

Analyst

Accepted Manuscript

This article can be cited before page numbers have been issued, to do this please use: D. Breznan, N. Nazemof, F. Kunc, M. Hill, D. Vladislavjevic, J. Gomes, L. Johnston, R. Vincent and P. Kumarathasan, *Analyst*, 2020, DOI: 10.1039/D0AN00380H.



This is an Accepted Manuscript, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about Accepted Manuscripts in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this Accepted Manuscript or any consequences arising from the use of any information it contains.

Acellular oxidative potential assay for screening of amorphous silica nanoparticles

Dalibor Breznan^{1*}, Nazila Nazemof^{3*}, Filip Kunc⁴, Myriam Hill², Djordje Vladislavljevic², James Gomes³, Linda J. Johnston⁴, Renaud Vincent^{1,5}, Prem Kumarathanan^{1,3#}

¹Environmental Health Science and Research Bureau, Health Canada, Ottawa, ON, K1A 0K9

²New Substances Assessment and Control Bureau, Health Canada, Ottawa, ON, K1A 0K9

³Interdisciplinary School of Health Sciences, University of Ottawa, Ottawa, ON, K1N 7K4

⁴Metrology Research Centre, National Research Council Canada, Ottawa, Ontario, K1A 0R6

⁵Department of Biochemistry, Microbiology and Immunology, University of Ottawa, Ottawa, ON, K1H 8M5

Running Title: Oxidative potential of amorphous silica nanoparticles

* D. Breznan and N. Nazemof contributed equally to this work

Address for correspondence:

Prem Kumarathanan, PhD
Room 233A, Environmental Health Centre
0803C Tunney's Pasture,
Ottawa, Ontario, Canada K1A 0K9
Tel: 613-957-0209, Fax: 613-946-2600
premkumari.kumarathanan@canada.ca.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Downloaded on 28 May 2020. Published on 28 May 2020. Downloaded on 5/29/2020 3:20:50 AM. This article is licensed under a Creative Commons Attribution 3.0 Unported Licence.



Abstract

Silica nanoparticles (SiNPs) are used in a wide range of consumer products, engineering and medical applications, with likelihood of human exposure and potential health concerns. It is essential to generate toxicity information on SiNP forms and associated physicochemical determinants to conduct risk assessment on these new materials. To address this knowledge gap, we screened a panel of custom synthesized, well-characterized amorphous SiNPs (pristine and surface-modified (C3-COOH, C11-COOH, NH₂, PEG)) of 5 different sizes: 15, 30, 50, 75, 100 nm) for their oxidative potential using an acellular assay. The assay is based on oxidation of dithiothreitol (DTT) by reactive oxygen species and can serve as a surrogate test for oxidative stress. These materials were characterized for size distribution, aggregation, crystallinity, surface area, surface modification, surface charge and metal content. Tests for association between oxidative potential of SiNPs and their physicochemical properties were carried out using analysis of variance and correlation analyses. These test results suggest that the size of amorphous SiNPs influenced their oxidative potential irrespective of the surface modification, with 15 nm exhibiting relatively higher oxidative potential compared to the other sizes. Furthermore, SiNP surface area, surface modification and agglomeration in solution also appeared to affect oxidative potential of these SiNPs. These findings indicate that physicochemical properties are critical in influencing the oxidative behaviour of amorphous SiNPs, with potential to trigger cellular oxidative stress and thus toxicity, when exposed. This information advances our understanding of potential toxicities of these amorphous SiNPs and supports risk assessment efforts and the design of safer forms of silica nanomaterials.

Keywords: Silica nanoparticles, Pristine, Surface-Modified, C3-COOH, C11-COOH, NH₂, PEG, DTT assay, Oxidative Potential Screening

Introduction

Engineered nanoparticles (NPs) provide challenges for hazard identification and risk evaluation due to lack of reliable physicochemical and toxicity data, creating difficulties for government agencies to establish effective safety evaluation guidelines. Among the various engineered nanomaterials, amorphous silica nanoparticles (SiNPs) have received relatively more attention and have been frequently used as additives to cosmetics, drugs, printer toners, varnishes and food (Napierska et al., 2010; Khot et al., 2012; Kasaaï et al., 2015; Brinch et al., 2016). Due to their biocompatibility, easy surface functionalization and resistance to biodegradation in the cellular environment, silica nanoparticles are currently being synthesized on a large scale for biomedical and biotechnology applications such as cancer therapy, gene carrier, drug delivery and enzyme immobilization (Vijayanathan et al., 2002; Hirsch et al., 2003; Benezra et al., 2011; Bitar et al., 2012; Tang and Chang, 2013; Chen et al., 2016).

The growing use of nanomaterials including SiNPs raises concerns in terms of their potential harmful effects to human health and the environment and places them at a high priority for toxicity screening and risk assessment by regulatory authorities (Murugadoss et al 2017; WHO, 2013). However, toxicological studies on SiNPs are far behind the pace of their production and applications. There are emerging reports on the analyses of the toxicological properties of SiNPs (Chen et al., 2018; Du et al., 2019; Kim et al., 2017; Lee et al., 2019; Murugadoss et al., 2017). The physicochemical properties of SiNPs highly depend on the synthetic method for their production and can influence the toxicity of these materials (Napierska et al., 2010, Zhang et al., 2012). Unique physicochemical properties of engineered nanomaterials such as particle size (surface area and size distribution), aggregation/agglomeration, chemical composition (purity, crystallinity, electronic properties) and surface structure (surface reactivity, surface groups, inorganic or organic coating) can determine the fate of NP-cell interactions.

Formation of reactive oxygen species (ROS) has been suggested as one of the mechanisms by which SiNPs could exert toxicity (Wang et al., 2009; Park et al., 2009; Ye et al., 2010). The excessive production of ROS-mediated oxidative stress triggered by exposures to environmental pollutants such as complex mixtures of air pollution particles containing ultrafine

1
2
3 and nano-scale components can be associated with disruption in the normal mechanism of
4 cellular signaling leading to various disease processes including cardiovascular disease, COPD,
5 diabetes, cancer, Alzheimer's disease (Valavanidis et al, 2013; Lodovici & Bigagli, 2011;
6 Terzano et al, 2010). The assessment of oxidative potential as a surrogate for ROS generation
7 has been proposed as a useful metric to measure the capacity of particulate matter (PM) to
8 oxidise target molecules in biological systems (Borm et al., 2007). It is an attractive measure
9 because it integrates various biologically relevant properties, including size, surface and
10 chemical compositions (Janssen et al., 2014). Both cellular (Xia et al., 2006; Kubátová et al.,
11 2006; Bonvallot et al., 2001) and acellular (Shi et al., 2003; Cho et al., 2005; Mudway et al.,
12 2011; Zomer et al., 2011) methods have been developed to measure the oxidative potential of
13 NPs.

14
15 Methodological approaches for redox activity are based either on direct measurement of
16 ROS in cellular environment using high performance liquid chromatography (HPLC), electron
17 spin resonance spectroscopy (ESR) and fluorescence-based methods (Xiong et al., 2017) or
18 indirect measurement using particle- induced depletion of the reductants (e.g. DTT) or the
19 antioxidants (e.g. vitamin C, glutathione and uric acid) (Mudway et al., 2005; Ayres et al., 2008)
20 in acellular tests. Acellular methods require a less controlled environment, are less costly and can
21 be relatively rapid for high-throughput identification of NP hazard. Furthermore, *in vitro*
22 acellular screening for oxidative potential of nanomaterials can be of value in terms of predicting
23 relative reactivities and thus potencies of these particles when exposed to cells.
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

41 Among all available acellular methods, the dithiothreitol (DTT) assay is one of the
42 commonly used assays to study oxidative potential associated with micron-sized environmental
43 particles, such as ambient air particulate matter with complex matrices (Cho et al., 2005). This
44 assay can serve as an initial screening step to identify the oxidative potential of particles prior to
45 more extensive cell or animal exposure studies. The DTT assay is based on the presence of
46 redox-active chemical species in particles to oxidize DTT to its disulfide form. In general, the
47 redox active species, such as transition metals, conjugated unsaturated organic species in
48 complex air pollutant matrices can donate electron to dissolved molecular oxygen, forming
49 superoxide, a ROS, which in turn can form other ROS, such as hydrogen peroxide which in the

1
2
3 presence of metals, such as iron can lead to the formation of hydroxyl radical ($\cdot\text{OH}$). One of the
4 limitations noted for this assay was its inability to discriminate between ROS, for instance, its
5 inability to measure specifically $\cdot\text{OH}$ generation (Xiong et al., 2017). The DTT assay is a fast
6 and inexpensive assay and therefore is a candidate assay for high-throughput screening for
7 oxidative potential (O'Brien, 1991, Squadrito et al., 2001). Nevertheless, the engineered
8 nanomaterials, such as pure amorphous silica nanoparticles do not contain redox active metals or
9 organic species to participate in redox-cycling reactions. Therefore it can be challenging to apply
10 DTT assay to measure oxidative potential of such particles and thus the sensitivity of the assay
11 can be questionable. Yet, the simplicity, cost and speed of the DTT assay are attractive as a first
12 pass screening tool for oxidative potential measurement of nanomaterials compared to in vitro or
13 in vivo exposure studies and thus warrants feasibility testing with these materials.

The objective of this study was to test the applicability of the cell-free assay based on
DTT oxidation to screen various sizes (15, 30, 50, 75, 100 nm) of pristine and surface-modified
SiNPs (-C3-COOH, -C11-COOH, -NH₂, -PEG) for their oxidative potential, and to identify the
physicochemical properties of SiNPs that can influence their oxidative potential by testing for
associations between them. The analytical approach is presented in Figure 1. To the best of our
knowledge, this study is the first report for a comprehensive screening of nanoforms of
amorphous SiNPs using the acellular DTT assay.

Experimental

Materials

Dithiothreitol (DTT), 5, 5'-dithiobis-(2-nitrobenzoic acid) (DTNB), 1M phosphate buffer, di-tert-butyl peroxide (DTBP), tert-butyl hydroperoxide (TBHP), Fe(II) sulphate hydrate and ultrapure water were obtained from Sigma Aldrich (Oakville, ON, Canada). Dulbecco's Modified Eagle's Medium (phenol red-free) and with phenol red, Fetal Bovine Serum (FBS) and Phosphate Buffered-Saline (10X) were purchased from Fisher Scientific (Nepean, ON, Canada). Custom synthesized well characterized pristine amorphous silica nanoparticles (SiNPs) of different sizes (15, 30, 50, 75, 100 nm) and the corresponding surface modified -C3-COOH, -C11-COOH, -(OH)₂Si(OCH₂CH₂)_nOCH₃ (n = 9-12) (-PEG) and -(OH)₂Si(CH₂)₂CH₂NH₂ (-NH₂) variants were purchased from Advanced Quantum Materials Inc. (AQM, Edmonton, AB, Canada).

For comparative purposes, reference particles were included in the experiments. Micro-sized standard reference materials (SRM-1879 silicon dioxide, SiO₂ and SRM-154b titanium dioxide, TiO₂) were obtained from the National Institute of Standards and Technology (Gaithersburg, MD, USA). Ottawa ambient air EHC-6802 particles were included to represent an urban particulate matter standard. The preparation and characterization of EHC-6802 particles has been previously described (Thomson et al., 2009). A control nanoparticle, 12 nm-sized amorphous silica was also included in the experiment (cat. # 718483 ; Sigma Aldrich, Oakville, ON, Canada).

Characterization of physicochemical properties

The pristine SiNPs were synthesized using modified-Stöber sol-gel based process that included a calcination step. The same batch of a specific size of the pristine SiNPs was used to prepare the corresponding surface modified counterparts. The physicochemical properties of the particles were analyzed by transmission electron microscopy (TEM) for size distribution, X-ray diffraction (XRD) to assess the crystallinity, Fourier Transform Infrared Spectroscopy (FT-IR) for functional groups and thermogravimetric analysis (TGA) for functional group loss, by AQM. In addition, Brunauer-Emmett-Teller (BET) analyses for surface area, quantitative Nuclear

1
2
3
4
5
6
7
8
9
10
11
12
Magnetic Resonance (qNMR) analyses for surface modifications, dynamic light scattering (DLS) and zeta potential for agglomeration size and surface charge in aqueous media and Inductively Coupled Plasma Mass Spectrometry (ICP-MS/AES) for elemental analysis were conducted as part of this study.

Electron Microscopy

Bright field transmission electron microscopy (TEM) images were taken with a JEOL 2010 TEM (with LaB6 electron gun) using accelerating voltage of 200 kV. TEM samples were prepared by depositing a drop of ethanol or toluene solution (ca. 0.1 mg/mL) of the pristine or functionalized SiO₂ nanoparticles suspensions onto carbon coated copper grid (obtained from Electron Microscopy Inc.). The nanoparticles sizes were determined upon averaging the dimensions of at least 50 - 100 particles chosen manually using ImageJ software (version 1.45).

X-ray powder diffraction (XRD)

XRD data were obtained using an Inel MPD Multi Purpose Diffractometer equipped with a CPS 120 curved position sensitive X-ray detector and copper K α (8.047 KeV energy) radiation source. The samples were made by depositing the SiNPs on a Si (111) wafer.

Fourier Transformed Infrared (FT-IR) Spectroscopy

FT-IR Spectroscopic analyses were performed using a Thermo Nicolet Magna 750 IR Spectrometer. Samples for FT-IR analysis were prepared by drop coating a methanol solution of the pristine and surface-functionalized SiNPs onto an electronic-grade Si-wafer (N-type, 100 surface, 100 mm thickness and 10 ohm-cm resistivity).

Thermogravimetric Analysis (TGA)

TGA was performed using a Mettler Toledo Star TGA/DSC system. Pristine and surface-functionalized SiNP samples were placed in a Pt pan and heated under Ar atmosphere from 35 to 700 °C at a rate of 10 °C/min or 25 °C/min as indicated.

Quantitative Nuclear Magnetic Resonance (qNMR)

Functional group contents of SiNPs were quantified by dissolution of the NPs in basic

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

solution, followed by solution NMR using a Bruker Avance 400 Mz spectrometer, according to a published protocol (Kunc et al. 2018). SiNPs (4-10 mg) were treated with sodium deuterioxide solution in D₂O (0.65 mL, 0.4 M) and dispersed by sonication in an ultrasonic bath for 10 min. Samples were then placed in a heated mixer and shaken at 45 °C for 16 hours, after which they were brought to room temperature; maleic acid calibrant solution in D₂O (20 µL, 98.62 mM) was added and the sample was vortexed. These samples were transferred into a 5 mm NMR tube and the NMR measurement was conducted within 24 hours. Triplicate analyses were performed. Due to the high throughput requirements, NMR analyses were carried out using a zg30 pulse program instead of zg90 as typically used for quantitative NMR experiments. This step introduces a difference of ≤5 % in the quantification, but shortens the experiment duration by a factor of 4. Spectral width was 20 ppm with 6.175 ppm transmitter frequency offset. 32 scans with 2 dummy scans were recorded at 6 s relaxation delay. Maximal receiver gain was set prior to each measurement. All acquired spectra were phase corrected manually and baseline correction was done by 5th order polynomial fit. Baseline-resolved diagnostic signals of the functional moiety were identified, integrated, and the average integral was compared with the integral of the calibrant, maleic acid.

ICP-MS/AES

Metal content of pristine SiNP samples was analyzed using Inductively Coupled Plasma-Mass Spectrometry/Atomic Emission Spectroscopy (ICP-MS/AES, Varian Vista-Pro, Mulgrave, Australia) after acid-digestion following the previously reported procedure (Breznan et al, 2017). In brief, the various SiNPs were digested in 50% HNO₃ for 8 h at 80 °C and after filtration via a 0.22-mm filter and the filtrates were analyzed by ICP-MS/AES (Kim & Jo, 2006). A reagent blank was analyzed by ICP-MS/AES and the blank values were subtracted from the sample analysis results to obtain the actual metal concentrations in these samples. All analyses were done in duplicate.

Brunauer-Emmett-Teller Analysis (BET)

The BET method with nitrogen absorption was utilized to obtain the specific surface areas for the SiNPs. ASAP 2020 instrument (Micrometrics, Norcross, GA, USA) was used for the gas-adsorption based SiNP surface area analyses. Prior to degassing, the SiNP samples

1
2
3 contained in the sample tube were weighed. The samples were degassed under vacuum to a
4 pressure of 10 mm/Hg at 80 °C, followed by a second degassing step at a pressure of 300 µm/Hg
5 for 90 minutes. The temperature was increased at 100 °C/min to 120 °C at which time the
6 degassing was continued for 12 hours. The SiNP samples were cooled to 20 °C and complete
7 degassing was verified. The specific surface area was determined by the multipoint BET method.
8
9
10
11
12

13 *Particle preparations*

14 All the amorphous SiNP variants and reference particles (EHC-6802 urban dust particles
15 and micron-sized SiO₂ and TiO₂) were re-suspended in ultrapure water (Sigma-Aldrich,
16 Oakville, ON, Canada) to a concentration of 500 µg/mL. The suspensions were vortexed for 30
17 sec and sonicated (6 cycles of 30 sec on/off with 50-60 % amplitude) on ice by using a probe
18 sonicator (120 W, 20 KHz; Model CL-18, Fisher Scientific, Ottawa, ON, Canada). Sonicated
19 samples were vortexed for 30 sec and agitated by a rocking shaker. The suspended particles were
20 employed to conduct the oxidative potential analyses.
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

39 *Dynamic Light Scattering (DLS) and Zeta Potential*

40 The particle stocks were vortexed for 30 sec and sonicated in a water bath sonicator (100
41 W, 20 kHz; Branson Ultrasonics, Danbury, CT, USA) for 20 min prior to sample preparation as
42 follows; A 10 µl aliquot of aqueous suspensions of pristine or surface-modified SiNP variants
43 (500 µg/mL) was combined with 100 µL of 0.5 M potassium phosphate buffer (pH 7.4) and a
44 final volume of 500 µL was made up with ultrapure water to obtain 10 µg/mL SiNP
45 concentration for hydrodynamic diameter measurement by DLS. The samples were mixed using
46 Eppendorf Thermomixer R dry-block shaker (Eppendorf, Mississauga, ON) for 10 min at 37 °C.
47 Subsequently, the samples were vortexed for 10 sec and transferred into cuvettes for
48 measurements taken immediately and after 20 min incubation at room temperature (RT).
49 Identical sample preparation was used for the zeta potential analyses. The DLS (z-average and
50 polydispersity index) and zeta potential values were obtained using the Zetasizer Nano ZS
51 (Malvern Instruments, UK) as reported earlier (Das et al, 2014). All measurements were
52 conducted in triplicate.
53
54
55
56
57
58
59
60

Oxidative Potential Analyses

The procedure reported by Janssen et al. (2014) was followed to perform the dithiothreitol (DTT) assay after optimization with minor modifications. Briefly, 10 μL of pristine or surface-modified SiNP suspension in ultrapure water at 500 $\mu\text{g}/\text{mL}$ was incubated in the dark room with gentle agitation in a thermomixer at 550 rpm (Eppendorf Thermomixer R) with 100 μL of 0.5 M potassium phosphate buffer (pH 7.4) and 340 μL of ultrapure water for 10 min at 37 $^{\circ}\text{C}$. Then, 50 μL of 1 mM DTT was added to the incubation vial and vortexed for 10s. A 100 μL aliquot of the DTT reaction mixture was withdrawn and transferred to a vial containing 10 μL of 1.5 mM 5,5'-dithiobis(2-nitrobenzoic acid) (DTNB) to quench the oxidation reaction at different time periods (3, 10, 20, 60 min). The reaction between the remainder of the reduced DTT and DTNB is fast and forms 2-nitro-5-thiobenzoic acid (TNB), which is stable in the final solution for at least 2 h at RT. This mixture was centrifuged at 10,000 $\times g$ for 40 sec and the supernatant was transferred to 96-well plates. The absorbance was measured at 412 nm with a POLARstar Omega plate reader spectrophotometer (BMG Lab tech, Ortenberg, Germany).

Since both DTT and TNB are sensitive to light, care was taken to exclude light as much as possible by working in a dark room and sealing the 96-well plate with aluminium foil during the experiment. Initially, the reaction conditions were optimized using the reference particles, as well as pristine and COOH-modified SiNPs. The time period of 20 min was chosen as the optimal period for the DTT oxidation by these SiNPs and was used to screen the oxidative potential of all SiNP variants. A subset of SiNP variants (15 and 75 nm pristine and surface-modified SiNPs) were then subjected to free radical initiators DTBP or TBHP prior to conducting the DTT assay to assess the extent of oxidative potential amplification, if possible. Here, 100 μM TBHP or DTBP was initially reacted at RT with 20 μM Fe(II) sulfate hydrate and phosphate buffer (0.5 M; pH 7.4) and incubated for 15 min at 37 $^{\circ}\text{C}$ with continuous agitation using a thermomixer (550 rpm). Ten μL of the SiNP suspension (500 $\mu\text{g}/\text{mL}$) in water was added to the incubated mixture and continuously shaken. After 10 min, DTT assay was carried out as described earlier. All analyses were conducted in duplicate.

Statistical analyses

The presented data for the DTT assay were normalized to the corresponding blanks and to the sum of the plate responses per each experiment. The experimental data were assessed for statistical significance by Kruskal-Wallis method or a two-way Analysis of Variance (ANOVA), as appropriate, using SigmaPlot v12.5 (Systat Software, San Jose, CA, USA). Where the assumptions of normality and equal variance were not met, the data were rank-transformed prior to conducting the ANOVA. For the Kruskal-Wallis method, Dunn's test was applied for the pairwise multiple comparisons ($\alpha = 0.05$). For the two-way ANOVA, the multiple comparisons analysis was conducted using the Holm-Sidak post-hoc test ($\alpha = 0.05$). Nanoparticle size (*Size*) and surface modification (*Mod*) were applied as factors in the two-way ANOVA. Pearson Product Moment correlation was used to test for associations between oxidative potential values for the different SiNP forms and their physicochemical properties.

Results and Discussion

Physicochemical Characterization

In this study, a set of custom synthesized amorphous SiNPs including pristine and surface-modified particles of various sizes were assessed for their *in vitro* acellular oxidative properties. Since the assessment of physicochemical properties of SiNPs is critical to understand nanomaterial reactivity and their relative potencies in biological systems, the SiNP variants were characterized for key physicochemical parameters. These measurements included TEM of pristine and surface-modified SiNP variants for size analyses of dry, primary particles and the BET method to determine the surface area of the SiNPs. Agglomeration behaviour of the SiNPs in solution-state was assessed using DLS method, while the electrophoretic mobility of the SiNPs in aqueous suspension was determined by zeta potential analysis.

The TEM analysis results for the pristine and surface-modified SiNPs (Figure 2; S1) showed that among the pristine SiNPs, in dry form, the 15 nm-sized particles showed a higher tendency to agglomerate than the SiNPs of other sizes. Also, as the size of pristine SiNPs increased, the particles were spherical with uniform particle size distribution. Similar behaviour was observed with surface-coated SiNP particles with 15 nm size being agglomerated across all surface modifications compared to the other sizes. In contrast, the 100 nm surface-coated SiNPs were spherical and well separated in dry-state. Furthermore, BET measurements for the SiNPs are summarized in Table 1. The BET-derived surface area data was compared to surface area estimates determined from TEM size. A correlation analysis between the BET and TEM surface areas revealed a lack of significant association ($r = 0.232$, $p = 0.263$).

The average hydrodynamic diameters (nm) of the different SiNPs in 0.5 M potassium phosphate buffer, polydispersity indices (PDI) and the surface charge values (zeta potential) are provided in Table 1. In general, hydrodynamic sizes of the particles were higher for the smaller particles (≤ 50 nm sized SiNPs) relative to the larger 75 and 100 nm variants. This observation indicates increased agglomeration of the smaller SiNPs in aqueous media. Also, the hydrodynamic size value within each nano-size SiNP group was larger for the pristine SiNPs compared to their corresponding surface-modified variants for ≤ 50 nm sized SiNPs. In terms of

1
2
3 surface-modified SiNPs, their size in the dry-state appeared to be the primary determinant of
4 agglomeration of these amorphous SiNPs, although, the -NH_2 modification on the surface of
5 SiNPs led to increased agglomeration compared to PEGylation. All SiNPs exhibited negative
6 surface charge values. Surface charge was not significantly associated with other
7 physicochemical characteristics. A correlation analysis between DLS size in aqueous media and
8 TEM size of SiNPs showed a significant inverse relationship ($r = -0.557$, $p = 0.004$). It was also
9 interesting to note that for samples which showed marked agglomeration in aqueous solution (15,
10 30 nm size SiNPs), the agreement between the BET and TEM estimates for specific surface area
11 was poor. In addition, there was a lack of association between DLS size and BET surface area (r
12 $= 0.221$, $p = 0.289$) suggesting that the surface area available for nitrogen absorption for dry-state
13 samples may not correlate well with the available surface area for the same SiNPs in an aqueous
14 environment under the conditions of the biological assays.

15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Structural analysis of SiNPs assessed by XRD confirmed the amorphous state of all of the
pristine SiNPs with a typical pattern of broad reflection at $2\theta = 23^\circ$ (Figure S2).
Functionalization by any of the surface functional groups did not alter the amorphous nature of
the nanoparticles (data not shown). Similarly, surface functionality (e.g. organic moieties such
as short and long chain carboxylic acids groups, NH_2 and PEG) was confirmed using the FT-IR
methodology. Functional group analyses by FT-IR (Figure 3) demonstrated the different
stretching vibrational frequencies for Si-O, C=O, -CH_2 , -OH , NH_2 at (e.g. for the 15 nm size).
The FT-IR spectra of all samples are dominated by ν (Si-O) vibrational feature ($\sim 1050\text{ cm}^{-1}$ for
symmetric and $\sim 800\text{ cm}^{-1}$ for asymmetric stretch) and δ (Si-O-H) bending modes ($\sim 1600\text{ cm}^{-1}$),
respectively. The FT-IR spectra (Figures 3(b)) of all C3-COOH-functionalized SiO_2
nanoparticles exhibit distinct ν (OH), ν (CH_x), and ν (C=O) stretching mode indicate the
presence of alkyl chain and -COOH on the surface. The FT-IR spectra of all C11-COOH-
functionalized SiO_2 nanoparticles exhibit distinct ν (OH), ν (CH_x), and ν (C=O) stretching mode
indicate the presence of alkyl chain and -COOH on the surface (Figures 3(c)). The spectra of all
 $\text{NH}_2\text{-SiO}_2$ samples are dominated by ν (Si-O) vibrational stretching ($\sim 1050\text{ cm}^{-1}$ for asymmetric
and $\sim 800\text{ cm}^{-1}$ for symmetric stretch) and δ (Si-O-H) bending modes ($\sim 1600\text{ cm}^{-1}$), respectively
(Figures 3(d)). The spectra exhibit distinct ν (CH_2) stretching ($\sim 2920, 2870\text{ cm}^{-1}$) and $\delta(\text{CH}_2)$
bending ($\sim 1460\text{ cm}^{-1}$) modes indicating the presence of methylene groups of surface-bound 3-

1
2
3 aminopropyl groups. The FT-IR spectrum exhibits two weak vibrational bands at ~ 3360 and
4 ~ 3295 cm^{-1} , which can be attributed to symmetric and asymmetric NH_2 stretches. In addition, a
5 medium intensity bending mode is observed at ~ 1577 cm^{-1} for NH_2 bending vibration. Like NH_2 -
6 SiO_2 , spectra of all PEG-functionalized SiO_2 samples are dominated by ν (Si-O) vibrational
7 stretching (~ 1050 cm^{-1} for asymmetric and ~ 800 cm^{-1} for symmetric stretch) and δ (Si-O-H)
8 bending modes (~ 1600 cm^{-1}), respectively (Figures 3(e)). The spectra exhibit distinct ν (CH_2)
9 stretching ($\sim 2920, 2870$ cm^{-1}) and $\delta(\text{CH}_2)$ bending (~ 1460 cm^{-1}) modes indicate the presence of
10 methylene groups of PEG chain. In addition, a shoulder at ~ 1220 cm^{-1} is observed for all
11 samples, which may be attributed to the ν (C-O) stretching mode in surface-bound PEG.

12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

The total metal content and the sum of transition metals for all five pristine SiNPs used in this work are shown in Table 1. The sum of all elements measured for each SiNP was below 1,000 ppm (1 mg/g), indicating that all SiNPs were more than 99.9% pure. However, there were some differences in total amount of trace elements present between the SiNPs. Surface modification did not appear to alter the amount of metals present in the SiNPs (30 nm surface-modified SiNPs were sampled; data not shown). The detailed elemental composition is indicated in Table S1. There were differences in amounts of specific elements between the various pristine SiNPs, as well, the SiNPs appeared to be enriched in aluminium and titanium (> 100 ppm), as well as boron, magnesium, calcium, chromium and zirconium (> 10 ppm; Table S1). Chemical analyses data on pristine SiNPs revealed differences in the levels of contaminant metals, implying perhaps differences in the batches of source materials used in the synthesis of these nanomaterials or the additives used in synthesis (Table S1). For instance, there were increased levels of alkaline earth metals, transition metals, and metalloids namely, barium, strontium, titanium, copper, zirconium, cerium, aluminum, lead and boron in the ≤ 50 nm sized SiNPs compared to the 75 and 100 nm variants. These metals are known to contribute to oxidative stress reactions and similarly to toxicity effects in biological systems (Bhattacharya et al., 2009; Jomova et al., 2011; Asadpour et al., 2014; Schwotzer et al., 2018; Cheraghi et al., 2017).

Surface density of the organic functional groups on surface-modified SiNP variants was determined using TGA and qNMR. Thermogravimetric weight losses under inert argon atmospheres for the pristine SiNPs are illustrated in Figure 4. The mass loss profiles were

1
2
3 relatively simple with initial loss of water molecules adsorbed on the surface, for the pristine
4 SiNPs at temperatures ~ 250 °C (Figure 4). There was a variable mass loss at higher temperature,
5 which based on literature precedent (Kunc et al., 2019), may be due to condensation of surface
6 hydroxyl groups. As well, potential contaminants introduced during the synthesis process and
7 possibly also loss of matrix components could contribute to the observed mass loss. TGA
8 profiles for the surface modified SiNPs showed loss of water at low temperature, followed by
9 mass loss between ~ 250 and 700 °C that is tentatively assigned to surface functional groups
10 (Figure 4). Moreover, the mass loss between 250 and 700 °C (i.e., eliminating the water
11 contribution), an assumed silica density of 2.2 g/cm³, and a surface area calculated from the
12 mean TEM diameter were used to derive an estimate of surface coverage. The surface coverage
13 estimates are presented in Table 2 and show that NH₂-modified SiNPs have markedly higher
14 surface density values relative to PEG-modified SiNPs. The C3-COOH and C11-COOH-
15 modified SiNPs featured intermediate levels of surface coverage (Table 2). Note that these
16 estimates ignore any mass loss due to matrix components or condensation of surface silanols in
17 the same temperature range as the loss of functional group.

18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Quantitative analysis of the functional group content was carried out using a previously reported method that relies on dissolution of silica NPs in base, followed by measurement of the ¹H NMR spectrum and quantification of the released functional group by comparison to an internal standard (Kunc et al., 2018). Representative spectra are shown in Figure 5 for the four functionalized 15 nm SiNPs. For C3-COOH and NH₂-modified SiNPs the three methylene signals (a, b, c) were well-separated from the impurity signals due to residual ethanol and all three were used for integration. For C11-COOH-modified SiNPs, peak “d” corresponds to the interior methylene groups of the undecyl chain and was not included in the integration, due to the interference from the trace ethanol signal. Peak “d” was also excluded from the integration for the polyethylene glycol (PEG)-modified silica NPs since the PEG chain length is not known. The functional group content measured by qNMR is provided in Table 2. Comparison of NMR and TGA data indicates that the two methods give similar results for PEG-functionalized SiNPs, with the exception of the 30 nm samples. This finding agrees well with the previous study in which it was observed that SiNPs functionalized with a large molecular weight group such as PEG revealed a similarity by NMR and TGA, due to the increased mass loss for a large functional


group in the TGA (Kunc et al, 2019). The agreement was poor for the other samples, with TGA giving estimates that are both lower and higher than the NMR values. Note that the structural identification of the functional groups by NMR significantly increases the confidence in this method for quantification of the functional group content. Across all particles assessed, the correlation between qNMR and TGA methods in quantification of functional groups on the surface of SiNPs revealed a significant association ($r = 0.607$, $p = 0.005$) between the two techniques. However, detailed analyses within each surface modification revealed a strong association between the two methods for PEG-modified SiNPs ($r = 0.960$, $p = 0.01$) only. While the higher temperature losses observed in the thermograms for the surface-modified SiNPs are attributable to functional group loss, absolute determination of functional group loss can still be confounded by mass loss due to other components in this temperature regimen, as observed for their pristine counterparts. This complication explains the lack of correlation between qNMR and TGA data for the majority of the individual surface-modified SiNPs (C3-COOH, C11-COOH, NH₂). Thus it is challenging to use TGA results as a measure of the organic functional group contents associated with surface modifications, unless a reliable correction method can be applied for the mass loss that corresponds to surface groups other than the intended surface modifications (Kunc et al. 2019).

Oxidative potential

There are reports on the application of the DTT oxidation assay to quantify the oxidative potential of ambient air pollution particles consisting of complex matrices containing transition metals and various organics that can participate in redox-cycling mechanisms (Kumagai et al. 2002; Chung et al. 2006; Wang et al. 2010). On the contrary, engineered nanomaterials are prepared for intended use and are relatively pure compared to air particles and the applicability of the DTT assay for oxidative potential screening requires exploration. Here, we applied the DTT assay to assess oxidative potential of synthesized SiNPs that do not contain redox-cycling species in its chemical composition. Nevertheless, our findings revealed that all reference particles (EHC-6802, TiO₂, SiO₂, and SiNP 12 nm) and SiNPs used in this study were able to oxidize DTT in aqueous media under the experimental conditions applied, at various time points (3-60 min; Figure S3). In addition, some variability in the 0-3 min time point was observed across the particles, indicating that the DTT oxidation reaction is rapid. Therefore, the reactivity

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Open Access Article. Published on 29 May 2020. Downloaded on 5/29/2020 3:20:50 AM.
This article is licensed under a Creative Commons Attribution 3.0 Unported Licence.



1
2
3 at 3 minutes was selected to represent the initial reaction of DTT and SiNPs, prior to the
4 quenching with DTNB. Here, DTNB reacts with the free “thiol” groups present in unreacted
5 DTT. Based on the time course, data for 20 min is reported subsequently, since the extent of the
6 DTT oxidation at this time point for all SiNPs, appeared to be the most stable across all particles
7 and suitable for further analysis. Time-related changes in the oxidation of DTT by the various
8 SiNPs exhibited an apparent decrease in the DTT oxidation at 60 min compared to that at 20 min
9 for most particles (Figure S3). The apparent decrease in the DTT oxidation at 60 min (longer
10 time period) may be attributed to a masking effect caused by alternate reactions of DTT that
11 result in reaction products with a free “thiol” group which in turn can react with DTNB (instead
12 of disulfide-containing product) and absorb at the wavelength measured for DTT-DTNB product.

The relative oxidative potential values of the amorphous SiNPs revealed significant-size related changes (2-way ANOVA: $p < 0.05$; Figure 6(a)) at 20 min (but not at 60 min of reaction time). Note that the lower the DTNB absorbance, the higher will be DTT oxidation to the disulfide product. All tested SiNPs indicated generally low redox reactivity in the DTT-based assay. The DTT oxidation results indicated that 15 and 30 nm size pristine SiNPs were relatively more reactive ($p < 0.05$) compared to the larger size pristine SiNP variants and that surface modifications did not show any deviations from this effect. The 15 nm SiNPs, regardless of modification type, were significantly more reactive in comparison to the 30 nm SiNPs (two-way ANOVA, *Size* main effect, $p = 0.002$; 15 nm versus 30 nm, $p < 0.001$). It was also interesting to note that both amorphous 12 nm SiNP, which is a reference material, and the test pristine 15 nm SiNP exhibited similar levels of oxidation of DTT. Among the reference particles, EHC-6802 had the highest activity in DTT depletion after a 20 min reaction, while TiO_2 had the least activity (Kruskal-Wallis test, $p = 0.006$; EHC-6802 versus TiO_2 , $p < 0.05$; Figure 6(a)). Low redox activity of TiO_2 suspension with the acellular assay DCFH-DA has also previously been reported (Limbach et al. 2007). The difference between EHC-6802 and the other reference particles used in this work may be related to the fact that EHC-6802 is an environmental particle comprised of a complex mixture of organics and metals, whereas the other reference particles (SiO_2 and TiO_2) have a much simpler composition.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

A modified protocol was tested to evaluate whether the presence of the free radical initiator TBHP in the presence of Fe^{2+} in test media could enhance the oxidative potential of these nanoparticles. This test was only conducted with the pristine and surface-modified 15 and 75 nm SiNPs and showed that the 15 nm pristine SiNP was relatively more oxidatively active compared to the 75 nm variant (Figure 6(b); two-way ANOVA, *Size* main effect, $p < 0.001$; 15 nm versus 75 nm, $p < 0.001$). Interestingly, this test also confirmed dominant size-related oxidative potential of these SiNPs.


Increasing evidence suggests that physicochemical properties of nanomaterials are important drivers in perturbation of biological functions (Breznan et al, 2017; Murugadoss et al, 2017; Napierska et al, 2019). Therefore, potential linkages between the physicochemical properties of the SiNPs (summarised in Table 1, 2) with their redox potential as measured by the DTT oxidation assay (presented in Figure 6(b)) were explored through a Pearson correlation analysis (Table 3). When only pristine SiNPs were included in the correlation analysis, associations with physicochemical properties were less clear (data not shown), perhaps due to small number of observations (sample size, n). The correlation analysis including all pristine and surface-modified SiNPs revealed significant positive association of the DTT oxidation activity of the SiNPs with their TEM surface area ($r = 0.490$, $p = 0.013$, Table 3). TEM surface area increased as the SiNP size decreased as illustrated in Table 1, and thus the positive association of TEM surface area with DTT oxidation activity suggests nanoparticles' size-related effect on oxidative behaviour. This is consistent with the ANOVA results (Figure 6), where smaller size SiNP particles (e.g. 15. nm) exhibited significant increase in oxidative potential. Similarly, correlation tests also indicated that agglomeration SA ratio ($r = 0.480$, $p = 0.015$) was positively associated with oxidative potential. Agglomeration SA ratio represents an approximation of the agglomerated SiNP surface area in buffer available for potential reactivity (see footnote in Table 1). Agglomeration SA ratio is also a size- and surface modification-dependent parameter (Table 1) thus suggesting impact of size and surface modification-related effects on the oxidative potential values of these SiNPs. In addition, a marginal association ($0.1 > p > 0.05$) was also observed between the DTT oxidation activity and the DLS/TEM ratio, which represents an estimate of the agglomeration state of the SiNPs ($r = 0.339$, $p = 0.098$). It was interesting to note that the DTT oxidative capacity did not correlate with metal contents suggesting that the trace

amounts of contaminant metals do not contribute significantly to the oxidative potential of these nanomaterials. Interestingly, correlation tests on DTT oxidation activity *versus* functional group content measured by NMR (Table 2) within the different surface-modified SiNP groups revealed a significant negative association for C11-COOH-modified silica nanoparticles only (DTT oxidation *versus* fraction of monolayer coverage; $r = -0.879$, $p \leq 0.05$) and marginal associations ($0.1 > p > 0.05$) for DTT oxidation and the remaining functional group-related measures (Table 3), suggesting the effect of surface modification. These associations may be attributed to the longer alkyl chain length of this C11-COOH-SiNP, which facilitates internal stable hydrogen bonding between the -COOH group and the “O” bonded to “Si”. This could potentially impede the hydrogen-abstraction from the -SH group in DTT and thus negatively affect the DTT oxidation. This is supported by the relatively increased hydrogen bonding in the C11-COOH-SiNP revealed by the -OH stretching vibration (e.g. Figure 3; $\sim 3,500 \text{ cm}^{-1}$) compared to the other SiNPs. The observed correlations indicate that physicochemical parameters such as size, surface area, surface modifications and agglomeration behaviour of these SiNPs may be key determinants of their DTT oxidative potential.

Our observations are in line with the previous reports on amorphous silica nanomaterial exposure-related oxidative stress and physicochemical determinants of their reactivity in cells (Park & Park, 2009; Nabeshi et al., 2011; Ahamed, 2013; Nemmar et al. 2014). SiNPs have been shown to participate in ROS formation in biological systems. For instance, SiNPs (1-100 nm) are known to exhibit toxicity *in vitro* (immortalized mammalian cell lines), with associated formation of ROS and subsequent oxidative stress (Napierska et al., 2010) and toxicity of SiNPs were shown to be associated with their physicochemical properties such as size. Also, Duan et al (2013) reported on the formation of ROS in human umbilical vein endothelial cells (HUVEC) exposed to pristine SiNPs (62 nm), as well as the induction of apoptosis and cytotoxicity. Athinarayanan et al (2014) exposed human lung fibroblasts (WI38 cell line) to SiNPs (10-20 nm) and showed ROS production and cytotoxicity in these cells. We have also previously (Breznan et al, 2017) shown that exposures of A549 cells to amorphous SiNP 12 nm from another source (obtained commercially) can induce release of ROS, changes in lipid metabolism and changes in cytosolic Ca^{2+} as suggested by pathway analysis. These SiNPs were highly cytotoxic across multiple cell lines.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Open Access Article. Published on 28 May 2020. Downloaded on 5/29/2020 3:20:50 AM.
This article is licensed under a Creative Commons Attribution 3.0 Unported Licence.



1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

These findings suggest that the DTT oxidation results could provide insight into the reactivity of amorphous SiNPs at a cellular level. Thus, this assay can serve as a first-tier bioanalytical tool to scan for potential biological reactivity.

Conclusion

Smaller sized amorphous pristine SiNPs (15 nm) exhibit greater potential to oxidize DTT, as well as to agglomerate compared to relatively larger-sized SiNPs. Also, physicochemical properties including TEM-derived surface area, impacted by size of SiNPs and agglomeration SA ratio, impacted by SiNP size and surface modification were positively correlated with the oxidative potential of these amorphous SiNP variants, while surface coverage of organics was negatively correlated with the DTT oxidation only for the C11-COOH-modified SiNPs, also exhibiting the effect of surface modification. This study reveals the capacity of the DTT assay to serve as an initial acellular screening tool to predict SiNP reactivities, and future work on these SiNPs in biological systems can unravel the relationship between their oxidative potential and related cellular toxicity characteristics.

Conflicts of Interest

There are no conflicts of interest to declare.

Acknowledgements

Chemicals Management Plan (CMP) and Nanotechnology Section Nano CRA (NSACB) funding from Health Canada. The panel of the amorphous SiNPs was synthesized by Applied Quantum Materials Inc. in Edmonton, AB, Canada. We are grateful to Dr. Nimal DeSilva at the Department of Earth and Environmental Sciences, University of Ottawa for conducting the ICP-MS/AES analysis. We are grateful to Drs. Dharani Das and Yong-Lai Feng at Health Canada, for critical reviews of the manuscript. We thank Bing Wang, Health Canada and Daniel Hong (CO-OP student, University of Waterloo) for DLS technical assistance, Monica Sourial (Capstone course field placement student, Carleton University) for her assistance with the DTT assay and Floyd Toll, NRC for assistance with BET measurements.

References

Ahamed M. Silica nanoparticles-induced cytotoxicity, oxidative stress and apoptosis in cultured A431 and A549 cells. *Hum Exp Toxicol*. 2013 Feb;32(2):186-95.

Asadpour E, Sadeghnia HR, Ghorbani A, Boroushaki MT. Effect of zirconium dioxide nanoparticles on glutathione peroxidase enzyme in PC12 and n2a cell lines. *Iran J Pharm Res*. 2014;13(4):1141-8.

Athinarayanan J, Periasamy VS, Alsaif MA, Al-Warthan AA, Alshatwi AA. Presence of nanosilica (E551) in commercial food products: TNF-mediated oxidative stress and altered cell cycle progression in human lung fibroblast cells. *Cell Biol Toxicol*. 2014 Apr;30(2):89-100.

Ayres JG, Borm P, Cassee FR, Castranova V, Donaldson K, Ghio A, Harrison RM, Hider R, Kelly F, Kooter IM, Marano F, Maynard RL, Mudway I, Nel A, Sioutas C, Smith S, Baeza-Squiban A, Cho A, Duggan S, Froines J. Evaluating the toxicity of airborne particulate matter and nanoparticles by measuring oxidative stress potential--a workshop report and consensus statement. *Inhal Toxicol*. 2008 Jan;20(1):75-99.

Benezra M, Penate-Medina O, Zanzonico PB, Schaer D, Ow H, Burns A, DeStanchina E, Longo V, Herz E, Iyer S, Wolchok J, Larson SM, Wiesner U, Bradbury MS. Multimodal silica nanoparticles are effective cancer-targeted probes in a model of human melanoma. *J Clin Invest*. 2011 Jul;121(7):2768-80.

Bitar A., Ahmad N.M., Fessi H., Elaissari A. Silica-based nanoparticles for biomedical applications. *Drug Discov Today*. 2012;17:1147–1154.

Bhattacharya K, Davoren M, Boertz J, Schins RP, Hoffmann E, Dopp E. Titanium dioxide nanoparticles induce oxidative stress and DNA-adduct formation but not DNA-breakage in human lung cells. *Part Fibre Toxicol*. 2009;6:17.

Bonvallot V, Baeza-Squiban A, Baulig A, Brulant S, Boland S., Muzeau, F., Barouki, R., and Marano, F.: Organic compounds from diesel exhaust particles elicit a proinflammatory response in human airway epithelial cells and induce cytochrome p450 1A1 expression, *Am. J. Resp. Cell Mol*. 2001; 25: 515–521.

Borm PJ, Kelly F, Künzli N, Schins RP, Donaldson K. Oxidant generation by particulate matter: from biologically effective dose to a promising, novel metric. *Occup Environ Med*. 2007;64(2):73-4.

Breznan D, Das DD, O'Brien JS, MacKinnon-Roy C, Nimesh S, Vuong NQ, Bernatchez S, DeSilva N, Hill M, Kumarathasan P, Vincent R. Differential cytotoxic and inflammatory potency of amorphous silicon dioxide nanoparticles of similar size in multiple cell lines. *Nanotoxicology*. 2017 Mar;11(2):223-235.

1
2
3 Brinch A, Hansen SF, Hartmann NB, Baun A. EU Regulation of Nanobiocides: Challenges in
4 Implementing the Biocidal Product Regulation (BPR). *Nanomaterials* (Basel). 2016 Feb 16;6(2).
5 pii: E33.

6
7
8 Chen J, Guo Z, Tian H, Chen X. Production and clinical development of nanoparticles for gene
9 delivery. *Mol Ther Methods Clin Dev*. 2016;3:1602.

10
11 Chen L, Liu J, Zhang Y, Zhang G, Kang Y, Chen A, Feng X, Shao L. The toxicity of silica
12 nanoparticles to the immune system. *Nanomedicine* (Lond). 2018 Aug 1;13(15):1939-1962.

13
14 Cheraghi E, Golkar A, Roshanaei K, Alani B. Aluminium-Induced Oxidative Stress, Apoptosis
15 and Alterations in Testicular Tissue and Sperm Quality in Wistar Rats: Ameliorative Effects of
16 Curcumin. *Int J Fertil Steril*. 2017;11(3):166-175.

17
18 Cho AK, Sioutas C, Miguel AH, Kumagai Y, Schmitz DA, Singh M, Eiguren-Fernandez A,
19 Froines JR. Redox activity of airborne particulate matter at different sites in the Los Angeles
20 Basin. *Environ Res*. 2005 Sep;99(1):40-7.

21
22 Chung, M. Y., Lazaro, R. A., Lim, D., Jackson, J., Lyon, J., Rendulic, D., and Hasson, A. S.:
23 Aerosol-borne quinones and reactive oxygen species generation by particulate matter extracts,
24 *Env. Sci. Technol*. 2006; 40: 4880–4886.

25
26 Das DD, Yang Y, O'Brien JS, Breznan D, Nimesh S, Bernatchez S, et al. 2014. Synthesis and
27 physicochemical characterization of mesoporous SiO₂ nanoparticles. *J Nanomater* 12:e176015.

28
29 Du Z, Chen S, Cui G, Yang Y, Zhang E, Wang Q, Lavin MF, Yeo AJ, Bo C, Zhang Y, Li C, Liu
30 X, Yang X, Peng C, Shao H. Silica nanoparticles induce cardiomyocyte apoptosis via the
31 mitochondrial pathway in rats following intratracheal instillation. *Int J Mol Med*. 2019
32 Mar;43(3):1229-1240.

33
34 Duan J, Yu Y, Li Y, Yu Y, Sun Z. Cardiovascular toxicity evaluation of silica nanoparticles in
35 endothelial cells and zebrafish model. *Biomaterials*. 2013 Jul;34(23):5853-62.

36
37 Fang, T., Verma, V., Guo, H., King, L. E., Edgerton, E. S., & Weber, R. J. (2015). A semi-
38 automated system for quantifying the oxidative potential of ambient particles in aqueous extracts
39 using the dithiothreitol (DTT) assay: Results from the southeastern center for air pollution and
40 epidemiology (SCAPE). *Atmospheric Measurement Techniques*, 8(1), 471-482.

41
42 Hirsch LR, Stafford RJ, Bankson JA, Sershen SR, Rivera B, Price RE, Hazle JD, Halas NJ, West
43 JL. Nanoshell-mediated near-infrared thermal therapy of tumors under magnetic resonance
44 guidance. *Proc Natl Acad Sci USA*. 2003, 100:13549-13554.

45
46
47 Janssen NAH, Yang A, Strak M, Steenhof M, Hellack B, Gerlofs-Nijland M E, Kuhlbusch T,
48 Kelly F, Harrison R, Brunekreef B, Hoek G, Cassee F. Oxidative potential of particulate matter
49 collected at sites with different source characteristics. *Sci Total Environ*. 2014; 472: 572-581.

1
2
3 Jomova K, Valko M, Advances in metal-induced oxidative stress and human disease, oxicology,
4 2011; 283(2-3): 65-87.

5
6 Kasaai M.R. Nanosized particles of silica and its derivatives for applications in various branches
7 of food and nutrition sectors. Journal of Nanotechnology, vol. 2015, Article ID 852394, 6 pages,
8 2015.

9
10 Khot LR, Sankaran S, Maja JM, Ehsani R, Schuster EW. Applications of nanomaterials in
11 agricultural production and crop protection: a review. Crop Prot. 2012; 35:64–70.

12
13 Kim MK, Jo WK. Elemental composition and source characterization of airborne PM(10) at
14 residences with relative proximities to metal-industrial complex. Int Arch Occup Environ Health.
15 2006 Oct;80(1):40-50.

16
17 Kim JY, Park JH, Kim M, Jeong H, Hong J, Chuck RS, Park CY. Safety of Nonporous Silica
18 Nanoparticles in Human Corneal Endothelial Cells. Sci Rep. 2017 Nov 6;7(1):14566.

19
20 Kubátová A, Dronen, L C, Picklo, M. J, and Hawthorne S B. Midpolarity and nonpolar wood
21 smoke particulate matter fractions deplete glutathione in RAW 264.7 macrophages, Chem.
22 Res. Toxicol. 2006; 19:255–261.

23
24 Kumagai, Y., Koide, S., Taguchi, K., Endo, A., Nakai, Y., Yoshikawa, T., and Shimojo, N.
25 Oxidation of proximal protein sulfhydryls by phenanthraquinone, a component of diesel exhaust
26 particles. Chem. Res. Tox. 2002; 15:483–489.

27
28 Kunc F, Balhara V, Brinkmann A, Sun Y, Leek DM, Johnston LJ. Quantification and Stability
29 Determination of Surface Amine Groups on Silica Nanoparticles Using Solution NMR. Anal
30 Chem. 2018 Nov 20;90(22):13322-13330.

31
32 Kunc F, Balhara V, Sun Y, Daroszewska M, Jakubek ZJ, Hill M, Brinkmann A, Johnston LJ.
33 Quantification of surface functional groups on silica nanoparticles: comparison of
34 thermogravimetric analysis and quantitative NMR. Analyst. 2019 Sep 21;144(18):5589-5599.

35
36 Lee K, Lee J, Kwak M, Cho YL, Hwang B, Cho MJ, Lee NG, Park J, Lee SH, Park JG, Kim YG,
37 Kim JS, Han TS, Cho HS, Park YJ, Lee SJ, Lee HG, Kim WK, Jeung IC, Song NW, Bae KH,
38 Min JK. Two distinct cellular pathways leading to endothelial cell cytotoxicity by silica
39 nanoparticle size. J Nanobiotechnology. 2019 Feb 5;17(1):24.

40
41 Limbach LK, Wick P, Manser P, Grass RN, Bruinink A, Stark WJ. Exposure of engineered
42 nanoparticles to human lung epithelial cells: influence of chemical composition and catalytic
43 activity on oxidative stress. Environ Sci Technol. 2007 Jun 1;41(11):4158-63.

44
45 Lodovici M, Bigagli E. Oxidative stress and air pollution exposure. J Toxicol.
46 2011;2011:487074.

1
2
3 Mudway IS, Fuller G, Green D, Dunster C, and Kelly FJ.: Report: Quantifying the London
4 specific component of PM10 oxidative activity, University of London, Defra, UK, 2011.

5
6 Mudway IS, Duggan ST, Venkataraman C, Habib G, Kelly FJ, Grigg J. Combustion of dried
7 animal dung as biofuel results in the generation of highly redox active fine particulates. Part
8 Fibre Toxicol. 2005 Oct 4;2:6.

9
10 Murugadoss S, Lison D, Godderis L, Van Den Brule S, Mast J, Brassinne F, Sebaihi N, Hoet PH.
11 Toxicology of silica nanoparticles: an update. Arch Toxicol. 2017 Sep;91(9):2967-3010.

12
13 Nabeshi H, Yoshikawa T, Matsuyama K, Nakazato Y, Tochigi S, Kondoh S, Hirai T, Akase T,
14 Nagano K, Abe Y, Yoshioka Y, Kamada H, Itoh N, Tsunoda S, Tsutsumi Y. Amorphous
15 nanosilica induce endocytosis-dependent ROS generation and DNA damage in human
16 keratinocytes. Part Fibre Toxicol. 2011 Jan 15;8:1.

17
18 Napierska D, Thomassen LC, Lison D, Martens JA, Hoet PH. The nanosilica hazard: another
19 variable entity. Part Fibre Toxicol. 2010;7(1):39.

20
21 Nemmar A, Beegam S, Yuvaraju P, Yasin J, Shahin A, Ali B, H. Interaction of Amorphous
22 Silica Nanoparticles with Erythrocytes *in Vitro*: Role of Oxidative Stress. Cell Physiol Biochem
23 2014 34:255-265.

24
25 O'Brien PJ. Molecular mechanisms of quinone cytotoxicity. Chem Biol Interact. 1991;80(1):1-
26 41.

27
28 Park EJ, Park K. Oxidative stress and pro-inflammatory responses induced by silica
29 nanoparticles *in vivo* and *in vitro*. Toxicol Lett. 2009 Jan 10;184(1):18-25.

30
31 Powers KW, Brown SC, Krishna VB, Wasdo SC, Moudgil BM, Roberts SM. Research strategies
32 for safety evaluation of nanomaterials. Part VI. Characterization of nanoscale particles for
33 toxicological evaluation. Toxicol Sci. 2006, 90:296-303.

34
35 Schwotzer D, Niehof M, Schaudien D, Kock H, Hansen T, Dasenbrock C, Creutzenberg O.
36 Cerium oxide and barium sulfate nanoparticle inhalation affects gene expression in alveolar
37 epithelial cells type II. J Nanobiotechnology. 2018 Feb 20;16(1):16.

38
39 Shi T, Schins RPF, Knaapen AM, Kuhlbusch T, Pitz M, Heinrich J, Borm PJA. Hydroxyl
40 radical generation by electron paramagnetic resonance as a new method to monitor
41 ambient particulate matter composition. J. Environ. Monit. 2003; 5: 550–6.

42
43 Squadrito GL, Cueto R, Dellinger B, Pryor WA. Quinoid redox cycling as a mechanism for
44 sustained free radical generation by inhaled airborne particulate matter. Free Radic Biol Med.
45 2001 Nov 1;31(9):1132-8.

46
47 Tang L, Cheng J. Nonporous silica nanoparticles for nanomedicine application. Nano
48 Today. 2013;8:290–312.

1
2
3 Terzano C, Di Stefano F, Conti V, Graziani E, Petroianni A. Air pollution ultrafine particles:
4 toxicity beyond the lung. *Eur Rev Med Pharmacol Sci*. 2010 Oct;14(10):809-21.

5 Thomson EM, Williams A, Yauk CL, Vincent R. Toxicogenomic analysis of susceptibility to
6 inhaled urban particulate matter in mice with chronic lung inflammation. *Part Fibre Toxicol*.
7 2009;6:6.
8

9
10 Valavanidis A, Vlachogianni T, Fiotakis K, Loridas S. Pulmonary oxidative stress, inflammation
11 and cancer: respirable particulate matter, fibrous dusts and ozone as major causes of lung
12 carcinogenesis through reactive oxygen species mechanisms. *Int J Environ Res Public Health*.
13 2013 Aug 27;10(9):3886-907.
14

15 Vijayanathan V, Thomas T, Thomas TJ: DNA nanoparticles and development of DNA delivery
16 vehicles for gene therapy. *Biochemistry*. 2002, 41:14085-14094.
17

18 Wang F, Gao F, Lan M, Yuan H, Huang Y, Liu J. Oxidative stress contributes to silica
19 nanoparticle-induced cytotoxicity in human embryonic kidney cells. *Toxicol Vitro*. 2009;23:808–
20 815.
21

22 Wang Y, Arellanes C, Curtis DB, and Paulson S E. Probing the source of hydrogen peroxide
23 associated with coarse mode aerosol particles in Southern California, *Env Sci Technol*. 2010; 44:
24 4070–4075.
25

26 WHO. 2013. Nanotechnology and human health: Scientific evidence and risk governance.
27 Report of the WHO expert meeting 10–11 December 2012, Bonn, Germany. Copenhagen, WHO
28 Regional Office for Europe.
29

30 Xia T, Kovochich M, Brant J, Hotze M, Sempf, J, Oberley T, Sioutas, C, Yeh JI, Wiesner MR,
31 and Nel A E. Comparison of the abilities of ambient and manufactured nanoparticles to induce
32 cellular toxicity according to an oxidative stress paradigm, *Nano Lett*. 2006; 6:1794–1807.
33

34 Xiong Q, Yu H, Wang R, Wei J, Verma V. Rethinking dithiothreitol-based particulate matter
35 oxidative potential: measuring dithiothreitol consumption versus reactive oxygen species
36 generation. *Environ Sci Technol*. 2017. 6;51(11):6507-6514.
37

38 Ye Y, Liu J, Chen M, Sun L, Lan M. *In vitro* toxicity of silica nanoparticles in myocardial
39 cells. *Environ Toxicol Pharmacol*. 2010;29:131–137.
40

41 Zhang H, Dunphy DR, Jiang X, Meng H, Sun B, Tarn D, Xue M, Wang X, Lin S, Ji Z, Li R,
42 Garcia FL, Yang J, Kirk ML, Xia T, Zink JI, Nel A, Brinker CJ. Processing pathway dependence
43 of amorphous silica nanoparticle toxicity: colloidal vs pyrolytic. *J Am Chem Soc*. 2012 Sep
44 26;134(38):15790-804.
45

46 Zomer B, Collé L, Jedyńska A, Pasterkamp G, Kooter I, Bloemen H. Chemiluminescent
47 reductive acridinium triggering (CRAT)--mechanism and applications. *Anal Bioanal Chem*.
48 2011 Nov;401(9):2945-54.
49
50
51
52
53
54
55
56
57
58
59
60

Figure 2: TEM images for pristine SiNPs of different sizes. (a) 15 nm, (b) 30 nm, (c) 50 nm, (d) 75 nm and (e) 100 nm pristine SiNPs

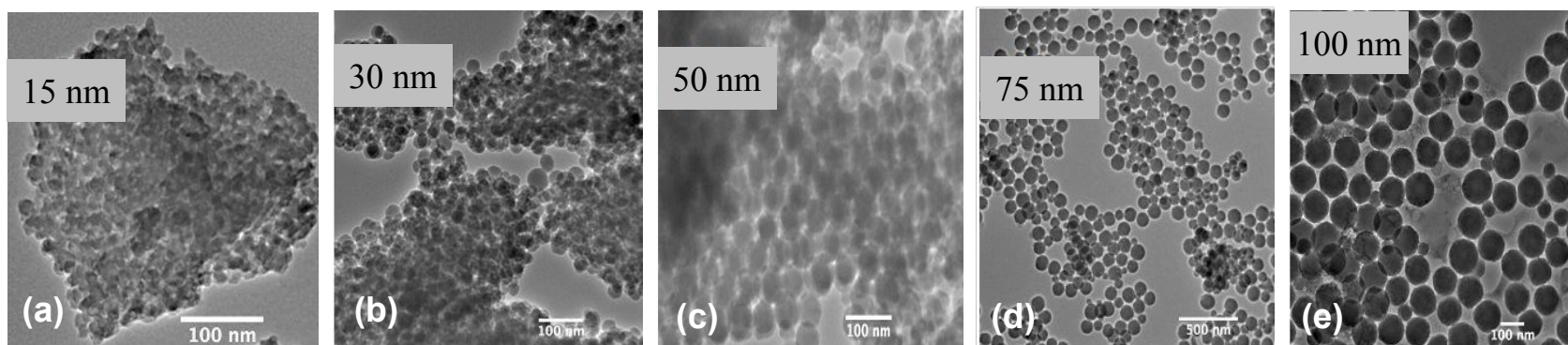


Figure 3: Functional Group (FT-IR Spectra) Analysis for 15 nm-sized SiNPs; (a) Pristine, (b) C3-COOH, (c) C11-COOH, (d) NH₂, (e) PEG-modified SiNPs

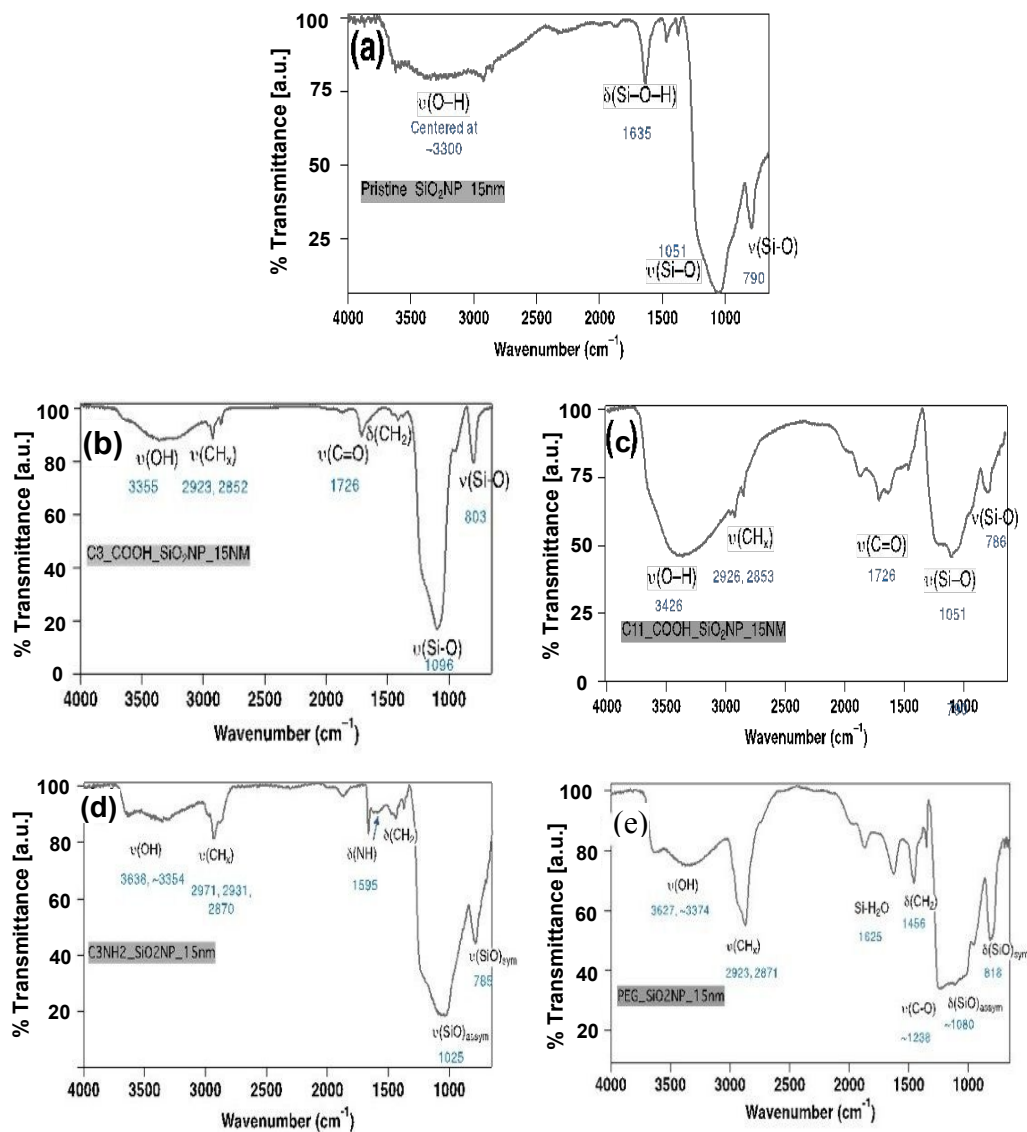


Figure 4: TGA analysis of 15 nm-sized SiNPs; (a) Pristine, (b) C3-COOH, (c) C11-COOH, (d) NH₂, (e) PEG-modified SiNPs

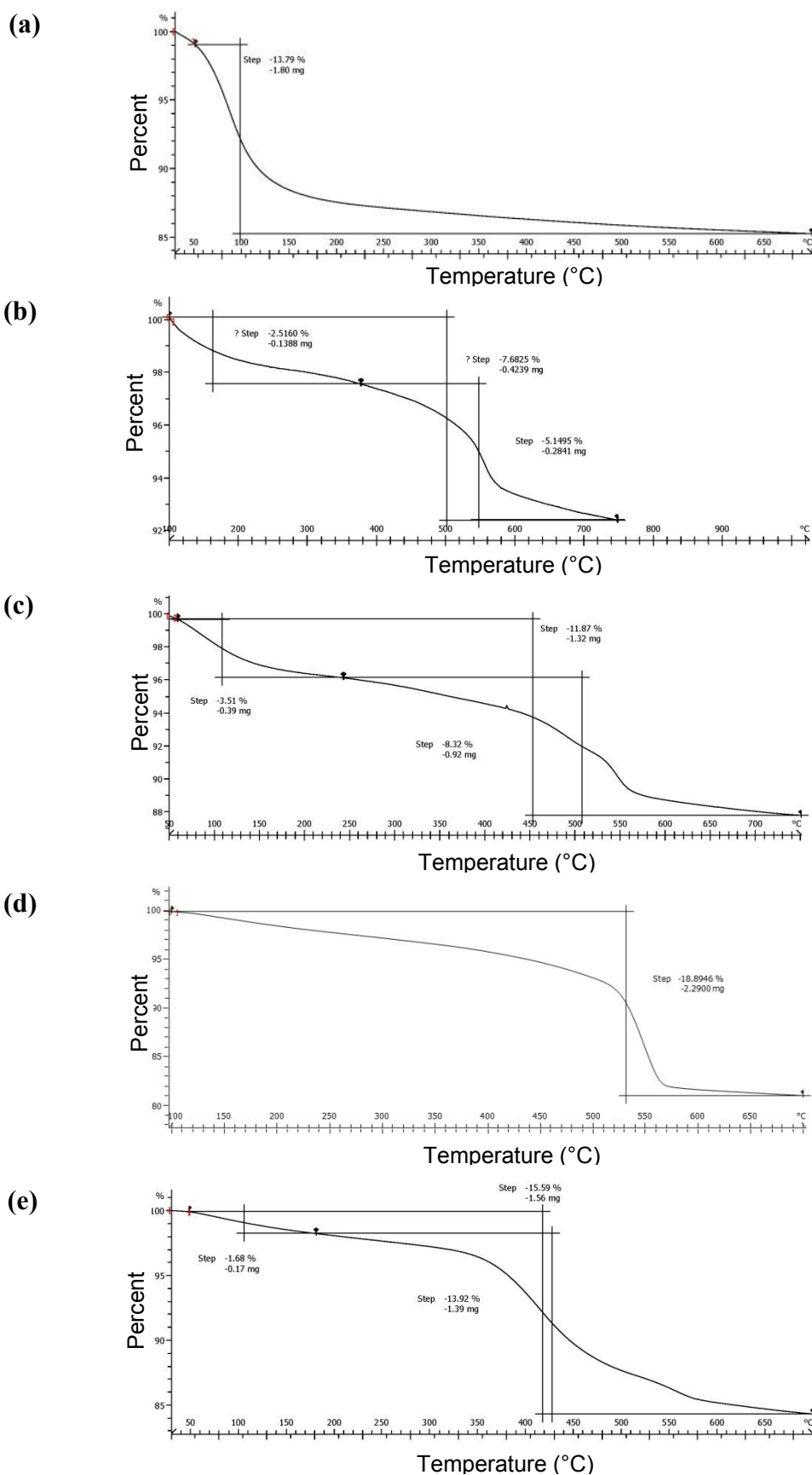


Figure 5: Determination of functional group content of SiNPs by dissolution qNMR. The H₂O signal at 4.7 ppm has been removed and the spectra are expanded for display purposes. The letters indicate the carbon atom in the functional group structure corresponding to the peak in the NMR spectrum. The star indicates the presence of an EtOH peak. a) 15 nm C11-COOH; b) 15 nm C3-COOH; c) 15 nm NH₂; d) 15 nm PEG

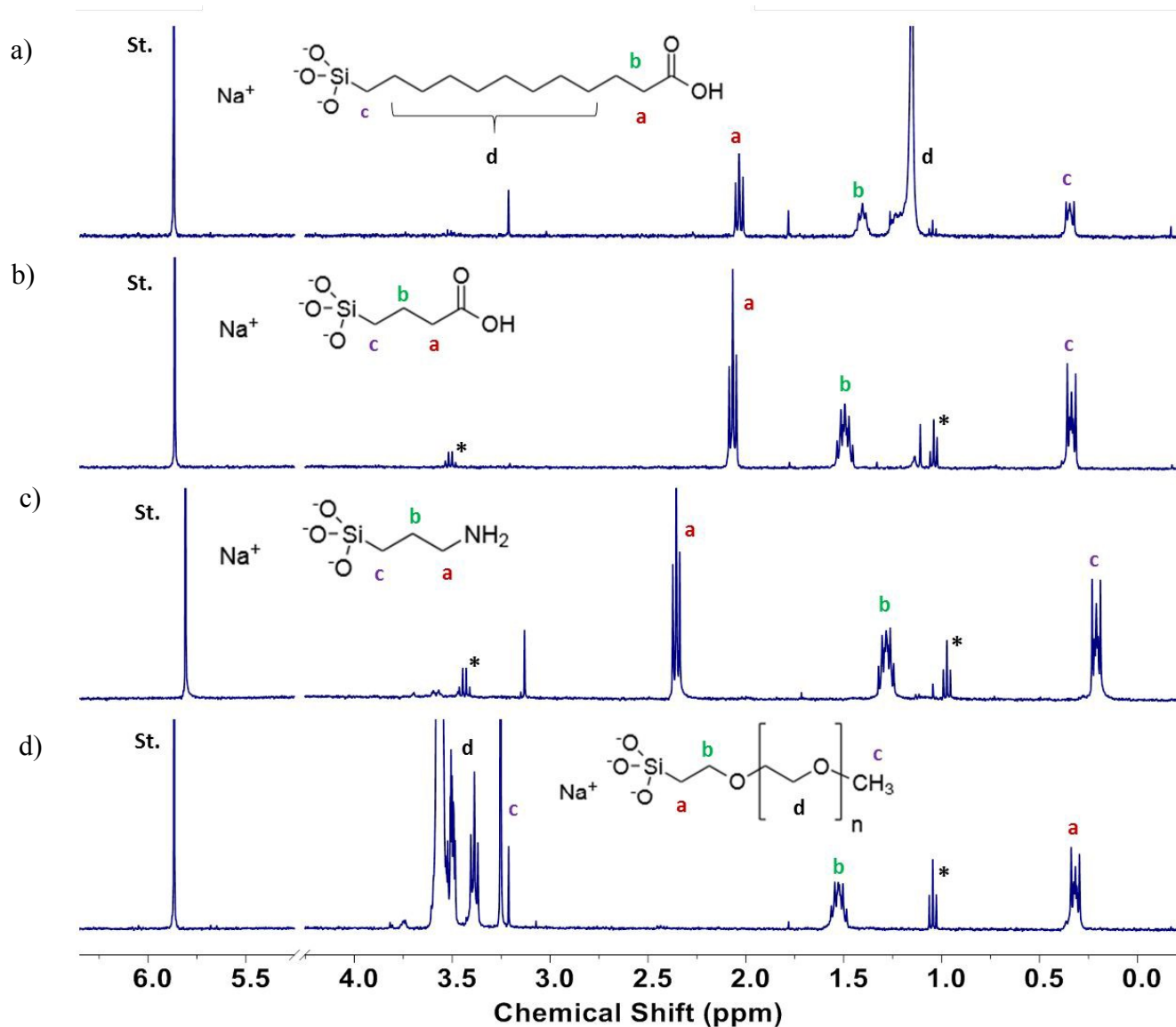


Figure 6: Intrinsic oxidative capacity of SiNPs and reference particles based on DTT depletion (a) at selected 20 min time point, and (b) The oxidation of DTT \pm 100 μ M ter-Butyl peroxide and 20 μ M Fe²⁺ by 15 and 75 nm SiNPs after 20 min co-incubation. The increased DTT substrate depletion is indicated by lower values of the DTNB absorbance (below 1.0)

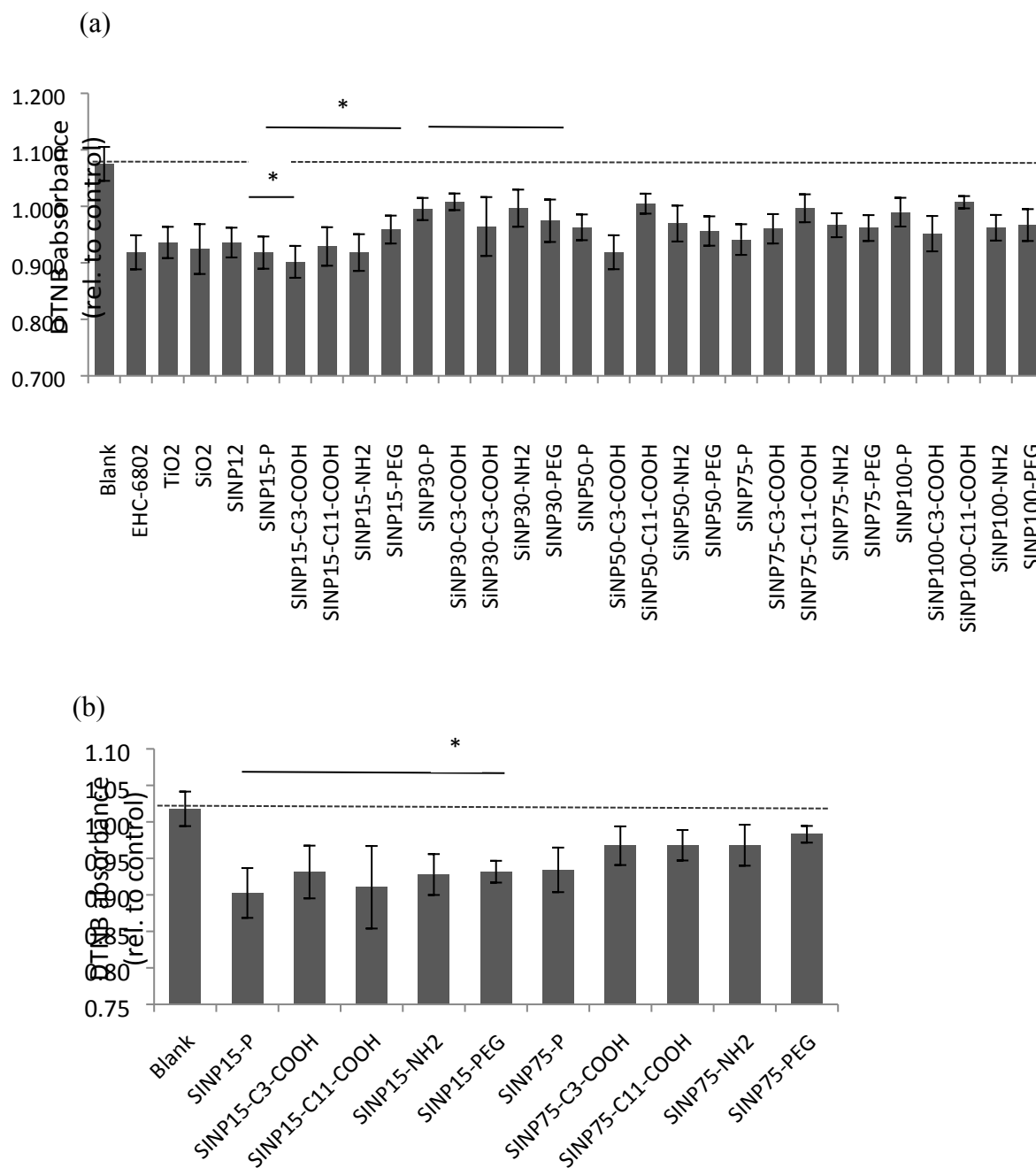


Table 1: Physicochemical properties of the SiNPs

SiNP ID	TEM Diameter (nm)	DLS Diameter (nm)	PDI (DLS)	TEM SA (m ² /g)	BET SSA (m ² /g)	Agglom (DLS/TEM) Ratio	Agglom SA Ratio	Zeta Potential (mV)	Total Metals (ppm)	Transition Metals (ppm)
15-P	17.2 ± 3.5	657.4 ± 172.4	0.545	156.6	29.6	38.2	5982.1	-15.9 ± 1.2	848.24 ± 48.17	785.15
30-P	28.8 ± 3.5	1128.0 ± 423.8	0.720	94.5	97.2	39.2	3704.4	-13.4 ± 2.8	615.55 ± 8.44	541.30
50-P	47.9 ± 3.2	835.2 ± 108.9	0.684	56.9	75.2	17.4	990.1	-12.0 ± 2.1	902.74 ± 19.11	828.03
75-P	78.2 ± 5.5	260.1 ± 30.2	0.292	34.5	17.0	3.3	113.9	-16.6 ± 2.3	476.36 ± 4.55	419.10
100-P	101.1 ± 5.2	274.6 ± 38.1	0.329	26.9	33.0	2.7	72.6	-18.6 ± 0.8	401.62 ± 10.96	359.94
15-C3	17.2 ± 3.5*	530.6 ± 49.4	0.507	156.6*	162.0	30.9	4838.9	-17.8 ± 0.7	-	-
30-C3	28.8 ± 3.5*	499.2 ± 73.9	0.477	94.5*	117.0	17.3	1634.9	-24.7 ± 1.3	-	-
50-C3	47.9 ± 3.2*	473.2 ± 69.2	0.497	56.9*	67.2	9.9	563.3	-27.8 ± 1.7	-	-
75-C3	78.2 ± 5.5*	215.1 ± 15.5	0.382	34.5*	39.0	2.8	96.6	-32.2 ± 2.3	-	-
100-C3	101.1 ± 5.2*	144.7 ± 3.2	0.198	26.9*	37.8	1.4	37.7	-29.9 ± 2.5	-	-
15-C11	17.2 ± 3.5*	445.3 ± 82.0	0.444	156.6*	20.1	25.9	4055.9	-21.4 ± 4.5	-	-
30-C11	28.8 ± 3.5*	593.7 ± 240.0	0.629	94.5*	78.9	20.6	1946.7	-34.8 ± 2.8	-	-
50-C11	47.9 ± 3.2*	875.9 ± 195.7	0.791	56.9*	51.5	18.3	1041.3	-21.7 ± 1.6	-	-
75-C11	78.2 ± 5.5*	188.0 ± 5.9	0.359	34.5*	30.6	2.4	82.8	-35.8 ± 2.4	-	-
100-C11	101.1 ± 5.2*	161.2 ± 2.9	0.232	26.9*	34.0	1.6	43.0	-32.0 ± 2.9	-	-
15-NH ₂	13.7 ± 1.4	822.4 ± 177.5	0.723	164.3	9.2	60.0	9858.0	-6.7 ± 1.7	-	-
30-NH ₂	30.4 ± 2.7	786.1 ± 288.2	0.618	74.6	11.0	25.9	1932.1	-14.9 ± 2.6	-	-
50-NH ₂	51.3 ± 3.7	437.3 ± 62.2	0.487	43.7	19.7	8.5	371.5	-8.5 ± 3.3	-	-
75-NH ₂	78.0 ± 5.4	1158.1 ± 146.7	0.768	28.8	38.2	14.9	429.1	-15.9 ± 0.7	-	-
100-NH ₂	101.1 ± 6.0	396.7 ± 50.2	0.471	22.4	35.6	3.9	87.4	-17.5 ± 3.9	-	-
15-PEG	13.7 ± 1.4	528.3 ± 54.2	0.471	164.3	19.6	38.6	6342.0	-2.1 ± 0.5	-	-
30-PEG	34.0 ± 3.4	624.5 ± 132.4	0.575	66.1	13.2	18.4	1216.2	-6.0 ± 5.8	-	-
50-PEG	52.6 ± 5.8	345.0 ± 45.0	0.466	42.8	35.2	6.6	282.5	-3.7 ± 2.4	-	-
75-PEG	76.5 ± 5.3	282.8 ± 54.7	0.331	28.8	30.3	3.7	106.6	-0.5 ± 0.3	-	-
100-PEG	104.6 ± 6.0	178.7 ± 3.2	0.209	21.3	22.5	1.7	36.2	-1.7 ± 2.8	-	-

Note: TEM mean diameter was obtained using Transmission Electron Microscopy; DLS hydrodynamic diameter (Z-average) and Polydispersity Index (PDI) and Zeta Potential measurements were determined for SiNPs in 0.5 M K₃PO₄ buffer (pH 7.4); TEM Surface Area (SA) was derived from the mean TEM diameter; BET Specific Surface Area was determined using the Brunauer–Emmett–Teller method; DLS/TEM ratio is a surrogate estimate of the agglomeration state of the SiNPs in the buffer; Agglomeration SA ratio (TEM SA x (DLS/TEM ratio)) is an estimate for surface area of the SiNP agglomerates in buffer available for their potential activity; Total metal content of the SiNPs was measured using the ICP-MS/AES analysis; Transition Metals represent the sum of transition elements in the SiNPs; Dash line (-) indicates data not available. TEM diameter and TEM-derived surface area for C3- and C11-COOH-modified SiNPs indicated by a star (*) were not separately determined and were assumed to be equivalent to their pristine counterparts from which the modified materials were formed.

Table 2: Functional group content of the modified SiNPs

SiNP ID	Mass Loss from TGA (wt %)	TGA ($\mu\text{mol/g}$)	Surface Density TGA ($\mu\text{mol/m}^2$)	qNMR ($\mu\text{mol/g}$)	Fraction of monolayer coverage (qNMR)
15-C3	5.15	351	3.6	522 \pm 55	1.5
30-C3	4.04	267	7.0	401 \pm 8	1.9
50-C3	11.12	679	15.1	370 \pm 6	2.9
75-C3	3.52	239	12.8	124 \pm 3	1.6
100-C3	1.72	113	9.0	139 \pm 7	2.3
15-C11	8.32	325	2.1	196 \pm 13	0.6
30-C11	9.76	378	4.0	375 \pm 1	1.8
50-C11	13.72	532	9.4	221 \pm 11	1.8
75-C11	6.55	256	7.3	129 \pm 5	1.7
100-C11	3.57	140	5.2	129 \pm 1	2.2
15-NH ₂	18.9	1232	7.5	757 \pm 137	1.7
30-NH ₂	9.98	619	8.3	1360 \pm 110	6.8
50-NH ₂	9.07	620	14.2	1310 \pm 10	12.1
75-NH ₂	9.97	667	21.8	269 \pm 6	3.6
100-NH ₂	5.12	320	14.3	458 \pm 83	7.7
15-PEG	13.92	180	1.1	196 \pm 5	0.4
30-PEG	12.66	171	2.6	235 \pm 14	1.3
50-PEG	7.42	107	2.5	121 \pm 4	1.1
75-PEG	7.55	103	3.6	106 \pm 3	1.4
100-PEG	4.78	64	3.0	70 \pm 2	1.2

Note: The TGA mass loss between ~250 and 700 °C was assumed to be due to only the organic functional group and was used to calculate the functional group content ($\mu\text{mol/g}$) and surface density. qNMR was also used to estimate the functional group content ($\mu\text{mol/g}$) and to calculate the corresponding fraction of monolayer coverage, assuming that a full monolayer corresponds to 1.3 molecules/nm² of the SiNP surface.

Table 3: Correlation of the DTT oxidation activity of SiNPs with their physicochemical characteristics

Acellular DTT activity versus	Correlation Coefficient (r)	P-value
TEM SA (m ² /g)*	0.490	0.013
Agglomeration (DLS/TEM) Ratio*	<i>0.339</i>	<i>0.098</i>
Agglomeration SA ratio*	0.480	0.015
Surface Density (μmol/m ²)#	<i>-0.828</i>	<i>0.083</i>
Molecules per nm ² #	<i>-0.867</i>	<i>0.057</i>
Fraction of monolayer coverage (1.3 molecules/nm ²)#	-0.879	0.050

Note: All of the physicochemical variables were correlated with 1-DTT activity values. *Correlations including all SiNPs; #Correlations including C11-COOH-modified SiNPs only; Statistically significant correlations ($p < 0.05$) are shown in bold; marginally significant correlations ($0.1 > p > 0.05$) are italicized; non-significant correlations are not shown.

1
2
3 Rapid acellular screening of amorphous silica nanoforms of different sizes and surface
4 modifications for their oxidative potential.
5
6
7
8
9
10
11
12

13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Open Access Article. Published on 28 May 2020. Downloaded on 5/29/2020 3:20:50 AM.
This article is licensed under a Creative Commons Attribution 3.0 Unported Licence.



