Reconstructing the Phylogeny of the Human Chromosome 4 Synteny using Comparative Karyology and Genomic Data Analysis

PICONE* BARBARA and LUCA SINEO

Department of Animal Biology, via Archirafi 18, University of Palermo 90123, Italy.

Abstract — This work focuses on the evolution of the architecture of human chromosome 4 (HSA4) through the analysis of chromosomal regions that have been conserved over time, and the comparison of regions that have been involved in different rearrangements in placental lineages. As with most elements of the human genome, HSA4 is considered to be evolutionarily stable. A more detailed analysis indicates that the syntenic association has been reshuffled by a series of rearrangements, yielding different chromosomes in various taxa. In its ancestral eutherian state, HSA4 has a syntenic association with HSA8p. We investigated the complex origin of this human chromosome using three different approaches, including: the analysis of chromosome painting features among 157 mammalian species gleaned from published data; the analysis of conserved syntenic orthologous blocks derived from the Ensembl dataset (www.ensembl.org); and the reconstruction of the orthologues of HSA4 in various species, using a maximum parsimony (MP) analysis of evolutionary breakpoints. The phylogenetic pattern recovered shows four discrete chromosomal regions have primarily been implicated in chromosome reconstructions can elucidate the diverse structural rearrangements that chromosome painting and ancestral chromosome reconstructions can elucidate the diverse structural rearrangements that characterize different evolutionary lineages.

Key words: comparative chromosome painting, comparative genomics, evolutionary breakpoints, human evolution.

INTRODUCTION

The use of ZOO-fluorescence *in situ* hybridization (ZOO-FISH) has facilitated large-scale genome-wide comparisons across different taxa. Using human/non-human DNA sequences as probes it is possible to identify regions of chromosomal homology between species with a precision that exceeds conventional cytogenetic approaches (SCHERTHAN *et al.* 1994; MULLER *et al.* 1999). This has allowed molecular cytogeneticists to compare hundreds of species from almost all extant orders of mammals, and to recognize that many chromosomal regions or whole human syntenies have been highly conserved over extensive evolutionary periods. From a theoretical viewpoint, a chromosomal rearrangement is considered a valuable phylogenetic marker, less prone to convergence than other, less complex mutations; this allows the application of cladistic analysis to chromosomal homologies. The application of cladistic procedures has aided the understanding of genomic evolution in animals (MULLER *et al.* 2000; FROENICKE 2005) by identifying plesiomorphic (ancestral) and apomorphic (derived) character states, which can be used to reconstruct hypothetical ancestral karyotypes (YANG *et al.* 2003; FERGUSON-SMITH and TRIFON-OV 2007).

The catalyst behind the recent acceleration in knowledge of human syntenies, and the theoretical advances contingent on this knowledge (PEVZNER 2003; MURPHY *et al.* 2005; BOURQUE *et al.* 2005; MA *et al.*2006), is the Human Genome Project (HGP). Even if the primary goal of the

^{*}Corresponding author: phone +3909123891817; fax +390916230-144; e-mail: b.picone@unipa.it

project was the identification of human sequence autapomorphies, or sequences and markers associated with genetic diseases, it yielded insights into the architecture of the human genome and its fine-scale organization, and the evolution of homologous chromosomes or chromosomal regions (CARBONE *et al.*2006; COLLINS *et al.*2003; HARDISON *et al.* 2003; WEISE *et al.*2005; WIEN-BERG *et al.* 2004; 2005).

Large-scale genome sequencing has also begun to generate powerful resources for evolutionary biologists. Partial and complete sequences from a wide diversity of animals are now available to researchers (www.genome.ucsc. edu; www.ensembl.org). These resources, taken together with cytogenetic data, have greatly enhanced the scope of comparative genomic analysis, providing a means for exploring the nature and timing of changes in chromosomal architectures; changes that are not always detectable using chromosome painting.

Human Chromosome 4 - HSA4 is one of the last chromosomes to have attracted the attention of researchers (HILLIER *et al.* 2005; WIENBERG 2005). It is a large "B group" autosome. According to the Ensembl data set (www.ensembl.org), it consists of 191,154,276 base pairs, and is characterized by 905 known protein-coding genes, 146 pseudogenes, and the largest "gene desert" of the human genome. HSA4 further displays a low percentage of duplicated sequences (2.3%) with respect to the genome average (5.2%), and is relatively devoid of segmental duplications.

Since the localization of the gene for Huntington's disease on HSA4p16 (WALKER 2007), other disease-related genes, like those responsible for Wolf-Hirschhorn Syndrome (ZOLLINO et al.2004; GANDELMAN et al.1992) and Fascioscapulhomeral Muscular Dystrophy (FSHD) (BODEGA et al. 2007), have been linked to HSA4. The chromosome is increasingly implicated in hypertension, rheumatoid arthritis, dentinogenesis imperfecta, and renal carcinoma (MCKNITE et al. 2008; ANEAS et al. 2009; KOJIMA et al. 2009; KLATTE et al. 2009). Chromosomal physiology and comparative studies indicate that the HSA4 synteny includes bands that are prone to breakage. These bands contain fragile sites of uncertain significance (Ruiz-Herrera et al. 2002) or hotspots with possible evolutionary consequences (FINELLI et al. 1999; BREEN et al. 1999; MULLER et al. 1999; YANG et al. 2000; YANG et al. 2003; KEMKEMER et al. 2009). Furthermore, a variety of "cancer-associated" genes potentially reside at or near these breakpoints (Ruiz-HERRERA et al.

2005, 2006). None of the breakpoints appear to be specific to the primate lineage.

The HSA4 syntenic assemblage exists as a 4/8p ancestral synteny in boreoeutherians (RICH-ARD et al. 2003a; SVARTMAN et al. 2004; WIENBERG et al. 2004). This association has apparently undergone a series of diverse rearrangements in different lineages. In this study, we reconstructed the evolution of the HSA4 syntenic association using three different approaches. First, we compiled published chromosome painting results for 157 mammalian species (Table 1). Second, we identified and compared orthologous syntenic blocks taken from the Ensembl dataset (www.ensembl.org), and constructed the most plausible representation of the ancestral vertebrate chromosome 4, followed by an in-depth analysis of the dynamics of HSA4 within the order Primates. The final analysis consisted of an architectural reconstruction of HSA4 homologs in various species, with the aim of relating these rearrangements to the evolutionary breakpoints that have been identified on HSA4.

MATERIAL AND METHODS

Data sources - We analysed published chromosome painting data from 157 species (Table 1) classified under 16 mammalian orders. This comparative analysis was used to build a phylogenetic hypothesis of the evolutionary dynamics of the HSA4 synteny within the taxa. Twelve species for which both reciprocal chromosome painting and whole genomic comparative data were available, were used for the construction of a phylogenetic tree for the syntenic association. These species represented 12 orders. The opossum (Monodelphis domestica) and the chicken (Gallus gallus) were included as outgroups. A phylogenetic tree for primates was reconstructed on the basis of chromosomal data for 80 species.

Phylogenetic analysis - On the base of reciprocal painting and sequence data (www.ensemble. org), a comparative table of breakpoints was compiled (Table 2), and a data matrix was assembled based on four potential character states for each breakpoint: 0=absent (chromosomal bands are not involved in breakpoints and/or associated with human syntenies); 1=presence of an evolutionary breakpoint localized in a specific band; 2=presence of chromosomal bands orthologous to HSA4 associated with human chromosome 8; 3=presence of chromosomal bands

Order	Species	OB	References	Methods
Scandentia	Tupaia belangeri	4	Muller et al. 1999	RP
			Stanyon, personal communication	RP
Dermoptera	Galeopterus variegatus	3	Nie <i>et al.</i> 2008	RP
Rodentia	Sciurus carolinensis	2	Li <i>et al.</i> 2004	RP
	Petaurista albiventer	2	Li <i>et al.</i> 2004	СР
	Callosciurus erythracheus	2	Li <i>et al.</i> 2004	СР
	Tamias sibiricus	2	Li <i>et al.</i> 2004	СР
	Menetes berdmorei	2	Richard et al. 2003b	СР
	Graphiurus ocularis	2	Picone, unpublished data	RP
	Graphiurus platyops	2	Picone, unpublished data	RP
	Castor fiber	3	Graphodatsky <i>et al.</i> 2008	СР
	Sicista betulina	3	Graphodatsky <i>et al.</i> 2008	СР
	Pedetes capensis	3	Graphodatsky <i>et al.</i> 2008	СР
	Mus musculus	4	www.ensembl.org	
	Rattus norvegicus	9	www.ensembl.org	
Lagomorpha	Oryctolagus cuniculus	2	Korstanje <i>et al.</i> 1999	RP
			Hayes et al. 2002	RP
Pholidota	Manis javanica	2	Yang <i>et al.</i> 2006	СР
Eulipotyphla	Talpa europea	4	Volleth and Muller 2006	ZOO-FISH
	Sorex araneus	2	Ye <i>et al.</i> 2006	СР
	Neotetrarcus sinensis	4	Ye <i>et al.</i> 2006	СР
	Blarinella griselda	4	Ye <i>et al.</i> 2006	СР
	Hemiechinus auritus	5	Yang <i>et al.</i> 2006	СР
Cetartiodactyla	Camel dromedarius	2	Balmus et al. 2007	RP
	Sus scrofa	2	Balmus et al. 2007	RP
			Froenicke and Wienberg 2001	СР
	Bos taurus	4	Balmus et al. 2007	RP
			www.ensembl.org	
	Ovis aries	2	Froenicke and Wienberg 2001	СР
			Iannuzzi <i>et al.</i> 1999	Comparative genomics
			Schibler et al. 1998	Gene mapping
	Giraffa camelopardalis	2	Huang et al. 2008	CP
			Kulemzina et al. 2009	ZOO-FISH
	Muntiacus muntjak vag.	2	Froenicke et al. 1997a	СР
			Yang <i>et al.</i> 1997a	CP
	Globicefala melas	2	Kulemzina et al. 2009	ZOO-FISH
	Hippopotamus amphibius	3	Kulemzina et al. 2009	ZOO-FISH
	Okapia johnstoni	3	Kulemzina et al. 2009	ZOO-FISH
	Moschus moschifera	3	Kulemzina et al. 2009	ZOO-FISH
Perissodactyla	Equus zebra	3	Richard et al. 2001	CP
			Trifonov et al. 2008	ZOO-FISH
	Equus caballus	3	Yang <i>et al.</i> 2004	RP
			www.ensembl.org	
	Equus asinus	3	Trifonov et al. 2008	ZOO-FISH
	Equus grevyi	3	Trifonov et al. 2008	ZOO-FISH
	Equus burcelli	3	Yang et al. 2004	RP
	Equues zebra harmannae	3	Trifonov et al. 2008	ZOO-FISH
	Equus hemionus onager	3	Trifonov et al. 2008	ZOO-FISH
	Equus przewalskii	3	Trifonov et al. 2008	ZOO-FISH
	Ceratotherium simum	3	Trifonov et al. 2008	ZOO-FISH

TABLE 1 — List of species analysed by chromosomal painting using human paints and/or comparison of whole genome assemblies. The number of human chromosome 4 orthologous blocks (OB) is indicated for each species. CP: chromosomae painting; RP: reciprocal painting data.

Table 1	L — (Condt.
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Order	Species	OB	References	Methods
	Diceros bicornis	3	Trifonov et al. 2008	ZOO-FISH
	Tapirus indicus	3	Trifonov et al. 2008	ZOO-FISH
	Tapirus terestris	3	Trifonov et al. 2008	ZOO-FISH
	Tapirus bairdii	3	Trifonov et al. 2008	ZOO-FISH
	Tapirus pinchaque	3	Trifonov et al. 2008	ZOO-FISH
Carnivora	Felis catus	2	Wienberg et al. 1997	Comparative genomics
			Yang <i>et al.</i> 2000	CP
			Murphy <i>et al.</i> 2007	RH map
	Canis familiaris	6	Breen <i>et al.</i> 1999	RP
			Yang <i>et al.</i> 2000	СР
			Graphodatsky <i>et al.</i> 2000a	СР
			Graphodatsky <i>et al.</i> 2008	ZOO-FISH
			www.ensembl.org	
	Mustela vision	2	Hameister et al. 1997	ZOO-FISH
			Graphodatsky <i>et al.</i> 2001	СР
			Graphodatsky <i>et al.</i> 2002	RP
	Mustela putorius furo	2	Cavagna <i>et al.</i> 2000	CP
	Phoca vitulina	2	Froenicke et al. 1997b	ZOO-FISH
	Tremarctos ornatus	2	Tian <i>et al.</i> 2004	RP
	Ailuropoda melanoleuca	2	Nash <i>et al.</i> 1998	CP
Chiroptera	Eonycteris spelea	3	Volleth et al. 2002	ZOO-FISH
•	Glossophaga soricina	2	Volleth et al. 2002	ZOO-FISH
	Myotis myotis	3	Volleth et al. 2002	ZOO-FISH
	Mormopterus planiceps	2	Volleth et al. 2002	ZOO-FISH
	Hipposideros larvatus	2	Volleth et al. 2002	ZOO-FISH
			Mao <i>et al.</i> 2007	СР
	Rhinolophus mehelyi	3	Volleth et al. 2002	ZOO-FISH
	Aselliscus stolickanus	3	Mao <i>et al.</i> 2007	СР
	Megaderma spasma	3	Mao <i>et al.</i> 2008	СР
	Taphozus melanopogon	3	Mao <i>et al.</i> 2008	СР
Proboscidea	Loxodonta africana	3	Yang <i>et al.</i> 2003	RP
	Elephas maximus	3	Yang et al. 2003	CP
Tubulidentata	Orycteropus afer	1	Robinson et al. 2004	RP
Afrosoricida	Chrysochloris asiatica	1	Robinson et al. 2004	CP
Macroscelidea	Elephantulus rupestris	1	Robinson et al. 2004	CP
	Macroscidelis proboscidens	1	Svartman et al. 2004	СР
Sirenia	Trichecus manatus	1	Kellogg et al. 2007	CP
Pilosa	Choloepus didactylus	2	Yang et al. 2006	CP
	Tamandua tetradactyla	5	Yang et al. 2006	CP
			Svartman et al. 2006	CP
	Choloepus hoffmannii	1	Svartman et al. 2006	CP
Cingulata	Dasypus novemcintus	2	Svartman et al. 2006	CP
Primates Strepsirhini				
Lorisiformes	Nucticebus coucang	2	Nie <i>et al.</i> 2006	RP
20110110111100	1 you could contain g	_	Stanvon <i>et al.</i> 2006	RP
	Otolemur garnettii	2	Stanyon <i>et al.</i> 2006	RP
	Otolemur crassicaudatus	2	Stanyon <i>et al.</i> 2002	CP
	Galavo moholi	2	Stanyon <i>et al.</i> 2002	CP
		-	Nie <i>et al.</i> 2006	RP
Lemuriformes	Lemur catta	5	Cardone <i>et al.</i> 2002	BAC/ PAC
			Warter <i>et al.</i> 2005	ZOO-FISH

TABLE 1 — Condt.

Order	Species	OB	References	Methods
	Eulemur macaco macaco	5	Cardone et al. 2002	BAC/PAC
	Eulemur fulvus mayottensis	5	Richard et al. 2000	СР
			Warter et al. 2005	ZOO-FISH
	Daubentonia madagascariensis	1	Warter et al. 2005	ZOO-FISH
	Microcebus murinus	5	Warter et al. 2005	ZOO-FISH
	Avahi laniger	5	Warter et al. 2005	ZOO-FISH
	Propithecus verreauxi	5	Warter et al. 2005	ZOO-FISH
			Warter et al. 2005	ZOO-FISH
	Indri indri	5	Warter et al. 2005	ZOO-FISH
	Lepilemur mustelinus	5	Warter et al. 2005	ZOO-FISH
			Rumpler et al. 2008	ZOO-FISH/RB
	Lepilemur jamesi	5	Warter et al. 2005	ZOO-FISH
			Rumpler et al. 2008	ZOO-FISH/RB
	Lepilemur leucopus	5	Warter et al. 2005	ZOO-FISH
			Rumpler et al. 2008	ZOO-FISH/RB
	Lepilemur ruficaudatus	5	Warter et al. 2005	ZOO-FISH
			Rumpler et al. 2008	ZOO-FISH/RB
	Lepilemur edwardisi	5	Warter et al. 2005	ZOO-FISH
			Rumpler et al. 2008	ZOO-FISH/RB
	Lepilemur microdon	5	Warter et al. 2005	ZOO-FISH
			Rumpler et al. 2008	ZOO-FISH/RB
Haplorhini				
Platirrhinae	Ateles geoffroy	3	Morescalchi <i>et al.</i> 1997	СР
	Ateles paniscus chamek	3	Seuanez et al. 2001	Comparative genomics
	Ateles belzebuth marginatus	3	de Oliveira et al. 2005	CP
	Ateles belzebuth	3	Garcia et al. 2002	ZOO-FISH
	Brachyteles arachnoides	3	de Oliveira et al. 2005	CP
	Lagothrix lagotricha	3	Stanyon et al. 2001	RP
	Alouatta belzebuth	3	Consigliere et al. 1998	CP
	Alouatta caraya	3	de Oliveira et al. 2002	CP
	Alouatta fusca clamitans	2	de Oliveira et al. 2002	CP
	Alouatta fusca fusca	2	de Oliveira et al. 2002	CP
	Alouatta seniculus macconelli	4	de Oliveira et al. 2002	CP
	Alouatta s. sara	2	Consigliere et al. 1998	CP
	Alouatta s. arctoidea	3	Consigliere et al. 1998	CP
	Callicebus moloch	1	Stanyon et al. 2000	CP
	Callicebus lugens	1	Stanyon et al. 2003	RP
	Callicebus donacophilus p.	2	Barros et al. 2003	CP
	Callicebus cupreus	2	Dumas <i>et al.</i> 2005	RP
	Cebus nigrivittatus	1	Garcia et al. 2002	ZOO-FISH
	Cebus capucinus	1	Richard <i>et al.</i> 1996	ZOO-FISH
	Cebus albifrons	1	Garcia <i>et al.</i> 2002	ZOO-FISH
	Cebus apella	1	Garcia <i>et al.</i> 2002	ZOO-FISH
	Cebus a. olivaceus	1	Amaral <i>et al.</i> 2008	СР
	Cebus a. robustus	1	Amaral <i>et al.</i> 2008	СР
	Cebus a. paraguayanus	1	Armal <i>et al.</i> 2008	СР
	Saimiri sciureus	1	Stanyon <i>et al.</i> 2000	СР
			Dumas et al. 2007	RP
	Saguinus oedipus	1	Muller et al. 2001a	CP
			Neusser et al. 2001	CP
	Cebuella pygmaea	1	Neusser et al. 2001	CP
			Dumas et al. 2007	RP

TABLE 1 — Condt.

Order	Species	OB	References	Methods
	Callithrix jaccus	1	Neusser et al. 2001	СР
	Callithrix argentata	1	Neusser et al. 2001	СР
			Dumas et al. 2007	RP
	Callimico goeldii	1	Neusser et al. 2001	CP
	6		Dumas et al. 2007	RP
	Chiropotes utahicki	1	Stanvon <i>et al.</i> 2004	СР
	Leontopithecus crysomelas	1	Serreau <i>et al.</i> 2004	CP
	Aotus nancymae	2	Ruiz-Herrera <i>et al.</i> 2005	CP
Catarrhinae	1100003 Nunleymae	-		
Gatarrinnae	Macaca fascicularis	1	Ruiz-Herrera et al 2002	ZOO-FISH
	Macaca arctoidas	1	Ruiz Herrero et al 2002	ZOO-HISH ZOO FISH
	Mandrillus sphinx	1	Ruiz Herrero et al 2002	200-11511 700 FISH
	Magaga cilwana	1	Wiophorg et al 1992	CD
	Maaaa fuqaata	1	Wienberg et al. 1992	CP
	Macaca juscata	1	Mulling and Wingle and 2001	CP
	Macaca nemestrina	1	Muller and wienberg 2001b	CP
	Macaca mulatta	1	www.ensembl.org	CD
	Colobus guereza	1	Bigoni <i>et al.</i> 1997a	CP
	Irachipithecus cristatus	1	Bigoni <i>et al.</i> 1997b	CP
	Irachipithecus obscurus	1	Ponsà <i>et al.</i> 1983	CB
	Pygathrix namaeus	1	Bigoni <i>et al.</i> 2004	CP
	Nasalis larvatus	1	Bigoni <i>et al.</i> 2003	CP
	Semnopithecus francoisi	1	Nie <i>et al.</i> 1998	CP
	Semnopithecus phayrei	1	Nie <i>et al.</i> 1998	СР
	Allenopithecus nigroviridis	1	Clemente et al. 1990	CB
	Erythrocebus patas	1	Clemente et al. 1990	CB
	Miopithecus talapoin	1	Clemente et al. 1990	CB
	Chlorocebus aethiops	2	Finelli et al. 1999	CP
	Cercopithecus l'hoesti	1	Stanyon and Sineo 1983	CB
			Moulin et al. 2008	ZOO-FISH
	Cercopithecus ascanius	1	Stanyon and Sineo 1983	CB
	Cercopithecus neglecuts	1	Stanyon and Sineo 1983	CB
	* 0		Stanyon <i>et al.</i> 2005	RP
	Cercopithecus hamlymi	1	Moulin <i>et al.</i> 2008	ZOO-FISH
	Cercopithecus mona	1	Clemente <i>et al.</i> 1990	CB
		-	Moulin <i>et al.</i> 2008	ZOO-FISH
	Hylobates hoolock	2	Nie <i>et al.</i> 2001	CP
		-	Muller <i>et al.</i> 2003	ZOO-FISH
	Hulphates lar	3	Jauch et al 1992	CP
	1191000113 101)	Misceo et al 2008	BAC hybridization
	Hulphates muddetulus	2	Koehler $at = 1$ 1995	
	11ylooales synaaclylus	ر	Muller and Wienham 2001	CP
	Nomana and a	Δ	Koohlon at al 1995	
	INOMASCUS CONCOLOP	4	Mulling and Wi 1 2001	
		_	Nuller and Wienberg 2001	CP
	<i>Nomascus leucogenis</i>	>	INIE <i>et al.</i> 2001	CP
			Koberto <i>et al.</i> 2008	CP
		_	Carbone <i>et al.</i> 2006	BAC hybridization
	Symphalangus syndactylus	5	Muller and Wienberg 2001	СР
			Muller et al. 2003	ZOO-FISH
	Pongo pygmaues	1	www.ensembl.org	
	Gorilla gorilla	1	www.ensembl.org	
	Pan troglodytes	1	www.ensembl.org	
Didelphimorphia	Monodelphis domestica	2	www.ensembl.org	
Falliformes	Gallus gallus	1	www.ensembl.org	

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4p16.3				+	+				+					+					+	
4p16.2				+					+					+					+	
4p16.1				+					+	•	•			+	•	•		•	+	•
4p15.33				+					+					+					+	
4p15.32				+					+					+					+	
4p15.31				+					+					+					+	
4p15.3 *• *•				+					+					+	•			•	+	
4p15.2				+					+					+					+	
4p15.1				+					+					+					+	
4p15				+	+				+					+					+	
4p14				+	+				+					+					+	
4p13		•		+	+				+					+				•	+	
4p12		•		+	+				+					+				•	+	
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Chromosom	al ban	ds							S.	pecies													
MIN	U M	IFA P	PY F	MR G	G	NLE GM	O/NCO	LCA	LLA	TBE	GVA	OCU	MMU	RNO	SCA	LAF	OAF	BTA	SSC	EQU	CFA	MDO	GGA
4q25						•	+	+	+								+			+			
4q26						•	+	+	+				•	•			+	•		+		+	
4q27 *		*				+	+	+	+				•	•			+	•		+	•	+	
4q28						+	+	+	+								+			+			•
4q28.1							+	+	+								+			+		+	
4q28.2							+	+	+								+			+			
4q28.3						+	+	+	+								+			+			
4q31.1 *•	, ,	•	•	•	•	•	+	+	+				•	•*			+			+			
4q31.2						+	+	+	•				•	•		+	+			+	•		
4q31.21						+	+	+	+							+	+			+			+
4q31.22						+	+	+	+							+	+			+			+
4q31.23						+	+	+	+							+	+			+			+
4q31.3						+	+	+	+							+	+			+			•
4q32						+	+	+	+				•	•		+	+	•	•	+	•		
4q32.1						+	+	+	+							+	+			+			
4q32.2						+	+	+	+	+	+	+			+	+	+			+			
4q32.3						+	+	+	+	+	+	+			+	+	+	+		+			
4q33						+	+	+	+	+	+	+			+	+	+	+		+			•
4q34						+	+	+	+	+	+	+			+	+	+	+	•	+	•		•
4q34.1						+	+	+	+	+	+	+			+	+	+	+					
4q34.2						+	+	+	+	+	+	+			+	+	+	+	+	+	+		
4q34.3						+	+	+	+	+	+	+			+	+	+	+	+	+	+		
4q35						+	+	+	+	+	+	+			+	+	+	+	•	+	+		•
4q35.1						+	+	+	+	+	+	+			+	+	+	+	+	+	+		
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MMU= Mac NCO= Nyct musculus; R CFA= Canis	aca m icebus NO=] Famil	ulatta;] s coucai Rattus r iaris; M	MFA=] ng; LC/ norvegic	Macaca A= Lem cus; SC, Aonode	fascicu ur catt A= Scii Iphis d	ularis; P a; LLA urus ca: omaest	PY= P(= Lagol rolinen; ica; GC	ongo py thrix la sis; LAl sis, TAl	gmaeus gotrichs 7= Loxo illus gal	s; PTR= t; TBE= odonta lus.	: Pan tr : Tupai african	oglody a belan a; OAF	tes; GG geri; G' ¹ = Oryc	O= Goi VA= Ga teropus	rilla gor leopter afar; B'	illa; NI us varie TA= Bo	LE= No gatus; (os tauru	mascus DCU= (s; SSC=	leucoge Dryctol: = Sus sc	enis; Gl agus cu rofa; E(MO= G niculus QU= E	ralago n ; MMU quus ca	noholi; = Mus ballus;

orthologous to HSA4 associated to other human syntenies (Table 3). The data were subjected to an unweighted maximum parsimony (MP) analysis using PAUP 4.0 software (SwOFFORD 1998) and a heuristic search with 100 random sequence additions and TBR branch swapping. The strict consensus tree is shown in Figure 2. Table 2 summarizes the chromosomal bands involved in the breakpoints, and their presence or absence in the eutherian species investigated, in the opossum (Metatheria), and in the chicken (Aves).

RESULTS

Comparative analysis of chromosomal data from 157 mammalian species gave us a window into the evolutionary dynamics of HSA4, into its ancestral constitution, and the various reorganization events that marked the emergence and diversification of different mammal clades. A MP

analysis was conducted on those taxa for which both reciprocal chromosome painting and genomic data were available at the time of the analvsis. Application of both analytical approaches allowed us to generate a phylogenetic tree (Figure 1a) summarizing the evolution of the ancestral chromosome 4 in boreoeutherian, eutherian, therian, and amniote ancestors. Because convincing evidence exists of an ancestral 4/8p synteny in boreoeutherians (RICHARD et al. 2003a; SVART-MAN et al. 2004; WIENBERG et al. 2004), our tree includes data on chromosome 8. The ancestral status of the HSA4/8p association is confirmed in our analysis. The identification of 4g/8p/4pg in the outgroups Gallus and Monodelphis supports the presence of the segmental association, not only in the ancestral eutherian karyotype, but also in the common ancestor of the Amniota (ROBINSON and RUIZ-HERRERA 2008).

Primates show a complex evolutionary scenario (Figure 1b). The HSA4 synteny appears



Fig. 1a — Fourteen species assigned to 16 orders were included in the sample to track the evolution of the ancestral chromosome 4 using phylogenetic reconstruction. The choice of species was influenced by the availability of reciprocal painting data and whole genome comparisons. Grey segments represent homologs to HSA4, whereas black segments are homologs to HSA8. The opossum (Metatheria) and chicken (Aves) are outgroups.

to have been present in the ancestral primate karyotype. Conversely, our study revealed a remarkable diversity in the number of orthologous blocks corresponding to HSA4 in the different primate lineages, and in the number of apomorphies identified among Strepsirhini and in Haplorhini (Figure 1b). The most derived groups are the Strepsirhini and the Platyrrhini, where the number of segments homologous to HSA4 varies from 1-5 and 1-3, respectively. Lorisiformes are characterized by a single block containing only sequences orthologous to HSA4, and another chromosome normally associated with diverse human segments. The lemuriform genera show great variability in levels of chromosomal reorganization. The basal divergence is occupied by the ave-ave, Daubentonia madagascariensis, where the orthologous to HSA4 is entirely conserved in one chromosome. By contrast, Lepilemur is the genus that shows the greatest complexity in the number of blocks orthologous to HSA4. Within Platyrrhini, Cebidae and Pithecidae show that HSA4 has been conserved entirely. By contrast, Atelidae appears to have the most derived form of HSA4; in almost all species studied, the HSA4 synteny is split into three segments associated

with other human syntenies. In the ancestral karyotype of Catarrhini, the HSA4 homolog is conserved entirely as a single entity. Cercopithecidae and Hominidae are grouped by the retention of HSA4 in a single syntenic block. Lesser apes (Hylobatidae), however, display different degrees of HSA4 disruption and association.

Figure 2 shows the Maximum Parsimony consensus tree (L = 198, CI = 0.70, RI = 0.80, excluding non-informative characters) for evolutionary breakpoints mapped on to HSA4. The topology we recovered indicates that some HSA4 bands involved in evolutionary breakpoints are shared by phylogenetically diverse lineages. Our reconstruction indicates four main results. First, within Primates, the split between Hominidae (Pongo, Pan and Gorilla) and Cercopithecidae (Macaca) is unresolved as a result of the taxa sharing a unique breakpoint located at HSA4q31.1. HSA4p16.1 represents a breakpoint that has occurred in three different lineages [Euarchontoglires (*Mus musculus* and *Rattus norvegicus*); Laurasiatheria (Bos taurus, Sus scrofa and Canis familiaris) and Galliformes (G. gallus)]. Additionally, the chromosomal bands extending from HSA4q32.1 to HSA4q35.2 have been retained in



Fig. 1b — Primate phylogenetic tree showing the different orthologous blocks (OB) corresponding to human chromosome 4 in Haplorhini and Strepsirhini.

Tupaia belangeri, Galeopterus variegatus, Sciurius carolinensis and Equus caballus. Strepsirhines, Lagothrix lagotricha and Loxodonta africana are linked by sharing a range of chromosomal bands (from 4q31.1 to 4q35.2), homologous to HSA4 and other associated human syntenies. An unexpected relationship indicated between Monodelphis domestica (Metatheria) and Orycteropus afer (Afrotheria), and is moderately supported (CI=0.6). This association is probably the result of a symplesiomorphic arrangement of chromosomal bands homologous to HSA4 that have been retained in the two lineages. Muridae (Mus and *Rattus*) share the highest number of breakpoints (CI = 1.0), a clearly reflection of the extensive common phylogenetic history of mice and rats, which only diverged 16-23 Mya (Springer et al. 2003).

DISCUSSION

The evolution of 4/8 organization in eutherian mammals - Our analysis of all available data from reciprocal chromosome painting in placental mammals, together with some whole genomic comparisons, indicated that the chromosomal regions comprising HSA4 have been assembled in remarkably diverse ways over the course of their 340 million years of evolution (Figure 1a). Estimated divergence times in the tree follow SPRINGER *et al.* (2003), and VAN RHEEDE *et al.* (2006).

Human/mouse hybridization patterns identify the regions involved in the ancestral association as 4q32.2-ter and 8p22-ter (Ensemble. org). Human sequence data indicate that 4qter is integrated into a region homologous to 8p.



Fig. 2 — The tree displays plausible relationships between different mammalian species according to common evolutionary breakpoints localized on Human chromosome 4 in this study. Numbers at nodes indicate support values obtained from 1000 bootstrap replicates.

The genesis of this syntenic association has two alternative explanations: a parsimonious 4/8 association (as in Tubulidentata and Felidae), or a complex tandem association of 8/4ter/8/4pg (hypothetically present in the Euarchontoglires ancestor). The model of association is far from clear, as it is possible that breakpoints have been "reused" (PEVZNER and TESLER, 2003), limiting the reliability of both models, although the 4/8 association does appear in a variety of extant taxa (FROENICKE 2005; FERGUSON-SMITH et al. 2007) [i.e. Trichecus manatus latirostris. Loxodonta africana (Afrotheria, see YANG et al. 2003: KELLOGG et al. 2007:): Blarinella griselda (Eulipotyphla, YE et al. 2006); Muntiacus muntjac vaginalis (Cetartiodactyla, FROENICKE et al. 1997a); Mormopterus planiceps, Hipposiderus larvatus, Glossophaga soricina (Chiroptera, VOL-LETH *et al.* 1999, 2002)].

The HSA4 configuration has been retained as an intact orthologous block, without disruption, in some afrotherian and carnivoran species, as well as in a variety of primates (MULLER et al. 1999; YANG et al. 2003; ROBINSON et al. 2004; FERGUSON-SMITH and TRIFONOV 2007; ROBINSON and RUIZ-HERRERA, 2008). MULLER et al. (1999) described a translocation in Tupaia separating the ancestral HSA4/8 association, and supporting a Scandentia-Primates sistergroup (MARTIN 1993). However, a re-analysis of Tupaia using reciprocal chromosome painting reveals the HSA4/8 association as present (R. Stanyon, personal communication), and supporting a sister-clade relationship between Scandentia and Dermoptera (NIE et al. 2008). In Rodentia and Lagomorpha, HSA4 is fragmented in rabbit and squirrel, while in the rat, nine different orthologous blocks are detectable, and the HSA4/8p (4qter-8p) association has also been conserved.

The Xenarthra, Afrotheria and Laurasiatheria clades are characterized by other, apparently ancestral associations. The HSA2/8p/4 association is a cytogenetic signature that subtends the Afrotherian grouping (ROBINSON *et al.* 2004). A more complex scenario has been maintained by individual lineages within the Laurasiatheria. Two fission events involving the ancestral 4/8p association characterize different species (i.e. cattle, horse, dog - Figure 1a); further, a significant number of inter-chromosomal rearrangements involve blocks orthologous to human chromosome 4 and other human chromosome segments, in several orders. The HSA4/20 association is a synapomorphy that links the Eulipotyphla and Pholidota (YANG *et al.* 2006; YE *et al.* 2006). A translocation between HSA4 and HSA12 is a cytogenetic signature for Artiodactyla (YANG *et al.* 1997a), within which 4/12/22 is a special marker for Ruminantia (HUANG *et al.* 2008). Finally, the segmental associations of HSA4/10 and HSA4/19p are considered synapomorphic, supporting chiropteran monophyly (VOLLETH *et al.* 1999; 2002).

Reconstruction of HSA4 ancestral bands in eutherians and an evolutionary breakpoint framework - Although the ancestral HSA4/8 association is present in a great variety of mammalian species, it is necessary to study the HSA4 architecture in these species to verify whether the ancestral organization is really conserved. Extensive comparative chromosome painting data show that the entire HSA4 and the HSA8p segment constitute a single chromosome in the ancestors of Amniota (therians and eutherians) (ROBINSON and Ruiz-HERRERA 2008: FERGUSON-SMITH et al. 2007), which underwent several rearrangements during the course of evolution. Starting with the ancestral association, different chromosomal bands can be shown to be distributed among the various orders. The first node, which subtends the divergence of the outgroup *Gallus gallus*, is characterized by a 4/8 tandem translocation of the association (chromosome 5) unique to this lineage that leads to a 4/8/4/8/4/8 (4q31.21-31.3/8p12/4q21.21-23/8p23.1/4q35.1-2/8p22) sequence, never described before. In Monodelphis domestica (opossum), the association 4/8 is present and conserves the ancestral structure, but in this lineage an inversion has generated a 4/8/4 (4q26-4q28.1/8p12/4q13.3-pter) autapomorphy.

The proposed ancestral eutherian arrangement can be seen in the aardvark, Orycteropus afer (OAF, Tubulidentata). Chromosome 1 of OAF comprises the entire ancestral HSA4/8 association, which is associated with HSA2p (YANG et al. 2003). The same association has been revealed in other afrotherian species by unidirectional chromosomal panting (YANG et al. 2003; ROBINSON et al. 2004). The African elephant (Loxodonta africana) presents an exception, where the ancestral association is not present, and furthermore, the HSA4 synteny is disrupted into three blocks: HSA4p16-4q31.2 (LAF5), HSA 4q31.2-q32/15 (LAF17) and HSA 4q32-q35/16/19 (LAF20). A different scenario has been proposed in Euarchontoglires. The Dermoptera, Scandentia and Rodentia

No	Character mulatta	Macaca fascicularis	Macaca pygmaeus	Pongo troglodites	Pan gorilla	Gorilla leucogenis	Nomasc s N. couca	us G. mohol ng catta	i/ Lemur lagotricha	Lagothrix belangeri	Tupaia variegatus	Galeopterus cuniculus	s Oryctolagus musculus	Mus norvegicus	Rattus arolinensis	Sciurus I africana	oxodonta 0 afer	rycteropus taurus fi	Bos amiliaris	Canis caballus	Equus scrofa gi	Sus allus d	Gallus omestica M	nodelphis
1	4p16.3	0	0	0	0	0	ŝ	0	ŝ	0	0	0	2	0	0	0	0	2	0	1	0	0	0	2
7	4p16.2	0	0	0	0	0	ς	0	0	0	0	0	7	0	0	0	0	7	0	0	0	0	0	7
ξ	4p16.1	0	0	0	0	0	ς	0	0	0	0	0	2	1	1	0	0	2	1	1	0	Ļ	1	7
4	4p15.33	0	0	0	0	0	ς	0	0	0	0	0	2	0	0	0	0	2	0	0	0	0	0	2
5	4p15.32	0	0	0	0	0	ς	0	0	0	0	0	2	0	0	0	0	2	0	0	0	0	0	2
9	4p15.31	0	0	0	0	0	ς	0	0	0	0	0	2	0	0	0	0	2	0	0	0	0	0	2
7	4p15.3	1	1	0	0	0	ς	0	0	0	0	0	2	0	0	0	0	2	1	1	0	0	0	2
8	4p15.2	0	0	0	0	0	ς	0	0	0	0	0	7	0	0	0	0	7	0	0	0	0	0	2
6	4p15.1	0	0	0	0	0	ς	0	0	0	0	0	7	0	0	0	0	2	0	0	0	0	0	2
10	4p15	0	0	0	0	0	ς	0	Ś	0	0	0	7	0	0	0	0	2	0	0	0	0	0	2
11	4p14	0	0	0	0	0	ς	0	Ś	0	0	0	7	0	0	0	0	7	0	0	0	0	0	7
12	4p13	0	0	0	1	0	ς	0	m	0	0	0	0	0	0	0	0	2	0	1	0	0	0	7
13	4p12	0	0	0	0	1	ς	0	ς	0	0	0	2	0	0	0	0	7	0	1	0	0	0	7
14	4p11	0	0	0	0	0	0	0	ς	0	0	0	7	0	0	0	0	7	0	0	0	0	0	7
15	4q11	0	0	0	0	0	0	0	m	0	0	0	0	0	0	0	0	7	0	0	0	0	1	0
16	4q12	1	0	1	0	0	ς	ŝ	ς	0	0	0	0	0	0	0	0	2	0	1	0	1	1	0
17	4q13	1	0	0	0	0	ς	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0	0	0
18	4q13.1	0	0	0	0	0	ς	m	m	0	0	0	0	0	0	0	0	2	0	2	0	0	0	2
19	4q13.2	0	0	0	0	0	ς	m	m	0	0	0	0	0	0	0	0	2	0	2	0	0	0	7
20	4q13.3	0	0	0	0	0	ς	ς	ς	0	0	0	0	0	0	0	0	2	0	1	0	0	0	7
21	4q21.1	1	-	0	1	0	ς	ς	m	0	0	0	0	0	0	0	0	2	0	1	0	1	1	1
22	4q21.21	0	0	0	0	0	ς	ς	ς	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0
23	4q21.22	0	0	0	0	0	Ś	ŝ	m	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0
24	4q21.23	0	0	0	0	0	ς	ŝ	m	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0
25	4q21.3	0	0	0	0	0	ς	ŝ	Ś	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0
26	4q22.1	0	0	0	0	0	ς	ς	m	0	0	0	0	0	0	0	0	7	0	0	0	0	7	0
27	4q22.2	0	0	0	0	0	ŝ	ŝ	m i	0	0	0	0	0	0	0	0	0	0	0	0	, ,		0
28	4q22.3	0	0	0	0	0	n i	n i	n (0	0	0	0	0,	0,	0	0	0	0,	0	0	0	0,	0
29	4q22	0	0	0	0	0	Ś	Ś	Ś	0	0	0	0	-	-	0	0	7	-	0	0	0		0
30	4q23	0	0	0	0	0	ŝ	ŝ		ς .	0	0	0	0	0	0	0	7	0	0	0	0	0	0
31	4q24	0	0	0	0	0	Ś	m	1	1	0	0	0	0	0	0	0	7	0	7	0	0	0	0
32	4q25	0	0	0	0	0	1	m	m	m	0	0	0	0	0	0	0	7	0	0	2	0	0	0
33	4q26	0	0	0	0	0	1	Ś	m	Ś	0	0	0		1	0	0	2	-	0	7	0	0	7
34	4q27	0	0	0	0	0	m	m	m	m	0	0	0		-1	0	0	7	-		7	0	0	7
35	4q28	0	0	0	0	0	m	m	m	m	0	0	0	0	0	0	0	7	0	0	7	0	1	0
36	4q28.1	0	0	0	0	0	0	m	m	m	0	0	0	0	0	0	0	7	0	0	7	0	0	7
37	4q28.2	0	0	0	0	0	0	ς	m	m	0	0	0	0	0	0	0	2	0	0	2	0	0	0
38	4q28.3	0	0	0	0	0	ŝ	Ś	Ś	Ś	0	0	0	0	0	0	0	7	0	0	7	0	0	0
39	4q31.1	1	1	1		1	1	ŝ	3	3	0	0	0	-1	1	0	0	2	0	0	2	0	0	0

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TABLE 3 — Condt.

Char nul are linked by a particular HSA4/8p association involving the chromosomal regions extending from 4q32.2 to the 4q35 band and the entire p arm of HSA8 or, alternatively, the single band 8p22ter (our study).

In Galeopterus variegatus (GVA - Dermoptera) chromosome 4 is in three parts. The 4/8 ancestral synteny is maintained for the portion HSA4g32.2/4gter, in association with HSA8p22-ter (NIE et al. 2008). The G-banding comparison between GVA and TBE (Tupaia belangeri, Scandentia) and the analysis of the reciprocal HSA/TBE painting (Müller et al. 1999) indicate that the region homologous with 4a32.2 -4q35 is localized on TBE22. Conversely, within Rodentia there is a different HSA4/8p organization. Mouse and rat show the ancestral 4q32.2-4qter /8p22-ter association, but are characterized by the highest number of rearrangements involving the ancestral synteny, leading to diverse human syntenic associations. In the sciurid group the association is a 8/4/8 synapomorphy, and involves the entire HSA 4q32.2-4qter/8p. In Lagomorpha the association 4/8 is present on Oryctolagus cuniculus chromosome 2 and is formed by 4p and 4q32.2-4ter/8p (KORSTANJE et al. 1999: HAYES et al. 2002).

In Primates there is no trace of the ancestral eutherian 4/8p association. The human chromosome 4 syntenic order is present in the APK (Ancestral Primate Karyotype), in the AAP (Ancestral Ape Karyotype) and in the APLK (Ancestral Platyrrhini Karyotype) without any trace of the ancestral eutherian 4/8p association (STANYON et al. 2008). In Lorisiformes (Galagidae and Lorisidae) the chromosomes on which human syntenic associations HSA6+4 and HSA5+4 are present, contain the chromosomal bands from 4q12 to 4q35, while the rest of HSA4 is represented by the 4p16-4p12 bands. The Lemuriformes genome is characterized by a series of associations, including 4/5 and 4/6, but with the involvement of different breakpoints (CAR-DONE *et al.* 2002). We assume that HSA4q22 and HSA4q25 are the breakpoints involved respectively in the 4/5 and 4/6 associations. The Hominidae (humans and great apes) and Old World monkeys have karyotypes closely resembling the APK (STANYON et al. 2008). The chromosome IV elements in the great ape (PTR, PPY, GGO) lineages differ from the human homolog by distinctive pericentric inversions (MARZELLA et al. 2000). A pericentric inversion involving two breakpoints at the HSA4p13 and HSA4q21 bands is the main difference between PTR (Pan

troglodytes) and humans; in PPY (Pongo pyg*maeus*) the breakpoint is close to the centromere in the HSA4q12 homologues region; in GGO (Gorilla gorilla) a pericentric inversion is present in the HSA4p13 region. Among Cercopithecidae, in MMU (Macaca mulatta) a breakpoint was identified only on the region homologous to human HSA4q21, and two inversions related to the human regions HSA4q12-13 (see also KAR-ERE et al. 2008; ROBERTO et al. 2008). Chlorocebus aethiops (CAE) is the only species in which HSA4 is present as two distinct orthologous blocks: HSA4q (CAE7) and HSA4p (CAE 27). Hylobatid karyotypes appear highly derived and rearranged (JAUCH et al. 1992; KOELHER et al. 1995). Our analysis indicates the number of syntenic blocks corresponding to the human genome as ranging from 2 to 5. In New World monkeys, HSA4 is conserved in a single orthologous block in Cebidae, while Atelidae shows the greatest number of rearrangements. Comparative analysis allowed us to identify three fragments: 4a (4q31.3ter), 4b(4q23-q31.2)/15 and 4c(HSA4pter-q22). Our analysis of the reciprocal chromosome painting on Lagothrix lagotricha indicates the occurrence of two breakpoints (HSA4q23 and HSA4q31.2) in the association 4/15, that may constitute a synapomorphy linking all atelid genera. In the Aotidae, Aotus nancymae displays a different syntenic association: HSA4pter-q22 and HSA4q31.3-q35/15.

Among Laurasiatheria the association 4/8 exists in two forms. In the dog (*Canis familiaris*) one of the ancestral segments identified with HSA8p is localized on CFA13 (HSA4p13-p12; HSA4q12-q13.1/q13.3), while the other is on CFA16 (HSA4q34.2-qter), while in the cat (Fe*lis catus*) the block orthologous to the ancestral eutherian state is fully conserved in a single chromosome (B1). In the horse (Equus caballus) the ancestral bands orthologous to HSA4 occupy HSA4q24 to HSA4q34.1 on ECA2, and HSA4q34.2-q35 on ECA27. In cattle (Bos taurus), chromosome 8 carries the chromosomal bands homologous to HSA4q32.3-34.1 and HSA4q34.2-q35 (BTA27), and in the pig (Sus scrofa), HSA4q34.2-q35 is found on chromosome 15.

In conclusion evolutionary breakpoints are not uniformly distributed along the length of the human chromosomes, and are often conserved during chromosomal evolution. The identification of the breakpoints in HSA4 reveals complex and diverse scenarios of reorganization of the synteny from its ancestral state. Our analysis provides evidence of the following:

The Afrotheria, where the 4/8 association is conserved; the Euarchontoglires, where the bands ranging from 4q32 to 4q35 appear to be the boundaries of the 4/8 mammalian ancestral association, variably distributed in the five orders; the Laurasiatheria where the 4/8 association is variably reassembled.

There is an association between fragile sites and evolutionary breakpoints corresponding to human bands 4p15.3, 4p16.1, 4q12, and 4q31.1. The matrix in Table 3 indicates that the bands 4p15.3 and 4p16.1 house fragile site and evolutionary breakpoint events; the band 4q12 houses two fragile sites and five evolutionary breakpoint events; and the band 4q31.1 houses four fragile sites and eight evolutionary breakpoint events.

Evolutionary interpretations of chromosome painting results and ancestral chromosome reconstructions must be considered in the light of real structural rearrangements that have taken place in different evolutionary lineages.

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