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## 1 A modelling workflow for quantification of photobioreactor performance

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## 10 Abstract

11 In this work we have developed a comprehensive modelling workflow for the quantification of 12 photobioreactor performance. Computational Fluid Dynamics (CFD) modelling combined with 13 Lagrangian particle tracking was used to characterise the flow field inside the reactor; this 14 information was combined with a Monte-Carlo model of light attenuation and a kinetic growth 15 model to predict the performance of the system over the duration of the entire batch. The CFD 16 model was validated against measurements of the overall hold-up, local hold-up and mixing time 17 for superficial velocities between 0.6 and 6 cm s<sup>-1</sup> in a pilot-scale bubble column photobioreactor, 18 with the CFD predictions agreeing with the experimental data. Comparison was also made between 19 the predicted biomass concentration and experimental measurements using the diatom 20 Phaeodactylum tricornutum, with the model predictions being in good agreement with the 21 experimental results. The model was used to investigate a range of operating conditions and reactor 22 designs, with the most promising predicted to give a 40% increase in the biomass productivity. 23 Results from this work can be used for the *in-silico* design and optimisation of photobioreactor 24 systems, thereby enabling their wider use as a sustainable production technology.

## 25 Keywords

- Photobioreactor
- Scale-up
- 28 CFD
- Microalgae
- 30 Particle tracking

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## 31 **1. Introduction**

Photoautotrophic microorganisms (e.g., microalgae and cyanobacteria) can be used for the 32 33 sustainable production of a range of compounds including high-value products for the food 34 industry (e.g., carotenoid pigments, omega-3 fatty acids, vitamins) [1], biofuels [2] and chemicals 35 [3]. A major advantage of using photoautotrophic microorganisms is their minimal nutrient 36 requirements; light and carbon dioxide are the primary feedstocks. This may be advantageous from 37 a sustainability perspective as there is no need for arable land, potable water and also no 38 competition with food crops [4]. A major challenge in the commercialization of bioprocesses using 39 photoautotrophic microorganisms is the process economics [1]. The majority of existing processes 40 utilize open photobioreactors (e.g., ponds and raceways), which have the advantage of lower 41 capital costs than closed systems (e.g., flat-panel, bubble column and tubular photobioreactors) [1]. However, open photobioreactors are susceptible to contamination and may not be appropriate 42

43 for all organisms (e.g., it may not be suitable to grow engineered organisms in an open system).

44 Closed photobioreactors can generally achieve higher cell densities and biomass productivities 45 than open systems, and are less susceptible to contamination [5]. The major challenge with such reactors is efficiently using the available light to achieve high cell densities. As the distance from 46 47 the illuminated surface of the Photo Bio Reactor (PBR) increases the light intensity decreases in 48 an exponential fashion due to absorption and scattering of light by the cells [6]. This can lead to a 49 situation where the central volume of the reactor is essentially 'dark', with the cells located in this 50 volume receiving insufficient light for photosynthesis. Under conditions of high illumination (e.g., 51 near the walls of the reactor) the capacity of the electron transport chain (the biochemical pathway involved in absorbing energy from light) can become saturated, which can lead to the production 52 53 of reactive oxygen species and in turn damage to the cells [7]. In practice, cells will alternate 54 between zones of high and low light intensity due to transport by the liquid. The extent to which 55 this occurs will depend on the reactor design and operating conditions [8, 9]. By optimizing the 56 frequency at which cells move between zones of light and dark it may be possible to improve the 57 utilization of the incident light (the flashing light effect). Numerous authors [10-15] have examined 58 this problem, reporting that light-dark frequencies of the order 10-100 Hz are needed to make 59 maximum use of the flashing light effect [11, 12, 16], while some benefits may be obtained at 60 frequencies of the order 0.08 Hz [17]. Understanding the light intensity experienced by cells within 61 a PBR, the light-dark cycle frequency and how these variables are affected by the reactor design and operating conditions is key in PBR design and optimization. 62

63 As previously noted, a major challenge in PBR design is making effective use of the supplied light 64 to achieve high cell densities. One way in which this can be achieved is to simply reduce the 65 thickness of the reactor, thereby reducing the optical path length and hence the amount of light 66 attenuation. However, this has the significant drawback of requiring a higher surface area for the 67 same liquid volume, thereby increasing the capital cost. Another potentially promising direction is 68 the use of internal structures (e.g., baffles, static mixers, etc.) which promote mixing within a PBR 69 and hence potentially increase the light-dark cycle frequency. It is hypothesized that by promoting 70 mixing between the central 'dark' region of the PBR and the illuminated wall region cells will 71 experience higher light intensities and this in turn will lead to higher cell densities. Recent work 72 has found this to be the case, for example installation of baffles was found to increase the biomass 73 productivity of Chlorella cultures by 60-90% [18-22]. Similarly, Ryu et al. demonstrated that the 74 use of horizontal sieve baffles and slanted baffles led to approximately 40% increases in the

75 biomass concentration of *Chlorella* sp. in 4 cm diameter cylindrical bubble column PBRs [23].

76 Merchuk et al. showed that the installation of helical flow promoter in cylindrical PBRs lowered

77 the air flow rate required to achieve the maximum cell density of Porphyridium sp. cultures and

78 thereby reduced the energy expenditure in air compression [24]. Such results demonstrate the 79

potential of modified reactor designs, while also highlighting the need for tools to better understand 80

the hydrodynamics of PBRs in order to facilitate the development of optimised reactor designs.

Computational Fluid Dynamics (CFD) models are increasingly [25] being used as tools to model 81 82 bioprocesses, including photobioreactors [26-30]. In the case of photobioreactors Lagrangian 83 particle tracking is a particularly useful approach [31]. Particles having the same density and size 84 as cells are included in the model which tracks their position in the reactor as a function of time. 85 Using this information it is possible to construct the 'history' or 'lifeline' of a given cell as it moves 86 throughout the reactor. As the light intensity experienced by a cell is largely a function of its 87 location it is possible to determine the light intensity experienced by a cell as a function of time 88 by coupling the particle tracking approach with a model of light attenuation. From this it is possible 89 to then determine key information like the light-dark cycle frequency and the average light 90 intensity. By including a large number of cells in the model it is also possible to calculate 91 population-averaged values. Such an approach based on Lagrangian particle tracking has the 92 advantage that it is likely to be the most representative of the behavior occurring within the 93 photobioreactor, and as such may offer the most accurate way of quantifying reactor performance.

94 CFD models have the advantages of providing a high degree of spatial and temporal resolution, 95 and allowing for multiple reactor configurations to be evaluated in silico, thereby minimizing the 96 need for experimental work. Another key advantage is that models can be used to generate 97 information which is very difficult to obtain experimentally (e.g., simulating the movement of cells 98 throughout a PBR). A disadvantage of CFD models is that their high computational demand means 99 that it is only feasible to simulate a relatively short length of time (typically hundreds of seconds), 100 while a typical growth cycle in a PBR would last several days/weeks. Hence, it not possible to use 101 CFD to simulate an algal cultivation from start to finish. To circumvent this limitation, results from 102 simulations of the fluid dynamics can be combined with light and growth models to give an overall 103 model which can predict the overall process performance [32, 33]. Such models can be used to 104 understand the reactor performance and develop optimized designs; however, relatively little work 105 has been done in this area looking at bubble column photobioreactors.

106 Use of a CFD model to quantify the performance of PBRs obviously relies on having accurate 107 predictions of the hydrodynamics in order to correctly predict the trajectory of the cells. Hence, 108 before any CFD model can be used to quantify the performance of the PBR it should be validated 109 against experimental data to ensure it offers accurate predictions of the hydrodynamics. Our 110 previous work [34, 35] has focused largely on the modelling of large-scale aerobic bioprocesses, 111 which typically operate at higher superficial velocities (>  $0.1 \text{ m s}^{-1}$ ) than PBRs (which typically operate at superficial velocities below 0.05 m s<sup>-1</sup>). Therefore, before the model can be used as a 112 113 tool to quantify PBR performance there is a need to validate its predictions at conditions found in 114 PBRs.

115 The aim of this work is to develop and validate a modelling workflow which can be used to 116 quantify the effect of PBR design and operating conditions on performance, and to use such a 117 model to identify improved reactor designs. To do this it is firstly necessary to validate the CFD

- 118 methodology used, and secondly to integrate the CFD model with models of light attenuation and
- 119 growth and determine whether the combined workflow provides accurate predictions of algal
- growth. Once the modelling workflow has been validated it can then be used as a tool to examine
- 121 different designs with the aim of identifying those which will provide improved performance.

## 122 **2. Method**

## 123 2.1 Experimental measurements

Experimental measurements used to validate the CFD model were performed using the bubble column configuration without any internals. The bubble column used in this work was 190 mm in diameter, 2000 mm in height and it was fabricated from clear acrylic. Air was introduced through an L-shaped stainless-steel perforated tube sparger. The sparger had three rows of  $10 \times 2$  mm diameter holes. There was a 10 mm spacing between hole centres. A detailed schematic of the sparger is shown in Figure 1.

Compressed air was sourced from the building supply. The flow rate was measured using a RM series rotameter (Dwyer) and corrected to the flow rate at standard conditions (298 K and 101325 Pa) using measurements of the pressure at the rotameter outlet (typically 14-17 kPa as measured using a Dwyer LPG3 series pressure gauge). Volumetric flow rates were converted to the superficial velocity by dividing the flow rate at standard conditions by the cross-sectional area

- 135 of the column.
- 136 Measurements of the liquid height  $(H_L)$  and the height of the two-phase mixture  $(H_{G+L})$  were
- 137 made using a ruler attached to the side of the column and these values were used to calculate the
- 138 overall hold-up ( $\alpha$ ):

$$\alpha = 1 - \frac{H_L}{H_{G+L}} \tag{1}$$

139 Three measurements of the hold-up were made at each superficial velocity. Reported results are

140 the average of these three measurements. Error bars denote one standard deviation about the mean

141 or the error as calculated using error propagation methodology, whichever was larger.

142 The bubble size distribution (BSD) was measured using two-point needle probes, details of the probe design are described in detail elsewhere [36]. The measured chord-length distribution was 143 144 converted to the BSD using the non-parametric transform developed by Liu et al. [37], here it was 145 assumed that the bubbles were ellipsoidal in shape with a fixed aspect ratio of 0.6. Probes were 146 positioned on the column centerline, facing down at heights of 800 and 1200 mm above the base 147 of the column. Measurements of the BSD were made at superficial velocities of 0.6, 1.6, 3.2 and 148 6.0 cm s<sup>-1</sup>. Three measurements each 180 s in duration were made at each condition, these data 149 were combined for analysis. The error in the reported mean bubble sizes is of the order  $\pm 20\%$ , as 150 determined in our previous work [36].

151 Local hold-up measurements were made using single-point needle probes as detailed elsewhere 152 [36]. Probes were located at heights of 840 and 1240 mm above the base of the column and at 153 radial locations of  $0, \pm 30, \pm 60$  and 90 mm. Measurements made at a location of -90 mm generally 154 did not result in a signal, most likely due to the small (5 mm) gap between the column wall and 155 the probe tip (meaning no bubbles were able to pass through the gap), similar behavior being 156 observed in our previous work [38]. The local volume fraction was measured for  $5 \times 30$  s at each 157 point, with reported values being the average, error bars denote one standard deviation about the 158 mean. It was found that area-averaging the local hold-up profiles gave overall hold-up values less 159 than the experimentally measured overall hold-up value, suggesting that some bubbles were 160 'missed' by the probes. To correct for this the measured values were multiplied by the ratio between the overall hold-up and the area-averaged local hold-up, this being 1.35 for measurements 161 at a height of 1240 mm above the base of the column and 1.65 for measurements made at a height 162 163 of 840 mm above the base of the column. The same correction factor was used for all superficial 164 velocities examined.

165 Mixing in the column was quantified by measuring the mixing time. This was measured by adding a salt tracer (4 M NaCl) and measuring the conductivity as a function of time. Conductivity probes 166 (Real Time Instruments) were positioned at heights of 840, 1240 and 1700 mm above the base of 167 168 the column, as shown in Figure 1. The probes located in the middle of the column were positioned 169 at the centerline. To quantify the effect of the tracer addition location it was added both to the top 170 of the column (by pouring on to the free surface) or by injecting it into a port 1040 mm above the base of the column. A volume of 130-150 mL of tracer was used. All measurements were made in 171 triplicate, the reported values are the average with error bars denoting one standard deviation about 172 173 the mean. This approach was used in order to quantify the variability in the mixing time caused by 174 the inherently transient nature of flow inside bubble columns. After three tracer additions the 175 column was drained and refilled to minimize the effect of salt addition on the hydrodynamics. The mixing time was defined as the time required for the tracer concentration to settle within  $\pm$  5% of 176 177 the final equilibrium value. Further details about the methodology used are presented elsewhere 178 [39].

#### Top view of column

The column is illuminated from three sides (indicated by red arrows)



179 The rows have 10 mm spacing between hole centres.



## 181 2.2 CFD Modelling

182 In this work we have applied a computational approach we developed previously and validated for

183 bubble columns [38, 40]. In this work the Euler-Euler approach is used to model the two-phase

184 flow. Inter-phase momentum transfer is modelled as the sum of drag and turbulent dispersion. The

drag force was calculated using the Grace et al. model for an isolated bubble [41], combined with

186 a volume fraction correction term based on our previous work [42], values of the constants n and

187 *b* were 50 and 0.20, respectively. Bubbles had a fixed size (8 mm), this being the experimentally

188 measured mean value (see Supplementary Figure S6). Turbulent dispersion was modelled using

189 the Favre-averaged drag approach outlined by Burns et al. [43]. Liquid phase turbulence was

190 modelled using the standard k- $\varepsilon$  approach as implemented in Ansys CFX, with the source terms 191 developed by Yao and Morel [44] being included to account for bubble-induced turbulence. Gas-

developed by Yao and Morel [44] being included to account for bubble-induced turbulence. Gasphase turbulence was modelled using the dispersed phase zero approach. This approach was used

as it has been shown to provide good agreement with experimental data across a broad range of

195 as it has been shown to provide good agreement with experimental data acre 194 column designs and operating conditions [34, 40].

195 A schematic of the mesh used is shown in Figure 1, a hexahedral mesh with 58,800 elements was

196 used. To ensure the model predictions were independent of the grid size simulations were also

197 performed with coarse (36,120 elements) and fine (132,480 elements) meshes. As shown in

198 Supplementary Figure S1 it was found that the results did not depend on the grid size used.

199 The sparger was modelled as an inlet boundary condition on the bottom face of the column 200 12.5 mm in width and 90 mm in length. The top of the column was modelled as an outlet at 201 atmospheric pressure, the remaining surfaces, including the column walls and any internals, were 202 modelled as walls using the no-slip condition for the liquid and free-slip for the gas. Baffles were 203 spaced 200 mm apart, with the bottom baffle being 300 mm from the base of the column. The 204 alternating baffles were 125 mm wide, the disc shaped baffles had a diameter of 134 mm, while 205 the cut-out in the donut baffles was also 134 mm. The baffle for the airlift was located on the 206 column centerline 300 mm above the base of the column, the height of the baffle was 1200 mm.

An initial liquid height of 1.70 m was used, below this height the liquid volume fraction was one, while above it the initial liquid volume fraction was zero (i.e. the headspace was full of gas as is physically correct). Densities of  $1.2 \text{ kg m}^{-3}$  and  $1000 \text{ kg m}^{-3}$  were used for the gas and liquid phases respectively. A value of  $0.072 \text{ N} \text{ m}^{-1}$  was used for the surface tension. The viscosities of the gas and liquid phases were  $1.83 \times 10^{-5}$  Pa s and  $1 \times 10^{-3}$  Pa s, respectively.

Ansys CFX 2021R1 was used in this work. The bubble column flow was modelled as a transient using small timesteps  $(1 \times 10^{-3} \text{ s})$  as is required for such two-phase flows. Each simulation was run for a period of 150 s before averaging, then for an additional 150 s with transient averaging turned on. Unless stated otherwise all reported results are transient averages. All runs were solved using double-precision and further details about the numerical methods used are available elsewhere [40].

In order to quantify the predicted mixing time tracers were introduced at the same locations as those used experimentally (see Figure 1). Tracers were introduced at 151, 161 and 171 s, this being done to account for any fluctuations in the hydrodynamics which is known [39] to affect the mixing time. The tracer concentration was calculated at the same locations as used experimentally, and like the experimental results the reported values are the average of the three repeats, with error bars denoting one standard deviation about the mean.

224 2.3 Coupling of CFD with algal growth kinetics

In this work, we have developed an approach that integrates CFD particle tracking with algal growth kinetics, thereby allowing simulation of the influence of flashing light on biomass accumulation over time. The workflow used for the model integration is shown in Figure 2.

228 To understand the distribution of algal cells throughout the reactor 2,000 Lagrangian particles were 229 introduced at one timestep (i.e.  $1 \times 10^{-3}$  s) at a simulation time of either 150 or 300 s, with their 230 location in the column being tracked for 150 s. The particles (representing cells) were introduced 231 uniformly throughout the column, using a grid with  $20 \times 10$  evenly spaced particles with 10 radial 232 divisions. The particle post-processing was done using a custom script written in Matlab R2022. 233 The particle solver in Ansys CFX uses a variable time-step [45]. To simplify the analysis an array 234 having a fixed timestep (0.01 s) was generated and the particle x and z coordinates generated by 235 the CFD model were interpolated onto this array using the one-dimensional spline interpolation 236 function implemented in Matlab R2022. Any particle where the track ended before the designated 237 time (150 s) was excluded from the analysis; this corresponded to a maximum of 3.6% of the

238 particles added.

239 The distribution of local light intensity within the PBR was simulated by adopting a Monte Carlo 240 type procedure that tracks the trajectories of numerous photons within the PBR, following the 241 method developed by others [32]. This approach accounts for absorption of light, as well as 242 changes in the trajectories of the photons due to scattering. Here it was assumed that the light 243 intensity was uniform in the vertical (y) direction, meaning light attenuation was only modelled in 244 two-dimensions (i.e., along the x and z coordinates). Other optical phenomena, such as the 245 refraction and reflection across/by the PBR wall were omitted. When modelling the configurations with internals (i.e., the bubble column with segmented baffles, disc and donut baffles and the 246 247 airlift) any effect of the internals on the light propagation was neglected. Additionally, it was 248 assumed that the cells were distributed uniformly throughout the medium, and the effects of the 249 bubbles on the light scattering were minimal (in line with results reported elsewhere [28]). No 250 wavelength dependent behaviour was considered in this work. Furthermore, it was also assumed 251 that the hydrodynamic behavior did not change throughout the course of a batch, something which 252 is likely to be true provided the algae do not produce large quantities of extracellular compounds 253 which could affect the fluid flow. Hence, the same CFD results were used to represent the 254 hydrodynamics (using the Lagrangian particle tracks) for the entirety of the batch.

In modelling the trajectory of a photon, the photon enters the PBR from one of the illuminated surfaces of the PBR, each of which covered a 180° arc. The photon propagates through the medium for a distance,  $\Delta l$ , before it is scattered, this scattering changes its direction. The photon then travels for another distance ( $\Delta l$ ) before being scattered again. This procedure continues until the photon exits the boundary of the PBR, or its intensity reaches a value of 0.1 µmol photons m<sup>-2</sup>s<sup>-1</sup>, at which point the tracking process was stopped. The position of a photon at step *n* is determined based on its position at the previous step (*n* – 1):

 $x_n = x_{n-1} + \Delta l \cdot \cos\theta \tag{2}$ 

 $z_n = z_{n-1} + \Delta l \cdot \sin\theta \tag{3}$ 

262 The Monte Carlo sampling procedure was used to determine (a) the starting position of a photon,

263 (b) the propagation distance  $(\Delta l)$  at each step and (c) the scattering angle  $(\theta)$  at each step.

As shown in Figure 1, the PBR is illuminated by three light sources; each covered 180° of the PBR surface. In determining the starting position of a photon, a value was sampled from a uniform distribution across the interval  $[0, \pi]$ , and the distance to the PBR centre was one PBR radius (*R*). At this starting position, the photon has an incident intensity ( $I_o$ ) of 360 µmol photons m<sup>-2</sup> s<sup>-1</sup>, this being the experimentally measured light intensity on the side of the photobioreactor [46].

269 The propagation distance for the photons ( $\Delta l$ ) was set to be a random number across the uniform

distribution between 0 and 1 mm. The scattering angle ( $\theta$ ) was determined using the Henyey-

271 Greenstein phase function [32]:

$$\cos(\theta) = \frac{1}{2g} \left\{ 1 + g^2 - \left( \frac{1 - g^2}{1 + g(2P - 1)} \right)^2 \right\}$$

where *P* is a random number drawn from the uniform distribution between 0 and 1. The amount of forward and back-scattering is adjusted by the value of the parameter *g*, with g = 1corresponding for forward scattering only, and g = 0 corresponding to isotropic scattering. Here a value of g = 0.95 was used, this being based on the experimental work of Marken et al. [47].

The attenuation in the light intensity between two consecutive steps due to absorption was accounted for using the Beer-Lambert equation. The local light intensity at each step  $(I_n)$  was expressed as:

 $I_n = I_{n-1}e^{-K_a X \Delta l}$ 

where  $K_a$  is the attenuation constant. Here we have used a value of 0.35 L mg<sup>-1</sup> m<sup>-1</sup> for the light attenuation constant ( $K_a$ ), this value being based on our previous work [46].  $I_{n-1}$  is the local light intensity at the previous step;  $\Delta l$ , is the propagation distance in this step; X is the biomass concentration.

283 The output of the Monte-Carlo procedure was an array containing the position and intensity of 284 photons throughout the photobioreactor for each of the light sources. To enable use of these data 285 to generate a light profile across the PBR, the horizontal (XZ) plane of the PBR was discretized 286 onto a two-dimensional mesh containing 6078 elements, this was generated using the Delaunay 287 triangulation methodology implemented in Matlab. Photons were allocated to a mesh element based on their x, z coordinates. The light intensity in each mesh element for a given light source 288 289 was calculated by averaging the intensity of all photons allocated to that element. From this the 290 total light intensity was determined by taking the sum of the light intensity from each of the three 291 sources. This procedure generated a two-dimensional map of the light intensity (see Figure 7). 292 Using these data, it was possible to allocate the particles from the CFD model to a mesh element 293 at each time point (based on their x, z coordinates) and hence generate an array containing the light 294 intensity for each timepoint for each particle.

- 295 The growth rates of the cells under flashing light were determined following the findings by Terry
- 296 for *P. tricornutum* [10]. At very high *L/D* cycle frequencies (i.e., those much greater than 1 Hz)
- the cells are thought to respond as though the lighting is continuous, and hence the growth rate ( $\mu_{\text{full}}$ ) 297
- ) can be calculated based on the time-averaged light intensity for the particle  $(\bar{I}_n)$  [10]: 298

$$\mu_{\text{full}} = \frac{\mu_{\text{max}} \bar{I}_p^{\ k}}{\bar{I}_p^{\ k} + K_I^{\ k}} \tag{6}$$

where the  $\mu_{\text{max}}$  is the maximum specific growth rate (2.4 day<sup>-1</sup>),  $K_I$  is the half-saturation constant 299

- for light (50  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>) and k is a constant (1.9). Values of the constants in the growth 300 model are based on our previous experimental work and that of others in the literature [46, 48]. 301
- The time-averaged light intensity for each particle  $\overline{I}_p$  was calculated using the interpolated values: 302

$$\overline{I}_p = \frac{\sum_{n=1}^{n_{\text{total}}} I_{p,n}}{n_{\text{total}}}$$
(7)

303 where  $I_{p,n}$  is the instantaneous light intensity experienced by particle p at time n and  $n_{total}$  is the total number of time points (here 15,000). 304

- 305 At sufficiently low L/D cycle frequencies the growth rate of the cell depends on the instantaneous
- light intensity experienced by the particle  $(I_p)$  meaning there is no light integration. This can be 306
- 307 used to calculate the specific growth rate:

$$\mu_{\rm no} = \frac{\mu_{\rm max} I_p{}^k}{I_p{}^k + K_I{}^k} \tag{8}$$

Partial light integration will occur at L/D cycle frequencies between those where full and no light 308

- 309 integration occurs; these are the frequencies likely to be found in industrial photobioreactors. Here the specific growth rate depends on the L/D cycle frequency (F): 310

$$\mu = \frac{\Gamma_{\max}F}{K_F + F} (\mu_{\text{full}} - \mu_{\text{no}}) + \mu_{\text{no}}$$
(9)

311 where  $\Gamma_{\text{max}}$  and  $K_F$  are constants; Terry [10] determined that the values of  $\Gamma_{\text{max}}$  and  $K_F$  to be 0.972 and 0.67 Hz, respectively, for *P. tricornutum*. 312

313 Here we have defined a cell as being in the dark if the instantaneous light intensity was less than 314 5  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>. This value was selected on the basis that at this light intensity the net growth rate is zero (i.e., the light intensity is sufficient for cellular maintenance but not growth) 315 316 [49]. Using the calculated values of the instantaneous light intensity it is possible to determine 317 whether or not a particle is in the light or dark zone for each time point. From this it is possible to 318 determine the L/D cycle frequency and thus the specific growth rate ( $\mu$ ) for this cell. The specific 319 growth rate was determined for each of the simulated algal cells; the mean of the specific growth 320 rates of the cell population was then calculated:

$$\overline{\mu} = \frac{1}{p} \sum_{i=1}^{p} \mu_i \tag{10}$$

321 where p is the number of cells evaluated.

322 The Monte Carlo sampling procedure and the subsequent determination of the growth rate for the 323 algal cell population were repeated for biomass concentrations ranging between 5 and 2005 mg L<sup>-1</sup>, thereby generating a set of datapoints providing the population averaged specific growth rate 324 325  $(\bar{\mu})$  for the range of cell densities examined. These data were integrated with our recently 326 developed, ODE-based model for simulating the growth of the alga P. tricornutum [46]. Here, it 327 was assumed that light was the sole growth-limiting factor. In the model the specific growth rate 328 for a given cell density was found by interpolating onto the  $[X, \overline{\mu}]$  array generated using the 329 workflow developed in this paper. The one-dimensional spline interpolation function implemented 330 in Matlab R2022 was used to perform the interpolation.

To ensure the results were independent of the numerical values used in setting up the simulation a range of conditions were investigated, with the full details being available in the Supplementary Material. Simulations were performed to investigate the effect of the number of photons per light source (100, 300, 500, 1000 and 10,000), the number of mesh elements (570, 1710, 6078 and 14,286) and the number of Lagrangian particles (200, 500, 1000 and 2000). Based on these results all subsequent simulations were performed with 1000 photons per light source, a mesh containing 6078 elements and 1000 Lagrangian particles.

- 338
- 339
- 340 2.4 Algal cultivation experiments

341 In this work we have focused on the cultivation of *Phaeodactylum tricornutum*, a marine diatom

342 which can be used for the production of valuable compounds like eicosapentaenoic acid and

343 fucoxanthin [50-52]. Cultivations were performed using the bubble column configuration as

described in Section 2.1. Air (supplemented with 1 % (v/v) carbon dioxide) was introduced into

the column at a superficial velocity of 1.3 cm s<sup>-1</sup>. Here 1% (v/v) carbon dioxide was used as this concentration is sufficient to maintain the pH below 8.5, hence ensuring the growth is not limited

347 by the availability of carbon.

- 348 Cultures were illuminated for 16 hours per day using the LED lights mounted on three sides of the
- column (Figure 1). The lighting consisted of 9 W cool-white (6000 K color temperature) LED bars
- 350 (Jaycar Australia), these were arranged in a  $3 \times 5$  grid (vertical  $\times$  horizontal) on each of the
- illuminated sides of the PBR. To quantify the biomass density samples (typically 50-60 mL) were
- taken and filtered using pre-weighed glass fibre filters (Advantec GA-55, Toyo Roshi Kaisha Ltd,
   Tokyo Japan). Samples were washed with three volumes of 0.5 M ammonium bicarbonate before
- being dried at 105°C overnight. After drying the samples were cooled and then weighed to
- determine the dry cell weight. Further details about the cultivation conditions and the analytical
- 356 methods are available in our previous work [46].



358 Figure 2 – Schematic showing the workflow used in the model.

## 359 **3. Results and Discussion**

## 360 3.1. CFD model validation

361 As the performance of the model relies upon accurate predictions of the hydrodynamics within the reactor it is necessary to validate the CFD model against experimental data. Figure 3 gives a 362 363 comparison between the experimentally measured overall hold-up values and those predicted by 364 the CFD model, while a more detailed comparison of the local-hold-up profiles is given in Figure 365 4. It was found that there was good agreement between the experimental measurements and the 366 model predictions, with the model slightly under-predicting the hold-up. Interestingly the 367 maximum values for the experimentally measured hold-up values were found to occur at x =30 mm and not at the column centerline (as was found for the CFD predictions). Such results could 368 369 be because the CFD model over-predicts the extent to which the bubble plume becomes 370 symmetrical. This could be caused by the model over-predicting the magnitude of the turbulent dispersion force, or over-predicting the eddy viscosity which would damp out oscillations. Equally 371 372 such results could be due to the fact that the sparger is not located perfectly perpendicular to the 373 measurement location, thereby introducing a degree of asymmetry into the results. While every 374 attempt was made to ensure that the sparger was located on the centerline of the column it was 375 possible that it could have moved by a small amount (of the order 10 mm). Interestingly, it appears 376 that at higher superficial velocities the observed asymmetry is reduced (Figure 4 (h)). Inclusion of the lift force, or modification of the coefficient in the turbulent dispersion model may lead to 377 378 improved agreement with the experimentally measured hold-up profiles. However, this was not 379 pursued as the model gives reasonable agreement with the overall and local hold-up, as well as the

380 mixing time (Figure 5).





382<br/>383Figure 3 – Plot showing comparison between experimentally measured overall hold-up values and those<br/>predicted by the CFD model.



Figure 4 - Plot showing comparison between experimentally measured local hold-up profiles and those predicted by the CFD model. Results on the first row (a) and (b) are for a superficial velocity of 0.6 cm s<sup>-1</sup>, those on the second row (c) and (d) are for a superficial velocity of 1.6 cm s<sup>-1</sup>, those on the third row (e) and (f) are for a superficial velocity of 3.2 cm s<sup>-1</sup> and those on the final row (g) and (h) are for a superficial velocity of 6.0 cm s<sup>-1</sup>. Plots in the first column (a), (c), (e) and (g) are for a height of 840 mm above the base of the column, while those in the second column, (b), (d), (f) and (h) are for a height of 1240 mm above the base of the column.

Experimentally measured bubble size distributions are shown in Figure S6 in the supplementary data. It was found that the superficial velocity had a relatively small effect on the bubble size distribution, while the distance from the sparger had a larger effect. Measured mean bubble sizes were of the order 10-12 mm at a height of 800 mm above the base of the column and 8-9 mm for a height of 1200 mm above the base of the column. The correlation developed by Akita and Yoshida [53] predicts initial bubble sizes between 9 and 19 mm for the superficial velocities examined in this work. This, combined with the experimental measurements is consistent with the

idea that the bubbles produced by the sparger undergo break-up as they rise through the column.

399 Figure 5 gives a comparison between the experimentally measured mixing times and those predicted by the CFD model. Both the experimental measurements and CFD predictions showed 400 401 a considerable amount of variation, this is due to the transient nature of the flow inside the bubble 402 column, where the instantaneous flow pattern at the time of tracer addition impacts upon the 403 mixing time [39]. Generally speaking, the model predictions were in good agreement with the 404 experimental measurements for the range of superficial velocities  $(0.6 - 6.0 \text{ cm s}^{-1})$  and tracer 405 addition and measurement locations examined. It was observed that increasing the superficial 406 velocity led to a reduction in the mixing time, as expected, with the measured values being less 407 than those predicted (46 - 108 s) using correlations from the literature [54, 55]. Interestingly, it 408 was found that the measurement location did not have a large impact on the mixing time with the 409 values being similar for the three points examined. However, it was found that the tracer addition 410 location did have an impact on the mixing time, with the side addition point generally resulting in 411 lower mixing times than when the tracer was introduced to the top of the column, the reduction being of the order 40-80%. This can be most likely be explained by the fact that when the tracer is 412 413 added to the top of the column it has to travel a greater distance to be uniformly mixed throughout 414 the column, thereby resulting in a longer mixing time.

415 As shown in Figure 3-5 the CFD model offers a good prediction of the hydrodynamics within the 416 bubble column, at a range of superficial velocities and measurement locations. Hence, the CFD

417 model is suitable to be used as a basis for quantifying photobioreactor performance.



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Figure 5 – Comparison between experimentally measured values of the mixing time and CFD predictions. Results are shown for two tracer addition locations and three measurement locations. All results are the average of three tracer additions, with error bars denoting one standard deviation about the mean. Results on the top row (a)-(d) are for tracer addition to the top of the column, results on the bottom row (e)-(h) are for tracer addition in the middle of the column. The first column (a) and (e) are for a superficial velocity of 0.6 cm s<sup>-1</sup>, the second column (b) and (f) are for a superficial velocity of 1.6 cm s<sup>-1</sup>, the third column (c) and (g) is for a superficial velocity of 3.2 cm s<sup>-1</sup> and the last column (d) and (h) are for a superficial velocity of 6.0 cm s<sup>-1</sup>.

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433 3.2. Validation of modelling workflow

434 The next step in the model validation process was to compare the predictions of the cell growth

- 435 generated by the model workflow with experimental data. This comparison is given in Figure 6 436 for *P. tricornutum*. It was found that the model was in good agreement with the experimental
- 437 predictions, demonstrating that the workflow developed was able to offer predictions in line with
- 438 experimental measurements.



439

Figure 6 – Comparison between experimental results and model predictions for growth of *P. tricornutum* in
 50 L bubble column bioreactors. Experiments were performed at a superficial velocity of 1.3 cm s<sup>-1</sup>; the same
 conditions were used in the modelling. Results are shown for three runs, with error bars denoting one standard
 deviation about the mean.

444 To further understand the model behaviour, plots of the calculated light field for cell densities from 445  $250 - 1500 \text{ mg L}^{-1}$  were generated and are shown in Figure 7. As expected the illumination within 446 the photobioreactor is not uniform due to the fact that light is being provided from three sides of 447 the reactor. Similarly, it can be observed that the central portion of the reactor is essentially 'dark', 448 while the edges are illuminated, and that the size of the dark zone increases with cell density (due

449 to greater attenuation of the light). These results demonstrate that the best way to improve the

- 450 growth of the algal culture would be to ensure cells are not 'trapped' in the central, 'dark' area of
- 451 the column.



Figure 7 – Plot showing calculated light profiles in the PBR. Values have been calculated at cell densities of (a)
250 mg L<sup>-1</sup>, (b) 500 mg L<sup>-1</sup>, (c) 750 mg L<sup>-1</sup>, (d) 1000 mg L<sup>-1</sup>, (e) 1250 mg L<sup>-1</sup> and (f) 1500 mg L<sup>-1</sup>.

455 As part of the model validation the sensitivity of the model to various input parameters was 456 examined. Unsurprisingly, the chosen value of the attenuation coefficient  $(K_a)$  had a large impact on the model predictions, with this effect being most pronounced as the culture density increased 457 (i.e., towards the end of the batch). Our previous work [46] had shown that the value of  $K_a$  changed 458 depending on the availability of nitrate, and the chosen value (0.35 L mg<sup>-1</sup> m<sup>-1</sup>) was representative 459 460 of conditions from day 5 onwards. In this work we have used the Beer-Lambert law to model light 461 attenuation; this approach being selected on the basis of its simplicity. However, it may be 462 desirable to replace this approach with a more complex model of light attenuation [56, 57], something which can be done in a relatively straightforward manner using the current workflow. 463 464 Similarly, it may be desirable to consider wavelength dependent behaviour when modelling 465 absorption and scattering within the culture. Such an approach would introduce considerable 466 additional complexity to the model. Given it was possible to achieve good agreement between the 467 model predictions and experimental results (see Figure 6) without accounting for wavelength 468 dependent behaviour it remains an open question as to whether the increase in model accuracy 469 justifies the additional complexity.

470 3.3. Evaluation of alternative PBR designs and operating conditions

471 Previous work [58] using a PBR of similar size showed that increasing the superficial velocity led

to increased biomass productivity for *P. tricornutum*. It was hypothesized that the improvement in productivity at higher superficial velocities was due to an increase in the *L/D* frequency. However,

473 productivity at higher superficial velocities was due to an increase in the L/D frequency. However, 474 this was not experimentally quantified, as previously noted making such measurements is very

475 challenging. By using the modelling approach developed in this work we are able to quantify the

effect of the superficial velocity on the L/D cycle frequency, and hence the growth of the cultures.

477 Figure 8 shows the effect of the superficial velocity on the predicted performance of the bubble 478 column PBR. It was found that increasing the superficial velocity led to an improvement in the 479 predicted biomass concentration, particularly for a superficial velocity of 6 cm s<sup>-1</sup>. Increasing the 480 superficial velocity will lead to an increase in the liquid velocity within the column, and hence 481 improved mixing (as shown in Figure 5). This will also lead to the cells being transported more 482 rapidly between the column walls (i.e., the 'light' portion of the PBR) and the centre of the column 483 (the 'dark' portion of the PBR). Such behaviour is observed in Figure 8, where increasing the 484 superficial velocity leads to an increase in the L/D cycle frequency, the average light intensity and 485 hence the average specific growth rate. The predicted biomass productivity at a superficial velocity of 6 cm s<sup>-1</sup> was 144 mg L<sup>-1</sup> day<sup>-1</sup>, this being approximately 40% higher than the value at 1.6 cm s<sup>-1</sup> 486 487 <sup>1</sup> (104 mg L<sup>-1</sup> day<sup>-1</sup>). These results are in line with previously published results [58] for a similar 488 PBR design growing *P. tricornutum*. Based on these results increasing the superficial velocity may 489 be an easy way to improve the performance of the PBR. However, there are two potential 490 drawbacks to this approach. Firstly, there is the obvious increase in energy required to supply the 491 higher flow rate of air. Secondly, the increase in superficial velocity may lead to damage to the 492 cells [58] which would obviously make this approach unfeasible. An advantage of the modelling 493 approach developed here is that it is possible to quantify the trade-off between the increase in 494 biomass concentration and energy demand, allowing systematic process design and optimisation.



Figure 8 – Plots showing the effect of the superficial velocity on the performance of the PBR. (a) shows the effect of the superficial velocity on the predicted dry cell weight for the course of the cultivation. Plots (b), (c) and (d) have been calculated for a fixed cell density of 1500 mg L<sup>-1</sup> and show the distribution of the specific growth rate (b), the time-averaged light intensity (c), and the light-dark cycle frequency (d) for the population of particles.

As previously noted, a range of authors have reported that modifying the PBR design to include baffles or other internal structures led to increases in the biomass productivity [18-22]. Such increases were again attributed to increased mixing along the light gradient, which led to an 504 increase in the L/D cycle frequency and in turn an improvement in the biomass productivity. Using

505 the modelling workflow developed here it is possible to systematically evaluate new PBR designs 506 *in silico* to determine their performance.

507 Figure 9 shows the predicted performance for a range of PBR designs. Of the configurations 508 examined it was found that the airlift and disc and donut baffles were predicted to give improved 509 performance, while including the alternating baffles worsened the performance. Interestingly, the 510 alternating baffles were also predicted to increase the light-dark cycle frequency, something which 511 is thought to lead to improved performance. However, this configuration also led to a substantial 512 reduction in the average light intensity experienced by the cells (Figure 9 (c)). This is caused by 513 the flow 'confining' the cells in the central portion of the column, away from the illuminated 514 surface. Preliminary experimental work (shown in Supplementary Figure S7) indicated that the 515 model predictions agreed with the experimental data, with the performance of the column with the 516 alternating baffles being similar to or worse than the standard bubble column without any internals.

517 Of the configurations evaluated the disc and donut baffles were predicted to offer the most 518 improved performance, with the predicted biomass productivity being 145 mg L<sup>-1</sup> day<sup>-1</sup>, this being an approximately 40% improvement when compared with the bubble column without internals. 519 520 Interestingly, the airlift configuration offered a similar increase in performance, while not improving the light-dark cycle frequency to the same extent. This may be explained by the fact 521 522 that the baffle in the airlift confines the cells closer to the walls of the PBR where they are more 523 likely to experience a higher light intensity. These results highlight the potential advantages of 524 installing internals in PBRs, as they can lead to substantially improved performance. However, 525 this must also be weighed against any increases in capital cost, as well as any additional operational 526 challenges (e.g., making cleaning more difficult).

527 The results shown in Figure 9 suggest that the key metric in optimising the system is the average 528 light intensity experienced by the cells, and that the light-dark cycle frequency cannot be 529 considered in isolation.

These results also show the advantage of the approach developed in this work, as it is possible to simultaneously evaluate multiple designs *in-silico*. To illustrate this point, each algal cultivation performed in this work took approximately two weeks, with an additional 1-2 days being needed for cleaning and set-up of the PBRs. In the same amount of time it was possible to perform all of the CFD simulations used in this work in parallel. This demonstrates that once the model has been set-up and validated it can be used to examine a range of conditions, with the aim of identifying

536 the most promising for experimental evaluation.



Figure 9 – Plot showing the effect of changing the PBR design on its performance. The predicted growth curves
are shown in (a), while plot (b) shows the distribution of the specific growth rate for the modelled particle
population, (c) shows the time-averaged light intensity and (d) shows the light-dark cycle frequency. Plots (b),
(c) and (d) have been calculated for a cell density of 1500 mg L<sup>-1</sup>. All simulations were performed at a superficial
velocity of 1.6 cm s<sup>-1</sup>.

## 545 4. Conclusions

546 In this work we have developed and validated a modelling approach which synthesises CFD, 547 Monte-Carlo modelling and kinetic models to enable the detailed characterisation of PBR 548 performance. The approach developed in this work enables the effect of different reactor designs 549 and operating conditions to be characterised throughout the course of an entire batch. This enables 550 *in-silico* evaluation of different reactor configurations, potentially reducing the time and risk 551 involved in the scale-up process.

552 The CFD model used in this work was based on our previous research into models for bubble 553 column bioreactors [38, 40] and in this work we have extensively validated it against experimental 554 data across the range of superficial velocities likely to be used in bubble column PBRs. Results 555 from the CFD were then combined with illumination and kinetic models to develop a workflow 556 which can be used to characterise the effect of different reactor designs and operating conditions. 557 It was found that the model predictions were in good agreement with the experimental data for the 558 widely cultivated diatom P. tricornutum. Using the model, it was possible to evaluate the effect of 559 different reactor designs and operating conditions. For example, increasing the superficial velocity from 1.6 cm s<sup>-1</sup> to 6 cm s<sup>-1</sup> was predicted to lead to an approximately 40% increase in the biomass 560 561 productivity. The model can also be used to examine a range of different internal designs, it was 562 found that some designs led to worse performance, while others were predicted to improve the 563 biomass productivity. Of the configurations examined, the disc and donut baffles were predicted 564 to increase the biomass productivity by a factor of approximately 40% at a superficial velocity of 565 1.6 cm s<sup>-1</sup>. Interestingly, it was found the key metric in the reactor design was the average light 566 intensity experienced by the cells, and not the light/dark cycle frequency.

567 An advantage of the modelling approach used in this work is that can be readily extended to model 568 any reactor design, an obvious area for future work would be to evaluate additional alternative 569 internal configurations and then experimentally test the most promising. The aim of this work would be to increase the cell density/biomass productivity of photoautotrophic systems, a key 570 571 factor in the overall process economics. Development of a reliable, accurate in silico method for 572 screening reactor designs could potentially considerably simplify the photobioreactor design 573 process, thereby facilitating scale-up. Similarly, being able to predict the performance of different 574 reactor designs would be useful in performing techno-economic analyses.

575 An advantage of the workflow developed here is that it is possible to change the sub-models in a 576 relatively straightforward way. For example, the model of light attenuation could be modified to 577 be wavelength dependent, without requiring changes in the CFD or growth models. Potential 578 further avenues for investigation could include looking at the growth of other species, as well as 579 modifying the light model to be representative of sunlight in order to model outdoor cultures.

580 In conclusion, the approach outlined here can be used for the comprehensive characterisation of 581 PBR performance and hence the development of optimised designs. This is a topic of considerable 582 importance in increasing the productivity of photoautotrophic production systems and thereby 583 enabling their wider deployment.

# 584 Nomenclature

Symbol	Units	Description
F	[s <sup>-1</sup> ]	Light/dark cycle frequency
g	[-]	Scattering constant
$H_L$	[m]	Liquid height
$H_{G+L}$	[m]	Height of two-phase mixture
Ι	$[\mu mol photons m^{-2} s^{-1}]$	Light intensity
I <sub>0</sub>	$[\mu mol photons m^{-2} s^{-1}]$	Initial light intensity
In	$[\mu mol photons m^{-2} s^{-1}]$	Light intensity at point $n$ for a photon
$\overline{I}_p$	[µmol photons m <sup>-2</sup> s <sup>-1</sup> ]	Time-averaged light intensity for photon $p$
k	[-]	Constant in growth model
K <sub>a</sub>	[m <sup>2</sup> kg <sup>-1</sup> ]	Attenuation constant
$K_F$	[s <sup>-1</sup> ]	Constant in growth model
K <sub>I</sub>	[µmol photons m <sup>-2</sup> s <sup>-1</sup> ]	Half saturation constant
n	[-]	Constant in volume fraction correction term model
$n_{ m total}$	[-]	Total number of time points used in averaging procedure
p	[-]	Number of cells

Р	[-]	Random number
R	[m]	Photobioreactor radius
x	[m]	Distance in $x$ direction
X	[kg m <sup>-3</sup> ]	Cell density
у	[m]	Distance in y direction
Ζ	[m]	Distance in z direction
α	[-]	Gas volume fraction
$\Gamma_{\rm max}$	[-]	Constant in growth model
Δl	[m]	Propagation distance of photon
θ	[radians]	Scattering angle for photon
μ	[s <sup>-1</sup> ]	Specific growth rate
$\overline{\mu}$	[s <sup>-1</sup> ]	Population-averaged specific growth rate
$\mu_{\mathrm{full}}$	[s <sup>-1</sup> ]	Specific growth rate with full light integration
$\mu_{\rm max}$	[s <sup>-1</sup> ]	Maximum specific growth rate
$\mu_{ m no}$	[s <sup>-1</sup> ]	Specific growth rate with no light integration
$ ho_G$	[kg m <sup>-3</sup> ]	Gas density

 $\rho_L$  [kg m<sup>-3</sup>] Liquid density

 $\sigma$  [kg s<sup>-2</sup>] Surface tension

585

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751 752	Declaration of interests
753 754 755	☑ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.
756 757 758	□ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:
759 760	
761 762	
762	
764	
765	Comprehensive workflow for PBR modelling developed
766	• CFD model validated against comprehensive experimental data-set
767	• Best designs and operating conditions examined give 40% improvement in productivity
768	• Modelling workflow can be used for <i>in silico</i> design of photobioreactors