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1 **Effect of pulsed electric field pretreatment on shrinkage, rehydration capacity and texture of**
2 **freeze-dried plant materials**

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14

15 **Abstract**

16 For this study, strawberries and red bell pepper were pre-treated with pulsed electric fields
17 (PEF) to reduce the negative effects on physical properties of the products after freeze-drying.
18 PEF treatment was carried out at constant electric field strength of $E=1.0$ kV/cm and specific
19 energy input was varied between 0.3–6.0 kJ/kg (treatment time 2.0–28.6 ms). Additionally,
20 the impact of different pre-freezing temperatures (-4 and -40 °C) on the final product quality
21 was described. Investigations showed that due to PEF treatment a significant reduction of the
22 shrinkage phenomena for both bell peppers and strawberries was detected compared to
23 untreated samples with 30 % and 50 % lower volume losses, respectively. The rehydration
24 capacity of PEF pre-treated freeze-dried samples increased for both matrices up to 50 %.
25 Furthermore, the mechanical properties of the final product were improved for both matrices
26 with a significant firmness reduction up to 60 %. The results of this study suggest that PEF
27 can be an effective pretreatment with low energy requirements to improve the quality of
28 freeze-dried fruits and vegetables.

29 1. Introduction

30 Freeze-dried products get more and more attention and are used directly as snack products or
31 in a broad range of foods, such as dried soups, chocolate or breakfast cereals and cereal bars.
32 The freeze-drying process better maintains the natural shape of the raw material and the
33 nutritional and sensory properties compared to hot air drying since the product is stabilised by
34 freezing and removing water based on sublimation (Fellows, 2009). Freeze-drying is
35 performed under vacuum and consists of three main steps: freezing, primary drying
36 (sublimation) and secondary drying (desorption). In particular, freezing temperature and ice
37 morphology can significantly affect the freeze-drying process by modulating the mass transfer
38 and thus the rate of primary and secondary drying (Kochs, Körber, Nunner, & Heschel, 1991;
39 Searles, Carpenter, & Randolph, 2001).

40 To obtain high quality dried products various authors recommended the pretreatment of fruits
41 and vegetables with pulsed electric fields (PEF) to affect economic aspects and quality
42 parameters of different drying processes. The permeabilization of the cell membrane by PEF
43 leads to reduced drying time through the acceleration of water transport from the biological
44 tissue (Wu & Zhang, 2014). PEF pre-treated carrots ($E=1-1.5$ kV/cm; $n=20$ pulses) subjected
45 to hot air drying showed an increased drying rate and improved final product quality in
46 comparison to an untreated control (Gachovska, Simpson, Ngadi, & Raghavan, 2009). Similar
47 findings were stated by Lamanauskas, Šatkauskas, Bobinaitė, and Viškėlis (2015), who
48 reported a reduction of the drying time of kiwifruits ($T= 50$ °C) by the application of PEF ($E=$
49 5 kV/cm) without affecting the colour and the ascorbic acid content of the product. Janositz,
50 Noack, and Knorr (2011) investigated the drying of potato slices and detected an enhanced
51 release of cell liquid during hot air drying for the PEF pre-treated samples. In general, the
52 drying efficiency was found to improve in the range of 40–70 % by PEF (Toepfl, 2006).
53 Furthermore, it is already known that PEF affects the freezing process, leading to smaller ice
54 crystals and better product quality after thawing (Jalté, Lanoisellé, Lebovka, & Vorobiev,
55 2009; Shayanfar, Chauhan, Toepfl, & Heinz, 2014; Wiktor, Schulz, Voigt, Witrowa-Rajchert,
56 & Knorr, 2015). As reported by Jalté et al. (2009), Ben Ammar, Lanoisellé, Lebovka, Van
57 Hecke, and Vorobiev (2010), Parniakov, Bals, Mykhailyk, Lebovka, and Vorobiev (2016) and
58 Lammerskitten et al. (2019), the application of PEF as pretreatment for freeze-drying leads to
59 a significant reduction of undesirable shrinkage of apples and potatoes. Moreover, the
60 reduction of shrinkage and collapse phenomena by PEF affects the rehydration capacity of the
61 final dried product (Jalté et al., 2009; Parniakov, Bals, Lebovka, & Vorobiev, 2016), which is

62 especially relevant for the use in instant soups and cereal products. However, in the previous
63 studies, the volume reduction was detected only by visual evaluation, and the mechanisms
64 related to PEF and decreased volume reduction during freeze-drying are still under discussion.
65 Parniakov, Bals, Lebovka, et al. (2016) observed that during the freeze-drying process the
66 temperature in the core of PEF pre-treated samples remains at lower temperatures for a longer
67 time in comparison to untreated samples. Thereby, the frozen water inside the sample protects
68 the original shape.

69 This work aims to contribute to the further clarification of underlying mechanisms by exact
70 quantification of the volume reduction using 3D-scanning and by measuring the rehydration
71 capacity of freeze-dried strawberries and bell peppers. Furthermore, different freezing
72 temperatures ($-40\text{ }^{\circ}\text{C}$ and $-4\text{ }^{\circ}\text{C}$) are investigated in order to take into consideration different
73 states regarding ice crystal size and distribution. Moreover, the impact on texture was
74 investigated of freeze-dried samples over a storage period of 30 days.

75

76 2. Material and methods

77 2.1. Raw material

78 Commercial strawberries (*Fragaria x ananassa* var. Elsanta) from Germany and commercial
79 red bell peppers (*Capsicum annuum* L. var. Roberta) from a local Austrian producer were
80 used. Raw materials were selected of good and uniform quality, rotten and unripe fruits were
81 removed. Ripe strawberries and bell peppers were immediately used or stored under
82 controlled conditions ($4\text{ }^{\circ}\text{C}$) and used within 7 days. The strawberries were used in a whole,
83 only a manual washing with water was performed, and the green sepals were removed. The
84 red bell peppers were washed and cylinders with a diameter of $3.0 \pm 0.1\text{ cm}$ and a thickness of
85 $0.6 \pm 0.2\text{ cm}$ were obtained using a cylindrical cutter.

86

87 2.2. PEF treatments

88 The PEF treatments were performed using a PEF batch system (Cellcrack 1, DIL, Germany)
89 consisting of a high voltage power supply, a capacitor with $0.5\text{ }\mu\text{F}$ and a spark gap used as
90 switch. A treatment chamber consisting of parallel plate electrodes with a distance of 13.5 cm
91 and a total volume of 4.0 L was used. For each treatment, $500 \pm 10\text{ g}$ of strawberries ($n=40$)
92 or $100 \pm 10\text{ g}$ of bell peppers ($n=20$) were placed in the treatment chamber and filled up with
93 tap water ($T=25 \pm 1\text{ }^{\circ}\text{C}$, conductivity $320\text{--}350\text{ }\mu\text{S/cm}$), to a final weight of 3.0 and 1.5 kg ,

94 respectively. Exponential decay pulses with a pulse width of 100 μs for the strawberries and
95 143 μs for the bell peppers, and a frequency of 1 Hz were applied. The pulse width was
96 determined at 37 % of the peak voltage detected with a high voltage probe (P6015A,
97 Tektronix, UK) and an oscilloscope (TBS 1102B-EDU, Tektronix, UK). The field strength
98 was set to 1.0 kV/cm, and the number of pulses was varied between 20 and 200. Thus, the
99 treatment time varied between 2.0–28.6 ms. The applied pulses delivered a pulse energy of
100 45.5 J and resulted in a total specific energy input for strawberry and bell pepper between
101 0.3–1.5 and 0.7–6.0 kJ/kg, respectively. Strawberries and bell peppers samples were prepared
102 as control reference (untreated) by immersion in tap water for a duration equal to the duration
103 of the PEF treatment and at the same sample/water ratio. At least three repetitions per
104 treatment condition were conducted for both raw materials.

105

106 2.3. Cell disintegration index (CDI)

107 The degree of cell permeabilization after PEF treatment was determined by calculating the
108 cell disintegration index (CDI). The electrical conductivity was measured with an impedance
109 measurement device (Impedance Analyser, Sigma Check, Germany) in the frequency range of
110 1.4 kHz to 11.2 MHz using a cylindrical sample (diameter 1.0 cm, length 1.0 cm). Impedance
111 curves were obtained for both, the intact and the PEF pre-treated samples. Related CDI was
112 calculated using the equation described by Knorr and Angersbach (1998) considering
113 impedance values at a frequency of 5.5 and 1400 kHz. The value of CDI varies between 0
114 representing intact cells and 1 representing totally disintegrated cells. A reference sample with
115 maximum CDI was obtained by applying three freezing and thawing cycles.

116

117 2.4. Freeze-drying process

118 PEF treated and control samples were placed on stainless steel plates in a single layer, not in
119 contact with each other, and frozen in a blast freezer (Blast Freezer IF101L, Sagi, Italy) at
120 temperatures of -4 ± 2 and -40 ± 2 °C for a minimum duration of 2 h. Prior to freeze-drying,
121 samples were tempered at -40 °C for 2 h. The frozen samples were placed onto shelves in a
122 lab scale freeze-drier (FreeZone 6, LABCONCO, USA) without additional shelf heating. The
123 condenser was pre-cooled to -45 ± 2 °C before the samples were placed in the freeze drier.
124 The freeze-drying process was performed for 72 h, and the chamber pressure was maintained
125 at 0.5 ± 0.02 mbar throughout the drying process. During the freeze-drying, the temperature

126 of the untreated and PEF treated (0.7 kJ/kg) strawberries frozen at -40°C was recorded with
127 two thermocouples placed at the core and at the external layer of the samples by a temperature
128 monitoring system (E-VAL flex, Ellab, Denmark). After the freeze-drying process, part of the
129 samples was immediately used for physical analyses, and a representative sample of both raw
130 materials was stored for 30 days in tightly sealed glass jars containing 4 g silica gel as water
131 absorber (Carl Roth GmbH, Germany) at $T=20 \pm 1^{\circ}\text{C}$ in the dark.

132

133 2.5. Determination of volume reduction

134 The volume reduction measurement was performed to evaluate the extent of the shrinkage
135 phenomenon that can occur during the freeze-drying process. The volume of the same
136 strawberry was measured before the treatment and after the conducted freeze drying process
137 using a 3D laser scanner (BVM 6600, Perten Instruments, Sweden). The surface area of the
138 bell peppers was determined using an image capture system (DigiEye, version 2.8, VeriVide,
139 UK). The pictures of each sample were analysed by using image J (National Institute of
140 Health, USA) to calculate the difference of the diameter after the freeze-drying. The thickness
141 of the freeze-dried bell peppers was manually determined with a vernier caliper. Measurement
142 was performed with at least five samples per treatment condition.

143

144 2.6. Rehydration capacity

145 The rehydration capacity was determined according to Agnieszka and Andrzej (2010),
146 including some modifications. After the freeze-drying treatment, whole strawberries and bell
147 pepper cylinders were submerged in 100 mL of deionised water ($20 \pm 1^{\circ}\text{C}$), and the weight
148 was recorded before and after different immersion times (1, 5, 15, 60 min). To keep the
149 samples submerged during the rehydration measurement a device consisting of a thin iron
150 wire and a plastic net was used. The dry matter was determined at the end of the rehydration
151 procedure in an oven at $105 \pm 2^{\circ}\text{C}$ until a constant weight was achieved (AOAC,1995). The
152 results were expressed as rehydration percentage according to Meda and Ratti (2005).
153 Measurement was performed with five samples per treatment condition.

154

155 2.7. Mechanical properties

156 The mechanical properties of the freeze-dried samples were determined by texture analyser
157 (TA-XT Plus, Stable Micro System, UK), equipped with a 5.0 kg load cell. The penetration
158 test was applied on whole strawberries and bell pepper cylinders frozen at -40°C with a
159 cylindrical probe (2.0 mm), at a constant speed of 4.0 mm/s. The mechanical properties were
160 defined by the maximum positive force [N] which represents the hardness of the dried
161 samples (Wang, Zhang, & Mujumdar, 2010). Measurement was performed with ten samples
162 per treatment condition.

163

164 2.8. Statistics

165 The statistical analysis was done with STATISTICA 10 software (Statsoft, USA). The
166 significance level for the one-way ANOVA was set to $p < 0.05$ and the Tukey test was applied
167 to assess significant differences between investigated parameters. The plotted results are
168 reported as mean value \pm standard deviation.

169

170 3. Results and Discussion

171 3.1. Cell disintegration index

172 The cell disintegration index (CDI), obtained by the impedance analysis is commonly used to
173 evaluate the effects of different PEF parameters applied regarding the permeabilization effect
174 in plant products (Angersbach, Heinz, & Knorr, 1999). The impact of PEF pretreatment at
175 fixed electric field strength (1 kV/cm) and varied specific total energy input on strawberry and
176 bell pepper is shown in Figure 1. It is evident that samples showed a statistically different cell
177 disintegration depending on the applied energy input, with increasing energy input leading to
178 an increase in the CDI. Increasing the energy input from 0.3 to 1.5 kJ/kg for strawberry and
179 0.7 to 6 kJ/kg for bell pepper leads to increased cell disruption of 25 and 30 %, respectively.
180 The results obtained for the peppers are in accordance with those reported in previous studies
181 (Ade-Omowaye, Rastogi, Angersbach, & Knorr, 2002, 2003; Jalté et al., 2009). In accordance
182 to Angersbach, Heinz, and Knorr (2000), the investigations additionally demonstrate that
183 depending on the selected raw material, applied energy inputs reached significant differences
184 regarding CDI. For a CDI of 0.6 for strawberries, the application of 0.3 kJ/kg is sufficient. In
185 comparison, 1.5 kJ/kg is required for reaching a similar CDI for bell peppers. The most
186 intensive PEF treatment resulted in a CDI of 0.77 for strawberries also showing increased
187 softness and leakage of intracellular content, which could compromise the mechanical

188 processing in industrial scale. However, for bell pepper, these effects and especially the
189 aforementioned leakage were not detectable for the applied energy inputs. The different
190 response to the PEF treatment can be explained by the different intrinsic characteristics of the
191 investigated plant products, such as cell shape and size, electric conductivity, pH or ionic
192 strength (Puértolas, Luengo, Álvarez, & Raso, 2012; Toepfl, Siemer, Saldaña-Navarro, &
193 Heinz, 2014).

194

195 *Figure 1*

196

197 3.2. Freeze-drying kinetics

198 Since the characteristics of a freeze-dried product depend on the material temperature during
199 the process (Roos, 1997), the temperature of the outer layer (Out) and the inner layer (In) of
200 PEF treated (0.7 kJ/kg) and untreated strawberries were monitored during the freeze-drying
201 process (Figure 2). The outer layer of the untreated and treated sample (Out_Untreated and
202 Out_PEF) show the same trend and it was not possible to highlight significant differences
203 during the course of freeze-drying. Just after the beginning of the freeze-drying process, the
204 outer layers showed an increase in temperature, indicating the rapid removal of water from the
205 external layer. The temperature for both inner layers (In_Untreated and In_PEF) was constant
206 or slightly decreased in the first phase of the process and then increased up to the shelf
207 temperature. During the freeze-drying process, the rapid increase of the temperature in the
208 core of the product can be considered as the end of primary drying (sublimation) and the
209 beginning of secondary drying (desorption) (Kramer et al., 2009). The untreated sample
210 recorded a constant temperature for 9 h, afterwards the temperature slightly increased until it
211 reached the shelf temperature. The inner section of the PEF treated sample showed a lower
212 temperature, with a minimum value of $-17.36\text{ }^{\circ}\text{C}$, within 19.5 h from the start of freeze-
213 drying, followed by a rapid temperature increase. The untreated and PEF treated samples
214 reached the shelf temperature after 39.3 and 38.6 h, respectively. These results illustrate that
215 PEF has no significant effect on the duration of the freeze-drying process. However the
216 increased pore formation leads to a prolonged sublimation step and thus stabilizes the shape
217 of the final product. Similar concepts were reported by Parniakov, Bals, Lebovka, et al.
218 (2016). In their study, PEF treated apples, depending on the degree of cell disintegration,
219 show lower temperatures during freeze-drying and a delayed temperature rise. During fast
220 freezing, small ice crystals occur that result in the formation of small pores after their

221 sublimation. This can result in a higher resistance to mass transfer and thus representing a
222 limit for sublimation (Patapoff & Overcashier, 2002). The resistance to the passage of water
223 vapour can increase the temperature at the sublimation interface resulting in partial melting of
224 the ice, which leads to the plasticization of solids and structural collapse (Harnkarnsujarit,
225 Charoenrein, & Roos, 2012). The effect of PEF pretreatment can be compared to slow
226 freezing, with the formation of large ice crystals in the extracellular space. This promotes low
227 dried product resistance and low product temperature with reduced consequences on the
228 volume changes of freeze-dried products (Assegehegn, Brito-de la Fuente, Franco, &
229 Gallegos, 2018; Harnkarnsujarit et al., 2012). However, the formation of small crystals can
230 favour desorption for which a larger specific area is required (Hottot, Vessot, & Andrieu,
231 2004). Jalté et al. (2009) also stated that the application of PEF favours the mass transfer
232 during freezing and the formation of larger ice crystals especially in the extracellular space,
233 which promotes sublimation and keeps the samples at lower temperatures for a longer time.
234 Moreover, Rambhatla, Obert, Luthra, Bhugra, and Pikal (2005) suggested that the shrinkage
235 occurs during early secondary drying when the moisture of the product is still high and the
236 temperature is close to the glass transition temperature.

237

238 Figure 2

239

240 3.3. Volume reduction

241 During different drying processes, the water removal causes changes on the physical structure
242 of food and phenomena such as shrinkage can occur determining loss of the structural
243 properties and thus the quality of the product and consumer acceptance (Agudelo-Laverde,
244 Schebor, & del Pilar Buera, 2014). Collapsed or shrunk products show poor rehydration
245 characteristics and uneven and extended drying (Tsourouflis, Flink, & Karel, 1976). Drying at
246 sufficiently low temperatures permits to limit the effect on the physical structure of the
247 samples thus freeze-drying allows better results compared to other dehydration methods
248 (Krokida, Karathanos, & Maroulis, 1998). As mentioned before, to avoid the increase of
249 temperature and thus the shrinkage, an increased mass transfer is required and can be
250 achieved through pretreatments such as PEF or slow freezing. The effects of PEF
251 pretreatment regarding volume reduction after the freeze-drying of whole strawberries and
252 bell pepper discs are shown in Figure 3. The untreated strawberries frozen at -40°C show a

253 volume reduction of approximately 48 ± 16 %. In comparison, the PEF treated strawberries
254 show significantly lower volume reduction. The lowest energy input (0.3 kJ/kg) results in the
255 highest reduction with only 19 ± 8 % of volume loss and an energy input of 1.5 kJ/kg leads to
256 a volume reduction of 15 ± 6 %. The PEF treated samples show not only a lower volume
257 reduction but also a lower variability of the data, which reflects a higher homogeneity of the
258 product indicating that cell disintegration due to PEF reduces mass transfer barriers in the
259 frozen product and results in a more uniform tissue structure. Additionally, the beneficial
260 shrinkage behaviour of the PEF pre-treated freeze-dried matrices is of main importance for
261 marketing purposes. The observed volume reduction for strawberries was higher than reported
262 by Shishegarha, Makhlouf, and Ratti (2002). This might be attributed to different raw
263 material properties and slightly different process conditions. For the bell peppers frozen at -
264 40 °C (Figure 3b) similar findings were obtained, thereby the PEF pretreatment leads to a
265 volume reduction of approximately 30 % independent from the applied energy input. The
266 untreated samples showed a volume change higher than 80 %. The volume reduction of
267 strawberries frozen at -4 °C was 15.1 % for the untreated and 7.1–9.0 % for the PEF treated
268 samples. For the bell peppers frozen at -4 °C, the untreated samples showed a volume change
269 of 62.9 % and the PEF pretreatment leads to a volume reduction of 33.7–46.0 %. For the
270 untreated samples frozen at -4 °C a significant reduction of shrinkage phenomenon occurs
271 compared with the fast freezing. As discussed earlier, this can be attributed to the formation of
272 larger ice crystals, which favour a more rapid removal of the water vapour during
273 sublimation. However, for PEF treated samples, the benefit of the higher freezing temperature
274 is less pronounced or, in other words, when freezing at higher temperatures, only a small
275 additional benefit can be derived from PEF pretreatment. The PEF treated strawberries were
276 only slightly improved by the higher freezing temperature and no significant difference
277 between the different freezing temperatures could be observed in the case of PEF treated bell
278 peppers. The differences between the two matrices can be due to their different composition
279 which affects the collapse temperature (Bhandari & Howes, 1999). These results confirm that
280 the main aspect that limits the shrinkage phenomenon is the improvement of the mass transfer
281 that allows keeping the temperature of the samples lower than their glass transition
282 temperatures (T_g) for a longer time. In accordance with Levi and Karel (1995), the collapse
283 phenomenon is a dynamic process occurring when the process temperature is above the T_g .
284 Lammerskitten et al. (2019) further describe that the application of PEF treatment results due
285 to the facilitated movement of components in a more homogeneous distribution of water and
286 sugar inside of the investigated raw material and thereby sugars are less able to seals natural

287 pores. The fact that the improvement resulting from a PEF treatment was most pronounced for
288 the low freezing temperature at $-40\text{ }^{\circ}\text{C}$ represents a major benefit with industrial relevance.
289 The current freezing processes are performed at low temperatures in order to allow short
290 processing times and provide the appropriate freezing conditions especially for the
291 manufacturing of individually quick frozen (IQF) product. Moreover, the low heat transfer
292 coefficient of slow freezing leads beside long holding times to significant quality loss (Singh
293 & Wang, 1977). In that case, the PEF treatment can significantly contribute to an improved
294 quality without losing the benefits of the lower freezing temperature.

295

296 Figure 3

297

298 3.4. Rehydration

299 Rehydration of food is a complex phenomenon affected by numerous factors like pre-drying
300 treatments, drying technology and conditions, food structure, composition and medium
301 viscosity (Alejandro Marabi & Saguy, 2004). A study conducted by A. Marabi, Livings,
302 Jacobson, and Saguy (2003) showed that for freeze-dried samples the most crucial mechanism
303 is capillary diffusion. In Table 1 the results of the rehydration capacity and behaviour of
304 strawberries and bell peppers immediately after the freeze-drying process are shown.
305 Regardless of the freezing temperature, significant advantages in terms of rehydration ability
306 were determined for both matrices by the application of PEF. Freeze-dried strawberries frozen
307 at $-40\text{ }^{\circ}\text{C}$ had better rehydration capacity for energy inputs higher than 0.7 kJ/kg . After just
308 one minute of immersion, the PEF treated strawberries indicate a $49.5\text{--}70.7\%$ higher
309 rehydration rate than the untreated samples. After 15 min of immersion, it was possible to
310 detect differences depending on the applied PEF treatment intensity. Strawberries frozen at $-$
311 $4\text{ }^{\circ}\text{C}$ showed a decrease of the rehydration rate compared to the sample subjected to rapid
312 freezing. Also for the products frozen at $-4\text{ }^{\circ}\text{C}$, the PEF treated samples showed a better
313 rehydration compared to the untreated samples. In particular, the sample treated with the
314 highest PEF intensity (1.5 kJ/kg) had a statistically higher rehydration at all immersion times.
315 As for the samples frozen at $-40\text{ }^{\circ}\text{C}$, after just one minute of immersion, the PEF treated
316 samples show a rehydration being $52.5\text{--}68.6\%$ higher than the untreated sample. It can be
317 assumed that the freezing process at $-4\text{ }^{\circ}\text{C}$ has a significant influence on the final structure of
318 the freeze-dried strawberries as the rehydration capacity can be considered as a valid measure
319 to assess the state of injury caused by pretreatments and drying process (Krokida et al., 1998).

320 The behaviour of freeze-dried peppers frozen at $-40\text{ }^{\circ}\text{C}$ was similar to the strawberries.
321 However, up to 15 min of immersion only the sample treated with the highest PEF intensity
322 (6.0 kJ/kg) showed a statistically higher rehydration. After 60 min of immersion, the
323 rehydration of PEF treated samples was 22.1–54.0 % higher compared with the untreated
324 control. For freeze-dried bell peppers frozen at $-4\text{ }^{\circ}\text{C}$ the freezing temperature didn't affect the
325 rehydration capacity and the PEF treated samples showed again a higher rehydration already
326 after the first minute of immersion compared to the untreated samples. Generally, the
327 increased number of pores in the membrane induced by PEF favours the capillary diffusion
328 process and may cause the increased rehydration capacity of the PEF treated samples. Jalté et
329 al. (2009) and Parniakov, Bals, Mykhailyk, et al. (2016) stated similar results for potatoes and
330 apples, relating the better rehydration for PEF treated samples to a higher porosity of the
331 vegetable matrix after the freeze-drying process. Furthermore, the different rehydration
332 ability of the investigated matrices could be described by their specific cellular and
333 microstructural properties, considering that the rehydration capacity is closely dependent by
334 the used plant material (Witrowa-Rajchert & Lewicki, 2006). In particular, Lee, Farid, and
335 Nguang (2006) reported that different plant materials can have different rehydration rates
336 according to their microscopic structure even when the drying conditions are the same.

337

338 *Table 1*

339

340 3.5. Texture

341 Texture is one of the most important physical parameter for dehydrated products, which can
342 drastically influence the consumer's acceptability (Fan, Zhang, & Mujumdar, 2018). The
343 mechanical properties of dried food products are affected by moisture content, food
344 composition, pH, and the ripening stage of the raw material (Sagar & Kumar, 2010). For the
345 determination of the mechanical properties of the freeze-dried strawberries and bell peppers
346 texture measurement was conducted. The results of the penetration test measured after freeze-
347 drying and 30 days of storage are plotted in Figure 4. The maximum force decreases
348 significantly from 8.6 to 4.2–4.5 N for strawberry and from 8.4 to 2.4–3.3 N for bell pepper
349 due to the applied PEF pretreatment independent of the treatment intensity. It can be stated
350 that the PEF decreased the hardness of freeze-dried strawberries and bell peppers by 47.4–
351 50.7 % and 61.1–71.1 % respectively, when compared to the untreated samples. Ben Ammar
352 et al. (2010) and Lammerskitten et al. (2019) also reported a noticeable softening for freeze-

353 dried PEF pre-treated potatoes and apples. The softer structure of the pre-treated samples can
354 be explained by the increased pore formation due to PEF, which leads to reduced shrinkage
355 and higher porosity of the samples (Chauhan, Shayanfar, & Toepfl, 2019).

356 The product stability was evaluated by measuring the textural properties after a storage period
357 of 30 days. No significant changes of firmness were found for both untreated products after
358 30 days of storage. Also, the firmness of the PEF pre-treated products was found to remain
359 unchanged over the storage period. It has to be mentioned that the product stability especially
360 the crispiness of freeze-dried products is particularly affected by the humidity during storage,
361 therefore the selection of suitable packaging material and storage conditions is crucial for the
362 transfer of results. In the current study, appropriate storage avoided changes in the moisture
363 content of the samples during storage which could result in changes of the textural properties
364 of the products.

365

366 *Figure 4*

367

368 **4. Conclusion**

369 The pore formation due to the applied PEF treatment prior to freeze-drying reduces the
370 shrinkage phenomenon and increases the rehydration capacity of freeze-dried strawberries
371 and bell peppers. The present results indicate that the improved mass transfer resulting from
372 the application of PEF promotes the primary drying by keeping the product temperature at
373 lower values for a longer time. This affects the product structure in a way that shrinkage and
374 collapse of the tissue are reduced. The beneficial effects on volume reduction have already
375 been observed at the lowest applied energy input and CDI. Besides the cell disintegration
376 achieved by PEF treatment, the volume reduction can be further reduced by increasing the cell
377 disintegration and mass transfer due to slow freezing and related formation of larger ice
378 crystals. Moreover, regardless of freezing temperature, PEF treated samples show higher
379 rehydration capacity compared to untreated samples. Further basic research is needed in order
380 to clarify the underlying mechanisms of this process-structure-function interaction affecting
381 the freezing and freeze-drying behaviour. However, based on the obtained results, the
382 application of PEF treatment in industrial scale especially at low freezing temperatures seems
383 to be a promising approach to preserve the initial shape and improve the rehydration of
384 freeze-dried fruits and vegetables.

385 **5. Conflict of interest**

386 The author have no conflict of interest

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523

Table 1 Rehydration capacity (%) of untreated and PEF pre-treated (1 kV/cm) freeze-dried strawberry and bell pepper over a rehydration time of 60 min at 20°C. Different letters in the same column of each raw material indicate significant differences ($p < 0.05$)

Rehydration time [min]	1		5		15		60	
Freezing temperature [°C]	-40	-4	-40	-4	-40	-4	-40	-4
strawberry								
Energy input [kJ/kg]								
untreated	3.27±1.50a	2.10±0.69a	4.69±2.06a	2.70±0.87a	5.95±2.33a	3.37±1.01a	8.62±2.91a	5.54±1.38a
0.3	6.48±1.51ab	4.44±1.73ab	8.87±2.56ab	5.84±2.28ab	10.65±2.68ab	7.50±2.79ab	13.96±3.17ab	10.92±3.08ab
0.7	11.16±3.48b	6.66±4.29ab	13.21±3.65b	8.89±4.99ab	14.73±3.29bc	11.03±5.46ab	17.69±2.99bc	15.68±6.34b
1.5	10.94±4.50b	4.55±0.83b	13.99±3.79b	6.64±1.18b	16.07±3.38c	8.46±1.68b	19.61±3.18c	12.94±2.51b
bell pepper								
Energy input [kJ/kg]								
untreated	6.62±0.94a	7.68±1.4a	9.56±1.22a	11.56±1.4a	12.73±1.79a	15.54±2.24a	18.99±2.02a	22.71±1.93a
1.5	8.93±1.05a	14.85±5.29ab	13.64±2.07a	23.06±6.64b	18.13±2.64ab	29.48±7.8b	24.44±3.9ab	38.99±8.37b
3	11.15±4.07a	15.21±4.68ab	16.16±3.85a	21.43±5b	21.32±3.92b	27.47±4.91b	29.83±3.14b	35.94±3.48b
6	24.29±7.37b	18.26±6.34b	30.5±7.42b	24±6.55b	35.24±7.19c	29.69±5.21b	41.35±6.52c	37.71±1.73b

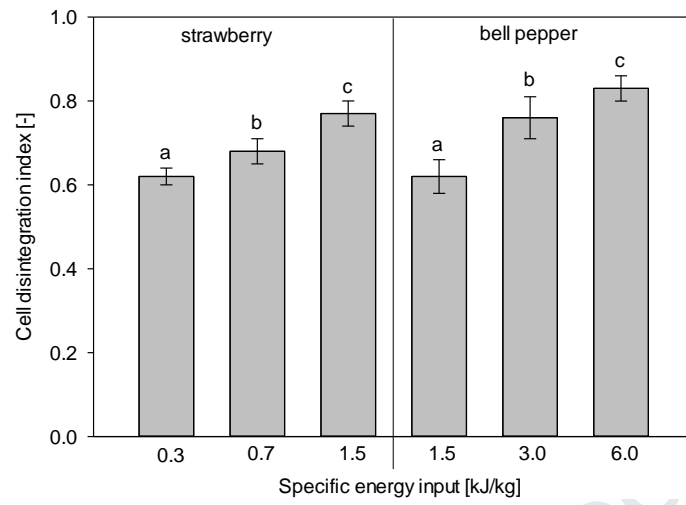


Figure 1 Cell disintegration index of PEF pretreated (1 kV/cm) strawberries and bell peppers related to different specific energy inputs. Different letters for each material indicate significant differences ($p < 0.05$)

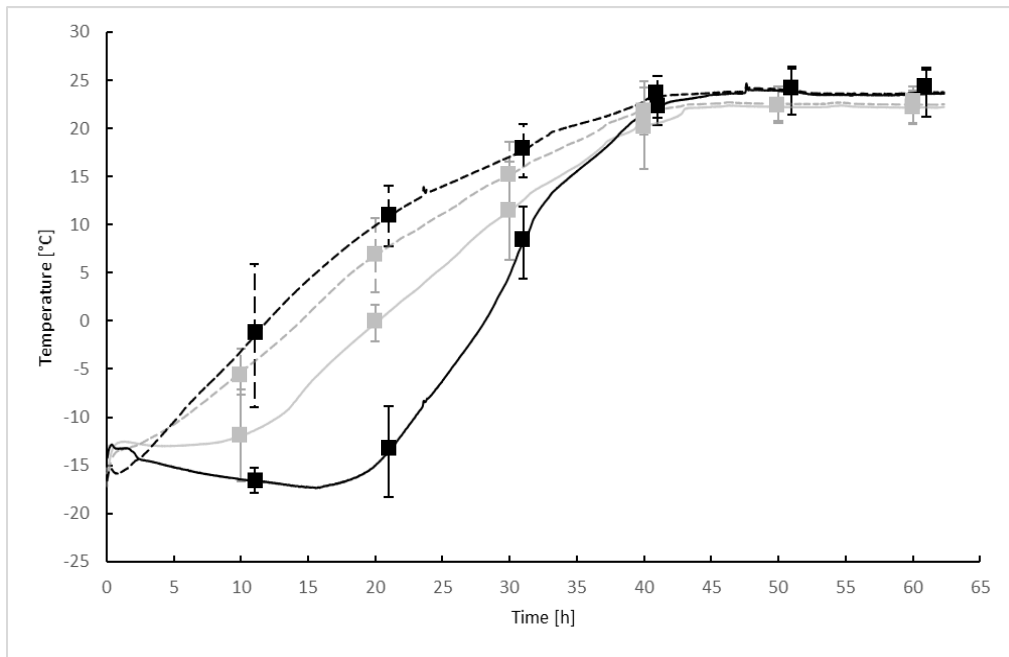


Figure 2 Temperature behaviour of the outer (dotted line) and inner (full line) layers of PEF treated \blacksquare (1kV/cm 0.7 kJ/kg) and untreated \blacksquare strawberries during freeze-drying, freezing temperature -40°C .

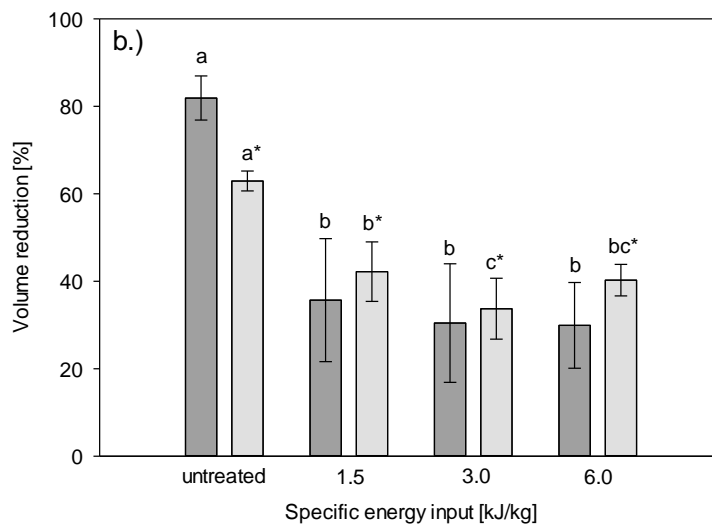
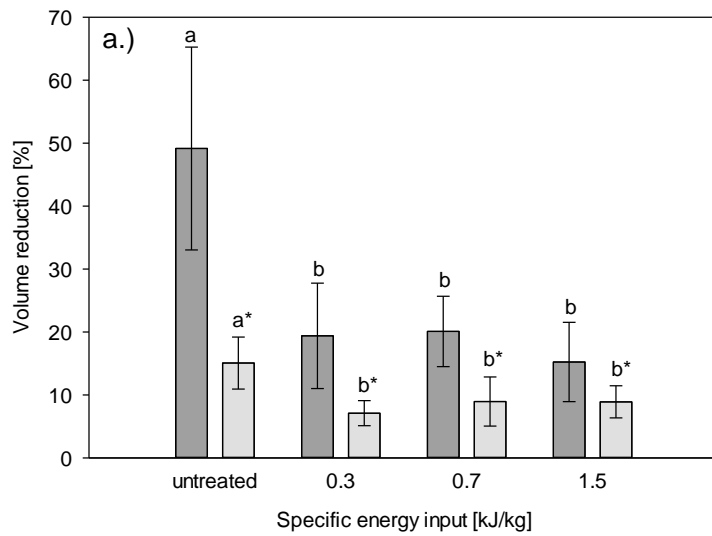


Figure 3 Volume reduction (%) resulting from freeze-drying of untreated and PEF pretreated (1 kV/cm) strawberries (a) and bell peppers (b) frozen at -40 °C (■) and -4 °C (□). Significant differences for equal freezing temperature (-40 °C=a, -4 °C=a*) is indicated by different letters ($p < 0.05$)

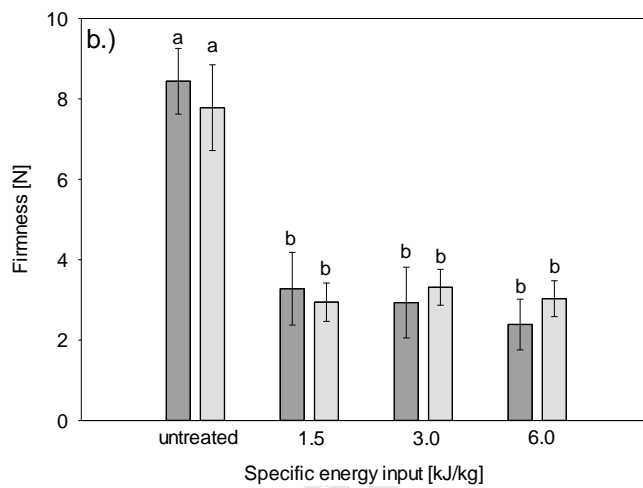
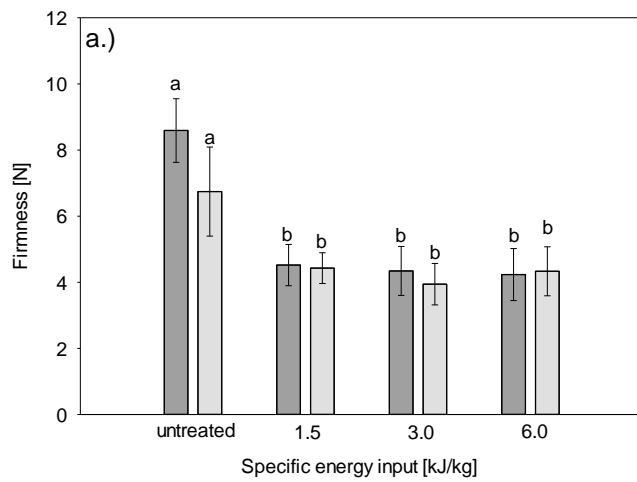


Figure 4 Texture measurement of PEF pretreated (1 kV/cm) and untreated freeze-dried strawberries (a) and bell peppers (b) immediately after processing (■) and 30 days of storage (□). Different letters indicate significant statistical differences ($p < 0.05$)

Highlights

- Quantitative determination of shrinkage phenomena was performed by innovative 3D scanning method
- PEF treatment significantly improved the freeze-drying process due to the enhanced mass transfer
- Volume loss and firmness were reduced and rehydration capacity increased for strawberries and bell pepper
- PEF effects are more pronounced at lower freezing temperatures as usually applied during industrial quick freezing processes
- The impact of PEF on selected physical parameters is already detectable at low energy inputs of 0.3 kJ/kg

Conflict of interest

We know of no conflicts of interest associated with this publication, and there has been no significant financial support for this work that could have influenced its outcome. As corresponding author, I confirm that the manuscript has been read and approved for submission by all the named authors.

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Author contribution statements

TF, MG and HJ conceived and planned the experiments.

TF and MG carried out the experiments and contributed to sample preparation.

TF, MG, PP and HJ contributed to the interpretation of the results.

TF and MG took the lead in writing the manuscript.

All authors provided critical feedback and helped shape the research, analysis and manuscript.

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