterine tissue subregion, scanner type and  $T_1$  mapping sequence. No clear separation between tumour and healthy tissue  $T_1$  values was seen in any of the studies.

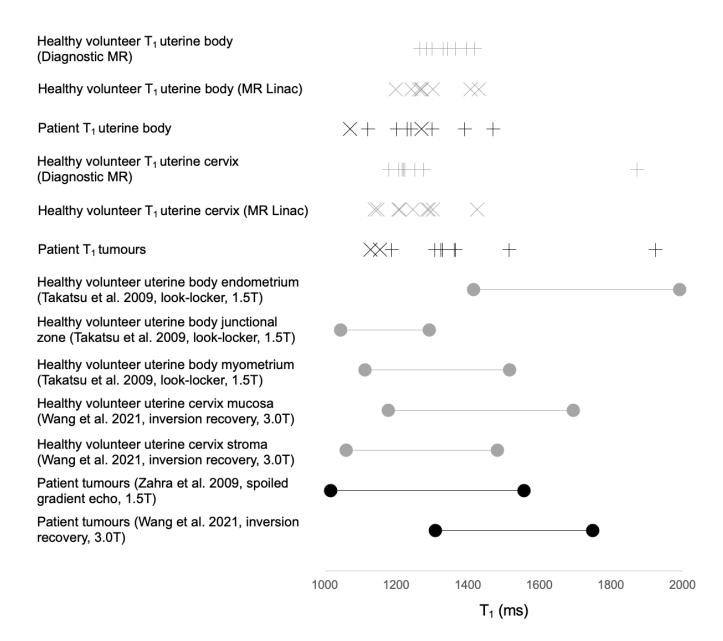


Figure 5.3: Healthy tissues (uterine body and uterine cervix) and cervical tumour T<sub>1</sub> values measured in the BioCHECC study were compared to those in published literature. Healthy tissue values are the mean of the two visits.

Grey = healthy volunteer; and black = patient

+ = Diagnostic MR patients; and X = MR Linac system

# 5.4.3 OE-MRI quality check for motion corruption

Due to the relatively long acquisition time, OE-MRI was susceptible to motion-induced image artefacts. A visual analysis of the  $\Delta R_1$  time series showed significant motion corruption in tumour regions for patients 3 and 9 (

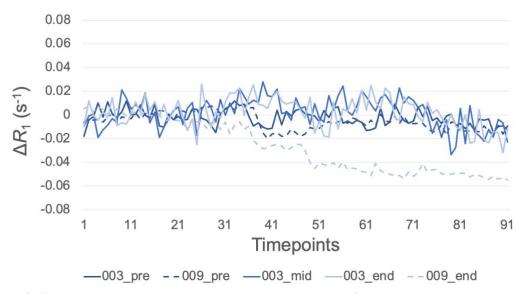


Figure 5.4). These patient data have not been analysed any further.

Figure 5.4:  $\Delta R_1$  time series of the tumour regions for patients 3 and 9 show significant motion corruption.

# 5.4.4 OE-MRI analysis of UB reference region (QA/QC)

OE-MRI was assessed in 8 patients. The first patient had a slightly different imaging protocol to the rest: 0-25 timepoints medial air breathing; 26-65 100% oxygen breathing; 66-80 medical air breathing. For this reason,  $\Delta R_1$  is defined from baseline to mean of timepoints 56-65.

Summary of patient UB  $\Delta R_1$  parameters are shown in Table 5.2. Significant changes in  $\Delta R_1$  were not seen in 2 patient baseline visits. These results were due to the UB ROI assessing hematometra rather than uterine body tissue (patients 2 and 7). Pre-treatment baseline mean calculation did not include these two patient visits. The mean  $\pm$  SD parameter values increased with treatment: pre = 0.0197  $\pm$  0.0174 s<sup>-1</sup>; mid = 0.0270  $\pm$  0.0169 s<sup>-1</sup>; end = 0.0340  $\pm$  0.0123 s<sup>-1</sup>.  $\Delta R_1$  timeseries and boxplots showed cohort level changes in *Figure* 5.5. Patient 1 has been omitted from the timeseries due to the different acquisition protocol. Paired t-test between pre to mid results showed a significant change (p = 0.019) and a trend towards significance from pre to end (p = 0.087).

Table 5.2: MRI parameter values (s<sup>-1</sup>) for uterine body assessments. Significant change from baseline calculated using an unpaired t-test and denoted by \*.

| ID        | Pre             | Mid             | End             |
|-----------|-----------------|-----------------|-----------------|
| 1         | 0.0497*         | 0.0545*         | 0.0447*         |
| 2         | -0.0016         | 0.0172*         | 0.0164*         |
| 5         | 0.0059*         | 0.0102*         | 0.0344*         |
| 6         | 0.0102*         | 0.0286*         | 0.0491*         |
| 7         | 0.0020          |                 | 0.0342*         |
| 8         | 0.0030*         | 0.0084*         | 0.0312*         |
| 10        | 0.0230*         | 0.0277*         | 0.0449*         |
| 11        | 0.0267*         | 0.0423*         | 0.0170*         |
| UB μ ± SD | 0.0197 ± 0.0174 | 0.0270 ± 0.0169 | 0.0340 ± 0.0123 |

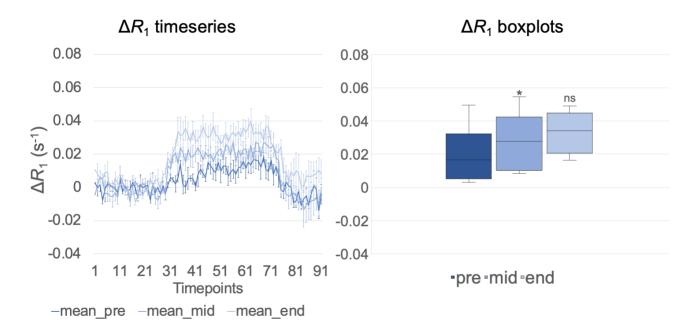


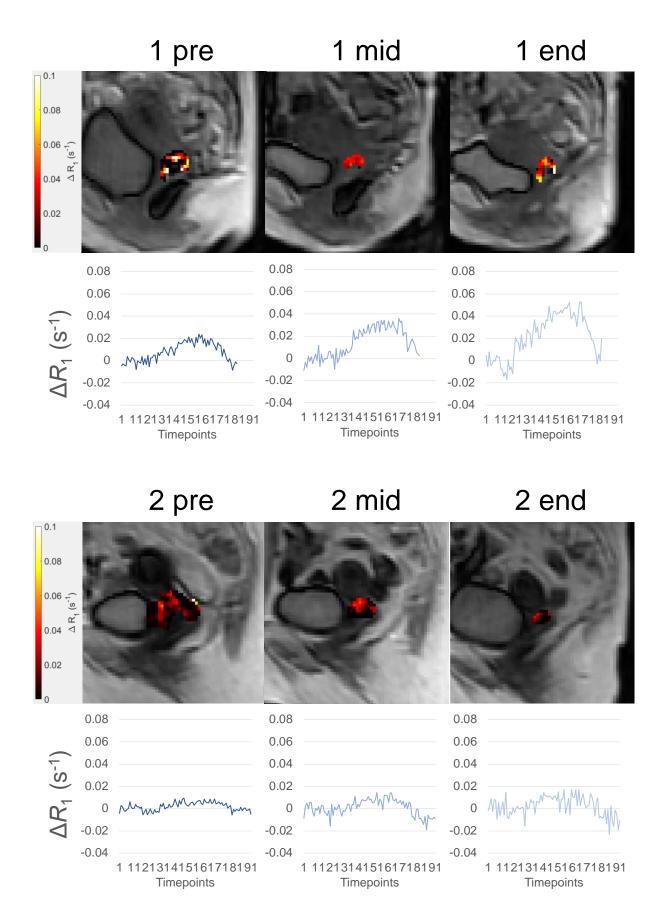
Figure 5.5:  $\Delta R_1$  parameter timeseries and boxplots show cohort level changes in the uterine body. Patient 1 has been omitted from the timeseries due to the different acquisition protocol. Significance from baseline marked above mid and end boxplots so that \* = significant result and ns = non-significant result.

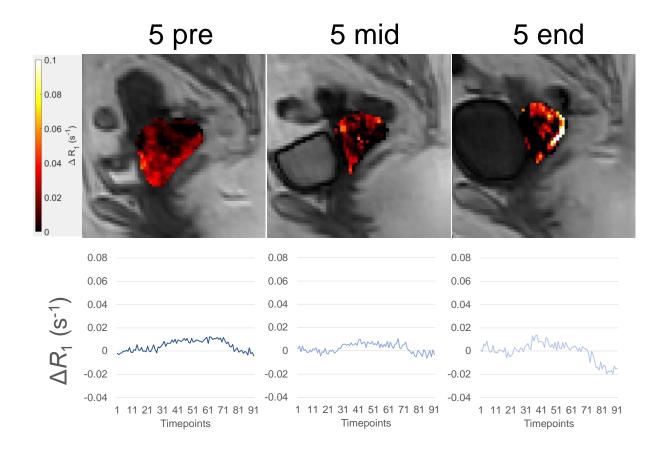
#### 5.4.5 OE-MRI analysis of cervical tumour

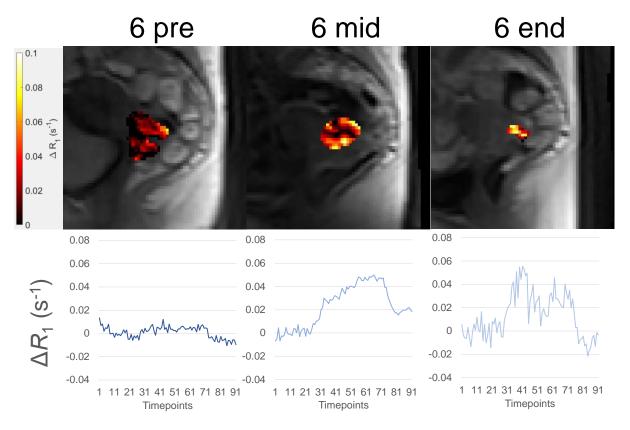
Individual tumour  $\Delta R_1$  parameter timeseries paired with representative parameter maps are shown in *Figure* 5.6. Tumour parameter maps at the mid-tumour level have been selected. Uterine cervical tumours are large and show heterogenous spatial distribution of the biomarker. Areas of hypoxia and/or necrosis (black voxels) tended to be centrally located at the start of treatment. During treatment, all tumours reduced in size though there was variability in  $\Delta R_1$  response with some tumours showing minimal change in the timeseries trace (e.g., patient 2).

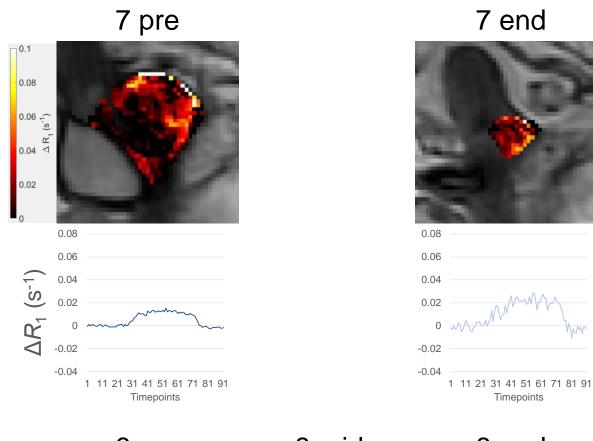
Table 5.3 summarises the  $\Delta R_1$  tumour values across visits. All tumours showed a significant increase in the  $\Delta R_1$  parameter from baseline to peak oxygen enhancement. The tumour mean parameter values  $\pm$  SD increased with treatment at a cohort level: pre = 0.0116  $\pm$  0.0089 s<sup>-1</sup>; mid = 0.0260  $\pm$  0.0168 s<sup>-1</sup>; end = 0.0269  $\pm$  0.0196 s<sup>-1</sup>. Six out of the eight individuals demonstrated a consistent increase in  $\Delta R_1$ . Patient 5 was the only participant to show

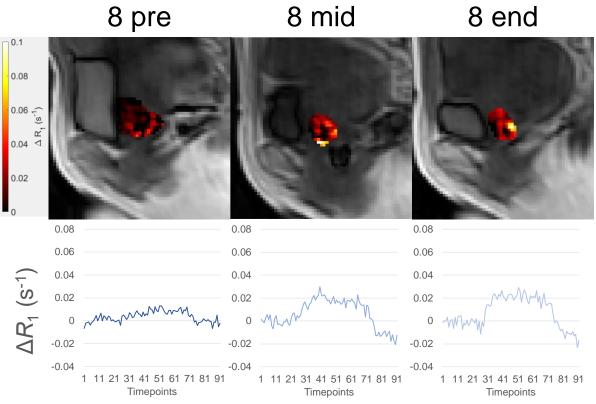
consistent decreases in  $\Delta R_1$  with treatment.  $\Delta R_1$  timeseries and boxplots showed cohort level changes in *Figure* 5.7. Patient 1 has been omitted from the time series. Paired t-test between pre to mid results showed a significant change (p = 0.046) and a trend towards significant change from pre to end (p = 0.079).











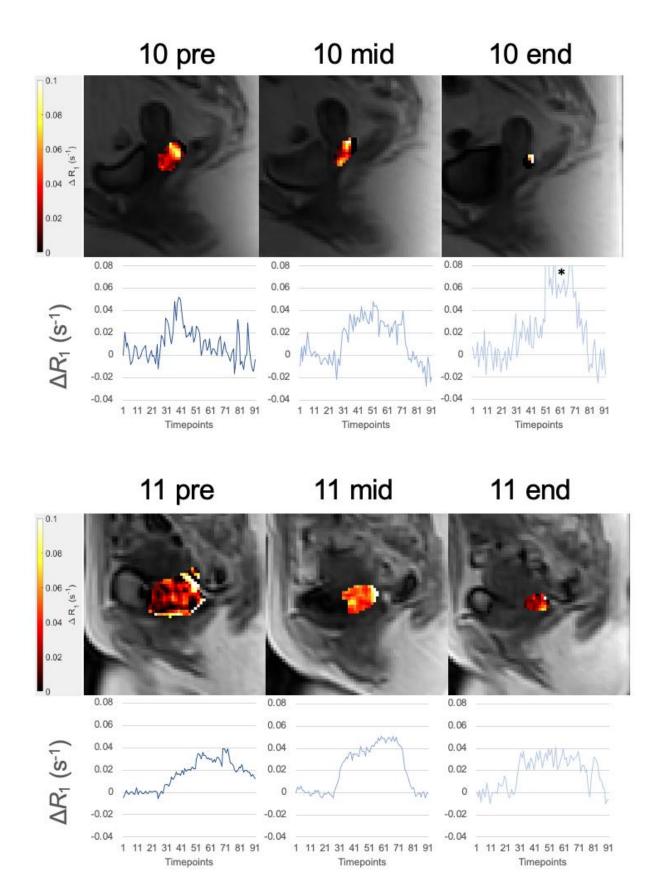


Figure 5.6:  $\Delta R_1$  parameter maps overlaid on the  $T_1$  mapping sequence paired with the  $\Delta R_1$  timeseries for each patient visit. \*Patient 10 end-  $\Delta R_1$  timeseries y-axis limits are consistent with the rest of the data though this has resulted in 3 datapoints not being displayed.

Table 5.3: MRI parameter values (s<sup>-1</sup>) for tumour assessments. Significant change from baseline calculated using an unpaired t-test and denoted by \*.

| Participant   | pre             | mid             | end             | pre to       | pre to       |
|---------------|-----------------|-----------------|-----------------|--------------|--------------|
|               |                 |                 |                 | mid          | end          |
| 1             | 0.0174*         | 0.0289*         | 0.0427*         | 1            | 1            |
| 2             | 0.0065*         | 0.0109*         | 0.0087*         | 1            | 1            |
| 5             | 0.0093*         | 0.0047*         | 0.0026*         | $\downarrow$ | $\downarrow$ |
| 6             | 0.0033*         | 0.0469*         | 0.0250*         | 1            | 1            |
| 7             | 0.0120*         |                 | 0.0224*         |              | <b>↑</b>     |
| 8             | 0.0081*         | 0.0164*         | 0.0212*         | 1            | <b>↑</b>     |
| 10            | 0.0052*         | 0.0263*         | 0.0650*         | 1            | <b>↑</b>     |
| 11            | 0.0307*         | 0.0479*         | 0.0277*         | 1            | $\downarrow$ |
| Tumour μ ± SD | 0.0116 ± 0.0089 | 0.0260 ± 0.0168 | 0.0269 ± 0.0196 |              |              |

<sup>↑ =</sup> increase in parameter

<sup>↓ =</sup> decrease in parameter

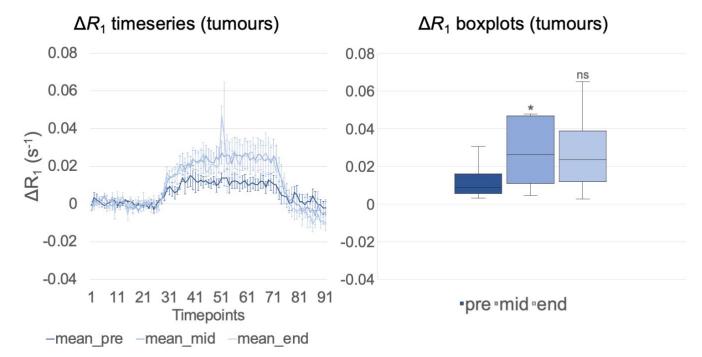


Figure 5.7:  $\Delta R_1$  parameter timeseries and boxplots showing cohort level changes. Patient 1 has been omitted from the timeseries due to the different acquisition protocol. Significance from baseline marked above mid and end boxplots so that \* = significant result and ns = non-significant result.

#### 5.4.6 Association with other MRI parameters

Exploratory assessment of the 6 MRI parameters acquired at baseline using a Pearson's rank correlation matrix are presented in (*Figure 5.8*). Strong positive associations were seen in 'cluster 1' between  $v_e$  and f(r=0.86, p=0.03),  $v_e$  and  $K^{trans}$  (r=0.79, p=0.05) and f and  $K^{trans}$  (r=0.77, p=0.07). The D parameter had a negative association with f which is not significant (r=-0.72, p=0.10).  $\Delta R_1$  and tumour volume showed no meaningful associations with any of the parameters. The change from baseline to mid, or from baseline to end, was calculated for all MRI parameters ( $\Delta p$  arameter). Patient visits were ranked by ascending  $\Delta \Delta R_1$  values and compared to the other  $\Delta p$  arameters (*Figure 5.9*). No clear associations in trend are seen between the  $\Delta p$  arameters. Given the small numbers of measurements, it is not possible to interpret these associations in greater detail.

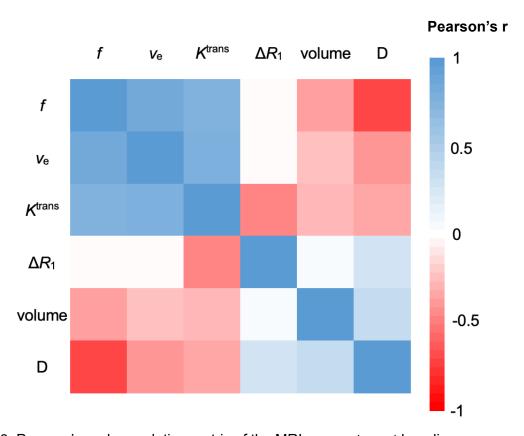


Figure 5.8: Pearson's rank correlation matrix of the MRI parameters at baseline.

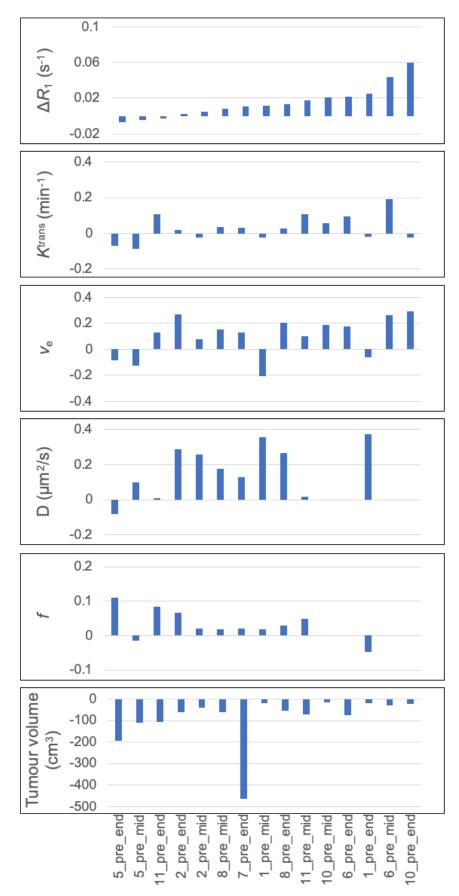


Figure 5.9:  $\Delta$ MRI parameters (pre to mid or pre to end) ranked by increasing  $\Delta R_1$ .

### 5.5 Patient gene expression analysis

Pre-treatment biopsies and on-treatment biopsies were analysed with the *de novo* hypoxia associated 31-gene expression signature. Unfortunately, there were multiple samples that failed Clariom S TAC quality check (

Figure 5.10). Table 5.4 summarises the RNA quality checks (QC) for the samples. It is not clear why the samples failed. Samples that failed QC had a higher quantity and quality of RNA compared to samples that passed which suggests a plate processing error.

# Pos vs Neg AUC (prospective patient cohort) 0.9 0.8 0.7 0.6 Score 0.5 0.4 0.3 0.2 0.1 01-001\_6pm 01-001\_12pm 01-002\_3pm 01-002\_9pm 01-003\_6pm 01-004\_12pm 01-005\_3pm 01-005\_9pm 01-006\_3pm 01-006\_9pm 01-007\_12pm 01-008\_6pm 01-008\_12pm 01-009\_12pm 01-010\_6pm 00-002\_3pm 00-002\_9pm 00-004 00-005 00-006 00-007 00-009 00-010 01-003\_12pm 01-004\_6pm Sample ID

Figure 5.10: Pass/fail results from Clariom S TAC QC, green and red bars respectively. 00\* denotes pre-treatment biopsies and 01\* denotes on treatment biopsies. Eight of the twelve pre-treatment biopsies failed.

Table 5.4: RNA QC of prospective samples.

| TAC QC<br>Outcome | RNA<br>concentration<br>(ng/µl) | р     | RNA quality<br>(260/280) | р     | RNA quality<br>(260/230) | р     |
|-------------------|---------------------------------|-------|--------------------------|-------|--------------------------|-------|
| Fail              | 386 ± 307                       | 0.000 | 1.98 ± 0.09              | 0.000 | 1.82 ± 0.37              | 0.000 |
| Pass              | 79 ± 134                        | 414   | 1.79 ± 0.16              | 526   | 1.23 ± 0.46              | 565   |

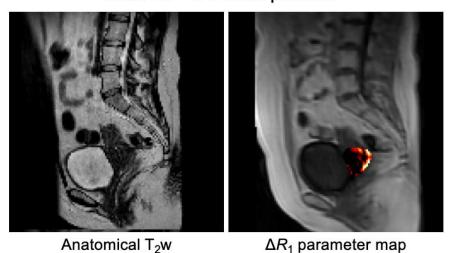
### 5.6 Imaging and gene expression correlation

All samples that passed RNA QC and had  $\Delta R_1$  values were compared. Patients were ranked by  $\Delta R_1$ . The results were compared with gene expression classifier status and other MRI parameters (Table 5.5). One patient (patient 7) had paired imaging-biopsy results at baseline and after 5 weeks of EBRT. No change in gene signature classification was seen, normoxia at both timepoints, however the change in the pre-end  $\Delta \Delta R_1$  was 0.01037 s<sup>-1</sup> (1.86 x baseline). Only one sample was classified as hypoxic by the gene signature (patient 5, on treatment biopsy from 9 o'clock). Though this sample has the lowest  $\Delta R_1$  measurement, it is difficult to confidently interpret this result given the solitary result. The paired biopsy from this patient (on treatment biopsy from 3 o'clock) was classified as normoxic. Analysis of the OE-MRI images from this patient visit show no convincing differences in the  $\Delta R_1$  parameter maps from the 3pm or 9pm positions (*Figure 5.11*). Review of the anatomical imaging showed that the 9 o'clock tumour site was invading the left parametrium and appeared to be the more aggressive tumour subregion.

Table 5.5: Patient hypoxia assessments ranked by  $\Delta R_1$  (s<sup>-1</sup>) measurements and compared to classifier (gene expression status),  $K^{trans}$  (min<sup>-1</sup>), f, D ( $\mu$ m<sup>2</sup>/s) and tumour volume (cm<sup>3</sup>). MRI parameters presented are whole tumour values. 00\* denotes pre-treatment biopsy and 01\* denotes on treatment biopsies.

| ID          | Study timepoint | Classifier | $\Delta R_1$ | Ktrans | f     | D     | Volume |
|-------------|-----------------|------------|--------------|--------|-------|-------|--------|
| 01-005_3pm  | End-EBRT        | Normoxia   | 0.00261      | 0.168  | 0.245 | 0.876 | 109.35 |
| 01-005_9pm  | End-EBRT        | Hypoxia    | 0.00201      | 0.100  | 0.240 | 0.070 | 100.00 |
| 00-002_3pm  | Pre-treatment   | Normoxia   | 0.00647      | 0.163  | 0.103 | 0.903 | 77.49  |
| 00-002_9pm  | Pre-treatment   | Normoxia   | 0.000-1      | 0.100  | 0.100 | 0.505 | 11.45  |
| 01-002_9pm  | End-EBRT        | Normoxia   | 0.00871      | 0.180  | 0.170 | 1.190 | 17.06  |
| 00-007      | Pre-treatment   | Normoxia   | 0.01201      | 0.123  | 0.083 | 0.929 | 536.81 |
| 01-007_12pm | End-EBRT        | Normoxia   | 0.02237      | 0.157  | 0.104 | 1.056 | 74.90  |
| 01-007_6pm  | End-EBRT        | Normoxia   | 0.02231      | 0.107  | 0.10- | 1.000 | 7 4.50 |
| 01-006_3pm  | End-EBRT        | Normoxia   | 0.02500      | 0.266  |       |       | 7.56   |
| 01-006_9pm  | End-EBRT        | Normoxia   | 0.02300      | 0.200  |       |       | 7.50   |
| 01-011_6pm  | End-EBRT        | Normoxia   | 0.02771      | 0.209  | 0.173 | 1.113 | 23.54  |
| 01-001_12pm | End-EBRT        | Normoxia   | 0.04267      | 0.166  | 0.119 | 0.964 | 5.51   |
| 01-010_12pm | End-EBRT        | Normoxia   | 0.06499      | 0.285  |       |       | 6.37   |
| 01-010_6pm  | End-EBRT        | Normoxia   | 0.00433      | 0.200  |       |       | 0.57   |

Slice 24 - 3 o'clock position



Slice 28 - 9 o'clock position

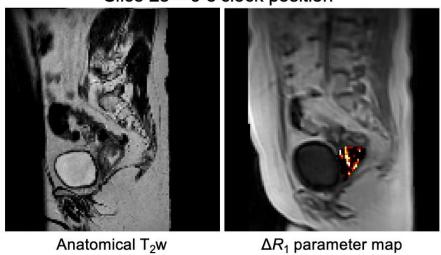


Figure 5.11: Paired  $T_2$ w and OE-MRI images from two opposite sides of the tumour -3 o'clock (top row) and 9 o'clock (bottom row).

#### 5.7 Discussion

To the best of my knowledge, the data presented in this chapter report three first-in-human findings in patients with locally advanced cervical cancer: a) the first substantial application of the OE-MRI technique, b) the first molecular profiling of tumours following 5 weeks of treatment using a hypoxia gene expression signature, and c) the first correlation of hypoxia MRI and gene expression using two independently derived hypoxia biomarkers. The results show that the study interventions were well tolerated, and we assessed changes in tumour physiology using a multiparametric MRI protocol. Due to an unknown technical error, I was unable to complete the gene expression analysis for many patient biopsies.

Diagnostic MR and MR Linac native T<sub>1</sub> measurements for cervical tumours at baseline were within the expected range derived from published literature <sup>130,241</sup>. These showed no significant difference when compared to UC healthy tissue values.

The UB reference region showed significant changes in  $\Delta R_1$  from baseline in all but two patient visits. The reference region measurements were affected by benign pathology not seen in the healthy volunteer cohort; however, this had a limited impact on the assessments. Interestingly the UB  $\Delta R_1$  values increased with treatment. The cause for this is unclear, though the UB is in the radiation field and maybe susceptible to treatment related changes in blood flow. The UB measurements are primarily a tool to assess technical gas delivery and caution must be taken when interpreting these results.

In the past, a small study (n=2) had demonstrated feasibility of OE-MRI as applied to cervical tumours at baseline  $^{109}$ . The cervical tumours in our study demonstrated a cohort level increase in  $\Delta R_1$  during treatment though individual responses varied. Furthermore, significant changes from baseline were seen approximately 16 days into treatment. These findings were consistent with other human OE-MRI studies in lung  $^{110}$ , rectal  $^{111}$ , and head and neck cancers  $^{112}$ . An important consideration in OE-MRI studies is the impact of reducing tumour size secondary to treatment. Smaller tumour volumes have a reduced signal-to-noise ratio (fewer voxels being sampled), and therefore confidence in tumour  $\Delta R_1$  measurement is also reduced. With decreasing tumour size, the measurements are more susceptible to motion corruption as evident by the  $\Delta R_1$  parameter timeseries not returning to baseline following the switch from 100% oxygen breathing to medical air breathing.

Exploratory analysis of whole tumour  $\Delta R_1$  or  $\Delta \Delta R_1$  revealed no meaningful correlation with the other MRI parameters acquired in the study. Although the study was not formally powered,

the results suggested a mixed association between MRI parameters of microvascular blood volume, blood vessel permeability, the interstitial space volume and cellular microstructure. The lack of significant associations between  $\Delta R_1$  and these MRI parameters may be because whole tumour  $\Delta R_1$  is a complex measure of tumour oxygen delivery, tumour cellularity and necrosis<sup>107</sup>. This is the basis of the consumption-supply hypoxia imaging hypothesis and I explore integrating OE-MRI with the other functional imaging techniques in Chapter 6.

Typically, the hypoxia associated gene signatures developed in the TRB Lab have been assessed on pre-treatment samples only <sup>93,199,203,204,208,210,211</sup>. Of the QC passed samples, only one was labelled hypoxic. Tumour reoxygenation following chemoradiotherapy is an established radiobiological principle <sup>260</sup> and it is expected that post treatment samples are more likely to be normoxic. The exploratory results presented in this chapter support further investigation of the gene signature in the post treatment setting, though ideally measured at pre- and post- treatment timepoints and alongside another hypoxia biomarker. Furthermore, a differential expression analysis between pre- vs on- treatment patient matched samples will help understand whether the signature can be applied to the on-treatment setting.

Paired samples taken from different regions of the same patient tumour were classified as normoxic and hypoxic. This finding is consistent with variability and relatively high standardised error in hypoxia gene expression signature scores/classification (sampling bias) when multiple biopsies are acquired<sup>94</sup>. This suggests intra-tumoral transcriptional heterogeneity and spatial variation in the signature genes. However it is important to note that studies have suggested multiple biopsies maybe required for genes that have a within-tumour variance to the total-tumour variance ratio >0.15 (W/T ratio)<sup>261</sup>. Whether the result reflects true intratumoural transcriptomic heterogeneity or signature misclassification can be evaluated by reviewing the median expression and W/T ratio of the signature genes.

Interestingly, the solitary tumour sample classified as hypoxic corresponded with the lowest  $\Delta R_1$  value, providing some evidence of an imaging-genomic relationship. A previous study has investigated combining imaging and genomic hypoxia biomarkers  $^{173}$ , however our study differs from theirs in at least two ways. In our study, the hypoxia biomarkers have been derived independent of each other and the MRI parameter is hypoxia specific. Review of the patient biopsy with contrasting gene signature classification showed no difference in the functional imaging parameter maps acquired from the two subregions, however the 'hypoxic' subregion appeared more aggressive on anatomical imaging (demonstrating parametrial invasion). This suggests the need for complementary imaging-genomic strategies which are discussed in greater detail in chapter 6 of this thesis.

Unfortunately, an unknown technical error in the gene expression analysis resulted in a batch failure of multiple tumour biopsy samples and this work will be revisited in the future. Initially, a review of the steps involved in RNA extraction, RNA plating and running the Clariom S assay will help identify at what level the samples failed. Due to the complex nature of microarray analysis, accurate event and data recording helps identify whether the error was human (e.g., inaccurate preparation) or technical (e.g., poor RNA QC) in nature<sup>262</sup>.

In summary, I have demonstrated hypoxia quantification, mapping and tracking in patients with locally advanced cervical cancer using OE-MRI at baseline and two on-treatment timepoints. Furthermore, exploratory analysis suggests correlation with a gene expression signature.

# 6 Conclusions and future work

The most significant findings of the thesis were: a) development and validation of a hypoxia associated gene expression signature; b) development of female pelvis OE-MRI and  $\Delta R_1$  biomarker technical validation; c) translation of OE-MRI onto the MR-Linac; and d) evaluation of hypoxia modification secondary to chemoradiation using imaging-genomics in a prospective pilot study.

#### 6.1 Gene signature improvement

The de novo gene expression signature was successfully developed and validated in a retrospective cohort of patients treated at The Christie. Established TRB lab protocols were used to develop the signature over two stages: generating whole transcriptome data from in vitro experiments (data acquisition) and bioinformatics data handling (data analysis). Given the study resources and accessibility to necessary equipment, I am certain I could not have generated the data in any other way. Gene signature development is a problem of dimensionality reduction, such that ~20,000 protein-coding genes are transformed into a select number of genes (a gene signature) that are highly targeted at identifying the clinical problem. This is typically done via a stepwise reduction as the data transforms to 'seed genes', then 'candidate genes' and finally the 'gene signature'. The bioinformatic strategies aim to improve the signal-to-noise ratio, which directly impacts diagnostic accuracy of the final signature. The ideal signature is one that is strongly biologically relevant and contains a nonredundant gene set. At each step, there remain several unexplored methods which may have influenced the final biomarker and are discussed below. A key underused resource in this study were in silico data. As our understanding of hypoxia associated transcripts has expanded, an increasing number of hypoxia datasets have been curated, such as the MSigDB hallmark gene set collection<sup>263</sup>. Resources such as TCGA and GEO provide multiple curated clinical cohorts which is the immediate next step for gene signature validation.

There is no consensus on the best way to generate the seed gene list. Highly controlled *in vitro* data have the advantage of being cancer type and experimental condition specific, though it is debated how reflective the experimental conditions are of tumour hypoxia *in vivo*. Modelling cycling hypoxia using *in vitro* experiments is challenging, however advances in mathematical modelling and cellular imaging may help better predict physiologically relevant thresholds<sup>264</sup>. The inclusion of *in silico* datasets may identify transcripts otherwise not selected by the *in vitro* experiments and combining datasets from all sources could be the best strategy.

Filtering the seed gene list and selecting candidate genes increases the confidence in their diagnostic potential. I assessed technical and biological performance using FDR-adjusted p values and differentially expressed genes respectively. The resulting matrix provided a good overview of potential gene sets; however, it is a poor way of identifying the most useful gene list. A major problem of differential expression analysis is the generation of a large gene list, even after multiple testing adjustment<sup>265</sup>. Supervised learning strategies, such as random forests-based gene selection methods, may provide a more useful way of ranking genes<sup>265</sup>. Additional considerations when selecting candidate genes must have biomarker delivery in mind, such as selecting genes with a W/T ratio < 0.15 and genes which can be reliably measured cross-platform.

The greatest impact on the final gene set is probably due to the feature selection method used to derive the gene signature list. A hybrid strategy that employs multiple feature selection models to identify common signature genes may be more robust<sup>266</sup>, though the lack of phenotype labelled data makes applying a supervised learning model challenging. The Toustrup signature<sup>90</sup> provided labelled data for training using Eppendorf pO<sub>2</sub> histography and the Ragnum signature<sup>267</sup> used pimonidazole staining. These appear to be the best available strategies at assessing intra- and inter- tumour hypoxia and are directly applicable to the research presented in this thesis. For example, a subset of pre-treatment tumour biopsies from The Christie validation cohort could be analysed using a multiplex immunohistochemistry hypoxia panel, ranked using a hypoxia score and assessed against the whole transcriptome data. It is possible to use the OE-MRI data, similar to the Halle<sup>47</sup>/Fjeldbo<sup>48</sup> approach, and develop a gene expression signature influenced by measurements of the  $\Delta R_1$  parameter. As there is no single proven method of measuring hypoxia, a composite biomarker strategy to label a classifier training dataset may provide the greatest accuracy to the biological ground truth. Furthermore, it is unclear whether the de novo signature modelling should include clinical outcome data though a clear disadvantage is that prognostic labelling is influenced by current medical practice which may change in the future.

I deliberately chose a classification model which resulted in a clinically useful binary outcome; however, this leads to a 'black box phenomenon' as the user is blind to the classification process. It would be useful to deconstruct the PAM classifier and identify which genes influenced the classification process in individual cases. This would help identify the genes that contribute the most to the classifier. Hompland et al.<sup>268</sup> argue for the use of levels, instead of a binary classifier, suggesting variations in levels indicate severity and help personalise treatment. Finally, the patient cohort used to model the signature may be biased towards a particular biological phenotype such as histological subtype or ethnicity, and it is unclear what

impact this had on the *de novo* signature. If researchers used the same cohorts to validate their signatures, then it would be easier to understand the impact of the applied bioinformatics methodology on the final gene list. A head-to-head comparison with other published signatures is something I would like to have achieved during my dedicated research time.

When I started my PhD, there were two published cervical cancer signatures: Halle<sup>47</sup> (31gene) and Fjeldbo<sup>48</sup> (6-gene). These were not strict measures of hypoxia and instead associated hypoxia to A<sub>Brix</sub> (a vascularity parameter), which only applies in conditions where ischaemia leads to hypoxia and doesn't consider the fact that hypoxia can occur without ischaemia. Importantly, the Fjeldbo<sup>48</sup> signature was not cross-platform compatible. One to two classifier genes failed in 25% of the samples when a technical transfer to the RT-gPCR platform was attempted. Since then, two other signatures have been published: Yang<sup>87</sup> (5gene) and Nie<sup>88</sup> (9-gene). The published cervical cancer signatures showed little overlap with each other; however, each set has a greater overlap with our signature gene list. It may be possible to develop a signature based on overlapping genes across the multiple models. Common genes/gene families in at least two of the signatures include AK4, DDIT3/DDIT4, HK2, LDHA/LDHC, P4HA1/P4HA2, PGM1, STC1/STC2 and VEGFA. The similarities suggest a highly conserved hypoxia-related pathway in cervical cancer, the procollagen prolyl 4hydroxylase domain<sup>222</sup>, which is a key enzyme in collagen synthesis. Hypoxia is a common tumour microenvironment feature in tumours with a collagen rich extra-cellular matrix<sup>269</sup>. Collagen fibres are remodelled and reduced by HIF-1, which plays a key role in tumour fibrosis, progression and metastasis<sup>269</sup>. It is associated with diseases such as osteogenesis imperfecta and Ehlers-Danlos syndrome, and the protein maybe a target for treatment<sup>223</sup>. Differences between the signatures are most likely due to variations in the methodology used to select the candidate genes (e.g., only including genes from a single source and applied statistics), though may also reflect the biological diversity in the patient dataset selected (e.g., age, ethnicity, and tumour histology).

The cellular response to hypoxia pathway is evolutionarily conserved and it was believed the transcriptomic response would be largely similar in individuals with different tumours. However, after initial attempts to create a unified hypoxia signature<sup>210</sup>, the prevailing scientific opinion is that hypoxia gene signatures are tumour type specific<sup>85,86</sup>. It would be interesting to compare the performance of the de novo signature with other squamous cell cancer specific signatures or in patient cohorts with squamous cell cancer (e.g., head and neck, and lung cancer). Assessing the transcriptional response to hypoxia using the gene signatures in patients with HPV infection associated tumours is of particular interest to understand if the signatures can be generalised.

#### 6.2 **OE-MRI** improvement

OE-MRI has the potential to provide a tumour type agnostic biomarker of hypoxia. OE-MRI was developed for imaging the female pelvis and subsequently a multi-parametric MRI protocol was evaluated in a prospective patient cohort. Once again given the resources available to me, I don't think I could have acquired the data in another way. Analysing MRI data pose similar technical challenges as gene expression data analysis. Both are high dimensional datasets which required me to train in complex mathematical modelling and analysis software. The main difference is that it is easier to associate the transcriptional data to the underlying biology, and therefore have greater confidence in the accuracy of the resulting biomarker. I analysed the change in R<sub>1</sub> signal following oxygen delivery which is the most commonly applied method, however other strategies include a principal component analysis<sup>270,271</sup>, independent component analysis<sup>272</sup> or combining it with other MRI techniques<sup>110,246</sup>. Moreover, the analysis of oxygen kinetics using OE-MRI (like DCE-MRI tracer kinetics) has been patented (US8255036B2) though little is published on its utility. The past decade has also seen the application of radiomics analysis in oncology imaging, and mathematical modelling of the spatial distribution of OE-MRI signal intensities could be applied to the study dataset. The large numbers of extracted features could be filtered to select a radiomics signature, like the gene signature development pathway. Though radiomics studies have demonstrated potential, many fail to provide reproducible biomarkers, meaningful biological correlation or clinical utility<sup>273</sup>. Unlike transcriptomic data analysis, radiomics analysis pipelines are still in their infancy with a concerted research effort towards measurement and reporting standardisation led by the imaging biomarkers standardisation initiative<sup>274</sup>.

A major consideration is the need for robust motion correction of the dynamic oxygen enhanced series. Any variations on the MRI parameter map (symbolising movement of oxygen) need to highlight the underlying physiology and not technical inconsistencies. Though this is less of a consideration in whole tumour values, it probably explains the poorer repeatability coefficients in this study compared to others<sup>112</sup>. Furthermore, we know that OE-MRI is a low SNR technique. SNR is influenced by motion, along with ROI size and inherent scanner noise. In the healthy volunteer data analysis, I always used a fixed ROI for this reason. However, tumour size decreased with chemoradiation in the patient data, and this introduced an uncertainty in measurement precision and accuracy. In the future, it may be useful to evaluate and suggest a volume threshold for measurement confidence. Combined OE-MRI and DCE-MRI biomarker (the volume of tissue that is perfused and refractory to oxygen gas challenge; termed pOxy-R) has also been validated<sup>110</sup>, however deriving it for the LACC

patients in this study required advanced motion correction and registration techniques beyond the scope of this thesis.

With regards to multiparametric MRI comparisons, each biomarker measures a different aspect of the tumour microenvironment with different associated risks, as the measurement precision, accuracy, biological validation, clinical validation and clinical utility are known to variable extents<sup>56</sup>. This is comparable to results from other imaging biomarker studies. For example, in a PET-CT based study investigators showed that the abnormal sub-regions in a tumour varied when the biomarker chosen was a hypoxia tracer (HX-4), a perfusion tracer (from dynamic contrast-enhanced CT) or an indicator of abnormal energetics (FDG)<sup>275</sup>.The consumption-supply hypoxia imaging hypothesis is a compelling one, and is directly applicable to multi-parametric MRI<sup>271</sup>. Preliminary analysis suggested a negative association between supply-based MRI parameters (cluster 1 included  $K_{trans}$ ,  $v_e$  and f) and consumption-based MRI parameters (cluster 2 included tumour volume and D). The OE-MRI parameter,  $\Delta R_1$ , showed a mixed association to the two groups which is expected of a hypoxia biomarker. Tumours labelled as hypoxic by OE-MRI may be classified as perfusion-limited or diffusion-limited using the multiparametric approach. This approach differs from the ones proposed by Professor Lyng's group - they do not attempt to measure hypoxia and instead rely on complex mathematical modelling to derive a hypoxia score. The IVIM-MRI technique is uniquely placed to provide information on micro-structure (cellular consumption) and micro-vascularity (oxygen supply) in a single acquisition. Importantly it does so without the need for contrast delivery gadolinium use is a hotly debated topic with recognised adverse effects in humans<sup>276</sup> and on the environment<sup>277</sup>.

#### 6.3 Multi-omics data

The comprehensive assessment of molecules that link genotype to phenotype have greatly improved our understanding of the tumour and its microenvironment. For example, tumours classified as high hypoxia by gene expression signatures exhibit genomic mutations and instability<sup>203,278</sup>. Genomics, the most mature field, has had a significant impact on cancer diagnosis, management, and prognosis. This is evidenced by the National Health Service (NHS) in England committing to offer genomic testing routinely to all people with cancer in the 'NHS Long Term Plan'. However, a catalogue of genome-wide association studies<sup>279</sup> have identified a complex relationship between genetic variants, the environment and the genetic background<sup>280</sup>. Whilst some inherited cancers are the result of changes in the coding region of gene, most are the result of altered gene expression. Therefore a holistic approach to understanding cancer biology must involve a multi-omic approach, and integrating datasets

from different molecular profiling technologies is a key area of research<sup>281</sup>. Active and promising research in the molecular subtyping of cancers necessitates that any -omics signature is conserved across the various molecular subtypes.

A particularly interesting application is the association between hypoxia gene signatures and the immune system. Fjeldbo et al.<sup>282</sup> have demonstrated lower CD8+ tumour infiltrating lymphocytes in tumours classified as high hypoxia and immune score independently associated with a poor progression free survival. The ESTIMATE method calculates an immune infiltration score based on the whole transcriptome data and is worth investigating in The Christie cohort in the first instance<sup>283</sup>. Novel immunotherapy agents, such as Pembrolizumab, have been introduced into the treatment paradigm for PD-L1 protein positive uterine cervical tumours failing to respond to therapy<sup>284</sup>. However, hypoxia is associated with therapy failure (regardless of therapy type) and impacts the success of immunotherapy<sup>285</sup>. Given the increasing clinical use of immunotherapy agents, a dedicated immune and inflammatory panel exploring the association between hypoxia and immunomics data in cervical cancer would be valuable.

The data generated in this study provide insight into a future radiogenomic signature, which aims to associate imaging features generated via radiomics with molecules in a specific biological pathway<sup>286</sup>. It offers a key dimensionality reduction step (biological association) in the radiomics signature generation pipeline, and has the potential to identify key radiomics feature which are influenced by hypoxia<sup>287</sup>. Extracting radiomics features would be straight forward from The Christie retrospective cohort, with established pathways in the radiotherapy related research group. Given the association between hypoxia and the vascularity parameter, A<sub>Brix</sub><sup>47</sup>, there are likely to be significant associations between DCE-MRI derived radiomics features and the de novo hypoxia gene signature. It is also possible to combine MR images acquired using different techniques in the same protocol and generate a multi-parametric MRI radiomics signature, e.g., in the prospective patient cohort. Often authors and reviewers use radiogenomics to encompass any study which associates a quantitative imaging feature with a molecular -omics study in cancer<sup>288</sup>. Strictly speaking, this is incorrect, and I prefer to label these as 'imaging-genomic' studies. In either instance, the possible correlation between molecular abnormalities or aberrant pathways in tumour biology with imaging features (semantic or agnostic) is extremely enticing as it provides a non-invasive alternative to study the genotype to phenotype pathway. This is particularly useful in difficult to biopsy tumours. Furthermore, large amounts of medical imaging data are stored in hospital servers and are a perfect repository for data mining and big data validation<sup>289</sup>.

#### 6.4 Translational gaps

Fuelled by our increasing knowledge about oncogenic pathways, development of many -omics based technologies and high throughput screening of various pharmacological agents, biomarker discovery is at an all-time high. The ideal hypoxia biomarker should reflect the oxygenation level within non-necrotic tumour cells and be able to distinguish cells of varying oxygen concentrations. However, the difficulty in measuring a biomarker that is heterogeneously positioned in a tumour is well known. If there is variability in measurement, it is possible to conclude different levels of association with an outcome and this potentially explains why many of the hypoxia biomarker studies are contradictory. OE-MRI and gene expression are diagnostic tests which require an acceptable level of accuracy (relation to the ground truth), precision (technical repeatability and reproducibility) and clinical utility. When assessing clinical utility, we are interested in measures that are derived independent of each other – radiology and histopathology are the perfect match for this as seen in day-to-day clinical practice. We can combine these measures together to increase clinical certainty, which itself is a function of biological and technical factors<sup>56</sup>.

The first translational gap for these biomarkers would be assessment in further clinical research, and I envision two types. First, the biomarkers will be tested in basic sciences studies which aim to develop the technical performance of the biomarkers. Cross-platform validation of the de novo gene signature and multi-system multi-centre OE-MRI studies will establish biomarker reproducibility. This is particularly challenging in MRI research where vendors tend not to focus on compatibility between systems and the imaging biomarkers (signal characteristics) are highly dependent on inherent technical factors. This is a major reason why the National Cancer Imaging Translational Accelerator (NCITA) initiative was launched and facilitates translation of imaging biomarkers in research and clinical practice<sup>290</sup>. Second, the hypoxia biomarkers will be used to select patients, optimise treatment, and monitor response. Indeed the litmus test for any hypoxia biomarker is independent validation as a predictive therapeutic biomarker within clinical trials targeting hypoxia<sup>291</sup>. Treatment of cervical tumour hypoxia with carbogen and nicotinamide (CON) has shown early promise<sup>20</sup>, and the biomarkers could be used to select those patients with a high hypoxia score on both tests for treatment with CON. MR guided RT systems, such as the MR Linac, have the potential for daily hypoxia imaging which can be used to generate 3D spatial maps of the tumour. Intra-tumoural heterogeneity captured by  $\Delta R_1$  heat maps can be used to identify hypoxic tumour sub-volumes and these sub-volumes may benefit from higher doses or altered fractionation<sup>140</sup>. As with any biomarker, when deploying biomarker assays, measurement timings are critical to detecting responses and can reflect different underlying biology at

different times<sup>292</sup>. The results of my PhD suggest that mid-treatment measurements (at approximately 16 days) may be sufficient to demonstrate significant changes from baseline, and  $\Delta R_1$  may be useful as a biomarker of early response. To what extent either biomarker (gene expression or imaging parameter) is significantly associated to clinical outcome is yet to be demonstrated in the prospective study. As seen in the study results, often performance status of the patient can be the most influential prognostic indicator.

The second translational gap would be integrating the biomarkers into routine clinical practice. This will be particularly challenging given the significant work-force shortages needed to deliver cancer care in the U.K by 2029 as highlighted by a recent CRUK report<sup>293</sup>. That said, I think there are four key considerations. First, how these biomarkers are measured and, what is the end-platform. This was straight forward when developing the imaging as it was done in a clinical setting and is therefore rapidly translatable. The NHS has invested in next generation sequencing and any future work on developing the de novo signature must aim to establish it on this platform. Second, how are these biomarkers reported. The biomarkers will need to be integrated into the current reporting framework such as TNM staging or the Reporting and Data Systems (RADS). It is easier to integrate the gene expression signature in histopathology as genomic markers are now routinely reported for several tumour sites. Quantifying aggressive tumour physiology is not current standard practice in radiology outside of select tumour sites (e.g., glioblastoma multiforme), and will pose a significant change to clinical radiology practice when it is eventually implemented. Third, how the biomarkers are used by clinicians to deliver therapy. Modern medicine utilises algorithms and nomograms to standardise treatment delivery, and given the increasing numbers of biomarkers, clinical decision making will need to leverage machine learning algorithms to deliver personalised care<sup>294</sup>. In the real world setting, it is increasingly important for biomarkers to be resource (time and money) efficient<sup>56</sup>. Simply put, for molecular imaging and pathology to have the greatest impact on patient care, the complex acquisition and analysis pipelines need to be simplified and deliver quicker results. In the case of OE-MRI, this means acquiring scans which take less than 20 minutes. It is possible to test the clinical significance of shorter periods of oxygen breathing (e.g., 5 minutes) using clinical follow up data from the BIO-CHECC study i.e., calculate  $\Delta R_1$  at 5 minutes and associate with clinical outcome.

The overall aim for any single hypoxia biomarker or composite panel must be to reduce cancer mortality by identifying patients who will benefit from hypoxia-modifying treatment.

#### 6.5 Conclusion

Cervical cancer remains a major global health burden especially in low-income countries. Refining treatment alongside introducing preventative measures is a must to ensure benefit to all social groups. Hypoxia negatively influences response regardless of the chosen therapy and is a major determining factor in extent of local growth and metastatic spread. Hypoxia targeted therapies are able improve outcome and to support decision making, clinicians require hypoxia biomarkers to identify and stratify patients appropriately.

This thesis highlighted a need for more imaging studies and collection of well-annotated cohorts of cervical cancer where patients underwent potentially curative chemoradiotherapy. It addresses problems surrounding tissue and imaging biomarker discovery, validation, and ultimately clinical utility. In conclusion, more work is needed to identify and validate hypoxia biomarkers that are fit for clinical use for cervical cancer patients.

# 7 Appendix

### 7.1 Appendix 1

The decision to use the 21%, 1% and 0.1% oxygen levels was largely due to the availability of hypoxia stations at the Manchester Cancer Research Centre. There are three communal hypoxia stations which have been calibrated to 1%, 0.2% and 0.1%. The literature defines the transition from physiological hypoxia to pathological hypoxia at around 1% oxygen (~8 – 10 mmHg) as this is when hypoxia inducible factor (HIF1) is significantly upregulated (>half maximal expression)<sup>11,224</sup>. Below 0.4% oxygen, the radiobiologic effects of hypoxia are observed<sup>224</sup>. I wanted to explore a range of low oxygen environments and selected 1% and 0.1% as these values lie neatly on a logarithmic scale for analysis.

The next section details the preliminary experiments conducted to determine the optimum duration of hypoxia exposure. Three cell lines (HeLa, SiHa and CaSki) were used. Cells were grown in 75 cm<sup>2</sup> flasks for 48 h. They were then seeded at a pre-determined density onto 6 cm petri dishes. The seeding density was calculated as the number of cells required to achieve 75% confluence following a 48-hour culture in the incubator under normoxic conditions (range 6,000 – 30,000 cells/cm<sup>2</sup>).

Initially cells plated in the petri dishes were cultured under normoxia in the incubator for 24 hours. Following this, the media was replaced, and the cells were exposed to 0.1% hypoxia (Ruskin Invivo2 400 hypoxia workstation, Ruskinn Technology Ltd, Bridgend, UK) for durations of 24, 48 and 72 hours. Media was placed in the respective hypoxia stations 24 hours prior to use, thus allowing equalisation of the dissolved oxygen concentration and the hypoxic environment. Media was refreshed at every 24-hour checkpoint to limit any potential impact of a depleted cell culture medium. The percentage confluence following the fixed exposure periods was evaluated and the data is shown in Table 7.1.

A minimum 24-hour time period was selected to ensure a measurable hypoxia-induced transcriptional response in the cell lines and maintain consistency with other similar *in vitro* hypoxia experiments <sup>47,48,203,204</sup>. The HeLa and SiHa cell lines were able to withstand 0.1% hypoxia exposure for greater than 24 hours, however the CaSki cell line experienced a large amount of cell death at 48 hours which may significantly impact the quantity of RNA at extraction. As a result, 24 hours of hypoxia exposure was used in the study.

Table 7.1: Preliminary experimental data to determine the optimum duration of hypoxia exposure. Cell lines were exposed to 0.1% hypoxia for durations of 24, 48 and 72 hours. Percentage confluence was estimated using a microscope.

| Cell line |     | Time spa | n (hours) |     |
|-----------|-----|----------|-----------|-----|
|           | 0   | 24       | 48        | 72  |
| HeLa      | 40% | 33%      | 25%       | 10% |
| SiHa      | 60% | 33%      | 20%       | 1%  |
| CaSki     | 60% | 33%      | 10%       | 0%  |

#### 7.2 Appendix 2

This section presents preliminary work done on identifying a suitable phantom for the oxygenenhanced MRI technique.

# 7.2.1 Water and sponge phantom

A standard kitchen sponge was placed inside a water bath and a non-rebreathe mask was attached to replicate the human experimental design (Figure~7.1). An anterior pelvic coil was positioned on top of the sponge. The locked down imaging protocol outlined in Chapter 2 was used to acquire a series of dynamic images. A region of interest was drawn around the body of the sponge which showed the characteristic oxygen enhancement curve seen when imaging using different percentages of oxygen gas mixtures Figure~7.2. The figure shows arbitrary signal intensity which can be used to calculate the  $\Delta R_1$  parameter.

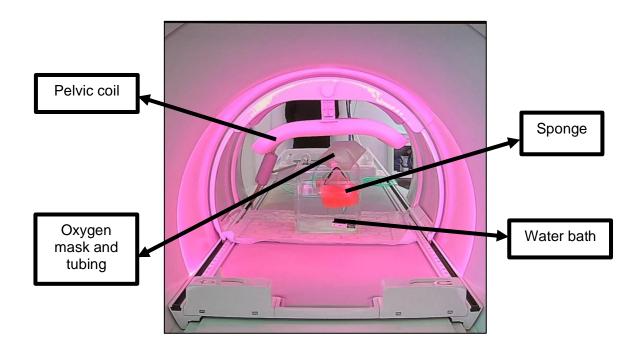


Figure 7.1: Annotated photograph of water and sponge phantom in the MR-Linac.

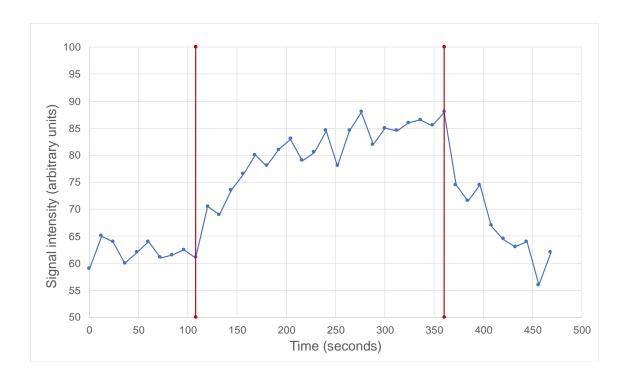


Figure 7.2: Oxygen enhancement curve of sponge phantom

#### 7.2.2 Falcon tubes phantom

Three 50 mL Falcon tubes (Corning, New York, USA) were filled with 45 mL of sterile water and placed in three different oxygen environments: 21% O<sub>2</sub>, 1% O<sub>2</sub> and 0.1% O<sub>2</sub> (*Figure 7.3*). After 7 days of incubation, the tubes were placed in a holder and imaged. Unfortunately, there wasn't enough signal generated from the water within the tubes. The experiment will need to be repeated with much larger quantities of water.



Figure 7.3: Photograph of Falcon tubes phantom. This is a similar set up to a conventional  $T_1$  MR phantom and aims to quantify  $T_1$  measurements at different oxygenation levels.

#### 7.3 Appendix 3

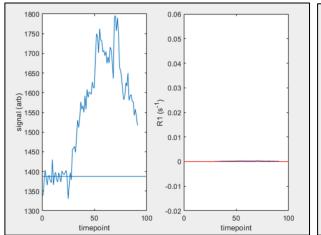
This section details three important considerations when computing the  $\Delta R_1$  parameter.

#### 7.3.1 VFA or IR-TFE baseline T<sub>1</sub> map

Baseline quantitative T<sub>1</sub> mapping was acquired using the variable flip angle (VFA) and inversion recovery turbo field echo (IR-TFE) sequences. During my analysis, I noticed different results depending on which T<sub>1</sub> map I used and the example in *Figure 7.4* (patient 11, visit 1) highlights the visit with the largest difference. Large variations in T1 values for the same tissues and field strengths are reported in literature, even with the gold standard IR protocol<sup>243</sup>. The authors suggest that incomplete spoiling and inaccurate RF field (B<sub>1</sub>) estimation account for majority of the differences. It would be useful to test these findings in Bloch simulations of the BioCHECC study data. I decided to use the IR-TFE sequence as the baseline T1 map as it was thought to be more reliable.

# A) Arbitrary signal converted to $\Delta R_1$ timeseries using VFA T1 mapping

# B) Arbitrary signal converted to $\Delta R_1$ timeseries using IR T1 mapping



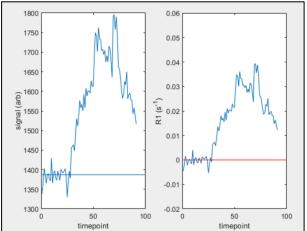


Figure 7.4: Converting the arbitrary signal into  $\Delta R_1$  requires knowledge of the baseline  $T_1$  map. A significant difference was noted when analysing the data for patient 11 visit 1, using the A) VFA  $T_1$  map or B) IR  $T_1$  map.

#### 7.3.2 Averaged over the region of interest vs voxel-by-voxel analysis

When analysing the imaging data, I had a choice between two main approaches for deriving the baseline  $T_1$  map. In the first instance, the baseline  $T_1$  can be averaged (using medians) over the region of interest (ROI) and then the dynamic  $R_1$  timeseries is computed from this average (using medians). A voxel-by-voxel analysis approach requires calculating the baseline  $T_1$  for every voxel and subsequently the dynamic  $R_1$  timeseries for every voxel. The dynamic  $R_1$  timeseries are then averaged (using medians) across the ROI.

I decided to use the 'averaged over the ROI' approach due to a) uncertainty in T1 measurement, and b) uncertainty due to motion within and in between sequences. It would be useful to test this assumption by analysing the data using the voxel-by-voxel approach following motion management strategies.

#### 7.3.3 Mean vs median

When averaging datapoints, e.g. Tumour  $T_1$  or Tumour  $\Delta R_1$ , I used the median as I believed it to be more robust compared to the mean which may be distorted by outliers.

#### 7.3.4 Inversion efficiency parameter

The equation I used to derive the inversion time assumes a perfect 180° inversion pulse, which is seldom true. An inversion efficiency parameter can correct for the imperfect inversion and the subsequent error in estimated T<sub>1</sub> from using IR based T<sub>1</sub>-mapping. I decided to use the simpler approach as it was computationally easier for me to perform. T<sub>1</sub> discrepancies are well documented but the advantage to having a consistent T<sub>1</sub> mapping methodology is excellent precision (high scan-rescan repeatability/reproducibility)<sup>295</sup>. If I had additional time, it would be useful to run the gold-standard IR protocol and explore the impact of including an inversion efficiency parameter.

#### 7.4 Appendix 4

Motion corrective strategies are seldom utilised in diagnostic magnetic resonance imaging. The temporal resolution of the dynamic oxygen-enhanced MR technique is approximately 19 minutes and bulk patient motion (voluntary or involuntary) may significantly alter parameter measurements. Motion induced variations have the greatest impact on the accuracy of a single voxel parameter rather than a whole lesion parameter, which makes them necessary if voxel-by-voxel analysis or spatial parameter maps are needed.

As the size and shape of the tumour is critical information, it is sensible to use a rigid transformation strategy when correcting for intrasession motion (Figure~7.5). Workflow 1 registers the individual dynamic OE-MR images (n=91) to a single  $T_2$ w image. A ROI is drawn on the anatomical image and the parameters are computed. In workflow 2, the dynamic OE-MR images are initially registered to a single OE-MR image such as the middle dynamic image. A second registration step transforms the dynamic OE images onto an anatomical image. The additional step may be necessary given the different spatial and contrast resolutions of the two sequences.

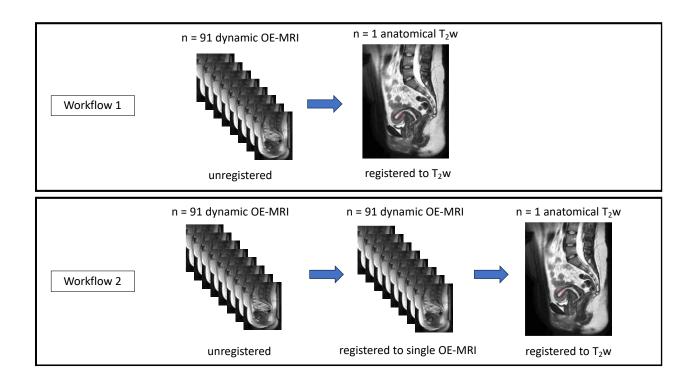


Figure 7.5: Two suggested workflows to rigidly transform the dynamic OE-MR images onto the anatomical  $T_2$ w image. This is necessary because the region of interest is drawn on the anatomical image.

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