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Every breath you take: the value of the electronic nose (e-nose) technology in the early detection of lung cancer

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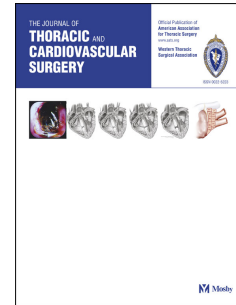
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2 lung cancer

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21 Feature Editor Introduction

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25 In the accompanying *Feature Expert Opinion* article, Dr. Rocco presents a thoughtfully constructed
26 review detailing the background and significance of an emerging technology in thoracic oncology.

27 Breath samples have recently been found to contain up to 3000 exhaled volatile organic compounds
28 (VOCs). These VOCs are organic compounds generated through a variety of cellular biochemical

29 processes and are measurable by a number of technologies, well described in this article. Based on
30 this premise, exhaled breath fingerprints (VOC signatures) have proven useful in differentiating

31 benign from malignant nodules, which remains a substantial unmet need. As our audience is well

32 familiar, lung cancer is the leading cause of cancer-related death in the world, only about 15% of all
33 lung cancer cases are diagnosed as early stage, and the early detection of lung cancer has been

34 shown to decrease lung cancer-specific mortality by 20% in the landmark National Lung Screening
35 Trial (NLST). Among the abnormal results obtained by low dose computed tomography screening

36 in this trial, 96.4% were false positives and many of these lead to invasive diagnostic procedures.

37 One obvious benefit of a breath print analysis that could discriminate benign and malignant

38 pulmonary nodules is to increase the accuracy of lung cancer screening and reduce the number of

39 unnecessary diagnostic procedures. This is only one of many applications of breath print analyses,

40 however, and this technology has a number of other potential applications in pulmonology, thoracic

41 oncology, and other disciplines, described in this proceeding. The reader is promised an enjoyable

42 ride through e-nose technology.

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46 Researchers and clinicians dealing with lung cancer inevitably focus their attention on innovative
47 treatments which can change the fate of our patients. Early diagnosis remains a myth since lung
48 cancer screening is still plagued by false positives and the assessment of the tumor type requires
49 some form of invasive modality of tumor biopsy which at times cannot be tolerated due to the
50 patients' often compromised condition¹⁻³. Liquid biopsy on patients with localized tumors can
51 detect circulating DNA in up to 55% of the plasma samples but this percentage is bound to increase
52 with tumor stage^{4,5}. As a consequence, promising therapeutic modalities (ie, SBRT) are often
53 administered to patients without histological confirmation based only on clinical algorithms
54 predictive of malignancy⁶. On the other hand, VATS surgery itself does not rely consistently on
55 preoperative cyto-histological diagnosis; however, minimally invasive lung resections can provide
56 both diagnosis and cure, at the same time⁷. Nevertheless, the reported rate of VATS performed for
57 nodules confirmed benign at final pathology can be as high as 10-11%^{7,8}. The wide spectrum of
58 disease stages of lung cancer may suggest different pathways to obtain diagnosis of histotype or to
59 detect tumor or immune system markers for individualized treatment⁹.

60 Volatile Organic Compounds (VOCs) are chemical structures generated by cellular metabolism
61 and exchanged from tissue to blood and, subsequently, with the inhaled air in the alveoli^{10,11}.

62 Strictly speaking, VOCs are markers (ie, signatures) of cellular activity present in the exhaled
63 breath¹². These compounds can be studied from a quantitative standpoint by using gas
64 chromatography–mass spectrometry (GC–MS) which can provide the exact concentration of each
65 compound compared to standard population^{13,14}. Albeit promising, this quantitative breath analysis
66 has not been able to yield a set of lung cancer-specific VOCs, with the possible exception of four
67 recently described carbonyl compounds¹⁵. Currently, the e-nose assessment technology includes
68 four modalities, each with distinct advantages and drawbacks^{16,17} (Fig. 1). These modalities are
69 infrared spectrometry, gas chromatography mass spectrometry (GC-MS), solid state sensors, and,
70 mass spectrometry and can be used for qualitative analysis^{16,17}. An example of qualitative analysis
71 of exhalates is the use of GC–MS in a fingerprinting mode¹³. More recently, a multisensorial

72 platform (BIONOTE) has been proposed which included an innovative type of e-nose technology
73 ¹⁸ (Fig. 2). In fact, the exhalate is collected early in the morning from the patient who is invited to
74 breathe through a device (Pneumopipe - EU patent: EP2641537 (A1):2013-09-25) that traps the
75 VOCs onto an absorbing cartridge ¹⁸. The cartridge then undergoes thermal desorption (i.e.,
76 dissolution at high temperatures) in order to re-obtain the VOCs that are then exposed to gas sensor
77 arrays ¹⁸. In this e-nose modality, gas sensor arrays are composed of quartz crystals microbalance
78 (QCM) utilizing anthocyanin-coated gold electrodes characterized by a baseline oscillation
79 frequency ¹⁹. Once exposed to the gas sensor arrays, the VOCs induce a mass change on sensors
80 that translates in a change of their baseline oscillation frequency (ie, sensor activation). Through
81 sensor activation, a pattern of sensor signals – i.e., fingerprints ^{13,18} – is generated, in a similar
82 fashion to the “combinatorial selectivity” which enables natural olfaction to distinguish multiple
83 different odors ^{13,18}. Data analysis and classification between groups of VOCs patterns are
84 performed with a mathematical model based on a multivariate test such as the Partial Least Square
85 Discriminant Analysis (PLS-DA) ^{13,18,20}. In 2016, a group of Italian investigators including the
86 current author reported on 100 individuals subjected to lung cancer screening in whom a suspicious
87 lung nodule was identified ¹⁸. These individuals underwent e-nose testing in an effort to
88 differentiate between healthy and lung cancer affected individuals ¹⁸. The results were encouraging,
89 with sensitivity, specificity, positive and negative predictive values of 86%, 95%, 83%, and, 96%,
90 respectively ¹⁸. Reportedly, irrespective of the sampling technique used in the e-nose technology,
91 exhalate collection and subsequent processing may take up to 20 minutes with a reported cost per
92 patient of about 10 euros ¹⁸.

93 The paper by Shlomi and coworkers on the use of nanoarray sensors for breath analysis published
94 in the October 2017 issue of the Journal of Thoracic Oncology has the distinct merit to bring the
95 research in this field further ahead ²¹. Indeed, this study focused on the possibility for the e-nose
96 technology not only to distinguish between malignant and benign nodules but also to determine its
97 potential EGFR positivity on 119 patients ²¹. The separation between malignant and benign nodules

98 was done with an overall accuracy, positive and negative predictive values of 87%, 88%, and 87%,
99 respectively²¹. In addition, an accuracy of 83%, a sensitivity of 79% and a specificity of 85% were
100 found when EGFR positivity was assessed based on specific nanoarray sensor features²¹.

101 Apart from the use of nanotechnology in manufacturing the gas sensors, the main difference in the
102 e-nose technologies presented by Shlomi and colleagues compared to the one used by the Italian
103 group resides in the exhalate collection modality (ie, GaSamplerTM polyethylene bags vs
104 PneumopipeTM) which may not represent a trivial difference given the potential implications on gas
105 preservation and contamination^{18,21}. Nevertheless, the work by Shlomi and colleagues demonstrates
106 that the utilization of the e-nose represents today another potentially fruitful application of
107 nanotechnology to thoracic surgery^{21,22}.

108 The prospective advantages of the introduction into clinical practice of the e-nose technology seem
109 obvious: a. As a diagnostic tool to indirectly verify smoking cessation in patients enrolled in lung
110 cancer screening programs since the e-nose can assess fingerprints of COPD²³; b. As a diagnostic
111 tool serving the purpose of identifying high risk individuals to be subjected to low dose CT
112 scanning in the setting of a lung cancer screening program^{15,18}; c. As a confirming test prior to
113 scheduling an invasive procedure for a patient with suspected pulmonary nodule^{18,21}; d. In the post-
114 surgical follow-up protocols to decide if and when to proceed to CT scan/PET^{14,18,24}; e. As a non-
115 invasive method to support the diagnosis of malignancy indicated by clinical algorithms. This is
116 often the case when biopsy is not feasible and the patient needs to be subjected to alternative
117 treatments to surgery, ie, SBRT^{18,21,25}; f. As a non-invasive method to identify lung cancer-related
118 genetic mutations^{21,26}.

119 However, there are still limitations to the widespread use of the e-nose that impose caution in the
120 interpretation of the currently available evidence from the literature. There are major hurdles
121 opposing a more diffuse clinical implementation of this technology. The relatively small numerosity
122 of the populations subjected to e-nose evaluation and the lack of a standardized and miniaturized

123 device enabling sample collection and data analysis in real time represent the most obvious ones.
124 Also, alterations in the composition of the exhaled breath may affect VOCs analysis. Examples of
125 such alterations could result from the previous use of drugs, especially chemotherapy agents, and
126 the presence of concurrent viral or bacterial infection²⁷. In this setting, the ability of e-nose
127 technology to separate lung cancer from COPD has been already reported²⁷. In the future, the
128 possibility of applying the same principles of the e-nose to the assessment of fingerprints in biologic
129 fluids through the so-called e-tongue is being explored¹⁹. The e-tongue can be used to confirm e-
130 nose and liquid biopsy findings, thus enhancing the overall diagnostic ability in the “no touch”
131 diagnostic lung cancer setting. In conclusion, the e-nose technology represents a promising, non
132 invasive modality of obtaining histological diagnosis of a pulmonary nodule as well as assessing its
133 biomolecular profile. The possible clinical applications of this technology are manifold but they
134 need to be verified against its current significant limitations.

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148 Fig. 1. The two main methods of assessment of the VOCs. The analytic methods aim at identifying
149 the single components comparing them with known compounds in a reference library whereas the
150 e-nose technology tends at delineating VOCs pattern recognition algorithms in order to classify
151 each individual patient. Reproduced with permission from van der Schee MP et al. *Breathomics in*
152 *Lung Disease*. *Chest* 2015;147:224-31

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159 Fig. 2. The BIONOTE sensorial platform. After collection of exhaled breath into the Pneumopipe
160 and transfer through a Tenax cartridge into the thermal desorption unit, VOCs are exposed to gas
161 sensor microarrays. The mass alteration induced by the VOCs will induce a modification of the
162 baseline oscillation frequency in the QCM thus generating the breathprint which is then analyzed
163 with PDA (See text). Modified from Pennazza G, et al. “A non invasive sensor system for the
164 screening of non obstructive sleep apnea syndrome” Proceedings, 2017; 1:426;
165 doi:10:3390/proceedings1040426 – www.mdpi.com/journal/proceedings. Reproduced with
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