



Group Theoretical Derivation of Consistent Free Particle Theories

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Abstract

The difficulties of relativistic particle theories formulated by means of canonical quantization, such as those of Klein–Gordon and Dirac, ultimately led theoretical physicists to turn to quantum field theory to model elementary particle physics. In order to overcome these difficulties, the theories of the present approach are developed *deductively from the physical principles that specify the system*, without making use of canonical quantization. For a free particle these starting assumptions are invariance of the theory and covariance of position with respect to Poincaré transformations. In pursuing the approach, the effectiveness of group theoretical methods is exploited. The coherent development of our program has shown that robust classes of representations of the Poincaré group, discarded by the known particle theories, can in fact be taken as bases for perfectly consistent theories. For massive spin zero particles, six inequivalent theories have been determined, two of which do not correspond to any of the current ones; all of these theories overcome the difficulties of Klein–Gordon one. The present lack of the explicit transformation properties of position with respect to boosts prevents the complete determination of *non zero* spin particle theories. In the past a particular form of these transformation properties was adopted by Jordan and Mukunda. We check its consistency within the present approach and find that for spin $\frac{1}{2}$ particles there is only one consistent theory, which is unitarily related to Dirac's; yet, once again, it requires classes of irreducible representations previously discarded.

1 Motivations and Overview

Canonical quantization was the primary method for formulating *specific relativistic particle theories* [1–3]; unlike the non-relativistic case, the results were affected by problems. The first problem was the order of the wave equation for a spin zero free

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particle, i.e. the Klein–Gordon equation, that turned out to be *second order* with respect to time, while according to the general laws of quantum theory it should have been *first order*. A related problem concerns the probability density $\hat{\rho}$ of the position of the particle, that the theory allowed to be negative [4]. The proposals [5] to solve this paradoxical situation, by *a posteriori* reinterpreting $\hat{\rho}$ in terms of *charge density*, violate the *peculiar relativistic invariance* required by the theory [6]. The difficulties of relativistic particle theory, effectively shown by Weinberg in full detail [7] also for Dirac equation [8–10], ultimately led theoretical physicists to turn to quantum field theory to model elementary particle physics.

The inability to solve these problems is bound up with the methodological features of canonical quantization. In order to formulate the quantum theory of a particle, canonical quantization prescribes to replacing the dynamical variables q_j and the conjugate momenta p_j of its *classical theory* with operators Q_j and P_j , and to replacing the Poisson brackets $\{ , \}$ with operator commutators $i[,]$ in the dynamical equations of the *classical theory*, to obtain the dynamical equations of the *quantum theory* of the particle. We see that the implementation of canonical quantization provides no deductive path that leads to results from physical principles. For this reason the real causes of problematic or inconsistent predictions cannot be singled out and thus resolved.

In order to overcome these inconsistencies, the present work does not apply canonical quantization; instead, the quantum theories of a particle are constructed according to a strictly deductive development starting from the symmetry and covariance principles that characterize the concept of free particle. This methodology avoids the shortcomings arising with canonical quantization, because the eventual occurrence of inconsistencies, whenever ascertained, would be the proof of the failure of the starting assumptions, which should be accordingly modified. This approach has turned out to be very effective in developing *non-relativistic* quantum theories of an interacting particle [11–13], by making use of group theoretical methods. Here we undertake the approach for the relativistic quantum theory of an isolated system and of a “massive” free particle in particular. From the results, we have found not only that

alternative theories of a free Klein–Gordon particle are completely derivable from the physical principles without the problems suffered by the earlier theory,

(a task not accomplished before) but even that

new species of particle theories, i.e. consistent theories that correspond to none of the known theories, can be coherently developed.

The principle we start from for an isolated physical system is relativistic invariance established by stating that the *Poincaré group* \mathcal{P} is a *group of symmetry transformations*. After the needed mathematical prerequisites in Sect. 2, in Sect. 3 we show that this invariance principle implies the existence of a generalized projective representation $g \rightarrow U_g$ of the Poincaré group that realizes the *quantum transformations* of observables according to $A \rightarrow S_g[A] = U_g A U_g^{-1}$. Though this implication is widely exploited in the literature [14–19], it could in fact not be derived from the symmetry principle by making use of the standard quantum concept of transformation. We overcome this obstacle by introducing a more adequate concept of quantum transformation.

Then, the concept of *free particle* is introduced as an isolated system specified by the existence of a unique triple $\mathbf{Q} = (Q_1, Q_2, Q_3)$ of commuting quantum observables that represent the three coordinates of the position.¹ Accordingly, it is required that for each $g \in \mathcal{P}$ the transformed position operator $S_g[\mathbf{Q}] = U_g \mathbf{Q} U_g^{-1}$ and the untransformed \mathbf{Q} must be related by the peculiar transformation properties of the coordinates of position with respect to g . For an *elementary* free particle the projective representation U such that $S_g[\mathbf{Q}] = U_g \mathbf{Q} U_g^{-1}$ must be irreducible. Thus, a consistent theory of a free elementary particle is identifiable by checking which irreducible generalized projective representations of \mathcal{P} admit a triple \mathbf{Q} satisfying these transformation conditions.

The work of Sect. 3 shows that the identification of the irreducible generalized projective representations of \mathcal{P} lays the groundwork for developing theories of elementary free particle. Several well known approaches based on relativistic invariance, from the fundamental works of Wigner [14], Wigner and Bargmann [15], to more recent contributions [7, 16–18], deal with this task. These approaches, however, discard robust classes of irreducible representations of \mathcal{P} . For instance, in [19] irreducible representations with anti-unitary space inversion operators are not taken into account. One reason put forward for this exclusion [7, 16, 27] is that anti-unitarity space inversion operators change the sign of the spectral values of the self-adjoint generator of time translations P_0 , that in these studies is identified with *energy*, and it is usually assumed that this change cannot happen (see [27, p. 135]). But we know that Dirac theory of spin- $\frac{1}{2}$ particles *does admit* both signs for this spectrum; moreover, we shall show in Sect. 5 that perfectly consistent theories can be formulated with anti-unitary space inversion operators. In the present work no such *a priori* exclusion is assumed; any space inversion or time reversal operator is discarded only if it gives rise to inconsistencies.

The irreducible (positive “mass”) generalized projective representations of \mathcal{P} without *a priori* preclusions are reported in Sect. 4.

The explicit determination of the theories of an *elementary free particle* is addressed in Sect. 5 by selecting which of the already identified irreducible representations of \mathcal{P} admits a position operator \mathbf{Q} with the required transformation properties. As a result, for each positive value of the mass parameter μ six inequivalent theories for *spin zero* particles are fully determined. Two of these theories coincide with well known theories with positive or negative spectrum $\sigma(P_0)$ of the Hamiltonian operator P_0 and spin zero. Two further theories are characterized by *symmetrical* spectrum $\sigma(P_0)$ of the Hamiltonian operator P_0 , hence recalling Klein–Gordon particles, albeit with fundamental differences with respect to the earlier theory; first of all, both theories are based on irreducible representations in the new classes with anti-unitary space inversion operator, and one has anti-unitary time reversal operator as well; moreover, being developed deductively, they avoid the problems of interpretative consistency

¹ The commutativity condition $[Q_j, Q_k] = 0$ establishes the possibility of performing a measurement that yields all three values of the position coordinates. The *nonexistence* of commutative position operators in certain circumstances [20] has led to search for more general concepts of position [21], such as *non-commutative* concepts [22–25], and for *unsharp* position (see [26] and references therein), circumstances. In the present work we are interested in the commutative concept of position only.

associated with the Klein–Gordon theory. Two other theories are *new species*: they have no correspondence with currently known theories.

In the case of *non zero spin* particles, our selection of the representations that admit an observable position does not uniquely determine \mathbf{Q} . Section 6 explains how this indeterminacy is due to the present unavailability of a general form of the transformation properties of \mathbf{Q} with respect to boosts. A particular form (JM) of these properties, expressed as commutation relations, was adopted by Jordan and Mukunda [18]. In Sect. 6 the selection is performed by making tentative use of (JM). For spin- $\frac{1}{2}$ particles with *symmetrical* spectrum of the Hamiltonian operator P_0 , only one theory turns out to be consistent with (JM), which is unitarily related to Dirac theory. Moreover this theory requires an irreducible generalized projective representation of \mathcal{P} in the new classes with anti-unitary space inversion operator.

Section 7 discusses the problem of identifying the real particles described by a given theory from those developed in the work.

In the final Sect. 8 future perspectives stemming from the present work are indicated.

2 Notation and Mathematical Prerequisites

First of all we introduce the notation for the mathematical structures of general interest in a quantum theory based on a Hilbert space \mathcal{H} .

- The set $\Omega(\mathcal{H})$ of all self-adjoint operators of \mathcal{H} ; in a quantum theory these operators represent *quantum observables*.
- The lattice $\Pi(\mathcal{H})$ of all projections operators of \mathcal{H} ; in a quantum theory they represent observables with outcome' spectrum $\{0, 1\}$.
- The set $\Pi_1(\mathcal{H})$ of all rank one orthogonal projections of \mathcal{H} .
- The set $\mathcal{S}(\mathcal{H})$ of all density operators of \mathcal{H} ; in a quantum theory these operators represent *quantum states*.
- The set $\mathcal{V}(\mathcal{H})$ of all unitary or anti-unitary operators of the Hilbert space \mathcal{H} .
- The set $\mathcal{U}(\mathcal{H})$ of all unitary operators of \mathcal{H} ; trivially, $\mathcal{U}(\mathcal{H}) \subseteq \mathcal{V}(\mathcal{H})$ holds.

2.1 Representations of Groups; the Poincaré Group

The following definition introduces general notions concerning group representations.

Definition 2.1 Let G be a separable, locally compact group with identity element e . A correspondence $U : G \rightarrow \mathcal{V}(\mathcal{H})$, $g \rightarrow U_g$, with $U_e = \mathbb{I}$, is a generalized projective representation of G if the following conditions are satisfied.

- (i) A complex function $\sigma : G \times G \rightarrow \mathbb{C}$, called multiplier, exists such that $U_{g_1 g_2} = \sigma(g_1, g_2) U_{g_1} U_{g_2}$; the modulus $|\sigma(g_1, g_2)|$ is always 1;
- (ii) for all $\phi, \psi \in \mathcal{H}$, the mapping $g \rightarrow \langle U_g \phi | \psi \rangle$ is a Borel function in g .

Whenever U_g is unitary for all $g \in G$, U is called projective representation, or σ -representation.

A generalized projective representation is said to be continuous if for any fixed $\psi \in \mathcal{H}$ the mapping $g \rightarrow U_g \psi$ from G to \mathcal{H} is continuous with respect to g .

If $U : G \rightarrow \mathcal{V}(\mathcal{H})$ is a generalized projective representation and $\theta(g) \in \mathbb{R}$ for all $g \in G$, with $e^{i\theta(g)} = \mathbb{I}$, then the generalized projective representation $\tilde{U} : G \rightarrow \mathcal{V}(\mathcal{H}), g \rightarrow \tilde{U}_g = e^{i\theta(g)}U_g$ is said to be *equivalent* to $U : G \rightarrow \mathcal{V}(\mathcal{H})$.

In [12] we have proved that the following statement holds.

Proposition 2.1 *If G is a connected group, then every continuous generalized projective representation U of G is a projective representation, i.e. $U_g \in \mathcal{U}(\mathcal{H})$, for all $g \in G$.*

Given any vector $\underline{x} = (x_0, \mathbf{x}) \in \mathbb{R}^4$, we call x_0 the *time component* of \underline{x} and $\mathbf{x} = (x_1, x_2, x_3)$ the *spatial component* of \underline{x} . The proper orthochronous Poincaré group \mathcal{P}_+^\uparrow is the separable locally compact group of all transformations of \mathbb{R}^4 generated by the ten one-parameter sub-groups $\mathcal{T}_0, \mathcal{T}_j, \mathcal{R}_j, \mathcal{B}_j, j = 1, 2, 3$, of time translations, spatial translation, proper spatial rotations and Lorentz boosts, respectively, relative to the axes x_j . Euclidean group \mathcal{E} is the sub-group generated by all \mathcal{T}_j and \mathcal{R}_j . The sub-group generated by all $\mathcal{R}_j, \mathcal{B}_j$ is the proper orthochronous Lorentz group \mathcal{L}_+^\uparrow [17]. It does not include time reversal \mathfrak{t} and space inversion \mathfrak{s} . Time reversal \mathfrak{t} transforms $\underline{x} = (x_0, \mathbf{x})$ into $(-x_0, \mathbf{x})$; space inversion \mathfrak{s} transforms $\underline{x} = (x_0, \mathbf{x})$ into $(x_0, -\mathbf{x})$. The group generated by $\{\mathcal{P}_+^\uparrow, \mathfrak{t}, \mathfrak{s}\}$ is the separable and locally compact Poincaré group \mathcal{P} . By \mathcal{L}_+ we denote the subgroup generated by \mathcal{L}_+^\uparrow and \mathfrak{t} , while \mathcal{L}^\uparrow denotes the subgroup generated by \mathcal{L}_+^\uparrow and \mathfrak{s} ; analogously, \mathcal{P}_+ denotes the subgroup generated by \mathcal{P}_+^\uparrow and \mathfrak{t} , while \mathcal{P}^\uparrow is the subgroup generated by \mathcal{P}_+^\uparrow and \mathfrak{s} .

Since \mathcal{P}_+^\uparrow is a *connected* group, according to Proposition 2.1 every *continuous* generalized projective representation of \mathcal{P}_+^\uparrow is a projective representation.

All sub-groups $\mathcal{T}_0, \mathcal{T}_j, \mathcal{R}_j, \mathcal{B}_j$ of \mathcal{P}_+^\uparrow are additive; in fact, \mathcal{B}_j is not additive with respect to the parameter *relative velocity* u , but it is additive with respect to the parameter $\varphi(u) = \frac{1}{2} \ln \frac{1+u}{1-u}$. For every continuous projective representation $\tilde{U} : \mathcal{P}_+^\uparrow \rightarrow \mathcal{U}(\mathcal{H})$, according to Stone’s theorem [28], an equivalent continuous projective representation $U : \mathcal{P}_+^\uparrow \rightarrow \mathcal{U}(\mathcal{H})$ exists with ten *self-adjoint generators* $P_0, P_j, J_j, K_j, j = 1, 2, 3$, of the ten one-parameter unitary subgroups $\{e^{iP_0t}\}, \{e^{-iP_ja_j}, a \in \mathbb{R}\}, \{e^{-iJ_j\theta_j}, \theta_j \in \mathbb{R}\}, \{e^{-iK_j\varphi(u_j)}, u_j \in \mathbb{R}\}$ of $\mathcal{U}(\mathcal{H})$ that represent the one-parameter sub-groups $\mathcal{T}_0, \mathcal{T}_j, \mathcal{R}_j, \mathcal{B}_j$ according to the projective representation U .

2.2 Commutation Relations in Representations of \mathcal{P}

The structural properties of \mathcal{P}_+^\uparrow as a Lie group imply that every continuous projective representation of \mathcal{P}_+^\uparrow admits an equivalent projective representation whose generators satisfy the following commutation relations [19].

$$\begin{aligned}
 \text{(i)} \quad [P_j, P_k] &= \mathbb{O}, & \text{(ii)} \quad [J_j, P_k] &= i\hat{\epsilon}_{jkl}P_l, & \text{(iii)} \quad [J_j, J_k] &= i\hat{\epsilon}_{jkl}J_l, \\
 \text{(iv)} \quad [J_j, K_k] &= i\hat{\epsilon}_{jkl}K_l, & \text{(v)} \quad [K_j, K_k] &= -i\hat{\epsilon}_{j,k,l}J_l, & \text{(vi)} \quad [K_j, P_k] &= i\delta_{jk}P_0, \\
 \text{(vii)} \quad [P_j, P_0] &= \mathbb{O}, & \text{(viii)} \quad [J_j, P_0] &= \mathbb{O}, & \text{(ix)} \quad [K_j, P_0] &= iP_j,
 \end{aligned}
 \tag{1}$$

where $\hat{\epsilon}_{jkl}$ is the Levi-Civita symbol ϵ_{jkl} restricted by the condition $j \neq l \neq k$.

Let $U : \mathcal{P} \rightarrow \mathcal{V}(\mathcal{H})$ be a generalized projective representation whose restriction to \mathcal{P}_+^\uparrow is continuous, for which the commutation relations (1) hold. Each operator U_g can be unitary or anti-unitary, but according to Proposition 2.1 U_g is unitary if $g \in \mathcal{P}_+^\uparrow$. Since time reversal \mathcal{T} and space inversion \mathcal{S} are *not connected* with the identity transformation $e \in \mathcal{P}$, the operators ${}^{\mathcal{T}}U = U_{\mathcal{T}}$ and ${}^{\mathcal{S}}U = U_{\mathcal{S}}$ that represent \mathcal{T} and \mathcal{S} are not necessarily unitary: each of them can be unitary or anti-unitary. By making use of the structural properties of the full Poincaré group \mathcal{P} it can be shown [19] that the phase factor $e^{i\theta(g)}$ can always be chosen so that, besides (1), the following statements also hold in the equivalent representation.

If ${}^{\mathcal{S}}U$ is unitary, then the following relations hold.

$$[{}^{\mathcal{S}}U, P_0] = 0, \quad {}^{\mathcal{S}}U P_j = -P_j {}^{\mathcal{S}}U, \quad [{}^{\mathcal{S}}U, J_k] = 0, \quad {}^{\mathcal{S}}U K_j = -K_j {}^{\mathcal{S}}U; \quad {}^{\mathcal{S}}U^{-1} = {}^{\mathcal{S}}U. \tag{2}$$

In the case that ${}^{\mathcal{S}}U$ is anti-unitary, instead we have

$$\begin{aligned} {}^{\mathcal{S}}U P_0 &= -P_0 {}^{\mathcal{S}}U, \quad [{}^{\mathcal{S}}U, P_j] = 0, \quad {}^{\mathcal{S}}U J_k = -J_k {}^{\mathcal{S}}U, \quad {}^{\mathcal{S}}U K_j = K_j {}^{\mathcal{S}}U; \\ {}^{\mathcal{S}}U^{-1} &= c {}^{\mathcal{S}}U, \text{ with } c = \pm 1. \end{aligned} \tag{3}$$

The following relations hold in the case that ${}^{\mathcal{T}}U$ is unitary.

$${}^{\mathcal{T}}U P_0 = -P_0 {}^{\mathcal{T}}U, \quad [{}^{\mathcal{T}}U, P_j] = 0, \quad [{}^{\mathcal{T}}U, J_k] = 0, \quad {}^{\mathcal{T}}U K_j = -K_j {}^{\mathcal{T}}U; \quad {}^{\mathcal{T}}U^{-1} = {}^{\mathcal{T}}U \tag{4}$$

Instead, for anti-unitary ${}^{\mathcal{T}}U$ we have

$$\begin{aligned} {}^{\mathcal{T}}U P_0 &= P_0 {}^{\mathcal{T}}U, \quad {}^{\mathcal{T}}U P_j = -P_j {}^{\mathcal{T}}U, \quad {}^{\mathcal{T}}U J_k = -J_k {}^{\mathcal{T}}U, \quad {}^{\mathcal{T}}U K_j = K_j {}^{\mathcal{T}}U; \\ {}^{\mathcal{T}}U^{-1} &= c {}^{\mathcal{T}}U, \text{ with } c = \pm 1. \end{aligned} \tag{5}$$

The commutation condition to be satisfied by the pair ${}^{\mathcal{S}}U, {}^{\mathcal{T}}U$, independently of their unitary or anti-unitary character, is simply

$${}^{\mathcal{S}}U {}^{\mathcal{T}}U = \omega {}^{\mathcal{T}}U {}^{\mathcal{S}}U, \text{ with } \omega \in \mathbb{C} \text{ and } |\omega| = 1. \tag{6}$$

3 Single Particle Quantum Theories

In order to develop the specific quantum theories of a free particle, we interpret \mathcal{P} as the group of changes of reference frame, according to special relativity. Hence, given a reference frame Σ in the class \mathcal{F} of the (inertial) reference frames that move uniformly with respect to each other, for every $g \in \mathcal{P}$ let Σ_g denote the reference frame related to Σ just by g , and let $\mathfrak{g} : \mathbb{R}^4 \rightarrow \mathbb{R}^4$ be the mapping such that if $\underline{x} = (t, x_1, x_2, x_3) \equiv (x_0, \mathbf{x})$ is the vector of the time-space coordinates of an event

with respect to Σ , then $\mathbf{g}(\underline{x})$ is the vector of the time-space coordinates of that event with respect to Σ_g .

Let us now consider an *isolated* physical system. The following statement establishes as a *physical principle* that \mathcal{P} is a group of symmetry transformations.

Sym The theory of an isolated system is invariant for changes of frames in \mathcal{F} .

We now introduce the concept of *relative indistinguishability* between measuring procedures of quantum observables, which allows to show that for each $g \in \mathcal{P}$ a specific *quantum transformation* $S_g : \Omega(\mathcal{H}) \rightarrow \Omega(\mathcal{H}), A \rightarrow S_g[A]$ of the quantum observables must exist, with peculiar properties (S.1)-(S.3).

Relative indistinguishability of measuring procedures. Given two reference frames Σ_1 and Σ_2 in \mathcal{F} , if a measuring procedure \mathcal{M}_1 is with respect to Σ_1 identical to another measuring procedure \mathcal{M}_2 with respect to Σ_2 , we say that \mathcal{M}_1 and \mathcal{M}_2 are indistinguishable relative to (Σ_1, Σ_2) .

Given Σ_1 and Σ_2 in \mathcal{F} , for every measuring procedure \mathcal{M}_1 another measuring procedure \mathcal{M}_2 must exist such that \mathcal{M}_1 and \mathcal{M}_2 are indistinguishable relative to (Σ_1, Σ_2) , otherwise the invariance stated by *Sym* would not hold; this existence confers consistency to the following definition.

Definition 3.1 Quantum transformation.

Fixed any reference frame $\Sigma \in \mathcal{F}$, for every $g \in \mathcal{P}$ the mapping

$$S_g : \Omega(\mathcal{H}) \rightarrow \Omega(\mathcal{H}), \quad A \rightarrow S_g[A], \tag{7}$$

such that the quantum observables A and $S_g[A]$ respectively are measurable by two measuring procedures \mathcal{M}_1 and \mathcal{M}_2 indistinguishable relatively to (Σ, Σ_g) , is called *quantum transformation* of g .

The following properties are compelled by the present specific concept of quantum transformation.

- (S.1) Every $S_g : \Omega(\mathcal{H}) \rightarrow \Omega(\mathcal{H})$ is bijective;
- (S.2) for every $A \in \Omega(\mathcal{H})$ and every real function f such that $f(A) \in \Omega(\mathcal{H})$, the equality $S_g[f(A)] = f(S_g[A])$ holds.

We show how this property is compelled by conceptual coherence. Let us consider two procedures \mathcal{M}_1 and \mathcal{M}_2 that measure A and $S_g[A]$ respectively, \mathcal{M}_1 and \mathcal{M}_2 being indistinguishable relative to Σ and Σ_g . General quantum theory [29] establishes that the quantum observable $B = f(A)$ can be measured by performing the same measuring procedure \mathcal{M}_1 for measuring A and then transforming the obtained outcome a of A by the mathematical function f into the outcome $b = f(a)$ of $B = f(A)$; thus the same argument applies to $S_g[A]$ and $D = f(S_g[A])$. Therefore, the two procedures that measure the quantum observables $f(A)$ and $f(S_g[A])$ can be realized by transforming the outcomes yielded by the relatively indistinguishable \mathcal{M}_1 and \mathcal{M}_2 through the *same* function f ; *adding the application of the same mathematical function f to the outcomes of the procedures for \mathcal{M}_1 and \mathcal{M}_2 does not affect their relative indistinguishability.* Thus $S_g[f(A)] = f(S_g[A])$ follows.

(S.3) $S_{gh}[A] = S_g[S_h[A]]$, for all $g, h \in \mathcal{P}$ and every $A \in \Omega(\mathcal{H})$.

Thus, from (*Sym*) the following further physical principle is implied.

(*QT*) A quantum transformation $S_g : \Omega(\mathcal{H}) \rightarrow \Omega(\mathcal{H})$ exists for every symmetry transformation $g \in \mathcal{P}$, such that (S.1), (S.2) and (S.3) hold.

Properties (S.1) and (S.2) are sufficient [12] to prove that for each quantum transformation S_g a unitary or anti-unitary operator \tilde{U}_g must exist such that

$$S_g[A] = \tilde{U}_g A \tilde{U}_g^{-1}, \quad \text{for every } A \in \Omega(\mathcal{H}). \quad (8)$$

Remark 3.1 It is important not to confuse the present concept of quantum transformation with the “active” concept more often adopted. The transformation in this latter sense is obtained by “Moving everything by an element [$g \in \mathcal{P}$]” [30]. The active concept is not in fact adequate for our approach. Let us explain why. Let the apparatus \mathcal{M} measuring A be at rest with respect to Σ , but with an “internal” component endowed with a velocity \mathbf{v} with respect to Σ , and let g be a boost. According to the active concept, the apparatus \mathcal{M}' measuring $S_g^{active}[A]$ is the apparatus \mathcal{M} measuring A endowed with the velocity \mathbf{u} characterizing the boost g . Clearly apparatus \mathcal{M}' is at rest with respect to Σ_g , but the velocity of the moving component is not \mathbf{v} with respect to Σ' , because of the relativistic composition law of velocities. Therefore, \mathcal{M} and \mathcal{M}' are not indistinguishable relative to (Σ, Σ') . Since such an indistinguishability is required in order to state that (S.2) holds, the present approach could not be developed with the active concept of transformation.

3.1 Theories of Isolated Systems

Given any real function θ of g , the operators \tilde{U}_g and $e^{i\theta(g)}\tilde{U}_g$ yield the same quantum transformation as \tilde{U}_g , i.e. $\tilde{U}_g A \tilde{U}_g^{-1} = (e^{i\theta(g)}\tilde{U}_g) A (e^{i\theta(g)}\tilde{U}_g)^{-1}$, and, hence, $U_g = e^{i\theta(g)}\tilde{U}_g$ can replace \tilde{U}_g in the specific quantum theory of the system. In particular, we can set $U_e = \mathbb{I}$. Condition (S.3) implies that $U_{g_1 g_2} = \sigma(g_1, g_2) U_{g_1} U_{g_2}$ where $\sigma(g_1, g_2)$ is a complex number of modulus 1. In general therefore the correspondence $U : \mathcal{P} \rightarrow \mathcal{V}(\mathcal{H})$ for which $S_g[A] = U_g A U_g^{-1}$ is a *generalized* projective representation that satisfies (1)-(6). We assume that the correspondence $g \rightarrow S_g$ restricted to \mathcal{P}_+^\uparrow is continuous, according to the Bargmann topology [12]; this assumption can be justified by arguing that a small transformation $g \in \mathcal{P}_+^\uparrow$ determines a small change from A to $S_g[A]$. Now, it has been proved [12] that if the correspondence $g \rightarrow S_g$ assigning each transformation $g \in \mathcal{P}_+^\uparrow$ its quantum transformation S_g is continuous with respect to the Bargmann topology, then a choice of the free phase $\theta(g)$ exists such that the restriction $U : \mathcal{P}_+^\uparrow \rightarrow \mathcal{U}(\mathcal{H})$ turns out to be continuous, and therefore a continuous projective representation, according to Proposition 2.1.

Thus, from the principles *Sym* and its “corollary” (*QT*), we have shown that the Hilbert space of the quantum theory of an isolated system must necessarily be the Hilbert space of a generalized projective representation U of \mathcal{P} , that determines the

quantum transformation of the observables as $S_g[A] = U_g A U_g^{-1}$; moreover, under our continuity hypothesis for $g \rightarrow S_g$, the restriction $U|_{\mathcal{P}_+^\uparrow}$ is continuous.

Though this implication is widely shared [14–19], its proof in fact requires the present concept of quantum transformation, unlike the one usually adopted, as explained in Remark 3.1.

3.2 Theories of a Localizable Free Particle

By *localizable free particle*, or *free particle*, we mean an isolated system whose specific quantum theory is endowed with a unique *position observable*, namely with a *unique triple* $(Q_1, Q_2, Q_3) \equiv \mathbf{Q}$ of self-adjoint operators, whose components Q_j are called *coordinates of position*, characterized by the following conditions.

(Q.1) $[Q_j, Q_k] = \mathbb{O}$, for all $j, k = 1, 2, 3$.

This condition establishes that a measurement of position yields all three values of the coordinates of the same specimen of the particle (see footnote 1, Sect. 1).

(Q.2) For every $g \in \mathcal{P}$, the triple $(Q_1, Q_2, Q_3) \equiv \mathbf{Q}$ and the transformed position operators $S_g[\mathbf{Q}]$ satisfy the specific relations implied by the transformation properties of position with respect to g .

Example 3.1 Let \mathcal{E} be the Euclidean group, i.e. the group generated by spatial translations and rotations. Condition (Q.2) implies that for $g \in \mathcal{E} \cup \{\mathfrak{T}, \mathfrak{S}\}$ the occurrence of $\mathbf{x} = (x_1, x_2, x_3)$ as outcome of a measurement of the position at time $t = 0$ in a reference frame Σ is equivalent to the occurrence of $\mathbf{g}(\mathbf{x})$ as outcome of a measurement of the position at time $t' = 0$ in the reference frame Σ_g . Therefore, by making use of spectral theory [31], from (Q.2) we derive

(Q.2.a) $S_{\mathfrak{T}}[\mathbf{Q}] = \mathbf{Q}$ and $S_{\mathfrak{S}}[\mathbf{Q}] = -\mathbf{Q}$, i.e. ${}^{\mathfrak{T}}\mathbf{Q} = \mathbf{Q}^{\mathfrak{T}}$ and ${}^{\mathfrak{S}}\mathbf{Q} = -\mathbf{Q}^{\mathfrak{S}}$ by (2)-(5),

(Q.2.b) $S_g[\mathbf{Q}] = U_g \mathbf{Q} U_g^{-1} = \mathbf{g}(\mathbf{Q})$ for every $g \in \mathcal{E}$.

So, if g is a spatial translation, i.e. if $\mathbf{g}(\mathbf{x}) = \mathbf{x} - \mathbf{a}$, then the transformation property is $S_g[\mathbf{Q}] \equiv U_g \mathbf{Q} U_g^{-1} = \mathbf{Q} - \mathbf{a} \mathbb{I}$. It must be remarked that the extension of (Q.2.b) to boosts is yet to be found, as we shall explain in Sect. 6.

Condition (Q.2.b) implies relations that tie \mathbf{Q} with the self-adjoint generators $P_0, \mathbf{P}, \mathbf{J}, \mathbf{K}$. For instance, if g is a translation by a along x_1 , so that $\mathbf{g}(\mathbf{x}) = (x_1 - a, x_2, x_3)$ and $U_g = e^{-iP_1 a}$ hold, then (Q.2.b) yields the transformation properties

$$S_g[Q_k] = e^{-iP_1 a} Q_k e^{iP_1 a} = Q_k - \delta_{1k} a;$$

by expanding with respect to a , these properties can be expressed as the commutation relation $[Q_k, P_1] = i\delta_{1k}$; in general, the following canonical commutation rules hold.

$$[Q_k, P_j] = i\delta_{jk}. \tag{9.i}$$

Analogously, the transformation properties with respect to spatial rotations imply

$$[J_j, Q_k] = i\hat{\epsilon}_{jkl} Q_l. \tag{9.ii}$$

Relations (9) are an equivalent form of transformation properties with respect to \mathcal{E} .

3.3 Theories of Elementary Free Particle

In the quantum theory of a localizable free particle, the system of operators $\{U(\mathcal{P}), \mathbf{Q}\}$ may or may not be reducible. Following a customary procedure, we introduce the following *elementary particle* concept.

Definition 3.2 A free particle is said to be elementary if the system of operators $\{U(\mathcal{P}), \mathbf{Q}\}$ is irreducible.

For elementary free particles, the generalized projective representation U that realizes the quantum transformations must be *irreducible*. Let us explain why. If U were reducible, then a unitary operator V would exist such that $[V, U_g] = \mathbb{O}$ for all $g \in \mathcal{P}$, but $[V, Q_j] \neq \mathbb{O}$ for some j – if $[V, Q_k] = \mathbb{O}$ held for all k , then $\{U(\mathcal{P}), \mathbf{Q}\}$ would be reducible, and this is not possible for elementary particles. Hence, if we define $\hat{Q}_k = V Q_k V^{-1}$, then $\hat{\mathbf{Q}} \neq \mathbf{Q}$, while $V U_g V^{-1} = U_g$ for all $g \in \mathcal{P}$. The mathematical relations between the operators $\hat{\mathbf{Q}} = V \mathbf{Q} V^{-1}$ and each $U_g = V U_g V^{-1}$ must be the same as the mathematical relations between \mathbf{Q} and that U_g , because $\{U(\mathcal{P}), \mathbf{Q}\}$ and $\{U(\mathcal{P}), \hat{\mathbf{Q}}\}$ are unitarily isomorphic. Then the triple $\hat{\mathbf{Q}}$ satisfies (Q.2), because \mathbf{Q} does, and therefore $\hat{\mathbf{Q}}$ would be a position operator in all respects. Thus, for the same elementary particle two different position operators would exist, in contradiction with the required uniqueness.

Since in a theory of an elementary particle the generalized projective representation $U : \mathcal{P} \rightarrow \mathcal{V}(\mathcal{H})$ for which $S_g[A] = U_g A U_g^{-1}$ must be irreducible, the possible theories can be concretely identified by selecting the *irreducible generalized projective representations* U of \mathcal{P} that admit a triple \mathbf{Q} satisfying (Q.1) and (Q.2). An irreducible representation can be excluded as basis of a particle theory only if it gives rise to inconsistency, not *a priori*. Therefore, the aimed identification requires selection on a as large as possible set of irreducible representations, including those discarded by the literature. In Sect. 4 we explicitly identify the irreducible generalized projective representations of \mathcal{P} of positive “mass”; in Sects. 5 and 6 we perform the selection described above. The zero “mass” case will be dealt within a separate work.

4 Irreducible Representations of \mathcal{P}

In this section we render explicit the form of the irreducible generalized projective representations of \mathcal{P} , without exclusions based on the unitary or anti-unitary character of operators \mathcal{T}, \mathcal{S} . A determination of these representations was worked out in [32], through a generalization of the imprimitivity theorem of Mackey [30]. Another approach was developed in [33,34] by Cariñena and Santander, who also proposed a criterion to establish whether symmetry transformation can be represented by anti-unitary operators in a quantum theory [35]; unfortunately, such a criterion turned out to be ineffective in the relativistic case. In the present work we shall make use of

the explicit form of the representations of \mathcal{P} as determined in [36] and shown in this section, because this form is particularly suitable for our aims.

Let us denote the class of all such representations by $\mathcal{I}_{\mathcal{P}}$. Given any U in $\mathcal{I}_{\mathcal{P}}$, we define the projection operators $E^+ = \int_0^\infty dE_{p_0}$ and $E^- = \int_{-\infty}^0 dE_{p_0} = \mathbb{I} - E^+$, where $p_0 \rightarrow E_{p_0}$ is the resolution of the identity of the generator P_0 (if the observable represented by P_0 is called “energy”, then we can say that E^+ and E^- project onto the positive energy and negative energy self-spaces of \mathcal{H} , respectively). So

$$\mathcal{I}_{\mathcal{P}} = \mathcal{I}_{\mathcal{P}}(\mathbf{u}) \cup \mathcal{I}_{\mathcal{P}}(\mathbf{d}) \cup \mathcal{I}_{\mathcal{P}}(\mathbf{s}),$$

where $\mathcal{I}_{\mathcal{P}}(\mathbf{u})$ (resp., $\mathcal{I}_{\mathcal{P}}(\mathbf{d})$) is formed by the representations in $\mathcal{I}_{\mathcal{P}}$ such that $E^+ = \mathbb{I}$ (resp., $E^- = \mathbb{I}$), and $\mathcal{I}_{\mathcal{P}}(\mathbf{s})$ is formed by the representations in $\mathcal{I}_{\mathcal{P}}$ such that E^+ , and hence E^- , is different from $\mathbb{0}$ and \mathbb{I} .

Whenever $U \in \mathcal{I}_{\mathcal{P}}(\mathbf{s})$, the restriction $U|_{\mathcal{P}_+^\uparrow}$ always turns out to be reduced [36] by E^+ into $U^+ : \mathcal{P}_+^\uparrow \rightarrow \mathcal{U}(\mathcal{H})$, $g \rightarrow U_g^+ = E^+ U_g E^+$ and by E^- into $U^- : \mathcal{P}_+^\uparrow \rightarrow \mathcal{U}(\mathcal{H})$, $g \rightarrow U_g^- = E^- U_g E^-$, so that $U|_{\mathcal{P}_+^\uparrow} = U^+ \oplus U^-$. In its turn, U^+ , could be reducible, though the unrestricted representation U of \mathcal{P} is irreducible. This also holds if $U \in \mathcal{I}_{\mathcal{P}}(\mathbf{u})$ or $U \in \mathcal{I}_{\mathcal{P}}(\mathbf{d})$, for which we have $U^+ = U|_{\mathcal{P}_+^\uparrow}$ and $U^- = U|_{\mathcal{P}_+^\uparrow}$. Moreover, in the case $U \in \mathcal{I}_{\mathcal{P}}(\mathbf{s})$ it can be shown that U^+ is reducible if and only if U^- is reducible [36]. So

$$\mathcal{I}_{\mathcal{P}} = \mathcal{I}_{\mathcal{P}}(U^\pm \text{irred.}) \cup \mathcal{I}_{\mathcal{P}}(U^\pm \text{red.}),$$

where $\mathcal{I}_{\mathcal{P}}(U^\pm \text{irred.})$ is the class of the representations in $\mathcal{I}_{\mathcal{P}}$ for which each U^+ or U^- is irreducible, and $\mathcal{I}_{\mathcal{P}}(U^\pm \text{red.})$ is formed by the representations in $\mathcal{I}_{\mathcal{P}}$ for which U^+ or U^- is reducible. The class $\mathcal{I}_{\mathcal{P}}(U^\pm \text{irred.})$ can be brokendown into three non-overlapping subclasses:

$$\mathcal{I}_{\mathcal{P}}(U^\pm \text{irred.}) = \mathcal{I}_{\mathcal{P}}(U^+ \text{irred.}\mathbf{u}) \cup \mathcal{I}_{\mathcal{P}}(U^- \text{irred.}\mathbf{d}) \cup \mathcal{I}_{\mathcal{P}}(U^\pm \text{irred.}\mathbf{s}),$$

where $\mathcal{I}_{\mathcal{P}}(U^+ \text{irred.}\mathbf{u})$ (resp., $\mathcal{I}_{\mathcal{P}}(U^+ \text{irred.}\mathbf{d})$) is the subset of those representations in $\mathcal{I}_{\mathcal{P}}(\mathbf{u})$ (resp., $\mathcal{I}_{\mathcal{P}}(\mathbf{d})$) such that $U|_{\mathcal{P}_+^\uparrow}$ is irreducible, while $\mathcal{I}_{\mathcal{P}}(U^+ \text{irred.}\mathbf{s})$ is the subset of those representations in $\mathcal{I}_{\mathcal{P}}(\mathbf{s})$ such that U^+ is irreducible.

Analogously, class $\mathcal{I}_{\mathcal{P}}(U^\pm \text{red.})$ can be brokendown into three non-overlapping subclasses:

$$\mathcal{I}_{\mathcal{P}}(U^\pm \text{red.}) = \mathcal{I}_{\mathcal{P}}(U^+ \text{red.}\mathbf{u}) \cup \mathcal{I}_{\mathcal{P}}(U^- \text{red.}\mathbf{d}) \cup \mathcal{I}_{\mathcal{P}}(U^\pm \text{red.}\mathbf{s}),$$

with obvious meaning of the notation.

The next subsections provide a complete specification of the three subclasses of $\mathcal{I}_{\mathcal{P}}(U^\pm \text{irred.})$ and show that the subclasses of $\mathcal{I}_{\mathcal{P}}(U^\pm \text{red.})$ are not empty.

4.1 Subclass $\mathcal{I}\mathcal{P}(U^+ \text{irred.}\mathbf{u})$

The representations in this subclass, as well as those in $\mathcal{I}\mathcal{P}(U^- \text{irred.}\mathbf{d})$, are well known [14]; each representation is characterized by a unique pair (μ, s) , where $\mu \in \mathbb{R}_+$ is called *mass* parameter and $s \in \frac{1}{2}\mathbb{N}$ is called *spin*. Fixed any pair $\mu > 0$ and $s \in \frac{1}{2}\mathbb{N}$, modulo unitary isomorphism there is only one representation U characterized by (μ, s) ; its Hilbert space is the space $L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu)$ of the functions $\psi : \mathbb{R}^3 \rightarrow \mathbb{C}^{2s+1}, \mathbf{p} \rightarrow \psi(\mathbf{p})$ square integrable with respect to the measure $d\nu(\mathbf{p}) = \frac{dp_1 dp_2 dp_3}{\sqrt{\mu^2 + \mathbf{p}^2}}$, in the sense that $\int_{\mathbb{R}^3} \|\psi(\mathbf{p})\|_{\mathbb{C}^{2s+1}}^2 d\nu(\mathbf{p}) < \infty$. The self-adjoint generators are identified by the following statements.

- generators P_j are the multiplication operators defined by $(P_j \psi)(\mathbf{p}) = p_j \psi(\mathbf{p})$,
- generator P_0 is given by $(P_0 \psi)(\mathbf{p}) = p_0 \psi(\mathbf{p})$ where $p_0 = +\sqrt{\mu^2 + \mathbf{p}^2}$,
- generators J_j are given by $J_j = i \left(p_k \frac{\partial}{\partial p_l} - p_l \frac{\partial}{\partial p_k} \right) + S_k$, where (j, k, l) is any cyclic permutation of $(1, 2, 3)$, and S_1, S_2, S_3 are the self-adjoint generators of an irreducible projective representation $L : SO(3) \rightarrow \mathbb{C}^{2s+1}$ such that $S_1^2 + S_2^2 + S_3^2 = s(s + 1)I$; hence, they can be fixed as the three spin operators of \mathbb{C}^{2s+1} ,
- generators K_j are given by $K_j = ip_0 \frac{\partial}{\partial p_j} - \frac{(S \wedge \mathbf{p})_j}{\mu + p_0}$.

The unitary space inversion operator and the anti-unitary time reversal operator are

$$\mathcal{S} = \Upsilon \quad \text{and} \quad \mathcal{T} = \tau \mathcal{K} \Upsilon, \quad \text{where} \tag{10}$$

- Υ is the unitary operator defined by $(\Upsilon \psi)(\mathbf{p}) = \psi(-\mathbf{p})$,
- τ is a unitary matrix of \mathbb{C}^{2s+1} such that $\tau \bar{S}_j \tau^{-1} = -S_j$, for all j ; such a matrix always exists and it is unique up a complex factor of modulus 1; moreover, if $s \in \mathbb{N}$ then τ is symmetric and $\tau \bar{\tau} = 1$, while if $s \in (\mathbb{N} + \frac{1}{2})$ then τ is anti-symmetric and $\tau \bar{\tau} = -1$ [19];
- \mathcal{K} is the anti-unitary complex conjugation operator defined by $\mathcal{K} \psi(\mathbf{p}) = \overline{\psi(\mathbf{p})}$.

These operators determine the projective representation.

The spectrum $\sigma(\underline{P})$ of the *four-operator* $\underline{P} = (P_0, P_1, P_2, P_3)$ is the *positive* hyperboloid

$$\sigma(\underline{P}) = S_\mu^+ \equiv \{ \mathbf{p} \in \mathbb{R}^4 \mid p_0^2 - \mathbf{p}^2 = \mu^2, p_0 > 0 \}.$$

4.2 Subclass $\mathcal{I}\mathcal{P}(U^- \text{irred.}\mathbf{d})$

Each representation U in this subclass is characterized by a unique pair (μ, s) , where $\mu > 0$ and $s \in \frac{1}{2}\mathbb{N}$. For every pair $\mu > 0$ and $s \in \frac{1}{2}\mathbb{N}$, modulo unitary isomorphism there is only one representation U characterized by (μ, s) ; it differs from the representation of $\mathcal{I}\mathcal{P}(U^+ \text{irred.}\mathbf{u})$ characterized by the same pair only for generators P_0 and \mathbf{K} , that are given by $(P_0 \psi)(\mathbf{p}) = -p_0 \psi(\mathbf{p})$ and $K_j = -ip_0 \frac{\partial}{\partial p_j} + \frac{(S \wedge \mathbf{p})_j}{\mu + p_0}$.

The spectrum of \underline{P} is the *negative* hyperboloid

$$\sigma(\underline{P}) = S_\mu^- \equiv \{ \mathbf{p} \in \mathbb{R}^4 \mid p_0^2 - \mathbf{p}^2 = \mu^2, p_0 < 0 \}.$$

4.3 Subclass $\mathcal{I}_{\mathcal{P}}(U^{\pm}\text{irred.s})$

Each representation $U \in \mathcal{I}_{\mathcal{P}}(U^{\pm}\text{irred.s})$ is characterized by a unique pair (μ, s) , $\mu > 0, s \in \frac{1}{2}\mathbb{N}$. For every pair $\mu > 0$ and $s \in \frac{1}{2}\mathbb{N}$ there are six inequivalent irreducible generalized projective representations $U^{(l)}, l = 1, 2, \dots, 6$ of \mathcal{P} ; modulo unitary isomorphisms, the Hilbert space of each $U^{(l)}$ is $L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu) \oplus L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu)$. If each vector $\psi \in \mathcal{H}$ is represented as a column vector $\psi = \begin{bmatrix} \psi^+ \\ \psi^- \end{bmatrix}$, with $\psi^{\pm} \in L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu)$, then $E^+ = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$, $E^- = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$, and the generators of $U^{(l)}|_{\mathcal{P}^{\uparrow}}$ are represented in their canonical form

$$P_j = \begin{bmatrix} p_j & 0 \\ 0 & p_j \end{bmatrix}, \quad P_0 = \begin{bmatrix} p_0 & 0 \\ 0 & -p_0 \end{bmatrix}, \quad J_k = \begin{bmatrix} j_k & 0 \\ 0 & j_k \end{bmatrix}, \quad K_j = \begin{bmatrix} k_j & 0 \\ 0 & -k_j \end{bmatrix}, \quad (11)$$

where $j_k = i \left(p_l \frac{\partial}{\partial p_j} - p_j \frac{\partial}{\partial p_l} \right) + S_k$ and $k_j = ip_0 \frac{\partial}{\partial p_j} - \frac{(S \wedge P)_j}{\mu + p_0}$. (The matrix entries “1” and “0” denote the identity and null operators of \mathbb{C}^{2s+1} . This notation is adopted throughout the paper.)

The six representations $U^{(l)}, l = 1, 2, \dots, 6$ differ just for the different pairs of time reversal and space inversion operators, according to the following list.

$$\begin{aligned} U^{(1)} \text{ has unitary } \mathcal{T} &= \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \text{ and unitary } \mathcal{S} = \Upsilon \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}; \\ U^{(2)} \text{ has unitary } \mathcal{T} &= \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \text{ and unitary } \mathcal{S} = \Upsilon \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}; \\ U^{(3)} \text{ has unitary } \mathcal{T} &= \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \text{ and anti-unitary } \mathcal{S} = \begin{bmatrix} 0 & \tau \\ \tau & 0 \end{bmatrix} \mathcal{K}; \\ U^{(4)} \text{ has unitary } \mathcal{T} &= \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \text{ and anti-unitary } \mathcal{S} = \begin{bmatrix} 0 & \tau \\ -\tau & 0 \end{bmatrix} \mathcal{K}; \\ U^{(5)} \text{ has anti-unitary } \mathcal{T} &= \tau \mathcal{K} \Upsilon \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \text{ and anti-unitary } \mathcal{S} = \begin{bmatrix} 0 & \tau \\ \tau & 0 \end{bmatrix} \mathcal{K}; \\ U^{(6)} \text{ has anti-unitary } \mathcal{T} &= \tau \mathcal{K} \Upsilon \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \text{ and anti-unitary } \mathcal{S} = \begin{bmatrix} 0 & \tau \\ -\tau & 0 \end{bmatrix} \mathcal{K}. \end{aligned}$$

The combination \mathcal{S} unitary and \mathcal{T} anti-unitary is not in this subclass [36].

The spectrum of \underline{P} is the set theoretic union of the positive and negative hyperboloids: $\sigma(\underline{P}) = S_{\mu}^+ \cup S_{\mu}^-$.

Representations $U^{(1)}, U^{(2)}$ are adopted in the literature as bases of particle theories. Instead, $U^{(3)}, U^{(4)}, U^{(5)}, U^{(6)}$ have never been considered, to the best of our knowledge.

4.4 The Class $\mathcal{I}_{\mathcal{P}}(U^{\pm}\text{red.})$

Once fixed μ and s , Sects. 4.1–4.3 show that there are eight inequivalent irreducible generalized projective representations of \mathcal{P} with U^+ or U^- irreducible. The class of

all such octets does not exhaust class $\mathcal{I}_{\mathcal{P}}$, because the class $\mathcal{I}_{\mathcal{P}}(U^{\pm}\text{red.})$ is not empty. These representations, which have never been considered for particle theories, will give rise to new species of particle theories.

4.4.1 Subclasses $\mathcal{I}_{\mathcal{P}}(U^+\text{red.u.}), \mathcal{I}_{\mathcal{P}}(U^-\text{red.d.})$.

Fixed any pair $\mu > 0, s \in \frac{1}{2}\mathbb{N}$, let us consider the Hilbert space $\mathcal{H} = L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu) \oplus L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu)$; let every vector $\psi = \psi_1 + \psi_2$ in \mathcal{H} , with $\psi_1, \psi_2 \in L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu)$ be represented as the column vector $\psi \equiv \begin{bmatrix} \psi_1 \\ \psi_2 \end{bmatrix}$, so that every linear (resp., anti-linear) operator A of \mathcal{H} can be represented by a matrix $\begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}$, where A_{mn} is a linear (resp., anti-linear) operator of $L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu)$, and

$$A\psi = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \begin{bmatrix} \psi_1 \\ \psi_2 \end{bmatrix} = \begin{bmatrix} A_{11}\psi_1 + A_{12}\psi_2 \\ A_{21}\psi_1 + A_{22}\psi_2 \end{bmatrix}. \text{ Let us define}$$

$$P_0 = \begin{bmatrix} p_0 & 0 \\ 0 & p_0 \end{bmatrix}, \quad P_j = \begin{bmatrix} p_j & 0 \\ 0 & p_j \end{bmatrix}, \quad J_k = \begin{bmatrix} j_k & 0 \\ 0 & j_k \end{bmatrix}, \quad K_j = \begin{bmatrix} k_j & 0 \\ 0 & k_j \end{bmatrix}, \quad (12)$$

where $j_k = i \left(p_l \frac{\partial}{\partial p_j} - p_j \frac{\partial}{\partial p_l} \right) + S_k$ and $k_j = ip_0 \frac{\partial}{\partial p_j} - \frac{(S \wedge p)_j}{\mu + p_0}$. Thus, a reducible projective representation $U : \mathcal{P}_+^{\uparrow} \rightarrow L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu) \oplus L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu)$ satisfying (1) is determined. The operators

$$\mathcal{S} = \Upsilon \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad \mathcal{T} = \tau \Upsilon \mathcal{K} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \quad (13)$$

satisfy all conditions (2)–(6). By defining $U_{\mathcal{T}} = \tau \Upsilon \mathcal{K} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$ and $U_{\mathcal{S}} = \Upsilon \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$, therefore, the projective representation U of \mathcal{P}_+^{\uparrow} is extended to a generalized projective representation of \mathcal{P} . Moreover, if $A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}$ is any self-adjoint operator of $\mathcal{H} = L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu) \oplus L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu)$, then the conditions $[A, P_0] = [A, P_j] = [A, J_k] = [A, K_j] = [A, \mathcal{S}] = [A, \mathcal{T}] = \mathbb{O}$ imply $A = \begin{bmatrix} a & 0 \\ 0 & a \end{bmatrix} \equiv aI$, and therefore the generalized projective representation U is irreducible.

The identification of a concrete irreducible representation of $\mathcal{I}_{\mathcal{P}}(U^-\text{red.d.})$ is analogous.

4.4.2 Subclass $\mathcal{I}_{\mathcal{P}}(U^{\pm}\text{red.s.})$.

Once again for these representations the combination \mathcal{S} unitary and \mathcal{T} anti-unitary is forbidden [36]. We now give an irreducible representation U of \mathcal{P} with $\sigma(P) = S_{\mu}^+ \cup S_{\mu}^-, s = 0$, and U^+ reducible, where \mathcal{T} is unitary and \mathcal{S} is anti-unitary.

$\mathcal{H} = L_2(\mathbb{R}^3, dv) \oplus L_2(\mathbb{R}^3, dv) \oplus L_2(\mathbb{R}^3, dv) \oplus L_2(\mathbb{R}^3, dv)$ is the Hilbert space of the representation. Every vector $\psi \in \mathcal{H}$ is represented as a column vector $\psi = \begin{bmatrix} \psi_+^{(1)} \\ \psi_-^{(1)} \\ \psi_+^{(2)} \\ \psi_-^{(2)} \end{bmatrix}$, with $\psi_{\pm}^{(m)} \in L_2(\mathbb{R}^3, dv)$.

The projections E^+ , E^- and the self-adjoint generators relative to \mathcal{P}_+^\uparrow satisfying (1) are

$$E^+ = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \quad E^- = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, \quad P_0 = \begin{bmatrix} p_0 & 0 & 0 & 0 \\ 0 & -p_0 & 0 & 0 \\ 0 & 0 & p_0 & 0 \\ 0 & 0 & 0 & -p_0 \end{bmatrix},$$

$$P_j = \begin{bmatrix} p_j & 0 & 0 & 0 \\ 0 & p_j & 0 & 0 \\ 0 & 0 & p_j & 0 \\ 0 & 0 & 0 & p_j \end{bmatrix}, \quad J_k = \begin{bmatrix} j_k & 0 & 0 & 0 \\ 0 & j_k & 0 & 0 \\ 0 & 0 & j_k & 0 \\ 0 & 0 & 0 & j_k \end{bmatrix}, \quad K_j = \begin{bmatrix} k_j & 0 & 0 & 0 \\ 0 & -k_j & 0 & 0 \\ 0 & 0 & k_j & 0 \\ 0 & 0 & 0 & -k_j \end{bmatrix}.$$

So, we have a *reducible* projective representation of \mathcal{P}_+^\uparrow . Now we extend it to an *irreducible* generalized representation of \mathcal{P} by introducing a unitary \mathcal{U} and an anti-

unitary \mathcal{S} satisfying (2)-(6), as $\mathcal{U} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$ and $\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{bmatrix}$.

Indeed, let $A = \begin{bmatrix} A_{11} & A_{12} & A_{13} & A_{14} \\ A_{21} & A_{22} & A_{23} & A_{24} \\ A_{31} & A_{32} & A_{33} & A_{34} \\ A_{41} & A_{42} & A_{43} & A_{44} \end{bmatrix}$ be any self-adjoint operator of \mathcal{H} ; the condi-

tions $[A, P_0] = [A, P_j] = [A, J_k] = [A, K_j] = [A, \mathcal{U}] = [A, \mathcal{S}] = \mathbb{O}$ are satisfied

if and only if $A = \begin{bmatrix} a & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & a \end{bmatrix} \equiv a \mathbb{I}$ with $a \in \mathbb{R}$. Thus the extended representation

is irreducible.

5 Identifying Quantum Theories of an Elementary Free Particle

In line with our program, we now identify the quantum theories of an elementary free particle, by selecting those irreducible representations of \mathcal{P} for which a triple \mathbf{Q} exists such that (Q.1) and (Q.2) in Sect. 3 hold, in the more general domain of representations identified in Sect. 4. A representation can be excluded only if it is inconsistent with (Q.1) and (Q.2). Each theory so identified corresponds to a possible kind of particle; theories that are unitarily *inequivalent* correspond to a different kind of particle.

We will show that if the spin parameter s is zero, then there are precisely identified cases in which conditions (Q.1) and (Q.2.a,b) turn out to be sufficient to determine the position operator; this means that in these cases the lack of an explicit formulation, analogous to (Q.2.b), of the transformation properties with respect to boosts is not an insuperable barrier; in fact, the knowledge of the transformation properties missed by (Q.2a,b) is easily attained *a posteriori* as $S_g[\mathbf{Q}] = e^{iK_j\varphi(u)}\mathbf{Q}e^{-iK_j\varphi(u)}$, since \mathbf{Q} has been explicitly identified. For the irreducible generalized projective representations in $\mathcal{I}_{\mathcal{P}}(U^+ \text{irred.}\mathbf{u})$ and $\mathcal{I}_{\mathcal{P}}(U^- \text{irred.}\mathbf{d})$ our results agree with those in the literature. For representations in $\mathcal{I}_{\mathcal{P}}(U^\pm \text{irred.}\mathbf{s})$ the irreducible generalized projective representations for which the position operator is determined belong to the new class with anti-unitary \mathcal{S} (Sects. 5.2, 5.3). In Sect. 5.4 we show that consistent particle theories can be based on representations in $\mathcal{I}_{\mathcal{P}}(U^- \text{red.}\mathbf{u})$ or $\mathcal{I}_{\mathcal{P}}(U^- \text{red.}\mathbf{d})$, though previously unconsidered.

In the case $s > 0$ there are no free particle theories univocally determined by (Q.1), (Q.2.a) and (Q.2.b). In Sect. 6 we shall investigate these theories in relation with the work of Jordan and Mukunda who assumed a particular form of the lacking transformation property.

5.1 Elementary Particle Theories Based on $\mathcal{I}_{\mathcal{P}}(U^+ \text{irred.}\mathbf{u})$ or $\mathcal{I}_{\mathcal{P}}(U^- \text{irred.}\mathbf{d})$

In the Hilbert space $L_2(\mathbb{R}^3, \mathbb{C}^{2s+1}, d\nu)$ of an irreducible representation in $\mathcal{I}_{\mathcal{P}}(U^+ \text{irred.}\mathbf{u})$ with spin s , let us define the self-adjoint operators $F_j = i\frac{\partial}{\partial p_j} - \frac{i}{2p_0^2}p_j$, known as Newton and Wigner operators [37]. From $[F_j, P_k] = i\delta_{jk}$ and (9i) we derive $Q_j - F_j = d_j(\mathbf{P})$, i.e. $((Q_j - F_j)\psi)(\mathbf{p}) = d_j(\mathbf{p})\psi(\mathbf{p})$, where $d_j(\mathbf{p}) \in \Omega(\mathbb{C}^{2s+1})$ for all $\mathbf{p} \in \mathbb{R}^3$. On the other hand, $[J_j, F_k] = i\hat{\epsilon}_{jkl}F_l$ and (9ii) imply

$$[J_j, d_k(\mathbf{P})] = i\hat{\epsilon}_{jkl}d_l(\mathbf{P}). \tag{14}$$

In case $s = 0$, we have $S_k = 0, \Omega(\mathbb{C}^{2s+1}) = \Omega(\mathbb{C}) \equiv \mathbb{R}$, and $\tau = 1$. Then (14) together with $[J_j, P_k] = i\hat{\epsilon}_{jkl}P_l$ implies $d_j(\mathbf{P}) = h(\|\mathbf{p}\|)p_j$; by redefining $h(\|\mathbf{p}\|) = f(p_0)$, with $p_0 = \sqrt{\mu^2 + \mathbf{p}^2}$, we have

$$\mathbf{d}(\mathbf{P}) = f(p_0)\mathbf{p}, \text{ where } f(p_0) \in \Omega(\mathbb{C}) \equiv \mathbb{R}. \tag{15}$$

Now, ${}^{\mathcal{T}}F_j = F_j{}^{\mathcal{T}}$ holds and (Q.2.a) implies ${}^{\mathcal{T}}Q_j = Q_j{}^{\mathcal{T}}$, so that we obtain ${}^{\mathcal{T}}d_j(\mathbf{p}) = d_j(\mathbf{p}){}^{\mathcal{T}}$; from this equality, since ${}^{\mathcal{T}} = \mathcal{K}\Upsilon$, by (20) we derive

$$\overline{f(p_0)} = -f(p_0). \tag{16}$$

Since $f(p_0) \in \mathbb{R}$, (21) implies $f(p_0) = 0$. Therefore, $\mathbf{Q} = \mathbf{F}$. The condition $S_{\mathcal{S}}[\mathbf{Q}] = -\mathbf{Q}$, i.e. ${}_{\mathcal{S}}\mathbf{Q} = -\mathbf{Q}{}_{\mathcal{S}}$, turns out to be automatically satisfied.

Thus, if $s = 0$, there is a unique position operator $\mathbf{Q} = \mathbf{F}$ completely determined by (Q.1) and (Q.2.a),(Q.2.b). This result agrees with that of the well known derivations of the Newton and Wigner position operators [37,38].

By means of a similar derivation, the same result $\mathbf{Q} = \mathbf{F}$ is obtained for representations in $\mathcal{I}_{\mathcal{P}}(U^- \text{irred.}\mathbf{d})$

5.2 Elementary Particle Theories Based on $\mathcal{I}_{\mathcal{P}}(U^\pm \text{irred.}\mathbf{s})$

The irreducible generalized projective representations in $\mathcal{I}_{\mathcal{P}}(U^\pm \text{irred.}\mathbf{s})$ are explicitly identified in Sect. 4.3. To identify the triple \mathbf{Q} representing the three coordinates of position we introduce the difference $\mathbf{D} = \mathbf{Q} - \hat{\mathbf{F}}$, where $\hat{\mathbf{F}} = \mathbf{F} \mathbb{I} \equiv \begin{bmatrix} \mathbf{F} & \mathbf{0} \\ \mathbf{0} & \mathbf{F} \end{bmatrix}$ is the Newton-Wigner operator in this representation.

Now, $[\hat{F}_j, P_k] = i\delta_{jk}$ and $[J_j, \hat{F}_k] = i\epsilon_{jkl}\hat{F}_k$ hold; on the other hand $[Q_j, P_k] = i\delta_{jk}$ and $[J_j, Q_k] = i\epsilon_{jkl}Q_k$ are conditions to be satisfied by \mathbf{Q} according to (9); therefore, the relations $[D_j, P_k] = \mathbb{O}$ and $[J_j, D_k] = i\epsilon_{jkl}D_k$ must hold too. Thus we have

$$\mathbf{Q} = \hat{\mathbf{F}} + \mathbf{D}, \quad \text{where } D_j = \begin{bmatrix} d_{11}^{(j)}(\mathbf{p}) & d_{12}^{(j)}(\mathbf{p}) \\ d_{21}^{(j)}(\mathbf{p}) & d_{22}^{(j)}(\mathbf{p}) \end{bmatrix}, \tag{17}$$

$$\text{with the conditions } [j_j, d_{nm}^{(k)}(\mathbf{p})] = i\hat{\epsilon}_{j,k,l}d_{nm}^{(l)}(\mathbf{p}), \quad \text{for all } \mathbf{p} \in \mathbb{R}^3, \tag{18}$$

where each $d_{mn}^{(j)}(\mathbf{p})$ is $(2s + 1) \times (2s + 1)$ matrix such that $\overline{d_{mn}^{(j)}(\mathbf{p})}^t = d_{nm}^{(j)}(\mathbf{p})$, the apex t denoting matrix transposition.

For $s = 0$, similarly to Sects. 5.1, (18) and $[j_j, p_k] = i\hat{\epsilon}_{jkl}p_l$ imply $d_{mn}^{(j)}(\mathbf{p}) = d_{mn}(p_0)p_j$, with $d_{mn}(p_0) \in \mathbb{C}$. Hence

$$\begin{aligned} Q_j &= F_j + D_j, \quad \text{where } D_j = \begin{bmatrix} d_{11}(p_0) & d_{12}(p_0) \\ d_{21}(p_0) & d_{22}(p_0) \end{bmatrix} p_j \quad \text{and } d_{mn}(p_0) \\ &= \overline{d_{nm}(p_0)} \in \mathbb{C}. \end{aligned} \tag{19}$$

So, in order to identify \mathbf{Q} we have to determine the functions d_{mn} of p_0 . The conditions ${}^{\leftarrow}\mathbf{T}\mathbf{Q} = \mathbf{Q}{}^{\leftarrow}\mathbf{T}$ and ${}^{\leftarrow}\mathcal{S}\mathbf{Q} = -\mathbf{Q}{}^{\leftarrow}\mathcal{S}$ can help in solving the indeterminacy; however, according to Sect. 4.2, now the explicit form of ${}^{\leftarrow}\mathbf{T}$ and ${}^{\leftarrow}\mathcal{S}$ depends on their unitary or anti-unitary character; so we shall explore all possible combinations.

(UU) Let us begin with the case where both ${}^{\leftarrow}\mathbf{T}$ and ${}^{\leftarrow}\mathcal{S}$ are unitary, i.e. with $U^{(1)}$ and $U^{(2)}$, the only cases considered in the literature. We have ${}^{\leftarrow}\mathbf{T} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$, while ${}^{\leftarrow}\mathcal{S} = \Upsilon \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ in $U^{(1)}$ and ${}^{\leftarrow}\mathcal{S} = \Upsilon \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ in $U^{(2)}$. By making use of the explicit form of ${}^{\leftarrow}\mathbf{T}$ we find that

$${}^{\leftarrow}\mathbf{T}\mathbf{Q} = \mathbf{Q}{}^{\leftarrow}\mathbf{T} \quad \text{implies} \quad D_j = \begin{bmatrix} d_1(p_0) & d_2(p_0) \\ d_2(p_0) & d_1(p_0) \end{bmatrix} p_j. \tag{20}$$

(i) If $\mathcal{S} = \Upsilon \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$, then $\mathcal{S}\mathbf{Q} = -\mathbf{Q}\mathcal{S}$ is satisfied whatsoever functions $d_1(p_0)$, $d_2(p_0)$ are used. Hence \mathbf{Q} remains undetermined.

(ii) If $\mathcal{S} = \Upsilon \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ then $\mathcal{S}\mathbf{Q} = -\mathbf{Q}\mathcal{S}$ holds whenever $d_2(p_0) = 0$.

In the combination (UU) therefore, the position operator \mathbf{Q} is still undetermined.

(UA) If \mathcal{T} is unitary and \mathcal{S} is anti-unitary, i.e. in cases $U^{(3)}$, $U^{(4)}$, we have $\mathcal{T} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$

so that $\mathcal{T}\mathbf{Q} = \mathbf{Q}\mathcal{T}$ holds, while $\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ in $U^{(3)}$ and $\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$ in $U^{(4)}$.

(i) If $\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$, i.e. in $U^{(4)}$, then $\mathcal{S}\mathbf{Q} = -\mathbf{Q}\mathcal{S}$ is satisfied for $d_1(p_0) = 0$ and arbitrary $d_2(p_0)$.

Therefore \mathbf{Q} is still undetermined.

(ii) In $U^{(3)}$ we have $\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$, so that $\mathcal{S}\mathbf{Q} = -\mathbf{Q}\mathcal{S}$ implies $d_1(p_0) = d_2(p_0) = 0$.

Therefore \mathbf{Q} is uniquely determined, and $\mathbf{Q} = \hat{\mathbf{F}}$.

(AA) In $U^{(5)}$ and $U^{(6)}$, being \mathcal{T} anti-unitary, \mathcal{S} must be anti-unitary too. We have $\mathcal{T} = \mathcal{K}\Upsilon \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$, while $\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ in $U^{(5)}$ and $\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$ in $U^{(6)}$. With this explicit form of \mathcal{T} we find that

$$\mathcal{T}\mathbf{Q} = \mathbf{Q}\mathcal{T} \text{ implies } D_j = \begin{bmatrix} 0 & id(p_0) \\ -id(p_0) & 0 \end{bmatrix} p_j. \tag{21}$$

(i) In $U^{(6)}$, where $\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$, it follows that $\mathcal{S}\mathbf{Q} = -\mathbf{Q}\mathcal{S}$ is always satisfied.

Therefore \mathbf{Q} is still undetermined.

(ii) In $U^{(5)}$, where $\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$, we have that $\mathcal{S}\mathbf{Q} = -\mathbf{Q}\mathcal{S}$ implies $d(p_0) = 0$, i.e. $D_j = 0$.

Therefore \mathbf{Q} is uniquely determined and $\mathbf{Q} = \hat{\mathbf{F}}$.

Thus, conditions (Q.1) and (Q.2.a), (Q.2.b) determine \mathbf{Q} only in the cases (UA.ii) and (AA.ii), where \mathcal{S} is anti-unitary. This anti-unitarity, however, is perfectly consistent within the respective theories.

5.3 Klein–Gordon Particles

For every value of the mass parameter $\mu > 0$, four inequivalent single particle theories have been singled out in Sects. 5.1 and 5.2, according to methodological commitments that avoid the shortcomings of canonical quantization. In each of these the position operator is uniquely determined as the Newton-Wigner operator.

To complete the theories, the explicit form of the wave equations is needed. In all theories of the present approach time evolution from time 0 to time t is a translation of time, operated by the unitary operator

e^{iP_0t} ; therefore if the state vector is ψ at time 0, then it is $\psi_t = e^{-iP_0t}\psi$ at time t , so that Schroedinger equation

$$i \frac{\partial}{\partial t} \psi_t = P_0 \psi_t \tag{22}$$

immediately follows. The explicit wave equation is attained by replacing P_0 with the specific time translation operator, rendered explicit in each specific theory.

In order to explore how previous theories for spin 0 particles relate to the present ones, we re-formulate the latter in the following equivalent forms, obtained by means of unitary transformations operated by the unitary operator $Z = Z_1 Z_2$, where $Z_2 = \frac{1}{\sqrt{\rho_0}} \mathbb{I}$ and Z_1 is the inverse of the *Fourier-Plancherel* operator.

T.1 The theory in Sect. 5.1, based on an irreducible representation in $\mathcal{I}_{\mathcal{P}}(U^+ \text{irred.}\mathbf{u})$ with $s = 0$, can be reformulated in Hilbert space $\mathcal{H} = Z(L_2(\mathbb{R}^3, d\nu)) \equiv L_2(\mathbb{R}^3)$. Generators P_j are transformed into $\hat{P}_j = Z P_j Z^{-1} = -i \frac{\partial}{\partial x_j}$; analogously we find $\hat{P}_0 = \sqrt{\mu^2 + \nabla^2}$, $\hat{J}_j = -i \left(x_k \frac{\partial}{\partial x_l} - x_l \frac{\partial}{\partial x_k} \right)$, $\hat{K}_j = \frac{1}{2} (x_j \sqrt{\mu^2 + \nabla^2} + \sqrt{\mu^2 + \nabla^2} x_j)$, while $\hat{\mathcal{S}} = \Upsilon$, $\hat{\mathcal{T}} = \mathcal{K}$. In this representation the Newton-Wigner operators representing position become the multiplication operators \hat{Q}_j , defined by $\hat{Q}_j \psi(\mathbf{x}) = x_j \psi(\mathbf{x})$. Accordingly, the wave equation is

$$i \frac{\partial}{\partial t} \psi_t(\mathbf{x}) = \sqrt{\mu^2 - \nabla^2} \psi_t(\mathbf{x}). \tag{23}$$

T.2 The new formulation of the theory based on an irreducible representation in $\mathcal{I}_{\mathcal{P}}(U^- \text{irred.}\mathbf{d})$ with $s = 0$, as shown in Sect. 5.1, differs from *T.1* just for $\hat{P}_0 = -\sqrt{\mu^2 + \nabla^2}$ and $\hat{K}_j = -\frac{1}{2} (x_j \sqrt{\mu^2 + \nabla^2} + \sqrt{\mu^2 + \nabla^2} x_j)$; hence, in the equivalent theory the wave equation is

$$i \frac{\partial}{\partial t} \psi_t(\mathbf{x}) = -\sqrt{\mu^2 - \nabla^2} \psi_t(\mathbf{x}). \tag{24}$$

T.3 The theory corresponding to (UA.ii) in Sect. 5.2, that is based on the irreducible representation $U^{(3)}$ of Sect. 4.3 with $\sigma(\underline{P}) = S_\mu^+ \cup S_\mu^-$ and $s = 0$, identified by $\hat{\mathcal{T}} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ and $\hat{\mathcal{S}} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$, can be reformulated in Hilbert space $\mathcal{H} = Z(L_2(\mathbb{R}^3, d\nu) \oplus L_2(\mathbb{R}^3, d\nu)) \equiv L_2(\mathbb{R}^3) \oplus L_2(\mathbb{R}^3)$; the new self-adjoint generators are $\hat{P}_j = \begin{bmatrix} -i \frac{\partial}{\partial x_j} & 0 \\ 0 & -i \frac{\partial}{\partial x_j} \end{bmatrix}$, $\hat{P}_0 = \sqrt{\mu^2 - \nabla^2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$, $\hat{J}_j = -i \left(x_k \frac{\partial}{\partial x_l} - x_l \frac{\partial}{\partial x_k} \right) \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$, $\hat{K}_j = \frac{1}{2} \left(x_j \sqrt{\mu^2 - \nabla^2} + \sqrt{\mu^2 - \nabla^2} x_j \right) \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$, while $\hat{\mathcal{T}} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ and $\hat{\mathcal{S}} = \mathcal{K} \Upsilon \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$.

The position operator is $\hat{Q}_j = \begin{bmatrix} x_j & 0 \\ 0 & x_j \end{bmatrix}$.

The wave equation is

$$i \frac{\partial}{\partial t} \begin{bmatrix} \psi_t^+(\mathbf{x}) \\ \psi_t^-(\mathbf{x}) \end{bmatrix} = \begin{bmatrix} \sqrt{\mu^2 - \nabla^2} \psi_t^+(\mathbf{x}) \\ -\sqrt{\mu^2 - \nabla^2} \psi_t^-(\mathbf{x}) \end{bmatrix}. \tag{25}$$

T.4 The theory corresponding to (AA.ii) in Sect. 5.2, based on the irreducible representation $U^{(5)}$ with $\sigma(\underline{P}) = S_\mu^+ \cup S_\mu^-$ and $s = 0$, identified by ${}^{\leftarrow}\mathcal{T} = \Upsilon \mathcal{K} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ and ${}^{\leftarrow}\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$, differs from *T.3* only for $\hat{\leftarrow}\mathcal{T}$, that is now $\hat{\leftarrow}\mathcal{T} = \mathcal{K} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$.

The early theory [1–3] for spin 0 particle establishes that the wave equation is the Klein–Gordon equation

$$\left(\frac{\partial^2}{\partial t^2} - \nabla^2 \right) \psi_t(\mathbf{x}) = -m^2 \psi_t(\mathbf{x}), \tag{26}$$

that is second order with respect to time. This is the first evident difference vis-à-vis theories *T.1–T.4*, where all wave equations are first order. However, if in each theory *T.1–T.4* the respective wave equation is solved by ψ_t , then the derivative of the equation with respect to time yields $-\frac{\partial^2}{\partial t^2} \psi_t = i P_0 \frac{\partial}{\partial t} \psi_t = P_0^2 \psi_t$, since $\frac{\partial}{\partial t}$ commutes with P_0 in all cases, obtaining

$$\frac{\partial^2}{\partial t^2} \psi_t(\mathbf{x}) - \nabla^2 \psi_t(\mathbf{x}) = -\mu^2 \psi_t(\mathbf{x}). \tag{27}$$

Hence, Eq. (27) holds in all the theories *T.1–T.4*, which coincides with the Klein–Gordon equation, once parameter μ with m is identified. This coincidence does not mean that the theories *T.1–T.4* are equivalent to Klein–Gordon’s. The first difference is that in our approach there are four inequivalent theories for spin 0 and “mass” μ particles. In *T.1* there are no wave functions corresponding to negative spectral values of P_0 . In *T.2* positive values are not permitted. Klein–Gordon’s theory does not have this differentiation; in particular, the space of the vector states is only one, namely the space generated by the solutions of (27).

Another obvious non-equivalence is the difference between the set of solutions of the respective wave equations: while all solutions of the wave equations of *T.1–T.4* are solutions of Klein–Gordon’s equation, the converse is not true.

The third important difference concerns the physical interpretation and its consistency. By means of mathematical manipulation it can be deduced that for every solution ψ_t of the Klein–Gordon equation (26) the following equation holds.

$$\frac{\partial}{\partial t} \left\{ \frac{i}{2m} \left(\overline{\psi_t} \frac{\partial}{\partial t} \psi_t - \psi_t \frac{\partial}{\partial t} \overline{\psi_t} \right) \right\} = \nabla \cdot \left\{ \frac{i}{2m} (\psi_t \nabla \overline{\psi_t} - \overline{\psi_t} \nabla \psi_t) \right\}; \tag{28}$$

since it has the form of a continuity equation for a quantity whose density is $\hat{\rho}(t, \mathbf{x}) = \frac{i}{2m} (\overline{\psi}_t \frac{\partial}{\partial t} \psi_t - \psi_t \frac{\partial}{\partial t} \overline{\psi}_t)$ and whose current density is $\hat{\mathbf{j}}(t, \mathbf{x}) = \frac{i}{2m} (\psi_t \nabla \overline{\psi}_t - \overline{\psi}_t \nabla \psi_t)$, in the Klein–Gordon theory $\hat{\rho}$ was interpreted as the *density probability of position*, and $\hat{\mathbf{j}}$ as the *current density of the position probability*. This interpretation is the source of serious problems for the Klein–Gordon theory. Dirac argued, indeed, that the presence of the time derivative of the wave function in $\hat{\rho}$ entails the possibility of negative values for this probability density [4,7]. One way to overcome the difficulty was proposed by Feshbach and Villars [5]. They derive an equivalent form of the Klein–Gordon’s equation as a first order equation $i \frac{\partial}{\partial t} \Psi_t = H \Psi_t$ for the state vector $\Psi_t = \begin{bmatrix} \phi_t \\ \chi_t \end{bmatrix}$, where $\phi_t = \frac{1}{\sqrt{2}}(\psi_t + \frac{1}{m} \frac{\partial}{\partial t} \psi_t)$, $\chi_t = \frac{1}{\sqrt{2}}(\psi_t - \frac{1}{m} \frac{\partial}{\partial t} \psi_t)$, and $H = (\sigma_3 + \sigma_2) \frac{1}{2m} (\nabla + m\sigma_3)$; in this representation density takes the form $\hat{\rho} = |\phi_t|^2 - |\chi_t|^2$, free from time derivatives of the new quantum state Ψ_t . The proposal of Feshbach and Villars requires a drastic change in the interpretation of the Klein–Gordon equation: the quantum state is not ψ_t , but Ψ_t . However, the minus sign in $\hat{\rho}$ implies that the possibility of negative values is not solved. Feshbach and Villars interpreted $\hat{\rho}$ as density probability of *charge*. Nevertheless, acceptance of the Feshbach and Villars proposal requires another consistency test for $\hat{\rho}$, that is to say covariance with respect to boosts, which implies, according to Barut and Malin [6], that $\hat{\rho}$ must be the time component of a four-vector. Barut and Malin however proved that is not the case, so the proposal of Feshbach and Villars fails this consistency test.

In the theories $\mathcal{T}.1$ – $\mathcal{T}.4$ position must be represented by the multiplication operator. The probability density of position therefore must necessarily be $\rho(t, \mathbf{x}) = |\psi_t(\mathbf{x})|^2$ in $\mathcal{T}.1, \mathcal{T}.2$ and $\rho(t, \mathbf{x}) = |\psi_t^+(\mathbf{x})|^2 + |\psi_t^-(\mathbf{x})|^2$ in $\mathcal{T}.3, \mathcal{T}.4$. Thus, the quantum state at a given time determines the *non-negative* probability density of position. There is no need of *a posteriori* reinterpretations. In the present approach the possibility of interpreting state vectors belonging to $E^+\mathcal{H}$ (resp., state vectors in $E^-\mathcal{H}$) as *positive charge* states (resp., *negative charge* states) could eventually emerge in a future theory of an *interacting* particle derived from basic principles without using canonical quantization.

In $\mathcal{T}.1$ – $\mathcal{T}.4$ the covariance properties with respect to boosts, K_j and \mathbf{Q} being known explicitly, can be *directly expressed by the relations* $S_g[\mathbf{Q}] = e^{iK_j\varphi(u)} \mathbf{Q} e^{-iK_j\varphi(u)}$ which are perfectly consistent with the whole theory.

With reference to the early difficulties of the relativistic quantum theory of a particle, Weinberg wrote [7, p. 3] “... it became generally clear that relativistic wave mechanics, in the sense of a relativistic quantum theory of a fixed number of particles, is an impossibility. Thus, despite its many successes, relativistic wave mechanics was ultimately to give way to quantum field theory”. The work of Sects. 5.1–5.3 has shown that coherent free elementary particle theories are possible. In so doing the theories for Klein Gordon free particles are settled.

5.4 New Species of Particle Theories

In this subsection we show how our approach also leads to particle theories that cannot be associated with known ones. The new species of particle theories to be identified

are based on the irreducible representation of $\mathcal{I}_{\mathcal{P}}(U^+\text{red.}\mathbf{u})$, with $\sigma(\underline{P}) = S_{\mu}^+$ and $s = 0$, hence with U^+ reducible.

Let us consider an irreducible representation in $\mathcal{I}_{\mathcal{P}}(U^+\text{red.}\mathbf{u})$ with $s = 0$ of Sect. 4.4.1. The Hilbert space is $\mathcal{H} = L_2(\mathbb{R}^3, d\nu) \oplus L_2(\mathbb{R}^3, d\nu)$, its generators are

$$P_0 = \begin{bmatrix} p_0 & 0 \\ 0 & p_0 \end{bmatrix}, P_j = \begin{bmatrix} p_j & 0 \\ 0 & p_j \end{bmatrix}, J_k = \begin{bmatrix} j_k & 0 \\ 0 & j_k \end{bmatrix}, J_j = \begin{bmatrix} k_j & 0 \\ 0 & k_j \end{bmatrix},$$

with $j_k = i \left(p_l \frac{\partial}{\partial p_j} - p_j \frac{\partial}{\partial p_l} \right)$, $k_j = i p_0 \frac{\partial}{\partial p_j}$, while $\mathcal{S} = \Upsilon \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ and $\mathcal{T} = \tau \Upsilon \mathcal{K} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$.

To identify the position operator \mathbf{Q} we introduce the difference $\mathbf{D} = \mathbf{Q} - \tilde{\mathbf{F}}$, where $\tilde{\mathbf{F}} = \mathbf{F} \mathbb{I} \equiv \begin{bmatrix} \mathbf{F} & 0 \\ 0 & \mathbf{F} \end{bmatrix}$ is the Newton-Wigner operator in this representation.

Now, $[\tilde{F}_j, P_k] = i \delta_{jk}$ and $[J_j, \tilde{F}_k] = i \hat{\epsilon}_{jkl} \tilde{F}_k$ hold; on the other hand, according to (9), $[Q_j, P_k] = i \delta_{jk}$ and $[J_j, Q_k] = i \epsilon_{jkl} Q_k$ hold too, so that the relations $[D_j, P_k] = \mathbb{O}$ and $[J_j, D_k] = i \epsilon_{jkl} D_k$ are implied. Thus we have $\mathbf{Q} = \tilde{\mathbf{F}} + \mathbf{D}$ where $D_j = \begin{bmatrix} d_{11}^{(j)}(\mathbf{p}) & d_{12}^{(j)}(\mathbf{p}) \\ d_{21}^{(j)}(\mathbf{p}) & d_{22}^{(j)}(\mathbf{p}) \end{bmatrix} \in \Omega(\mathbb{C}^2)$. Conditions $[J_j, D_k] = i \epsilon_{jkl} D_k$ entail $[j_j, d_{nm}^{(k)}(\mathbf{p})] = i \hat{\epsilon}_{j,k,l} d_{nm}^{(l)}(\mathbf{p})$ for all $\mathbf{p} \in \mathbb{R}^3$; together with $[j_j, p_k] = i \hat{\epsilon}_{j,k,l} p_l$ these relations imply $d_{mn}^{(j)}(\mathbf{p}) = f_{mn}(p_0) p_j$. Now, since $\mathcal{S} \tilde{F}_j = -\tilde{F}_j \mathcal{S}$ holds, by making use of (Q.2.a) we obtain $\mathcal{S} D_j = -D_j \mathcal{S}$, which entails

$$f_{11}(p_0) = -f_{11}(p_0), \quad \overline{f_{12}(p_0)} = -\overline{f_{12}(p_0)} \quad \text{equivalent to} \quad \overline{f_{21}(p_0)} = -\overline{f_{21}(p_0)}.$$

Therefore $D_j = \mathbb{O}$ and $\mathbf{Q} = \tilde{\mathbf{F}}$, so that also $\mathcal{T} \mathbf{Q} = \mathbf{Q} \mathcal{T}$ turns out to be automatically satisfied since $\mathcal{T} \tilde{\mathbf{F}} = \tilde{\mathbf{F}} \mathcal{T}$. Thus, we have identified a new complete theory of an elementary spin 0 free particle. A similar derivation identifies a new complete theory based on $\mathcal{I}_{\mathcal{P}}(U^-\text{red.}\mathbf{d})$; once again $\mathbf{Q} = \tilde{\mathbf{F}}$ is found. Which particles of nature are described by these theories, if they exist at all, is not a problem we are able to solve at present, as we argue in Sect. 7.

It must be remarked that the present argument is not successful if applied to the new irreducible representation of class $\mathcal{I}_{\mathcal{P}}(U^{\pm}\text{red.}\mathbf{s})$, in the sense that conditions (Q.1), (Q.2.a,b) do not uniquely determine \mathbf{Q} , so that a complete theory is not identified.

6 Transformation Properties Relative to Boosts

In general, apart from the cases identified in Sect. 5, conditions (Q.1) and (Q.2.a,b) do not univocally determine the position operator \mathbf{Q} , in particular when $s > 0$; for the case $U \in \mathcal{I}_{\mathcal{P}}(U^+\text{irred.}\mathbf{u})$ (Sect. 5.1) with $s > 0$ this non-uniqueness was also shown by Jordan, who in [38] writes “For nonzero spin there is more than one Hermitian operator $[\mathbf{Q}]$ that transforms as a position operator should for translations, rotations,

and time reversal”. A cause of such indeterminacy is that conditions (Q.2.a,b) miss the explicit transformation properties of \mathbf{Q} with respect to boosts; it is obvious that the implied further conditions could help to solve the indeterminacy of \mathbf{Q} .

In fact, for $s > 0$ the extension of (Q.2.b) to boosts is not an easy matter. To attain such an extension, given a boost g – for instance the boost characterized by a relative velocity $\mathbf{u} = (u, 0, 0)$ – we have to consider the reference frame Σ and the frame Σ_g related to Σ just by g . Then the explicit relation between $S_g[\mathbf{Q}]$ and \mathbf{Q} is required to identify, given the position outcome \mathbf{x} at time $t = 0$ with respect to Σ , the corresponding position outcome \mathbf{y}_x with respect to Σ_g , but at time $t' = 0$ with respect to Σ_g , because of the condition of relative indistinguishability dictated by the concept of quantum transformation of Definition 3.1. Special relativity does not provide such a correspondence as a relation like (Q.2.b); in fact, if the outcome of position is $\mathbf{x} = (x_1, x_2, x_3)$ at time $t = 0$ in Σ , then according to Lorentz transformations we can state only that it corresponds to position $\mathbf{y} = \left(\frac{x_1}{\sqrt{1-u^2}}, x_2, x_3\right)$ in Σ_g , but at time $t' = \frac{-ux_1}{\sqrt{1-u^2}}$, not at $t' = 0$!

In standard presentations, based on canonical quantization, a necessary condition of covariance under boosts is taken to be the existence of a probability current density $\hat{\mathbf{j}}(t, \mathbf{x})$ for every quantum state, which satisfies the continuity equation $\frac{\partial}{\partial t} \hat{\rho} = \nabla \cdot \hat{\mathbf{j}}$, where $\hat{\rho}(t, \mathbf{x})$ is the probability density of position, such that $\hat{\mathbf{j}} = (\hat{\rho}, \hat{\mathbf{j}})$ transforms as a four-vector. In fact, in the present approach the existence of such a four-current density of probability does not have a formal proof; it could be assumed to hold by analogy with the electrical four-current density in non-quantum relativistic theory; but the proof in this last theory is based on the existence of a real motion of the charges characterized by a real velocity in every point $\underline{x} = (x_0, \mathbf{x})$; such a simultaneous existence is inconsistent in a quantum theory, and thus the concept is not viable if we are to maintain the methodology of the present work.

One way to obviate this problem could be to introduce a time-space position $\underline{Q} = (Q_0, \mathbf{Q})$, where the operator Q_0 is just the time quantum observable, i.e. the time when the measurement of the spatial coordinates represented by \mathbf{Q} occurs. Then, according to special relativity we could set $S_g[Q_0] = \frac{Q_0 - uQ_1}{\sqrt{1-u^2}}$, $S_g[Q_1] = \frac{Q_1 - uQ_0}{\sqrt{1-u^2}}$, $S_g[Q_2] = Q_2$, $S_g[Q_3] = Q_3$. But in a quantum theory of localizable particle such a time cannot be a quantum observable. Indeed, let us suppose that Q_0 is a quantum observable representing this time, so that the four-operator $\underline{Q} = (Q_0, Q_1, Q_2, Q_3)$ represents the time-space coordinates of the particle. Accordingly, $[Q_\alpha, Q_\beta] = 0$, for all $\alpha, \beta \in \{0, 1, 2, 3, \}$. Furthermore, since for every time-space translation g_α such that $U_{g_\alpha} = e^{-iP_\alpha a}$ we have $S_{g_\alpha}[Q_\beta] = e^{-iP_\alpha a} Q_\beta e^{iP_\alpha a} = Q_\beta - \delta_{\alpha\beta} a$, also $[Q_\alpha, P_\beta] = i\delta_{\alpha\beta}$ holds; in particular $[Q_0, P_0] = i$ and $[Q_0, P_j] = 0$ should hold for $j = 1, 2, 3$. In an irreducible representation of $\mathcal{I}_{\mathcal{P}}(U^+ \text{irred.} \mathbf{u})$, the condition $[Q_0, P_j] = 0$ implies $(Q_0\psi)(\mathbf{p}) = q_0(\mathbf{p})\psi(\mathbf{p})$, where $q_0(\mathbf{p}) \in \Omega(\mathbb{C}^{2s+1})$, for every $\mathbf{p} \in \mathbb{R}^3$. Thus $P_0Q_0 = p_0Q_0 = Q_0p_0 = Q_0P_0$, i.e. $[Q_0, P_0] = 0$ holds, in contradiction with $[Q_0, P_0] = i$.

The same contradiction also arises with a representation in $\mathcal{I}_{\mathcal{P}}(U^- \text{irred.} \mathbf{d})$.

Finally, if we consider a representation in $\mathcal{I}_{\mathcal{P}}(U^{\pm}\text{irred.}s)$, the same argument leads to $[Q_0, P_0] = \begin{bmatrix} 0 & 2q_{12}(\mathbf{p}) \\ 2q_{21}(\mathbf{p}) & 0 \end{bmatrix}$, where each $q_{mn}(\mathbf{p})$ is a $(2s + 1) \times (2s + 1)$ matrix. Also in this case the hypothesis that Q_0 is an observable contradicts $[Q_0, P_0] = i$.

This proof can be easily extended to the irreducible generalized projective representations of $\mathcal{I}_{\mathcal{P}}(U^{\pm}\text{red.})$ identified in Sect. 4.4.

So, the hope of completing the development of the quantum theory of an elementary free particle remains tied to the possibility of attaining an explicit mathematical relation expressing the transformation properties of \mathbf{Q} with respect to boosts, that is to say, to the possibility of finding the extension of the commutation rules (9) to $[K_j, Q_k]$. In theories $\mathcal{T}.1\text{--}\mathcal{T}.4$, where (Q.1) and (Q.2.a,b) are sufficient to determine \mathbf{Q} , the commutation relation $[K_j, Q_k]$ can be simply calculated, K_j and \mathbf{Q} being known explicitly, and it turns out to be

$$[K_j, Q_k] = \frac{1}{2} (Q_j[P_0, Q_k] + [P_0, Q_k]Q_j). \tag{JM}$$

Then the theory could be tentatively developed by assuming (JM) to hold in all theories. It is evident that this extension is supported only by heuristic arguments. In fact, in [39] (JM) was derived by making use of canonical quantization, a method extraneous to the present work; it also turns out to be the *canonical quantization* of the transformation property derived in [40]. However, the consistency of its implications can be explored. Jordan and Mukunda [18] checked the consistency of (JM) with the structural properties (1) of \mathcal{P}_+^{\uparrow} and with the transformation properties (Q.2.b) of \mathbf{Q} with respect to the Euclidean group \mathcal{E} . The results attained by Jordan and Mukunda are not homogeneous; an extract is given in Sect. 6.1. It is important to note, however, that in their work [18] the authors assume the transformation properties of \mathbf{Q} with respect to the subgroup \mathcal{P}_+^{\uparrow} , ignoring the transformation properties ${}^{\leftarrow}\mathbf{T}\mathbf{Q} = \mathbf{Q}{}^{\leftarrow}\mathbf{T}$ and ${}^{\leftarrow}\mathcal{S}\mathbf{Q} = -\mathbf{Q}{}^{\leftarrow}\mathcal{S}$. On the other hand, in Sect. 5 it was shown that these conditions do have a decisive role in determining the position operator; therefore, the task of checking the consistency of (JM) with respect to time reversal and space inversion should be accomplished. We address this task in Sect. 6.2.

6.1 Jordan and Mukunda’s Results

For representations with $\sigma(P) = S_{\mu}^{\pm}$ and U^{\pm} irreducible, Jordan and Mukunda identified [18] which operators \mathbf{Q} are consistent with (Q.2.b) and (JM); these representations correspond to those of Sects. 4.1 and 4.2. Jordan and Mukunda find that for $s = 0$ there is a unique position operator satisfying such a consistency: $\mathbf{Q} = \mathbf{F}$. This result agrees with that obtained in Sect. 5.1 where (JM) was not assumed and replaced by (Q.2.a). In general, for $s \geq 0$ Jordan and Mukunda find that the solutions must have the form

$$\mathbf{Q} = \mathbf{F} - \frac{a}{p_0(p_0 + \mu)} (\mathbf{P} \cdot \mathbf{S})\mathbf{P} + a\mathbf{S} - \frac{\mathbf{P} \wedge \mathbf{S}}{\mu(p_0 + \mu)}, \text{ where } a \in \mathbb{R}. \tag{29}$$

According to [18], if $s > 0$ such a solution turns out to be non-commutative for any $a \in \mathbb{R}$, i.e. it does not satisfy (Q.1). Therefore, for $s > 0$ the transformation property (JM) is inconsistent with the notion of position expressed by (Q.1), (Q.2.a), (Q.2.b).

For representations with $\sigma(P) = S_{\mu}^{+} \cup S_{\mu}^{-}$ and U^{+} irreducible, corresponding to those of the present Sect. 4.3, Jordan and Mukunda show that every solution for \mathbf{Q} , which satisfies (JM) and (Q.2.b), must have the form

$$\begin{aligned} \mathbf{Q} = & \hat{\mathbf{F}} + \rho_1 A(\sin B)\mathbf{P} + \rho_2 A(\cos B)\mathbf{P} + \\ & -\rho_1 \left(\frac{\sin B}{p_0^2(p_0 + \mu)} - \frac{2}{\mu} B'(\cos B) \right) (\mathbf{p} \cdot \mathbf{S})\mathbf{P} \\ & -\rho_2 \left(\frac{\cos B}{p_0^2(p_0 + \mu)} + \frac{2}{\mu} B'(\sin B) \right) (\mathbf{p} \cdot \mathbf{S})\mathbf{P} + \\ & + \frac{\rho_1}{p_0}(\sin B)\mathbf{S} + \frac{\rho_1}{p_0}(\cos B)\mathbf{S} + \frac{\mathbf{P} \wedge \mathbf{S}}{p_0(p_0 + \mu)}, \end{aligned} \tag{30}$$

where $A = A(\mathbf{p}^2)$, $B = B(\mathbf{p}^2)$ are real functions of \mathbf{p}^2 , $B' = \frac{d}{d(\mathbf{p}^2)}B(\mathbf{p}^2)$, $\rho_1 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ and $\rho_2 = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$.

We see that if $s = 0$ then \mathbf{Q} depends on A and B ; hence, the approach of Jordan and Mukunda is unable to univocally identify a theory for Klein–Gordon particles.

If $s > 0$ the operator \mathbf{Q} in (30) is not uniquely determined, so generally it differs from $\hat{\mathbf{F}}$; but, in the case $s = \frac{1}{2}$, if $A = 0$ and $B \equiv \text{constant}$, i.e. $B' = 0$, then by a suitable unitary transformation that leaves \mathbf{P} , P_0 , \mathbf{J} , \mathbf{K} unaltered, (30) transforms into

$$\mathbf{Q} = \hat{\mathbf{F}} + \frac{\rho_2}{p_0^2(p_0 + \mu)} (\mathbf{p} \cdot \mathbf{S})\mathbf{P} - \frac{\rho_2}{p_0}\mathbf{S} + \frac{\mathbf{P} \wedge \mathbf{S}}{p_0(p_0 + \mu)}. \tag{31}$$

Jordan and Mukunda show that in this particular case the theory is unitarily equivalent to the theory of Dirac for spin $\frac{1}{2}$ particles.

6.2 Consistency of (JM) with \mathcal{T} and \mathcal{I}

In this section we check the consistency of the Jordan-Mukunda position operators with respect to time reversal and space inversion.

6.2.1 Consistency in the Case $\mathcal{I}_{\mathcal{P}}(U^{+}\text{irred.}\mathbf{u})$ and $\mathcal{I}_{\mathcal{P}}(U^{-}\text{irred.}\mathbf{d})$.

For representations in $\mathcal{I}_{\mathcal{P}}(U^{+}\text{irred.}\mathbf{u})$ and $\mathcal{I}_{\mathcal{P}}(U^{-}\text{irred.}\mathbf{d})$, provided that $s = 0$, there is no problem of consistency of the Jordan and Mukunda transformation property (JM) with (Q.1), (Q.2.a) and (Q.2.b). Indeed, the unique operator $\mathbf{Q} = \mathbf{F}$ determined in Sects. 5.1 and 5.2 by (Q.1), (Q.2.a) and (Q.2.b) coincides with the operator determined by Jordan and Mukunda, which satisfies (JM).

In the case $s > 0$, according to Sect. 4.1, the anti-unitary time reversal operator is ${}^{\leftarrow}\mathcal{T} = \tau\mathcal{K}\Upsilon$, and the space inversion operator is ${}_{\leftarrow}\mathcal{S} = \Upsilon$. By making use of these explicit operators and of (29), it turns out that the condition ${}_{\leftarrow}\mathcal{S}\mathbf{Q} = -\mathbf{Q}{}_{\leftarrow}\mathcal{S}$ in (Q.2.a) implies $a = 0$. Hence

$$\mathbf{Q} = \mathbf{F} - \frac{\mathbf{P} \wedge \mathbf{S}}{\mu(p_0 + \mu)}. \tag{32}$$

This operator satisfies ${}^{\leftarrow}\mathcal{T}\mathbf{Q} = \mathbf{Q}{}^{\leftarrow}\mathcal{T}$. However, according to Jordan and Mukunda, it is not commutative. Such non-commutativity has also been demonstrated elsewhere by alternative arguments [21,25]. Thus, in this case there is no position operator that satisfies (Q.1), (Q.2.a) and (Q.2.b) consistent with the transformation property (JM).

6.2.2 Consistency in the Case $\mathcal{I}_{\mathcal{P}}(U^{\pm}\text{irred.s})$.

From Sect. 4.3, all combinations ${}^{\leftarrow}\mathcal{T}, {}_{\leftarrow}\mathcal{S}$ concerning their unitary or anti-unitary character are possible in $\mathcal{I}_{\mathcal{P}}(U^{\pm}\text{irred.s})$, except ${}^{\leftarrow}\mathcal{T}$ anti-unitary and ${}_{\leftarrow}\mathcal{S}$ unitary.

We have seen in Sect. 5.3 that for $s = 0$ there is a unique position operator $\mathbf{Q} = \hat{\mathbf{F}}$ that is consistent with (Q.1), (Q.2.a) and (Q.2.b). This coincides with the operator (30) for $A = B = \mathbb{O}$; according to Jordan and Mukunda it is also consistent with their transformation property (JM). Thus, in this particular case there is no consistency problem. According to Sect. 5.3, this solution is valid only if ${}^{\leftarrow}\mathcal{T}$ is unitary and ${}_{\leftarrow}\mathcal{S}$ is anti-unitary, namely ${}^{\leftarrow}\mathcal{T} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ and ${}_{\leftarrow}\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$, or if both ${}^{\leftarrow}\mathcal{T}, {}_{\leftarrow}\mathcal{S}$ are anti-unitary, namely ${}^{\leftarrow}\mathcal{T} = \Upsilon\mathcal{K} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ and ${}_{\leftarrow}\mathcal{S} = \mathcal{K} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$. Thus, there is consistency with the new representations identified in Sect. 4.3.

Now we have to address the theories where $s > 0$. We begin by checking the cases where ${}^{\leftarrow}\mathcal{T}$ is anti-unitary. From Sect. 4.3, ${}^{\leftarrow}\mathcal{T} = \tau\mathcal{K}\Upsilon \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$; by making use of (30) we find that ${}^{\leftarrow}\mathcal{T}\mathbf{Q} = \mathbf{Q}{}^{\leftarrow}\mathcal{T}$ holds if and only if $\sin B = 0$ and $B' \cos B = 0$. Hence \mathbf{Q} becomes

$$\begin{aligned} \mathbf{Q} = & \hat{\mathbf{F}} + \rho_2 A(\cos B)\mathbf{P} - \rho_2 \frac{\cos B}{p_0^2(p_0 + \mu)} (\mathbf{p} \cdot \mathbf{S})\mathbf{P} + \frac{\rho_2}{p_0} (\cos B)\mathbf{S} \\ & + \frac{\mathbf{P} \wedge \mathbf{S}}{p_0(p_0 + \mu)}. \end{aligned} \tag{33}$$

${}^{\leftarrow}\mathcal{T}$ being anti-unitary, also ${}_{\leftarrow}\mathcal{S}$ must be anti-unitary, i.e. ${}_{\leftarrow}\mathcal{S} = \begin{bmatrix} 0 & \tau \\ \tau & 0 \end{bmatrix} \mathcal{K}$ or ${}_{\leftarrow}\mathcal{S} = \begin{bmatrix} 0 & \tau \\ -\tau & 0 \end{bmatrix} \mathcal{K}$. If ${}_{\leftarrow}\mathcal{S} = \begin{bmatrix} 0 & \tau \\ -\tau & 0 \end{bmatrix} \mathcal{K}$, then ${}_{\leftarrow}\mathcal{S}\mathbf{Q} = -\mathbf{Q}{}_{\leftarrow}\mathcal{S}$ would imply $\frac{\partial}{\partial p_j} = 0$, for all j . Therefore this possibility must be excluded. If ${}_{\leftarrow}\mathcal{S} = \begin{bmatrix} 0 & \tau \\ \tau & 0 \end{bmatrix} \mathcal{K}$, by making use of (33) we find that ${}_{\leftarrow}\mathcal{S}\mathbf{Q} = -\mathbf{Q}{}_{\leftarrow}\mathcal{S}$ holds if and only if $\cos B = 0$, which is impossible because $\sin B = 0$. Thus, for $s > 0$ there is no position operator \mathbf{Q} that is consistent

with (Q.1), (Q.2.a), (Q.2.b) and the transformation property (JM) in a theory where \mathcal{U}_T is anti-unitary.

Let us check the case where \mathcal{U}_T is unitary, i.e. $\mathcal{U}_T = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$. By making use of (30) we find that $\mathcal{U}_T \mathbf{Q} = \mathbf{Q} \mathcal{U}_T$ holds if and only if $\cos B = 0$, so that \mathbf{Q} becomes

$$\mathbf{Q} = \hat{\mathbf{F}} + \rho_1 A(\sin B)\mathbf{P} - \rho_1 \frac{\sin B}{p_0^2(p_0 + \mu)}(\mathbf{p} \cdot \mathbf{S})\mathbf{P} + \frac{2\rho_2}{\mu} B'(\sin B)(\mathbf{p} \cdot \mathbf{S})\mathbf{P} + \frac{\rho_1}{p_0}(\sin B)\mathbf{S} + \frac{\mathbf{P} \wedge \mathbf{S}}{p_0(p_0 + \mu)}. \tag{34}$$

If \mathcal{U}_S is unitary, then $\mathcal{U}_S = \Upsilon$ or $\mathcal{U}_S = \Upsilon \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$. In the case $\mathcal{U}_S = \Upsilon$, $\mathcal{U}_S \mathbf{Q} = -\mathbf{Q} \mathcal{U}_S$ implies $\sin B = 0$, which is impossible, because $\cos B = 0$. In the case $\mathcal{U}_S = \Upsilon \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$, then $\mathcal{U}_S \mathbf{Q} = -\mathbf{Q} \mathcal{U}_S$ implies $\rho_1 A(\sin B)\mathbf{P} + \frac{\mathbf{P} \wedge \mathbf{S}}{p_0(p_0 + \mu)} = 0$, which is false. Thus, if both \mathcal{U}_T and \mathcal{U}_S are unitary, there is no position operator consistent with (Q.1), (Q.2.a), (Q.2.b) and (JM).

The last possibility is the case where \mathcal{U}_S is anti-unitary, i.e. $\mathcal{U}_S = \begin{bmatrix} 0 & \tau \\ \tau & 0 \end{bmatrix} \mathcal{K}$ or $\mathcal{U}_S = \begin{bmatrix} 0 & \tau \\ -\tau & 0 \end{bmatrix} \mathcal{K}$. If $\mathcal{U}_S = \begin{bmatrix} 0 & \tau \\ -\tau & 0 \end{bmatrix} \mathcal{K}$ then $\mathcal{U}_S \mathbf{Q} = -\mathbf{Q} \mathcal{U}_S$ cannot hold because it would imply $\frac{\partial}{\partial p_j} = 0$, for all j . If $\mathcal{U}_S = \begin{bmatrix} 0 & \tau \\ \tau & 0 \end{bmatrix} \mathcal{K}$, then by making use of (34) we find that $\mathcal{U}_S \mathbf{Q} = -\mathbf{Q} \mathcal{U}_S$ holds if and only if $A = 0$. Now, $\sin B = \pm 1$, since $\cos B = 0$, and (34) becomes

$$\mathbf{Q} = \hat{\mathbf{F}} \mp \rho_1 \frac{\rho_1}{p_0^2(p_0 + \mu)}(\mathbf{p} \cdot \mathbf{S})\mathbf{P} \pm \frac{\rho_1}{p_0}\mathbf{S} + \frac{\mathbf{P} \wedge \mathbf{S}}{p_0(p_0 + \mu)}. \tag{35}$$

Thus, the unique particle quantum theory based on representations in $\mathcal{I}_{\mathcal{P}}(U^{\pm}\text{irred.}s)$ and $s > 0$, whose position operator is consistent with (Q.1), (Q.2.a), (Q.2.b) and (JM), has \mathcal{U}_T unitary and anti-unitary $\mathcal{U}_S = \begin{bmatrix} 0 & \tau \\ \tau & 0 \end{bmatrix} \mathcal{K}$, and the position operator is given by (35). By fixing $\sin B = -1$, we have

$$\mathbf{Q} = \hat{\mathbf{F}} + \rho_1 \frac{\rho_1}{p_0^2(p_0 + \mu)}(\mathbf{p} \cdot \mathbf{S})\mathbf{P} - \frac{\rho_1}{p_0}\mathbf{S} + \frac{\mathbf{P} \wedge \mathbf{S}}{p_0(p_0 + \mu)}. \tag{36}$$

We see that the position operator is different from the position operator of Dirac’s theory given by (36). However, by transforming every operator R into WRW^{-1} , where $W = e^{-i\frac{1}{2}\rho_3\frac{\pi}{2}}$, with $\rho_3 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$, it turns out that all generators P_j , P_0 , J_k , K_j are left invariant, while \mathbf{Q} of (41) is transformed into that of (31). Therefore, Dirac’s theory is the unique theory where (Q.1), (Q.2.a), (Q.2.b) and (JM) hold, but,

once again, the new representations with \mathcal{S} anti-unitary are necessary for the theory to be consistent.

7 The Problem of Assigning a Theory to a Particle

Sections 5 and 6 identify possible theories of elementary free particles. The actual existence in nature of the particle described by each theory is an issue that cannot be assessed within a purely theoretical investigation dealing with free particles. The reason is that real particles can be distinguished from each other by their different behaviour when they undergo a given interaction. So, a particle with a negative electrical charge can be distinguished from a particle with positive electrical charge because their paths have opposite curvatures interacting with a magnetic field in a cloud chamber. Yet to establish the relation between the side of the curvature and the sign of an observable *charge of the particle*, the theory of the interaction is needed.

This argument shows the fundamental importance of developing the relativistic quantum theory of an *interacting particle*, by complying with the methodology followed here. Interesting ideas about this have been traced by Lévy-Leblond in his attempt [40] based on transformation properties; they could be fruitful when applied to the present framework. An alternative could be to explore the possibility of extending the methods applied to develop the non-relativistic quantum theory of an interacting elementary particle [12,13] to the relativistic case.

Such a theory being not available, we can tentatively identify the specific particle described by a given theory derived in the present work by exploiting its analogies with some theories of a specific particle present in the literature. For instance, the elementary particle theory based on representations $U \in \mathcal{I}_{\mathcal{P}}(U^{\pm}\text{irred.s})$ of kind $U^{(5)}$ with spin $s = \frac{1}{2}$ identified in Sect. 6.2.2 turns out to be equivalent to Dirac's theory under the assumption that $[K_j, Q_k] = \frac{1}{2} (Q_j[P_0, Q_k] + [P_0, Q_k]Q_j)$ holds. Now, Dirac presented his model as the theory of electrons and positrons [9]; therefore, the theory of the present work based on $U^{(5)}$ with $s = \frac{1}{2}$ can be considered as the theory of electrons and positrons to the same extent as Dirac. Needless to say, in so doing an anti-unitary space-inversion operator must be admitted, of course.

Analogously, the theories $\mathcal{T}.1$ and $\mathcal{T}.2$ in Sect. 5.3 can be considered as the theories of rest mass μ spinless particles. According to this analogy-based method both theories $\mathcal{T}.3$ and $\mathcal{T}.4$ could be considered as the theories of the particles identified as Klein-Gordon particles in the literature.

The differences between the two inequivalent theories $\mathcal{T}.1$ and $\mathcal{T}.2$ can only be consistently interpreted once their different ways of interacting have been discovered. The same applies to $\mathcal{T}.3$ and $\mathcal{T}.4$.

It must be remarked that these correspondences cannot be considered as definitive. The reason is that, due to the profound difference of our methodology with respect to canonical quantization, the theories developed in the present work do not necessarily have to fit with the theories obtained by canonical quantization. So the identification of the specific particle described by a given theory from those here identified, whenever it exists, ultimately depends on the availability of a theory of the interacting particle.

This is especially true for the case of the new species of theory in Sect. 5.4 for which there is no existing theory with the same formalism. For this case, given the form of the generators P_0, P_j, J_j, K_j and of the space inversion and time reversal operators shown in Sect. 5.4, we see that the observable represented by the operator $N = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ turns out to be invariant under spatial translations, spatial rotations and boosts, while the two possible values of N , i.e. 1 and -1 , exchange with each other by space inversion and time reversal. So, a particle described by such a theory must be a spinless particle with rest mass μ , characterized by the existence of a two value observable satisfying these transformation properties.

8 Conclusions

In order to develop consistent relativistic quantum theories of a single free particle, we have pursued an approach based on group theoretical methods, that avoids the shortcomings inherent in canonical quantization. In so doing, from the principles of relativistic invariance and position covariance we have derived six complete inequivalent theories for spin 0 particles that are a coherent alternative to Klein–Gordon theory, two of which are a new species of particle theory.

For the case of non zero spin, our approach is still unable to determine complete theories, because of the present lack, in quantum theory, of explicit relations establishing the transformation properties of position with respect to boosts. Such transformation properties can be determined only in the complete theories for spin zero particles, where they can be calculated directly. They turn out to coincide with the transformation properties (JM) proposed by Jordan and Mukunda [18] also for the non zero spin case. Next we checked the consistency of the transformation property (JM) with the theories developed in our approach and found that Dirac’s theory is the unique theory for $s = \frac{1}{2}$ and $\sigma(\underline{P}) = S_\mu^+ \cup S_\mu^-$ such that (JM) are satisfied. On the other hand, (JM) is inconsistent with the existence of localizable particle with $\sigma(\underline{P}) = S_\mu^+$ and $s > 0$. Hence there are arguments in favor but also against the general validity of (JM). Nevertheless, that said there is a way that would certainly solve the dilemma: to derive from the symmetry and covariance principles the explicit mathematical relation that expresses the transformation properties of position with respect to boosts, analogously to the method used to obtain (Q.2a) and (Q.2b). Let us denote these aimed mathematical relations by (KQ). Whenever such a deduction is successful, one of the following statements should hold.

- Either (KQ) are equivalent to (JM). In this case there would be precise consequences; for instance, one consequence would be that Poincaré invariance is incompatible with the existence of particles with $\sigma(\underline{P}) = S_\mu^+$, or $\sigma(\underline{P}) = S_\mu^-$, and $s > 0$. Another consequence would be that only Dirac theory is consistent with Poincaré invariance.
- Or (KQ) are not equivalent to (JM) in some theories. Let us suppose that equivalence fails for $\sigma(\underline{P}) = S_\mu^+ \cup S_\mu^-$ and $s = \frac{1}{2}$. In this case we should investigate which of the candidates for position operators identified by (22), (23) in Sect.

5.2 are consistent with (KQ). If exist, we would have determined an *alternative* theory to that of Dirac, based on physical principles without canonical quantization.

The arguments above highlight the importance of working for a determination of the relation (KQ), in order to advance the understanding of relativistic quantum particle theory.

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