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Predicting wastewater treatment plant performance during aeration demand shifting with a dual layer reaction settling model

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1

2 **Abstract**

3 Demand response (DR) programmes encourage the energy end users to adjust their consumption in
4 accordance to the energy availability and price. Municipal wastewater treatment plants (WWTPs) are suitable
5 candidates for the application of such programmes. Demand shedding through aeration control, subjected to
6 maintaining the plant operational limits, could have a large impact on the plant DR potential. Decreasing the
7 aeration intensity may promote the settling of the particulate components present in the reactor mixed liquor.
8 The scope of this study is thus to develop a mathematical model to describe this phenomenon. For this
9 purpose, the Benchmark Simulation Model No.1 was extended by implementing a dual layer settling model
10 within one of the aerated tanks and combining it with the biochemical reaction kinetic equations. The
11 performance of this extended model was assessed both in steady-state and dynamic conditions, switching the
12 aeration system off for 1 hour during each day of simulation. This model will have application in the
13 identification of potential benefits and issues related to DR events, as well as in the simulation of the plant
14 operation where aerated tank settling (ATS) is implemented.

15 **Keywords:** activated sludge; benchmark simulation model; demand and response; energy; settling;
16 wastewater treatment plant

17 Abbreviations

a	Logistic function parameter	$[-]$
A_{tank}	Aerated tank surface area	m^2
b	Logistic function parameter	$[-]$
b_{suct}	Suction depth positive parameter	$[-]$
d_o	Suction depth positive parameter	m
d_{sb}	Sludge blanket depth	m
d_{suct}	Suction depth	m
d_{tot}	Total depth of aerated tank	m
f_{ns}	Non-settleable solids fraction	$[-]$
$k_L a$	Oxygen transfer coefficient	d^{-1}
m	Mixing parameter	$[-]$
Q	Flowrate in aerated tank	m^3/d
Q_0	Suction depth normalisation constant	m^3/d
Q_{eff}	Effluent flowrate	m^3/d
Q_{in}	Plant influent flowrate	m^3/d
$Q_{internal}$	Internal recycle flowrate	m^3/d
Q_w	Sludge wastage flowrate	m^3/d
R_i	Reaction rate for i^{th} ASM1 component	$g/m^3 \cdot d^{-1}$
r_h	Hindered zone settling parameter	m^3/g_{SS}
r_p	Flocculant zone settling parameter	m^3/g_{SS}
S_i	ASM1 i^{th} soluble component concentration in aerated tank	g/m^3
S_i^{in}	ASM1 i^{th} soluble component concentration entering aerated tank	g/m^3
S_o^{sat}	Oxygen saturation concentration	g/m^3
τ_{mix}	Mixing time constant	d

TSS^{ave}	Average total suspended solids concentration in aerated tank	g/m^3
TSS^B	Total suspended solids concentration in sludge layer	g/m^3
TSS^{out}	Total suspended solids concentration exiting aerated tank	g/m^3
v_0	Maximum Vesilind settling velocity	m/d
v'_0	Maximum settling velocity	m/d
V_B	Sludge layer volume	m^3
V_{tank}	Aerated tank volume	m^3
v_s	Particulate settling velocity	m/d
X	Total suspended solid concentration in wastewater	g/m^3
X_i^B	ASM1 i^{th} particulate component concentration in sludge layer	g/m^3
X_i^{in}	ASM1 i^{th} particulate component concentration entering aerated tank	g/m^3
X_i^{out}	ASM1 i^{th} particulate component concentration exiting aerated tank	g/m^3
X_{min}	Minimum attainable suspended solids concentration	g/m^3

18

19

20 1 Introduction

21 In Europe, the share of electrical energy generated from renewable sources has increased from around 8.5%
22 in 2004 to 17% in 2016. In particular, wind and solar generation is responsible for 31.8% and 11.6% of the total
23 renewable generation (Eurostat, 2018), and it is associated with a higher dependence of the energy systems
24 on variable and uncertain generation. The operation of the electric grid requires a continuous balance
25 between the production and consumption of energy, and higher share of wind and solar power poses a
26 challenge to the maintenance of such equilibrium. Addressing this issue requires greater flexibility of the entire
27 energy system, for instance increasing the available energy storage capacity or managing end-user behaviour
28 (e.g. shifting or shedding their energy consumption) (Bird et al., 2016). The concept of demand response (DR)
29 strategies lies in this context. DR can be defined as "... the changes in electric usage by end-users from their
30 normal consumption patterns in response to changes in the price of electricity over time." (Goldman et al.,
31 2010). Practically, this means that the energy users are encouraged to adjust their consumption in accordance
32 to the energy availability, for example scheduling the usage of redundant devices when the energy price is
33 lower.

34 Municipal wastewater treatment plants (WWTPs) are responsible for 2-3% of the world's electrical
35 consumption (Emami et al., 2018). They can be suitable candidates for the application of DR programmes since
36 they are quite energy intensive, and they tend to have high electrical load during utility peak demand periods
37 (Goli et al., 2013). WWTPs are designed to cope with peak influent concentrations and loads which can be
38 significantly higher than the average values. Another aspect to be considered is that some unit processes in a
39 wastewater treatment plant (e.g. sludge dewatering) can be operated discontinuously, contributing to the
40 potential for flexible operation. To exploit this potential adjusting the plant demand (e.g. reducing it when
41 renewable energy is less available) can decrease the costs for energy generation, as less fossil fuels would be
42 required to meet the grid demand. Moreover, in a scenario where dynamic energy tariffs are applied, lower
43 plant consumption during peak demand periods results in lower operating costs.

44 Conventional activated sludge is the most commonly applied process in WWTPs (Gernaey et al., 2004b). In
45 this typology of plant, aeration is generally the largest single energy consumer (45%-75% of the total
46 consumption) (Rosso et al., 2008). Demand shedding through aeration control, subject to maintaining the
47 plant operational limits, could therefore have a large impact on the DR potential of the plant (Aymerich et al.,
48 2015). Examples of demand shedding through aeration control applied to full-scale WWTPs have been already
49 reported in the literature. More specifically, studies were conducted on three different plants in California.
50 The potential for the curtailment of 78 kW (6% of the total plant consumption) from the blowers load during
51 peak period was found in a WWTP with daily average flow of 36,000 m³/d (Thompson et al., 2010). Another
52 study on a 110,000 m³/d plant demonstrated a DR potential associated with the blowers comprised between
53 33 and 45 kW (12-16% of the total demand), whereas 132 kW (3% of the overall plant consumption) were
54 shown to be available from the aeration trains and mixers in a 320,000 m³/d WWTP (Aghajanzadeh et al.,
55 2015). Similar studies were also conducted in Germany (Schäfer et al., 2015). For instance, (Schäfer et al.,
56 2017) reports that it was possible to shut down aeration for one hour without significant deterioration of
57 effluent quality in a 58,000 population equivalent (PE) WWTP, with a 150 kW consumption reduction.

58 However, reduced aeration intensity may have negative effects on the treatment performance and on the
59 plant's effluent quality. In many cases (Aghajanzadeh et al., 2015; Åmand et al., 2013), aeration is responsible
60 for the mixing of the tanks, so that decreasing the aeration intensity for a while not only affects the dissolved
61 oxygen concentration but also promotes settling of the biomass flocs and particulate matter present in the
62 reactor mixed liquor. Once aeration is restarted, the settled solids are resuspended, and their concentration
63 in the stream leaving the activated sludge tank is increased. This may exceed the solids loading capacity of the
64 secondary clarifier, leading to sludge washout and to an increase in the effluent turbidity, as occasionally
65 reported in the literature (Thompson et al., 2010).

66 A mathematical model capable of simulating this phenomenon is a valuable tool that could provide useful
67 information to design this DR strategy and evaluate its effectiveness, without endangering the operation of
68 existing plants. The Benchmark Simulation Model 1 (BSM1) is one example of WWTP dynamic modelling

69 (Gernaey et al., 2014; Saagi et al., 2017). It was originally developed as a tool to assess the performance of
70 different control strategies on a generic conventional activated sludge process (Figure S1), and since its
71 introduction it has been widely used by different research groups for a number of purposes (Jeppsson et al.,
72 2013), such as avoiding the effluent violations (Corriou and Pons, 2004), finding a trade-off between effluent
73 violations and operational costs (Santín et al., 2016) or extending the original model (Daelman et al., 2014).

74 Activated sludge settling models for the final clarifiers, based on discretization of the settling tanks into layers,
75 are well established in the literature (Takács et al., 1991). There are also several approaches to combine sludge
76 settling and biological processes in secondary clarifier tanks (Gernaey et al., 2006). To include the biological
77 reactions into a clarifier model, one option is to modify a multi-layer settling model (e.g. Takács et al., 1991)
78 into a series of CSTR bioreactors, implementing a kinetic model in each (Gernaey et al., 2006). However, the
79 use of the dual-layer aeration tank settling model proposed by (Bechmann et al., 2002) can be a suitable
80 approach to limit the number of additional equations required to describe the reactions and settling
81 phenomena when aeration and mixing are switched off. This model was originally used to simulate the
82 behaviour of a WWTP when the aeration tank settling operational strategy was applied. With this control
83 approach, the activated sludge is allowed to settle in the aeration tanks when the influent flowrate exceeds a
84 certain threshold (e.g. during rain events) (Gernaey et al., 2004a). This results in a reduction of the suspended
85 solids load to the secondary clarifiers, which determines an increase in their hydraulic capacity (Sharma et al.,
86 2013).

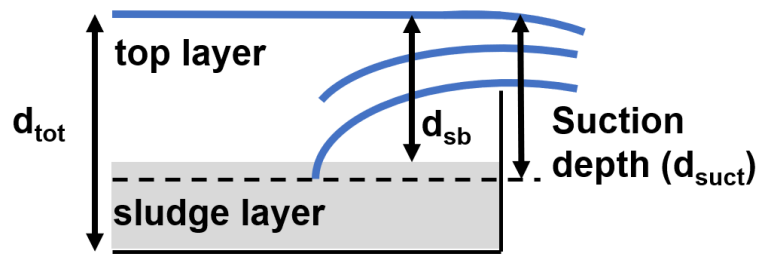
87 The focus of this study is to develop a mathematical model that is capable of representing activated sludge
88 settling when the aeration system is temporarily shut down in DR operation. Scenarios in which the aeration
89 is modulated are not currently considered. Although it is possible to manipulate the aeration intensity in
90 WWTPs equipped with a dedicated control system, to simply switch off the blowers can be more easily
91 implemented in plants that are lacking that level of automation.. The developed model has the potential to
92 bridge the knowledge gap between DR strategies and their impact on biochemical and physical processes in
93 WWTPs. For this purpose, BSM1, was extended by implementing a dual layer settling model to activated

94 sludge tanks. It uses Activated Sludge Model No. 1 (ASM1; Henze et al., 2015) to describe the biological
 95 phenomena that take place in the plant. To provide a sufficiently good representation of biomass settling, the
 96 general mass balance equations based on ASM1 kinetics used in BSM1 were modified. Although the
 97 combination of aeration management and other flexibility measures is possible, it was deemed to be beyond
 98 the scope of the present paper. Validation and calibration are however still required to assess the quality of
 99 the model prediction.

100 2 Materials and Methods

101 2.1 Implementation of the settling model in BSM1

102 This study implemented the ASM1 kinetic equations into the dual-layer settling model proposed by (Bechmann
 103 et al., 2002). A schematic of this approach is reported in Figure 1. The layer above the sludge blanket level is
 104 assumed to be a clear water (no suspended solids) zone, whereas the layer at the bottom of the tank contains
 105 all the suspended solids.



106
 107 *Figure 1 - Two layers model of settling in an aeration tank*

108 The sludge blanket depth d_{sb} is evaluated from equation [1], where the settling is only considered when the
 109 aeration is off using the m parameter, which can be either 1 (aeration ON) or 0 (aeration OFF). Non-binary
 110 aeration states were not considered.

$$111 \quad \frac{d(d_{sb})}{dt} = m \left(-\frac{1}{\tau_{mix}} d_{sb} \right) + (1 - m)v_s \quad [1]$$

112 Two contributions are present in equation [1]: when aeration is active, only the first term is considered,
 113 whereas the second term is non-zero only when aeration is off. While aeration is in operation, the sludge

114 blanket depth approaches zero at a rate that is defined by the mixing constant τ_{mix} . When aeration is switched
 115 off, d_{sb} starts to increase at a rate defined by the settling velocity v_s .

116 The last step of this approach involves the calculation of the suction depth d_{suct} in order to evaluate the total
 117 suspended solids (TSS) concentration in the stream that is leaving the tank. In principle, the suction depth
 118 value would be a function of the tank geometry and the hydraulic conditions of the system. However, a less
 119 complex model based on an empirical relationship was used [equation 2], with d_0 , Q_0 and b_{suct} being positive
 120 parameters.

$$121 \quad d_{suct} = d_0 \cdot \left(\frac{Q}{Q_0}\right)^{b_{suct}} \quad [2]$$

122 The TSS concentration leaving the tank is then a function of the suction depth and of the sludge blanket depth.

$$123 \quad TSS^{out} = \begin{cases} \frac{d_{suct} - d_{sb}}{d_{suct}} \cdot TSS^B, & d_{suct} \geq d_{sb} \\ 0, & d_{suct} < d_{sb} \end{cases} \quad [3]$$

124 Finally, a logistic function l was used to smooth the changes in TSS^{out} when $d_{suct} = d_{sb}$.

$$125 \quad l(d_{suct} - d_{sb}) = \frac{1}{1 + e^{a \cdot \frac{(d_{suct} - d_{sb})}{b}}} \quad [4]$$

126 In the present study, some modifications were implemented to the settling model (Bechmann et al., 2002)
 127 described above [equations 1-4] in order to combine it with the ASM1 kinetic equations set within the BSM1
 128 framework. First of all, the sludge settling velocity was modelled according to the same relation used for the
 129 BSM1 secondary clarifier (Takács et al., 1991), to maintain consistency.

$$130 \quad v_s = \max\left(0, \min\left(v'_0, v_0 \cdot \left(e^{-r_h(X-X_{min})} - e^{-r_p(X-X_{min})}\right)\right)\right) \quad [5]$$

131 The parameter values used in [equation 5] are obtained from the default BSM1, and they are reported in Table
 132 1, X is the TSS concentration in the wastewater, whereas X_{min} is the minimum attainable suspended solids
 133 concentration.

134 Since the sludge blanket depth changes over time, the volumes of the two layers are not constant. This has
 135 some implications for the mass balances of the ASM1 components and for their reaction rates. In the original
 136 BSM1, the mass balances are written in the form:

$$137 \quad \frac{dX_i}{dt} = \frac{Q}{V_{tank}} (X_i^{in} - X_i) + R_i \quad [6]$$

138 However, this expression is derived from the general form of the mass balances and is only valid if the volume
 139 of the tank does not change. Furthermore, the bacteria are now assumed to be present exclusively in the
 140 bottom layer of the tank, which consequently is considered to be the only reactive volume in the system. For
 141 these reasons, the mass balance equations are modified as follows.

$$142 \quad \frac{d(X_i^B \cdot V_B)}{dt} = Q \cdot X_i^{in} - Q \cdot X_i^{out} + R_i \cdot V_B \quad [7]$$

143 Equation [7] describes the mass conservation of the particulate state variables in the bottom layer. Both the
 144 concentration (X_i^B) and the volume of the sludge layer V_B are variables; therefore the product rule is applied
 145 to the derivative.

$$146 \quad \frac{d(X_i^B \cdot V_B)}{dt} = \frac{dX_i^B}{dt} \cdot V_B + \frac{dV_B}{dt} \cdot X_i^B \quad [8]$$

147 Since the sludge layer volume can also be expressed as the product of the tank surface area A_{tank} (333.25 m²)
 148 and the sludge layer depth, $V_B = A_{tank} \cdot (d_{tot} - d_{sb})$. Hence, its time derivative can be calculated as

$$149 \quad \frac{dV_B}{dt} = \frac{d(A_{tank} \cdot (d_{tot} - d_{sb}))}{dt} = -A_{tank} \cdot \frac{d(d_{sb})}{dt} \quad [9]$$

150 The mass balance of particulate components can thus be written in the form

$$151 \quad \frac{dX_i^B}{dt} = \left(Q \cdot (X_i^{in} - X_i^{out}) + R_i \cdot V_B + A \cdot X_i^B \cdot \frac{d(d_{sb})}{dt} \right) \cdot \frac{1}{V_B} \quad [10]$$

152 As the soluble components don't settle, their concentration is assumed to have the same value in both layers
 153 of the system. Hence, the soluble components mass balances have the form:

154
$$\frac{dS_i}{dt} = \frac{Q}{V_{tot}} \cdot (S_i^{in} - S_i) + R_i \cdot \frac{V^B}{V_{tot}} \quad [11]$$

155 Concerning the dissolved oxygen concentration, in addition to the terms relating to wastewater flow through
 156 the tank and to oxygen consumed by the microorganisms, a term involving the mass transfer from the gas to
 157 the liquid phase must be considered to account for the aeration ($k_L a$ is the oxygen transfer coefficient and
 158 S_o^{sat} is the oxygen saturation concentration).

159
$$\frac{dS_{oxygen}}{dt} = \frac{Q}{V_{tot}} \cdot (S_o^{in} - S_o) + k_L a \cdot (S_o^{sat} - S_o) + R_o \cdot \frac{V^B}{V_{tot}} \quad [12]$$

160 From the particulate component's concentration, it is possible to calculate the TSS concentration in the
 161 bottom layer (TSS^B), as well as the ratios $\alpha_i = X_i/TSS^B$ and the average TSS concentration in the whole tank.
 162 If the sludge blanket depth is lower than a threshold value, the tank is assumed to be fully mixed and the TSS
 163 concentration leaving the tank is equal to the average TSS concentration in the tank. When d_{sb} increases
 164 above the threshold value, the TSS concentration leaving the tank is evaluated as a function of TSS^B and the
 165 suction depth.

166
$$\begin{cases} TSS^{out} = TSS^{ave} & , \quad d_{sb} < \text{threshold value} \\ TSS^{out} = l(d_{suct} - d_{sb}) \cdot \frac{d_{suct} - d_{sb}}{d_{suct}} \cdot TSS^B, & d_{sb} \geq \text{threshold value} \end{cases} \quad [13]$$

167 Finally, α_i ratios between the concentration of the i^{th} particulate component in the sludge layer and TSS^B are
 168 assumed to be maintained within the stream that is leaving the tank. Therefore, the concentration of the
 169 particulate components is calculated from

170
$$X_i^{out} = \alpha_i \cdot TSS^{out} \quad [14]$$

171 This set of equations (Figure S1) was then included in the final aerated tank (fifth tank) of the BSM1 plant
 172 layout (Figure S2). The model was also modified so that aeration could be periodically switched off. This
 173 modified BSM1 model, which combines the effects of particulates settling with the reaction kinetics, was
 174 implemented in Matlab-Simulink, and it will hereafter be referred to as BSM1_RS. The parameters involved
 175 in the calculation of suction depth and TSS^{out} are presented in Table 1.

Table 1 – Dual-layer settling parameters

Parameter	Units	Value
v'_0	m/d	250
v_0	m/d	474
r_h	m^3/g_{SS}	0.000576
r_p	m^3/g_{SS}	0.00286
Q_0	m^3/d	24000
d_0	m	1.005
b_{suct}	m^3/g_{SS}	0.164
τ_{mix}	m^3/g_{SS}	0.0210
a	–	0
b	–	0.1
Threshold	m	0.01

178

179

180

2.2 Scenario analysis

181 The combination of dual-layer settling and biochemical reactions was implemented in the last aerated tank of
182 BSM1. The model's behaviour was assessed using the constant influent file provided by the BSM1 framework
183 and under more dynamic conditions, with dry, rain and storm weather files as input for the WWTP. Although
184 a constant influent does not represent a realistic scenario for WWTPs operation, it was initially used to isolate
185 variations in the TSS dynamics associated with the particulate settling and the biological reactions in the
186 aerated tank. For the same reason, the first simulations were carried out with the BSM1 open loop
187 configuration, using a fixed default value for the oxygen transfer coefficient in the last aerated tank ($k_L a =$
188 $84 d^{-1}$) and internal recycle flowrate ($Q_{internal} = 55338 m^3/d$). The 14-day constant influent file was used
189 for these simulations, turning the aeration in the last aerated tank off for 1 h each day. A similar DR programme
190 was then applied to dynamic influent conditions, turning the blower off between 5pm and 6pm to test the
191 behaviour of the BSM1_RS in a more realistic scenario. This particular time slot was chosen as an example of
192 the daily peak electricity demand timeframe. The implementation of DR strategies during peak demand
193 periods can produce the highest savings on the plant operational costs if variable energy tariffs are applied. The
194 dissolved oxygen (DO) concentration in the fifth tank and the nitrate concentration in the second tank were

195 controlled according to the closed loop configuration of BSM1. Of course, the DR duration can be extended
196 (or reduced) depending on the plant operation and on the equipment characteristics. However, since the focus
197 of this study is on the presentation of a combined model for settling and reaction, 1 hour was arbitrarily chosen
198 for the DR duration.

199 3 Results and discussion

200 3.1 Constant influent & open loop configuration

201 The results of a simulation with constant influent in which aeration was switched off for one hour are shown
202 in Figure 2. When the blower is switched off, the sludge blanket depth in the fifth tank starts to increase due
203 to particulate settling. As greater quantities of solids are retained in the system, the sludge concentration in
204 the bottom layer and the average sludge concentration in the whole tank further increase (up to 9100 and
205 6790 g/m³, respectively), whereas the TSS concentration in the stream leaving the tank decreases to 1565
206 g/m³. This also results into a concurrent decrease in both the clarifier underflow and the plant effluent TSS
207 concentration (from 6395 to 4200 g/m³ and from 12.5 to 10.5 g/m³, respectively). When aeration is restarted,
208 the trends described previously are reversed. It is also noteworthy that, as expected, the solids concentration
209 leaving the aerated tank reaches a higher value (4290 g/m³) than the steady state condition (3270 g/m³). In
210 case that peak is high enough to overload the secondary clarifier, turbidity issues in the effluent such as those
211 observed in (Thompson et al., 2010) may occur.

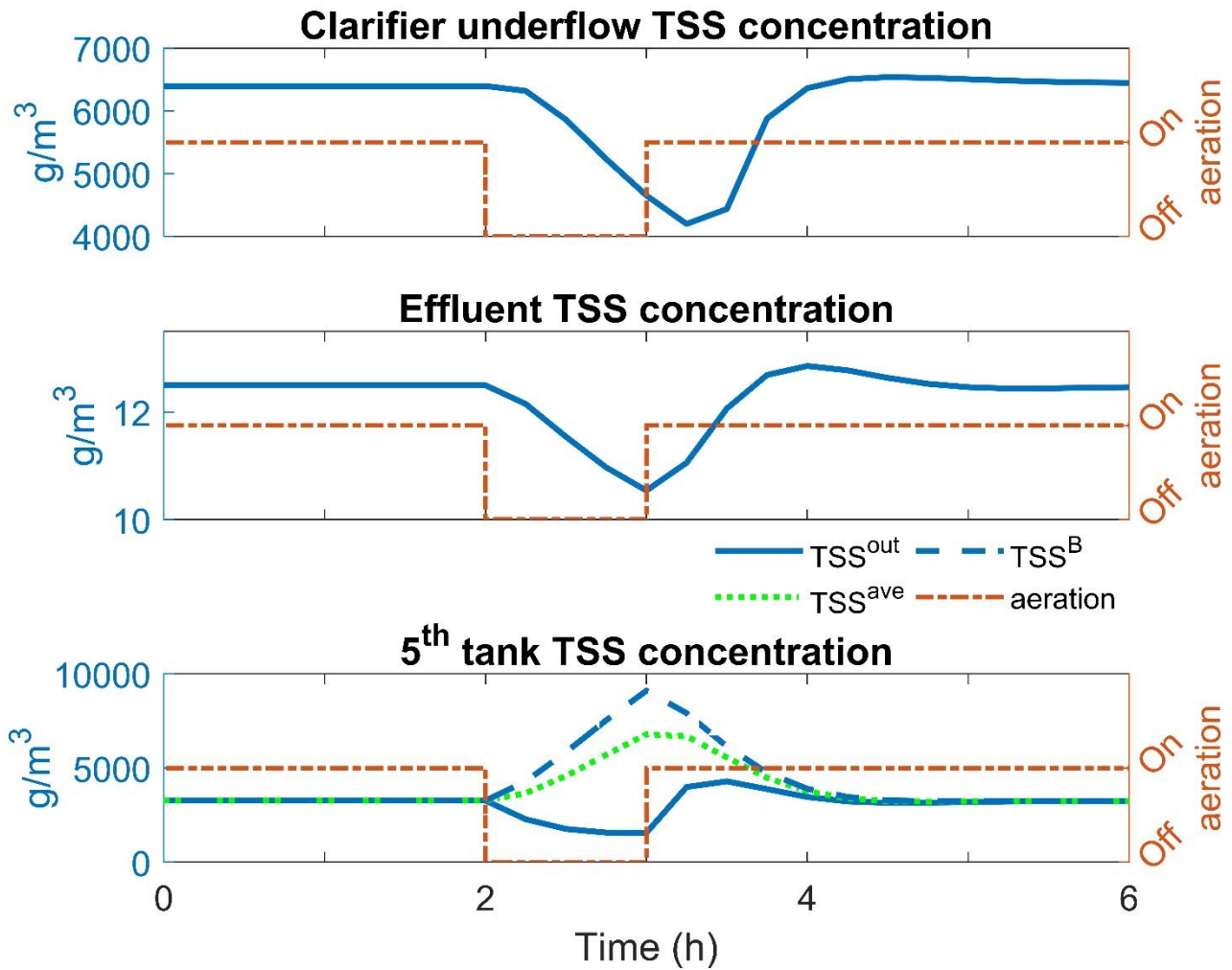


Figure 2 - Total suspended solids profiles in the plant

212

213

214

215 The results obtained from BSM1_RS were also compared with the simulation output of the original BSM1. The
 216 profile of the TSS concentration in the effluent of the wastewater treatment plant is shown in Figure 3. As
 217 expected, switching off the blower does not produce any effect on the effluent TSS concentration calculated
 218 with the original BSM1. By contrast, when settling of the particulate components is considered in BSM1_RS,
 219 the effluent TSS concentration shows a minimum during the DR event, and a peak when the aeration is
 220 switched back on and the solids are resuspended.

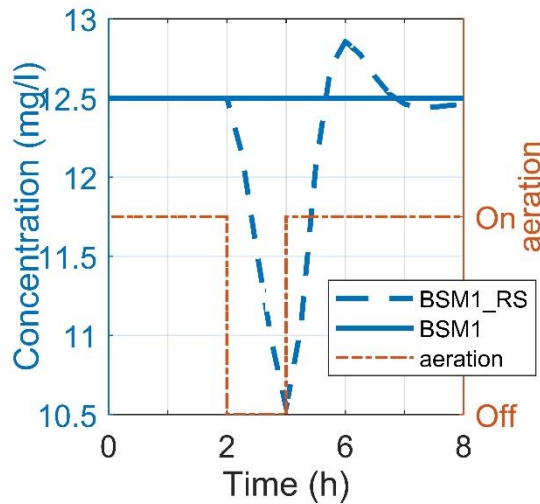
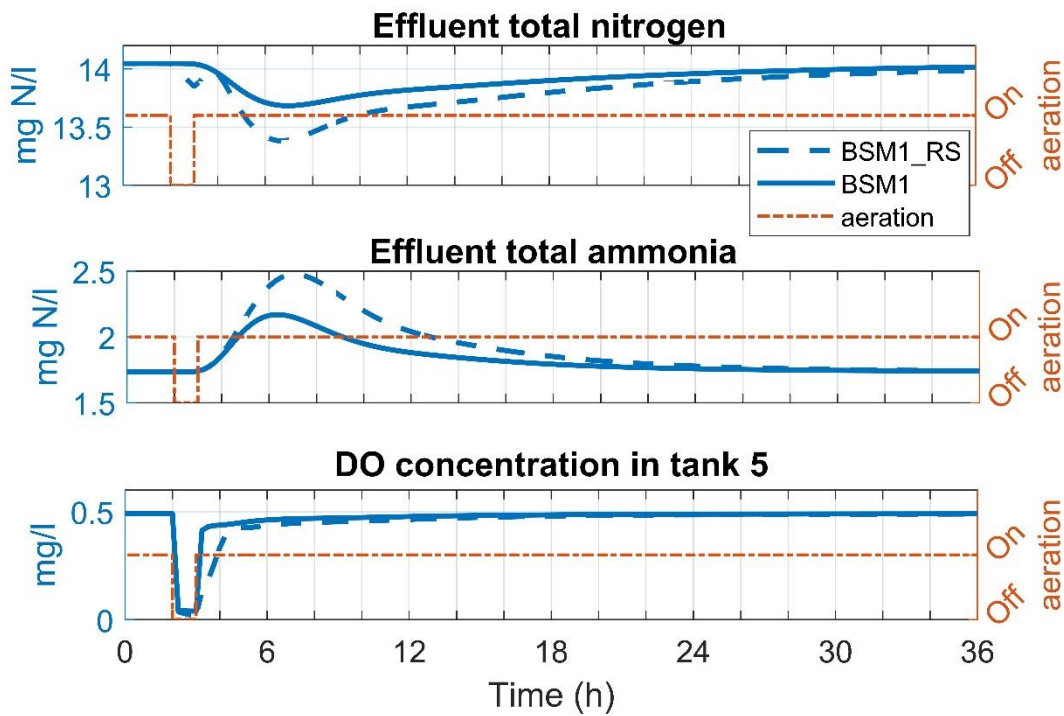


Figure 3 - Effluent total suspended solids concentration profiles. Comparison between BSM1 and BSM_RS models

221 Figure 4 illustrates the effluent concentration profiles related to total nitrogen and ammonia. Substantial
 222 differences between the original BSM1 and BSM1_RS can be observed. In particular, the total nitrogen
 223 concentration resulting from the combination of reaction and settling tends to be lower than that predicted
 224 by the original BSM1. In the BSM1 plant configuration, the mixed liquor from the fifth reactor is recirculated
 225 to the first one to denitrify the nitrate produced in the aerobic zone. A high dissolved oxygen concentration
 226 in the fifth tank can result in oxygen intrusion into the anoxic tanks, which has a detrimental effect on the
 227 denitrification performance of the plant. During the DR event, the oxygen dissolved in the fifth tank is rapidly
 228 consumed, thus limiting the intrusion of oxygen recycled back to the anoxic zone. Additionally, oxygen
 229 depletion in the fifth tank promotes denitrification, which further enhances the nitrate removal performance
 230 of the plant. Hence, the effluent total nitrogen concentration following the switching off of aeration is lower
 231 than the steady state value. Moreover, including the settling means that an increased amount of biomass is
 232 retained in the tank which acts as a post anoxic zone when the aeration is switched off. Therefore, it is not
 233 unexpected to observe a lower effluent total nitrogen concentration in BSM1_RS compared to the original
 234 BSM1. By contrast, turning the blowers off also results in the loss of one third of the plant's aerobic volume,
 235 with an associated disruption of the nitrification performance and a higher effluent total ammonia
 236 concentration. Compared to the original BSM1, BSM1_RS predicts a higher ammonia concentration peak in
 237 the effluent, which could potentially pose a challenge for maintaining this parameter below the discharge

238 limitations. Neglecting the settling can then lead to underestimate the impact of DR on the effluent quality.
 239 The combination of reaction and settling also affects the DO dynamics. The DO profiles overlap until aeration
 240 is turned back on. At this time, the increased bacteria concentration in the tank leads to a higher oxygen
 241 consumption, and this results into a longer time required for the DO concentration to return to the pre-DR
 242 event value.



243

244 *Figure 4 - Total nitrogen, ammonia effluent concentration and dissolved oxygen concentration in the fifth tank profiles. Comparison*
 245 *between the BSM1 and BSM1_RS models*

246

247 3.2 Parameter sensitivity analysis

248 As discussed in Section 2.1, the particulate settling is described through several parameters (Bechmann et al.,
 249 2002). To obtain a better insight on their effect on the simulation, a sensitivity analysis was performed
 250 assessing the impact of $\pm 10\%$ changes in the values of Q_0 , b_{suct} , d_0 (equation 3) and τ_{mix} (equation 1) over

251 some critical variables (the maximum sludge blanket depth, the maximum TSS concentration leaving the
 252 aerated tank and the maximum TSS concentration in the effluent).

253 The largest impact on the observed variables is associated with changes in d_0 . In more detail, a 10% increase
 254 in d_0 results in a 5% increase in the maximum sludge blanket depth, whereas TSS^{out} decreases by roughly
 255 4%. Compared to d_0 , Q_0 shows a smaller influence on the maximum sludge blanket depth and on the
 256 maximum TSS concentration leaving the aerated tank, its impact ranging between $\pm 1\%$. Finally, the influence
 257 of τ_{mix} and b_{suct} on all the observed variables appears to be negligible (Figure S3).

258 The total suspended solids concentration in the effluent is barely affected by any change in the four
 259 parameters studied. This can be an indication that the BSM1 secondary clarifier is capable of buffering the
 260 perturbations on TSS^{out} generated by parameters changes. To further investigate this phenomenon, the
 261 secondary clarifier overflow rate and solids loading were evaluated for each of the influent files provided by
 262 BSM1. The results are reported in **Error! Reference source not found.**Table 2. Comparing them with typical
 263 design values ($16 - 28 \text{ m}^3/(\text{m}^2 \cdot \text{d})$ for the average overflow rate, and $4 - 6 \text{ kg}/(\text{m}^2 \cdot \text{h})$ for the average
 264 solids loading) (Tchobanoglous et al., 2003) indicates that the BSM1 secondary clarifier is utilised below its
 265 capacity. Thus, it can buffer the effects of the parameter variations.

266 *Table 2 - Secondary clarifier overflow rate and solids loading*

	Overflow rate			Solids loading		
	[$\text{m}^3/(\text{m}^2 \cdot \text{d})$]			[$\text{kg}/(\text{m}^2 \cdot \text{h})$]		
	Minimum	Average	Maximum	Minimum	Average	Maximum
Dry weather	6.67	12.30	21.45	0.13	3.31	5.44
Rain weather	6.67	16.12	34.75	0.00	3.44	6.59
Storm weather	6.67	14.03	40.00	0.24	3.48	7.01

267

268

269 3.3 Dynamic influent & closed loop configuration

270 BSM1_RS was also tested under dynamic conditions. Specifically, simulations were performed using the dry
 271 (Figure S4), rain and storm weather influent files in closed loop mode. The same DR strategy used in the
 272 constant influent simulations was applied, turning off the aeration for 1 hour every day at 5pm.

273 A summary of the results related to each weather condition can be found in Table 3. Consistently with BSM1,
 274 the presented results are averaged over the last seven days of a two-week simulation, and are described in
 275 terms of effluent quality index (EQI) and daily average aeration energy consumption. However, under the
 276 current operating conditions, the percentage variations between BSM1 and BSM1_RS are quite small and they
 277 may not be significant. BSM1_RS produces an effluent with a slightly better effluent quality index regardless
 278 of the weather file used, and it is also noteworthy that the calculated aeration energy consumption is higher
 279 compared to the original BSM1. The BSM1 aeration energy consumption is a function of the oxygen transfer
 280 coefficient $k_L a$. As previously discussed, the microorganism concentration in the fifth tank increases if their
 281 settling is taken into account. This results in a higher oxygen consumption when the aeration is switched on
 282 again, which forces the dissolved oxygen control loop to increase the aeration intensity in the tank, leading to
 283 the higher energy consumption observed. Further evaluations are then required to determine whether there
 284 is a trade-off between the benefits of DR and the consequent increased energy consumption.

285 *Table 3 - Dynamic simulations results summary*

	Parameter	Units	BSM1	BSM1_RS
Dry weather	EQI	kg poll. units/d	6118	6104
	Daily average aeration energy	kWh/d	3675	3702
Rain weather	EQI	kg poll. units/d	8194	8151
	Daily average aeration energy	kWh/d	3646	3675
Storm weather	EQI	kg poll. units/d	7222	7180
	Daily average aeration energy	kWh/d	3696	3722

286

287 It is important to consider that discontinuous operation of the aeration system may also be associated with
288 other effects that can have a negative impact on the plant performance. For instance, increased
289 microorganism concentration in the tank during the settling periods may promote biofilms growth on the
290 diffusers, increasing fouling over the long term and leading to more frequent cleaning (Garrido-Baserba et al.,
291 2018). High suspended solids concentration can also impair the oxygen transfer efficiency (Henkel et al., 2011),
292 which can increase the amount of energy required when aeration is restarted. Issues related to tank geometry
293 and hydraulics can also become relevant, as the diffuser layout may not guarantee complete resuspension of
294 the settled solids. The formation of persistent anoxic zones in the aerated tank may also promote the growth
295 of filamentous bacteria, which can impair the sludge settling characteristics (Rosso et al., 2008; Tchobanoglous
296 et al., 2003). However, these factors were outside the scope of the present study, which is primarily designed
297 to describe a structured way of accounting for the effects of aeration shut-down periods on the biochemical
298 reaction kinetics. The extended version of BSM1 with aeration tank settling presented in this study (BSM1_RS)
299 can be part of a decision-support tool for the application of DR programmes on wastewater treatment plants,
300 offering more insight on the effects of particulates settling on the pollutant removal performances. The model
301 can be used to identify potential benefits and issues related to DR events (e.g. effluent turbidity (Thompson
302 et al., 2010)) without jeopardising plant performance. Other potential applications may be found in WWTPs
303 where aerated tank settling is already implemented for the management of high hydraulic loading periods
304 (Gernaey et al., 2004a; Nielsen et al., 2000), or where the dissolved oxygen concentration is controlled using
305 intermittent aeration (Sánchez et al., 2018).

306 4 Conclusions

307 Mathematical modelling of DR programmes applied to the aeration system of conventional activated sludge
308 WWTP can help to assess their effects on the effluent quality without jeopardising the operation of a real
309 plant. However, phenomena associated with turning off the blowers, like the particulate settling and its
310 interaction with the biochemical reactions, are not taken into account in the currently available models. The
311 present paper addresses this issue combining a dual layer settling model and the ASM1 kinetic model within

312 the BSM1 framework. The modified BSM1 (BSM1_RS) behaviour was tested through simulations under
313 different load conditions. The obtained results show realistic trends for the biological processes kinetics as
314 well as for the TSS concentration in the aeration tank, in the secondary clarifier and in the effluent. Simulations
315 that neglect the particulate settling can underestimate the DR impact on the effluent quality, predicting lower
316 ammonia concentration. A measurement campaign is however still required to calibrate and validate the
317 model against real data. To this purpose, sensors for the sludge blanket depth and the total suspended solids
318 concentration in the stream that leaves the aerated tank would be necessary, together with the possibility to
319 operate the aeration system intermittently. Anyway, the use of this approach to combine reaction and settling
320 in BSM1 may extend its capabilities, so that it can provide improved understanding of various DR programmes
321 impact on the WWTP operation.

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