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# Modulation of the meridional structures of the Indo-Pacific warm pool on the response of the Hadley circulation to tropical SST

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## Abstract

23

24 By decomposing the variations of the Hadley circulation (HC) and tropical zonal  
25 mean sea surface temperature (SST) into the equatorially asymmetric (HEA for HC,  
26 SEA for SST) and symmetric (HES for HC, SES for SST) components, the varying  
27 response of the HC to different SST meridional structures under warm and cold  
28 conditions of the Indo-Pacific warm pool (IPWP) is investigated over the period  
29 1979–2016. The response of the HC to SST evidences an asymmetric variation  
30 between warm and cold IPWP conditions; i.e., the response ratio of HEA to SEA  
31 relative to that of HES to SES is  $\sim 5$  under warm conditions and  $\sim 2$  under cold  
32 conditions. This asymmetry is primarily due to a decrease in the HEA to SEA ratio  
33 under cold IPWP conditions, and is driven by changes in the meridional distribution  
34 of SST anomalies. Equatorial asymmetric (symmetric) SST anomalies are dominated  
35 with warm (cold) IPWP conditions. Thus, variations of SEA are suppressed under  
36 cold IPWP conditions, contributing to the observed weakening of the HEA to SEA  
37 ratio. Results presented here indicate that the HC is more sensitive to underlying SST  
38 when the IPWP is warmer during which the variation of SEA is enhanced, suggesting  
39 a recent strengthening of the response of the HC to SST as the IPWP has warmed over  
40 the past several decades, and highlighting the importance of the IPWP meridional  
41 structures rather than overall warming on the HC.

42

## 43 **1. Introduction**

44       The Indo-Pacific warm pool (IPWP) is the largest warm water mass in the world,  
45 where the sea surface temperature (SST) exceeds 28°C year-round (Yan et al. 1992).  
46 The IPWP plays an important role in the atmospheric circulation system by releasing  
47 its energy to the atmosphere in the form of heat and water vapor, leading to an  
48 extremely strong air–sea interaction in this region (Xie et al. 2005; Duan et al. 2008).  
49 Globally, the IPWP is one of the major suppliers of atmospheric heat and water vapor,  
50 and also undergoes the most intense global air convection, making this area an  
51 important source of global climate anomalies (Li et al. 2013). The importance of the  
52 IPWP in the evolution of atmospheric circulation is summarized by the following two  
53 observations. First, changes in SST in the IPWP, particularly for high SST, affect the  
54 convergence of the atmosphere. The resulting divergence and vertical motion have a  
55 great influence on the global climate, and may directly affect the Hadley circulation  
56 (HC) and Walker circulation (Sardeshmukh and Hoskins 1988; Webster and Lukas  
57 1992). Secondly, because the IPWP is the most frequently convective area in the  
58 world, convection changes in the IPWP can trigger a series of global and regional  
59 climate phenomena (Feng et al. 2013; Li et al. 2013). Therefore, variations of SST in  
60 the IPWP play a fundamental role in the formation of global climate anomalies and  
61 disasters (e.g., Williams and Funk 2011; Luo et al. 2012; Lo et al. 2014).

62       The HC is a thermally driven meridional circulation, and is one of the most  
63 important and largest circulation systems on the planet. In the vertical direction, the  
64 HC features an ascending branch in the tropics combined with two descending

65 branches located in the subtropical regions of both hemispheres. In the horizontal  
66 direction, the HC is characterized by poleward mass transport in the upper  
67 troposphere and equatorward mass transport by the prevailing trade winds in the  
68 lower troposphere (Quan et al. 2004). Thus, the HC bridges the lower and upper  
69 troposphere, as well as the tropics and subtropics. A change in either its spatial  
70 structure or intensity can have great climatic effects. Therefore, it has frequently been  
71 reported that the HC plays an important role in climate processes at low, mid, and  
72 high latitudes (e.g., Lindzen 1994; Chang 1995; Diaz and Bradley 2004; Feng et al.  
73 2013).

74 Over the past 60 years, observations have shown that the IPWP has been  
75 warming and expanding, particularly in recent decades (e.g., Alory et al. 2007; Rao et  
76 al. 2012; Dong et al. 2014; Kidwell et al. 2015). Several studies have investigated the  
77 possible causes and climatic effects of this warming. It has been suggested that the  
78 observed warming is connected to the increasing frequency of El Niño events (e.g.,  
79 Cai et al. 2014), that both air and ocean processes participate in IPWP warming (Rao  
80 et al. 2012; Swapna et al. 2014), and that both anthropogenic and natural forcing  
81 contribute to IPWP warming (e.g., Dong et al. 2014; Roxy et al. 2014). Although the  
82 cause of the continuous warming of the IPWP remains ambiguous, these studies have  
83 confirmed that the IPWP has been continuously warming over the past 60 years.  
84 Given that the HC is a thermally driven circulation, it is strongly influenced by  
85 underlying thermal condition in the tropics. The potential influence of IPWP warming  
86 on the HC has been explored. It was found that IPWP warming contributed to a

87 strengthening of the first dominant mode of the long-term variability of the seasonal  
88 HC (e.g., Ma and Li 2008; Feng et al. 2011; Feng et al. 2013). Moreover,  
89 inhomogeneous warming within the IPWP (i.e., a relatively higher warming rate in  
90 the southern IPWP) could alter the SST meridional gradient, which could in turn  
91 affect the formation of the dominant mode of the seasonal HC (Feng et al. 2013; Li  
92 and Feng 2017). This research highlights the important role of the IPWP, particularly  
93 the warming of the IPWP, on variations in the HC.

94 The HC depends not only on the intensity of the underlying thermal conditions,  
95 but also on the meridional distribution of the underlying SSTs. Earlier theoretical  
96 works have established that the meridional structure of tropical SST determines the  
97 position and intensity of the convergence (e.g., Schneider and Lindzen 1977; Rind  
98 and Rossow 1984; Lindzen and Nigam 1987). Our recent studies have demonstrated  
99 that equatorially asymmetric (symmetric) meridional circulation are associated with  
100 an equatorially asymmetric (symmetric) SST anomalies based on observational  
101 datasets and numerical experiments (Feng et al. 2013; Feng and Li 2013). Moreover,  
102 we found that the magnitude of the anomalous HC response to the equatorially  
103 asymmetric SST is ~4 times greater than that to the equatorially symmetric SST even  
104 if the intensity of the SST is the same in both the interannual and annual cycles (Feng  
105 et al. 2016a; Feng et al. 2017). The above findings highlight the importance of the  
106 meridional distributions of tropical SST to the response of the HC to the SST.

107 Although the IPWP shows a strong warming trend and plays an important role in  
108 the variability of the HC, it is still unknown whether IPWP warming plays a role in

109 the response of the HC to SST, and if so, what mechanisms are involved. Thus, the  
110 focus of this work is to investigate the impact of the warm and cold IPWP situations  
111 on the sensitivity of the HC to different SST meridional structures, while Feng et al.  
112 (2016) only focused on the response of the HC to SST without examining the  
113 modulation of the IPWP intensity. The remainder of this paper is organized as follows.  
114 Section 2 describes the datasets and methodology. The influence of the IPWP on the  
115 HC response to SST is presented in section 3. A possible mechanism for this influence  
116 is described in section 4. Finally, section 5 contains a discussion and conclusions.

## 117 **2. Datasets and methodology**

### 118 **2.1 Datasets**

119 Four atmospheric reanalysis datasets were employed to objectively evaluate the  
120 characteristics of the HC. These datasets were from the Japanese 55-year Reanalysis  
121 (JRA) on a horizontal resolution of  $1.25^{\circ} \times 1.25^{\circ}$  and 32 vertical levels (Kobayashi et  
122 al. 2015), the National Centers for Environmental Prediction-Department of Energy  
123 Atmospheric Model Intercomparison Project reanalysis (NCEP2) covering the period  
124 1979 to 2016 on 17 vertical levels (Kanamitsu et al. 2002), the European Centre for  
125 Medium-Range Weather Forecasts (ECMWF) Re-Analysis interim (ERA-Interim) globally  
126 archived dataset that covers 1979 to 2016 with a resolution of  $1.5^{\circ} \times 1.5^{\circ}$  on 32  
127 vertical levels (Dee et al. 2011), and the ERA-40 reanalysis data on a horizontal  
128 resolution of  $2.5^{\circ} \times 2.5^{\circ}$  and 18 vertical levels (Uppala et al. 2005). Two global SST  
129 reanalysis datasets are used to examine the tropical SST features as a comparison to

130 verify the reliability of the results. They are the UK Met Office Hadley Centre's sea  
 131 ice and SST dataset with a  $1^\circ \times 1^\circ$  horizontal resolution (HadISST; Rayner et al. 2003),  
 132 and the Extended Reconstructed SST version 3 (ERSST) on a  $2^\circ \times 2^\circ$  resolution (Smith  
 133 et al. 2008). The common available period of 1979–2016 based on the NCEP2 and  
 134 JRA was used to illustrate the interannual influences of the IPWP on the response of  
 135 the HC to tropical SST. And the period 1958-2001 based on the ERA40 was  
 136 employed to outline the interdecadal influence of the IPWP on the response of the HC  
 137 to SST.

## 138 **2.2 Method**

139 The HC is characterized by the mass stream-function (MSF). The detailed  
 140 calculation of the MSF is seen in Feng et al. (2016a). To evaluate the impacts of the  
 141 IPWP on the response of the HC to different SST meridional structures, the variations  
 142 of the MSF and zonal mean SST are decomposed into two components, i.e., the  
 143 equatorially symmetric and asymmetric variations, based on the linearly  
 144 decomposition method according to Feng et al. (2017). The symmetric and  
 145 asymmetric components of zonal mean SST (referred as SES and SEA in the context)  
 146 is obtained as follows,

$$147 \quad SES(y) = \frac{SST(y) + SST(-y)}{2}, \quad SEA(y) = \frac{SST(y) - SST(-y)}{2} \quad (1)$$

148 The equatorially asymmetric and symmetric variations of MSF is defined as follows,

$$149 \quad HEA(y) = \frac{MSF(y) + MSF(-y)}{2}, \quad HES(y) = \frac{MSF(y) - MSF(-y)}{2}, \quad (2)$$



150 where  $y$  is the meridional location north of the equator. Note that the MSF is a two  
151 dimension variable without  $x$  direction. To this point, the distribution of land-sea  
152 would not contaminate the calculation of the MSF, either the decomposition. The  
153 detailed illustration and calculation of the above decomposition are displayed in Feng  
154 et al. (2017). The relative response amplitude of the HC to different SST meridional  
155 structures is considered according to the definition of response ratio,

$$156 \quad ratio = \frac{\text{Reg}(\text{PC1}(\text{HEA}), \text{PC1}(\text{SEA}))}{\text{Reg}(\text{PC1}(\text{HES}), \text{PC1}(\text{SES}))} \quad (3)$$

157 where PC1(HEA) refers to the first principal components (PC) of the variability of  
158 HEA, the other variables refer similar meanings. The numerator (denominator) in  
159 equation (3) depicts the response amplitude of the HEA (HES) to the SEA (SES) by  
160 regressing the HEA PC1 with respect to the SEA PC1. To this point, the variable *ratio*  
161 depicts the relative change in the HC response to SST. Even if different reanalyses are  
162 used, the possible impacts of the different assimilation systems would be counterpart  
163 for both the numerator and denominator contain the variables of circulation and SST.

164 To demonstrate the difference in the response of the HC to SST between warm  
165 and cold events, the areal averaged SST anomalies within the IPWP (IPWPI) is taken  
166 as an index to select the warm and cold events. Here, the scope 40°-160°E,  
167 20°S-20°N is taken as the extent to identify the features of the IPWP. This is due to  
168 the following three considerations, 1) this region includes the main body of the warm  
169 pool (figure not shown); 2) to distinguish the possible influence from the central  
170 Pacific, as could be seen below that SST anomalies during the warm and cold IPWP

171 conditions are different in the central Pacific. 3) The areal averaged monthly SST over  
172 other IPWP extent (i.e., 10°S-20°N, 60°-180°E) is highly correlated with the IPWPI,  
173 with a correlation coefficient of 0.6 (with a length of 456 month), indicating the  
174 chosen extent depicts the main characteristics of the SST over the IPWP. The calendar  
175 year is used to depict a cycle of IPWP event, in which the monthly IPWPI above  
176 (below) 1 (-1) standard deviation for at least 6 months is defined as a warm (cold)  
177 IPWP year. This gives six warm events (i.e., 1981, 1988, 1998, 2003, 2010, and 2016)  
178 and six cold events (i.e., 1986, 1992, 1993, 1994, 2006, and 2008) during the period  
179 1979–2016. A same definition of the warm and cold IPWP events but based on the  
180 domain (i.e., 10°S-20°N, 60°-180°E) would give four warm (1988, 1998, 2003, and  
181 2016) and cold (1992, 1993, 1994, and 2008) events. The four warm and cold events  
182 are coincided with the warm and cold events based on the 20°S-20°N, 40°-160°E.  
183 And it is found that the ratio of the HC to SST during the warm and cold events of the  
184 IPWP based on the four events is consistent with the result shown in the context,  
185 indicating the result shown is not subjected to the selection of IPWP domain.

186 In addition, it is noted that five (i.e., 1988, 1998, 2003, 2010, and 2016) of the  
187 six warm events are coincided with the decaying phase of El Niño events, whereas  
188 only two cold event (2006 and 2008) are coincided with the decaying phase of La  
189 Niña event, indicating important role of El Niño on the SST over IPWP as suggested  
190 in previous studies (Lau and Nath 2003; Xie et al. 2009; Santoso et al. 2017). In  
191 addition, it is seen that the asymmetric influences of the El Niño and La Niña on the  
192 SST over the IPWP, for that five of the six warm IPWP events coincide with the

193 occurrence of El Niño, while only two cold IPWP events coincide with La Niña event.  
194 Three of the cold events (i.e., 1992, 1993, and 1994) are following the 1991 Pinatubo  
195 eruption, which is the second largest eruption in the 20<sup>th</sup> century, resulting a global  
196 scale cooling after several years following the eruption (Xiao and Li 2011; Zhang et al.  
197 2018). These six warm and six cold events constitute the subsets of warm and cold  
198 events with lengths of 72 (6 events ×12 month a year) months, and the 38 calendar  
199 years (i.e., from 1979 to 2016) constitute the whole study period with a length of 456  
200 (38×12) months in the following analysis. It should be noted is that the warm (cold)  
201 IPWP events are discontinuous in time; the annual cycle and linear trend before  
202 constructing the warm and cold subsets have been removed to reduce the possible  
203 influences of the discontinuity and continuously warming of the IPWP (figures not  
204 shown). The climatology to construct the anomalies is referenced to the entire 38  
205 years, as well as the linear trend.

206 Empirical orthogonal function (EOF) analysis was used to detect the dominant  
207 mode of the HC and monthly zonal mean SST during the warm (cold) IPWP events.  
208 Composite analysis was used to compare the associated SST anomalies associated  
209 with the warm and cold IPWP conditions. To investigate the source of the differences  
210 between the warm and cold IPWP events, instead of the commonly used composite  
211 method, we calculated the sum of the anomalies during the warm and cold events  
212 (both are with a length of 72 months) to highlight the possible origin of their  
213 associated distinctions. Correlation and regression analyses were employed to  
214 investigate the relationship between the HC and SST. The statistical significance of

215 the correlation, regression and composite values were evaluated by means of a  
216 two-sided Student's *t*-test.

### 217 **3. Interannual influence of the IPWP on the HC response to** 218 **SST**

#### 219 **a) Variations in the HEA and HES**

220 The equatorially asymmetric and symmetric HC variations were obtained  
221 according to the above decomposition. Figure 1 shows the climatology and the first  
222 EOF mode (EOF1) of the HEA and HES during warm IPWP events over the period  
223 1979–2016. Because the decomposition of the asymmetric and symmetric  
224 components is based on the position of the equator, the value of HES at the equator is  
225 always zero. The JRA, NCEP2, and EARI datasets were used to validate the  
226 reliability of the dominant modes of HEA and HES interannual variability. The  
227 climatological HEA based on the three reanalyses both have a similar structure, with  
228 ascending motion to the north of the equator, and descending motion to the south of  
229 the equator. The climatological HES based on the three reanalyses have a consistent  
230 structure, with two comparable cells on the flanks of the equator, showing a common  
231 ascent over the equatorial belt and descent over the subtropics in each hemisphere  
232 (Feng et al. 2016a). The extents of the climatological HEA and HES are comparable,  
233 but the intensity of the HES is greater than that of the HEA. The EOF1 of the HEA  
234 has a similar spatial structure, but the extent is narrower compared with its  
235 climatology, with ascending motion around 10°N, and descending motion around

236 10°S. The EOF1 of HEA accounts for ~50% of the total variance, being more  
237 concentrated than that during the entire study period (~30%; figures not shown). The  
238 spatial distribution of the HES EOF1 follows its climatological structure, accounting  
239 for ~40% of the total variance. The spatial structures and explained variance of the  
240 dominant modes for both the HEA and HES are in good agreement across the three  
241 reanalyses, indicating the reliability of the results. As to the intensity of the EOF1,  
242 since the EOF is based on the anomalies, the spatial distribution of the EOF modes as  
243 well as its corresponding PCs should be considered together to depict the magnitude  
244 of the EOF modes. It is seen the amplitude of the HEA (HES) PC1s based on NCEP2  
245 is about 2 times to that based on JRA and ERAI (figures not shown). That is the  
246 variability of the corresponding EOF modes is comparable across the three reanalyses.

247 The EOF1 of HEA and HES during cold IPWP events is shown in Figure 2. The  
248 climatology distribution of the HEA and HES during cold IPWP events is similar to  
249 those during warm events. However, compared with the case during warm IPWP  
250 events, a broader HEA EOF1 extent with a smaller explained variance are observed  
251 for all the reanalyses. However, the intensity and the explained variance of the HES  
252 EOF1 are similar to those of warm IPWP events, with a broader extent during the cold  
253 events. These results imply that the variability of the HEA is more sensitive to IPWP  
254 thermal conditions than is that of the HES; i.e., cold IPWP conditions may suppress  
255 the variation of the HEA, but not that of the HES.

## 256 **b) Variations in the SEA and SES**

257 Figure 3 displays the principal mode of the SEA and SES during warm and cold  
258 events based on the ERSST and HadISST datasets, respectively. The two reanalyses  
259 are consistent in their spatial distributions. The EOF1 of SEA indicates a sinusoidal  
260 variation, with positive values in the Northern Hemisphere (NH), and negative values  
261 in the Southern Hemisphere (SH) during both warm and cold events. Note that the  
262 EOF1 during warm events has a maximum (minimum) around  $10^{\circ}\text{N}$  ( $10^{\circ}\text{S}$ ),  
263 corresponding to the locations where the meridional gradient of SST changes from  
264 positive (negative) to negative (positive), and parallel to the location of the ascending  
265 (descending) branch of the HC according to the relation of meridional wind and SST  
266 described in Feng and Li (2013). The deduction here is consistent with the ascending  
267 and descending positions shown in Figure 1. However, the spatial distribution of the  
268 SEA EOF1 during cold events is much flatter and lacks an evident peak in the range  
269  $20^{\circ}\text{S}$ – $20^{\circ}\text{N}$ , favoring a broader HC, as shown in Figure 2. However, uncertainties  
270 exist in the explained variance of this mode; i.e., enhanced explained variance during  
271 cold IPWP events is seen for ERSST, while the opposite is observed for HadISST.

272 The EOF1 of the SES exhibits a parabolic-like variation centered at the equator  
273 during both the warm and cold IPWP events. Note that the peak value at the equator  
274 during the cold IPWP events is larger than that during the warm events, indicating a  
275 broader meridional circulation (Feng et al. 2013; Feng and Li 2013), explaining why  
276 the extent of the HES EOF1 is broader during cold IPWP events. The explained  
277 variance of the SES EOF1 is consistently enhanced during warm events compared  
278 with that during cold events in both ERSST and HadISST datasets, consistent with the

279 association of El Niño events with equatorial symmetric SST anomalies within the  
280 tropics (Cane and Zebiak 1985). It should be noted that five of the six warm events  
281 coincided with El Niño events. Thus, the SES component is enhanced during warm  
282 IPWP events, leading to the decreased explained variance of the SES EOF1 during  
283 cold IPWP events.

### 284 **c) Response ratio of the HC to SST**

285 The above results demonstrate that both the spatial distributions and the  
286 explained variance of the dominant modes of HEA and HES, as well as SEA and SES,  
287 undergo certain changes between warm and cold IPWP events, regardless of whether  
288 the HC response amplitudes to different SST meridional structures in the two  
289 situations differ. Figure 4 presents scatterplots of the PC1 of SEA against HEA, and of  
290 SES against HES during the warm and cold IPWP events, based on ERSST and JRA  
291 datasets. The NCEP2 and HadISST datasets led to similar results (not shown; Table 1).  
292 The variation of HEA (HES) is significantly linearly correlated with SEA (SES).  
293 Moreover, the response coefficient of HEA to SEA is largely suppressed during cold  
294 IPWP events; i.e., a 1-unit change in SEA is associated with an 83-unit change in the  
295 HEA during warm events, but with a 29-unit change during cold events. However, the  
296 response coefficients of HES to SES undergo little change; i.e., a 1-unit change in  
297 SES is associated with a 14-unit change in HES during both warm and cold IPWP  
298 events. This result is consistent across the different reanalyses, as shown in Table 1,  
299 suggesting that the response of HEA to SEA is largely suppressed during cold IPWP  
300 events. Thus, the response contrast of the HC to different SST meridional structures is

301 distinct between the warm and cold IPWP events; i.e., it is about 5 during warm  
302 events among the different reanalyses, whereas it is about 2 during cold IPWP events  
303 (Table 1). The response contrast of the HC to SST during warm events is equivalent to  
304 that of the long-term interannual variation in the seasonal cycle, as previously  
305 reported (Feng et al. 2016a; Feng et al. 2017). These results imply that the HC is not  
306 as sensitive to underlying thermal conditions during cold IPWP events as it is during  
307 warm events.

308 The above results indicate that the HC response to different SST meridional  
309 structures varies with thermal conditions. The suppressed response contrast of the HC  
310 to SST is primarily due to the suppressed response of equatorially asymmetric  
311 variations. Potential causes for the suppressed influence of equatorially asymmetric  
312 variations will be discussed in the following section.

#### 313 **4. Possible mechanisms for the varied ratio**

314 To explore potential mechanisms for the suppressed response contrast of the HC  
315 to different SST meridional structures under cold IPWP conditions, we first examined  
316 the associated anomalous SST patterns under warm and cold IPWP conditions (Figure  
317 5). Warm IPWP conditions are accompanied by significant positive SST anomalies  
318 over the IPWP, tropical Atlantic, and southeastern Australian coastal regions (Figure  
319 5a). Negative SST anomalies are seen over the tropical eastern and central Pacific  
320 during warm events. In contrast, an insignificant El Niño-like pattern is observed  
321 during cold events, with no significant positive SST anomalies in the eastern and



322 central Pacific, but with significant negative SST anomalies over the IPWP (Figure  
323 5b). A comparison between warm and cold conditions reveals the following distinct  
324 differences. First, the magnitude of SST anomalies under warm and cold IPWP  
325 conditions is not equivalent, particularly within the IPWP. The equatorial flanks of the  
326 IPWP are associated with positive SST anomalies in general (Figure 5c), indicating  
327 the magnitude of SST anomalies associated with warm IPWP conditions is greater  
328 than that under cold conditions. Secondly, the magnitude of the warming in the  
329 southern IPWP is greater than that in the northern IPWP, indicating an  
330 inhomogeneous change occurs across the northern and southern IPWP, which in turn  
331 would induce an anomalous meridional gradient of SST within the IPWP. This is  
332 further verified by the zonal mean SST profiles within the IPWP domain (Figure 6).  
333 Under warm IPWP conditions, the magnitude of warming in the southern IPWP is  
334 greater than in its northern counterpart. The maximum warming occurs around 10°S,  
335 and the minimum occurs near the equator. The convergence in the lower troposphere  
336 is subject to the meridional gradient of SST (Feng et al. 2013; Feng and Li 2013),  
337 indicating an anomalous equatorially asymmetric meridional circulation associated  
338 with this anomalous SST distribution. The anomalous equatorially asymmetric  
339 circulation favors an intensified equatorially asymmetric component of the HC,  
340 contributing to the enhanced explained variance of the HEA EOF1 compared with that  
341 in the entire period. For that the EOF1 explains ~30% of the variance for the HEA  
342 across the three reanalyses during the entire study period, whereas it is around 50%  
343 during the warm IPWP events. However, the zonal mean SST profile under cold

344 IPWP conditions is equatorially symmetric in general, with the maximum at the  
345 equator. Therefore, an equatorially symmetric anomalous meridional circulation  
346 would be associated with this type of SST anomaly. The associated anomalous  
347 circulation would counterbalance the equatorially asymmetric variation of the  
348 meridional circulation, leading to a suppressed explained variance for HEA EOF1  
349 under cold IPWP conditions, as described in section 3 (Figure 1 vs. Figure 2), and  
350 contributing to the suppressed response contrast of the HC to SST. Different  
351 meridional distributions of SST anomalies under warm and cold IPWP conditions  
352 provide a potential explanation for the suppressed response of the HC to SST during  
353 cold events. This is further verified by the sum of the warm and cold IPWP conditions,  
354 which supports this potential source of the differences between the warm and cold  
355 events. An evident equatorially asymmetric SST distribution is seen in the sum of the  
356 warm and cold IPWP events, with the maximum around 10°S and the minimum  
357 around 10°N (Figure 6c). To further verify the role of this SST anomaly on the  
358 meridional circulation, a meridional SST gradient index (MSGI) within the IPWP is  
359 defined as follows:

$$360 \quad \text{MSGI} = \text{SST}_{[0^{\circ}\text{--}20^{\circ}\text{N}, 40^{\circ}\text{E}\text{--}160^{\circ}\text{E}]} - \text{SST}_{[20^{\circ}\text{S}\text{--}0^{\circ}, 40^{\circ}\text{E}\text{--}160^{\circ}\text{E}]} \quad (4)$$

361 The relationship between the IPWPI and MSGI is not significant, with a correlation  
362 coefficient of -0.05. The influence of the anomalous SST meridional gradient within  
363 the IPWP on the meridional circulation was further established by determining the  
364 regressions between the MSGI and the meridional circulation in terms of the MSF and  
365 zonal mean vertical motion (Figure 7). The regression pattern indicates an

366 equatorially asymmetric circulation, with ascent located around  $10^{\circ}\text{N}$ , and descent  
367 around  $10^{\circ}\text{S}$ , which is consistently observed in both the vertical motion (contours)  
368 and MSF (shaded). This indicates that the meridional circulation connected with the  
369 MSGI is equatorially asymmetric. Moreover, we note that the meridional distribution  
370 in the sum of the warm and cold events is similar to the distribution during warm  
371 events, suggesting the difference between the warm and cold events is mainly driven  
372 by the warm events.

373 In addition, there are significant negative SST anomalies over the north Pacific  
374 ( $30^{\circ}\text{--}50^{\circ}\text{N}$ ,  $140^{\circ}\text{E}\text{--}130^{\circ}\text{W}$ ) during warm IPWP events, but no significant signal  
375 during cold IPWP events (Figure 5). The difference between the warm and cold IPWP  
376 events in the north Pacific is further seen in the sum of the warm and cold events  
377 (Figure 5c). We examined the SST variation over this region, and found that the  
378 evolution of SST over the north Pacific undergoes a varying seasonal evolution  
379 (Figure 8). Results from two SST reanalyses indicate that the amplitude of SST  
380 anomalies over the north Pacific are larger during warm IPWP events, and that there  
381 are negative SST anomalies year-round during warm IPWP events. The area-averaged  
382 SST over the north Pacific was employed to further investigate its possible influence  
383 on the meridional circulation (figure not shown). However, the associated meridional  
384 circulation is not significant in either the vertical motion or in the MSF, suggesting the  
385 influence of SST over the north Pacific on the HC is limited.

386 The results presented above suggest that the difference in the response ratio of  
387 the HC to different SST meridional structures is primarily due to the associated SST

388 anomalies within the IPWP; i.e., different SST meridional distributions are associated  
389 with warm and cold IPWP conditions. Warm IPWP conditions are associated with an  
390 inhomogeneous meridional distribution, with greater amplitude in the southern IPWP,  
391 which induce an equatorially asymmetric circulation. Conversely, the meridional  
392 distribution of SST is equatorially symmetric during the cold IPWP conditions,  
393 suppressing the variation of the equatorially asymmetric component of the HC.

## 394 **5. Discussion and summary**

395 Using recent 38-year reanalysis data sets, we investigated the influence of the  
396 IPWP on the response contrast of the HC to different SST meridional structures by  
397 detecting the response characteristics of the HC to SST under warm and cold IPWP  
398 conditions. It was found that the response contrast of the HC to different SST  
399 meridional structures differs between warm and cold IPWP conditions. The response  
400 contrast of HC to SST during warm IPWP events is comparable to that of the long  
401 term and seasonal cycles, as previously reported. However, it is generally suppressed  
402 under cold IPWP conditions. This result implies that the HC is more sensitive to the  
403 underlying thermal structures when the IPWP is warmer. Because the IPWP has been  
404 warming over the past 60 years (Rao et al. 2012; Feng et al. 2013), the results of this  
405 study suggest that the response ratio of the HC to tropical SST may have been  
406 recently enhanced, particularly as it relates to equatorially asymmetric variations  
407 under the influence of the continuous IPWP warming. And it is highlighted that it is  
408 the meridional structures plays important role in impacting the response of the HC to  
409 SST rather than the overall warming conditions.

410 A potential cause of the suppressed response of the HC to SST during cold IPWP  
411 events was also explored. It was found that the meridional distribution of SST  
412 anomalies within the IPWP under cold conditions is equatorially symmetric, in  
413 general. However, this was not the case for warm IPWP events, during which a larger  
414 amplitude in the southern IPWP was observed. Moreover, the distinction between  
415 warm and cold SST events were primarily located in the IPWP, with similar spatial  
416 distributions to those displayed during warm IPWP events. However, the sum  
417 differences of the warm and cold IPWP events were accompanied by an equatorially  
418 asymmetric meridional circulation, favorable for the intensification of the HEA EOF1.  
419 These results highlight the importance of differences in the meridional distributions of  
420 anomalous SST within the IPWP between warm and cold IPWP events to the response  
421 contrast of the HC to SST. In addition, another significant difference between the  
422 warm and cold IPWP events was found in the north Pacific; however, it suggests that  
423 the relationship between SST over the north Pacific and the HC is insignificant. It is  
424 thus concluded that differences in the response of the HC to SST between warm and  
425 cold IPWP events is primarily due to differences in SST meridional anomalies within  
426 the IPWP. Besides, significant signal over the tropical Atlantic is also observed  
427 (Figure 5), however, is not as extensive as the IPWP, the possible influences of the  
428 tropical Atlantic oceans on the response of the HC to SST warrant further work.

429 As reported in previous studies, El Niño events have important impacts on SST  
430 over the IPWP. It is unknown whether results presented here were contaminated by El  
431 Niño effects. We further detected the impacts of the IPWP on the response of the HC

432 to SST by employing long-term datasets. Because the IPWP has undergone  
433 continuous warming, and a long period analysis may be influenced by this linear trend,  
434 we selected two sub-periods, 1958–1972 and 1987–2001, as the cold and warm  
435 phases of the IPWP, respectively, and compared the response of the HC to SST  
436 between the two sub-periods. Here, the two sub-periods were selected based on the  
437 availability of ERA40 reanalysis data, because the National Centers for Environment  
438 Prediction/National Center for Atmospheric Research is limited in its ability to  
439 capture the seasonal cycle of the HC (Feng et al. 2016b; Feng et al. 2017). Results  
440 based on the HadISST and ERSST datasets were similar, and only results based on the  
441 ERSST and ERA40 datasets are shown (Figure 9). The response coefficient of the  
442 HEA to SEA is much smaller during the cold phase than during warm phase  
443 (7.25/4.35/ vs. 18.00/17.37), and the response coefficient of the HES to SES changes  
444 little (4.33/2.59 vs. 5.89/3.43) between the warm and cold phases based on the  
445 ERSST/HadISST (Table 1). Accordingly, the response contrast of the HC to different  
446 SST meridional structures is about 4 (the average of the ERSST and HadISST) during  
447 the warm phase, but about 2 during the cold phase. In addition, to the response of the  
448 HC to SST but based on the high-pass filter (11-month Gauss filter) during the warm  
449 and cold phases is further examined. The regression coefficient of the HEA PC1 (HES  
450 PC1) to the SEA PC1 (SES PC1) is 23.90 (6.14) during the warm phase of the IPWP,  
451 and it is 6.17 (4.31) for the cold phase based on the ERSST and ERA40 (figure not  
452 shown). That is the ratio is 3.9 (1.4) during the warm (cold) phase, and it is 5.5 (1.3)  
453 based on the HadISST and ERA40. This further establishes the decreased response

454 contrast of the HEA to SEA under cold IPWP conditions, resulting in a suppressed  
455 response contrast of the HC to SST.

456 In addition to the role El Niño plays in affecting the SST over the IPWP, it has  
457 also been reported that SST over the IPWP influences the occurrence of El Niño  
458 events (Sun 2003). It has also been noted that a warm Indian ocean may contribute to  
459 a weakening of an El Niño event during its development and termination phases (Kug  
460 and Kang 2006; Luo et al. 2012). It is thus difficult to distinguish the respective roles  
461 of the IPWP and El Niño on basis of the response contrast of the HC to SST. Although  
462 multiple reanalyses are available post-1979, the number of warm and cold IPWP  
463 events without coincident El Niño events is relatively small. Over longer periods, the  
464 available dataset is limited for such a comparison. Therefore, further work will be  
465 performed using numerical models to detect the modulation of the IPWP, and the  
466 combined modulation of the IPWP and El Niño on the response contrast of the HC to  
467 SST.

468 We have demonstrated that SST meridional distributions within the IPWP have  
469 different characteristics between warm and cold events; however, the cause of this  
470 difference remains unknown. Previous studies have noted differences in the warming  
471 trends between the eastern and western IPWP (e.g., Hu and Hu 2012; Rao et al. 2012;  
472 Mathew et al. 2014), and in the zonal gradient of SST within IPWP (Hu and Hu 2012).  
473 However, the meridional gradient within the IPWP has received less attention. Our  
474 previous work found that the southern and northern IPWP have different warming  
475 trends, which could contribute to the formation of the principal mode of the HC (Feng

476 et al. 2013; Li and Feng 2017). In addition, the importance of the meridional gradient  
477 of SST on lower-level convergence and vertical circulation has been confirmed in  
478 earlier work (Lindzen and Nigam 1987). A further exploration of the cause of the  
479 inhomogeneous warming between the southern and northern IPWP will facilitate a  
480 better understanding of its impacts on the regional and global circulation and climate.

481 The focus of this work is the global mean HC; however, the meridional  
482 circulation shows strong regional and seasonal characteristics. For example, during  
483 the monsoon seasons, the ascending branch of the HC within IPWP can move to 15°N  
484 or even farther northward (Li and Zeng 2002; An et al. 2015; Feng et al. 2018). At the  
485 same time, the onset of the monsoon causes a strong air–sea feedback within the  
486 IPWP. The response of the HC to SST will definitely differ between monsoon and  
487 non-monsoon seasons, and between monsoon and non-monsoon regions. Therefore,  
488 an exploration of the response of the HC to SST in different regions and different  
489 seasons, including the feedbacks of SST on circulation, and a comparison of the  
490 differences and similarities that exist in various ocean basins and during different  
491 seasons, is required to understand variations of the regional and global HC.  
492 Meanwhile, only the situation in the global mean is considered, the HC may be  
493 influenced by land conditions as well, therefore, whether the land temperature  
494 significantly different during warm and cold IPWP years, and does the HC exhibit  
495 significant regional changes away from the open ocean region. These questions are  
496 still unresolved and need further work.

497 In addition, the linear relationship between the HC and tropical SST is



498 investigated, however, previous works have shown that the observed SST-rainfall  
499 relationship is highly nonlinear. For example, Gadgil et al. (1984) reported the SST  
500 and cloudiness correlated well for the relatively colder oceans, however, the SST  
501 ceases to be an important factor in determining the variability of cloudiness when it is  
502 above 28°C. Similar SST threshold has been illustrated in Graham and Barnett (1987)  
503 but with a value of 27.5°C. And it is indicated that a recent warming of the tropical  
504 SST could raise the SST threshold conducive to convection itself (Johnson and Xie  
505 2010). Therefore, it is of interest to further investigate whether the decreased ratio of  
506 the HC to different SST meridional structures during the cold IPWP is related to the  
507 reported SST threshold, and to what extent does the SST threshold contribute to the  
508 altered ratio.

509 Finally, knowing the sensitivity of the HC to different SST meridional structures  
510 provides a useful method to evaluate the performance of state-of-the-art general  
511 circulation models (GCMs), and to assess simulations of the models from phase 5 of  
512 the Coupled Model Intercomparison Project (CMIP5). Further work will investigate  
513 the capability of the CMIP5 models to reproduce the response contrast of the HC to  
514 different SST forcing during warm and cold IPWP conditions, and to predict  
515 variations in the HC under future scenarios.

516

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522 ERSST reanalyses were obtained from NOAA and are available at  
523 <http://www.esrl.noaa.gov/psd/data/gridded/>. The JRA55 reanalysis is available online  
524 at [http://jra.kishou.go.jp/JRA-55/index\\_en.html](http://jra.kishou.go.jp/JRA-55/index_en.html). The ERA40 reanalysis is available  
525 online at <http://apps.ecmwf.int/datasets/data/era40-moda/levtype=sfc/>. The ERAI  
526 reanalysis was obtained from <http://apps.ecmwf.int/datasets/>.

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666

667 **Table 1.** Regression coefficients between the HEA (HES) PC1 with respect to the  
 668 SEA (SES) PC1 and their ratio calculated using the various reanalysis datasets.

Types	Dataset	ERSST			HadISST		
		ASY	SYM	Ratio	ASY	SYM	Ratio
Warm events	JRA	82.78	14.09	5.9	44.29	8.99	4.9
	NCEP2	35.17	7.06	5.0	20.59	4.36	4.7
Cold events	JRA	28.72	14.50	2.0	12.34	9.34	1.3
	NCEP2	14.09	7.82	1.8	5.63	5.26	1.1
Warm phase	ERA40	18.00	5.89	3.1	17.37	3.43	5.1
Cold phase	ERA40	7.25	4.33	2.0	4.35	2.59	1.7

669

670 **Figure Captions:**

671 **Figure 1.** (a) The EOF1 of the monthly HC based on JRA (contour), and the  
672 climatological distribution of the HEA (shaded) during the warm IPWP events.  
673 The contour interval is  $0.03 \times 10^{10}$  kg/s. Solid (dotted) contours are positive  
674 (negative) and the zero contour is thickened. (b)-(c) As in (a), but based on the  
675 NCEP2 and ERAI, respectively. Right panel, As in the left, but for the HES.

676 **Figure 2.** As in Figure 1, but for the distribution during the cold IPWP events.

677 **Figure 3.** (a) The EOF1 of the SEA during the warm IPWP events, (b) As in (a), but  
678 for the SES. (c)-(d) As in (a)-(b), but for the distributions during the cold IPWP  
679 events. The red and blue lines indicate based on the ERSST and HadISST  
680 datasets, respectively.

681 **Figure 4.** (a) Scatter plot of the PC1s of the SEA against the PC1s of the HEA during  
682 the warm events (circles), and their linear fit (red squares). (b) As in (a), but for  
683 the scatter plot of the PC1s of the SES against the PC1s of the HES. (c)-(d) As in  
684 (a)-(b), but during the cold events.

685 **Figure 5.** Composite SST anomalies during the (a) warm, and (b) cold IPWP events.  
686 (c) Sum of the SST anomalies during the warm and cold events. Contour lines  
687 indicate the 0.05 significance level.

688 **Figure 6.** Profiles of SST anomaly averaged over the IPWP during the (a) warm, (b)  
689 cold, and (c) sum of the warm and cold events ( $^{\circ}\text{C}$ ). The red and blue lines  
690 indicate based on the ERSST and HadISST datasets, respectively.

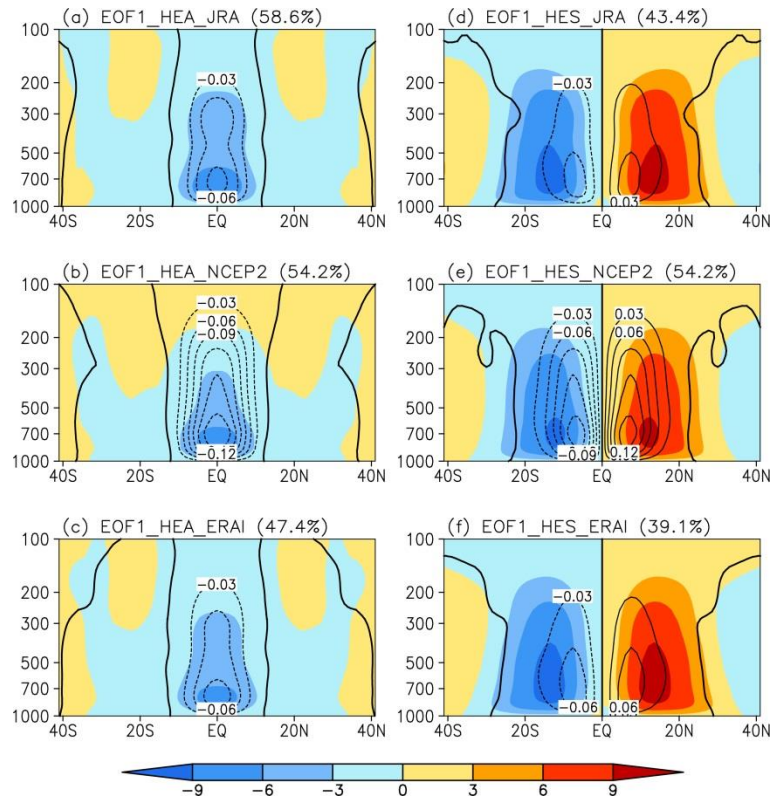
691 **Figure 7.** Regression pattern of the MSF (shaded) and vertical motion (contour) with

692           respect to the MSGI.

693   **Figure 8.** (a) Seasonal cycle of the areal mean SST anomalies within north Pacific  
694           (30°-50°N, 140°E-130°W) in the warm (red) and cold (blue) events based on the  
695           ERSST. (b) As in (a), but based on the HadISST.

696   **Figure 9.** As in Figure 4, but for the result during the (upper) warm 1997-2001 and  
697           (below) cold phases 1958-1972 of the IPWP.

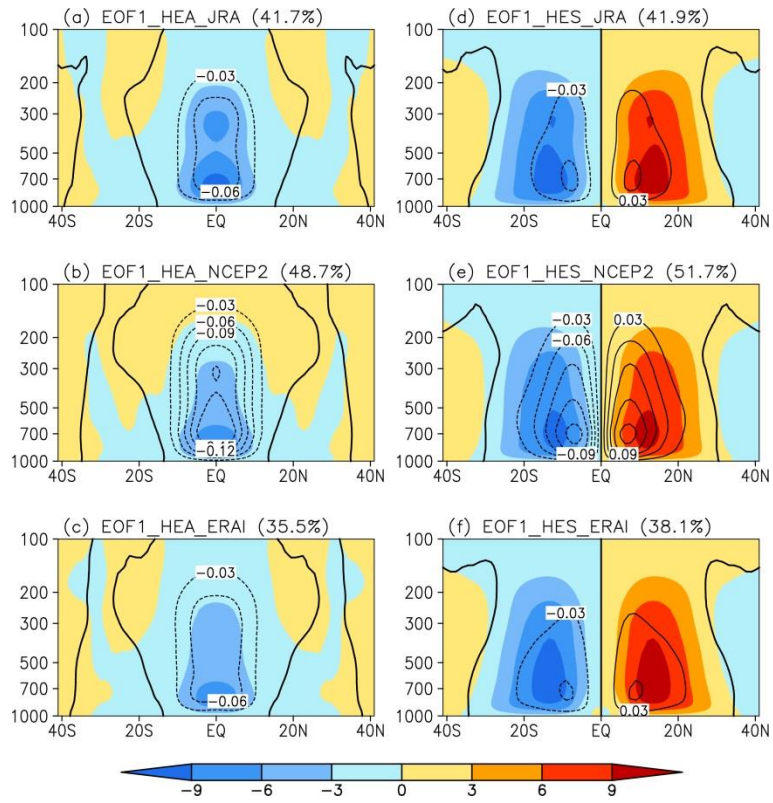
698



699

700 **Figure 1.** (a) The EOF1 of the monthly HC based on JRA (contour), and the  
 701 climatological distribution of the HEA (shaded) during the warm IPWP events. The  
 702 contour interval is  $0.03 \times 10^{10}$  kg/s. Solid (dotted) contours are positive (negative) and  
 703 the zero contour is thickened. (b)-(c) As in (a), but based on the NCEP2 and ERAI,  
 704 respectively. Right panel, As in the left, but for the HES.

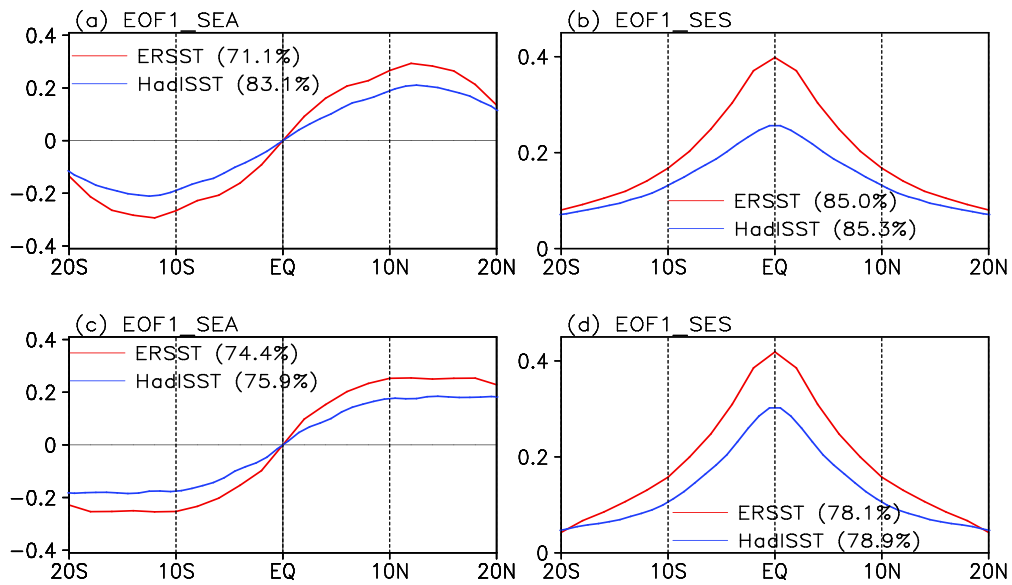
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706

707 **Figure 2.** As in Figure 1, but for the distribution during the cold IPWP events.

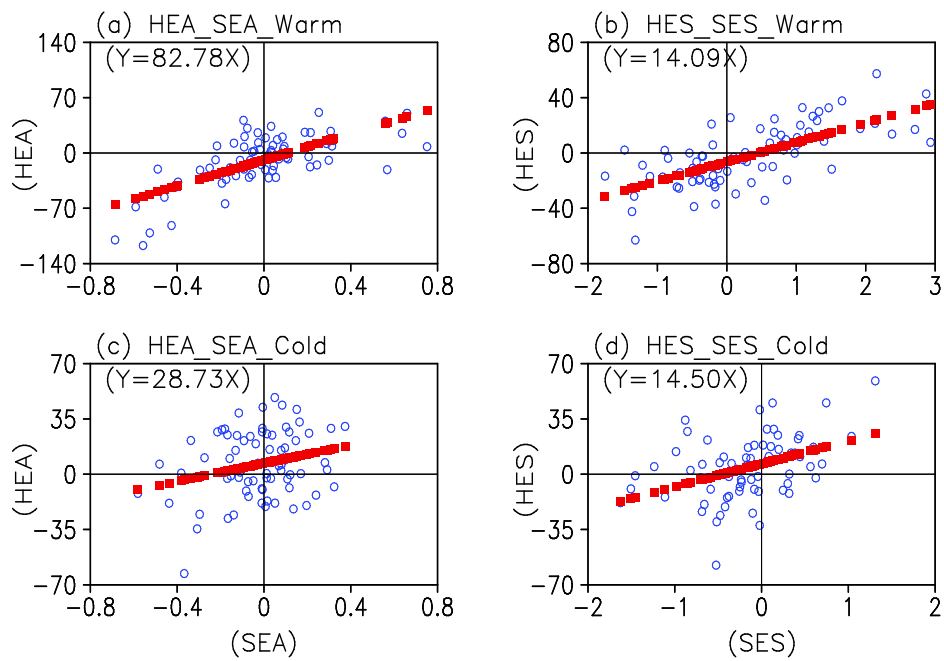
708



709

710 **Figure 3.** (a) The EOF1 of the SEA during the warm IPWP events, (b) As in (a), but  
 711 for the SES. (c)-(d) As in (a)-(b), but for the distributions during the cold IPWP events.  
 712 The red and blue lines indicate based on the ERSST and HadISST datasets,  
 713 respectively.

714

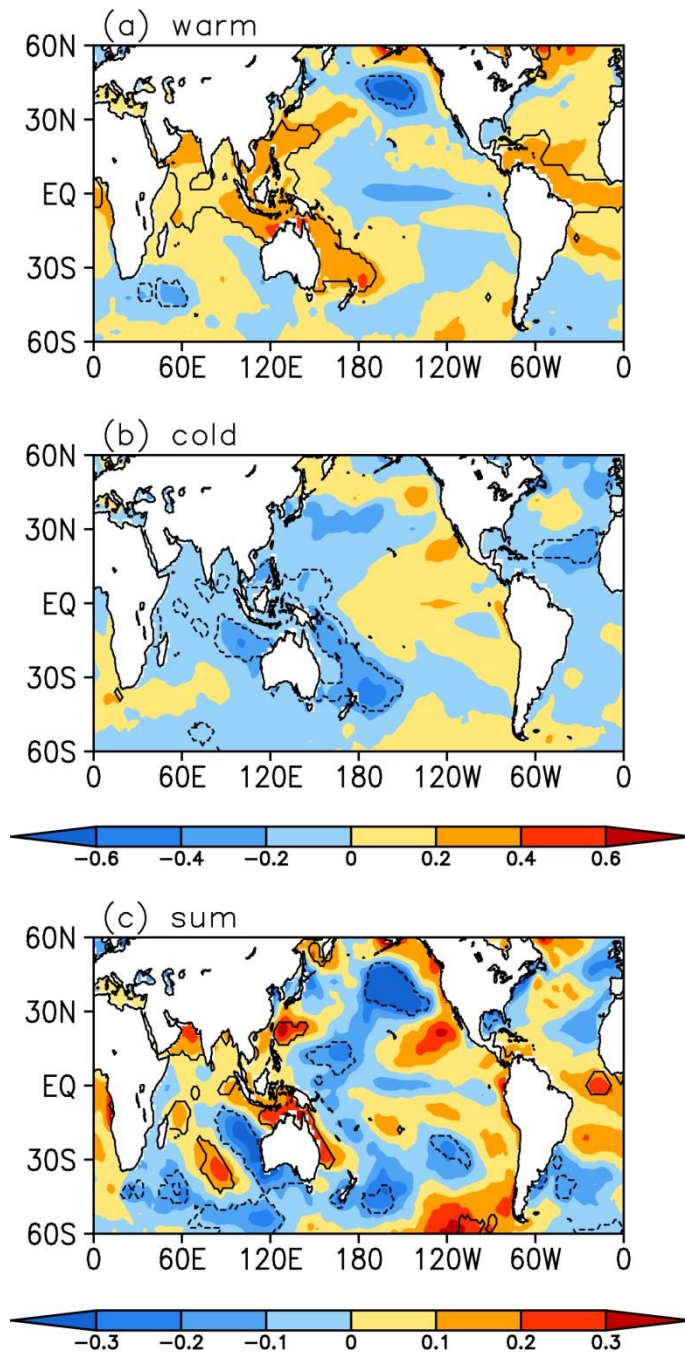


715

716 **Figure 4.** (a) Scatter plot of the PC1s of the SEA against the PC1s of the HEA during  
 717 the warm events (circles), and their linear fit (red squares). (b) As in (a), but for the  
 718 scatter plot of the PC1s of the SES against the PC1s of the HES. (c)-(d) As in (a)-(b),  
 719 but during the cold events.

720





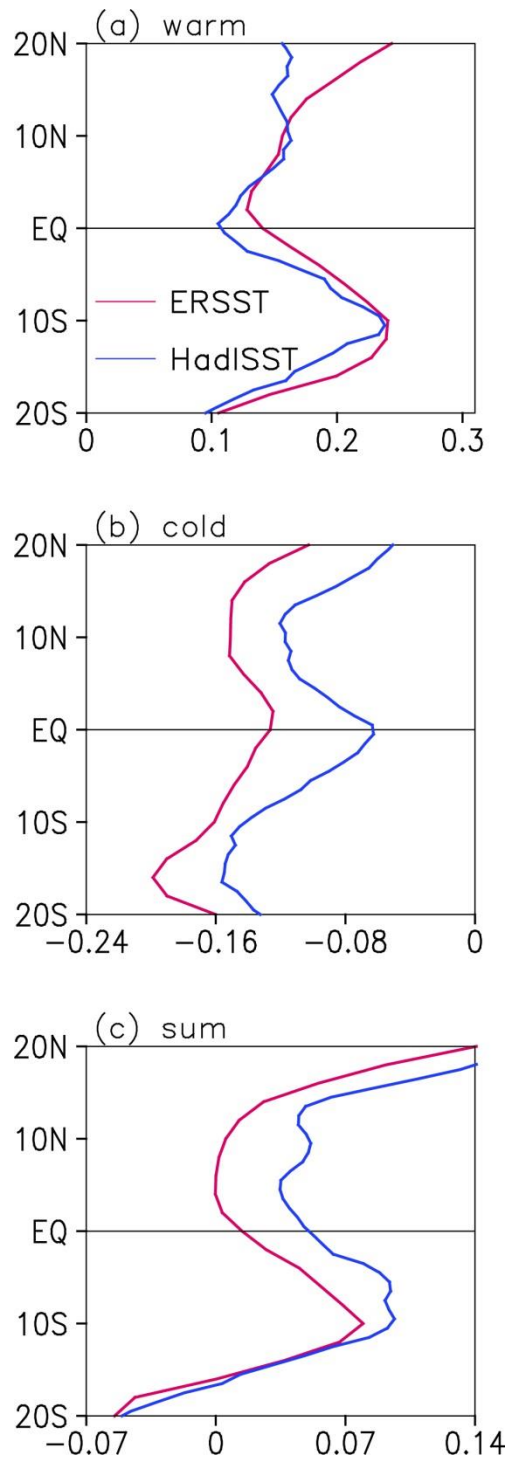
721

722 **Figure 5.** Composite SST anomalies during the (a) warm, and (b) cold IPWP events.

723 (c) Sum of the SST anomalies during the warm and cold events. Contour lines

724 indicate the 0.05 significance level.

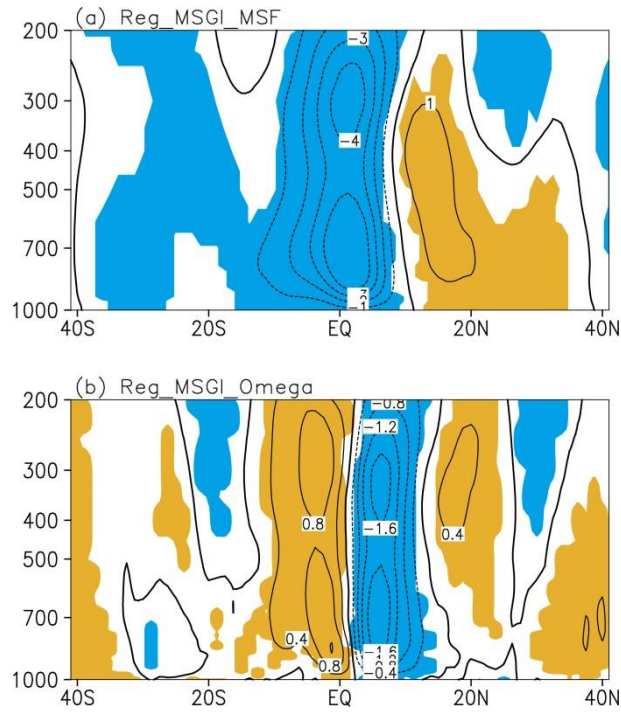
725



726

727 **Figure 6.** Profiles of SST anomaly averaged over the IPWP during the (a) warm, (b)  
 728 cold, and (c) sum of the warm and cold events (°C). The red and blue lines indicate  
 729 based on the ERSST and HadISST datasets, respectively.

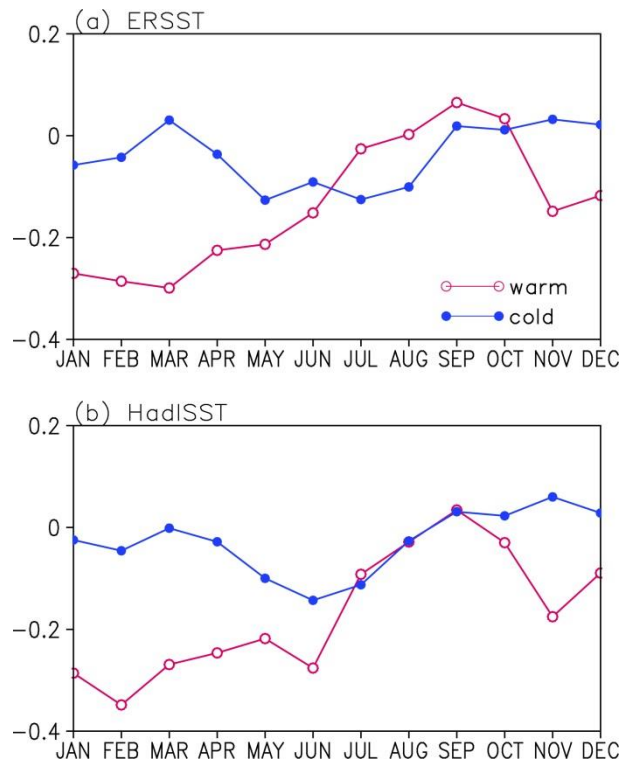
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731

732 **Figure 7.** Regression pattern of the (a) MSF and (b) vertical motion with respect to  
 733 the MSGI. Shading indicates the 0.05 significance level.

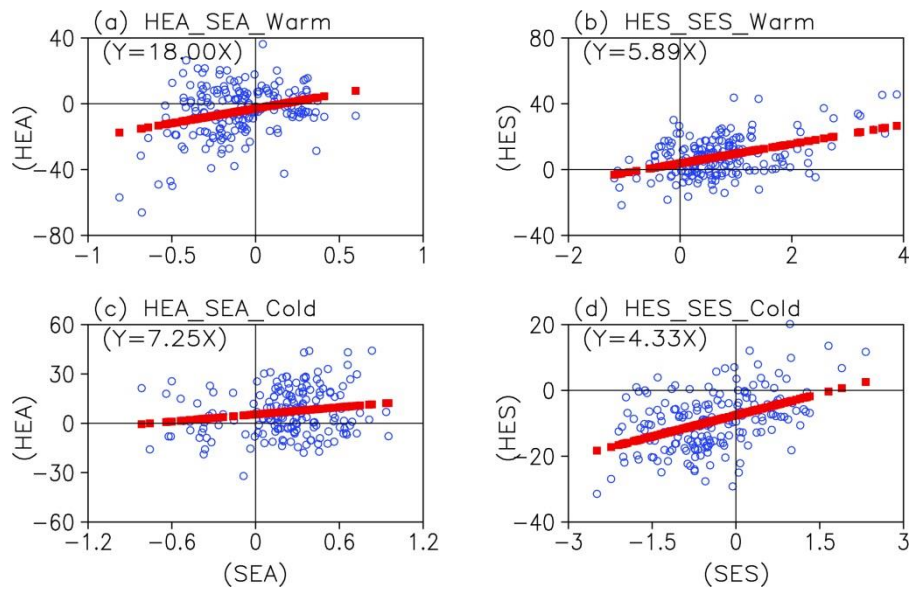
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735

736 **Figure 8.** (a) Seasonal cycle of the areal mean SST anomalies within north Pacific  
 737 (30°-50°N, 140°E-130°W) in the warm (red) and cold (blue) events based on the  
 738 ERSST. (b) As in (a), but based on the HadISST.

739



740

741 **Figure 9.** As in Figure 4, but for the result during the (upper) warm 1997-2001 and  
 742 (below) cold phases 1958-1972 of the IPWP.