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1 **High-resolution simulations of the 2010 Pakistan flood event: sensitivity to**
2 **parameterizations and initialization time**

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ABSTRACT

17 Estimating the risk of flood-generating precipitation events in high mountain
18 regions with complex orography is a difficult but crucial task. Quantitative
19 precipitation forecasts (QPF) at fine resolution are an essential ingredient to
20 address this issue. Along these lines, here we explore the ability of the WRF
21 (Weather Research Forecasting) model, operated at 3.5 km grid spacing, to re-
22 produce the extreme meteorological event that led to the 2010 Pakistan flood
23 and produced heavy monsoonal rain in the Indus basin. The model results are
24 compared with Tropical Rainfall Measuring Mission (TRMM) rainfall esti-
25 mates, the available ground measures and radar observations from the Cloud-
26 Sat mission. In particular, we analyze the sensitivity of the WRF simulations
27 to the use of different convective closures [explicit and Kain-Fritsch (KF)]
28 and microphysical parameterizations [WRF Single-Moment 6-Class Scheme
29 (WSM6) and Thompson]. The impact of using different initial conditions,
30 associated with a different initialization day, is also examined. The use of the
31 new generation DS3 NEOS³ radar simulator allows a more accurate and ex-
32 tensive representation of the mesoscale processes and of the interaction with
33 the complex orography. The results reported here indicate that the quality
34 of the large scale initial conditions are a prominent factor affecting the pos-
35 sibility to retrieve a realistic representation of this event, when using a non-
36 hydrostatic regional model.

37 **1. Introduction**

38 In 2010, Pakistan experienced a major flood event that started in late July and was triggered
39 by persistent heavy monsoonal rains. Nearly one-fifth of the entire territory of Pakistan was sub-
40 merged during the floods (Houze Jr et al. 2011) and the UN Secretary General Ban Ki-Moon, at the
41 19th August 2010 General Assembly, defined the consequences of this event as a global disaster.

42 The meteorological conditions that led to the 2010 Pakistan flooding were rather special when
43 compared to the standard summer monsoon season (Webster et al. 2011; Houze Jr et al. 2011;
44 Rasmussen et al. 2014).

45 The predictability of such unusual conditions and of this specific event from planetary and large
46 scale synoptic conditions down to the mesoscale storm structures was explored in Rasmussen et al.
47 (2014), analyzing ECMWF (European Centre for Medium-Range Weather Forecasts) ensemble
48 forecasts: the synoptic pattern largely responsible for the conditions that generated the Pakistan
49 flooding event in 2010 could be predicted over a week in advance with significant confidence (as
50 stated also by the study of Webster et al. (2011)). However, the complex topography of the region
51 also played a significant role in the mesoscale development of the event and in determining the
52 detailed rainfall distribution over the area (Rasmussen et al. 2014). In particular, the presence of
53 the Hindu-Kush Karakoram Himalaya (HKKH) range is a potential source of severe uncertainty
54 in numerical simulations and forecasts, and cannot be properly captured by coarse grid spacing
55 General Circulation Models (GCMs). ECMWF and GFS products are available at grid spacing
56 between 0.5° and 0.75° and, even if the precipitation forecast was predictable with reasonably good
57 skills, convective features of the event and orographic characteristics act on scales finer than the
58 GCM pixel resolution and could not be appreciated. Different available forecast and remote ob-

59 servation products reproduced daily rainfall estimate on July 2010 flood, strongly influenced by
60 their resolutions in capturing the magnitude and the features of precipitation.

61 In such a complex topography, areas separated by a relatively limited horizontal distance may
62 exhibit a large variability of the spatial-temporal rainfall properties, which are affected by steep-
63 ness, altitude, temperature and small-scale orographic characteristics (Anders et al. 2006). Then
64 a higher level of detail is needed to describe the small scale features of the event. For this pur-
65 pose, is used the WRF model, operated at 3.5 km, to investigate the ability to represent the small
66 scale atmospheric processes responsible for the event and we have focused part of the analysis on
67 the vertical structure. The non-hydrostatic characteristic of the WRF model permits to calculate
68 the vertical accelerations and motion explicitly, without determining them diagnostically from the
69 horizontal divergence (as hydrostatic GCMs does). The use of a non-hydrostatic approach permits
70 to obtain simulations at higher spatial and temporal resolutions and it is generally applied when
71 the scale of the phenomena is similar to the height scale, such as mesoscale and convective storms.
72 In addition to that, higher resolution results also in more finely resolved orographic features of
73 the simulations. In the evolution of the dynamic of the model, differences in terms of circulation,
74 due to more finely or coarsely resolved orography, play an important role: in particular, the pres-
75 ence of valleys and ridges results in different local circulations (see also Yu and Teixeira (2014),
76 Flesch and Reuter (2012), Jung et al. (2012), etc.). Even if the predictability of the event from
77 the large scales was demonstrated by Webster et al. (2011), small differences in the local circu-
78 lation and interaction with the orographic features of the region could produce different results at
79 the mesoscale. Sub-grid-scale parameterizations and initial conditions can play different roles in
80 determining the predictability of the event at different scales.

81 In a recent paper, Ushiyama et al. (2014) discussed forecasts of the 2010 Pakistan flood event
82 provided by the WRF (Weather Research and Forecasting) model at 5 km resolution (KF cumu-

83 lus parameterization and WRF single moment 3-class microphysics) forced by the NCEP-GFS
84 (Global Forecast System by the National Centers for Environmental Prediction). They show that
85 the dynamically downscaled forecasts predicted reliable amounts of rainfall in the Kabul River
86 basin one day ahead of the rainfall onset, and predicted a high probability of heavy rainfall three
87 days ahead. In this work we adopt a finer and cloud permitting grid spacing (3.5 km versus 5 km)
88 in the innermost WRF domain, which is 7 times wider (3807 km x 2643 km vs 1245 km x 1125
89 km) than the one used by Ushiyama et al. (2014). This allows us to better capture, also at cloud
90 permitting resolution, the interaction between the mesoscale circulation and the synoptic situation,
91 over the considered complex topography area, whose role has been crucial for the spatio-temporal
92 evolution of this case study. To do that, a finer vertical grid spacing (42 vs 28 vertical levels)
93 is needed to capture more accurately the topographic role on the the spatio-temporal evolution
94 of this case study. Two different microphysics schemes (WSM6 and Thompson) instead of only
95 one (WSM3) have been adopted, as well three different convection parameterization approaches
96 (Kain-Fritsch, Betts-Miller Janjic, and explicit) versus one (Kain-Fritsch).

97 Again, using the WRF model, Ullah and Shouting (2013) showed that a high mid-tropospheric
98 potential vorticity anomaly led to the development of a strong mesoscale convective vortex and to
99 large scale cyclonic circulation over Pakistan during the summer monsoon of 2010. The symmetric
100 instability consequent to the negative moist potential vorticity anomaly significantly enhanced the
101 vertical ascending and precipitation in the convective area (Ullah and Shouting 2013). In such
102 applications, however, the details of the parameterizations, boundary and initial conditions adopted
103 in the mesoscale model play a crucial role, and the sensitivity of the results to these factors need to
104 be addressed carefully and better understood, especially in the case of such a high impact weather
105 event (HIWE) over an extremely complex topography area. To address these issues, we analyze the
106 role of different convection and microphysics parameterizations, and we investigate the sensitivity

107 to the choice of the initial conditions of WRF simulations performed at cloud-permitting resolution
108 for the most intense days of the 2010 Pakistan flood (July 26th - 31st, 2010).

109 The outputs of the model in terms of daily rainfall are compared with estimates provided by
110 the TRMM satellite (Kummerow et al. 1998) and by raingauge stations. We also investigate the
111 vertical structure of the atmosphere by means of CloudSat observations, comparing them with
112 the WRF simulations using the DS3 (Distributed Simulation and Stimulation System) simulator
113 (Tanelli et al. 2002) included in the NEOS³ [NASA Earth Observing System Simulation Suite,
114 Tanelli et al. (2012)]. In the analysis presented here and discussed hereafter, the test case of the
115 2010 Pakistan flood can be considered as an HIWE case study where the ability of numerical
116 weather models is seriously challenged.

117 **2. Event Overview**

118 In early July 2010, a strong ridge of high pressure began to develop near the Ural Mountains in
119 Russia, creating an “Omega” shaped blocking pattern over Europe throughout all western Russia
120 that lasted for at least two months. This high pressure center created an abnormally active jet
121 stream riding around the perimeter of the blocking into western Pakistan, acting as a carrier of
122 hot and moist air and creating a “supercharged monsoon” associated with unstable atmospheric
123 conditions (Hong et al. 2011). The interaction between strong tropical monsoon surges and ex-
124 tratropical disturbances downstream of the blocking became crucial in triggering the flood (Hong
125 et al. 2011). In normal monsoonal events, the low-level moisture flow originates predominantly
126 from the Bay of Bengal, with smaller contributions from the Arabian Sea (Houze Jr et al. 2011).
127 In this case, however, the low-level anomaly in the moisture flux introduced by the indirect con-
128 tribution of a La Niña phase in south and southeast Asia, had a strong effect in weakening the
129 eastward moisture transport and in helping to enhance the moisture transport and convergence in

130 the northern Arabian Sea and Pakistan (Hong et al. 2011). In figure 1, and figure 2 panels a5,
131 b5 and c5 show large scale fields of geopotential, temperature and specific humidity of the ERA-
132 Interim reanalysis (Dee et al. 2011a) at 500 hPa, in comparison with the results obtained in the
133 WRF runs (discussed more deeply in section 5). This situation represented by the reanalysis re-
134 sulted in an unusual displacement of the heavy monsoonal stratiform precipitation patterns, which
135 are typical for the wetlands in northeastern India and Bangladesh, towards the arid mountainous
136 region of northern Pakistan. This anomalous flow extended also to lower levels, carrying moisture
137 towards the Himalayan barrier and leading to a favourable environment for the mesoscale rain
138 systems (Hong et al. 2011). The European blocking acted on the persistence of this event. Moist
139 air was blocked inside a mountain region of usually dry air, leading to the anticipation of satura-
140 tion conditions. This caused a less convective vertical growing of the cells and a more stratiform
141 horizontal extension due to upslope flow, respect to what happens in normal monsoonal events in
142 that mountain region (Houze Jr et al. 2011).

143 The most consistent heavy rainfall event occurred in late July, from 27th to 30th. Galarneau Jr
144 et al. (2012) gives a good description of the developing of convection, analyzing Meteosat-7 and
145 TRMM images. From late July 27th to 06 UTC of July 28th an intense convective event with
146 evidence of possible widespread stratiform precipitation started to interest southwest Pakistan
147 (Houze Jr et al. 2011; Galarneau Jr et al. 2012). Then the rainfall moved towards the high-mountain
148 region in northern Pakistan and persised over the same region for nearly 24 hours from 12 UTC
149 of July 28th to 12 UTC of July 29th, with a continuous redeveloping of convection. The extremely
150 moist environment increased precipitation efficiency and mitigated the cold pool development that
151 could propagate the convection away from mountains. Finally, on July 30th, only light rain per-
152 sisted over northern Pakistan area and the highest precipitation shifted over west-central India
153 (Galarneau Jr et al. 2012).

154 In conclusion, the event was characterized by a close interaction between larger and smaller
155 scales and by a strong orographic component (Rasmussen et al. 2014).

156 **3. Experimental set up**

157 *a. The WRF model*

158 The WRF model (version 3.3.1) is a fully compressible, non-hydrostatic, scalar variable-
159 conserving mesoscale model (Skamarock et al. 2008).

160 The runs analyzed in this study are performed using two domains (Fig. 3): an external domain
161 (d01), extending in the range 2.59°N-55.52°N and 50.69°W-96.11°W, resolved at 14 km, and an
162 internal domain (d02), extending in the range 10.12°N-49.84°N and 57.08°W-90.02°W, resolved
163 at 3.5 km grid spacing. The grid spacing adopted for the innermost domain already belongs to
164 the so-called cloud-permitting range and represents a good compromise between computational
165 performances and capability of representing the key details of the complex topography of the
166 HKKH range, so important for the case study under examination. This choice is improving what
167 has been done in literature till now: to provide some examples of the state of the art, Ushiyama
168 et al. (2014), Ahasan and Khan (2013), Ullah and Shouting (2013) indeed adopted similar small
169 domain grid spacing (respectively 5 km, 3km and 3 km) but on definitely smaller domains (Kabul
170 river basin and a smaller windows in north-west Pakistan), for the same event. Also Maussion
171 et al. (2011) performed simulations in the area at 2 km, but in the Tibetan Plateau (with less steep
172 local orography) and again over a less extended domain.

173 Fig. 3 shows the two nested computational domains and the orography of the region, obtained
174 from the ETOPO1 dataset (Amante and Eakins 2009). A two-way nesting mode is used to couple

175 the two grids. The vertical dimension is discretized with 42 levels. The turbulent parameterization
176 is the Yonsei University scheme (Hong et al. 2006).

177 The radiation scheme adopted is the rapid radiative transfer model (RRTM) scheme for longwave
178 parameterization (Mlawer et al. 1997), and the Goddard scheme for shortwave parameterization
179 (Chou and Suarez 1999).

180 In complex orography areas, the high variabilities in elevation, surface slope and aspect lead to
181 in a strong heterogeneity in solar radiation distribution and, by consequence they affect evapotran-
182 spiration, moist and heat fluxes and soil and air temperature (Chen et al. 2013). In this experiment,
183 the land use dataset is derived from the United States Geological Survey (USGS) 24-category data
184 at 30 arc-second resolution and the land surface model is the 5-layer thermal diffusion scheme
185 from MM5. The experiment has been carried out in hindcast mode, with boundary and initial
186 conditions provided by ERA-Interim reanalysis fields at the native resolution (0.75°) (Dee et al.
187 2011b) representing the latest global reanalysis produced by ECMWF.

188 *b. Microphysical schemes and convective closures*

189 The joint action of the complex topography (due to the presence of the Tibetan plateau and the
190 HKKH range) and of the climatic features of a monsoon-influenced environment make the choice
191 of the convective and microphysics parameterizations difficult (Sardar et al. 2012).

192 For the convective closure schemes, the choice of a 3.5 km horizontal resolution allows to ex-
193 plicitly resolve (albeit crudely) convective processes (Kain et al. 2006, 2008). A number of studies
194 investigated numerical simulations in the so-called “grey zone” of spatial resolution, correspond-
195 ing roughly to 1-5 km, to understand whether convective parameterization is needed at this reso-
196 lution [e.g. Gerard (2007), Parodi and Tanelli (2010)]. Since no definite conclusion on this issue
197 has been reached [e.g. Yu and Lee (2010)], in this study we opt for running simulations with

198 either a parameterized (Kain and Fritsch 1990) or explicitly-resolved convection scheme in the
199 d02 domain, while the outermost domain at 14 km adopts always parameterized convection (Kain
200 and Fritsch 1990)). The choice of Kain-Fritsch as parameterized run is motivated by the results
201 and recommendations of previous studies in the region (Ahasan and Khan (2013), Sardar et al.
202 (2012)).

203 With regard to microphysics, the leading idea has been to compare the performances of a single-
204 moment scheme, versus a double-moment one when modeling a severe rainfall event, over such
205 an extremely complex topography area with a cloud-permitting grid spacing. For this reason, the
206 single-moment WSM6 (Hong and Lim 2006) and the double-moment Thompson scheme schemes
207 are selected.

208 The six-class WSM6 scheme (Hong and Lim 2006) extends the WSM5 scheme. In this scheme,
209 a new method for representing mixed-phase particle fall speeds for snow and graupel has been
210 introduced. The single fall speed assigned to both classes is weighted by their mixing ratios, and
211 it is applied to both sedimentation and accretion processes (Dudhia et al. 2008).

212 The Thompson scheme (Thompson et al. 2008) presents a significant number of improvements
213 in the physical processes modeling if compared to earlier single-moment approaches, and it takes
214 advantage of results provided by more complex spectral/binning schemes that adopt look-up tables.
215 The assumed snow size distribution depends on ice water content and temperature and it is rep-
216 resented as a sum of exponential and gamma distributions. Snow assumes a non-spherical shape
217 with a bulk density that varies inversely with the diameter, as found in observations.

218 It is certainly true that using also the single moment WSM6 vs. the double WDM6 microphysics
219 (Lim and Hong 2010) would have been a worth experiment to perform. However in this study we
220 use WRF version 3.3.1 and WDM6 is a quite new entry in the microphysics parameterization
221 portfolio, still subjected to testing and bug fixes.

222 In the external domain (d01, 14 km) we use the KF convective scheme and the same micro-
223 physics as in the interior domain.

224 **4. Observational data**

225 The orographic complexity of the region under study and the limited availability of meteorolog-
226 ical observations in the area represent two of the main challenges in comparing model results with
227 measured data.

228 The study of Palazzi et al. (2013) considered and compared different available datasets in the
229 Hindu-Kush Karakoram Himalaya region and evaluated the capability of these observations in
230 reproducing precipitation characteristics and trends. Andermann et al. (2011) produced also a
231 similar study and gave an overview of gridded available precipitation datasets along the Himalaya
232 front. These studies analyzed the differences between the available products, with similarities and
233 discrepancies. Great caution should be used in comparing pixel values of station observations
234 and remote sensing techniques, especially at high temporal resolution (Andermann et al. 2011),
235 particularly when the resolution of observations is coarser than the spatial variability of rainfall.
236 The study of Bytheway and Kummerow (2013) confirms the previous statement, investigating
237 the uncertainties related to the TRMM 3B42 product at 3-h accumulation and 0.25 resolution.
238 In their global study of TRMM 3B42 uncertainties over land, they conclude that differences in
239 error characteristics are most prevalent at accumulations below 4mm/h. At accumulations higher
240 than 10 mm/h, the uncertainties of the 3-hour product converge to values between 75% and 85%.
241 They add that high uncertainties values are not surprising for fine temporal resolution data. At the
242 daily scale, uncertainty estimates are greater than 100% for low intensity daily accumulations and
243 decrease to 20% and 40% at higher daily rainfall rates (Bytheway and Kummerow 2013; Huffman
244 1997; Tian and Peters-Lidard 2010).

245 Because of the inaccessibility of mountain regions, raingauge stations are mainly located in val-
246 ley floors (Fowler and Archer 2006) and, for this reason, regions above 5 km still remain poorly
247 monitored (Palazzi et al. 2013). The available gauge observations in the area are scarce and largely
248 biased by altitude, mainly due to technical reasons such as the difficulty to measure the snow wa-
249 ter equivalent depth and the deflection of precipitation by winds (see for example Winiger et al.
250 (2005); Anders et al. (2006); Barros et al. (2000)). On the other hand, remote observations provide
251 spatially-complete coverage of precipitation estimates, but local conditions cannot be incorporated
252 in the sensor algorithm, with potentially large errors within each point of the grid space (Ander-
253 mann et al. 2011). In the work of Andermann et al. (2011) the authors stress the difficulties of
254 TRMM-3B42, Global Satellite Mapping of Precipitation (GSMaP) and Climate Prediction Cen-
255 ter Rainfall Estimates (CPC-RFE) to correctly describe the precipitation distribution at elevations
256 higher than 1 km and to capture precipitation in areas of strong orographic effect. Nevertheless, in
257 the comparison performed by Andermann et al. (2011), the TRMM 3B42 product results to have
258 the smallest bulk error in the monsoon period. Another study by Prakash et al. (2015) has com-
259 pared the real time TRMM Multisatellite Precipitation Analysis (TMPA)-3B42 and GSMaP esti-
260 mates against gauge-based measures by the India Meteorological Department (IMD) at the daily
261 scale, using 2000-2010 datasets. They found that these products are able to capture large scale
262 spatial features of monsoon rainfall, but still have region-specific biases. Generally they found a
263 TRMM 3B42 overestimation of 21% and a GSMaP underestimation of 22% over all India, with
264 respect to raingauge based dataset. The largest difficulties in rainfall detection have been found
265 in mountain regions of northeast India (Jammu and Kashmir regions) and in southern peninsular
266 India. Even if their study is referred to Indian area, the Kashmir and Jammu are neighbouring
267 areas for northern Pakistan, characterized by similar features in terms of monsoon season and high
268 topography.

269 Taking all this into account, the recommended approach in handling these datasets is a multi-
270 sensor strategy where a collection of information is carefully evaluated, considering the uncertain-
271 ties of each single dataset (Palazzi et al. 2013).

272 Gridded daily rainfall datasets are available from different remote sensing products (e.g. TRMM,
273 GSMaP, etc.). Additionally we have also considered the new PERSIANN CDR dataset (for more
274 information on this dataset the reader is referred to Ashouri et al. (2014)). The precipitation
275 information provided by TRMM, GSMaP and PERSIANN estimates are coherent among each
276 other and provides an encouraging signal on the quality of the satellite estimates available for this
277 specific event.

278 The vertical structure of the atmosphere has been measured by the TRMM PR 2A25 overpasses
279 and by the CloudSat product, with different times of passing (thus making not easy and immediate
280 their comparison and joint analysis). The TRMM PR 2A25 tracks cut the study area in the south,
281 in a region with only light precipitation; the CloudSat track, at the contrary, passes directly over
282 the main system of interest.

283 We also have considered raingauge interpolated maps, to provide a source of ground based
284 measurements, instead of only remote sensed estimates, in the daily rainfall comparison.

285 In this work we rely mainly on remotely-sensed data from TRMM 3B42 and on raingauge
286 interpolated maps as quantitative precipitation estimate (QPE) data sources, while CloudSat data
287 are used for vertical cross-sections.

288 A quick description of the observational datasets used in the paper is given in the following
289 paragraphs.

290 *a. TRMM*

291 The TRMM 3B42 rain products are used as the main QPE source. The purpose of the 3B42
292 algorithm is to produce TRMM-adjusted merged-infrared (IR) precipitation and root-mean-square
293 (RMS) precipitation-error estimates. The final gridded estimates have a daily temporal resolution
294 and a 0.25° by 0.25° spatial resolution. Spatial coverage extends from 50° S to 50° N. Although
295 the dataset of the TRMM 3B42 product has a 3-hourly temporal resolution, at the finer temporal
296 scales the incidence of sampling errors can be large. For this reason, in our analysis we consider
297 only daily cumulates. A more accurate description of the TRMM mission is given by Kummerow
298 et al. (1998) and by the official NASA product site (<http://disc.sci.gsfc.nasa.gov/TRMM>).

299 To compare WRF and TRMM daily rainfall fields we have, at first, linearly interpolated WRF
300 fields on a grid finer than the target one (1 km grid spacing), and then we have aggregated the pixels
301 at the TRMM 0.25 resolution (see also Herrera et al. (2015)). We did this transformation in order
302 to conserve the area between the two different grids (the WRF curvilinear and the TRMM linear
303 grid). The fields obtained are focused on a geographic window centered on northern Pakistan
304 (23°N to 40°N in latitude and 66°E to 78°E in longitude). This study area was characterized by
305 heavy precipitation on July 28th and 29th.

306 The quantitative comparison between WRF and TRMM is computed using statistical scores de-
307 rived both from the traditional calculation of percentiles (60th and 95th), root mean square error
308 (RMSE), mean bias (MB), and from the Method for Object-based Diagnostic Evaluation (MODE).
309 This latter method was developed at Research Application Laboratory NCAR/Boulder (USA) and
310 intends to reproduce an human analysts evaluation of the forecast performance. In many cases the
311 traditional scores penalize the performance of forecasts without identifying the cause of the poor
312 performance. An object-based analysis becomes particularly relevant when the model is pushed

313 towards high-resolution and the localization and the episodic characteristics of rain became more
314 important in the verification process. The MODE analysis is performed using a multi-step auto-
315 mated process. A convolution filter and a threshold specified by parameters r and t are applied
316 to the raw field to identify the objects. When the objects are identified, some attributes regarding
317 geometrical features of the objects (such as location, size, aspect ratio and complexity) and precip-
318 itation intensity (percentiles, etc.) are measured. These attributes are used to merge objects within
319 the same forecast/observation field, to match forecast and observed objects and to summarize the
320 performance of the forecast by attribute comparison. Finally, the interest value combines in a total
321 interest function all the attributes computed in the object analysis (as shown in Brown et al. (2007),
322 equation 1)), providing an indicator of the overall performance of matching and merging between
323 different observed and simulated objects. In our experiment we have empirically chosen the con-
324 volution disk radius and convolution threshold, so that this choice would recognize precipitation
325 areas similar to what a human would identify. After a set of experiments, we fixed the value of
326 the convolution radius to three grid points and the threshold of the convoluted field to 35 mm/day.
327 More information about the MODE technique can be found in Davis et al. (2006a), Davis et al.
328 (2006b) and Brown et al. (2007).

329 *b. Raingauge stations*

330 Raingauge stations data have been considered as an additional term of comparison for daily
331 rainfall estimates. A set of 98 stations from the Pakistan Meteorological Department (PMD) mon-
332 itoring network was collected and linearly interpolated over the focus area. Moreover, we have
333 selected 90 stations that fall inside the geographic window of interest, we have compared the gauge
334 measures with the nearest neighbour WRF grid point of the map comparison and we have calcu-
335 lated the associated MB and RMSE. The MB and RMSE calculated comparing with the raingauge

336 dataset are obviously not comparable to the same statistics compared to the TRMM dataset. The
337 raingauge evaluation is computed based on 90 grid points, while the MB and RMSE computed
338 based on TRMM estimates represent a pixel comparison extended to all grid points in the geo-
339 graphic window. Additionally, the two products (raingauges and satellite products) are differently
340 accumulated. The daily rainfall station data are accumulated from 03 UTC for the next 24 hours,
341 so, great caution should be used when comparing them to TRMM data because a 3h offset has to
342 be considered. Finally, the comparison is strongly influenced by the different nature of ground and
343 satellite instruments and by their different weaknesses and strengths in measuring precipitation in
344 areas with complex orography. Nevertheless, in an area of scarce observations, they provide an
345 additional point for the discussion.

346 *c. CloudSat*

347 The CloudSat satellite mission was designed by NASA to measure the vertical structure of
348 clouds from space and to improve global knowledge of cloud abundance, distribution, struc-
349 ture, and radiative properties. The CloudSat instrument was launched in April 2006, as a part
350 of the A-Train satellite constellation. The Cloud Profiling Radar (CPR) installed on CloudSat
351 is a millimeter-wavelength cloud radar that allows detection of cloud droplets and ice particles
352 forming the cloud masses. The CPR operates at 94 GHz, which represents the best compromise
353 between performance and spacecraft resources, to achieve sufficient cloud detection sensitivity
354 (Tanelli et al. 2008). The data are given to the 2B-GEOPROF product, whose algorithm identi-
355 fies those levels in the vertical column that contain significant radar echo from hydrometeors and
356 provides an estimate of the radar reflectivity factor for each of these volumes. The CPR provides
357 detailed information on the vertical structure of cloud systems and it represents a relevant source

358 of information for the evaluation of climate and weather prediction models (for more information,
359 see <http://cloudsat.atmos.colostate.edu> and Stephens et al. (2008)).

360 To compare model outputs with satellite estimates, it is necessary to have a simulator converting
361 model quantities into equivalent radar reflectivities. The effects of instrumental sensitivity and
362 attenuation by clouds and precipitation have also to be taken into account (Bony et al. 2009;
363 Haynes et al. 2007). For this reason, the NASA Earth Observing System Simulators Suite (NEOS³)
364 includes the DS3 simulator (Tanelli et al. 2002), that provides forward simulation to evaluate cloud
365 radar and other remote sensing products (Tanelli et al. 2011, 2012). Using this tool, the WRF
366 outputs are compared to CloudSat observations considering the two available satellite tracks over
367 northern Pakistan during the days of the event: granule 22608, recorded on July 28th around 21:00
368 UTC, and granule 22615, recorded on July 29th around 08:00 UTC. The CloudSat observation
369 tracks are provided in Fig. 4 and Fig. 5 (blue lines). Since the granule 22615 of July 29th misses
370 the main observed precipitation core (see Fig. 4 panel b6 or Fig. 5 panel b7), the results in section
371 5 are discussed only for granule 22608.

372 **5. Sensitivity experiments**

373 *a. Sensitivity to the convective and microphysical schemes*

374 The four different configurations tested in this work are listed in Table 1. Figure 4 shows the
375 precipitation fields produced by the WRF model for the different parameterization choices, com-
376 pared with the TRMM estimates and raingauge observations, in experiments initialized on July
377 26th at 00 UTC.

378 When looking at Exp-WSM6 vs. KF-WSM6 (Fig. 4a1 vs. Fig. 4a2), we see that the KF scheme
379 produces more precipitation and more organized patterns. This is also true for Exp-Thompson vs.

380 KF-Thompson (Fig. 4a3 vs. Fig. 4a4). Therefore, in general, it appears that the KF scheme tends
381 to overestimate precipitation and to produce more organized rainfall patterns for our case.

382 The statistical evaluation computed for our experiment using traditional statistic and MODE ver-
383 ification analysis is reported in Table 2. The MODE values considered refer to the higher intensity
384 object identified by the verification technique that matches with a corresponding object in TRMM.
385 The white countours in Figure 4 represent the MODE objects. The percentile values indicates that
386 all four configurations tested tend to overestimate the rainfall amount compared to the TRMM es-
387 timates, especially for 60th percentile on July 28th and 95th percentile on July 29th. The pecentile
388 values confirm the tendency of the KF simulations to overestimate TRMM estimates. On July 28th
389 all the values of the Exp-WSM6 configuration indicate good accordance with TRMM values. The
390 rainfall intensity given by the percentiles and the localization of the object corresponding to the
391 main precipitation core seem to be best represented by Exp-WSM6. On July 29th, on the contrary,
392 the evaluation doesn't seems univocal: MODE statistical indicators have good agreement with
393 TRMM in terms of total interest and geometric attributes of localization (centroid distance and
394 area ratio) for Thompson microphysic configurations (Exp-Thom and KF-Thom); at the contrary
395 MB and RMSE result the best for Exp-WSM6. All values on July 28th and (especially) on July
396 29th indicate that the worst results are seemingly obtained using the KF-WSM6 configuration,
397 where the main precipitation core is misplaced and overestimated. As a word of caution, how-
398 ever, we note that the differences in score between the different configurations are not very large,
399 and the highly fragmented appearance of the precipitation fields obtained with explicit convection
400 does not match entirely the TRMM data. In addition to that, with equal convective scheme, the
401 Thompson microphysics presents higher 95th percentile values. If we examine the results of the
402 statistics calculated in comparison with the raingauge datasets (Table 3, fourth part), also the MB
403 estimates on July 29th confirm the tendency of the Thompson microphysics to produce higher than

404 observed rainfall amounts (even if closer to measured values than the other simulations). The
405 WSM6 has been found to produce larger values of evaporation rate over the entire atmospheric
406 column in Bryan and Morrison (2012) and in Morrison et al. (2015) with reference to highly-
407 idealized settings with no orography, possibly explaining its reduced precipitation compared to
408 the Thompson scheme. The raingauge statistics produce less underestimation (meaning higher
409 precipitation values) for KF configurations on July 28th and the best RMSE for Ex-WSM6 on July
410 29th.

411 The analysis of cumulative distributions permits to understand the variability of the precipita-
412 tion field and, in particular, the tail of the distribution gives an important information about the
413 probability of exceedance of the highest values of the precipitation field. Figure 6 confirms that
414 the Exp-WSM6 simulation produces results which are closer to the statistics of the TRMM esti-
415 mates. While all the other schemes tend to overestimate the probability of extreme precipitation
416 compared to TRMM, on July 28th the Exp-WSM6 configuration generates distributions which are
417 fairly close to the observations. In this case, the main cause of discrepancy with TRMM (reflected
418 in the statistical scores) is due to a misplacement of the precipitation structures, while intensity and
419 frequency are properly reproduced. On July 29th, all schemes tend to significantly overestimate
420 the observed precipitation. In Figure 4 panels a6 and b6 provides another term of comparison with
421 raingauge ground measurements. Even if, as discussed above, raingauge station present several
422 limitations, the QPE provided by raingauges is higher than TRMM estimates, providing support
423 for the hypothesis of TRMM underestimation instead of a WRF model overestimation. Again, the
424 Exp-WSM6 configuration is the closest to raingauge observations.

425 *b. Sensitivity to the initialization date*

426 Based on the above results, the configuration with explicit convection and the WSM6 micro-
427 physical scheme is selected for further sensitivity analysis. Using this configuration, we perform
428 forecast experiments considering three different initialization days: July 24th (J24), July 26th (J26)
429 and July 28th (J28), all at 00 UTC (Table 3). The different initialization experiments have been
430 chosen considering initialization from 1 to 4 days in advance, every 48 hours. We choose this
431 range, as a good compromise between possible required spin up time of the model and expected
432 model time integration reliability.

433 The meteorological analysis is performed starting from the large scales (Fig. 1 and Fig. 2), down
434 to the mesoscale fields for all the different simulations performed, in order to understand the inter-
435 scale interplay of the phenomena. At larger scales, variables such as geopotential, temperature,
436 water vapor mixing ratio are interpolated on the vertical 500 hPa isobar level and compared with
437 the ERA-Interim reanalyses. The synoptic features that led to the severe 2010 events on Pakistan
438 are well reproduced by the model for all its different initializations, if compared with the reanaly-
439 sis. The geopotential underlines the presence of a strong high pressure block in the northern part of
440 the domain. This blocking high, associated with the presence of smaller scale troughs in the Ara-
441 bian sea and in the south of Afghanistan, led to the formation of a strong wind blowing from the
442 Arabian sea to the northern part of Pakistan. From the examination of water vapor fields, there is a
443 moisture transport associated to the south-westerly winds that brought a high water vapor quantity
444 up to northern Pakistan. Another source of vapor is given by the moisture flux approaching from
445 the Bay of Bengal. The moisture flux convergence supports the accumulation of moisture during
446 the two days in which the maximum precipitation occurs (July 28th and July 29th). The different
447 model runs exhibit similar large scale circulation, with small differences between the different

448 simulations. Differences start to emerge when we look at the smaller scales, in which the role of
449 orography (valley and ridges) starts to emerge because of the interaction with the small scale cir-
450 culation. In this case small differences in moisture transport or in wind circulation reflect deeply
451 the different distribution of the resulting precipitation fields.

452 Figure 5 shows the daily precipitation maps for the crucial days of the event (July 28th and 29th),
453 reporting also TRMM observations and interpolated observations from the available raingauge
454 stations. The J24 run simulates rather well the actual rainfall amounts until July 27th, and then
455 downgrades as the event develops further. The J26 run offers a good performance even though
456 the simulation is not able to correctly reproduce the patterns of the first days (July 26th and 27th
457 - not shown). For July 29th, the J26 run (Fig. 5, panel b2) captures well the main rainfall core,
458 even if it is more widespread and displaced slightly eastward with respect to the observations. The
459 J28 run reproduces well the precipitation pattern on July 28th. On the following day, the J28 run
460 (Fig. 5, panel b3) displays a very poor performance, especially when the rainfall pattern of the
461 maximum core is considered. A strong orographic control on the QPF is evident: precipitation is
462 confined to Pakistan plains by the local mountainous range and the most important precipitation
463 core is completely missed. Because of the bad results of J28 for July 29th, we conclude from the
464 map comparison that the J26 run provides a better forecast of the event.

465 The J28 run produces higher QPF during its first 24 hours of simulation (July 28th), and after
466 that the precipitation rates decreases significantly: a possible explanation for this behavior is the
467 dry-out of the atmospheric column caused by the high precipitation rates on the 28th, together with
468 the lack of time for the moisture from the boundaries to gather in the domain in the following 24
469 hours. To test this latter possibility we reduce the dimension of the domain: the J28 simulation
470 is run again in the 2-way nesting mode, but this time the original high-resolution domain d02
471 is downsized to the focus area (23°N to 40°N, 66°E to 78°E) (J28S run). The results obtained

472 for July 29th with the smaller domain do not display any significant improvement, indicating that
473 there must be other causes for the bad performance of the J28 run. In addition to that, the J28S, if
474 compared with J28, shows no sensible dependence of WRF model on small perturbation of initial
475 conditions over the time scale of the experiment.

476 Figure 7 compares the cumulative distributions of daily precipitation for the different initializa-
477 tion dates and for the two target forecast days. The comparison indicates that the J26 run shows
478 a better agreement with the amplitude statistics of the TRMM data. In particular, on the 28th, all
479 other runs (except J24) tend to overestimate the probability of exceedence of precipitation rates
480 larger than about 100 mm/day. On the 29th, on the contrary, the runs started on the other initial-
481 ization dates lead to an underestimation of precipitation over the area, even if they are still closer
482 to the TRMM estimates.

483 Statistical evaluation for the different simulations are summarized in Table 4. The statistical
484 scores partially confirm the previous analysis. The J24 simulation displays a good performance
485 on July 28th and the worst performance on July 29th. On the second day the interest value of the
486 MODE analysis is extremely low and the geometrical properties of the forecast-observed objects
487 are highly unrelated. For July 28th, and July 29th J28 appears to have a good statistical evaluation,
488 even if in the map comparison the pattern of the main precipitation core is totally missed. On the
489 contrary of what observed in the map comparison, on July 29th the J28 run result in best values of
490 interest and good percentile values. On the other hand, on July 29th, the 95th percentile confirms
491 the J28 underestimation even if it is still the closest to TRMM values. If we consider that TRMM
492 tend to underestimate in that area (as stated in the previous sections) and the information of the
493 raingauges, we are more prone to penalize an underestimation of the model rainfall values. The
494 TRMM tendency to underestimate, with respect to raingauges is evident from the comparison
495 between MB related to TRMM and the one based on raingauges measures. Even if great caution

496 should be given in the comparison, raingauge MBs are negative (meaning an undestimation of
497 the model, with respect to the raingauges), while the MB of TRMM seems to indicate a general
498 overestimation of the model respect to the satellite estimates. Barring that, the raingauge statistics
499 are rather in accordance with what observed in the previous analysis. The MB and RMSE have
500 best scores for J28 run on July 28th. On July 29th the J28 has still the best RMSE evaluation, but
501 the best MB is calculated for J26. A lower model underestimation is observed on July 29th for J26
502 simulation where the main precipitation pattern is simulated properly.

503 Nevertheless, the better performance of J26 in the map comparison with respect to J28 on July
504 29th is rather unexpected, as the J28 run misses the main precipitation pattern.

505 *c. Sensitivity to initial conditions*

506 The low QPF performances of the J28 run for the 29th July can be related to the role of the spe-
507 cific ERA-Interim initial conditions. In support of this initial conditions, the study of Ahasan and
508 Khan (2013), which was initialized on the same day of J28, but with a NCEP reanalysis, produced
509 a better rainfall distribution for July 29th (not shown). To test the sensitivity to initialization we
510 perform a new run, initialized on 28th July 2010 at 00 UTC with a different set of initial conditions.
511 Instead of using the ERA-Interim fields, we run J26 for 48 hours till July 28th at 00 UTC. Then
512 all the microphysical variables deriving from the WRF dynamics (namely cloud water, rainwater,
513 snow, cloud ice and graupel) are set equal to zero: this provides a set of initial conditions com-
514 parable with those provided by ERA-Interim (the same required by the WRF preprocessor WPS
515 for ERA-Interim initialization). In ERA-Interim we do not have humid variables (microphysical
516 variables), so we have tested the importance of this aspect, initializing the WRF restarted run in
517 the same way. This new set of initial conditions is fed into the model and WRF is run for another
518 48 hours. In this way, we run a novel J28 experiment, initialized with the (partial) output of the

519 J26 run. As shown in Fig. 5 and Fig. 7, the results of the J28 restarted run (J28R) outperform
 520 the original J28 results: the main precipitation core is well modelled and none of the main precip-
 521 itation structures is missed. The restarted run produces daily rainfall outputs which are similar to
 522 those of J26, providing a better estimate of the main precipitation patterns and positions. Since the
 523 only difference between J28 and J28R are the initial conditions, these result suggest that the initial
 524 conditions provided by ERA-Interim on 28th July at 00 UTC are mainly responsible for the poor
 525 results provided by J28 on the 29th.

526 To better understand the evolution of the J28 and J28R runs, we compare the surface temperature
 527 (Fig. 8) and moist transport (Fig. 9) at the initialization time (July 28th at 00 UTC) and 24 hours
 528 later (July 29th at 00 UTC), at the beginning of the most intense day of the event.

529 At each horizontal point (pixel), we define the moist transport as the vertically-integrated total
 530 moisture transport \mathbf{F} , [kg (m s)^{-1}] given by the product of the water vapor mixing ratio q [kg kg^{-1}]
 531 and the horizontal wind speed \mathbf{V} [m s^{-1}]

$$\mathbf{F} = \int_{z_{Surf}}^{z_{Top}} \rho \mathbf{f} dz \quad \text{where } \mathbf{f} = q\mathbf{V}.$$

532 At 00 UTC the J28R run is identical by construction to the J26 frame. Twenty-four hours
 533 later, we find that J28R and J26 present very similar precipitation, as shown in Fig. 5. Surface
 534 temperature and moisture transport fields are also very similar, so we choose not to show the J26
 535 run in the comparison of Fig. 8 and Fig. 9, to make the comparison clear and straightforward.

536 The pixel-by-pixel differences for the temperature field at 2 meters between the ERA-Interim
 537 initialization (J28) and the (partial) WRF initialization (J28R), show strong temperature anomalies
 538 (Fig. 8). On July 28th, the J28 field shows a positive bias of more than 3K in north-western
 539 Pakistan, near the Afghanistan border, and a negative bias eastwards (Fig. 8, panel a3). The
 540 warmer zone of the J28 run can create a stronger instability of the air masses, with a tendency to

541 generate intense precipitation in the next 24 hours (on July 28th) and drier and colder atmospheric
542 conditions on July 29th.

543 The moist transport reflects the temperature anomalies (Fig. 9). On July 28th, both initializations
544 generated a moisture transport directed towards the orographic barrier, even if the transport of the
545 J28 run is more concentrated (Fig. 9, panels a1 and a2). The J28R run presents broader region
546 with large amount of moisture transport.

547 The separate contribution of moisture fields and wind fields to total moist transport has been
548 investigated in terms of horizontal and vertical distributions (not shown). The major contribution
549 of the moisture flow to total moist transport is always more evident in the south west part of the
550 domain, for both runs and days of the event. On the contrary, a predominant role of wind is
551 apparent in the north east part of the study area, over the mountain region. Along the vertical, the
552 highest moist transport occurs on lower levels, with a major contribution provided by water vapor,
553 instead of wind (which contributes more significantly on higher levels).

554 On July 28th, the higher temperatures and the more intense transport are responsible for larger
555 QPF exhibited by J28 run. The day after (Fig. 9, panels a3 and a4), the J28 run has completely lost
556 the moisture transport contribution, while transport remains high for J28R. In the J28 run there is
557 no moist convergence on July 29th (Fig. 9, panel a3), while in J28R the moist air is pushed towards
558 the northern Pakistan orographic barrier producing heavy rain (Fig. 9, panel a4). All these factors
559 concurred to create a more intense rainfall spell on the July 28th and a drier environment for the
560 following day in the J28 run.

561 **6. Qualitative and quantitative analysis of the vertical structure**

562 Comparison of the surface precipitation patterns against TRMM has allowed to assess the overall
563 performance of WRF for hydrological purposes. The comparison between the simulated CloudSat

564 and CloudSat observations provides more insight into the ability of WRF to reproduce vertical
565 profiles of cloud structure.

566 On July 28th at 21:00 (granule 22608) CloudSat passed directly over the system of interest. A
567 comparison of simulated CloudSat using various assumptions and WRF experiments is shown in
568 Figure 10. As a reference, the CloudSat L2B-GEOPROF is provided in the top panel (Fig.10,
569 panel a). This graphs shows the surface clutter, when it is not attenuated by heavy precipitation
570 above it like around 33°N.

571 It is evident that the changes in parameterizations and initial conditions result in major differ-
572 ences. These need to be interpreted in light of the temporal and spatial evolution of the system.
573 Therefore we identify three salient features at the large scale (Fig. 10, panel i) and discuss how
574 each experiment performed in that regard. First, the region of greatest hydrological importance in
575 this portion of this event is the wide and persistent stratiform precipitation area between 33° and
576 35°N, which was for the most part generated by a relatively low convective plume (minimum IR
577 brightness temperatures observed around 230 K) and advected moisture from the SE (hereinafter
578 STR34N). Second, consider the organized convective towers along the southern part of the line of
579 convergence, characterized by an anvil much less developed than what observed and top heights of
580 the large hydrometeors (marking convective cores) barely reaching above 10 km, with correspond-
581 ing IR in the 190 to 200K range (hereinafter CONV30N). It is important to note that at the time
582 of the overpass, the line of convective activity curved to the SW around 29°N along the CloudSat
583 ground track (blue line in Fig. 4 panel a6 and Fig. 5 panel a7), and therefore all convection occur-
584 ring between 27°N and 29°N is not observed by CloudSat because it was to the west of the track.
585 Such misplacement is noted here just to address a key feature, viz. the limited representativeness
586 of nadir curtains when interpreting Figure 10: one should not conclude that a configuration did
587 or did not produce convection according to observations only focusing on these data. The Geo-

588 stationary imagery should always be consulted when interpreting these observations to provide
589 the context that is lacking from the nadir-only profiles. All considerations expressed hereinafter
590 were always developed in this context. The third feature considered is the long outflow associated
591 with STR34N over the Karakoram range and the Taklimakan desert (latitude from 33°N to 35 °N)
592 resulting for the most part in snowfall to the surface, but with the zero isotherm in close proximity
593 to the prevailing ground altitude of the desert.

594 Panel b shows that the Exp-WSM6 experiment, initialized on J24, essentially failed to generate
595 precipitation between 33°N and 35°N, as also shown in Figure 5. The CONV30N structure was
596 much suppressed and disorganized, however a remnant plume did produce snowfall over Karako-
597 ram, albeit with cloud top heights 3 km lower than observed. Panel c shows the product of the
598 same configuration but initialized on J26: in this case all three elements are captured to some ex-
599 tent, however the stratiform region is spatially much less extensive, the convective region extends
600 more to the north, and most importantly exhibits notably deeper towers than observed (topping at
601 15-16 km). This comparison confirms that this configuration, while it achieved among the best
602 statistical scores in total precipitation patterns, doesn't necessarily capture a realistic partitioning
603 in convective vs. stratiform precipitation.

604 In order to assess the sensitivity of the forward simulations to assumptions independent of the
605 bulk-hydrometeor quantities produced by these single-moment schemes, a series of tests using the
606 same WRF output as input to the CloudSat simulation are performed: the assumptions on particle
607 size distribution (PSD) and mass-size (m-D) relationship for the hydrometeor species are swapped
608 between those assumed internally in the WSM6 scheme and those assumed in the Thompson
609 scheme, plus a third set adopted in airborne precipitation radar microphysical retrievals. In each
610 case the entire set of microphysical assumptions was swapped, and for all of them T-Matrix cal-
611 culations (Mishchenko and Travis 1998) were used to calculate the scattering properties of the

612 hydrometeor species according to the internal assumptions within each module. Oblate spheroids
613 were adopted for raindrops (Beard and Chuang 1987) and snowflakes (Matrosov et al. 2008), and
614 spheres for all other particles.

615 One example of these tests is shown in panel d (where both the PSD and m-D assumptions of
616 Thompson are applied to the bulk quantities generated by Exp-WSM6 J26). Visual comparison of
617 panels c and d confirms the intuition that at the level of assessment of the general aspect of cloud
618 and precipitation systems, the microphysical assumptions made during the radar simulations are of
619 second-order importance compared to the microphysical assumptions made in the CRM simula-
620 tions. While the microphysical assumptions at the radar simulation stage change by several dB the
621 observed reflectivities on various portions of the profile, but such change is indeed not sufficient
622 to alter the visual interpretation of the general aspect of the systems other than in a small minority
623 of locations. For example the only striking difference can be noticed in the rain portion between
624 33N and 35N where the Thompson microphysical assumptions generate reflectivities lower than
625 the WSM6 by more than 10 dB. This particular difference is due to the fact that for low water
626 contents WSM6 still assumes raindrops of about 1 mm on average, while the Thompson param-
627 eterization results in drop sizes smaller than 0.5 mm (notably, this change was explicitly targeted
628 in that module to better reproduce mid-latitude light precipitation and drizzle, Thompson et al.
629 (2008)). Therefore at W band, although the water content is identical (because it comes from the
630 same WRF run), the 0.5 mm particle will be in a Rayleigh scattering regime, unlike the 1 mm,
631 which in turns explains the large differences observed in the radar returns. Overall, an investi-
632 gation focusing on quantitative retrievals of precipitation must indeed account for them, and the
633 uncertainties within, but when CloudSat data are only used to validate the structure of the observed
634 systems assumptions on PSD and scattering models, they become of secondary importance. These
635 tests – performed on each one of the WRF experiments – served to eliminate one possible source

636 of ambiguity in the interpretation of the simulated results. Along the same lines, we note that the
637 DS3 simulator has a relatively basic representation of multiple scattering effects, particularly when
638 compared to the advanced simulator DOMUS (Battaglia and Tanelli (2011)), which is included in
639 NEOS³. Nonetheless, it was found that the DS3 simulations yielded a more direct interpretation
640 in regards to the nature of the problem. Absence of multiple-scattering effects is for example ev-
641 ident in the deep convective storm modeled at 29N in this simulation where the single-scattering
642 signal is completely attenuated instead of showing the typical stretched echo of multiple scattering
643 all the way to the surface and beyond (see Battaglia et al. (2010) for a comprehensive review on
644 multiple-scattering).

645 Panel f shows the product of the KF-WSM6 J26 experiment. Despite identical synoptic condi-
646 tions and microphysical parameterization, this experiment generates much more developed anvils
647 around CONV30N. However it fails to capture the stratiform region of greatest interest (STR34N).
648 The low statistical scores quantify the fact that this experiment overestimated precipitation in
649 CONV30N and underestimated it in STR34N. Panels g and h show the products of KF-Thompson
650 J26 with Thompson and WSM6 assumptions in the radar simulations, respectively. The higher
651 propensity of this microphysical parameterization to produce anvils and resulting stratiform rain is
652 manifest in both cases. The Thompson scheme, unlike a simple single moment scheme, explicitly
653 predicts the mixing ratio and the number concentration of cloud ice (Thompson et al. 2008). In
654 this scheme the rain size distribution significantly shifts depending on whether the rain appears to
655 originate from melted ice versus rain produced by collision/coalescence (warm rain). As evident
656 from Fig. 10 (panels g,h,i), the largest reflectivity factors are usually observed above the line of
657 melting level and the volume above this level is significantly enhanced in the Thompson scheme
658 simulations. Consequently, it generates convection even deeper than WSM6, and produces wider
659 anvils. The latter aspect is more in line with observations, but combined with the former it results

660 in an overestimation with respect to TRMM products (Fig. 6). Comparison to the CloudSat reflec-
661 tivities in the rain portion shows much smaller values in the model than in the observation: this is
662 likely due to the aforementioned assumption of small raindrops in Thompson and the absence of
663 significant multiple-scattering contribution in the simulation. Small drops result in unattenuated
664 reflectivities that are possibly biased low, and if the water contents are overestimated the specific
665 attenuation can be larger than observations (it is almost independent on drop size), these two fac-
666 tors, combined with the absence of multiple-scattering stretched echo generated in the ice region
667 above, provide a framework to explain this particular difference. Notably the model runs used to
668 generate these simulated CloudSat products apparently extend the region with precipitation more
669 southward than observations. This is because the line of convergence mentioned before did not
670 bend SW at 29 °N as in reality, once again reflecting the great importance of the choice of mi-
671 crophysical parameterizations not only in the resulting storm structures, but also in the large scale
672 patterns.

673 Panel j shows the product of Exp-WSM6 J28. In this experiment the entire set of features is
674 moved northward, the region of highest accumulation on July 28th is captured better than the other
675 cases, but not because of an improved skill in capturing the nature of the process (which is entirely
676 convective at this time with no significant anvil).

677 Finally, a perturbation analysis was applied to the simulations to examine the importance of
678 shifting the simulated track relative the core of the precipitation and the importance of the timing
679 of the WRF run. This is particularly relevant when studying convection, for which location and
680 timing of occurrence are fundamental. To this end, we looked at the satellite simulated overpasses
681 shifted by 0.8 ° to the East/West with respect to the center of the main precipitation core and using
682 hourly WRF runs in a range of plus and minus three hours about 21 UTC (time range comparable
683 with the time evolution of these cloud structures). To serve as a reference, the actual CloudSat

684 overpass was also overlaid on a map of IR temperature from Geostationary satellites. Perturbing
685 the simulated tracks did not reveal any significant improvement and if anything led to sometimes
686 missing the main core of the precipitation. For this reason, we focused on the nominal CloudSat
687 track. Regarding the timing analysis, we have focused our investigations on J26 and J28 (Exp-
688 WSM6) simulations. For each of these hourly simulations, the simulated radar products were
689 compared to the CloudSat measurements in terms of their contour-frequency-by-altitude diagram
690 (CFAD). Namely, we considered the a vector consisting of the vertical profiles of the 10%, 50%
691 and 90% quantiles of the simulated CFADs and compared them to those of the CloudSat data.
692 For instance, the correlation coefficient between the CFAD of CloudSat measurements and that of
693 the simulated results at 21UTC is equal to 85% for J26 (Fig.10 panel c) and 93% for J28 (Fig.10
694 panel j). The strongest correlations to the measurements are observed with the WRF products at 23
695 UTC for both J26 and J28, with correlation coefficients of 96% in both cases. The corresponding
696 resulting radar cross sections are depicted in Fig.10 (panels e and k) for the J26 ad J28 runs are
697 23 UTC. For the J26 case (Panel e), a comparison with the results at 21 UTC (panel c) shows that
698 the clouds and precipitation have moved to the North, as evidenced by the convective cell around
699 30°N in the CONV30N region. Furthermore, similarly to the CloudSat measurements, the top of
700 the cells is lower at 23 UTC than at 21 UTC, which explains the slightly larger correlation between
701 CFADs. Nonetheless, there is still a strong resemblance between the features at both instants, e.g.
702 in the STR34N region where the precipitation in still disorganized. Similar observations can be
703 made for the Exp-WSM6 case initialized on J28 (panels j and k) where results at both times capture
704 the persistent precipitation in the mountains (north of 35°N). One can note also the lesser impact
705 of attenuation on the measurements at 23 UTC in the STR34N region (around 34°N) owing to the
706 lower levels of the clouds at that time.

707 **7. Summary and Conclusions**

708 In this paper we have performed WRF non-hydrostatic simulations at 3.5 km of the HIWE
709 that led to the Pakistan flood in July 2010. We have tested the ability of the modelling system to
710 reproduce the observed precipitation rates and patterns, and we have analyzed the model sensitivity
711 to different microphysics and convection parameterizations and different initializations.

712 Explicit convection and the WMS6 microphysical scheme turned out to provide a better match
713 in terms of rainfall amount, patterns and localization when compared to other choices.

714 Using this configuration, we varied the initialization day to determine the dependence of the
715 model results on the choice of initial and boundary conditions. Even though model outputs are
716 usually more reliable in the first days of the simulation, the J28 run (initialized on July 28th) per-
717 formed poorly on July 29th, especially when compared to a run initialized on July 26th. This
718 uncommon behavior motivated an additional set of experiments. A new model run (J28R) was
719 initialized on July 28th with the inputs provided by a WRF simulation started on July 26th, with all
720 variables related to clouds and vertical velocities set to zero to be consistent with a standard large
721 scale initialization. This novel run outperformed the original J28 run initialized with ERA-Interim
722 fields, both in terms of rainfall localization and patterns, as well as of daily accumulation, indicat-
723 ing that the initial conditions are a crucial factor in order to obtain a satisfying representation of
724 the event.

725 The joint use of CloudSat observations and simulated cloud radar profiles allowed to investigate
726 further the skill of each experiment in capturing the most important aspects of the observed ver-
727 tical structure of this event. In this regard, the Thompson microphysics produces more stratiform
728 precipitation and more organized precipitation patterns than the WSM6, in line with the observa-
729 tions. Both microphysical parameterizations produce convective activity deeper and more intense

730 than observed. Since Thompson also produces more extensive widespread precipitation from the
731 outflow, it results in an overestimation of the total precipitation. The striking differences in cloud
732 structure resulting from the different microphysical and cumulus parameterizations, even when
733 the same synoptic conditions are adopted, reinforce the assessment that performance of models
734 in reproducing QPE estimated from observations cannot be limited to a few exercises with differ-
735 ent models, resolutions or initial conditions. Notably, the principal differences resulting from the
736 adoption of different parameterizations within a particular model (in this case WRF) are conse-
737 quence of their resulting macroscopic distributions of the bulk quantities of the various hydrome-
738 teors and of the different latent heating profiles and they can radically change the final output of
739 the model given equal initial conditions and resolutions.

740 Overall, we found that the simulation results are affected more significantly by the choice of the
741 initialization day than by the parameterization schemes adopted. As expected, the largest errors
742 are located near Himalayas and northern Pakistan, where the steep local orography affected the
743 numerical integration.

744 All the study has dealt with the presence of the highest mountain topography of the world and
745 the experiment of going to 3.5 kilometres resolution with a non-hydrostatic model has represented
746 an instrument to understand the physical processes responsible of the tragic event. In particular we
747 have found that ICs and BCs are a prominent factor affecting the results and that small variations in
748 local atmospheric dynamics can produce very different results in complex orography areas. This
749 study has investigated the event at different spatial and temporal scales, starting from the large
750 scales, down to the mesoscale fields (section 5b and 5c) and vertical sections (section 6). The
751 synoptic features of the different initializations in terms of geopotential, temperature and water
752 vapor mixing ratio are pretty similar for all the runs and the WRF successfully reproduces the main
753 large scale features responsible of the event. Moreover, the model, as expected, strongly reflects

754 the large scale characteristics inherited by the coarsely resolved GCM. The highest differences are
755 evident when the model is challenged to reproduce the smaller scale features. The different pattern
756 results obtained for J26 and J28 run are a manifestation of this: the presence of a valley or of a
757 ridge is capable of strongly influencing the simulation, producing different moisture transport and
758 wind circulation that affect the resulting precipitation fields. As stated in Webster et al. (2011),
759 the predictability of this event was evident from large scale models, but we agree with Rasmussen
760 et al. (2014) that conclude that an higher degree of detail is needed to understand the anomalous
761 convective features that led to the tragic flooding.

762 This work focuses on a specific extreme event, viz. the 2010 Pakistan flood, studied using the
763 WRF model in cloud permitting mode and operated at 3.5 km in order to gain insight on the pre-
764 dictability of this flood event. While in general it can be difficult to make solid conclusions on the
765 choice of any one or the other microphysics from individual case studies, nonetheless our results
766 allow to draw some more general conclusions. In particular, they suggest that a careful choice of
767 parameterization schemes and initialization day must always be adopted, because these factors can
768 affect significantly the simulation. Configurations that at the large scale exhibit small differences,
769 at the small scale start to produce very different precipitation amounts, patterns and circulations,
770 especially over mountain terrain. The results presented here indicate that the reliability of the
771 large scale fields used for initialization and boundary conditions remains an essential ingredient
772 of the simulation, and that errors in the large scale fields can be propagated, or even amplified, in
773 the outputs of high-resolution simulations. For all these reasons, we recommend a dual selection
774 of both initial and boundary conditions and parameterization assumptions to propagate the model
775 through this kind of events in complex topography areas, rather than an independent analysis of
776 one or another. Inter-scales phenomena and orography interaction are thus predominant features
777 in studying these particular processes over complex orography areas such HKKH.

778 This study intends to contribute to future studies in that area, and it highlights the complexity
779 of studying an HIWE case study in a geographical area in which the ability of numerical weather
780 models is seriously challenged.

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989 associated nearest neighbour WRF grid point. 47

TABLE 1: Experiment configurations.

<i>Configuration</i>	<i>Convective closure</i>	<i>Microphysics</i>
Exp-WSM6	Explicit	WSM6
KF-WSM6	Kain-Fritsch	WSM6
Exp-Thompson	Explicit	Thompson
KF-Thompson	Kain-Fritsch	Thompson

TABLE 2: Statistical score analysis for the different configurations for July 28th (upper panel) and for July 29th (lower panel). The first part of the table shows the values of MODE verification analysis of centroid distance, area ratio and interest. The MODE evaluation refers to the highest intensity object identified in each run that matches with the corresponding TRMM object. The matched objects are shown in Fig.4. In the second part the different percentiles (median, 60th, 90th and 95th) are shown . In the third part are reported MB and RMSE. The fourth part of the table shows MB and RMSE calculated between raingauge station measures and associated nearest neighbour WRF grid point. The first three parts of the table use TRMM as reference dataset. The fourth part of the table shows MB and RMSE calculated between raingauge station measures and associated nearest neighbour WRF grid point.

July 28th	<i>Ex – WSM6</i>	<i>KF – WSM6</i>	<i>Ex – Thomson</i>	<i>KF – Thompson</i>	<i>TRMM</i>
<i>CENTROID DISTANCE</i>	601	1860	1934	1884	-
<i>AREA RATIO</i>	0.919	0.452	0.571	0.422	-
<i>INTEREST</i>	0.961	0.858	0.851	0.842	-
<i>PERCENTILE₆₀</i>	12.19	15.90	12.95	15.15	4.83
<i>PERCENTILE₉₅</i>	53.30	67.74	58.99	71.88	52.08
<i>MB</i>	3.73	8.43	5.28	8.03	-
<i>RMSE</i>	21.46	26.92	27.31	26.66	-
<i>MB_{raingauges}</i>	-20.34	-11.56	-14.60	-10.83	-
<i>RMSE_{raingauges}</i>	65.49	65.23	68.81	59.14	-

July 29th	<i>Ex – WSM6</i>	<i>KF – WSM6</i>	<i>Ex – Thomson</i>	<i>KF – Thompson</i>	<i>TRMM</i>
<i>CENTROID DISTANCE</i>	967	1208	472	551	-
<i>AREA RATIO</i>	0.567	0.599	0.544	0.529	-
<i>INTEREST</i>	0.914	0.899	0.946	0.940	-
<i>PERCENTILE₆₀</i>	3.63	6.55	3.62	5.87	1.04
<i>PERCENTILE₉₅</i>	69.99	62.38	83.57	94.04	44.70
<i>MB</i>	6.05	7.27	8.79	8.10.62	-
<i>RMSE</i>	30.42	38.12	40.94	40.60	-
<i>MB_{raingauges}</i>	-10.41	-10.97	0.44	14.94	-
<i>RMSE_{raingauges}</i>	62.54	87.48	96.60	93.46	-

TABLE 3: Summary of all the different runs performed in the second part of the experiment.

<i>Run</i>	<i>Day of initialization</i>	<i>Configuration</i>	<i>Initial conditions</i>	<i>Boundary conditions</i>	<i>Hig – res domain</i>
J26	July 26 th	Exp-WSM6	ERA Interim	ERA Interim	10N to 50N 60E to 90E
J24	July 24 th	Exp-WSM6	ERA Interim	ERA Interim	10N to 50N 60E to 90E
J28	July 28 th	Exp-WSM6	ERA Interim	ERA Interim	10N to 50N 60E to 90E
J28S	July 28 th	Exp-WSM6	ERA Interim	ERA Interim	23N to 40N 66E to 78E
J28R	July 28 th	Exp-WSM6	WRF J26 restarted at July 28 th 00 UTC	ERA Interim	10N to 50N 60E to 90E

TABLE 4: Statistical score analysis for the different initializations, for July 28th (upper panel) and for July 29th (lower panel). The first part of the table shows the values of MODE verification analysis of centroid distance, area ratio and interest. The MODE evaluation refers to the highest intensity object identified in each run that matches with the corresponding TRMM object. The matched objects are shown in Fig.5. In the second part the different percentiles (median, 60th, 90th and 95th) are shown . In the third part are reported MB and RMSE. The fourth part of the table shows MB and RMSE calculated between raingauge station measures and associated nearest neighbour WRF grid point. The first three parts of the table use TRMM as reference dataset. The fourth part of the table shows MB and RMSE calculated between raingauge station measures and associated nearest neighbour WRF grid point.

July 28th	<i>J24</i>	<i>J26</i>	<i>J28</i>	<i>TRMM</i>
<i>CENTROID DISTANCE</i>	568	601	322	-
<i>AREA RATIO</i>	0.815	0.919	0.750	-
<i>INTEREST</i>	0.963	0.961	0.984	-
<i>PERCENTILE₆₀</i>	6.53	12.19	6.69	4.83
<i>PERCENTILE₉₅</i>	53.29	53.30	55.40	52.08
<i>MB</i>	0.77	3.73	1.96	-
<i>RMSE</i>	20.18	21.46	21.80	-
<i>MB_{raingauges}</i>	-20.22	-20.34	-9.10	-
<i>RMSE_{raingauges}</i>	58.41	65.49	56.31	-

July 29th	<i>J24</i>	<i>J26</i>	<i>J28</i>	<i>TRMM</i>
<i>CENTROID DISTANCE</i>	1544	967	633	-
<i>AREA RATIO</i>	0.558	0.567	0.924	-
<i>INTEREST</i>	0.659	0.914	0.957	-
<i>PERCENTILE₆₀</i>	3.28	3.63	2.80	1.04
<i>PERCENTILE₉₅</i>	36.25	69.99	39.01	44.70
<i>MB</i>	0.31	6.05	0.28	-
<i>RMSE</i>	26.35	30.42	19.24	-
<i>MB_{raingauges}</i>	-30.41	-10.41	-18.24	-
<i>RMSE_{raingauges}</i>	65.04	62.54	49.83	-

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 1005 0.25°resolution in the study area. The top row refers to July 28th 2010 (a) and the bottom
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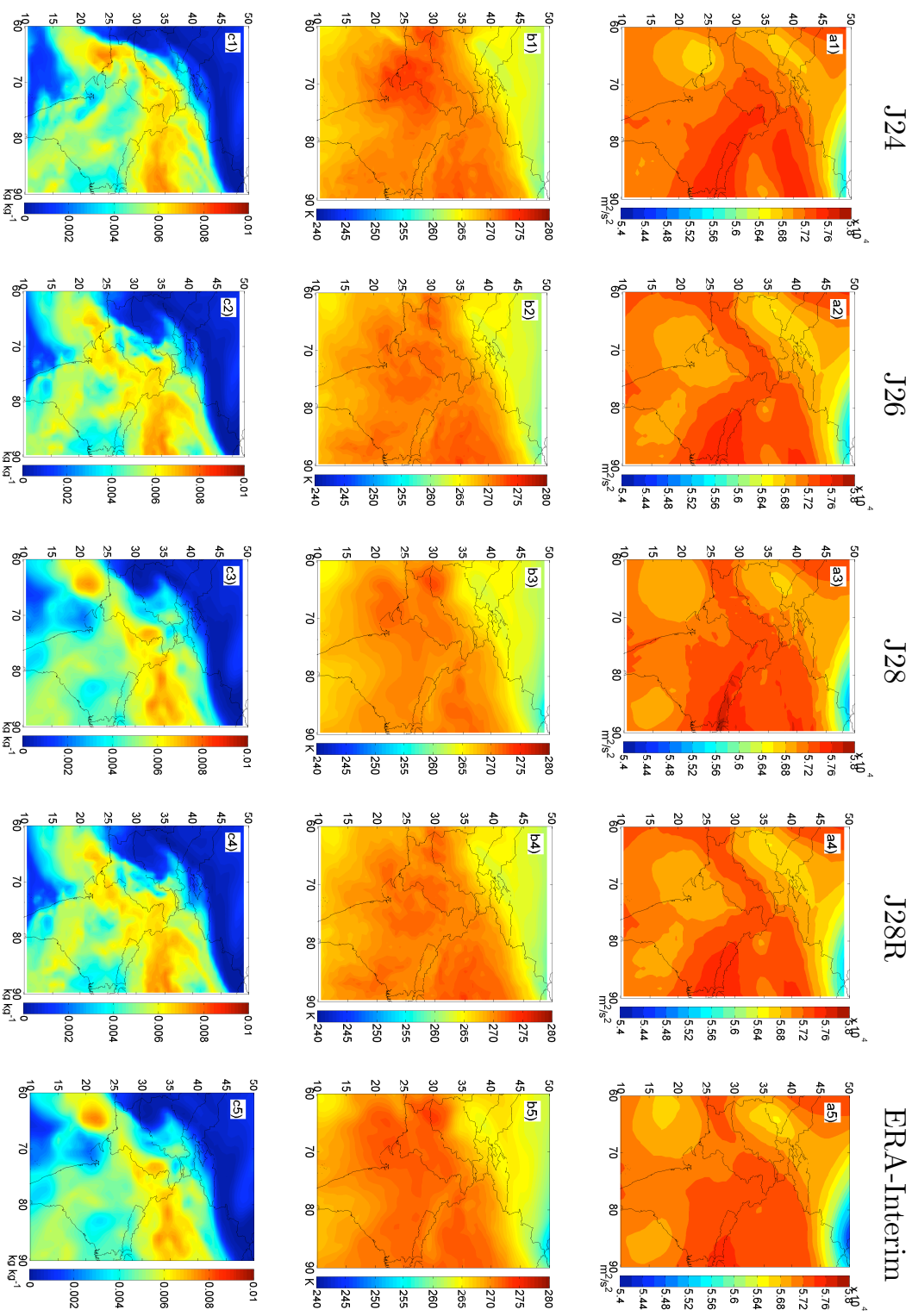


FIG. 1: Large scale circulation of geopotential (a panels), temperature (b panels) and specific humidity (c panels) at 500 hPa on July 28th at 00 UTC as simulated by WRF J24 (a1, b1, c1), J26 (a2, b2, c2), J28 (a3, b3, c3), J28R (a4, b4, c4) runs and by ERA-Interim reanalysis (a5, b5, c5).

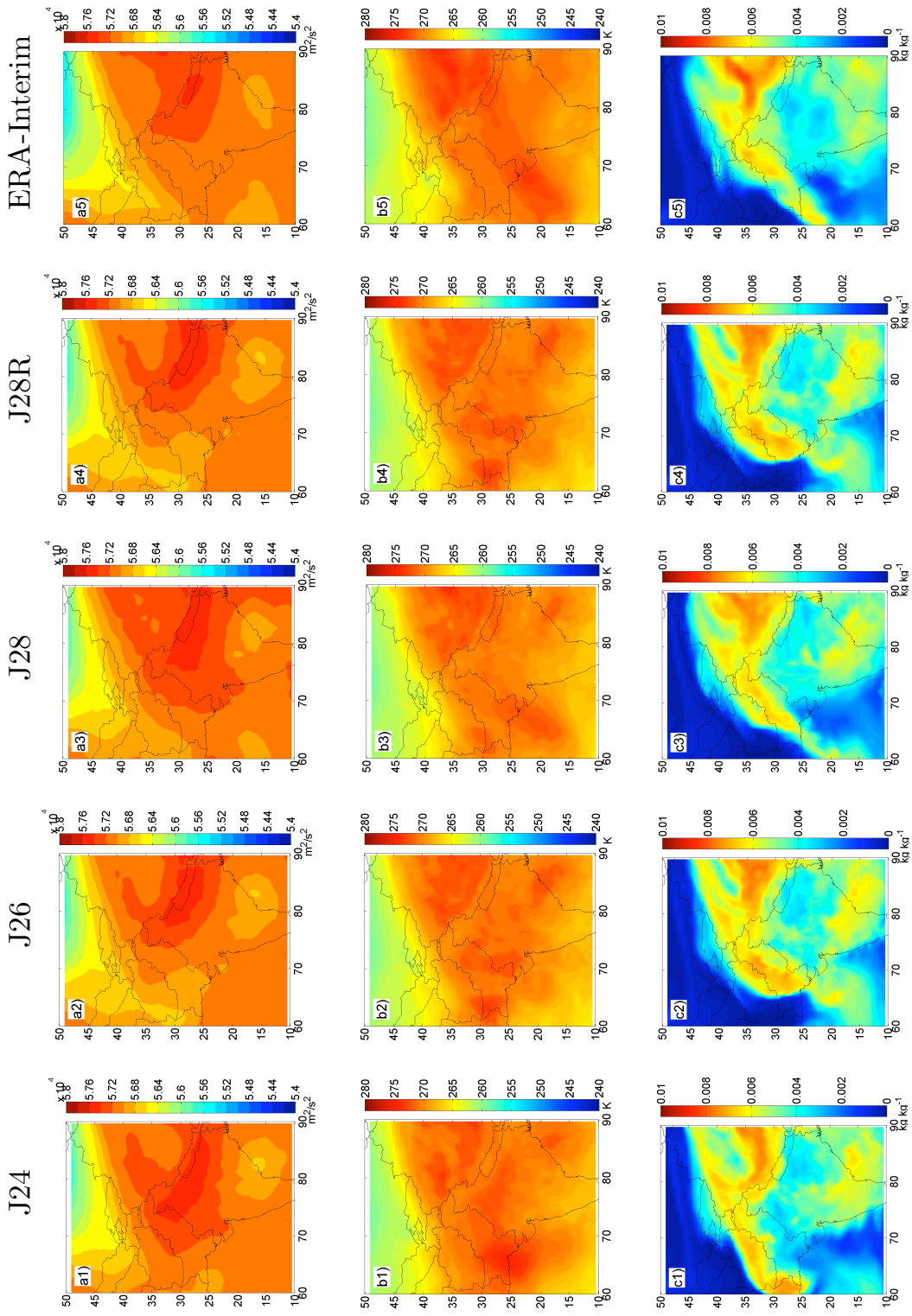


FIG. 2: Large scale circulation of geopotential (a panels), temperature (b panels) and specific humidity (c panels) at 500 hPa on July 29th at 00 UTC, as simulated by WRF J24 (a1, b1, c1), J26 (a2, b2, c2), J28 (a3, b3, c3), J28R (a4, b4, c4) runs and by ERA-Interim reanalysis (a5, b5, c5).

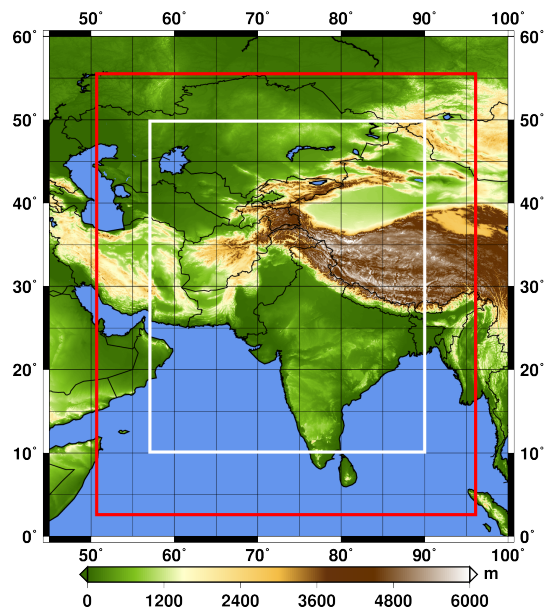


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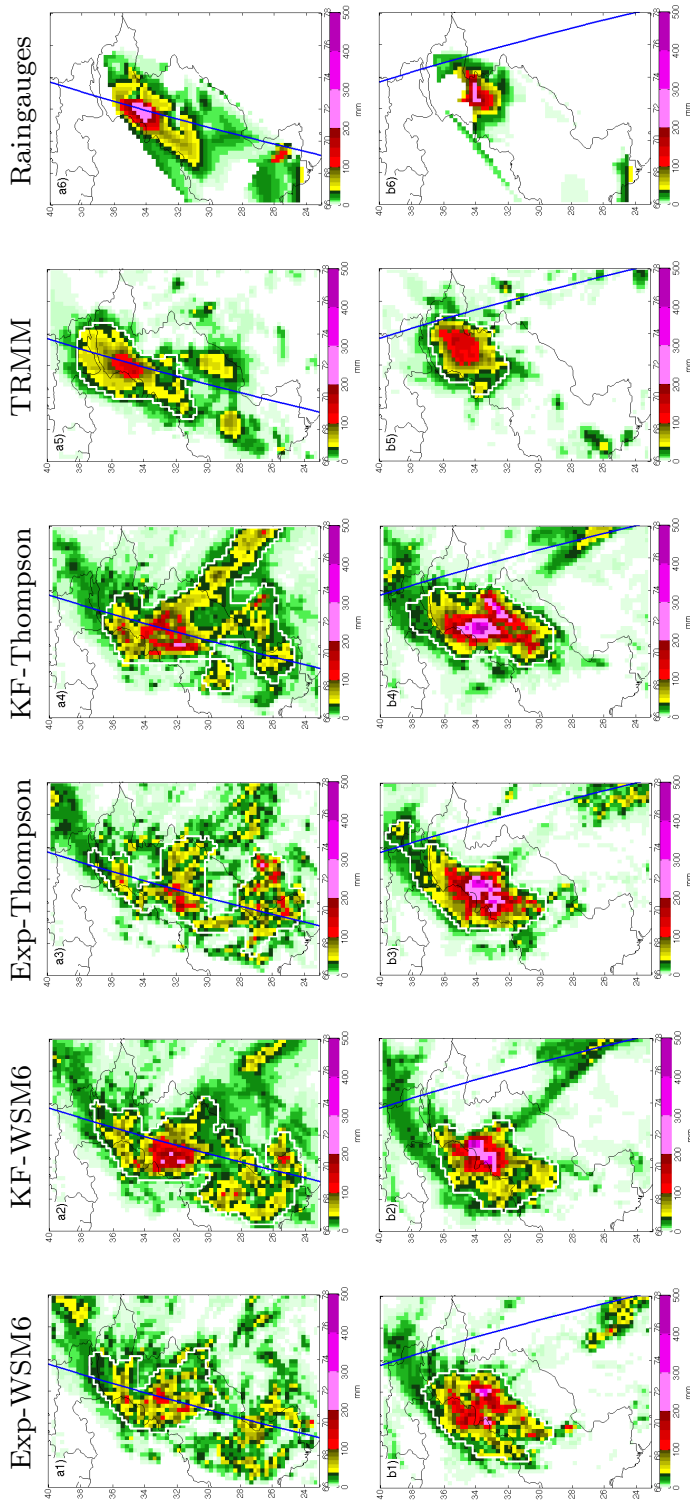


FIG. 4: WRF Quantitative Precipitation Forecasts and TRMM daily rainfall. From left to right: Exp-WSM6 (a1, b1), KF-WSM6 (a2, b2), Exp-Thompson (a3, b3), KF-Thompson (a4, b4), TRMM (a5, b5) and raingauge observations (a6, b6). All fields have been aggregated at 0.25° resolution in the study area. The top row refers to July 28th 2010 (a) and the bottom row refers to July 29th (b). The blue lines represent CloudSat tracks and the white contour represent the object identified by MODE analysis.

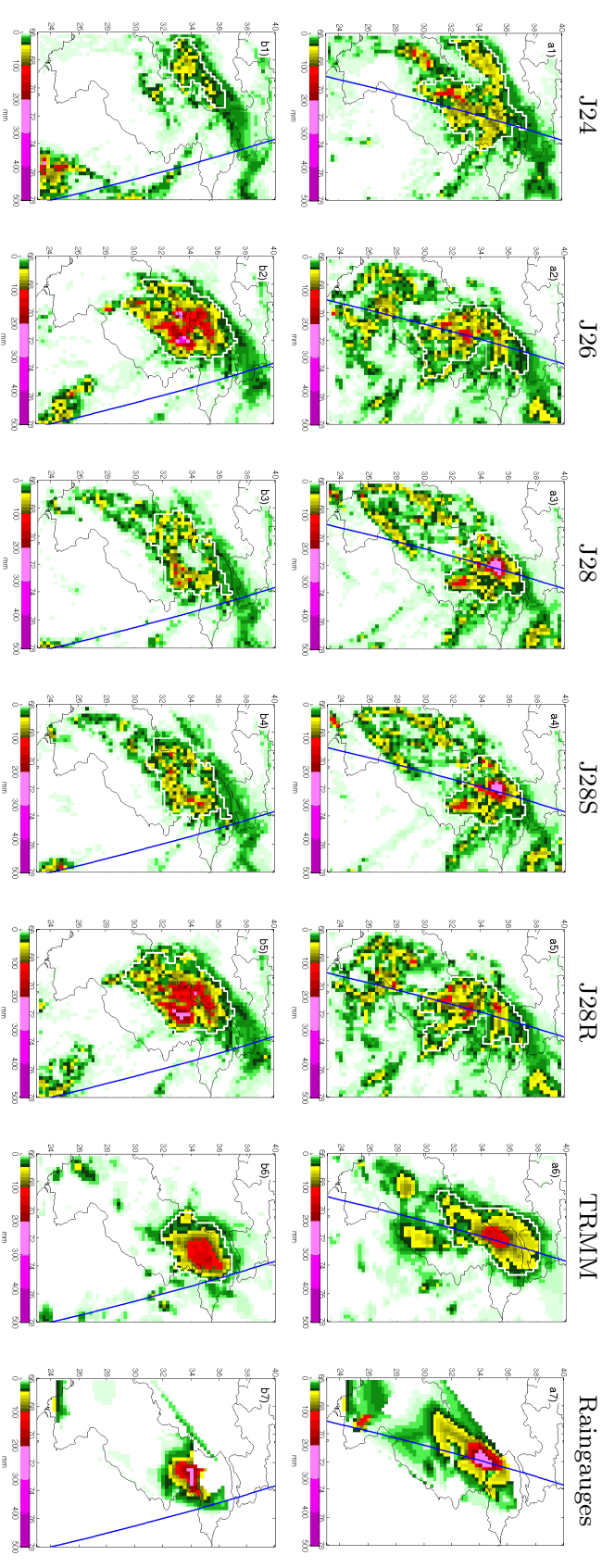


FIG. 5: First row: 24-hr rainfall accumulates on July 28th given by: J24 (a1), J26 (a2), J28 (a3), J28S (a4), J28R (a5), TRMM (a6) and rain gauge stations (a7). Second row: 24-hr rainfall accumulation on July 29th given by: J24 (b1), J26 (b2), J28 (b3), J28S (b4), J28R (b5), TRMM (b6) and rain gauge stations (b7). All rainfall fields have been aggregated at 0.25° horizontal resolution. The blue lines represent CloudSat tracks and the white contour represent the object identified by MODE analysis.

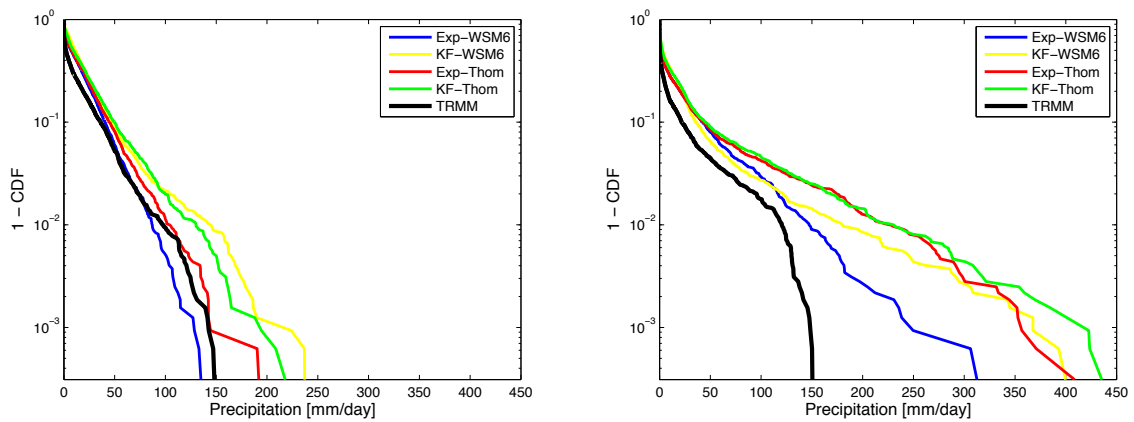


FIG. 6: Comparison between probabilities of exceedance (1-CDF) for daily rainfall from WRF simulations and TRMM estimates, for July 28th (left panel) and July 29th (right panel). Spatial resolution is 0.25° and the results refer to the whole study area.

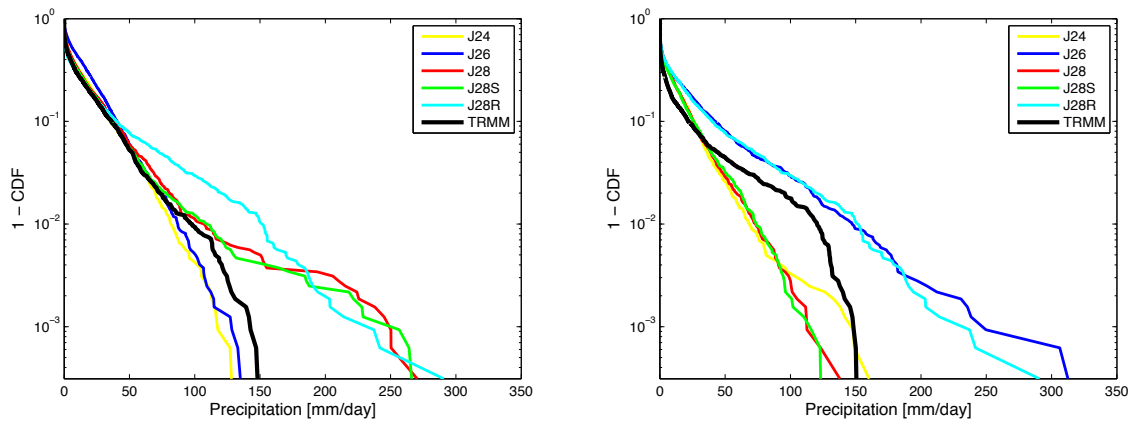


FIG. 7: Comparison between probabilities of exceedence (1-CDF) obtained from WRF using different initialization days and those derived from TRMM estimates. Left panel: July 28th; right panel: July 29th. The spatial resolution is 0.25° and the results refer to the whole study area.

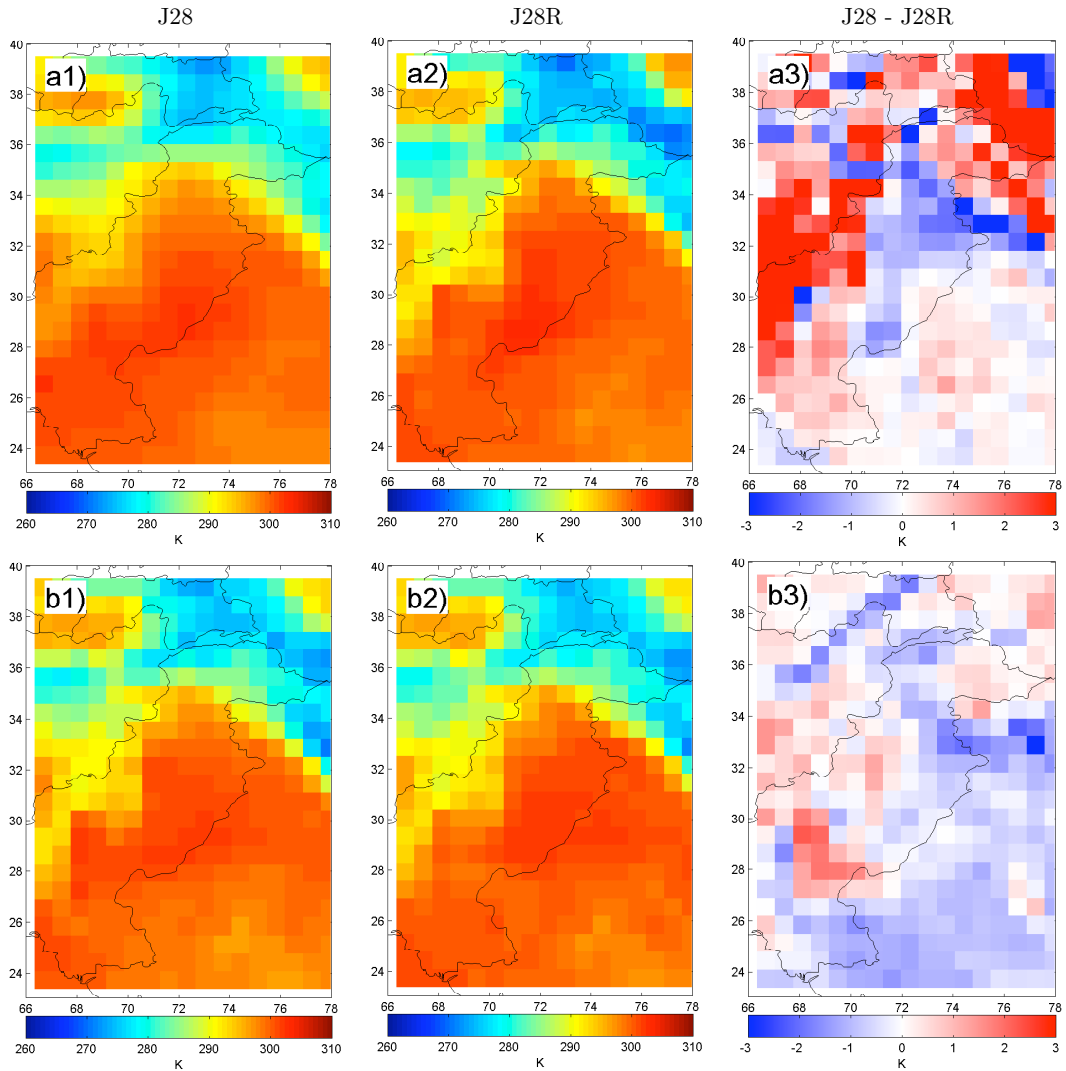


FIG. 8: Surface temperature at the time of initialization (28th at 00 UTC) and on 29th at 00 UTC for the J28 and J28R runs. Upper row: Temperature field at 2m in the J28 run on July 28th at 00 UTC (a1); the same for the J28R run (a2); pixel-by-pixel difference between these two temperature fields (a3). Bottom row: Temperature field at 2m for the J28 run on July 29th at 00 UTC (b1); the same for the J28R run (b2); pixel-by-pixel difference between these two temperature fields (b3). Temperature fields are plotted at 0.75° horizontal resolution.

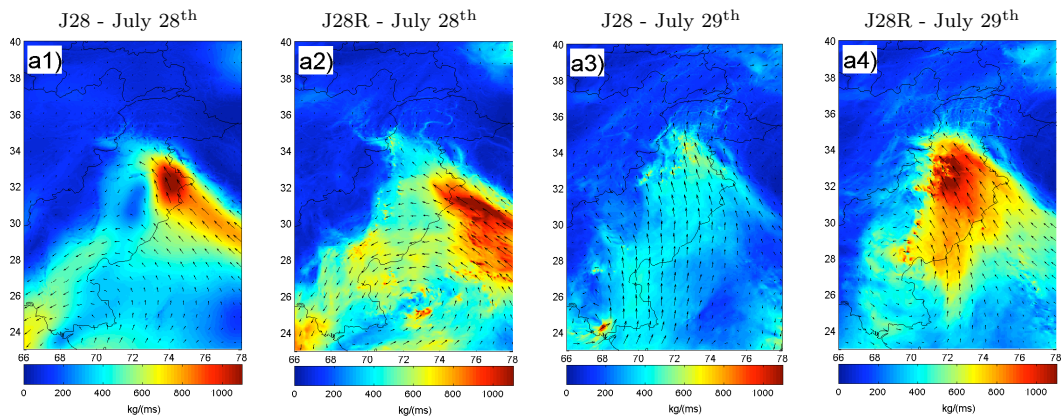


FIG. 9: Moisture transport field for the J28 run on July 28th at 00 UTC (a1); the same for the J28R run (a2); moisture transport for the J28 run on July 29th at 00 UTC (a3); the same for the J28R run (a4). Moisture transport fields are plotted at the resolution of WRF simulations (3.5 km). The colors indicate the intensity and the vectors represent the directions of the moist transport.

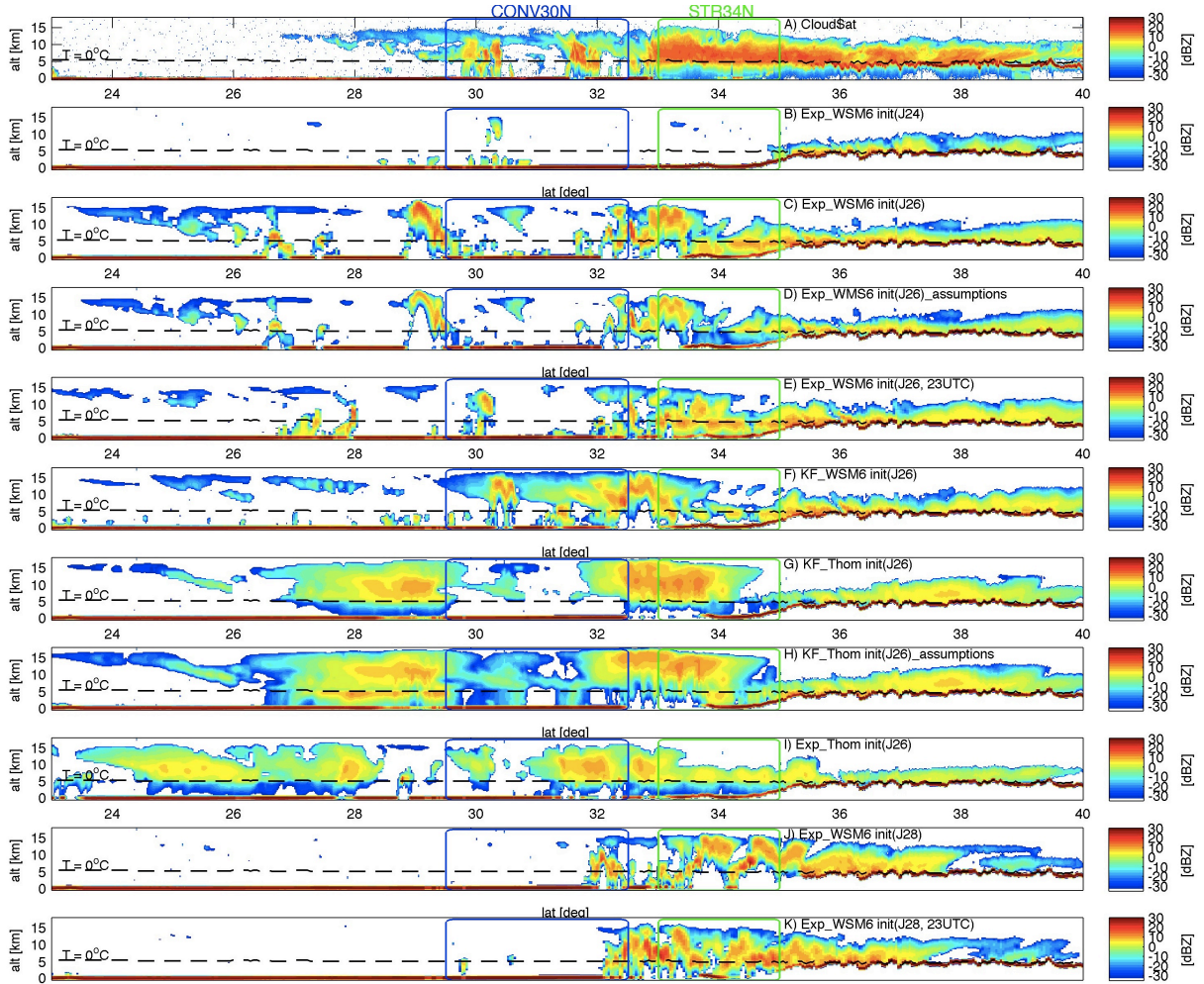


FIG. 10: Vertical structure of the atmosphere on July 28th at 21 UTC. From the upper to the lower panel: CloudSat observation (Granule 22608) (a) and DS3 CloudSat simulations for Exp-WSM6 initialized on J24 (b), Exp-WSM6 initialized on J26 (c), Exp-WSM6 initialized on J26 with different microphysical assumptions (d), Exp-WSM6 at 23 UTC initialized on J26 (e), KF-WSM6 initialized on J26 (f), KF-Thompson initialized on J26 (g), KF-Thompson initialized on J26 with different microphysical assumptions (h), Exp-Thompson initialized on J26 (i), Exp-WSM6 initialized on J28 (j), Exp-WSM6 at 23 UTC initialized on J28 (k).