



# Varicella Vaccination in Italy and Germany – Different Routes to Success: a Systematic Review

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**VARICELLA VACCINATION IN ITALY AND GERMANY – DIFFERENT ROUTES TO SUCCESS: A SYSTEMATIC REVIEW**

Florence Kauffmann, PhD<sup>1</sup>, Angela Bechini, MSc, PhD<sup>2</sup>, Paolo Bonanni, MD<sup>2</sup>, Giacomo Casabona, MD, PhD<sup>1</sup>, Peter Wutzler, MD, PhD<sup>3</sup>

**Affiliations:**

<sup>1</sup>GSK, Wavre, Belgium

<sup>2</sup>Department of Health Sciences, University of Florence, Florence, Italy

<sup>3</sup>Section of Experimental Virology, Institute of Medical Microbiology, University-Hospital Jena, Germany

**Corresponding author:**

Florence Kauffmann

Address: GSK, Avenue Fleming 20, 1300 Wavre

Email: [FLORENCE.X.KAUFFMANN@GSK.COM](mailto:FLORENCE.X.KAUFFMANN@GSK.COM)

Phone: 0032 108 261 24

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**Abstract:**

**Introduction:** Italy (in pilot regions) and Germany (nationwide) were the first European countries to introduce universal varicella vaccination (UVV) programs.

**Areas covered:** A systematic review was performed to assess varicella epidemiology before UVV programs and the impact of 1-dose and 2-dose UVV programs in Italy and Germany.

**Expert opinion:** Italy implemented 1- or 2-dose UVV programs successively in 8 pilot regions between 2003–2011 and nationwide in 2017. Germany implemented 1- and 2-dose UVV programs in 2004 and 2009, respectively. While Italy had two nationwide surveillance systems in place for varicella in the pre-vaccination era, in Germany, a mandatory notification system for varicella was only introduced in the New Federal States 2 years before the 1-dose UVV implementation. Substantial reductions in moderate/severe varicella and varicella-related hospitalization incidence occurred during the 1-dose era. Further reductions were reported in Italy and Germany after the recommendation of a second dose in a long or short schedule, respectively. Different benefit-risk evaluations of a tetravalent varicella-containing vaccine (MMRV) used as a first dose led to different recommendations (MMRV versus MMR+V) in these countries. Vaccination strategies in both countries tailored to country-specific needs and goals, led to a reduction in varicella-related healthcare and hospitalization costs.

**Keywords:** Burden of disease, Effectiveness, Epidemiology, Germany, Health economics, Immunization coverage, Italy, Surveillance, Systematic review, Varicella vaccine

ACCEPTED MANUSCRIPT

## Article highlights

- Italy implemented 1-dose universal varicella vaccination in two pilot regions from 2003 onwards.
- 2-dose universal varicella vaccination was later implemented in six other Italian pilot regions through 2013 and in 2017 nationwide.
- Germany implemented universal varicella vaccination with 1 dose in 2004 and 2 doses in 2009.
- Long and short interval 2-dose schedules were used in Italy and Germany ( $\geq 4$  years and  $< 1$  year, respectively).
- A tetravalent varicella-containing vaccine is preferred over the combined measles-mumps-rubella vaccine and monovalent varicella vaccine as second dose in both countries.
- Both countries' vaccination strategies led to a reduction in varicella-related healthcare and hospitalization costs.

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## 1. Introduction

Varicella, also known as chickenpox, is a highly contagious disease resulting from primary infection with the varicella-zoster virus (VZV), usually during childhood [1]. Although generally benign and self-limiting, acute varicella can be complicated by secondary bacterial infection of the skin, pneumonia, encephalitis, cerebellar ataxia, arthritis, appendicitis, hepatitis, glomerulonephritis, pericarditis, and orchitis [2]. Most epidemiological studies show that, in temperate climates, more than 90% of adolescents or young adults are seropositive for VZV [3]. VZV remains latent in sensory ganglia, and its reactivation results in herpes zoster (HZ; shingles) [4,5]. Both varicella and HZ are associated with a significant medical, social, and economic burden on the healthcare system [6-11].

Varicella is a vaccine-preventable disease. The Japanese OKA-strain, an attenuated strain of VZV, is used in the production of varicella vaccines licensed in many countries worldwide. The first formulation of an OKA-strain vaccine was developed in 1974 and subsequently used for research purposes in Japan until its local licensure in 1986 [12]. This vaccine was licensed for high-risk children in several European countries since 1984, and its use was later extended to all children [13]. In the European Union (EU) or its member states, two monovalent varicella live-attenuated vaccines (*Varilrix* [OKA/RIT; GSK] and *Varivax* [OKA/Merck; Merck Sharp & Dohme Corp.]) and two combined measles, mumps, rubella, and varicella (MMRV) live-attenuated vaccines (*Priorix-Tetra* [GSK] and *ProQuad* [Merck Sharp & Dohme Corp.]) are currently licensed [14]. The frozen formulation of *Varilrix* was first licensed in 1984 for use in potentially immunocompromised children and their healthy contacts, while its current refrigerator-stable formulation was first licensed in 1994 in Sweden and Germany for use in all children from the age of 9 months [15]. *Varivax* was first licensed in 1995 in the United States (US) in its frozen formulation [16,17], while its refrigerator-stable formulation was approved in Europe in 2003 for use in individuals aged  $\geq 12$  months or, in special circumstances,  $\geq 9$  months [18,19]. *Priorix-Tetra* and *ProQuad* are licensed for use in individuals from 11 months and  $\geq 12$  months of age, respectively. In special circumstances,

both can be administered from 9 months of age [20,21]. *ProQuad* has been approved in the EU in 2006 [22] and *Priorix-Tetra* in several EU countries starting in 2006 [20].

Universal routine vaccination with a live-attenuated varicella vaccine was first implemented in the US in 1995, resulting in substantial decrease in the varicella incidence and in varicella-related hospitalizations and deaths [17,23,24]. Nonetheless, the effectiveness of the 1-dose varicella vaccination later revealed its shortcoming, as breakthrough disease has been documented for a significant proportion of vaccinated children [24,25]. A recent study showed that in 10 European countries, where even though VZV is endemic, 2 doses of varicella-containing vaccine were highly efficacious against all severities of varicella disease within 10 years from vaccination [26].

The World Health Organization (WHO) first published recommendations on routine immunization with varicella vaccines in 1998 [27], which were updated in 2014 [1]. The WHO recommends inclusion of a varicella vaccine in the universal routine vaccination programs of countries if a coverage of  $\geq 80\%$  can be sustained [1]. The number of doses depends on the aim of each vaccination program. While a 1-dose schedule is adequate to reduce varicella incidence and consequently morbidity and mortality, addition of a second dose to the schedule further decreases incidence, prevents virus circulation and consequently the occurrence of outbreaks, and decreases incidence and severity of breakthrough cases [1,28].

In Europe, universal varicella vaccination (UVV) was first introduced in Italy's region Sicily in 2003, followed by Germany (nationwide) in 2004 [29-31]. By 2018, 12 European countries, including Italy, published national-level universal vaccination recommendations, among which 6 had publicly funded varicella vaccination programs [28]. Nevertheless, in some European countries, implementation of UVV programs is still hampered by concerns regarding safety, sub-optimal coverage (which could lead to an increase in varicella incidence in older persons, who are at risk of more severe complications), the quality of surveillance systems, the impact of varicella vaccination on the incidence of HZ, and the



impact of numerous other vaccines administered at the age when the first varicella vaccine dose should be given. Other barriers include cost-effectiveness and the lack of public health priority of varicella vaccination programs due to the alleged low disease burden [28].

The current review aims to describe the success story of varicella vaccination in the first two European countries that implemented pediatric UVV programs, i.e., Italy and Germany. The review also highlights the different approaches and evolution of varicella vaccination strategies and their health impact. Although challenges faced during the implementation process were fairly different in the two countries, a positive outcome of the UVV program may help decision makers define key factors that could affect the impact of varicella vaccination. This may give insight into what might be the best implementation strategy for varicella vaccination in similar settings.

## **2. Methods**

### **2.1. Scope of the review**

The aim of this systematic review was to determine the coverage, effectiveness, safety, and cost-effectiveness of the 1-dose and short and long schedule (<1 year and  $\geq 4$  years between doses, respectively) 2-dose pediatric varicella vaccination programs implemented in Germany and Italy.

### **2.2. PICOS**

As recommended for qualitative systematic reviews [32], the PICOS (Population, Interventions, Comparators, Outcomes, Study design) tool was used to outline the inclusion criteria of the publications that were included in the review. In brief:

*Population:* persons who received varicella vaccination as part of a pediatric varicella vaccination program in Italy (from 2003 onwards, depending on region) or Germany (from 2004 onwards).

*Interventions:* 1-dose and 2-dose varicella vaccinations in Italy or Germany following implementation of UVV, and MMRV vaccination versus MMR+V in Italy or Germany.

*Comparators:* no varicella vaccination; 2-dose schedule versus the 1-dose schedule; short versus long 2-dose schedules; and safety of first dose MMRV versus first dose MMR+V, with a focus on febrile convulsions.

*Outcomes:* incidence, prevalence and morbidity associated with varicella in each country before and after implementation of a vaccination program, as well as vaccine coverage, effectiveness, and impact (on incidence, hospitalizations, complications, breakthrough), health economics (cost-effectiveness), and safety outcomes of vaccinations.

*Study design:* studies were considered relevant if they assessed varicella infection and vaccination at a regional and/or national level in Italy or Germany.

The full details of each parameter can be found in the protocol (Supplement 1), which has been registered with the International Prospective Register of Systematic Reviews (PROSPERO, registration ID126775). Relevant time periods for assessment of each of the interventions are defined in more detail in the protocol (Supplement 1), but only data for confirmed relevant national or regional varicella vaccination programs were included.

### **2.3. Search strategy and selection criteria**

Literature search strategies were developed using medical subject headings (MeSH) and text words relating to varicella infection and varicella vaccination in Italy and Germany. The full list of terms and details of the search strategies are provided in Supplement 2.

To minimize publication bias, both qualitative and quantitative studies were included, and no study design limits were imposed on the search. Conference databases, clinical trial databases, internet sources and the 'grey' literature were included in searches, as well as PubMed, Embase, and the Cochrane Library, to ensure that the review included relevant studies regardless of publication type or status. Furthermore, to minimize language bias,

searches of PubMed, Embase and government reports were not restricted to English language papers. Literature in Italian and German (the official languages of the countries of interest) that was deemed relevant based on abstracts was translated and included.

Each potentially relevant reference identified by the searches was assigned a unique identifying number and duplicate citations were removed prior to screening. To minimize bias, studies were assessed for inclusion using selection criteria defined by the Centre for Research and Dissemination, University of York, United Kingdom [33]. A two-stage screening process was employed: initial screening of titles and abstracts, followed by retrieval and screening of full papers that were identified as possibly relevant.

#### **2.4. Data extraction and analysis**

Combined data extraction and data entry was performed using an electronic form, which facilitated data analysis and generating the tables [33]. To maintain the quality and integrity of the review, each potential study was assessed for quality of the study/publication and risk of bias (e.g., type of study) [33]. To reflect the epidemiological/observational nature of public health studies, the Cochrane grading system for bias was employed and studies were assigned a judgement of 'Low risk', 'High risk', or 'Unclear risk' of bias [34,35]. The heterogeneity of the studies identified by this search allowed for a narrative synthesis that explores the relationship and findings both within and between the included studies, in line with the guidance from the Economic and Social Research Council Methods Programme and accepted by the Centre for Reviews and Dissemination [33].

#### **2.5. Additional sources**

In addition to the articles identified using the aforementioned search protocol, we also retrieved additional publications from the reference lists of articles identified by the systematic review as well as articles containing information on national and regional recommendations and surveillance systems used in Italy and Germany for varicella disease.

### **3. Results**

The initial literature, congress and website searches identified 3,059 potentially relevant records (Figure 1). Following removal of duplicates and screening of titles/abstracts and full-text articles (where appropriate), 123 records were identified as providing data relevant to the scope of the review (Supplement 3, Table S1) [6,8,29,31,36-154].

Only few articles focusing on febrile convulsions after MMRV vaccination were identified by our systematic literature review. All of these relied on modelling rather than real-world data and did not allow a robust interpretation of this topic. As the present report is aimed to review real-world safety and effectiveness data, related modelling studies were not included. Tables 1–3 and Supplementary tables S1–S4 present an overview of the data retrieved by the systematic literature review. The analysis focused primarily on publications with a low risk of bias and those that provided information collected in a consistent way both before and after the introduction of UVV in Italy and Germany.

### **3.1. Pre-vaccination varicella epidemiology**

#### **3.1.1. Italy**

Prior to the introduction of UVV, seroprevalence increased with age, from 20%–40% in children 0.5–4 years to >80% by the age of 18 years [36,38,79,91,98] (Table 1). Of 11 European countries evaluated between 1996 and 2003, Italy was the only one in which less than half (38%) of children aged 5 years were seropositive for VZV. Italy also presented the lowest seroprevalence rate at 15 years of age (78%) and the largest proportion of seronegative 20–29-year-olds (11%), child-bearing females 15–39 years old (13%), and female teenagers (18%) [38]. Varicella was subject to mandatory notification before UVV implementation commenced. Italy's National Institute of Statistics (ISTAT) and its regional departments collected reports of varicella cases based on a case definition [132]. Due to a certain degree of underreporting in this system [155], a sentinel surveillance system was also established (Italy's Paediatric Sentinel Surveillance System of Vaccine-Preventable Diseases [SPES]), which included a sample of pediatricians [132]. Before 2003, the annual incidence of varicella was generally greatest in children <5 years of age: 8,020 per 100,000 (Table 2)

[6,36]. SPES data indicated regional variability. Similar or higher incidences were reported in Veneto compared to the national-level, higher in Sicily, and lower in Tuscany [98,112,118,127,132].

The pre-UUV annual national varicella-related hospitalization rate was 37.5 per 100,000 children <2 years of age (Table 3) [110]. Regional data showed a wide variation in pre-UUV hospitalization rates. Among children  $\leq 17$  years of age, hospitalization was required for 16.8% of those reaching to an emergency department with varicella in Florence and 1.2% of notified cases in Tuscany [123,127]. The median age of hospitalized persons was 5 years before the regional immunization program in Sicily [29]. In 1997–1998, a large national epidemiological study showed that among 3,000 children aged <14 years with varicella, 126 (4.8%) had complications [103]. A high percentage (up to 38%) of varicella-related complications were reported to be neurological [120]. In the Tuscan pediatric population, the most frequent complications of hospitalized cases were of respiratory nature [127]. According to ISTAT data, a mean of 5.5 varicella-related deaths occurred annually between 1991 and 2002 [98].

Two large nationwide epidemiological studies estimated the cost of varicella in  $\leq 14$ -year-olds at  $\sim$ €130 per patient, with 70% of that being attributed to indirect costs, mainly due to absence from work [102,103].

### 3.1.2. *Germany*

The seroprevalence rate of VZV-specific antibodies in the pre-UUV period was  $\leq 12\%$  at the end of first year of life, increased to about 65% in 5-year-olds, and reached 95% by the age of 15–19 years [38,53,88]. The immunity gap in women at childbearing age (18–39 years) was 3%–4% [88]. An analysis based on serum samples collected between 1996 and 2003 showed that the proportion of VZV-seronegative persons was among the lowest of the 11 European countries included in the study, reaching 2.3% in 20–29-year-olds [38].

Varicella became a notifiable disease in two German federal states between 2002 and 2003 [48]. Systematic reviews showed that before 2004 the annual varicella incidence was generally greatest in children <5 years of age: 11,884 per 100,000 population (Table 2) [6,36].

Robust epidemiological and surveillance reports showed annual hospitalization rates due to varicella of 42.6–96.5 per 100,000 for children <1 year, 21.8–47.6 per 100,000 for children of 1–4 years and 6.1–14.3 per 100,000 in children aged 5 to 9–10 years; rates in older children and adolescents were 1–2 per 100,000 (Table 3) [39,69]. A large epidemiological study calculated that among children with a mean age of 7.4 years with varicella, 5.7% (95% confidence interval [CI]: 4.5–6.9) had complications [86]. Federal epidemiological data indicated that VZV-associated annual mortality rates were between 0 and 4 per 100,000 between 1994 and 2004 [70,71].

A large epidemiological study estimated the cost of varicella at €150 million/year, with an average of 0.7 and 5.9 working days lost due to uncomplicated varicella in children and adults, respectively [86].

## **3.2. The 1-dose era**

### *3.2.1. Recommendations and coverage*

#### *3.2.1.1. Italy*

A 1-dose UVV program was introduced in Sicily and Puglia in 2003 and 2006, respectively, for all children aged approximately 15 months [118,133,156] and for all susceptible adolescents at 12 years of age in Sicily [156]. Until 2017, varicella vaccination was recommended at a regional level only and therefore varicella immunization policies differed widely between regions. Vaccine coverage for the single dose reached >75% in Veneto and >90% in Puglia [132,133]. In addition to Sicily and Puglia, Basilicata, Calabria, Friuli Venezia Giulia, Sardinia, Tuscany, and Veneto also implemented UVV programs starting from 2005 with a 2-dose schedule. In 2012, the coverage of 1 dose across these 8 Italian regions

reached 84–95% in 24-month-olds [125]. Additional details are provided in Supplement 3 (Table S2).

### 3.2.1.2. *Germany*

Following the recommendations of the Standing Committee on Vaccination at the Robert Koch Institut (STIKO), Germany implemented national-level routine varicella vaccination in 2004 for all children aged 11–14 months along with a catch-up program for all susceptible children and adolescents, consisting of 2 doses for children over 13 years of age [30]. To evaluate vaccine coverage and the epidemiological impact of the vaccination program, a nationwide sentinel surveillance system was initiated among primary care pediatricians and general practitioners in 2005 [31,157]. A mandatory notification system was introduced in the New Federal States between 2002 and 2009 and nationwide in 2013 [48]. After the introduction of the 1-dose varicella UVV program, coverage rates generally increased year by year up to 65% (Supplement 3, Table S2) [44,61,65,66]. During the single-dose UVV era, coverage of a second varicella vaccine dose (not publicly funded) increased between 2004 and 2009 [31,61,73]. As of 2006, when the MMRV vaccine was licensed, more doses of the combined MMRV vaccine were administered compared to the monovalent varicella vaccine [31].

### 3.2.2. *Vaccine impact*

#### 3.2.2.1. *Italy*

Surveillance studies in regions that implemented a UVV program showed that 1 dose significantly decreased the incidence of varicella infection by 30%–80% (depending on vaccine coverage rate) (Table 2) [112,113,125,131,133]. Reductions in varicella infections of  $\geq 89\%$  were reported for Sicily and Puglia [29,134]. A nationwide surveillance study also indicated that there was a significant decrease in incidence from 164 cases in 2006 to 101 cases per 100,000 in 2009 ( $p < 0.01$ ) [95]. In Puglia, Sicily and Veneto, significant reductions in varicella-related hospitalizations were observed as soon as 4 years after the introduction

of UVV ( $p=0.0004$  for Puglia and  $p<0.0001$  for both Veneto and Sicily) [134]. This study also showed that compared with non-UVV regions, the varicella incidence and varicella-related hospitalization rates declined more rapidly in UVV regions ( $p=0.0428$  and  $0.0427$ , respectively). This was consistent with other data from Tuscany as well as from Puglia, which also showed a significant decrease in hospitalization rates within 4 years following UVV introduction (44% in Tuscany, 26% in Puglia) [114,133]. In Sicily, there was a decrease in the number of complications from 57 cases in 2002 to 14 in 2007 (Table 3) [115].

#### 3.2.2.2. *Germany*

Surveillance studies demonstrated that the 1-dose UVV program decreased the varicella incidence by approximately 63–75% in children  $\leq 4$  years of age (Table 2) [31,41]. At a regional level, significant decreases in the varicella incidence were also reported in Munich, Bavaria [65]. One dose was shown to be highly effective in 1–2-,  $\leq 4$ -, and  $\leq 16$ -year-olds [51,56,57]. A report, based on a surveillance study of outbreaks in day-care centers, showed vaccine effectiveness in 2008–2009 of 72% (95% CI: 59–81,  $p<0.001$ ), with no difference by age, day-care center, or gender [44].

Varicella-related hospitalizations decreased from 13.3 (95% CI: 11.7–15.1) per 100,000 people in 2005 to 4.8 (95% CI: 3.6–6.3) per 100,000 in 2011, which equates to an ~65% decrease over the initial period of UVV (Table 3) [42]. Decreases of a similar and significant magnitude were observed when data on hospitalizations from 2005–2012 were compared with data from the pre-UVV era ( $p<0.05$ ) [39]. As expected, these reductions were greatest in the  $<1$  and 1–4 years age groups, at 61.3% and 62.6%, respectively. A single study on varicella-related hospitalizations between 2004 and 2010 demonstrated that a two-fold increase in vaccine coverage was associated with a two-fold decrease in hospitalizations [41]. A robust epidemiological study showed that the percentage of varicella-related complications across all ages was 0.4% in 2005/2006 (first season of UVV) and 0.2% by 2008/2009 (fourth UVV season) [31].



VZV-associated annual mortality rates post-UVV introduction were consistently low, at 0–1 per 100,000, based on federal epidemiological data [71]. Even though mortality rates may have decreased following UVV implementation, it is difficult to compare them with pre-UVV data [70], because mortality rates were consistently low.

Herd effects of 1-dose varicella vaccination were shown by relating vaccine coverage to varicella incidence reductions in children from all age groups [56]. As such, varicella-associated hospitalization rates in pediatric oncology patients, who were not eligible for vaccination, showed a decreasing trend in the 2005–2009 period, likely as a result of herd effects [52].

### 3.2.3. *Health economics*

#### 3.2.3.1. *Italy*

Most post-UVV introduction studies focused on reductions in varicella-related hospital costs, with Italian regions calculating a reduction of >70% since the implementation of UVV (Supplement 3, Table S4) [29,114,125]. For example, 1 year after UVV implementation, vaccine coverage was 60% in Friuli Venezia Giulia and a 10% reduction was observed in varicella-related hospitalization costs. In Puglia, 6 years after UVV implementation, coverage was 91% and an 86% reduction was observed [125]. A robust surveillance study in Tuscany of 52,738 varicella cases reported a saving of 43% or €613,121 (€153,280 per year) in the UVV period (2009–2012) compared with the pre-vaccination period (2004–2007) [114]. Transmission models with Italian population data have estimated that varicella vaccination resulted in net savings (indirect and direct costs), mainly from a societal perspective (including reductions in time off work and time caring for sick children), and savings were highest when including the routine vaccination of toddlers [97]. Considering a vaccine coverage of 90%, it was predicted that for every €1 invested in vaccination, a saving of €1.20 from the health-system perspective and of €3.50 from a societal perspective was gained [76].

#### 3.2.3.2. *Germany*

In 2002, vaccination at the age of 12 months with or without a catch-up program for adolescents aged 11–12 years were anticipated to be the most cost-effective strategies, resulting in reductions in varicella infection of 84% and 83%, respectively and in net savings of €53,000,000 and €51,300,000, respectively [89] (Supplement 3, Table S4). While vaccination of susceptible 11–12-year-old adolescents was anticipated to have the highest benefits from a societal perspective, vaccination of all children aged approximately 15 months was anticipated to yield the best medical effects [74]. A 90% coverage rate was predicted to yield an 87% decrease in varicella-associated deaths, a 61% decrease in societal expenses, and a 51% decrease in third-party payer expenses [77].

### **3.3. The 2-dose era**

#### *3.3.1. Recommendations and coverage*

##### *3.3.1.1. Italy*

A second varicella vaccine dose in the 5<sup>th</sup> year of life was added in the regional varicella vaccination program of Sicily in 2010, including the possible use of MMRV vaccine [29]. Similarly, the 1-dose MMR and the monovalent varicella vaccines were replaced in Puglia in 2009 by a first dose of MMRV at the age of 13 months and a second dose of MMRV or MMR+V at 5–6 or 11–12 years of age [133]. In 2005, Veneto introduced UVV for all children at 15 months of age with a second dose at the age of 6 years, and for all susceptible adolescents at the age 12 years [134]. In Tuscany, UVV was implemented in 2008 with 2 doses of MMRV at the ages of 13–15 months and 5–6 years [158]. By 2015, all 8 regions that implemented a regional varicella vaccination program between 2003 and 2013 were using a 2-dose schedule [125]. The trend of increasing vaccine uptake over the years post-UVV implementation was maintained for the 2-dose regimen (Supplement 3, Table S2) [133,134]. However, from the limited data comparing first- and second dose uptake, coverage of the second dose was initially much lower than that of the first dose [133]. Following the introduction of MMRV vaccination, studies indicated that this formulation was preferred, and coverage in Veneto quickly increased up to 79% in the 2008 birth cohort [132].

Vaccination coverage data at 5–6 years of age were not available routinely in Italy before 2016. Starting from the 2014 birth cohort, coverage for the second dose was collected at the national level [159]. One-dose coverage at 24 months of age was on average unsatisfactory in 2015: 30.7% at the national level, and 53–84% across the 8 regions with UVV programs [160]. Nationwide UVV of all children was included in the Italian National Plan for Immunization for 2017–2019 and has been mandatory and reimbursed since 2017. Two doses are recommended at the ages of 13–15 months and 5–6 years [160,161]. For risk groups, varicella vaccination was already included in the 2005–2007 Italian National Plan [162].

### 3.3.1.2. *Germany*

The UVV program was amended in 2009 to include a second varicella-containing vaccine dose between 15 and 23 months of age, at no less than 4 weeks after a first dose, which is administered at 11–14 months of age. Vaccination could be either with a monovalent varicella vaccine co-administered with MMR at different injection sites or with MMRV [163,164]. Within 2 years following the introduction of the MMRV vaccination, studies indicated that the MMRV formulation was preferred in ~90% of cases [67]. Due to a slight increase in febrile convulsions after using either of the 2 available MMRV vaccines as a first dose, separate but concomitant varicella and MMR vaccinations were recommended in 2011 for the first dose instead of MMRV [63,165,166]. Although the use of MMRV as a first dose declined to rates as low as 25% of the initial usage in the year after the recommendation, data from selected centers showed that the overall uptake of the first varicella vaccine dose did not decrease (Supplement 3, Table S2) [67]. Another study identified regional declines in first varicella vaccine dose uptake in Munich (12%) and, to a lesser extent in Würzburg (4%) [85]. A study evaluating administration of the short 2-dose regimen in five states to children born in 2009 (the year of this regimen's implementation) showed that vaccine coverage was as high as 87% for the first dose. Furthermore, 64% of children also received a second dose [41]. A national surveillance study showed that there was a 2-fold increase in the coverage of

2 doses between 2009 and 2014 (35% versus 68%, respectively) [51]. By 2015, the first dose coverage increased to 80–90% nationwide [50,73], and only <4% of the children who received a first dose did not receive their second dose [73].

### 3.3.2. *Vaccine impact*

#### 3.3.2.1. *Italy*

In Sicily, varicella notifications decreased by >95% between 2003 and 2012 (Table 2) [29]. In Puglia, Sicily, and Tuscany, the varicella incidence fell below 0.5 cases per 1000 person-years by the fourth year after UVV commenced; in Veneto, this decline occurred by the sixth year [125]. In Puglia, a robust study showed that between 2006 and 2012, breakthrough accounted for 3.4% of varicella cases in children aged <72 months and 2.9% of cases in children hospitalized due to varicella [133]. A second study showed that while breakthrough accounted for >25% of cases of varicella infection in Tuscany between 2010 and 2013, this proportion decreased from 40.5% in the 2008 birth cohort to 4.5% in the 2011 birth cohort, which coincided with increasing vaccine effectiveness [131]. This second study included children who had received 2 doses of varicella vaccine, but calculated the proportion of breakthrough in children who had received at least 1 dose. Of the vaccinated children from the 2008–2011 birth cohorts, only 0.3% experienced breakthrough varicella [131].

A study of nationwide hospital databases revealed a decrease in hospitalization rates from 4.2 per 100,000 inhabitants in 2002 and 2004 to 1.9 per 100,000 in 2013 and 2014 (Table 3) [91]. This coincided with the introduction of regional UVV from 2003 to 2013. Pooled data from all 8 regions that had implemented regional UVV programs since 2003 showed a substantial reduction of varicella cases and hospitalizations by 2012 [125]. More exactly, in Sicily and Puglia, the incidence of varicella-related hospitalizations dropped to 0.8 and 1.1 per 100,000 person-years by 2012 and 2009–2012, respectively [29,133].

A robust epidemiological study across three regions in Italy implementing UVV indicated that the rate of HZ-related hospitalizations in adult patients reported was consistently lower in these regions since UVV began (Supplement 3, Table S3) [94].

#### 3.3.2.2. *Germany*

Two national surveillance studies of insurance claims demonstrated that vaccine effectiveness was higher after 2 doses than after 1 dose (Table 2) [50,51]. One of these studies looked at the 2009–2014 period and showed that vaccine effectiveness after 1 dose of varicella vaccine was 86.6% (95% CI: 85.2–87.9) compared with 97.3% (95% CI: 97.0–97.6) after 2 doses [51]. The other study showed that 2-dose effectiveness for all combinations of varicella and MMRV vaccines ranged between 94.3% (95% CI: 93.9–94.8) and 95.0% (95% CI: 94.3–95.5) suggesting that the type of vaccination administered and the order do not influence effectiveness. In addition, an interval of 28 days to 3 years between vaccinations had no effect on vaccine effectiveness [50]. Furthermore, an epidemiological prospective, matched case-control study in Bavaria showed that age at vaccination of <15 vs ≥15 months did not influence vaccine effectiveness [57]. The overall picture indicates 3- to 4-fold decreases in the incidence of varicella infection with the introduction of the 2-dose UVV program. It should be noted that the UVV program has not eradicated varicella infection, with coverage rates in the range of 70%–90% depending on the study, year and region [31,39,41,50,85]. A sentinel network surveillance study of varicella cases that occurred between April 2005 and March 2014 identified 111,456 cases, of which 4,357 were breakthrough cases [40]. Of these, 80% were in children who had received 1 dose of vaccine and 20% in those who had received 2 doses [40]. However, the absolute number of breakthrough cases appeared to increase over time, which possibly reflects increasing rates of immunization [31]. Some studies provided data suggesting that breakthrough varicella was associated with a lower risk of fever and with moderate disease, and that 2 doses of vaccine were associated with a lower risk of breakthrough than a single dose [44].

According to national hospital discharge data, the mean age-adjusted incidence of varicella-related hospitalizations decreased from 3.3 to 1.9 per 100,000 person-years after UVV introduction (2005–2012 period), with the highest declines observed in regions with the highest vaccination coverage (Table 3) [39].

A surveillance study concluded that childhood varicella vaccination has not affected the incidence of HZ in older age groups (Supplement 3, Table S3) [39].

### 3.3.3. *Health economics*

#### 3.3.3.1. *Italy*

Using an Economic Varicella Vaccination Tool for Analysis model, the 2-dose vaccination of toddlers aged 1–1.5 years, of adolescents aged 13 years, or of toddlers and adolescents through a catch-up program were anticipated to be highly effective in reducing the disease burden of varicella. These 3 strategies were also anticipated to result in significant net savings from a societal perspective but to higher costs as compared to return of investment from a National Health Service perspective (Supplement 3, Table S4) [97]. In Sicily, direct varicella-related hospitalization costs decreased by 80% between 2003 (€485,000) and 2012 (€82,000) [29]. In Puglia, varicella-related hospitalization costs decreased from €319,000/year during the pre-vaccination era (2003–2005) to €267,000 per year during the 1-dose era (2006–2008), and to €106,000 per year in the 2-dose era (2009–2012) [133].

A pooled analysis of 6 Italian regions, namely Basilicata, Friuli Venezia Giulia, Puglia, Sicily, Tuscany, and Veneto, revealed a reduction in healthcare expenses of the Regional Health Service by >50% as compared to 2004, settling at under €1,000,000 in 2012. Annual varicella-related hospitalization costs decreased by 60% during the same period, with higher reductions observed in Puglia (86%), Sicily (83%), Tuscany (77%), Veneto (75%), and Basilicata (71%), whereas in Friuli Venezia Giulia, the latest to implement UVV, this reduction was 10% [125].

#### 3.3.3.2. *Germany*

Several modelling studies have estimated that varicella vaccination results in net savings despite an increase in direct costs (Supplement 3, Table S4). Of note, a number of these models used scenarios in which only seronegative toddlers and/or adolescents were vaccinated. However, models using routine vaccination of toddlers have estimated total costs savings from the societal perspective of up to 61% and a decrease of 59% in complications compared to no vaccination [77].

### **3.4. Predictions on the impact of UVV programs on epidemiology of HZ**

Predictions using modelling studies including population data show that the HZ incidence would increase in the 50 years post-UVV introduction as a natural boom in both Italy and Germany (Supplement 3, Table S3) [49,80,84,96]. However, after this time, the predicted subsequent impact of long-term UVV (up to 100 years) on the incidence of HZ becomes country-specific. In Italy, the increase in incidence of vaccination-related HZ is predicted to be sustained with long-term UVV [80]. In contrast, in Germany, the initial increase in incidence will not be sustained, with a subsequent decrease of >50% estimated [49]. Nevertheless, these modelling predictions have not been confirmed by real world evidence [49].

## **4. Conclusion/summary**

Although the UVV strategies adopted in Italy and Germany are significantly different, each met the respective country's epidemiological situation and objectives and was successful. In pilot regions of Italy and in Germany, 1-dose UVV was effective, especially against disease of moderate/severe intensity and varicella-related hospitalizations. As a result of the age-based epidemiology data generated by each country's surveillance system, the timing chosen for the second dose differed between Italy and Germany, which adopted a long and a short schedule, respectively. The addition of the second dose to the UVV programs led to further reductions in the incidence of varicella of any severity, with incremental effectiveness of the second dose demonstrated in Germany. Although concomitant MMR+V vaccination

reduces the risk of febrile convulsions as compared to MMRV when administered as a first dose, it was also shown to temporarily reduce varicella vaccine uptake. Both the long and the short schedule led to a reduction in healthcare and hospitalization costs.

## **5. Expert opinion**

Before implementation of UVV, the disease burden of varicella in Italy and Germany was very high. Cost-effectiveness studies anticipated that UVV programs would substantially reduce this burden and be cost-effective, especially in susceptible children and adolescents. Nevertheless, some key barriers needed to be overcome in these countries, which will also likely be faced by newly implementing countries.

First of all, varicella is generally perceived to be a mild disease. Nevertheless, when taking into account its burden from all perspectives (e.g., in addition to treatment, the need for an adult caregiver for the afflicted child; expenses from both societal and healthcare perspectives), vaccination was shown to be, as predicted, a cost-effective means to reduce this burden in both Italy and Germany. Particularly, varicella-related hospitalization costs decreased remarkably (by up to ~90%) within a few years after implementation of a UVV program with either 1 or 2 doses. This translates to substantial savings, given that a high proportion of varicella patients with complications end up being hospitalized. Another major benefit of UVV programs is that these also ensure indirect protection of unvaccinated immunocompromised individuals against varicella and of adults against severe varicella through herd effect.

Other barriers include concerns about the shift of varicella to older age groups and concerns regarding potential increases in HZ incidence. In Italy and Germany, no shift to older ages occurred in varicella incidence; reductions occurred in all age groups, suggestive of a herd effect. In contrast with model predictions of HZ incidence within the first 50 years of UVV in Italy and Germany, worldwide evidence gathered to date provides ambiguous results that do not support a vaccination-associated increase in HZ incidence in older populations [5,167-



173]. Moreover, vaccination even prevents HZ in children [174,175]. Although the failure of previous models to predict HZ epidemiology in the context of varicella vaccination is multifactorial, a drawback of these models is that they did not account for endogenous boosting, which has been recently shown to play a role as important as exogenous boosting in protection against HZ [176]. The role of exogeneous boosting in contacts (i.e., parents, grandparents) of varicella-infected children is well-established in protection against HZ [177,178]. In real-world settings, the role of endogenous boosting in protecting against HZ has been confirmed in members of contemplative monastic orders, in whom the lack of exposure to VZV did not increase the risk of HZ or result in earlier HZ onset compared to the general population [179]. Of note, as the HZ risk can be substantially reduced by vaccination, any future changes in HZ epidemiology in countries implementing UVV programs need to be interpreted considering vaccination strategies against HZ. In Germany, the STIKO recently issued recommendations on the use of the highly effective adjuvanted recombinant zoster vaccine [180].

Finally, alleged safety concerns perpetuated via internet and social media may result in hesitancy of parents to have their children vaccinated. Public health stakeholders of newly implementing countries need to improve communication, so that caregivers are informed about the individual and population-level benefits of UVV programs. Caregivers should also be informed about the vaccines' safety using evidenced-based information and about the absence of a negative impact on HZ epidemiology. In Italy and Germany, the main drivers behind the change towards UVV implementation were pediatricians, public health physicians, and health economists. It is therefore important to increase awareness of the benefits and well-established safety profile of varicella vaccination among pediatricians, as they are the prime contacts with children's caregivers and are paramount in the successful implementation of UVV strategies. Evidence-based information regarding vaccination should also be disseminated in a transparent manner via contemporary means of communication (i.e., social media).

To assess the burden of varicella and the impact of UVV programs on varicella and HZ epidemiology, adequate surveillance systems are needed, which can reliably capture the incidence of these diseases. In Italy, varicella was a notifiable disease in the pre-vaccination era and the difference between the incidence data captured by the active and passive surveillance systems allowed for an estimation of the underreporting, which was also highlighted by the large differences observed between regions. In Germany, a mandatory notification system was established between 2002 and 2009 in the New Federal States (only in 2 states before UVV initiation) and in 2013 nationwide [48]. A national sentinel system was also introduced in 2005, one year after 1-dose UVV initiation. In-depth epidemiology data by age group helps to select the most appropriate vaccination strategy, including the number and timing of doses.

To choose the best vaccination strategy, additional variables need to be considered. The number and timing of doses also depends on the aims of the UVV and its economic considerations, while the choice of the vaccine (whether monovalent or quadrivalent) depends on variables such as availability in the implementing country, the number of doses, the age at which the first dose is recommended, the national immunization scheme, and the subjective different perception about the benefit/risk profile of the quadrivalent varicella vaccine compared to monovalent when used as first dose. When the national UVV programs were implemented, *Varivax* and *Varilrix* were licensed and available in Germany, and *Varivax*, *Varilrix*, *Priorix-Tetra*, and *ProQuad* in Italy. In Italy, *Priorix-Tetra* and *ProQuad* were licensed in 2008 and 2006, respectively, just after implementation of the 2-dose UVV programs began in the pilot regions but before the implementation of the national UVV program [181,182]. In Germany, *Priorix-Tetra* and *ProQuad* were licensed before the implementation of the 2-dose UVV program. In both Italy and Germany, varicella vaccination was recommended in at-risk populations before the implementation of the nationwide UVV program. In the 2 Italian pilot regions which implemented 1-dose UVV, vaccination was undertaken from 13 to 15 months of age, when the first MMR dose was recommended in

Italy. In Germany, during the 1-dose era, the varicella vaccine was also recommended at the same age as the first MMR dose, at 11–14 months of age [183]. The different age indications of the 2 monovalent varicella vaccines (minimum age 9 months for *Varilrix*, 12 months for *Varivax*) influenced the vaccine choice in Germany, where vaccines were reimbursed, but the lower age limit for the varicella vaccine recommendation was 11 months. Six of the 8 Italian pilot regions, as well as all remaining 12 Italian regions, initiated UVV programs with a 2-dose schedule. In the regions which already had 1-dose UVVs (Sicily and Puglia), as well as in Germany, the recommendations were amended to include a second dose. In both countries, the timing of the first dose was maintained. The recommended dosing interval was  $\geq 4$  years in Italy (long schedule) and  $< 1$  year in Germany (short schedule). This choice was driven by the epidemiology of varicella in each country, showing earlier contact with VZV in Germany than in Italy. The choice of the dosing interval was also driven by the need to keep the already existing planned vaccination visits. The possible use of the tetravalent vaccine as either of the doses was also included in the recommendations in both countries, with the monovalent varicella vaccine preferred in catch-up campaigns of both countries. In Germany, the STIKO recommended concomitant MMR+V administration as first dose in place of MMRV two years after implementation of the 2-dose schedule, due to an increased risk of febrile convulsions. Nonetheless, the overall uptake of first varicella vaccine dose did not decrease. After the licensure and availability on the Italian market of the quadrivalent MMRV vaccine *Priorix-Tetra*, its use was preferred over to the monovalent vaccines in the UVV pilot regions. Some of these regions, such as Tuscany, exploited the drag effect of the already high vaccination coverage for MMR to obtain a high varicella vaccine coverage too. In contrast to Germany, MMR+V as a first dose is the vaccine to be exclusively used for persons with a history of febrile convulsions. Otherwise, MMRV should be preferentially used for both doses, although parents are informed of the slightly increased risk of febrile convulsions after MMRV use as first dose, and are given the opportunity to choose for MMR+V simultaneous administration.

The alleged safety concerns, mostly related to vaccination in general, may result in vaccine hesitancy and could be a possible obstacle in achieving the >80% coverage recommended by the WHO [1]. Coverage rates in 24-month-olds exceeded this threshold in all 8 Italian pilot regions in 2012 and also in Germany for the 2007–2009 birth cohorts. Dispelling population concerns using data from trustworthy pharmacovigilance systems paired with an efficient health communication on vaccine-preventable infectious diseases might be a possible solution to reverse vaccine hesitancy and to consequently improve coverage [167].

In sum, even though varicella was generally perceived as a mild disease and UVV programs were associated with potential concerns, disease surveillance systems in Italy and Germany have shown that the actual burden of varicella was high in the pre-vaccination era and that it was significantly reduced after UVV implementation, with none of the concerns being confirmed. In each of these countries, the success of the UVV program relied on the identification of an adapted vaccination strategy, which resulted in high coverage rates within a few years from implementation. With the increasing number of countries that are implementing varicella UVV programs, including Italy and Germany, doubts about effectiveness, safety, and economic impact are considerably decreasing, making varicella vaccination one of the immunization strategies with the highest public health impact.

In the coming years, further consolidation of vaccination against varicella in Italy and Germany as a public health approach will contribute to high and stable coverage and provide the opportunity to generate additional evidence of the safety and cost-effectiveness of this preventive strategy. This especially applies to Italy, where varicella vaccination was only recently moved from a regional to a national context, and has been reimbursed since the introduction in the National Immunization Plan in 2017 and mandatory since shortly after, when the law requiring immunization for school entry was issued in July 2017. Due to the success of varicella vaccination in Italy and Germany, together with other countries such as Spain and Greece, an increasing number of (European) countries may attempt to better estimate the economical and societal impact of varicella and its complications on the

healthcare system, and to more thoroughly evaluate the cost/benefit profile of routine pediatric varicella vaccination. Additionally, the recent real-world evidence on the overestimated impact of UVV on the adult HZ incidence will further improve the cost-effectiveness and/or cost-saving potential of UVV. Finally, the recent recommendations by the STIKO in Germany on the use of the adjuvanted recombinant zoster vaccine will provide insights into the possibility of a successful co-existence of protective agents for the pediatric and adult forms of VZV disease. Availability of the recently licensed adjuvanted recombinant zoster vaccine would allow policy makers to recommend UVV in order to prevent morbidity in children and, in the same time, to mitigate the risk of HZ incidence increase in adults, if any [184].

### **Trademark statement**

Varilrix and Priorix-Tetra are trademarks of the GSK group of companies. Varivax and ProQuad are trademarks of Merck Sharp & Dohme Corp.

### **Figure Captions**

**Figure 1** Preferred Reporting Items for Systematic reviews and Meta-Analyses (PRISMA) flow diagram of eligible studies from initial identification to inclusion in qualitative data synthesis

### **Supplementary material captions**

NA

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**Table 1.** Seroprevalence of varicella infection before and after universal varicella vaccination

Citation	Year(s)	Data source	Age group	Seropositive (95% Confiden
<b>Pre-vaccination era</b>				
Italy, nationwide				
Gabutti et al 2001 [79]*	1996–1997	Serosurvey/sera analysis	All ages	<1 YOA: maternal immunity; 2–4 YOA: 26.0%; 5–9 YOA: 82.1%
De Donno et al 2017 [91]*	1996–1997 2003–2004 2013–2014	National serosurvey	All ages	National seroprevalence: 1996–1997: 73.2%; 2003–2004: 84.0%
Ciofi Degli Atti et al 2002 [100]*	1996–1997	Surveillance/seroprevalence	6 MOA–20 YOA	Overall: 64 %; 6 MOA–4 YOA: 22.2%; 5–9 YOA: 83.3%; 15–20 YOA: 83.3%; 5 YOA: 38 %
Nardone et al 2007 [38]*	1996–2003	Serosurvey/sera analysis	All ages	Seronegative, % (n): <5 YOA: 78.3 (443); 5–9 YOA: 18.3 (519); 15–19 YOA: 18.3 (519)
Gabutti et al 2008 [98]*	2003–2004	Serosurvey/sera samples	2–20 YOA	2–4 YOA: 32.9%; 5–9 YOA: 67.0%; 10–14 YOA: 67.0%
Bollaerts et al 2017 [36]***	1995–2015	Systematic literature search/analysis	All ages	<5 YOA: 40.1% (36.5–43.5); <10 YOA: 80.7% (78.3–83.1); <20 YOA: 88.8% (88.0–89.6); <40 YOA: 98.3% (97.5–99.1); <65 YOA: 99.0% (98.3–99.7)
Germany				
Wutzler et al 2001 [88]*	1995 (0–19 YOA) 1997–1999 (20–>70 YOA)	Serosurvey/sera analysis	All ages	0–3 MOA: 79.4% (62.3–91.0); End of year 1: 33.6% (28.0–40.0); 4–5 YOA: 62.5% (56.0–68.5); 6–7 YOA: 96.0%; >40 YOA: >99% Seroprevalence in East vs West Germany: 80.0% vs 85.0%
Nardone et al 2007 [38]*	1996–2003	Serosurvey/sera analysis	All ages	Seronegative, % (n): <5 YOA: 67.4% (457); 5–9 YOA: 4.4% (661); 15–19 YOA: 5.9% (630); 20–29 YOA: 5.9% (630)
Bollaerts et al 2017 [36]***	1995–2015	Systematic literature search/analysis	All ages	<5 YOA: 59.4% (55.3–63.2); <10 YOA: 94.7% (93.0–96.4); <20 YOA: 96.8% (96.2–97.5); <40 YOA: 99.7% (99.4–99.9); <65 YOA: 99.7% (99.4–99.9)
Wiese-Posselt et al 2017 [53]*	2003–2006	Serosurvey/sera analysis	1–17 YOA	80.3% (79.3–81.3)
<b>Post-vaccination era</b>				
Italy, nationwide				
De Donno et al 2017 [91]*	1996–1997 2003–2004 2013–2014	National serosurvey	All ages	UUV regions vs non-UUV: no significant differences 1 YOA: higher prevalence in UUV regions 2–4 YOA: higher prevalence in UUV regions 5–9 YOA: lower prevalence in UUV regions 10–14 YOA: lower prevalence in UUV regions
Puglia, Italy				
Tafari et al 2014 [121]*	2011–2012	Regional serosurvey	18–65 YOA	Proportion of seropositive adults including non-vaccinated individuals All samples: 93% (91.7–94.1); 18 YOA: 95.1% (94.0–96.2); 20 YOA: 93.3% (92.2–94.4); 25 YOA: 94.1% (93.0–95.2); 30 YOA: 94.1% (93.0–95.2); 35 YOA: 94.8% (93.7–95.9); 40 YOA: 94.8% (93.7–95.9); 45 YOA: 94.8% (93.7–95.9); 50 YOA: 94.8% (93.7–95.9); 55 YOA: 97.1% (96.0–98.2); 60 YOA: 97.1% (96.0–98.2)
Generalised Italian regions				
Gabutti et al 2014 [116]* (8 Italian regions)	2013–2014	Regional serosurvey	0–90 YOA	0–11 MOA: 48.6% (33–65); 1 YOA: 36.8% (22–51); 2–4 YOA: 67.0% (56–78); 5–9 YOA: 83.3% (78–88); 10–14 YOA: 83.3% (78–88); ≥40 YOA: 99% (98–100) Greatest increases between 1 to 2–4 YOA and 5–9 YOA Compared with pre-vaccination data, seropositivity increased in all age groups All samples: 83.9% (2013–2014) versus 75% (1996–1997, 2003–2004, 2013–2014)

MOA/YOA, months/years of age; UUV, universal varicella vaccination; \*, low risk of bias; \*\*, unclear risk of bias; \*\*\*, high risk of bias; #data from non-vaccinated individuals.

Vaccine introduction: Germany 2004 (1-dose), 2009 (2-dose); Italy 2017 (nationwide);  
Basilicata 2010 (2-dose), Calabria 2010 (2-dose); Friuli-Venezia-Giulia 2013 (2-dose); Puglia  
2006 (1-dose), 2009 (2-dose); Sardinia 2011 (2-dose); Sicily 2003 (1-dose), 2010 (2-dose);  
Tuscany 2008 (2-dose); Veneto 2005 (2-dose)

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**Table 2.** Varicella incidence before and after universal varicella vaccination

Citation	Year(s)	Data source	Age group	Annual incidence/100,000 <sup>a</sup>	Cases
<b>Pre-vaccination era</b>					
Italy, nationwide					
Fornaro et al 1999 [102]*	1997	Surveillance/sentinel network	0–14 YOA	51.0 per 1,000 children	
Ciofi Degli Atti et al 2002 [101]*	2000	Surveillance/sentinel network	<15 YOA	<b>Overall:</b> 5,345; <b>&lt;1 YOA:</b> 2,505; <b>1–4 YOA:</b> 8,960; <b>5–9 YOA:</b> 5,062; <b>10–14 YOA:</b> 2,283	<b>Overall:</b> 19,863; <b>1–4 YOA:</b> 9,871; <b>10–14 YOA:</b> 1,749
Ciofi Degli Atti et al 2002 [100]*	1976–1997	Surveillance/seroprevalence survey/database (ISTAT)	6 MOA–20 YOA	Lifetime cumulative incidence: <b>6 MOA–4 YOA:</b> 2.9%; <b>5–9 YOA:</b> 10.5%; <b>10–14 YOA:</b> 10.8%; <b>15–20 YOA:</b> 8.9%	
Giaquinto et al 2002 [103]*	1997–1998	Epidemiological/PEDIANET	≤14 YOA with varicella	<b>≤14 YOA:</b> 8.03%; <b>3–4 YOA:</b> 16%; <b>10–12 YOA:</b> 2–4%	
Servizi Sociali Sanita. Official bulletin of the Lombardy region 2002–2003 [137]*	2001–2003	Epidemiological/population database Surveillance (SPES, ISS)	<14 YOA	<b>2001:</b> 5,741; <b>2002:</b> 5,459; <b>2003:</b> 5,635	<b>2001:</b> 16,924; <b>2003:</b> 17,499
Nicolosi et al 2003 [83]***	1997–1998	Epidemiological/PEDIANET	<14 YOA	<b>0–2 YOA:</b> from 2.9 to 8.5%; <b>3–4 YOA:</b> from 16.2 to 16.4%; <b>0–14 YOA:</b> 8.0% (7.7–8.4)	
Gabutti et al 2008 [98]*	1991–2005	Epidemiological/ databases (ISTAT, SPES)	All ages	<b>ISTAT data, overall: 1991–2004:</b> 164.4 to 244.2; <b>0–14 YOA: 1991–1995:</b> 996; <b>2001–2004:</b> 1,164 <b>SPES data, 2000–2005:</b> from 4,053 to 6,655	
Baldo et al 2009 [112]*	2000–2007	Epidemiological/ mandatory notification/ database (SPES)	All ages	Per 1,000, <b>2004–2007:</b> from 40.5 to 66.6	
EUVAC.net ECDC 2010 [107]*	2000–2007	Epidemiological/ EUVAC mandatory notification	All ages	From 119 to 220	From 69,320 to 1,164
EUVAC.net ECDC 2010 [108]*	2008–2009	Epidemiological/ EUVAC mandatory notification	All ages	<b>2008:</b> 132; <b>2009:</b> 94	<b>2008:</b> 78,617
EUVAC.net ECDC 2011 [109]*	2009–2010	Epidemiological/ EUVAC mandatory notification	All ages	<b>2009:</b> 94; <b>2010:</b> 66	<b>2009:</b> 56,502
Bollaerts et al 2017 [36]***	1995–2015	Systematic literature review/analysis	All ages	<b>&lt;5 YOA:</b> 8,020; <b>5–9 YOA:</b> 8,118; <b>10–14 YOA:</b> 916; <b>15–19 YOA:</b> 698; <b>20–39 YOA:</b> 371; <b>40–64 YOA:</b> 112	
Riera-Montes et al 2017 [6]***	1995–2015	Systematic literature review/analysis	All ages	<b>&lt;5 YOA:</b> 8,020; <b>5–9 YOA:</b> 8,118; <b>10–14 YOA:</b> 916; <b>15–19 YOA:</b> 698; <b>20–39 YOA:</b> 372; <b>≥40 YOA:</b> 113	
De Donno et al 2017 [91]*	2000–2014	Epidemiological/ population and hospital discharge databases	All ages	<b>2004:</b> 214.4; <b>2014:</b> 77.3; <b>2003–2014:</b> 129.4	
Pezzotti et al 2018 [93]***	1925–2002	Surveillance/ ISTAT and MoH databases	All ages	<b>Morbidity rate:</b> 86.91	
Emilia-Romagna, Italy					
Gatti et al 2013 [142]*	2006–2011		0–17 YOA	Per 10,000 vaccine doses: from 0 to 19.0	
Florence, Italy					
Moretti et al 2000 [130]*	1997–1998	Epidemiological/ sentinel and notification systems	<14 YOA	Per 1,000: sentinel system: 41.6; notification system: 23.7	2,000
Lombardy, Italy					

Citation	Year(s)	Data source	Age group	Annual incidence/100,000 <sup>a</sup>	Cases
Servizi Sociali Sanita. Official bulletin of the Lombardy region 2002–2003 [137]*	2000–2003	Epidemiological/ databases (SPES, ISS)	All ages	<b>2000–2003:</b> 0–4 YOA: 2,182.5–2777.5; 5–9 YOA: 1904.4–2269.4; 10–14 YOA: 228.2–271.8; 15–19 YOA: 54.8–85.1; 20–29 YOA: 43.5–55.5; 30–39 YOA: 47.2–55.5; ≥40 YOA: 4.3–5.7	
<b>Piedmont, Italy</b>					
Zotti et al 2002 [135]**	1995–2000	Epidemiological/ databases (SIMI, SPES)	All ages	<b>Children: 5,452; Adults: 41</b>	
Bollettino Epidemiologico Anno 2005 [146]**	2005	Epidemiological/ population database	All ages	261	4
Dipartimento di Prevenzione. EpiCentro.iss [143]*	2010–2016	Epidemiological/ population database	All ages		From 5,0
Dipartimento di Prevenzione. EpiCentro.iss [139]*	2015	Epidemiological/ population database	All ages		5,0
Marinaro et al. [148]*	2003	Epidemiological/ population database	All ages	279.31	<1 YOA: 15; 1–14 YOA: 134; 25–64 YOA: 3
Agnelli. EpiCentro.iss [149]*	2006	Epidemiological/ population database	All ages	352	5
Dipartimento di Prevenzione. EpiCentro.iss [145]*	2011	Epidemiological/ population database	All ages	246	<1 YOA: 16; 1–14 YOA: 102; 25–64 YOA: 2
Dipartimento di Prevenzione. EpiCentro.iss [151]**	2012	Epidemiological/ population database	All ages	342	0–14 YOA: 551; 25–64 YOA: 2
Dipartimento di Prevenzione. EpiCentro.iss [152]**	2013	Epidemiological/ population database	All ages	488	8
Borello et al. [147]*	2014	Epidemiological/ population database	All ages	415	7
<b>Sicily, Italy</b>					
Amodio et al 2015 [29]*	2003–2012	Surveillance/ database (SIMI)	All ages		<b>2003:</b>
<b>Puglia, Italy</b>					
Tafari et al 2015 [133]*	2003–2005	Surveillance/ database (SIMI)	All ages	122.5	<b>2004:</b> 7,330; 4,953
<b>Sardinia, Italy</b>					
Assessorato Dell'igiene E Sanita' E Dell'assistenza Sociale Servizio Prevenzione. [144]*	2006–2009	Epidemiological/ population database			From 1,29
<b>Tuscany, Italy</b>					
Bonsignori et al 2007 [127]*	1997–2003	Surveillance/ hospital and healthcare records	0–14 YOA	Per 1,000: 19.68	6,4
Frenos et al 2007 [129]*	1997–2004	Surveillance/medical records	0–14 YOA		<b>1997–2004:</b> from
Boccalini et al 2016 [114]*	2004–2012	Surveillance/ hospital and regional databases	All ages	Per 1,000, <b>2004–2007:</b> 2.30	<b>2004:</b> 2004–20
<b>Veneto, Italy</b>					
Russo et al. [138]**	1999–2009	Epidemiological/ population database	All ages	<b>1999–2004:</b> <b>Overall:</b> 248.4–330.6; <12 MOA:	<b>1999–2004:</b> f 15,

Citation	Year(s)	Data source	Age group	Annual incidence/100,000 <sup>a</sup>	Cases
				429–660; <b>≤14 MOA:</b> 698–1,003; <b>1-3 YOA:</b> 1,923–2,922; <b>4-14 YOA:</b> 1,662–2,103	
Russo et al. [141]**	1999–2013	Epidemiological/ population database	All ages	<b>1999–2004:</b> <b>All:</b> 247.6–327.9; <b>≤14 MOA:</b> 623.2–896.3; <b>&gt;14 YOA:</b> 27.8–38.1	<b>1999–2004:</b> <b>All:</b> 11,163 <b>≤14 MOA:</b> 11,163
Baldo et al 2009 [112]*	2000–2007	Epidemiological/ mandatory notification/databases (SPES)	All ages	<b>Per 1,000, 2000–2004:</b> <b>&lt;1 YOA:</b> 4.3–6.3; <b>1–4 YOA:</b> 30.0–39.0; <b>5–9 YOA:</b> 22.6–27.4; <b>10–14 YOA:</b> 3.3–4.6; <b>15–19 YOA:</b> 1.0–1.6; <b>&gt;20 YOA:</b> 0.3–0.3; <b>Total:</b> 2.6–3.3	<b>2000–2004:</b> <b>&lt;1 YOA:</b> 5,000 <b>1–4 YOA:</b> 5,000 <b>5–9 YOA:</b> 4,561 <b>10–14 YOA:</b> 674–94 <b>15–19 YOA:</b> 201–316; <b>&gt;20 YOA:</b> 11,800 <b>Total:</b> 11,800
Pozza et al 2011 [132]*	2000–2008	Surveillance systems/ database analyses (RDP, SPES)	All ages	<b>RDP: 2000:</b> 289.2, <b>2004:</b> 325.6 <b>0–14 YOA, RDP (SPES):</b> <b>2000:</b> 1,939.3 (6,136.8), <b>2004:</b> 2,211.4 (7,712.7)	<b>RDP: 2000:</b> 15,000
<b>Generalised Italian regions</b>					
EpiCentro.iss [150]*	2000	Epidemiological/ population database	0–14 YOA	Sentinel surveillance/Obligatory notification: 5,34/976 North Italy: 6,034/1,529 Central Italy: 5,234/2,517 South Italy: 4,825/351	
Servizi Sociali Sanita. Official bulletin of the Lombardy region 2002–2003 [137]* (North Italy)	2001–2003	Epidemiological/ databases (SPES, ISS)	<14 YOA	From 5,605 to 7,053	From 6,100 to 7,053
Alfonsi et al 2011 [95]*** (21 Italian regions)	2006–2009	Surveillance/ mandatory notifications and questionnaire	Children eligible for vaccinations	<b>2006:</b> 164; <b>2009:</b> 101 (p<0.01) <b>UVV regions:</b> 380; <b>Non-UVV regions:</b> 404 (p<0.01)	
Trucchi et al 2015[134]* (nationwide, Sicily, Veneto, Puglia)	2001–2010	Surveillance/ mandatory notification/databases (hospital records, ISTAT)	All ages	<b>2001–2003:</b> incidence remained stable	
<b>Germany</b>					
Wagenpfeil et al 2004 [86]*	1999	Epidemiological/ medical records	Unvaccinated children (mean age: 7.4 YOA)	9.3/1000 person	<b>&lt;8 YOA</b>
Bollaerts et al 2017 [36]***	1995–2015	Systematic literature review/analysis	All ages	<b>&lt;5 YOA:</b> 11,884; <b>5–9 YOA:</b> 7,048; <b>10–14 YOA:</b> 246; <b>15–19 YOA:</b> 190; <b>20–39 YOA:</b> 103; <b>40–64 YOA:</b> 32	
Riera-Montes et al 2017 [6]***	1995–2015	Systematic literature review/analysis	All ages	<b>&lt;5 YOA:</b> 11,884; <b>5–9 YOA:</b> 7,048; <b>10–14 YOA:</b> 246; <b>15–19 YOA:</b> 190; <b>20–39 YOA:</b> 103; <b>≥40 YOA:</b> 32	
<b>Post-vaccination era</b>					
<b>Italy, nationwide</b>					
Pezzotti et al 2018 [93]*** (8 Italian regions)	1900–2015	Surveillance/ISTAT and MoH databases	All ages	<b>2003–2015, prevented cases:</b> 679,512	
<b>Puglia, Italy</b>					
Tafari et al 2013 [122]**	2011	Epidemiological/ patient records	Children (mean age: 5.2±1.4 YOA)		BV

Citation	Year(s)	Data source	Age group	Annual incidence/100,000 <sup>a</sup>	Cases
Tafari et al 2014 [154]***	2006–2011	Regional serosurvey	1–15 YOA		
Tafari et al 2015 [133]*	2006–2012	Surveillance/ database (SIMI)	All ages	2006–2008: 85.3; 2009–2012: 13.7	2006–2008: 3,4 2012: 560/ye BV 2006–20 3.4% (any se (hospitalization diag
<b>Sicily, Italy</b>					
Giammanco et al 2009 [118]*	2003–2007	Surveillance/ sentinel network	0–14 YOA	Per 1,000, 2007: 9.0	BV
Amodio et al 2015 [29]*	2003–2012	Surveillance/database (SIMI)	All ages	From 2007: <0.1/1,000 inhabitants/year (0–14 YOA: 94% of all cases)	2012
<b>Tuscany, Italy</b>					
Pieri et al 2015 [131]*	2010–2013	Surveillance	24 MOA		Cases: 2008 BC: 292; 2010 BC: BV, 1-dose p BV, 1-dose p 40.5%; 2009: 15.1%; 2
Boccalini et al 2016 [114]*	2004–2012	Surveillance/ hospital and regional databases	All ages	Per 1,000:0.89	2009–20 2012:
Bechini et al 2018[113]*	2004–2016	Surveillance/ national and international databases	All ages	Between 2004–2016: decrease in all age groups	
<b>Veneto, Italy</b>					
Baldo et al 2009 [112]*	2000–2007	Epidemiological/ mandatory notification/databases (SPES)	All ages	Per 1,000, 2005–2007: <1 YOA: 3.8–6.0; 1–4 YOA: 24.1–36.4; 5–9 YOA: 15.9–22.3; 10–14 YOA: 1.8–2.9; 15–19 YOA: 0.4–0.8; >20 YOA: 0.2–0.2; Total: 2.0–2.9	2005– <1 YOA: 181– 4,511–6,685; 5 4,982; 10–14 15–19 YOA: 98 632–944; Total
Russo et al. 2011 [138]**	1999–2009	Epidemiological/ population database	All ages	2005–2009: 2005: 218.6, 2009: 65.2 <12 MOA: 112–637; 1–3 YOA: 263–2794; 4–14 YOA: 479–1772 Incidence in children ≤14 MOA: 215.3–981.7	2005: 10,358
Russo et al. [141]**	1999–2013	Epidemiological/ population database	All ages	2005: 217.2, 2013: 28.2 Incidence in children ≤ 14 MOA: 2005: 606.6; 2013: 134.0; >14 YOA: 2005: 23.2; 2013: 4.2	2005: 10,291 ≤14 2005: 6.1%
Pozza et al 2011 [132]*		Surveillance systems/ databases (RDP, SPES)	All ages	2008: RDP 123.8 2008, 0–14 YOA: RDP: 813.0; SPES: 4,004.8	2008: R
Giaquinto et al 2018	2006–	Surveillance/	Children	Per 100 person-years, ProQuad-	

Citation	Year(s)	Data source	Age group	Annual incidence/100,000 <sup>a</sup>	Case
[119]***	2013	PEDIANET	(2006–2007 BC)	vaccinated children: 0.43	
Generalised Italian regions					
Bechini et al 2015 [125]* (8 Italian regions)	2003–2012	Epidemiological/database analyses	All ages	Per 1,000, <b>2003–2012:</b> <b>Notified incidence:</b> 0.42–2.18; <b>Estimated real incidence*:</b> 1.66–8.73; * underreporting rate of 5 for mandatory notification	
Trucchi et al 2015 [134]* (nationwide, Sicily, Veneto, and Puglia)	2001–2010	Surveillance/mandatory notification (hospital discharge, ISTAT)	All ages	<b>2004–2010:</b> 215.6–102.6. <b>0–14 YOA, 2001–2010:</b> 948.6 (88.8 % of total cases) <b>Sicily, 2003–2010:</b> 105.7–9.2 <b>Veneto, 2007–2010:</b> 225.5–55.7 <b>Puglia, 2006–2010:</b> 121.7–13.1	
Germany					
1-dose UVV vs no UVV					
Spackova et al 2010 [64]***	2005–2009	Surveillance/sentinel data and physicians' questionnaire	All ages		Decrease by <b>2005–2006 a</b>
Siedler et al 2010 [31]*	2005–2009	Surveillance/sentinel data	All ages	Monthly reported cases: <b>All:</b> 55% decrease (from 2.85 to 1.29); <b>0–4 YOA:</b> 63% decrease (from 1.85 to 0.69); <b>5–9 YOA:</b> 38% decrease (from 0.85 to 0.53)	<b>2005–2009: 1</b> <b>BV cases: 20</b> <b>2008/20</b>
Hagemann et al 2017 [47]*** (Munich and Würzburg)	2009–2011	Surveillance/patient questionnaire	18–36 MOA	<b>Munich:</b> 6.9%; <b>Würzburg:</b> 5%	
2-dose UVV vs 1-dose UVV					
Spackova et al 2010 [44]***	2008–2009	Surveillance/health records and patient questionnaires	Median age: 4 YOA		154 (109 with known sta sta <b>BV:</b> <b>Compared</b> <b>Varivax:</b> higher 1 dose of Varilri Prioriz <b>2 doses of Pric</b> risk of BV cor dose of <b>Risk for mild o</b> no significant vaccin
Siedler et al 2013 [41]*	2004–2012	Surveillance/sentinel network	All ages	<b>Per reporting doctor: 2005:</b> 3.6 cases/month; <b>2012:</b> 0.6 cases/month <b>Per practice: 1–4 YOA:</b> 91% decrease; <b>5–9 YOA:</b> 76% decrease	
Streng et al 2013 [65]*** (Bavaria)	2006–2011	Surveillance/parental surveys, pediatric practices, hospital databases	0–16 YOA	<b>Practice surveillance (per 1,000): 2006–2007:</b> 6.6; <b>2010–2011:</b> 2.2	<b>Practice surv</b> <b>2007:</b> 6,079; <b>20</b> <b>2006–2011: ca</b> <b>&lt;1 YOA:</b> 71%; <b>5–9 YOA:</b> 63% 63%
Neuhauser et al 2014 [59]***	2003–2006–2009	Surveillance/telephone survey	0–17 YOA		<b>2003–</b> <b>0–2 YOA:</b> 12.8; <b>7–10 YOA:</b> 85



Citation	Year(s)	Data source	Age group	Annual incidence/100,000 <sup>a</sup>	Cases
	2012				86.8; 14–17 2009–2011 0–2 YOA: 2.4; 7–10 YOA: 62.7; 14–17 YOA: 82.7
Streng et al 2014 [85]* (Munich and Würzburg)	Munich: 2010–2011 Würzburg: 2010–2012	Surveillance/ paediatric practices (BaVariPro)	0–16 YOA	<b>Munich:</b> 28% decrease (from an average of 1.8 cases per practice and months to 1.3); <b>Würzburg:</b> 24% decrease (from an average of 1.0 to 0.7)	<b>Munich:</b> 2167;
Siedler et al 2015 [40]*	2005–2014	Surveillance/ sentinel network	64.0 MOA (mean)		<b>BV:</b> 4,357 - received one dose received <b>Total:</b> 8,153/3 all a
Siedler et al 2016 [51]*	2009–2014	Surveillance/ National sentinel data and health insurance claims data.	1–4 YOA		<b>1-dose vaccine:</b> (4.9) <b>2-dose vaccine:</b> (3.5)
Rieck et al 2017 [50]*	2006–2015 (2006–2013 BC)	Surveillance/ health insurance claims from ASHIP	3.6 YOA (mean)		29,404
Liese et al 2013 [57]*	2008–2010	Epidemiological prospective, matched case-control study/according to protocol	1–7 YOA		

Citation	Year(s)	Data source	Age group	Annual incidence/100,000 <sup>a</sup>	Case
Hecht et al 2017 [48]***	2002–2014	Surveillance/mandatory notification across NFS between 2002–2009 and nationwide in 2013; insurance claims database	All ages	<b>2013:</b> >70% decrease <b>2005–2013: 1–4 YOA:</b> 90% decrease; <b>5–9 YOA:</b> 59% decrease <b>2014:</b> increase in all age groups. <b>Cases/practice/month (all ages):</b> <b>2005:</b> 3.47; <b>2014:</b> 0.43.	
GBE-Bund Federal Statistics Office 2003–2017 [68]*	2014–2017	Epidemiology/notifiable diseases	<15 YOA	<b>2014–2017:</b> 18,991–21,155	

<sup>a</sup>data provided as per 100,000 population unless otherwise stated. <sup>b</sup>major factor influencing vaccination status. \*, low risk of bias; \*\*, unclear risk of bias; \*\*\*, high risk of bias; BV, Breakthrough varicella; BC, birth cohort; EUVAC.net, European surveillance network for vaccine-preventable diseases; ISTAT, Italy's National Institute of Statistics; ISS, Italy's National Institute of Health; BaVariPro, Bavarian Varicella Surveillance Project; PEDIANET, database from 35 paediatricians; SPES, Italy's Paediatric Sentinel Surveillance System of Vaccine-Preventable Diseases; CI, confidence intervals; PCR, polymerase chain reaction; SIMI, Italian National Surveillance System of Infectious Diseases; ECDC, European Centre for Disease Control and Prevention; MoH, Ministry of Health; RDP, Regional Department of Prevention; UVV, universal varicella vaccination; ASHIP, Associations of Statutory Health Insurance Physicians; VAR, monovalent varicella vaccine; VE, vaccine effectiveness; MMRV, measles-mumps-rubella-varicella vaccine; NFS, New Federal States; OR, odds ratio; MOA/YOA, months/years of age.

Vaccine introduction: Germany 2004 (1-dose), 2009 (2-dose); Italy 2017 (nationwide); Basilicata 2010 (2-dose), Calabria 2010 (2-dose); Friuli-Venezia-Giulia 2013 (2-dose); Puglia 2006 (1-dose), 2009 (2-dose); Sardinia 2011 (2-dose); Sicily 2003 (1-dose), 2010 (2-dose); Tuscany 2008 (2-dose); Veneto 2005 (2-dose)

**Table 3.** Varicella-related hospitalizations, complications, and vaccine effectiveness before and after universal varicella vaccination

Citation	Year(s)	Data source	Age group	Hospitalization/ complications (Average annual incidence/100,000 <sup>a</sup> [95% CI])
<b>Pre-vaccination era</b>				
Italy, nationwide				
Giaquinto et al 2002 [103]*	1997–1998	Epidemiological/ PEDIANET	≤14 YOA with varicella	Hos Con
EUVAC.net ECDC 2011 [109]*	2010	Epidemiological/ EUVAC mandatory notification	All ages	Hospitali
EUVAC.net ECDC 2010 [107]*	2000–2007	Epidemiological/ EUVAC mandatory notification	All ages	Hospitalizati
EUVAC.net ECDC 2010 [108]*	2008–2009	Epidemiological/ EUVAC mandatory notification	All ages	
Fornaro et al 1999 [102]*	1997	Surveillance/sentinel network	0–14 YOA	
Gabutti et al 2008 [78]***	1999–2005	Epidemiological/hospital databases	All ages	
Sbarbati et al 2014 [110]*	2006–2011	Epidemiology/ hospital database	0–24 MOA	Hospitalizations: 37.5 Hospitaliza witho
Riera-Montes et al 2017 [6]***	1995–2015	Systematic literature review/analysis	All ages	Hospitalizations (min-max)/Deaths: <5 YOA: 37–NA/0.04; 5–9 YOA: 19–NA/0.01; 10–14 YOA: 5.2–NA/0.01; 15–19 YOA: 2.5–NA/0.0; 20–39 YOA: 2.7–NA/0.01; ≥40 YOA: 0.7–NA/0.01
Trucchi et al 2015[134]* (Sicily, Veneto, and Puglia)	2001–2010	Surveillance/ mandatory notification/databases (hospital discharge, ISTAT)	All ages	
De Donno et al 2017 [91]*	2000–2014	Epidemiological/ population and hospital discharge databases	All ages	Hospitalizations: 2002 and 2004: 4.2 2013 and 2014: 1.9
Gabutti et al 2008 [98]*	1991–2003	Hospital databases/ISTAT	All ages	Mean deaths: 1991–2002: 5.5/year Hospitaliza (1,521 hospita
Florence, Italy				
Azzari et al 2007 [123]*	2005–2006 (12-month period)	Surveillance/ hospital admissions	0–16 YOA with varicella	Hospitalizati <1 YOA: 14 (8.5%); 10 All ch
Piedmont, Italy				
Zotti et al 2002 [135]***	1995–2000	Epidemiological/ hospital database	All ages	<14 Y
Sicily, Italy				
Cuccia et al 2010 [115]*	2002	Epidemiological/ hospital records	All ages	Ho Overall: 346/ 5–14 YOA: 10
Amodio et al 2015 [29]*	2003–2012	Surveillance/hospital discharge database	All ages	Hospitalizations, 2003: 4.8 Median age at hospital admission (2003): 5 YOA

Citation	Year(s)	Data source	Age group	Hospitalization/ complications (Average annual incidence/100,000 <sup>a</sup> [95% CI])	
<b>Puglia, Italy</b>					
Tafari et al 2015 [133]*	2003–2005	Surveillance/hospital discharge database	All ages	<b>Hospitalizations: 3.9</b>	
<b>Tuscany, Italy</b>					
Bonsignori et al 2007 [127]*	1997–2003	Surveillance/hospital and healthcare records	0–14 YOA	<b>Varicella: 0.19%/year of pediatric hospitalizations</b> <b>Hospitalizations: 22.66</b> <i>Complicated VZV: 12.00</i> <b>Hospitalizations, per 1,000 notified VZV cases: 11.52</b> <i>Complicated VZV: 6.09</i>	<b>Hospitalizations: 56</b> <b>Complications: 1</b>
Boccalini et al 2016 [114]*	2004–2012	Surveillance/hospital and regional databases	All ages	<b>Hospitalizations, 2004: Overall: 5.3; 1–4 YOA: 52.1</b> <b>Hospitalizations, 2004–2007: Overall: 4.1; &lt;1 YOA: 59.6; 1–4 YOA: 39.5; 5–14 YOA: 9.2; 15–24 YOA: 2.6; 25–49 YOA: 2.7; 50–64 YOA: 0.8; &gt;64 YOA: 0.6</b>	<b>Hospitalizations: 73; 1–4 YOA: 25–49 YOA: 1</b>
Frenos et al 2007 [129]*	1997–2004	Surveillance/medical records	1 MOA–14 YOA	<b>Central nervous system complications per 1,000 cases: 1997: 0.80; 2004: 1.51.</b>	<b>Complications: 1</b> <b>Central nervous system: 1</b>
<b>Veneto, Italy</b>					
Pozza et al 2011 [132]*	2000	Surveillance systems/database analyses (RDP; SPES, hospital discharge)	All ages	<b>Hospitalizations: 4.1</b> <b>0–14 YOA: 18.7</b>	
<b>Generalised Italian regions</b>					
Bechini et al 2015 [125]* (8 Italian regions)	2003–2012	Epidemiological/database analyses	All ages	<b>Hospitalizations, 2003: 3.3 (3.0–3.5)</b>	
Marchetto et al 2007 [120]* (Florence, Ancona, Turin)	2002–2006	Surveillance/hospital discharge records	0–17 YOA		<b>Hospitalizations: 1</b> <b>Complications: 1</b>
<b>Germany</b>					
Liese et al 2006 [69]* (North Rhine-Westphalia)	2003–2004	Surveillance/hospital databases (ESPED+EURODIAB+IC D-10)	0–16 YOA	<b>Hospitalizations: &lt;1 YOA: 96.5; 1–4 YOA: 47.6; 5–10 YOA: 14.3; 11–17 YOA: 2.3</b>	<b>Hospitalizations: 1</b>
Liese et al 2008 [58]*	2003–2004	Surveillance/hospital databases (ESPED+EURODIAB)	0–16 YOA	<b>Hospitalizations, ESPED: overall: 3.25; 2003: 2.72; 2004: 3.78</b>	<b>Hospitalizations: 1</b>
Siedler et al 2014 [39]*	1995–2003	Surveillance/hospital discharge database	All ages	<b>Hospitalizations: &lt;1 YOA: 42.56; 1–4 YOA: 21.76; 5–9 YOA: 6.08; 10–14 YOA: 1.40; 15–19 YOA: 1.27; 20–49 YOA: 1.26; ≥50 YOA: 0.3</b> <b>Total (age adjusted): 3.3</b>	<b>Hospitalizations: 4</b> <b>4 YOA: 691.5</b> <b>19 YOA: 56</b>
Riera-Montes et al 2017 [6]***	1995–2015	Systematic literature review/analysis	All ages	<b>Hospitalizations (min-max) /Deaths: &lt;5 YOA: 45–NA/0.03; 5–9 YOA: 10–NA/0.01; 10–14 YOA: 2–NA/0.01; 15–19 YOA: 1–1/0.0; 20–39 YOA: 1–2/0.0; ≥40 YOA: 0–0/0.0</b>	
Ziebold et al 2001 [90]*	1997	Surveillance/questionnaire	≤16 YOA	<b>Severe complications: 8.5</b>	<b>Neurology: 1</b>
Wagenpfeil et al 2004 [86]*	1999	Epidemiological/medical records	Unvaccinated children (mean age: 7.4 YOA)		<b>Complications: 1</b>

Citation	Year(s)	Data source	Age group	Hospitalization/ complications (Average annual incidence/100,000 <sup>a</sup> [95% CI])	
Grote et al 2008 [55]*	2003–2004	Surveillance/hospital databases	0–17 YOA (median age: 5 YOA)	Deaths: 0.4	
Rack et al 2010 [60]*	2003–2004	Surveillance/ hospital databases (ESPED+ICD-10)	0–16 YOA (median age 3.3 YOA)	Neurological complications, ESPED: 0.8	H Neurolog
GBE-Bund Federal Statistics Office 1994–1999 [70]*	1994–1998	Epidemiological/ diagnostic data from hospitals	<15 YOA		Hospit
GBE-Bund Federal Statistics Office 1998–2016 [71]*	1998–2016	Epidemiological/	1–14 YOA		19
GBE-Bund Federal Statistics Office 2003–2017 [72]*	2003–2017	Epidemiological/ patients in hospitals with >100 beds	<15 YOA		Hos 2003: 23/
<b>Post-vaccination era</b>					
Puglia, Italy					
Tafari et al 2015 [133]*	2006–2012	Surveillance/hospital discharge database	All ages	Hospitalizations: 2006–2008: 2.9; 2009–2012: 1.1	Hospitalizat
Sicily, Italy					
Amodio et al 2015 [29]*	2003–2012	Surveillance/ hospital discharge records	All ages	Hospitalizations, 2012: 0.8 Median age at hospital admission (2009): 20 YOA	Hos 2003: 247 / 5
Cuccia et al 2010 [115]*	2003–2007	Epidemiological/ hospital database	All ages		Hospit 0–15 MOA: 15
Tuscany, Italy					
Boccalini et al 2016 [114]*	2004–2012	Surveillance/ hospital and regional databases	All ages	Hospitalizations 2009–2012: Overall: 2.2; <1 YOA: 32.7; 1–4 YOA: 18.9; 5–14 YOA: 4.5; 15–24 YOA: 1.7; 25–49 YOA: 1.3; 50–64 YOA: 0.5; >64 YOA: 0.7 In 2012: 1.2; 1–4 YOA: 4.6	Hospitalizat 42; 1–4 YOA: 49 YOA Hospitaliza 2012, 44% re
Veneto, Italy					
Pozza et al 2011 [132]*	2000–2008	Surveillance systems/ databases (RDP; SPES, hospital discharge)	All ages	Hospitalizations, 2008: 1.7 Hospitalizations, 0–14 YOA: 2006: 16.1; 2007: 10.3; 2008: 8.4	
Generalised Italian regions					
Bechini et al 2015 [125]* (8 Italian regions)	2003–2012	Epidemiological/database analyses	All ages	Hospitalizations: 2004: 3.8; 2007: 2.4; 2010: 1.6; 2012: 1.0 2004–2012: ~75% decrease	
Trucchi et al 2015 [134]* (nationwide, Sicily, Veneto, and Puglia)	2001–2010	Surveillance/ mandatory notification/databases (hospital discharge, ISTAT)	All ages	Hospitalizations: 2001–2010: 3.4; 2004: 4.4 (peak value); 2009–2010: 2.5 (steady decline) Sicily: 2003: 4.8; 2010: 0.8; Veneto: 2006: 3.1; 2010: 0.9; Puglia: 2006: 3.9; 2010: 1.5	2001–2010 Sicily: 2003: 42
Germany					
Siedler et al 2014 [39]*	2005–2012	Surveillance/ hospital discharge database	All ages	Hospitalizations: <1 YOA: 16.47*; 1–4 YOA: 8.15*; 5–9 YOA: 3.79*; 10–14 YOA: 1.18; 15–19 YOA: 1.13; 20–49 YOA: 1.15; ≥50 YOA: 0.6*; Total (age adjusted): 1.86* *p<0.05 reduction compared with pre-	Hospitalizat 1–4 YOA: 23 15–19 YO

Citation	Year(s)	Data source	Age group	Hospitalization/ complications (Average annual incidence/100,000 <sup>a</sup> [95% CI]) vaccination	
Siedler et al 2013 [41]*	2004–2012	Surveillance/sentinel network	All ages		H All age
Siedler et al 2010 [31]*	2005–2009	Surveillance/sentinel data	All ages		2005/200 Hospitalizati
Spackova et al 2010 [64]***	2005–2009	Surveillance/sentinel data and physicians' questionnaire	All ages		C 0–4 YOA: 59.
Streng et al 2016 [52]* (Bavaria)	2005–2011	Surveillance (BaVariPro)/hospital database (ICD-10 code)	<17 YOA (median age: 3 YOA)	Hospitalizations, per 1,000 beds: 2005: 90.5; 2008: 61.5; 2011: 30.5	Hospitaliza
Streng et al 2013 [65]*** (Bavaria)	2006–2011	Surveillance/parental surveys, pediatric practices, hospital databases	0–16 YOA	Hospitalizations, hospital database: All: 2005: 7.6; 2009: 4.3; <1 YOA: 2005: 60.8; 2009: 14.2; <5 YOA: 2005, 21.0; 2009: 4.7	Hospitalizat of Hospitalizat MOA: 38 (21 YOA: 4 Compl
Streng et al 2017 [42]* (Bavaria)	2005–2011	Surveillance/hospital discharge database (ICD-10)	<17 YOA	Hospitalizations: 2005: 13.3; 2011: 4.8 Neurological complications: 2005: 2.8; 2011: 1.2	Hosp Neurologi
GBE-Bund Federal Statistics Office 1998–2016 [71]*	1998–2016	Epidemiological/	1–15 YOA		Death
Rieck et al 2017 [50]*	2006–2015 (2006–2013 BC)	Surveillance/health insurance claims from ASHIP	3.6 YOA (mean)		Com
GBE-Bund Federal Statistics Office 2003–2017 [72]*	2003–2017	Epidemiological/patients in hospitals with >100 beds	<15 YOA		Hospitaliza Complicatio

<sup>a</sup>data provided as cases per 100,000 population unless otherwise stated; <sup>b</sup>regions offering vaccination to people at risk and susceptible adolescents. \*, low risk of bias; \*\*, unclear risk of bias; \*\*\*, high risk of bias; BC, birth cohort; CI, confidence intervals; ASHIP, Associations of Statutory Health Insurance Physicians; BaVariPro, Bavarian Varicella Surveillance Project; ECDC, European Centre for Disease Control and Prevention; EUVAC.net, European surveillance network for vaccine-preventable diseases; ESPED, Germany's Epidemiological Institute for rare pediatric diseases; PEDIANET, database from 35 paediatricians; EURODIAB, a collaboration of European Childhood Diabetes Registers; ISTAT, Italy's National Institute of Statistics; SPES, Italy's Paediatric Sentinel Surveillance System of

Vaccine-Preventable Diseases; RDP, Regional Department of Prevention; VZV, varicella zoster virus; ICD-10, International Classification of Diseases and Related Health Problems, 10th Revision, MOA/YOA, months/years of age.

Vaccine introduction: Germany 2004 (1-dose), 2009 (2-dose); Italy 2017 (nationwide); Basilicata 2010 (2-dose), Calabria 2010 (2-dose); Friuli-Venezia-Giulia 2013 (2-dose); Puglia 2006 (1-dose), 2009 (2-dose); Sardinia 2011 (2-dose); Sicily 2003 (1-dose), 2010 (2-dose); Tuscany 2008 (2-dose); Veneto 2005 (2-dose)

ACCEPTED MANUSCRIPT

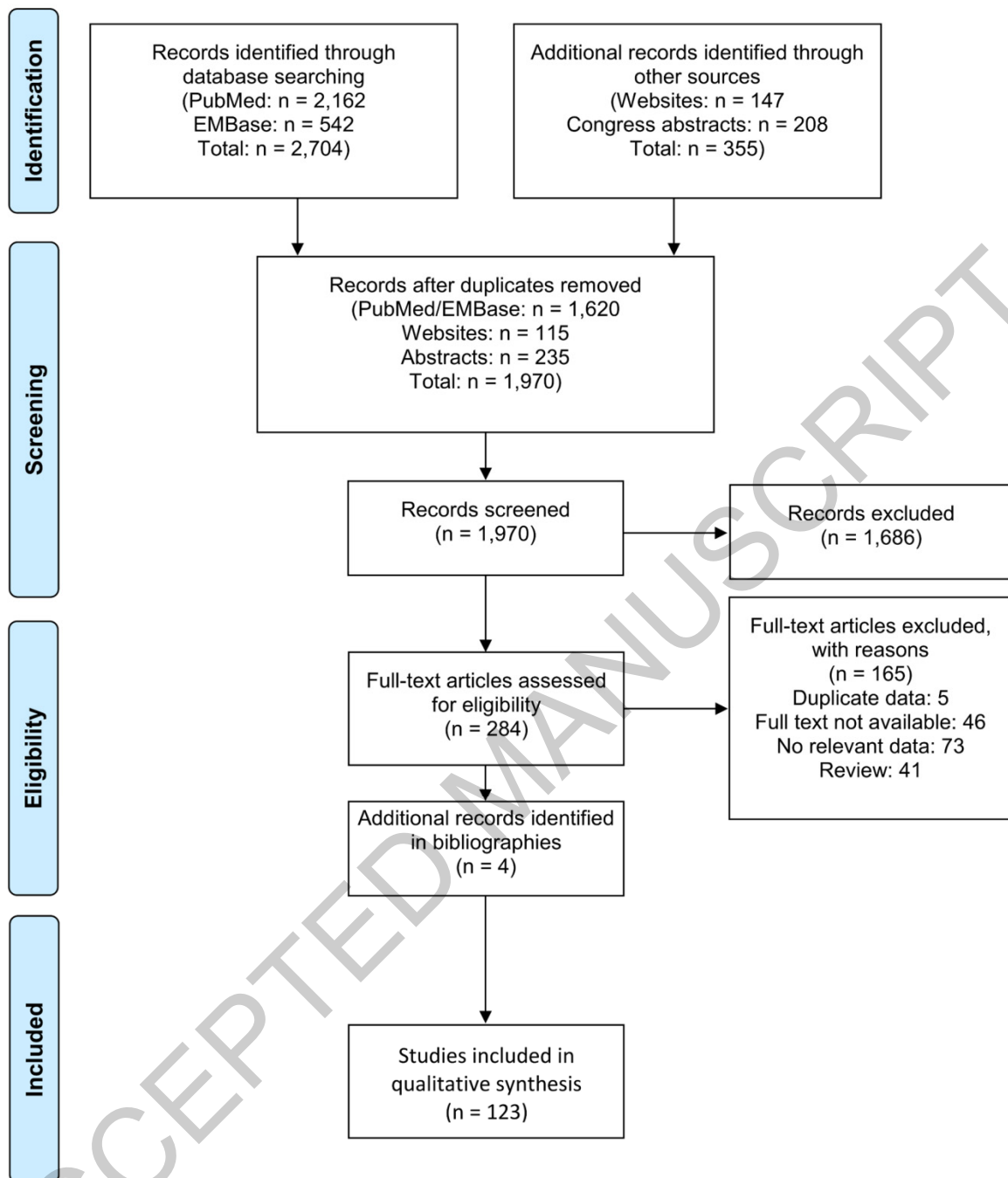


Fig 1