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1                                   The rapid warming of the North Atlantic Ocean  
2                   in the mid-1990s in an eddy permitting ocean reanalysis (1982-2013)

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## Abstract

The rapid warming in the mid-1990s in the North Atlantic Ocean is investigated by means of an eddy-permitting ocean reanalysis. Both the mean state and variability, including the mid-1990s warming event, are well captured by the reanalysis. An ocean heat budget applied to the subpolar region (SPG) (50°N-66°N, 60°W-10°W) shows that the 1995-1999 rapid warming is primarily dictated by changes in the heat transport convergence term while the surface heat fluxes appear to play a minor role. The mean negative temperature increment suggests a warm bias in the model and data assimilation corrects the mean state of the model, but it is not crucial to reconstruct the time variability of the upper ocean temperature. The decomposition of the heat transport across the southern edge of SPG into time-mean and time-varying components, shows that the SPG warming is mainly associated with both the anomalous advection of mean temperature and the mean advection of temperature anomalies across the 50°N zonal section. The relative contributions of the Atlantic Ocean Meridional Circulation (AMOC) and gyre circulation to the heat transport are also analyzed. It is shown that both the overturning and gyre components are relevant to the mid-1990s warming. In particular the fast adjustment of the barotropic circulation response to the NAO drives the anomalous transport of mean temperature at the subtropical/subpolar boundary, while the slowly evolving AMOC feeds the large-scale advection of thermal anomalies across 50°N. The persistently positive phase of the NAO during the years prior to the rapid warming, did likely favour the cross-gyre heat transfer and the following SPG warming.

51 **1. Introduction**

52 The North Atlantic ocean plays an important role in several aspects of the global  
53 climate. Changes in the North Atlantic state have well established impacts on  
54 European and North American climate [Sutton and Dong, 2012; Sutton and Hodson,  
55 2005], the frequency of Atlantic hurricane [Smith et al., 2010], marine ecosystem  
56 [Hátún et al., 2009], Greenland ice sheet [Holland et al., 2008], and also tropical  
57 Pacific climate [McGregor et al., 2014].

58 The observational records of North Atlantic sea surface temperatures (SSTs) reveal  
59 significant variability at the multi-decadal scale [Kushnir, 1994; Sutton and Hodson,  
60 2003; Dommenges and Latif 2000; Ting et al., 2009, Hurrell and Deser, 2009]. In the  
61 mid-1990s, an abrupt warming of the northern North Atlantic, accompanied by a  
62 weakening and shrinking of the Subpolar Gyre (SPG) was observed [Häkkinen and  
63 Rhines, 2004]. This rapid warming has been shown in observations [Bersch et al.  
64 2007; Sarafanov et al., 2008], and further corroborated by several model studies  
65 [Marsh et al. 2008, Lohmann et al., 2009a; Lohmann et al., 2009b; Grist et al., 2010;  
66 Yeager et al., 2012; Robson et al., 2012a; Desbruyères et al., 2014; Barrier et al.,  
67 2015].

68 Different physical mechanisms have been proposed for this rapid warming. An  
69 important driver of the North Atlantic variability, significantly impacting its  
70 properties and circulations, is the North Atlantic Oscillation (NAO) [Visbeck et al.,  
71 2003]. The NAO displays a predominantly positive phase from the beginning of the  
72 1970s until the mid of 1990s. Between winters 1994/1995 and 1995/1996, the NAO  
73 underwent a sudden change from a positive to a negative phase, and since then it has  
74 been largely neutral [Hurrell and Deser, 2009]. A positive phase of the NAO is  
75 typically associated with stronger than normal westerly winds that cool down the

76 surface of the subpolar region by increasing the latent heat fluxes. By using numerical  
77 models, Eden and Willebrand [2001] and Bellucci and Richards [2006] show that  
78 changes in the wind stress associated with the NAO are responsible for changes in the  
79 ocean circulation over the subpolar region. Brauch and Gerdes [2005] also suggest  
80 that the detected change in the SPG is caused by the change of the NAO, especially  
81 the sudden drop of the NAO index between 1994/1995 and 1995/1996 winters.  
82 Herbaut and Houssais [2009] and Häkkinen et al. [2011] argue that changes in the  
83 eastern SPG are mainly driven by a local response to the wind stress associated to the  
84 mid-1990s shift of the NAO phase. By performing a set of sensitivity experiments  
85 with an ocean general circulation model forced with an atmospheric reanalysis,  
86 Lohmann et al. [2009b] suggests that the combination of the ocean state  
87 preconditioning determined by the strong positive NAO in the years preceding 1995,  
88 and the sudden switch to a negative phase during winter 1995/1996 were responsible  
89 for the weakening of the SPG. The weakening and shrinking of the SPG that comes  
90 with a northwest shift of the subpolar front and also a northward advection of warm  
91 subtropical water in the Subpolar Gyre [Hátún et al., 2005, Bersch et al., 2007;  
92 Sarafanov et al., 2008] results in a warmer state in the SPG region. By using the same  
93 ocean model and atmospheric forcing as Lohmann et al. [2009b], Robson et al. [2012a]  
94 show that due to the positive NAO between the 1970s to the beginning of the 1990s,  
95 the Atlantic Meridional Overturning Circulation (AMOC) has been strengthening as a  
96 response to the enhanced buoyancy losses. The strengthening of AMOC results in an  
97 increase of the meridional heat transport, which brings more heat from the subtropical  
98 Atlantic to the Subpolar Gyre region. Therefore, they suggest that positive NAO  
99 phase is the primary driver of the meridional heat transport that accounts for the  
100 observed rapid warming in the SPG region. The delayed ocean response to the

101 positive NAO implies some degree of predictability associated with this rapid  
102 warming event. With a set of decadal prediction experiments performed with different  
103 prediction systems, Robson [2012b], Yeager et al. [2012] and Msadek et al. [2014]  
104 show, in a broadly consistent way, that the increasing heat transport associated with  
105 stronger AMOC due to the positive NAO is responsible for the warming in the SPG  
106 region.

107 In a recent study, Barrier et al. [2015] investigate the North Atlantic warming by  
108 splitting the SPG region into western and eastern sub-basins. The authors pose a  
109 boundary in correspondence of the Reykjanes/Mid-Atlantic Ridge to separate western  
110 and eastern sub-region based on the different hydrographic properties characterizing  
111 the water masses in the two basins [Thierry et al., 2008]. Their results show that in the  
112 western Subpolar Gyre, the warming is the ocean response to the heat losses in the  
113 western SPG area determined by the positive NAO phase, resulting in an intensified  
114 deep convection that induces a strengthening of the AMOC. This in turn determines  
115 an increased meridional heat transport leading to the warming of the western SPG. On  
116 the other hand, the eastern SPG warming appears to be due to the barotropic  
117 adjustment of the gyre circulation, following the abrupt change of NAO from a  
118 positive to negative phase.

119 Previous studies have investigated the mid-1990s rapid warming event in the North  
120 Atlantic using observations, model simulations or decadal prediction experiments. To  
121 the authors' knowledge, there is no study tackling this issue based on an ocean  
122 reanalysis product. Ocean reanalyses are data sets that combine observations and  
123 numerical models through data assimilation methods, providing a more accurate and  
124 dynamically consistent estimate of the changing ocean state compared to either purely  
125 observational or model-only products. Analyzing the mid-1990s warming event in an

126 ocean reanalysis will cover an important gap in the existing literature, allowing for a  
127 detailed understanding of the underlying processes, corroborated by the strong  
128 observational constraint embedded in the ocean reanalysis.

129 In this paper, we use an eddy-permitting ocean reanalysis to investigate the  
130 possible mechanisms of the rapid warming in the North Atlantic Ocean over the  
131 Subpolar Gyre region. The impact of data assimilation on the heat budget in a closed  
132 basin is also discussed. Section 2 describes the ocean reanalysis used for this study. In  
133 section 3, the warming phenomenon in the North Atlantic SPG region is described  
134 and analysed and a possible mechanism is proposed. Section 4 gives the summary and  
135 conclusions of this study.

136

## 137 **2. Methods**

138 For this study we used data from the global ocean reanalysis based on the Centro  
139 Euro-Mediterraneo sui Cambiamenti Climatici (CMCC) eddy-permitting Global  
140 Ocean Reanalysis System (C-GLORS) [Storto et al., 2015b], covering the 1982-2013  
141 period and available at [www.cmcc.it/c-glors](http://www.cmcc.it/c-glors) and through the Copernicus Marine  
142 Service ([marine.copernicus.eu](http://marine.copernicus.eu)). C-GLORS is based on NEMO 3.2.1 global ocean  
143 general circulation model [Madec et al., 1998] with an approximated  $\frac{1}{4}$  degree  
144 horizontal resolution, ranging from about 10km at high latitude to 22km at mid-  
145 latitude and 27km at the Equator and 50 vertical levels with partial steps [Barnier et  
146 al., 2006]. The grid of the model is tri-polar, with two Poles on the Asian and North  
147 American continents and the third one at the South Pole. The vertical mixing  
148 parameterization is based on a turbulent kinetic energy (TKE) prognostic equation,  
149 whereas the Total Variation Diminishing (TVD) [Zalesak, 1979] algorithm is used as  
150 advection scheme. For the river runoff a monthly climatology from Dai and Trenberth

151 [2002], including 99 major rivers and coastal runoffs is used. A three-layers (two  
152 layers of sea ice and one layer of snow) dynamic-thermodynamic sea ice model, the  
153 Louvain-La-Neuve Ice Model, version 2 (LIM 2, Fichefet and Morales Maqueda,  
154 1997) is coupled with the ocean component with a coupling frequency of 1.5 hours.

155 The atmospheric reanalysis from European Centre for Medium-Range Weather  
156 Forecast (ECMWF), ERA-Interim [Dee et al., 2011] is used as the surface forcing for  
157 C-GLORS. Surface wind (U and V at 10m) from ERA-Interim is used to calculate  
158 surface momentum fluxes using the CORE bulk formula [Large and Yeager, 2004].  
159 Three hourly 2-meter air temperature and specific humidity, daily short-wave  
160 radiation, long-wave radiation, precipitation and snow from ERA-Interim are used for  
161 calculating heat and fresh water fluxes. The radiation fluxes from the ERA-Interim are  
162 corrected before used in C-GLORS due to the biases in the upwelling areas in the  
163 tropical oceans. The correction is based on Dussin and Barnier [2013]. Precipitation is  
164 also corrected by using a climatological correction coefficient derived from Remote  
165 Sensing Systems/Passive Microwave Water Cycle (REMSS/PMWC, see details in  
166 Storto et al. [2015b]).

167 The observations assimilated in C-GLORS include in-situ temperature and salinity  
168 profiles from ENSEMBLES EN3v2a (hereafter EN3) dataset [Ingleby and Huddleston,  
169 2007] collected, distributed and quality checked by U.K. Met Office Hadley Center.  
170 EN3 includes observations from moorings, Argo floats, Expendable Bathy  
171 Thermographs (XBT), and Conductivity Temperature Depth (CTDs) devices. The  
172 XBT fall rate is corrected based on Wijffels et al. [2008]. Sea level anomalies are  
173 from the AVISO along-track delayed mode dataset that includes observations from  
174 ERS-1 and -2, Envisat, GFO, Jason-1 and -2, Topex/Poseidon and Cryosat-2. Sea  
175 surface temperature from the National Oceanic and Atmospheric Administration



176 (NOAA) high-resolution daily analyses [Reynolds et al., 2007] and sea ice  
177 concentration observations from the Defense Meteorological Satellite Program  
178 (DMSP) microwave radiances [Cavalieri et al., 1996] are also assimilated through a  
179 nudging scheme.

180 In-situ temperature and salinity profile data are assimilated by using a three-  
181 dimensional variational (3D-Var) assimilation scheme, as described in details in  
182 Storto et al. [2011] and Storto et al. [2014]. The analysis is performed every 7 days.  
183 The reanalysis system implements a three dimensional large-scale (time scales longer  
184 than 3 months and radial spatial scale longer than 2000km) bias correction on  
185 temperature and salinity to reduce spurious model biases and drifts. The bias is  
186 defined as the differences between model and uni-variate EN4 objective analyses  
187 from Met Office [Good et al. 2013] for temperature and salinity. The large-scale bias  
188 correction contributes positively to the reanalysis system by reducing the model drifts  
189 and systematic errors [Storto et al. 2015b].

190

### 191 **3. Results**

#### 192 *3.1 The Mean State and Variability of the North Atlantic Ocean*

193 C-GLORS ocean reanalysis has been used in several studies [Cessi et al., 2014;  
194 Ezer 2015; Storto et al., 2015a]. However, this is the first time that this product is  
195 used to inspect the decadal-scale variability of the North Atlantic. In the present  
196 section, the mean state and variability over the North Atlantic region as reproduced by  
197 C-GLORS reanalysis are documented.

198 In Figure 1a the upper 500 m averaged temperature (T500) is shown for 1982-2013.  
199 The T500 climatological pattern displays a distinct meridional gradient across the  
200 Subtropical/Subpolar Gyre boundary, with a corresponding front located around 40°N.

201 Deep convection areas as identified by the march mixed layer depth (MMLD) proxy,  
202 mainly occur in the Labrador Sea (Figure 1b), where MMLD exceeds 800m, while a  
203 shallower 650m deep MMLD is found in the Irminger Seas. Labrador Sea convection  
204 is found in the northeast of the basin, in contrast with the Argo derived climatology  
205 from de Boyer Montegut et al. [2004] (not shown), indicating a relatively deeper  
206 convection (around 1000m) occurring in the south-western part of the Labrador Sea.

207 The mean 1982-2013 barotropic stream function averaged over the 1982-2013  
208 period (Figure 1c) shows a cyclonic Subpolar Gyre circulation, with a 40 Sv strength  
209 close to observational estimates [Pickart et al., 2002]. Mesoscale variability is  
210 captured, especially in the western boundary of the subtropical regions.

211 The climatology of the AMOC from C-GLORS is shown in Figure 1d with the  
212 maximum of 17Sv located at 35°N. The monthly AMOC strength at 26°N, evaluated  
213 as the maximum meridional overturning streamfunction over the full water column, is  
214 shown in Figure 2a for both C-GLORS (black) and observations from Rapid-MOC  
215 (red). The mean AMOC at 26°N is 15.6 Sv, slightly weaker than the observed 17.2 Sv  
216 estimate obtained from the Rapid-MOC array [McCarthy et al., 2015]. For the 2005-  
217 2013 period (for which, C-GLORS and RAPID-MOC are directly comparable), the  
218 correlation between monthly observed and C-GLORS AMOC transport at 26° N is  
219 0.82 (passing the 95% significance test). While this high correlation value is largely  
220 dictated by the seasonal cycle, it is worth noticing that the reanalysis is also able to  
221 capture some of the interannual and longer term fluctuations in the observed AMOC  
222 record, including the declining trend and the rapid drop in the AMOC strength that  
223 occurred on year 2010. After removal of the seasonal cycle from both C-GLORS and  
224 RAPID-MOC the correlation is still high (0.77, passing the 95% significance test).  
225 The monthly meridional heat transport (MHT) at 26°N for both C-GLORS (black)

226 and observations (red, RAPID-MOCHA-MHT data derived from the RAPID-MOC  
227 array) is shown in Figure 2b. As for the AMOC, the correlation between monthly  
228 MHT in C-GLORS and observations is fairly large (0.85, passing the 95%  
229 significance test). Overall, we conclude that C-GLORS captures reasonably well the  
230 mean state and the variability of the North Atlantic Ocean.

231

### 232 *3.2 The North Atlantic Warming in the mid-1990s*

233 The evolution of T500 anomalies relative to the 1982-2013 climatology, time-  
234 averaged over different 5-year intervals encompassing the rapid warming event, is  
235 shown in Figure 3 (a-d). As expected, before 1995 a cold anomaly is well visible in  
236 the subpolar region, reaching its maximum during the 1991-1995 period. After 1996,  
237 the subpolar region starts to warm, reaching a maximum warming after 2001.

238 In concomitance with the SPG warming, the strength of the SPG cyclonic  
239 circulation strength (diagnosed through the barotropic streamfunction) decreases  
240 (Figure 4). Between 1991 and 1995 the SPG is particularly intense over the Labrador  
241 Sea but starts weakening after 1995 reaching its minimum strength in 2001-2005.

242 The year-to-year evolution of T500 anomalies computed with respect to the 1982-  
243 2013 baseline and basin-averaged over the subpolar region (50°N-66°N, 60°W-10°W,  
244 as shown in Figure 1a) is shown in Figure 5 (in black). From 1982 to 1995, T500 is  
245 cooler than normal and turns warmer after 1995. The temperature increment due to  
246 data assimilation, defined as the difference between analysis and background (model)  
247 temperatures is quantified. The temperature increment is the instantaneous value  
248 estimated every 7 days with the 3D-Var assimilation scheme. The contribution of data  
249 assimilation is therefore the accumulation over time of the 7-day increments. A  
250 negative (positive) increment indicates that data assimilation corrects a warm (cold)-

251 biased model state. Along with the area averaged T500 anomaly, the accumulation of  
252 temperature analysis increment in each year averaged in the same area is shown in  
253 Figure 5 (in orange). The mean negative temperature increments (-0.07) in Figure 5  
254 suggest that the model has a warm bias (the minimum temperature increment reaches  
255 -0.47°C). The temperature field in the model is corrected by adding the temperature  
256 increment.

257 In order to fully establish the impact of data assimilation on the rapid warming  
258 event, we confronted the C-GLORS reanalysis with a twin control experiment, where  
259 only atmospheric fluxes are used to constrain the ocean state, but no assimilation (nor  
260 SST relaxation) is used. However, for the control run only the upper 700 m average  
261 temperature (T700) data had been retained, and therefore we could only assess the  
262 data assimilation impact for this specific diagnostic. From the comparison (not  
263 shown), it emerged that T700 is about 0.25C colder in C-GLORS than in the control  
264 run, confirming the (previously detected) warm bias affecting the dynamical ocean  
265 model. However, the control simulation appears to be able of correctly capturing the  
266 phase of the mid-90s warming. Thus, data assimilation is important to correct the  
267 model bias, but it is not crucial to reconstruct the time variability of the upper ocean  
268 temperature. However, due to the lack of additional information from the control  
269 simulation, it was not possible to perform a more comprehensive assessment of the  
270 impact of data assimilation (for instance, the impact on mass and heat transports).

271

### 272 *3.3 Heat budget of the SPG region*

273 Both changes in heat fluxes at the air-sea interface and heat transport convergence  
274 could be responsible for the detected warming in the SPG. In order to investigate the  
275 mechanism of the warming, the heat budget for the full depth in the SPG region is

276 analyzed. We calculate variations of ocean heat content (OHC) at each monthly time  
 277 step as follows:

$$\frac{\partial OHC}{\partial t} = \iint_{S_a} Q_{net} dx dy + \text{Heat Transport Convergence (HTC)} + \text{Assimilation} + \text{residual}$$

$$278 \quad HTC = \rho_0 C_p \iint_{50N} TV dx dz + \rho_0 C_p \iint_{65N} TV dx dz + \rho_0 C_p \iint_{10W} TU dy dz + \rho_0 C_p \iint_{60W} TU dy dz$$

$$OHC = \rho_0 C_p \iiint_{S_o} T dx dy dz$$

279

280 where  $Q_{net}$  is the net (including latent and sensible heat fluxes, downward long-  
 281 and short-wave radiation terms), U and V are zonal and meridional velocity,  $S_a$  is the  
 282 area in the SPG region and  $S_o$  is the ocean volume corresponding to the SPG region  
 283 from the surface to the bottom.  $\rho_o$  and  $C_p$  are seawater density (1020 kg/m<sup>3</sup>) and heat  
 284 capacity (4000 J kg<sup>-1</sup>C<sup>-1</sup>) respectively. The first two terms that contribute to the heat  
 285 budget are calculated following Barrier et al. [2015]. The first term on the right hand  
 286 side of the equation represents the contribution to the OHC rate of change of surface  
 287 heat fluxes, which is the surface integration of net surface heat fluxes in the SPG  
 288 region. Positive values imply that the ocean gains heat from the atmosphere. The  
 289 second term represents the contribution of heat transport convergence, which is the  
 290 sum of the heat transport across all the sections (50°N, 66°N, 10°W and 60°W) that  
 291 close the water volume in the SPG region. The temperature increment during the data  
 292 assimilation process represented by  $T^a - T^b$  (with  $T^a$  and  $T^b$  the instantaneous  
 293 analysis and background states respectively, estimated every 7 days with the 3D-Var  
 294 assimilation scheme) contribute to the heat budget as well. We approximate the  
 295 contribution of data assimilation to the heat budget as the heat content rate of change  
 296 due to the temperature increment in the closed volume (the closed volume is the same  
 297 as the volume in the calculation of total heat content). However, the precise

298 calculation of the budget requires  $Q_{net}$  and  $HTC$  to be evaluated from  $T^b$ , rather than  
299  $T$ , so as to correctly single out the contribution of assimilation (in fact, data  
300 assimilation does also indirectly affect  $Q_{net}$  and  $HTC$  through its impact on ocean  
301 circulations and SST). However, this is not possible in practice, leading to an  
302 approximate estimates of the heat budget terms. Additionally, the large-scale bias  
303 correction contributes to the heat budget as well, albeit difficult to quantify. We  
304 therefore prefer to include the assimilation contribution, given by the temperature  
305 increment during the data assimilation process and the large-scale correction, into the  
306 residuals term.

307 Figure 6 displays the individual terms of the OHC tendency equation. It is evident  
308 that the positive rate of change of OHC around 1995 is coherent with the heat  
309 transport convergence term. On the other hand, from 1993 to 1998 the surface heat  
310 fluxes decrease after 1997 (the ocean releases more heat to the atmosphere),  
311 suggesting that the surface heat fluxes do not contribute to the warming or weaken the  
312 warming signal. The correlation between monthly OHC rate of change and heat  
313 transport convergence (surface heat fluxes) computed over the 1982-2013 period  
314 using monthly data is 0.4 (0.29), increasing to 0.54 (0.38) if only the rapid warming  
315 transient period (1995-1999) is considered. The contribution of data assimilation plus  
316 residuals to the overall OHC rate of change is also shown in Figure 6. The mean  
317 contribution of data assimilation (not shown) is negative, indicating that the ocean  
318 state is systematically warmer than the analysis and the observations and the data  
319 assimilation process corrects this deficiency in the model behavior, which confirms  
320 the discussion above (negative temperature increment) that the model mean state has a  
321 warm bias.

322 Overall, the heat budget analysis confirms that the main contribution to the  
 323 warming in the SPG comes from the heat transport convergence while surface heat  
 324 fluxes plays a secondary role, in agreement with previous studies [Grist et al., 2010].

325

### 326 *3.4 Meridional Heat transports*

327 As mentioned above, ocean heat transport plays a major role in the warming of the  
 328 SPG region. The heat transport convergence includes heat transport across lateral  
 329 boundaries of the selected SPG box. The contribution of individual heat transport  
 330 terms is shown in Figure 7. It is evident that the heat transport convergence variability  
 331 is mainly due to the heat transport across the southern boundary (50°N in this study),  
 332 while the overall transport across the meridional western and eastern boundaries plays  
 333 a second order role.

334 Here we focus on the zonal transport across 50°N, analyzing the reasons for the  
 335 detected increase of the heat transport. Following the approach outlined in Dong and  
 336 Sutton [2002], the total heat transport is expressed in terms of time-mean and time-  
 337 varying components, as follows. After splitting the meridional velocity and  
 338 temperature fields into their mean and time-varying components, we obtain:

$$\begin{aligned}
 339 \quad \rho_o C_p \int_{-H}^0 \int_{\lambda_w}^{\lambda_e} VT dx dz = & \rho_o C_p \int_{-H}^0 \int_{\lambda_w}^{\lambda_e} \bar{v} \bar{T} dx dz + \rho_o C_p \int_{-H}^0 \int_{\lambda_w}^{\lambda_e} v' \bar{T} dx dz \\
 & \rho_o C_p \int_{-H}^0 \int_{\lambda_w}^{\lambda_e} \bar{v} T' dx dz + \rho_o C_p \int_{-H}^0 \int_{\lambda_w}^{\lambda_e} v' T' dx dz
 \end{aligned}$$

340 where  $\bar{v}$  and  $\bar{T}$  are the 1982-2013 climatological values for the meridional velocity  
 341 and temperature,  $v'$  and  $T'$  the corresponding anomalies relative to the annual  
 342 climatology,  $\lambda_E$  (10°W) and  $\lambda_W$  (60°W) are the longitude of eastern and western  
 343 boundaries of the ocean basin. At 50°N, both the anomalous advection of mean  
 344 temperature and the mean advection of temperature anomalies (explaining 74% and

345 16% of the total variance, respectively) become relevant for the total heat transport,  
 346 while the covariance of temperature and meridional circulation is negligible (Figure 8).  
 347 In general, this result is consistent with previous studies [Msadek et al., 2014]  
 348 indicating that the warming is mainly contributed by temporal fluctuations of  
 349 meridional transport and advection of temperature anomalies. The major difference  
 350 with respect to Robson et al. [2012a] findings is that in their case the anomalous  
 351 advection of mean temperature term is important at 50°N and the covariance term  
 352 becomes important after the rapid warming at 50°N. In our study, at 50°N the  
 353 covariance term is negligible over most of the analyzed period. It is important to note  
 354 that Robson et al. [2012a] use a model with a 2.4° spatial resolution, considerably  
 355 coarser than the horizontal resolution used in C-GLORS.

356 The ocean circulation is commonly discussed separately in terms of gyre and  
 357 meridional overturning circulation. In order to distinguish the relative contributions to  
 358 the total heat transport associated with the gyre circulation and meridional overturning  
 359 circulation, a more dynamically insightful characterization of the heat transport, based  
 360 on the method developed by Bryden and Imawaki [2001] is provided. The component  
 361 of the heat transport across the 50°N zonal transoceanic section due to the AMOC,  
 362  $Q_{amoc}$ , is defined as:

$$363 \quad Q_{amoc} = \rho_0 C_p \int \langle v(z) \rangle \langle T(z) \rangle L(z) dz$$

364 where  $\langle v(z) \rangle$  and  $\langle T(z) \rangle$  are zonally-averaged velocity and temperature at each  
 365 depth and  $L(z)$  is the width of the section at each depth. The component of the heat  
 366 transport due to the gyre circulation ( $Q_{gyre}$ ) is defined as:

$$367 \quad Q_{gyre} = \iint \rho_0 C_p v(x,z) T'(x,z) dx dz$$

368 where  $v'$  and  $T'$  are deviations from the zonal averages.



369 The temporal variability of these two components across 50°N is shown in Figure  
370 9. During the rapid warming period, from 1995 to 1999, both AMOC and gyre  
371 circulation components increase. However, the relative increase of  $Q_{gyre}$  during the  
372 warming transient is larger than  $Q_{amoc}$ . Also, it is seen that  $Q_{gyre}$  has a longer temporal  
373 persistence through the whole warming period, while  $Q_{amoc}$ , shows a rapid decay after  
374 year 1995. The important role found for the gyre circulation over the total zonal heat  
375 transport across 50N, is consistent with Grist et al. [2010]. By using an eddy-  
376 permitting ocean model without data assimilation, they show that from 50°N to 65°N  
377 the mean heat transport can be largely ascribed to the gyre component.

378

#### 379 **4. Summary and Conclusions**

380 An eddy-permitting ocean reanalysis is used to analyze the rapid warming  
381 observed in the Subpolar Gyre region in the mid-1990s. In general, the ocean  
382 reanalysis realistically captures the mean state and variability of the North Atlantic  
383 Ocean. Consistent with existing observations, the T500 evolution reproduces the  
384 sharp increase around year 1995, particularly pronounced over the eastern subpolar  
385 region and western part of the Labrador Sea. After year 2000 the warming affects the  
386 whole subpolar region. The rapid warming appears to be associated with a weakening  
387 of the Subpolar Gyre circulation consistent with existing observations and model-  
388 based analyses. An analysis of the temperature increments introduced by the data  
389 assimilation process and a comparison between C-GLORS and a control, purely  
390 forced, hindcast simulation reveals that the assimilation contributes to correct the bias  
391 in the model background state, but its impact on the phase of the mid-90s SPG  
392 warming is relatively small. The heat budget in the SPG region (50°N-66°N, 60°W-  
393 10°W) indicates that the detected mid-1990s warming is mainly due to the increase of

394 heat transport convergence with a 0.54(0.38) correlation between OHC rate of change  
395 and heat transport convergence (surface heat fluxes) during the 1995 to 1999 warming  
396 transient, consistent with a previous study by Grist et al. [2010].

397 The increase of the heat transport convergence in the SPG region mainly comes  
398 from the heat transport across the southern boundary (50°N in this study). A more  
399 detailed analysis demonstrates that the total heat transport is mainly contributed by  
400 both the anomalous advection of mean temperature and the mean advection of  
401 temperature anomalies, consistent with Msadek et al. [2014]. In order to gain a better  
402 dynamical insight of the processes driving the heat transport variability, we calculate  
403 the AMOC and gyre circulation components of the heat transport across 50°N  
404 following Bryden and Imawaki [2001]. The result shows that both AMOC and gyre  
405 circulation contribute to the increase of the heat transport, with a prominent role  
406 played by gyre circulation as discussed in [Grist et al., 2010; Msadek et al., 2014].

407 Previous studies have debated on the role played by the NAO on the mid-1990s  
408 SPG warming [Robson et al., 2012a, Lohmann et al., 2009a; Herbaut and Houssais,  
409 2009; Häkkinen et al., 2011]. Figure 10 displays several normalized indices. These  
410 include the AMOC transport at 50°N, barotropic streamfunction (sign-reversed) and  
411 T500 area-averaged over the SPG region, two indices for the meridional heat transport  
412 at 50°N (split into gyre and AMOC components) and the NAO index. It appears that  
413 the meridional heat transport increase is accompanied with the strengthening of ocean  
414 circulation. The strengthening of ocean circulation may be the response to the positive  
415 NAO, as proposed by Robson et al. [2012]. In order to further explore the relationship  
416 between NAO and ocean circulation we regress the annual mean meridional  
417 overturning and barotropic streamfunctions onto the DJFM NAO index (Figure 11  
418 and Figure 12).

419 The AMOC adjustment to NAO interannual changes reveals a fast, basin-wide  
420 response, with a local peak in the subtropics at lag-zero, followed by a lagged high-  
421 latitude response, possibly associated with the intensification of the overturning  
422 circulation determined by NAO-induced dense water formation pulses in the subpolar  
423 basin. This is further corroborated by hints of southward propagation of AMOC  
424 transport anomalies, evident at time-lags 3-to-5 years, a typical signature of the Deep  
425 Western Boundary Current delayed adjustment following the generation of dense  
426 waters in the Labrador basin. Interestingly, these results bear some resemblance with  
427 the analysis performed by Kwon and Frankignoul [2012]) and Barrier et al. [2014].

428 The barotropic streamfunction (shown in figure 12) exhibits a zero-lag response  
429 characterized by an anomalous anticyclonic circulation at the inter-gyre boundary,  
430 followed by a delayed intensification of the western Subpolar Gyre cyclonic  
431 circulation, reaching its peak 2 years later. This is consistent with previous analyses  
432 on the impact of the NAO on the barotropic circulation [Marshall et al., 2001; Barrier  
433 et al., 2013; Bellucci et al., 2008]. Marshall and co-workers [2001] in particular  
434 suggested that a positive NAO phase induces an anticyclonic gyre circulation at the  
435 Subtropical/Subpolar Gyre boundary (the so called, “inter-gyre gyre”) associated with  
436 a poleward shift of the North Atlantic Current pathway [see also Fig. 6 in Barrier et  
437 al., 2014].

438 To summarize, our results suggest that both the barotropic and the overturning  
439 circulation play a role in the observed changes of the SPG upper ocean temperatures.  
440 In particular the barotropic circulation zero-lagged response to changes in the phase of  
441 the NAO (through the inter-gyre gyre circulation) appears to be consistent with the  
442 cross-gyre anomalous transport of mean temperature ( $\overline{v'T}$ ), while the slowly evolving  
443 AMOC, relatively less sensitive to abrupt, interannual variations in the NAO phase,

444 feeds the large-scale advection of thermal anomalies across 50°N ( $\overline{vT'}$ ; Fig. 8). The  
445 persistently positive phase of the NAO during the years prior to the rapid warming,  
446 did likely favour the poleward heat transfer, and the following SPG warming,  
447 consistent with the mechanism outlined in Msadek et al. [2014].

448

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453

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639 **Figures Captions**

640 Figure 1. Mean state of C-GLORS ocean reanalysis for (a) T500 ( $^{\circ}\text{C}$ ), (b) March  
641 mixed layer depth (m), (c) barotropic streamfunction ( $Sv$ ), and (d) AMOC ( $Sv$ ) for  
642 the 1982–2013 period.

643

644 Figure 2. a) Maximum AMOC strength at  $26^{\circ}\text{N}$ , ( $Sv$ ), and b) meridional heat  
645 transport across  $26^{\circ}\text{N}$  (PW) for C-GLORS reanalysis (black) and RAPID array  
646 observations (red).

647

648 Figure 3. T500 anomalies ( $^{\circ}\text{C}$ ) relative to the 1982-2013 climatology averaged over  
649 pentads (a) 1986-1990, (b) 1991-1995, (c) 1996-2000, and (d) 2001-2005.

650

651 Figure 4. Barotropic streamfunction anomalies ( $Sv$ ) relative to the 1982-2013  
652 climatology averaged over pentads (a) 1986-1990, (b) 1991-1995, (c) 1996-2000, and  
653 (d) 2001-2005.

654

655 Figure 5. Area averaged T500 anomaly ( $^{\circ}\text{C}$ ) in black and the time accumulation  
656 temperature increment during each year (representing the contribution of temperature  
657 increment to the temperature field) in orange in the SPG region ( $50^{\circ}\text{N}$ - $66^{\circ}\text{N}$ ,  $60^{\circ}\text{W}$ -  
658  $10^{\circ}\text{W}$ ).

659

660 Figure 6. Heat budget in the SPG region. The rate of change of ocean heat content is  
661 in black; the contribution from heat transport convergence is in blue; the contribution  
662 from integrated surface heat fluxes is in red; the sum of the approximation of the  
663 contribution from data assimilation, large bias correction and the residual is in green.  
664 Dashed lines are the long-term mean values.

665

666 Figure 7. Heat transport convergence in the SPG region and heat transport across the  
667 Northern ( $63^{\circ}\text{N}$ ), Southern ( $50^{\circ}\text{N}$ ), and Western-Eastern boundaries in the SPG  
668 region (PW).

669

670 Figure 8. Components of annual mean meridional heat transport (in PW) across  $50^{\circ}\text{N}$   
671 in the SPG region due to anomalous advection of time-mean temperature ( $v'\bar{T}$ , in red),  
672 advection of temperature anomalies from time-mean circulation ( $\bar{v}T'$ , in green), and  
673 the covariance between velocity and temperature anomalies ( $v'T'$ , in blue). The sum  
674 of the three components is shown in black.

675

676 Figure 9. Meridional heat transport anomaly (PW) across  $50^{\circ}\text{N}$  in the SPG region due  
677 to the AMOC (in red), and gyre circulation component (in blue).

678

679 Figure 10. Normalized winter (DJFM NAO index; dashed black), barotropic stream  
680 function anomaly (sign-reversed) and T500 anomaly basin-averaged over the SPG  
681 region (dashed blue and solid black respectively), the AMOC mass transport at  $50^{\circ}\text{N}$   
682 (dashed red) the heat transport across  $50^{\circ}\text{N}$  contributed by the AMOC (solid red) and  
683 gyre circulation (solid blue). All values are normalized. The NAO index is from  
684 [https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-station-based)  
685 [index-station-based](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-station-based)

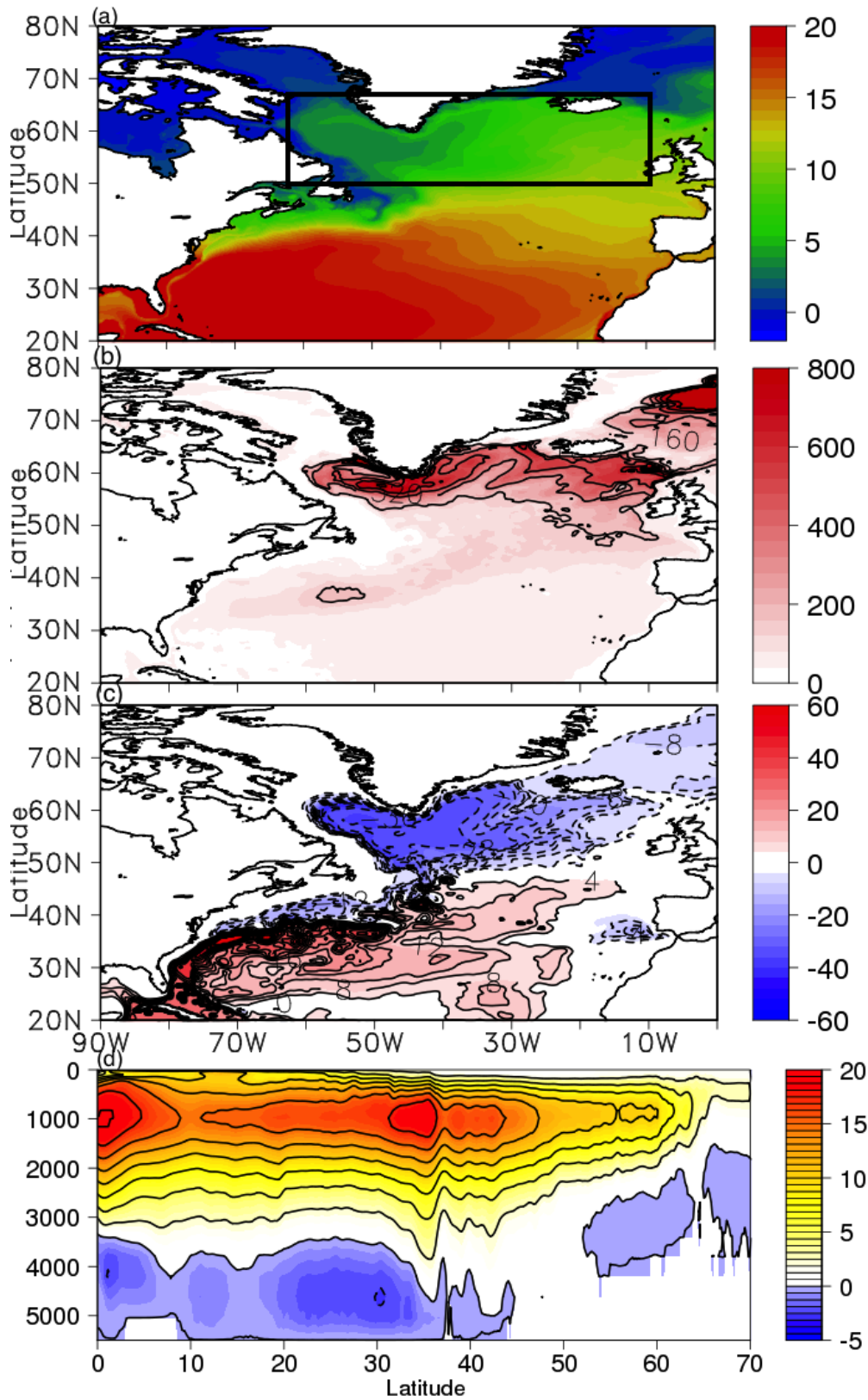
686

687 Figure 11 Linear regression coefficient of annual mean Atlantic meridional  
688 overturning streamfunction onto the winter NAO index at time-lags 0-5 years (in Sv  
689 per unit change in NAO index). NAO index leads for positive time-lags. The mean  
690 state of the AMOC from 1982-2013 is in contours. Areas that pass 95% significant  
691 values are shown in dots.

692

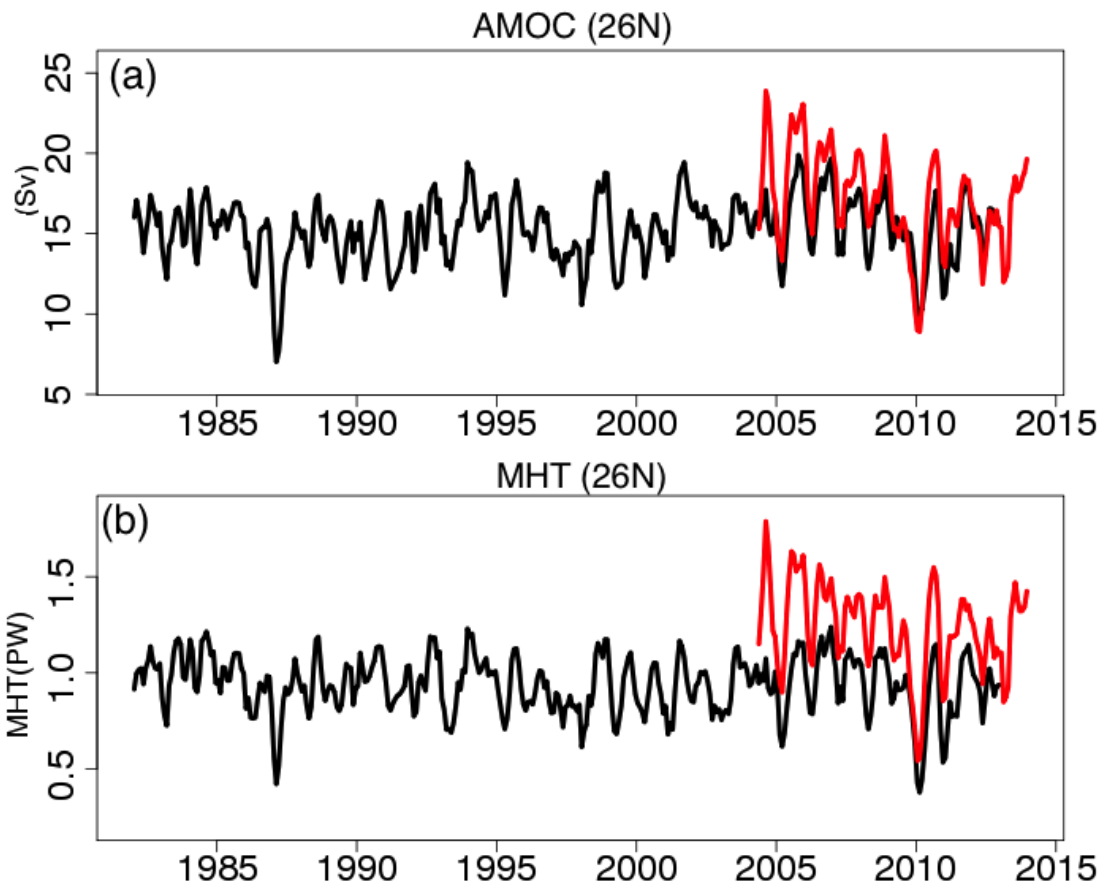
693 Figure 12 Linear regression coefficient of annual mean barotropic streamfunction  
694 onto the winter NAO index at time-lags 0-4 years (in Sv per unit change in NAO  
695 index) in shaded. The NAO index leads for positive time-lags. The mean state of the  
696 barotropic streamfunction from 1982-2013 is in contours. Areas that pass 95%  
697 significant values are shown in dots.

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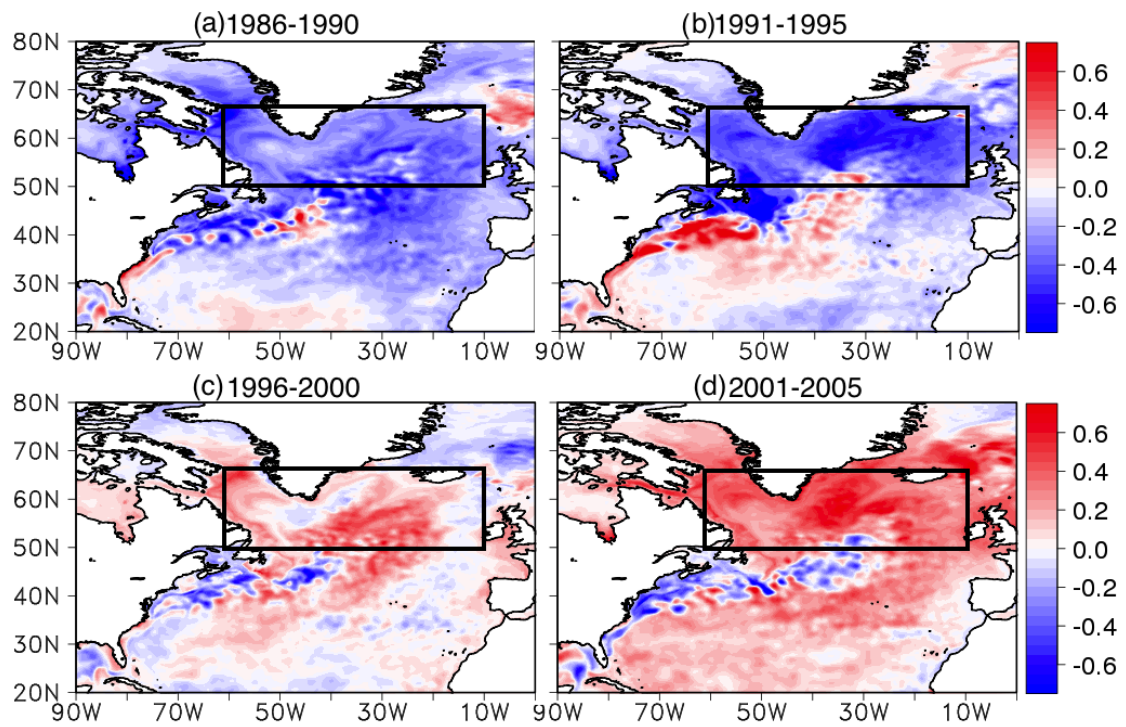
Figure 1. Mean state of C-GLORS ocean reanalysis for (a) T500 (°C), (b) March mixed layer depth (m), (c) barotropic streamfunction (Sv), and (d) AMOC (Sv) for the 1982–2013 period.



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Figure 2. a) Maximum AMOC strength at 26°N, (Sv), and b) meridional heat transport across 26°N (PW) for C-GLORS reanalysis (black) and RAPID array observations (red).

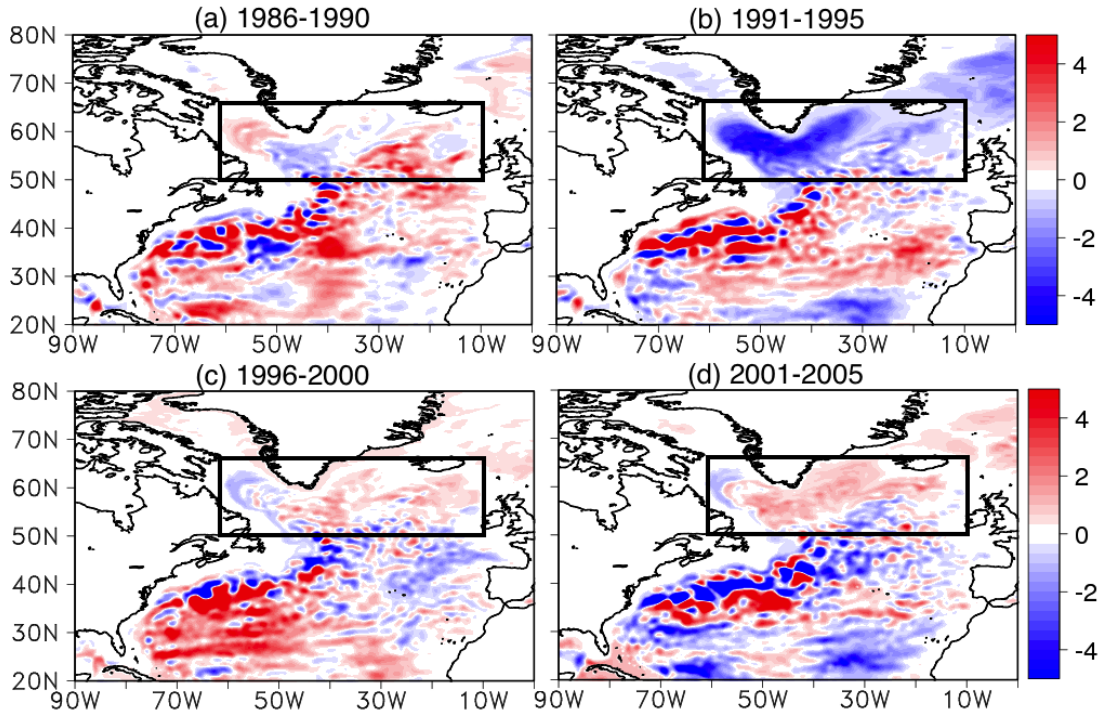
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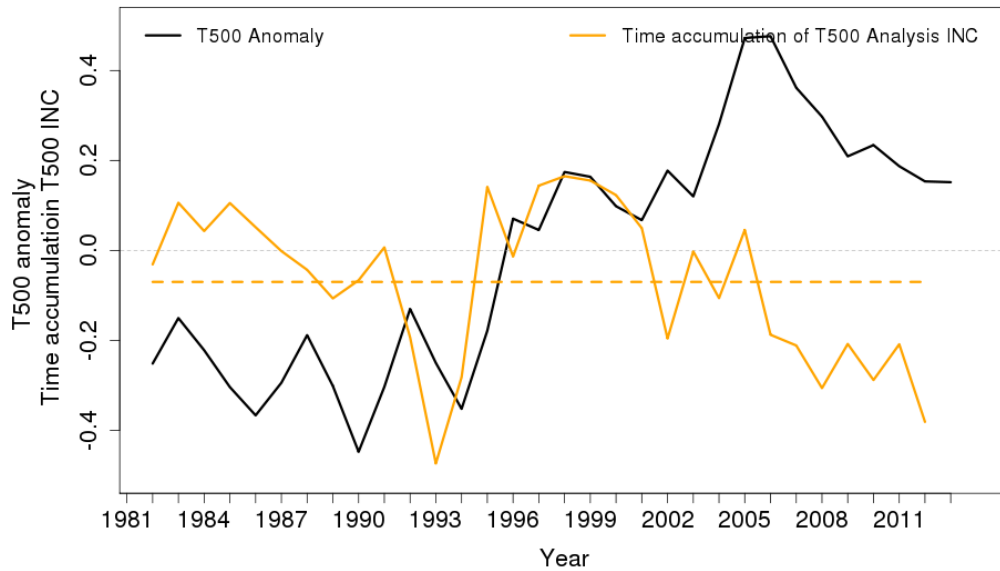
Figure 3. T500 anomalies (°C) relative to the 1982-2013 climatology averaged over pentads (a) 1986-1990, (b) 1991-1995, (c) 1996-2000, and (d) 2001-2005.





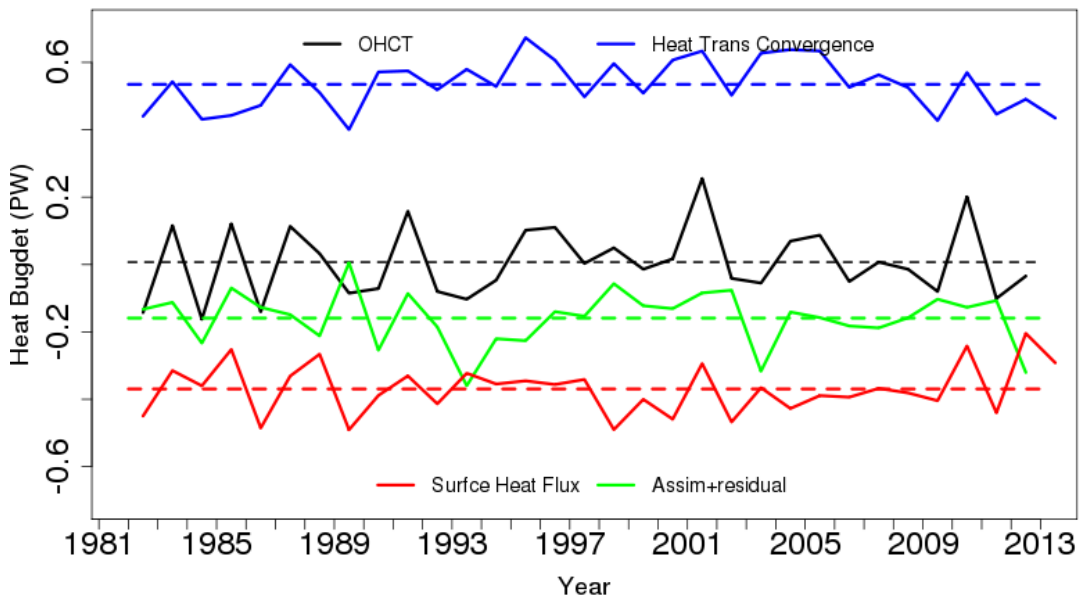
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Figure 4. Barotropic streamfunction anomalies ( $Sv$ ) relative to the 1982-2013 climatology averaged over pentads (a) 1986-1990, (b) 1991-1995, (c) 1996-2000, and (d) 2001-2005.



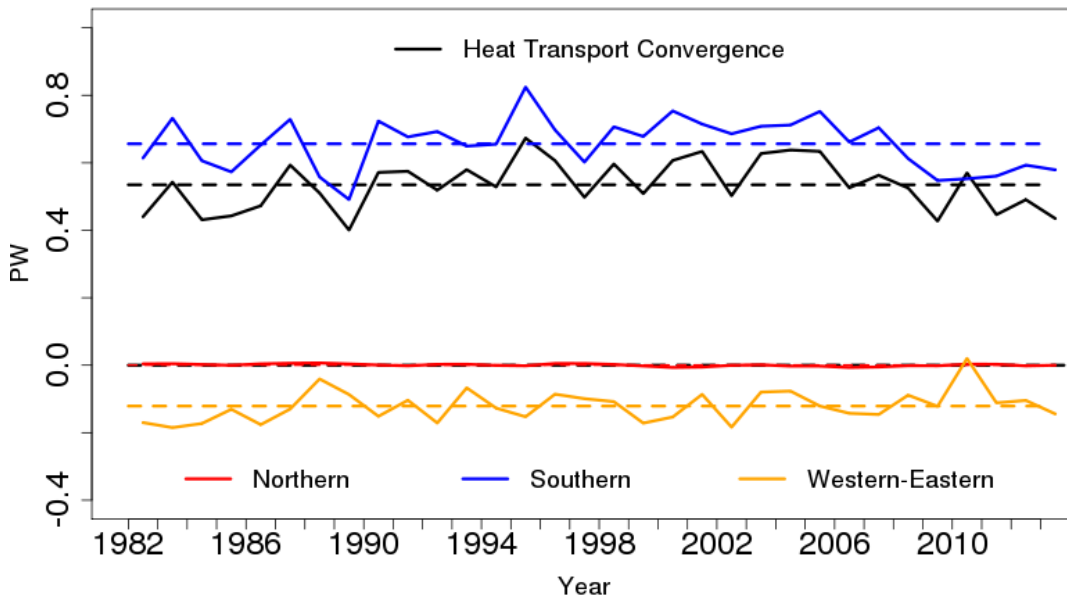
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Figure 5. Area averaged T500 anomaly ( $^{\circ}C$ ) in black and the time accumulation temperature increment during each year (representing the contribution of temperature increment to the temperature field) in orange in the SPG region ( $50^{\circ}N$ - $66^{\circ}N$ ,  $60^{\circ}W$ - $10^{\circ}W$ ).



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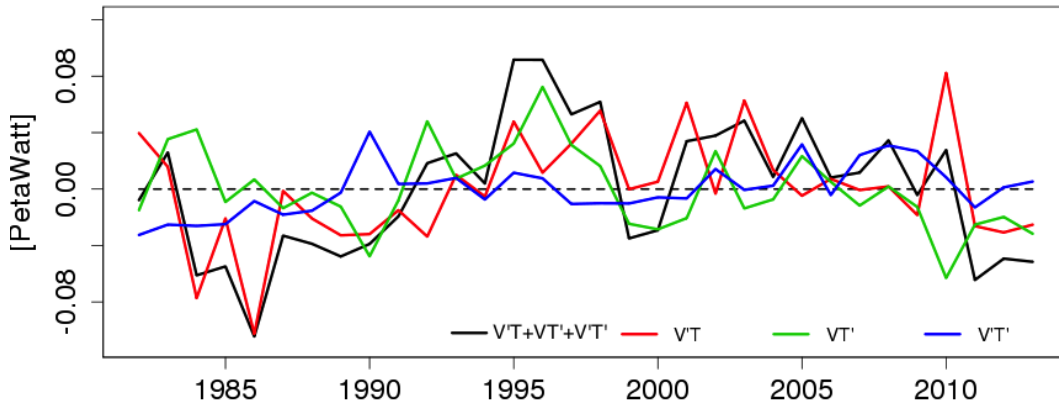
Figure 6. Heat budget in the SPG region. The rate of change of ocean heat content is in black; the contribution from heat transport convergence is in blue; the contribution from integrated surface heat fluxes is in red; the sum of the approximation of the contribution from data assimilation, large bias correction and the residual is in green. Dashed lines are the long-term mean values.



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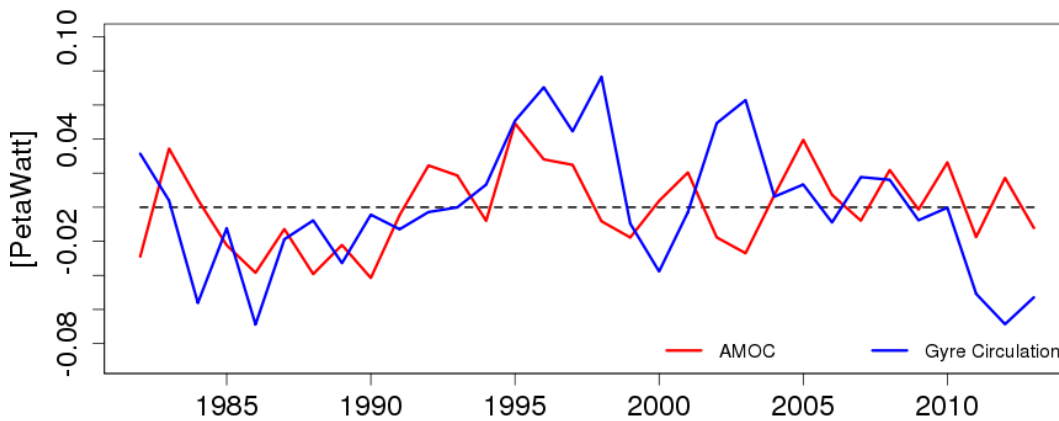
Figure 7. Heat transport convergence in the SPG region and heat transport across the Northern (63°N), Southern (50°N), and Western-Eastern boundaries in the SPG region (PW).

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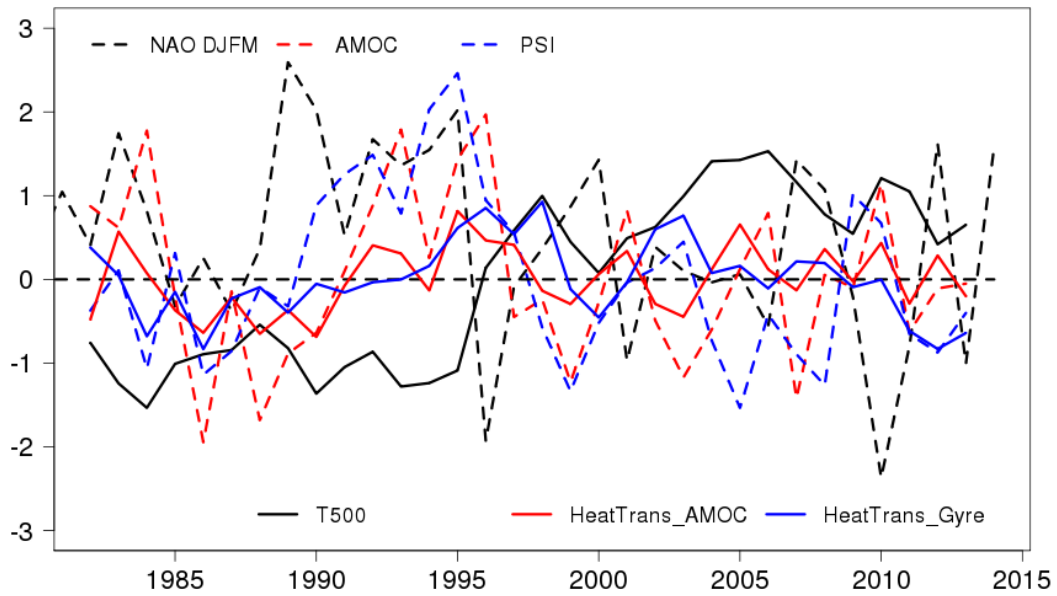
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Figure 8. Components of annual mean meridional heat transport (in PW) across 50°N in the SPG region due to anomalous advection of time-mean temperature ( $v'\bar{T}$ , in red), advection of temperature anomalies from time-mean circulation ( $v\bar{T}'$ , in green), and the covariance between velocity and temperature anomalies ( $v'T'$ , in blue). The sum of the three components is shown in black.



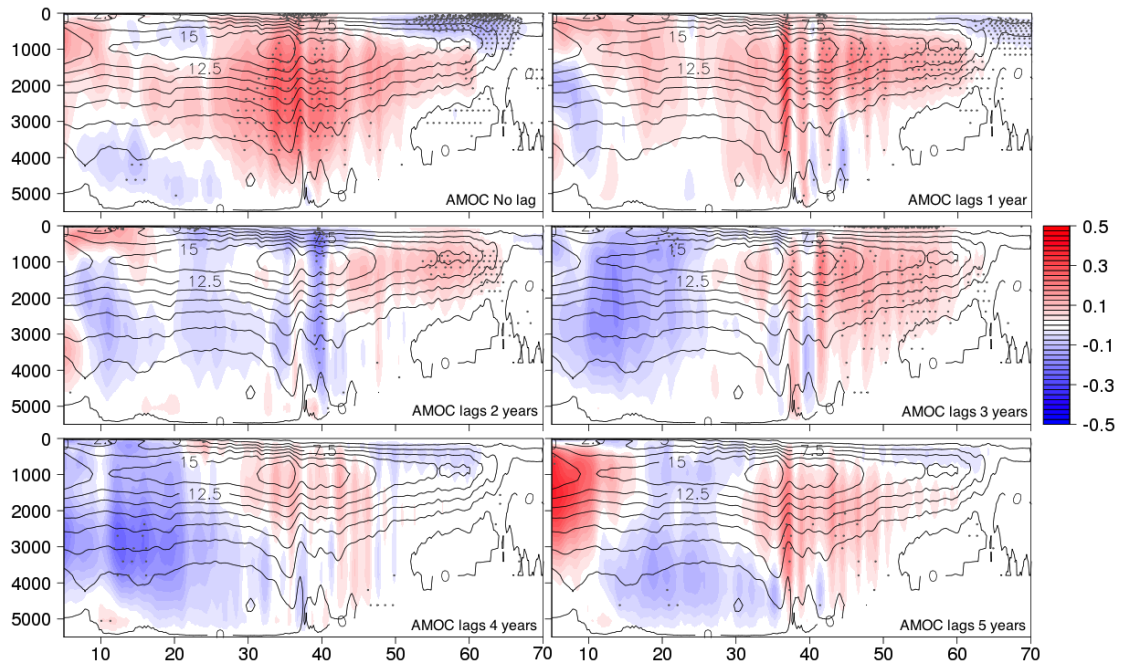
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Figure 9. Meridional heat transport anomaly (PW) across 50°N in the SPG region due to the AMOC (in red), and gyre circulation component (in blue).



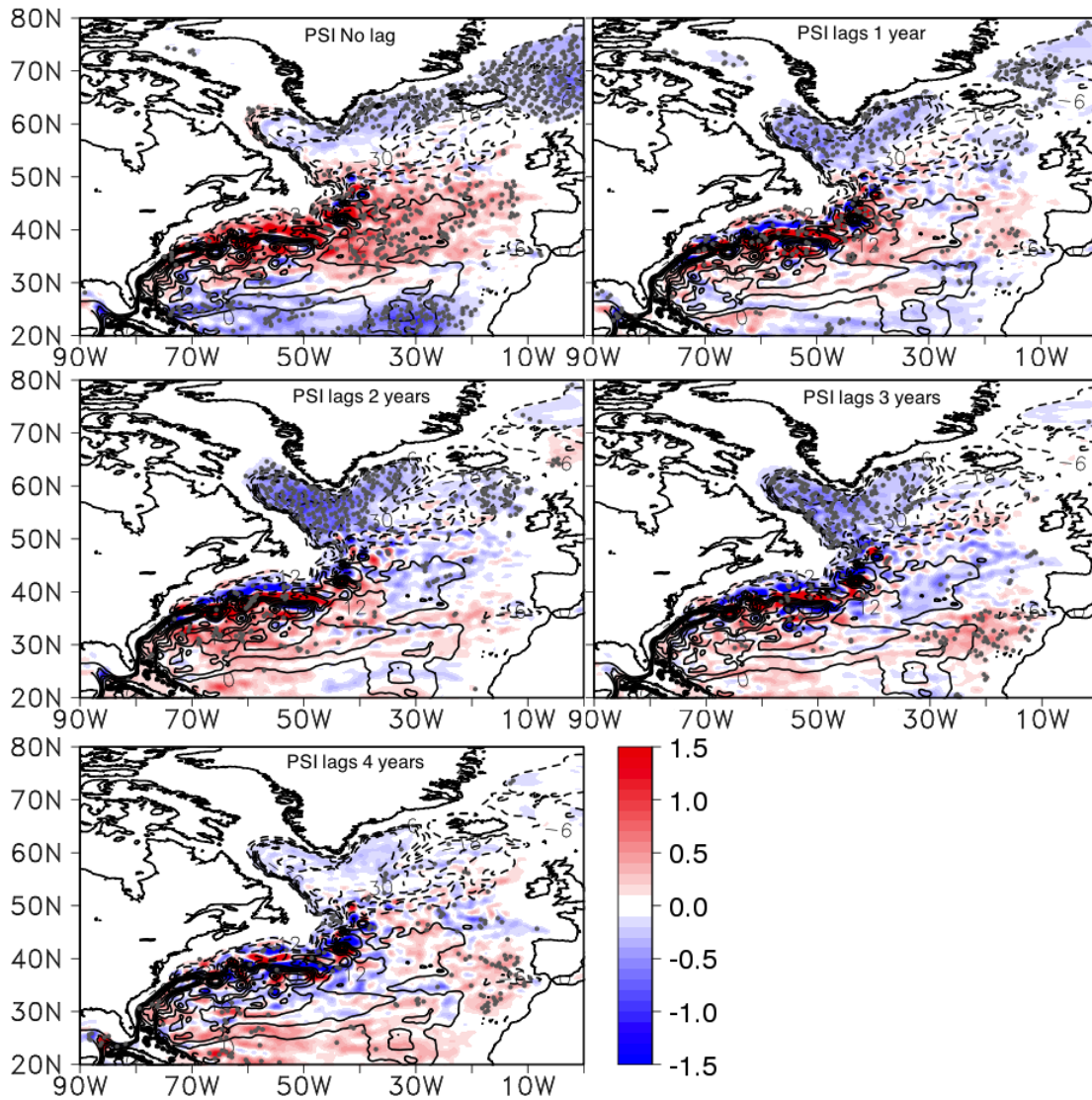
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Figure 10. Normalized winter (DJFM NAO index; dashed black), barotropic stream function anomaly (sign-reversed) and T500 anomaly basin-averaged over the SPG region (dashed blue and solid black respectively), the AMOC mass transport at 50°N (dashed red) the heat transport across 50°N contributed by the AMOC (solid red) and gyre circulation (solid blue). All values are normalized. The NAO index is from <https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-station-based>



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Figure 11 Linear regression coefficient of annual mean Atlantic meridional overturning streamfunction onto the winter NAO index at time-lags 0-5 years (in Sv per unit change in NAO index). NAO index leads for positive time-lags. The mean state of the AMOC from 1982-2013 is in contours. Areas that pass 95% significant values are shown in dots.



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Figure 12 Linear regression coefficient of annual mean barotropic streamfunction onto the winter NAO index at time-lags 0-4 years (in Sv per unit change in NAO index) in shaded. The NAO index leads for positive time-lags. The mean state of the barotropic streamfunction from 1982-2013 is in contours. Areas that pass 95% significant values are shown in dots.